# Small-x Factorization from Effective Field Theory

Duff Neill, Aditya Pathak, Iain Stewart arXiv:2303.13710

Aditya Pathak QCD@LHC, Durham, September 4, 2023



#### **Outline**

#### Introduction

The small-x region and the BFKL equation LL resummation by Catani and Hautmann

#### EFT modes and power counting

#### Small-x factorization from Glauber SCET

Factorization formula
Collinear function & BFKL evolution
IR divergences

#### **BFKL & DGLAP resummation**

Consistency with twist factorization BFKL resummation of  $F_2$  and  $F_L$  Comparison with previous work

#### Backup slides



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## **DIS review: Twist expansion**

#### Consider unpolarized, inclusive DIS:

$$Q^2 = -q^2 > 0$$
 ,  $x_b = \frac{Q^2}{2P \cdot q}$  ,

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$$\frac{\mathrm{d}^2 \sigma}{\mathrm{d} x_b \, \mathrm{d} Q^2} (e^- p \to e^- X) = \frac{2 \pi y \alpha^2}{Q^4} L_{\mu\nu}(P_e, q) \left[ W^{\mu\nu}(P, q) \right] \, .$$

$$e^ P_e^\mu$$
 $P_X^\mu$ 
 $P_X^\mu$ 

$$W^{\mu\nu} = e_L^{\mu\nu} \frac{1}{x_b} F_L(x_b, Q^2) + e_2^{\mu\nu} \frac{1}{x_b} F_2(x_b, Q^2).$$

#### Well-known twist-2 factorization:

$$\frac{1}{x_b}F_a(x_b,Q^2) = \sum_{\kappa} \int_{x_b}^1 \! \frac{\mathrm{d}\xi}{\xi} \; H_a^{(\kappa)}\Big(\frac{x_b}{\xi},Q,\mu\Big) f_{\kappa/p}(\xi,\mu) + \mathcal{O}\bigg(\frac{\Lambda_{\mathrm{QCD}}^2}{Q^2}\bigg) \,.$$

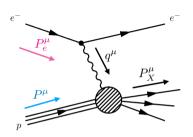
PDF absorbs all the IR divergences.

## **DIS review: Twist expansion**

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$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}x_b\,\mathrm{d}Q^2}(e^-p\to e^-X) = \frac{2\pi y\alpha^2}{Q^4}L_{\mu\nu}(P_e,q) \left[ W^{\mu\nu}(P,q) \right].$$



Take Mellin Transform:

$$\bar{F}_p(N,Q^2) = \int_0^1 \frac{dx}{x} x^N \Big(\frac{1}{x} F_p(x)\Big) \,, \qquad \Rightarrow \qquad \bar{F}_p^{(\kappa)}(N) = \sum_{\kappa'} \bar{H}_p^{(\kappa')}(N) \times \underbrace{\bar{\Gamma}_{\kappa'\kappa} \left(N,\epsilon\right)}_{\text{PDF}} \,.$$

IR divergences are exponentiated into PDFs (transition functions),

$$\bar{\Gamma}_{\kappa'\kappa} \big(\alpha_s(\mu^2), N, \epsilon \big) \equiv \mathsf{P} \, \exp \left( \, \int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\beta(\epsilon, \alpha)} \gamma^s(\alpha, N) \right)_{\kappa'\kappa}.$$



#### **Mellin Transform**

Mellin transform is very useful: (set N = n + 1)

$$\bar{f}(n) \equiv \int_0^1 \frac{dx}{x} x^{n+1} f(x)$$

Let us note that

f(x)	$ar{f}(n)$	singularity in $x \to 0$
$\frac{1}{x} \ln^{\ell-1}(x)$	$\sim rac{1}{n^\ell}$	pole at n = 0
$x^{p-1} \ln^{\ell-1}(x)$	$\sim rac{1}{(n+p)^\ell}$	

#### Small-x limit

Leading terms in  $x_b \to 0$  limit:

$$\frac{\alpha_s^{\ell}}{x} \ln^{\ell-1}(x) \quad ,$$

Both coefficient function and the DGLAP anomalous dimension become singular in  $x_b \to 0$  limit:

$$\bar{H}_{a}^{(\kappa)}(n) \sim \alpha_{s} \frac{\alpha_{s}}{n} + \alpha_{s} \left(\frac{\alpha_{s}}{n}\right)^{2} + \alpha_{s} \left(\frac{\alpha_{s}}{n}\right)^{3} + \dots,$$

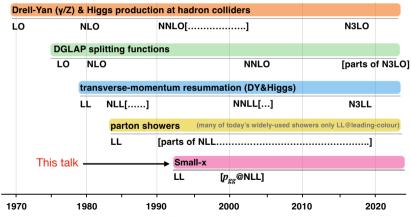
$$\gamma_{gg}(n) \sim \frac{\alpha_{s}}{n} + \left(\frac{\alpha_{s}}{n}\right)^{2} + \left(\frac{\alpha_{s}}{n}\right)^{3} + \dots,$$

$$\gamma_{qg}(n) \sim \alpha_{s} \frac{\alpha_{s}}{n} + \alpha_{s} \left(\frac{\alpha_{s}}{n}\right)^{2} + \alpha_{s} \left(\frac{\alpha_{s}}{n}\right)^{3} + \dots$$

Our goal is to resum these leading logarithmic series.

## Progress (?) in small- $x_b$ resummation

#### selected collider-QCD accuracy milestones



## Phenomenological applications of small-x limit

Small- $x_b$  data at N<sup>3</sup>LO plays a crucial role in improving PDFs:

#### A List of N<sup>3</sup>LO Ingredients

MSHT20aN3LO: McGowan, Cridge, Harland-Lang, Thorne Eur. Phys. J. C 83 (2023) 3, 185

$N^3LO$	No. of	Moments	Small-x	I anno m
Function	Moments	(Even only)	Small-x Large-x	
$P_{qq}^{NS}$ $P^{PS}$	8	N = 2 - 16 [21]	[21]	[21]
$P_{qq}^{PS}$	4	N = 2 - 8 [35, 36]	LL [28]	N/A
$P_{qg}$	4	N = 2 - 8 [35, 36]	LL [28]	N/A
$P_{gq}$	4	N = 2 - 8 [35, 36]	LL [29–31]	N/A
$P_{gg}$	4	N = 2 - 8 [35, 36]	LL & NLL [29-33]	N/A
$A_{qq,H}^{NS}$	7	N = 2 - 14 [50]	N/A	N/A
$A_{Hq}^{PS}$	6	N = 2 - 12 [50]	[53]	[53]
$A_{Hg}$	5	N = 2 - 10 [50]	LL [49]	N/A
$A_{gq,H}$	7	N = 2 - 14 [50]	[54]	[54]
$A_{gg,H}$	5	N = 2 - 10 [50]	N/A	N/A

Table A.1: List of all the  $N^3LO$  ingredients used to construct the approximate  $N^3LO$  splitting functions and transition matrix elements. Where only a citation is provided, extensive knowledge i.e. beyond NLL is used. This table is a non-exhaustive list of the current knowledge about these functions, however information beyond that which is provided here is not currently in a usable format for phenomological studies.

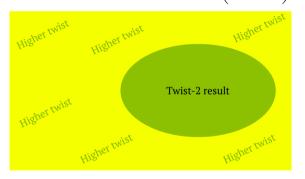
#### Two subtleties with small- $x_b$ resummation

The box represents the full expression of the structure function (perturbative as well as nonperturbative):

The complete result for  ${\cal F}_a$ 

### Two subtleties with small- $x_h$ resummation

Terms that are retained at leading power in twist expansion  $\left(rac{\Lambda_{ extsf{QCD}}}{Q}\ll 1
ight)$ 

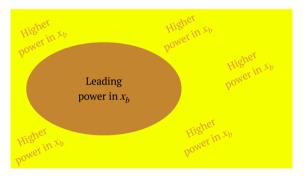


$$\frac{1}{x_b}F_a(x_b,Q^2) = \left[ \sum_{\kappa} \int_{x_b}^1 \frac{\mathrm{d}\xi}{\xi} \; H_a^{(\kappa)}\Big(\frac{x_b}{\xi},Q,\mu\Big) f_{\kappa/p}(\xi,\mu) \right] + \mathcal{O}\bigg(\frac{\Lambda_{\mathrm{QCD}}^2}{Q^2}\bigg) \,.$$



### Two subtleties with small- $x_b$ resummation

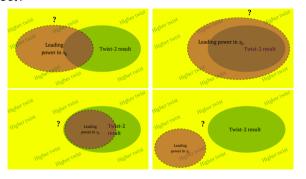
Terms that are leading power in  $x_b \ll 1$  expansion ( $\sim \frac{1}{x}$  or n=0 pole)



Where is this relative to leading twist terms?

#### Two subtleties with small- $x_b$ resummation

Which scenario is correct?

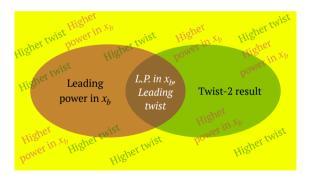


Can partly answer this by inspecting the perturbative series of the coefficient function.

$$H_L^{(g)}(x) \sim \left[\alpha_s \, x(1-x)\right] + \mathcal{O}\left(\frac{\alpha_s^2}{x}\right) \quad \Leftrightarrow \quad \bar{H}_L^{(g)}(x) \sim \left[\alpha_s \left(\frac{1}{n+2} - \frac{1}{n+3}\right)\right] + \mathcal{O}\left(\frac{\alpha_s^2}{n}\right)$$

## Subtlety # 1: Non-trivial overlap between twist and small- $x_b$ expansions

Small- $x_b$  expansion is based on rapidity factorization and makes no reference to  $\Lambda_{QCD}$  (more on this coming up), and hence includes leading as well as higher twist pieces.



Our first goal is to compute the overlap between the two expansions.

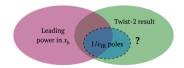


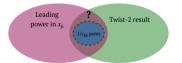
## **Factorization of nonperturbative pieces**

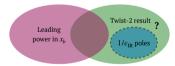
Using dim-reg  $d=4-2\epsilon$  and simple partonic states we can kill higher twist pieces. IR divergences appear as  $1/\epsilon_{\rm IR}$  poles in the PDF.

$$\bar{\Gamma}_{\kappa'\kappa} \big(\alpha_s(\mu^2), n\big) = \mathsf{P} \exp\bigg( -\frac{1}{\epsilon} \int_0^{\alpha_s(\mu^2)} \frac{\mathrm{d}\alpha}{\alpha} \gamma^s(\alpha, n) \bigg)_{\kappa'\kappa} \,, \qquad \text{(fixed coupling)}$$

Where are exactly all the leading twist-2  $1/\epsilon_{IR}$  poles?

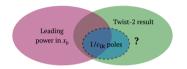


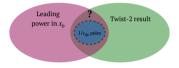


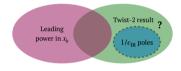


## **Factorization of nonperturbative pieces**

Where are exactly all the leading twist-2  $1/\epsilon_{IR}$  poles?







We can answer this by inspecting the perturbative series of the anomalous dimension:

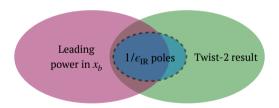
$$\gamma_{gg}(n) = \left[ \frac{\alpha_s C_A}{\pi n} \right] + \dots,$$

$$\gamma_{qg}(n) = \left[ \begin{array}{c} \frac{\alpha_s T_F}{3\pi} \end{array} \right] + \mathcal{O}\left( \begin{array}{c} \frac{\alpha_s}{n} \end{array} \right)$$



## Subtlety # 2: Non-trivial overlap also of IR poles between twist and small- $x_b$ expansions

There are IR divergences associated with small- $x_b$  logs as well as those appearing at higher powers in  $x_b \ll 1$  expansion:



Our second goal is to consistently factorize IR poles of these two origins into the twist-2 PDF.



#### The BFKL equation

Resummation of small- $x_b$  logs invovles solving the BFKL equation. For a function  $f(x, q_\perp)$ 

$$f(x, \mathbf{q}_{\perp}) \sim x^{p-1} (\log \operatorname{sof} x),$$

that satisfies BFKL equation in 4 dimensions:

$$x\frac{d}{dx}f(x,\boldsymbol{q}_{\perp}) = (p-1)f(x,\boldsymbol{q}_{\perp}) + c[K \otimes_{\perp} f](\boldsymbol{q}_{\perp})$$

where

$$\left[K\otimes_{\perp}f\right](\boldsymbol{q}_{\perp})\equiv(2\pi)\int\frac{\mathrm{d}^{2}k_{\perp}}{(2\pi)^{2}}\Bigg\{\frac{2f(\boldsymbol{k}_{\perp})}{(\boldsymbol{q}_{\perp}-\boldsymbol{k}_{\perp})^{2}}-\frac{\boldsymbol{q}_{\perp}^{2}}{\boldsymbol{k}_{\perp}^{2}(\boldsymbol{q}_{\perp}-\boldsymbol{k}_{\perp})^{2}}f(\boldsymbol{q}_{\perp})\Bigg\}\,,$$

In the n-space we have an iterative equation

$$\bar{f}(n, \boldsymbol{q}_{\perp}) = \frac{1}{n+p} \times \underbrace{f(x=1, \boldsymbol{q}_{\perp})}_{\text{Boundary condition}} - \frac{c}{n+p} \big[ K \otimes_{\perp} \bar{f}(n) \big] (\boldsymbol{q}_{\perp})$$



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Eigenfunctions of BFKL Kernel:

$$\left[ K \otimes_\perp \left( \frac{1}{{\pmb k}_\perp^{2(1-\gamma)}} e^{\mathrm{i} n \phi} \right) \right] ({\pmb q}_\perp) = \chi(n,\gamma) \frac{1}{{\pmb q}_\perp^{2(1-\gamma)}} e^{\mathrm{i} n \phi} \,, \qquad \frac{0 < \mathrm{Re} \, \gamma < 1}{} \;. \label{eq:K_def}$$



## Bad boundary condition = IR divergence!

What happens for  $\gamma = 0$ ?

$$\gamma = 0: \qquad \qquad \left[ K \otimes_{\perp} \frac{1}{\boldsymbol{k}_{\perp}^2} \right] (\boldsymbol{q}_{\perp}) = \frac{1}{\boldsymbol{q}_{\perp}^2} (2\pi) \int \frac{\mathrm{d}^2 k_{\perp}}{(2\pi)^2} \frac{\boldsymbol{q}_{\perp}^2}{\boldsymbol{k}_{\perp}^2 (\boldsymbol{q}_{\perp} - \boldsymbol{k}_{\perp})^2}$$

This Integral is divergent! But we can make sense of it in dimensional regularization:

$$\begin{split} (2\pi)I_{\epsilon} \Big[ \boldsymbol{q}_{\perp}^2 \Big] &\equiv (2\pi) \Big( \frac{\mu^2 e^{\gamma_E}}{4\pi} \Big)^{\epsilon} \int \frac{\mathrm{d}^{2-2\epsilon} k_{\perp}}{(2\pi)^{2-2\epsilon}} \frac{\boldsymbol{q}_{\perp}^2}{\boldsymbol{k}_{\perp}^2 \big( \boldsymbol{q}_{\perp} - \boldsymbol{k}_{\perp} \big)^2} \\ &= \Big( \frac{\boldsymbol{q}_{\perp}^2}{\mu^2} \Big)^{-\epsilon} \Gamma(-\epsilon) e^{\epsilon \gamma_E} \frac{\Gamma(1-\epsilon)\Gamma(1+\epsilon)}{\Gamma(1-2\epsilon)} \\ &= -\frac{1}{\epsilon} + \log \Big( \frac{\boldsymbol{q}_{\perp}^2}{\mu^2} \Big) + \mathcal{O}(\epsilon) \,. \end{split}$$

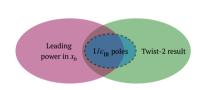
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**This is relevant:** Nature produces bad boundary conditions for the BFKL equation and these IR divergences go into the PDF, but *not every* IR divergence is generated this way.

LL small- $x_b$  resummation consistent with twist factorization by Catani and Hautmann [CH94]:

$$\bar{F}_L^{(g)}(n) = h_L(\gamma_{gg}) \times R(n) \times \left(\frac{Q^2}{\mu^2}\right)^{\gamma_{gg}} \times \bar{\Gamma}_{gg},$$

- > At LL, the IR divergences in  $F_L^g$  appear in  $\bar{\Gamma}_{gg}$ .
- $h_L$ : describes coupling with photon, IR finite, defined via an *off-shell* cross section.
- > R: scheme chosen to factorize the IR divergences.

$$h_L(\gamma) = \gamma \int_0^\infty \frac{\mathrm{d} \boldsymbol{k}_\perp^2}{\boldsymbol{k}_\perp^2} \left(\frac{\boldsymbol{k}_\perp^2}{Q^2}\right)^\gamma \hat{\sigma}_L^g \left(\frac{\boldsymbol{k}_\perp^2}{Q^2}, \alpha_s, \epsilon = 0\right).$$



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- R: scheme chosen to factorize the IR divergences.

[CH94] resummed  $(\frac{\alpha_s}{n})^\ell$  terms in  $\gamma_{gg}(\alpha_s,n)$  by inventing a gluon Green's function  $\bar{\mathcal{F}}_g^{(0)}$ :

$$\bar{\mathcal{F}}_g^{(0)}(n, \boldsymbol{q}_\perp) = \delta^{(2-2\epsilon)}(\boldsymbol{q}_\perp) + \frac{\bar{\alpha}_s}{n} \left[ K \otimes_\perp \bar{\mathcal{F}}_g^{(0)}(n) \right] (\boldsymbol{q}_\perp) , \qquad \bar{\alpha}_s \equiv \frac{\alpha_s C_A}{\pi}$$

 $\bar{\mathcal{F}}_g^{(0)}$  is determined completely by the  $\delta^{(2-2\epsilon)}(q_\perp)$  boundary condition and iterations of the BFKL kernel.

Notice how BFKL kernel acts on  $\delta^{(2-2\epsilon)}(q_{\perp})$ :

$$\begin{split} K \otimes_{\perp} \delta^{(2-2\epsilon)}(\boldsymbol{q}_{\perp}) &\sim \frac{1}{\boldsymbol{k}_{\perp}^{2-2\epsilon}} \Big(\frac{\boldsymbol{k}_{\perp}^{2}}{\mu^{2}}\Big)^{-\epsilon} \\ K \otimes_{\perp} \frac{1}{\boldsymbol{k}_{\perp}^{2-2\epsilon}} \Big(\frac{\boldsymbol{k}_{\perp}^{2}}{\mu^{2}}\Big)^{-\epsilon} &\sim \frac{1}{\epsilon} \frac{1}{\boldsymbol{k}_{\perp}^{2-2\epsilon}} \Big(\frac{\boldsymbol{k}_{\perp}^{2}}{\mu^{2}}\Big)^{-2\epsilon} \\ &\vdots \\ K \otimes_{\perp} \frac{1}{\boldsymbol{k}_{\perp}^{2-2\epsilon}} \Big(\frac{\boldsymbol{k}_{\perp}^{2}}{\mu^{2}}\Big)^{-\ell\epsilon} &\sim \frac{1}{\ell\epsilon} \frac{1}{\boldsymbol{k}_{\perp}^{2-2\epsilon}} \Big(\frac{\boldsymbol{k}_{\perp}^{2}}{\mu^{2}}\Big)^{-(\ell+1)\epsilon} \end{split}$$

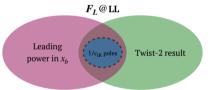
This generates an IR divergent series solution for  $\bar{\mathcal{F}}_a^{(0)}$ :

$$\bar{\mathcal{F}}_g^{(0)} \sim \delta^{(2-2\epsilon)}(\boldsymbol{q}_\perp) + \frac{1}{\boldsymbol{k}_\perp^{2-2\epsilon}} \sum_{\ell=1}^{\infty} c_\ell(\epsilon) \left( \frac{\bar{\alpha}_s}{n} \left( \frac{\boldsymbol{k}_\perp^2}{\mu^2} \right)^{-\epsilon} \right)^{\ell}, \qquad c_\ell(\epsilon) = \frac{1}{\ell!} \left( -\frac{1}{\epsilon} \right)^{\ell} \left( 1 + \mathcal{O}(\epsilon^2) \right)$$

## A special property of the LL series and $F_L$ channel

$$\bar{\mathcal{F}}_g^{(0)} \sim \delta^{(2-2\epsilon)}(\boldsymbol{q}_\perp) + \frac{1}{\boldsymbol{k}_\perp^{2-2\epsilon}} \sum_{\ell=1}^{\infty} c_\ell(\epsilon) \left( \frac{\bar{\alpha}_s}{n} \left( \frac{\boldsymbol{k}_\perp^2}{\mu^2} \right)^{-\epsilon} \right)^{\ell}, \qquad c_\ell(\epsilon) = \frac{1}{\ell!} \left( -\frac{1}{\epsilon} \right)^{\ell} \left( 1 + \mathcal{O}(\epsilon^2) \right)$$

A special property of the LL series and  $F_L$  channel: All the IR divergences at LL for  $F_L$  are generated by BFKL equation

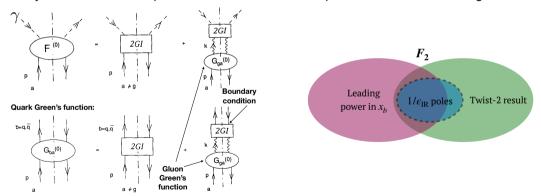


 $\bar{\Gamma}_{gg}$  absorbs the IR divergences in  $\bar{\mathcal{F}}_g^{(0)}$ :

$$\bar{\mathcal{F}}_g^{(0)}ig(n, m{q}_\perpig) = rac{1}{\pi m{k}_\perp^2} imes \gamma_{gg} imes ilde{R}ig(n, m{k}_\perp, \epsilonig) imes ar{\Gamma}_{gg} \,.$$



- > Resummation of  $F_2$  and  $\gamma_{qg}$  is not straightforward in this framework, because  $F_2$  involves IR divergences NOT generated by BFKL evolution alone!.
- They introduced a new quark's Green's function to capture this non-BFKL divergence.



## Importance of higher order small- $x_b$ resummation

- The approach of Catani and Hautmann [CH94] has not been extended beyond LL.
- > Higher order resummation is crucial: Large corrections from next-to-leading log small- $x_b$  resummation.

**Goal of this work:** provide a new framework for higher order resummation using a factorization derived in SCET with Glauber operators of Rothstein and Stewart [RS16].

See also Ciafaloni et al. [Cia+04], Altarelli, Ball, and Forte [ABF06], and Thorne [Tho01] and references therein.

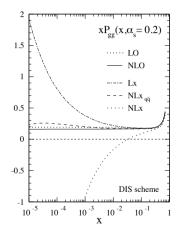


Figure from Blumlein et al. [Blu+98].



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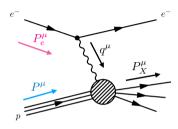
Center of mass light cone coordinates:

$$\begin{split} P^{\mu} &= \frac{\sqrt{s}}{2} n^{\mu} \,, \quad P^{\mu}_{e} &= \frac{\sqrt{s}}{2} \bar{n}_{\mu} \quad n^{2} = \bar{n}^{2} = 0 \,, \quad n \cdot \bar{n} = 2 \,. \\ p^{\mu} &= p^{+} \frac{\bar{n}^{\mu}}{2} + p^{-} \frac{n^{\mu}}{2} + p^{\mu}_{\perp} \,, \qquad p^{2} = p^{+} p^{-} - p_{\perp}^{2} \end{split}$$

Power counting parameters:  $\lambda' \sim \frac{\Lambda_{\rm QCD}}{O}$  and  $\lambda \sim x_b$  .

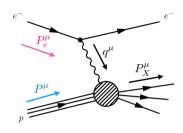
$$\lambda' \sim \frac{\Lambda_{\rm QCD}}{Q}$$

$$\lambda \sim x_b$$



Center of mass light cone coordinates:

$$\begin{split} P^{\mu} &= \frac{\sqrt{s}}{2} n^{\mu} \,, \quad P^{\mu}_{e} &= \frac{\sqrt{s}}{2} \bar{n}_{\mu} \quad n^{2} = \bar{n}^{2} = 0 \,, \quad n \cdot \bar{n} = 2 \,. \\ p^{\mu} &= p^{+} \frac{\bar{n}^{\mu}}{2} + p^{-} \frac{n^{\mu}}{2} + p^{\mu}_{\perp} \,, \qquad p^{2} = p^{+} p^{-} - p^{2}_{\perp} \end{split}$$



Power counting parameters:  $\lambda' \sim \frac{\Lambda_{\rm QCD}}{Q}$  and  $\lambda \sim x_b$ .

$$\lambda' \sim \frac{\Lambda_{\rm QCD}}{Q}$$

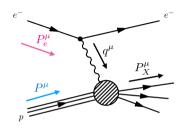
$$\lambda \sim x_b$$

Two possible scenarios based on the scaling of the invariant mass of hadronic state:

#### Hard scattering Forward scattering $\frac{P_X^2}{s} = \frac{(q+P)^2}{s} = \frac{Q^2}{s} \frac{(1-x_b)}{x_b}$ $\sim \lambda^0$ or $q^{\mu} = -\frac{Q^2}{\sqrt{s}} \frac{n^{\mu}}{2} + \frac{Q^2}{2} \frac{\bar{n}^{\mu}}{2} + q^{\mu}_{\perp} \qquad \sim \sqrt{s}(1, \lambda, \sqrt{\lambda})$ or $\sim \sqrt{s}(\lambda, \lambda^2, \lambda)$

Center of mass light cone coordinates:

$$\begin{split} P^{\mu} &= \frac{\sqrt{s}}{2} n^{\mu} \,, \quad P^{\mu}_{e} &= \frac{\sqrt{s}}{2} \bar{n}_{\mu} \quad n^{2} = \bar{n}^{2} = 0 \,, \quad n \cdot \bar{n} = 2 \,. \\ p^{\mu} &= p^{+} \frac{\bar{n}^{\mu}}{2} + p^{-} \frac{n^{\mu}}{2} + p^{\mu}_{\perp} \,, \qquad p^{2} = p^{+} p^{-} - p_{\perp}^{2} \end{split}$$



Power counting parameters:  $\lambda' \sim \frac{\Lambda_{\rm QCD}}{O}$  and  $\lambda \sim x_b$ .

$$\lambda' \sim \frac{\Lambda_{\rm QCD}}{Q}$$

$$\lambda \sim x_b$$

photon momentum in forward scattering:	$q^{\mu} \sim \sqrt{s}(\lambda, \lambda^2, \lambda)  \Leftrightarrow  \frac{Q^2}{s} \sim \lambda^2$
Collinear modes in the proton:	$p_c^{\mu} \sim \sqrt{s} \left( \frac{\Lambda_{\rm QCD}^2}{s}, 1, \frac{\Lambda_{\rm QCD}}{\sqrt{s}} \right) \sim \sqrt{s} \left( (\lambda \lambda')^2, 1, \lambda \lambda' \right)$
Small- $x_b$ resummation requires collinear modes with higher virtuality $p_n^2 \sim Q^2$ :	$p_n^\mu \sim \sqrt{s}(\lambda^2, 1, \lambda)$

We do not enforce  $\lambda' \ll 1$  until later.



#### Forward scattering

$$P_X^2/s$$
  $\sim \lambda$   $q^{\mu}$   $\sim \sqrt{s} \left(\frac{\lambda}{\lambda}, \lambda^2, \lambda\right)$   $p_n^{\mu}$   $\sim \sqrt{s} \left(\frac{\lambda^2}{\lambda}, 1, \lambda\right)$ 

The photon cannot interact directly with collinear mode without knocking it offshell. The leading terms start at  $\mathcal{O}(\alpha_s^2)$  due to intermediate soft sector:

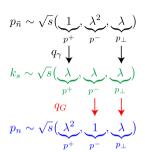
$$p_s = (p_s^+, p_s^-, p_{s\perp}) \sim \sqrt{s}(\lambda, \lambda, \lambda)$$
.

Need additional Glauber modes for soft-collinear interaction:

$$q_G^{\mu} = q'^{\mu} \sim \sqrt{s}(\lambda^2, \lambda, \lambda)$$
.

Having only soft and collinear particles in the final state is consistent with  $P_X^2/s \sim \lambda$ :

$$P_X^2 \sim (p_n + p_s)^2 \sim p_n^- p_s^+ \sim s\lambda$$
.



#### **Outline**

#### Small-r factorization from Glauber SCFT

Factorization formula Collinear function & BFKL evolution IR divergences

Comparison with previous work



## **SCET with Glauber operators**

SCET Lagrangian:

$$\mathcal{L}_{\mathsf{SCET}} = \sum_{n_i} \mathcal{L}_{n_i} + \mathcal{L}_s + \mathcal{L}_G$$
 .

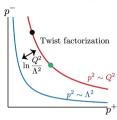
Glauber operators derived in Rothstein and Stewart [RS16] account for forward scattering phenomena.

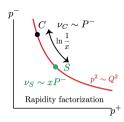
$$S_G^{(n_is)} = 8\pi\alpha_s \sum_{ij} \int \mathrm{d}^4x \int \mathrm{d}^4z \, \int \frac{\mathrm{d}^4q}{(2\pi)^4} \frac{e^{\mathrm{i}q\cdot(x-z)}}{\mathbf{q}_\perp^2} \mathcal{O}_{n_i}^{iA}(x) \, \mathcal{O}_s^{j_{n_i}A}(z) \label{eq:SG}$$

$$\begin{aligned} \mathcal{O}_s^{n_i,qA} & \qquad \mathcal{O}_s^{n_i,qA} = \overline{\psi}_S^{n_i} \mathbf{T}_i^A \frac{\not n}{2} \psi_S^{n_i} \;, \qquad \mathcal{O}_s^{n_i,gA} = \frac{1}{2} \mathcal{B}_{S\perp\mu}^{n_iB} (\mathrm{i} f^{ABC}) \frac{n_i}{2} \cdot (\mathcal{P} + \mathcal{P}^\dagger) \mathcal{B}_{S\perp}^{n_iC\mu} \;, \\ \mathcal{O}_n^{iA} & \qquad \mathcal{O}_{n_i}^{qA} = \overline{\chi}_{n_i} \mathbf{T}_i^A \frac{\not n_i}{2} \chi_{n_i} \;, \qquad \mathcal{O}_{n_i}^{gA} = \frac{1}{2} \mathcal{B}_{n\perp\mu}^B (\mathrm{i} f^{ABC}) \frac{\bar{n}_i}{2} \cdot (\mathcal{P} + \mathcal{P}^\dagger) \mathcal{B}_{n\perp}^{C\mu} \;, \end{aligned}$$

Dotted propagator represents insertion of operators from the Glauber Lagrangian.

## Twist vs. rapidity factorization





> Hard matching at scale Q.

- No hard matching. The EFT at scale Q reproduces QCD in the forward scattering limit.
- > IR divergences in QCD  $\leftrightarrow$  UV divergences in the low energy theory at  $p^2 \sim \Lambda_{\rm QCD}^2$ .
- No rapidity divergences in QCD (but large rapidity logs). Rapidity divergences in EFT ↔ an artifact of separating soft and collinear modes.

> IR divergences can be regulated in dimensional regularization.

> Rapidity divergences require new regulators.

#### Small-x factorization formula

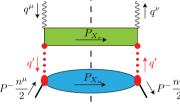
We include two insertions of the ns Glauber action:

$$S_G^{ns} = 8\pi\alpha_s \sum_{i,j,A} \int d^d y \int d^d x \int \frac{d^d q'}{(2\pi)^d} \frac{e^{\mathrm{i}(x-y)\cdot \mathbf{q'}}}{\mathbf{q'}_{\perp}^2} \mathcal{O}_n^{iA}(x) \mathcal{O}_s^{j_n A}(y) .$$

Factorization formula at NLL:

$$W^{\alpha\beta}(q,P) = \int \mathsf{d}^{d-2} q'_{\perp} \left[ S^{\alpha\beta} \left( q, q'_{\perp}, \frac{\nu}{x_b P^{-}}, \epsilon \right) \right] \left[ C \left( q'_{\perp}, P, \frac{\nu}{P^{-}}, \epsilon \right) \right] + \dots$$

$$C\left(q'_{\perp}, P, \frac{\nu}{P^{-}}, \epsilon\right) + \dots$$



## Small-x factorization formula

We include *two insertions* of the ns Glauber action:

The collinear and soft functions are defined as

$$\begin{split} C &\equiv \frac{1}{\pi \nu} \frac{1}{{\bm q}_{\perp}^{\prime 2}} \sum_{i,j,A} \int \frac{\mathrm{d} q'^+}{2\pi} \int \mathrm{d}^d x \, e^{\mathrm{i} \frac{x^- q'^+}{2} + \mathrm{i} x_{\perp} \cdot q'_{\perp}} \langle P | \mathcal{O}_n^{i,A}(x) \mathcal{O}_n^{j,A}(0) | P \rangle_{\nu} \,, \\ S^{\alpha\beta} &\equiv \frac{\nu}{{\bm q}_{\perp}^{\prime 2}} \frac{(2\pi \iota \mu^2)^{4-d} \left(8\pi \alpha_s(\mu^2)\right)^2}{16\pi^2 \left(N_c^2 - 1\right)} \sum_{i,j,A} \int \frac{\mathrm{d} q'^-}{4\pi} \int \mathrm{d}^d z \, e^{\mathrm{i} z \cdot q} \int \mathrm{d}^d y_L \mathrm{d}^d y_R \\ &\quad \times e^{-\mathrm{i} \frac{q'^- (y_L^+ - y_R^+)}{2} - \mathrm{i} q'_{\perp} \cdot (y_{L\perp} - y_{R\perp})} \langle 0 | \bar{T} \{ J^{\alpha}(z) \mathcal{O}_s^{i_n A}(y_L) \} T \{ J^{\beta}(0) \mathcal{O}_s^{j_n A}(y_R) \} | 0 \rangle_{\nu} \,. \end{split}$$



## Small-x factorization formula

We include *two insertions* of the ns Glauber action:

Here small- $x_b$  logs are resummed via *rapidity evolution* for  $\nu_S \sim x_b P^-$  and  $\nu_C \sim P^-$ 

$$\frac{\nu_S}{\nu_C} = x_l$$

## Collinear function at NLO

We computed the collinear function at NLO

$$C_{\kappa}^{\mathsf{LO}}(q'_{\perp}) = \frac{P^{-}}{\nu} \frac{c_{\kappa}}{\pi q'_{\perp}^{2}}, \qquad c_{\kappa} = C_{F}, C_{A} \qquad \text{(bad boundary condition!)}$$
 
$$C_{q}^{\mathsf{NLO}} = \bar{\alpha}_{s} C_{q}^{\mathsf{LO}} \times (-2\pi) \ I_{\epsilon} \left[ q'_{\perp}^{2} \right] \left( \frac{1}{\eta} + \ln \left( \frac{\nu}{P^{-}} \right) + \frac{3}{4} \right), \qquad P^{-\frac{\eta r}{2}} \left( \frac{1}{\eta} + \ln \left( \frac{\nu}{P^{-}} \right) + \frac{3}{4} \right), \qquad P^{-\frac{\eta r}{2}} \left( \frac{1}{\eta} + \ln \left( \frac{\nu}{P^{-}} \right) + \frac{11}{12} - \frac{n_{f} T_{R}}{4C_{A}} \left( 1 - \frac{1}{3(1 - \epsilon)} \right) \right), \qquad (a) \qquad (b) \qquad (c)$$
 
$$\times \left( \frac{1}{\eta} + \ln \left( \frac{\nu}{P^{-}} \right) + \frac{11}{12} - \frac{n_{f} T_{R}}{4C_{A}} \left( 1 - \frac{1}{3(1 - \epsilon)} \right) \right), \qquad (g) \qquad (h) \qquad (i) \qquad (i)$$

We see that the one-loop contribution is IR divergent and exhibits a rapidity divergence.

# **Process independence and the BFKL equation**

Rothstein and Stewart [RS16] showed that for  $pp \rightarrow pp$  forward scattering

$$\sigma^{pp \to pp} \sim C_n \otimes S^{pp} \otimes C_{\bar{n}}$$

and  $S^{pp}$  satisfies the BFKL equation:

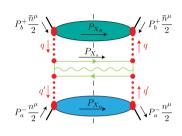
$$\nu \frac{\mathsf{d}}{\mathsf{d}\nu} S^{pp} \sim +2\bar{\alpha}_s \iota^{\epsilon} K \otimes_{\perp} S^{pp}$$

The collinear function is process independent and is expected to satisfy the BFKL equation from RG consistency:

$$\nu \frac{d}{d\nu} C = -C - \bar{\alpha}_s \iota^{\epsilon} K \otimes_{\perp} C.$$

The predicted rapidity logarithm agrees with our NLO result:

$$C_{\kappa\,\mathsf{LL}} = rac{
u}{P^-} rac{c_\kappa}{\pi oldsymbol{q}_\perp'^2} \Biggl( 1 - rac{ar{lpha}_s(2\pi) I_\epsilon ig[ oldsymbol{q}_\perp'^2 ig] \mathsf{ln} \Bigl( rac{
u}{P^-} \Bigr)} \Biggr) + \mathcal{O}(lpha_s^2) \,.$$



Drell-Yan

$$\frac{1}{x_b}F_a(q,P) = \int_{0}^{\infty} \mathbf{d}^{d-2}q'_{\perp} S_a\left(q, \mathbf{q}'_{\perp}, \frac{\nu}{x_b P^{-}}, \epsilon\right) C\left(\mathbf{q}'_{\perp}, \frac{\nu}{P^{-}}, \epsilon\right), \quad \left[S^{\mu\nu}\right] = 4 - d, \quad \left[C\right] = -2.$$

The convolution itself generates IR divergences as nothing prevents  $q'_{\perp}$  from entering the IR region. To see this explicitly, let us note that the SCET<sub>II</sub> collinear function has the all-orders expansion:

$$C\left(\mathbf{q}'_{\perp}, \frac{\nu}{P^{-}}, \alpha_{s}(\mu^{2}), \epsilon\right) = \frac{1}{\mathbf{q}'_{\perp}^{2}} \sum_{\ell=0}^{\infty} C^{(\ell)}\left(\alpha_{s}(\mu^{2}), \frac{\nu}{P^{-}}, \epsilon\right) \left(\frac{\mathbf{q}'_{\perp}^{2}}{\mu^{2}}\right)^{-\ell \epsilon}.$$

Alternative form of the factorization formula:

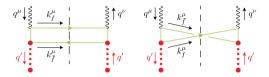
$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left( \frac{\mathbf{q}_{\perp}^2}{\mu^2} \right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a \left( \begin{array}{c} \gamma = -\ell \epsilon \end{array} \right).$$

The  $\gamma$ -transform of the soft function:

$$\tilde{S}_a(\gamma) \sim \int \frac{\mathsf{d}^{2-2\epsilon} q'_{\perp}}{q'_{\perp}^2} \left(\frac{q'_{\perp}^2}{\mu^2}\right)^{\gamma} S_a(q_{\perp}, q'_{\perp}, \epsilon).$$



#### Leading order soft function calculated from



is IR finite for  $\gamma \neq 0$ :

$$\begin{split} \tilde{S}_2^{\text{LO}}(\gamma) &= \alpha_s^2 n_f T_F \Big(\frac{\nu}{x_b P^-}\Big) \bigg(\frac{\pi^2 \left(-3 \gamma^2 + 3 \gamma + 2\right) \csc^2 \left(\pi \gamma\right)}{8 \Gamma \left(\frac{5}{2} - \gamma\right) \Gamma \left(\frac{3}{2} + \gamma\right)} \bigg) + \mathcal{O}(\epsilon) \,, \\ \tilde{S}_L^{\text{LO}}(\gamma) &= \alpha_s^2 n_f T_F \bigg(\frac{\nu}{x_b P^-}\bigg) \bigg(\frac{\pi^2 \left(-\gamma + 1\right) \csc^2 \left(\pi \gamma\right)}{4 \Gamma \left(\frac{5}{2} - \gamma\right) \Gamma \left(\frac{3}{2} + \gamma\right)} \bigg) + \mathcal{O}(\epsilon) \,. \end{split}$$

In the convolution the collinear function forces us to set  $\gamma = -\ell\epsilon$ ,

$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left( \frac{q_{\perp}^2}{\mu^2} \right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a \left( \gamma = -\ell \epsilon \right).$$

which implies

$$\lim_{\epsilon \to 0} \tilde{S}_2^{\text{LO}} \left( -\ell \epsilon \right) = \frac{2\alpha_s^2 n_f T_F}{3\pi} \frac{1}{(\ell+1)(\ell+2)} \left( \frac{1}{\epsilon^2} + \frac{2}{\epsilon} + \mathcal{O}(\epsilon^0) \right),$$

$$\lim_{\epsilon \to 0} \tilde{S}_L^{\text{LO}} \left( -\ell \epsilon \right) = \frac{2\alpha_s^2 n_f T_F}{3\pi} \frac{1}{(\ell+1)} \left( -\frac{1}{\epsilon} + \mathcal{O}(\epsilon^0) \right).$$

The  $\tilde{S}_a$  soft function will also contribute to the PDF despite being a vacuum matrix element.

In the convolution the collinear function forces us to set  $\gamma = -\ell\epsilon$ ,

$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left( \frac{q_{\perp}^2}{\mu^2} \right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a \left( \gamma = -\ell \epsilon \right).$$

We find that for  $\gamma \neq 0$ ,  $\tilde{S}_L$  and  $\tilde{S}_2$  are proportional to the off-shell cross section that appear in [CH94]:

$$\tilde{S}_{2}(\gamma, \epsilon = 0) = \left(\frac{\nu}{x_{b}P^{-}}\right)\alpha_{s}\frac{h_{2}(\gamma)}{\gamma^{2}}, \qquad (1)$$

$$\tilde{S}_{L}(\gamma, \epsilon = 0) = \left(\frac{\nu}{x_{b}P^{-}}\right)\alpha_{s}\frac{h_{L}(\gamma)}{\gamma}.$$

This is not the right limit for us and the full  $\epsilon$  dependence is needed to perform small- $x_b$  resummation.

> In [CH94] these IR divergences were separately captured in the gluon and quark Green's functions.

# Leading log small- $x_b$ resummation

Setting  $\nu = \nu_S$  trivializes rapidity logs in the soft function:

$$\frac{1}{x_b}F_a^\kappa(x_b,Q^2) = \int \mathsf{d}^{d-2}q_\perp' S_a\big(1,q_\perp,q_\perp',\epsilon\big) C_\kappa\big(x_b,q_\perp',\epsilon\big)$$

Mellin space :

$$ar{C}_{\kappa}ig(n,q'_{\perp},\epsilonig) = rac{c_{\kappa}}{n\pim{q}'^{2}_{\perp}} + rac{ar{lpha}_{s}\iota^{\epsilon}}{n}K\otimes_{\perp}ar{C}_{\kappa}ig(n,q'_{\perp},\epsilonig) \ , \quad c_{\kappa} = C_{F},C_{A}\,, \quad ar{lpha}_{s} = rac{lpha_{s}C_{A}}{\pi}$$

Solve for  $\bar{C}_{\kappa}$  as a power series as before:

$$\bar{C}_{\kappa,\mathsf{LL}}(n,q'_{\perp},\epsilon) = \frac{1}{n} \frac{c_{\kappa}}{\pi \boldsymbol{q}'^{2}_{\perp}} \sum_{\ell=0}^{\infty} c_{\ell+1}(\epsilon) \left( \frac{\bar{\alpha}_{s}}{n} \frac{e^{\epsilon \gamma_{E}}}{\Gamma(1-\epsilon)} \left( \frac{\boldsymbol{q}'^{2}_{\perp}}{\mu^{2}} \right)^{-\epsilon} \right)^{\ell}, \qquad c_{\ell}(\epsilon) = \frac{1}{\ell!} \left( \frac{-1}{\epsilon} \right)^{\ell} \left( 1 + \mathcal{O}(\epsilon^{2}) \right)$$

Now include the soft contribution to arrive at small- $x_b$  resummed structure functions:

$$\bar{F}_{a,\mathrm{LL}}^{\kappa}(n,Q^2) = \frac{c_{\kappa}}{n\pi} \left(\frac{q_{\perp}^2}{\mu^2}\right)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a,\ell+1}(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon \gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{q_{\perp}^2}{\mu^2}\right)^{-\epsilon}\right)^{\ell} \ , \quad d_{a,\ell+1}(\epsilon) \equiv c_{\ell+1}(\epsilon) \tilde{S}_a(1,-\ell\epsilon,\alpha_s,\epsilon)$$

## **Outline**

#### Introduction

The small-x region and the BFKL equation LL resummation by Catani and Hautmann

#### EFT modes and power counting

#### Small-x factorization from Glauber SCET

Factorization formula
Collinear function & BFKL evolution
IR divergences

#### **BFKL & DGLAP resummation**

Consistency with twist factorization BFKL resummation of  $F_2$  and  $F_L$  Comparison with previous work

#### Backup slide:



# Small-x vs. twist expansion

Here we are dealing with two different power expansions simultaneously:

$$\lambda \sim x_b$$
 and  $\lambda' \sim rac{\Lambda_{
m QCD}}{Q}$  .

#### Key subtleties:

- Small-x<sub>b</sub> and twist expansions do not commute.
- Both expansions have terms that are leading power in one but subleading in the other.

Consider the fixed order series: Leading twist-2 contributions at  $\mathcal{O}(\alpha_s^0)$  and  $\mathcal{O}(\alpha_s)$  are actually power suppressed in  $x_b$ -expansion. For example,

$$H_L^{(g)}(x) \sim \left[\alpha_s x(1-x)\right] + \mathcal{O}(\alpha_s^2) \qquad \Leftrightarrow \qquad \bar{H}_L^{(g)}(x) \sim \left[\alpha_s \left(\frac{1}{n+2} - \frac{1}{n+3}\right)\right] + \mathcal{O}(\alpha_s^2)$$

Thus in connecting with the twist expansion we will have to include power suppressed pieces. (See an illustration in the backup.)

## BFKL Resummation of $F_L$

We set  $\mu^2=Q^2$  and start with formula involving unknown pieces (HP = higher power)

$$\bar{F}_{L,\mathrm{HP}}^g \ + \bar{F}_{L,\mathrm{LL}}^g(n) = \ \bar{H}_L^{(g)}\Big(n, \frac{Q^2}{\mu^2} = 1, \alpha_s\Big) \bar{\Gamma}_{gg} \left(\alpha_s, n\right) \ . \label{eq:FLHP}$$

Parameterize the the terms we want to determine for LL results as

$$\begin{split} \bar{H}_L^{(g)} &= \frac{\alpha_s}{\pi} \sum_{k=0}^\infty \epsilon^k h_{L,g}^{(0,k)} + \frac{\alpha_s}{\pi} \sum_{\ell=1}^\infty \left(\frac{\alpha_s}{\pi n}\right)^\ell \sum_{k=0}^\infty \epsilon^k h_{L,g}^{(\ell,k)} \,, \\ \gamma_{gg} &= \sum_{\ell=1}^\infty \gamma_{gg,\ell-1} \Big(\frac{\bar{\alpha}_s}{\pi}\Big)^\ell \,, \\ \bar{F}_{L,\mathrm{HP}}^g &= \frac{\alpha_s}{\pi} \sum_{l=1}^\infty \epsilon^k f_{L,g}^{(k)} \,. \end{split}$$

We have truncated the higher power pieces to  $\mathcal{O}(\alpha_s)$  which is sufficient for LL resummation in small- $x_b$ .

## BFKL Resummation of $F_L$

We set  $\mu^2 = Q^2$  and start with formula involving unknown pieces (HP = higher power)

$$\bar{F}_{L,\mathrm{HP}}^g \ + \bar{F}_{L,\mathrm{LL}}^g(n) = \ \bar{H}_L^{(g)}\Big(n, \frac{Q^2}{\mu^2} = 1, \alpha_s\Big) \bar{\Gamma}_{gg} \left(\alpha_s, n\right) \ . \label{eq:flower}$$

By sequentially comparing the coefficients of  $(\alpha_s/\epsilon)^{\ell}$ ,  $\alpha_s(\alpha_s/\epsilon)^{\ell}$ , ... terms we find

$$\begin{split} \gamma_{gg} &= \frac{\bar{\alpha}_s}{n} + 2\zeta_3 \left(\frac{\bar{\alpha}_s}{n}\right)^4 + \dots, \\ \bar{H}_L^{(g)} &= \frac{2\alpha_s n_f T_F}{3\pi} \left(1 - \frac{1}{3}\frac{\bar{\alpha}_s}{n} + \left(\frac{34}{9} - \zeta_2\right) \left(\frac{\bar{\alpha}_s}{n}\right)^2 + \left(-\frac{40}{27} + \frac{\pi^2}{18} + \frac{8}{3}\zeta_3\right) \left(\frac{\bar{\alpha}_s}{n}\right)^3 + \dots\right), \\ \bar{F}_{L, \mathsf{HP}}^g &= \frac{2\alpha_s n_f T_F}{3\pi} \left(1 + 3\epsilon + \left(6 - \frac{1}{2}\zeta_2\right)\epsilon^2 + \left(12 - \frac{\pi^2}{4} - \frac{7}{3}\zeta_3\right)\epsilon^3 + \dots\right). \end{split}$$

- ✓ Series agree with LL results in Catani and Hautmann [CH94]. Interestingly, we simultaneously determine the LL results for  $\gamma_{gg}$  and  $\bar{H}_L^{(g)}$ .
- √ We determined the unknown power suppressed pieces self-consistently!
- $\checkmark$   $F_{L \text{ HP}}^g$  has no IR poles  $\rightarrow$  All the poles in  $F_L$  channel generated through BFKL evolution.



## Resummation of $F_2$

For  $F_2$ , we write

$$\bar{F}^g_{2, {\rm HP}} \ + \bar{F}^g_{2, {\rm LL}}(n) = 2 n_f \ \bar{\Gamma}_{qg} \ + \ \bar{H}^{(g)}_2 \ \bar{\Gamma}_{gg}$$

Following the same steps as before, we find

$$\begin{split} \gamma_{qg} &= \frac{\alpha_s T_F}{3\pi} \left( 1 + \frac{5}{3} \frac{\bar{\alpha}_s}{n} + \frac{14}{9} \Big( \frac{\bar{\alpha}_s}{n} \Big)^2 + \Big( \frac{82}{81} + 2\zeta_3 \Big) \Big( \frac{\bar{\alpha}_s}{n} \Big)^3 + \ldots \right), \\ \bar{H}_2^{(g)} &= \frac{\alpha_s n_f T_F}{3\pi} \left( 1 + \Big( \frac{43}{9} - 2\zeta_2 \Big) \frac{\bar{\alpha}_s}{n} + \Big( \frac{1234}{81} - \frac{13}{3}\zeta_2 + \frac{4}{3}\zeta_3 \Big) \Big( \frac{\bar{\alpha}_s}{n} \Big)^3 + \ldots \right), \\ \bar{F}_{2, \text{HP}}^g &= \frac{\alpha_s n_f T_F}{3\pi} \left( -\frac{2}{\epsilon} \right) + 1 + (1 + \zeta_2)\epsilon + \Big( 1 - \frac{1}{2}\zeta_2 + \frac{14}{3}\zeta_3 \Big) \epsilon^2 + \ldots \right). \end{split}$$

The IR pole in  $\bar{F}_{2,HP}^g$  does not result from BFKL evolution. This required [CH94] to introduce a new auxiliary object, the quark Green's function (see backup). For us it results straightforwardly from our soft function  $\tilde{S}_2$ .

## Comparison with previous work

#### > Objects in factorization:

- [CH94] Made use of off-shell cross sections which can only be guaranteed to be gauge invariant at leading order.
  - here Employed individually gauge invariant (to all orders) collinear and soft functions.
  - > Resummation of  $F_L$  vs.  $F_2$ :
- [CH94] Needed to define a separate quark Green's function for  $F_2$ 
  - here Resummation of both  $F_2$  and  $F_L$  follow from the same soft function.
  - > Manifest power counting
- [CH94] Included  $\mathcal{O}(\alpha_s)$  higher power pieces from the beginning.
  - here The resummed structure function  $\bar{F}_{a,\text{LL}}^{\kappa}$  is manifestly leading power. We could self-consistently determine the power suppressed pieces by demanding consistency with twist factorization.
  - NLO computation
- [CC99] Calculated *impact factor* analogous to our collinear function, but required a careful subtraction of Green's function pieces, inducing factorization scheme dependencies.
  - here Computation of factorized functions in our formalism follow straightforwardly from operator definitions. No process or factorization scheme dependence.



## Conclusion

- We have shown how to construct from the SCET framework with Glauber interactions
  - small-x<sub>b</sub> factorization to NLL.
  - and resummation done explicitly to LL.
- Factorization involves a universal collinear function. Such universality is not obvious in the traditional approach.
- Advantages of the EFT approach:
  - Factorization functions gauge invariant to all orders.
  - No separate Green's functions needed to be calculated.
  - Off-shell cross sections replaced by one soft function  $S^{\alpha\beta}$  for all DIS channels.
  - Manifest power counting.
  - No factorization or scheme dependencies.
  - Universal, process independent, collinear-function.
- This work provides a new framework for extending resummed calculations for coefficient functions and anomalous dimensions to higher logarithmic orders.



# Thank you!

#### Contact

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## **Outline**

#### Introduction

The small-*x* region and the BFKL equation LL resummation by Catani and Hautmann

#### EFT modes and power counting

#### Small-x factorization from Glauber SCET

Factorization formula
Collinear function & BFKL evolution
IR divergences

#### **BFKL & DGLAP resummation**

Consistency with twist factorization BFKL resummation of  $F_2$  and  $F_L$  Comparison with previous work

## Backup slides



# **Backup**



# Resummation of $\gamma_{qg}$ by Catani and Hautmann [CH94]

For  $F_2$  structure function, they showed

$$\gamma_{gg}(N,\alpha_s)\bar{H}_2^{(g)}(n,Q^2/\mu^2=1,\alpha_s)+2n_f\gamma_{qg}(\alpha_s,n)=h_2(\gamma)R(n,\alpha_s),$$

where

$$h_2(\gamma) = \gamma \int_0^\infty \frac{\mathrm{d} \boldsymbol{k}_\perp^2}{\boldsymbol{k}_\perp^2} \left( \frac{\boldsymbol{k}_\perp^2}{Q^2} \right)^\gamma \frac{\partial}{\partial \ln Q^2} \hat{\sigma}_2^g \left( \frac{\boldsymbol{k}_\perp^2}{Q^2}, \alpha_s, \epsilon = 0 \right).$$

Notice that they needed to take  $\ln Q^2$  derivative as  $\hat{\sigma}_2^g$  is not collinear safe. The structure of IR divergences in  $\gamma_{qg}$  gets polluted by  $1/\epsilon$  divergence in  $\hat{\sigma}_2^g$ , so define a new *quark Green function*:

$$G_{qg}^{(0)}ig(n,lpha_s,\epsilonig) = \int \mathsf{d}^{d-2}m{k}_\perp \, \hat{K}_{qg}igg(rac{m{k}_\perp^2}{Q^2},lpha_s,\mu,\epsilonigg) \mathcal{F}_g^{(0)}ig(n,m{k}_\perp,lpha_s,\mu,\epsilonigg) \,.$$

 $K_{qg}$  includes the  $1/\epsilon$  pole associated with  $\hat{\sigma}_2^g$  (same as what we saw in  $\bar{F}_{2,\mathrm{HP}}^g$  above). Consistency with DGLAP resummation then enables determination of  $\gamma_{qg}$  anomalous dimension using  $G_{qg}^{(0)}$ , although not in a closed form as in  $\gamma_{gg}$ .



# How do IR poles exponentiate?

After resumming the leading  $(\bar{\alpha}_s/n)^{\ell}$  terms:

$$\bar{F}_{a,\mathrm{LL}}^{\kappa}(n,Q^2) = \frac{c_{\kappa}}{n\pi} \Big(\frac{\boldsymbol{q}_{\perp}^2}{\mu^2}\Big)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a,\ell+1}(\epsilon) \bigg(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon \gamma_E}}{\Gamma(1-\epsilon)} \Big(\frac{\boldsymbol{q}_{\perp}^2}{\mu^2}\Big)^{-\epsilon}\bigg)^{\ell}$$

In twist expansion the bare structure function (in dim-reg) factorizes as

$$\bar{F}_p^{\kappa}(n,Q^2) = \sum_{\kappa'} \bar{H}_p^{(\kappa')} \left( n, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) \bar{\Gamma}_{\kappa'\kappa} \left( \alpha_s(\mu^2), n \right) + \mathcal{O}\left( \frac{\Lambda_{\text{QCD}}^2}{Q^2} \right).$$

In the fixed coupling approximation the partonic PDF is

$$\bar{\Gamma}_{\kappa'\kappa}\big(\alpha_s(\mu^2),n\big) = \mathsf{P} \exp\bigg(-\frac{1}{\epsilon} \int_0^{\alpha_s(\mu^2)} \frac{\mathsf{d}\alpha}{\alpha} \pmb{\gamma}^s(\alpha,n)\bigg)_{\kappa'\kappa} \,.$$

For parton  $\kappa \to \kappa'$  it captures the infra-red divergences of the perturbative calculation.



# How do IR poles exponentiate?

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$$\bar{F}_{a,\mathrm{LL}}^{\kappa}(n,Q^2) = \frac{c_{\kappa}}{n\pi} \Big(\frac{\boldsymbol{q}_{\perp}^2}{\mu^2}\Big)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a,\ell+1}(\epsilon) \bigg(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon \gamma_E}}{\Gamma(1-\epsilon)} \Big(\frac{\boldsymbol{q}_{\perp}^2}{\mu^2}\Big)^{-\epsilon}\bigg)^{\ell}$$

Let us illustrate how the leading  $(\alpha_s/\epsilon)^\ell$  IR poles exponentiate. The  $d_{a,\ell}$  coefficients for a=L behave as

$$\frac{1}{n} \left( \frac{\bar{\alpha}_s}{n} \right)^{\ell} d_{L,\ell+1}(\epsilon) = \frac{2\alpha_s n_f T_F}{3\pi} \left[ \frac{1}{(\ell+1)!} \left( -\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n} \right)^{\ell+1} + \mathcal{O}(\epsilon^{-\ell}) \right]$$

Thus,

$$\begin{split} \bar{F}_{L,\mathrm{LL}}^g(n) + \left[ \frac{2\alpha_s n_f T_F}{3\pi} \right] &= \frac{2\alpha_s n_f T_F}{3\pi} \left[ \sum_{\ell=0}^\infty \frac{1}{\ell!} \left( -\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n} \right)^\ell \left( 1 + \mathcal{O}(\epsilon) \right) \right] \\ &= \frac{2\alpha_s n_f T_F}{3\pi} \exp\left( -\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n} \right) \left( 1 + \mathcal{O}\left( \frac{\bar{\alpha}_s}{n} \right) \right) + \mathcal{O}\left( \frac{1}{\epsilon} \left( \frac{\bar{\alpha}_s}{n} \right)^2 \right) \end{split}$$

Necessary to add by hand the  $\mathcal{O}(\alpha_s)$  term to factorize IR divergences.



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