

# Developments in $b \rightarrow c\tau\nu$ decays

Judd Harrison & Martin Jung

Beyond the Flavour Anomalies IV

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UNIVERSITÀ  
DEGLI STUDI  
DI TORINO



Istituto Nazionale di Fisica Nucleare  
SEZIONE DI TORINO

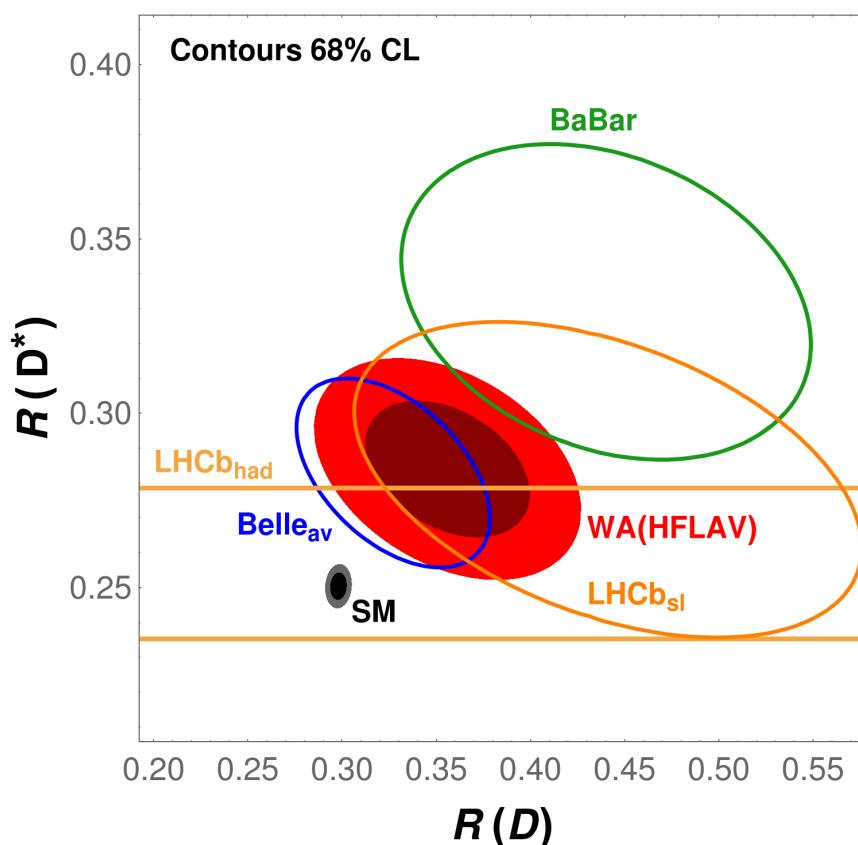


HPQCD

# Lepton-non-Universality in $b \rightarrow c\tau\nu$

$$R(X) \equiv \frac{\text{Br}(B \rightarrow X\tau\nu)}{\text{Br}(B \rightarrow X\ell\nu)}$$

- Partial cancellation of uncertainties
- ➡ Precise predictions (and measurements)



- $R(D^*)$ : BaBar, Belle, LHCb
  - ➡ average  $\sim 3 - 4\sigma$  from SM

More flavour  $b \rightarrow c\tau\nu$  observables:

- $\tau$ -polarization ( $\tau \rightarrow \text{had}$ ) [1608.06391]
- $B_c \rightarrow J/\psi\tau\nu$  [1711.05623] : huge
- Differential rates from Belle, BaBar
- Total width of  $B_c$
- $b \rightarrow X_c\tau\nu$  by LEP
- $D^*$  polarization (Belle)
- $R(\Lambda_c) \rightarrow$  below SM

NP interpretation:

[talks by B. Stefanek and F. Wilsch]

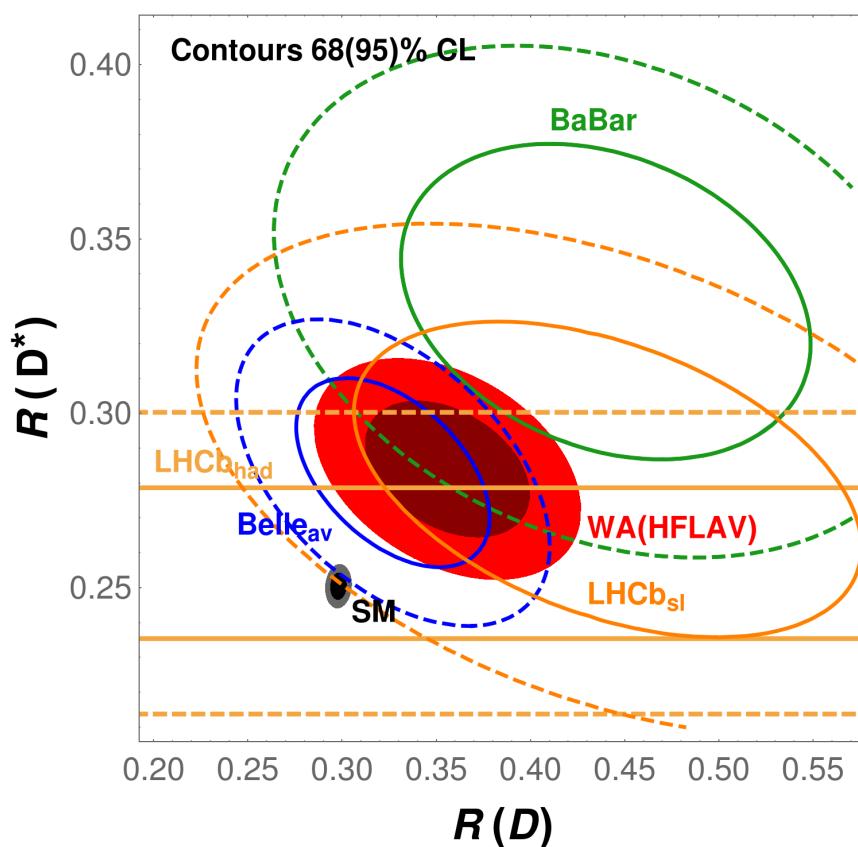
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# Exclusive decays: Form factors

In exclusive decays, hadronic information encoded in **Form Factors**  
They parametrize fundamental mismatch:

Theory (e.g. SM) for **partons** (quarks)  
vs.  
Experiment with **hadrons**

$$\langle D_q(p') | \bar{c} \gamma^\mu b | \bar{B}_q(p) \rangle = (p + p')^\mu f_+^q(q^2) + (p - p')^\mu f_-^q(q^2), \quad q^2 = (p - p')^2$$

Most general matrix element parametrization, given **symmetries**:  
Lorentz symmetry plus P- and T-symmetry of QCD  
 $f_\pm(q^2)$ : real, scalar functions of **one** kinematic variable

How to obtain these functions?

➔ **Calculable** w/ non-perturbative methods (Lattice, LCSR, ...)

Precision?

➔ **Measurable** e.g. in semileptonic transitions

Normalization? Suppressed FFs? NP?

## $q^2$ dependence

- $q^2$  range can be large, e.g.  $q^2 \in [0, 12]$  GeV $^2$  in  $B \rightarrow D$
- Calculations give usually one or few points
- ↗ Knowledge of **functional dependence** on  $q^2$  crucial
- This is where discussions start...

**Give as much information as possible **independently** of this choice!**

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Give as much information as possible **independently** of this choice!

In the following: discuss **BGL** and **HQE** ( $\rightarrow$  CLN) parametrizations

$q^2$  dependence usually **rewritten** via conformal transformation:

$$z(t = q^2, t_0) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}$$

$t_+ = (M_{B_q} + M_{D_q^{(*)}})^2$ : pair-production threshold

$t_0 < t_+$ : free parameter for which  $z(t_0, t_0) = 0$

Usually  $|z| \ll 1$ , e.g.  $|z| \leq 0.06$  for semileptonic  $B \rightarrow D$  decays

➔ Good expansion parameter

The BGL parametrization [Boyd/Grinstein/Lebed, 90's]  
FFs are parametrized by a few coefficients the following way:

1. Consider **analytical structure**, make poles and cuts explicit
2. Without poles or cuts, the rest can be **Taylor-expanded** in  $z$
3. Apply QCD symmetries (unitarity, crossing)  
    ➡ **dispersion relation**
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Result: Model-independent parametrization

$$F(t) = \frac{1}{P(t)\phi(t)} \sum_{n=0}^{\infty} a_n [z(t, t_0)]^n.$$

- $a_n$ : **real** coefficients, the only unknowns
- $P(t)$ : **Blaschke factor(s)**, information on poles below  $t_+$
- $\phi(t)$ : **Outer function**, chosen such that  $\sum_{n=0}^{\infty} a_n^2 \leq 1$

Series in  $z$  with **bounded coefficients** (each  $|a_n| \leq 1$ )!  
    ➡ Uncertainty related to truncation is **calculable**!

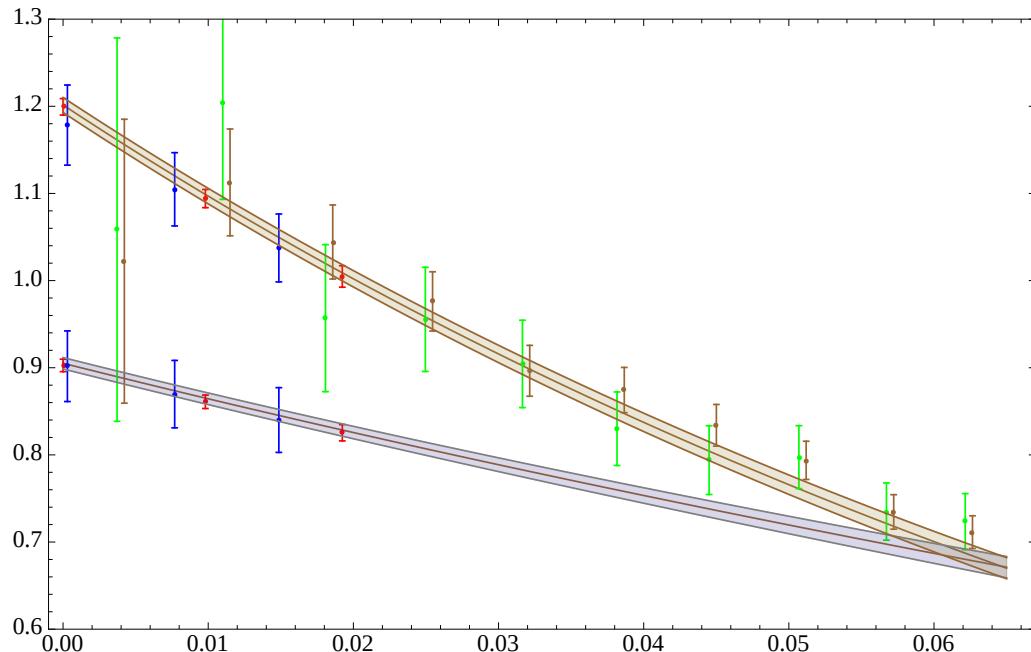
# $B \rightarrow D\ell\nu$

$B \rightarrow D\ell\nu$ , aka “The teacher’s pet”:

- Excellent agreement between experiments [BaBar’09,Belle’16]
- Excellent agreement between two lattice determinations [FNAL/MILC’15,HPQCD’16]
- ↗ Lattice data inconsistent with CLN parametrization!  
(but consistent w/ HQE@1/m, discussed later)
- BGL fit [Bigi/Gambino’16] :

$$R(D) = 0.299(3).$$

See also [Jaiswal+, Berlochner+’17, MJ/Straub’18, Bordone/MJ/vanDyk’19]



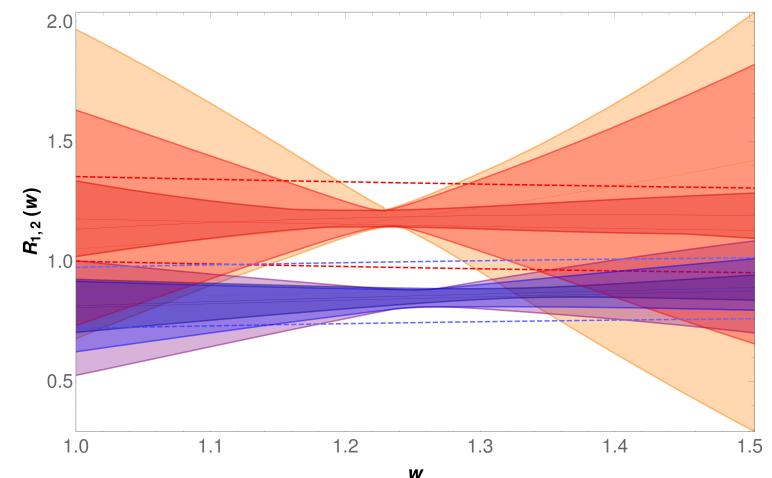
$f_{+,0}(z)$ , inputs:

- FNAL/MILC’15
- HPQCD’16
- BaBar’09
- Belle’16

Belle'18(+17) provide FF-independent data for 4 single-differential rates

BGL analysis:

- Datasets compatible
- d'Agostini bias + syst. important
- Expand FFs to  $z^2$ 
  - ➡ 50% increased uncertainties
- Belle'18: no parametrization dependence
- Belle'17 never published → replace w/ Belle'23, not available yet
- Tension w/ inclusive reduced, but not removed



$R(D^*) = 0.253^{+0.007}_{-0.006}$  (including LCSR point)

# HQE parametrization

Heavy-Quark Expansion (HQE) employs additional information:

- $m_{b,c} \rightarrow \infty$ : all  $B \rightarrow D^{(*)}$  FFs given by 1 Isgur-Wise function
- Systematic expansion in  $1/m_{b,c}$  and  $\alpha_s$
- Higher orders in  $1/m_{b,c}$ : FFs remain related  
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CLN parametrization [Caprini+'97] :

HQE to order  $1/m_{b,c}, \alpha_s$  plus (approx.) constraints from unitarity  
[Bernlochner/Ligeti/Papucci/Robinson'17] : identical approach, updated  
and consistent treatment of correlations

Problem: Contradicts Lattice QCD (both in  $B \rightarrow D$  and  $B \rightarrow D^*$ )

Dealt with by varying calculable ( $@1/m_{b,c}$ ) parameters, e.g.  $h_{A_1}(1)$

- ▶ Not a systematic expansion in  $1/m_{b,c}$  anymore!
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Solution: Include systematically  $1/m_c^2$  corrections

[Bordone/MJ/vDyk'19, Bordone/Gubernari/MJ/vDyk'20], using [Falk/Neubert'92]

[Bernlochner+'22] : model for  $1/m_c^2$  corrections → fewer parameters

# Theory determination of $b \rightarrow c$ Form Factors

[Bordone/MJ/vanDyk'19, Bordone/Gubernari/MJ/vanDyk'20]

For general NP analysis, FF shapes needed from theory!

Fit to all  $B \rightarrow D^{(*)}$  FFs, using lattice, LCSR, QCDSR and unitarity

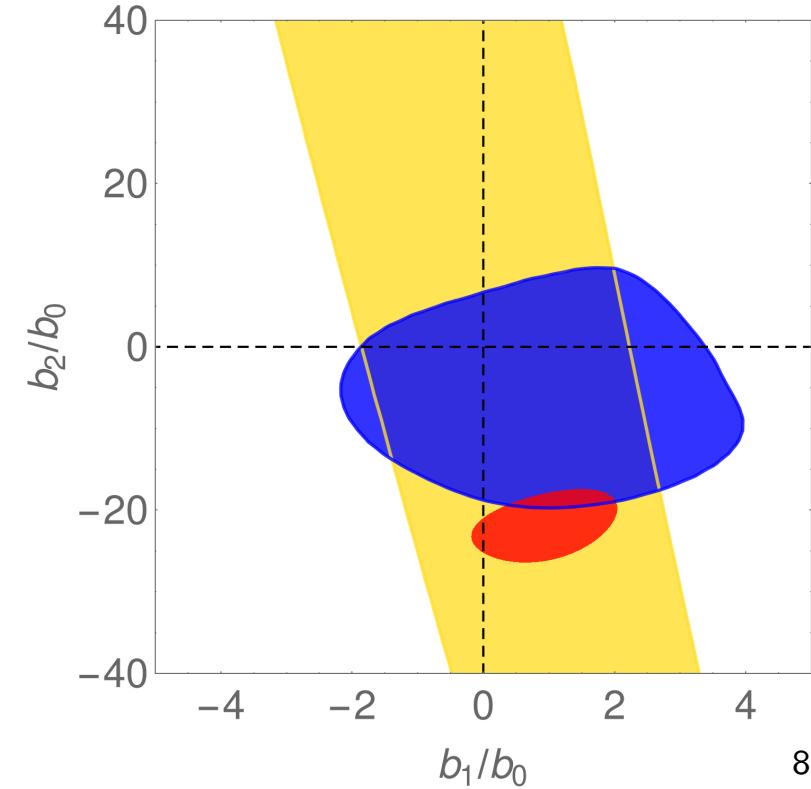
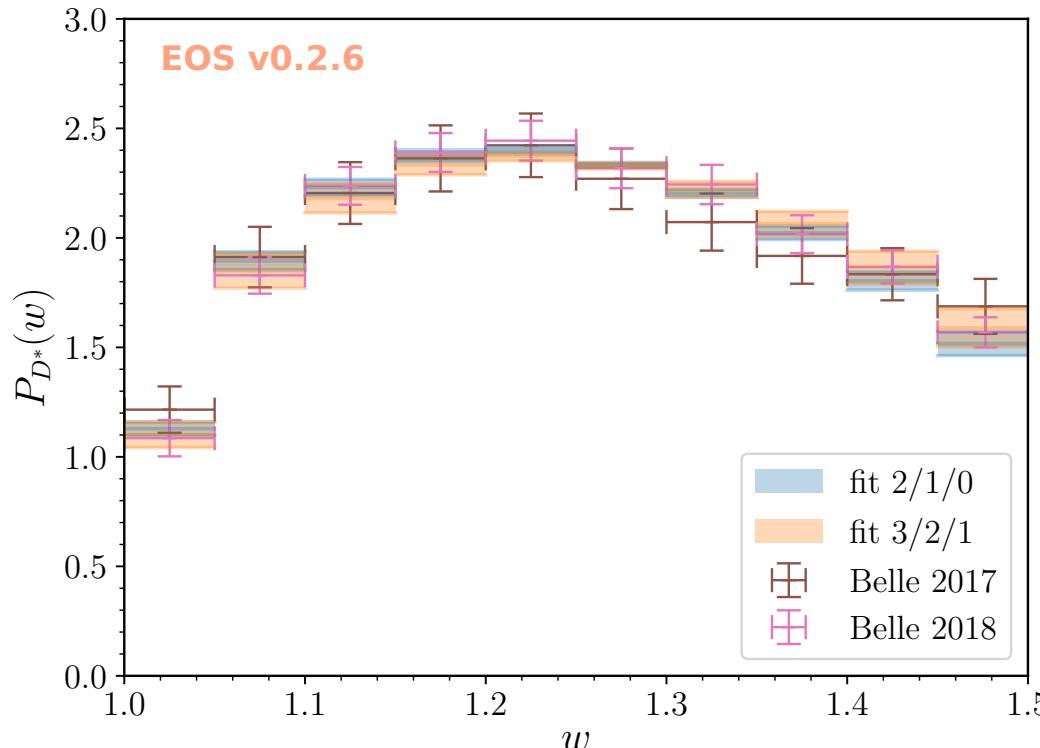
[CLN, BGL, HPQCD'15'17, FNAL/MILC'14'15, Gubernari+'18, Ligeti+'92'93]

k/l/m: order in  $z$  for leading/subleading/subsubleading IW functions

↳ 2/1/0 works, but only 3/2/1 captures uncertainties

↳ Consistent  $V_{cb}$  value from Belle'17+'18

↳ Predictions for diff. rates, perfectly confirmed by data



# Form-factor truncation

Key question: Where do we truncate our expansions?

- ➡ A [Bernlochner+ '19] : include parameter only if  $\chi^2$  decreases significantly
- ➡ B (GJS, BGJvD): include one “unnecessary” order

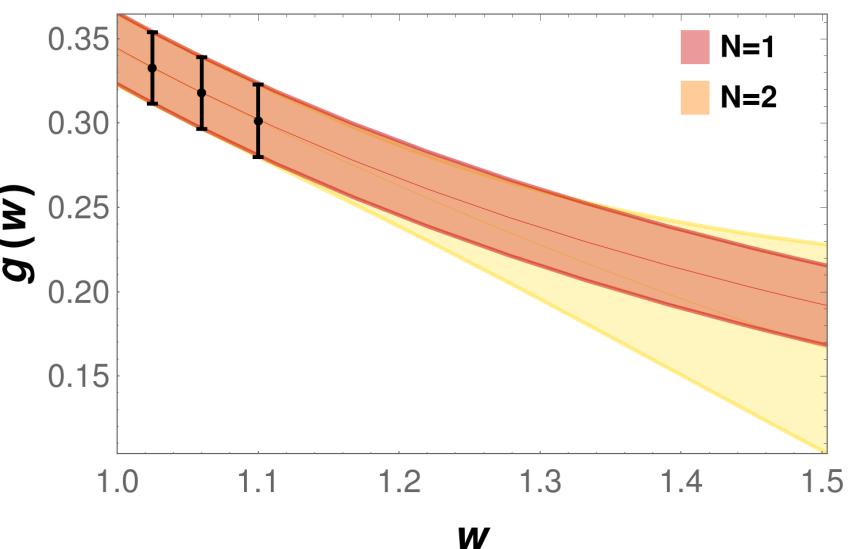
Comments:

- Large difference,  $\sim 50\%$  difference in uncertainty
- Motivation for A: convergence, avoid overfitting
- Motivation for B: avoid underestimating uncertainties
- ➡ Different perspectives: only describing data, A is ok.  
However: we **extrapolate** to regions where we lack sensitivity

Example:  $g(w)$  from FNAL/MILC

- perfect description at  $\mathcal{O}(z)$
- large impact from  $\mathcal{O}(z^2)$
- Nevertheless:  $\mathcal{O}(z^2) \leq 6\% \times \mathcal{O}(z)$
- ➡ overfitting limited

Just because you're not sensitive,  
doesn't mean it's not there!



# Priors and potential biases

Typical error estimate:

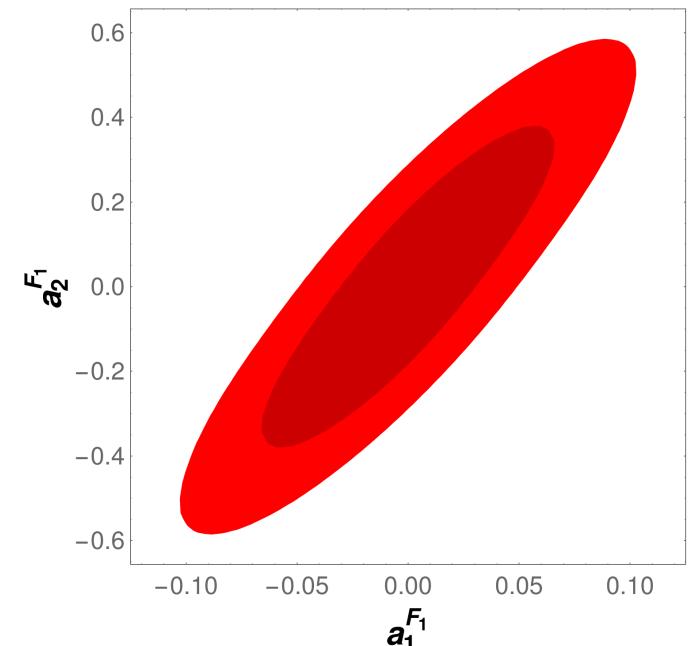
$$\delta X \sim \mathcal{O}(1) \times \text{known factor}$$

➡ What's “ $\mathcal{O}(1)$ ”?

- Answer seems to be community-dependent
- Often in lattice analyses: gaussian around 0, width 1
- BGJvD HQET FFs: flat range  $[-20, 20]$
- ➡ potentially large differences
- ➡ needs to be checked and communicated

Similarly: treatment of BGL coefficients

- FNAL/MILC and HPQCD:  
series in  $(w - 1)^n$
- Priors can be strong in BGL space
- Plot: prior information, only (HPQCD)
- ➡ Order of final result



# Form Factors

The effective Hamiltonian relevant for semileptonic  $b \rightarrow c$  decays can be written, assuming left-handed neutrinos,

$$\begin{aligned}\mathcal{H}_{\text{eff}} = \sqrt{2} G_F V_{cb} & \left[ g_V \bar{c} \gamma_\mu b \bar{\ell}_L \gamma^\mu \nu_L + g_A \bar{c} \gamma_\mu \gamma_5 b \bar{\ell}_L \gamma^\mu \nu_L \right. \\ & + g_S \bar{c} b \bar{\ell}_R \nu_L \\ & + g_P \bar{c} \gamma_5 b \bar{\ell}_R \nu_L \\ & \left. + g_T \bar{c} \sigma_{\mu\nu} b \bar{\ell}_R \sigma^{\mu\nu} \nu_L + \text{h.c.} \right]\end{aligned}$$

matrix elements are parameterised in terms of form factors (FFs)

$$\begin{aligned}\langle D^* | \bar{c} \gamma^\mu b | \bar{B} \rangle &= i \sqrt{M_B M_{D^*}} \varepsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta h_V \\ \langle D^* | \bar{c} \gamma^\mu \gamma^5 b | \bar{B} \rangle &= \sqrt{M_B M_{D^*}} [ h_{A_1} (w+1) \epsilon^{*\mu} \\ &\quad - h_{A_2} (\epsilon^* \cdot v) v^\mu - h_{A_3} (\epsilon^* \cdot v) v'^\mu ] \\ \langle D^* | \bar{c} \sigma^{\mu\nu} b | \bar{B} \rangle &= - \sqrt{M_B M_{D^*}} \varepsilon^{\mu\nu\alpha\beta} [ h_{T_1} \epsilon_\alpha^* (v + v')_\beta \\ &\quad + h_{T_2} \epsilon_\alpha^* (v - v')_\beta + h_{T_3} (\epsilon^* \cdot v) v_\alpha v'_\beta ],\end{aligned}$$

# $B \rightarrow D^*$ vector, axial-vector and tensor form factors for the full $q^2$ range from lattice QCD [2304.03137]

Use "Heavy-HISQ" approach:

- ▶ Compute FFs on multiple lattices using heavy quark  $h$  with mass  $m_h$ , using **Highly Improved Staggered Quark** action for all quarks
  - Small discretisation effects - no tree level  $a^2$  effects, greatly reduced  $(am)^4$  effects
  - High numerical efficiency enables good statistics
  - Fully relativistic
  - Nonperturbative renormalisation of currents
- ▶ Fit lattice data including discretisation effects, dependence on heavy-quark mass and chiral effects.

We include data for  $B_s \rightarrow D_s^*$  FFs, which we include in our fit using HM $\chi$ PT.

Use second generation MILC  $n_f = 2 + 1 + 1$  HISQ gluon field configurations, with  $a \approx 0.09\text{fm}, 0.06\text{fm}, 0.044\text{fm}$  and pion masses from 300MeV down to the physical value.

Our fit function for each FF takes the form

$$F^{Y^{(s)}}(w) = \sum_{n=0}^3 a_n^Y (w-1)^n \mathcal{N}_n^Y + \frac{g_{D^* D\pi}^2}{16\pi^2 f_\pi^2} \left( \log s_{SU(3)}^{Y^{(s)}} - \log s_{SU(3)\text{phys}}^Y \right) \\ + \tilde{a}^Y \left( \left( \frac{M_\pi^{\text{phys}}}{\lambda_\chi} \right)^2 - \left( \frac{M_{\pi(K)}}{\lambda_\chi} \right)^2 \right),$$

where  $Y_{(s)}$  labels the form factor,  $\mathcal{N}_n^Y \approx 1$  encodes sea and valence quark mass mistuning effects, and the coefficients,  $a_n^Y$ , for each form factor take the form

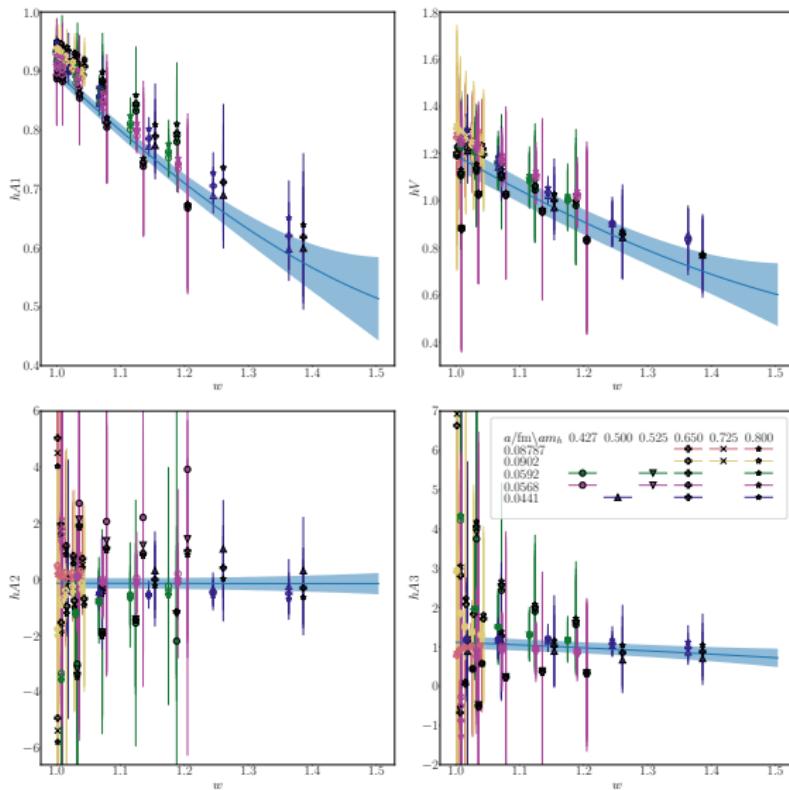
$$a_n^Y = \sum_{j,k,l=0}^3 b_n^{Y,jkl} \Delta_h^{(j)} \left( \frac{am_c^{\text{val}}}{\pi} \right)^{2k} \left( \frac{am_h^{\text{val}}}{\pi} \right)^{2l},$$

where

$$\Delta_h^{(0)} = 1, \quad \Delta_h^{(j \neq 0)} = \left( \frac{\Lambda}{M_{H_s}} \right)^j - \left( \frac{\Lambda}{M_{B_s}^{\text{phys}}} \right)^j.$$

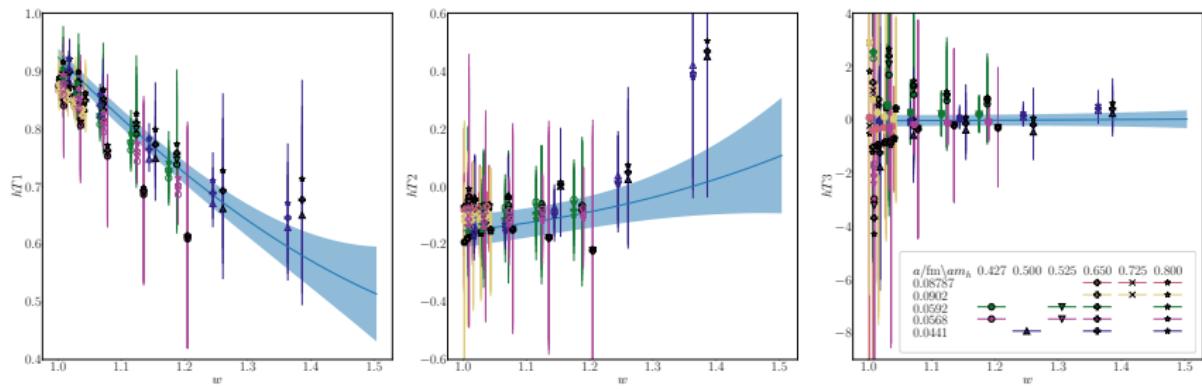
Take  $\mathcal{N}_n^Y \rightarrow 1$ ,  $am_c \rightarrow 0$ ,  $am_h \rightarrow 0$ ,  $M_{H_s} \rightarrow M_{B_s}^{\text{phys}}$  and  $M_\pi \rightarrow M_\pi^{\text{phys}}$  to recover physical form factors at the  $b$  mass.

# SM Form Factors



We compute the SM FFs with good coverage of the full  $q^2$  range.

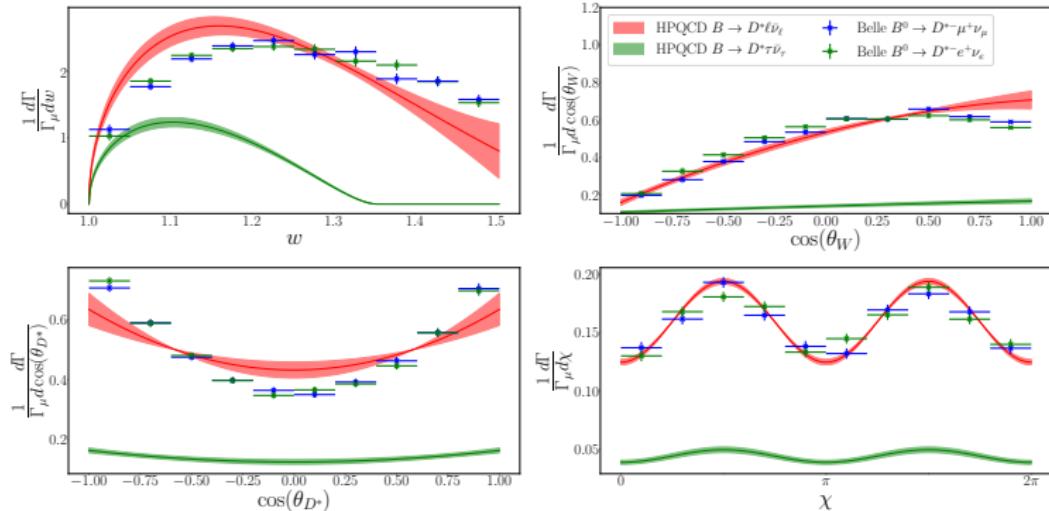
# Tensor Form Factors



We also compute the tensor FFs.

# $R(D^*)$

Comparison to Belle data [1809.03290].

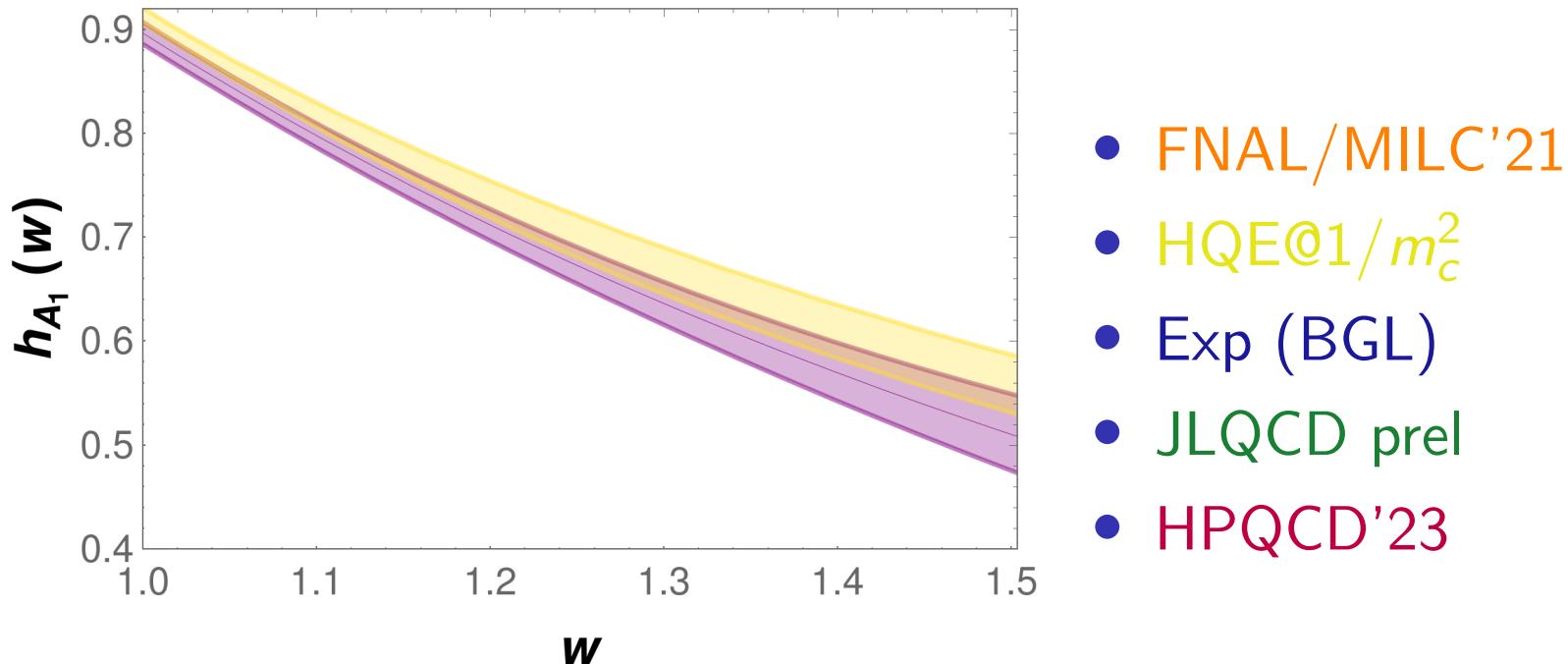


Looks terrible! However, including correlations only  $\approx 1.5\sigma$  tension. We find that when fitting FFs to the Belle data  $R(D^*)$  is shifted downwards significantly.

	'lattice-only'	'lattice+experiment'
$R(D^*)$	0.279(13)	0.2471(19)
$R(D_s^*)$	0.265(9)	0.2464(27)

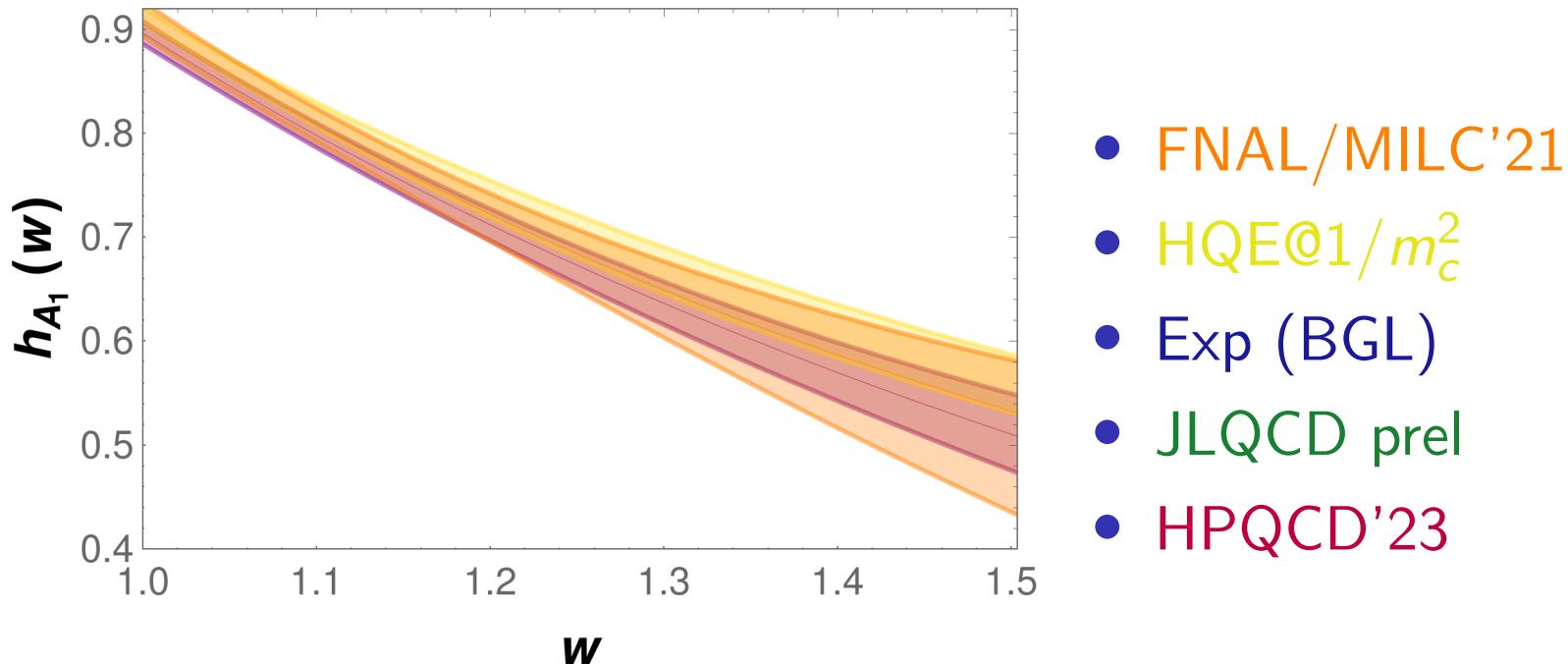
# Comparison with new lattice calculations

Major improvement:  $B_{(s)} \rightarrow D_{(s)}^*$  FFs@ $w > 1!$  ( $B_s$ : [Harrison+'22] )



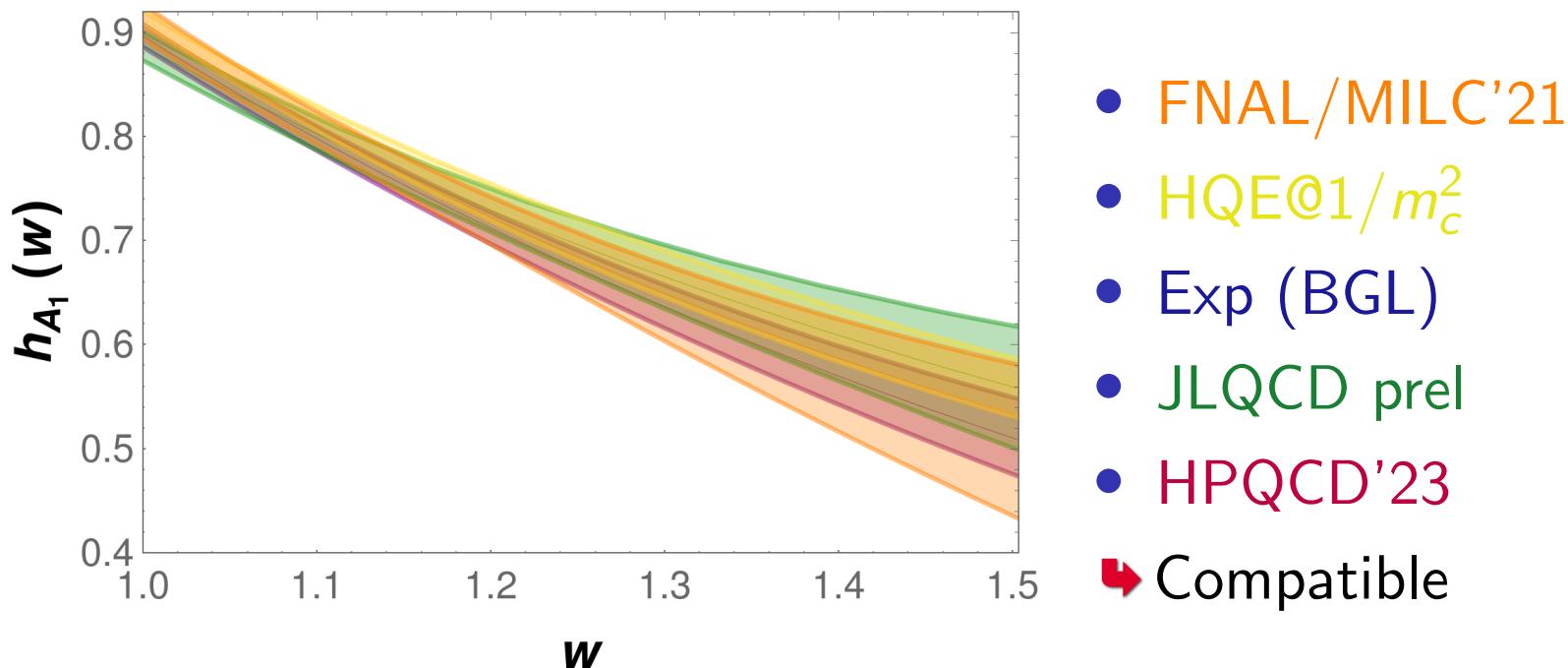
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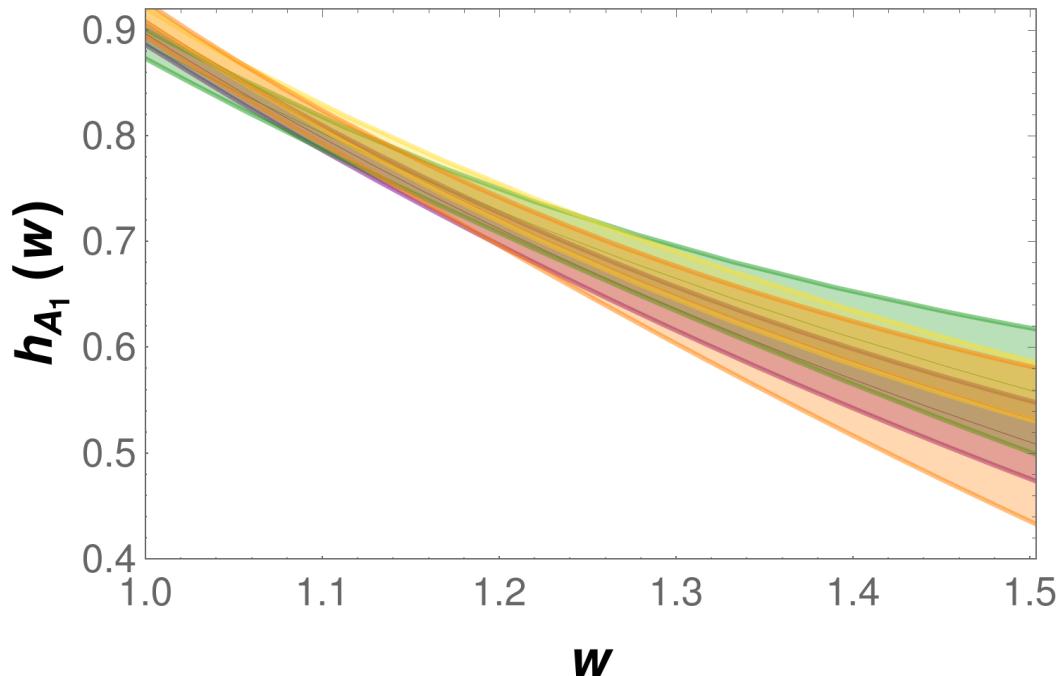
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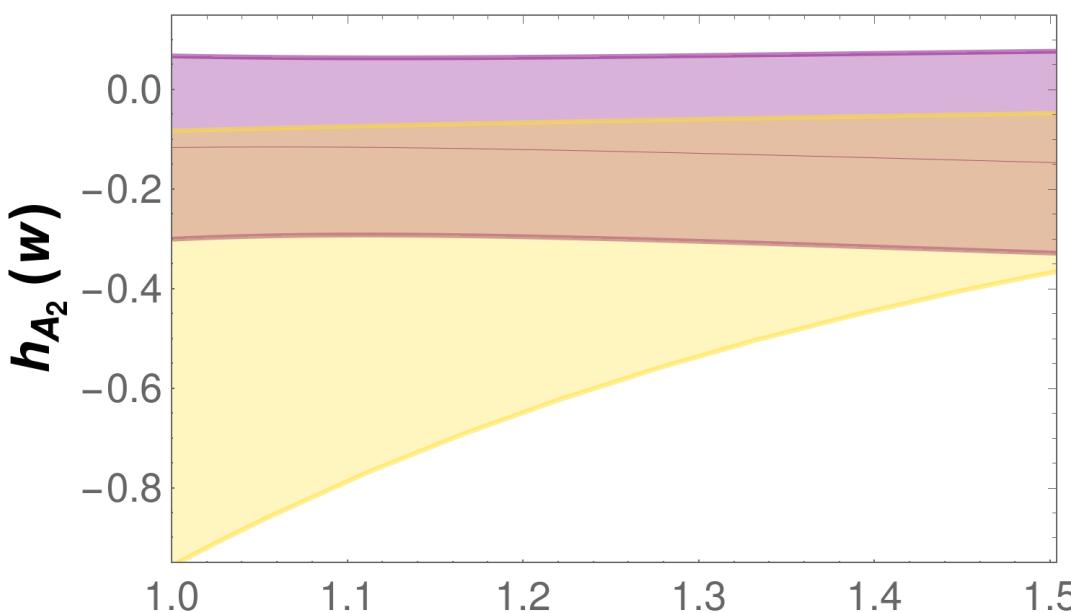


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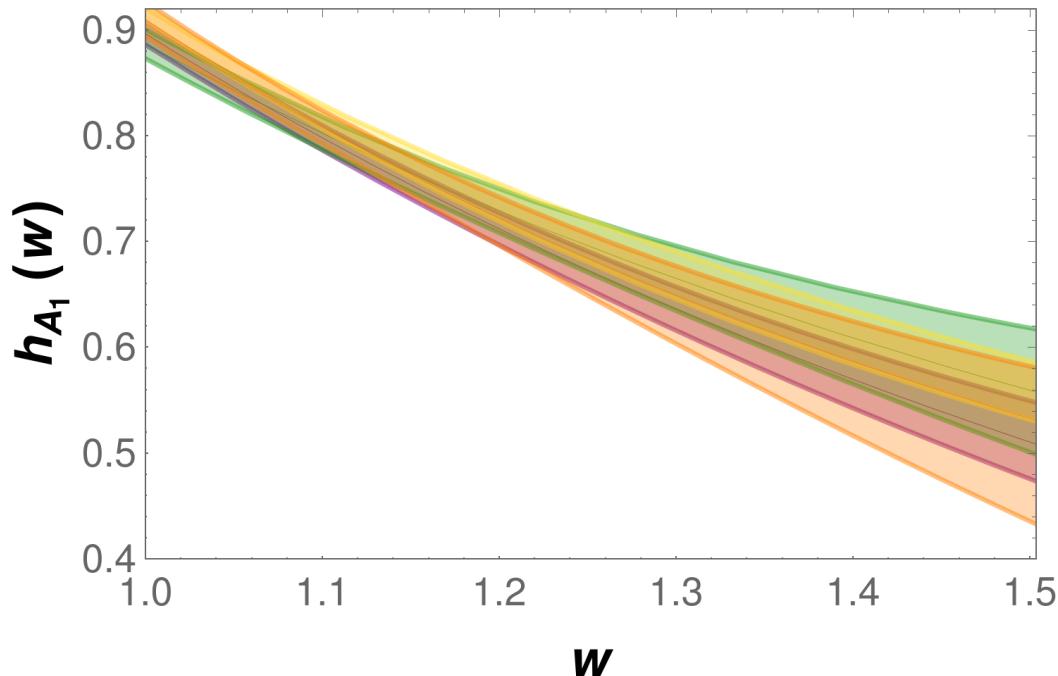
- FNAL/MILC'21
  - HQE@1/ $m_c^2$
  - Exp (BGL)
  - JLQCD prel
  - HPQCD'23
- ➡ Compatible



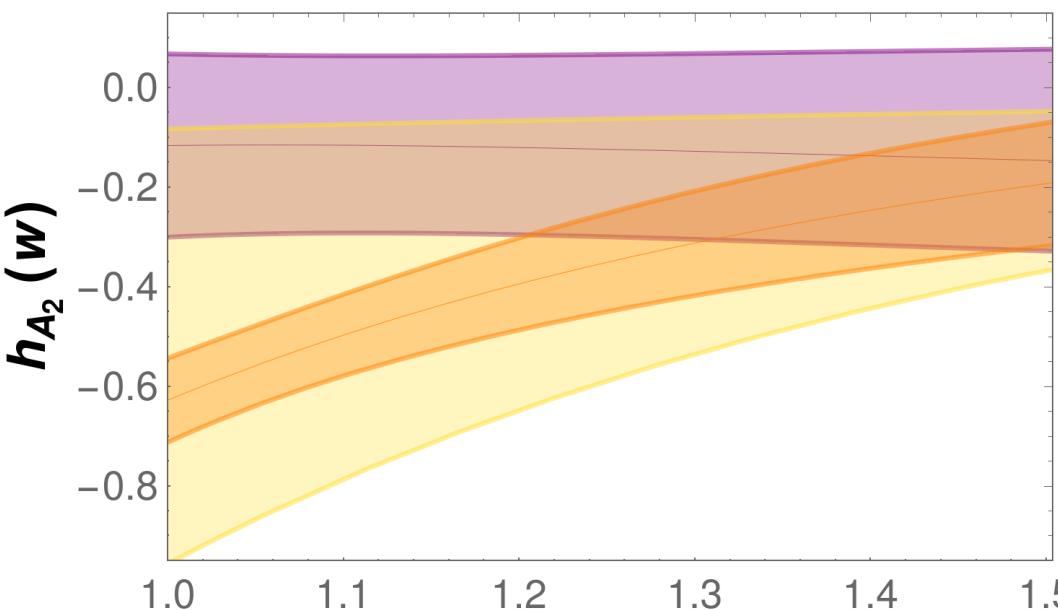
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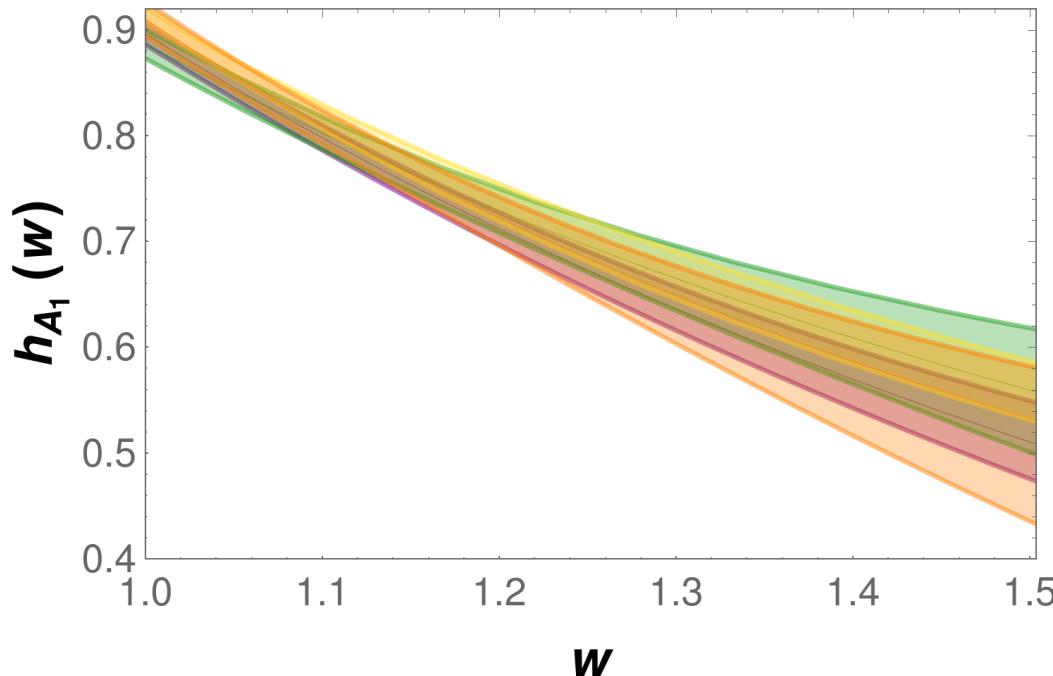
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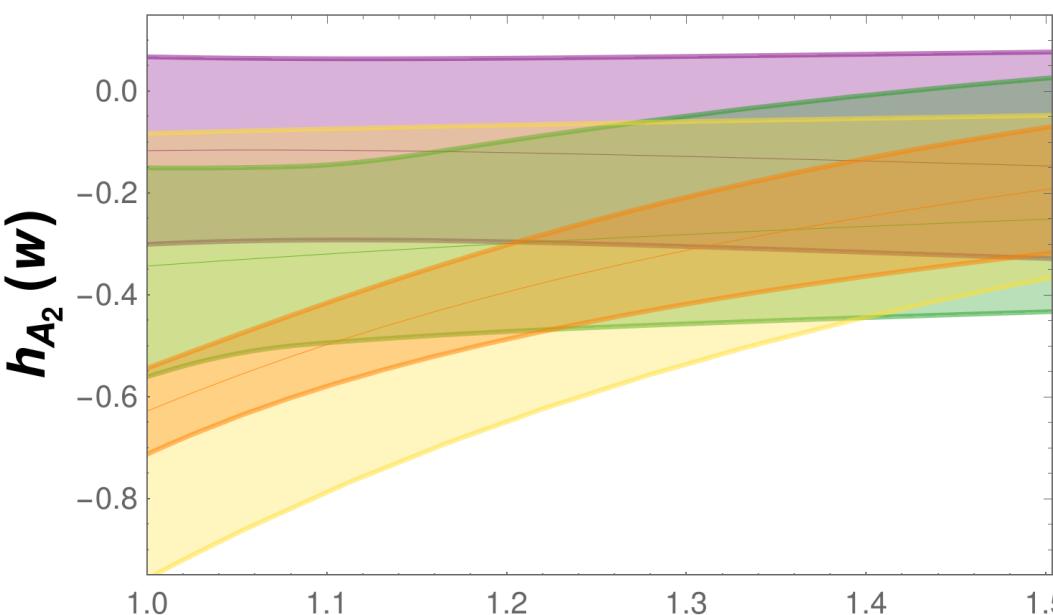
- HPQCD and BGJvD compatible
- Slope HPQCD-FNAL/MILC?

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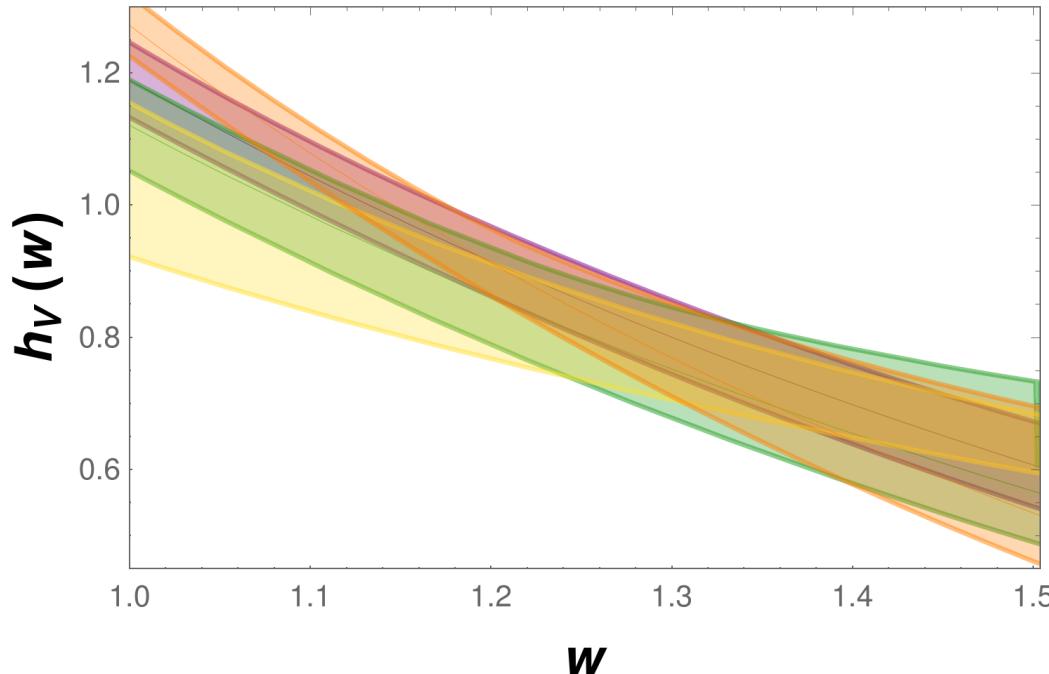
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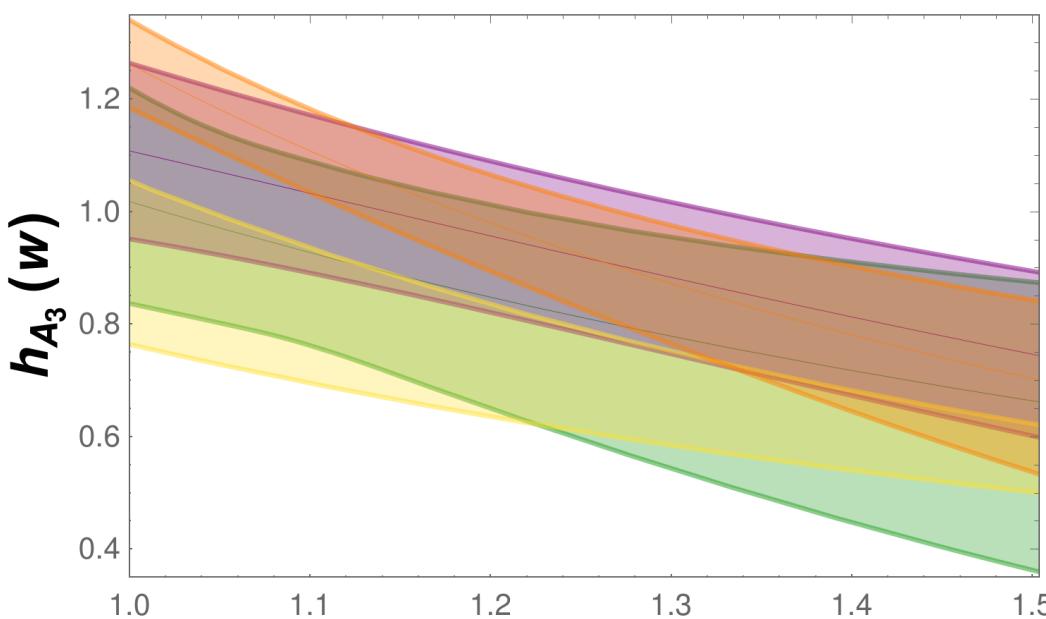
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- JLQCD “diplomatic”

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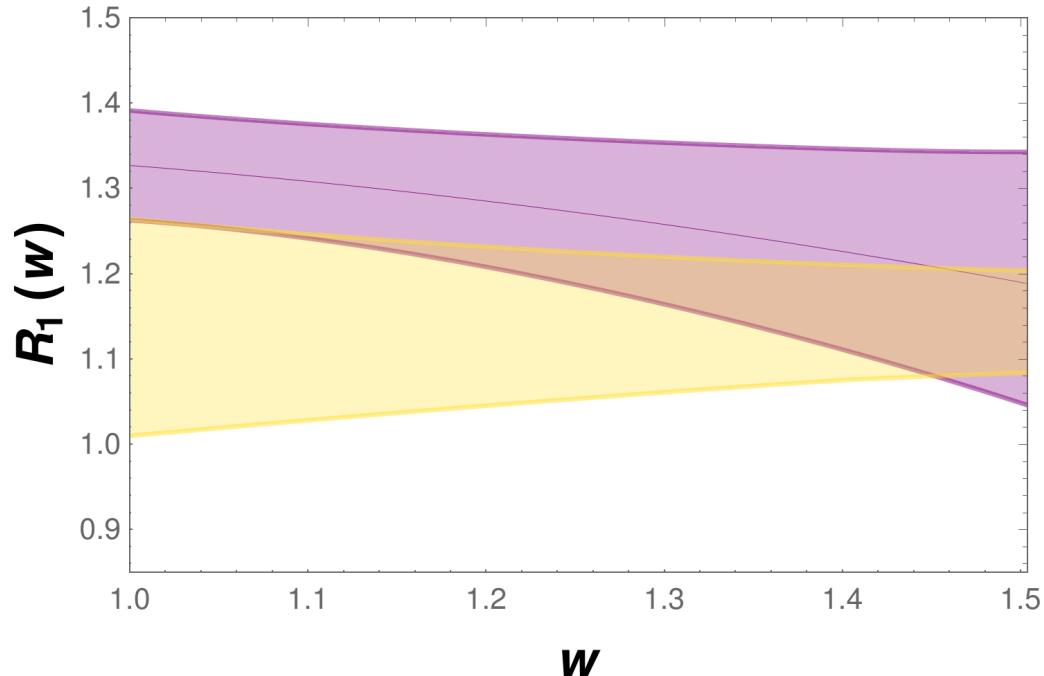
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- Similar pattern in  $h_V$  and  $h_{A_3}$
- Tension between BGJvD and FNAL/MILC in  $h_{A_2}$

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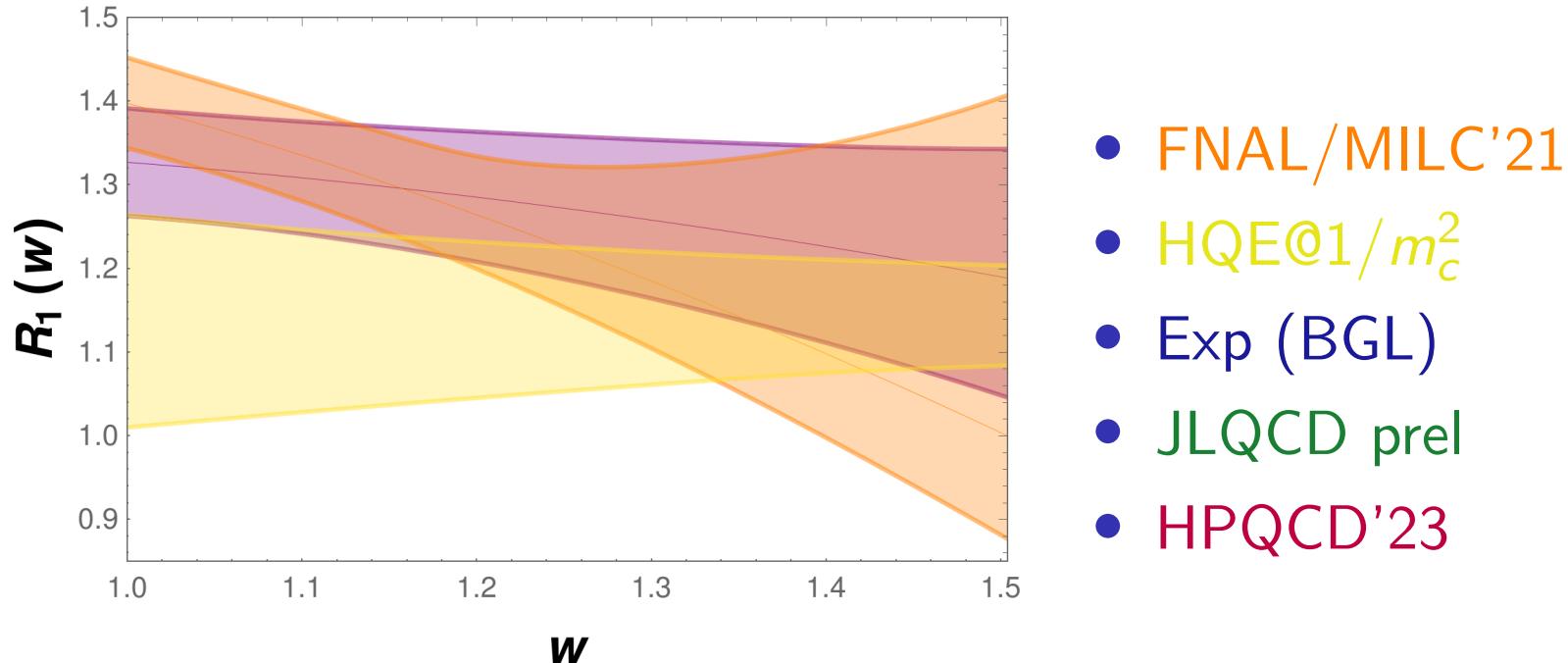
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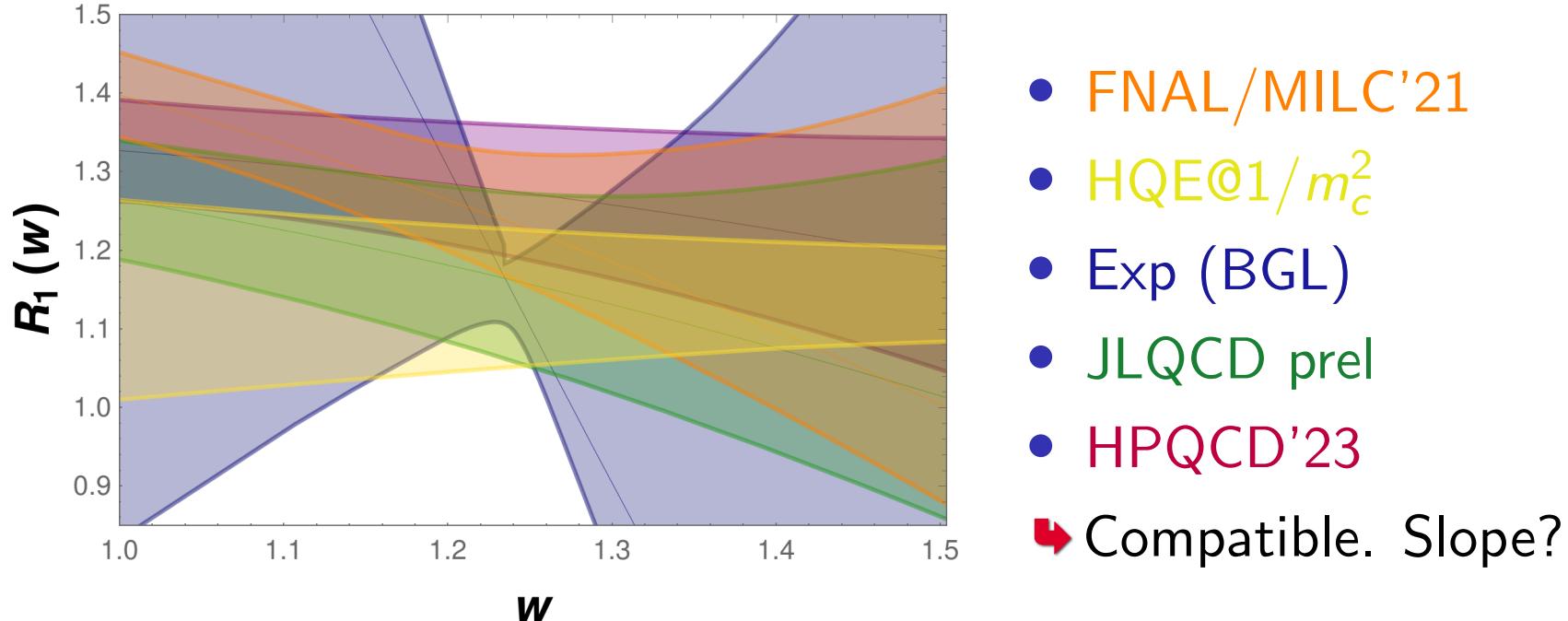
Major improvement:  $B_{(s)} \rightarrow D_{(s)}^*$  FFs@ $w > 1!$  ( $B_s$ : [Harrison+'22] )



- FNAL/MILC'21
- HQE@ $1/m_c^2$
- Exp (BGL)
- JLQCD prel
- HPQCD'23

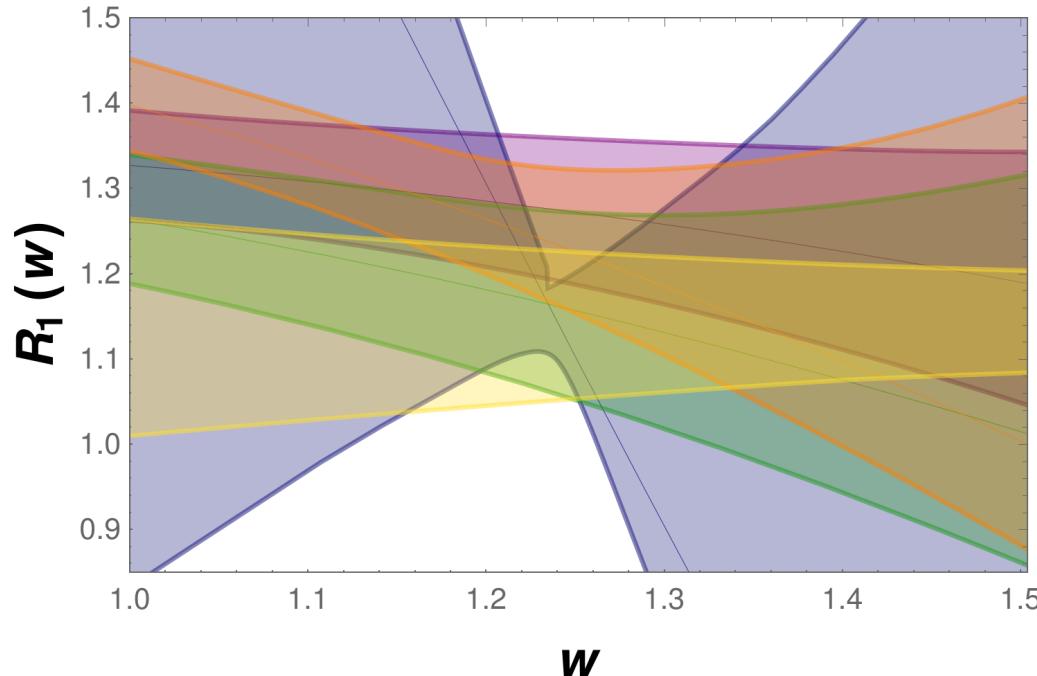
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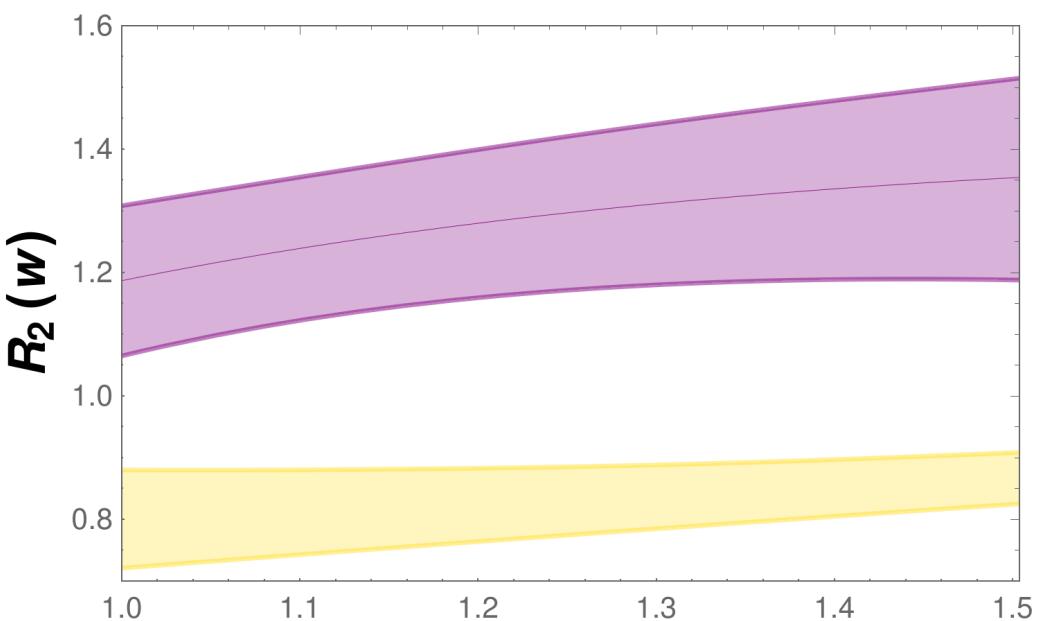


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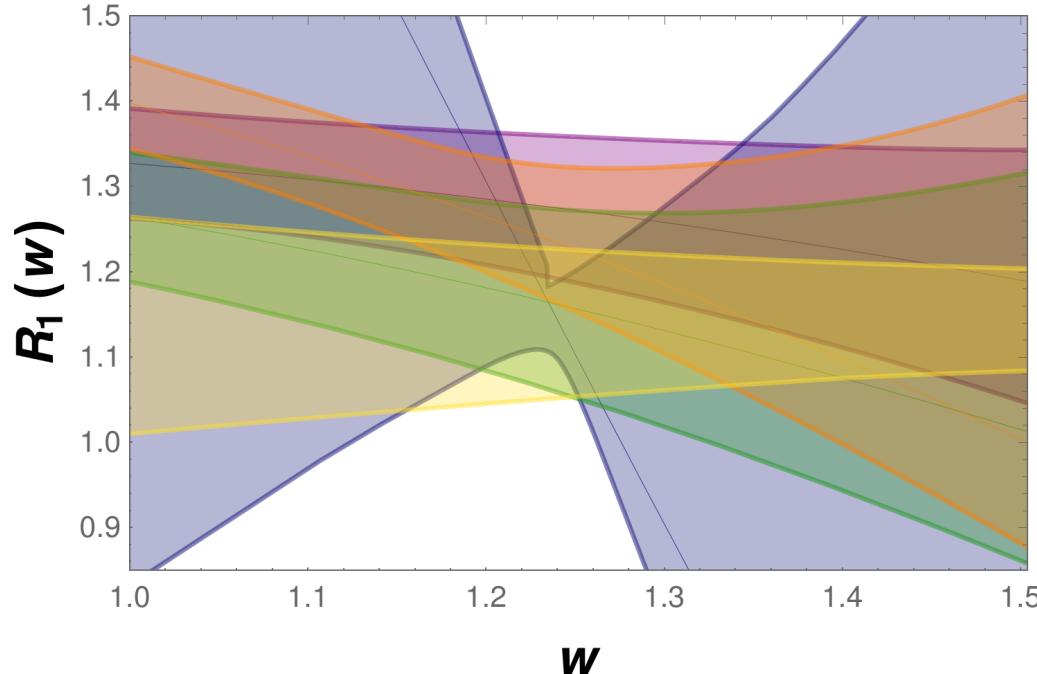
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- ➡ Compatible. Slope?



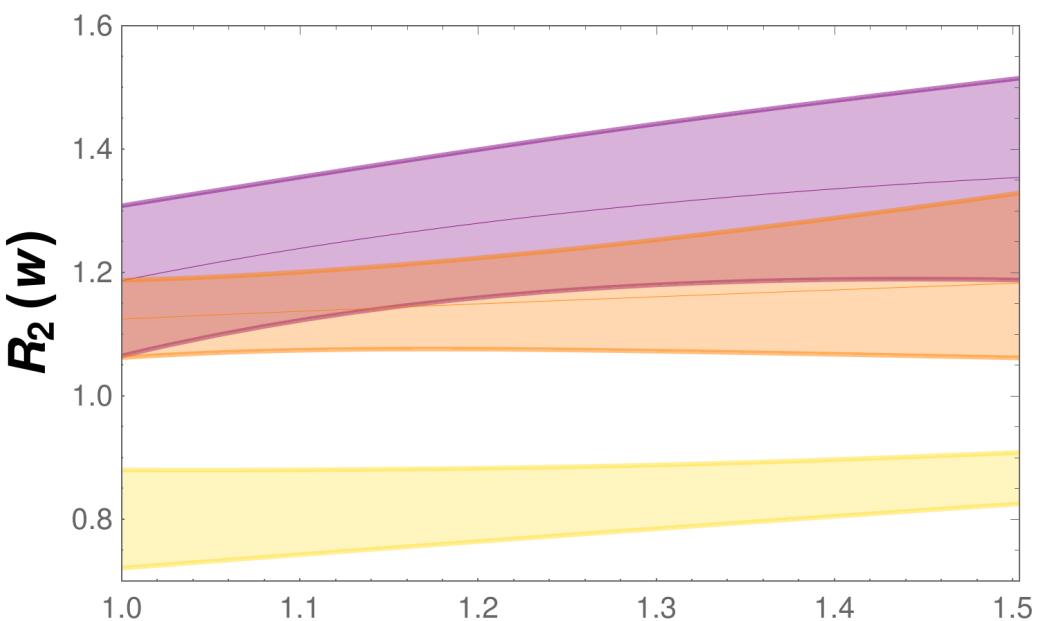
- Deviation HPQCD-BGJvD

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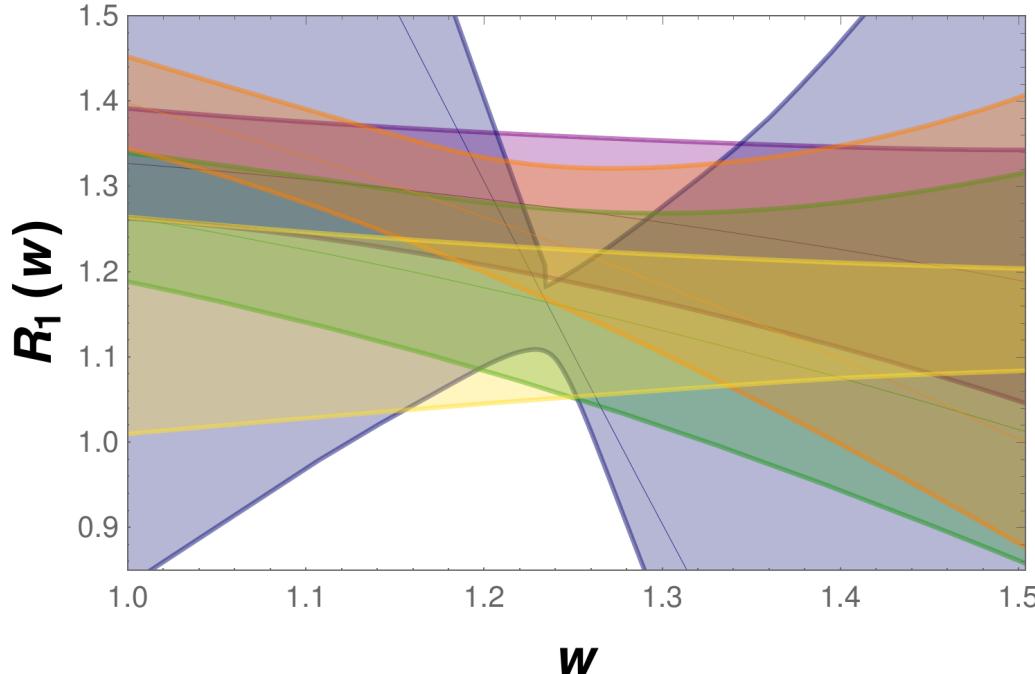
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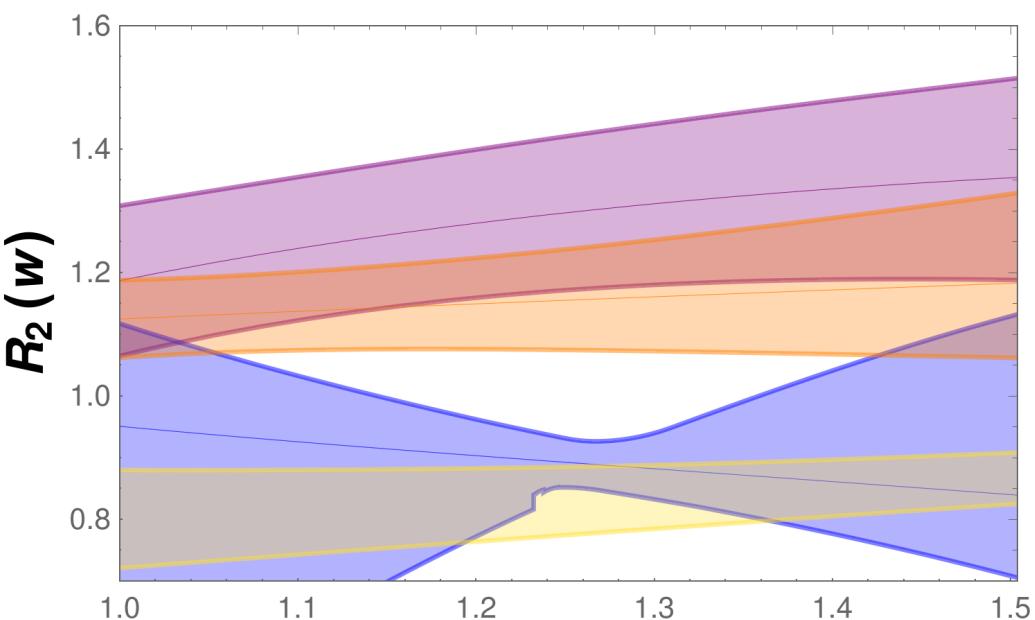
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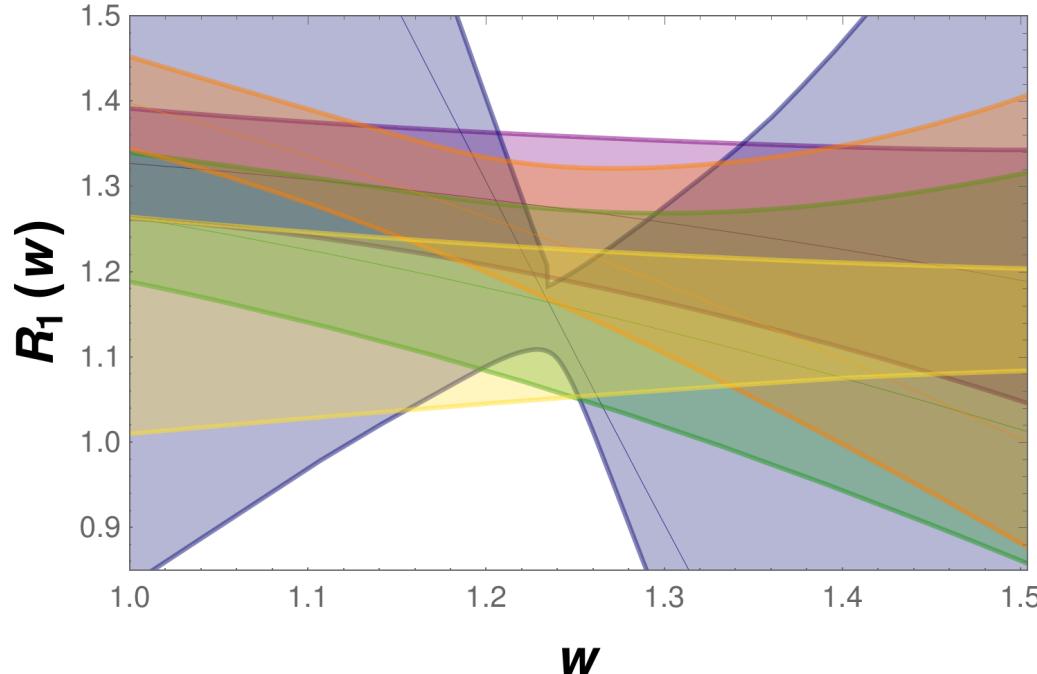
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➡ Requires further investigation!

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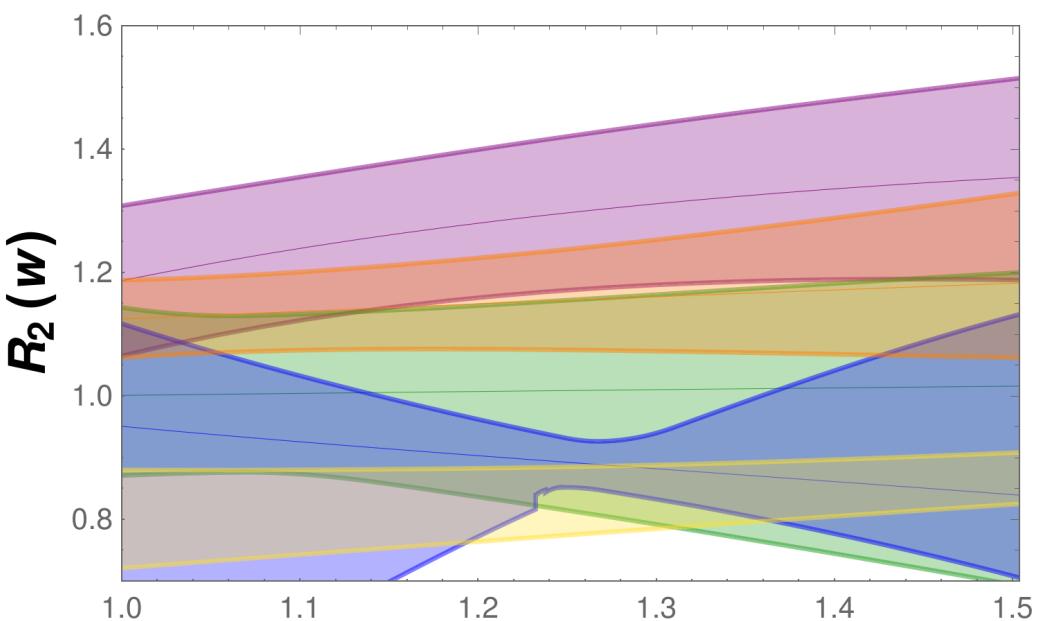
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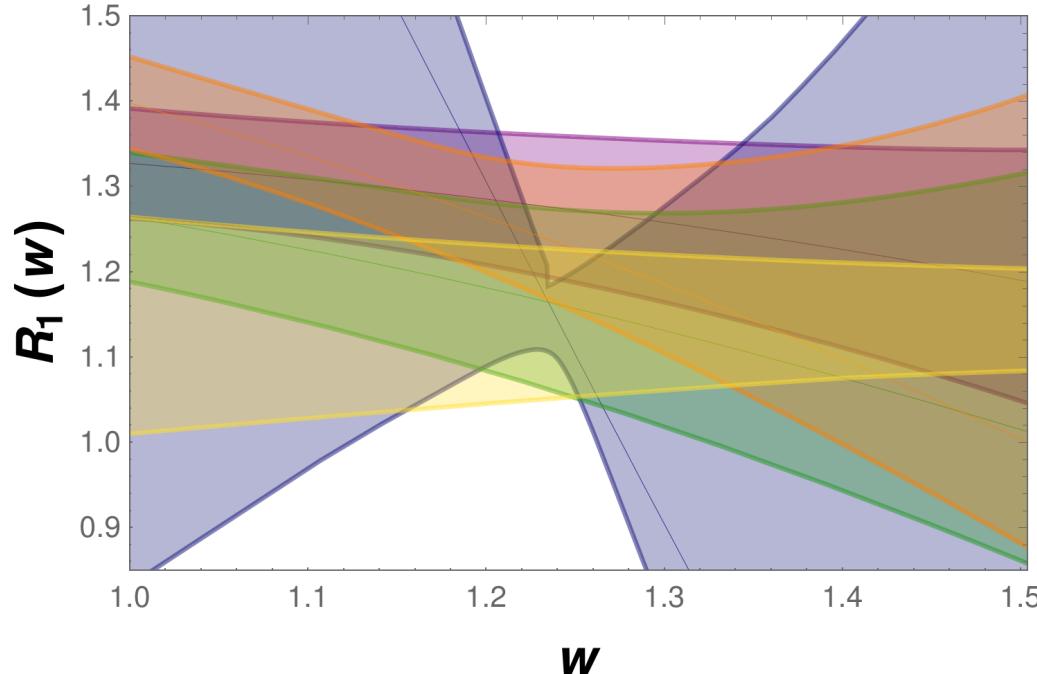
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- JLQCD “diplomatic”

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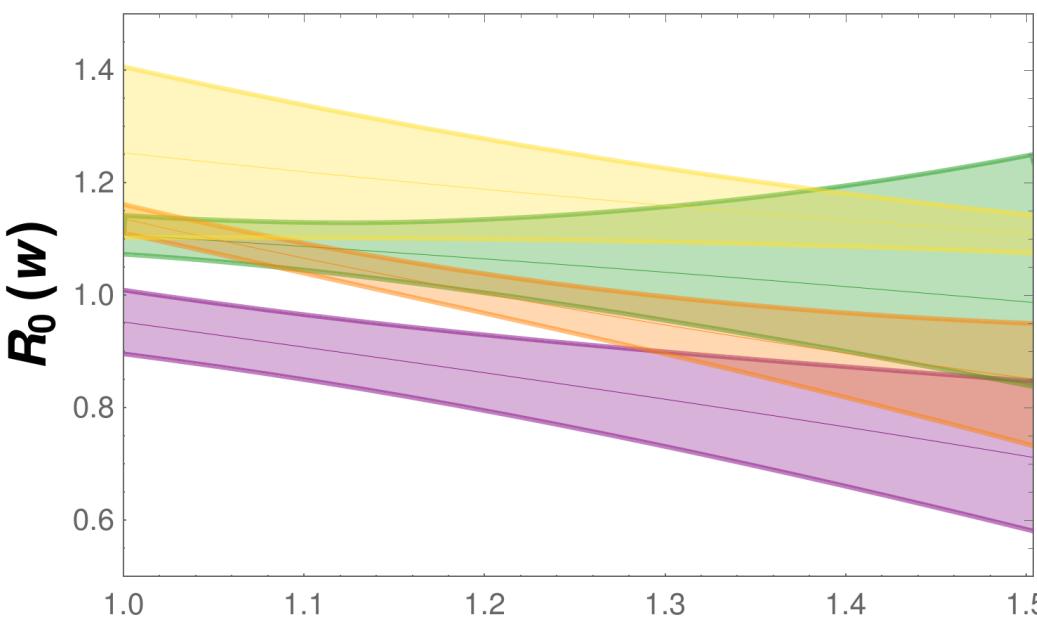
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➡ Compatible. Slope?



- Also in  $R_0$  deviation wrt BGJvD

- JLQCD again “diplomatic”

➡ Requires further investigation!

➡ Correlations?

# Overview over predictions for $R(D^*)$

Value	Method	Input Theo	Input Exp	Reference
	BGL	Lattice, HQET	Belle'17'18	Gambino et al.'19
	BGL	Lattice, HQET	Belle'18	Jaiswal et al.'20
	HQET@ $1/m_c^2, \alpha_s$	Lattice, LCSR, QCDSR	Belle'17'18	Bordone et al.'20
	"Average"			HFLAV'21
	HQET <sub>RC</sub> @ $1/m_c^2, \alpha_s^{(2)}$	Belle'17'18	Lattice	Bernlochner et al.'22
	BGL	Lattice	Belle'18, Babar'19	Vaquero et al.'21v2
	BGL	Lattice	Belle'18	JLQCD prel. (MJ)
	BGL	Lattice	Belle'18	Davies, Harrison'23
	HQET@ $1/m_c^2, \alpha_s$	Lattice, LCSR, QCDSR	---	Bordone et al.'20
	BGL	Lattice	---	Vaquero et al.'21v2
	DM	Lattice	---	Martinelli et al.
	BGL	Lattice	---	JLQCD prel. (MJ)
	BGL	Lattice	---	Davies, Harrison'23

0.24    0.26    0.28  $R_{D^*}$

Lattice  $B \rightarrow D^*$ :  $h_{A_1}(w = 1)$  [FNAL/MILC'14, HPQCD'17] , [FNAL/MILC'21]

Other lattice:  $f_{+,0}^{B \rightarrow D}(q^2)$  [FNAL/MILC, HPQCD'15]

QCDSR: [Ligeti/Neubert/Nir'93,'94] , LCSR: [Gubernari/Kokulu/vDyk'18]

Overall consistent SM predictions!

“Explaining”  $R(D^*)$  by FM/HPQCD  $\rightarrow$  NP in  $B \rightarrow D^*(e, \mu)\nu$ !

# Conclusions

Semileptonic  $b \rightarrow c$  transitions remain exciting!

1.  $q^2$  dependence of FFs critical  
↳ Need parametrization-independent data
2. Inclusion of higher-order (theory) uncertainties essential
3. HQE: systematic expansion in  $1/m, \alpha_s$ , relates FFs  
↳  $\mathcal{O}(1/m_c)$  ( $\rightarrow$  CLN) not sufficient anymore
4. Important first LQCD analyses in  $B_{(s)} \rightarrow D_{(s)}^*$  @ finite recoil  
↳ HPQCD: First 2+1+1 results, full  $q^2$  range!  
↳ Tensions in ratios – correlations?
5. Despite complications:  $R(D^{(*)})$  SM prediction robust!

Central lesson:

Experiment and theory (lattice + pheno) need to work closely together!

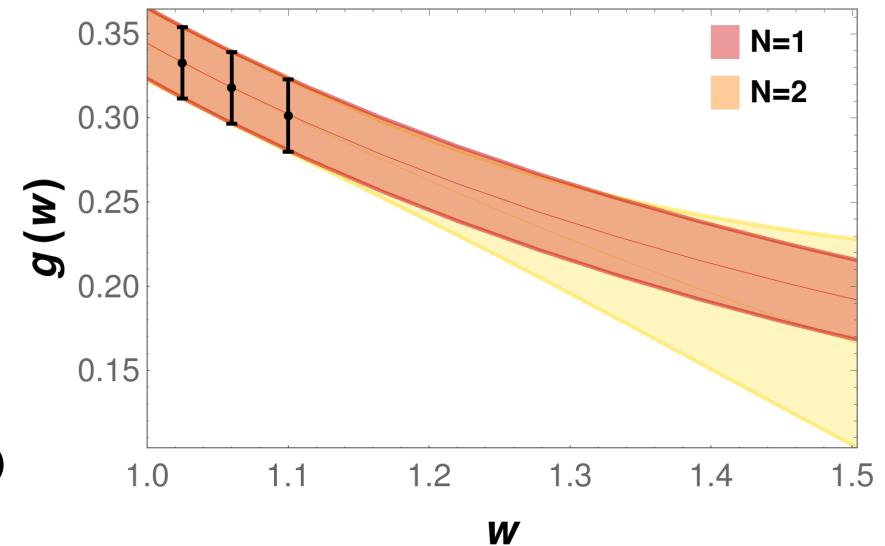
## Comparison to Bernlochner+'22

Bernlochner et al. also perform HQE analysis @ $1/m_c^2$ . Differences:

- Postulate different counting within HQET
  - ➡ Highly constraining model for higher-order corrections
- Avoid use of LCSR (and mostly QCDSR) results
- Include partial  $\alpha_s^2$  corrections
- Include FNAL/MILC results partially
- Expansion in  $z$ : 2/1/0 (justified in [Bernlochner+'19] )

Observations:

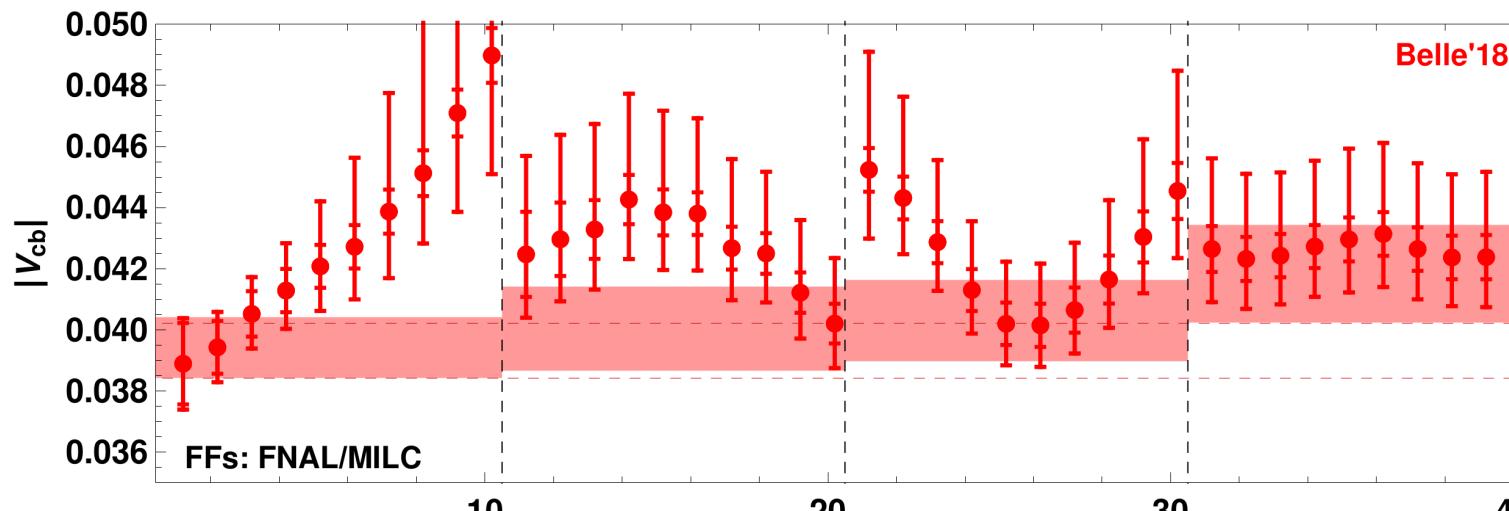
- $1/m_c^2$  corrections necessary
- Overall small uncertainties
- $V_{cb} = (38.7 \pm 0.6) \times 10^{-3}$ 
  - ➡ smaller due to larger  $\mathcal{F}(1)$
- $R(D^*)$ : agreement w/ BGJvD
- $R(D) \sim 3\sigma$  from GJS + BGJvD
  - ➡ In my opinion due to model



# The Dispersive Matrix (DM) Method

Alternative implementation of unitarity [Bourrely+'81,Lellouch'95] :

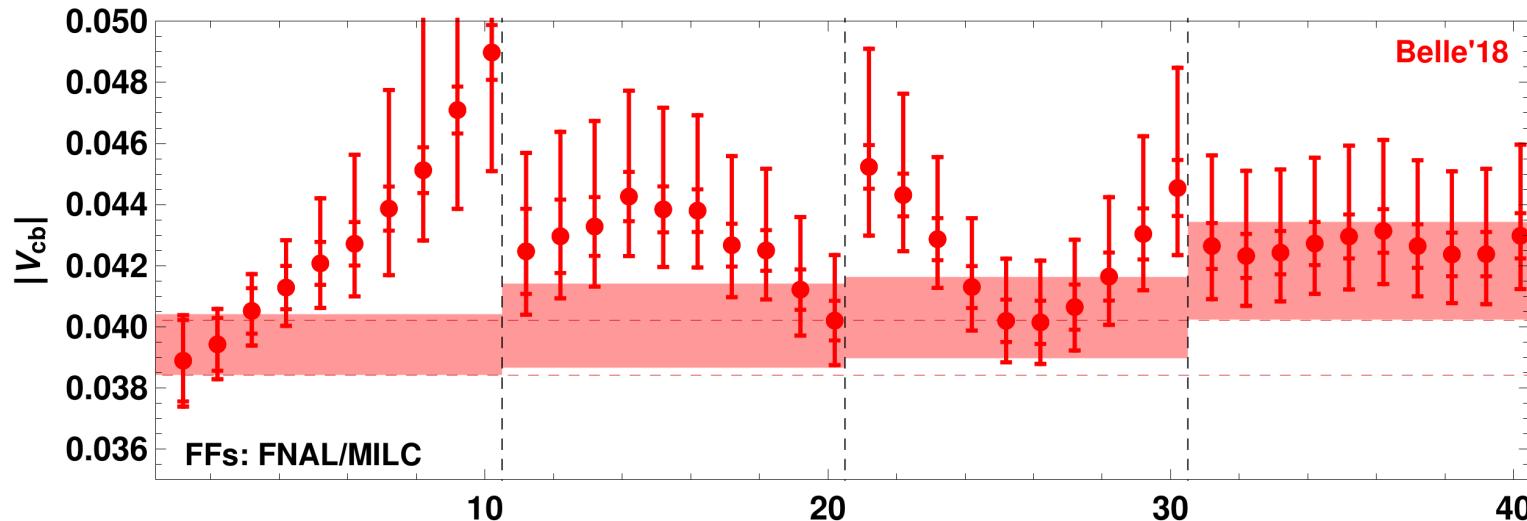
- Identical starting point as BGL: dispersion relation
- Known information in a matrix with positive determinant
  - ➡ Form-factor bounds
- Enables parametrization-free analysis



Implemented recently for  $B \rightarrow D^* \ell \nu$  [DiCarlo+'21,Martinelli+'21,22] :

- Use DM w/ new FNAL/MILC data to obtain FF bands
- Calculate  $V_{cb}$  bin-wise, combine  $d\Gamma/dx$  bins ( $x = q^2, \cos \theta, \dots$ )  
(including experimental and theoretical correlations)
  - ➡  $2 \times 4$   $V_{cb}$  values. Claim:  $0.5\sigma$  to  $V_{cb}^{\text{incl}}$ ,  $1.3\sigma$  to  $R(D^*)$

# The Dispersive Matrix (DM) Method



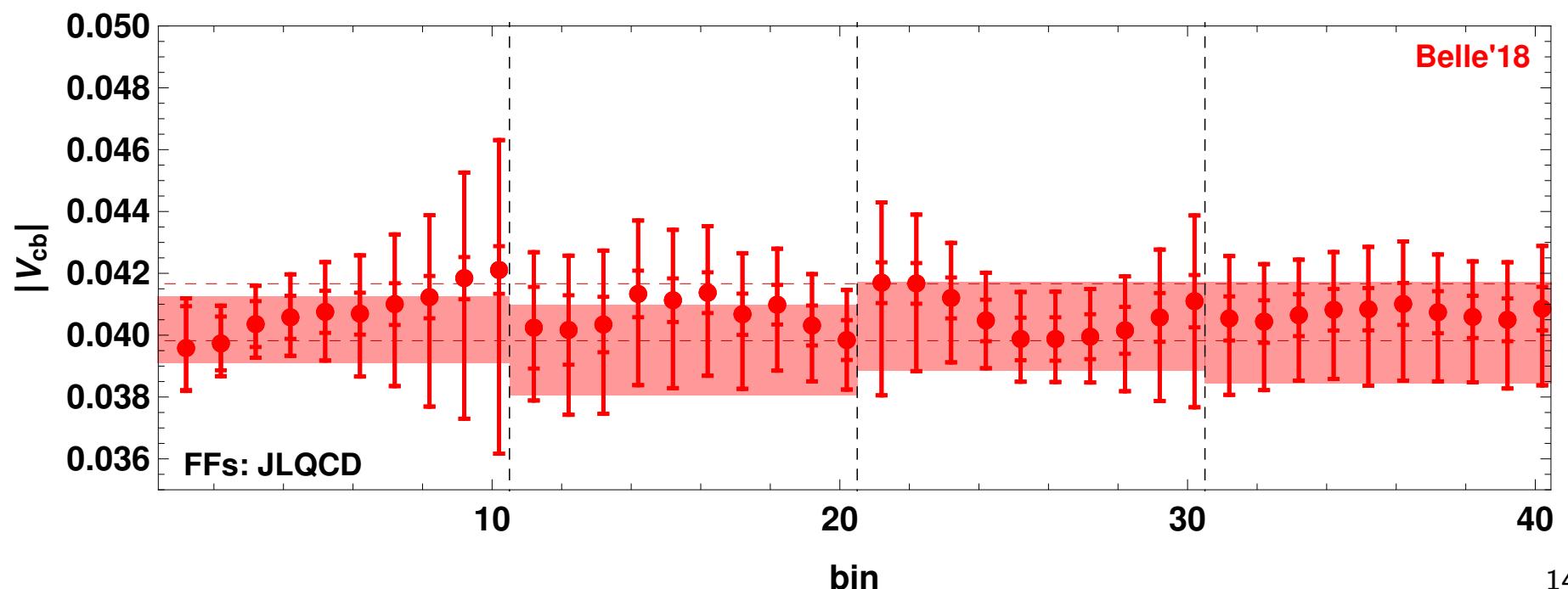
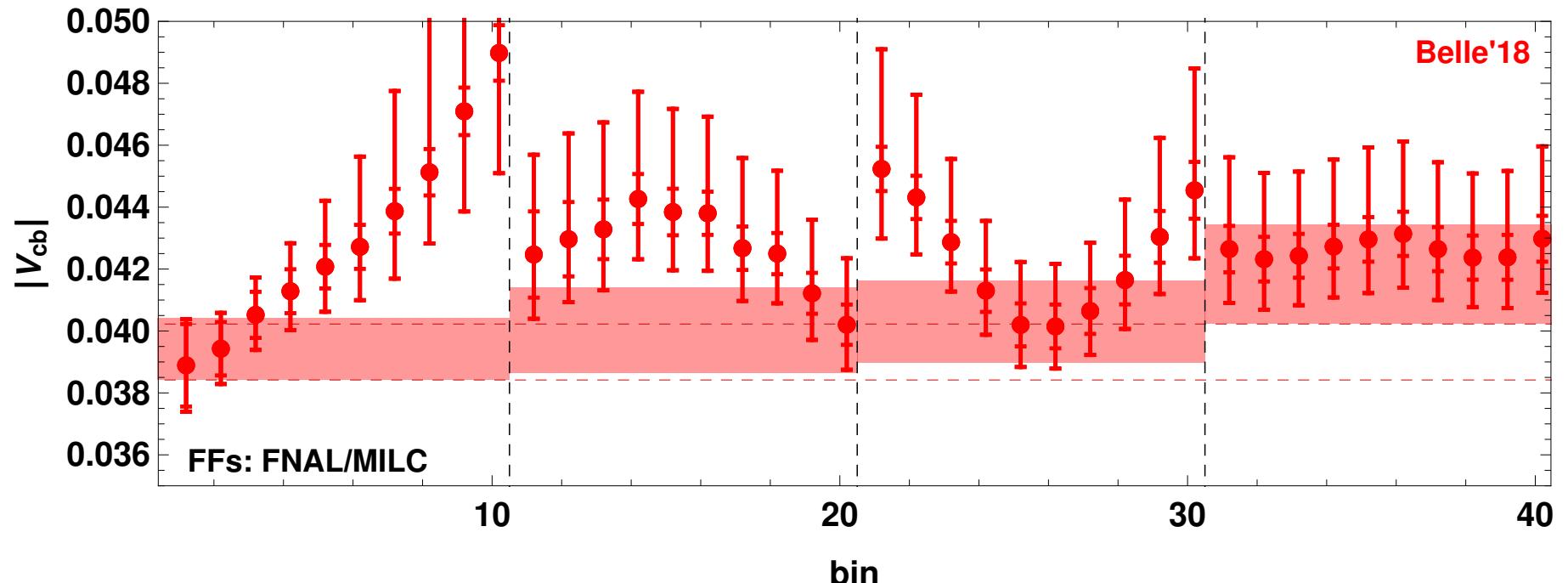
Differences between DM and GJS [Gambino/MJ/Schacht'19] :

- GJS: **Combined fit** of lattice and experiment, imposing unitarity
- DM: **Unweighted, uncorrelated** average of the 4  $V_{cb}$  values:

$$\mu = \frac{1}{N} \sum_{k=1}^N x_k, \quad \sigma_x^2 = \frac{1}{N} \sum_{k=1}^N \sigma_k^2 + \frac{1}{N} \sum_{k=1}^N (x_k - \mu_x)^2$$

➡  $V_{cb}^{\text{GJS}} = (39.2^{+1.4}_{-1.2}) \times 10^{-3}, \quad V_{cb}^{\text{DM}} = (40.8 \pm 1.7) \times 10^{-3}$

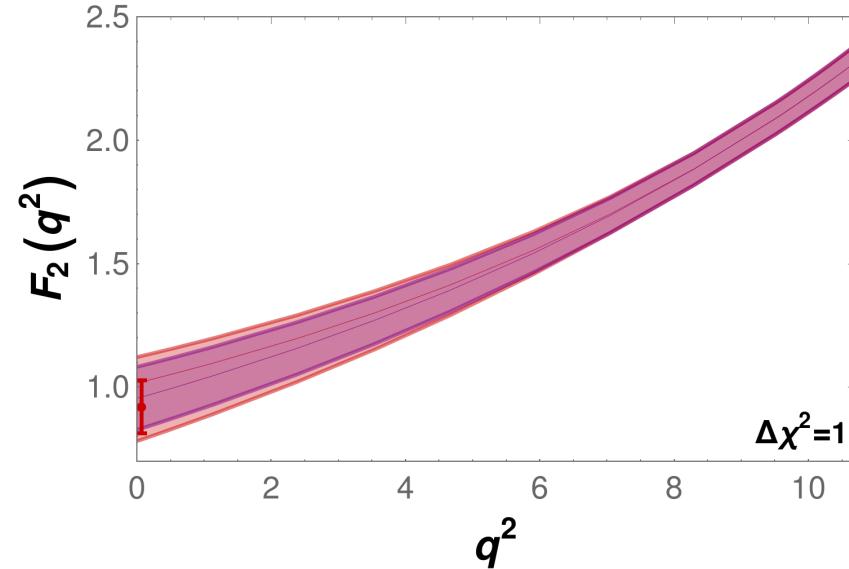
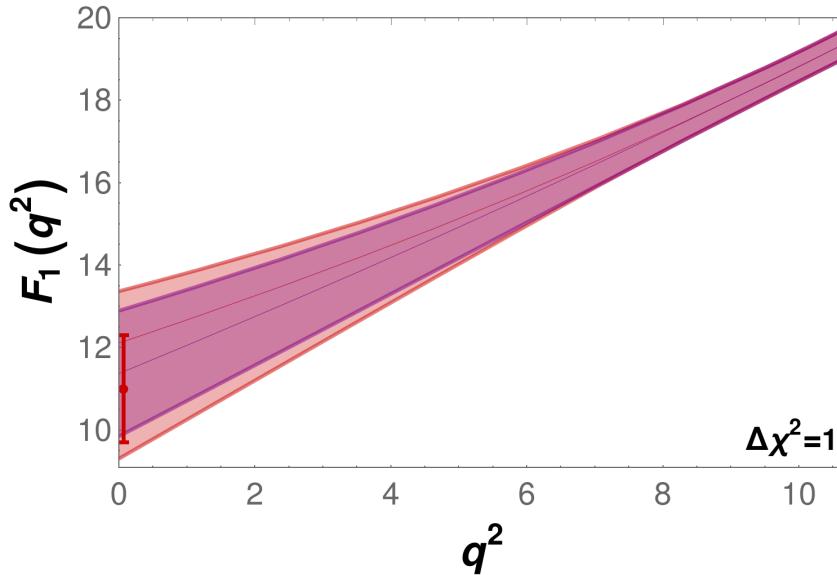
# Binned $V_{cb}$ from Belle'18 data: FNAL/MILC vs JLQCD



# Priors and potential biases

Different conclusions starting from identical information

**Example:**  $R(D^*)$  extraction from FNAL/MILC data



$R(D^*)$  including kinematical identities and weak unitarity

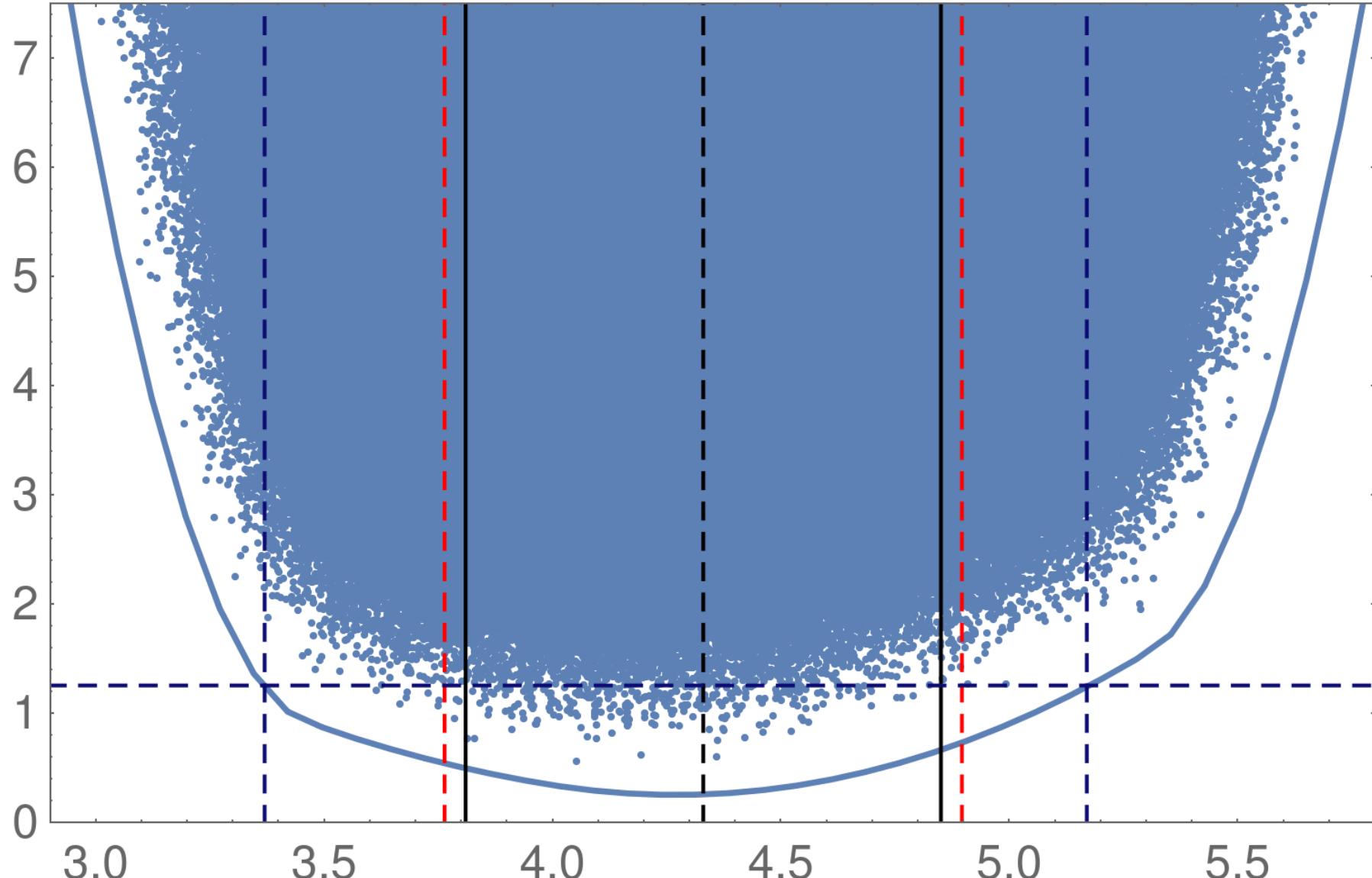
$$R(D^*) \stackrel{\text{WU}}{=} 0.269^{+0.020}_{-0.008} \quad \stackrel{\text{FM}}{=} 0.274 \pm 0.010 \quad \stackrel{\text{Rome}}{=} 0.275 \pm 0.008 .$$

Difference WU-FM: FM apply prior on BGL coefficients

Difference WU-Rome (educated guess): iterated “unitarity filter”  
+ different error estimate

Applying data:  $R(D^*) = 0.249 \pm 0.001(!)$  **universally**.

# Uncertainty determination



MC points together with  $\chi^2$  profile (minimizing for each FF value)  
Vertical: CV MC, “ $1\sigma$ ” MC, symmetric 68.3% interval MC,  $\Delta\chi^2 = 1$