## **Planck Constraints and Gravitational Wave Forecasts for PBH Dark Matter seeded by Multifield Inflation \***

### Sarah Geller, Center for Theoretical Physics, MIT New Horizons in Primordial Black Hole Physics (NEHOP) June 2023

The Multifield Inflation/PBH research group:



Shyam Balaji, **CNRS & LPTHE** 



Sarah Geller, MIT



David Kaiser, MIT



**Evan McDonough University of Winnipeg** 

**Sarah Geller** 

Primordial Black Holes from Multifield Inflation with Non-minimal Couplings



Wenzer Qin, MIT

\*(See: 2205.04471, 2303.02168)









## **The Big Picture**

### Larger question: What is dark matter made of?

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Idea: Dark matter could be made up of primordial black holes (PBHs) !

- PBHs form in the very early universe (  $\leq O(1)$  second) from direct collapse of overdensities.



## **Primordial Black Holes as Dark Matter**



Non-interacting to good approximation

**Massive Compact Halo Objects (MACHOs)** 

Wide range of possible PBH masses allowed from collapse of primordial over-densities

Avoid need to posit one or more BSM fields (aside from inflaton)

 $f_{\rm PBH}$ 

**PBHs in this mass range** could constitute  $\mathcal{O}(1)$  fraction of Dark Matter,

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**PBHs from Multifield Inflation with Non-minimal Couplings** 

## and calculations!





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**Larger question:** What is dark matter *made of?* 

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### **Problem:**

account for any sizable fraction of the dark matter.

There are both observational and theoretical constraints on the mass ranges of PBHs that could

## **The Big Picture**

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### **Our Specific Questions:**

- **1.** Are primordial black holes a generic prediction of inflationary models?
- 2. What is the predicted gravitational wave (GW) spectrum from this PBH production and is it observable with current or forthcoming detectors?

There are both observational and theoretical constraints on the mass ranges of PBHs that could

### **Primordial Black Holes from Critical Collapse**

Curvature perturbations decompose into modes with freq. k

Cross outside Hubble horizon before end of inflation k<aH ("Super-Hubble")

"freeze out"



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For  $\delta = \frac{\delta \rho}{-} > \delta_{\rm C}$ , PBH will form at time  $t_c$  for mode with wavenumber  $k_{\text{PBH}} = a(t_c)H(t_c)$ 

**Corresponds to** threshold for  $\mathscr{P}_{\mathbf{R}}(\mathbf{k}_{\mathsf{PBH}}) \geq 10^{-3}$ 

Mass distribution centered around  $M = \gamma M_{\rm H}(t_{\rm C}), \ \gamma \sim .2$ 

Cross back into Hubble patch when k=aH k>aH "Sub-Hubble"

 $M_{\rm H}(t_{\rm C}) \equiv {\rm mass within}$ Hubble volume at  $t_{\rm C}$ 

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## **Model and Methods**

**Model:** A generic inflationary potential with multiple (2) scalar fields and non-minimal couplings to gravity.

**Multifield action** 

 $\tilde{S} = \int d^4 x \sqrt{-\tilde{g}} \left[ f\left(\phi^I\right) \tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \partial_{\mu} \phi^I \partial_{\nu} \phi^J - \tilde{V}\left(\phi^I\right) \right]$ 

### **Non-minimal coupling**

$$f(\phi^{I}) = \frac{1}{2} \left[ M_{\text{pl}}^{2} + \sum_{I=1}^{N} \xi_{I}(\phi^{I}(x^{\mu}))^{2} \right]$$

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Impose a few additional symmetries to limit number of degrees of freedom in field space.

**Potential** is characterized by functions  $\mathscr{B}, \mathscr{C}, \mathscr{D}$ depending on 5 parameters:  $\xi, b, c_1, c_2, c_4$ 

$$V(r,\theta) = \frac{1}{4f^2(r,\theta)} \left( \mathcal{B}(\theta)r^2 + \mathcal{C}(\theta)r^3 + \mathcal{D}(\theta)r^4 \right)$$

**Non-minimal coupling** 



## **Parameter Space Degeneracy Directions**

### **Interplay of parameters leads to degeneracies**

Vary one parameter at time, get back to a self-similar potential with different values of the parameters:



## **Parameter Space Degeneracy Directions**

### Why does it matter to find degenerate regions of parameter space? Essentially: helps to answer question of how generic a feature DM PBHs are in these models



- N

Degeneracy is a statement about observables, determined by the power spectrum

Define as degenerate if total  $\Delta \chi^2 < .01$ 

Multifield models allow for degeneraciesless well-constrained.

Degeneracies aren't perfect: have finite extent—so they do impact the likelihoods.





## (Sky)walkers conduct random walks to space Tatooine.

(Degenerate = total  $\Delta \chi^2 < .01$ ) (Sky)walkers conduct random walks to map degenerate regions of the parameter



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Compare with Planck 2018 CMB temperat as Dark Matter.

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### Compare with Planck 2018 CMB temperature & polarization data and constraints on PBHs



(Sky)walkers conduct random walks to space Tatooine.

Compare with Planck 2018 CMB temperature & polarization data and constraints on PBHs as Dark Matter.

CMB constraints at pivot scale,  $k_*$ . Assum Keck  $A_s(k_*), n_s(k_*), \alpha(k_*), r(k_*)$ 

PBH constraints at Hubble crossing during USR:  $\mathscr{P}_R(k_{PBH}), \Delta N$ . Assume uniform likelihood for  $\mathscr{P}_R(k_{PBH}) \ge 10^{-3}, 14 \le \Delta N \le 25$ 

(Degenerate = total  $\Delta \chi^2$ <.01) (Sky)walkers conduct random walks to map degenerate regions of the parameter

CMB constraints at pivot scale,  $k_*$ . Assume a Gaussian likelihood over Planck/BICEP/



Constraints from requiring PBH DM and satisfying Planck 2018 data

Parameter	Constraint		
b	$-1.87(-1.73)^{+0.09}_{-0.11} \times 10^{-4}$		
$c_1$	$2.61(2.34)^{+0.24}_{-0.17}  imes 10^{-4}$		
$c_2$	$3.69(3.42)^{+0.22}_{-0.16} imes 10^{-3}$		
<b>C</b> 4	$4.03(3.75)^{+0.24}_{-0.17}  imes 10^{-3}$		
$n_s(k_*)$	$0.952(0.956)^{+0.002}_{-0.003}$		
$\log(10^{10}A_s)$	$3.049(3.048)^{+0.001}_{-0.001}$		
$N_*$	$58.8(60.0)^{+1.2}_{-2.2}$		
$lpha(k_*)$	$-0.0012  (-0.0010)^{+0.0001}_{-0.0002}$		
$r(k_*)$	$0.019(0.016)^{+0.002}_{-0.001}$		
$b/c_2$	$-5.04(-5.05)^{+0.03}_{-0.05} \times 10^{-2}$		
$c_1/c_2$	$7.07(6.84)^{+0.32}_{-0.26}  imes 10^{-2}$		
$c_4/c_2$	$1.091(1.096)^{+0.009}_{-0.008}$		







## **Gravitational Wave Forecasts from PBH formation**

Scalar mode perturbations that give rise to PBHs will contribute to the GW spectrum at second order

Gravitational waves induced by linear scalar modes at second order have dimensionless spectral density today:

$$\Omega_{\rm GW,0}h^2 \approx 1.62 \times 10^{-5} \left(\frac{1}{24} \left(\frac{k}{aH}\right)^2 \overline{P_h(k,\eta)}\right)$$

 $\xi = 100, b = -1.8 \times 10^{-4}, c1 = 2.5 \times 10^{-4},$  $c_2 = 3.570913 \times 10^{-3}, c_4 = 3.9 \times 10^{-3}$ 

Spectral Density v Power Integrated Sensitivity



## **Gravitational Wave Forecasts from PBH formation**

Scalar mode perturbations that give rise to PBHs will contribute to the GW spectrum at second order



Gravitational waves induced by linear scalar modes at second order have dimensionless spectral density today:



# Conclusions: What can we say about how likely PBHs in DM range are in these models?

Beginning with a generic multifield inflation model and allowing for non-minimal gravitational couplings, we find a robust region of the parameter space in our model that is compatible with *Planck* data and can produce PBHs in the light asteroid-mass range.

Constraints on allowed regions in parameter space are driven mostly by fitting  $n_s$  (Gaussian tail on one end of posterior distribution) and  $N_*$  (sharp cutoff at other end).

Parameters of model are constrained at pprox 10% but degeneracy direction leads to fine-tuned ratios of parameters at percent level.

Most constraining quantity is  $n_s$  with error bars at  $\sim 1 \%$  thus relative fine-tuning of parameters to match both PBH and CMB constraints is  $\mathcal{O}(10^{-5})$ .



## **Questions and Answers**

1.how does the conformal transformation on field space actually work? 4. Does inflation itself require fine tuning of initial conditions? 5. more about the motivation for non-minimal couplings. 6. when is quantum diffusion a problem during USR? 7. say more about reheating in MFI models? 8.what are the non-Gaussianities in your models like? what is f {NL}? 9.how many observables vs dof do you have (ignoring the GWs for the moment?) 10. Did you marginalize over the reheating histories? How do you fit N {\*}?

- 2. what are other possible/removed constraints on the parameter space of \omega\_{PBH DN
- 3. what is the UV (SUGRA) embedding for this class of models? how is the EFT derived?



## **Parameter Space Orthogonal Directions** 5 super-sets: same color = degeneracy direction, changing colors= orthogonal direction

•  $\Delta \chi^2 \sim 0.0$  •  $\Delta \chi^2 \sim 0.4$  •  $\Delta \chi^2 \sim 0.7$ •  $\Delta \chi^2 \sim 1.2$  •  $\Delta \chi^2 \sim 1.6$ 





## Parameter Space Orthogonal Directions





### The D-dim Conformal Transformation from Jordan Frame $\rightarrow$ Einstein Frame (1)

Jordan Frame Action: 
$$\tilde{S} = \int d^{D}x \sqrt{-\tilde{g}} \left[ f(\phi^{1} \dots \phi^{N})\tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \tilde{\nabla}_{\mu} \phi^{I} \tilde{\nabla}_{\nu} \phi^{J} - \tilde{V}(\phi^{1} \dots \phi^{N}) \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right]$$
  
Conformal transformation:  $\Omega^{D-2}(\mathbf{x}) = \frac{2}{M_{(D)}^{D-2}} \mathbf{f}[\phi(\mathbf{x})]$  Transforms metric as:  $\tilde{g}_{\mu\nu} \rightarrow g_{\mu\nu} = \Omega^{2}(x)g_{\mu\nu} = g^{\mu\nu}$   
 $g^{\mu\nu} = \Omega^{-2} \tilde{g}^{\mu\nu}$  and  $\sqrt{-g} = \Omega^{D}(x)\sqrt{-\tilde{g}}$  (in our 2-field model,  $M_{(2)} = M_{pl}$ )  
 $\Gamma_{bc}^{a} = \tilde{\Gamma}_{bc}^{a} + \frac{1}{\Omega} \left[ \delta_{b}^{a} \nabla_{c} \Omega + \delta_{c}^{a} \nabla_{b} \Omega - g_{bc} \nabla^{a} \Omega \right], \quad \Box \Omega = \frac{1}{\sqrt{-g}} \partial_{\mu} \left[ \sqrt{-g} g^{\mu\nu} \partial_{\nu} \Omega \right] \quad R = \frac{1}{\Omega} \left[ \tilde{R} - \frac{2(D-1)}{\Omega} \Box \Omega - (D-1)(D-4) \frac{1}{\Omega^{2}} g^{\mu\nu} \nabla_{\mu} \Omega \nabla_$ 

$$-\overline{g}\left[f(\phi^{1}\dots\phi^{N})\overline{R} - \frac{1}{2}\delta_{IJ}\overline{g}^{\mu\nu}\overline{\nabla}_{\mu}\phi^{I}\overline{\nabla}_{\nu}\phi^{J} - \overline{V}(\phi^{1}\dots\phi^{N})\right], \quad f(\phi) = \frac{1}{2}\left[M_{0}^{D-2} + \frac{1}{2}M_{0}^{D-2}\right]$$

$$\Omega^{D-2}(\mathbf{x}) = \frac{2}{M_{(D)}^{D-2}}\mathbf{f}[\phi(\mathbf{x})] \quad \text{Transforms metric as:} \quad \widetilde{g}_{\mu\nu} \to g_{\mu\nu} = \Omega^{2}(x)g_{\mu\nu} \Longrightarrow$$

$$P^{D}(x)\sqrt{-\widetilde{g}} \quad (\text{in our 2-field model}, M_{(2)} = M_{pl})$$

$$\mathbb{I}\Omega = \frac{1}{\sqrt{-g}}\partial_{\mu}\left[\sqrt{-g}g^{\mu\nu}\partial_{\nu}\Omega\right] \quad R = \frac{1}{\Omega}\left[\overline{R} - \frac{2(D-1)}{\Omega}\Box\Omega - (D-1)(D-4)\frac{1}{\Omega^{2}}g^{\mu\nu}\nabla_{\mu}\Omega\nabla_{\mu$$

$$\text{rdan Frame Action:} \quad \tilde{S} = \int d^{D}x \sqrt{-\tilde{g}} \left[ f(\phi^{1} \dots \phi^{N})\tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \tilde{\nabla}_{\mu} \phi^{J} \tilde{\nabla}_{\nu} \phi^{J} - \tilde{V}(\phi^{1} \dots \phi^{N}) \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + \frac{1}{2} \left[ M_{0}^{D-2} + \frac{1}{2} \left[ M_{0}^{D-2} (\mathbf{x}) - \frac{2}{\mathbf{M}_{0}^{D-2}} \mathbf{f} \right] \right] \right]$$

$$\text{conformal transformation:} \quad \left[ \Omega^{D-2} (\mathbf{x}) = \frac{2}{\mathbf{M}_{0}^{D-2}} \mathbf{f} \right]$$

$$\text{Transforms metric as:} \quad \tilde{g}_{\mu\nu} \to g_{\mu\nu} = \Omega^{2} (x) g_{\mu\nu} = \Omega^{2} (x) g_{\mu\nu} = \Omega^{2} (x) g_{\mu\nu} = \Omega^{2} \tilde{g}^{\mu\nu} \text{ and } \sqrt{-g} = \Omega^{D} (x) \sqrt{-\tilde{g}} \qquad \text{(in our 2-field model, } M_{(2)} = M_{pl} \right]$$

$$\Gamma_{bc}^{a} = \tilde{\Gamma}_{bc}^{a} + \frac{1}{\Omega} \left[ \delta_{b}^{a} \nabla_{c} \Omega + \delta_{c}^{a} \nabla_{b} \Omega - g_{bc} \nabla^{a} \Omega \right], \qquad \Box \Omega = \frac{1}{\sqrt{-g}} \partial_{\mu} \left[ \sqrt{-g} g^{\mu\nu} \partial_{\nu} \Omega \right] \qquad R = \frac{1}{\Omega} \left[ \tilde{R} - \frac{2(D-1)}{\Omega} \Box \Omega - (D-1)(D-4) \frac{1}{\Omega^{2}} g^{\mu\nu} \nabla_{\mu} \Omega \nabla \Omega \right]$$

$$\text{Einstein Frame Action:} \qquad \text{Combine to form } \mathcal{G}_{IJ}$$

$$\text{E-H term:} \quad \int d^{D}x \sqrt{-g} \left[ \frac{M_{(D)}^{D-2}}{2} R - \frac{1}{2} \frac{D-1}{D-2} M_{(D)}^{D-2} \frac{1}{f^{2}} g^{\mu\nu} \nabla_{\mu} f \nabla_{\nu} f \right]$$

$$\text{Kinetic terms:} \qquad \int d^{D}x \sqrt{-g} \left[ -\frac{1}{4f} M_{(D)}^{D-2} \delta_{IJ} g^{\mu\nu} \nabla_{\mu} \phi \right]$$

$$\text{rdan Frame Action:} \quad \tilde{S} = \int d^{D}x \sqrt{-\tilde{g}} \left[ f(\phi^{1} \dots \phi^{N})\tilde{R} - \frac{1}{2} \delta_{IJ} \tilde{g}^{\mu\nu} \tilde{\nabla}_{\mu} \phi^{J} \tilde{\nabla}_{\nu} \phi^{J} - \tilde{V}(\phi^{1} \dots \phi^{N}) \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{0}^{D-2} \right], \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + M_{$$

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Institute of



Technology

### The Conformal Transformation from Jordan Frame $\rightarrow$ Einstein Frame (2)

Jordan Frame Action:  $\tilde{S} = \left[ d^D x \sqrt{-g} f(\phi^1 \dots \phi^N) \tilde{R} - \phi^N \right]$ 

 $\mathscr{G}_{II}$  can be put in form  $\delta_{II}$  only if  $R^{I}_{IKL}$  (field space Riemann tensor) vanishes identically

By computing the Ricci scalar, can show every term in it depends on  $\phi^I$  and the Riemann tensor would have to vanish new interactions).

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$$\frac{1}{2} \delta_{IJ} g^{\mu\nu} \nabla_{\mu} \phi^{I} \nabla_{\nu} \phi^{J} - \tilde{V}(\phi^{1} \dots \phi^{N}) , \quad f(\phi) = \frac{1}{2} \left[ M_{0}^{D-2} + \right]$$

- To show that a  $\mathscr{G}_{U}$  can't be put in form  $\delta_{U}$  it suffices to show that the Einstein frame Ricci scalar is nonzero:  $R \neq 0$
- everywhere in field space (OR can happen if only one of the fields is non-minimally coupled, but then potential gets

### Kaiser 1003.1159v2









### **PBHs as Dark Matter: The Available Parameter Space**

### **Constraints from Femto-lensing?**

A Gould (1992) proposed gamma-ray bursts could be used to constrain PBHs in the range  $10^{17} \sim 10^{20}$  g via interference fringes. Later work (Katz et al.) showed constraints should be discounted because 1. gamma ray bursts too large for point sources and 2. need to consider wave optics (Source: Green and Kavanagh 2020)

### **Subaru HSC Constraints?**

"High cadence optical observation of M31 constraints...are weaker than initially found due to finite sources and wave optics effects." (Source: Green and Kavanagh 2020)

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### SUGRA and SUSY Background of Inflaton Potential (1)

Start with  $\mathcal{N} = 1$  4-dimensional supergravity with 2 chiral superfields

$$\Phi(y)^{I} = \Phi(y) + \sqrt{2\theta}\psi(y) + \theta\theta F(y)$$
 One next interval  
complex scalar fermion auxiliary field  
field

 $K(\Phi, \overline{\Phi}) = \sum (\Phi^I - \overline{\Phi}^I)^2$  The potential for the scalar field part of  $W(\Phi, \overline{\Phi})$  is:  $V(\Phi, \bar{\Phi}) = \exp\left(\frac{K(\Phi, \bar{\Phi})}{M^2}\right) \left(\mathcal{G}^{I\bar{J}} \nabla_I W(\Phi) \nabla_{\bar{J}} \bar{W}(\bar{\Phi})\right)$  $M_{\rm pl}^2$ 

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- egrates out the auxiliary fields, get the Lagrangian we

started with:  $\mathscr{L} = \mathscr{G}_{IJ}g^{\mu\nu}\partial_{\mu}\Phi^{I}\partial_{\nu}\bar{\Phi}^{\bar{J}} - V(\Phi,\bar{\Phi})$  With a generic choice of superpotential (linear terms dropped - unless  $\Phi^{I}$  is gauge singlet.)  $\tilde{W} = \mu b_{IJ}\Phi^{I}\Phi^{J} + c_{IJK}\Phi_{I}\Phi_{J}\Phi_{K} + \mathscr{O}\left(\frac{\Phi_{I}^{4}}{M_{\mathsf{pl}}}\right)$  $= b_{1}(\Phi_{1})^{2} + b_{2}(\Phi_{2})^{2} + c_{1}(\Phi_{1})^{3} + c_{2}(\Phi_{1})^{2}\Phi_{2} + c_{3}\Phi_{1}(\Phi_{2})^{2} + c_{4}(\Phi_{2})^{3} + \mathscr{O}\left(\frac{\Phi_{I}^{4}}{M_{\mathsf{pl}}}\right)$ In (local) SUGRA we also choose a Kähler potential (such that imaginary part of  $\Phi^{I}$  remains heavy/decoupled)

$$) - \frac{3}{M_{\text{pl}}^2} W(\Phi) \bar{W}(\bar{\Phi}) \qquad \text{where} \quad \nabla_I = \partial_I + \frac{1}{M_{\text{pl}}^2} K_{,I}$$
  
(McDonough,Long,Kolb), (Linde), (Bertolar





### SUGRA and SUSY Background of Inflaton Potential (2)

$$V(\Phi,\bar{\Phi}) = \exp\left(\frac{K(\Phi,\bar{\Phi})}{M_{\text{pl}}^2}\right) \left(\mathscr{G}^{I\bar{J}}\nabla_I W(\Phi)\nabla_{\bar{J}}\bar{W}(\bar{\Phi}) - \frac{3}{M_{\text{pl}}^2}W(\Phi)\bar{W}(\bar{\Phi})\right) \quad \text{where} \quad \nabla_I = \partial_I + \frac{1}{M_{\text{pl}}^2}K_{,I}$$

Take the limit of  $V(\Phi, \bar{\Phi})$  as  $\frac{|\Phi^I|^2}{M_{pl}^2} \to 0$  to get the expression for  $V(\phi)$ . The  $\psi$  dependence drops out because of the choice of Kähler potential which makes the imaginary part of the complex scalar field heavy- it decouples for all of

inflation.

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PBHs from Multifield Inflation with Non-minimal Couplings

(McDonough,Long,Kolb), (Linde), (Bertolami, Ross)







## **Does Inflation Itself Require Fine-Tuning of the Initial Conditions?**

eg. a smooth patch of size  $r > r_{\rm H} \sim \frac{1}{L}$ ? Numerical simulations have been done but are limited by difficulty of putting

these simulations onto computers. Most are 1+1 dimensional.

Some 3+1 dimensional Numerical Relativity Sims have been done recently e.g. Clough, Lim, Flauger 1712.07352



Large-field inflation is robust even amid large initial inhomogeneities; small-field inflation requires more special initial conditions, but is still more robust than analytic estimates had suggested. Source: David Kaiser Jan. 2021

For recent review of Inflation see: Inflation after Planck: Judgement Day Chowdhury, Martin, Ringeval, Vennin

Work by Kaiser, Fitzpatrick, Bloomfield, Hilbert (arXiv:1906.08651) simulated



### More on the non-minimal couplings...

### **1.** Why isn't $\xi = -1/6$ ?

-1/6 is a fixed point of the  $\beta$ -function, but any nonzero value will work for renormalization. If we start with  $\xi \neq -1/6$  then the RG  $\implies \xi$  will run to higher values in the UV. If at tree level,  $\xi = -1/6$ , it will stay there for any energy scale.

2. How does renormalization work in this context?

Renormalization of a QFT is possible in a **fixed** curved background, not in dynamical curved background.

IF we set aside renormalization of the gravitational sector, and consider an EFT for self interacting scalar fields in 3+1 dimensions, then we must include the  $f(\phi)\tilde{R} \in \mathscr{L}$  and  $\xi$  can be any dimensionless free parameter

$$\mathcal{L} \ni f(\phi)\tilde{R} \sim \left( M^2 + \sum_I \xi_I(\phi^I)^2 \right) \tilde{R}$$



## More on the non-minimal couplings...

3. Why do we only consider non-negative values of  $\xi_{\phi}, \xi_{\gamma}$  in our models?

If we allowed one coupling  $\xi_K$  to have sign $(\xi_K) \neq \text{sign}(\xi_I)$  for all  $I \neq K$ , then there exists a value of  $\Omega(x)$  such that  $\Omega(x) = 0$  for  $\phi \neq 0 \implies$  conformal transformation is not everywhere well defined.

When we perform the conformal transformation, the conformal factor is  $\Omega^2 \sim f(\phi^I) \sim M^2 + \sum_I \xi_I(\phi^I)^2$ .



## **Quantum Diffusion During Ultra-Slow Roll Phase**

Main idea:

1. During Ultra Slow-roll, quantum fluctuations must not make field zoom past the min/max feature ( $V_{\sigma} \simeq 0$ ) too quickly or  $\mathscr{P}_R$  will not get large enough for PBH formation.

2. Also can't have insufficient kinetic energy for the field to classically pass through the local minimum or quantum diffusion effects become dominant

The condition that must be satisfied for us to ignore quantum diffusion effects during slow roll is:

 $\mathcal{P}_R(k) < 1/6$ 

Approach: Back-reaction from quantum fluctuations  $\rightarrow$  variance in kinetic energy density:

$$\langle (\Delta K)^2 \rangle \simeq \frac{3H^4}{4\pi^2} \rho_{\rm kin}$$

Idea: Use  $\Delta E \Delta t \leq \hbar/2$  as bound to determine when system will tunnel. Tunnel to right  $\rightarrow$  restart inflation, tunnel left  $\rightarrow$  first order phase transition ends inflation.

**Sarah Geller** 

### **PBHs from Multifield Inflation with Non-minimal Couplings**

$$(\rho_{kin} = \dot{\sigma}^2/2)$$

- Classical evolution >> Quantum diffusion during ultra slow-roll IF  $\rho_{kin} > \sqrt{\langle (\Delta K)^2 \rangle}$ . Equivalent to





### **Reheating in Multifield Models with Non-minimal couplings**

Reheating has been studied in such models using lattice simulations

Our model  $N_{\rm reh} \sim \mathcal{O}(1)$ e-folds. Between  $t_{end}$  and  $t_{rd}$ , energy red-shifts as  $\rho(t_{\rm rd}) = \rho(t_{\rm end})e^{-3N}$ reh 

$$\Delta N = \frac{1}{2} \log \left[ \frac{2H^2(t_{\text{pbh}})}{H(t_{\text{end}})} e^{-N} \operatorname{reh}^{/4} t_c \right]$$

Radiation domination ( $w \simeq 1/3$ ) within 1-3 e-folds  $\implies 18 \leq \Delta N \leq 25$ 

**Sarah Geller** 

PBHs from Multifield Inflation with Non-minimal Couplings





### **Non-Gaussianities: constraints and our model**

Equation of motion for the Adiabatic Modes:

$$\ddot{Q}_{\sigma} + 3H\dot{Q}_{\sigma} + \left[\frac{k^2}{a^2} + \mathcal{M}_{\sigma\sigma} - \omega^2 - \frac{1}{M_{\text{pl}}^2 a^3} \frac{d}{dt} \left(\frac{a^3 \dot{\sigma}^2}{H}\right)\right] Q_{\sigma}$$

Equation of motion for the Isocurvature Modes:

$$\ddot{Q}_{s} + 3H\dot{Q}_{s} + \left[\frac{k^{2}}{a^{2}} + \mu_{s}^{2}\right]Q_{s} = 4M_{\text{pl}}^{2}\frac{\omega}{\dot{\sigma}}\frac{k^{2}}{a^{2}}(\psi + a^{2}H(\dot{E} - Ba^{-1}))$$

 $f_{NL}$  is defined in terms of power spectrum and bispectrum:

$$f_{\mathsf{NL}}(k_1, k_2, k_3) = \frac{5}{6} \frac{\mathscr{B}_{\zeta}(k_1, k_2, k_3)}{\mathscr{P}_{\zeta}(k_1)\mathscr{P}_{\zeta}(k_2) + \mathscr{P}_{\zeta}(k_2)\mathscr{P}_{\zeta}(k_3) + \mathscr{P}_{\zeta}(k_2)\mathscr{P}_{\zeta}(k_3)}$$

where 
$$\zeta = -\psi - \frac{H}{\dot{\rho}}\delta\rho$$

 $\mu = M_{\text{pl}}, b_1 = b_2 = -1.8 \times 10^{-4}, c_1 = 2.5 \times 10^{-4}, c_4 = 3.9 \times 10^{-3}, \xi_{\phi} = \xi_{\gamma} = 100,$ 

**Sarah Geller** 

PBHs from Multifield Inflation with Non-minimal Couplings











### **Observables and Parameters**

"With four parameters I can fit an elephant and with five I can make him wiggle his trunk" Enrico Fermi to John Von Neumman (<u>https://www.nature.com/articles/427297a</u>)

Observables			
$\mathbf{\Omega}_k$	spatial curvature energy density		
$n_s(k_*)$	spectral index		
$\alpha(k_*)$	running of spectral index		
$r(k_*)$	tensor/scalar ratio		
$\beta_{iso}(k_*)$	isocurvature fraction		
fnl	local non-Gaussianity		
$\mathcal{P}_{R}(k_{pbh})$	peak amplitude of $\mathscr{P}_R$		
$\Delta N$	e-folds before $t_{end}$ when peak first passes outside $-H$		
Sarah Geller PBHs from Multifield Inflation			



n with Non-minimal Couplings



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$\Delta N$	e-folds before $t_{end}$ when peak first passes outside $\frac{1}{H^{0}}$	
Sarah Geller PBHs from Multifield Inflation		

$$V(r,\theta) = \frac{1}{\left(1 + r^2 \left(\xi_{\phi} \cos^2 \theta + \xi_{\chi} \sin^2 \theta\right)\right)^2} \left[\mathscr{B}(\theta)r^2 + \mathscr{C}(\theta)r^3 + \left(1 + r^2 \left(\xi_{\phi} \cos^2 \theta + \xi_{\chi} \sin^2 \theta\right)\right)^2\right]$$

### Parameters

- **Deg. of Freedom**
- Non-minimal couplings:  $\xi_{\phi}, \xi_{\gamma}$ 2
- dimensionless) mass matrix 3 elements:  $b_1, b_2, b_3 (= b_{12})$
- 'Yukawa" couplings:  $c_1, c_2, c_3, c_4$ 4
- Initial conditions:  $r(t_i)$ ,  $\theta(t_i)$ ,  $\dot{r}(t_i)$ ,  $\dot{\theta}(t_i)$ 4



with Non-minimal Couplings







### **Observables and Parameters**

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	Observables 8		
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Sarah Geller PBHs from Multifield Inflation with			

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### Parameters

- Non-minimal couplings:  $\xi_{\phi}, \xi_{\gamma}$
- (dimensionless) mass matrix elements:  $b_1, b_2, b_3 (= b_{12})$
- "Yukawa" couplings:  $c_1, c_2, c_3, c_4$
- Initial conditions:  $r(t_i)$ ,  $\theta(t_i)$ ,  $\dot{r}(t_i)$ ,  $\dot{\theta}(t_i)$

### **Deg. of Freedom**



4

only  $r(t_i)$ 





with Non-minimal Couplings





1



We allow  $N_{CMB} = 55 \pm 5$ models such as those we co

N<sub>CMB</sub> is thus a derived value rather than a parameter.



 $\log(10^{10}A_{s})$ 

N\*

