

Implications of $B \rightarrow D^{(*)}\{K, \pi\}$

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N. GUBERNARI, D. FERLEWICZ BEYOND THE ANOMALIES III 27/04/2022

Non-leptonic $b \rightarrow c$ decays

two kinds of non-leptonic B_0 decays mediated by $b \rightarrow c$ transitions



color-allowed topology

QCD factorization applicable no suppression

color-suppressed topology



QCD factorization **not** applicable power-suppressed

The simplest non-leptonic $B_{(s)}$ decays

decays with four different flavors are the simplest and cleanest non-leptonic $B_{(s)}$ \downarrow no penguin or annihilation contributions

focus on $\overline{B}_{s}^{0} \rightarrow D_{s}^{(*)+}\pi^{-}$ and $\overline{B}^{0} \rightarrow D^{(*)+}K^{-}$ to test QCD factorization [Bordone/NG/Huber/Jung/van Dyk '20]

other color allowed decays discussed in Daniel's part of the talk



QCD factorization

QCD factorization: systematic method to compute amplitudes in non-leptonic *B* decays in heavyquark limit (leading power in $\frac{\Lambda_{QCD}}{m_h}$)

$$\mathcal{A}(\bar{B}^0 \to D^+ K^-) \propto f_K F_0^{B \to D}(M_K^2) a_1(D^+ K^-) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)$$

improve theoretical predictions for $\bar{B}_s^0 \to D_s^{(*)+}\pi^-$ and $\bar{B}^0 \to D^{(*)+}K^-$ branching fractions

- Wilson coefficients a_1 computed at NNLO [Huber/Kränkl/Li '16]
- update $B \rightarrow D^{(*)}$ and $B_s \rightarrow D_s^{(*)}$ form factors [Bordone/NG/Jung/van Dyk '19]
- estimate $\frac{\Lambda_{QCD}}{m_b}$ corrections for the first time [Bordone/NG/Huber/Jung/van Dyk '20]

Power corrections

- 1. no annihilation or penguin topologies in $\bar{B}_s^0 \to D_s^{(*)+}\pi^-$ and $\bar{B}^0 \to D^{(*)+}K^-$
- 2. no $\frac{\Lambda_{\text{QCD}}}{m_c}$ corrections since we use QCD form factors instead of soft form factors
- 3. no hard-collinear gluon between b or c quarks and the light meson at order $\frac{\Lambda_{\rm QCD}}{m_b}$
- 4. soft-gluon exchange between the $\overline{B}_{(s)}^{0}D_{(s)}^{(*)+}$ system and the light meson *L* we estimate it with light-cone sum rules (LCSRs)



Light-cone sum rules results

our conservative estimates $L = \{\pi, K\}$

$$\frac{\mathcal{A}(\bar{B}_q^0 \to D_q^+ L^-)\big|_{\mathrm{NLP}}}{\mathcal{A}(\bar{B}_q^0 \to D_q^+ L^-)\big|_{\mathrm{LP}}} \simeq [0.06, 0.6]\%$$
$$\frac{\mathcal{A}(\bar{B}_q^0 \to D_q^{*+} L^-)\big|_{\mathrm{NLP}}}{\mathcal{A}(\bar{B}_q^0 \to D_q^{*+} L^-)\big|_{\mathrm{LP}}} \simeq [0.04, 0.4]\%$$

lower value correspond to our central value

upper value obtained by simply multiplying the central value by a factor of 10

update w.i.p. from Piscopo/Rusov

rescattering contribution is negligible [Endo et al '22]

support the fact that $\overline{B}_q^0 o D_q^{(*)+} L^-$ decays are theoretically clean

Theory prediction and comparison with data

	quantity	unit this work ref. $[2]$ (2016)	
	$F_0^{\bar{B}\to D}(M_K^2)$	$- 0.672 \pm 0.011 \ 0.670 \pm 0.031$	Improved FFs uncertainties
	$F_0^{\bar{B}_s^0 \to D_s}(M_{\pi}^2)$	$- 0.673 \pm 0.011 \ 0.700 \pm 0.100$	
	$A_0^{\bar{B}\to D^*}(M_K^2)$	$ 0.708\pm 0.038 0.654\pm 0.068$	
	$A_0^{\bar{B}_s^0 \to D_s^*}(M_{\pi}^2)$	$- 0.689 \pm 0.064 \ 0.520 \pm 0.060$	
-	$\left a_1(D_s^+\pi^-)\right $	$- 1.0727^{+0.0125}_{-0.0140} 1.073^{+0.012}_{-0.014}$	same results for the WC of
	$\left a_1(D^+K^-)\right $	$- 1.0702^{+0.0101}_{-0.0128} 1.070^{+0.010}_{-0.013}$	QCDF as in Huber/Kränkl/Li
	$\left a_1(D_s^{*+}\pi^-)\right $	$- 1.0713^{+0.0128}_{-0.0137} 1.071^{+0.013}_{-0.014}$	
	$\left a_1(D^{*+}K^-)\right $	$- 1.0687^{+0.0103}_{-0.0125} 1.069^{+0.010}_{-0.013}$	
	$ V_{cb} $	10^{-3} 41.1 ± 0.5 39.5 ± 0.8	undated remaining inputs
	$ V_{ud} f_{\pi}$	MeV 127.13 ± 0.13 126.8 ± 1.4	a apaated remaining inputs
	$ V_{us} f_K$	MeV 35.09 ± 0.06 35.06 ± 0.15	
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irc	סי	PDG OCDF predic	ion

source	PDG	QCDF prediction		
$\mathcal{B}(\bar{B}^0_s \to D^+_s \pi^-)$	3.00 ± 0.23	4.42 ± 0.21	$\rightarrow 4\sigma$	
$\mathcal{B}(\bar{B}^0 \to D^+ K^-)$	0.186 ± 0.020	0.326 ± 0.015	$\rightarrow 5\sigma$	-
$\mathcal{B}(\bar{B}^0_s \to D^{*+}_s \pi^-)$	2.0 ± 0.5	$4.3^{+0.9}_{-0.8}$	$\rightarrow 2\sigma$	
$\mathcal{B}(\bar{B}^0 \to D^{*+}K^-)$	0.212 ± 0.015	$0.327\substack{+0.039\\-0.034}$	$\rightarrow 3\sigma$	

discrepancy between measurements and theoretical predictions

Possible explanations

- 1. large nonfactorizable contributions of O(15 20%) in the amplitude \rightarrow excluded by our estimate at 4.4σ level
- 2. experimental issue \rightarrow would imply problems in several (consistent) measurements (CLEO, BaBar, LHCb, Belle)
- 3. shift in the **inputs** (e.g. V_{ud} , V_{us} , V_{cb}) \rightarrow would probably violate CKM unitarity
- 4. BSM physics only explanation left \rightarrow see next slide
- 5. a combination of the effects discussed above

Is NP a viable option?

implies O(20%) tree-level corrections in $b \rightarrow cu(d/s)$ transitions not observed so far

possibility explored in several works \Rightarrow BSM physics viable option (W' models...)

BSM explanation consistent with flavor observables [Iguro/Kithahara '21] [Fleischer et al. '20]

however strong constraints from dijet searches

[Bordone/Greljo/Marzocca/Fuentes-Martin '21]



On the experimental side

• Decay widths of $B \rightarrow D^{(*)}h$ can be estimated from their semileptonic counterpart

$$\Gamma(\bar{B}^0 \to D^{*+}h^-) = 6\pi^2 \tau_B |V_{uq}|^2 f_h^2 X_h |a_1(q^2)|^2 \times d\Gamma(\bar{B}^0 \to D^{*+}\ell^-\bar{\nu})/dq^2|_{q^2 = m_h^2}$$

• Beneke et al: $|a_1| = 1.05 (10.1016/S0550-3213(00)00559-9)$

- Huber et al: $|a_1(\pi)| = 1.071 \pm 0.014$, $|a_1(K)| = 1.069 \pm 0.013$ (10.48550/JHEP09(2016)112)
- Previous studies (Fleischer et al. 10.1103/PhysRevD.83.014017) of $|a_1|$ have not been performed within a single experiment which would cancel many systematic uncertainties
- SU(3) symmetry implies that $|a_1|$ should be consistent for $h = \{\pi, K\}$

$$B^0 \to D^{*-} \ell^+ \nu_\ell$$
 measurement

Semileptonic measurement is required for hadronic factorisation

 $\begin{aligned} \frac{d\Gamma(B^0 \to D^{*-}\ell^+\nu_{\ell})}{dwd\cos\theta_{\ell}d\cos\theta_{V}d\chi} = & \frac{\eta_{\rm EW}^2 3m_{B^0}m_{D^{*\pm}}^2}{4(4\pi)^4} G_F^2 |V_{cb}|^2 \sqrt{w^2 - 1}(1 - 2wr + r^2) \\ & \times \{(1 - \cos\theta_{\ell})^2 \sin^2\theta_{V}H_+^2 + (1 + \cos\theta_{\ell})^2 \sin^2\theta_{V}H_-^2 + 4\sin^2\theta_{\ell}\cos^2\theta_{V}H_0^2 - 2\sin^2\theta_{\ell}\sin^2\theta_{V}\cos2\chi H_+ H_- - 4\sin\theta_{\ell}(1 - \cos\theta_{\ell})\sin\theta_{V}\cos\theta_{V}\cos\chi H_+ H_0 + 4\sin\theta_{\ell}(1 + \cos\theta_{\ell})\sin\theta_{V}\cos\theta_{V}\cos\chi H_- H_0\}, \end{aligned}$



- Helicity amplitudes $H_{\pm,0}$ can be defined by two different parameterisations, BGL and CLN:
 - BGL is defined with three power series
 - No. free parameters set by non-zero coeffs
 - Considered less model-dependent at higher order C.G. Boyd, B. Grinstein, and R. F. Lebed, Phys. Rev. D 56, 6895 (1997).

$$H_0(w) = \mathcal{F}_1(w) / \sqrt{q^2},$$

$$H_{\pm}(w) = f(w) \mp m_{B^0} m_{D^{*\pm}} \sqrt{w^2 - 1} g(w)$$

 $\mathsf{BGL}(n_f, n_g, n_{\mathcal{F}_1})$







$$B^0 \to D^{*-} \ell^+ \nu_\ell$$
 at Belle

- Belle 2019 (711 fb⁻¹) untagged study using a forwardfolding approach
- $|V_{cb}|$ consistent between BGL and CLN, form factor parameters compatible
- BGL fit must be truncated to order ($n_f = 1, n_g = 0$, $n_{\mathcal{F}_1} = 2$), in order to fit to the data alone without lattice input



Source	$\eta_{\rm EW} \mathcal{F}(1) V_{cb} $ [%]	$\mathcal{B}(B^0 \to D^{*-} \ell^+ \nu_\ell) ~[\%]$
Slow pion efficiency	0.79	1.57
Lepton ID combined	0.67	1.33
$\mathcal{B}(B \to D^{**} \ell \nu)$	0.05	0.10
$B \to D^{**} \ell \nu$ form factors	0.08	0.16
f_{+-}/f_{00}	0.56	1.05
Fake e/μ	0.07	0.11
K/π ID	0.39	0.77
Fast track efficiency	0.53	1.05
$N(\Upsilon(4S))$	0.69	1.37
B^0 lifetime	0.13	0.26
${\cal B}(D^{*+} o D^0 \pi_s^+)$	0.37	0.74
$\mathcal{B}(D^0 \to K\pi)$	0.51	1.02
Total systematic error	1.65	3.26



Fitting to the Belle data

• JLQCD and Fermilab-MILC provide first LQCD form factor calculations with $w \neq 1$ values for this mode.

- JLQCD (DOI 10.22323/1.363.0139): h_{A_1} and h_V at w = 1.04, 1.08
- Fermilab-MILC (arXiv 2105.14019): g, f, \mathcal{F}_1 at w = 1.03, 1.10, 1.17
- Belle data is combined with these calculations for new fit

2.0

1.5

1.0

0.5

0.0

 $h_V(w)/h_V(1)$

w=1

D*

D. Ferlewicz, E. Waheed, P. Urguijo (2021) 10.1103/PhysRevD.103.073005 With updates using F-MILC results



110

1.2

0.9

0.8

W

04 1 06 1 08

JLQCD

 $\rightarrow D^{*-}\ell^+\nu_{\ell}$ results



• $\mathcal{F}(1)\eta_{EW}|V_{cb}| = 35.22 \pm 0.50 \pm 0.84$ (Taking BGL(2,2,2), F-MILC as nominal)



$\overline{B}^0 \to D^{*+}h^-$ measurement

J.F. Krohn et al. (Belle, 2022)

First presentation of results!

• New Belle (711 fb⁻¹) $\mathcal{B}(\overline{B}^0 \to D^{*+}\pi^-)$ and $\mathcal{B}(\overline{B}^0 \to D^{*+}K^-)$ measurement, with $D^{*+} \to D^0\pi^+$ and $D^0 \to K^-\pi^+$ or $D^0 \to K^-2\pi^+\pi^-$ (previous 10.4 fb⁻¹)

Selection criteria:

- Pion $\mathcal{L}_{K/\pi}$ < 0.6 (except slow pions)
- Kaon $\mathcal{L}_{K/\pi}$ > 0.6
- D^* candidates have ΔM_{D^*-D} within $\approx 2.1 \text{MeV/c}^2$ of mean
- $M_{bc} > 5.27 \text{ GeV/c}^2$
- $-150 < \Delta E (MeV) < 125$
- Signal yields from simultaneous unbinned maximum-likelihood fit of $\Delta E = E_B E_{beam}$
 - π signal PDF = double Gaussian + Crystal Ball
 - *K* signal PDF = Gaussian + Crystal Ball
 - Common resolution factor for widths is used for fits to data: $\sigma_i^{data} = \beta \sigma_i^{width}$



$\bar{B}^0 \to D^{*+}h^-$ measurement



Table of uncertainties for B.F. Values with \dagger are propagated to R^D , otherwise are cancelled

type	$\bar{B} \to D^{*+} \pi^-$	$\bar{B} \to D^{*+} K^-$
π -ID stat.	$rac{0.75\%}{0.58\%^{\dagger}}$	0.32%
π -ID sys.	$rac{0.49\%}{0.41\%^{\dagger}}$	0.19%
K-ID stat.	0.74%	$1.04\%\ 0.64\%^{\dagger}$
K-ID sys.	0.55%	$rac{0.89\%}{0.55\%^\dagger}$
K-ID run dep. sys.	0.30%	0.30%
$\pi_{\rm slow}$ stat.	0.79%	0.79%
$\pi_{\rm slow}$ sys.	0.01%	0.01%
$\pi_{\rm slow}$ corr.	1.33%	1.33%
Tracking sys.	1.26%	1.26%
Fixed yields bkg. PDF	$0.07\%^\dagger$	$0.07\%^\dagger$
Fixed shapes bkg. PDF	$0.07\%^\dagger$	$0.07\%^\dagger$
Fit bias	$0.09\%^\dagger$	$0.37\%^\dagger$
$N_{\bar{B}^{0}B^{0}}$	1.84%	1.84%
$\mathcal{B}(D^{*+} \to D^0 \pi^+)$	0.74%	0.74%
$\mathcal{B}(D^0)$	0.94%	0.94%
MC stat.	$0.26\%^\dagger$	$0.99\%^\dagger$
Total sys. (\mathcal{B})	3.26%	3.47%
Total sys. (ratio)	1.50%	1.50%
Total stat. err.	0.57%	2.74%

Component	$D^0 \to K^- \pi^+$		$D^0 ightarrow K^- 2 \pi^+ \pi^-$	
_	$\bar{B}^0 \to D^{*+} \pi^-$	$\bar{B}^0 \to D^{*+} K^-$	$\bar{B}^0 \to D^{*+} \pi^-$	$\bar{B}^0 \to D^{*+} K^-$
$\bar{B}^0 \to D^{*+} \pi^-$	16494 ± 142	1247 ± 46	19500 ± 162	1587 ± 52
$\bar{B}^0 \to D^{*+} K^-$	225 ± 53	1182 ± 49	731 ± 71	1414 ± 55
Background	3390 ± 115	658 ± 61	7067 ± 185	1448 ± 97



Hadronic factorisation measurement



- Measuring $|a_1|$ within a single experiment cancels out many systematic uncertainties:
 - K/π -ID, fit parameters, fit bias and MC statistical uncertainty remain for the hadronic mode
 - ℓ -ID, e/μ fake rates, $\mathcal{B}(D^{**})$ and PDF related uncertainties for the semileptonic mode

Hadronic factorisation measurement

	Model	$ a_1 $	$n\sigma$ meastheo
π^{-}	BGL(2,2,2), F-MILC	0.884 ± 0.016	$8.9 \ (-18 \pm 2)\%$
π^{-}	BGL(2,2,2), JLQCD	0.905 ± 0.021	$6.7 \ (-16 \pm 2)\%$
π^{-}	CLNnoHQS, JLQCD	0.897 ± 0.021	$7.1 \ (-16 \pm 2)\%$
K^{-}	BGL(2,2,2), F-MILC	0.913 ± 0.024	$5.8 \ (-15 \pm 3)\%$
K^{-}	BGL(2,2,2), JLQCD	0.930 ± 0.027	$4.7 \ (-13 \pm 3)\%$
K^{-}	CLNnoHQS, JLQCD	0.924 ± 0.026	$5.0 \ (-14 \pm 3)\%$

• Take ratio of $|a_1(q_A)|^2/|a_1(q_B)|^2$ to test if this is a universal factor

Model	Ratio	Result	
BGL(2,2,2), F-MILC	$ a_1(K^-) ^2/ a_1(\pi^-) ^2$	1.066 ± 0.054	
BGL(2,2,2), JLQCD	$ a_1(K^-) ^2/ a_1(\pi^-) ^2$	1.057 ± 0.054	
CLN noHQS, JLQCD	$ a_1(K^-) ^2 / a_1(\pi^-) ^2$	1.061 ± 0.054	

$\bar{B}^0 \to D^+ h^-$ measurement

- New Belle (711 fb⁻¹) $\mathcal{B}(\overline{B}{}^0 \to D^+\pi^-)$ and $\mathcal{B}(\overline{B}{}^0 \to D^+K^-)$ measurement, with $D^+ \to K^-\pi^+\pi^-$, updating the 2001 (10.4 fb⁻¹) result
- Similar selection criteria to $\overline{B}{}^0 \rightarrow D^{*+}h^-$, but with D meson mass selected with ≈ 13 MeV/c² of known M_{D^+}
- Simultaneous fit to pion- and kaon-enriched samples

Source	R^D	${\cal B}(\bar B^0\to D^+\pi^-)$	$\mathcal{B}(\bar{B}^0 \to D^+ K^-)$	
$\mathcal{B}(D^+ \to K^- \pi^+ \pi^+)$		1.71%	1.71%	
Tracking		1.40%	1.40%	
$N_{B\bar{B}}$		1.37%	1.37%	Ciar
f^{00}/f^{+-}		1.92%	1.92%	Sigi
$D^+ \rightarrow K^- \pi^+ \pi^+$ model		0.69%	0.69%	dou
PDF parametrization	2.71%	1.63%	1.79%	
PID efficiency of K/π	0.88%	0.68%	0.73%	with
D^+ mass selection window	0.05%	0.56%	0.64%	
J/ψ veto selection	0.12%	0.004%	0.15%	resc
Peaking background yield	0.07%	0.04%	0.00%	
MC statistics	< 0.01	0.04%	0.04%	
Fit bias		0.58%	0.61%	
Total	2.85%	3.43%	3.54%	





E. Waheed et al. (Belle, 2022) 10.1103/PhysRevD.105.012003

$\bar{B}^0 \to D^+ h^-$ results

Measurement	Result $(\pm stat. \pm sys. [\pm D branching fraction sys.]*)$	Theory prediction (Huber 2016)
$\mathcal{B}(\bar{B}^0\to D^+\pi^-)$	$(2.48 \pm 0.01 \pm 0.09 \pm 0.04^*) \times 10^{-3}$	$(3.93 \pm 0.43) \times 10^{-3}$
$\mathcal{B}(\bar{B}^0\to D^+K^-)$	$(2.03 \pm 0.05 \pm 0.07 \pm 0.03^*) \times 10^{-4}$	$(3.01 \pm 0.32) \times 10^{-4}$
$R^D \approx \tan^2 \theta_C \left(\frac{f_K}{f_\pi}\right)^2$	$0.0819 \pm 0.0020 \pm 0.0023$	0.077 ± 0.002

- Branching fractions and ratio consistent with previous measurements
- This channel is often used in control samples for CP-violation and ϕ_3 measurements
- Can be used with the previous Belle $B^0 \rightarrow D^- \ell^+ \nu$ study to check consistency in $|a_1|$ measurements with reduced systematic uncertainties

\mathcal{B} and \mathcal{A}_{CP} of $B \to \overline{D}^0 \pi$

• Updated (from 2002 21.3 fb⁻¹) measurements of $\mathcal{B}(B^0 \to \overline{D}{}^0 \pi^0)$ and $\mathcal{B}(B^+ \to \overline{D}{}^0 \pi^+)$ with full Belle data set (711 fb⁻¹), with a 2- and 3-body D meson decay

- $B^0 \rightarrow \overline{D}{}^0 \pi^0$ decay is colour-suppressed compared to charged final states
- Yield, \mathcal{A}_{CP} extracted from unbinned extended maximum-likelihood fit to M_{bc} , ΔE and a neural net output for continuum suppression.



\mathcal{B} and \mathcal{A}_{CP} of $B \to \overline{D}{}^0 \pi$ results

• First measurement of \mathcal{A}_{CP} with neutral mode!

 Expect negligible direct *CP*-violation in neutral mode ⇒ good test of BSM

Systematic	$B^0 \to \bar{D}^0 \pi^0$	$B^+ \to \bar{D}^0 \pi^+$
No. $B\bar{B}$	1.37%	1.37%
$\mathcal{B}(\Upsilon(4S) \to B^0 \bar{B}^0)$	1.23%	1.17%
DCS mode correction	0.01%	0.01%
Mean efficiency	2.43%	2.54%
Fixed f^s .	$+0.31_{\%}$	$+0.19_{\%}$
	-0.38^{70}	-0.08^{70}
Cal. Factors (C'_{NN})	0.34%	0.06%
ΔE KEST modification	0.63%	0.24%
KEST PDFs	0.35%	0.05%
Fixed Rare Yields	0.47%	0.03%
Fit bias	0.30%	0.16%
Bkg. \mathcal{A}_{CP}	0.01%	0.05%
Total	3.65%	3.32%

Measurement	Result (\pm <i>stat</i> . \pm <i>sys</i> .)
$\mathcal{B}(B^0\to \overline{D}{}^0\pi^0)$	$(2.70 \pm 0.06 \pm 0.10) \times 10^{-4}$
$\mathcal{B}(B^+\to \overline{D}{}^0\pi^+)$	$(4.53 \pm 0.02 \pm 0.15) \times 10^{-3}$
$\mathcal{A}_{CP}(B^0\to \overline{D}{}^0\pi^0)$	$(0.42 \pm 2.05 \pm 1.22)\%$
$\mathcal{A}_{CP}(B^+\to \overline{D}{}^0\pi^+)$	$(0.19 \pm 0.36 \pm 0.57)\%$

Conclusion

- Effort is needed to compute power corrections
- Interpretations of the tension suggest large power-corrections (... or BSM)
- Four papers from Belle (and one phenomenology paper) out recently/soon:

Measurement of $ V_{cb} $ from $B \rightarrow D^* \ell \nu$ at Belle	Study of $B \rightarrow Dh$ decays at Belle
E. Waheed et al. (Belle 2019)	E. Waheed et al. (Belle, 2022)
10.1103/PhysRevD.100.052007	10.1103/PhysRevD.105.012003
Measurements of $\mathcal{B}(B \to D^{(*)}h)$ and QCD factorisation	\mathcal{B} and \mathcal{A} of $B \rightarrow \overline{D}\pi$
J.F. Krohn et al. (Belle, 2022)	T. Bloomfield et al. (Belle, 2022)
New result, submitting to PRD	arXiv:2111.12337 (for PRD)

Revisiting fits to $B \rightarrow D^* \ell \nu$ D. Ferlewicz, E. Waheed, P. Urquijo (2021) 10.1103/PhysRevD.103.073005

• LQCD calculations of semileptonic decays used to improve fits for $B \rightarrow D^* \ell \nu$

- $\mathcal{B}(B \to D^{(*)}h)$ results deviate from predictions
 - $|a_1(\pi)| = 0.884 \pm 0.016, |a_1(K)| = 0.913 \pm 0.024$

• New $\overline{B}^0 \to Dh$ Belle results are available to further test SM $|a_1|$ predictions.

Back up slides

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$B \rightarrow D^* Iv Decay$

- Exclusive $|V_{cb}|$ measurements have historically had some model dependence
- Differential decay rate for I = e, μ , is a function of four observables: hadronic recoil (w) and three angles ($\theta_{\ell}, \theta_V, \chi$)







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CLN parameterisation

• Helicity amplitudes defined in terms of form factors and form factor ratios to represent full form factor expression $\mathcal{F}^2(w)$

Standard CLN has four free parameters

$$\begin{split} & [\eta_{EW} h_{A_1}(1)] |V_{cb}| \text{: Overall normalisation} \\ & \rho^2 \text{: Slope term} \\ & R_1(1) \text{: Ratio 1 term at w=1} \\ & R_2(1) \text{: Ratio 2 term at w=1} \end{split}$$

$$h_{A_1}(w) = h_{A_1}(1)[1 - 8\rho^2 z(w) + (53\rho^2 - 15)z(w)^2 - (231\rho^2 - 91)z(w)^3],$$

$$R_1(w) = \frac{h_V(w)}{h_{A_1}(w)} = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2$$

$$\begin{aligned} \frac{d\Gamma}{dw} \propto (\text{phase space}) |V_{cb}|^2 \mathcal{F}^2(w) \\ \mathcal{F}^2(w) = & h_{A_1}^2(w) (1 + 4\frac{w}{w+1}\frac{1 - 2wr + r^2}{(1 - r^2)})^{-1} \times \\ & \left[2\frac{1 - 2wr + r^2}{(1 - r)^2} \left(1 + R_1^2(w)\frac{w - 1}{w + 1} \right) \right. \\ & \left. + (1 + (1 - R_2(w))\frac{w - 1}{1 - r})^2 \right], \end{aligned}$$

- QCD sum rule constraints can be removed to add $R'_1(1)$ and $R'_2(1)$ as new free parameters in ratios (**CLNnoR**)
- Heavy quark symmetry can then also be removed to add curvature term c_{D^*} in $h_{A_1}(w)$ (CLNnoHQS)

BGL parameterisation

• The helicity amplitudes in BGL are defined by power series

$$H_0(w) = \mathcal{F}_1(w) / \sqrt{q^2},$$

$$H_{\pm}(w) = f(w) \mp m_{B^0} m_{D^{*\pm}} \sqrt{w^2 - 1} g(w)$$

• Each coefficient
$$a_n^i$$
 is a free parameter

- Setting $\tilde{a}_n^i = \eta_{EW} |V_{cb}| a_n^i$ reduces normalisation D.O.F.
- Another free parameter removed through ${\cal F}_1(0)=(m_B-m_{D^*})f(0)$

•
$$\mathcal{F}(1)\eta_{\mathrm{EW}}|V_{cb}| = \frac{1}{2m_{B^0}m_{D^{*\pm}}} \frac{|\tilde{a}_0^f|}{P_f(0)\phi_f(0)}$$
, where $\mathcal{F}(1) = h_{A_1}(1)$

 $f(z) = \frac{1}{P_{1+}(z)\phi_f(z)} \sum_{n=0}^{\infty} a_n^f z^n,$

 $g(z) = \frac{1}{P_{1-}(z)\phi_a(z)} \sum_{n=0}^{\infty} a_n^g z^n \checkmark$

 $\mathcal{F}_{1}(z) = \frac{1}{P_{1+}(z)\phi_{\mathcal{F}_{1}}(z)} \sum_{n=0}^{\infty} a_{n}^{\mathcal{F}_{1}} z^{n},$

 $BGL(n_f, n_g, n_{\mathcal{F}_1})$

Variation from covariance

• Standard χ^2 minimisation algorithm for fitting expected results from theory, N_{exp} , to observed data, N_{obs} , given covariance in data, C:

$$\chi^2 = \sum_{i,j} \left(N_i^{\text{obs}} - N_i^{\text{exp}} \right) \mathcal{C}_{ij}^{-1} \left(N_j^{\text{obs}} - N_j^{\text{exp}} \right)$$

- High systematic correlations related to the overall normalisation may cause a bias in the fit results
- The measured systematic covariance matrix cannot be added to the statistical covariance matrix in a naïve way



Source	$\eta_{\rm EW} \mathcal{F}(1) V_{cb} $ [%	$] \qquad \mathcal{B}(B^0 \to D^{*-} \ell^+ \nu_{\ell}) \ [\%]$
Slow pion efficiency	0.79	1.57
Lepton ID combined	0.67	1.33
$\mathcal{B}(B \to D^{**} \mathscr{C} \nu)$	0.05	0.10
$B \to D^{**} \ell \nu$ form factors	0.08	0.16
f_{+-}/f_{00}	0.56	1.05
Fake e/μ	0.07	0.11
K/π ID	0.39	0.77
Fast track efficiency	0.53	1.05
$N(\Upsilon(4S))$	0.69	1.37
B^0 lifetime	0.13	0.26
${\cal B}(D^{*+} o D^0 \pi_s^+)$	0.37	0.74
$\mathcal{B}(D^0 \to K\pi)$	0.51	1.02
Total systematic error	1.65	3.26

 Need a way to avoid bias by separating the covariance matrices while maintaining covariance properties
 ⇒ Cholesky decomposition

Belle semileptonic results

TABLE II. Fitted parameters for the CLN, CLNnoR, and CLNnoHQS scenarios. The uncertainties listed are statistical and systematic, respectively. The branching ratios are obtained from the fit. No additional input from LQCD has been used.

Parameter	CLN	CLNnoR	CLNnoHQS
ρ^2	$1.09 \pm 0.04 \pm 0.05$	$0.89 \pm 0.09 \pm 0.15$	$0.93 \pm 0.38 \pm 0.39$
$R_1(1)$	$1.20 \pm 0.03 \pm 0.02$	$2.01 \pm 0.43 \pm 0.46$	$2.10 \pm 0.61 \pm 0.53$
$R_2(1)$	$0.86 \pm 0.02 \pm 0.01$	$0.83 \pm 0.05 \pm 0.04$	$0.77 \pm 0.42 \pm 0.38$
$R'_{1}(1)$	-0.12 (fixed)	$-3.50 \pm 1.77 \pm 1.85$	$-3.86 \pm 2.50 \pm 2.15$
$R'_{2}(1)$	0.11 (fixed)	$0.28 \pm 0.14 \pm 0.16$	$0.50 \pm 1.45 \pm 1.32$
$c_D *$	ρ^2 (fixed)	ρ^2 (fixed)	$1.00 \pm 1.73 \pm 1.59$
$\mathcal{F}(1)\eta_{\mathrm{EW}} V_{cb} \times 10^3$	$35.63 \pm 0.18 \pm 0.80$	$34.29 \pm 0.67 \pm 1.33$	$34.23 \pm 0.63 \pm 1.30$
$\mathcal{B}(B^0 \to D^{*-} \ell^+ \nu_\ell)$	5.04	5.04	5.04
$\chi^2/n.d.f.$	40.8/36	34.8/34	34.7/33

Parameter $\times 10^3$	BGL(1,0,2)	BGL(1,1,2)
\tilde{a}_0^f	$0.512 \pm 0.004 \pm 0.013$	$0.496 \pm 0.010 \pm 0.020$
\tilde{a}_1^f	$0.64 \pm 0.19 \pm 0.33$	$1.38 \pm 0.40 \pm 0.67$
\tilde{a}_{2}^{f}	0.0 (fixed)	0.0 (fixed)
$\tilde{a}_0^{\tilde{g}}$	$0.93 \pm 0.02 \pm 0.01$	$1.56 \pm 0.31 \pm 0.33$
$\tilde{a}_1^{\tilde{g}}$	0.0 (fixed)	$-22.63 \pm 11.03 \pm 11.62$
\tilde{a}_2^g	0.0 (fixed)	0.0 (fixed)
$\tilde{a}_{1}^{\mathcal{F}_{1}}$	$0.30 \pm 0.07 \pm 0.09$	$0.38 \pm 0.10 \pm 0.14$
$\tilde{a}_{2}^{\mathcal{F}_{1}}$	$-3.88 \pm 1.46 \pm 1.34$	$-3.75 \pm 1.53 \pm 1.59$
$\tilde{\mathcal{F}}(1)\eta_{\mathrm{EW}} V_{cb} $	$35.34 \pm 0.27 \pm 0.87$	$34.23 \pm 0.66 \pm 1.40$
$\mathcal{B}(B^0 o D^{*-} \ell^+ \nu_\ell)$	5.04	5.04
$\chi^2/\mathrm{n.d.f.}$	38.6/35	34.8/34

The Cholesky decomposition toy MC

- Separate statistical and systematic covariance matrices
- Include systematic uncertainty via toy MC method and Cholesky decomposition

$$C_{40\times40} = LL^{T} = \begin{bmatrix} & & \\$$

 $N_{obs}' = N_{obs} + Lu$

- Generate N'_{obs} and minimise χ^2 many times to obtain distribution of free parameter values ($C = C_{stat.}$ in χ^2 formula)
- Assume a Gaussian to obtain mean value and sys. uncertainty



 Results obtained through this method agree with 2019 Belle study while using the measured systematic covariance matrix

Updated input values

Common Input				
$\eta_{ m EW}$	1.0066			
$h_{A_1}(1) = \mathcal{F}(1)$	0.909 ± 0.017			
$h_V(1)$	1.270 ± 0.046			
m_{B^0}	$5.27963 \pm 0.00015 ~{\rm GeV}/c^2$			
$m_{D^{*\pm}}$	$2.01026\pm0.00005~{\rm GeV}/c^2$			
$ au_{B^0}$	$(1.520 \pm 0.004) \times 10^{-12} \text{ s}$			
$\mathcal{B}(D^{*+} \to D^0 \pi^+)$	0.677 ± 0.005			
${\cal B}(D^0 o K^- \pi^+)$	0.0391 ± 0.0003			
G_F	$1.16637 \times 10^{-5} \text{ GeV}^{-2} (\hbar c)^3$			
$2n_{B\bar{B}}f_{00}$ (Belle)	$(750 \pm 11) \times 10^{6}$			
BGL Input				
$B_c^* \ 1^+ \text{ masses}$	$6.739 \ { m GeV}/c^2$			
	$6.750 \ { m GeV}/c^2$			
	$7.145 \ { m GeV}/c^2$			
	$7.150 { m ~GeV}/c^2$			
$B_c^* \ 1^-$ masses	$6.329 \ { m GeV}/c^2$			
	$6.920 { m ~GeV}/c^2$			
	$7.020 \ { m GeV}/c^2$			
n_I	2.6			
$\chi^T(+u)$	$5.131 \times 10^{-4} \; (\text{GeV}/c^2)^{-2}$			
$\chi^T(-u)$	$3.894 \times 10^{-4} \; (\text{GeV}/c^2)^{-2}$			

 $\rightarrow D^{*-}\ell^+\nu_{\ell}$ results

- In all cases for the Fermilab-MILC results, the χ^2 goodness-of-fit parameter has increase compared to JLQCD, and particularly so in CLN.
- This phenomenon was also present in the original study by the collaboration.
- On investigation, it appears that the cause of this is the presence of large terms in the inverse of the covariance matrix of LQCD points
- This has little effect on the value of $|V_{cb}|$, and comparisons of form factor parameters, with an overall agreement with JLQCD within uncertainty.

TABLE II. Fitted parameters for the CLN, CLNnoR and CLNnoHQS scenarios using data from Fermilab-MILC calculations of form factors at non-zero recoil as additional constraints. The uncertainties listed are statistical and systematic, respectively. The branching ratio is obtained from the fit.

Parameter	CLN	CLNnoR	CLNnoHQS
$ ho^2$	$1.17 \pm 0.03 \pm 0.04$	$1.10 \pm 0.03 \pm 0.06$	$1.15 \pm 0.05 \pm 0.05$
$R_1(1)$	$1.29 \pm 0.02 \pm 0.01$	$1.43 \pm 0.01 \pm 0.01$	$1.43 \pm 0.01 \pm 0.01$
$R_2(1)$	$0.81 \pm 0.02 \pm 0.01$	$0.87 \pm 0.05 \pm 0.04$	$0.78 \pm 0.08 \pm 0.06$
$R_1'(1)$	-0.12 (fixed)	$-0.92\pm 0.07\pm 0.03$	$-0.94 \pm 0.07 \pm 0.03$
$R_2'(1)$	0.11 (fixed)	$0.02 \pm 0.13 \pm 0.12$	$0.32 \pm 0.26 \pm 0.20$
c_D*	ρ^2 (fixed)	ρ^2 (fixed)	$1.21 \pm 0.25 \pm 0.15$
$\mathcal{F}(1)\eta_{\mathrm{EW}} V_{cb} imes 10^3$	$35.58 \pm 0.16 \pm 0.73$	$35.42 \pm 0.22 \pm 0.85$	$35.42 \pm 0.20 \pm 0.81$
${\cal B}(B^0 o D^{*-} \ell^+ u_\ell)$	4.99%	5.0%	5.0%
χ^2/ndf	379.6/45	361.2/43	362.3/42
p-value	0.0	0.0	0.0

TABLE III. Fitted parameters for the BGL(1,0,2), BGL(1,1,2) and BGL(2,2,2) configurations using data from Fermilab-MILC calculations of form factors at non-zero recoil as additional constraints. The uncertainties listed are statistical and systematic, respectively. The branching ratio is obtained from the fit and $\mathcal{F}(1)\eta_{\rm EW}|V_{cb}|$ is calculated from Eq. 2.

Parameter $\times 10^3$	BGL(1,0,2)	BGL(1,1,2)	BGL(2,2,2)
$ ilde{a}^f_0$	$0.480 \pm 0.003 \pm 0.011$	$0.479 \pm 0.003 \pm 0.011$	$0.476 \pm 0.007 \pm 0.011$
$ ilde{a}_1^f$	$0.41 \pm 0.14 \pm 0.24$	$0.52 \pm 0.13 \pm 0.24$	$1.00 \pm 0.48 \pm 0.50$
$ ilde{a}^f_2$	0.0 (fixed)	0.0 (fixed)	$-12.70 \pm 8.36 \pm 7.08$
$ ilde{a}^g_0$	$1.10 \pm 0.02 \pm 0.02$	$1.27 \pm 0.01 \pm 0.02$	$1.26 \pm 0.03 \pm 0.04$
$ ilde{a}^g_1$	0.0 (fixed)	$-6.92 \pm 0.45 \pm 0.66$	$-5.14 \pm 1.24 \pm 1.25$
$ ilde{a}^g_2$	0.0 (fixed)	0.0 (fixed)	$-36.54 \pm 13.30 \pm 10.89$
$\tilde{a}_1^{\mathcal{F}_1}$	$0.09 \pm 0.04 \pm 0.05$	$0.08 \pm 0.04 \pm 0.05$	$0.12 \pm 0.08 \pm 0.08$
$ ilde{a}_2^{{\mathcal F}_1}$	$-0.01\pm 0.72\pm 0.60$	$0.37 \pm 0.69 \pm 0.60$	$-0.06 \pm 1.21 \pm 1.13$
$\mathcal{F}(1)\eta_{\mathrm{EW}} V_{cb} $	$35.51 \pm 0.20 \pm 0.82$	$35.47 \pm 0.19 \pm 0.83$	$35.22 \pm 0.50 \pm 0.84$
$\mathcal{B}(B^0 \to D^{*-}\ell^+\nu_\ell)$	5.0%	5.0%	5.0%
χ^2/ndf	89.1/44	62.4/43	58.6/41
p-value	0.0	0.03	0.04

Hadronic factorisation measurement

	Model	$ a_1 $	$n\sigma$ meastheo
π^{-}	BGL(2,2,2), F-MILC	0.884 ± 0.016	$8.9 \ (-18 \pm 2)\%$
π^{-}	BGL(2,2,2), JLQCD	0.905 ± 0.021	$6.7 \ (-16 \pm 2)\%$
π^{-}	CLNnoHQS, JLQCD	0.897 ± 0.021	$7.1 \ (-16 \pm 2)\%$
K^{-}	BGL(2,2,2), F-MILC	0.913 ± 0.024	$5.8 \ (-15 \pm 3)\%$
K^{-}	BGL(2,2,2), JLQCD	0.930 ± 0.027	$4.7 \ (-13 \pm 3)\%$
K^{-}	CLNnoHQS, JLQCD	0.924 ± 0.026	$5.0 \ (-14 \pm 3)\%$
ρ^{-}	BGL(2,2,2), F-MILC	0.826 ± 0.061	$3.6 \ (-22 \pm 5)\%$
$ ho^-$	BGL(2,2,2), JLQCD	0.837 ± 0.062	$3.4 \ (-21 \pm 6)\%$
$ ho^-$	CLNnoHQS, JLQCD	0.834 ± 0.062	$3.4 \ (-21 \pm 6)\%$
K^{*-}	BGL(2,2,2), F-MILC	0.803 ± 0.079	$3.1 \ (-24 \pm 8)\%$
K^{*-}	BGL(2,2,2), JLQCD	0.812 ± 0.080	$3.0 \ (-23 \pm 8)\%$
K^{*-}	CLNnoHQS, JLQCD	0.810 ± 0.080	$3.0 \ (-23 \pm 8)\%$
a_1^-	BGL(2,2,2), F-MILC	0.980 ± 0.111	$0.7 \ (-7 \pm 11)\%$
a_1^-	BGL(2,2,2), JLQCD	0.983 ± 0.111	$0.6 \ (-7 \pm 11)\%$
a_1^-	CLNnoHQS, JLQCD	0.984 ± 0.111	$0.6 \ (-7 \pm 11)\%$

SU(3) symmetry test

• Take ratio of $|a_1(q_A)|^2/|a_1(q_B)|^2$ to test if this is a universal factor

Model	Ratio	Result	Model	Ratio	Result
BGL(2,2,2), F-MILC	$ a_1(K^-) ^2/ a_1(\pi^-) ^2$	1.066 ± 0.054	BGL(2,2,2), F-MILC	$ a_1(K^{*-}) ^2/ a_1(K^{-}) ^2$	0.77 ± 0.15
BGL(2,2,2), JLQCD	$ a_1(K^-) ^2/ a_1(\pi^-) ^2$	1.057 ± 0.054	BGL(2,2,2), JLQCD	$ a_1(K^{*-}) ^2/ a_1(K^{-}) ^2 $	0.76 ± 0.15
CLN noHQS, JLQCD	$ a_1(K^-) ^2/ a_1(\pi^-) ^2$	1.061 ± 0.054	CLN noHQS, JLQCD	$ a_1(K^{*-}) ^2/ a_1(K^{-}) ^2 $	0.77 ± 0.15
BGL(2,2,2), F-MILC	$ a_1(\rho^-) ^2/ a_1(\pi^-) ^2$	0.87 ± 0.13	BGL(2,2,2), F-MILC	$ a_1(a_1^-) ^2/ a_1(K^-) ^2$	1.15 ± 0.26
BGL(2,2,2), JLQCD	$ a_1(\rho^-) ^2/ a_1(\pi^-) ^2$	0.86 ± 0.13	BGL(2,2,2), JLQCD	$ a_1(a_1^-) ^2/ a_1(K^-) ^2$	1.12 ± 0.26
CLN noHQS, JLQCD	$ a_1(ho^-) ^2/ a_1(\pi^-) ^2$	0.87 ± 0.13	CLN noHQS, JLQCD	$ a_1(a_1^-) ^2/ a_1(K^-) ^2$	1.13 ± 0.26
BGL(2,2,2), F-MIL	$ a_1(K^{*-}) ^2/ a_1(\pi^-) ^2$	0.83 ± 0.16	BGL(2,2,2), F-MILC	$ a_1(K^{*-}) ^2/ a_1(\rho^-) ^2$	0.94 ± 0.23
BGL(2,2,2), JLQCD	$ a_1(K^{*-}) ^2/ a_1(\pi^-) ^2$	0.81 ± 0.16	BGL(2,2,2), JLQCD	$ a_1(K^{*-}) ^2/ a_1(\rho^-) ^2$	0.94 ± 0.23
CLN noHQS, JLQCD	$ a_1(K^{*-}) ^2/ a_1(\pi^-) ^2$	0.82 ± 0.16	CLN noHQS, JLQCD	$ a_1(K^{*-}) ^2/ a_1(\rho^-) ^2$	0.94 ± 0.23
BGL(2,2,2), F-MILC	$ a_1(a_1^-) ^2/ a_1(\pi^-) ^2$	1.23 ± 0.28	BGL(2,2,2), F-MILC	$ a_1(a_1^-) ^2/ a_1(\rho^-) ^2$	1.41 ± 0.36
BGL(2,2,2), JLQCD	$ a_1(a_1^-) ^2/ a_1(\pi^-) ^2$	1.18 ± 0.27	BGL(2,2,2), JLQCD	$ a_1(a_1^-) ^2/ a_1(\rho^-) ^2$	1.38 ± 0.37
CLN noHQS, JLQCD	$ a_1(a_1^-) ^2/ a_1(\pi^-) ^2$	1.20 ± 0.27	CLN noHQS, JLQCD	$ a_1(a_1^-) ^2/ a_1(\rho^-) ^2$	1.39 ± 0.37
BGL(2,2,2), F-MILC	$ a_1(\rho^-) ^2/ a_1(K^-) ^2$	0.82 ± 0.12	BGL(2,2,2), F-MILC	$ a_1(a_1^-) ^2/ a_1(K^{*-}) ^2$	1.49 ± 0.44
BGL(2,2,2), JLQCD	$ a_1(\rho^-) ^2/ a_1(K^-) ^2$	0.81 ± 0.12	BGL(2,2,2), JLQCD	$ a_1(a_1^-) ^2/ a_1(K^{*-}) ^2$	1.47 ± 0.44
CLN noHQS, JLQCD	$ a_1(\rho^-) ^2/ a_1(K^-) ^2$	0.82 ± 0.12	CLN noHQS, JLQCD	$ a_1(a_1^-) ^2/ a_1(K^{*-}) ^2$	1.48 ± 0.44