

Prospects for α_s measurement at the LHC using soft drop jet mass Aditya Pathak DESY

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Motivation

Fine structure constant: α = 7.297 352 5693(11)×10⁻³



Strong coupling constant: $\alpha_s(m_Z) = 0.1179 \pm 0.0010$

Motivation

PDG, World average









Motivation

Uncertainties in α_s propagate into almost all measurements at the LHC

 α_{s} world average: $\alpha_{s}(m_{z}) = 0.1179 \pm 0.0010$

LEP measurements in tension with world average

Can we get new measurements of α_{c} at the LHC using soft drop jet mass?







Motivation for soft drop jet mass



- Measurable and calculable observable for hadron colliders

[Larkoski, Marzani, Soyez, Thaler 2014]

• Reduces sensitivity to the underlying event and hadronization effects

[Les Houches 2017]



$\alpha_e = 0.0072973525693(11)$ **Notivation for soft drop jet mass**

Why soft drop jet mass when we have EECs?!

- •Why not?
- •Address a decade long curiosity as to whether soft drop jet mass is capable of delivering a competitive α_s measurement.
- Soft drop jet mass is currently one of the **best studied and resummed jet substructure** observa $\hat{\mathbb{Q}}_{II}^{d} \hat{\mathbb{Q}}^{[J]}(\hat{n}_1) = \hat{\gamma}(J) \vec{\mathbb{Q}}^{[J]}(\hat{n}_1)$
- A precise field-theoretic understanding of hadronization in this case enables assessment of α_s sensitivity in a completely model independent way.





1. Quark-gluon fraction and PDF dependence

3. Hadronization effects



Outline

2. NNLL resummed cross section



4. Results





Soft drop jet mass in inclusive jets

Hard function for $a + b \rightarrow c + X$

 $\frac{\mathrm{d}^3\sigma}{\mathrm{d}p_T\mathrm{d}\eta\mathrm{d}\xi} = \sum_{abc} \int \frac{\mathrm{d}x_a \mathrm{d}x_b \mathrm{d}z}{x_a x_b z} f_a(x_a,\mu) f_b(x_b,\mu) H_{ab}^c\left(x_a,x_b,\eta,\frac{p_T}{z},\mu\right) \mathcal{G}_c(z,\xi,p_T,R,\mu) \,,$

Parton distribution functions

Hard collinear factorization for $\xi \ll 1$ *:*

ij

$$\begin{aligned} \mathcal{G}_{c}(z,\xi,p_{T},R,\mu) &= \sum_{i} \mathcal{H}_{c \to i}(z,p_{T}R,\mu) \ \mathcal{J}_{i}(\xi,p_{T},\eta,R,\mu) \ , \qquad \xi \ll 1 \\ \mathcal{H}_{i \to j}(z,p_{T}R,\mu) &= J_{ij}(z,p_{T}R,\mu) N_{\text{incl}}^{j}(p_{T}R,\mu) \end{aligned} \qquad \begin{bmatrix} \text{Kang, Lee, Liu, F} \\ \text{[Cal, Lee, Ringer, [Hannesdottir, A]} \end{aligned}$$

Inclusive jet function

A single jet initiating parton *i*

Ringer 2018], Waalewijn 2020] P, Schwartz, Stewart 2022]

Focus on this piece









Quark-gluon fraction

q/g fraction is internal to our calculation
(not an external input, not taken from experiments)

We can nevertheless "pull out" the q/g fractions and study their dependence on PDFs:

Normalize to inclusive cross section:

$$\frac{1}{\sigma_{\rm incl}(p_T,\eta)} \frac{{\rm d}^3 \sigma}{{\rm d} p_T {\rm d} \eta {\rm d} \xi} = x$$

$$\sigma_{\rm incl}(p_T,\eta) \equiv \frac{{\rm d}^2 \sigma}{{\rm d} p_T {\rm d} \eta} = \sum_{a,b,c,d} f_a \otimes f_b \otimes H^c_{ab} \otimes J_{cd}, \qquad x_\kappa (p_T R,\eta,\mu) \equiv \frac{\sum_{a,b,c} f_a \otimes f_b \otimes H^c_{ab} \otimes J_{cd}}{\sigma_{\rm incl}(p_T,\eta)}$$

$$\tilde{\mathcal{G}}_{\kappa}(\xi, p_T R, \mu) \equiv \frac{1}{\sigma_{\kappa}^{\text{incl}}} \frac{\mathrm{d}\sigma_{\kappa}}{\mathrm{d}\xi}(p_T, \eta) = N_{\text{incl}}^{\kappa}(p_T R, \mu) \mathcal{J}_{\kappa}(\xi, p_T, \eta, R, \mu)$$

$$_{q}\tilde{\mathcal{G}}_{q}(\xi,p_{T}R,\mu) + x_{g}\tilde{\mathcal{G}}_{g}(\xi,p_{T}R,\mu)$$





Quark-gluon fraction

q/g fraction is internal to our calculation (not an external input, not taken from experiments)

PDF	α_s used	x_q	% change
NNPDF 23 LO	0.119	0.479	-6.0
NNPDF 23 NLO	0.119	0.517	1.3
NNPDF 23 NNLO	0.119	0.523	2.5
NNPDF 23 NNLO	0.120	0.514	0.84
CT18NLO_as_0119	0.119	0.514	0.87
CT18NNLO_as_0119	0.119	0.507	-0.49
MSTW2008nlo68cl	0.120	0.510	0.063
MSTW2008nlo68cl	0.117	0.514	0.87
mean		0.510	1.6

Using various PDFs combined with hard functions:

$$f_{\rm LO} = 0.507, \qquad f_{\rm NLO}$$

We can nevertheless "pull out" the q/g fractions and study their dependence on PDFs:

 $= 0.530, \quad f_{\rm NNLO} = 0.538$

Subdominant effect on α_s uncertainty for normalized cross section

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Nonperturbative region (no theoretical control)

Soft drop operator expansion region (SDOE)



 m_J^2 $p_T^2 R^2$





Region for fitting to α_s



SDOE region only accessible for LHC Kinematics!



 $\log_{10}(\xi)$

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Matched cross section (for quark jets)



 $\tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{matched}}(\xi) \equiv \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{resum}}(\xi,\mu_{\mathrm{sd}\to\mathrm{plain}}) + \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{plain}}(\xi,\mu_{\mathrm{plain}}) - \tilde{\mathcal{G}}_{\mathrm{sd}}^{\mathrm{resum}}(\xi,\mu_{\mathrm{plain}}) \\
+ \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{min}}(\xi,r_{g}^{\mathrm{max}}(\xi),\mu_{\mathrm{min}\to\mathrm{plain}}) - \tilde{\mathcal{G}}_{\mathrm{sd}}^{\mathrm{int}}(\xi,r_{g}^{\mathrm{max}}(\xi),\mu_{\mathrm{min}\to\mathrm{plain}})$



Matched cross section (for gluon jets)



 $\tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{matched}}(\xi) \equiv \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{resum}}(\xi,\mu_{\mathrm{sd}}\rightarrow\mathrm{plain}) + \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\mathrm{plain}}(\xi,\mu_{\mathrm{plain}}) - \tilde{\mathcal{G}}_{\mathrm{sd}}^{\mathrm{resum}}(\xi,\mu_{\mathrm{plain}})$ $+ \tilde{\mathcal{G}}_{\kappa,\mathrm{sd}}^{\min}(\xi, r_g^{\max}(\xi), \mu_{\min\to\mathrm{plain}}) - \tilde{\mathcal{G}}_{\mathrm{sd}}^{\mathrm{int}}(\xi, r_g^{\max}(\xi), \mu_{\min\to\mathrm{plain}})$





Scale variations





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Scale variations

Convergence from NLL to NNLL:



Only displaying scale variations in the SDOE region



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Hadronization corrections

Substantial nonperturbative effects in the α_s fit region



How can we assess impact of hadronization on α_s in a **model-independent** way?

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Hadronization corrections

Model-independent statement on hadronization power corrections:

[Hoang, Mantry, AP, Stewart 2019]



- At NLL' in [AP, Stewart, Vaidya, Zoppi 2020],
- Improved to NNLL + matching to ungroomed region in [AP JHEP 08(2023) 054]

Perturbatively calculable

Constant $O(\Lambda_{OCD})$ **nonperturbative parameters**







Hadronization corrections

Model-independent statement on hadronization power corrections:

[Hoang, Mantry, AP, Stewart 2019]



Perturbatively calculable

Constant $O(\Lambda_{OCD})$ **nonperturbative parameters**

$$\frac{d\hat{\sigma}_{\kappa}}{dr_{J}^{2}} \equiv \int \frac{\mathrm{d}r_{g}\mathrm{d}z_{g}\,\delta\left(z_{g}-z_{\mathrm{cut}}r_{g}^{\beta}\right)}{r_{g}} \frac{1}{\hat{\sigma}_{\kappa}} \frac{\mathrm{d}^{3}\hat{\sigma}_{\kappa}}{\mathrm{d}m_{J}^{2}\mathrm{d}r_{g}\mathrm{d}z_{g}}$$

• At NLL' in [AP, Stewart, Vaidya, Zoppi 2020],

• Improved to NNLL + matching to ungroomed region in [AP JHEP 08(2023) 054]





of the nonperturbative power corrections in the SDOE region

Reasonable to expect hadronization models to be consistent with these constraints.

MCs agree reasonably well with NNLL calculations of perturbative weights \longrightarrow Parton level extraction is consistent.

(Discrepancy in pp near cusp is not a problem)

Our field-theory based analysis places strong constraints on the universality properties

[Ferdinand, Lee, AP 2301.03605]







Our field-theory based analysis places strong constraints on the universality properties of the nonperturbative power corrections in the SDOE region

Reasonable to expect hadronization models to be consistent with these constraints.

MCs agree reasonably well with NNLL calculations of perturbative weights \longrightarrow Parton level extraction is consistent.

Let us now test the consistency of hadronization correction

[Ferdinand, Lee, AP 2301.03605]





of the nonperturbative power corrections in the SDOE region



Our field-theory based analysis places strong constraints on the universality properties

[Ferdinand, Lee, AP 2301.03605]

Reasonable to expect hadronization models to be consistent with these constraints.

Quark Jets	$\Omega_{1q}^{\infty}(\text{GeV})$	$\Upsilon^{\otimes}_{1,0q}(\text{GeV})$	$ \Upsilon^{\otimes}_{1,1q}(\text{GeV}) $	$\chi^2_{\rm min}/{ m dof.}$
$e^+e^- \rightarrow q\bar{q}$	$0.55\substack{+0.06 \\ -0.03}$	$-0.57^{+0.16}_{-0.19}$	$1.06^{+0.31}_{-0.35}$	$0.77\substack{+0.03 \\ -0.00}$
$pp \rightarrow Z + q$	$0.56\substack{+0.05 \\ -0.14}$	$-0.73^{+0.29}_{-0.28}$	$0.89^{+0.27}_{-0.25}$	$0.65\substack{+0.01 \\ -0.02}$
Gluon Jets	$\Omega^{\infty}_{1g}(\text{GeV})$	$\Upsilon^{\otimes}_{1,0g}(\text{GeV})$	$\Upsilon^{\otimes}_{1,1g}(\text{GeV})$	$\chi^2_{\rm min}/{\rm dof.}$
$e^+e^- \rightarrow gg$	$1.92^{+0.16}_{-0.32}$	$-0.48^{+0.23}_{-0.22}$	$0.87^{+0.25}_{-0.25}$	$3.13^{+0.05}_{-0.20}$
$pp \rightarrow Z + g$	$0.93^{+0.01}_{-0.12}$	$-0.24^{+0.11}_{-0.01}$	$0.89^{+0.20}_{-0.23}$	$1.34^{+0.05}_{-0.10}$





of the nonperturbative power corrections in the SDOE region



Our field-theory based analysis places strong constraints on the universality properties

[Ferdinand, Lee, AP 2301.03605]

Reasonable to expect hadronization models to be consistent with these constraints.





Compare quark jets vs. gluon jets



Soft drop is the unique jet substructure observable showing a rich interplay between perturbative and non-perturbative dynamics





Universality constraints can diagnose problems in MCs!

Performing fits for parameters **independently diagnosed a problem with the default Herwig tune** in 2019, later confirmed by authors, and fix incorporated in subsequent versions.



Hoang, Mantry, AP, Stewart JHEP 12 (2019) 002

	Event Generator	$\Omega^{\infty}_{1q} \ (\text{GeV})$	$\Upsilon^q_{1,0} \ (\text{GeV})$	$\Upsilon^q_{1,1}$ (GeV)	$\chi^2_{ m m}$
	Рүтніа 8.235	1.63	-1.21	0.33	
1	VINCIA 2.2	1.22	-1.04	0.50	
	HERWIG 7.1.4 (default)	1.14	-1.73	-0.15	
	HERWIG 7.1 $(p_T B)$	1.14	-1.32	-0.11	



Zcut





Back to α_s

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What to do about hadronization?

Ideally, fit for 7 parameters to stay model-independent. Challenging?

$$(\alpha_s, \Omega_{1q}^{\scriptscriptstyle (\mathfrak{O})}, \Omega_{1g}^{\scriptscriptstyle (\mathfrak{O})}, \Upsilon_{1,0q}^{\scriptscriptstyle (\mathfrak{O})}, \Upsilon_{1,0g}^{\scriptscriptstyle (\mathfrak{O})}, \Upsilon_{1,1q}^{\scriptscriptstyle (\mathfrak{O})}, \Upsilon_{1,1g}^{\scriptscriptstyle (\mathfrak{O})})$$

Values obtained from fit to Pythia8: [Ferdinand, Lee, AP 2301.03605]

$$\begin{split} \Omega^{\varpi}_{1,q} &= 0.55 \,\mathrm{GeV}\,, \quad \Upsilon^{\circledcirc}_{1,0q} = -0.73 \,\mathrm{GeV}\,, \quad \Upsilon^{\circledcirc}_{1,1q} = 0.90 \,\mathrm{GeV}\,, \quad \text{for quarks}, \\ \Omega^{\varpi}_{1g} &= 0.91 \,\mathrm{GeV}\,, \quad \Upsilon^{\circledcirc}_{1,0g} = -0.24 \,\mathrm{GeV}\,, \quad \Upsilon^{\circledcirc}_{1,0g} = 0.90 \,\mathrm{GeV}\,, \quad \text{for gluons.} \end{split}$$

Good for uncertainty estimate, exact values not important!

Instead, treat them as nuisance parameters

Impact of hadronization corrections

Take uncertainty as difference between parton and hadron level

Comparison with previous work

- **Resummation and matching**: state-of-the-art NNLL resummation, fully analytical treatment of perturbative power corrections, included finite- z_{cut} non-singular corrections (found negligible).
- **Perturbative uncertainty**: Comprehensive variation of profile parameters that break a specific canonical-relation by randomly chosen points in a well-studied variation range.
- Transition into ungroomed region: Consistent analytical matching to ungroomed region at NNLL at the soft-wide angle transition point.

• **Resummation at soft drop cusp:** 20% shift in the cusp location suggested by [Benkendorfer, Larkoski 2021] (too large?) is only a 5% modification to the range we used for our α_s -sensitivity analysis.

[Frye, Larkoski, Schwartz, Yan 2016] [Marzani, Schunk, Sodes 2017] [Anderle, Dasgupta, El-Menoufi, Helliwell, Guzzi 2020] [Kang, Lee, Liu, Ringer 2018] [Larkoski, 2020] [Benkendorfer, Larkoski 2021]

• Nonperturbative effects: Incorporated using model-independent field theory based formalism to

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Dependence on slope

$$\frac{\mathrm{d}\sigma_{\mathrm{resum}}^{\kappa}}{\mathrm{d}\log_{10}(\xi)} \propto \exp\left[-\alpha_{s}(\mu)a\right]$$

Slope depends linearly on α_s

- According to leading-logarithmic estimate:
 - $a_k \log_{10}(\xi) \approx 1 \alpha_s(\mu) a_k \log_{10}(\xi)$

 - [Les Houches 2017]

Two ways to normalize

• Normalize to inclusive cross section in the $p_T - \eta$ bin: $\frac{1}{\sigma_{\text{incl}}} \frac{d^3 \sigma}{d p_T d \eta d \xi}$,

Proposed in [Kang, Lee, Liu, Ringer 2018]

• Normalize to cross section in range: $\frac{1}{\sigma_{\text{fitrg}}} \frac{d^3 \sigma}{d p_T d \eta d \xi}$ • Pursued by [ATLAS 1711 007741 days Pursued by [ATLAS 1711.08341, 1912.09837]

$$\sigma_{\rm incl} = \frac{{\rm d}^2\sigma}{{\rm d}p_T {\rm d}\eta},$$

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Uncertainties in fit-range normalized spectrum

Effects of higher order resummation on slope

Effects of higher order resummation on slope

Effects of higher order resummation on slope

Results for fit-range normalization

Quark jets:

Gluon jets:

Results for fixed-range normalization

Quark jets:

Fixed-range normalization eliminates almost all α_s sensitivity of quark jets

Gluon jets:

Results for inclusive normalization

Normalize to inclusive cross section in the p_T - η bin: $\frac{1}{\sigma_{\text{incl}}} \frac{d^3\sigma}{dp_T d\eta d\xi}$

 $\sigma_{\rm incl} = \frac{{\rm d}^2 \sigma}{{\rm d} p_T {\rm d} \eta}$

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Results for inclusive normalization

Quark jets:

Gluon jets:

Results for inclusive normalization

Quark jets:

Gluon jets:

Prospects for improving precision

- Uncertainties can be reduced by going to higher logarithmic orders
- All **NNLL** data known

[Bell, Rahn, Talbert 2018-2020] [Frye, Larkoski, Schwartz, Yan 2016]

• Recent results at N³LL for hemisphere jets in e^+e^- collisions

[Kardos, Larkoski, Trócsányi]

- Need 2-loop constant pieces for extending to N³LL
 - collinear-soft (gluon jets) and
 - global-soft (quark and gluon jets, $R < \pi/2$)

Conclusions

- Combined **perturbative** uncertainty of around **9% for quark jets and 16% for gluon jets**. • For $\beta = 1$ we find **nonperturbative** uncertainty of **3% for quark jets and 8% for gluon jets**. • q/g fraction well defined in theoretical calculations. PDF dependence subdominant for normalized cross sections.
- Model-independent estimate of nonperturbative power corrections
- Normalizing to inclusive cross section in $p_T \eta$ bin essential to retain α_s -sensitivity
- N³LL calculations would reduce perturbative uncertainty
- **Constrain nonperturbative parameters** using multiple z_{cut} , β values.

let $\gamma = 0$

Photon $\rho_{1} = 1^{7} 5 (...$

Muon $p_{T} = 55 Ge$ = 0 4

Backup slides

Soft drop jet mass in inclusive jets

 $\tilde{\mathcal{G}}_{\kappa}(\xi, p_T R, \mu) \equiv \frac{1}{\sigma_{\kappa}^{\text{incl}}} \frac{\mathrm{d}\sigma_{\kappa}}{\mathrm{d}\xi}(p_T, \eta) = N_{\text{incl}}^{\kappa}(p_T R, \mu) \mathcal{J}_{\kappa}(\xi, p_T, \eta, R, \mu)$

Energy scales:

- Soft drop scale
- Nonperturbative scale: Λ_{OCD}

• Hard-collinear scale: $Q = p_T R$

$$e: Q_{\text{cut}} = z_{\text{cut}} Q\left(\frac{R}{R_0}\right)^{\beta}$$

 $\log_{10}(\xi)$

Region for fitting to α_s

 $\xi = \frac{m_J^2}{p_T^2 R^2}$ m_J^2 $\overline{Q^2}$

Soft drop resummation region: $\alpha_s^n \ln^m(\xi/\xi_0)$

Scale variations

Impact of scale variations: dependence on eta

