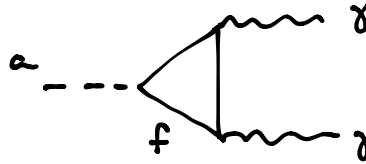


1. **Parametric resonance:** Consider an axion-like particle (ALP) coupled to photons:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m_\phi^2 \phi^2 - \frac{1}{4} g_{\phi\gamma\gamma} \phi F^{\mu\nu} \tilde{F}_{\mu\nu}$$

If this ALP is dark matter observed today, it has to be stable on long time scales.

- (a) It is possible for the ALP to decay photons $\phi \rightarrow \gamma\gamma$ perturbatively through the following process:



with a perturbative decay rate

$$\Gamma_{\text{pert}} = \frac{g_{\phi\gamma\gamma}^2 m_\phi^3}{64\pi}.$$

The ALP becomes cosmologically unstable when $\Gamma_{\text{pert}} > H_0$, where H_0 is the present-day Hubble parameters $H_0 \simeq 10^{-42}$ GeV. For a typical dark matter ALP with mass $m_a = 10^{-6}$ eV, what is the largest allowed coupling before the ALP becomes unstable? Astrophysical bounds for an ALP of mass 10^{-6} eV restrict $g_{\phi\gamma\gamma} \lesssim 7 \times 10^{-11}$ GeV $^{-1}$. Given the bound, should we be concerned about perturbative decay?

Solution:

$$\begin{aligned} \Gamma_{\text{pert}} &= \frac{g_{\phi\gamma\gamma}^2 m_\phi^3}{64\pi} \\ \Gamma_{\text{pert}} &< H_0 \\ \Rightarrow g_{\phi\gamma\gamma} &< \sqrt{\frac{64\pi H_0}{m_\phi^3}} \simeq \sqrt{\frac{64\pi \times 10^{-42} \text{ GeV}}{(10^{-6})^3 \text{ eV}^3 \times 10^{-27} \text{ GeV}^3}} \simeq 450 \text{ GeV}^{-1} \end{aligned}$$

which is many orders of magnitude larger than what's been ruled out.

- (b) One can also estimate the decay rate $\phi \rightarrow \gamma\gamma$ by considering the growth of the photon field in a time-dependent ALP background. The equation of motion for the photon field in Fourier space is:

$$\ddot{A} + (k^2 - g_{\phi\gamma\gamma} k \dot{\phi}) A = 0$$

We know from lecture that the ALP dark matter field oscillates, which can be written as

$$\phi(t) = \phi_0 \sin(m_\phi t).$$

- i. Rewrite this equation of motion for the photon as the Mathieu equation:

$$\frac{d^2 A}{dx^2} + [\lambda - 2q \cos(2x)] A = 0$$

Solution:

$$\begin{aligned} 2x = m_\phi t &\Rightarrow 0 = \frac{m_\phi^2}{4} \frac{d^2 A}{dx^2} + (k^2 - g_{\phi\gamma\gamma} k \phi_0 m_\phi \cos(2x)) A \\ &0 = \frac{d^2 A}{dx^2} + \left(\frac{4k^2}{m_\phi^2} - \frac{4}{m_\phi} g_{\phi\gamma\gamma} k \phi_0 \cos(2x) \right) A \\ \lambda = \frac{4k^2}{m_\phi^2} &\Rightarrow 0 = \frac{d^2 A}{dx^2} + \left(\lambda - \frac{4}{m_\phi} g_{\phi\gamma\gamma} k \phi_0 \cos(2x) \right) A \\ q = \frac{2}{m_\phi} g_{\phi\gamma\gamma} k \phi_0 &\Rightarrow 0 = \frac{d^2 A}{dx^2} + (\lambda - 2q \cos(2x)) A \end{aligned}$$

- ii. The full set of solutions to this differential equation contains an exponentially growing solution $A \sim e^{\eta_\lambda x}$, where the rate η_λ is

$$\eta_\lambda = \beta_\lambda \frac{2q}{\sqrt{\lambda}}$$

where β_λ is some $\mathcal{O}(1)$ number. This corresponds to the exponential solution $A \sim e^{\eta_k t}$. Find η_k in terms of $g_{\phi\gamma\gamma}$, ϕ_0 , and m_ϕ . Estimate the rate of exponential growth, η_k for a typical $m_a = 10^{-6}$ eV ALP with $g_{a\gamma\gamma} = 10^{-11}$ GeV $^{-1}$. The rate of photon growth is related to enhanced ALP decay on the timescale

$$\tau \sim 1/\eta_k$$

Would this allow the ALP to be dark matter?

Solution: From the previous part

$$\begin{aligned}
2x &= m_\phi t \\
\lambda &= \frac{4k^2}{m_\phi^2} \\
q &= \frac{2}{m_\phi} g_{\phi\gamma\gamma} k \phi_0 \\
\Rightarrow \eta_\lambda x &= \beta_\lambda \frac{2q}{\sqrt{\lambda}} x = \beta_\lambda \times \frac{4}{m_\phi} g_{\phi\gamma\gamma} k \phi_0 \times \frac{m_\phi}{2k} \times \left(\frac{1}{2} m_\phi t \right) \\
&= \beta_\lambda \frac{1}{m_\phi} g_{\phi\gamma\gamma} \phi_0 m_\phi (m_\phi t) \\
&= \beta_\lambda g_{\phi\gamma\gamma} \phi_0 m_\phi t \equiv \eta_k t \\
\Rightarrow \eta_k &= \beta_\lambda g_{\phi\gamma\gamma} \phi_0 m_\phi \\
\eta_k &\simeq 1 \times 10^{-11} \text{ GeV}^{-1} \times \frac{\sqrt{2\rho_{\text{DM}}}}{m_\phi} \times m_\phi \\
&= 10^{-11} \text{ GeV}^{-1} \times \sqrt{2 \times 0.3 \text{ GeV/cm}^3 \times c^3 \times \hbar} = 3 \times 10^{-8} \text{ s}^{-1}
\end{aligned}$$

This corresponds to

$$\tau \sim 1/\eta_k = 3 \times 10^7 \text{ s} \sim 1 \text{ year}$$

which is way too fast for DM to decay!

- (c) This process, however, is happening in an expanding universe. In order for tachyonic instability to truly enhance $a \rightarrow \gamma\gamma$ decay, has to be on a short timescale compared to the time scale at which the modes are redshifting out of the resonance (see [1] for more details). Compare

$$\Delta t_{\text{growth}} \sim \frac{1}{2\eta_k}$$

with

$$\Delta t_{\text{redshift}} \sim \frac{\delta k}{k_*} \frac{1}{H_0}.$$

Use $\delta k \sim g_{\phi\gamma\gamma} \phi_0 m_\phi / 2$ and $k_* = m_\phi / 2$ (resulting from fully solving the Mattieu equation). Use $g_{\phi\gamma\gamma} = 10^{-11} \text{ GeV}^{-1}$, $m_\phi = 10^{-6} \text{ eV}$.

Solution:

$$\begin{aligned}
 \Delta t_{\text{growth}} &\sim \frac{1}{2\eta_k} \simeq 1.5 \times 10^7 \text{ s} \\
 \Delta t_{\text{redshift}} &\sim \frac{\delta k}{k_*} \frac{1}{H_0} \\
 &\sim \frac{g_{a\gamma\gamma} \phi_0 m_\phi / 2}{m_\phi / 2} \frac{1}{10^{-42} \text{ GeV}} \\
 &= \frac{1/2 (3 \times 10^{-8} \text{ s}^{-1})}{1/2 \times 10^{-6} \times 10^{-9} \text{ GeV}} \frac{\hbar^2}{10^{-42} \text{ GeV}} \\
 &= \frac{3 \times 10^{-8} \text{ s}^{-1}}{10^{-15} \text{ GeV}} \frac{\hbar^2}{10^{-42} \text{ GeV}} = 13 \text{ s}
 \end{aligned}$$

and so

$$\Delta t_{\text{growth}} \gg \Delta t_{\text{redshift}}$$

and there is not enough time for the ALP to decay through the tachyonic instability.

2. The equation of motion for a massive spin-0 boson around a spinning black hole is closely analogous to the quantum mechanics of the hydrogen atom, since both gravity and electromagnetism have $1/r$ potentials. The “gravitational fine structure constant” is

$$\alpha_G = G_N M \mu_S ,$$

where G_N is Newton’s constant $G_N = 6.7 \times 10^{-39} \text{ GeV}^{-2}$, M is the mass of the black hole, and μ_S is the boson mass.

- (a) By analogy with the Bohr atom, what is the typical distance of the $n = 1$ level from the black hole? Compute this numerically for a black hole with $M = 10M_\odot$ and $\mu_S = 10^{-12} \text{ eV}$.

Solution:

The Bohr radius is

$$a_0 = \frac{1}{m_e \alpha}$$

so by analogy, in this case

$$r = \frac{1}{\mu_S(G_N M \mu_S)} = \frac{1}{G_N M \mu_S^2} \text{ which agrees with Eq. (4) of [2]}$$

$$\alpha_G = G_N M \mu_S = 6.7 \times 10^{-39} \text{ GeV}^{-2} \times 10 M_\odot \times 10^{-12} \text{ eV}, \quad M_\odot = 2 \times 10^{30} \text{ kg}$$

$$= 0.075 \ll 1$$

$$r = \frac{1}{6.8 \times 10^{-39} \text{ GeV}^{-2} \times 10 M_\odot \times (10^{-12} \text{ eV})^2}$$

$$= 7 \times 10^{48} \text{ kg}^{-1} \times \hbar/c = 2.6 \times 10^6 \text{ m}$$

- (b) Solving the full equations of motion gives a set of quasinormal modes which can have both real and imaginary parts, where the imaginary part represents exponentially growing or decaying modes depending on the sign. The quasinormal modes are [3]:

$$\omega_{nlm} \sim \mu_S - \frac{\mu_S}{2} \left(\frac{\alpha_G}{l+n} \right)^2 + \frac{i}{\gamma_{nlm} G_N M} \left(m \frac{a}{\sqrt{G_N M}} - 2\mu_S r_+ \right) (\alpha_G)^{4l+5}, \quad \alpha_G \ll 1,$$

where M is the mass of the BH, μ_S is the mass of the scalar field, and a is the Kerr rotation parameter (the spin angular momentum of the BH over its mass J/M). γ_{nlm} is a coefficient that results from solving the EOM and depends on the quantum numbers n, l, m (Note that m is the magnetic quantum number, not a mass). Here, r_+ is the event horizon for a rotating (Kerr) black hole:

$$r_+ = G_N M + \sqrt{G_N^2 M^2 - G_N a^2}$$

When the imaginary part of ω_{nlm} is positive, the field grows exponentially $\phi \sim e^{\text{Im}(\omega_{nlm})t}$. What is the time scale of this instability? Use $M = 10 M_\odot$, $\mu_S = 10^{-11} \text{ eV}$. Assume $\{n, l, m\} = \{1, 1, 1\}$ and using $\gamma_{111} = 48$ (the dominant unstable mode, see [4]). Leave your answer in terms of a dimensionless black hole spin angular momentum parameter

$$\frac{a}{\sqrt{G_N M}}.$$

Then plug in $a/(\sqrt{G_N M}) = 1$, which corresponds to an extremal BH with maximum spin.

Solution:

$$\tau \sim \frac{1}{\text{Im}(\omega_{nlm})} = \frac{\gamma_{nlm} G_N M}{\frac{m}{\sqrt{G_N M}} \frac{a}{M} - 2\mu_S r_+} (\alpha_G)^{-4l-5}$$

$$= \frac{48 \cdot 6.7 \times 10^{-39} \text{ GeV}^{-2} \cdot 10 M_\odot \times \hbar c^2}{\frac{a}{\sqrt{G_N M}} - 2 \cdot 10^{-12} \text{ GeV} / \hbar r_+}$$

$$= \frac{3 \times 10^7 \text{ s}}{\frac{a}{\sqrt{G_N M}} - 3 \times 10^4 \text{ s}^{-1} r_+}$$

Going a bit further, we can write this in terms of a/M alone using the expression for r_+ :

$$\begin{aligned}\tau &= \frac{3 \times 10^7 \text{ s}}{\frac{a}{\sqrt{G_N M}} - 3 \times 10^4 \text{ s}^{-1} \left(G_N M + G_N M \sqrt{1 - a^2 / (G_N M^2)} \right)} \\ \tau &= \frac{2 \times 10^8 \text{ s}}{1 - 6.6 \left(\frac{a}{\sqrt{G_N M}} \right) + \sqrt{1 - \left(\frac{a}{\sqrt{G_N M}} \right)^2}} \\ \tau \Big|_{\frac{a}{\sqrt{G_N M}}=1} &= 3.6 \times 10^7 \text{ s}\end{aligned}$$

- (c) When these quasinormal modes are real, the superradiant condition is saturated. What is the critical rotation parameter a_{crit} such that this happens? Find the corresponding dimensionless spin angular momentum parameter $a_{\text{crit}}/(\sqrt{G_N M})$ using the numerical inputs from part (b).

Solution:

$$\begin{aligned}0 &= \frac{am}{\sqrt{G_N M}} - 2\mu_S r_+ \\ a_{\text{crit}} &= 2\mu_S r_+ \sqrt{G_N M} \\ \frac{a_{\text{crit}}}{\sqrt{G_N M}} &= 2\mu_S r_+ \\ \frac{a_{\text{crit}}}{\sqrt{G_N M}} &= 2\mu_S G_N M \left(1 + \sqrt{1 - \frac{a_{\text{crit}}^2}{G_N M^2}} \right) \\ \frac{a_{\text{crit}}}{\sqrt{G_N M}} &= 2\alpha_G \left(1 + \sqrt{1 - \frac{a_{\text{crit}}^2}{G_N M^2}} \right) \\ \alpha_G &= G_N M \mu_S = 0.075 \\ \frac{a_{\text{crit}}}{\sqrt{G_N M}} &= 0.15\end{aligned}$$

References

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- [2] A. Arvanitaki, M. Baryakhtar, and X. Huang, “Discovering the QCD Axion with Black Holes and Gravitational Waves,” *Phys. Rev. D* **91** no. 8, (2015) 084011, [arXiv:1411.2263 \[hep-ph\]](#).
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