

# Simultaneous fits of PDFs and SMEFT in the top-sector

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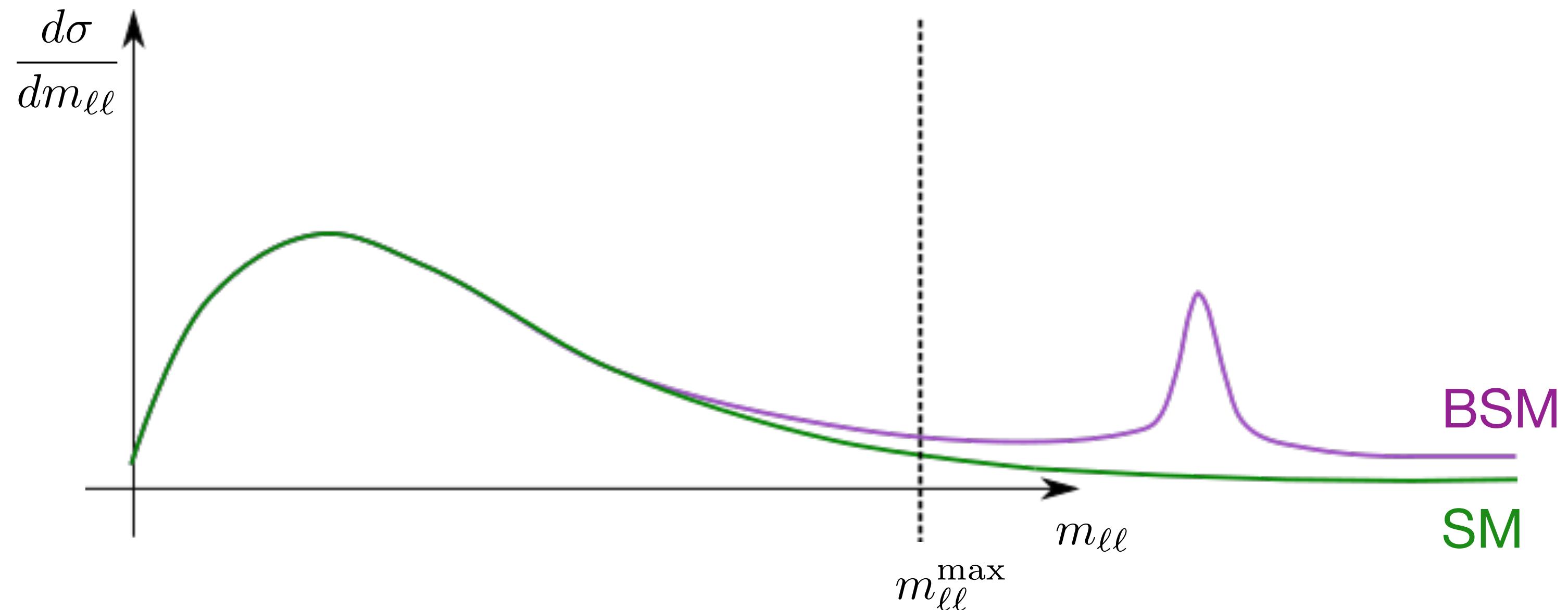


**QCD@LHC 2023**  
**Durham University**

# Global SMEFT interpretations

The **Standard Model Effective Field Theory**: a powerful framework for capturing deviations from the SM:

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{C^{(5)}}{\Lambda} \mathcal{O}^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)} + \dots$$

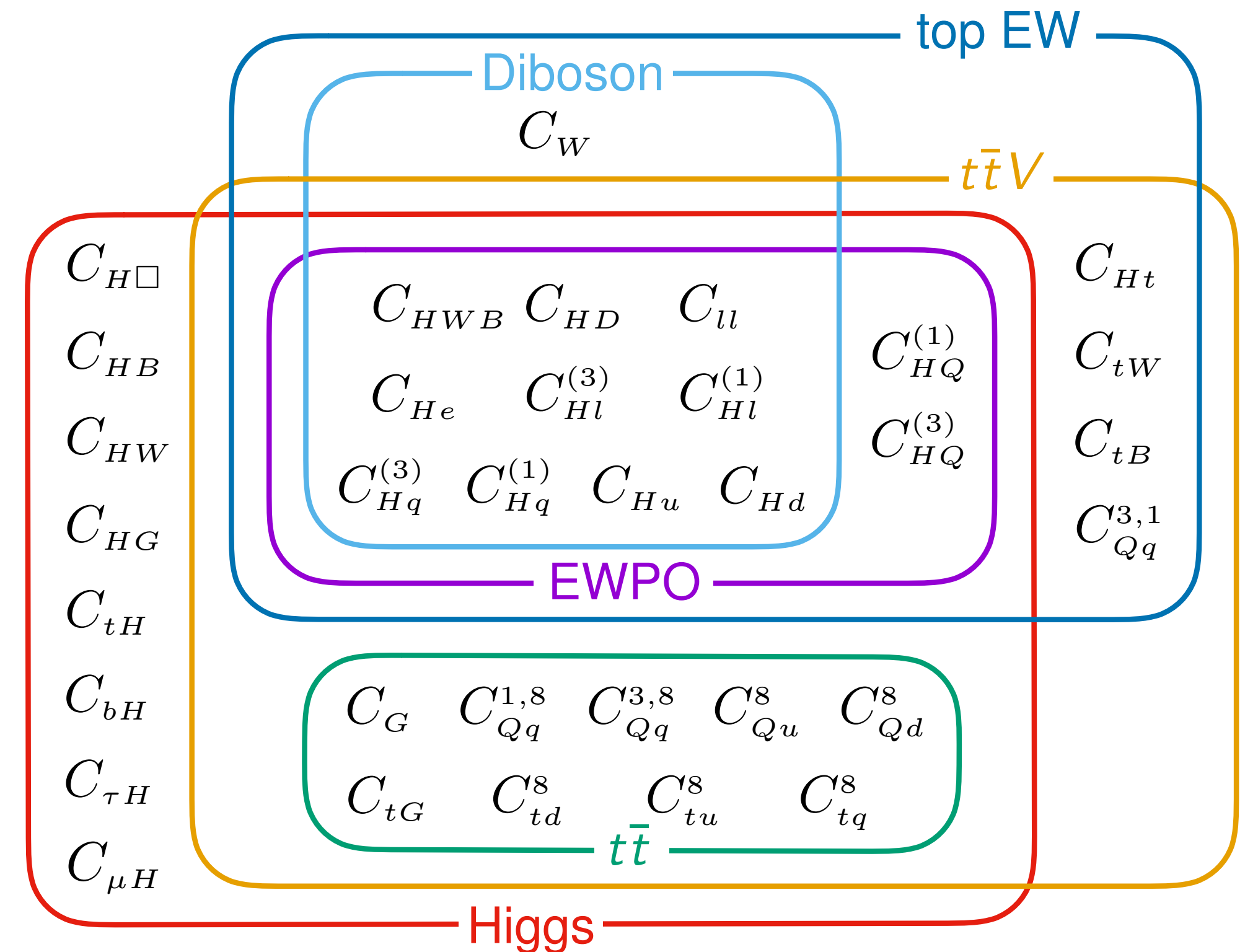


# Global SMEFT interpretations

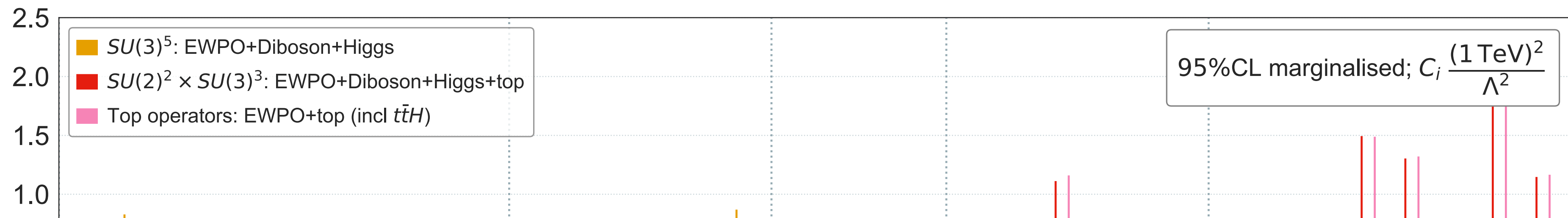
The SMEFT framework connects different sectors of observables measured at the LHC.

We need to take a **global approach**, including as many datasets as possible

→ Model-independent interpretation of BSM physics in LHC data



2012.02779, J. Ellis, MM, K. Mimasu, V. Sanz, T. You



# Global SMEFT fits

Higgs, diboson and electroweak precision data

Top data

Higgs, diboson and top data

Higgs, diboson, top and electroweak precision data

J. Ellis et. al, 1803.03252

E. da Silva Almeida et. al, 1812.01009:

A. Biekötter et. al, 1812.07587

A. Falkowski et. al, 1911.07866

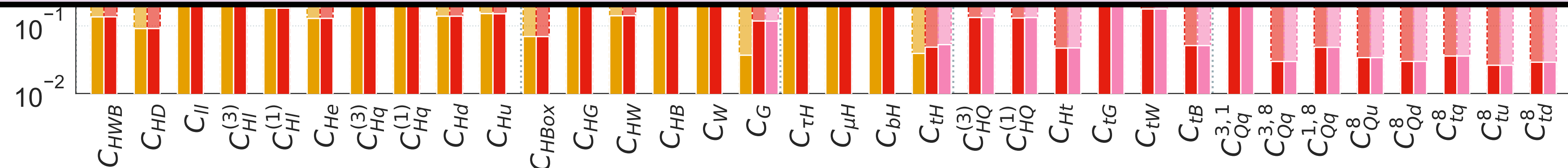
I. Brivio et. al, 1910.03606:

N. Hartland et. al, 1901.05965:

**+ many others....**

J. Ethier et. al, 2105.00006

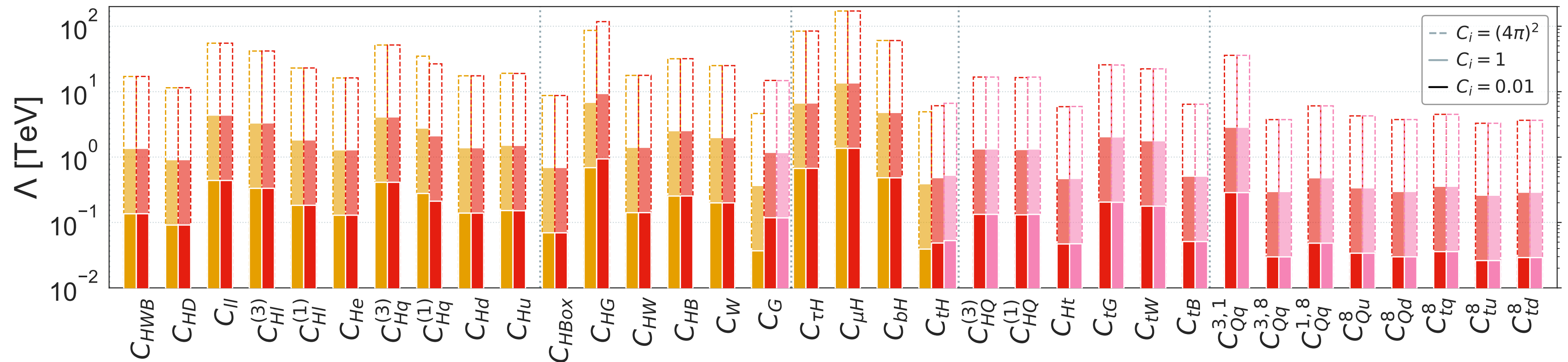
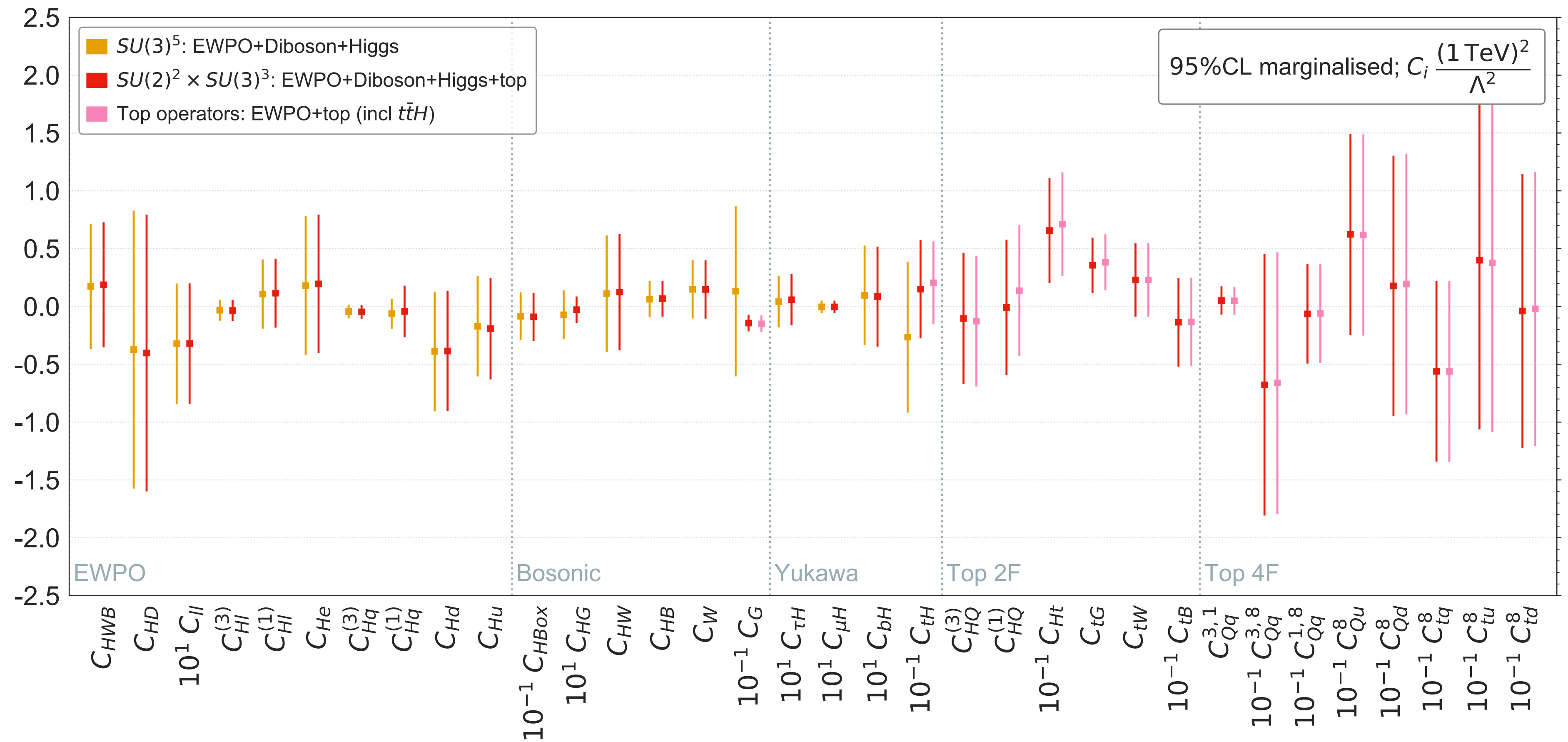
J. Ellis et. al, 2012.02779





# Higgs & Top combination

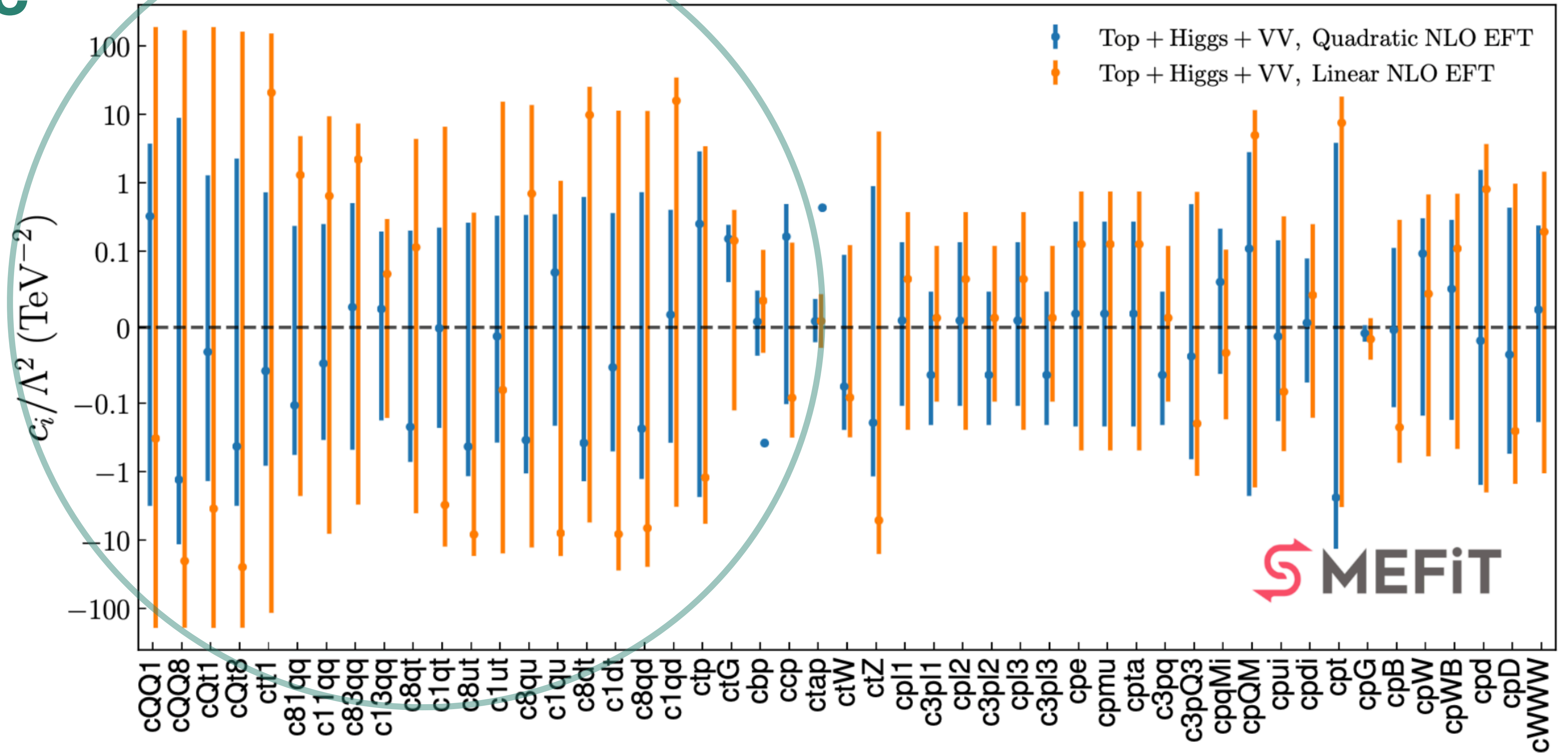
2012.02779, J. Ellis, MM,  
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# NLO and quadratic effects

Ethier et. al,  
2105.00006

$$\sigma = \sigma_{\text{SM}} + \frac{C}{\Lambda^2} \sigma_{\text{lin}} + \frac{C^2}{\Lambda^4} \sigma_{\text{quad}}$$



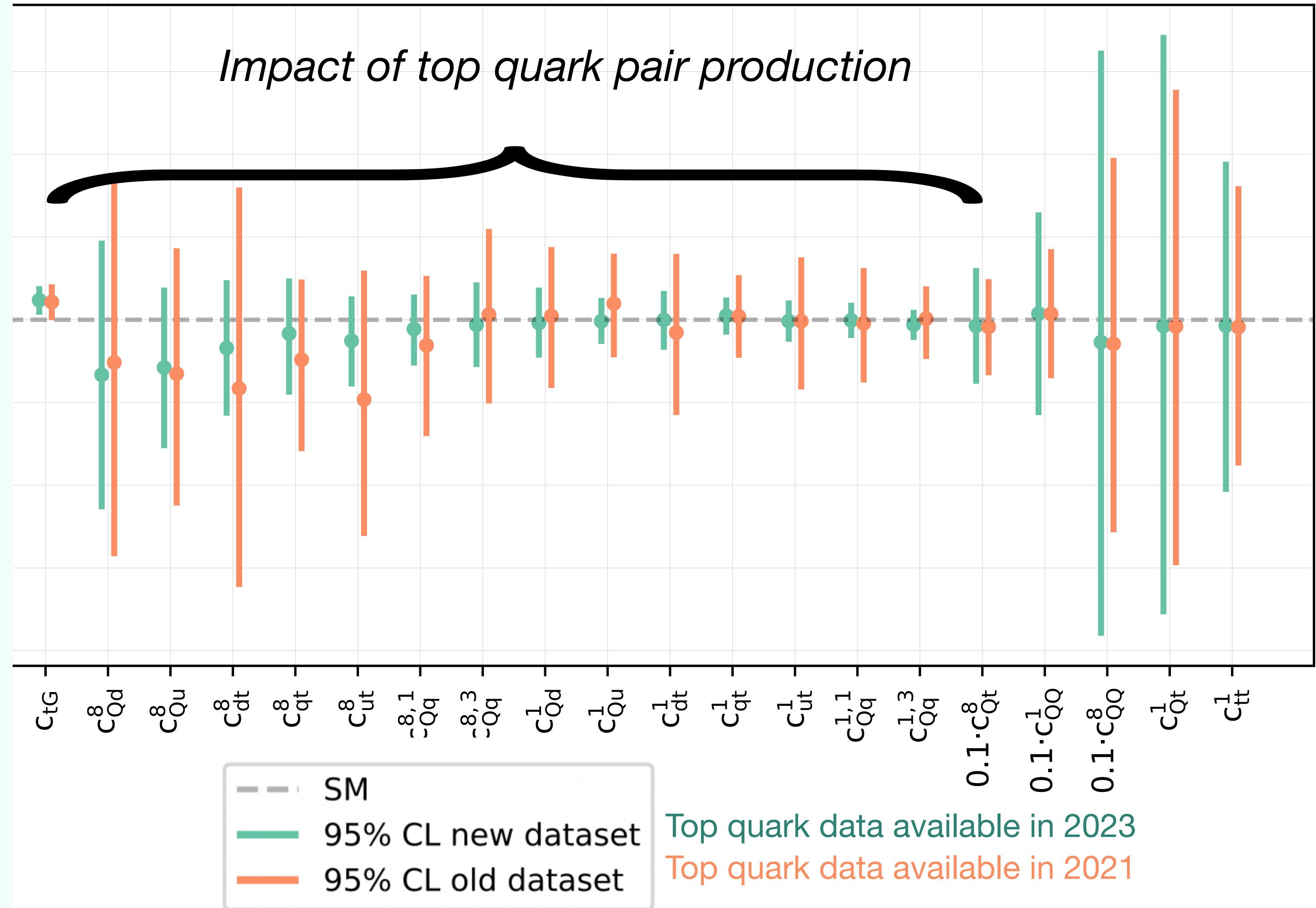
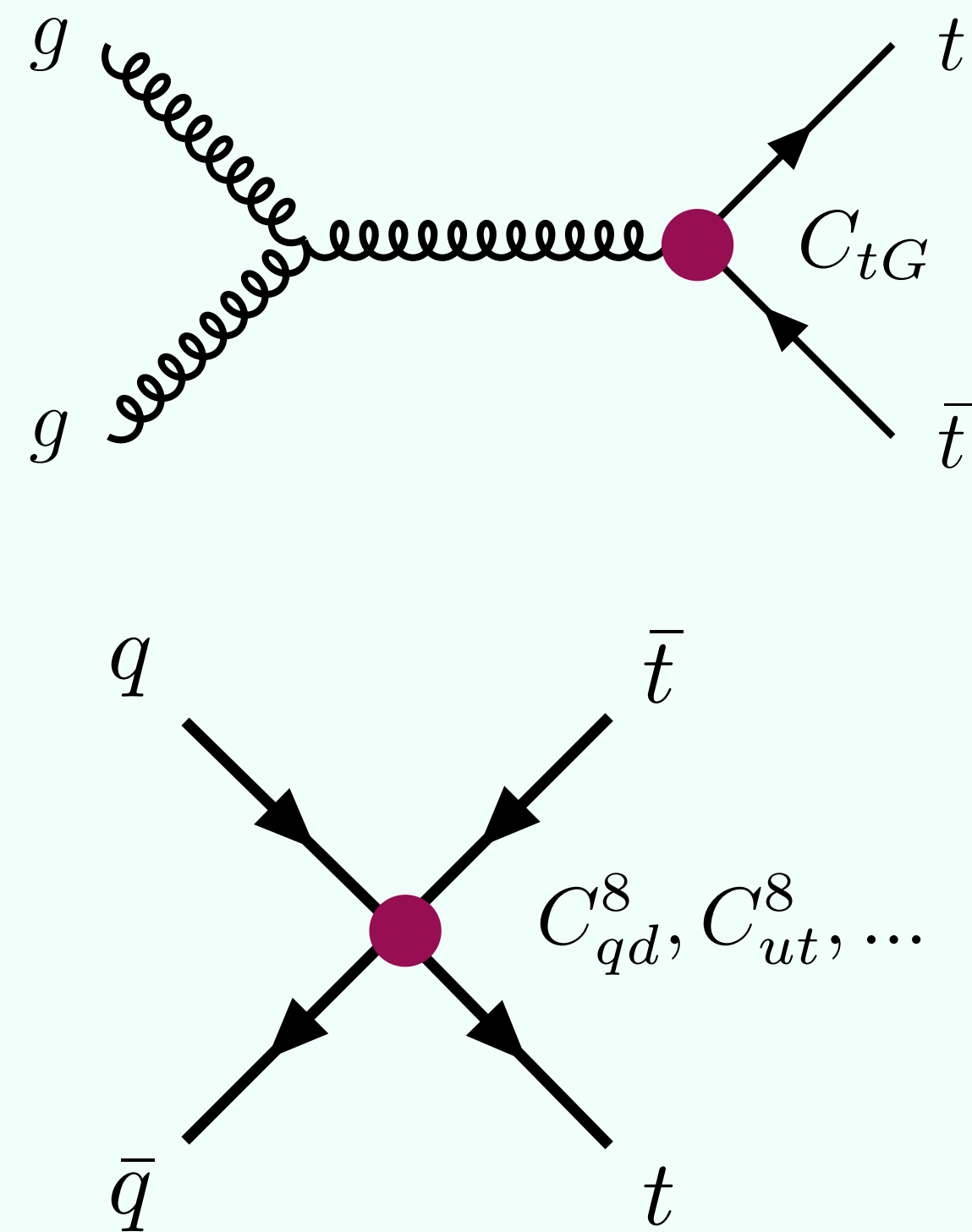
# The top sector after Run II

Z. Kassabov et. al , 2303.06159



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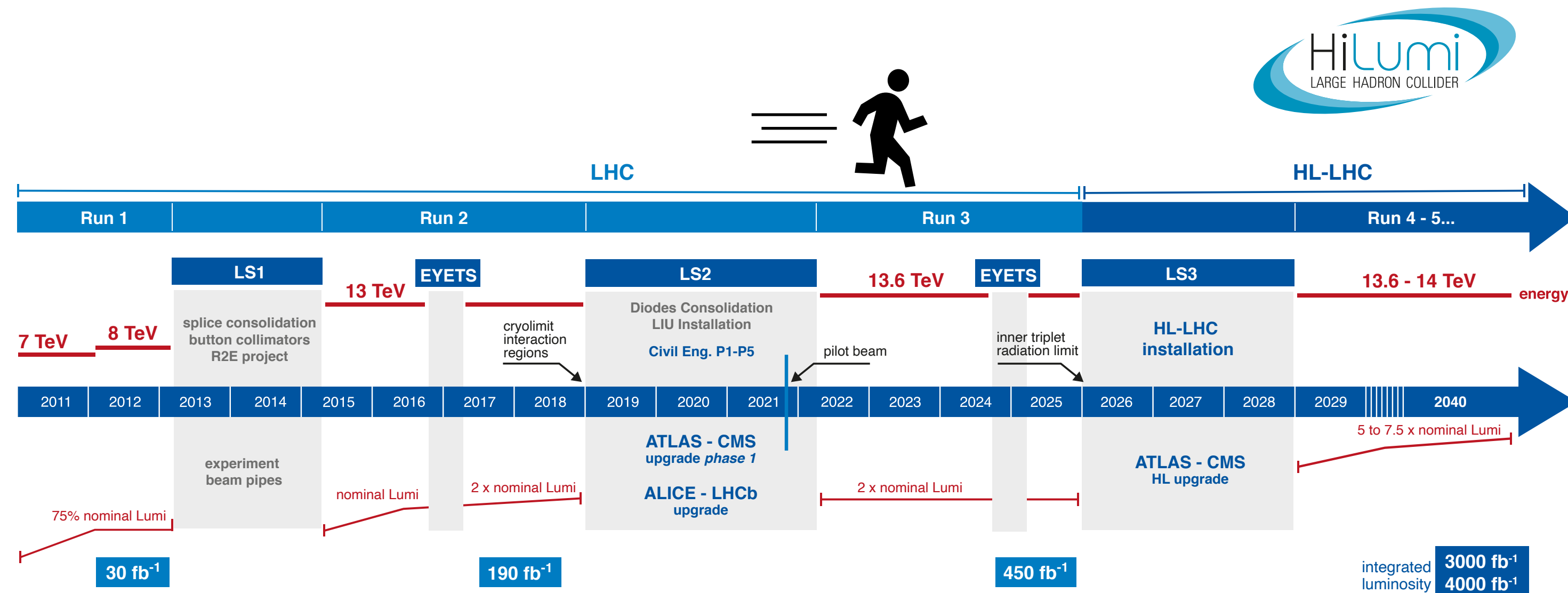




# Looking forward

Run II data already provides precise constraints on the top quark sector of the SMEFT

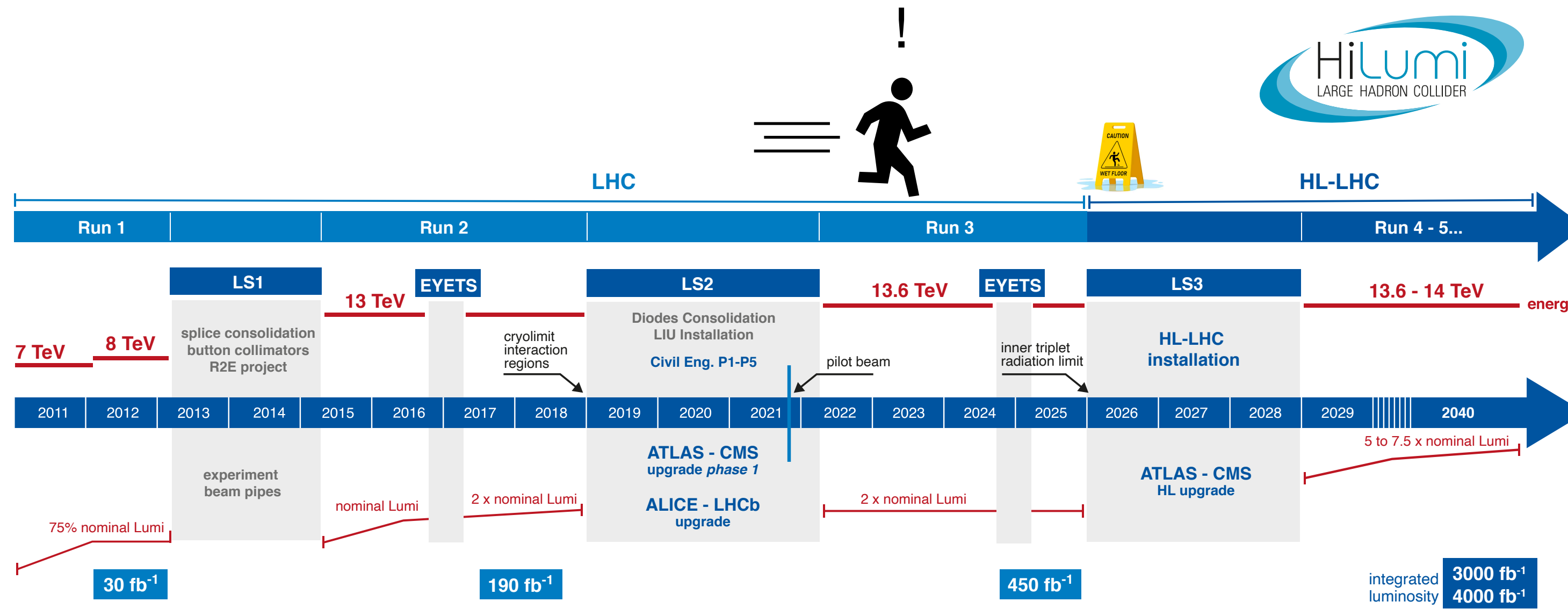
**As constraints improve, subleading effects may no longer be negligible**



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# PDF-EFT Interplay

Wilson coefficients:  $c$   
PDF parameters:  $\theta$

## PDF fits

SMEFT parameters are kept fixed:

$$\sigma(\bar{c}, \theta) = f_1(\theta) \otimes f_2(\theta) \otimes \hat{\sigma}(\bar{c})$$

Typically PDF fits assume the SM:

$$\bar{c} = 0$$

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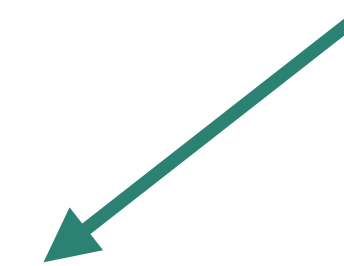
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PDFs used in SMEFT fits rely on SM assumptions

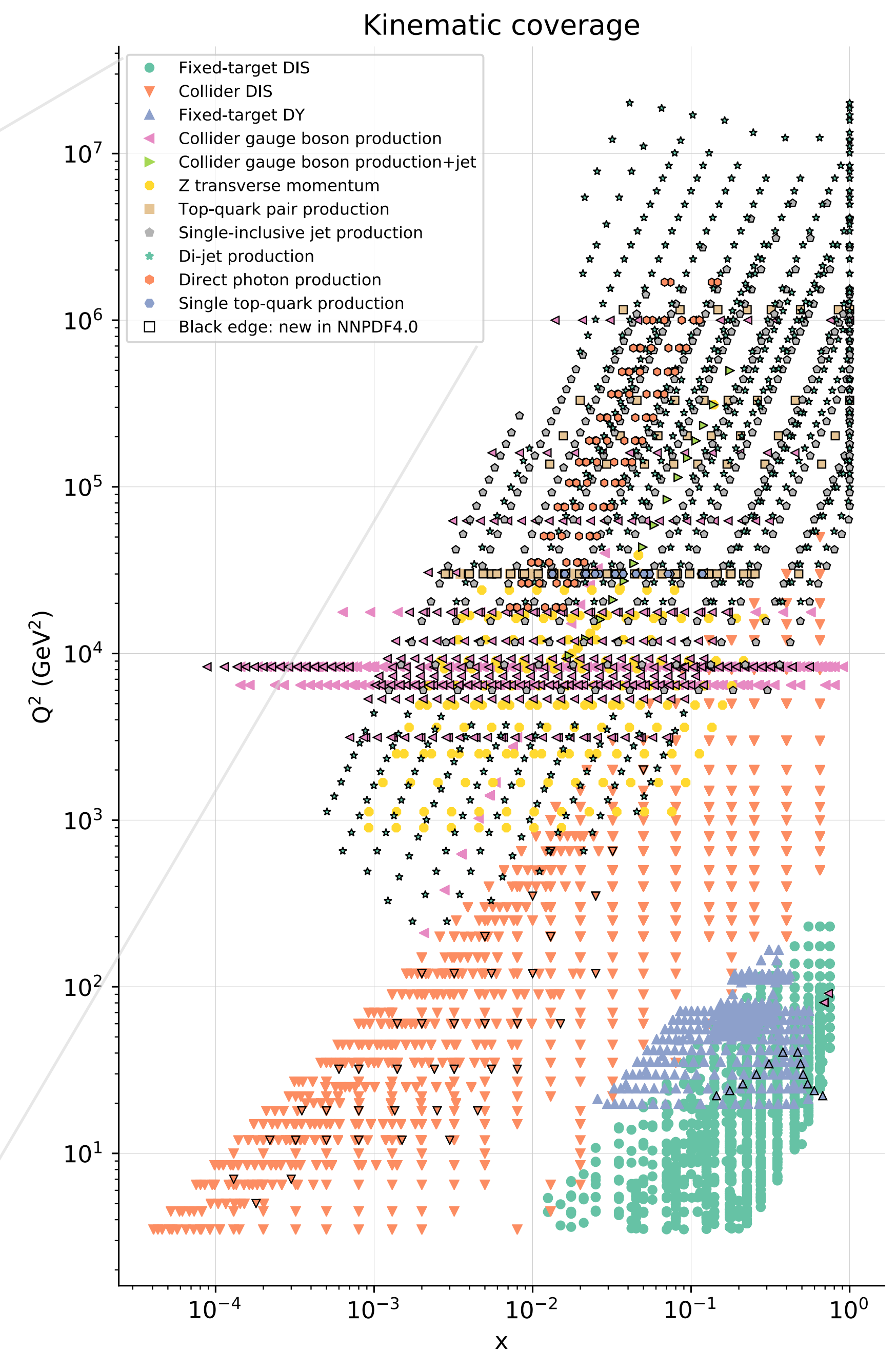
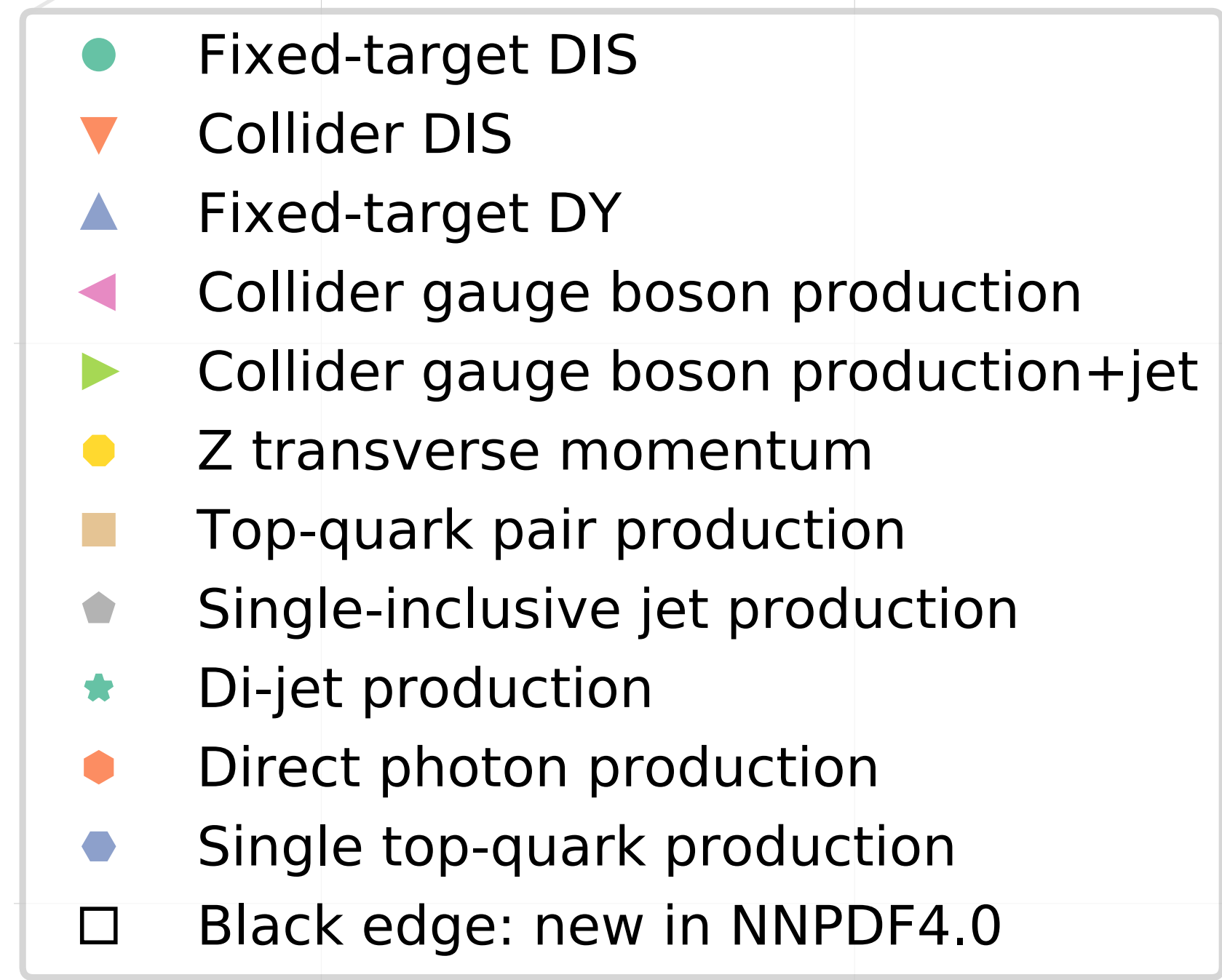


# Data overlap

Often the data used in PDF fits are also used in EFT fits.

This overlap will grow as we take the global approach to constraining the SMEFT.

*Data included in NNPDF4.0, [2109.02653]:*

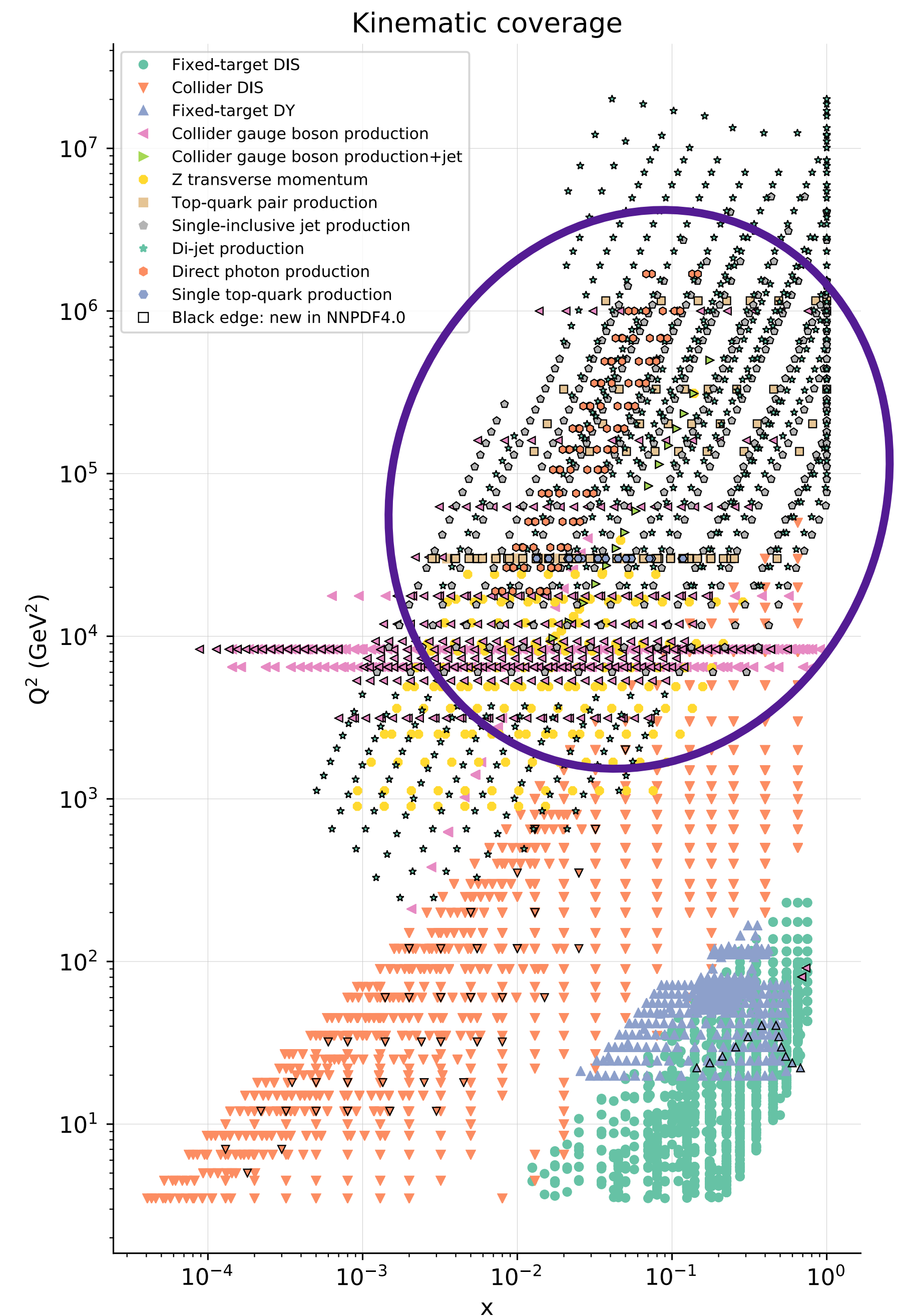
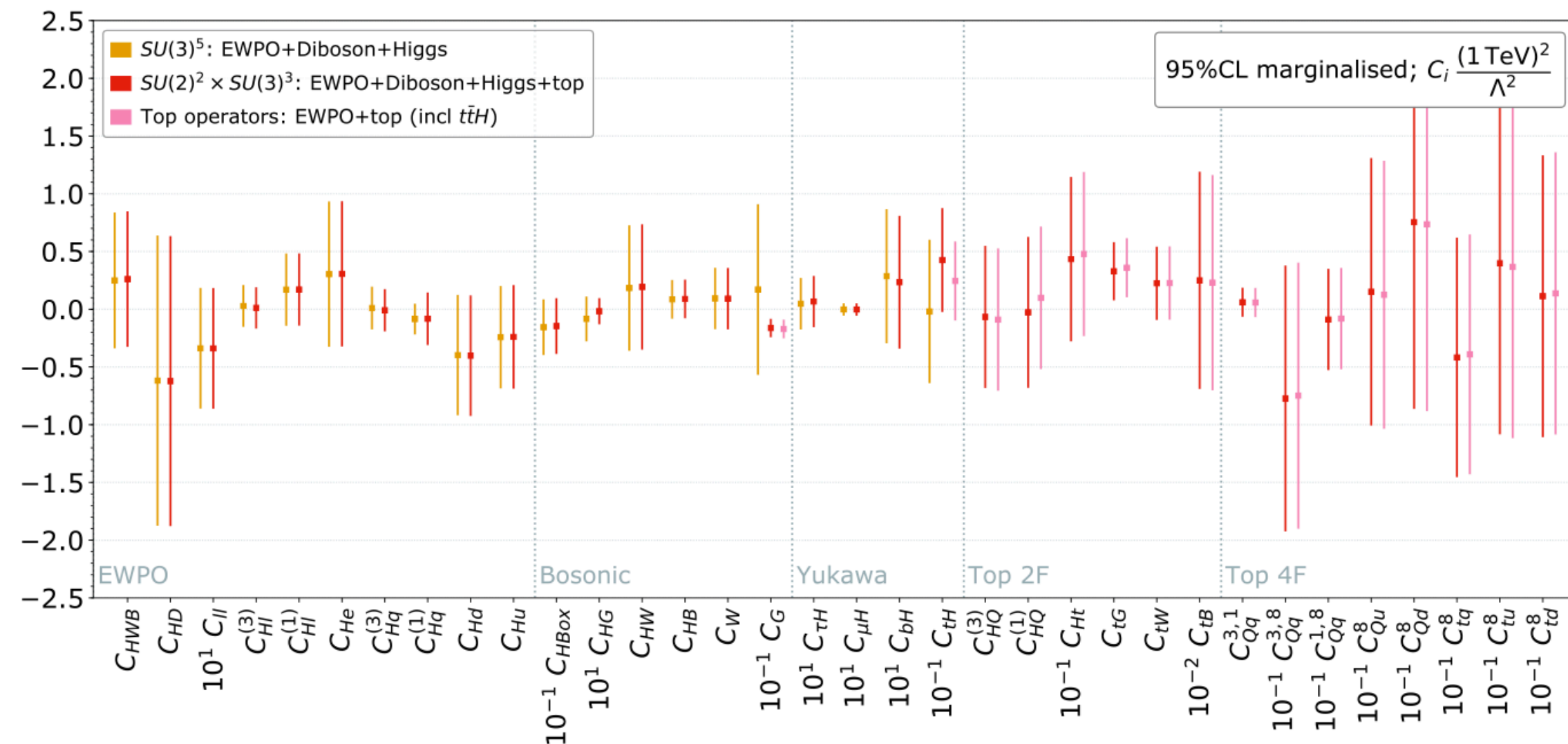


# Data overlap

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- ▶ e.g. Top quark data used to fit the SMEFT in the global fit of *2012.02779, J. Ellis, MM, K. Mimasu, V. Sanz, T. You*





# Understanding PDF-EFT Interplay

## Simultaneous PDF-EFT determinations:

- Deep Inelastic Scattering data  
*Carrazza et al.: PRL 123 (2019) 13, 132001*
- DIS + high-mass Drell-Yan tails  
*Greljo et. al 2104.02723*
- Top quark data  
*Kassabov et. al: 2303.06159*  
*See also 2201.06586, 2211.01094*

## Contaminated PDF fits:

What are the consequences of performing a SM PDF fit in the presence of new physics?

*E. Hammou et. al, 2307.10370*



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How do the constraints  
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Could we be absorbing  
signs of new physics into  
the PDFs?





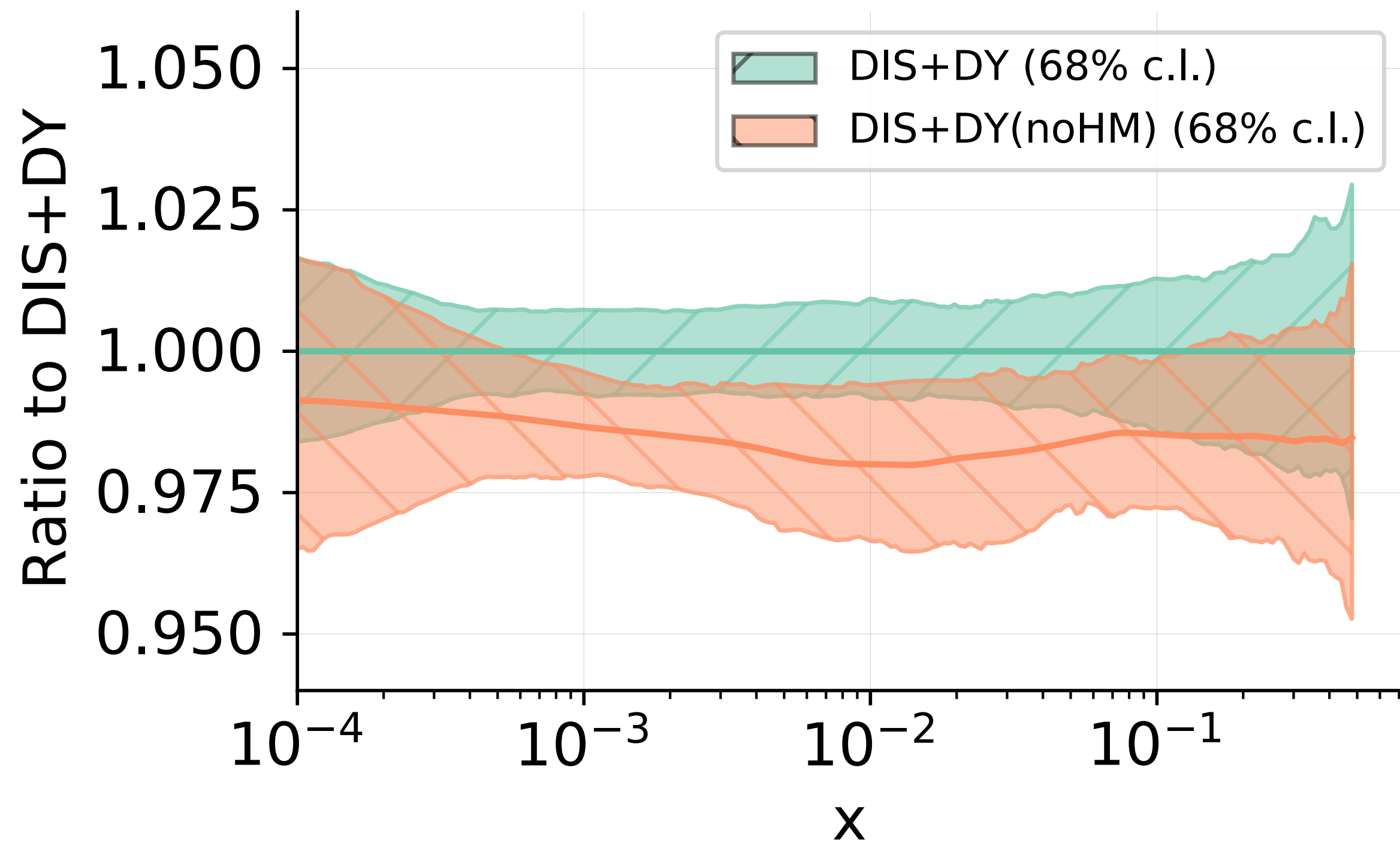
# PDF-EFT interplay in high-mass Drell-Yan

*Greljo et. al 2104.02723*

# PDF-EFT interplay in high-mass Drell-Yan

Constraints on the large- $x$  region of the  
u and d PDFs:

u at 100.0 GeV

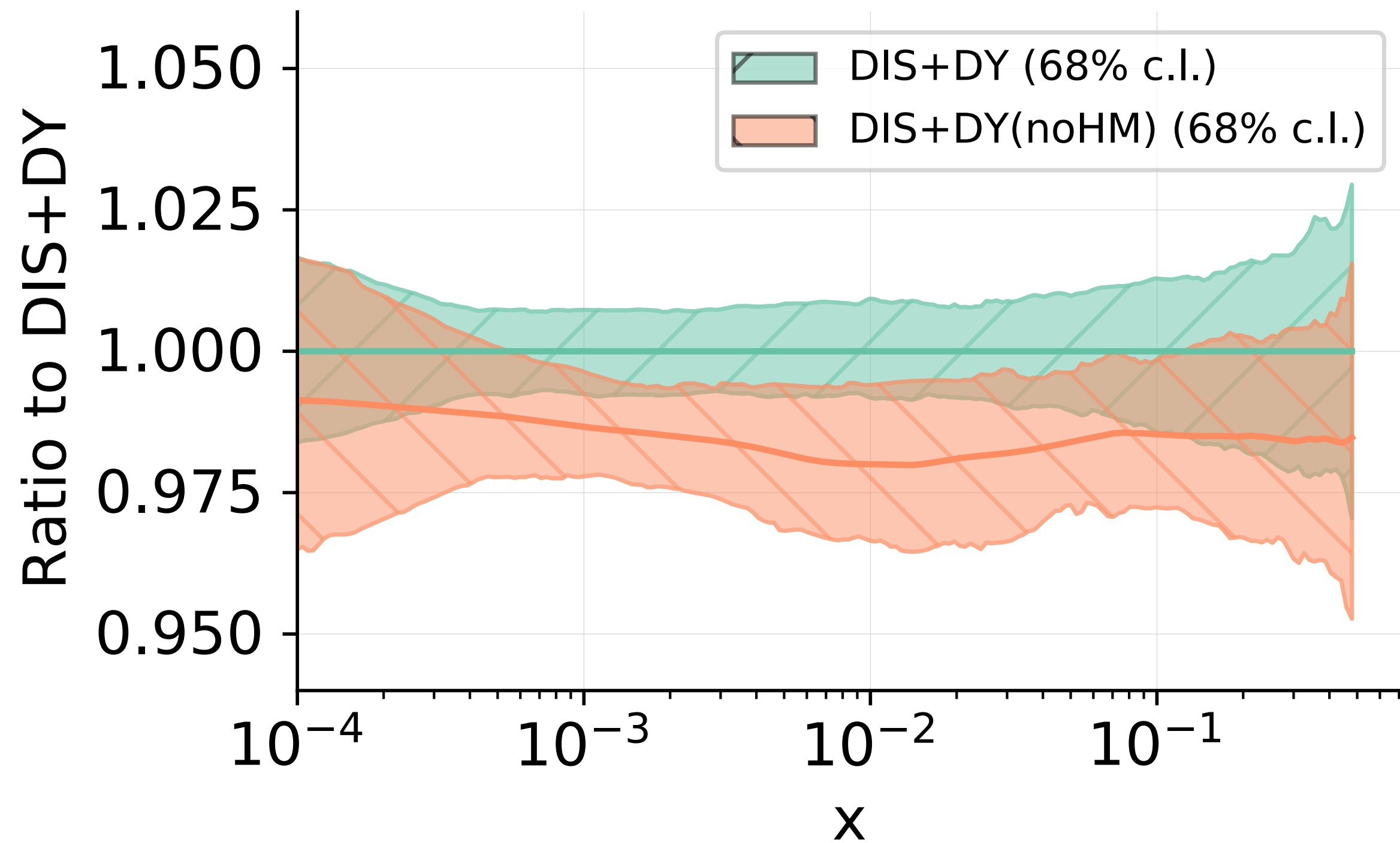


*Greljo et. al 2104.02723*

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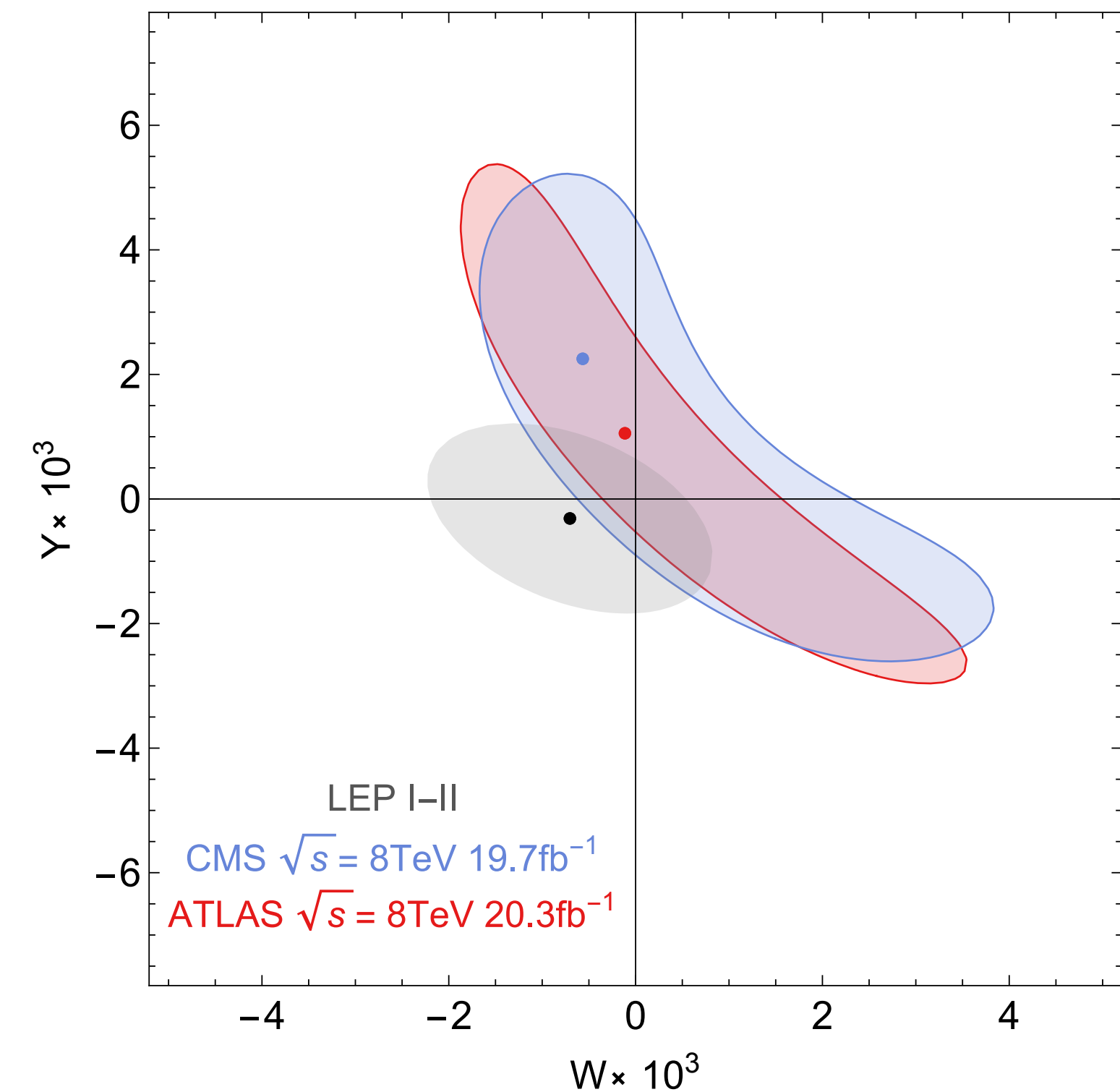
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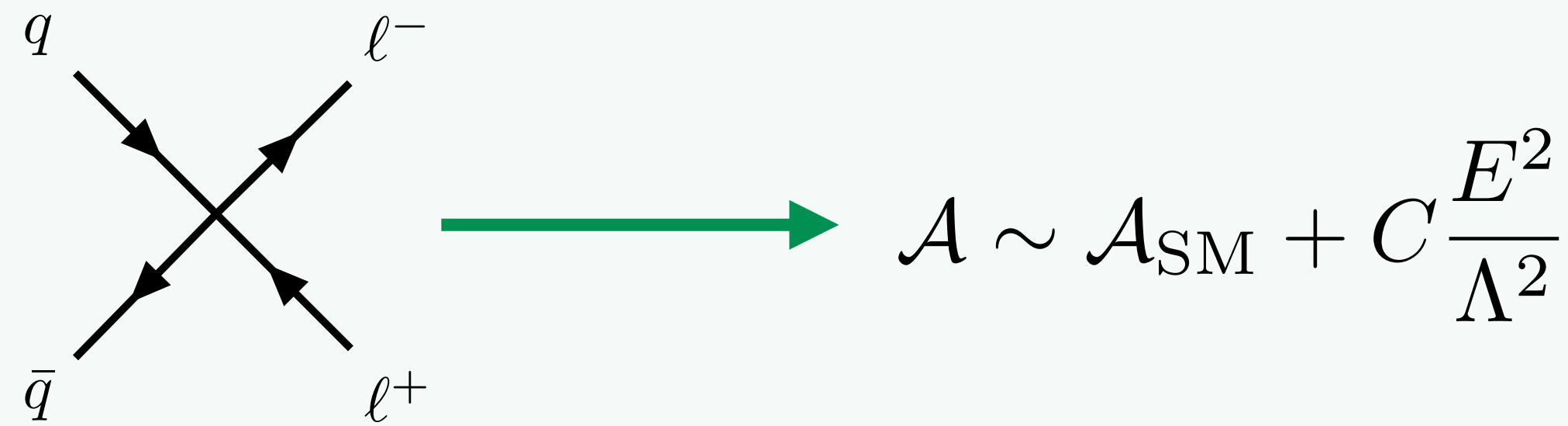
*Greljo et. al 2104.02723*

Constraints on 4-fermion operators of the SMEFT:

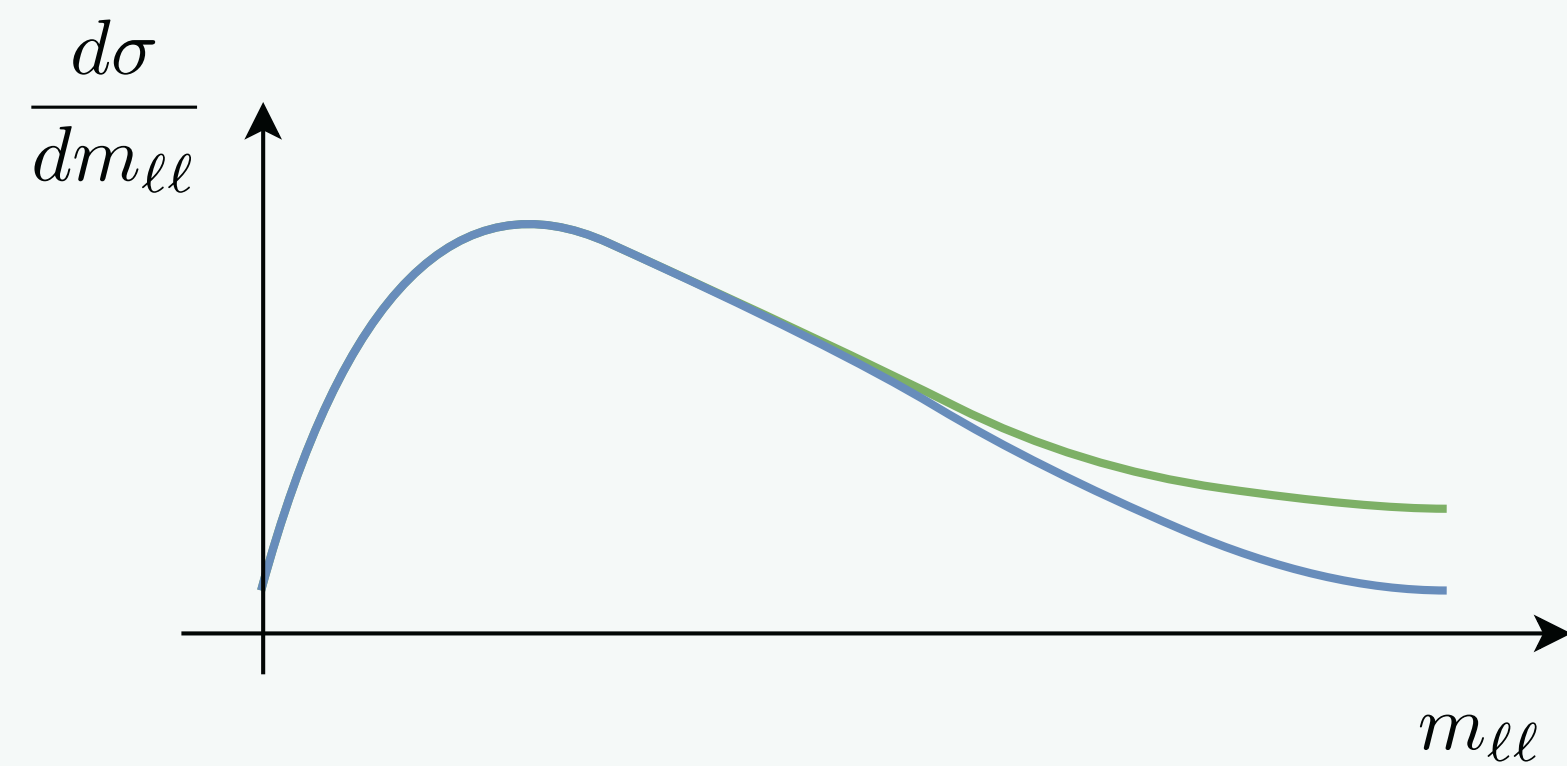


*Farina et. al 1609.08157*

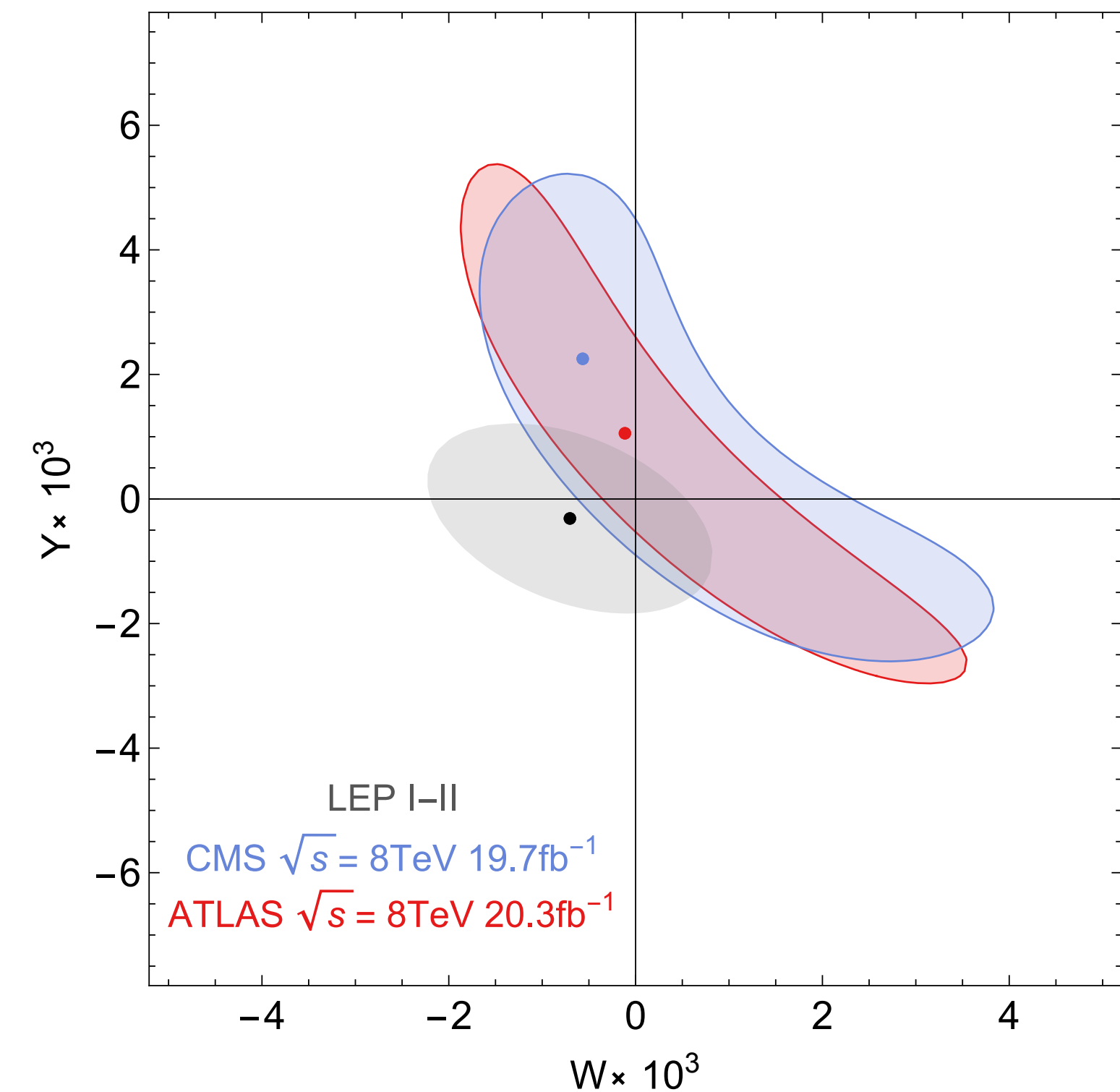
# PDF-EFT interplay in high-mass Drell-Yan



Energy-growing 4-fermion operators manifest as a smooth distortion of the high-mass tail:



Constraints on 4-fermion operators of the SMEFT:



*Farina et. al 1609.08157*

# PDF-EFT interplay in high-mass Drell-Yan

## Data

Deep inelastic scattering + Drell-Yan

- including high-mass DY:

Exp.	$\sqrt{s}$ (TeV)	Ref.	$\mathcal{L}$ (fb $^{-1}$ )	Channel	1D/2D	$n_{\text{dat}}$	$m_{\ell\ell}^{\text{max}}$ (TeV)
ATLAS	7	[120]	4.9	$e^-e^+$	1D	13	[1.0, 1.5]
ATLAS (*)	8	[86]	20.3	$\ell^-\ell^+$	2D	46	[0.5, 1.5]
CMS	7	[121]	9.3	$\mu^-\mu^+$	2D	127	[0.2, 1.5]
CMS (*)	8	[87]	19.7	$\ell^-\ell^+$	1D	41	[1.5, 2.0]
CMS (*)	13	[122]	5.1	$e^-e^+, \mu^-\mu^+$ $\ell^-\ell^+$	1D	43, 43 43	[1.5, 3.0]
<b>Total</b>						<b>270 (313)</b>	

+ High Luminosity projections



# PDF-EFT interplay in high-mass Drell-Yan

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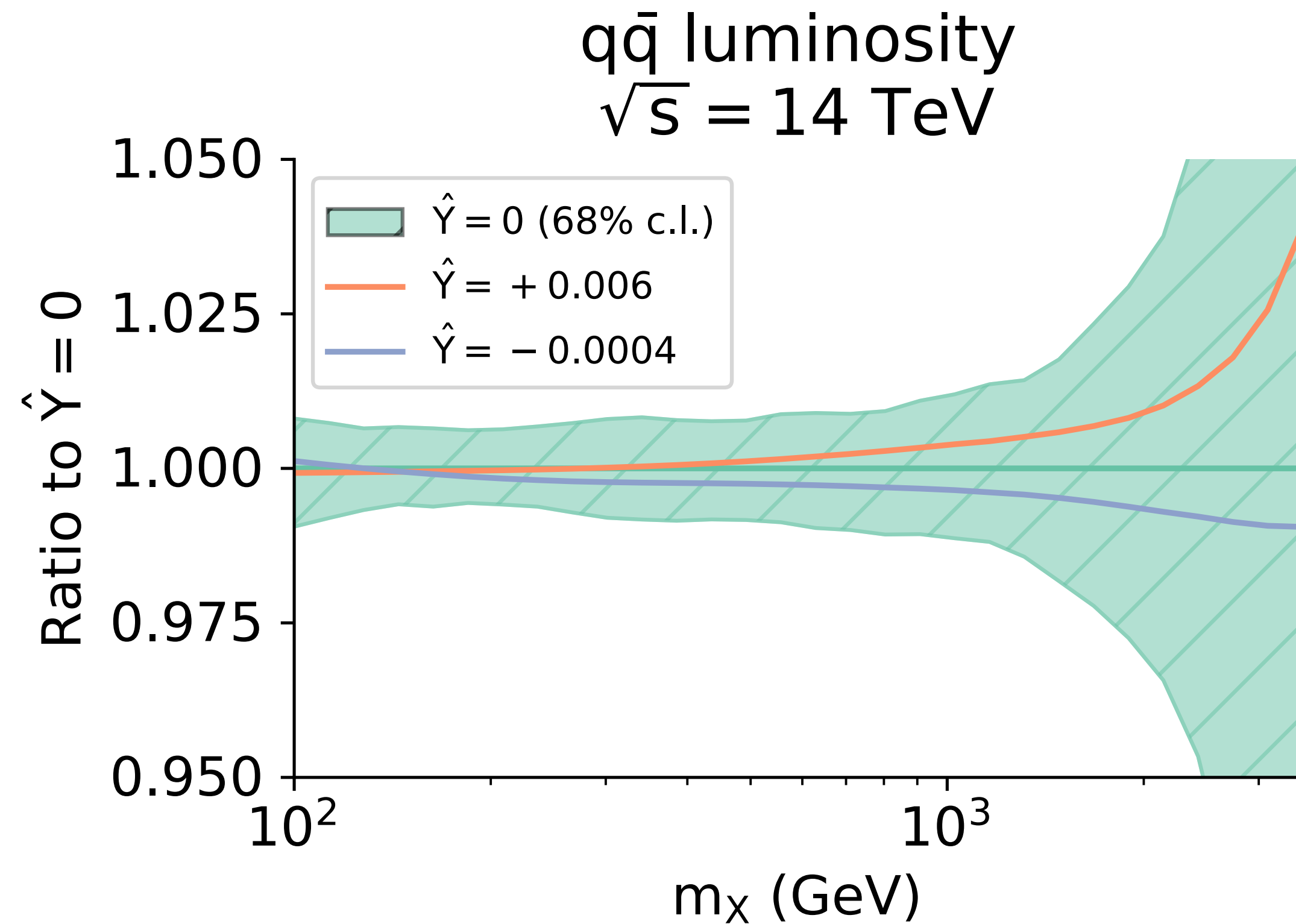
## Theory benchmarks

Electroweak oblique parameters  $\hat{W}, \hat{Y}$

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} - \frac{g^2 \hat{W}}{4m_W^2} \mathcal{O}_{lq}^{(3)} - \frac{g_Y^2 \hat{Y}}{m_W^2} \left( Y_l Y_d \mathcal{O}_{ld} + Y_l Y_u \mathcal{O}_{lu} \right. \\ \left. + Y_l Y_q \mathcal{O}_{lq}^{(1)} + Y_e Y_d \mathcal{O}_{ed} + Y_e Y_u \mathcal{O}_{eu} + Y_e Y_q \mathcal{O}_{qe} \right)$$

See 2104.02723 for a flavourful benchmark

# PDF-EFT interplay in high-mass Drell-Yan



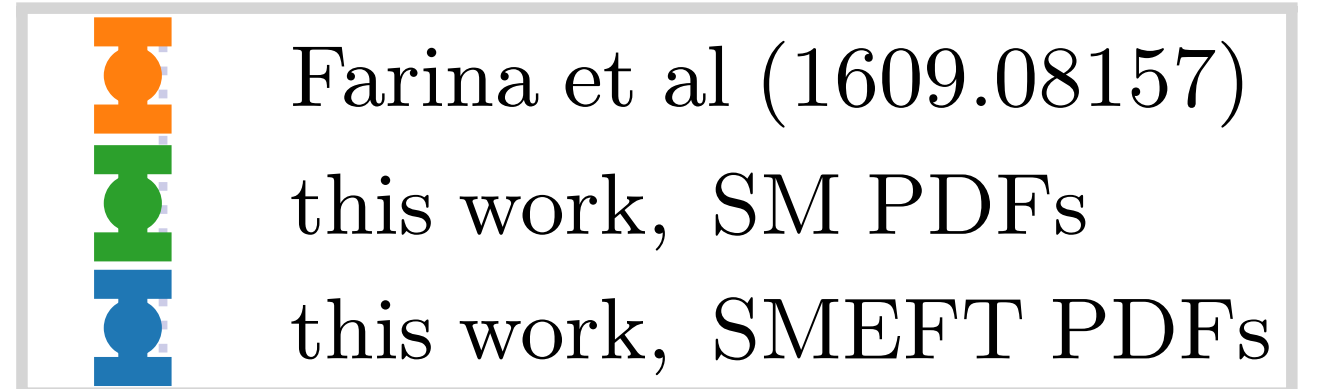
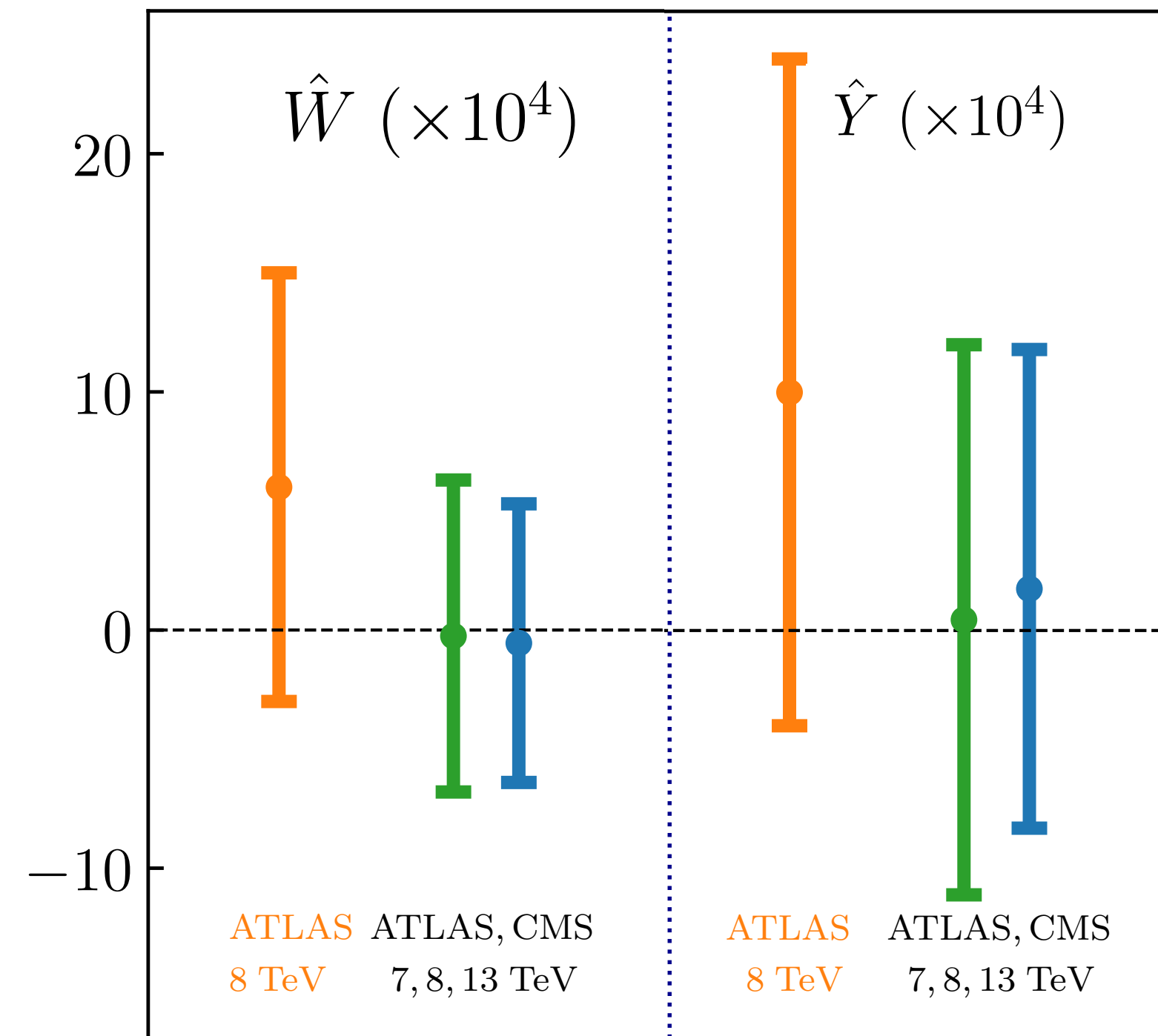
PDF fits under the assumption of nonzero SMEFT coefficients:

We see a **moderate shift** of the PDF central values, and **no change** to the PDF uncertainties.

# PDF-EFT interplay in high-mass Drell-Yan

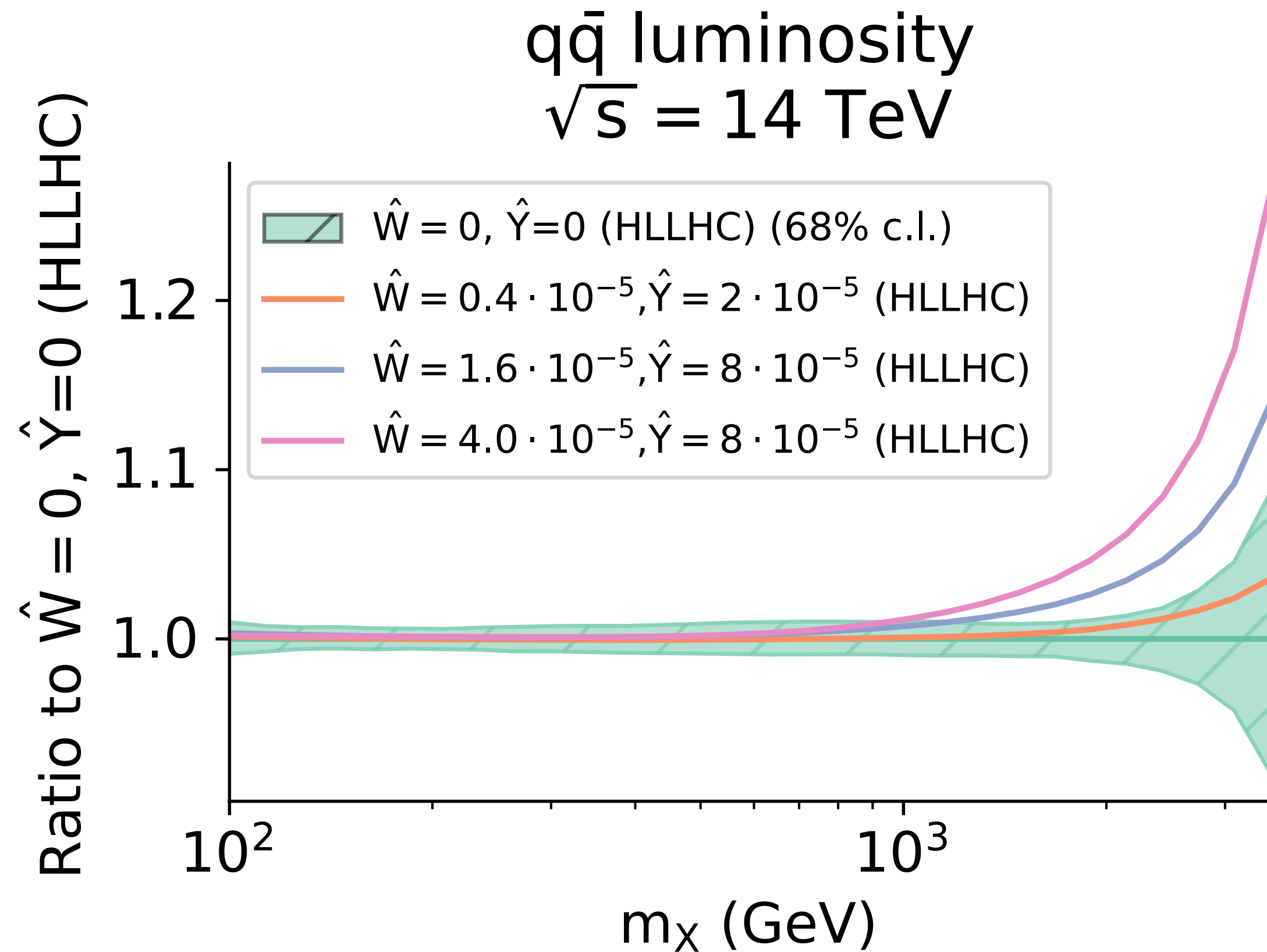
SMEFT constraints are **stable**:

**moderate** shifts when using SMEFT vs SM PDFs



# PDF-EFT interplay in high-mass Drell-Yan

Adding HL-LHC projections for NC and CC Drell-Yan:



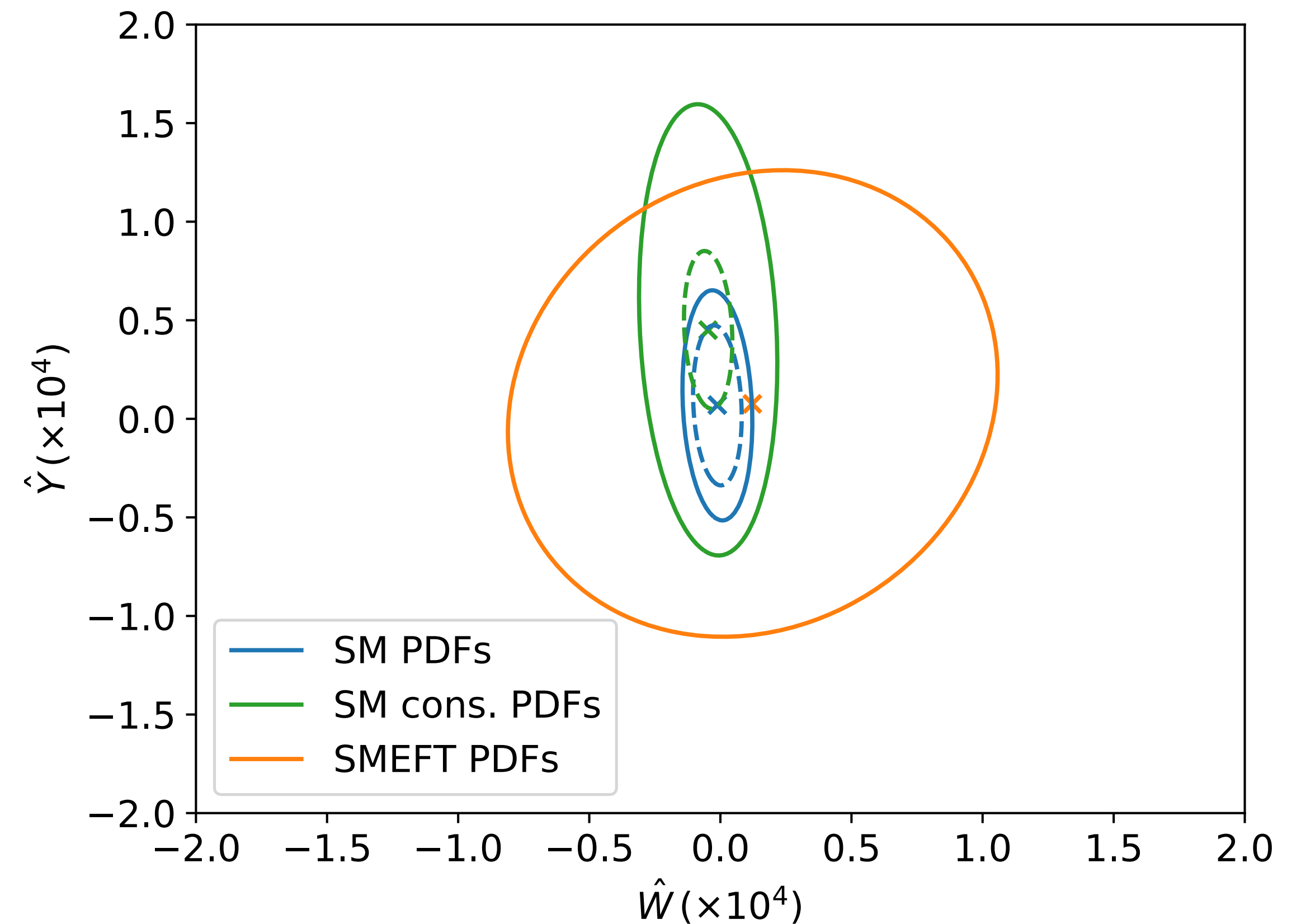
PDF fits under the assumption of nonzero SMEFT coefficients:

We see a **large shift** of the PDF central values, in some cases beyond PDF uncertainties

# PDF-EFT interplay in high-mass Drell-Yan

Adding HL-LHC projections for NC and CC Drell-Yan:

Neglecting PDF-EFT interplay leads to a significant overestimate of the EFT constraints.

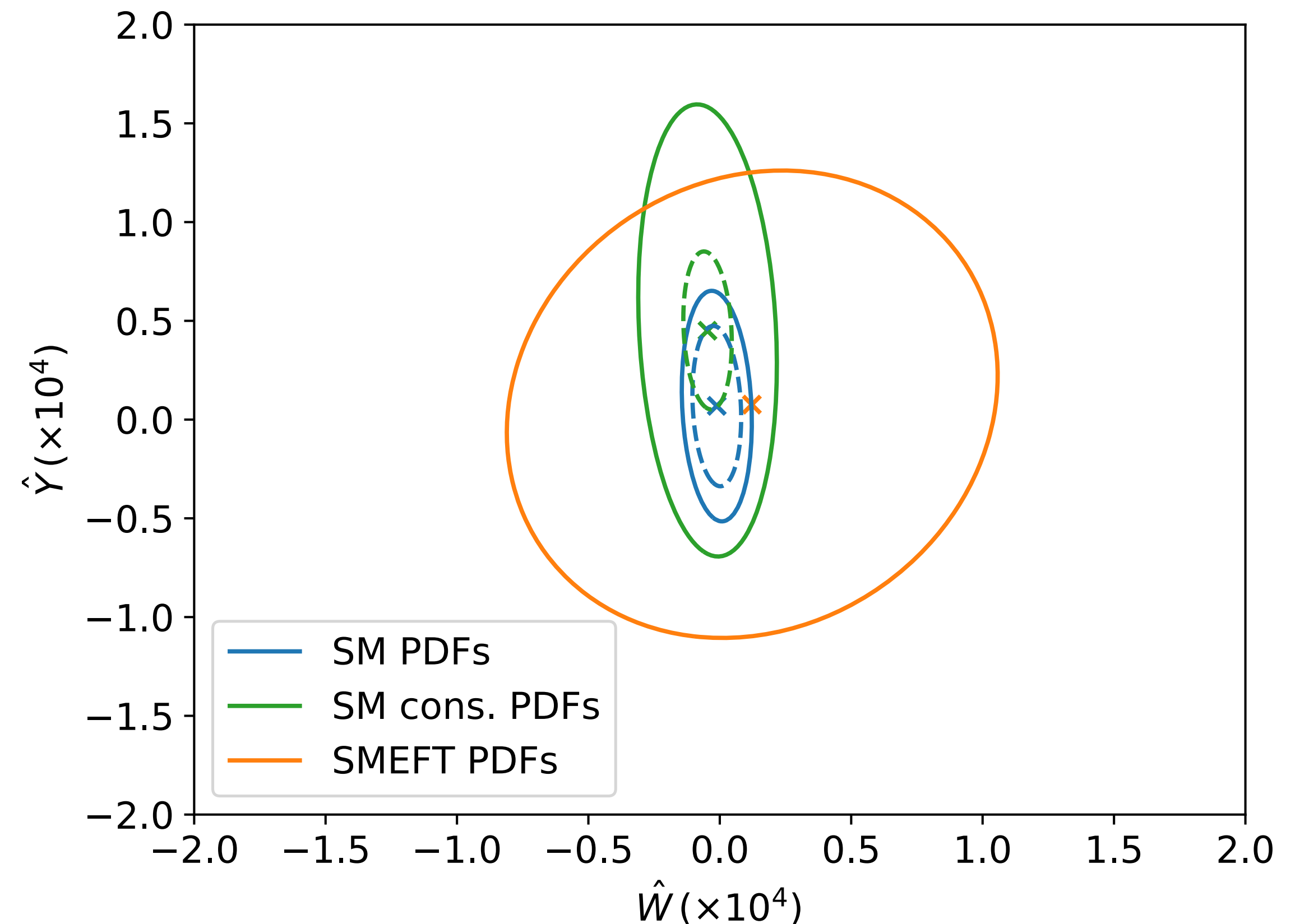


# PDF-EFT interplay in high-mass Drell-Yan

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→ what about the top sector?





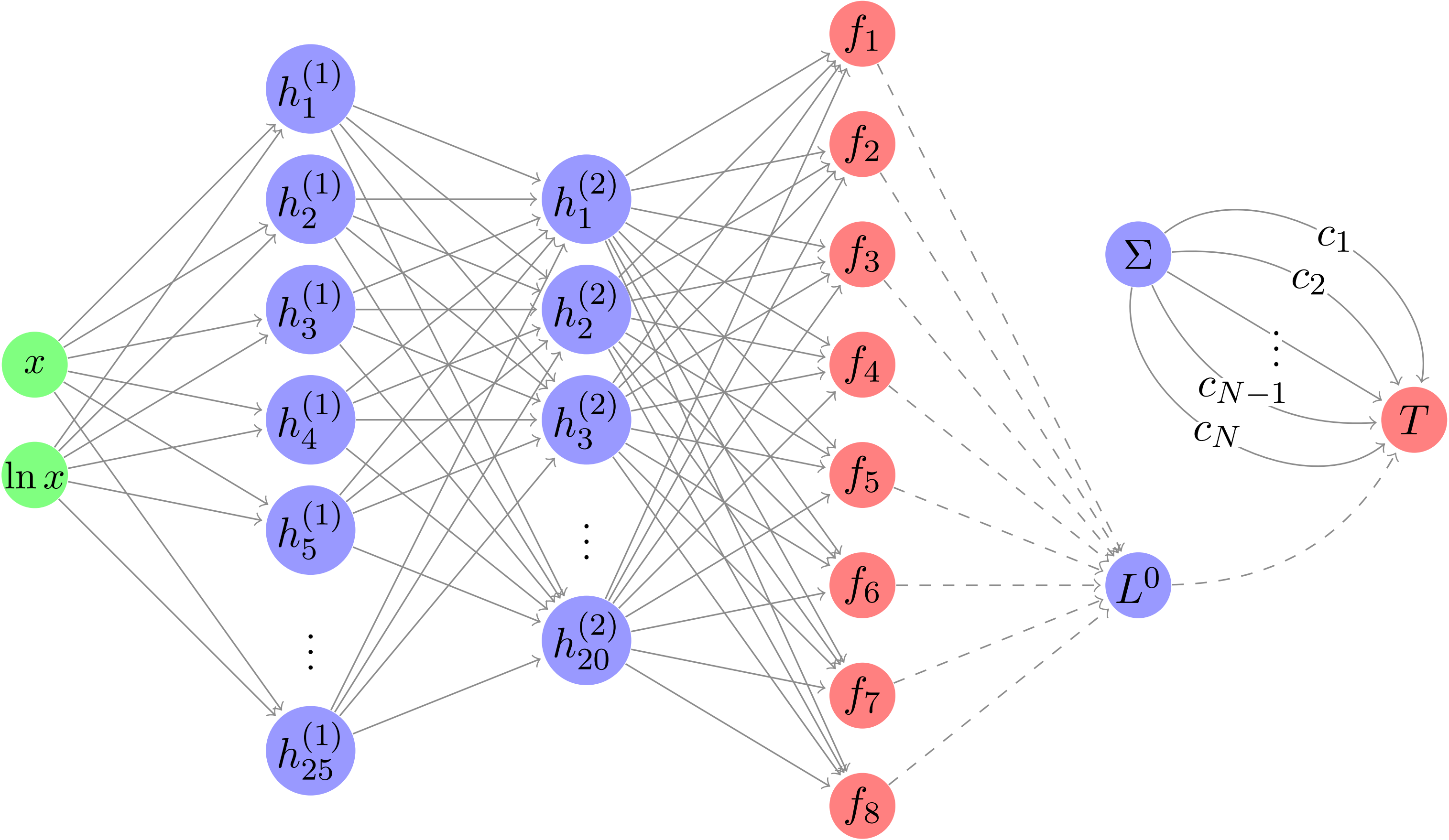
# The SIMUnet Methodology

*S. Iranipour, M. Ubiali, 2201.07240*

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S. Iranipour, M. Ubiali, 2201.07240

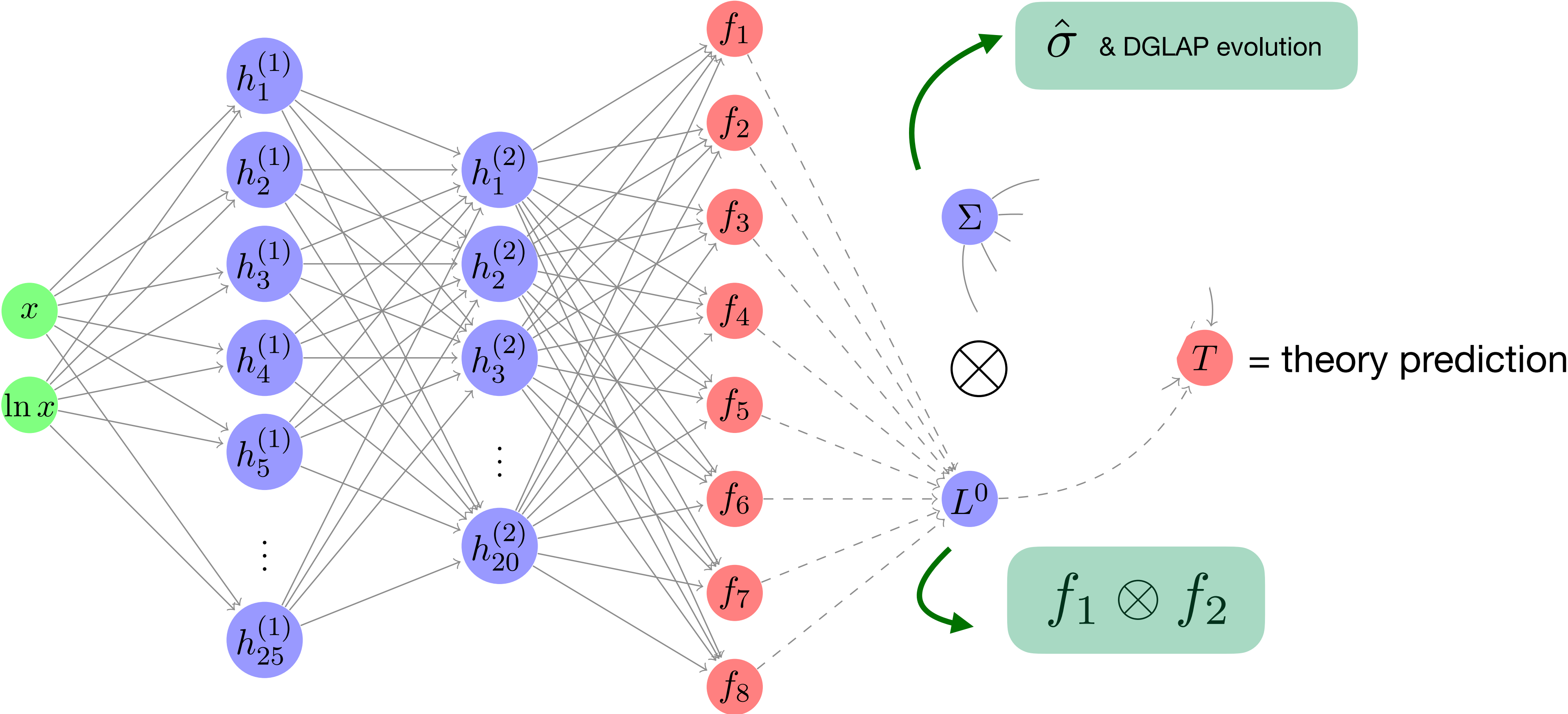
Propagates uncertainties from data to NN parameters using the Monte Carlo replica method



# The SIMUnet methodology

S. Iranipour, M. Ubiali, 2201.07240

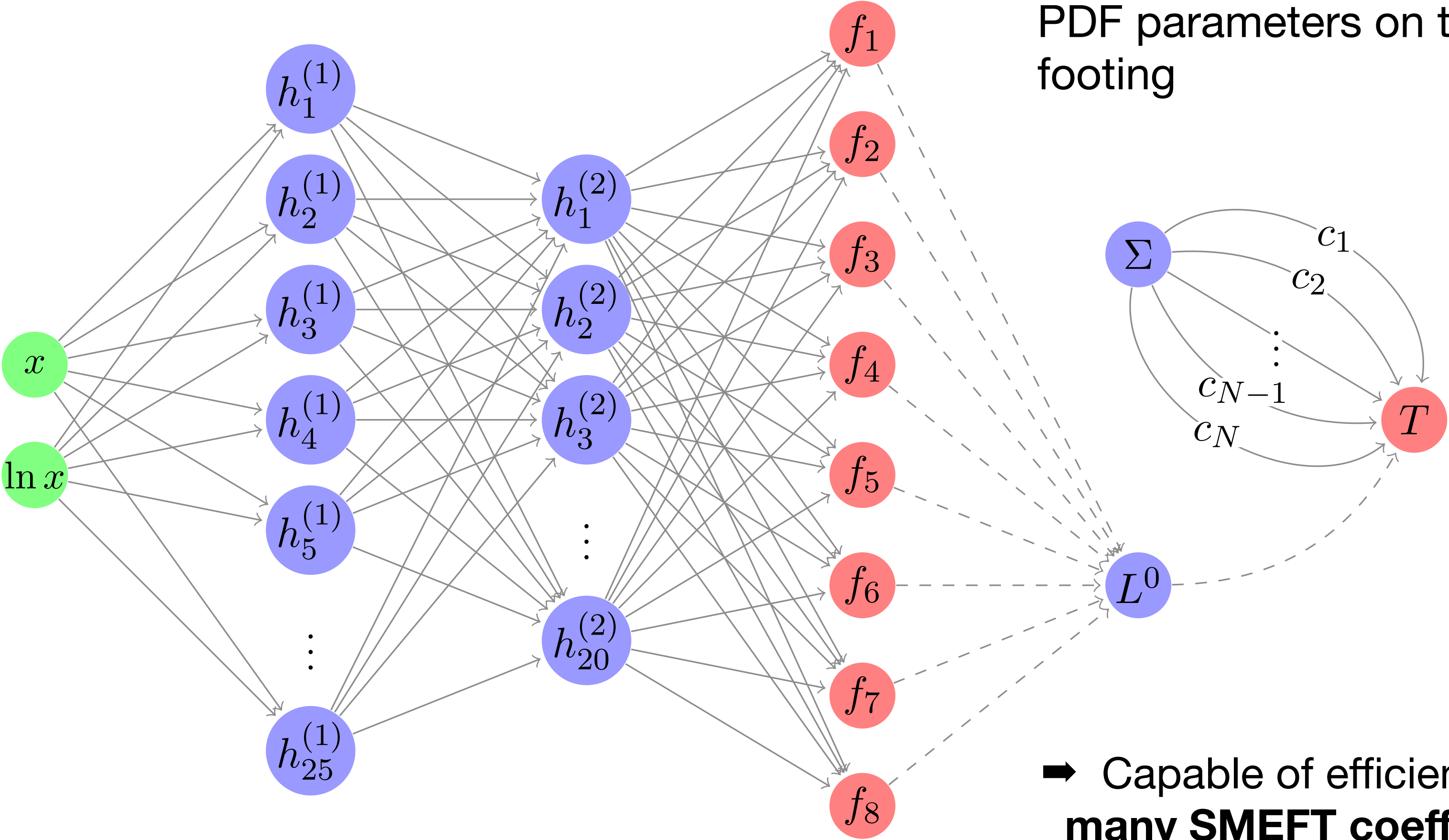
How does it work?



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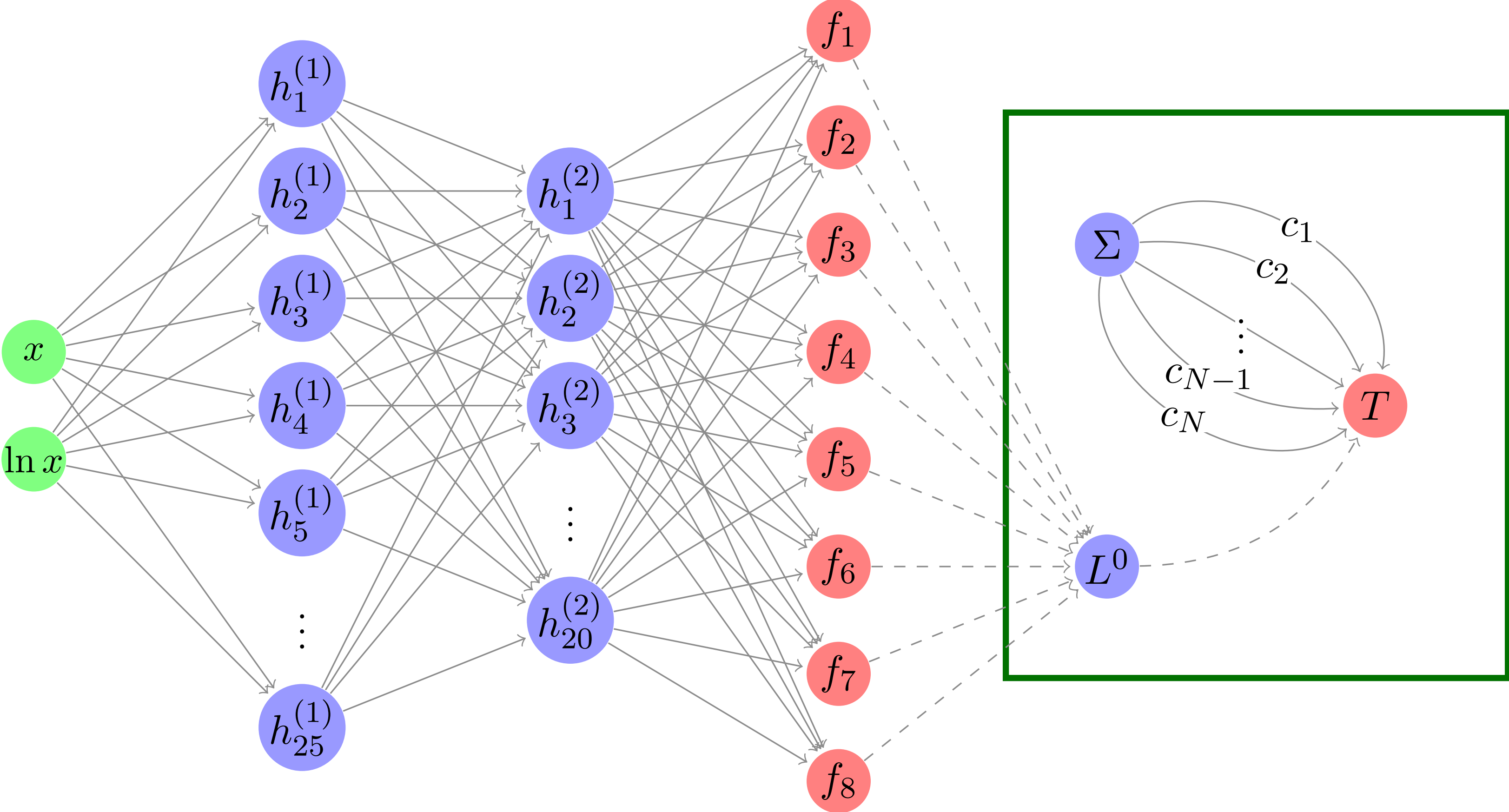
➔ places SMEFT parameters and PDF parameters on the same footing

➔ Capable of efficiently fitting many **SMEFT coefficients**

# The SIMUnet methodology

S. Iranipour, M. Ubiali, 2201.07240

Train only the final layer: reproduce SMEFT fits

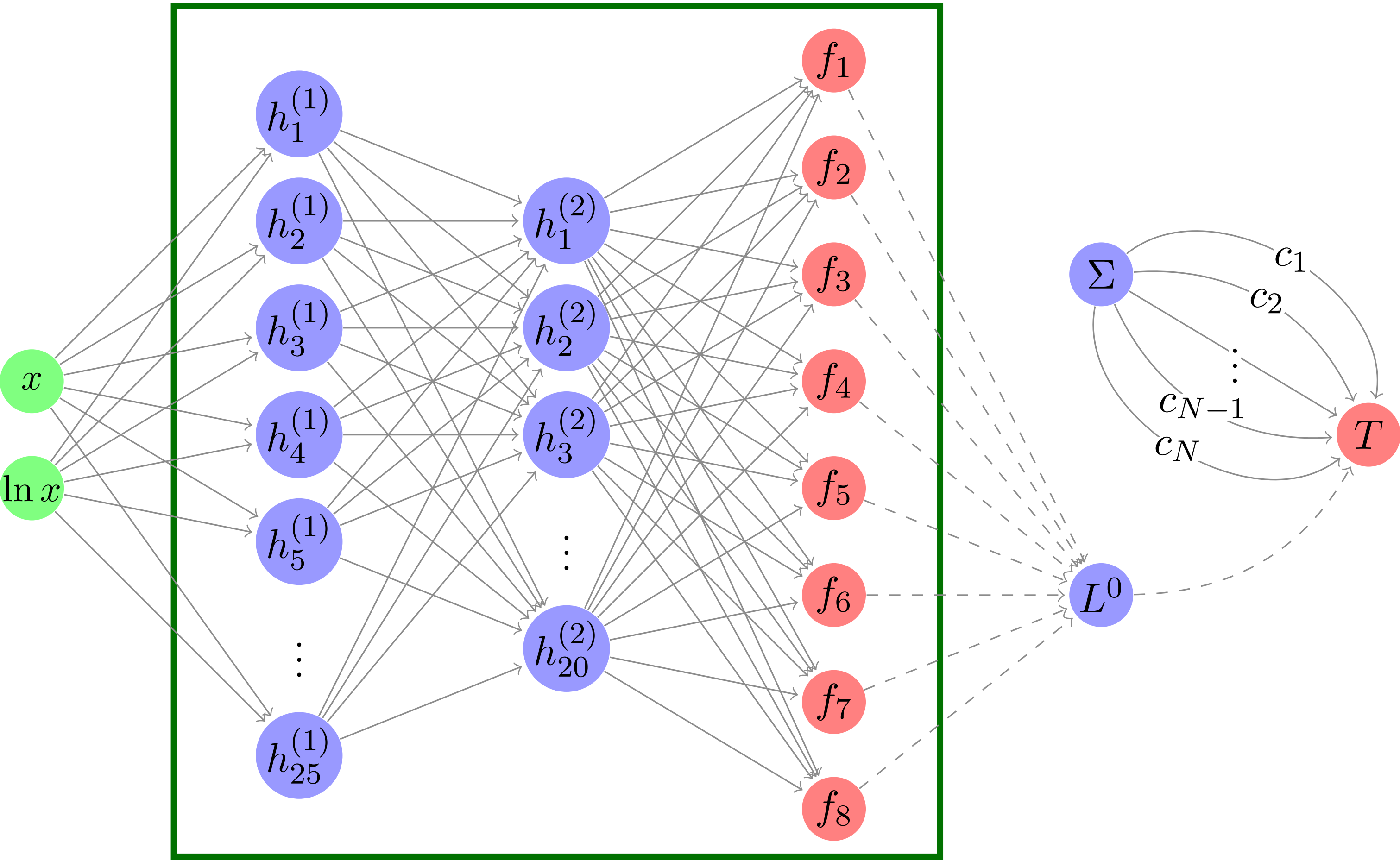




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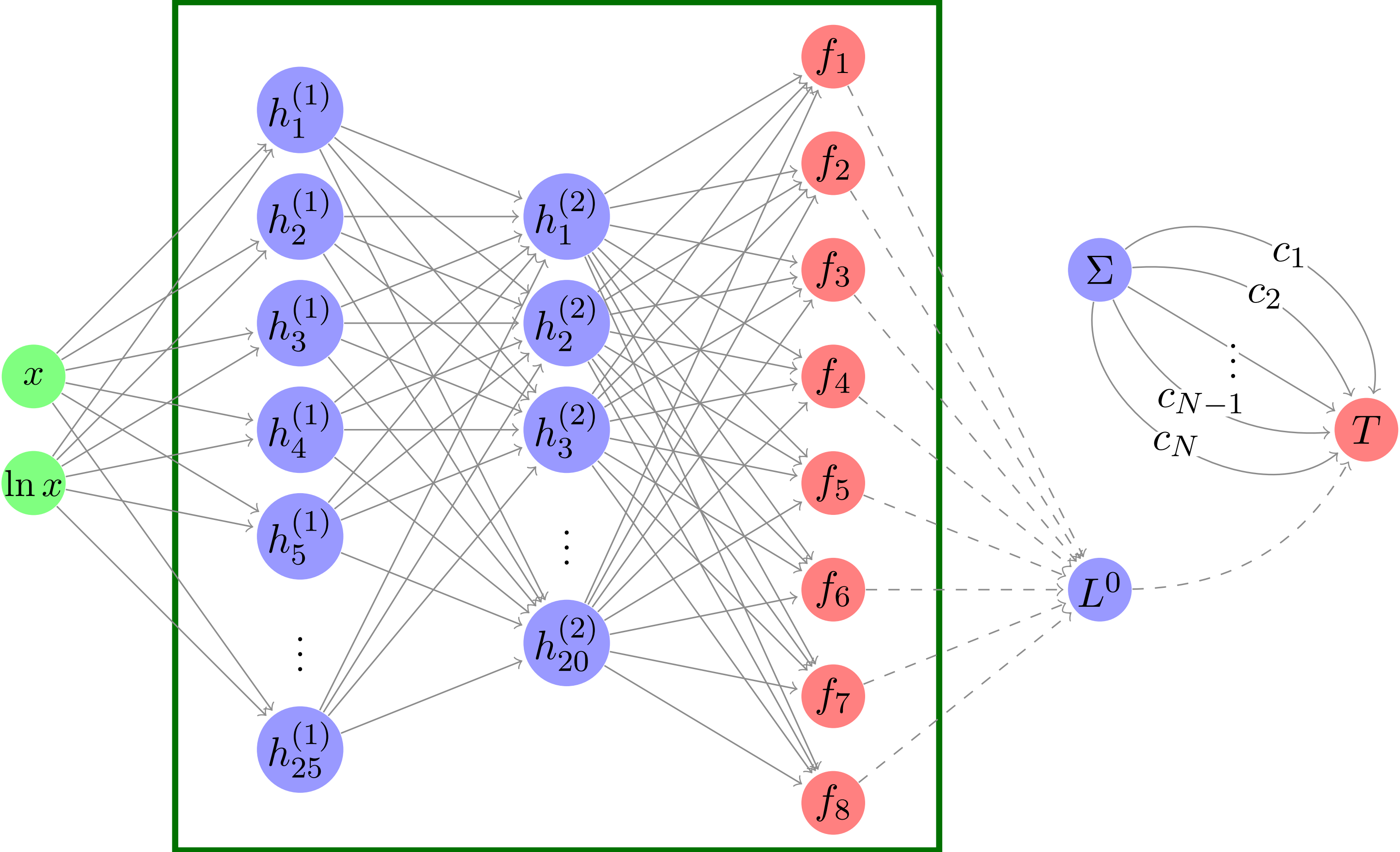
Train only the PDF NN weights on all data: reproduce NNPDF



# The SIMUnet methodology

S. Iranipour, M. Ubiali, 2201.07240

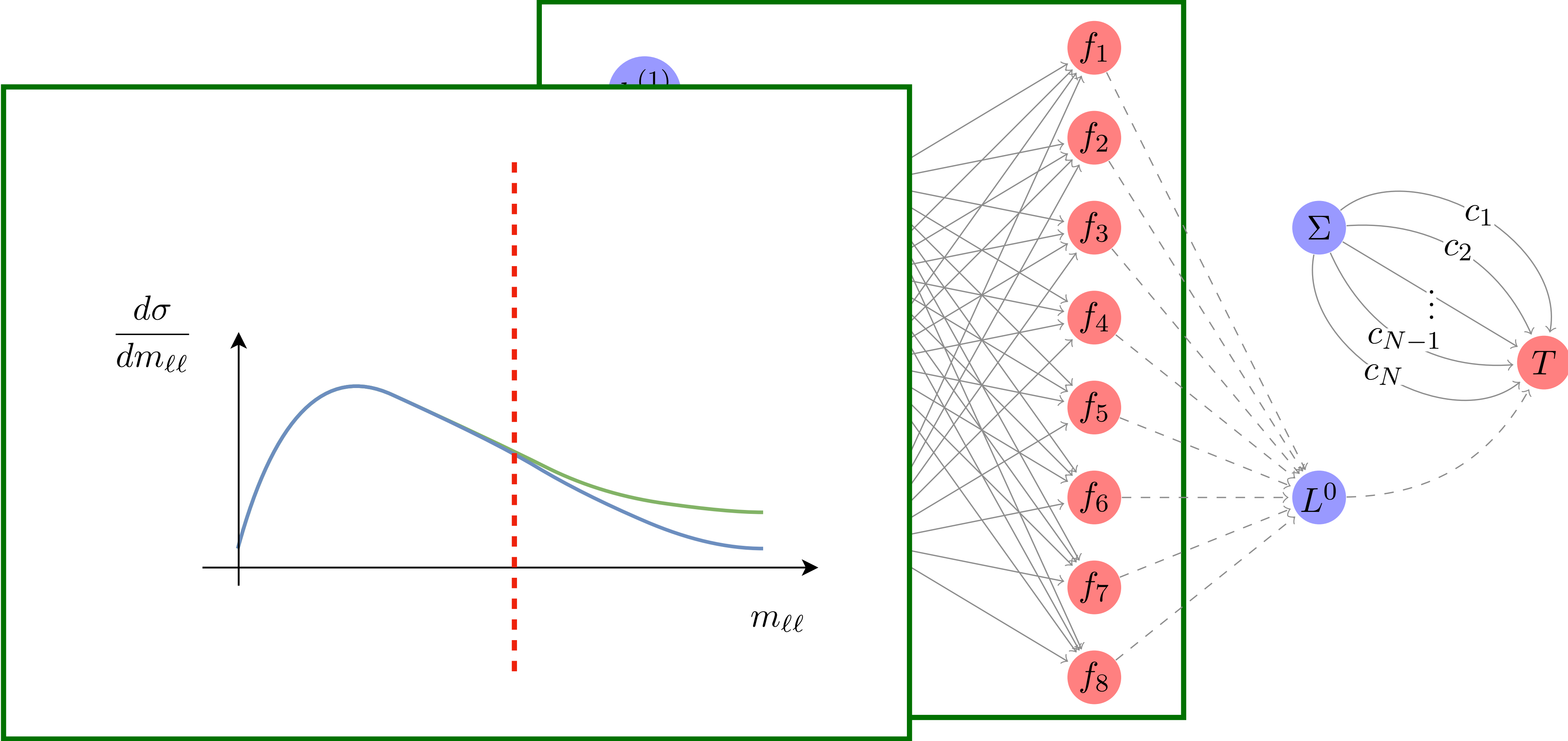
Train only the PDF NN weights on all data **except the top sector**: conservative PDFs



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S. Iranipour, M. Ubiali, 2201.07240

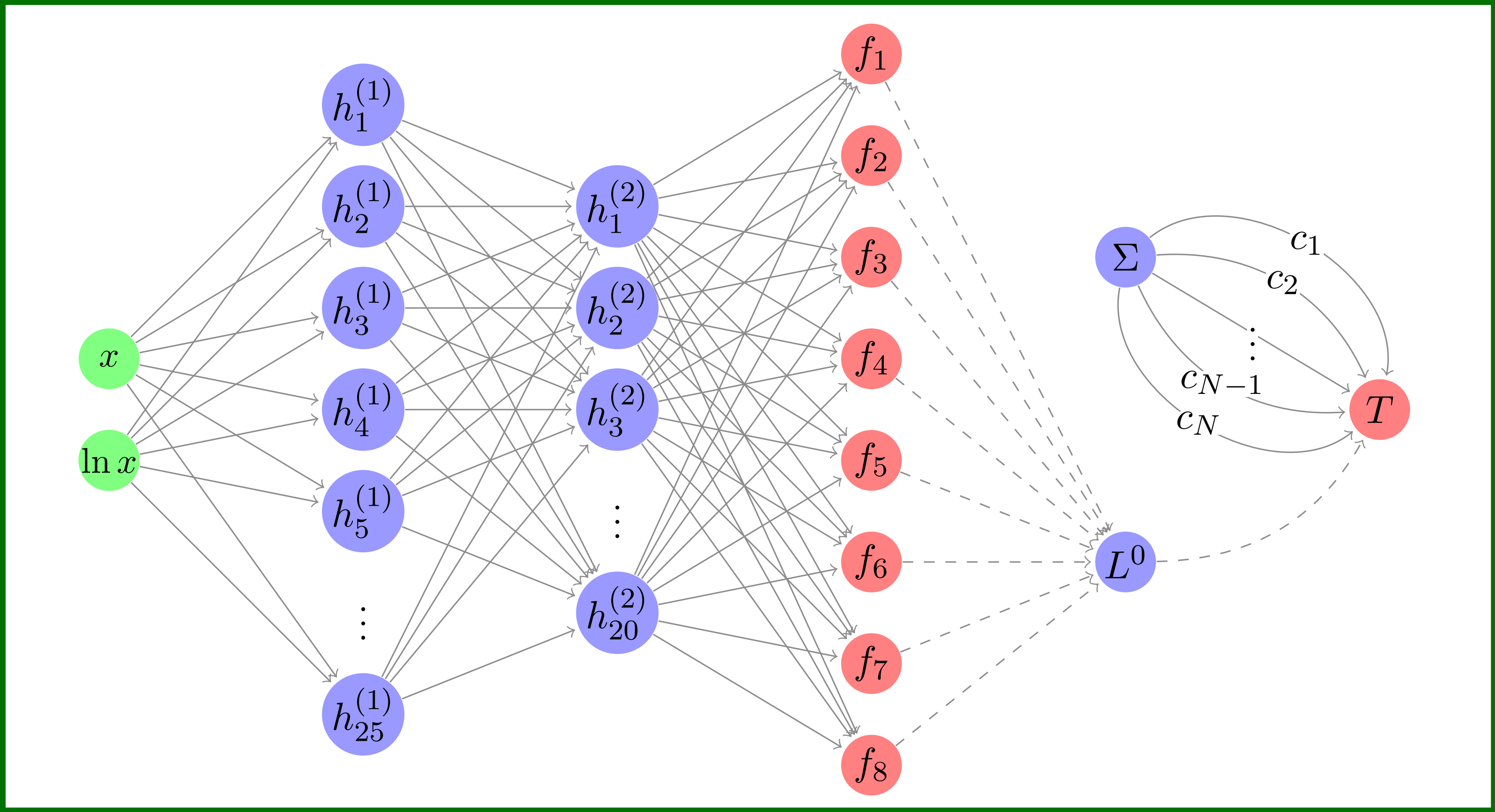
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S. Iranipour, M. Ubiali, 2201.07240

Train everything: **simultaneous fit**



# PDF-EFT interplay in the top sector

*Kassabov et. al: 2303.06159*



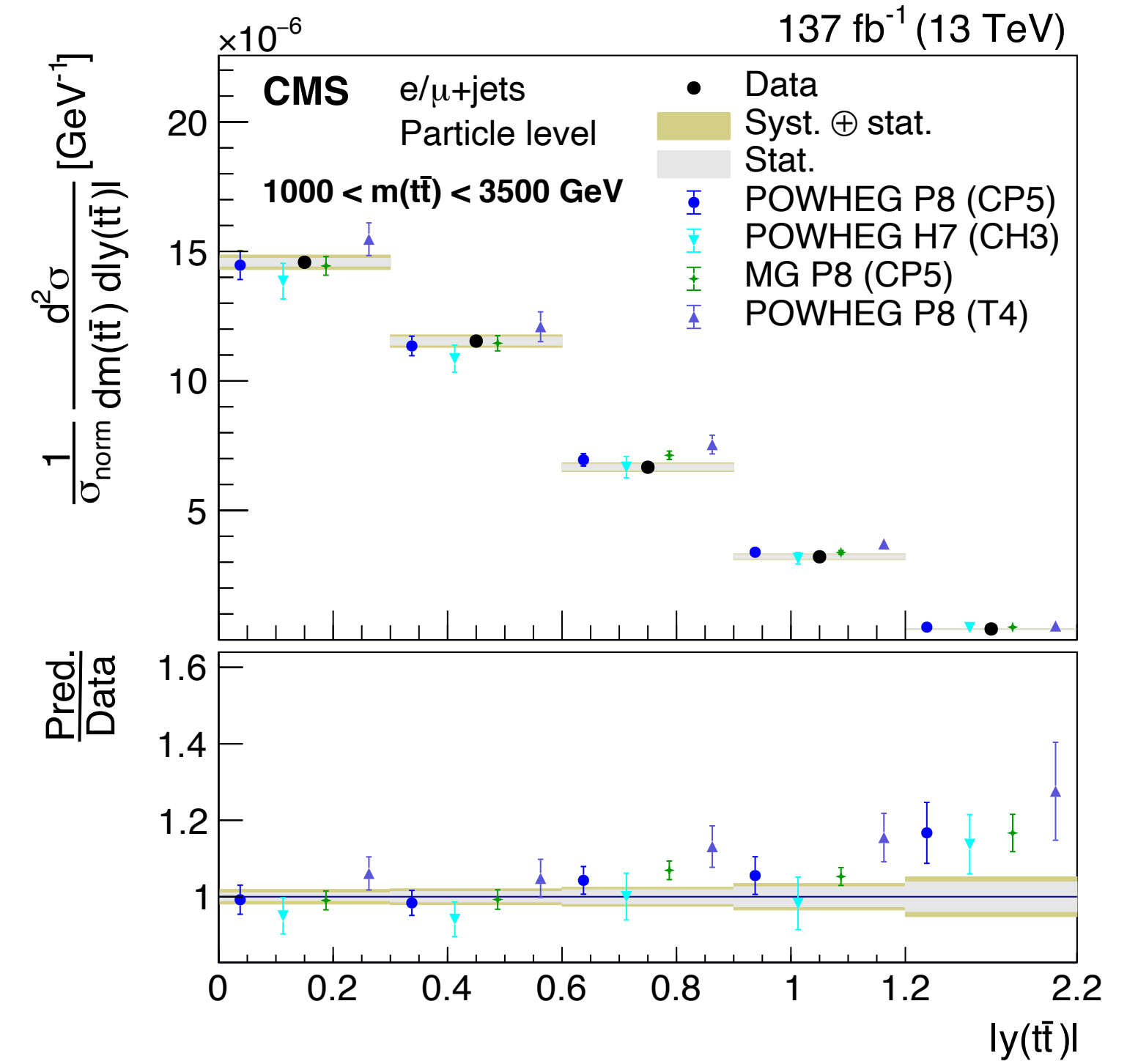
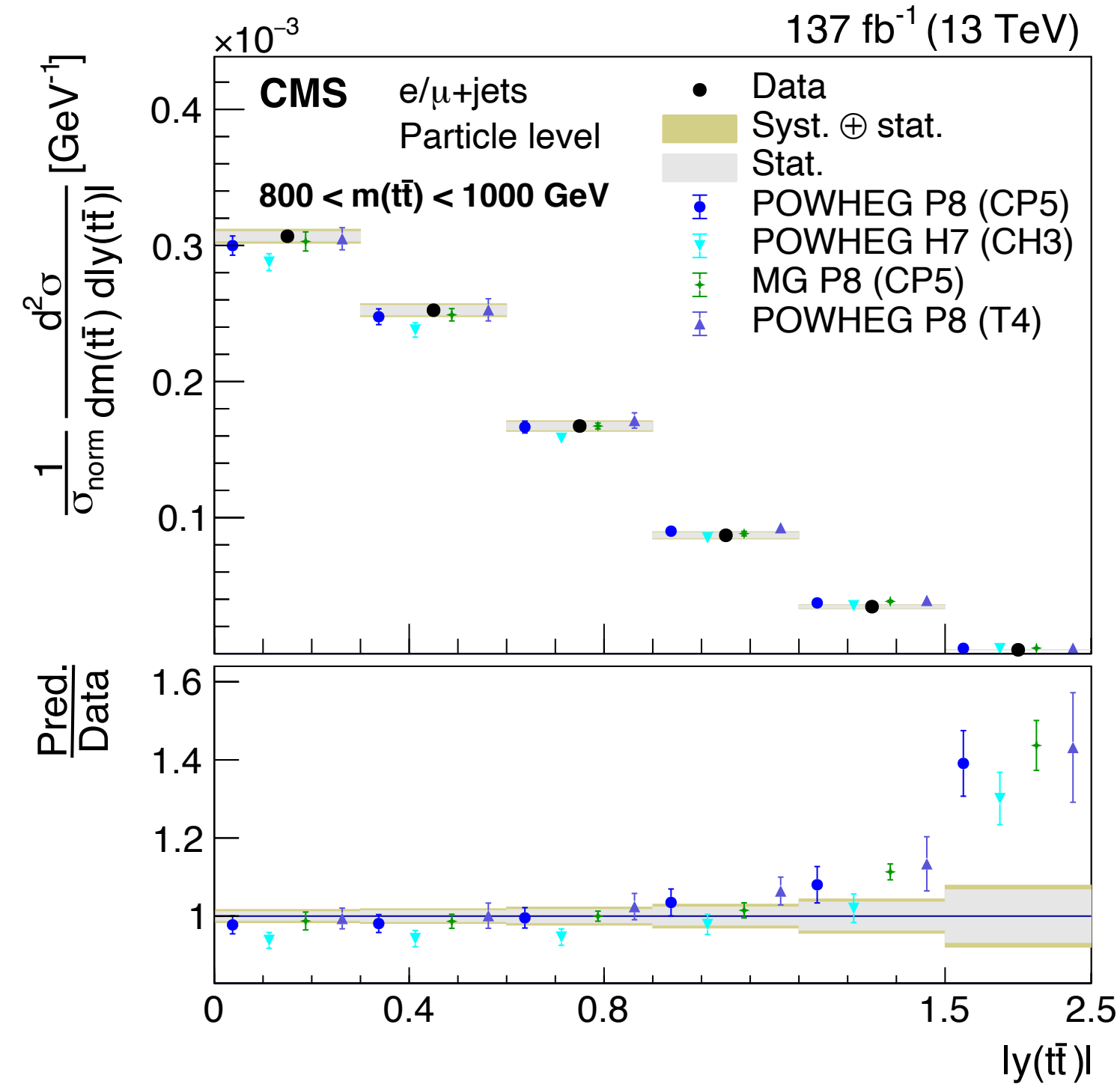
# Data

[CMS, Phys. Phys. Rev. D 104 (2021) 092013]

175 datapoints:

a superset of measurements in

fitmaker SMEFIT NNPDF



$t\bar{t}$

$t\bar{t} + V$

charge asymmetry  $A_C$

$t\bar{t}t\bar{t}, t\bar{t}b\bar{b}$

single top,  $tW$

# Theory

## **SM**

NLO QCD using MG5\_aMC@NLO

Where available, NNLO QCD using k-factors from HighTea:

*Czakon et. al, 2304.05993*

<https://www.precision.hep.phy.cam.ac.uk/hightea/>

# Theory

## SM

NLO QCD using MG5\_aMC@NLO

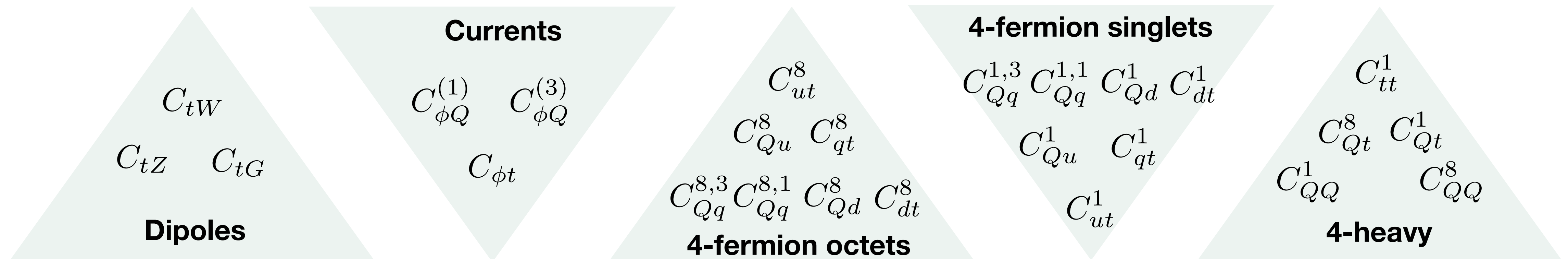
Where available, NNLO QCD using k-factors from HighTea:

*Czakon et. al, 2304.05993*

<https://www.precision.hep.phy.cam.ac.uk/hightea/>

## SMEFT

25 Wilson coefficients at NLO QCD using SMEFT@NLO *Degrande et. al, 2008.11743*



# PDF-EFT interplay in the top sector

Top quark data provides important constraints on the large- $x$  region of the gluon PDF.

This impact is largely driven by **top quark pair production** cross sections and differential distributions.

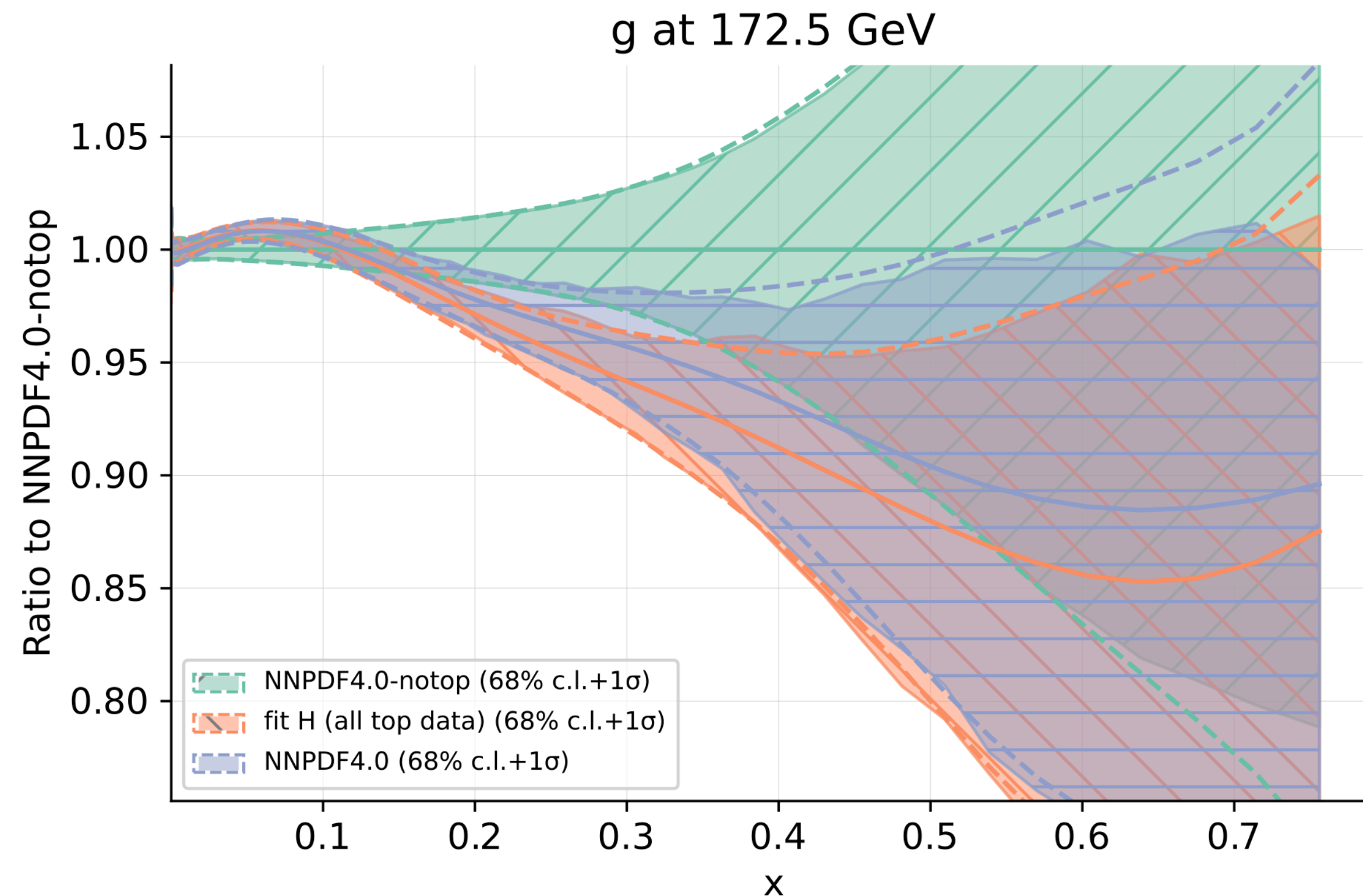
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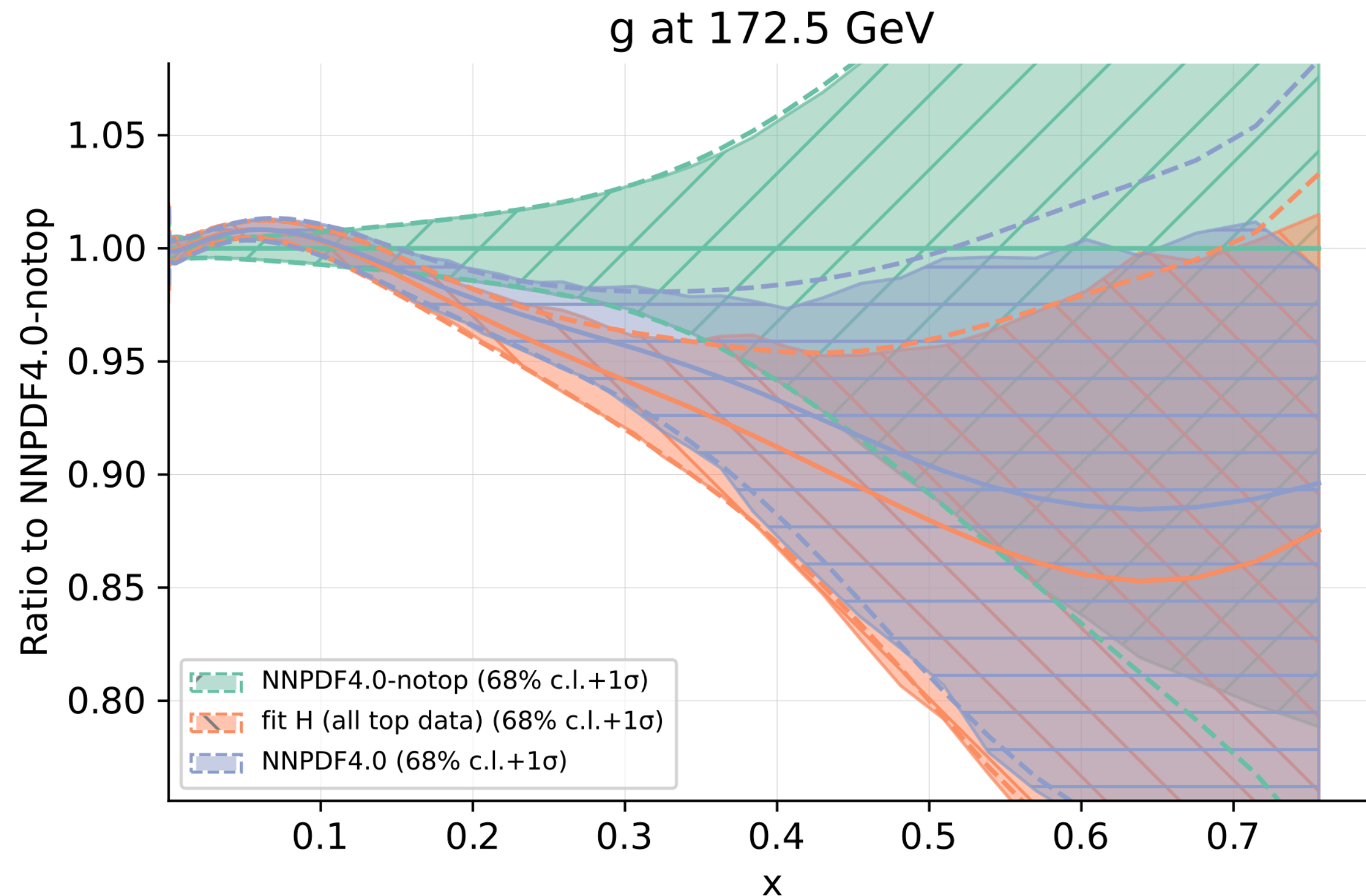


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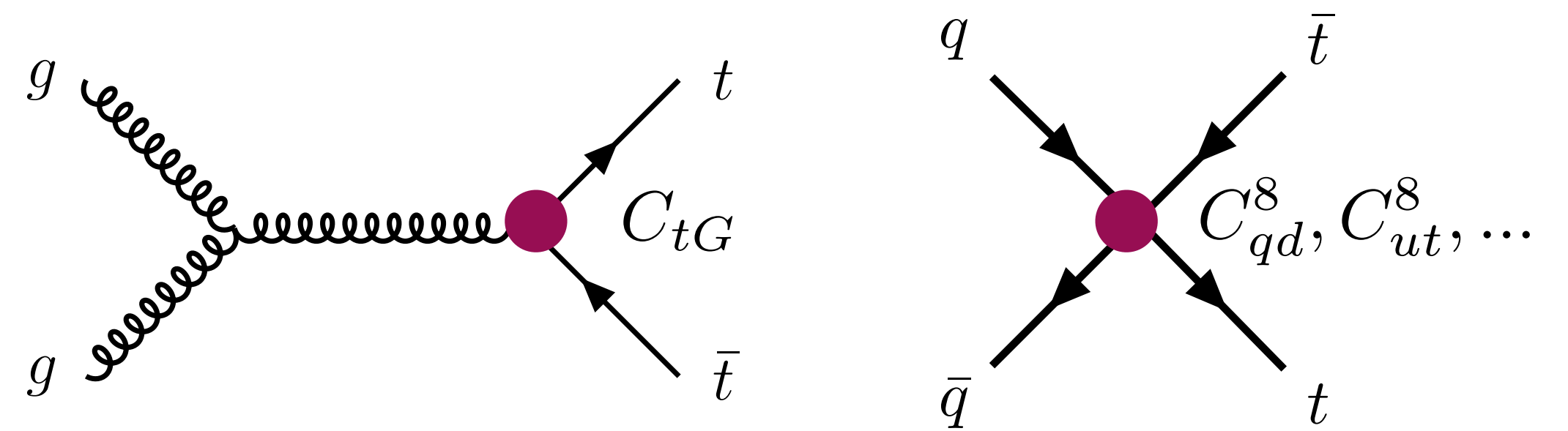
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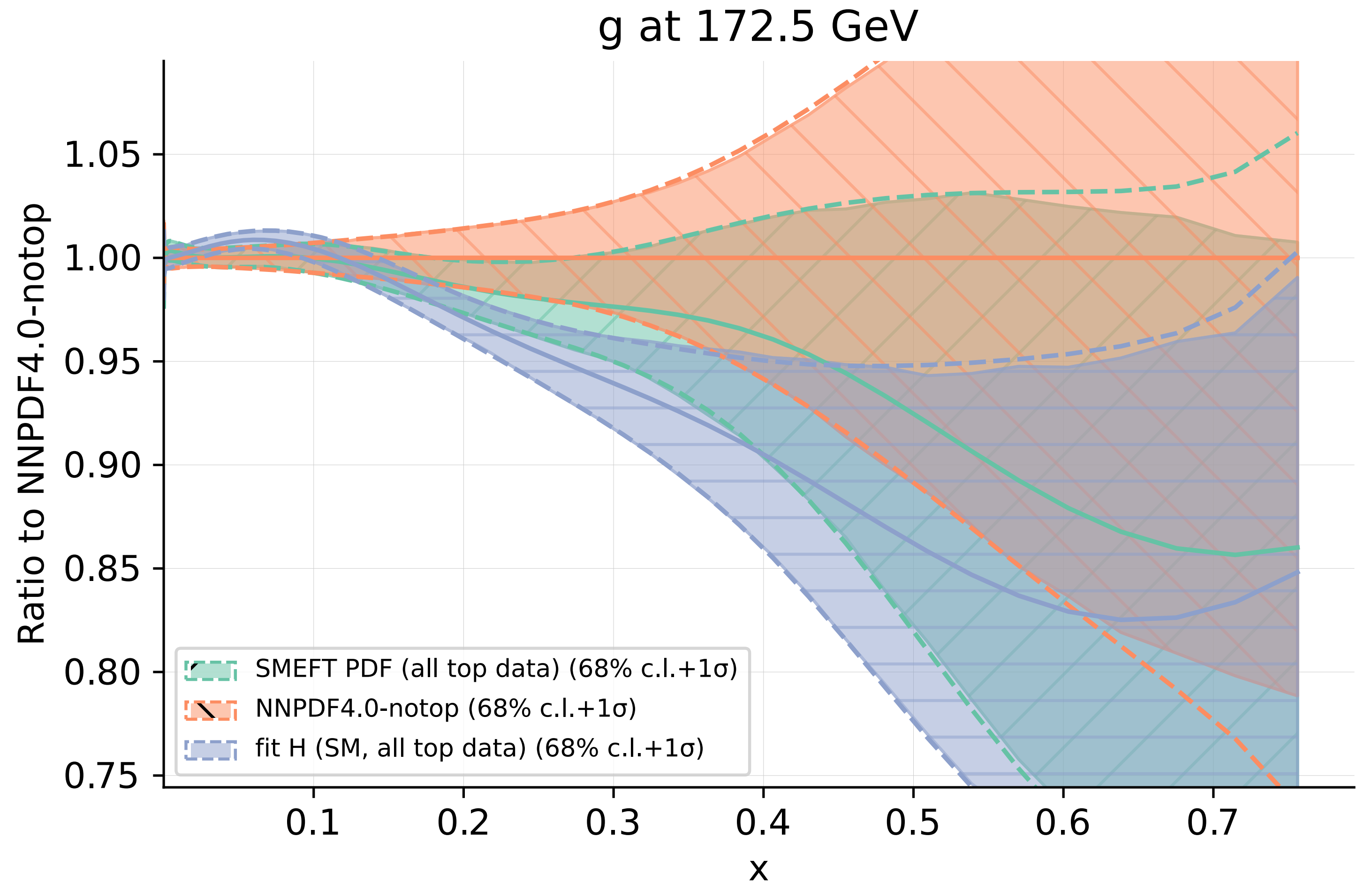
Potential for interplay between **gluon PDF** and coefficients modifying top quark pair production:



# Simultaneous fit

A **simultaneous fit** shows better agreement with **the no-top fit**:

- the impact of top data is **diluted** by the inclusion of the SMEFT



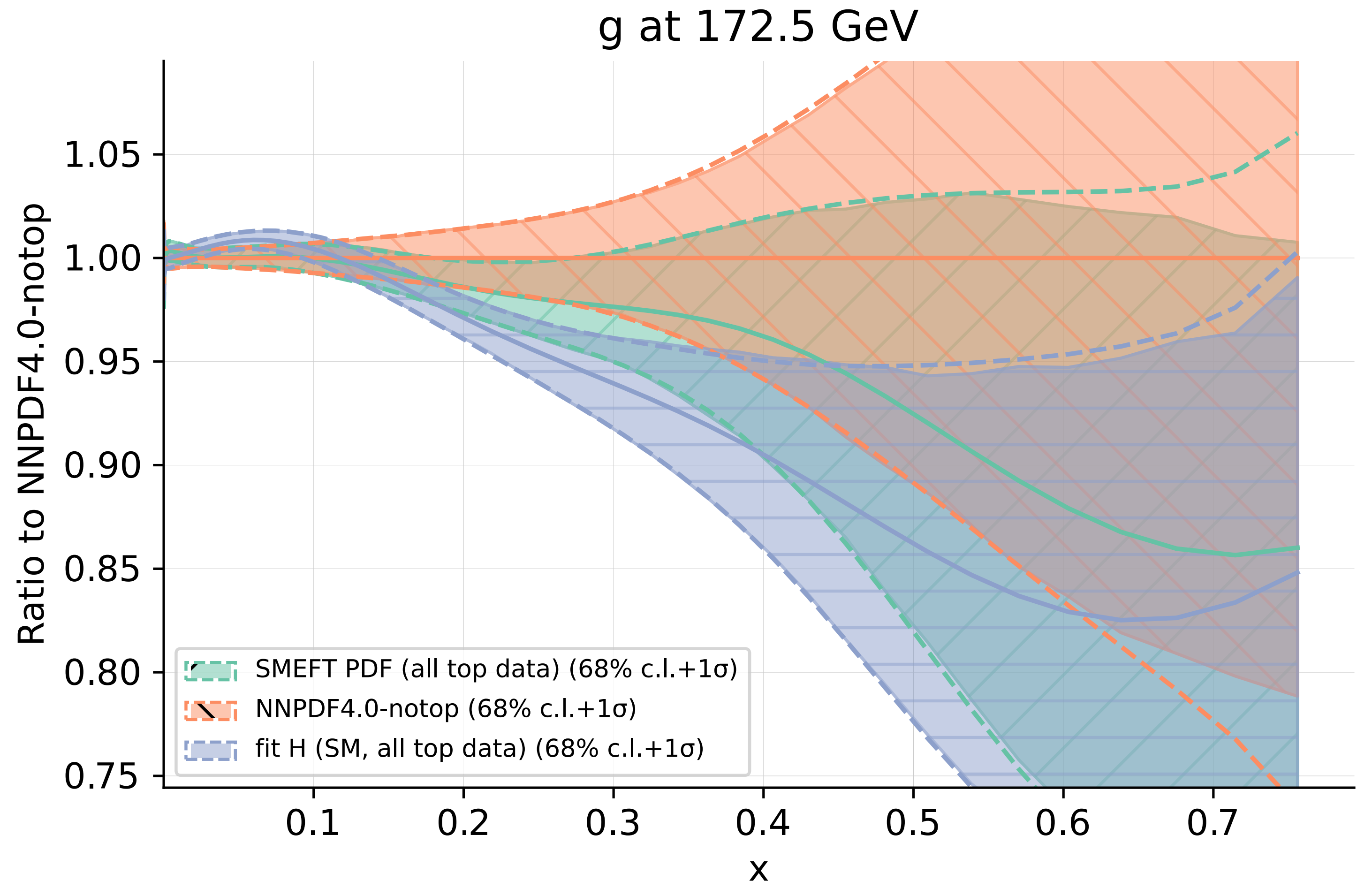
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Uncertainties increase relative to the *SM, all top data PDF fit*

- reflecting the increase in number of fitted parameters



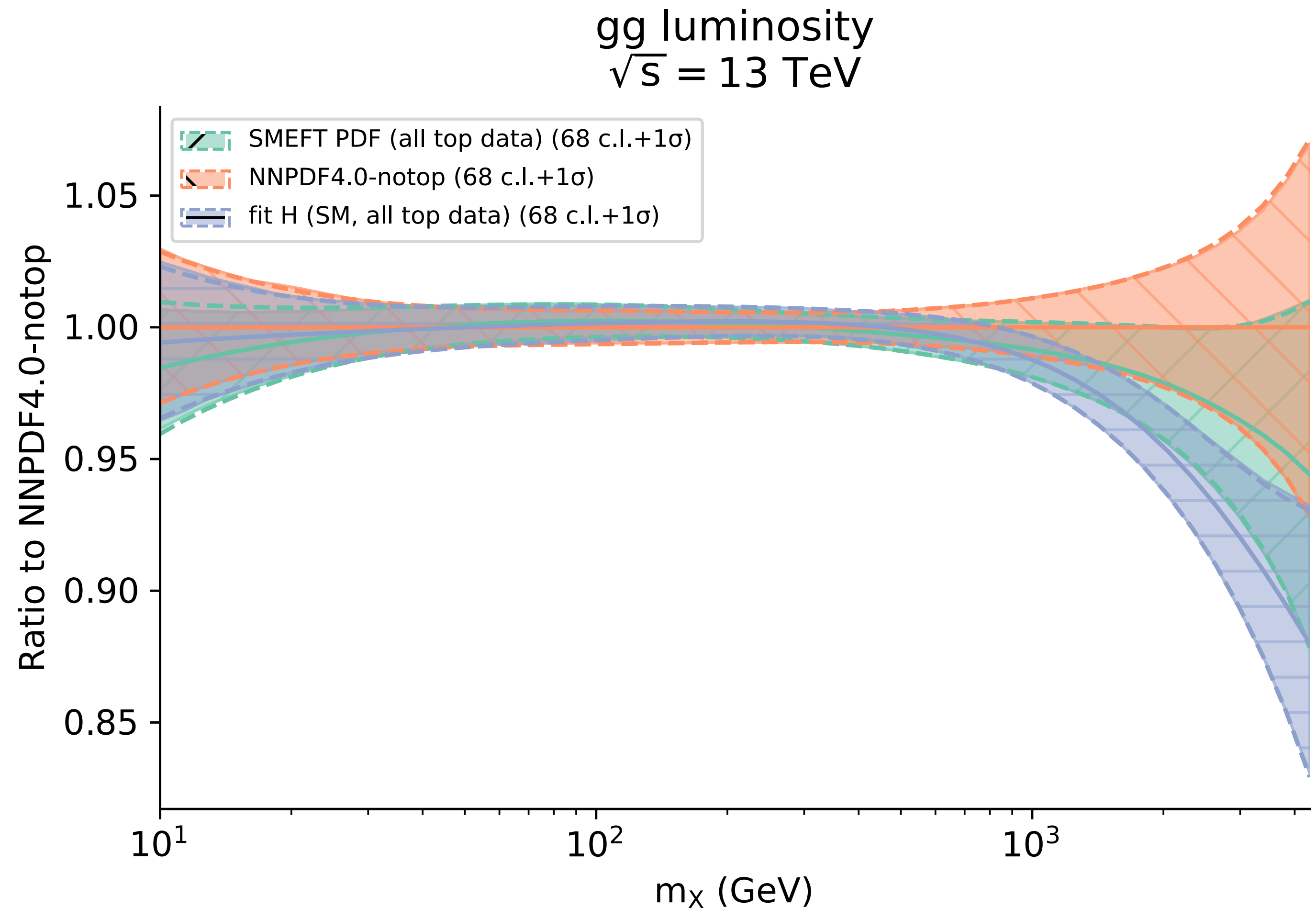
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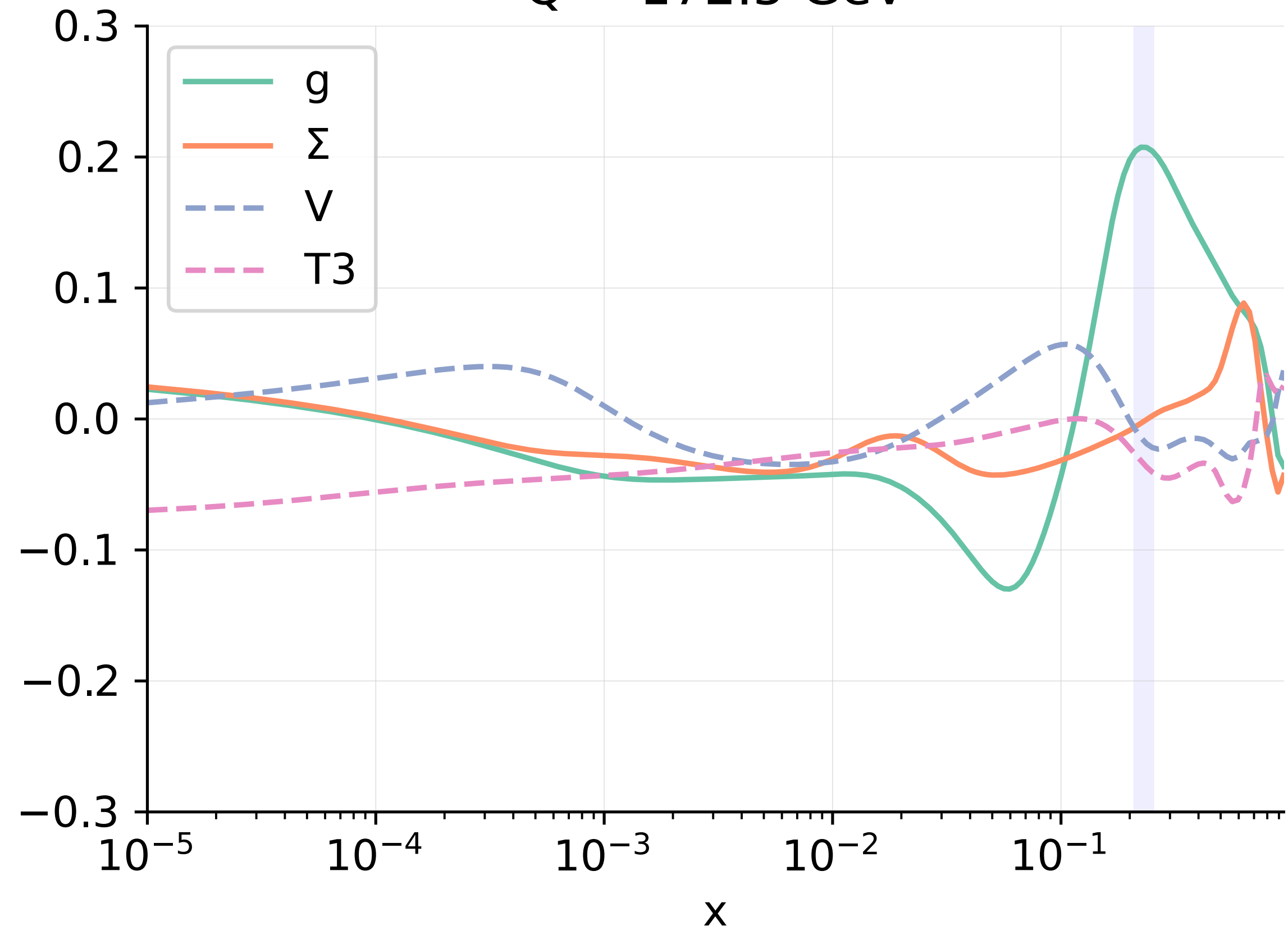
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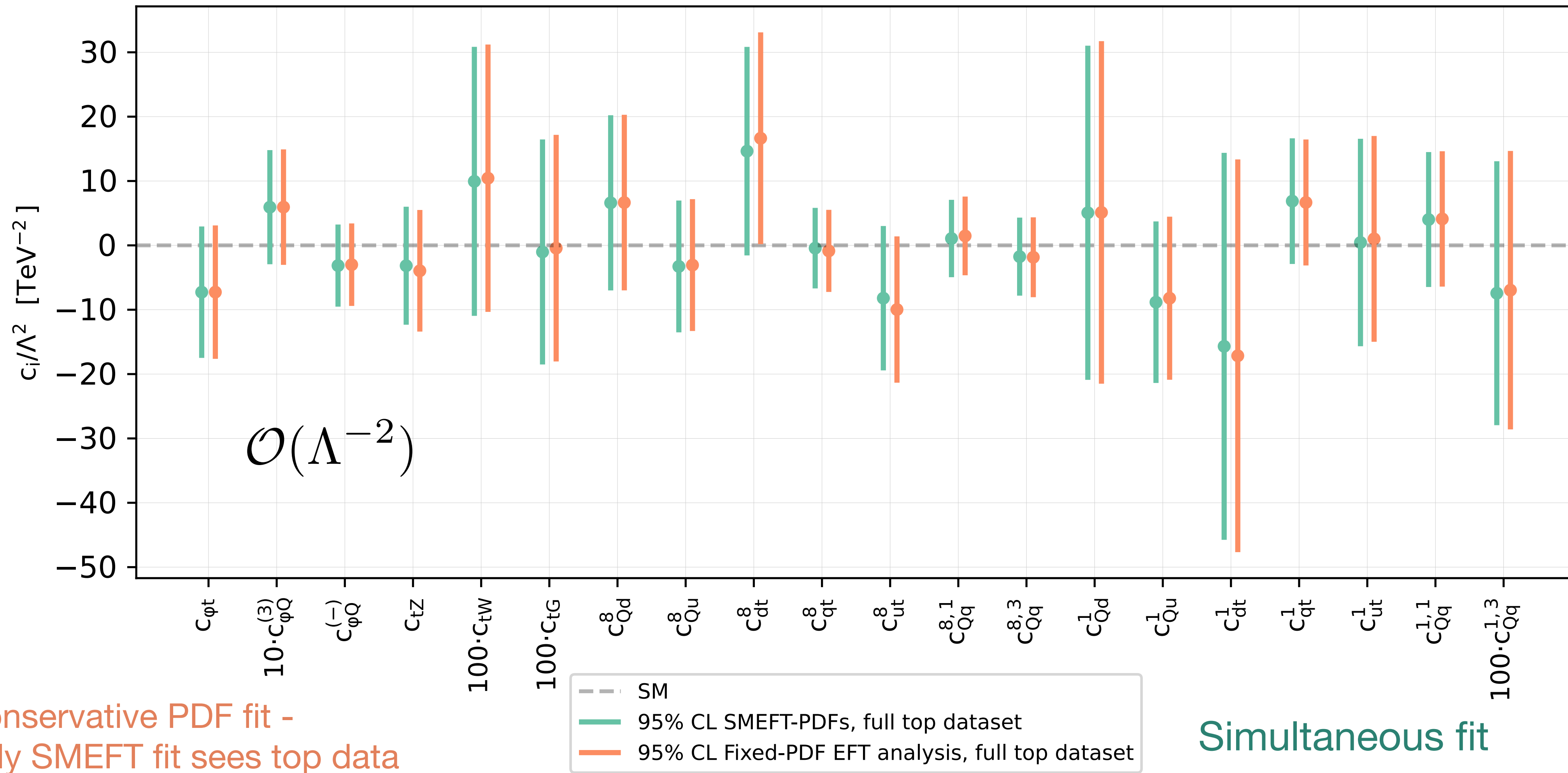
Correlation  $c_{dt}^8$  - SMEFT PDFs  
 $Q = 172.5 \text{ GeV}$



Significant correlation between large-x gluon PDF and SMEFT coefficients

# Simultaneous fit

Constraints on the Wilson coefficients are **stable**, despite differences in PDFs






# SMEFT PDFs

Simultaneous PDF-EFT determination outputs a **SMEFT PDF**

Use this as an input to future SMEFT determinations as an approximation for a full PDF-SMEFT fit



Captures the increase in PDF uncertainties due to the inclusion of SMEFT parameters in the fit



Neglects PDF-SMEFT correlations captured by a full simultaneous determination

# SMEFT PDFs

Simultaneous PDF-EFT determination outputs a **SMEFT PDF**

Use this as an input to future SMEFT determinations as an approximation for a full PDF-SMEFT fit

$$R_n = \frac{c_n^*}{\sigma_n}$$



SMEFT PDFs are a good approximation - small PDF-EFT correlation in the top sector

# Conclusions

Global SMEFT fits of LHC Run II data provide precise constraints on the top sector of the SMEFT

Studies of PDF-EFT interplay are necessary, particularly as we move towards the HL-LHC:

- signals of new physics may be absorbed by the PDF fit *E. Hammou et. al, 2307.10370*
- HL-LHC projections show a significant potential to over-constrain the SMEFT and PDFs  
*Greljo et. al 2104.02723*

In top data, moderate interplay is observed between the PDFs and SMEFT.

See *2303.06159* and <https://www.pbsp.org.uk/topproject/> for more details and results

# Conclusions

*Thank you for listening!*

Global SMEFT fits of LHC Run II data provide precise constraints on the top sector of the SMEFT

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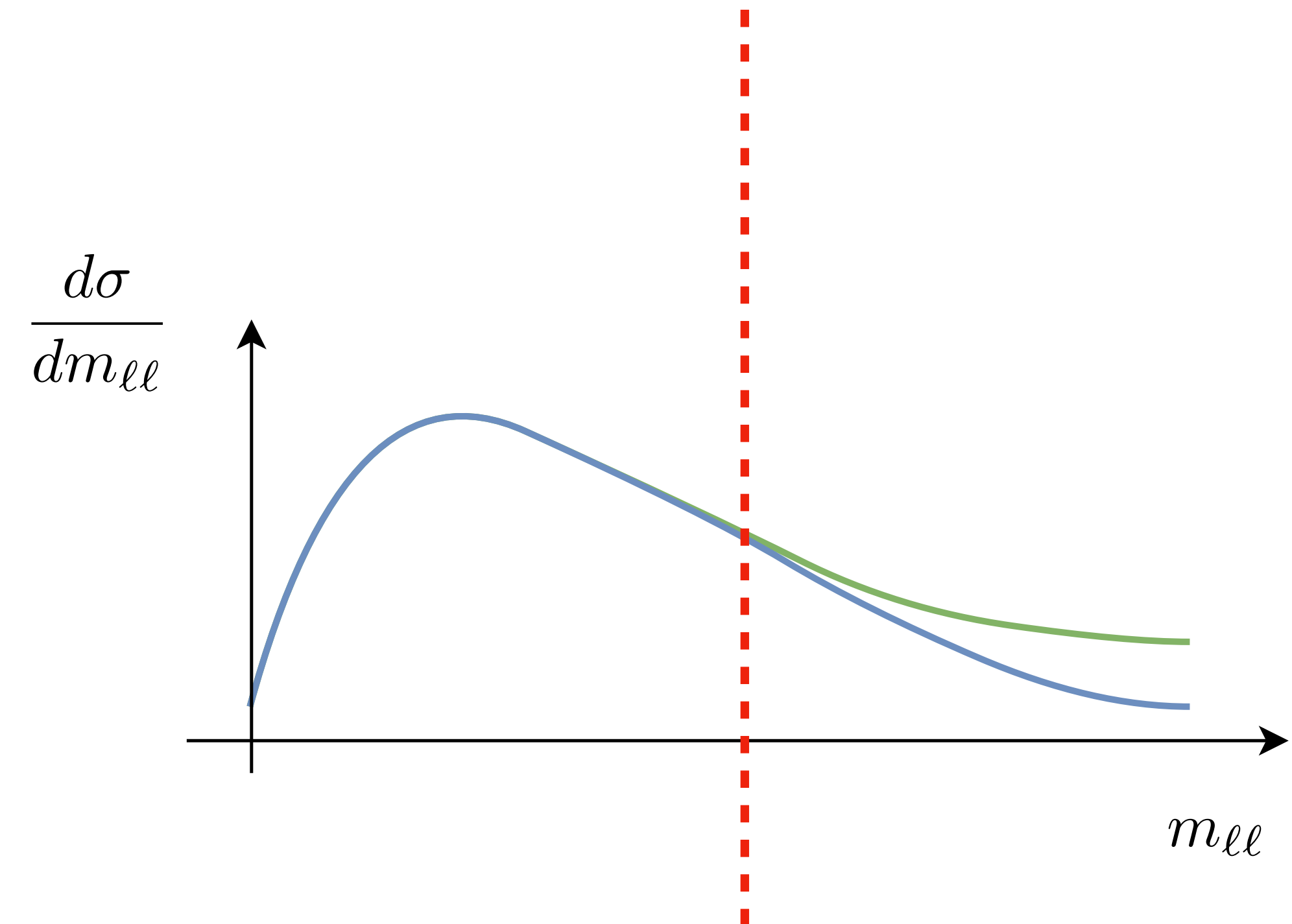
# Backup



# Conservative PDFs

Could we improve the SM PDF fits by removing the high-mass data from PDF fits?

- not in the spirit of global fits
- still have a theoretical inconsistency due to SM assumptions
- **but** much easier than doing a simultaneous PDF-SMEFT fit

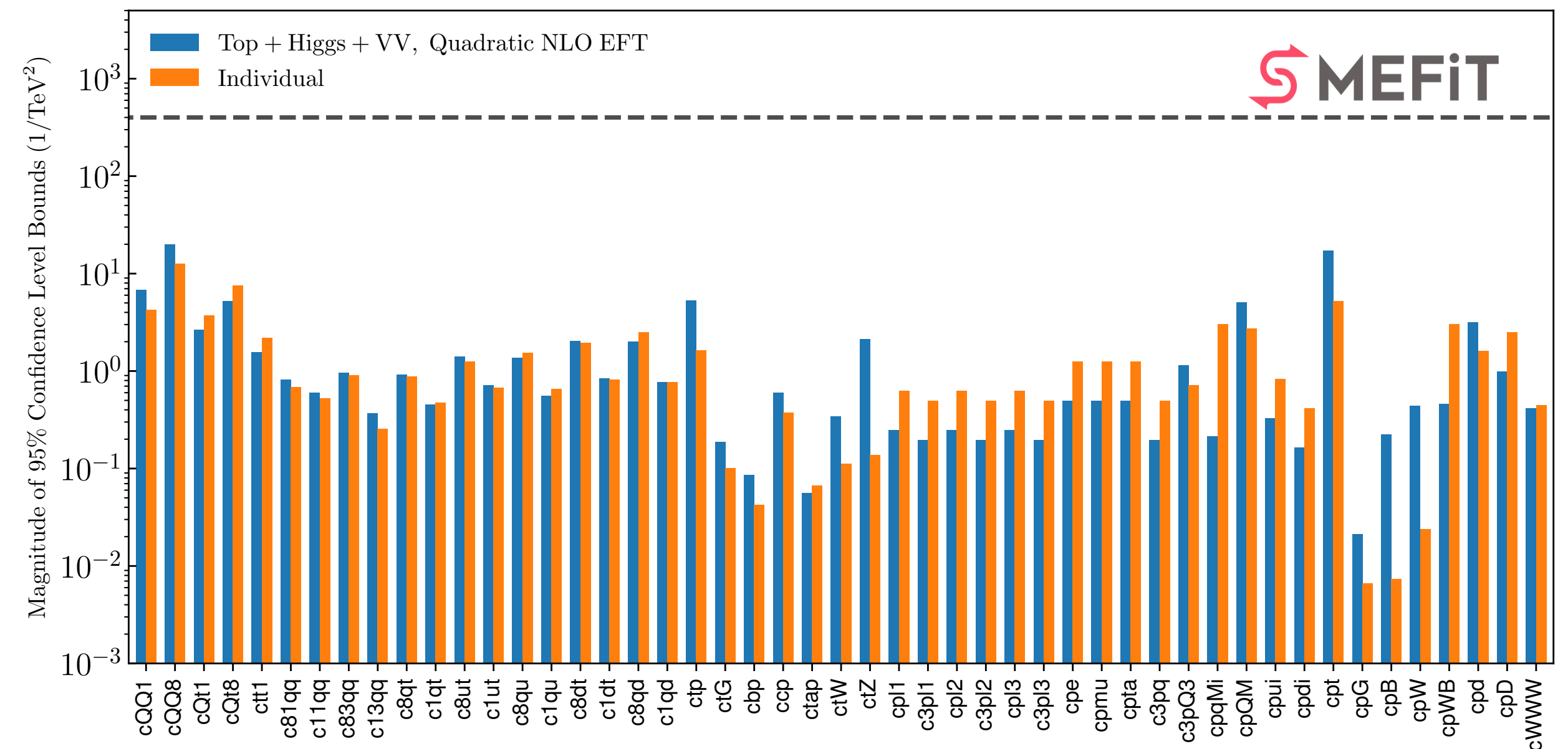
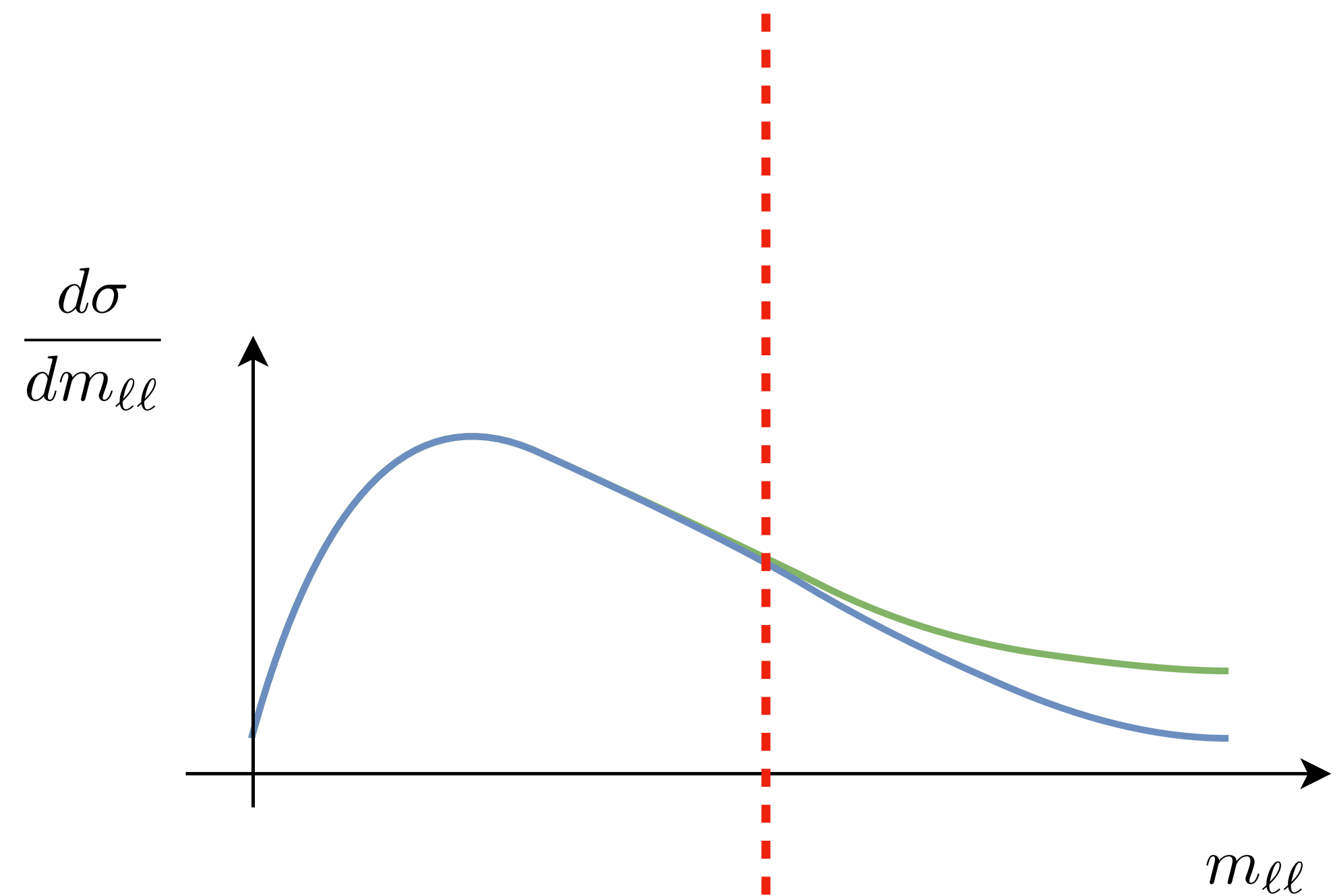


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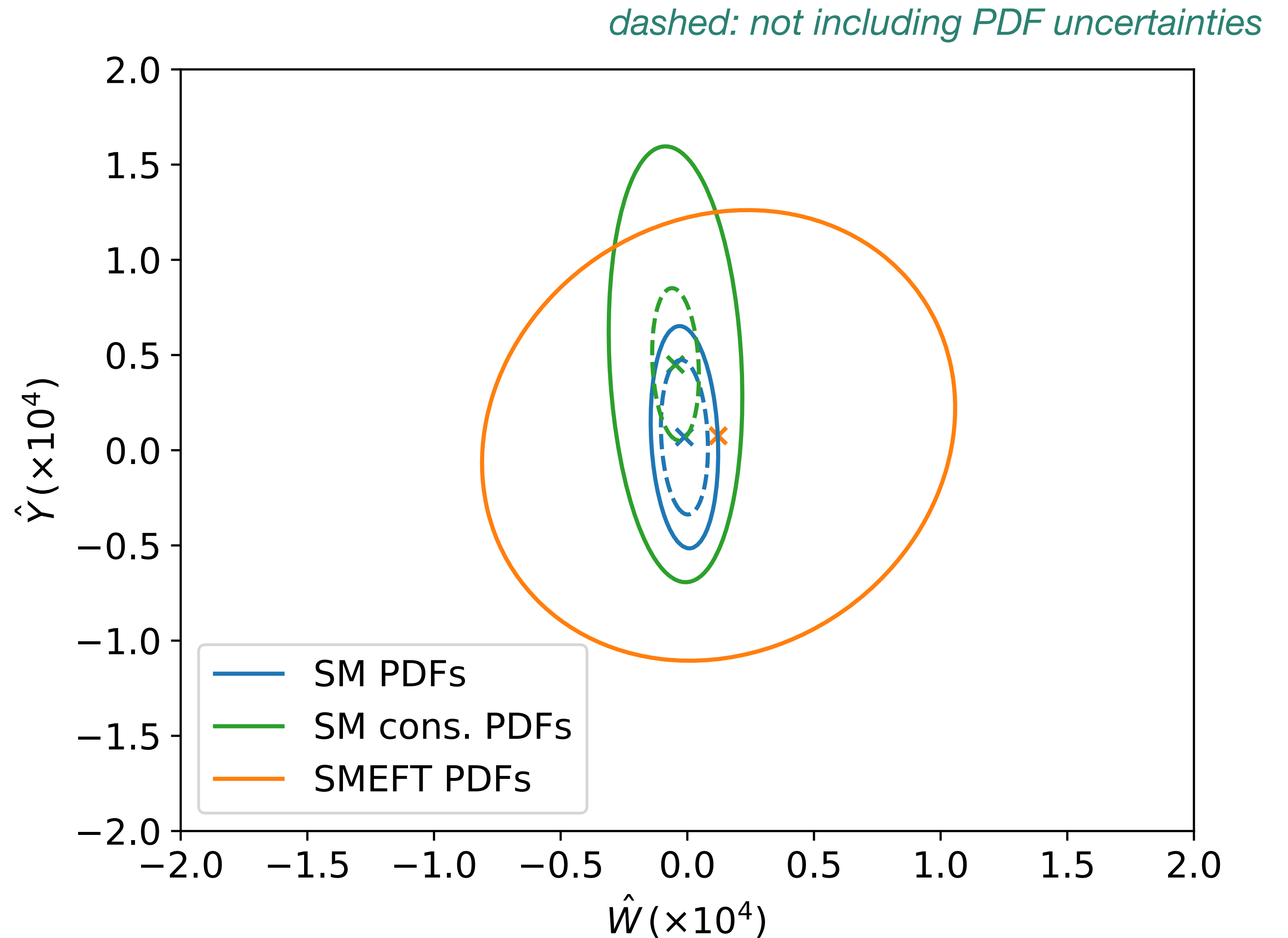
See *J. Ethier et. al, 2105.00006* for the use of conservative PDFs in a global SMEFT fit



# Conservative PDFs for high-mass Drell-Yan

Conservative PDFs:

- assume the SM
- are fit to data which does not receive large SMEFT corrections (i.e. no HL-LHC data, no high-mass DY data)



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Comparing green to orange:

- ▶ the constraints using SM conservative PDFs are closer to those using SMEFT PDFs
- ▶ still overestimating the constraints, especially in the  $\hat{W}$  direction

*dashed: not including PDF uncertainties*

