

Missing Higher Order Uncertainties and PDFs up to N3LO

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QCD@LHC 2023, IPPP Durham, 7 September
2023

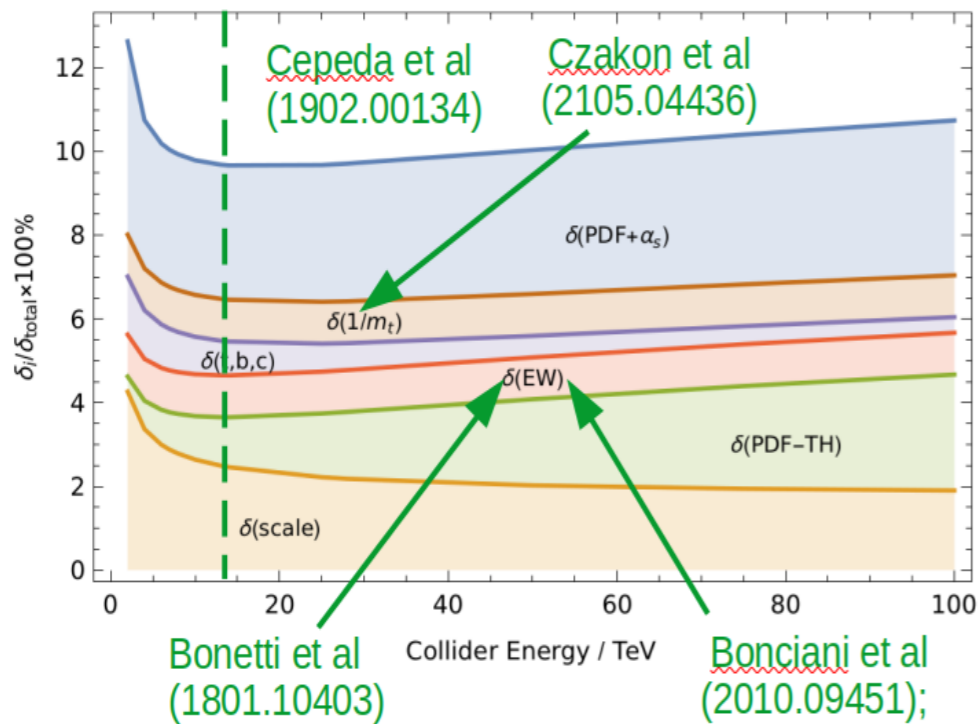
*In collaboration with Tom Cridge, Jamie
McGowan and Robert Thorne*



Motivation

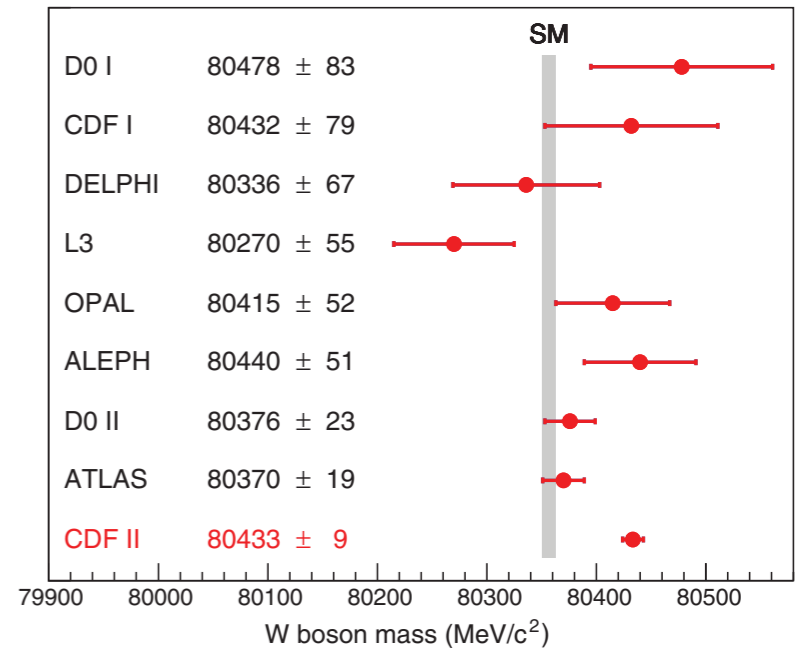
- Accurate account of PDF uncertainties key bottleneck in LHC physics analyses:

Higgs



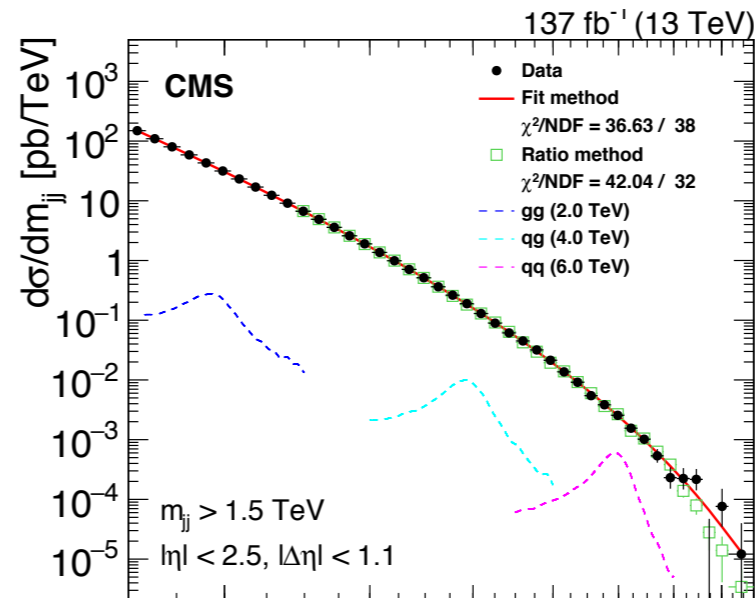
LHC Higgs XSWG 2019

SM Precision



BSM searches

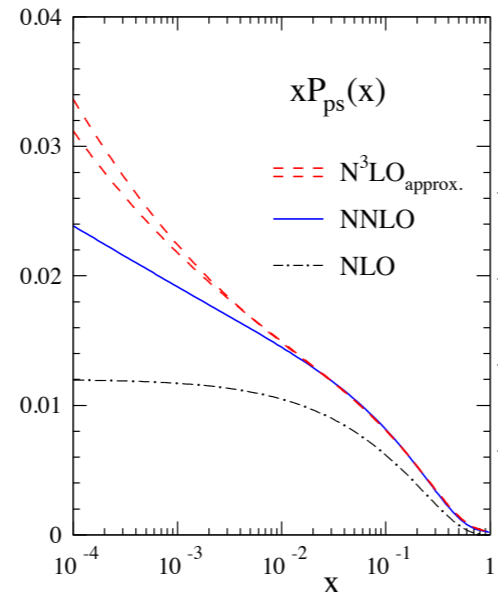
1911.03947 (JHEP05 (2020) 033)



→ Must include all sources of PDF uncertainty. Key element due to **missing higher orders (MHOs)** in the PDF fit theory.

- State-of-the art for PDF fits is **NNLO** in QCD: all relevant PDF processes/theory known at this order. But much progress made at **N3LO**:

★ PDF evolution



$$\begin{aligned} \gamma_{ps}^{(3)}(N=2) &= -691.5937093 n_f + 84.77398149 n_f^2 + 4.466956849 n_f^3, \\ \gamma_{ps}^{(3)}(N=4) &= -109.3302335 n_f + 8.776885259 n_f^2 + 0.306077137 n_f^3, \\ \gamma_{ps}^{(3)}(N=6) &= -46.03061374 n_f + 4.744075766 n_f^2 + 0.042548957 n_f^3, \\ \gamma_{ps}^{(3)}(N=8) &= -24.01455020 n_f + 3.235193483 n_f^2 - 0.007889256 n_f^3, \\ \gamma_{ps}^{(3)}(N=10) &= -13.73039387 n_f + 2.375018759 n_f^2 - 0.021029241 n_f^3, \\ \gamma_{ps}^{(3)}(N=12) &= -8.152592251 n_f + 1.819958178 n_f^2 - 0.024330231 n_f^3, \\ \gamma_{ps}^{(3)}(N=14) &= -4.840447180 n_f + 1.438327380 n_f^2 - 0.024479943 n_f^3, \\ \gamma_{ps}^{(3)}(N=16) &= -2.751136330 n_f + 1.164299642 n_f^2 - 0.023546009 n_f^3, \\ \gamma_{ps}^{(3)}(N=18) &= -1.375969240 n_f + 0.960873318 n_f^2 - 0.022264393 n_f^3, \\ \gamma_{ps}^{(3)}(N=20) &= -0.442681568 n_f + 0.805745333 n_f^2 - 0.020918264 n_f^3. \end{aligned}$$

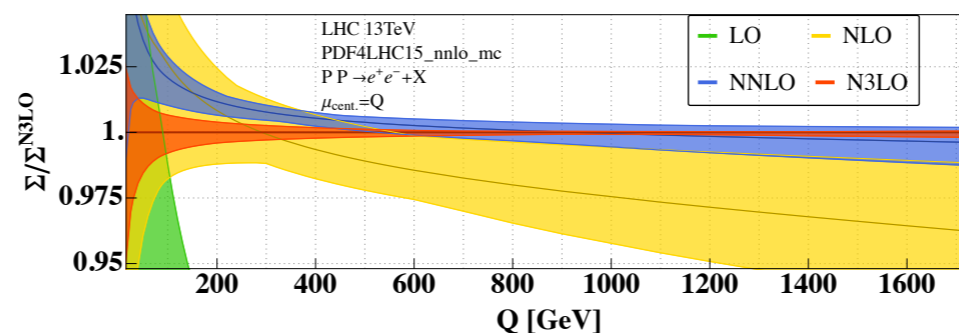
G. Falcioni et al.,
Phys.Lett.B 842 (2023)
137944

See F. Herzog's talk

- Can we make use of this information already in PDF fits?

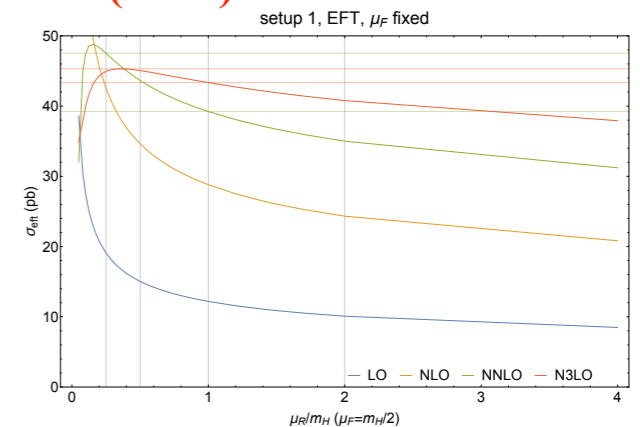
★ Cross sections:

C. Duhr and B. Mistleberger, *JHEP* 03 (2022) 116



Drell Yan

C. Anastasiou et al., *JHEP* 05 (2016) 058



Higgs

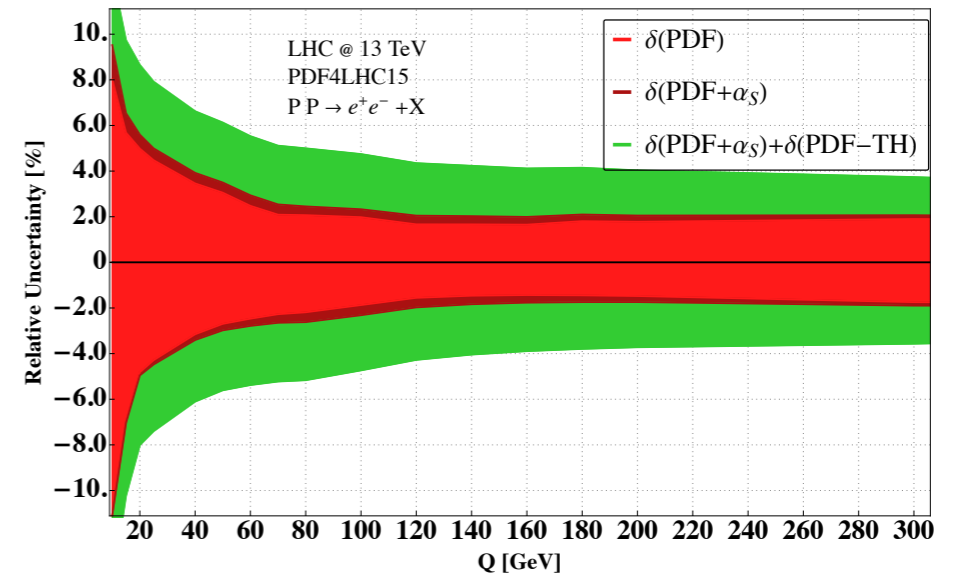
- N3LO** cross section predictions \leftrightarrow **N3LO** PDFs?

◆ **N3LO** cross section predictions \leftrightarrow **N3LO** PDFs?

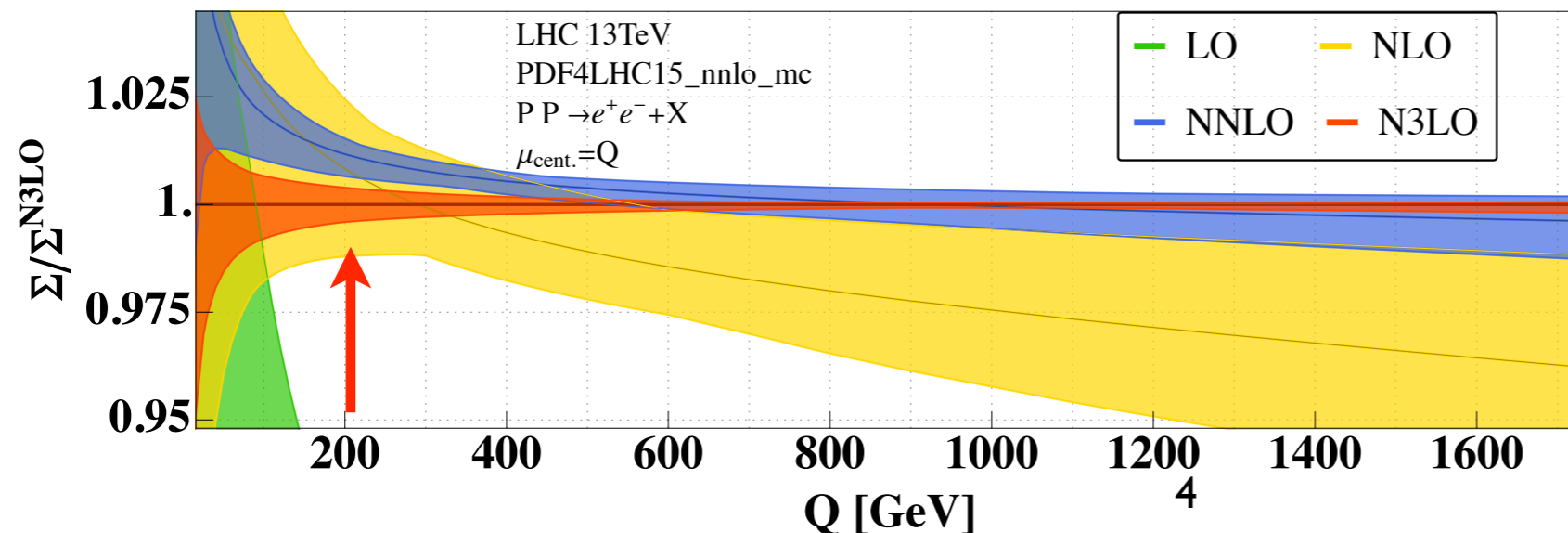
- For N3LO calculations of DY, Higgs (...) cross sections to be truly N3LO accurate requires N3LO PDFs.
- Not available, estimate uncertainty from using **NNLO** PDFs:

$$\delta(\text{PDF-TH}) = \frac{1}{2} \left| \frac{\Sigma^{\text{NNLO, NNLO-PDFs}}(Q^2) - \Sigma^{\text{NNLO, NLO-PDFs}}(Q^2)}{\Sigma^{\text{NNLO, NNLO-PDFs}}(Q^2)} \right|.$$

Clearly, rather approximate!



- Moreover, for DY the NNLO and N3LO (+ NNLO PDFs) results do not always overlap in uncertainty bands. Could this change with N3LO PDFs?



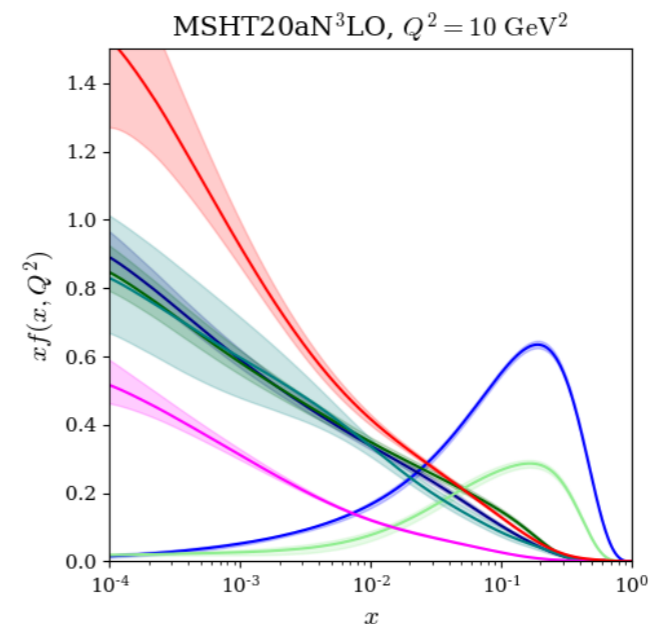
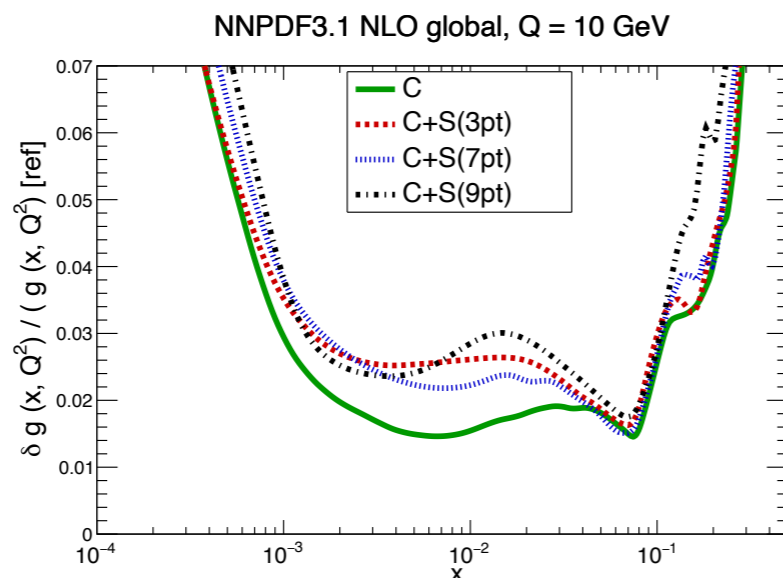
→ Motivation to work towards N3LO PDFs

- To summarise: in high (1%) precision LHC era, and precision/accuracy of PDFs need to match this! Two key, and related, elements to consider:

Uncertainties due to missing higher orders in theory

Making use of available N³LO theory in PDF fit

- For **accuracy** and **precision**: combine both of these in global PDF fit.



Approximate N³LO and PDFs

How Close to N3LO?

- How close are we to a N3LO PDF fit?

Splitting functions:

Low and high x limits. Significant Mellin moment information. Further progress underway!

See F. Herzog's talk

DIS:

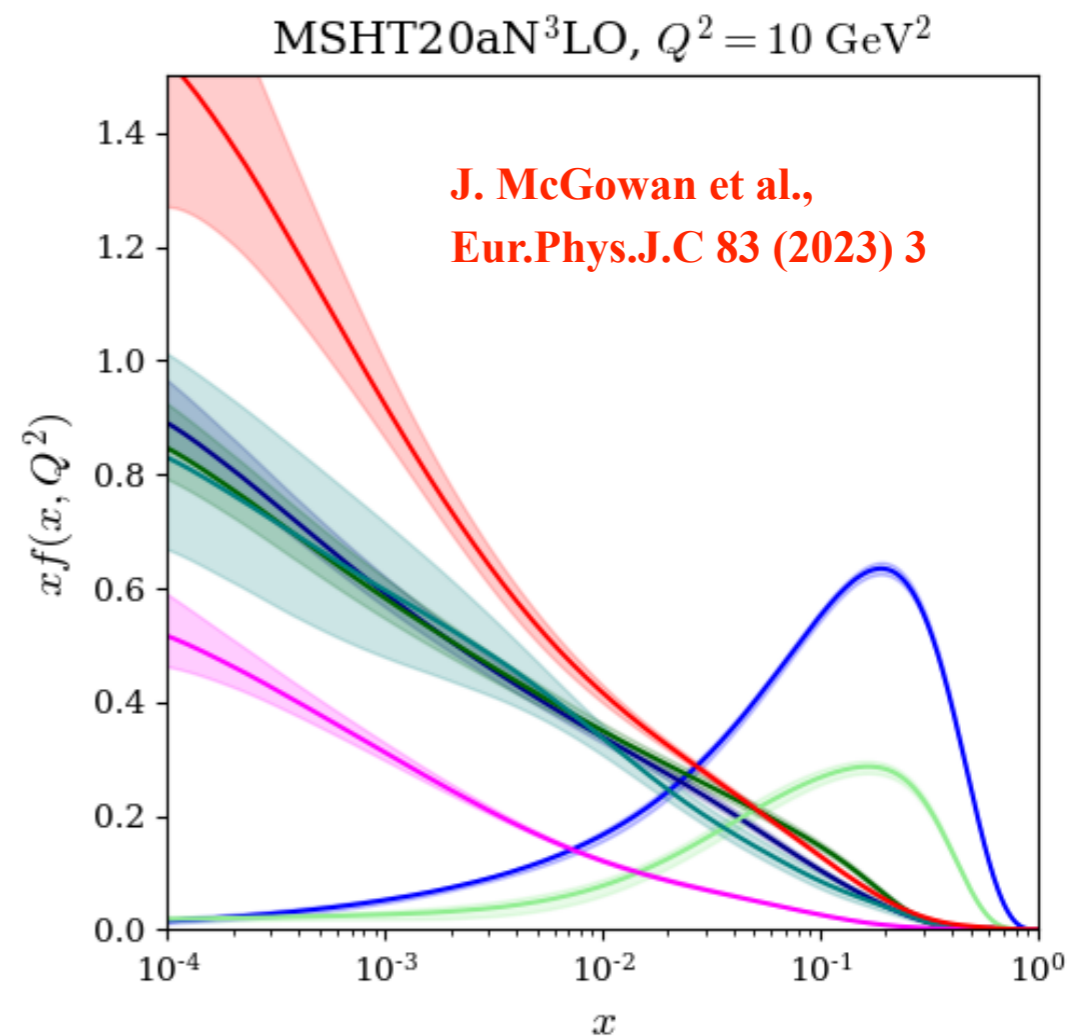
Massless coefficient functions known. Partial information on massive case and much information on transition matrix elements.

Hadronic cross sections:

Handful of (important) results. Little useable for a PDF fit: e.g. Drell-Yan in theory but not currently in practice.

- How to deal with in an approximate N3LO fit?

- First public approximate N3LO PDF set: **MSHT20aN3LO**.
- Will focus on this as case study for now, but work is ongoing by other group(s)!



- Basic idea — perform a global PDF fit where:
 - ★ When N3LO theory is **known** it is used.
 - ★ When it is **unknown**, suitable account of residual uncertainty is included, but with any known information used.
- Maximal use of available information. As more N3LO results appear, can be included in future updates → no need to wait for entire N3LO!

In More Detail...

- In general terms: parameterise higher order (\sim N3LO) corrections via **nuisance parameters** given by prior probability distribution.
- That is, starting with original fit probability:

$$P(T|D) \propto \exp\left(-\frac{1}{2}(T-D)^T H_0 (T-D)\right)$$

T : Theory (NNLO)
 D : Data
 $H_0 \sim \frac{1}{\sigma_{\text{exp}}^2}$

χ^2

- Then we model N3LO theory via: $T' = T'_0 + \theta' u$

- With shift given by **prior** probability:

$$P(\theta') = \frac{1}{\sqrt{2\pi}\sigma_{\theta'}} \exp(-\theta'^2/2\sigma_{\theta'}^2)$$

↑ **aN3LO theory** ↑ **central value \sim known N3LO** ↙ **Allowed variation \sim unknown N3LO**

- Question: How do we determine **prior**?

Splitting Functions

- While these are not known exactly at N3LO, we do know quite a lot already:

★ Form at low x :
$$P_{qg}^{(3)}(x) \rightarrow \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1 \ln^2 1/x}{2x} + \rho_{qg} \frac{\ln 1/x}{x},$$

★ Even Mellin moments up to $N \geq 8$ $\int_0^1 dx x^{N-1} P(x)$
 \Rightarrow intermediate to high x constraints.

See backup for more details!

★ Other (high x and n_F) limits.

- Parameterise $P(x)$ using set of basis functions, $f_i(x)$, e.g.:

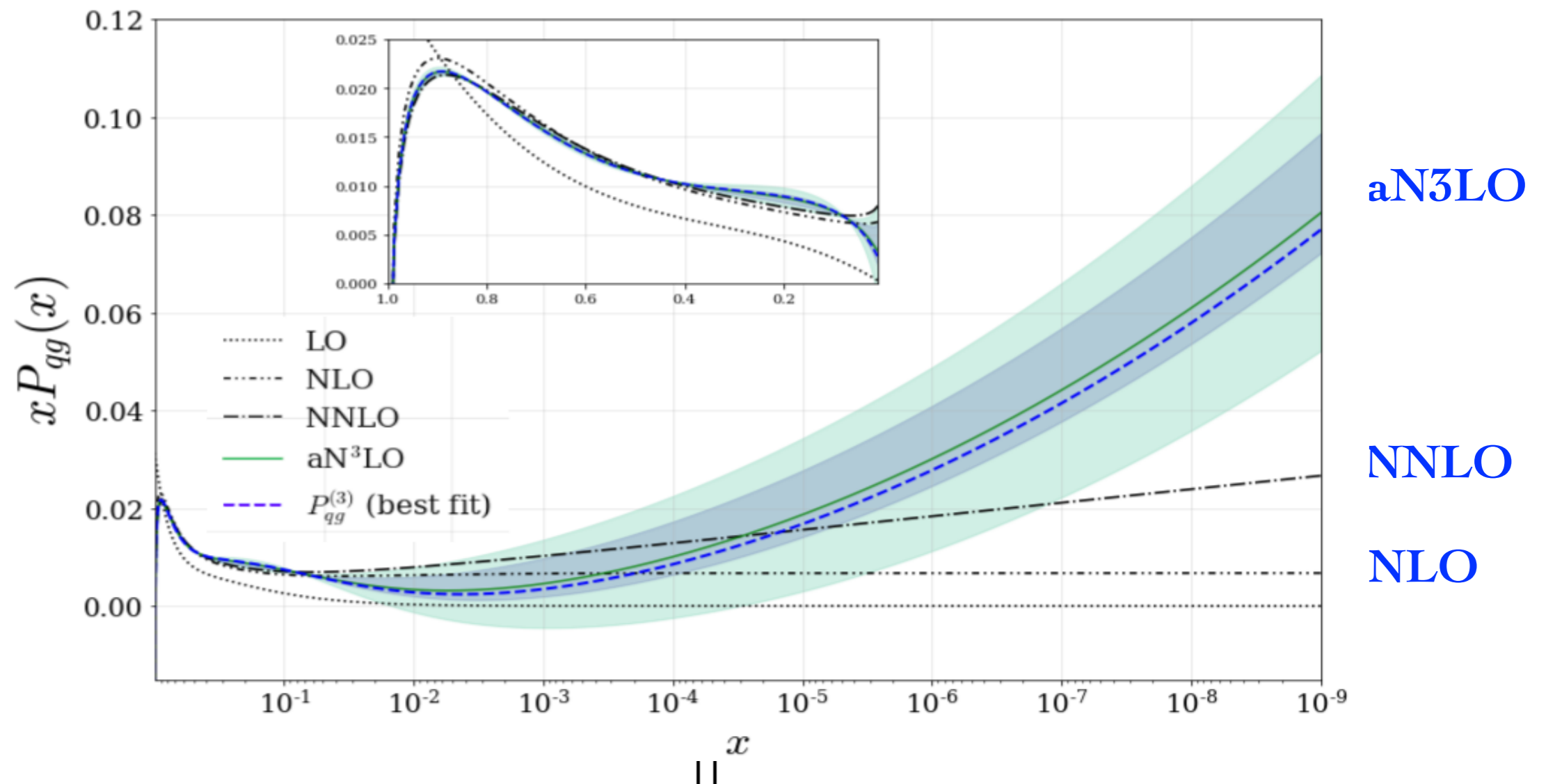
Overall:

$$P_{qg}^{(3)}(x) = A_1 \ln^2 x + A_2 \ln x + A_3 x^2 + A_4 \ln(1-x) + \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1 \ln^2(1/x)}{2x} + \rho_{qg} \frac{\ln 1/x}{x}$$

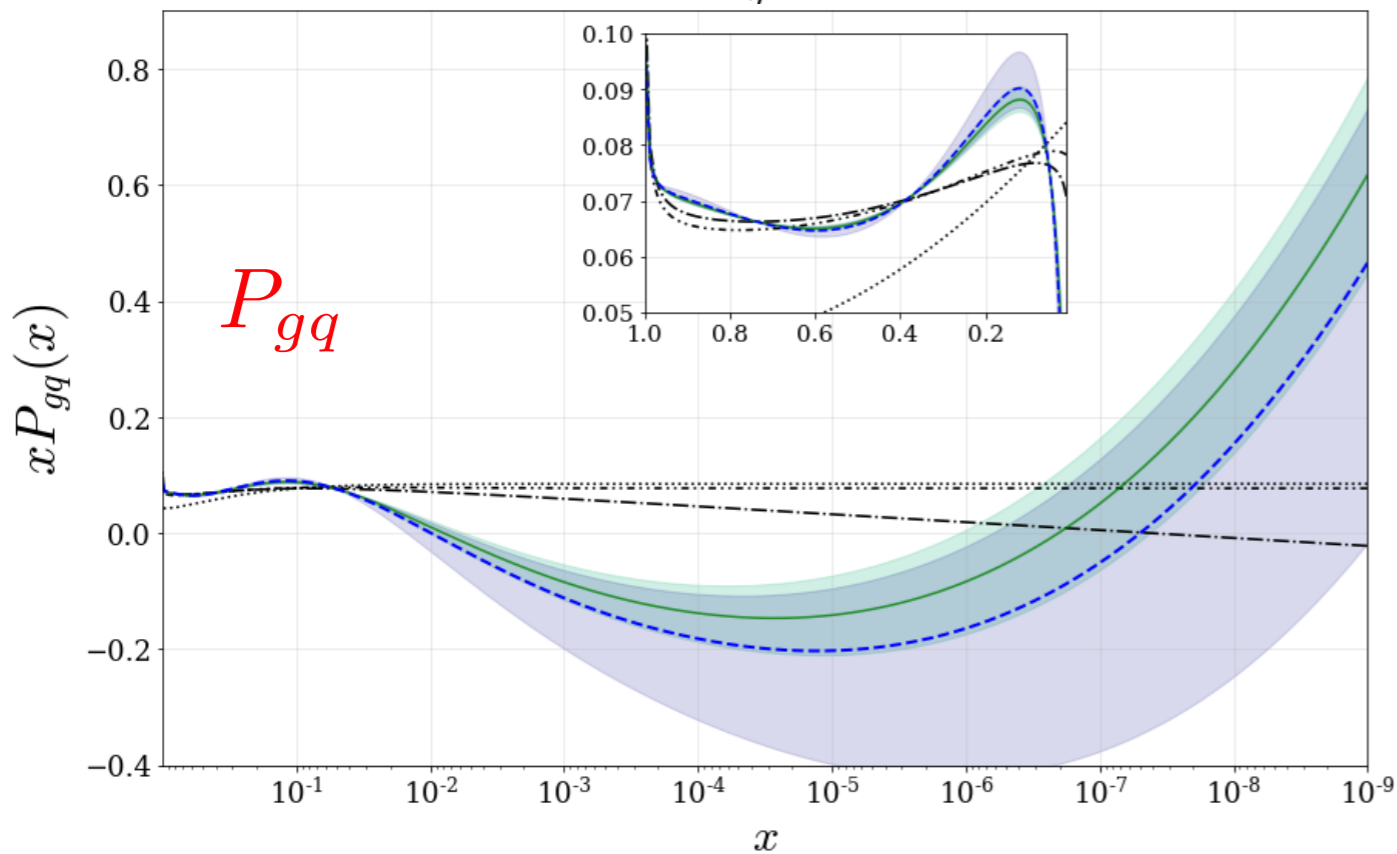
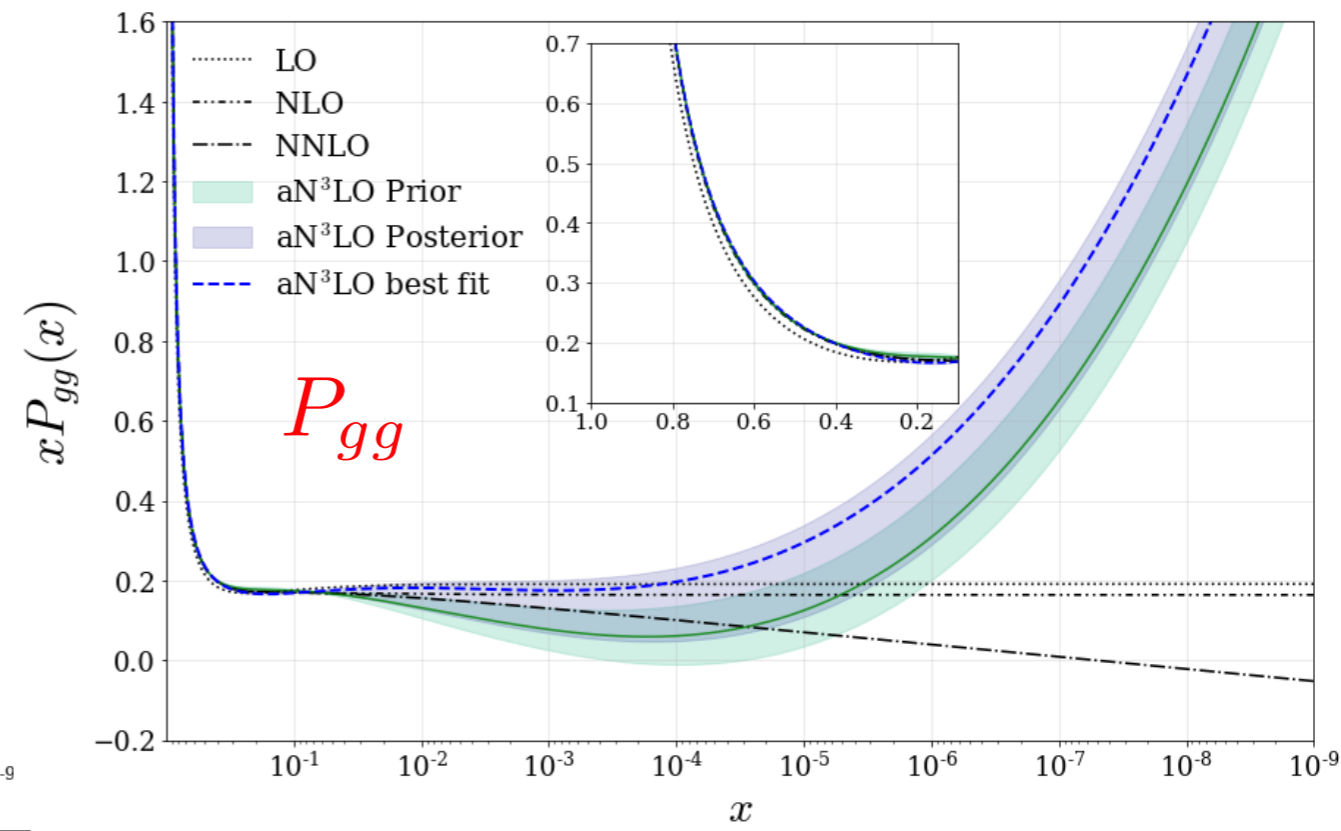
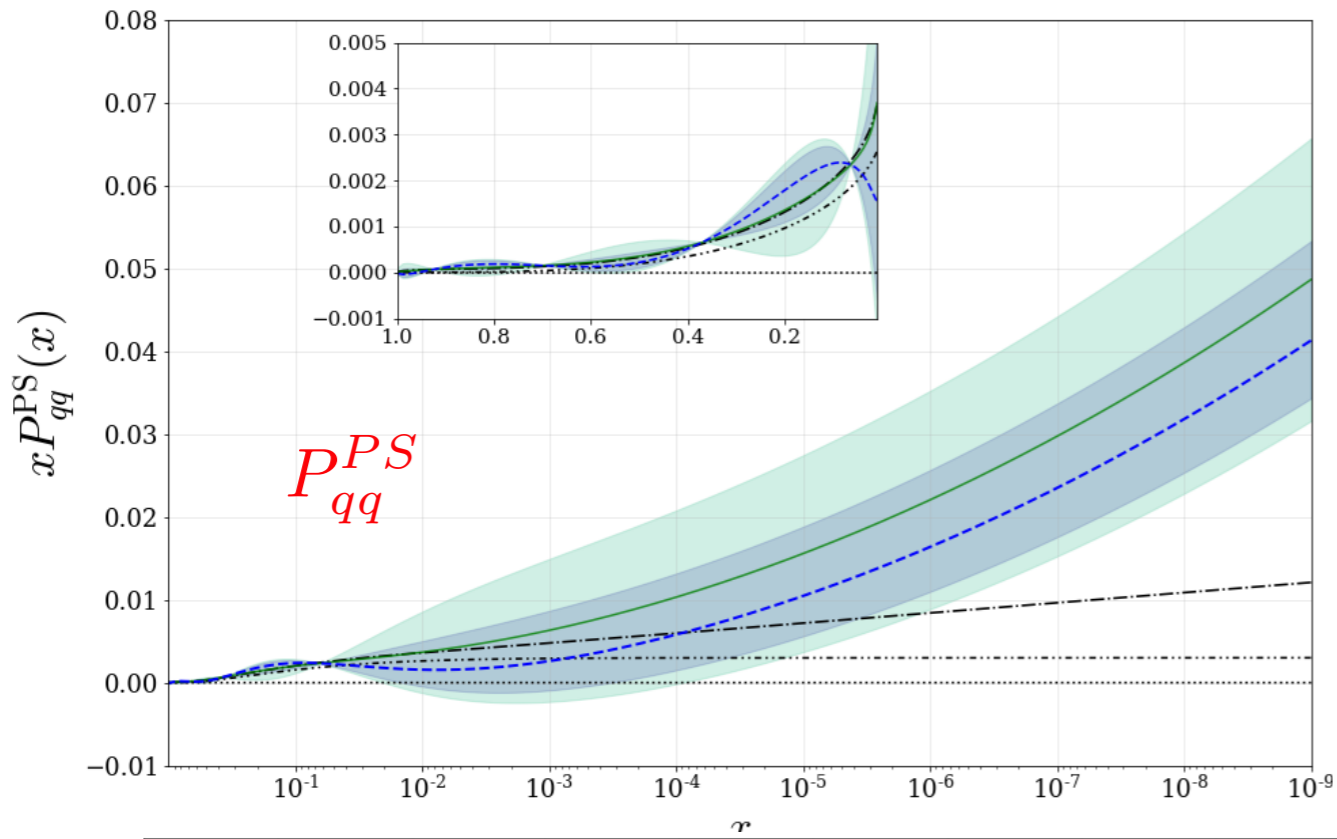
- With: A_i : Fixed by Mellin moments

ρ : Nuisance parameter. Prior range set to cover different choices of and require reasonable behaviour.

- Result for P_{qg} :
 - ★ Largest deviations at low x - corrections here larger.
 - ★ But also differences at high x , driven by known moments.
 - ★ **Green band**: central result of prior. Not centred on **NNLO** \rightarrow known information from **N3LO**.
 - ★ **Blue band**: result after fitting, i.e. agrees well with prior, but with modified central value/range.

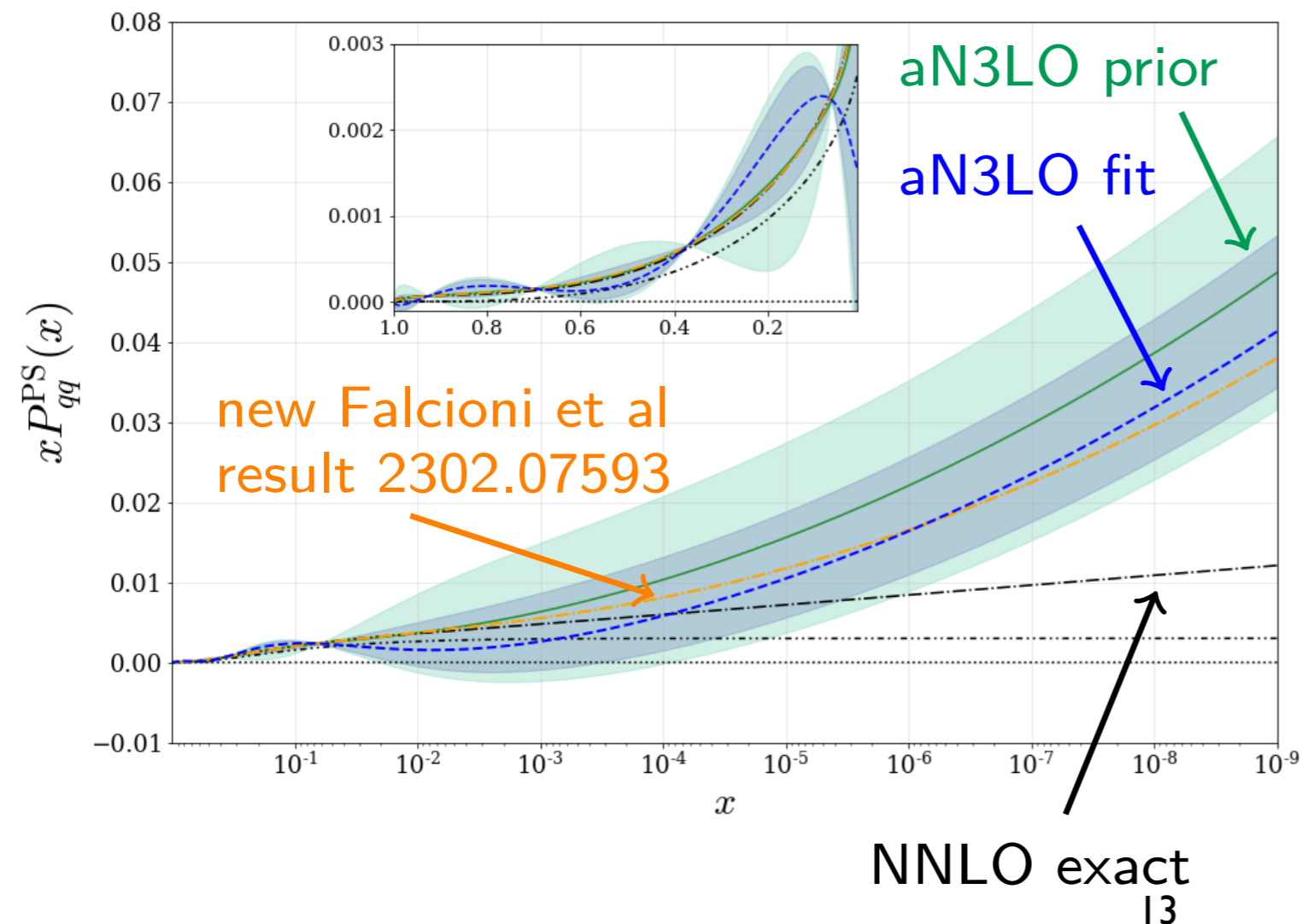
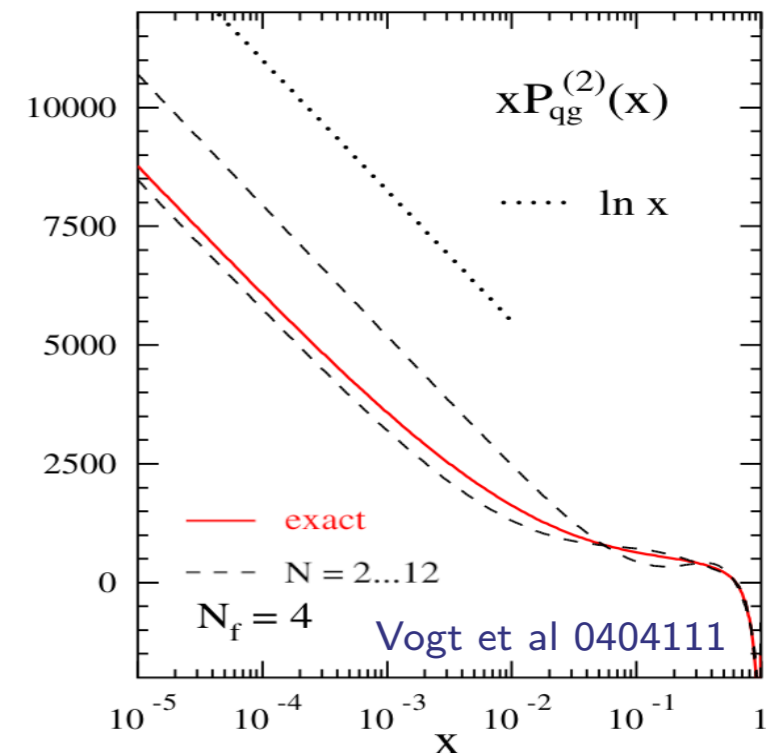


- Similar trends for other splitting functions



Validation

- Overall approach of using small x limits and Mellin moments already successfully used in other higher order calculations.
- Of particular note: used for NNLO splitting functions before full results were known. Matched eventual full calculation well!



- Validate/update continuously as more information comes in.
- Already done - new information on P_{qq}^{PS} available post-MSHTaN3LO. New result agrees well!

DIS Coefficient Functions

$$\sigma_{\text{DIS}} \sim C_i \otimes f_i$$

- DIS coefficient functions C_i known at N3LO for the massless quarks.
- Is this enough? Not quite - heavy quark contributions ($m_{c,b} \neq 0$) play important role. Here some information is known but not everything.
- Expressions for heavy flavour in low and high Q^2 limits:

★ High $Q^2 \gg m_h^2$: Zero
Mass case known exactly.

★ Low $Q^2 \sim m_h^2$: massive (FFNS)
unknown, with some information
(LL small x and mass threshold).

- Impact of heavy flavour on PDF evolution controlled by

transition matrix elements $A_{\alpha i}$.

- Some information at N3LO, but not all.

- Can follow similar procedure to approximate these (and massive coefficient functions). **(Backup)**

$$C_{H, g}^{VF} = C_{H, g}^{FF, (1)} - C_{H, H}^{VF, (0)} \otimes A_{H, g}^{(1)}$$

The diagram shows the decomposition of the coefficient function $C_{H, g}^{VF}$ into two parts: $C_{H, g}^{FF, (1)}$ (FFNS) and $C_{H, H}^{VF, (0)} \otimes A_{H, g}^{(1)}$ (VFNS). The FFNS part is represented by a diagram with a gluon line and a heavy quark loop, labeled $\hat{C}_{H, g}^{FF, (1)}$ with $m_H \neq 0$. The VFNS part is represented by a diagram with a gluon line and a heavy quark loop, labeled $\hat{C}_{H, H}^{VF, (0)}$ with $m_H = 0$. The transition matrix element $A_{H, g}^{(1)}$ is shown as a diagram with a gluon line and a heavy quark loop, labeled $\alpha_s P_{22, g} \ln(Q^2/m_H^2)$ with $(N_H=0)$.

Hadronic Collisions

- For purpose of PDF fit assume nothing is known about this, and instead include a MHO uncertainty (= aN3LO K-factor) on cross sections.
- Do not use scale variations, rather base on known NLO and NNLO:

$$K^{\text{N}^3\text{LO}/\text{LO}} = K^{\text{NNLO}/\text{LO}} \left(1 + a_1(K^{\text{NLO}/\text{LO}} - 1) + a_2(K^{\text{NNLO}/\text{NLO}} - 1) \right)$$

i.e. form of aN3LO K-factor driven by lower order known K-factors.

- Two nuisance parameters $a_{1,2}$ allowing freedom to determine preferred K-factor in fit. Normalization set so that prior distribution is $a_{1,2}^{\text{cent}} = 0$ with 1σ variation corresponding to trend with lower orders.
- As expect K-factors to behave \sim similarly between similar processes, correlate these between 5 classes of process:

★ Jets

★ $t\bar{t}$

★ Drell Yan

★ Zp_{\perp} and V

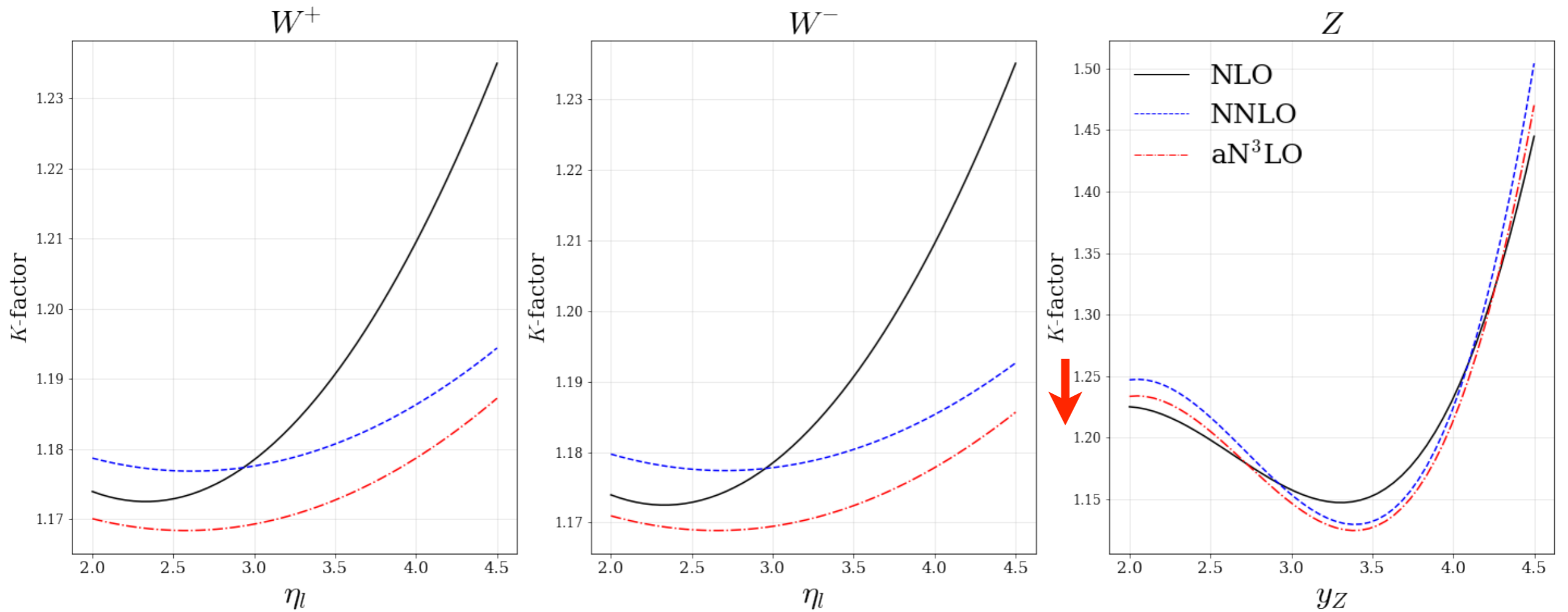
★ Neutrino-

+ jets

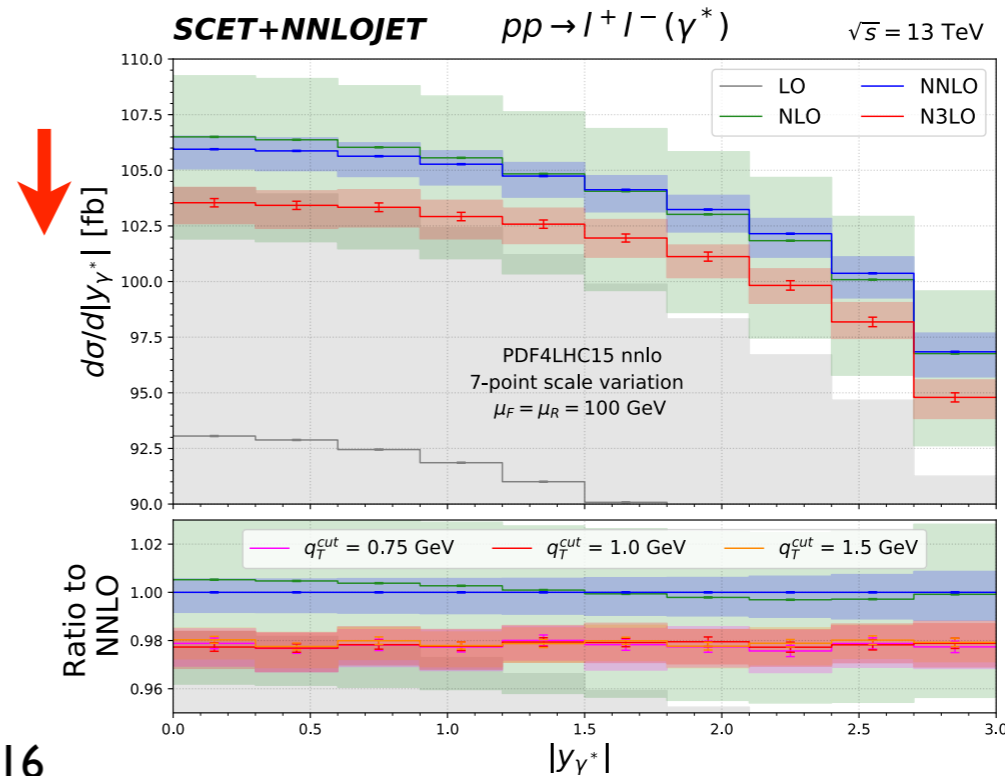
induced

‘dimuon’ DIS

★ Resulting K-factors: **Drell Yan.**

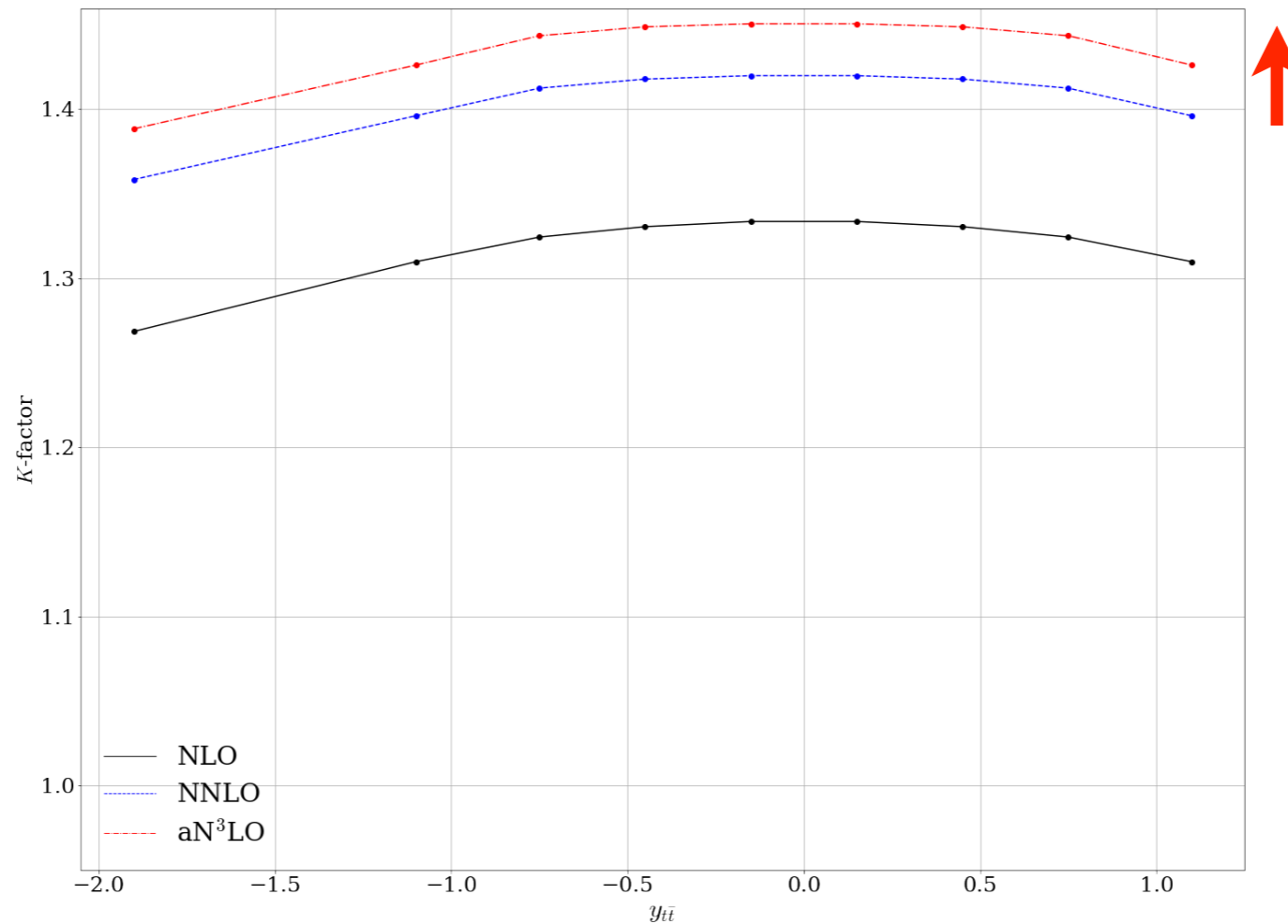


- Fit prefers a $\sim 1\%$ decrease from **NNLO** to **aN3LO**.
- This is in nice agreement with expectations from exact **N3LO** calculations!
- Implies improved perturbative convergence with aN3LO PDFs.

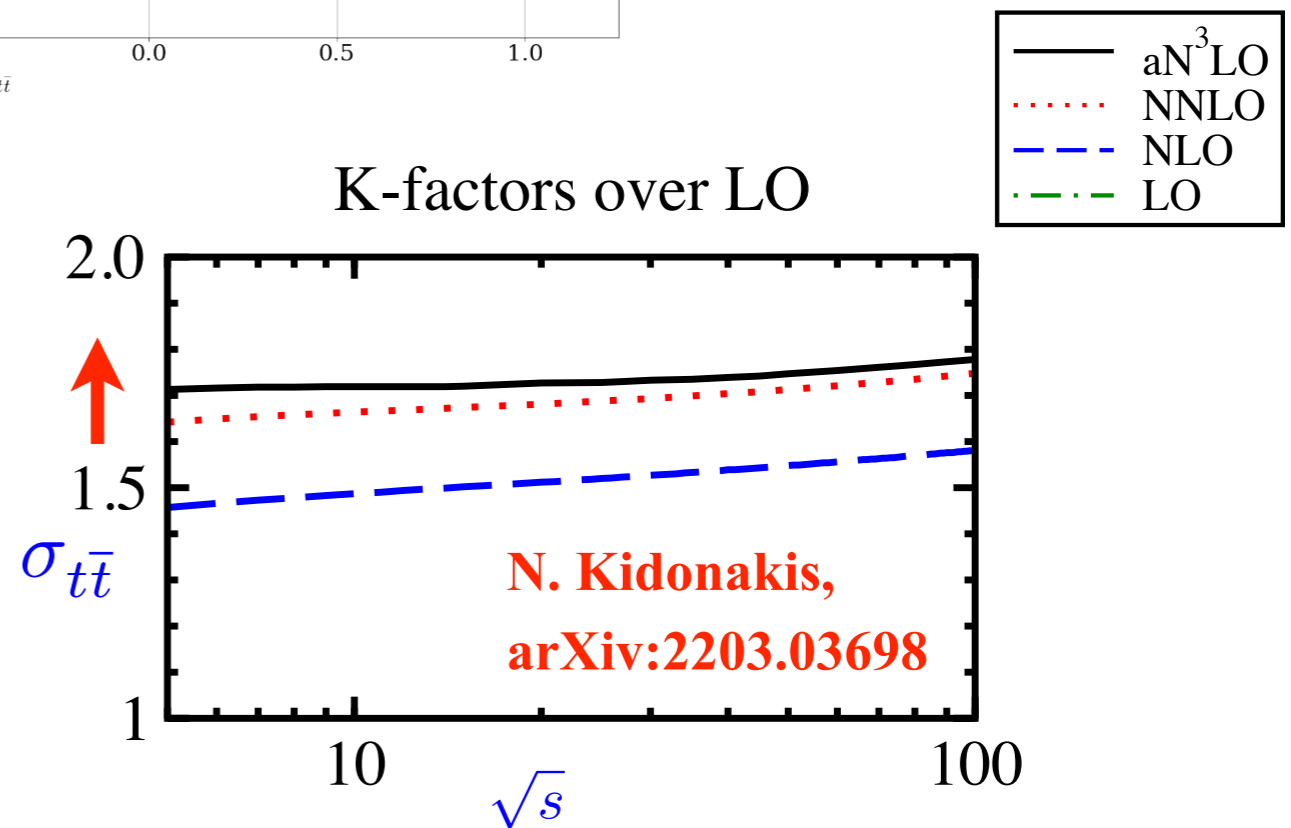


**X. Chen et al.,
Phys.Rev.Lett.
128 (2022) 5,
052001**

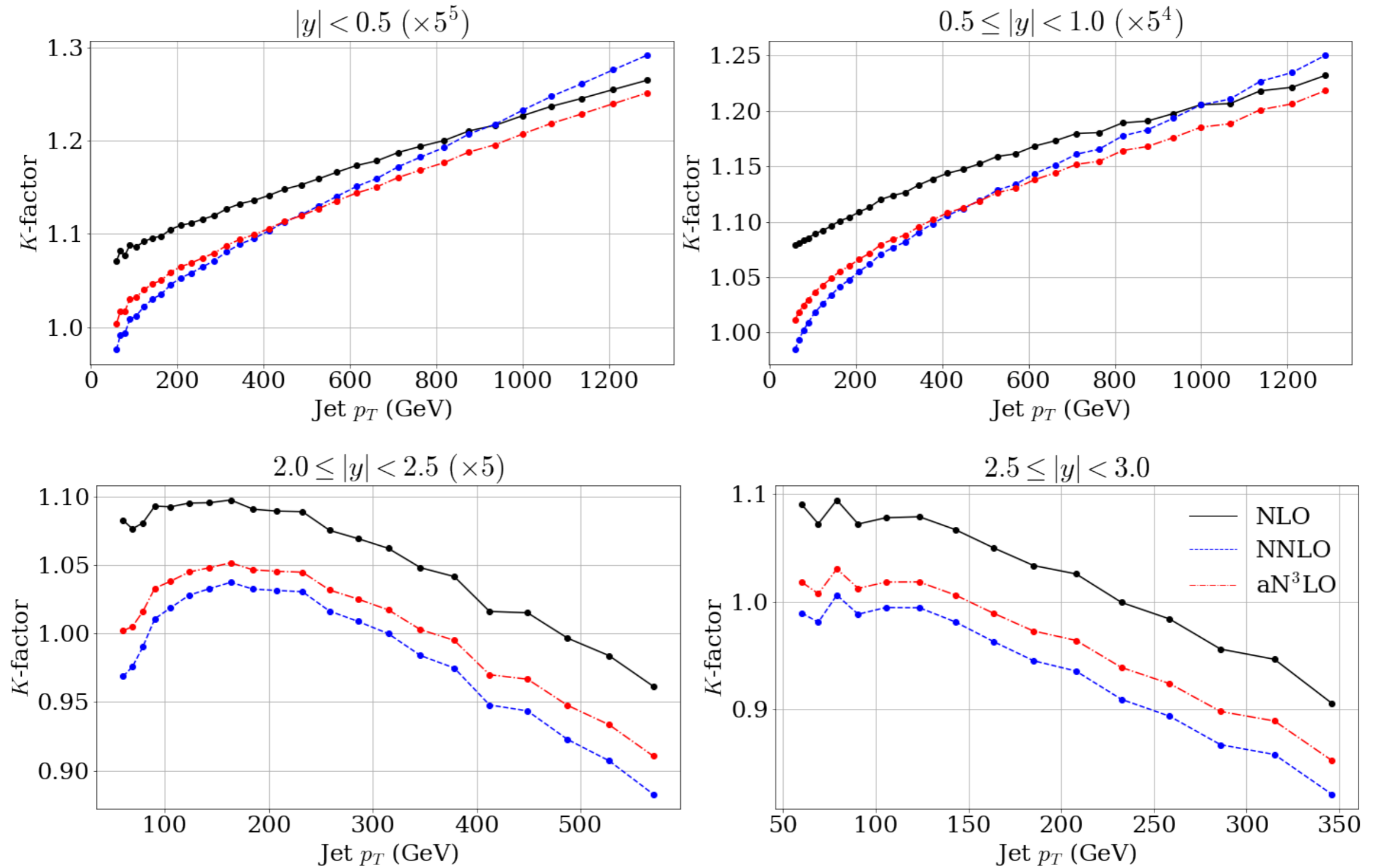
★ Resulting K-factors: $t\bar{t}$.



- Fit prefers overall increase in magnitude from NNLO to N³LO.
- Consistent with approximation N³LO calculation.



★ Resulting K-factors: **jets**.



- Fairly mild shift from **NNLO** to **N³LO**, as one might expect/hope for.

Dimuon and Z p_{\perp} : backup.

Fit Quality

- Using the results above, perform **aN3LO** fit to exactly same dataset as **MSHT20 NNLO** global fit.
- Start with **total** χ^2 per point. General trend for improvement at aN3LO, as we would expect from pQCD. Corresponds to $\sim 1 - 2\sigma$ from NNLO.

	LO	NLO	NNLO	N ³ LO
$\chi^2_{N_{pts}}$	2.57	1.33	1.17	1.14

- Some of this improvement comes from additional freedom in **LHC** K-factors. However:
 - ★ Over half remains if we turn these off.
 - ★ We have seen for $DY + t\bar{t}$ that these follow what we could expect from pQCD calculations.
- **Key point:** much of theory changes are not centred on NNLO. Can depart quite strongly from this due to known information about N3LO. The fit is preferring this!

- Breaking things down more:

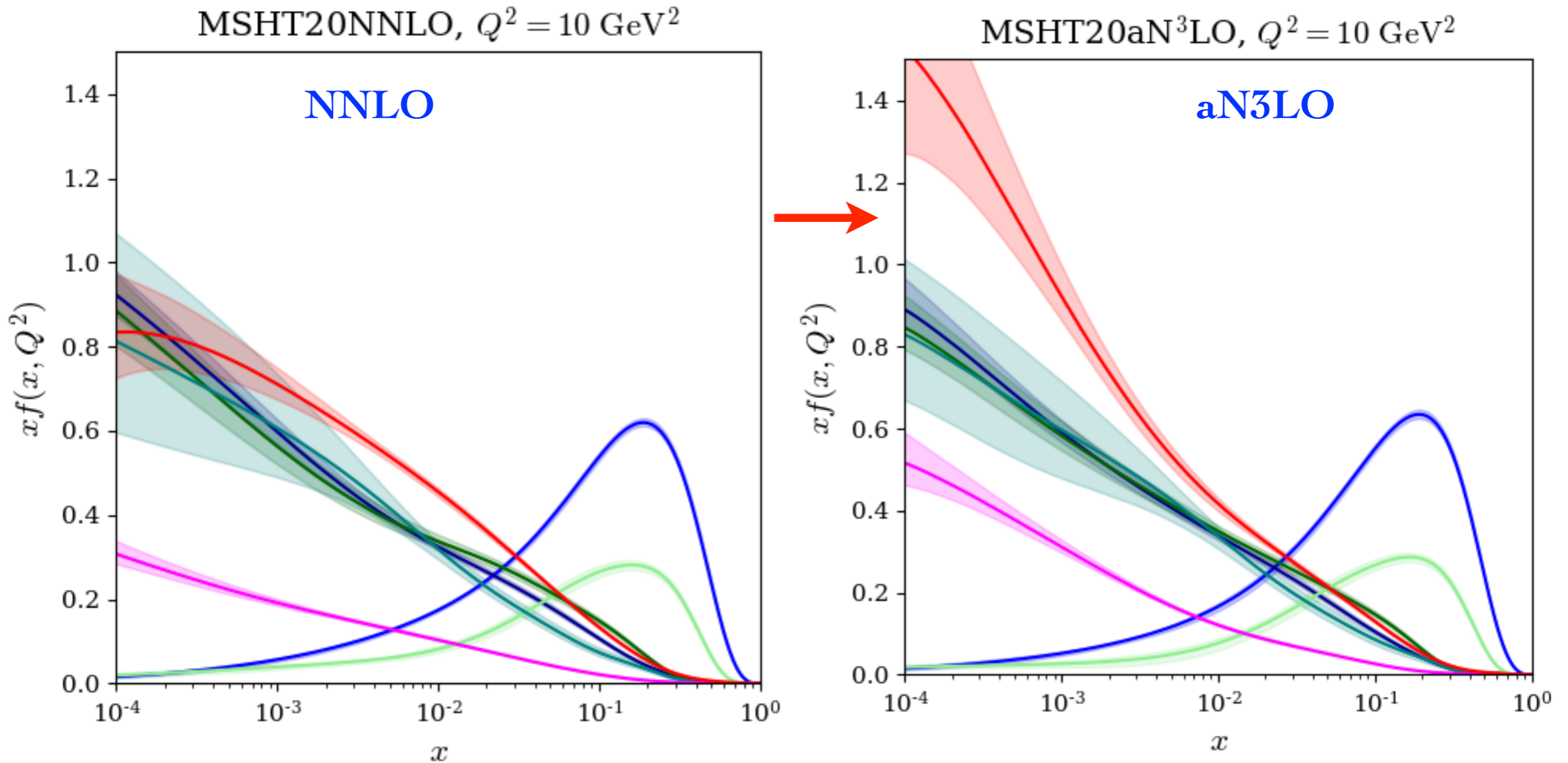
Dataset	N_{pts}	χ^2	$\Delta\chi^2$ from
DY data Total	864	1069.4	-18.5
Top data Total	71	75.1	-4.2
Jets data Total	739	963.6	+21.5
p_T Jets data Total	144	138.0	-77.2
Dimuon data Total	170	125.0	-1.2
DIS data Total	2375	2580.9	-90.8
Total	4363	4961.2	-160.1

- Significant improvement in **DIS** - driven by N3LO input.
- Also large improvement in ' p_{\perp} Jets' - driven by ATLAS 8 TeV $Z p_{\perp}$ data: from 1.81 to 1.04 per point (104 points).
- $Z p_{\perp}$ constrains high x gluon, and similar level of improvement found if we exclude HERA DIS from NNLO fit, i.e. aN3LO is alleviating **tension** between low and high x regions.
- Milder improvement in $t\bar{t}$ and DY. Interestingly **inclusive jet data** actually gets **worse** - issues with fitting inclusive jet data?

See my talk yesterday!

PDFs

- Broad picture:



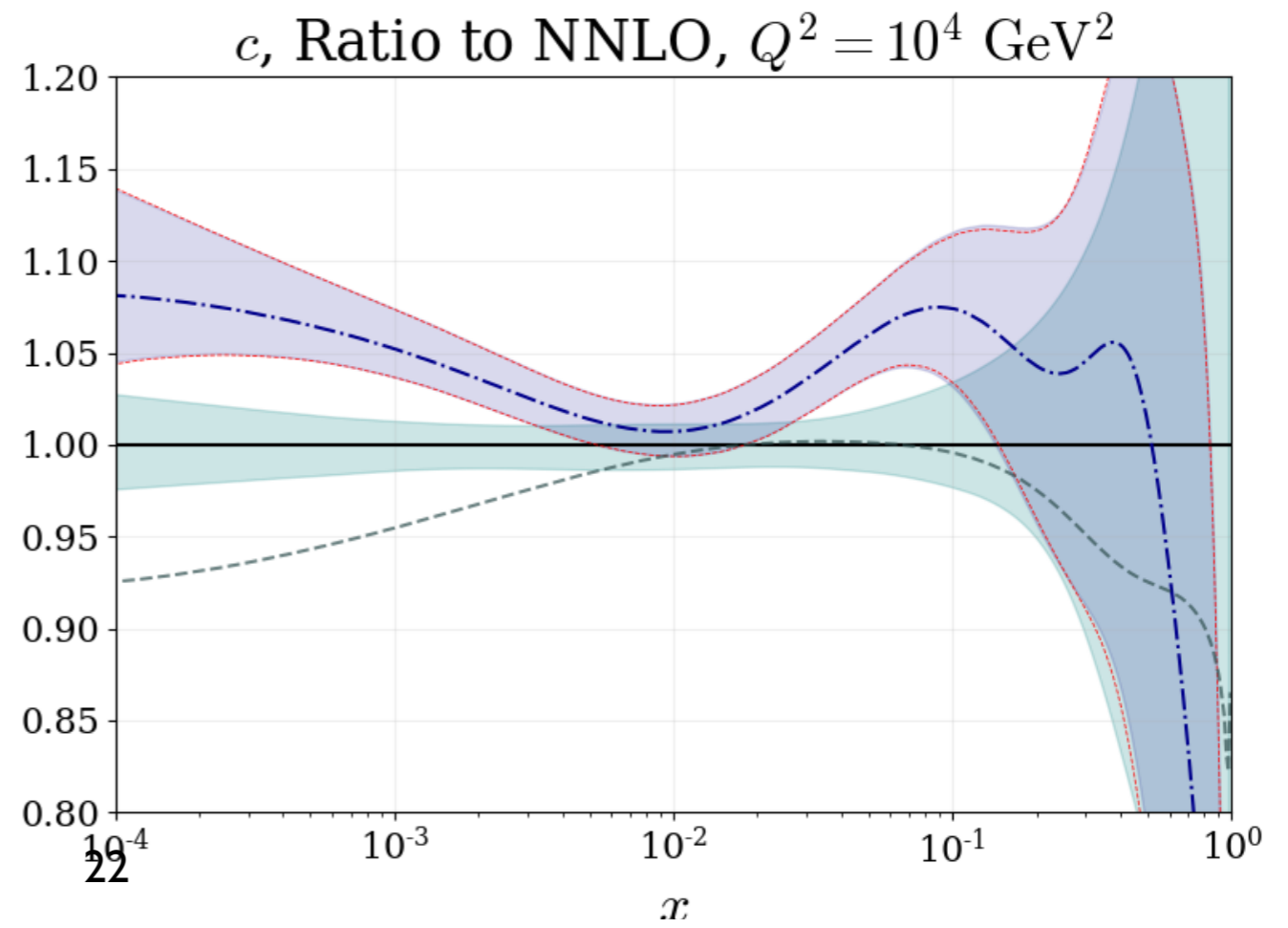
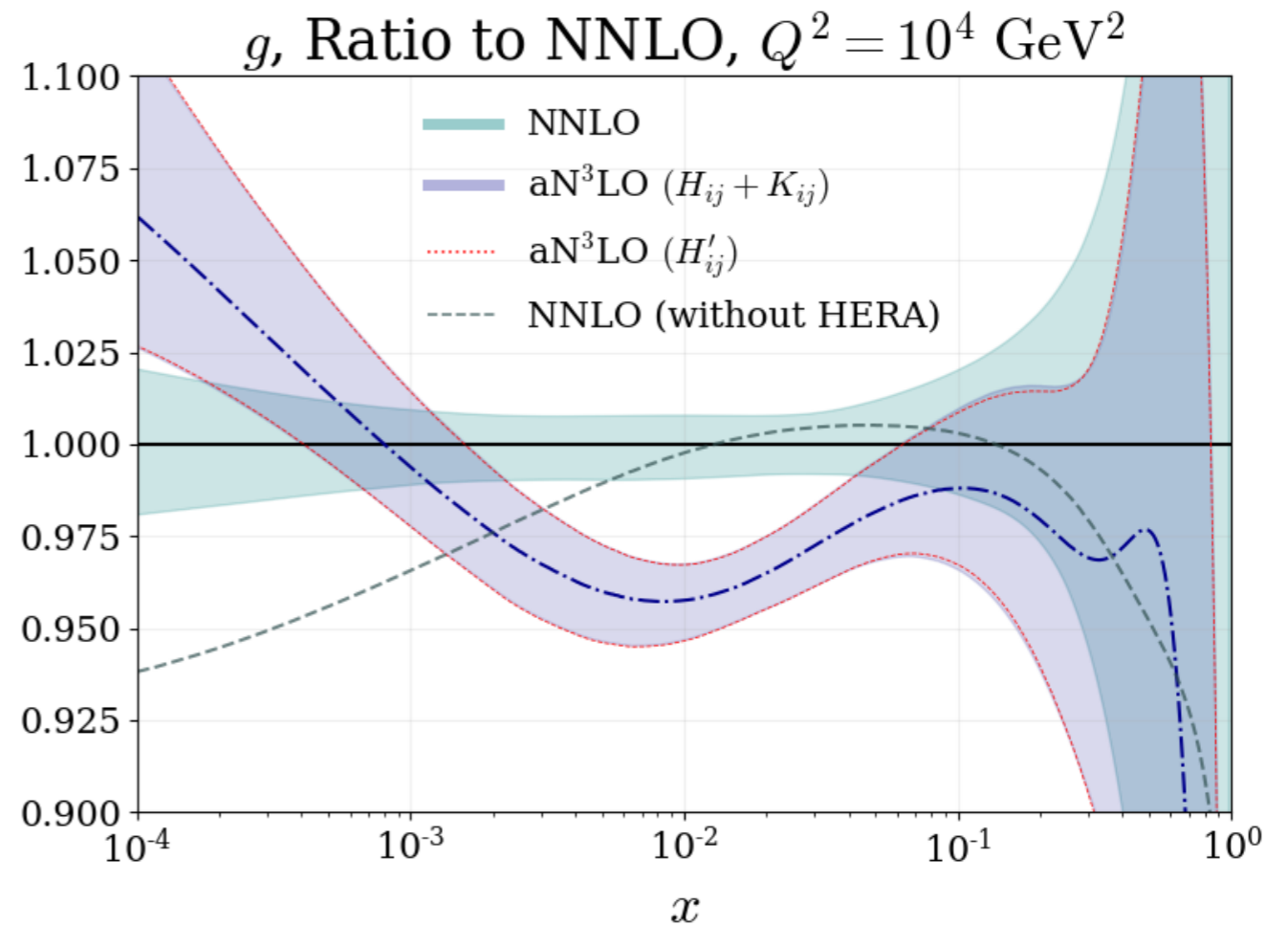
- Most noticeable difference: gluons and quarks larger at low x .
- In more detail...

- **Gluon** enhanced at low x due to **large logs** in splitting functions.
- But also reduced at $x \sim 10^{-2}$ due to reduction in P_{qg} and compensation for increased gluon at low x .

See Backup for more.

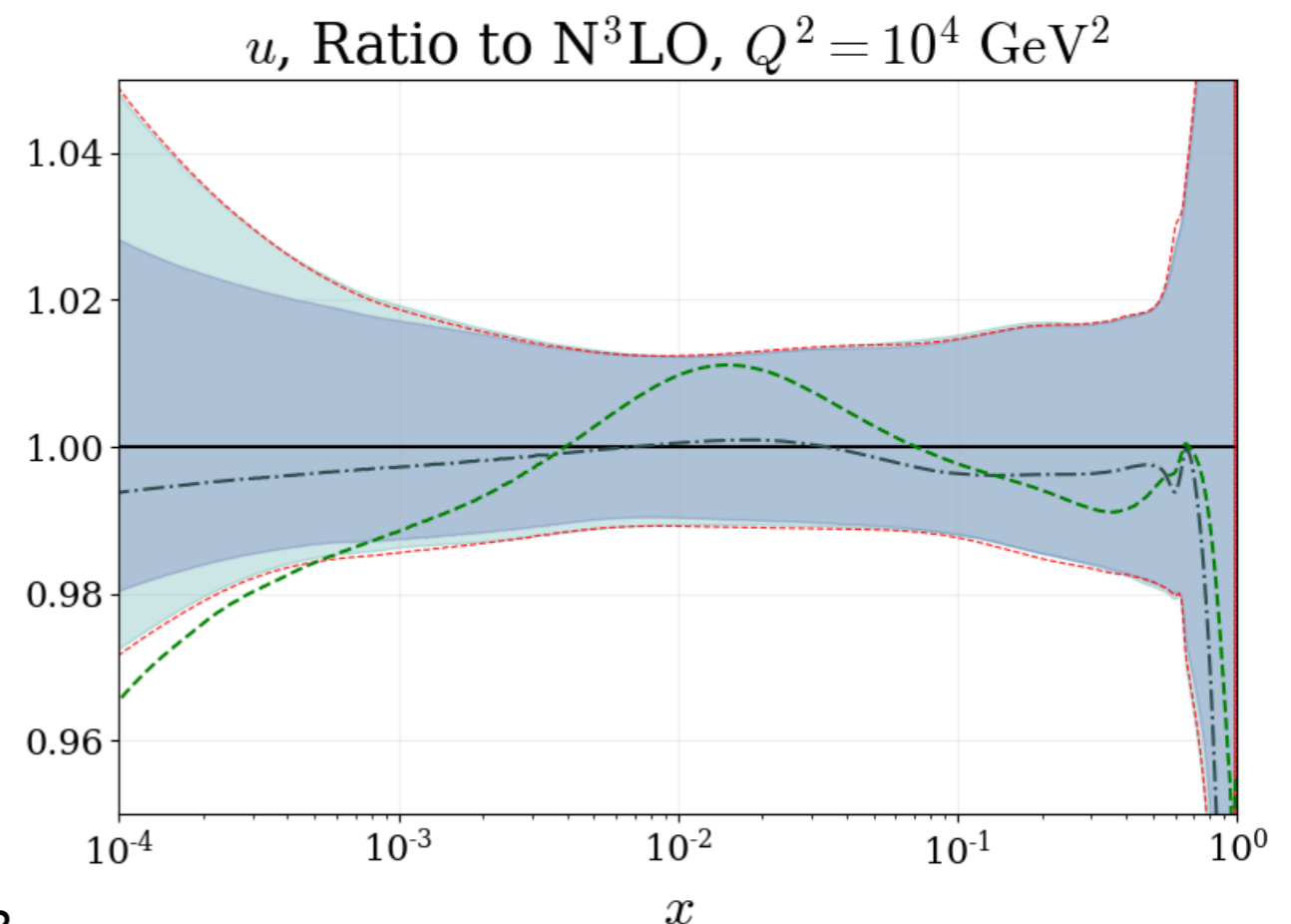
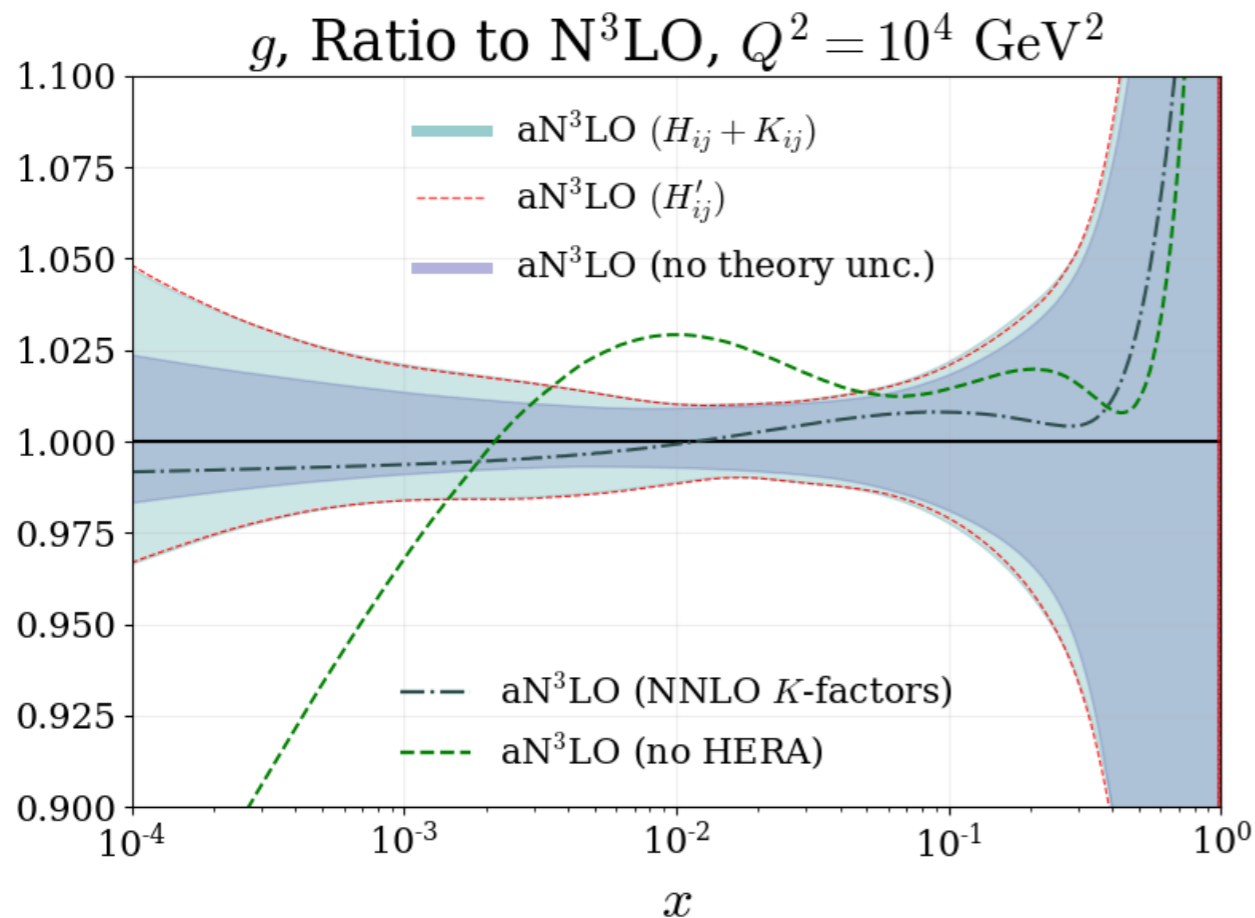
- **Charm** (generated perturbatively) increased due to increase in gluon at low x and change in A_{Hg} .

Other PDFs: backup



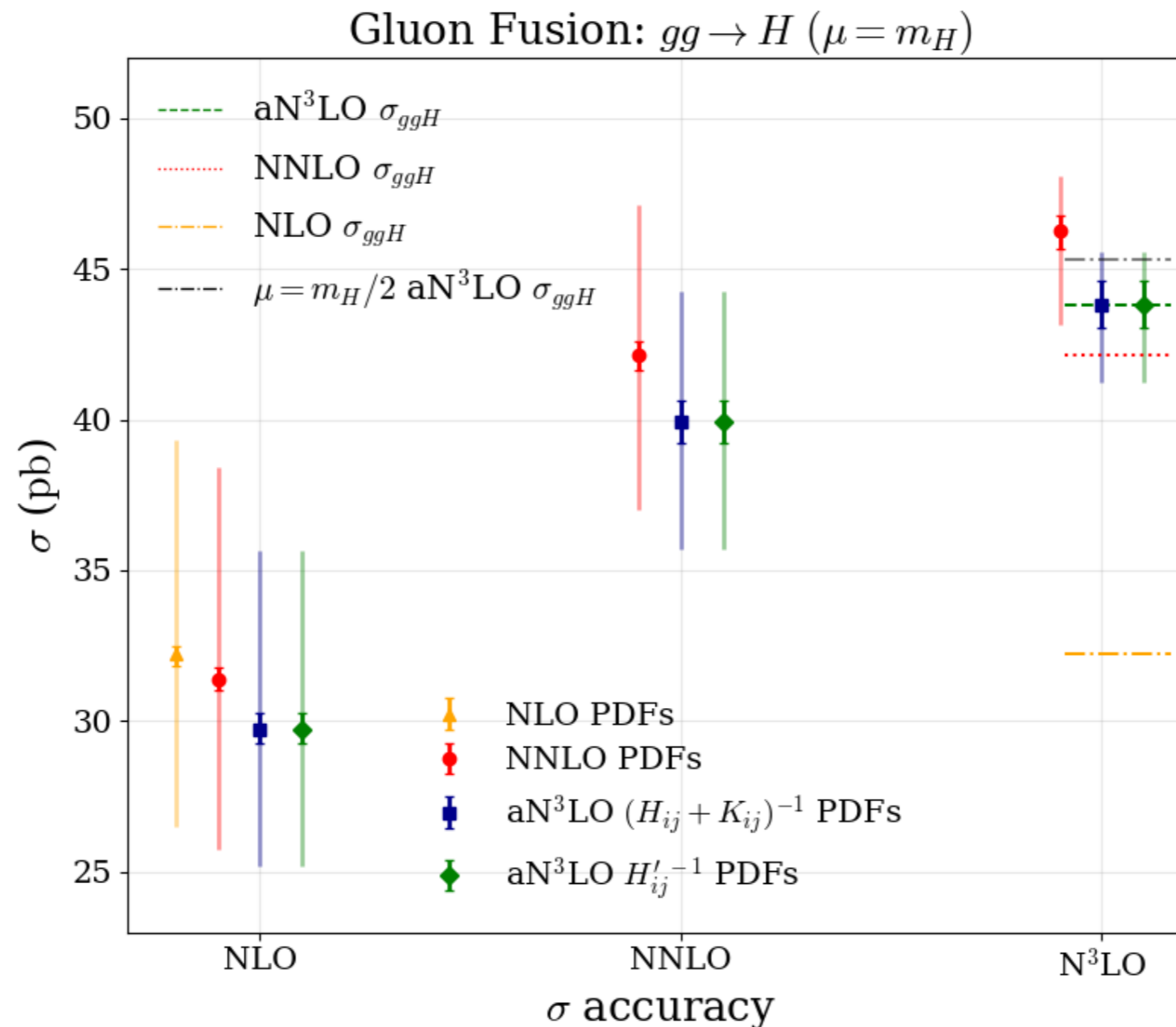
PDFs - theoretical uncertainty

- Compare to results with aN³LO theory fixed to best fit value, i.e. no MHO ‘theory’ uncertainty. Impact relatively mild but not negligible.
- ★ **Gluon** uncertainty most affected - increased at low x due to larger uncertainty in splitting functions.
- ★ Some increase in **light quarks** at low x .
- ★ But at high x impact tiny - much more known here and uncertainty lower.
- ★ Impact of MHOs also on central value e.g. if NNLO K-factors used. **Backup**



Implications for the Higgs

- **Higgs via gg fusion**: reasonable shift down induced due to change in gluon.
- Perturbative convergence **improved** once aN3LO PDFs used. This cancellation not guaranteed (not driven by e.g. change in P_{gg}).



Ongoing Study: NNPDF

- Have so far focussed on $MSHT20a_{N3LO}$: so far only published result at this order.
- But NNPDF have been also presented results along similar (but not identical) lines.
- How are these results different/similar to $MSHT$ and what does this tell us about overall a_{N3LO} picture?
- Basic idea/motivation the same:

Requirements for the next generation of PDFs are threefold:

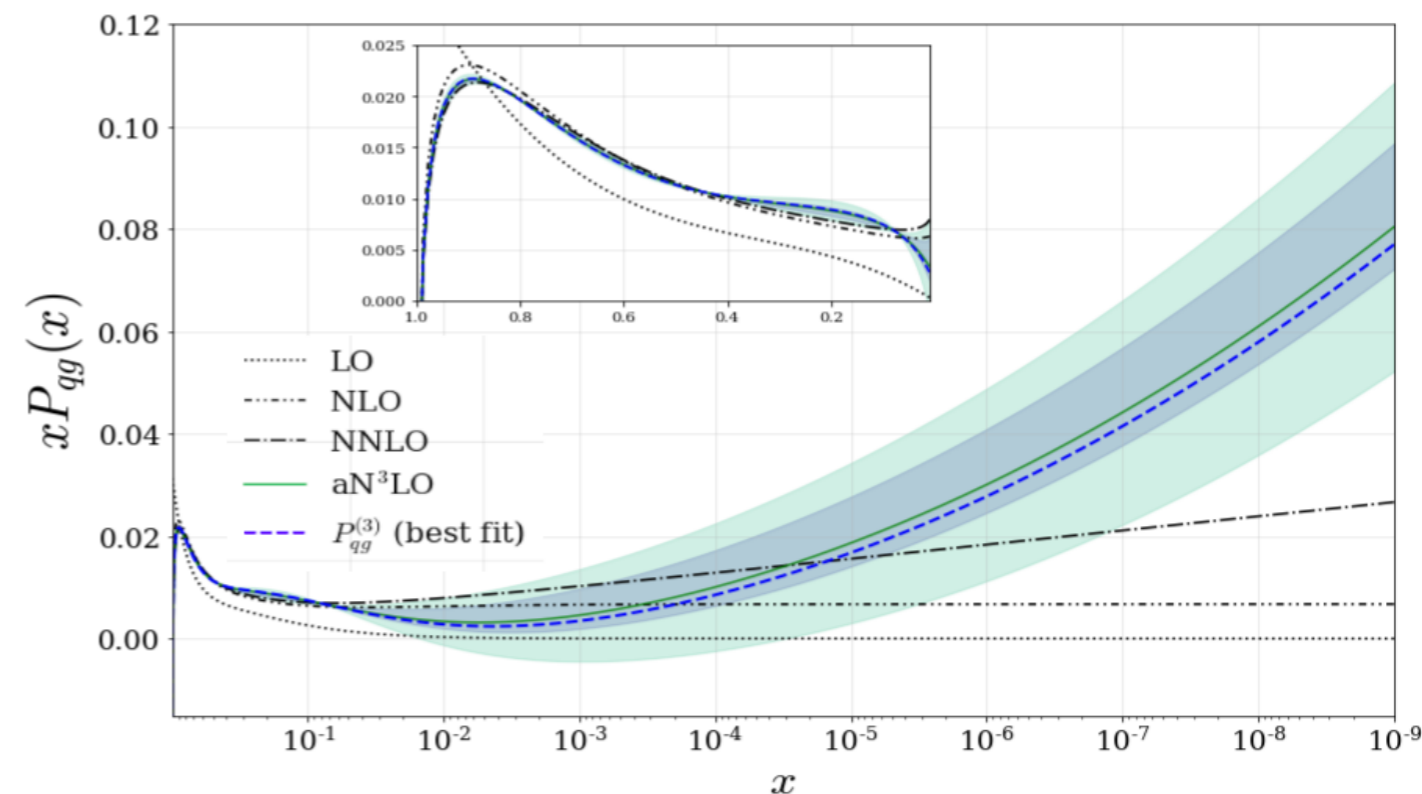
- To exploit the impressive progress in $N3LO$ calculations we require PDFs of the same order
- Missing higher order uncertainties (MHOUs) for some observables are larger than the experimental uncertainty and can thus no longer be neglected

R. Stegeman,
this workshop

- Construction of a_{N3LO} fit is similar in overall approach, but differing in key elements.

- Start with **splitting functions**. Basic approach as with MSHT: construct approx. $P(x)$ using known information. Differs in:
 - ★ Exact N³LO information used (e.g. NNPDF use high x limits).
 - ★ MSHT is x space, NNPDF Mellin space.
 - ★ Treatment of $P(x)$ uncertainty band in fit.

- Latter most important distinction.
- Recall MSHT constructs a prior uncertainty band but final posterior band determined by fit.



⇒ Information from global fit quality effectively included in aN³LO estimate.

- NNPDF take a different approach: set of P^i constructed, $i \sim O(100)$
 one for each functional basis f_i with certain cases discarded according to chosen quality criteria.

$$f_1 = \frac{S_1(N)}{N} \quad f_3 = \left\{ \frac{1}{(N-1)}, \frac{1}{N} \right\}$$

$$f_4 = \left\{ \frac{1}{(N-1)}, \frac{1}{N^4}, \frac{1}{N^3}, \frac{1}{N^2}, \frac{1}{N}, \frac{1}{(N+1)^3}, \frac{1}{(N+1)^2}, \right.$$

$$\left. f_2 = \frac{1}{(N-1)^2}, \frac{1}{N+1}, \frac{1}{N+2}, \mathcal{M}[\ln(1-x)], \mathcal{M}[(1-x)\ln(1-x)], \frac{S_1(N)}{N^2} \right\}$$

- Each of these is use independently in PDF fit, and final result is constructed democratically from all i fits.

⇒ Information from global fit quality not included in aN3LO estimate.

- Genuine choice in how fitting splitting functions is approached:

Pro

Con/Caveat

★ MSHT:

Information from
global fit on
aN3LO used!

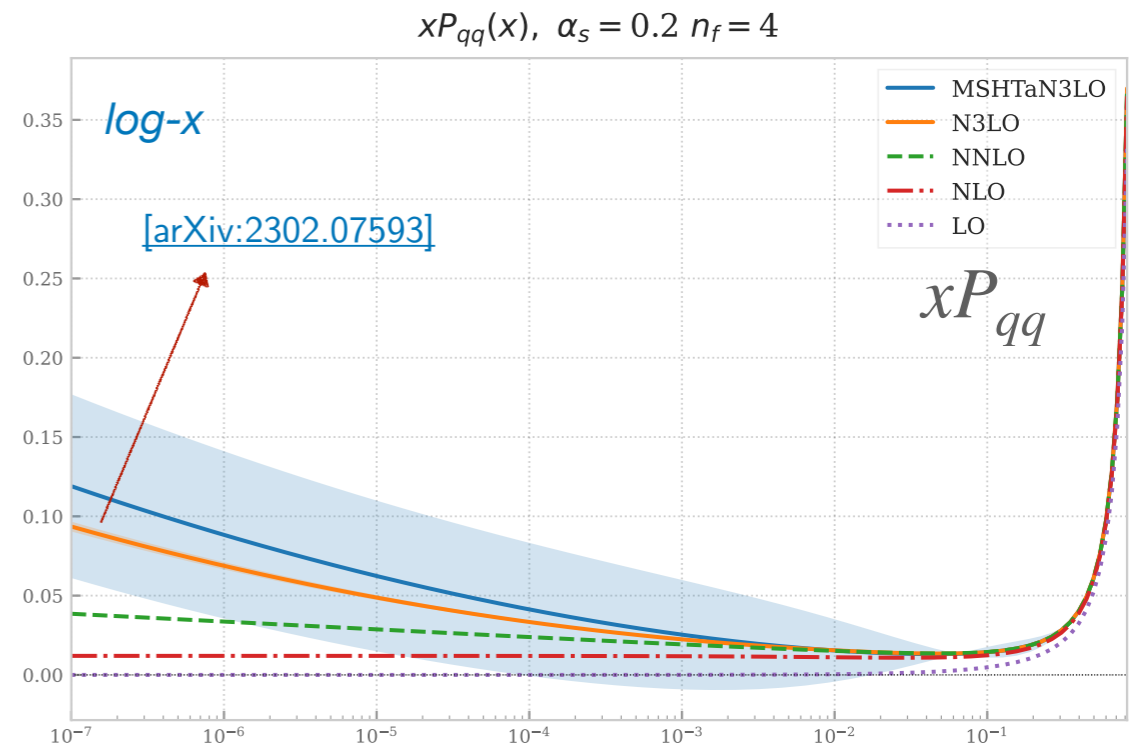
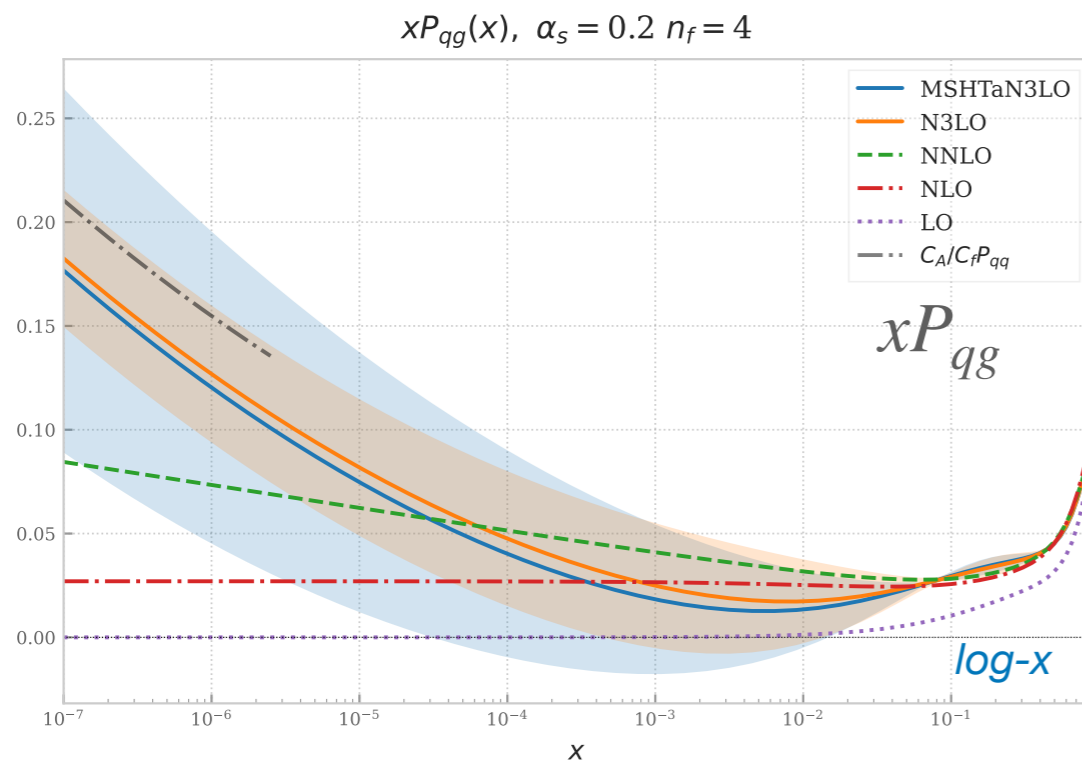
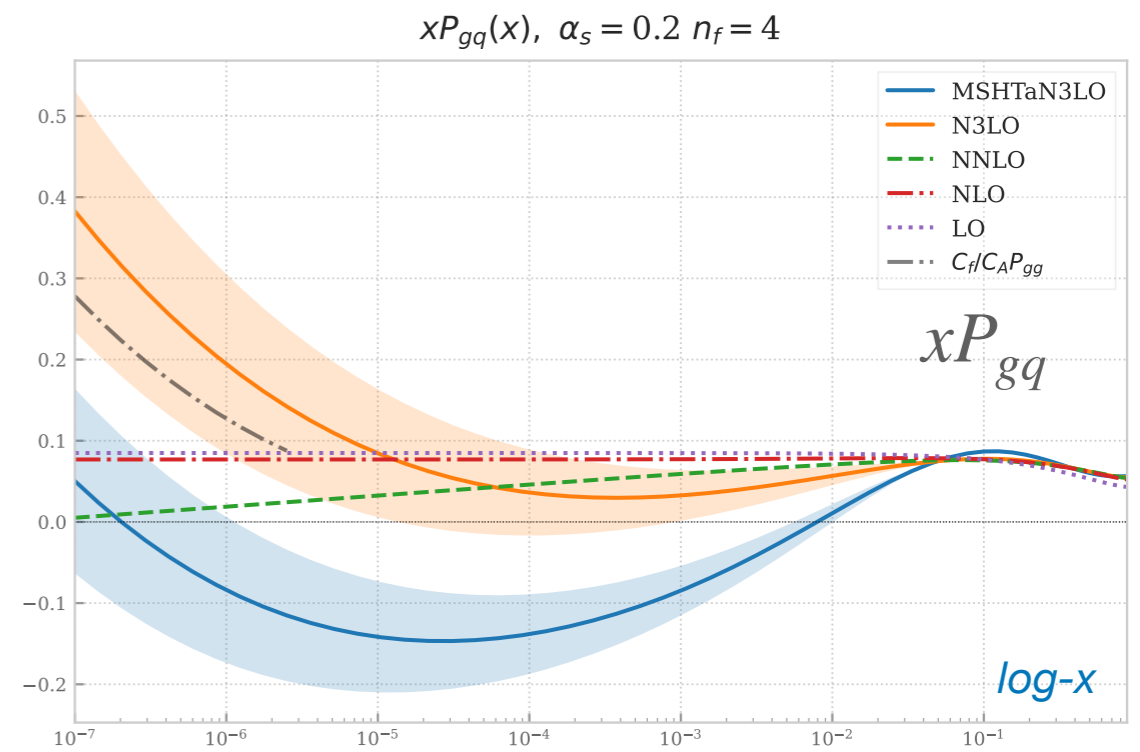
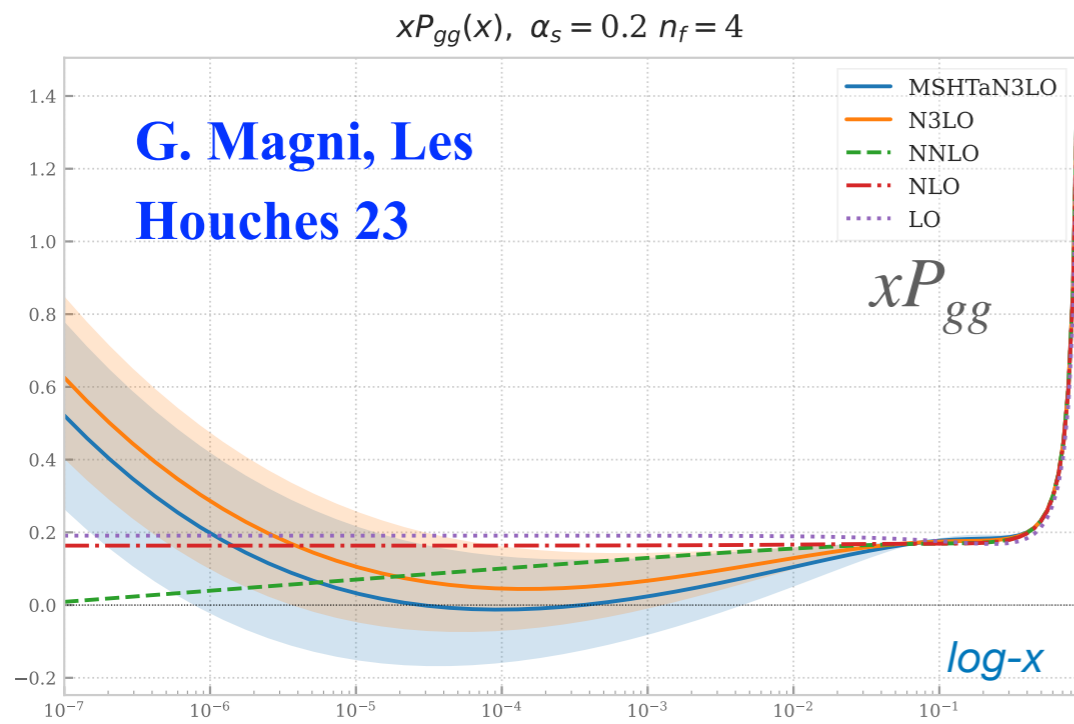
Sensitivity to
higher orders/other
issues in fit.

★ NNPDF:

Arguable 'Cleaner'
aN3LO uncertainty

Information from
global fit on
aN3LO not used!

- Personal view: including information from global fit well motivated. But differences should be explored more in future.



- General consistency but difference in P_{gq} . Less pheno relevance and one where highest power of $\log(\ln^2(1/x)/x)$ unknown. Under investigation!
- Difference in P_{qq} as Falcioni et al. came after MSHT20.
- N.B. the MSHT20 results here are the prior (not posterior) bands.

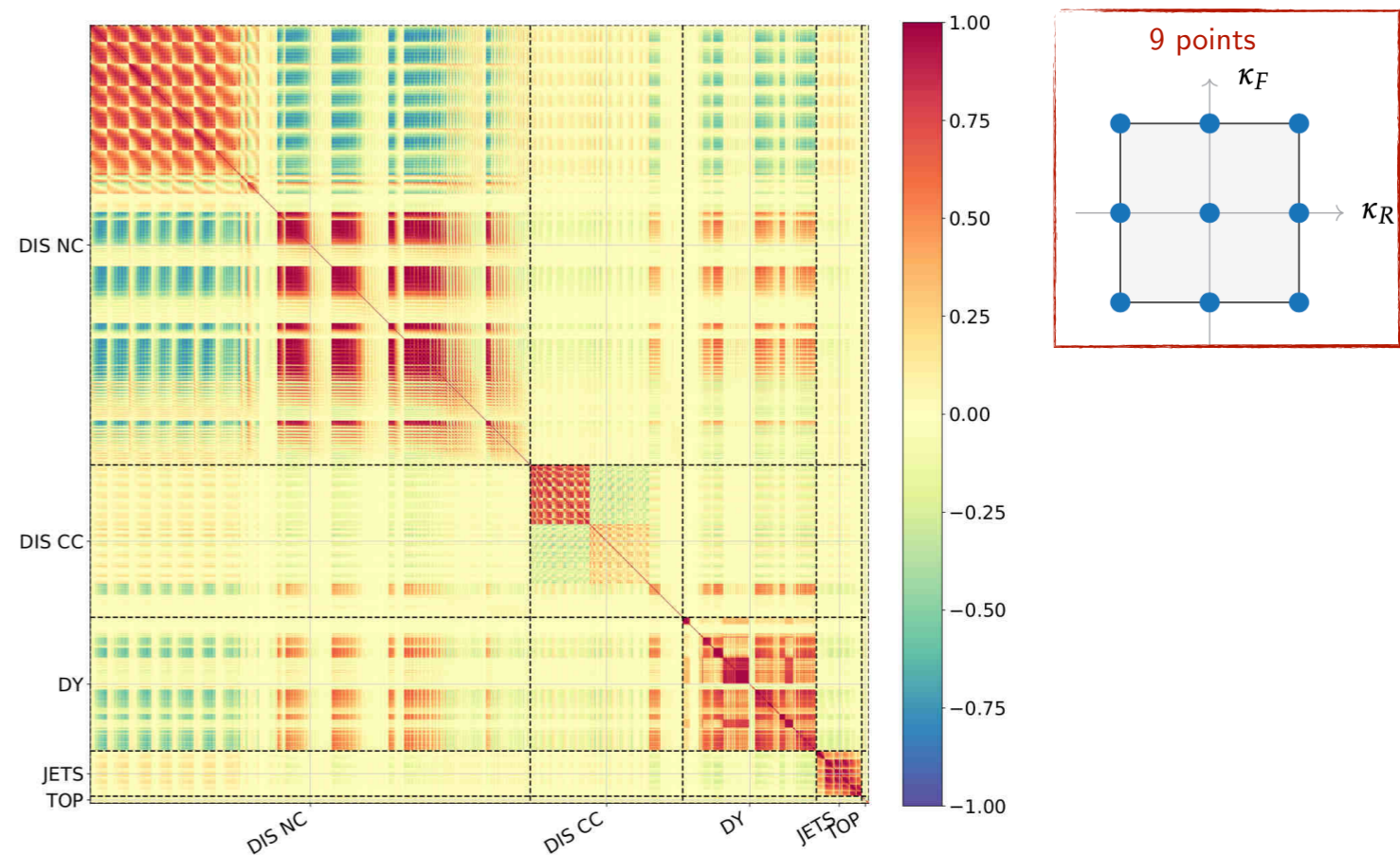
- What about hadronic cross sections? Scale variation approach taken, studied in detail in earlier works. **NNPDF, *Eur.Phys.J.C* 79 (2019) 11, 931**
- Basic idea well known - 'rule of thumb' variation of $\mu_{f,r}$ by factor of 2:

$$\sigma = \sigma_0 (1 + c_1 \alpha_S + \dots + c_n \alpha_S^n) \quad \frac{d\sigma}{d\mu} = O(\alpha_S^{n+1}) \quad \delta\sigma = \sigma(2\mu_0) - \sigma(\mu_0/2)$$

gives \sim MHOU on σ .

NNPDF, *Eur.Phys.J.C* 79 (2019) 11, 931

Experimental + Theory Correlation Matrix (9 pt)



- This is used to construct theory covariance matrix - MHO uncertainty + correlations between/within processes.
- Full results with this presented at NLO only so far. NNLO/N3LO ongoing.

- How does this compare to MSHTaN3LO approach?

See A. Barontini's talk for more results!

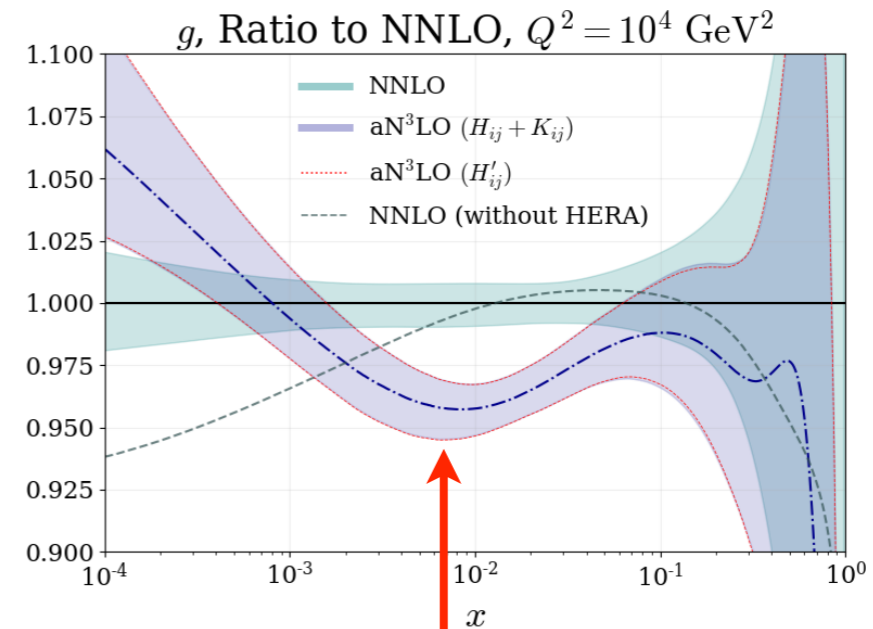
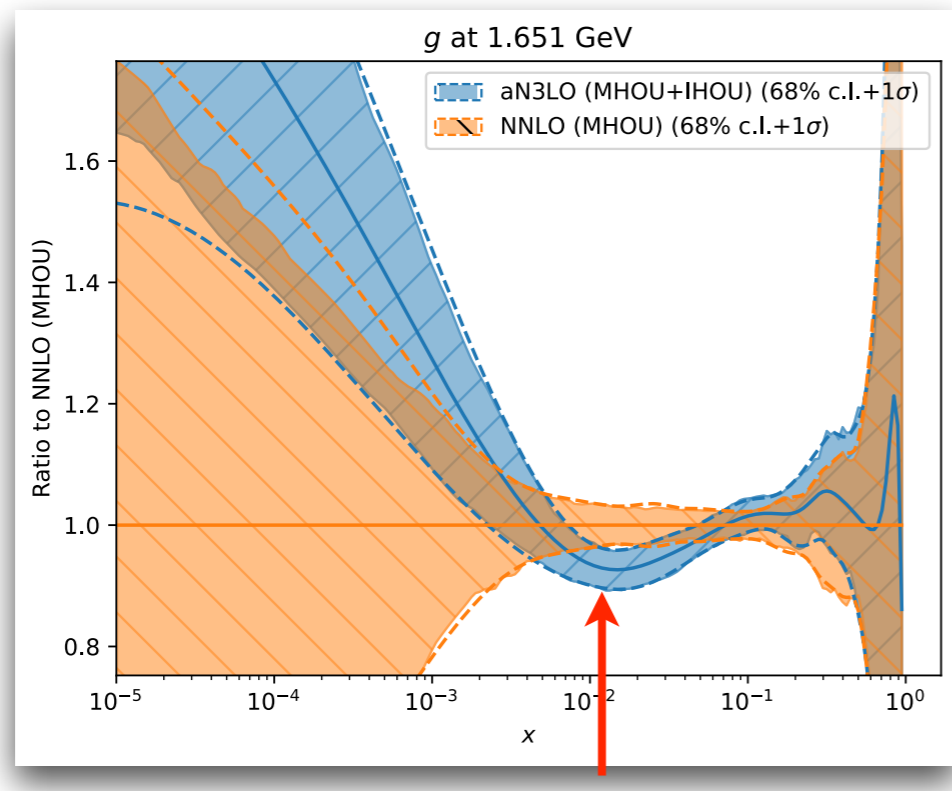
- ★ MSHT: aN3LO MHO given by **nuisance parameters** and \propto NLO, NNLO K-factors.

$$K^{\text{N}^3\text{LO}/\text{LO}} = K^{\text{NNLO}/\text{LO}} \left(1 + a_1(K^{\text{NLO}/\text{LO}} - 1) + a_2(K^{\text{NNLO}/\text{NLO}} - 1) \right)$$

- ★ NNPDF: **covariance matrix** constructed from scale variations.
- Will certainly give different results, but in fact achieve similar things:
 - For NNPDF (MSHT) uncertainty implicitly (explicitly) at next order. $\frac{d\sigma}{d\mu} = O(\alpha_S^{n+1})$
 - Correlations between classes of processes qualitatively similar.
 - Nuisance parameter vs. covariance matrix difference superficial - these are equivalent. Covariance matrix does not avoid fit picking a preferred scale.
- But not to overstate: approaches are different!

(Very) Initial Comparison

- First indication is that impact on gluon at aN3LO is more significant for MSHT, though trend the same.



- In region relevant to ggH - important to clarify!
- Reason for this unclear (differing P_{ij} , Q^2 cuts, MHOs...).
- Benchmarking needed, and underway!

Fit/Prediction Correlations

- Final subtlety: for predicted cross sections ($\hat{\sigma}$ + PDF) also require MHO uncertainty. Risk of double counting? Typically scale variations used...

LHL and R. S. Thorne, EPJC79 (2019), no.1, 39

Fit $O_{\text{fit}} \sim f_i(\mu^2) \otimes \sigma_i(\mu^2) \sim f_i(\mu^2) \otimes \left(\sigma_i^{(0)}(\mu^2) + \alpha_S \sigma_i^{(1)'}(\mu^2) + \dots \right)$



A



B

f_i



C

i : PDF type

Prediction $O_{\text{pred}} \sim f_i(\mu^2) \otimes \sigma_i'(\mu^2) \sim f_i(\mu^2) \otimes \left(\sigma_i^{(0)'}(\mu^2) + \alpha_S \sigma_i^{(1)'}(\mu^2) + \dots \right)$

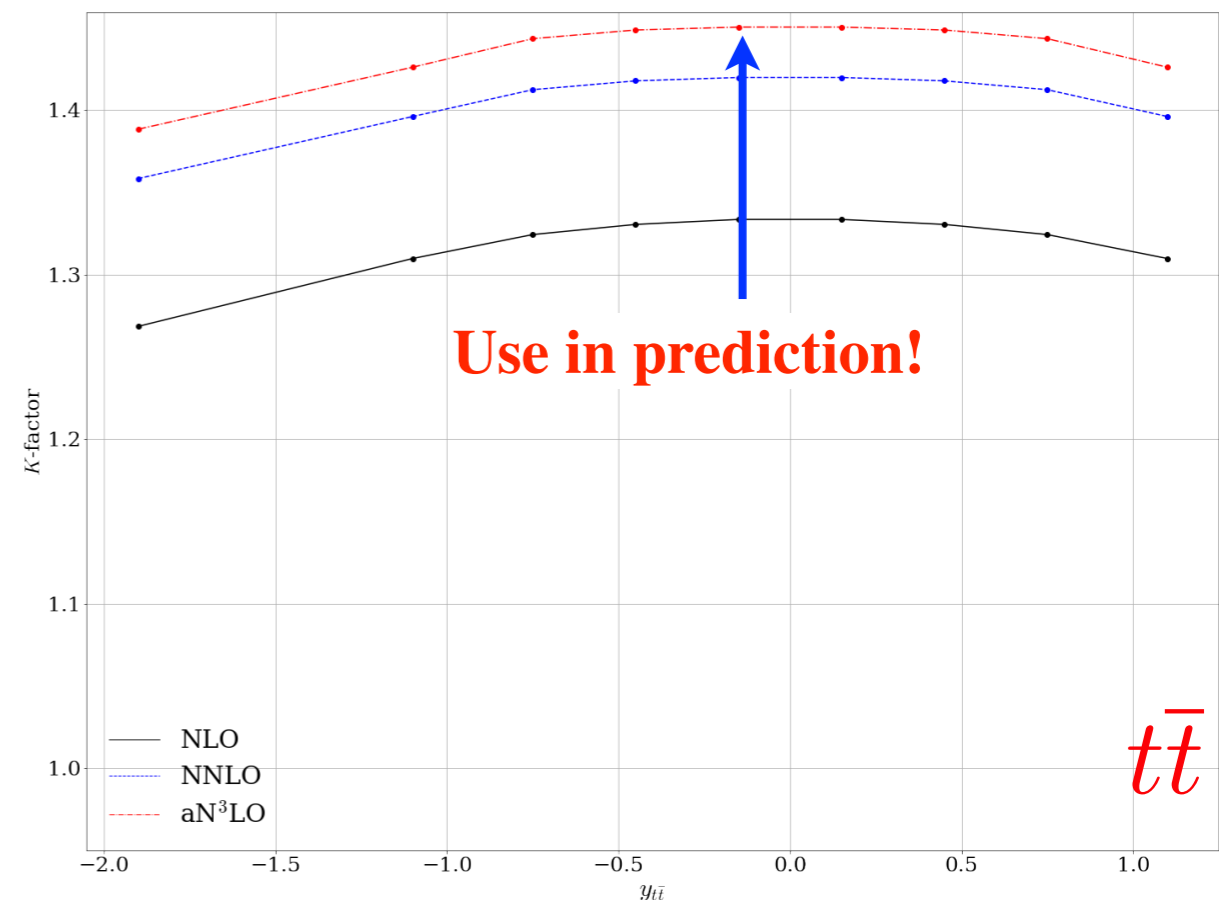
- ★ Simplified study: recast PDF fit as direct relationship between fit and predicted observables. Find clear risk of **overestimating** errors due to factorization scale variation in certain regions (low/high x).

R. Ball and R. Pearson, Eur.Phys.J.C 81 (2021) 9, 830

- How this translates to full fit is non-trivial, but in some cases possible/desirable to keep track of correlations...

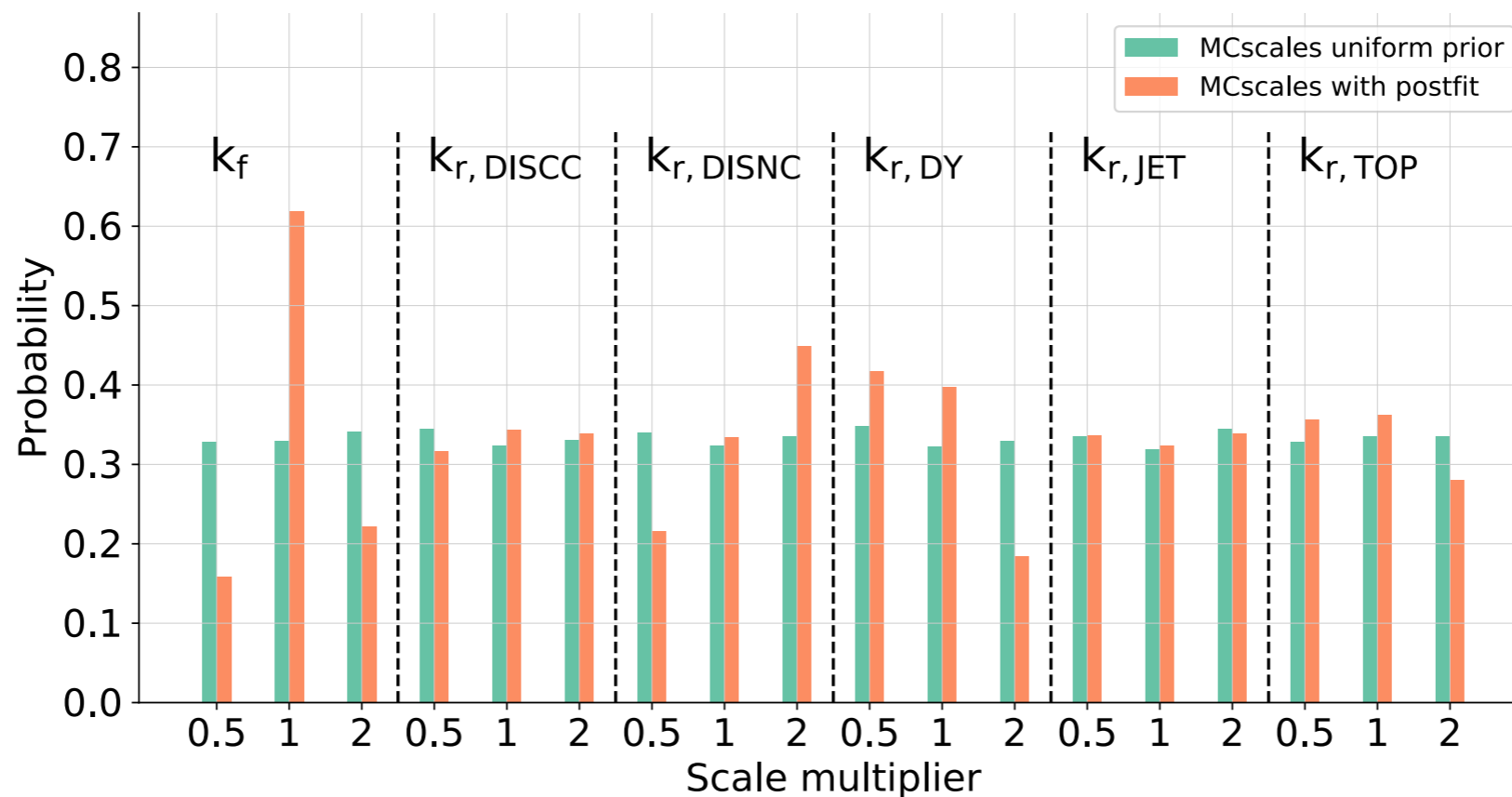
- For processes (top, jets, DY...) in fit where NNLO + MHOUs are used, can/should keep track of the aN³LO K-factor preferred by fit and their uncertainty.
- MSHT provides theses as ‘decorrelated’ eigenvectors to use.

Matrix	Central Values		Eigenvector	+ Limit		- Limit	
	a_{NLO}	a_{NNLO}		a_{NLO}	a_{NNLO}	a_{NLO}	a_{NNLO}
K_{ij}^{DY}	-0.282	0.079	43	-0.378	0.062	-0.145	0.103
			44	-0.334	0.374	-0.256	-0.071
K_{ij}^{Top}	0.041	0.651	45	-0.564	0.455	0.692	0.862
			46	0.026	1.210	0.070	-0.456



- Allows MHOUs (in MSHT approach) in predictions to be consistently propagated through, including PDF correlation.
- Also in principle possible in scale variation (NNPDF) approach, with first study in this direction performed...

- MCscales study: replica PDF fits performed with different $\mu_{r,f}$ choices.
- Postfit selection made so that larger χ^2 values dropped: effectively profiling over $\mu_{r,f}$.



- The `mcscales_v1` replicas made available, so that $\mu_{r,f}$ variation can again be consistently propagated through to predictions.

Interpretation/Usage

- ★ If N³LO cross sections are known, use aN³LO PDF + their theoretical uncertainties. No need for:

$$\delta(\text{PDF-TH}) = \frac{1}{2} \left| \frac{\Sigma^{\text{NNLO, NNLO-PDFs}}(Q^2) - \Sigma^{\text{NNLO, NLO-PDFs}}(Q^2)}{\Sigma^{\text{NNLO, NNLO-PDFs}}(Q^2)} \right|.$$

- ★ For DIS processes advised to use aN³LO PDF with aN³LO coefficient functions.
- ★ When predicting processes included in fit, can keep track of aN³LO information to provide consistent aN³LO result.
- ★ For processes not included in fit, the change between using NNLO and N³LO can be taken as a corresponding uncertainty.

$$\delta(\text{PDF} - \text{TH}) = \frac{1}{2} \left| \frac{\sigma_{\text{aN}^3\text{LO}}^{(2)} - \sigma_{\text{NNLO}}^{(2)}}{\sigma_{\text{aN}^3\text{LO}}^{(2)}} \right|$$

Final Remarks

- ★ Though full N³LO is a way off, we already have more than enough information to provide a genuine description of N³LO PDF, with an associated uncertainty.
- ★ Not ‘just’ NNLO + uncertainty - known N³LO information requires central value to be systematically different.
- ★ To get as much as possible out of PDF arsenal, these aN³LO sets will be crucial - can’t afford to wait for full N³LO.
- ★ Will require continuous updating - MSHT work underway to include (already significant) new information.
- ★ Further benchmarking underway - lots more work to do!

Thank you for listening!

Backup

DIS Coefficient Functions $\sigma_{\text{DIS}} \sim C_i \otimes f_i$

- DIS coefficient functions C_i known at N3LO for the massless quarks.
- Is this enough? Not quite - heavy quark contributions ($m_{c,b} \neq 0$) play important role. Here some information is known but not everything.
- Expressions for heavy flavour in low and high Q^2 limits:

★ High $Q^2 \gg m_h^2$: Zero
Mass case known exactly.

★ Low $Q^2 \sim m_h^2$: massive (FFNS)
unknown, with some information
(LL small x and mass threshold).

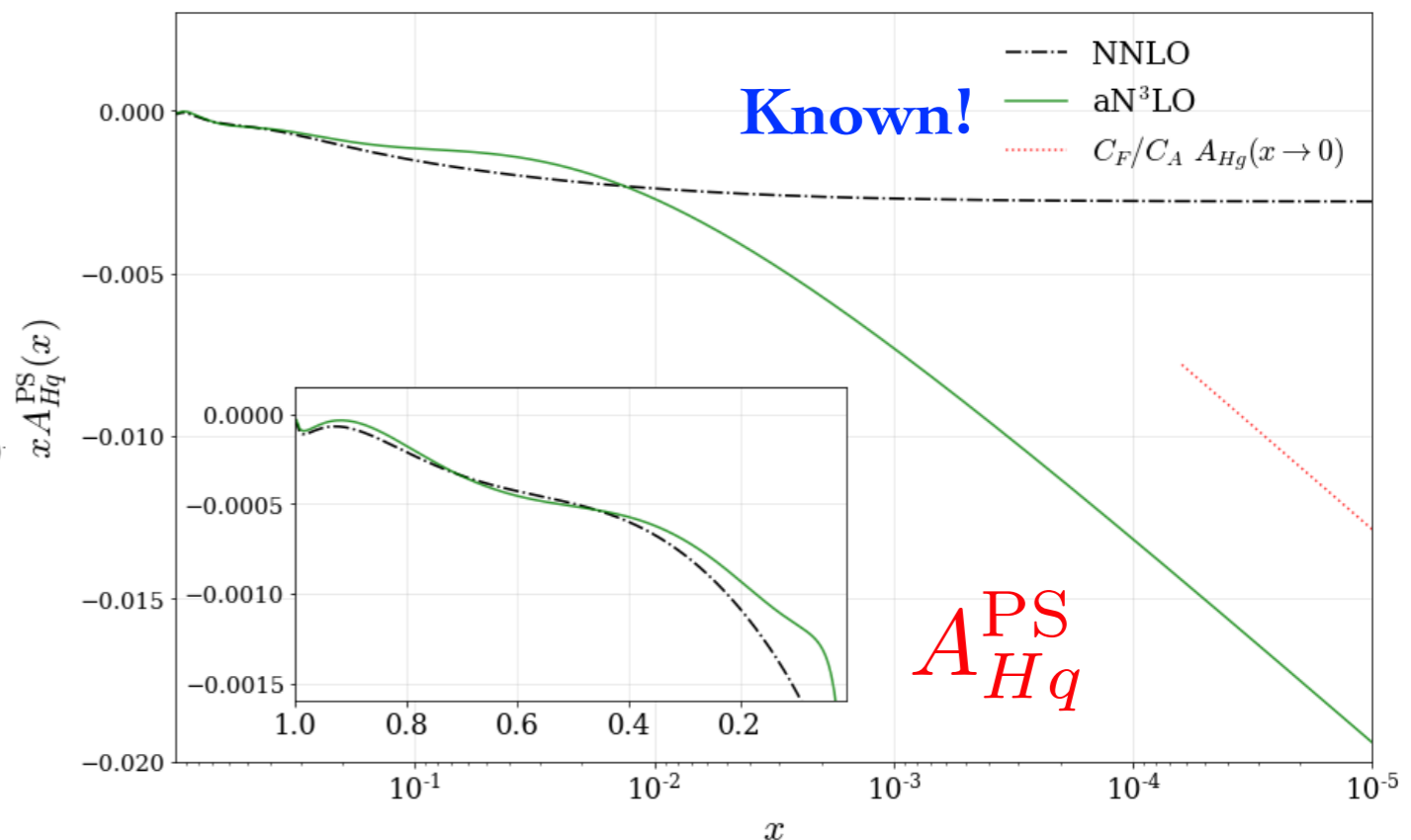
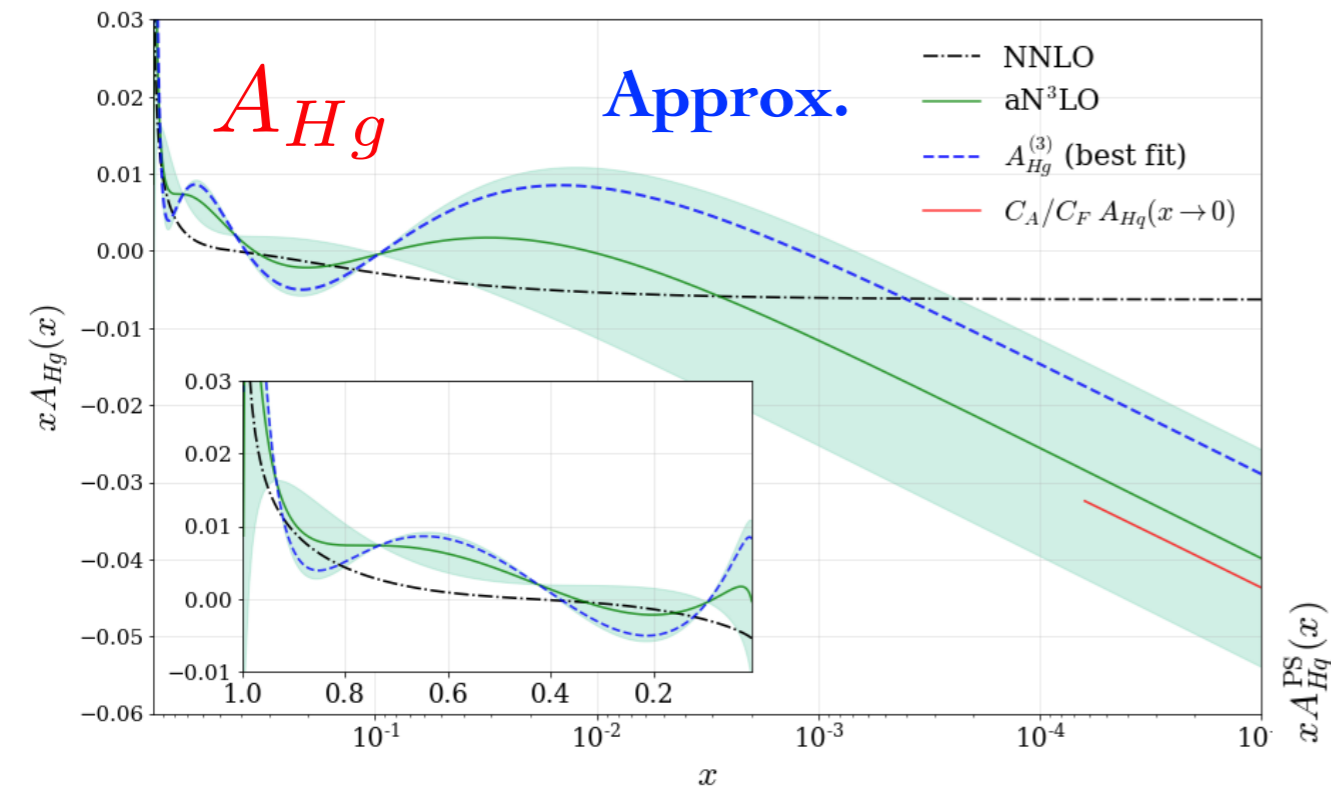
- General Mass Variable Flavour
Number scheme interpolates
between limits.
- Impact of heavy flavour on PDF
evolution controlled by
transition matrix elements $A_{\alpha i}$.
- Some information at N3LO, but
not all.

$$C_{H, g}^{VF} = C_{H, g}^{FF, (1)} - C_{H, H}^{VF, (0)} \otimes A_{H, g}^{(1)}$$

The diagram shows the decomposition of the coefficient function $C_{H, g}^{VF}$ into two parts. The first part is $C_{H, g}^{FF, (1)}$, represented by a Feynman diagram with a gluon (green wavy line) and a heavy quark (purple solid line) loop, with a mass $m_H \neq 0$. The second part is $C_{H, H}^{VF, (0)} \otimes A_{H, g}^{(1)}$, represented by a Feynman diagram with a gluon and a heavy quark loop, with a mass $m_H = 0$. The transition matrix element $A_{H, g}^{(1)}$ is shown as a diagram with a gluon and a heavy quark loop, with a mass $m_H = 0$. The transition matrix element is given by $\alpha_s P_{gg} \ln(Q^2/m_H^2)$ (NLO).

Transition Matrix Elements

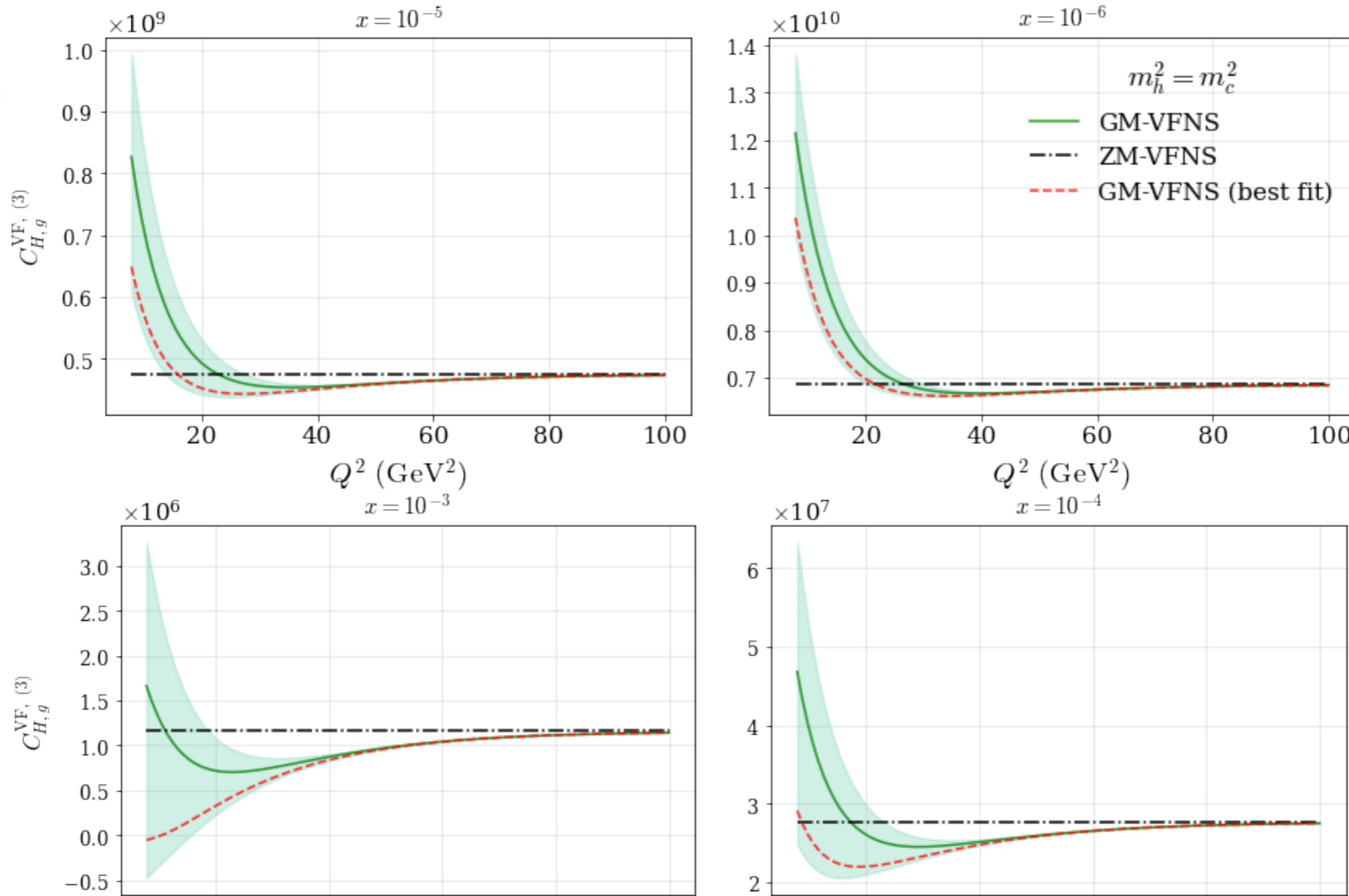
- Situation similar to P_{ij} . In some cases (e.g. $A_{Hg}^{(3)}$) we know low x and Mellin information \Rightarrow follow similar procedure to build up approximation.
- For other cases ($A_{gq,H}^{(3)}, A_{Hq}^{PS,(3)}$) exact results are known - simply use these.



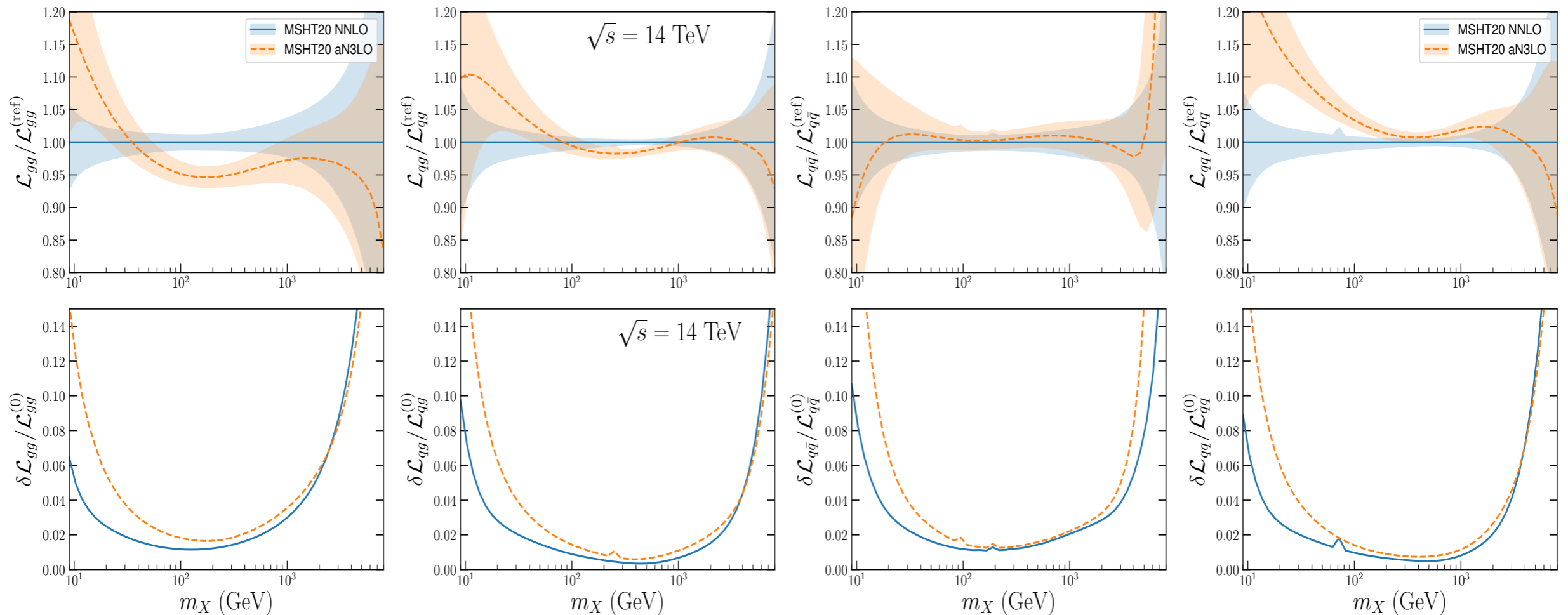
Coefficient Functions

- Massless ($Q^2 \rightarrow \infty$) case known as well as approximations for massive close to threshold ($Q^2 \leq m_H^2$). Use this to build up approximate GM-VFNS prediction.

$C_{H,g}$



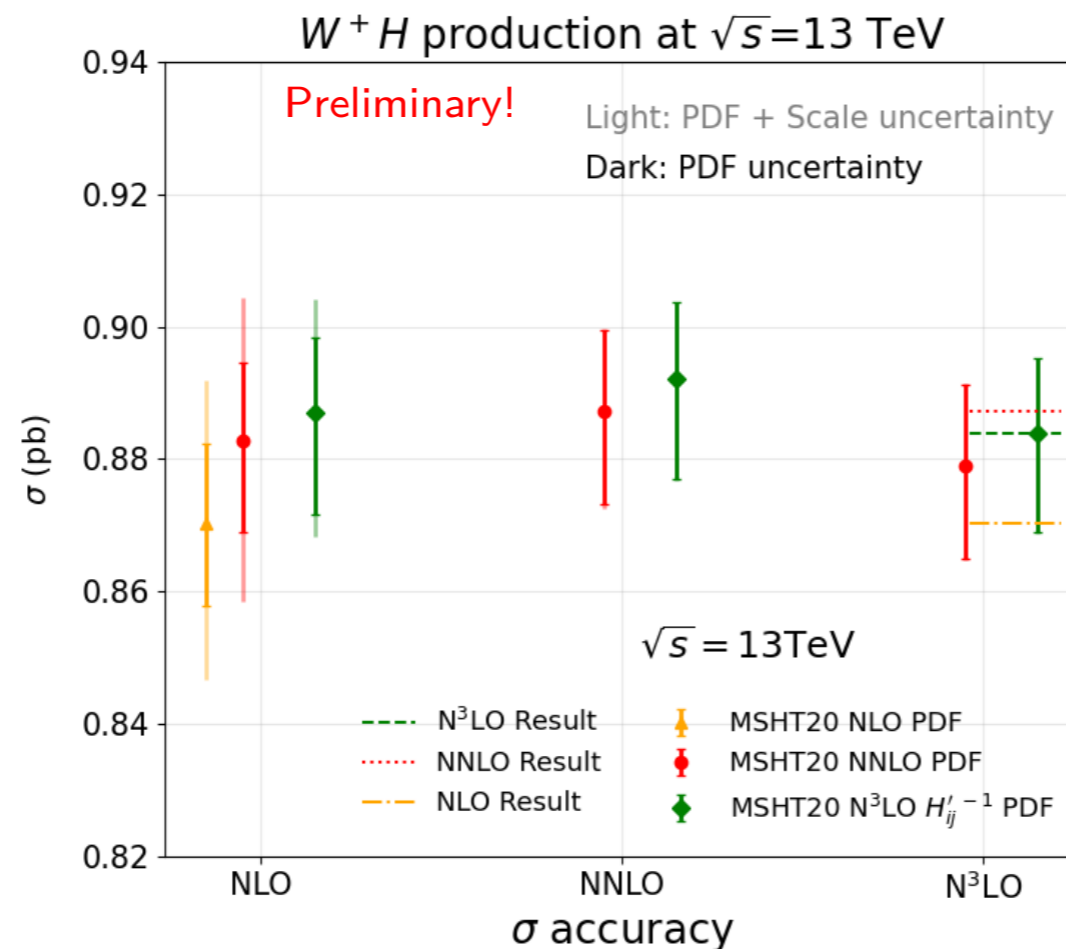
aN3LO PDF luminosities:



- PDF changes have implications for PDF luminosities for phenomenology.
- **gg luminosity reduced around 100GeV** and increased at 10GeV, **gg** uncertainty grows with inclusion of aN3LO and theoretical uncertainties.
- **qq luminosity raised at low invariant masses** from enhanced charm.
- **Luminosity uncertainties enlarged** (and more so at lower invariant masses) due to **inclusion of aN3LO and PDF theory uncertainties**.

Impact on VH cross-sections:

- Consider impact of our aN3LO PDFs on VH associated production (Higgsstrahlung) at LHC, e.g. W^+H at 13 TeV:



N.B. For scale variations - do μ_R and μ_F at NNLO but only μ_R at aN3LO as PDF uncertainty from MHOs already in PDF eigenvectors.

Results obtained using the n3lox code²⁸.

- Result with aN3LO PDFs raised slightly, reflects increased quarks at high x , antiquarks at low x and strange and charm.
- N3LO σ + aN3LO PDF result very close to NNLO σ + NNLO PDF result, increased stability in predictions.

Low x and resummation

- Interesting to observe that impact on gluon and improvement in fit quality to HERA DIS data rather similar to earlier fits including low x resummation.

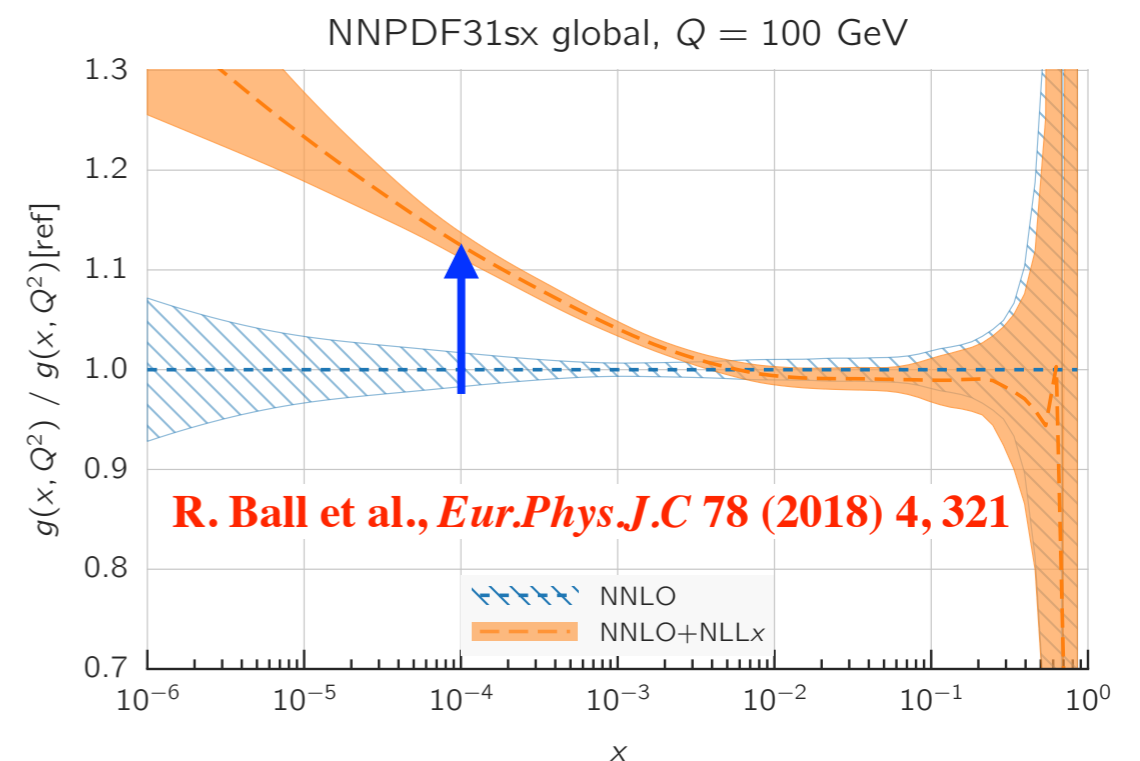
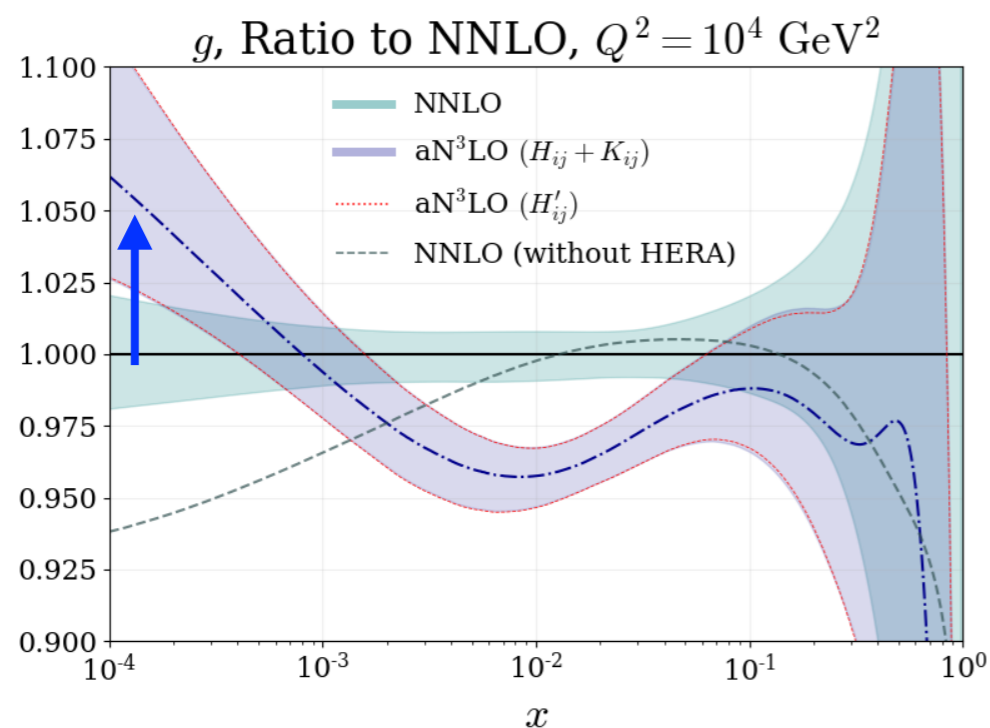
aN3LO

Resummation

DIS Dataset	χ^2	$\Delta\chi^2$ from NNLO
HERA e^+p NC 820 GeV [144]	84.3 / 75	-5.6
HERA e^-p NC 460 GeV [144]	247.7 / 209	-0.6
HERA e^+p NC 920 GeV [144]	474.0 / 402	-38.7
HERA e^-p NC 575 GeV [144]	248.5 / 259	-14.5
HERA e^-p NC 920 GeV [144]	243.0 / 159	-1.4
Total	2580.9 / 2375	<u>-90.8</u>

NNLO	χ^2 / N_{dat}		$\Delta\chi^2$
	NNLO	NNLO+NLL x	
1.17	1.11	<u>-62</u>	
1.25	1.24	-1	

xFitter, *Eur.Phys.J.C* 78 (2018) 8, 621



R. Ball et al., *Eur.Phys.J.C* 78 (2018) 4, 321

Dijet Data

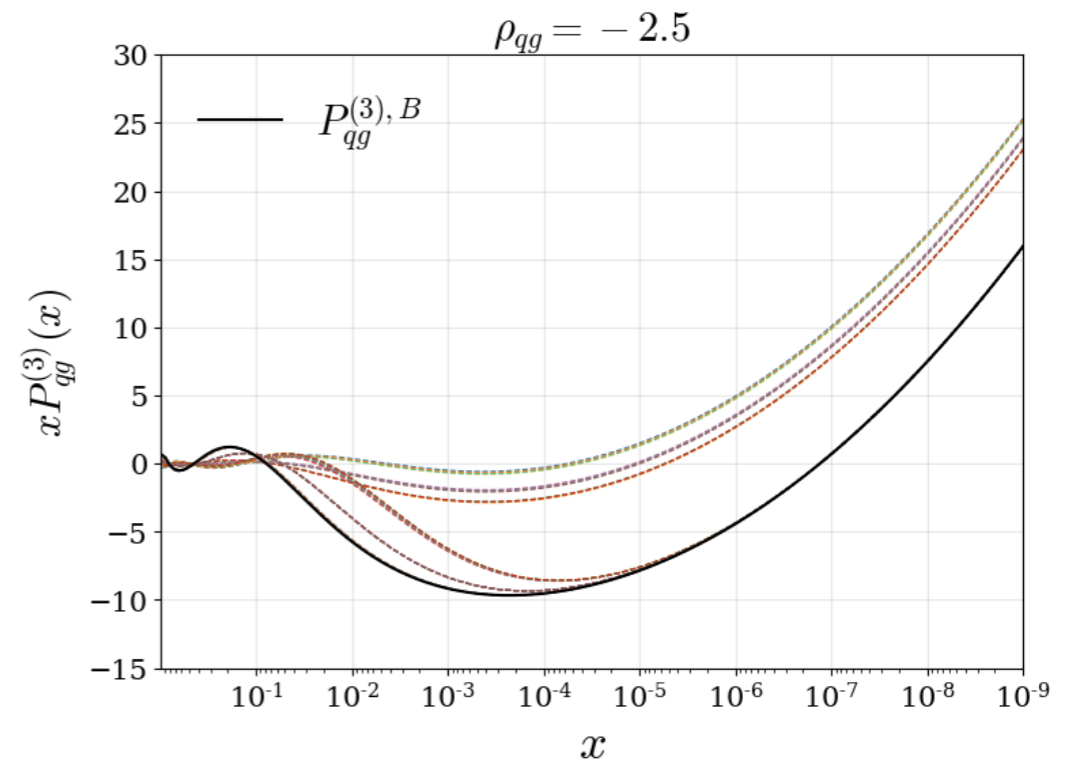
- Try fitting (2D and 3D) **dijet** data rather than **inclusive** jets.
- Recall fit quality to **inclusive** jets **worse** from NNLO at aN³LO.
- For **dijets** this is no longer the case! Improvement in going to aN³LO and also in overall fit to other data.

	N_{pts}	χ^2/N_{pts}	
		NNLO	aN ³ LO
ATLAS 7 TeV jets	140	1.58	1.54
CMS 7 TeV jets	158	1.11	1.18
CMS 8 TeV jets	174	1.50	1.56
Total	472	1.39	1.43

	N_{pts}	χ^2/N_{pts}	
		NNLO	aN ³ LO
ATLAS 7 TeV dijets	90	1.05	1.12
CMS 7 TeV dijets	54	1.43	1.39
CMS 8 TeV dijets	122	1.04	0.83
Total	266	1.12	1.04

- Impact on PDFs similar (not identical). Closer at aN³LO.

- For a given value of ρ and set of $f_i(x)$ splitting function predicted entirely. Varying these gives prior **uncertainty band**.

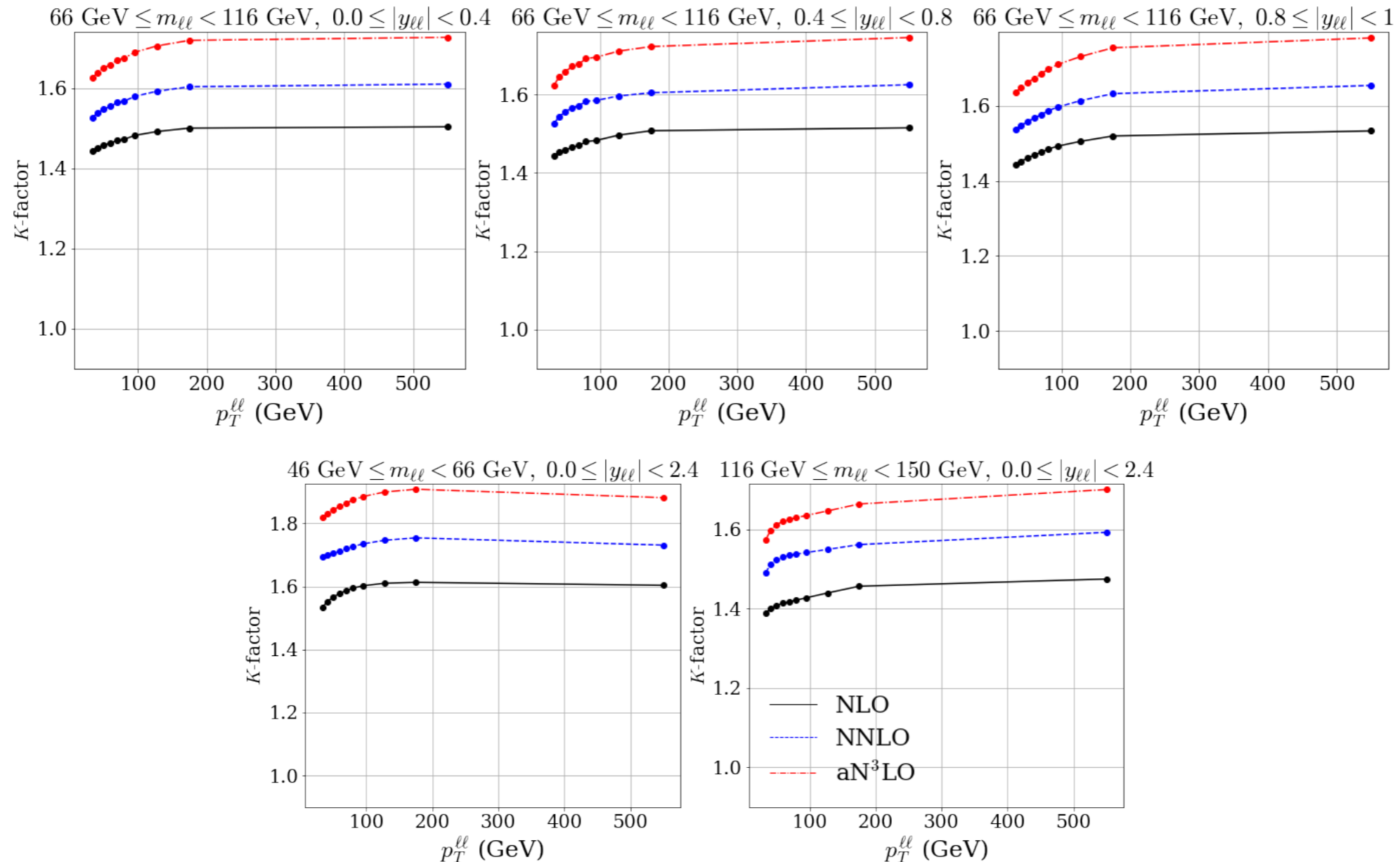


- More precisely, range of ρ set by requiring that ‘reasonable’ result:
 - ★ Low $x < 10^{-5}$: full function cannot be in large tension with leading term.

$$\frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1}{2} \frac{\ln^2 1/x}{x}$$
 - ★ High x : **N3LO** correction small, following general trend of **NNLO**.
- In the end choose one set of $f_i(x)$ and range of ρ to satisfy this.
- Some subjectivity here, but result does not depend sensitively on precise prior.
- A similar approach was used before the full NNLO was known, and found to match the exact NNLO result well!

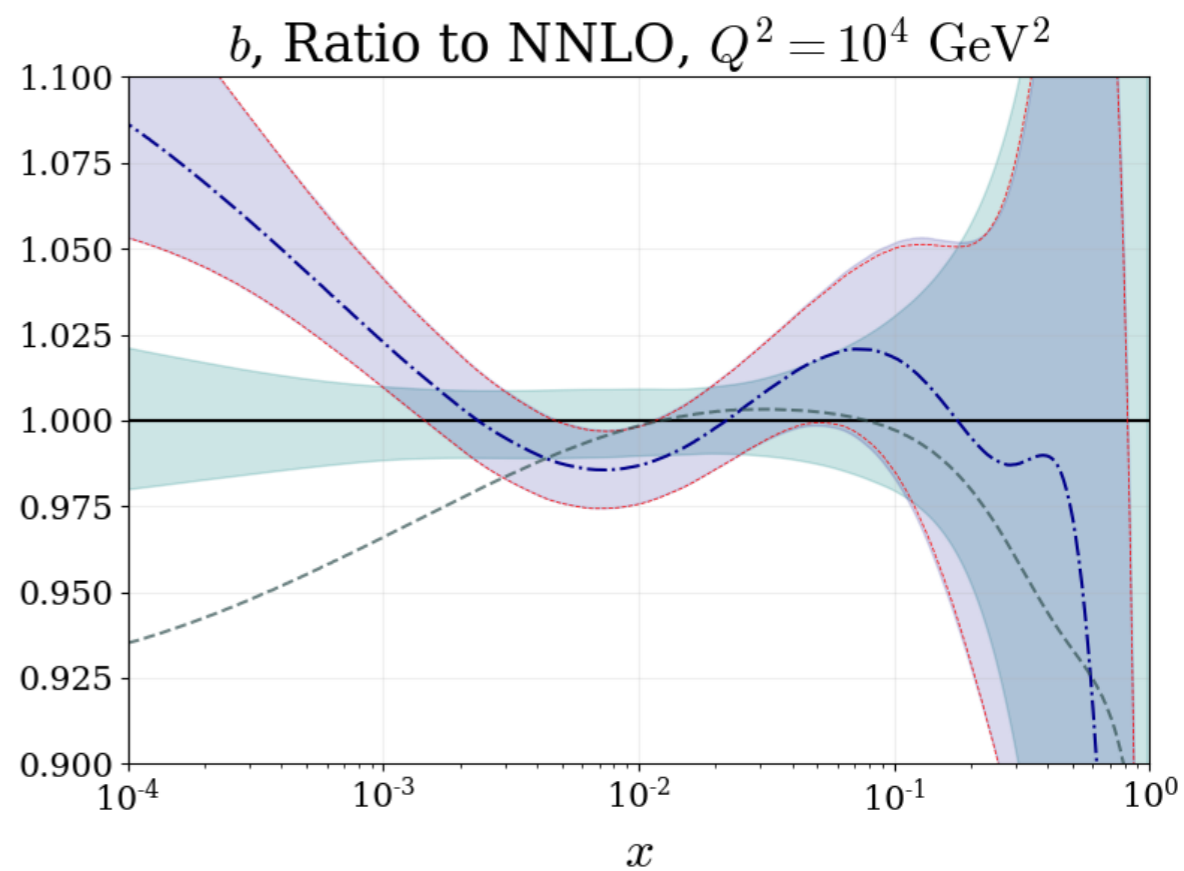
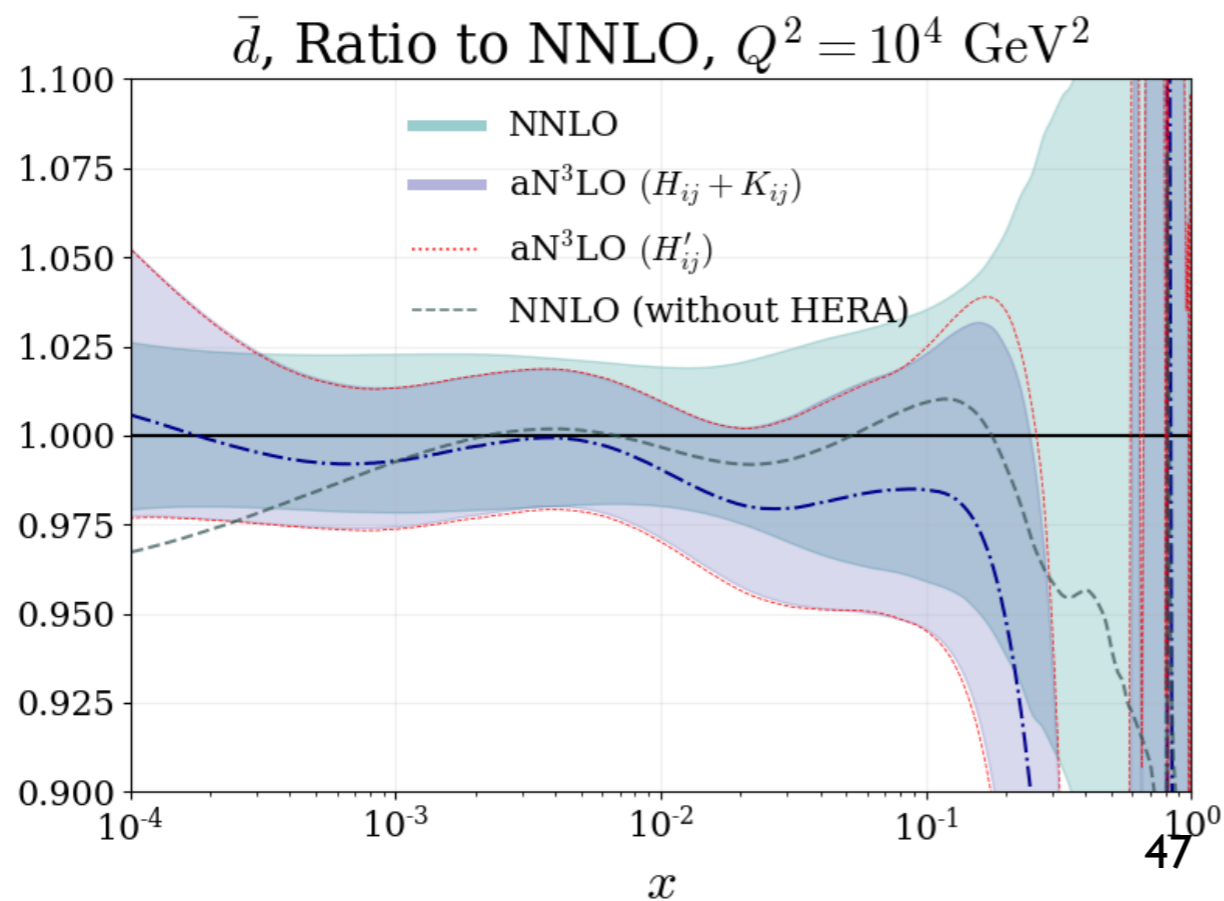
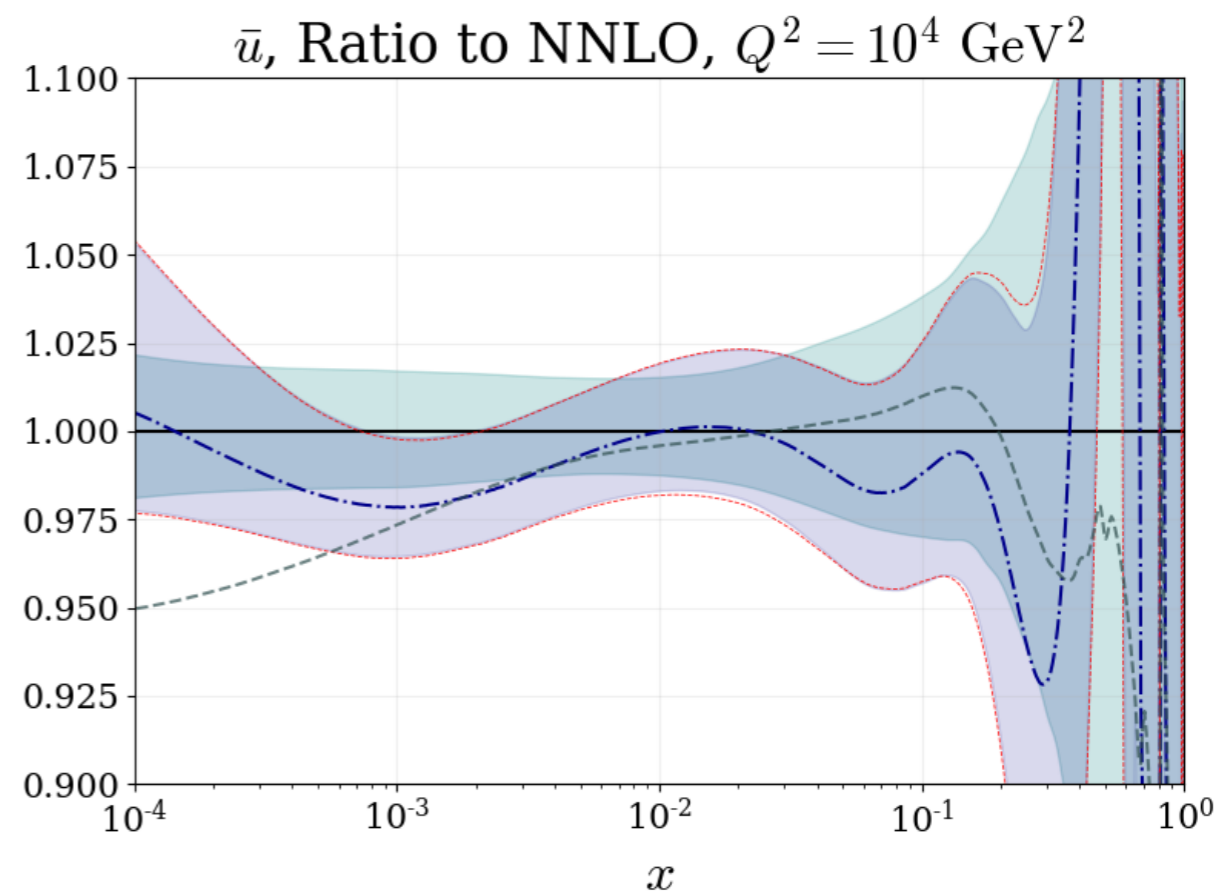
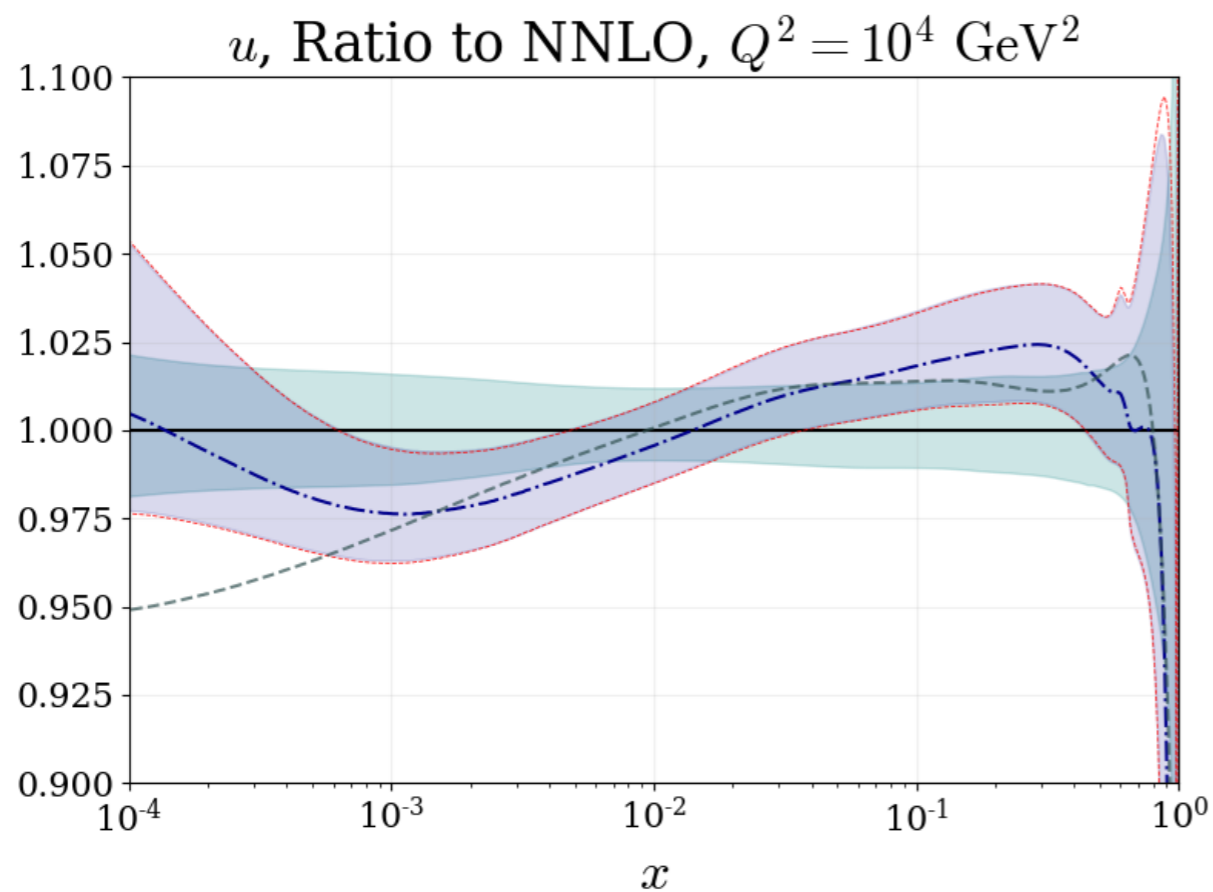
W. L. van Neervan and A. Vogt,
***Nucl.Phys.B* 588 (2000) 345-373,**
***Nucl.Phys.B* 568 (2000) 263-286**

★ Resulting K-factors: $Z p_{\perp}$

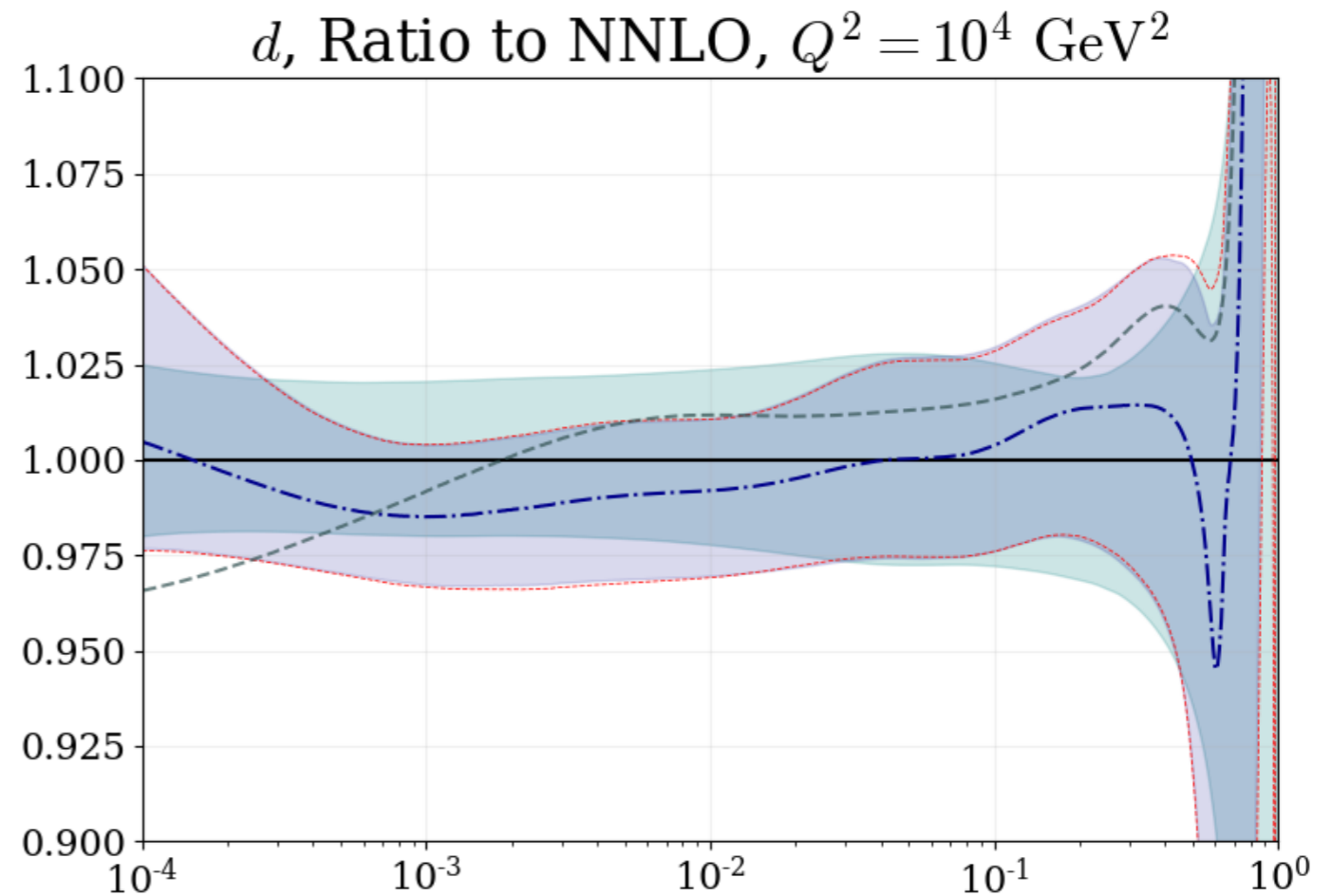


- Somewhat larger shift here. Arguably consistent with rather larger lower order corrections.
- **Note:** here (and elsewhere) K-factor is one preferred by fit \Rightarrow may be tendency for this to lie towards ‘all orders’ result. Important when interpreting wrt perturbative stability.

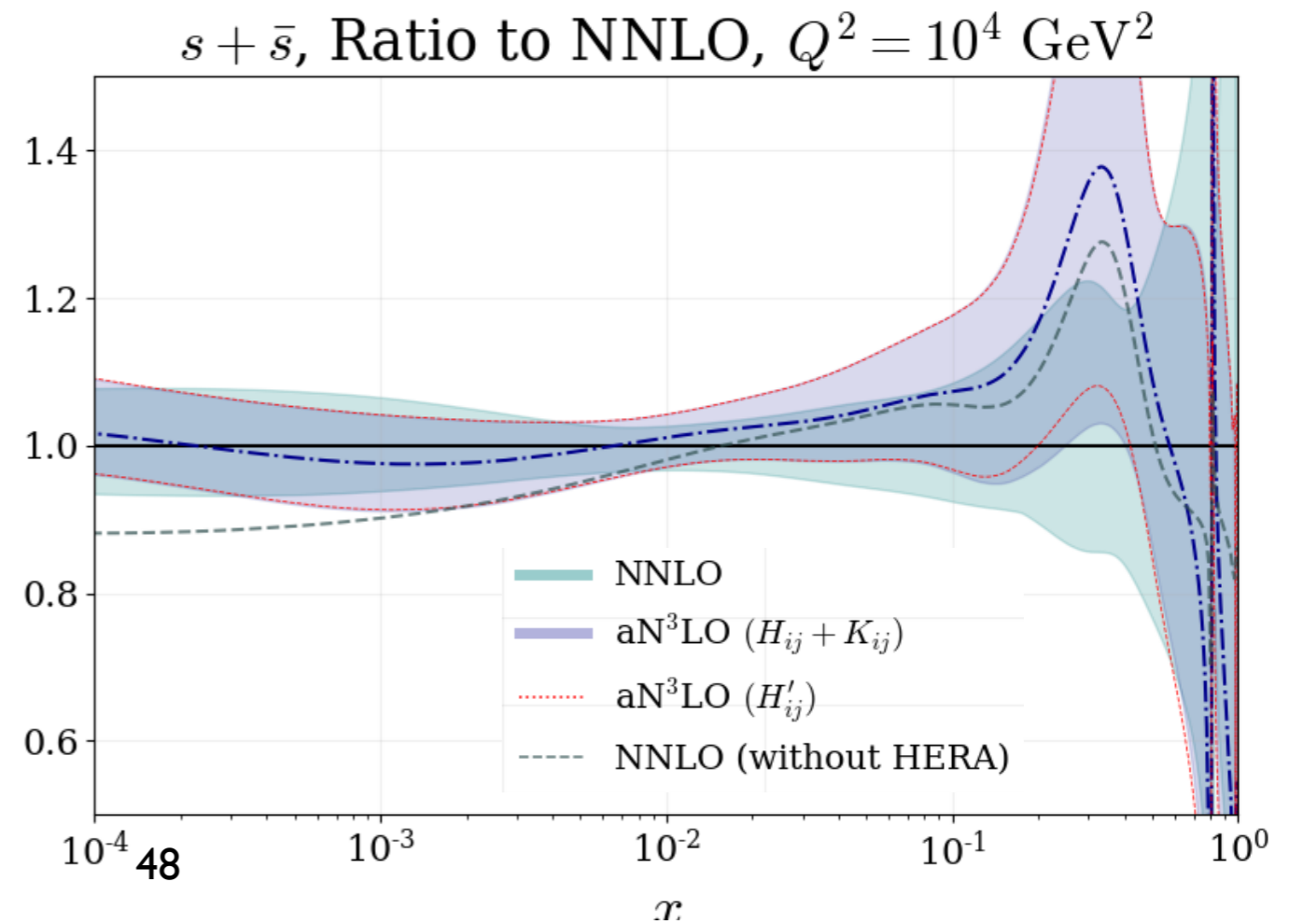
• Other PDFs...



- Some enhancement in **light quarks** at high x .



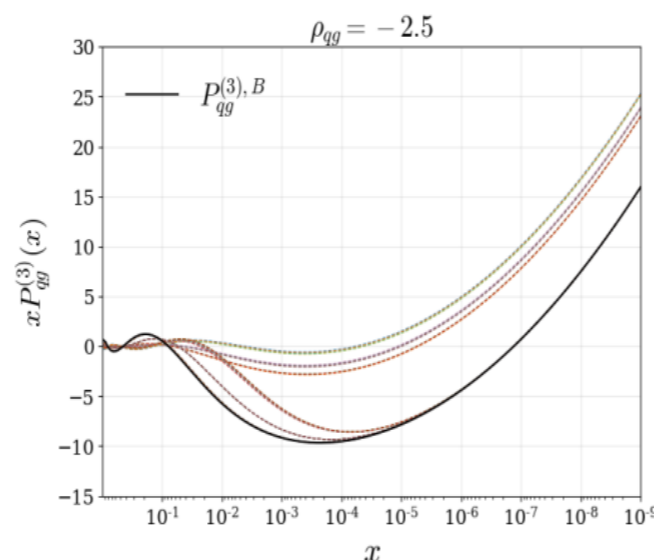
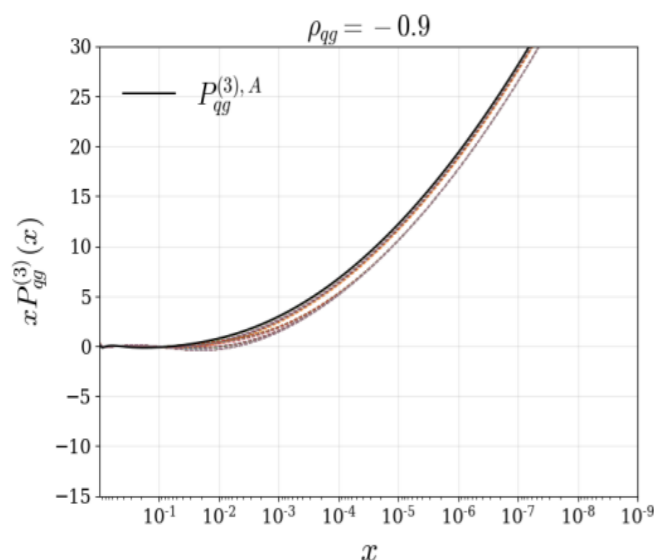
- **Strange quark** enhanced at high x .
- Follows the NNLO (no HERA) rather closely - reduced tensions.



Other PDFs: backup

How to determine the priors:

- Key part of the theoretical nuisance parameter framework for missing N3LO pieces is **setting up the priors and penalties** on their variations.
- Q. How do we do this? A. **Conservatively!**
- Set ρ_{ab} prior variation by requiring:
 - 1 At low x bound set once exact expression $f_e(x, \rho_{ab})$ exits range of results from different (larger) x functional forms, e.g. see lower plots.
 - 2 At high x bound set if N3LO correction becomes too large (rare).
 - 3 Once functional form fixed, check range of prior and extend as necessary to incorporate different functional form variation.

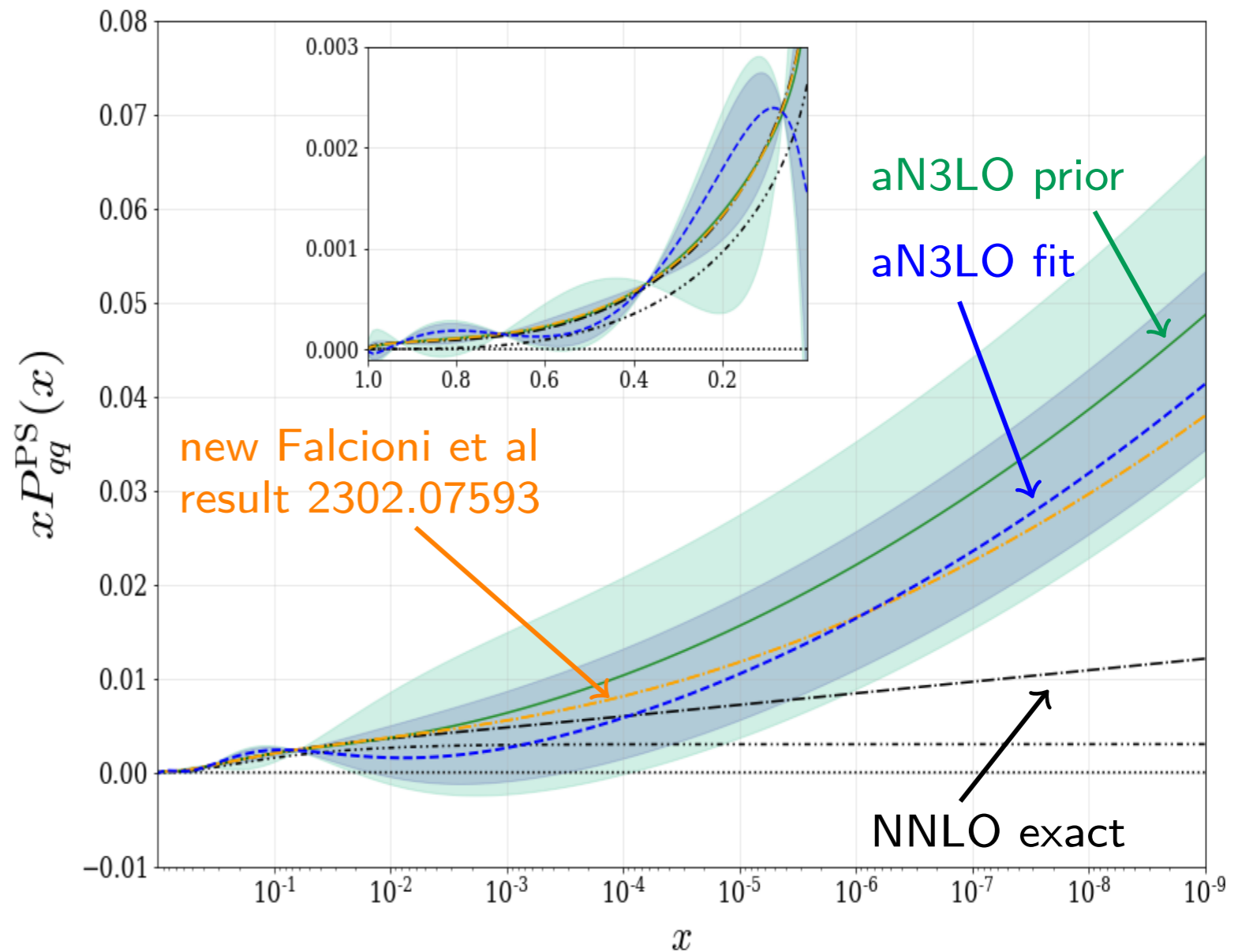


- Find **penalties on theory nuisance parameters after fit are small** and posterior errorbands reduced relative to prior \Rightarrow **prior set conservatively.**

Further aN3LO information?:

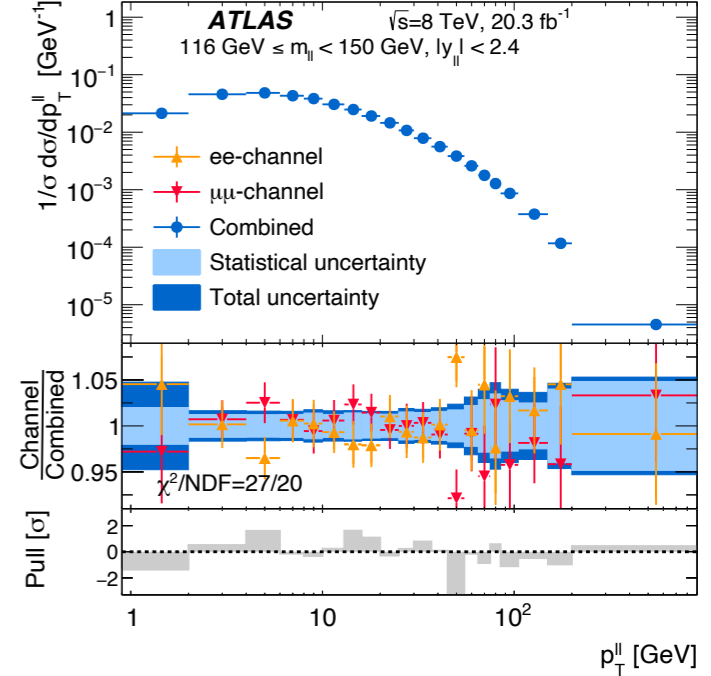
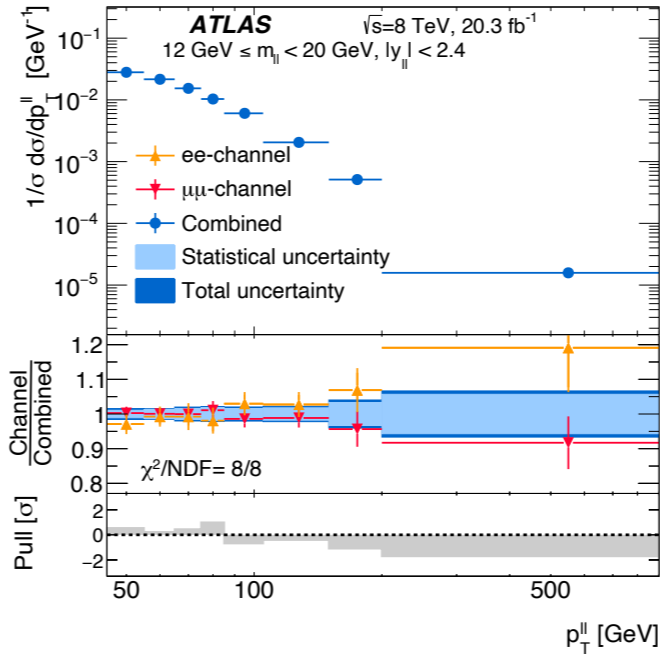
What else could be added?:

- More information on **high- x** behaviour from threshold resummations.
- **Cusp/virtual anomalous dimensions** for P_{gg} , P_{qq}^{NS} .
 \Rightarrow very high- x .
- **N3LO k-factors** as they become available for PDFs.
- $A_{gg,H}^{(3)}$ recently calculated. J. Ablinger et al 2211.05462.
- **New info on P_{qq}^{PS}** :
 - more moments
 - further low and high x log coefficients and fitting remaining logs.
- **Good agreement with our aN3LO result! Much better than NNLO!**



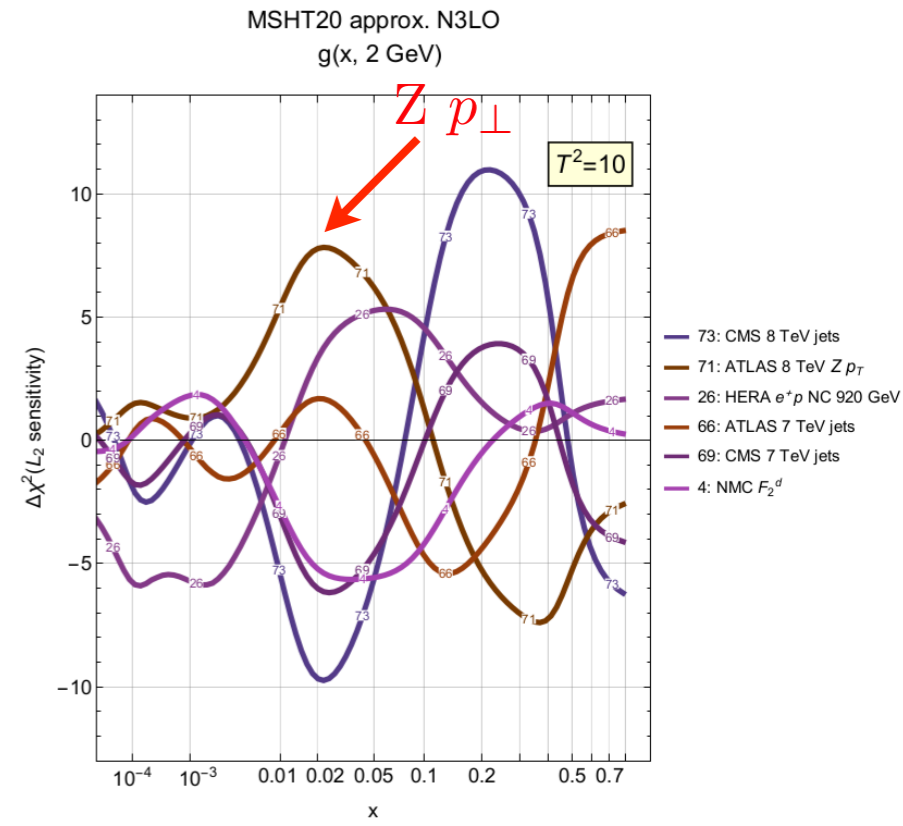
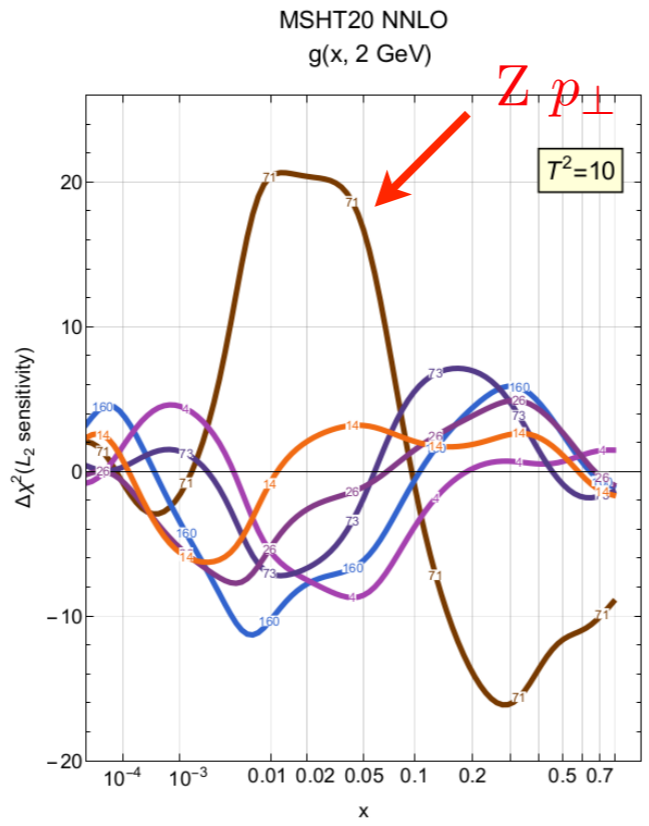
- ATLAS $Z p_{\perp}$ (more properly dilepton p_{\perp}) data presented double differentially in m_{ll}, p_{\perp}^{ll}

$12 < m_{ll} < 150 \text{ GeV} \quad p_{\perp}^{ll} > 30 \text{ GeV}$



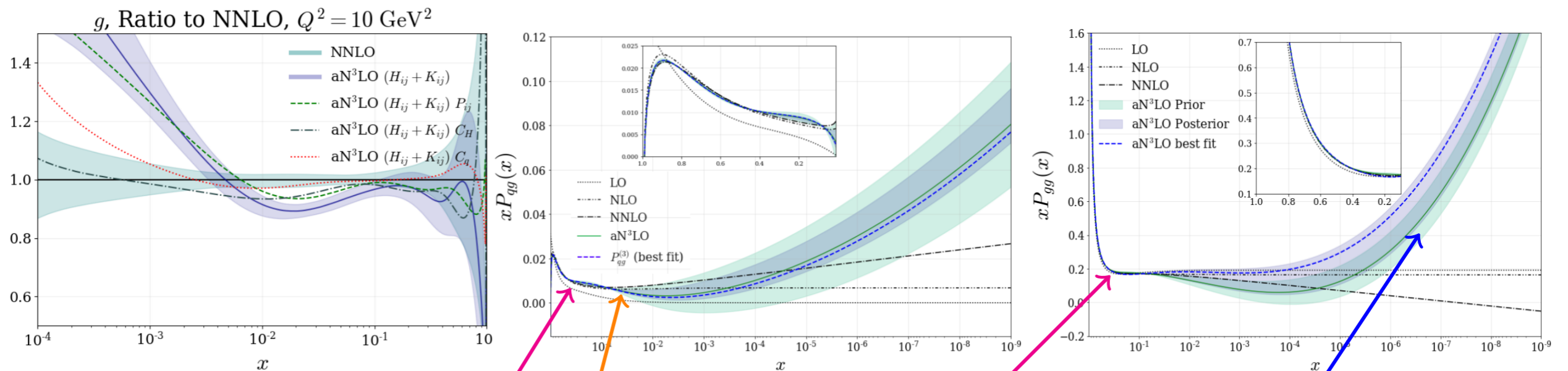
- Treatment of this dataset rather different between groups.
- Fit quality v. poor in default NNLO fit, with dramatic improvement at aN3LO (1.86 vs. 1.04), and highly sensitive to other data in fit (jets vs. dijets).

- Reduced **tension** at aN3LO also backed up by L2 sensitivities (reduced scale).



aN3LO PDFs - What causes the changes in the gluon?:

- Around $10^{-2} \lesssim x \lesssim 10^{-1}$ P_{ij} , C_H contribute \approx equally. Also some C_q .
- At low x P_{ij} dominate, this contains much known N3LO information.



- Known Mellin moments/tightly constrain high x splitting functions.
- At intermediate x increased P_{qg} and momentum sum rule affect gluon.
- At small x , LL and NLL (latter for P_{gg}) resummed pieces dominate.
- P_{gq} (not shown) has largest power of unknown log: $\log^2(1/x)/x$.
- Most singular NNLO term at small x in P_{gg} ($\alpha_S^3/x \log^2(1/x)$) is 0, so expect new N3LO piece ($\alpha_S^4/x \log^3(1/x)$) to cause significant change.

PDFs - theoretical uncertainty

- Recall we have added in **additional freedom** via aN3LO nuisance parameters:

$$\begin{array}{ccc}
 \begin{array}{c} \color{red}{T'} \\ \color{red}{\nearrow} \\ \text{N3LO} \\ \text{theory} \end{array} & = & \begin{array}{c} \color{red}{T'_0} \\ \color{red}{\uparrow} \\ \text{N3LO} \\ \text{(central)} \end{array} + \begin{array}{c} \color{red}{\theta' u} \\ \color{red}{\nwarrow} \\ \text{Allowed} \\ \text{variation} \end{array}
 \end{array}$$

$$P(\theta') = \frac{1}{\sqrt{2\pi}\sigma_{\theta'}} \exp(-\theta'^2/2\sigma_{\theta'}^2)$$

- This will also impact on **PDF uncertainties** - an additional uncertainty due to unknown higher order corrections:

$$P(T'|D) \propto \int d\theta' \exp\left(-\frac{1}{2}M^{-1}(\theta' - \bar{\theta}')^2 - \frac{1}{2}(T' - D)^T (H_0^{-1} + uu^T)^{-1}(T' - D)\right).$$

Additional uncertainty

- In principle uncertainty from these is correlated with other (**experimental**) PDF uncertainties.
- However for K-factors find these largely separate out: can provide separately with little loss in accuracy.

Splitting Functions

- Start with QCD splitting functions:

$$P(x, \alpha_s) = \alpha_s P^{(0)}(x) + \alpha_s^2 P^{(1)}(x) + \alpha_s^3 P^{(2)}(x) + \alpha_s^4 P^{(3)}(x) + \dots$$

- While these are not known exactly at N3LO, we do know quite a lot already:

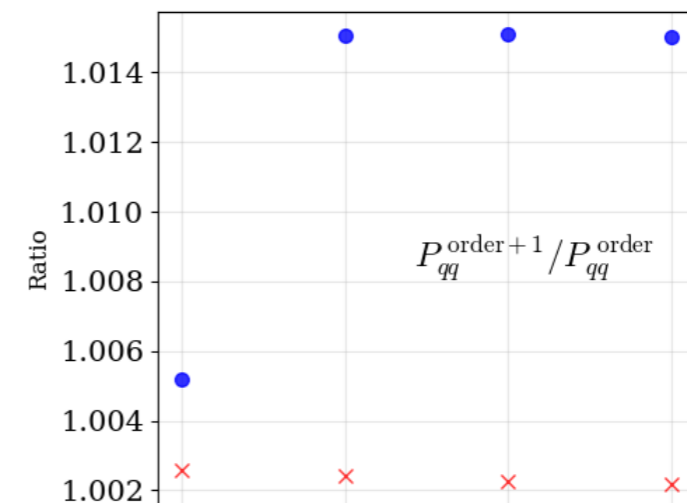
★ Form at low x :
$$P_{qg}^{(3)}(x) \rightarrow \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1 \ln^2 1/x}{2x} + \rho_{qg} \frac{\ln 1/x}{x},$$

★ Even Mellin moments up to $N \geq 8$
$$\int_0^1 dx x^{N-1} P(x)$$

 \Rightarrow intermediate to high x

constraints.

- ★ Intuition from lower orders about what to expect.



- Idea is to parameterise $P(x)$ using set of basis functions:

$$P(x) = \sum_{i=1}^{N_m} A_i f_i(x) + f_e(x, \rho)$$

with N_m known moments used to solve for A_i .

- $f_e(x, \rho)$ is given known leading low x term + next-to-leading with nuisance parameter ρ , e.g. for $P_{qg}^{(3)}(x)$:

$$f_e(x, \rho_{qg}) = \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1 \ln^2 1/x}{2x} + \rho_{qg} \frac{\ln 1/x}{x}.$$

Coefficient known

Form known

Coefficient unknown

- For $f_i(x)$ range of choices are made, guided by what appears at lower orders

$$f_1(x) = \frac{1}{x} \quad \text{or} \quad \ln^4 x \quad \text{or} \quad \ln^3 x \quad \text{or} \quad \ln^2 x,$$

$$f_2(x) = \ln x,$$

$$f_2(x) = 1 \quad \text{or} \quad x \quad \text{or} \quad x^2,$$

$$f_3(x) = \ln^4(1-x) \quad \text{or} \quad \ln^3(1-x) \quad \text{or} \quad \ln^2(1-x) \quad \text{or} \quad \ln(1-x),$$

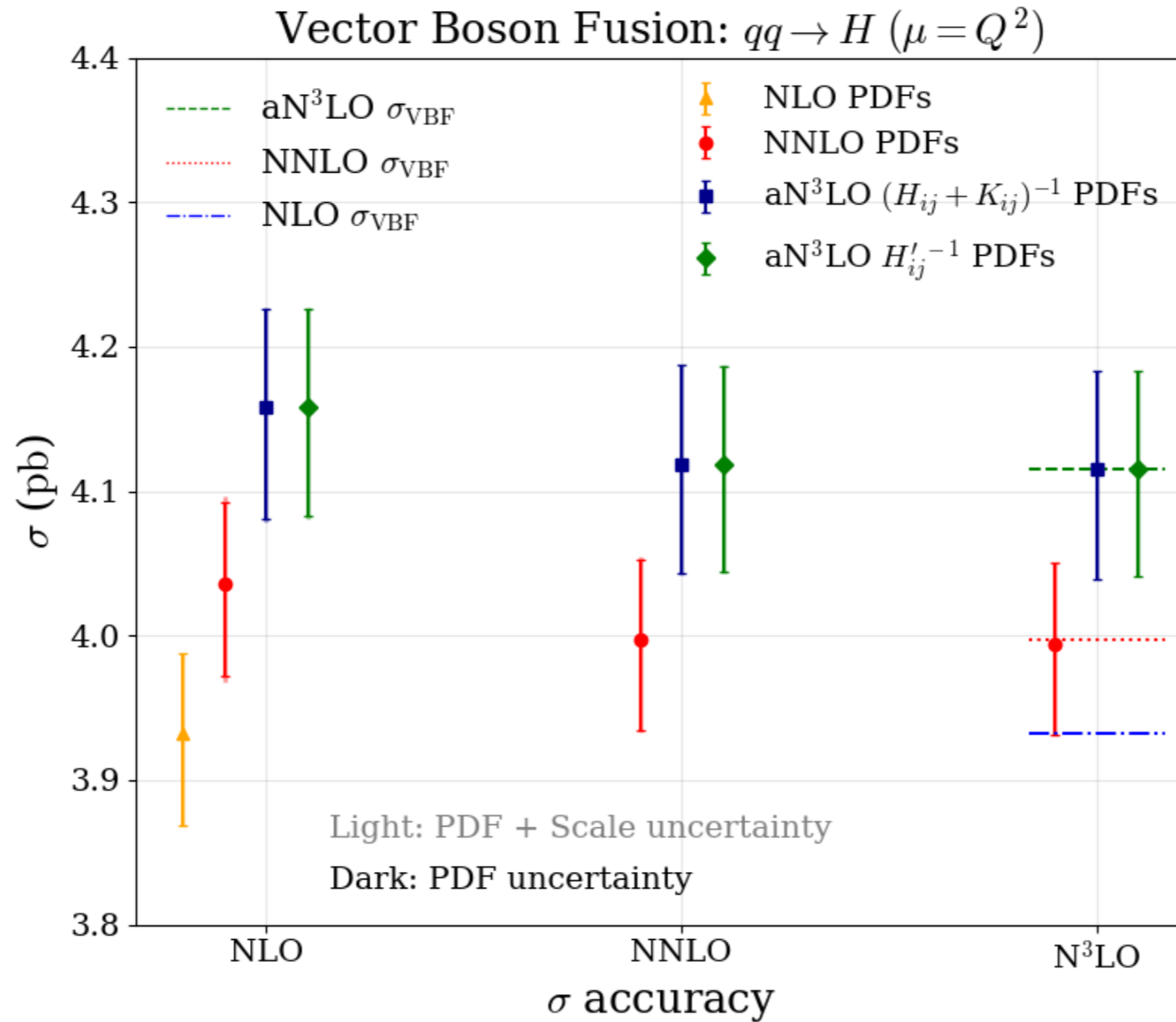
- Pick one set of functions, with prior range in ρ set such that full $f_i(x)$ variation is covered and overall behaviour is reasonable, e.g.:

Overall:

$$P_{qg}^{(3)}(x) = A_1 \ln^2 x + A_2 \ln x + A_3 x^2 + A_4 \ln(1-x) + \frac{C_A^3}{3\pi^4} \left(\frac{82}{81} + 2\zeta_3 \right) \frac{1}{2} \frac{\ln^2(1/x)}{x} + \rho_{qg} \frac{\ln 1/x}{x}$$

- Some subjectivity in precise prior range of ρ / choice of $f_i(x)$, but:
 - ★ Band of allowed $P(x)$ rather well constrained by known N3LO information (c.f. comparison to NNPDF later).
 - ★ We allow ρ to vary as nuisance parameter \Rightarrow reduced sensitivity to prior, with posterior range decided by fit.

- **Higgs via VBF**: less cancellation although here variation between orders is smaller.



NNLO K-factors - PDFs

