

# Neutrino Physics

Neutrino masses and phenomenology

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# Neutrino masses

Weyl fermions any field in an irreducible representation of the Lorentz group will be a Weyl fermion

$$\chi_+ = (\chi_1, 0)$$

$$\chi_- = (0, \chi_2)$$

- two component spinors which obey:

$$i \sigma^\mu \partial_\mu \chi_+ = 0$$

$$\sigma^\mu = (1, \sigma)$$

$$i \bar{\sigma}^\mu \partial_\mu \chi_- = 0$$

$$\bar{\sigma}^\mu = (1, -\sigma)$$

-  $\chi_+$  and  $\chi_-$  have different chiralities:

$\chi_+ \Rightarrow$  left-handed

$\chi_- \Rightarrow$  right-handed

# Neutrino masses

## Dirac fermions

$$(i\not{\partial} - m)\psi = 0$$

$\psi \equiv$  four-component spinor

$$\psi = \psi_L + \psi_R = P_L \psi + P_R \psi$$

$$P_L = \frac{1 - \gamma^5}{2}$$

$$P_R = \frac{1 + \gamma^5}{2}$$

In chiral representation,  $\gamma^5$  diagonal &  $\psi$  has the following form:

$$\psi = \begin{pmatrix} \chi_+ \\ \chi_- \end{pmatrix}$$

$$\psi = \psi_L + \psi_R = \begin{pmatrix} \chi_+ \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ \chi_- \end{pmatrix}$$

Ex in massless limit ( $m=0$ ) show that  
two independent Weyl equations are obeyed

# Neutrino masses

## Majorana fermions

Majorana fermions obey the Majorana condition

$$\psi = \psi^c = C \bar{\psi}^T$$

-  $C$  is the charge conjugation matrix

$$C^{-1} \gamma^\mu C = -(\gamma^\mu)^T$$

$$C^{-1} = C^+ = -C^* \Rightarrow C \text{ is an antisymmetric matrix}$$

quick check  $\gamma^0 = \gamma^0, -\gamma^2 = \gamma^2$

$$C^+ = (i\gamma^0\gamma^2)^+ = -i\gamma^2\gamma^0 = i\gamma^2\gamma^0 = -i\gamma^0\gamma^2$$

$$C^+ = -i\gamma^0\gamma^2 = i\gamma^0\gamma^2 \Rightarrow C^+ = -C^*$$

-  $C$  can be defined in any basis but it has the rep  $C = i\gamma^0\gamma^2$

- Majorana condition is equivalent to saying a particle is its own anti-particle.

# Neutrino masses

$$\psi^c = C \bar{\psi}^T$$

$$\psi = \begin{pmatrix} \chi_+ \\ \chi_- \end{pmatrix} = C \begin{pmatrix} \overline{\chi_+} \\ \overline{\chi_-} \end{pmatrix}^T = C \left[ (\chi_+ \chi_-)^* \gamma^0 \right]^T$$

$$= C \gamma^0 \begin{pmatrix} \chi_+^* \\ \chi_-^* \end{pmatrix} = \frac{i \gamma^0 \gamma^2 \gamma^0}{c} \begin{pmatrix} \chi_+^* \\ \chi_-^* \end{pmatrix}$$

$\tau \equiv$  Pauli matrices.

$$= -i \gamma^2 \begin{pmatrix} \chi_+^* \\ \chi_-^* \end{pmatrix} \quad \text{we chiral representation} \quad \gamma^2 = \begin{pmatrix} 0 & \tau_2 \\ -\tau_2 & 0 \end{pmatrix}$$

$$= -i \begin{pmatrix} 0 & \tau_2 \\ -\tau_2 & 0 \end{pmatrix} \begin{pmatrix} \chi_+^* \\ \chi_-^* \end{pmatrix} = -i \begin{pmatrix} \tau_2 \chi_-^* \\ -\tau_2 \chi_+^* \end{pmatrix} \Rightarrow \begin{pmatrix} \chi_+ \\ \chi_- \end{pmatrix} = \begin{pmatrix} -i \tau_2 \chi_-^* \\ i \tau_2 \chi_+^* \end{pmatrix}$$

$$\Rightarrow \left. \begin{aligned} \chi_+ &= -i \tau_2 \chi_-^* \\ \chi_- &= i \tau_2 \chi_+^* \end{aligned} \right\} \Rightarrow \psi = \begin{pmatrix} -i \tau_2 \chi_-^* \\ \chi_- \end{pmatrix}$$

$\chi_- = i \tau_2 (i \tau_2^* \chi_-) = -\tau_2 \tau_2^* \chi_- = \chi_-$

## Neutrino masses

$\Rightarrow$  Majorana fermion only has two degrees of freedom  $\Rightarrow$  a single Weyl spinor. You can see this from computing:

$$\psi_L = C \bar{\psi}_R^T$$

$$\psi_R = C \bar{\psi}_L^T$$

$$\psi = \psi_L + (\psi_L)^c$$

$$\psi(x) = \int \frac{d^3p}{(2\pi)^3 2\omega_p} \sum_{s=\pm} \left[ C u_s(p)^T (a_p^s)^{\dagger} e^{ip \cdot x} + u_s(p) a_p^s e^{-ip \cdot x} \right]$$

n.b Dirac field would also contain  $v$  spinor not just  $u$ !

# Neutrino masses

## Dirac mass

$$m \bar{\psi} \psi = m (\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L)$$

$$M_{\alpha\beta} \bar{\psi}_\alpha \psi_\beta \quad \alpha, \beta \text{ flavour index.}$$

## Majorana mass

$$M \bar{\psi} \psi = M \bar{\psi}_L \psi_R + M \bar{\psi}_R \psi_L$$

$$= M \psi_L^c \psi_L + \text{h.c.}$$

$$= \frac{1}{2} M_{\alpha\beta} \psi_\alpha^c \psi_\beta$$

$M_{\alpha\beta}$  complex, symmetric matrix i.e.  $M_{\alpha\beta} = M_{\beta\alpha}$ .

$$\frac{1}{2} M_{\alpha\beta} \overline{\psi_\alpha^c} \psi_\beta = \frac{1}{2} M_{\alpha\beta} \overline{(C \bar{\psi}_\alpha^T)} \psi_\beta = \frac{1}{2} M_{\alpha\beta} \psi_\alpha^T C^{-1} \psi_\beta$$

$$= \frac{1}{2} M_{\alpha\beta} (\psi_\alpha)_a (C^{-1})_{ab} (\psi_\beta)_b$$

$$= -\frac{1}{2} M_{\alpha\beta} (\psi_\beta)_b (C^{-1})_{ba} (\psi_\alpha)_a$$

$$= \frac{1}{2} M_{\alpha\beta} (\psi_\beta)_b \frac{(C^{-1})_{ba}}{2} (\psi_\alpha)_a$$

$$= \frac{1}{2} M_{\alpha\beta} \psi_\alpha^c \psi_\beta$$

← Fermion statistics!

# Neutrino masses

Dirac fermion charged under a U(1) will transform:

$$\psi \rightarrow \psi e^{i\theta} \quad (\text{recall how we could rephase the charged leptons to remove 3 phases from } U \text{ matrix}).$$

Dirac mass

Majorana mass

$$m \bar{\psi} \psi \rightarrow m \bar{\psi} \psi$$

$$m \bar{\psi} \psi^c \rightarrow m e^{-2i\theta} \bar{\psi} \psi^c$$

Majorana mass term violates U(1) symmetry explicitly.

- any particle with a U(1) charge cannot have a Majorana mass.

- accidental symmetries of the SM, importantly L or B-L can be violated in a model with Majorana neutrinos.



## Neutrino masses

To go from flavor to mass basis we must diagonalize the non-diagonal mass matrix. For a complex symmetric matrix (Majorana mass matrix) this requires some care as it is not a "normal" matrix i.e. it does not commute with hermitian conjugate.

We recall an SVD (singular value decomposition).

A square complex matrix  $\exists$  SVD factorisation s.t.

$$M = U D V^{\dagger}$$

$D \equiv$  diagonal matrix with REAL entries.  $D_{ii} > 0 \quad \forall i$ .

$$U, V \text{ unitary i.e. } U^{\dagger}U = UU^{\dagger} = \mathbb{1}.$$

$$V^{\dagger}V = VV^{\dagger} = \mathbb{1}.$$

## Neutrino masses

We can compute  $U$  &  $V$  by diagonalising the hermitian matrix:

$$U^\dagger (M M^\dagger) U = D^2 \quad V^\dagger (M^\dagger M) V = D^2.$$

If  $M$  is complex, symmetric  $\Rightarrow$  relationship between  $U$  &  $V$

$$M = U^T D U$$

$$U^\dagger U = U U^\dagger = \mathbb{1}$$

Takagi factorisation.

# Neutrino masses

## Application to mass matrices

Dirac mass matrix  $M_{\alpha\beta}$  is an arbitrary complex matrix:

$$L_m = M_{\alpha\beta} \bar{\psi}_L^\alpha \psi_R^\beta$$

we can act on left & right fields independently

$$\psi_L^\alpha \rightarrow U_{\alpha j} \psi_L^j$$

Unitary matrix

$$\psi_R^\alpha \rightarrow V_{\alpha j} \psi_R^j$$

← arbitrary

$$\begin{aligned} L_m &= (U^\dagger M V)_{ij} \bar{\psi}_L^i \psi_R^j \\ &= D_{ij} \bar{\psi}_L^i \psi_R^j \end{aligned}$$

For Majorana mass,  $M_{\alpha\beta}$ , we don't have the freedom to rotate the two fields in the mass term independently:

$$\begin{aligned} L_m &= M_{\alpha\beta} \bar{\psi}_\alpha^c \psi_\beta \\ &= (U^\dagger M U)_{ij} \bar{\psi}_i^c \psi_j \\ &= D_{ij} \bar{\psi}_i^c \psi_j \end{aligned}$$