

NOVA and DUNE Dr Linda Cremonesi

UK HEP Forum 2022 Neutrinos: what? where from? and where to?







Outline



All images produced by Sandbox Studio, Chicago



UK HEP Forum 2022

Neutrinos



UK HEP Forum 2022



Neutrino flavour oscillations





UK HEP Forum 2022





PMNS Parametrisation - 3 flavours

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{+i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

 θ_{ij} : the mixing angles

"Reactor/LBL" Atmospheric Solar $\theta_{23} \sim 45^{\circ}$ $\theta_{13} \sim 8.5^{\circ}$ $\theta_{12} \sim 33^o$ $\Delta m_{12}^2 \sim 7.5 \times 10^{-5} eV^2$ $\Delta m_{32}^2 \sim \pm 2.5 \times 10^{-3} eV^2$ $\delta_{CP}???$



UK HEP Forum 2022

 δ : CP-violating phase α, β : Majorana phases



How much do neutrinos mix?



Jargon alert: is θ_{23} maximal? Upper/Lower octant?



UK HEP Forum 2022

How much do neutrinos mix?



Jargon alert: is θ_{23} maximal? Upper/Lower octant?



UK HEP Forum 2022



How much do neutrinos mix?



Jargon alert: is θ_{23} maximal? Upper/Lower octant?

Do neutrinos and antineutrinos oscillate in the same way?



Jargon alert: is $\delta_{CP} \neq 0$?



UK HEP Forum 2022



How much do neutrinos mix?



Jargon alert: is θ_{23} maximal? Upper/Lower octant?

Do neutrinos and antineutrinos oscillate in the same way?



Jargon alert: is $\delta_{CP} \neq 0$?



UK HEP Forum 2022



Is there a light sterile neutrino?

Jargon alert: ν_s ? ν_4 ?





UK HEP Forum 2022

The NOvA experiment

- NOvA is a long-baseline neutrino experiment:
 - 2 detectors, 14.6 mrad off-axis, 809 km apart.
 - Designed to measure for $v_{\mu} \rightarrow v_{e}$ oscillations: detectors provide excellent imaging of both v_{μ} and v_e CC events.
- NOvA can run in neutrino-mode or antineutrino-mode.





UK HEP Forum 2022

- High neutrino flux at Near Detector:
 - used as control for the oscillation analyses,
- provides a rich data set for measuring cross sections.
- ND located 1km from the NuMI beam target.

The NOvA experiment

- NOvA is a long-baseline neutrino experiment:

 - v_e CC events.
- NOvA can run in neutrino-mode or antineutrino-mode.





UK HEP Forum 2022





UK HEP Forum 2022

NOvA exposure to NuMI beam



- NuMI running at ~750kW since 2019. NuMI power record of 893 kW.
- Total protons-on-target: 37 x 10²⁰



UK HEP Forum 2022

NOvA Detectors





Alternating planes allow for 3D reconstruction



Wavelength shifting fibres read out by a single pixel on Avalanche Photodiode



UK HEP Forum 2022

3.9 cm 6.0 cm



- Tracking calorimeter
- Extruded plastic cells, filled with liquid scintillator
- 0.17 X_0 per layer
- Near detector:
 - 300 tons, 1km from the target
 - Huge statistics: >1M ν_{μ} CC selected events







UK HEP Forum 2022

14,000 ton Far Detector



Detailed event images





UK HEP Forum 2022

Detailed event images





UK HEP Forum 2022



Neutrino CC interactions at NOvA



 NOvA flux peaks between 1 and 5 GeV: it sits in the transition region between different neutrino interaction processes.



UK HEP Forum 2022



Neutrino CC interactions at NOvA



- Nuclear effects are significant
 - Contribution of axial part of weak interaction can only be studied w/ neutrinos
 - Better understanding important for reducing systematics on oscillation measurements



UK HEP Forum 2022



Deep learning

- Pioneering use of deep learning for image clarification in HEP
- Convolutional Neural Network used as a multi-classifier, assigning an ID: ν_{μ} , ν_{e} , NC, cosmic for each interaction
- Trained for neutrino and antineutrino beam separately.
- Cosmic data included in training.





UK HEP Forum 2022

"A Convolutional Neural Network Neutrino Event Classifier" Journal of Instrumentation, Volume 11, September 2016

NOvA cross-section programme

- Neutrino CC inclusive analyses presented at Neutrino2020
 - ν_{μ} CC inclusive <u>arXiv: 2109.12220</u> (in PRD review)
 - ν_{ρ} CC inclusive arXiv: 2206.10585 (accepted by PRL)
- Antineutrino inclusive analyses to be released early next year
- Two more analyses released at Neutrino 2022 trying to better understand 2p2h
 - Muon system analysis: 115 muon kinematics points
 - Hadron system analysis: 67 hadron kinematics points
 - Uncertainties ~ 12% dominated by flux
 - Comparisons with various 2p2h models finds better agreement to tuned models.



UK HEP Forum 2022

νu

 \mathbf{A}





NOvA neutrino oscillation analysis



ND



Binned by hadronic energy fraction (sensitivity of disappearance measurement comes from resolution)

(sensitivity of appearance

measurement driven by

Binned by PID

Extrapolation

• Containment limits the range of lepton angles more in the Near Detector than in the Far.



- Extrapolation in 3 bins of pT (lepton transverse momentum) for each energy bin
- Re-sum pT bins before fit

Queen Mary University of London

purity)

UK HEP Forum 2022

Near Det.



- Simultaneous fit of all samples
- Two analysis:
 - A frequentist analysis with Feldman-Cousins method
 - A Markov Chain MC Baysian analysis



Neutrino oscillation results



- θ_{23} consistent with 45^o
- Exclude IO, $\delta_{CP}=\pi/2$ at > 3 σ
- Significant progress on joint fit with T2K (coming soon)
- Frequentist results: 10.1103/PhysRevD.106.032004 (Editors Choice and Featured in Physics article)



UK HEP Forum 2022









UK HEP Forum 2022

- Baysian analysis (co-led by Artur Sztuc, UCL)
- Larger θ_{13} would favour lower octant for θ_{23} and vice versa
- Normally use reactor θ_{13} constraint in fit
- Here θ_{13} is measured by NOvA $\sin^2(2\theta_{13}) = 0.085^{+0.020}_{-0.016}$
- Consistent with reactor experiments
- Publication in preparation



Sterile neutrino search

- Search for 4th, sterile, neutrino
- Analysis uses 3+1 fit sterile neutrino model to samples:
 - neutral current
 - ν_{μ} CC
- Oscillations allowed in both detector simultaneously



UK HEP Forum

 v_{s}

P(v_µ

0.8

0.6

1.2









Sterile neutrino search results

- Data shows no evidence for sterile neutrinos
 - Best fit at small θ_{24} and θ_{34} with low significance
- Competitive limits on θ_{24} for $\Delta m^2_{41} \sim 10 {\rm eV}^2$
- Analysis systematics limited at large Δm^2_{14} (Near det.) but less so for small Δm^2_{14} (Far det.)
- New constraints on θ_{34} (a measure of mixing between ν_{τ} and a sterile $\nu)$
- Historically studied via ν_{τ} appearance searches at short baselines as $\theta_{\mu\tau}$
- NOvA's sensitivity comes from neutral current events
 - enhanced by constraints on θ_{24} from ν_{μ} charged current events
- Long-baseline providing sensitivity at small Δm^2_{41}



UK HEP Forum 2022



Looking at the future

- Many NOvA analyses are limited by calibration and detector response uncertainties.
- The NOvA Test Beam experiment just finished taking data. Our aim is to reduce the calibration and detector response uncertainties.
- The flux uncertainties for neutrino interaction analyses will be reduced with a neutrino-electron cross section measurement currently under development.



UK HEP Forum 2022





NOvA Future

- Increasing sensitivity to mass ordering to come
 - Will more than double data set in both beams
 - –>3 σ mass ordering sensitivity for 30-40% of δ -values
 - By run end, statistical errors still significantly larger than current systematics on ν_e appearance
- Watch this space for:
 - NOvA-T2K joint fit
 - Further tests of alternative models (e.g. NSI)
 - Antineutrino beam cross section measurements



UK HEP Forum 2022



L. Cremonesi, "NOvA and DUNE"

23

_



UK HEP Forum 2022



DUNE: Physics Goals



Discovery sensitivity to CP violation, mass ordering, and θ_{23} octant.

High-precision measurements of $\Delta m^2_{32},\,\delta_{CP},\,\theta_{23},\,\theta_{13}$ in a single experiment.



UK HEP Forum 2022

Sensitivity to MeV-scale neutrinos, such as from a galactic supernova burst.

Low backgrounds for sensitivity to BSM including baryon number violation.



DUNE Design: Wideband Beam

- The LBNF neutrino beam will provide neutrinos and antineutrinos with energies from 0-5+ GeV
- Simulated neutrino fluxes at the far detector are shown below.





UK HEP Forum 2022





DUNE Design: 1300km Baseline

- The long baseline + wideband beam provide opportunity to unambiguously determine Mass ordering, δ_{CP} , θ_{23} octant from oscillation probability.
- than a full oscillation period





UK HEP Forum 2022

• DUNE has a unique ability to measure ve appearance as a function of L/E, over more





DUNE Design: Precision Reco

- LAr TPC technologies fulfil both and scales to very large detector mass.
- DUNE will use a combination of horizontal drift and vertical drift modules.









UK HEP Forum 2022

• The far detector must be able to identify flavour and reconstruct neutrino energy over the broad range over energies and interaction topologies provided by the beam.



DUNE Design: Systematics Control

- and measure of LAr TPC response at few percent level.
- source rate and sized to contain hadronic activity.
- interaction constraints.





UK HEP Forum 2022

• DUNE Near Detector will measure the neutrino flux, neutrino interaction cross sections on Ar,

ND LAr is design similarly to FD with added modularity and pixelization to handle intense near

Downstream systems measure muon momentum (TMS), flux (SAND) and provide additional





DUNE Design: PRISM

- ND-LAr + Spectrometer can be moved off-axis to enhance flux at lower energies.
- specta and build analysis with minimal interaction modelling.





UK HEP Forum 2022



These samples allow one to build a linear combination to match FD oscillated




DUNE Plans and Installation

this decade.

Phase I

Ramp to 1.2 MW beam intensity

Two 17kt (10kt fid.) LAr TPC FD modules. One HD on VD.

Near detector: ND-LAr + TMS (steel/scint. range stack) + SAND

Moveable to enable PRISM





UK HEP Forum 2022

• DUNE construction is phased to provide continuous progress toward physics goals beginning



DUNE Plans and Installation

this decade.

Phase I

Ramp to 1.2 MW beam intensity

Two 17kt (10kt fid.) LAr TPC FD modules. One HD on VD.

Near detector: ND-LAr + TMS (steel/scint. range stack) + SAND

Moveable to enable PRISM





UK HEP Forum 2022

• DUNE construction is phased to provide continuous progress toward physics goals beginning

Phase II Upgrades

Proton beam increase to 2.4 MW

Four FD modules (3 LAr TPCs + 1 new tech)

TMS Upgraded to ND-GAr to provide enhanced ND interaction physics capabilities.



DUNE Physics: Mass ordering

- independent of other experiments.
- clear discovery potential





UK HEP Forum 2022

DUNE Physics: CP violation

- 5 σ discovery potential for CP violation over >50% of δ_{CP} values
- 7-16° resolution to δ_{CP} , with external input for only solar parameters.





UK HEP Forum 2022





Additional Neutrino Physics

- DUNE will provide world leading precision on Δm^2_{32} and $heta_{23}$, allowing direct testing of PMNS unitarity.
- MeV-scale Physics
 - Galactic SNB will produce 1000s of events
 - Sensitivity to solar neutrinos in study.
 - Searches for NSI and sterile neutrinos.





UK HEP Forum 2022











<u>conclusions</u>

UK HEP Forum 2022



NOVA



UK HEP Forum 2022

VOVA

266 scientists and engineers from 48 institutions in eight countries 3 UK institutions: QMUL, UCL, Sussex

 \sim

L. Cremonesi, "NOvA and DUNE"

\$ Fermilab

N

NO VA

 \sim

 \sim

vov



UK leadership in NOvA

- UK leadership:
 - 1+1 Analysis coordinator
 - 2 Analysis group conveners
 - 2 Technical group conveners
 - 3 Run Coordinators
- UK groups have made strong leading contributions to:
 - 3-flavour oscillations
 - ND analyses
 - Test beam programme
 - Computing groups
 - Detector calibration
 - Operations



UK HEP Forum 2022



DUNE Collaboration

 DUNE is an international collaboration of >1 CERN (and counting)





UK HEP Forum 2022

• DUNE is an international collaboration of >1300 scientists and engineers from 37 countries +

UK leadership in LBNF

- Daresbury and RAL are world centres of accelerator expertise
- Producing RF cavities for the PIP-II upgrade for the LBNF 1.2 MW beam
 - And eventual 2.4 MW goal
- Delivering the LBNF proton target



UK HEP Forum 2022







UK leadership in DUNE

- UK is building the majority of readout planes (APAs) for the first 10 kt module
 - Major construction factory at Daresbury
- UK is providing the DAQ for the first two 10 kt modules
 - And contributing to ND DAQ
- UK is delivering Pandora reconstruction





L. Cremonesi, "NOvA and DUNE"





0	U	





How to measure neutrino oscillations







UK HEP Forum 2022



Reconstruction and pre-selection



- from these hits.
- The start of the reconstructed muon track is defined as the vertex of the interaction.



UK HEP Forum 2022

• Hits associated in time and space are used to form a candidate interaction. Tracks and showers are reconstructed



Reconstruction and pre-selection



- Fiducial volume is the solid blue box, and excludes the muon catcher.
- Events with hadronic activity in or near the muon catcher are excluded.



UK HEP Forum 2022

• The containment volume (blue dashed line) is defined by projected distance to the closest edge of the detector.



$\left(\frac{d^{2}\sigma}{d\cos\theta_{\mu}dT_{\mu}}\right)_{i} = \sum_{k} \left(\frac{\sum_{j} U_{ijk}^{-1} (N^{\text{sel}}(\cos\theta_{\mu}, T_{\mu}, \boldsymbol{E}_{\text{avail}})_{j} P(\cos\theta_{\mu}, T_{\mu}, \boldsymbol{E}_{\text{avail}})_{j})}{N_{\text{t}}\Phi\epsilon(\cos\theta_{\mu}, T_{\mu}, \boldsymbol{E}_{\text{avail}})_{ik}\Delta\cos\theta_{\mu_{i}}\Delta T_{\mu_{i}}}\right)$

- Flux-averaged double differential cross section in 172 bins (white outline).
- Selection purity and efficiency corrections applied in 3D space (T_{μ} , $\cos\theta_{\mu}$, E_{avail}).
- E_{avail} (available energy): total energy of all observable final state hadrons.
- This reduces potential model dependence of the efficiency and purity corrections on the final-state hadronic system.
- Unfolded 3D result is then integrated over Eavail.



UK HEP Forum 2022

NOvA Simulation u (GeV) Reconstructed 1.5 0.5 0.6 0.8 0.9 0.7 Reconstructed Cos0,







v_{μ} CC inclusive double-differential measurement

https://arxiv.org/abs/2109.12220 in PRD review

- Cross section calculated at 172 kinematic points
- Good agreement between tuned/ untuned **GENIE** versions in high angle slices.
- At forward angle, where QE and MEC events dominate, the untuned GENIE 2 overshoots data.











v_{μ} CC inclusive double-differential measurement

https://arxiv.org/abs/2109.12220 in PRD review

- Out of the box generator comparisons.
- All generators reproduce well the shape of our data.
- We notice an overall normalisation difference in GiBUU.







Identifying electrons

- Single particle identification is done by a Deep Convolutional Network



Single particles are separated using geometric reconstruction methods



UK HEP Forum 2022

 The network is trained using single particle simulated within the detector • Network "sees" two 2D views of the deposited energy by the single particle

> Each reconstructed particle is classified as:

- Electron
- Muon
- Pion
- Photon
- Proton

Identifying particles

- The task of classification can be aided by providing context
 - Analysis uses a Boosted Decision tree to distinguish electrons from other particles using:
 - The output of the deep convolutional network
 - Reconstructed shower width
 - Gap to reconstructed vertex





UK HEP Forum 2022



- Flux-averaged double differential cross section as a function of the electron kinematics.
- done via a template fit of the ElectronID distribution.
- Uncertainties in templates shape are accounted for using a covariance matrix.











Two new v_{μ} double-differential results







Muon System

 v_{μ} CC interactions:

- $T_p \le 250 \text{ MeV}$
- $T_{\pi} \leq 175 \text{ MeV}$

- Events must have exactly one reconstructed track (low E_{had})
 - Boosts 2p2h, reduces DIS and RES
- Cross section reported at 115 kinematic points
- 12-15% uncertainty typically (dominated by flux systematic)





UK HEP Forum 2022

NOvA Preliminary

Hadronic System

 v_{μ} CC interactions:

- |q⁻| ≤ 2 GeV/c
- $E_{Avail} \leq 2 \text{ GeV}$

- Same selection as v_{μ} CC inclusive analysis
- NOvA's first measurement in $|\vec{q}|$ and E_{Avail}
 - 2p2h concentrated at low values
- Cross section reported at 67 kinematic points •
- ~12% uncertainty typically (dominated by flux) systematic)





UK HEP Forum 2022

NOvA Preliminary

Comparison of 2p-2h models to data



- Correlations between bins are dominant contribution to χ^2
- Data release for these high-statistics analyses coming soon
 - Can explore many aspects of generator models beyond 2p2h with this data



UK HEP Forum 2022

2p2h Model	Muon System χ^2 (115 d.o.f.)	Hadron System (67 d.o.f.)
GENIE v2.12.2 - NOvA Tune	200	320
Empirical MEC	190	460
Valencia w/ MINERvA Tune	340	420
Valencia	630	910
SuSA - v2	620	590

• χ^2 calculated for data vs. simulation with the various 2p2h models using full covariance matrix





ν_{μ} energy resolution quartiles

- The data is split in four equal populations (quartiles) of hadronic energy fraction as a function of reconstructed neutrino energy.
- Done separately for neutrino versus antineutrinos.
- Energy resolution varies from 5.8% (5.5%) to 11.7% (10.8%) for neutrino (antineutrino) beam.



UK HEP Forum 2022

0.8

ш

E_{had} /

NOvA Simulation



https://doi.org/10.1103/PhysRevD.106.032004



Energy reconstruction







UK HEP Forum 2022

Extrapolation



 Since NOvA has functionally similar Near and Far Detectors the flux combined with the cross sections uncertainties largely cancel.



UK HEP Forum 2022





Near detector ν_{μ} spectra





 Band around the MC shows the large impact of flux and cross-section uncertainties in only a single detector.

- We use this sample to predict both ν_{μ} and ν_{e} signal spectra at the Far Detector.

- Appearing ν_e 's are still ν_μ 's at the ND







- The ND ν_e -like spectrum contains the background to the appearing ν_e 's at the FD.
- Largest component is the irreducible $\nu_{\rho}/\bar{\nu}_{\rho}$ flux component.
 - 50% in neutrino-mode
 - 71% in antineutrino mode
- We use this sample to predict the background to ν_e appearance.



Enhancing sensitivity to oscillations



- Sensitivity depends primarily on the shape of the energy spectrum.
- Bin by energy resolution → bin by hadronic energy fraction





 ν_e sample

- Sensitivity depends primarily on separating signal from background.
- Peripheral sample:
 - Captures high-PID events which might not be contained close to detector edges.
 - No energy binning.



Extrapolating from near to far detector



- Observe data-MC differences at the ND, use them to modify the FD MC.
 - Extrapolation performed in the analysis binning of energy + (resolution or PID).
- Significantly reduces the impact of uncertainties correlated between detectors
 - Especially effective at rate effects like the flux (7% \rightarrow 0.3%).



Extrapolating kinematics





- Containment limits the range of lepton lacksquareangles more in the Near Detector than in the Far.

The ND is 1/5 the size of the FD. lacksquare



UK HEP Forum 2022





• Mitigate by extrapolating in bins of lepton transverse momentum, pt

• Split the ND sample into 3 bins of pt, extrapolate each separately to the FD.

- Effectively "rebalances" the kinematics to better match between the detectors.
- Re-sum the pt bins before fitting.



Systematics uncertainties with pt extrapolation





- Increased robustness also leads to a 30% reduction in cross section uncertainties. \bullet
 - Slightly increase the sensitivity to well-understood systematics on lepton reconstruction.
- Overall systematic reduction is 5-10%, ullet
- The largest systematics come from the detector energy scale.


Oscillations fit



- Simultaneous fit of all samples
- We perform two analysis:

UK HEP Forum 2022

A Markov Chain MC Baysian analysis



• A frequentist analysis with Feldman-Cousins method (reactor-constrained $\sin^2 2\theta_{13} = 0.085 \pm 0.003$)



$u_{\mu}/\bar{\nu}_{\mu}$ data at the far detector



211 events, 8.2 background



UK HEP Forum 2022



105 events, 2.1 background

 $\nu_{\rho}/\bar{\nu}_{\rho}$ data at the far detector

82 ν_e candidates, 27 background

33 $\bar{\nu}_e$ candidates, 14 background



UK HEP Forum 2022



Appearance





UK HEP Forum 2022



- Asymmetry consistent with zero to 25% precision
- Disfavours mass ordering- δ_{CP} combinations with large asymmetry



3-flavour fit results



- Exclude IO, at > 3 σ
- Significant T2K
 - soon)



UK HEP Forum 2022





•



UK HEP Forum 2022



- •
- •
- •



UK HEP Forum 2022





Spectra used in sterile ν search



Pre-fit MC distributions (data-driven 2p2h tune not included in this analysis)



UK HEP Forum 2022

Spectra used in sterile ν search



3-Flavour hypothesis with systematic pulls included here



UK HEP Forum 2022

Spectra used in sterile ν search



Full best-fit for sterile neutrinos included here



UK HEP Forum 2022

Sterile neutrino search results

- Data shows no evidence for sterile neutrinos
 - Best fit at small θ_{24} and θ_{34} with low significance
- Competitive limits on θ_{24} for $\Delta m_{41}^2 \sim 10 {\rm eV}^2$
- Goodness of fit:
 - $\chi 2/d.o.f. = 56.4/66$
- Analysis systematics limited at large Δm^2_{14} (Near det.) but less so for small Δm^2_{14} (Far det.)



UK HEP Forum 2022



θ_{34} results

- A measure of mixing between $u_{ au}$ and a sterile u
- Historically studied via ν_{τ} appearance searches at short baselines as $\theta_{\mu\tau}$
- NOvA's sensitivity comes from neutral current events
 - enhanced by constraints on θ_{24} from ν_{μ} charged current events
- Long-baseline providing sensitivity at small Δm_{41}^2
- New constraints on θ_{34}











UK HEP Forum 2022





DUNE Design: High Statistics

- MW Fermilab proton beam providing few percent level statistical uncertainties.
- Power of high stats with wide band LBL shown in bi-event plots.





UK HEP Forum 2022

DUNE's long-term goal is four 17 kt LAr TPC FD modules in beam from 2.4



DUNE Physics: Mass ordering

- enormous NO vs IO differences.
- other parameters





UK HEP Forum 2022

Statistics from 6 years of full DUNE with 2.4 MW (800 kt-MWyrs total exposure) exploits

DUNE maintains ability to definitively resolve the mass ordering regardless of the values of



Liquid argon TPC

- Argon is a noble element -> small electronegativity
- Liquid argon ~ 1000 times more dense than gas Argon -> increase likelihood of neutrino interactions
- Relatively inexpensive



UK HEP Forum 2022



time

Animation by Bo You (BNL)

