

UCU STRIKE BALLOT: VOTE YES BY 21<sup>ST</sup> OCTOBER

# Astrophysical Constraints on Axions

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Recent Progress in Axion Physics,  
Durham, Sept. 2022



Science and  
Technology  
Facilities Council

**KING'S**  
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# COSMIC STRUCTURES



# Axion Cosmology

Eq. of state,  $w \rightarrow$  homo. pressure. Appears in Friedmann  $\rightarrow$  affects expansion.  
Sound speed,  $c_s \rightarrow$  pressure perts. Affects growth of structure.

	CDM	Baryons	Photons	$\Lambda$
$w$	0	0	1/3	-1
$c_s^2$	0	0 (late) 1/3 (early)	1/3	Does not cluster (-1)

Cosmology: measure n-point functions on the sky, normally in Fourier space.  
Compare to theory predictions by [Bayesian parameter fitting](#) (typically MCMC).

CMB measures the expansion rate via Sachs Wolfe (late) and Silk Damping (early).  
Late time observables sensitive to late time expansion + growth of structure.

# CMB Constraints

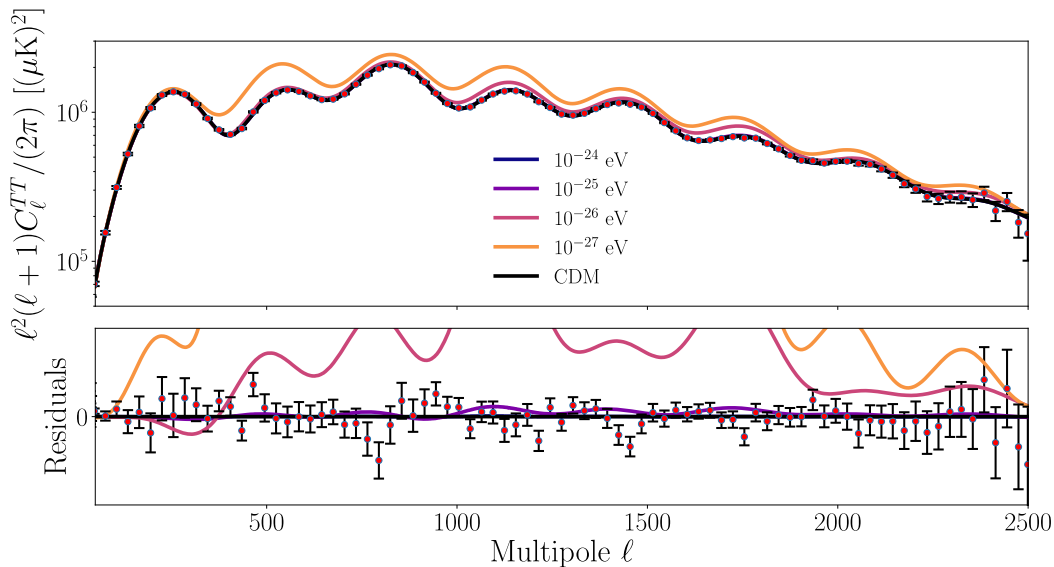
## Estimate

Consistent w/  $\Lambda$ CDM expansion rate to  $z \sim 10^5 \rightarrow$  DM formed before this time.

$$m > 2.6 \times 10^{-25} \text{ eV} \quad =H(10^5)$$

## Precision

Solve Boltzmann equations & MCMC 8+ parameters with Planck likelihood using cosmosis+axionCAMB.



Also use polarization & lensing anisotropies + correlations. Vary UDBM and CDM density simultaneously.

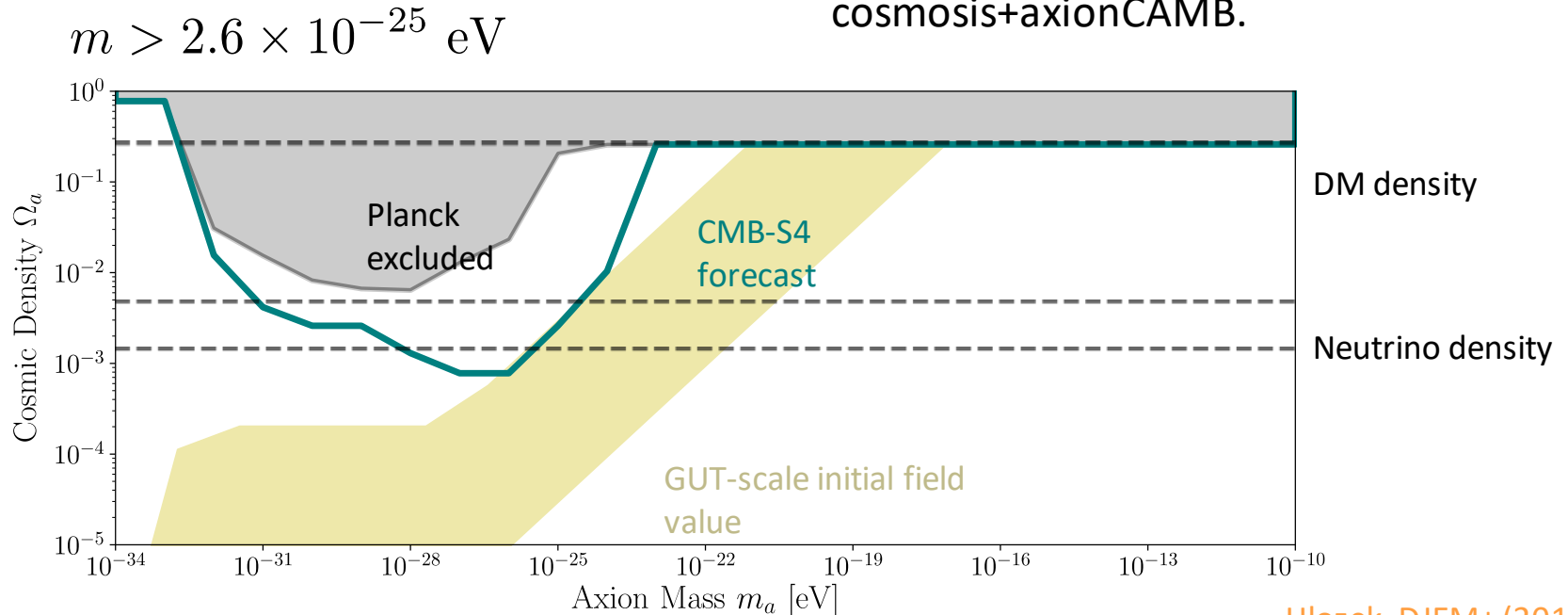
# CMB Constraints

Estimate

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# Birefringence

$$\beta = 0.30^\circ \pm 0.11^\circ \quad \text{Minami \& Komatsu (2020)} \\ \text{Planck collab. (2022)}$$

Isotropic birefringence can be caused by an **ultralight axion** via:

$$\mathcal{L} = g\phi F_{\mu\nu} \tilde{F}^{\mu\nu} \Rightarrow \beta = \int_{\eta_{\text{CMB}}}^{\eta_0} g \frac{d\phi}{d\eta} d\eta$$

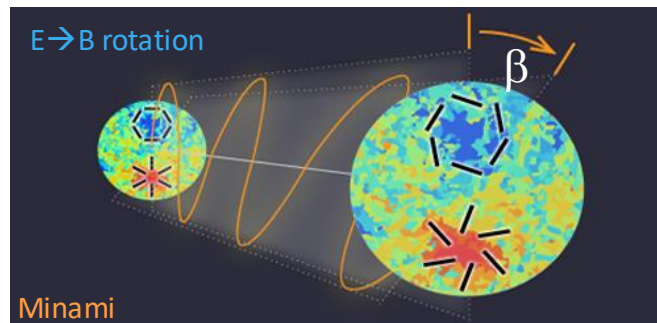
This **fixes the axion mass** to a range also probed by primary anisotropies and lensing:

$$10^{-33} \text{ eV} \lesssim m \lesssim 10^{-28} \text{ eV}$$

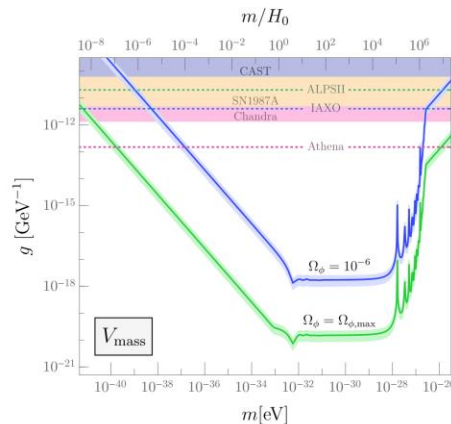
$H_0$   $H_{\text{CMB}}$

**Isocurvature** in the ultralight axion also induces anisotropic birefringence and large angle BB with amplitude fixed by scale of inflation.

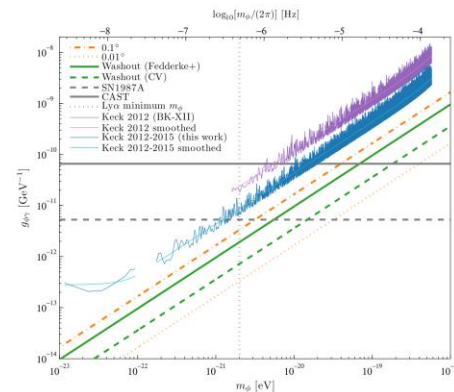
**SO opportunities: foregrounds, anisotropic component, ultralight DM bounds...**



Birefringence constraints can be highly complementary/synergistic to direct searches:



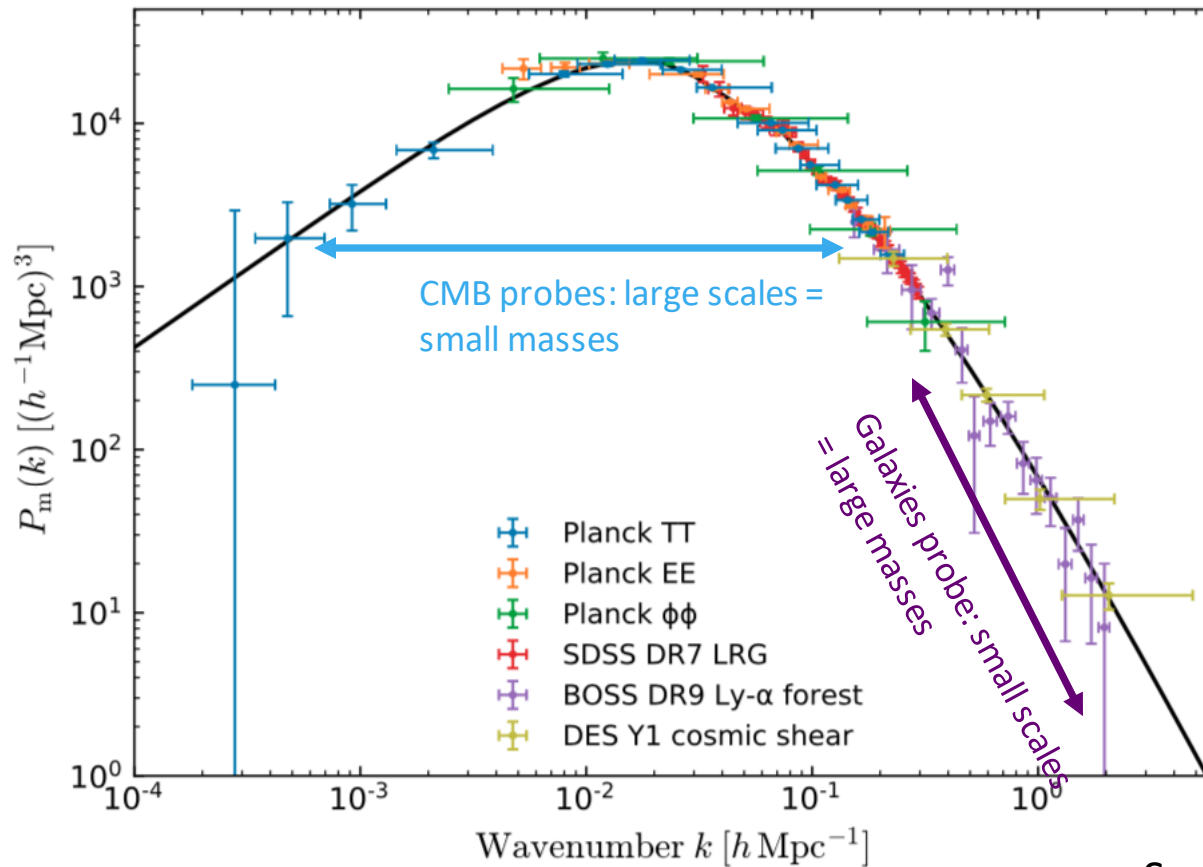
Enforcing  $\beta=0.3$   
Fujita et al (2021)



“Washout” & heavier axions  
Keck (2022)

Fig: Planck (2018)

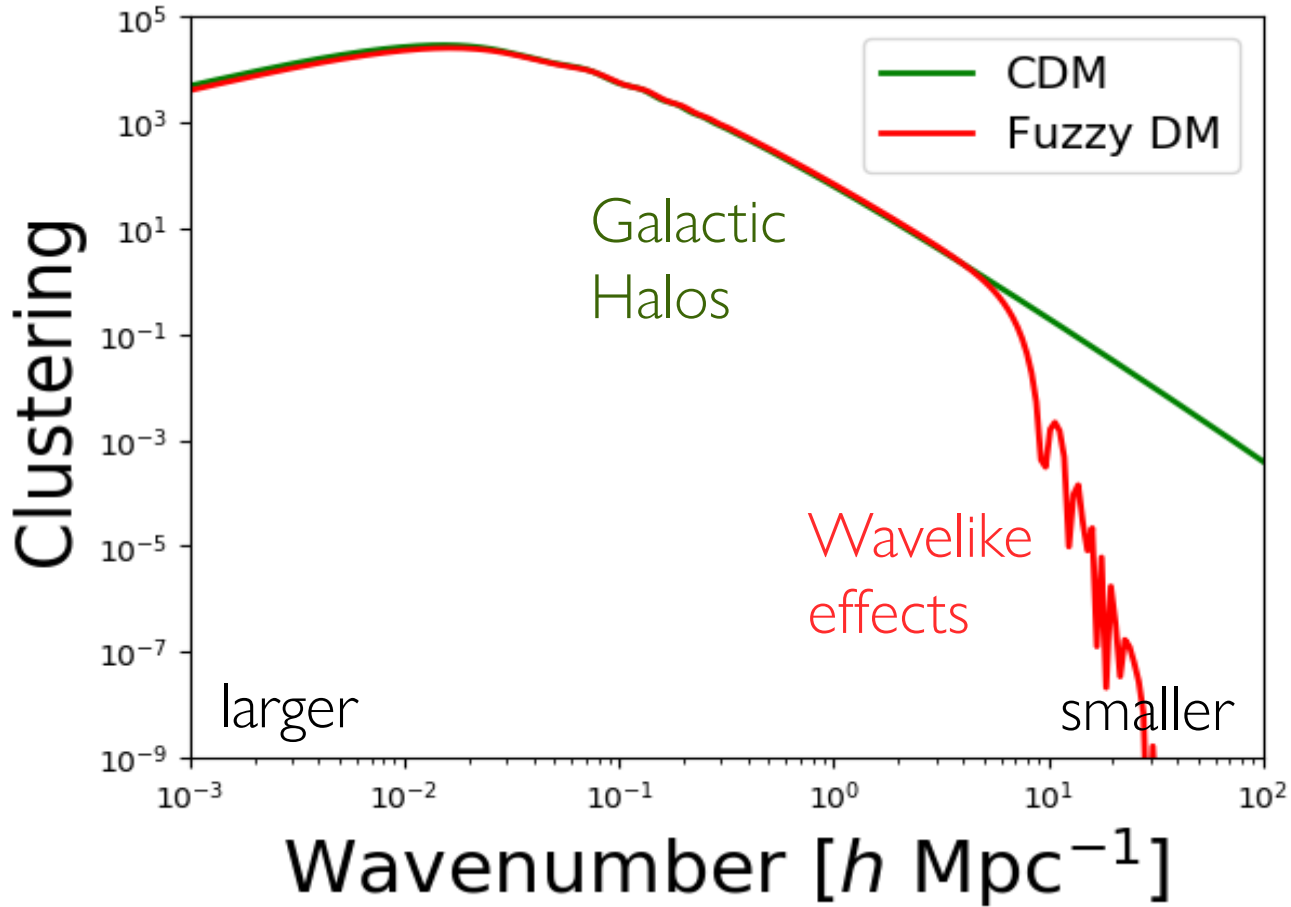
Power spectrum = F.T. of two-point correlation of density perts.



Consistent with CDM on all observed scales  $\rightarrow c_{\text{eff}}=w=0$  when these modes “entered the horizon”

Large scales

Small scales

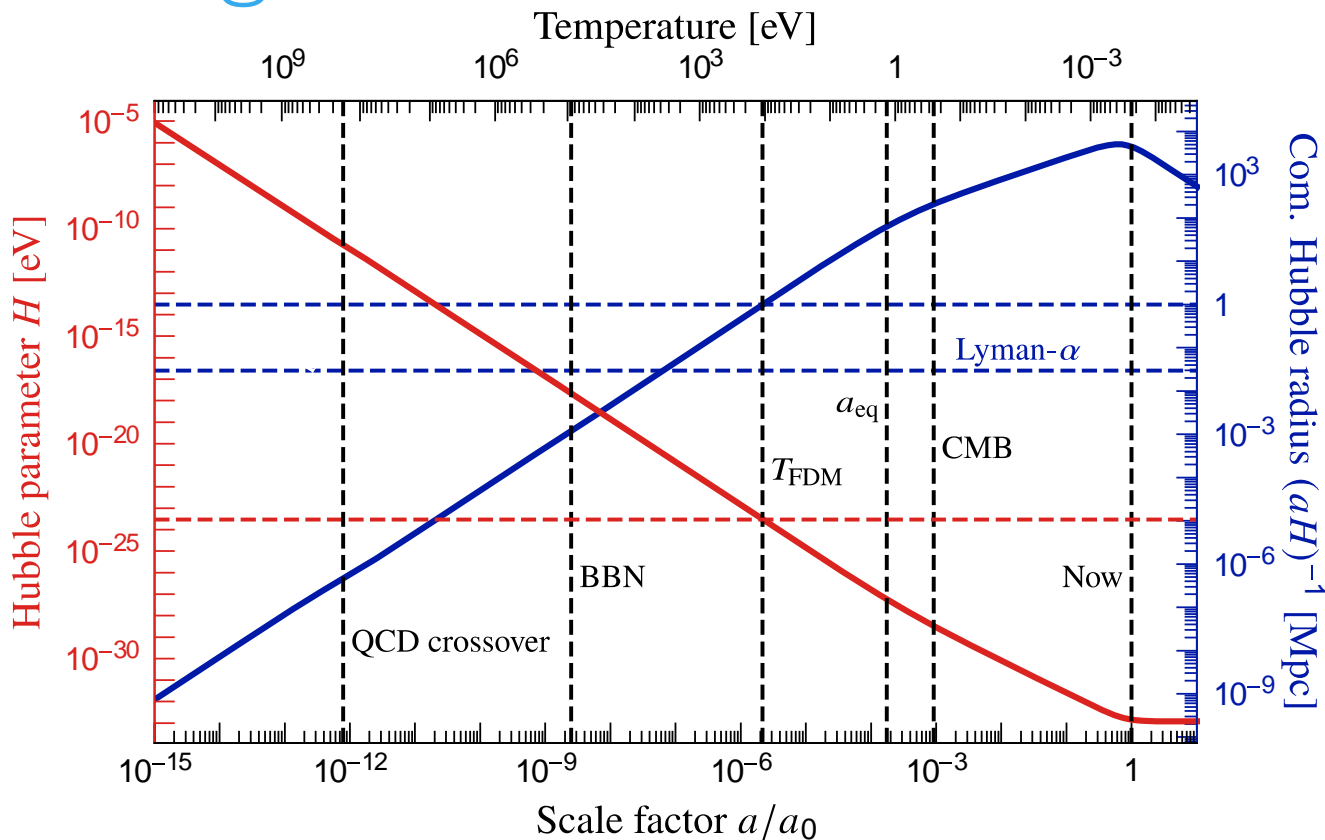




# Estimating Bounds

DJEM & Hoof (2021)

Read off  
particle  
mass  
constraint



Compare to  
wavenumber  $k$   
in  $P(k)$

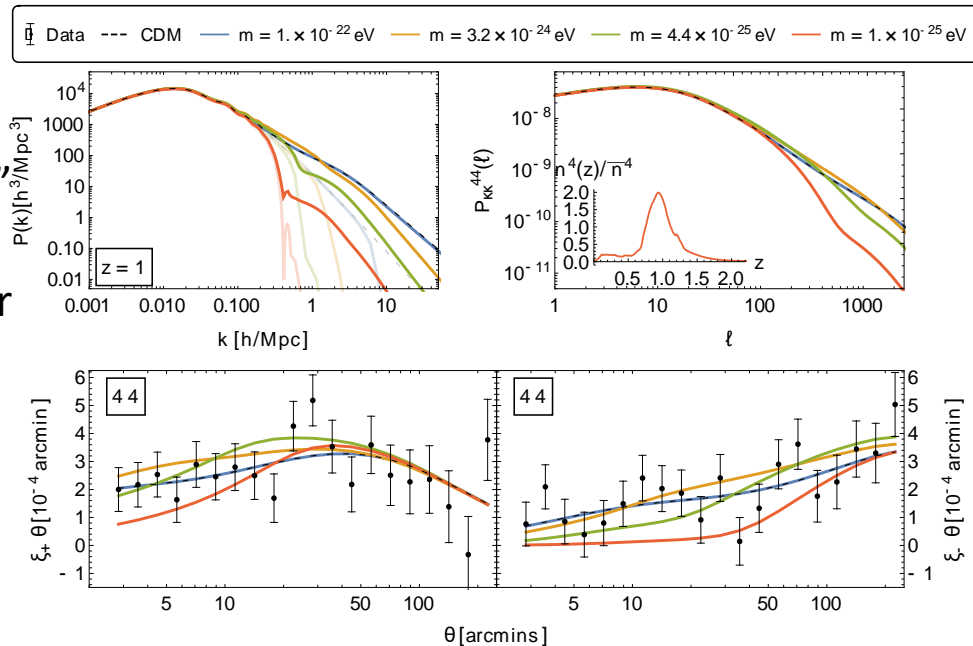
# Power Spectrum Constraints

## Example 1: DES lensing (Dentler, DJEM et al, 2021)

- Measure “galaxy shear correlation function” consistent with CDM.
- Compute from  $P(k)$  using axionCAMB (linear theory) + “halo model” non-linearities.
- DES-Y1 Bayesian  $\rightarrow m > 10^{-23}$  eV.
- DES-Y3 forecast  $10^{-22}$  eV, Euclid  $10^{-20}$  eV.

Lensing advantage: directly measure DM

Example 2: BOSS Lyman-alpha forest (Rogers & Peiris, 2020). Measure flux power spectrum consistent with CDM. Non-linear and gas physics using N-body +hydro+“emulator” for parameter dependence. Limit  $m > 2 \times 10^{-20}$  eV.



# INSIDE GALAXIES



# Schrödinger-Poisson

Non-relativistic limit of the Klein-Gordon-Einstein equations:

$$\phi = \frac{1}{\sqrt{2m^2}} (\psi e^{imt} + \psi^* e^{-imt})$$

$$\psi = \sqrt{\rho} e^{iS}, \vec{v} = \nabla S$$

Real field  $\rightarrow$  Complex  
Both are "condensates"

Madelung/fluid interpretation

$$i\dot{\psi} - \frac{1}{2m} \nabla^2 \psi + m\Phi\psi = 0, \quad \nabla^2 \Phi = 4\pi G (|\psi|^2 - \langle |\psi|^2 \rangle)$$

Brackets = spatial average

"quantum pressure" in fluid  
 $c_{\text{eff}}^2$  in perturbations  
de Broglie coherence

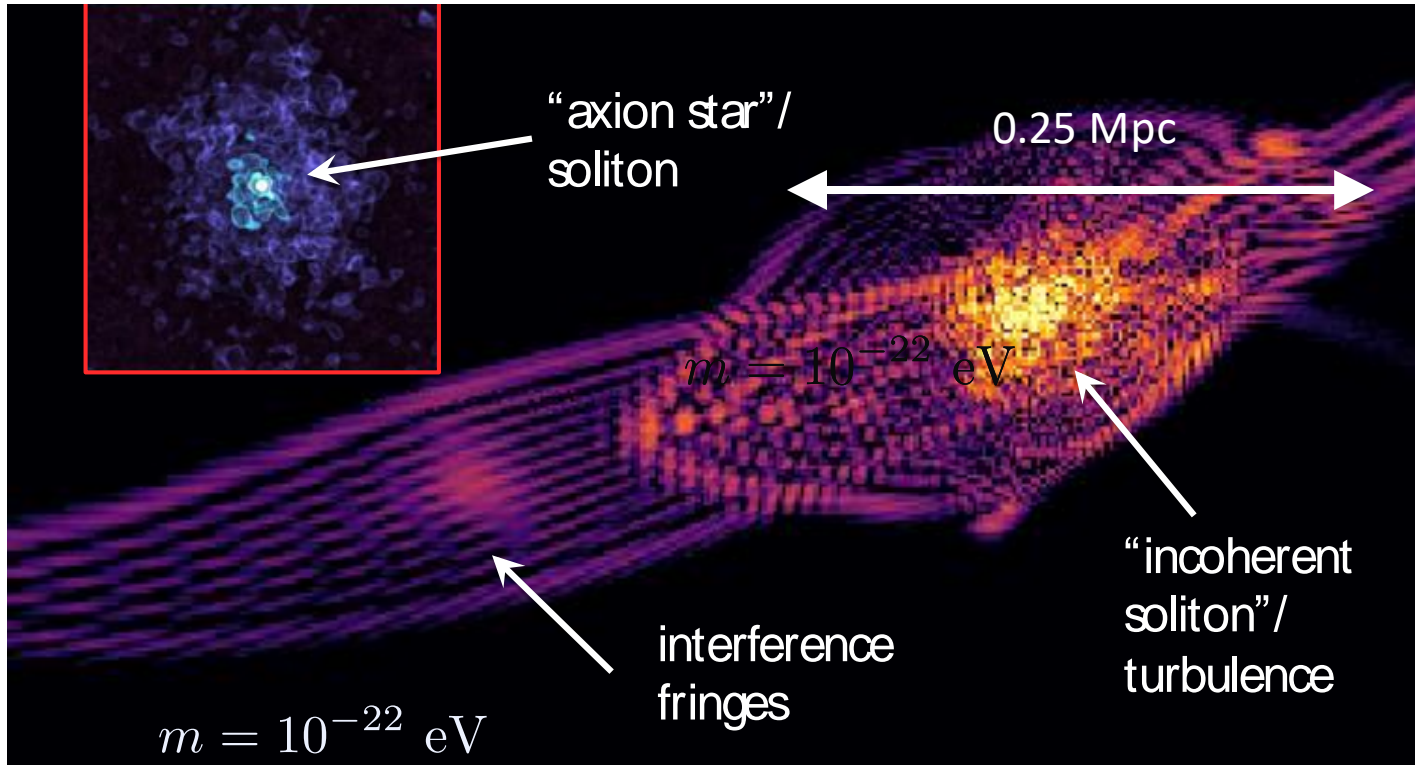
$$\left[ Gm \int \frac{|\psi(x')|^2}{|x-x'|} d^3x' \right] \psi(x)$$

non-linear and non-local

"Fuzzy DM physics" different from CDM/WDM etc on scales  $\sim$  de Broglie.

Waves  $\rightarrow$  interference. Gradient pressure  $\rightarrow$  stable solutions & coherence.

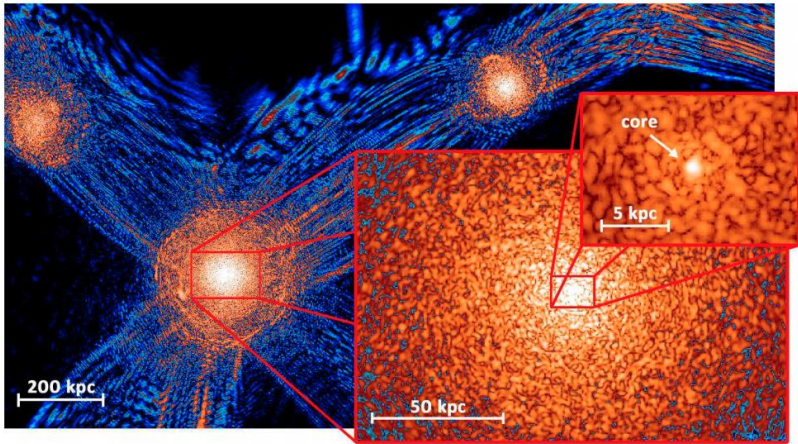
Challenge: simulate this equation over a wide range of scales.  
Cosmology covers Gpc  $\rightarrow$  kpc lengths, and overdensities  $\sim 10^5$ .



Soliton+ halo structure also in non-linear optics. Called “incoherent soliton”

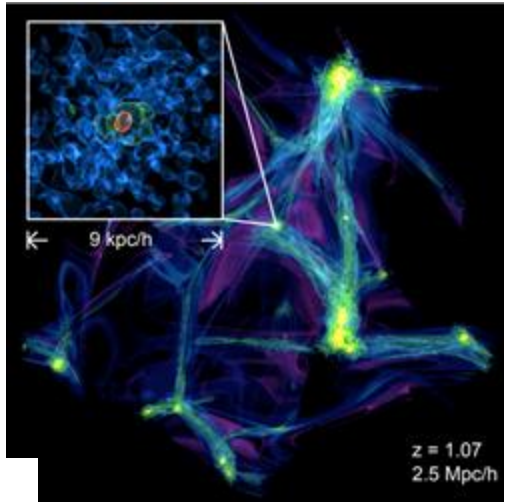
Note: this is all classical physics

Fig. Philip Mocz

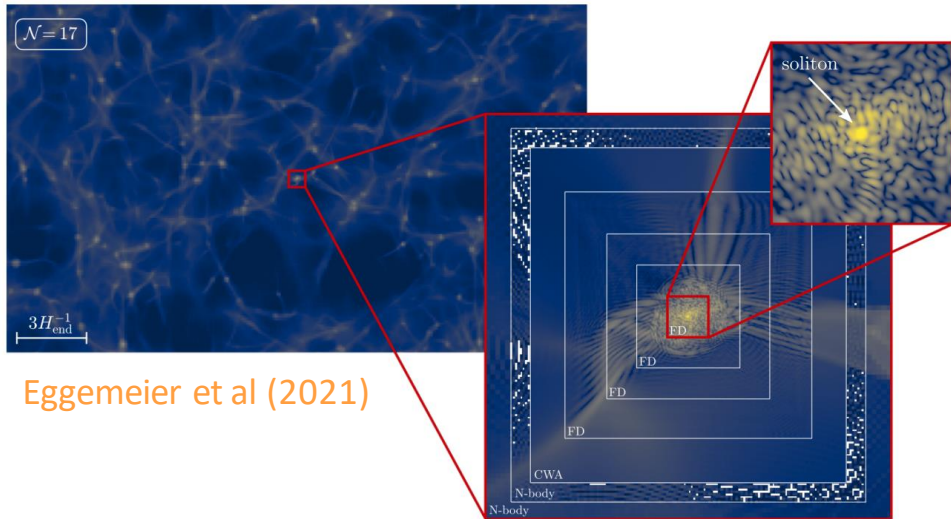


Schive et al (2014)

Dwarf galaxies  $10^8 M_{\text{sol}}$ ,  
 $M_{\text{sol}}$ , particle mass  
 $\sim 10^{-22} \text{ eV}$

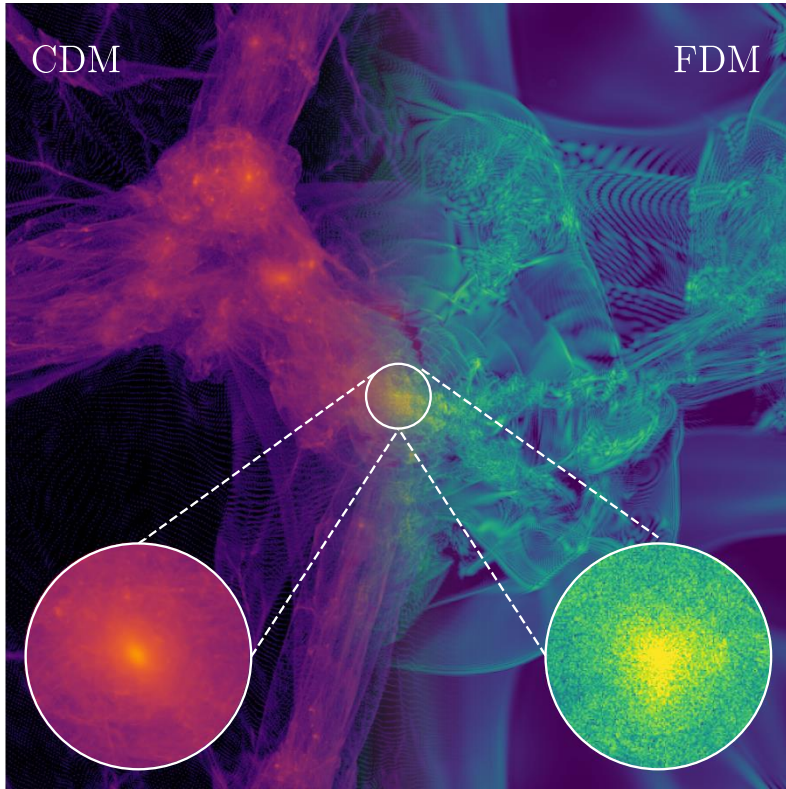


Veltmaat et al (2018)



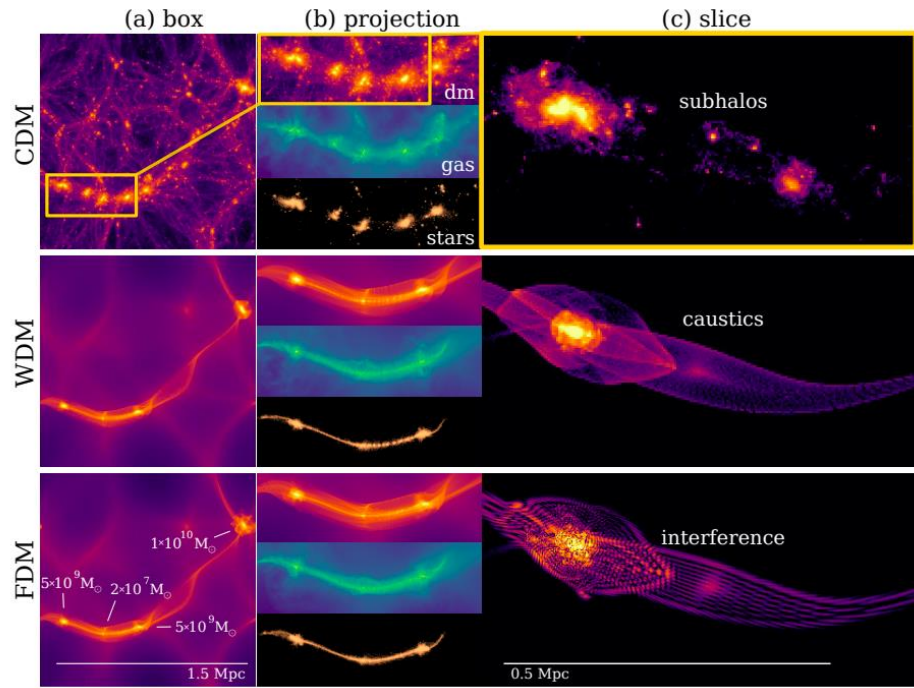
Eggemeier et al (2021)

“Inflaton halos”  $\sim$   
 $100g$ , particle mass  
 $\sim 10^{14} \text{ GeV}$



Lague et al (forthcoming)

Mixed CDM+ FDM



Mixed CDM+gas

Mocz et al (2019)

**Opportunity/challenge:** physics consequences of interference fringes.

Note: this is all classical physics

# Inside a Halo: Dynamics

Velocity field obeys Maxwell-Boltzmann.

Coherence length and time:

$$L = \frac{2\pi}{m\langle v \rangle} \quad \tau = \frac{2\pi}{m\langle v \rangle^2}$$

Coherent patches  $\sim$  quasi-particles  $\rightarrow$   
gravitationally scatter and heat/cool.

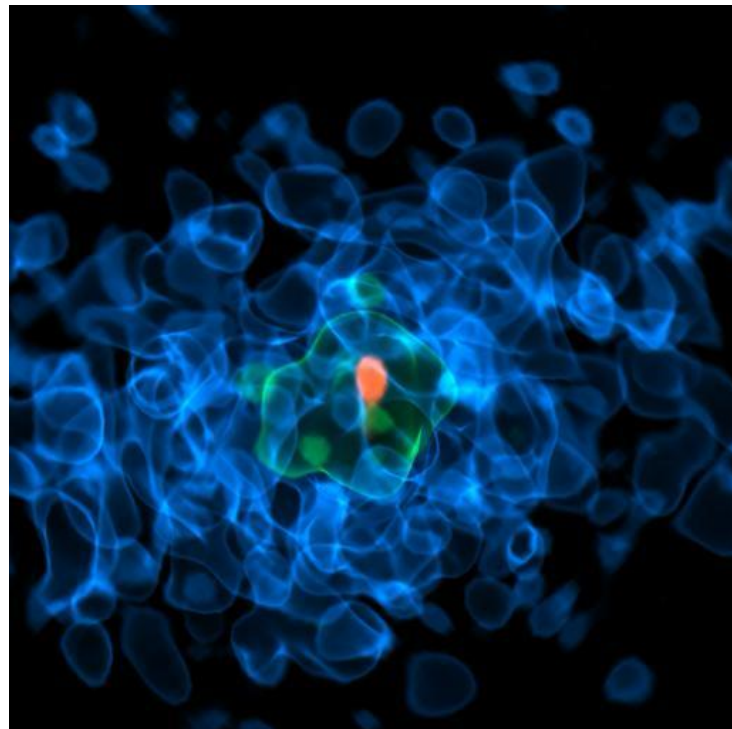
$$t_{\text{relax}} \sim 10^{10} m_{22}^3 v_{100}^2 R_5^4 \text{ yr}$$

Survival of old star cluster in Eridanus-II  $\rightarrow$   
exclude too much heating

$\rightarrow$  **Lower bound  $m > 10^{-19}$  eV**

DJEM & Niemeyer  
(2019)

Avoid with mixed DM models, and  
v low masses.



Movies made with data from Veltmaat et al (2018)



# INTENSITY MAPPING

The future of cosmology...



# Neutral Hydrogen: $z=1100$ to 0

Line intensity of neutral hydrogen gas →  
possibility to **map Universe in 3d.**

CMB modes  $\sim L^2$

IM tomography modes  $\sim k^3$

→ huge increase in available information

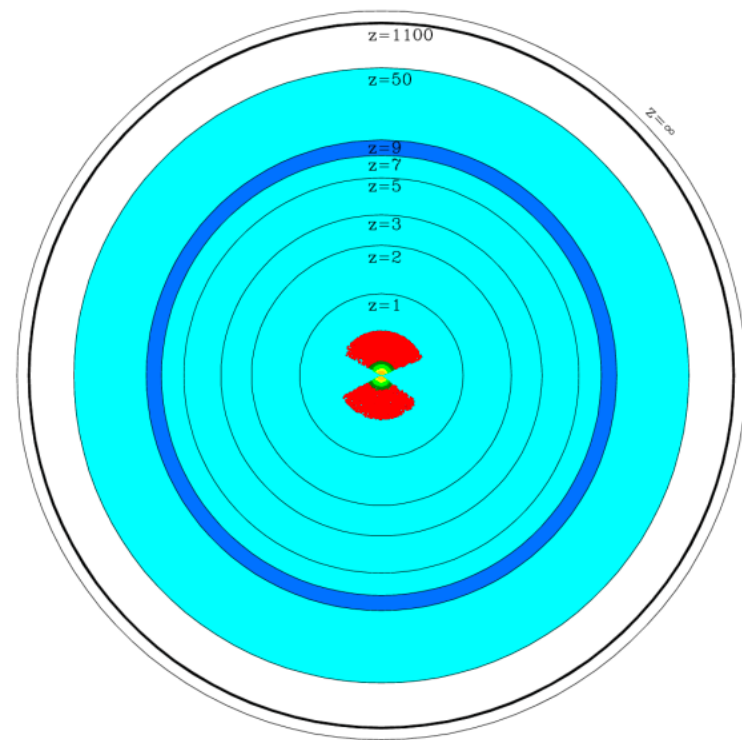


Fig: Mao et al (2008)

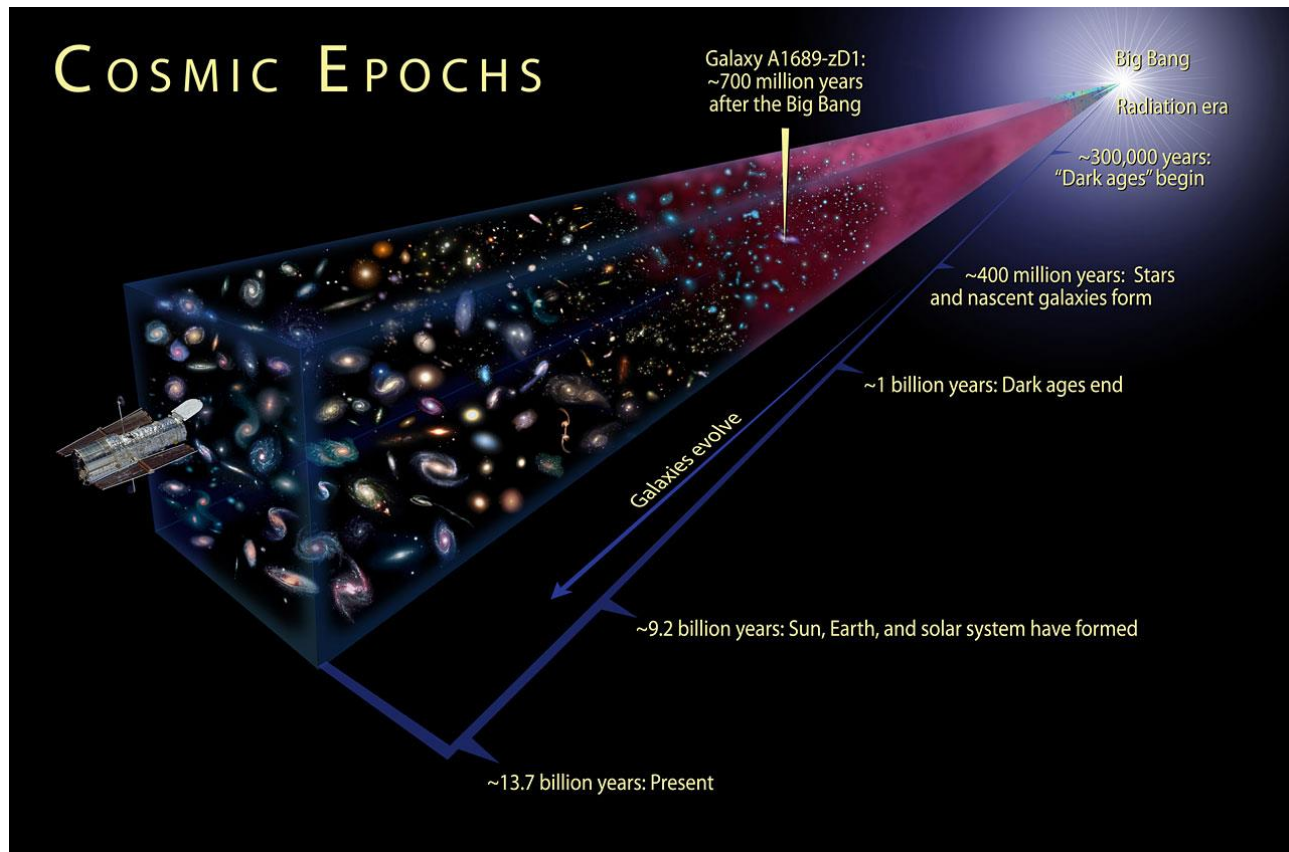
# Neutral Hydrogen: $z=1100$ to 0

$1100 < z < 10$

“dark ages”: neutral hydrogen everywhere, nearly linear.

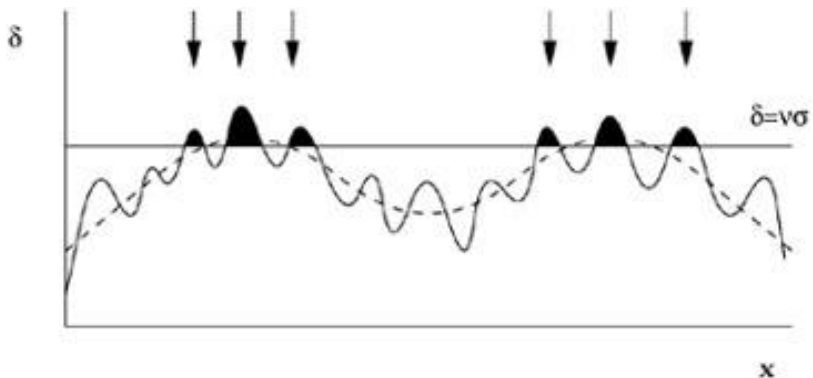
$10 < z < 0$

“post-reionization”: neutral hydrogen inside dark matter halos



# Post-Reion.: Peaks and Halos

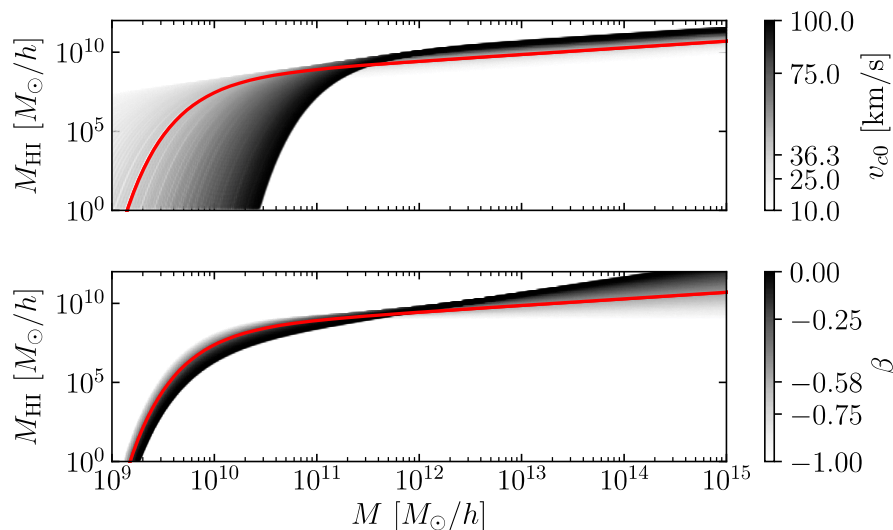
“Halo model” → dark matter distribution and statistics from “peak theory”.



$$P(k) = P_{1h} + P_{2h}$$

Two-point stats: correlations between halos versus within. Standard calculation.

“HI halo model”: assert a relation between halo mass and hydrogen + density profile.



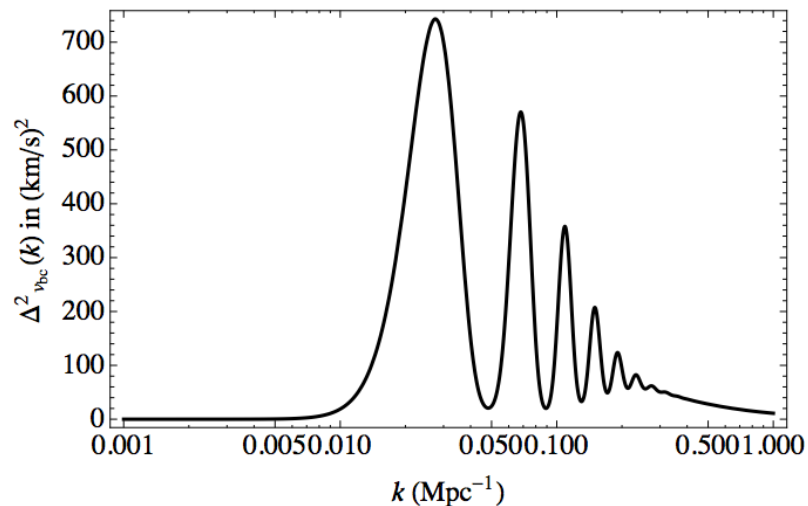
$$P_{\text{HI}}(k, z) = P_{1h, \text{HI}} + P_{2h, \text{HI}}$$

# Dark Ages: “VAOs”

Theory: Tselikhovitch & Hirata (2014)  
Simulation: 21cmvFAST, Munoz (2019)

DM-baryon relative velocity (vBC): coherent on Mpc scales + baryon acoustic oscillations.

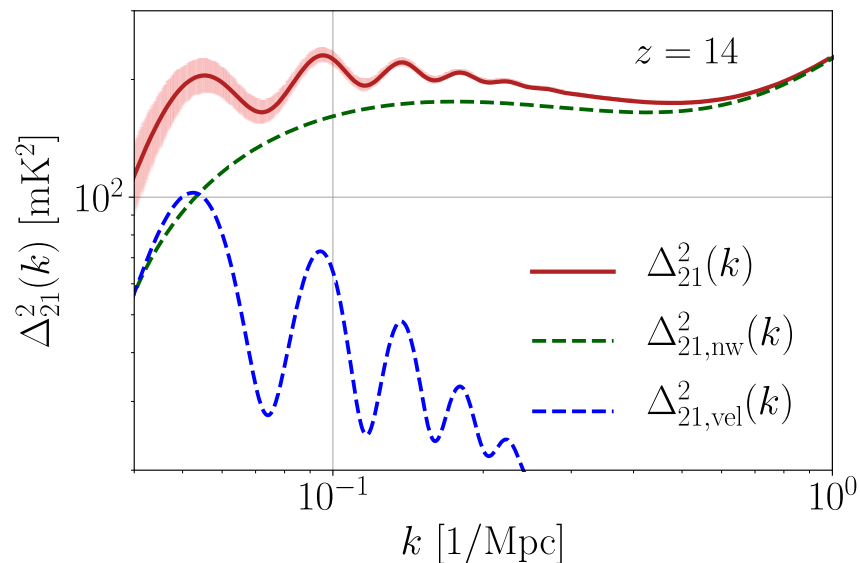
vBC Power Spectrum



Wavenumber

First stars collapsing HI at Jeans scale  $\sim$  kpc. Star formation different in vBC coherence patches  $\rightarrow$  couple small-large scales  $\rightarrow$  “VAO”.

21cm Power Spectrum

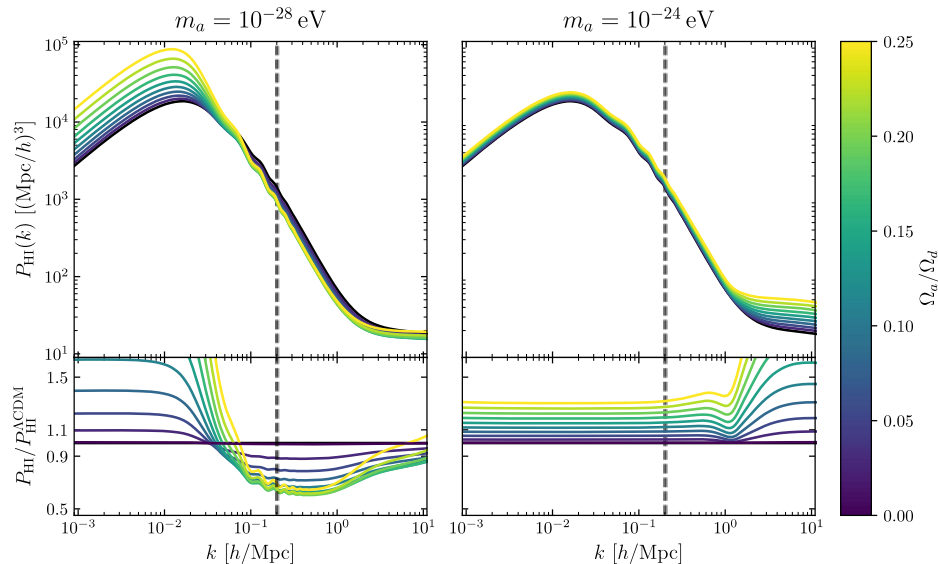
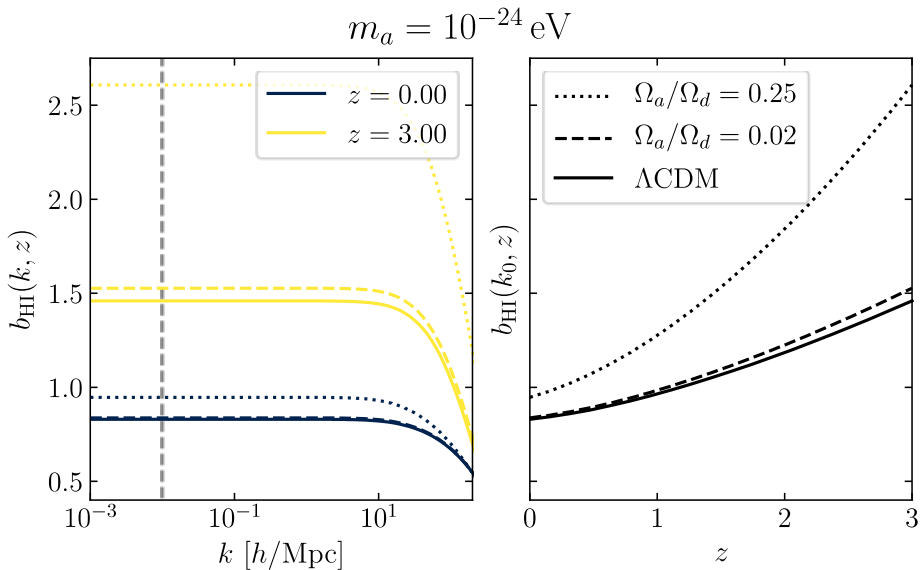


FEATURES = INFORMATION!

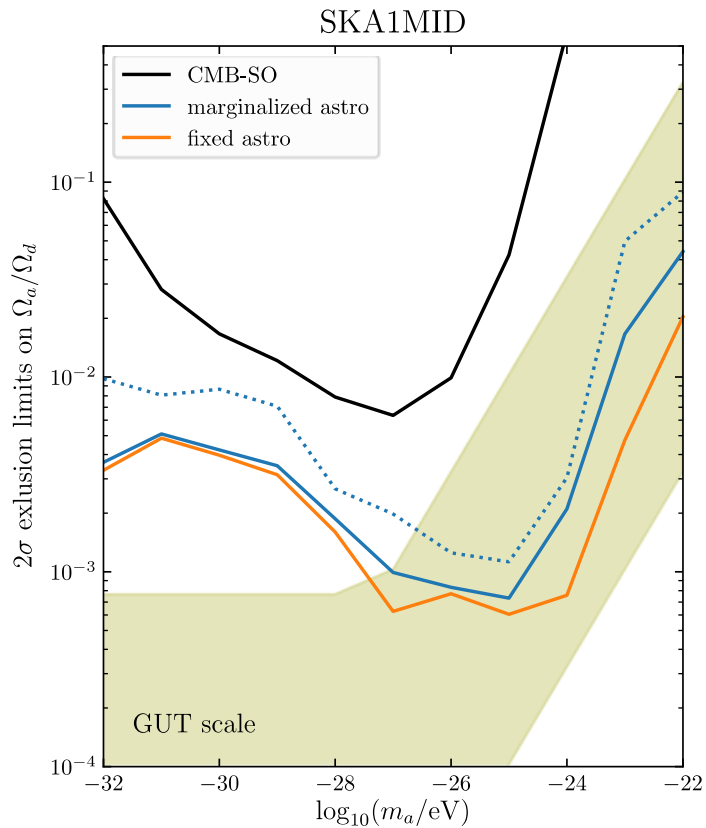
# Bias of Mixed Dark Matter

Suppressed clustering  $\rightarrow$  fewer halos.  
But, fixed total amount of hydrogen  $\rightarrow$   
increase the bias.

Consequence: increase the HI power spectrum on large scales. Consistent with N-body simulations.



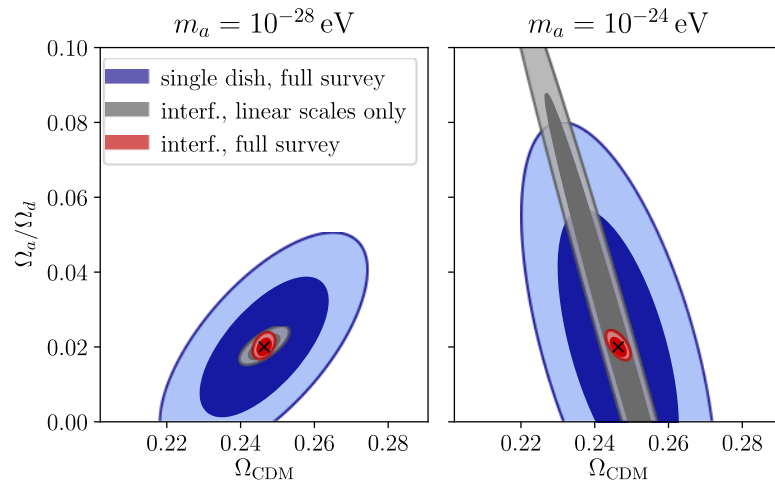
# Forecasts: SKA+CMB



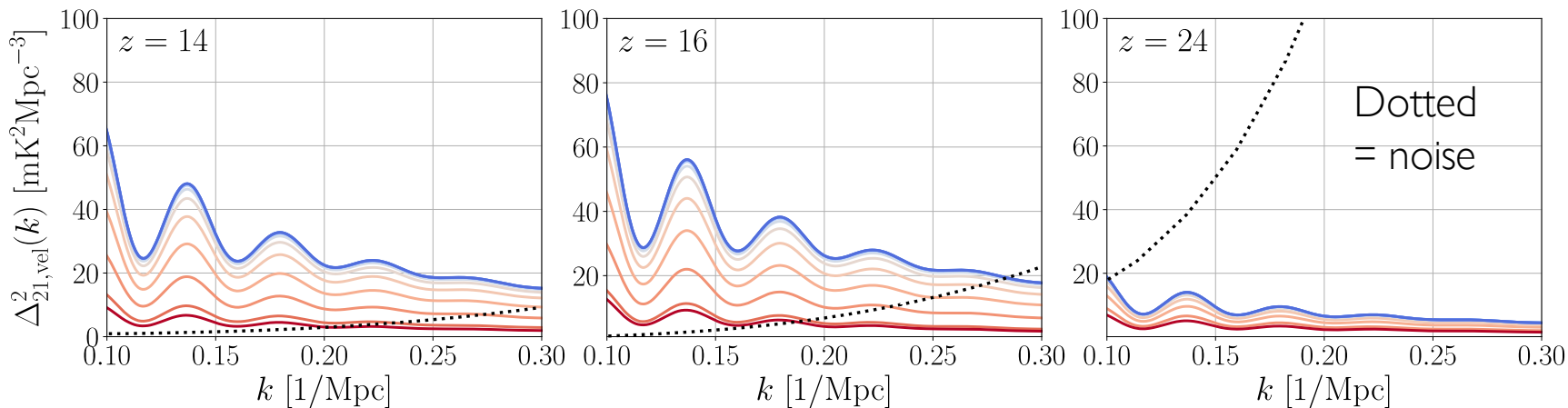
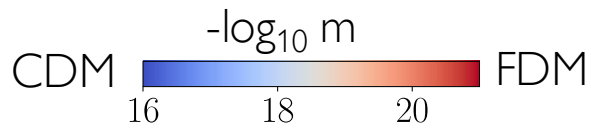
Fisher matrix = inverse covariance

$$F_{ij} = \sum_{\ell} \frac{1}{(\Delta C_{\ell})^2} \frac{\partial C_{\ell}}{\partial p_i} \frac{\partial C_{\ell}}{\partial p_j},$$

$$(\Delta C_{\ell})^2 = \frac{2}{(2\ell + 1)f_{\text{sky}}} (C_{\ell} + N_{\ell})^2,$$



# VAO Signature & Bias

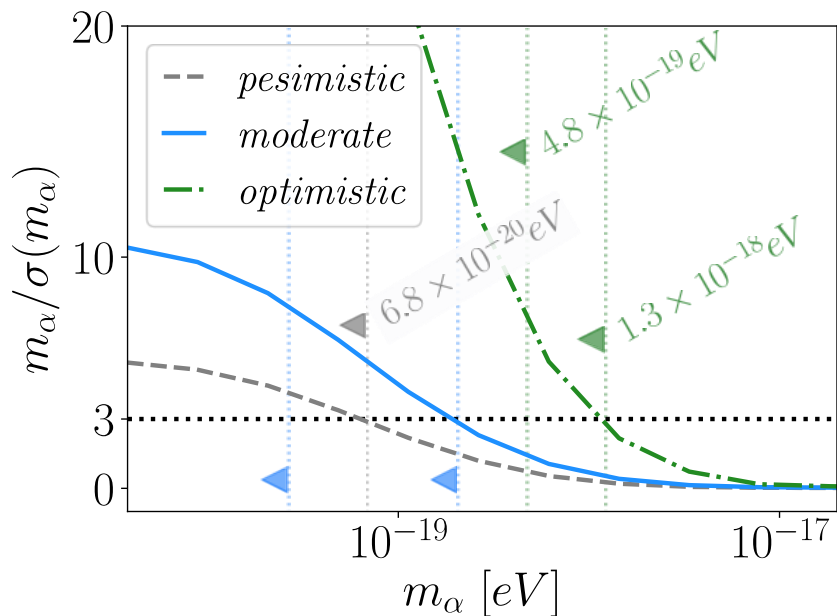


$P(k)$  cut-off with  $k < k_j \rightarrow$  remove first stars  $\rightarrow$  drastically suppress VAO amplitude for  $m < 10^{-18}$  eV.

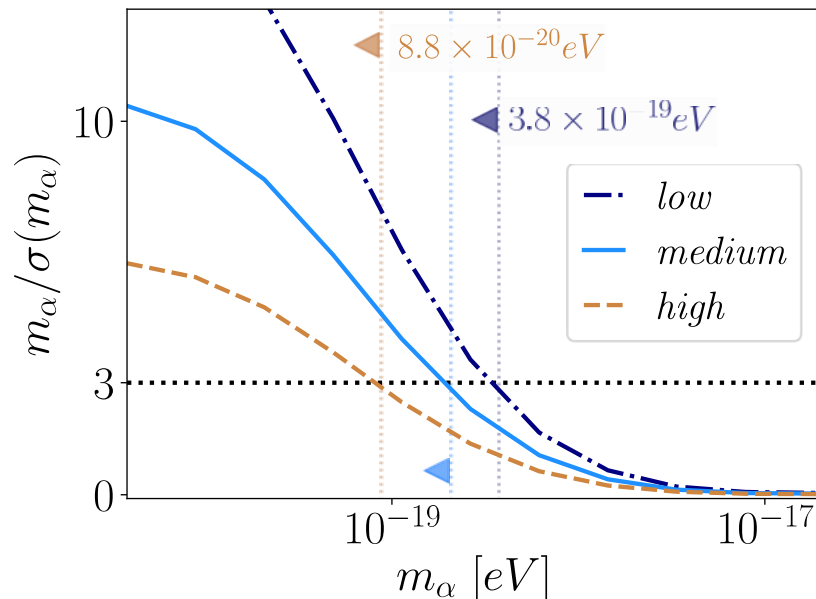


# HERA Forecasts

Detect VAO signature at  $\sim 20\sigma$  with CDM, thus very sensitive to  $P(k)$  cut-off with axions.

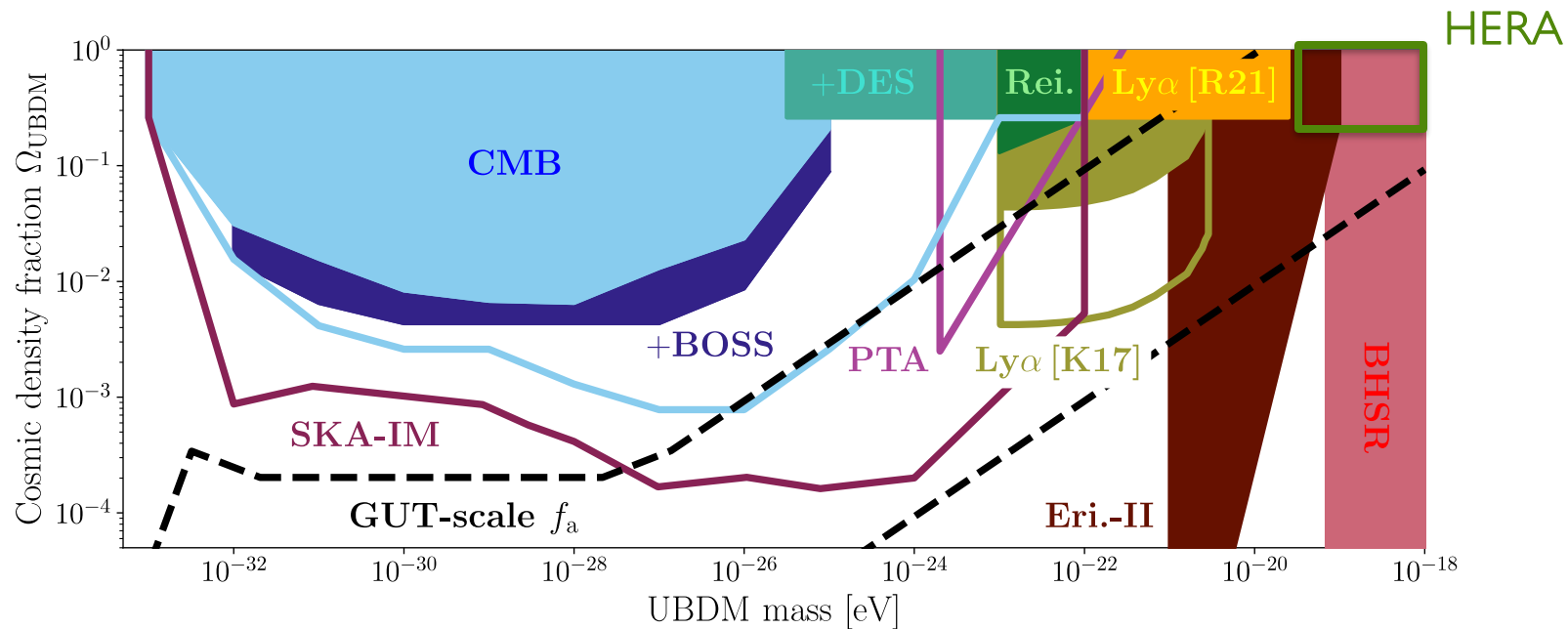


Foreground modeling.

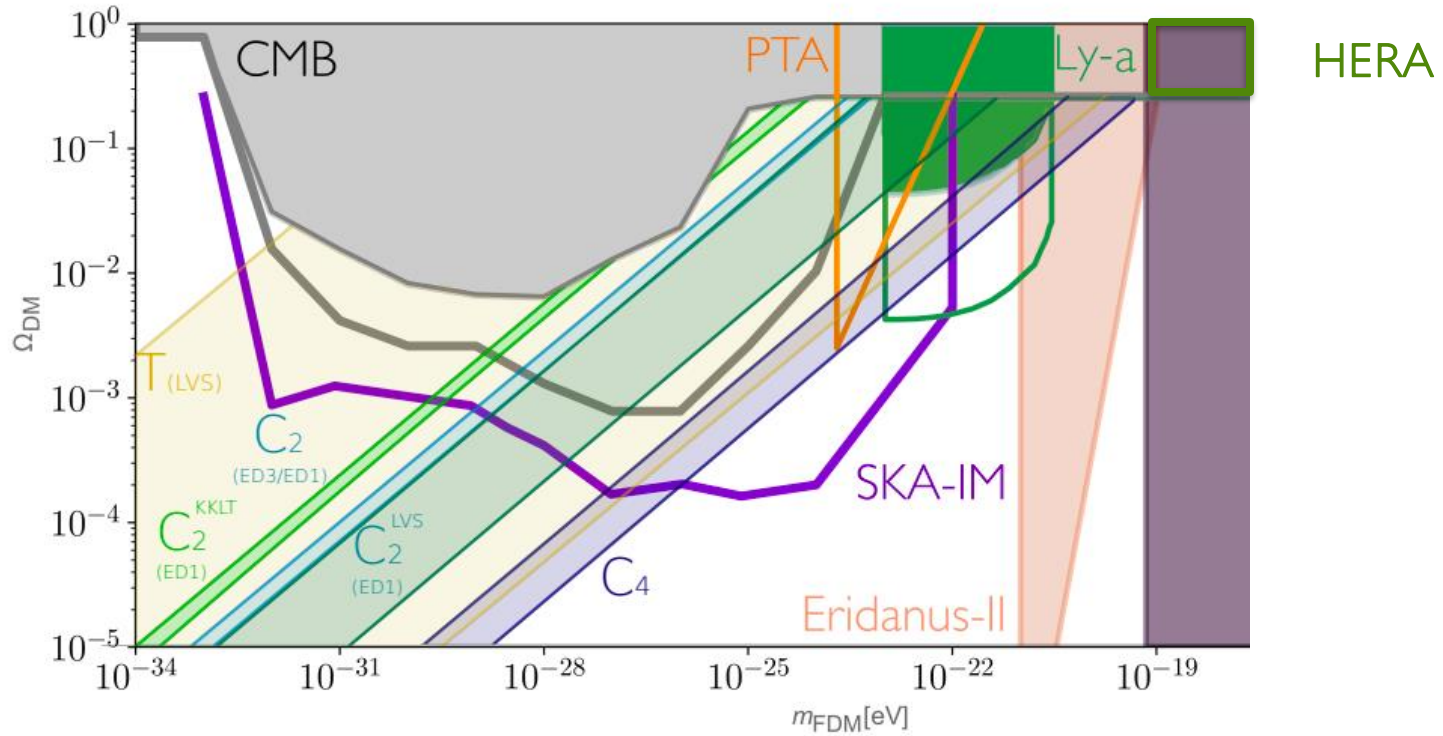


Baryonic feedback modeling.

# Summary



Post-reionization  $\rightarrow$  orders of magnitude improvement over CMB. Test GUT scale predictions.  
Dark ages  $\rightarrow$  increase lower limit on (fuzzy)DM particle mass  $\rightarrow$  close gaps astro – black holes.



Test string theory predictions of Cicoli et al (2021)? Let's go further...

# AXION STARS/SOLITONS



# Solitons from Schrödinger

DJEM & Pop (2015)

Ground state solutions of the SP equations  $\rightarrow$  one parameter family.

Ansatz  $\psi(r, t) = \chi(r)e^{-i\gamma t}$

$$\chi'' + \frac{2\chi'}{r} = 2(V - \gamma)\chi$$

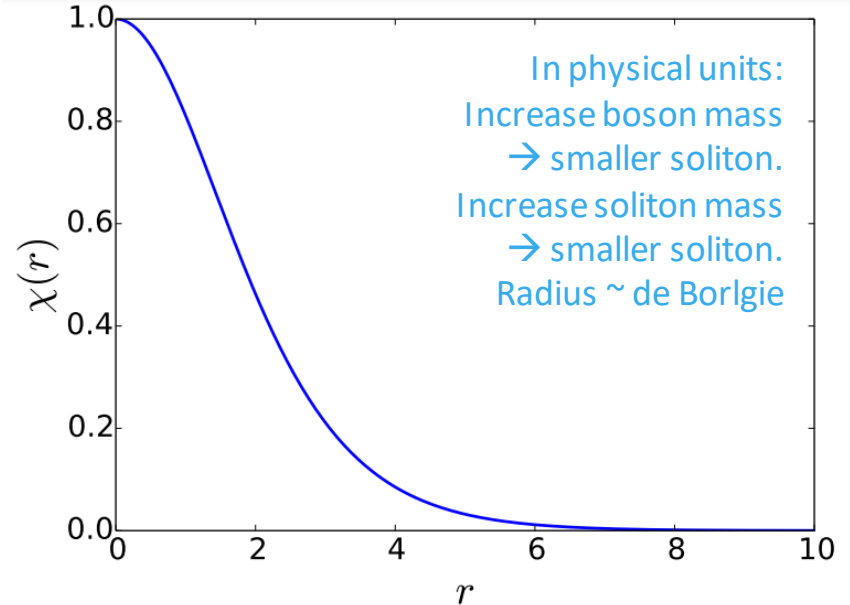
Dimensionless variables with  $\chi(0)=1$ .

$$V'' + \frac{2V'}{r} = \chi^2$$

$\rightarrow$  Boundary value problem with eigenvalue  $\gamma$ . Solved for:

$$\gamma = -0.69, V_0 = -1.34$$

Zero oscillation, lowest energy solution.

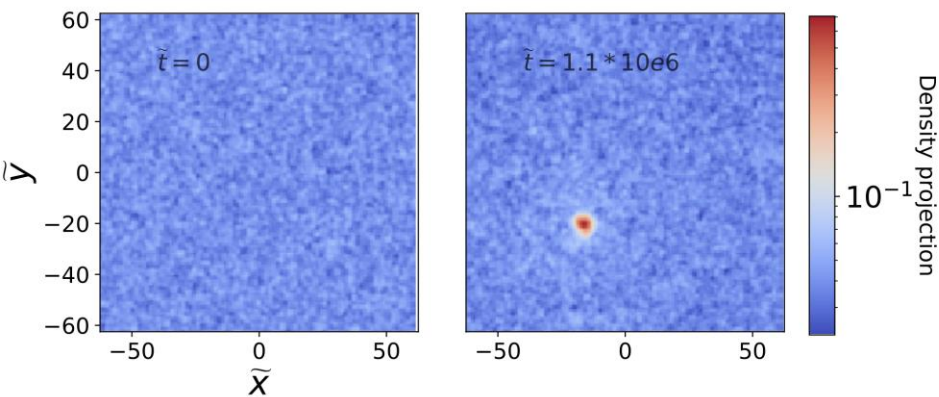


$$\rho(r) \approx \frac{\rho_0}{[1 + 0.091(r/r_c)^2]^8} \quad \rho_0 \propto m^{-2} r_c^{-4}$$

# Soliton Formation

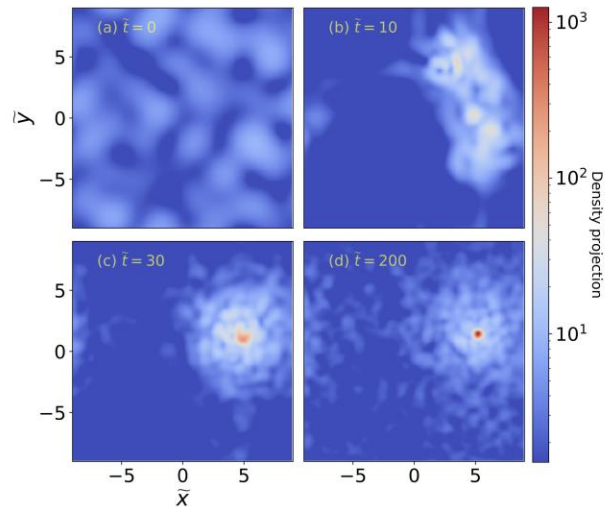
Schive et al (2014); Levkov et al (2018);  
Chen, DJEM et al (2020)

Solitons cores of DM halos form during “**violent relaxation**” from initial coherence.  
Second formation mechanism: **gravitational BEC** in “kinetic regime”.



**Gravitationally stable simulation box.**

Condensation and growth time  
predictable from scattering theory.



**Gravitationally unstable:** form a DM halo  
around the nucleated axion star. Virial  
equilibrium  $\rightarrow$  core size+growth quench.

# Soliton Distribution

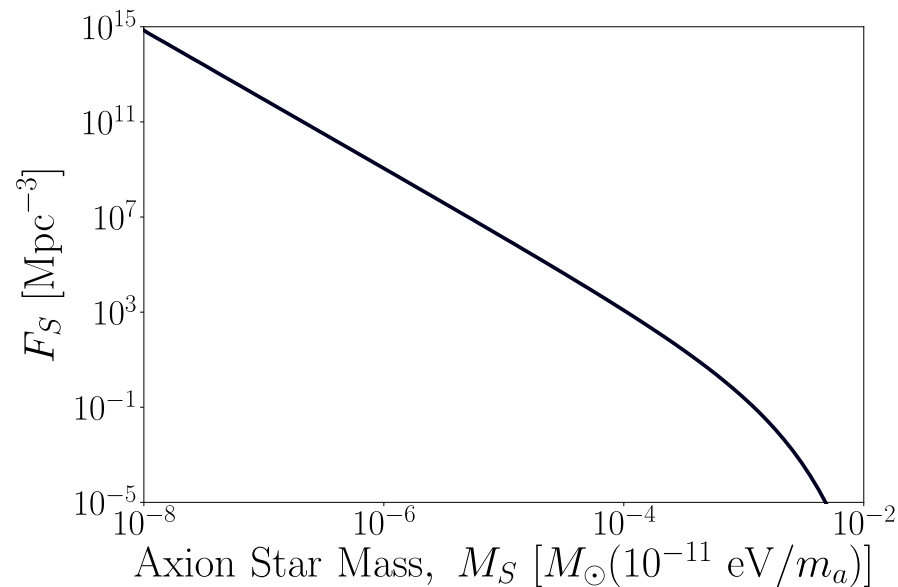
Soliton dists+merger rates: Du,  
DJEM et al (in prep)

Equilibrium between core+halo → relation  
between host mass and soliton:

$$M_{\text{sol}} \propto M_h^{1/3}$$

Compute "halo mass function" →  
predict soliton mass function.

$$F_S := \frac{dn_{\text{sol}}}{d \ln M} \quad \text{number density}$$



Theory and simulation work around the initial formation in relatively low mass halos →  
we can do cosmology, merger rates, etc.

**Challenge:** what is the distribution in e.g. the Milky Way?

# Boson Stars: Full GR

Seidel & Suen (1992)  
Helfer, DJEM et al (2016)

Spin-0 bosons  $\rightarrow$  oscillating metric on time scale  $m^{-1} \rightarrow$  “oscillatons”.  
Simulate in full 3D, with axion interaction potential using **GRChombo**.

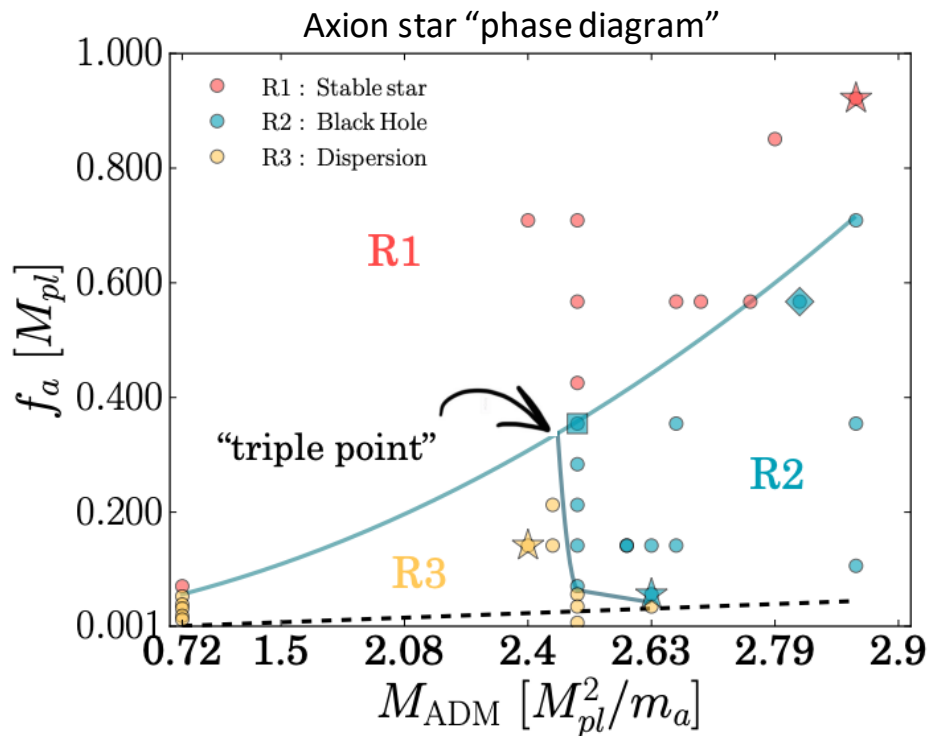
No interactions: **BH formation** at “Kaup mass”:

$$M_{\text{Kaup}} \approx \frac{M_{pl}^2}{m} \Rightarrow \phi_0 \sim M_{pl}$$

Finite interactions given by  $f \rightarrow$   
explosion in “**axion Nova**”:

$$M_{\text{Nova}} \approx \frac{M_{pl} f_a}{m} \Rightarrow \phi_0 \sim f_a$$

**Interactions**  $\rightarrow$  more instabilities e.g.  
**radio emission** by  $F^*F$  (**in prep.**).





# MINICLUSTERS



Aesthetic advantage over pre-inflation SSB: **no free parameter** of initial field value.

Disadvantage: very **hard computational problem**. Little consensus on the relic density. QCD axion axion mass in meV range + miniclusters.

Stats: see Riess, DJEM & Hoof (2021)

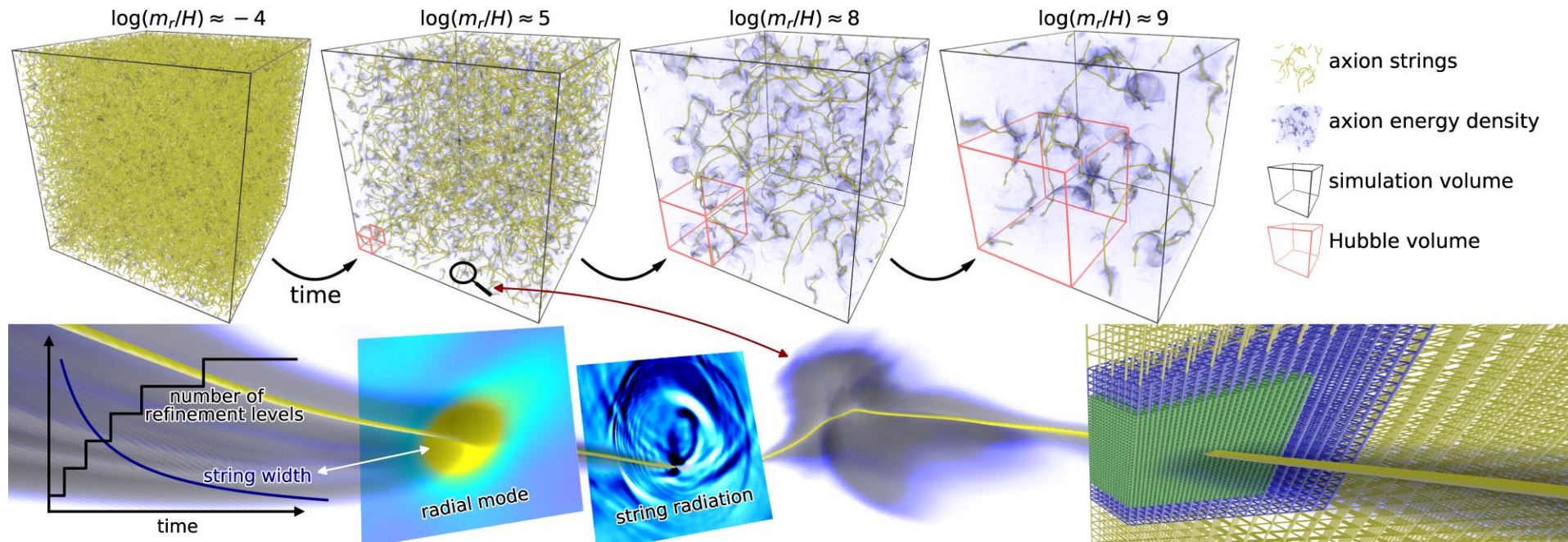


Fig: Buschmann et al (2022)

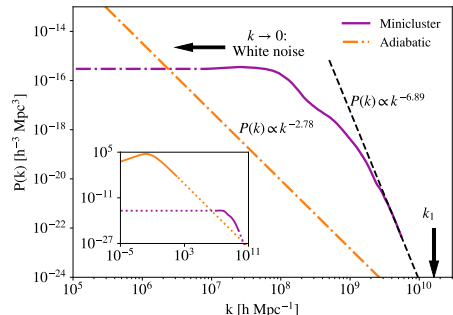
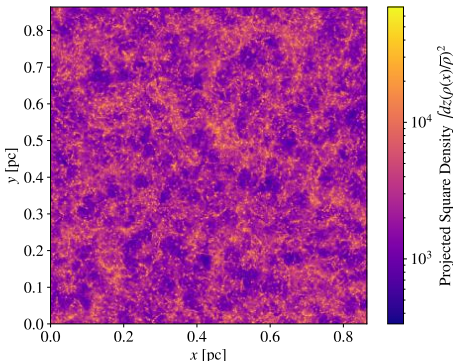
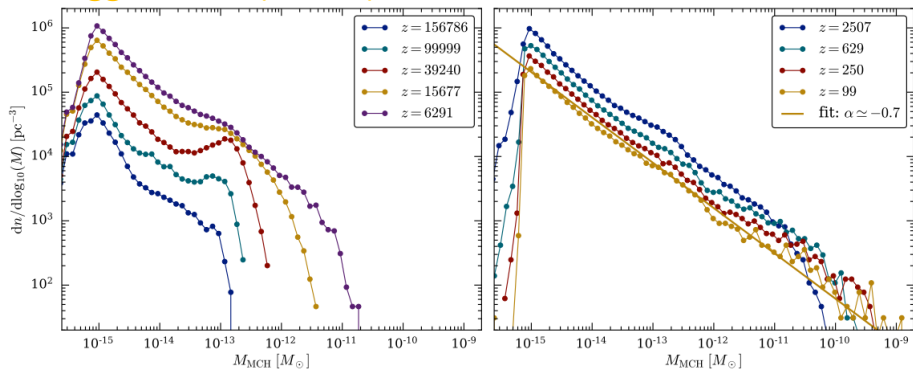
**Largely unknown: role of domain walls.**

# Miniclusters

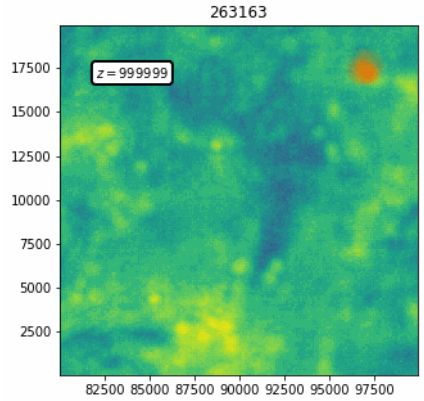
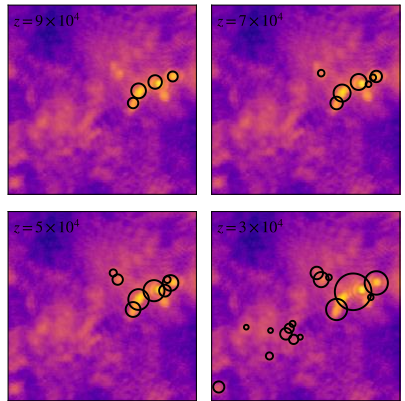
String network  $\rightarrow$  enhanced fluctuations:

Very similar situation occurs for dark photon DM from isocurvature.

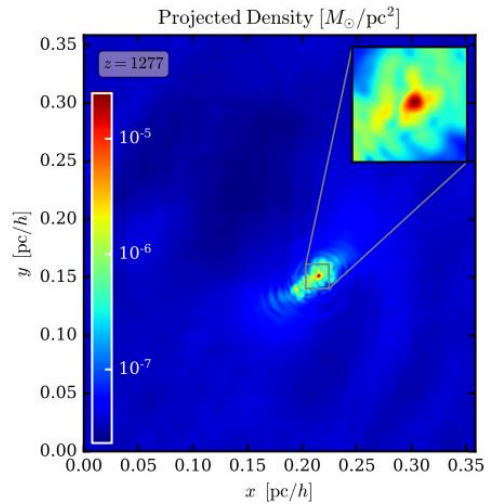
Eggemeier+ (2018+)



Ellis, DJEM et al (2020+);



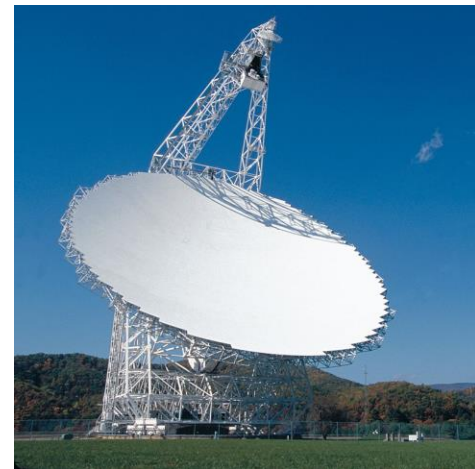
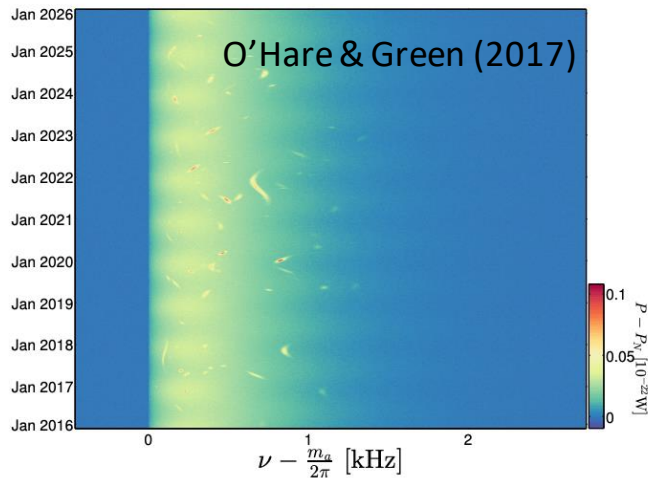
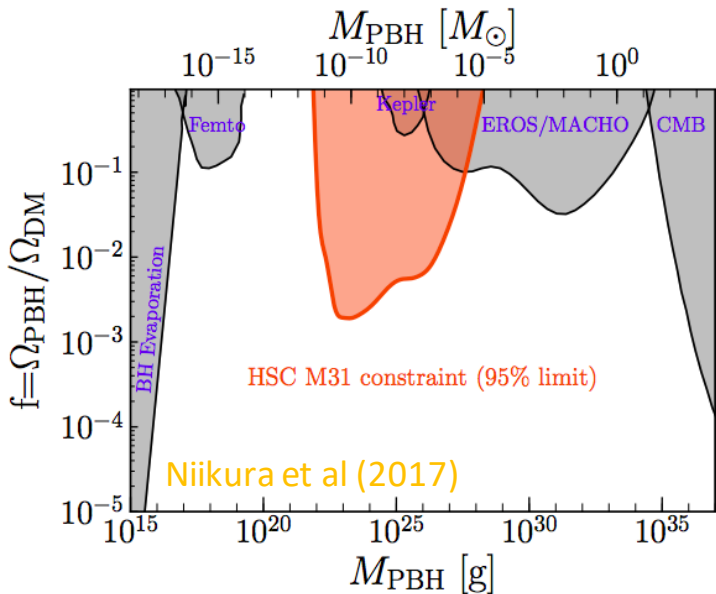
Population of dense, low mass halos. Miniclusters are not axion stars! They contain axion stars (solitons)



# Minicluster Pheno

Possible pheno: microlensing, appearance in haloscopes, collision w/ neutron stars.

**Vital questions:** distribution, density profiles, survival. End-to-end sims impossible.



MCs must be dense and heavy.  
m ~ 1 meV window?

Earth encounters rare, tidal  
streams possible → astronomy.

M31: NS pops → only  
low m possible.

SUPERRADIANCE!



# Black Hole Superradiance

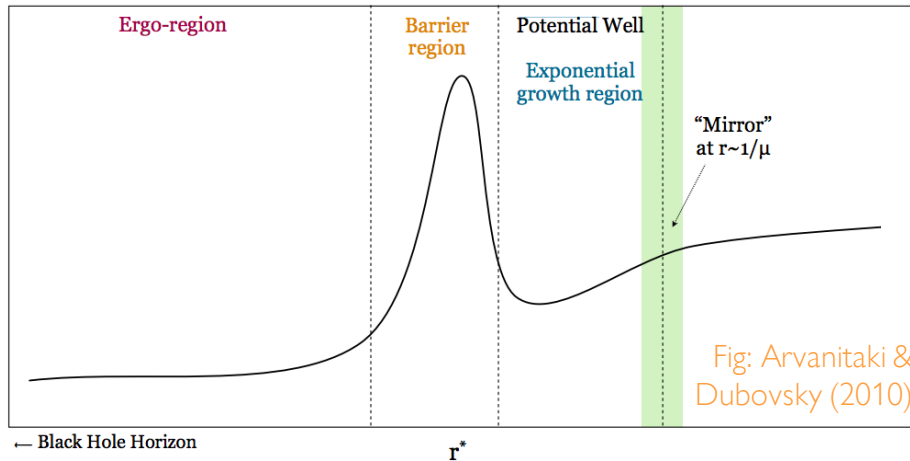
Review: Brito et al (2015)

Solve for instabilities of KG equation on

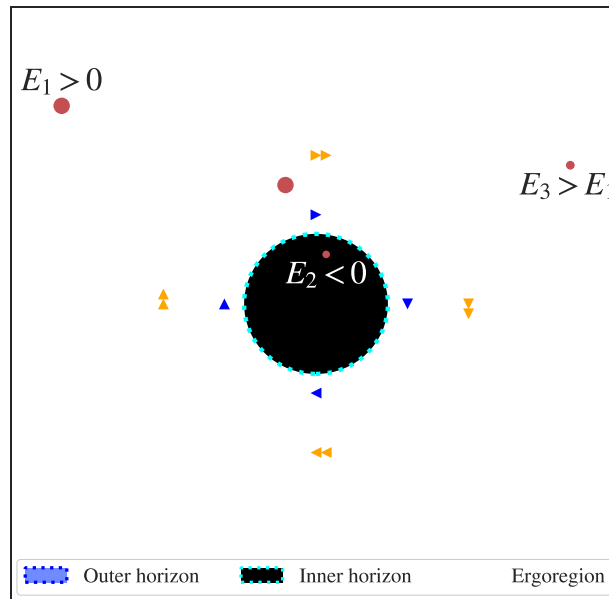
Kerr:  $\square\phi - \partial_\phi V(\phi) = 0$

Non-relativistic limit in “tortoise coords”,  
find instability ( $\omega < 0$ ):

$$\frac{d^2\psi_{lm}}{dr^{*2}} = [\omega^2 - V(r, \omega)] \psi_{lm} .$$



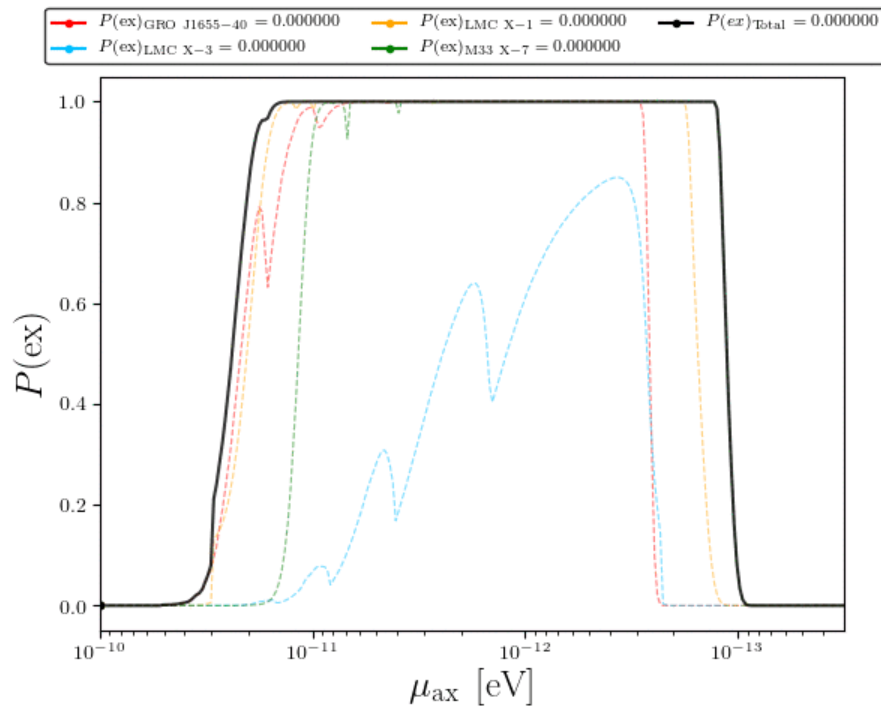
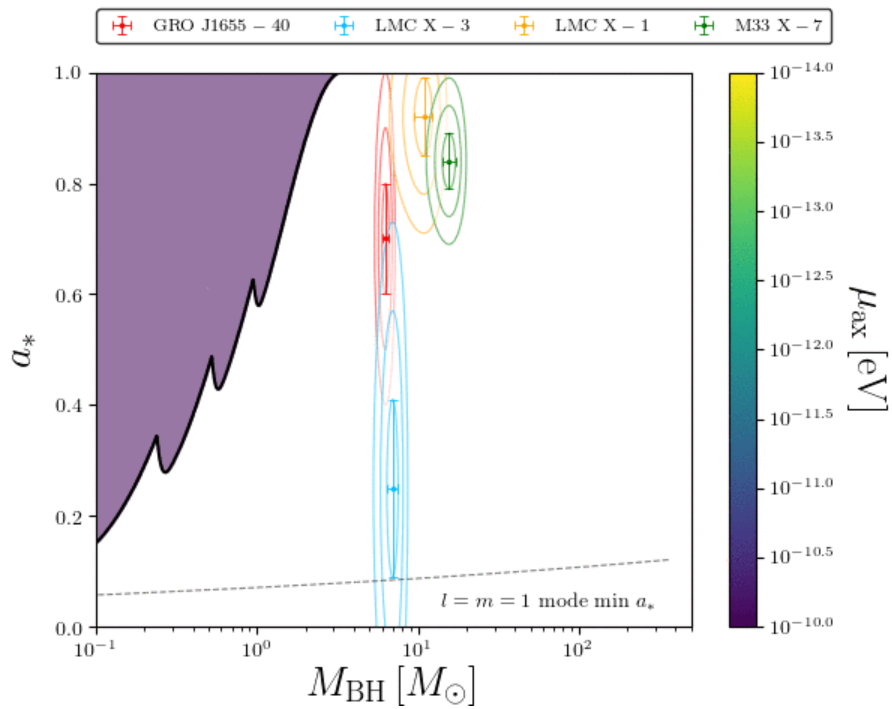
Physical picture: “Penrose process/ black hole bomb”



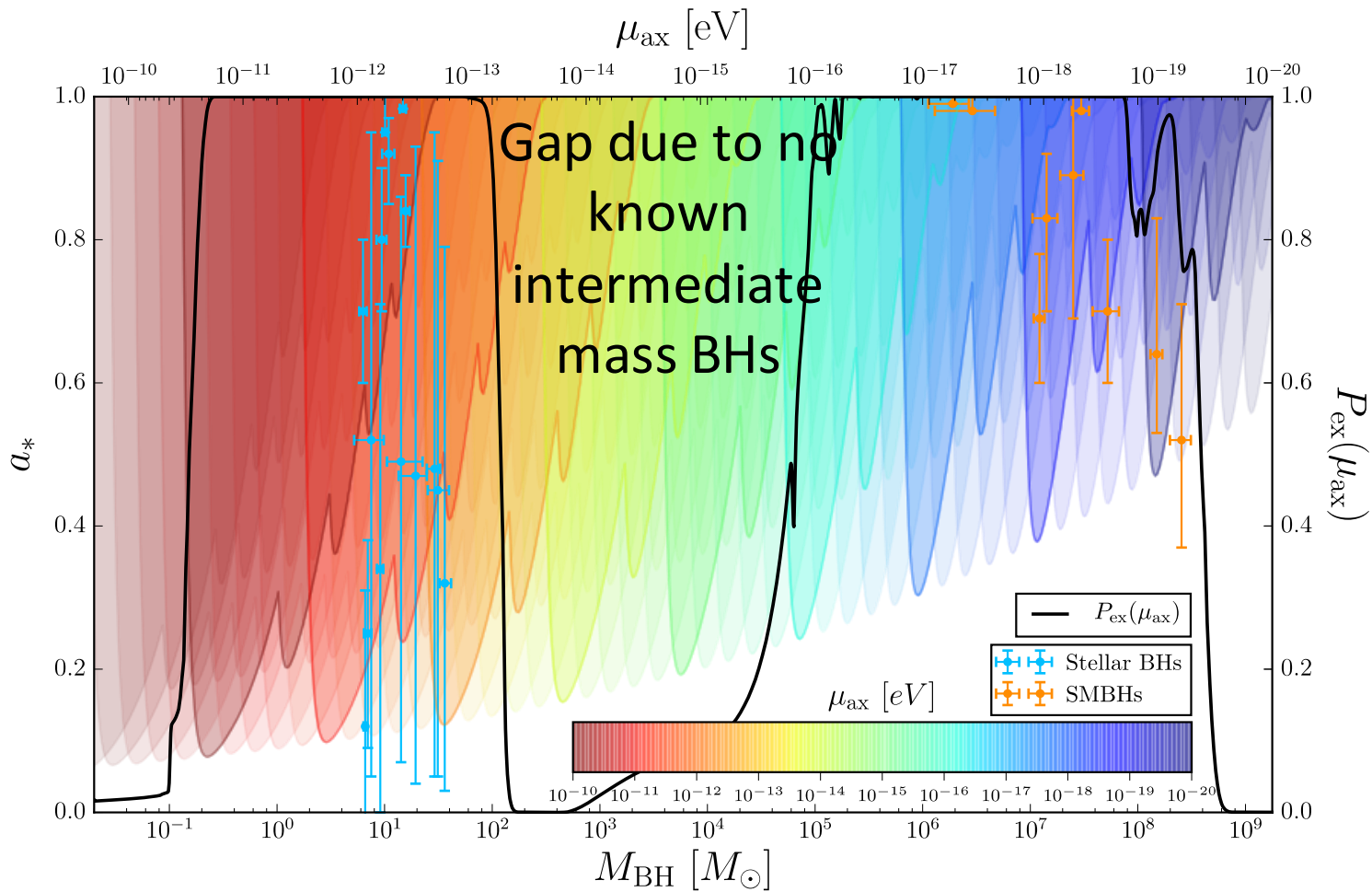
Resonant bosons extract spin from astrophysical BHs, if  $\Gamma_{SR} > \Gamma_{others}$

# Spin-0 Fields, No $\phi^4$ Term

GIF by Matthew J. Stott



“Exclusion probability” is marginal likelihood. Statistically robust constraints.





# $\phi^4$ Instability: “Bosenova”

Yoshino & Kodama (2012);  
Arvanitaki+(2014); Stott (2018)

Bose enhanced 2-2 scattering in superradiant cloud can have a rate  $\Gamma_4 > \Gamma_{\text{SR}}$ .  
Shuts off SR by cloud collapse above critical value of  $\lambda\phi^4$  coupling,  $\lambda = m^2/f_{\text{pert}}^2$ .

Approximate excluded regions:

Stellar BHs:

$$m_a \in [1 \times 10^{-13} \text{ eV}, 2 \times 10^{-11} \text{ eV}]$$

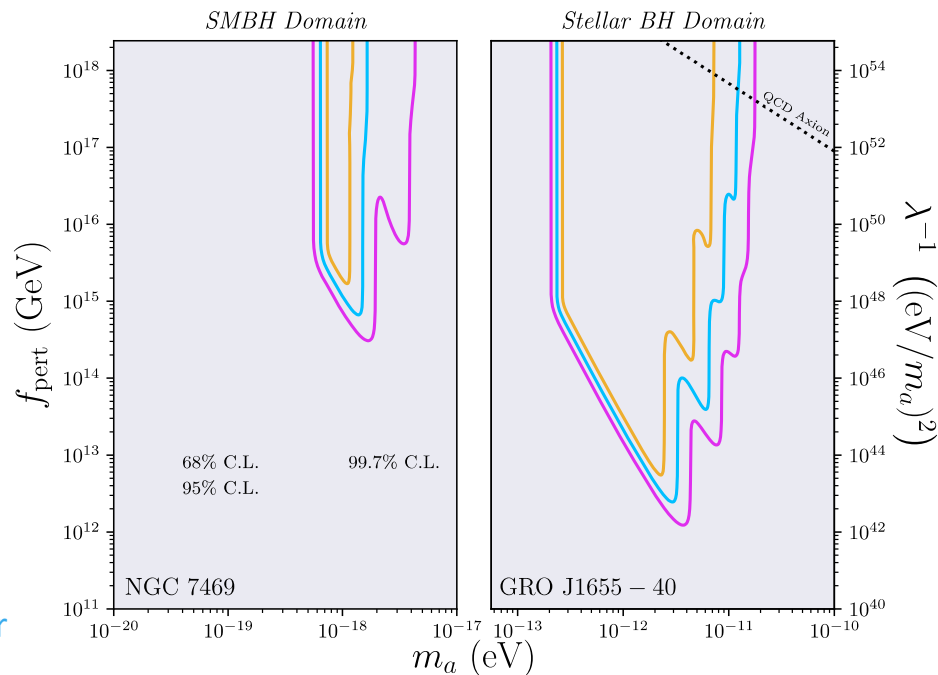
$$f_{\text{pert}} \gtrsim 10^{14} \text{ GeV}$$

Supermassive (SM) BHs:

$$m_a \in [8 \times 10^{-20} \text{ eV}, 1 \times 10^{-16} \text{ eV}]$$

$$f_{\text{pert}} \gtrsim 10^{16} \text{ GeV}$$

Implemented with a simple cut-off. See Baryakhtar+ for advanced rate calcs. Quantitatively similar.



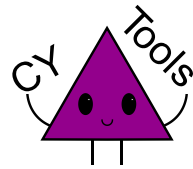
# STRING AXIVERSE

Arvanitaki et al (2009); Mehta, DJEM et al (2021)



# The KS Axiverse

Demirtas et al (1808.01282)

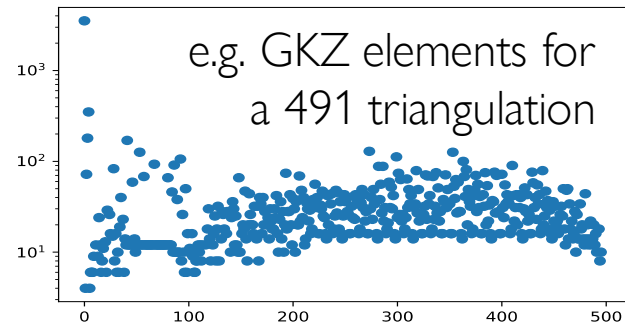
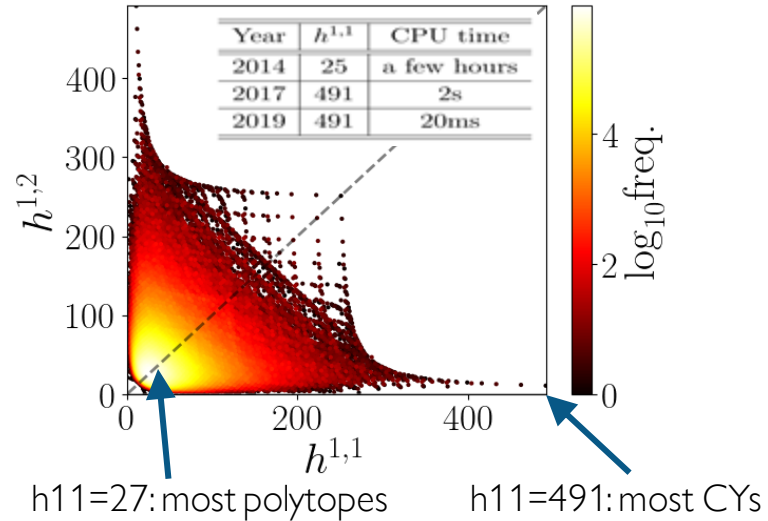


Triangulate (FRST) KS polytopes  $\rightarrow$  CY<sub>3</sub>.  
 1000's of CYs at large  $h^{1,1}$  in laptop-time!  
 Pick point in Kähler cone (no stabilization).  
 Kähler metric and axion potential computed:

$$\mathcal{L} = -\frac{M_{\text{pl}}^2}{8\pi^2} K_{ij} g^{\mu\nu} \partial_\mu \theta^i \partial_\nu \theta^j - \sum_{a=1}^{\infty} \Lambda_a^4 \left\{ 1 - \cos(Q^a_i \theta^i + \delta^a) \right\}$$

For an astrophysicist: databases of  $K$ ,  $\Lambda$ ,  $Q$  sampling KS, triangulations, and Kähler cone.

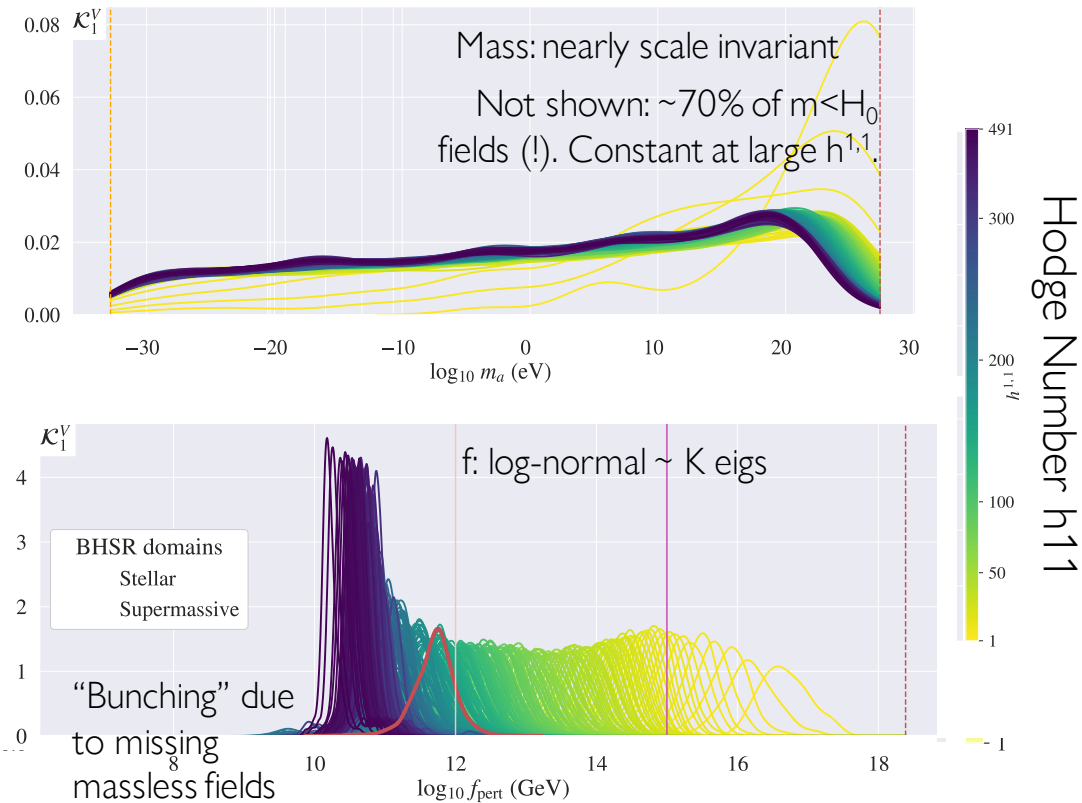
**Aim: rigorously exclude CY's based only on vacuum properties of the axions.**



# Axion Spectra

Find vacua of  $V(f)$  in fundamental domain. Expand to quartic order  $\rightarrow$  masses + quartics ("fpert").

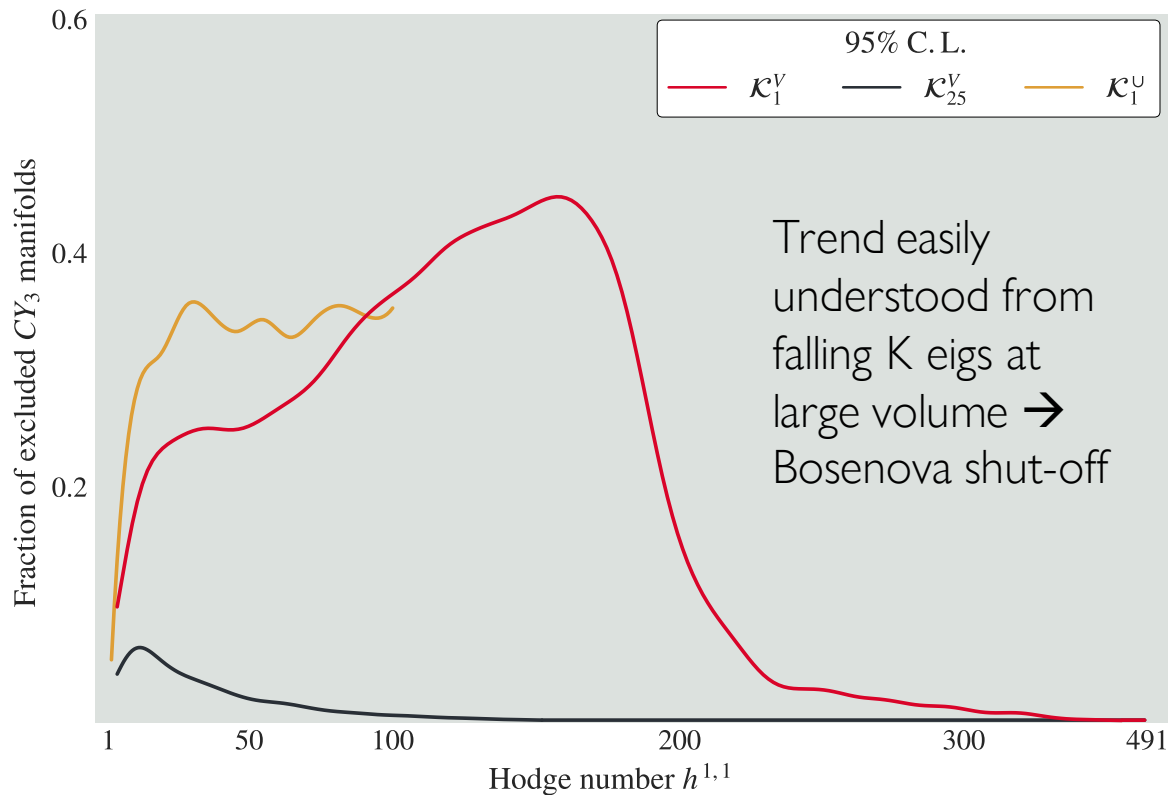
Trends: Kähler cones become very narrow at large  $h \parallel \rightarrow$  cycles in the CY have large volumes  $\rightarrow$  (ultra)light axions and smaller decay constants.



# Constraints on IIB CY Vacua

Ensemble of  $O(10^5)$  CYs. All up to  $h^{1,1}=5$ . 100 per  $h^{1,1}$  up to 176. Up to 100 per  $h^{1,1}$  to 491.

The first rigorous use of the “axiverse” idea to test string theory.



# Beyond Superradiance

- **Why superradiance?** Vacuum process, no cosmological assumptions. Only need the axion potential.
- **Why go further?** Large  $h_{11}$ , and moduli space away from Kahler cone tip  $\rightarrow$  larger volume  $\rightarrow$  lower decay constants  $\rightarrow$  superradiance shuts off.
- **What observables will be best?** Ideally vacuum processes, cosmology independent, exploit massless fields.
- **What is a bad observable?** Unfortunately, axion DM from realignment: too many cosmological assumptions.

# Visible Sector Couplings

Demirtas et al (2021)

- Choose divisor for QCD. Dilate V until divisor volume  $\rightarrow \alpha_{\text{QCD}}$ . Demand geometric.
- Axion masses and  $f$ 's by using hierarchy of instanton scales + Kähler metric.
- Strong-CP:  $\sum_i \theta_i$  in front of CS must be small.
- Assuming a GUT you can find  $g_{a\gamma}$ :

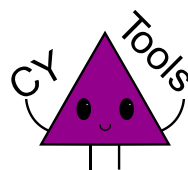
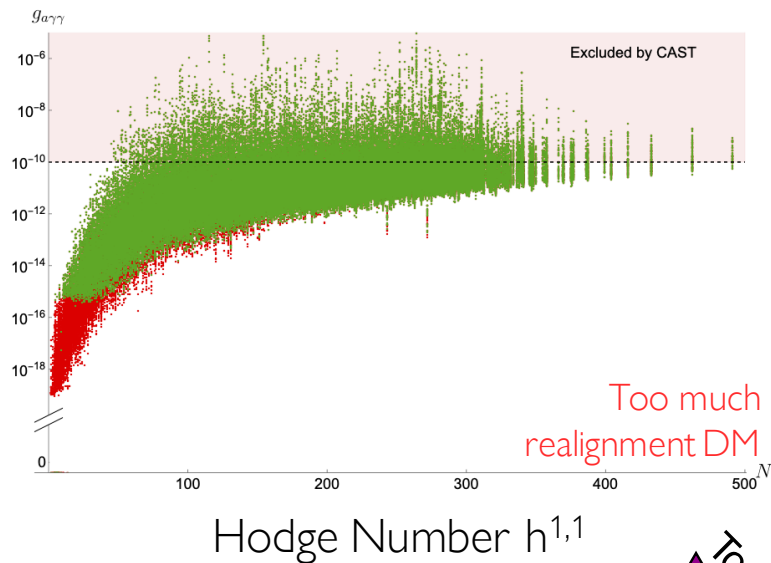
$$\mathcal{L}_{\text{EM,CS}} = \sum_i c_i \frac{\alpha_{\text{EM}}}{2\pi f_i} \theta_i F \tilde{F} \equiv \sum_i g_i \theta_i F \tilde{F}$$

(c\_i's known)

Effective axion-photon coupling for massless linear combination

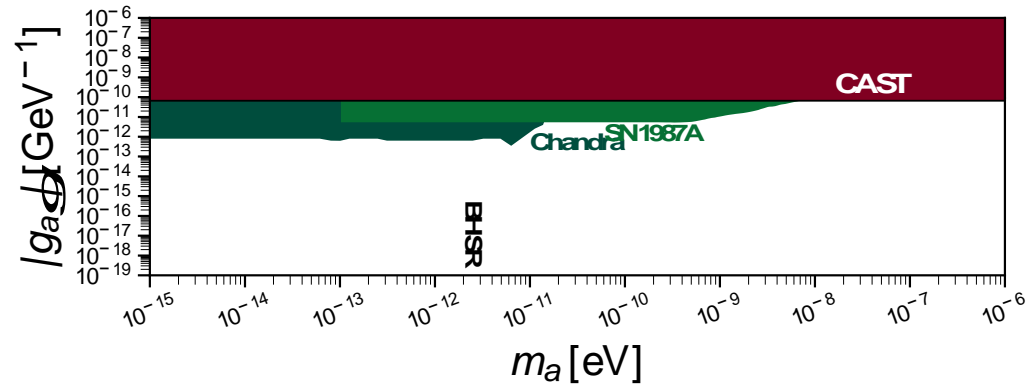
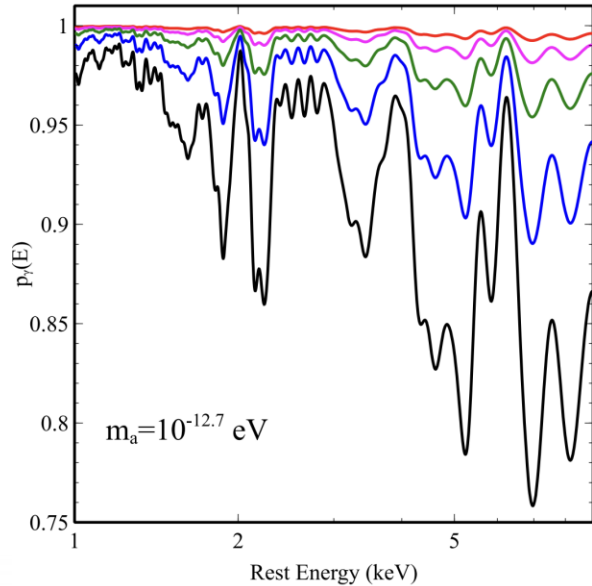
$$g_{\text{eff}} = \sqrt{\sum_{i \in \{m=0\}} g_i^2}$$

3e4 random CYs  
Computationally limited at large  $h^{1,1}$



# X-ray Spectrum Oscillations

Photon-axion conversion in cluster B-fields  $\rightarrow$  spectrum oscillations. Vanishing if  $m_a > \omega_p$ .  
Need to marginalize random magnetic field models. Fit Chandra satellite data.



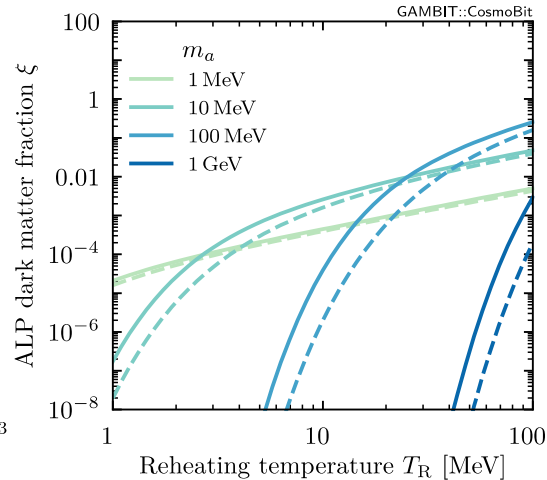
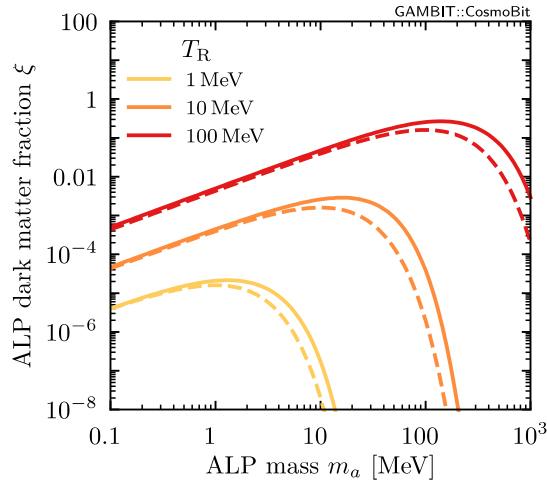
Best limits on  $g$  at low mass. Complementary to BHSR.



# Freeze-in DM & Decays

GAMBIT collab., inc. DJEM, 2205.13549

Axion production via Primakoff process off SM charged particles from **vacuum initial state**.  
**Irreducible contribution to DM**, all fields with  $m < \text{reheat temperature}$ . Must not “overclose”.



**Perturbative decay**  $\rightarrow$  photodissociation of elements, cosmic reionization etc. even for  $\xi \sim 10^{-10}$ .

$$\Gamma_a = \frac{g_{a\gamma}^2 m_a^3}{64\pi} = \frac{1}{1.32 \times 10^8 \text{ s}} \left( \frac{g_{a\gamma}}{10^{-12} \text{ GeV}^{-1}} \right)^2 \left( \frac{m_a}{10 \text{ MeV}} \right)^3.$$

# (very) Preliminary Results

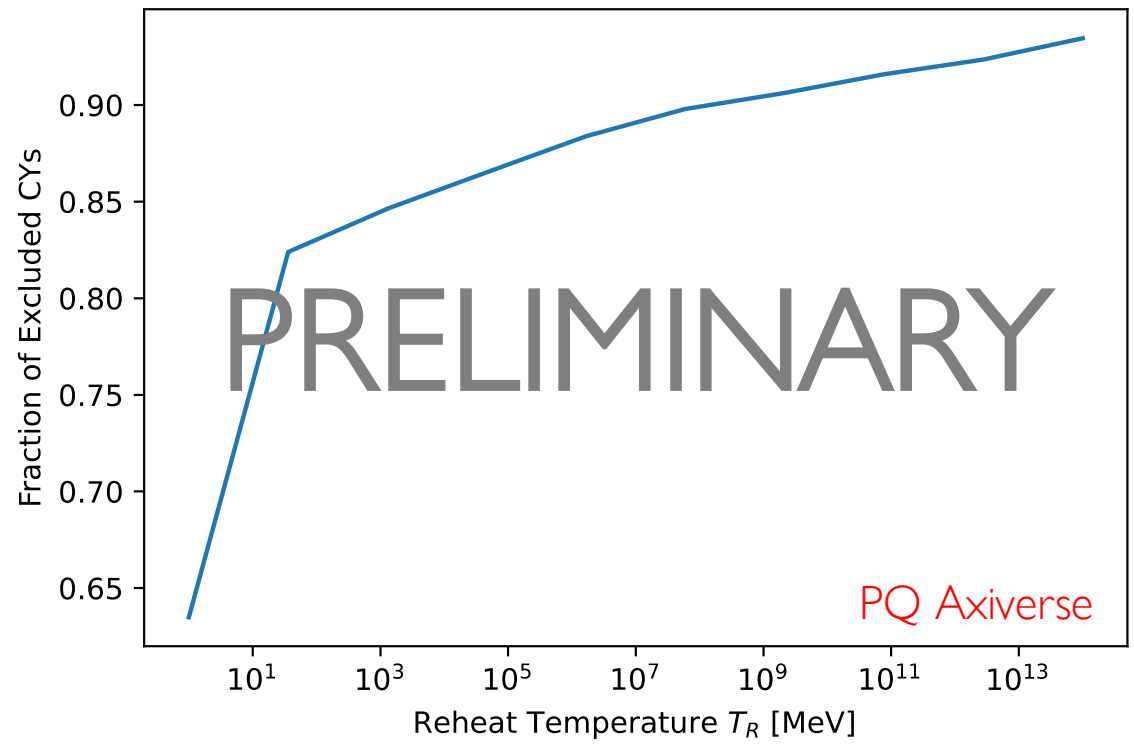
## String Datasets:

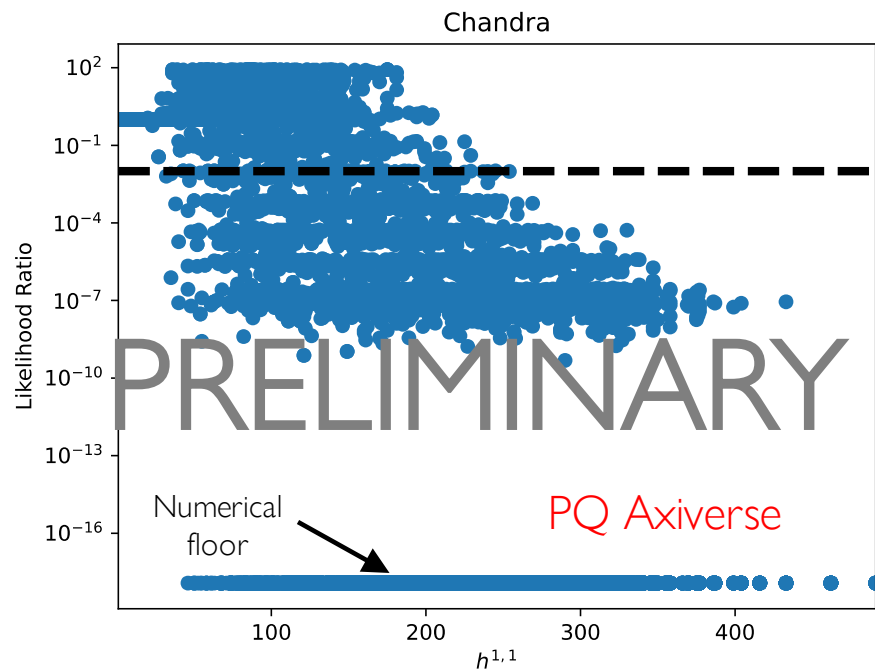
- **PQAxiverse**: conditioned on correct QCD coupling and strong-CP. **Masses and visible sector couplings** estimated.  $O(10)$  CYs at  $h_{11}=491$ .
- **$h_{11}=491$** : exploratory dataset,  $O(10^5)$  CYs. Zeroth order assumption: **massless axions, estimate couplings from Kähler eigs** only. **Tip of Kähler cone**  $\rightarrow$  smaller couplings than the bulk  $\rightarrow$  limits conservative.

## Astrophysical Datasets:

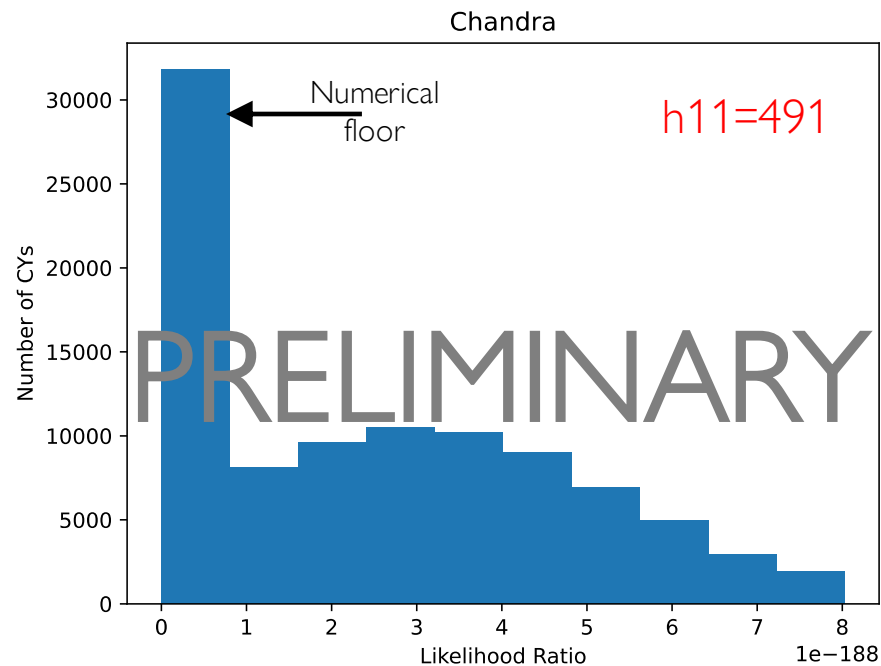
- **Chandra: X-rays** effective likelihood for single massless axion. Ignore CYs with any resonant axions. **Future**: modify ALPro for one axion in resonant region + massless.
- **Freeze-in DM**: crude cuts on overclosure and decaying DM (PQAxiverse only). **Future**: build cosmological likelihoods using micrOMEGAS and GAMBIT.

### Decaying DM





20% favoured if  $h^{1,1} < 200$ . Spectrum overfitting.  
 Zero allowed manifolds  $h^{1,1} > 250$ .



All randomly sampled CYs at 491 are strongly excluded (saturate likelihood).  
 Recall: tip of SKC  $\rightarrow$  limits are likely conservative over moduli space (!)

# Speculations and Hopes

- Triangulations (CYs) of 491 polytope obeying constraints are VERY rare. Probably true of most of moduli space. Astrophysics prefers “boundaries” of KS axiverse.
- Can we use ML techniques to find allowed models at 491?
- Is there a symmetry underlying this? Can we use ML to find it?
- If there is a symmetry → restrict combinatorics that count the CYs at 491 → make a brute force exploration of remaining 491 landscape possible?

# LEVERHULME TRUST \_\_\_\_\_

Topology from cosmology: axions, astrophysics, and machine learning



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Extended visits to Cornell and Northeastern. Please enquire/tell your colleagues!