

MANCHESTER  
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The University of Manchester

Chris Parkes presents

# BACK TO THE FUTURE THE TRILOGY

an LHCb

Flavour Physics at  
the start of a new era



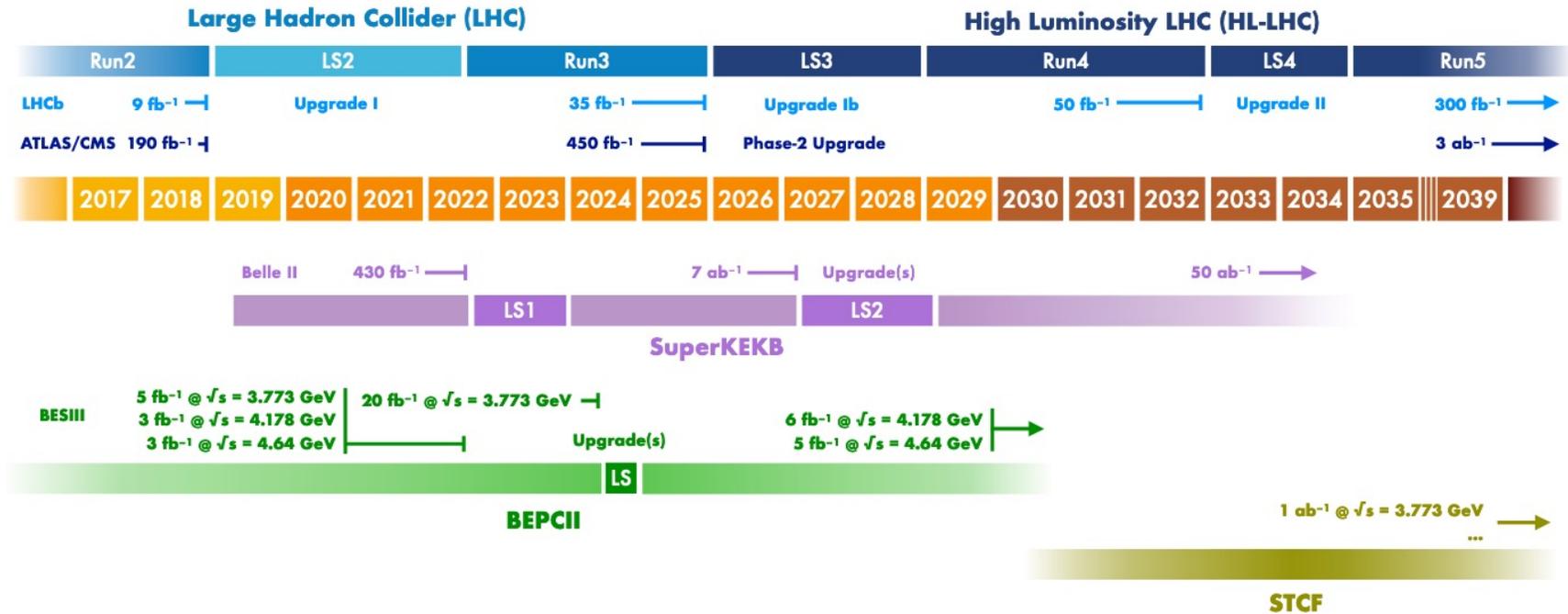
13<sup>th</sup> December 2023



Chris Parkes

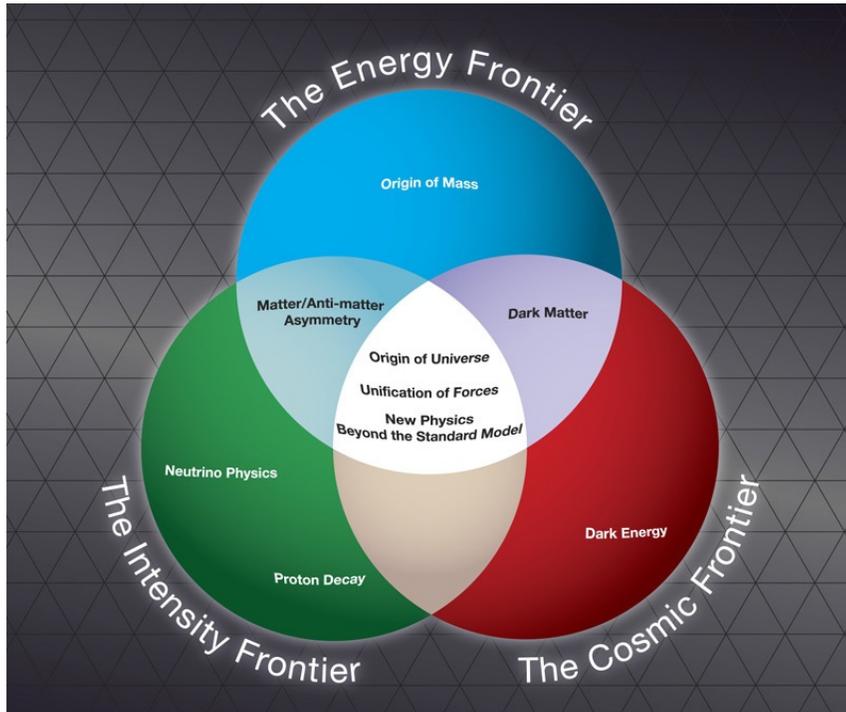
# Part I: Experiment Outlook (other than LHCb)

- Kaons
- Charm
- Beauty



FCC-ee  
>2045

# Flavour Physics

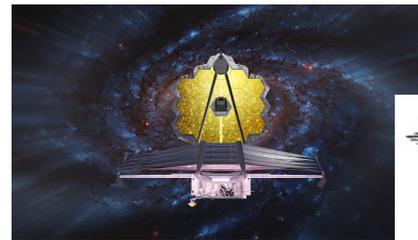


<https://science.osti.gov/hep/About/Vision-for-HEP>

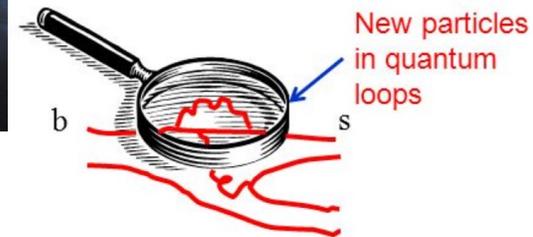


$$E=mc^2$$

- **Energy**
- Directly produce new particles and observe from their decays.



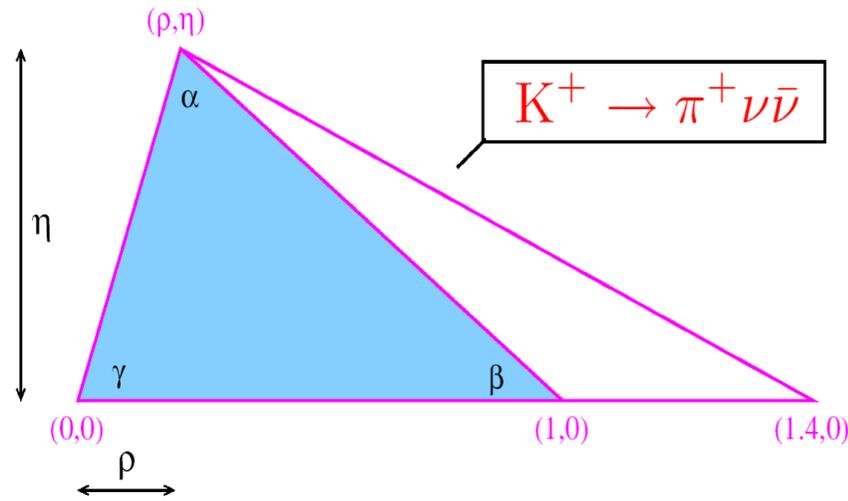
**“Quantum Imprints”**



- **Intensity**
- Precision measurements and compare with theory

# Kaon Physics

With thanks to Cristina Lazzeroni for info. on following slides



Can we reach the Zeptouniverse with rare  $K$  and  $B_{s,d}$  decays?

arXiv:1408.0728

arXiv:2210.04765

Small number of kaon decay modes

Simple final states

Ease of producing intense kaon beams

Can probe unprecedented mass scales

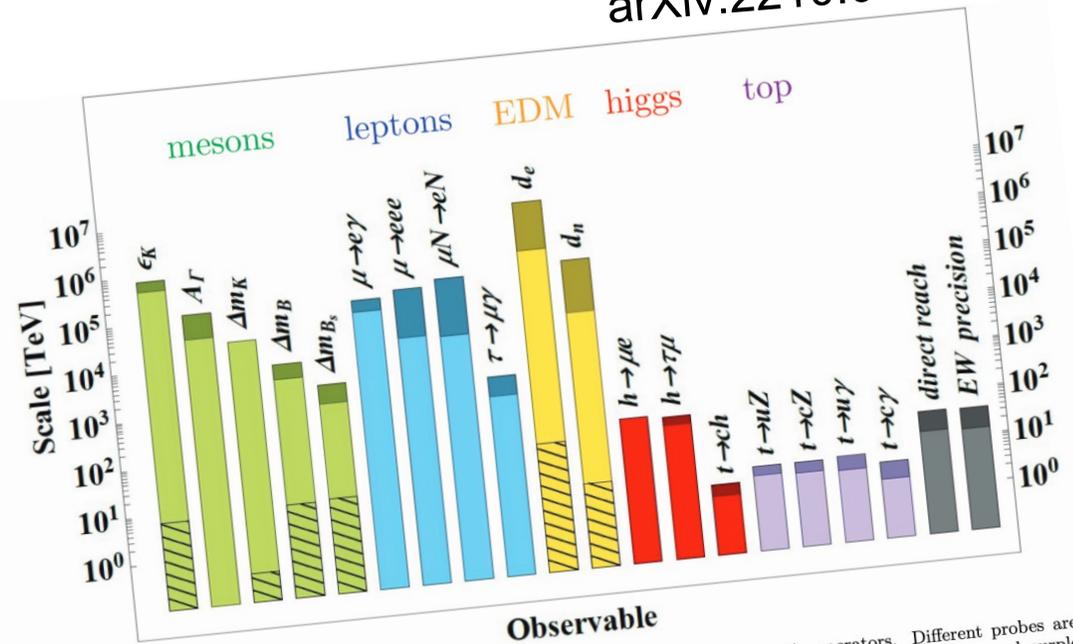
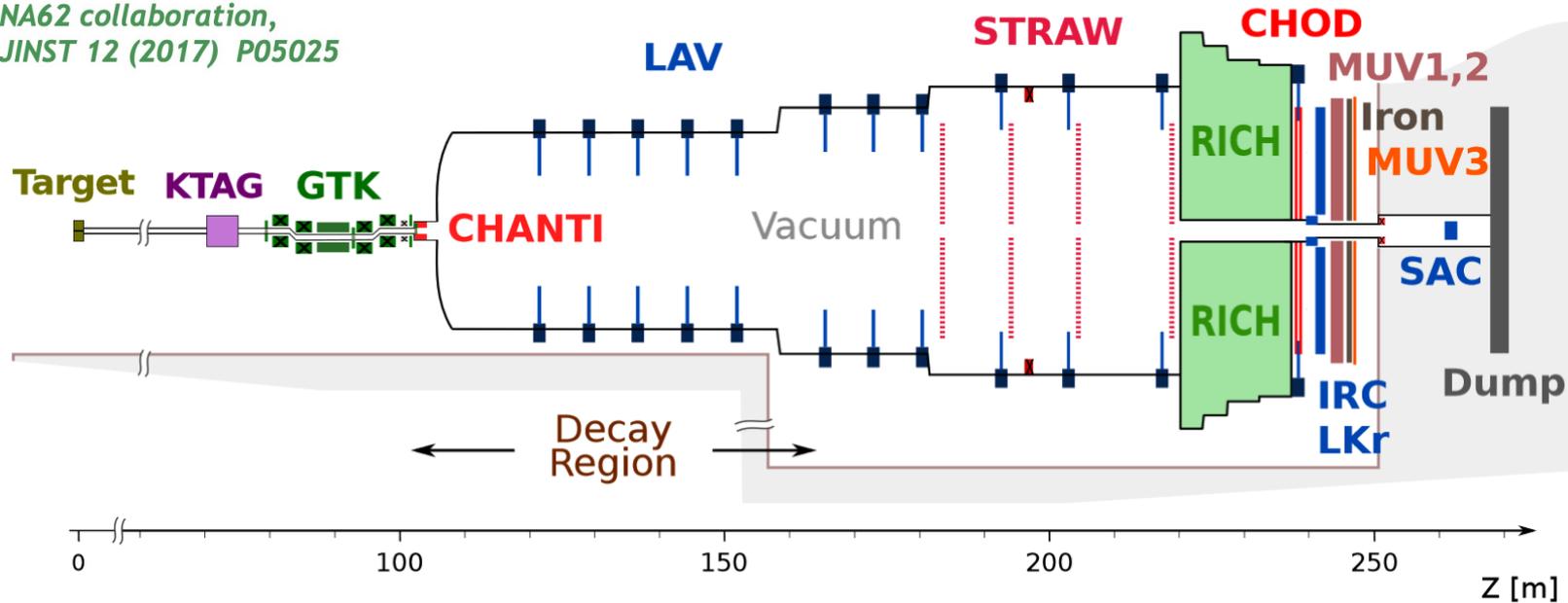


FIG. 1. Reach in new physics of present and future facilities, from generic dimension-six operators. Different probes are identified by color coding: green is for mesons, blue for leptons, yellow for EDMs, red for Higgs flavored couplings, and purple for the top quark. The grey columns illustrate the reach of direct searches and electroweak precision studies. The coupling coefficients of these operators are taken to be of  $\mathcal{O}(1)$  in the solid color columns or suppressed by MFV factors (hatch-filled surfaces). Light colors correspond to present data, and dark colors correspond to mid-term prospects in the time scale of the HL-LHC [17, 18].

# Kaons - NA62 at CERN. Detector



NA62 collaboration,  
JINST 12 (2017) P05025

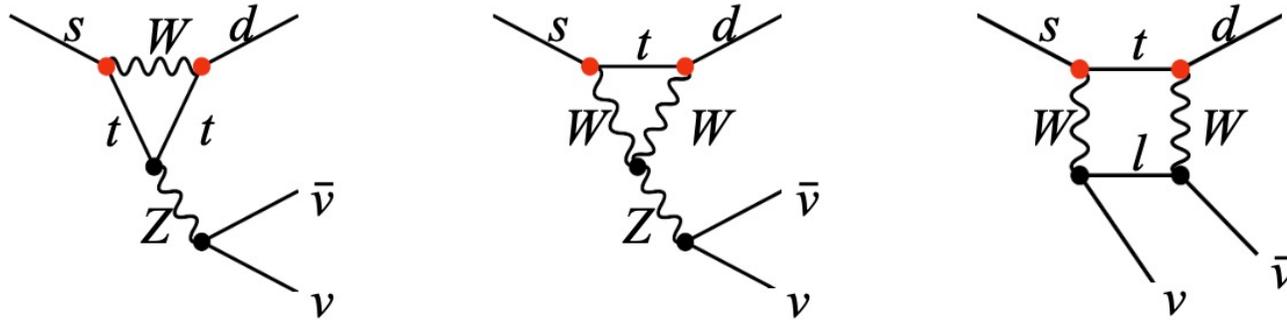


- K decay volume – hence 300m long !
- Kaons: KTAG – Cherenkov kaon tagger, GTK – silicon tracker
- Decay products – Straw tracker, RICH, Calorimeters

# Kaons - NA62 at CERN. Physics Results & Aims

## Ultra-rare Kaon Decays $K \rightarrow \pi \nu \bar{\nu}$

JHEP 06 (2021) 093



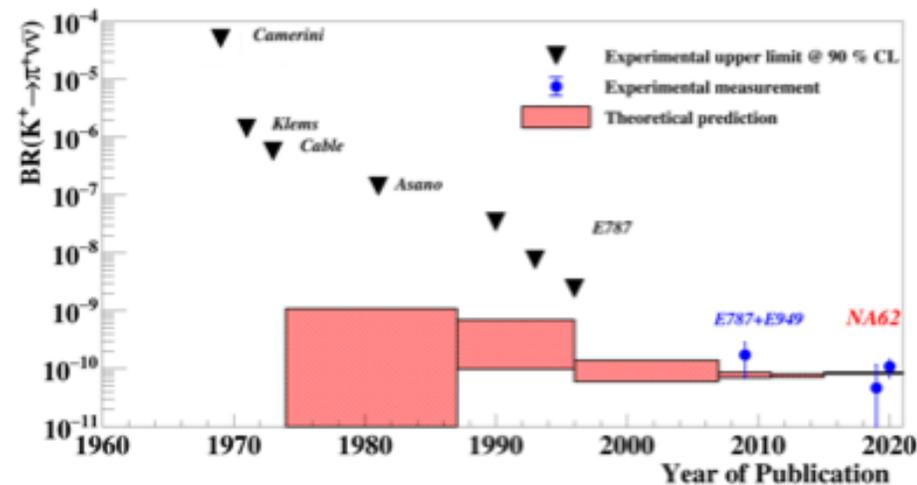
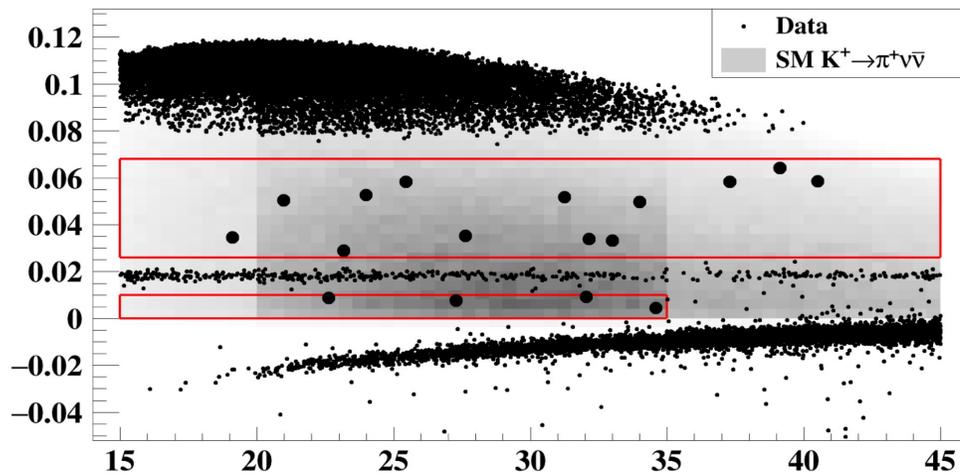
A high-order process with highest CKM suppression:

$$A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$$

Extremely rare decays, rates very precisely predicted in SM

“Free” from hadronic uncertainties. **Exceptional SM precision**

**$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (11.0^{+4.0}_{-3.5 \text{ stat}} \pm 0.3_{\text{syst}}) \times 10^{-11}$**  3.5 $\sigma$  significance from first results



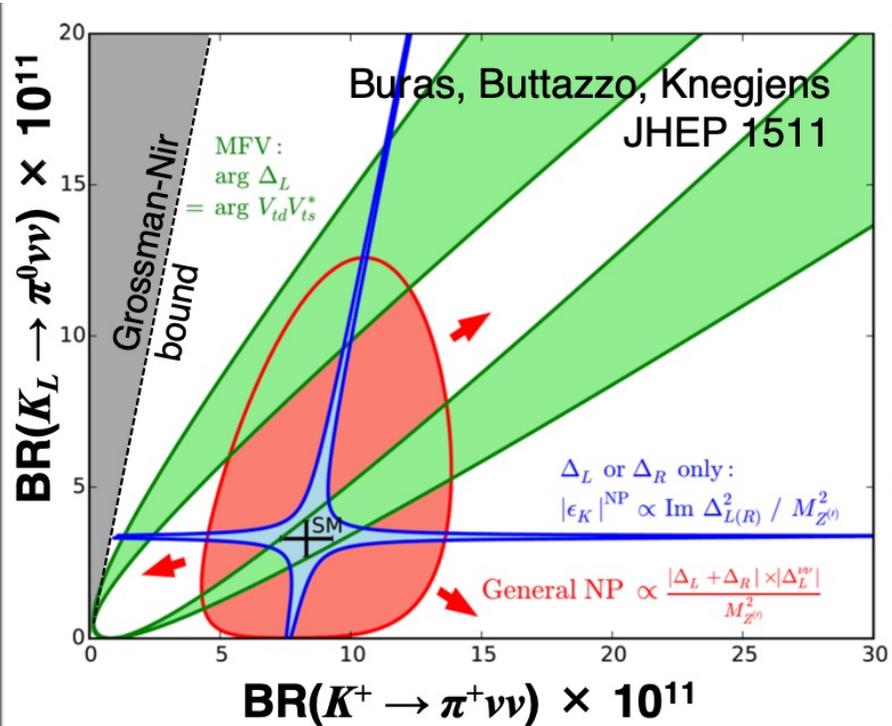
**Measure  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$  to O(10%) by LS3 (2026)**

# HIKE – Future Kaon Physics Programme at CERN

arXiv:2211.16586



- CERN approving an upgrade to an SPS beamline (ECN3) – ~5 times intensity
- One of the proposals for use of this is HIKE



- Models with CKM-like flavor structure
  - Models with MFV
- Models with new flavor-violating interactions in which either LH or RH couplings dominate
  - $Z/Z'$  models with pure LH/RH couplings
  - Littlest Higgs with  $T$  parity
- Models without above constraints
  - Randall-Sundrum

## Phase 1:

$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$  to O(5%) precision

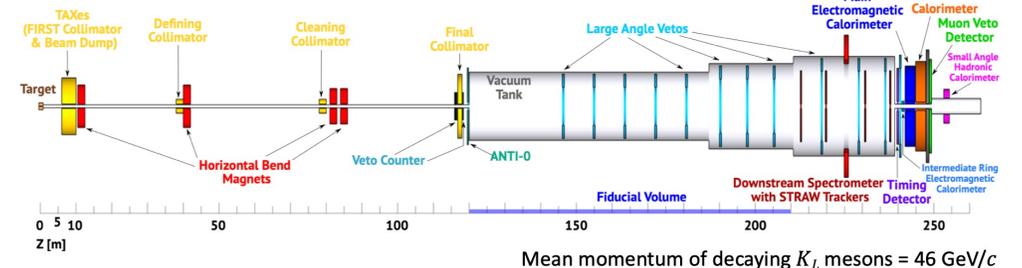
## Phase 2:

First observation of the  $K_L \rightarrow \pi^0 l^+ l^-$

## Phase 3: KLEVER (nothing-to-nothing!)

$B(K_L \rightarrow \pi^0 \nu \nu)$  to O(20%) precision

## HIKE Phase 2 ( $K_L$ )



Also JPARC KOTO Experiment (Phys. Rev. Lett. 126, 121801) for  $K_L \rightarrow \pi^0 \nu \nu$

No  
decision  
yet



**SHADOWS**

Search for **Hidden And Dark Objects** With the SPS

**Vs**



# Charm dedicated facilities – BESIII at IHEP, Beijing

arXiv:1912.05983

- LHCb dominates charm statistics and with boost -but **BESIII** has unique properties



## $D^0$ Strong Phase Difference in $\gamma/\phi_3$

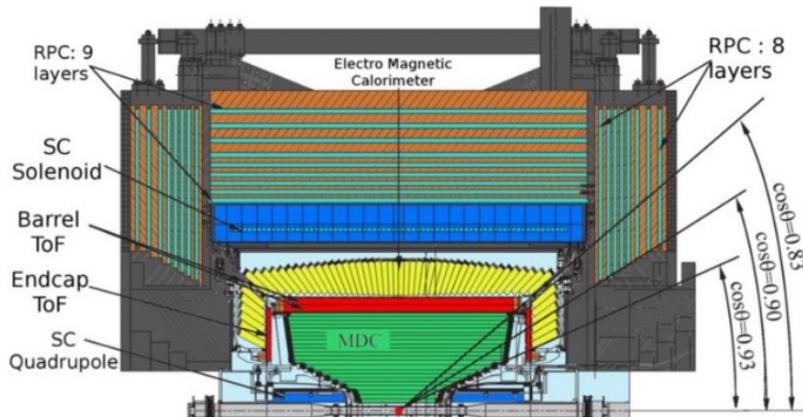
Quantum entangled threshold charm mesons at threshold:

- Tag one meson in a CP eigenstate
- Sensitivity to strong phases, CP fraction in other meson decay.
- Vital input to  $\gamma$  measurement and charm mixing studies at LHCb & Belle II.

## Hadron Spectroscopy and Exotic Hadrons

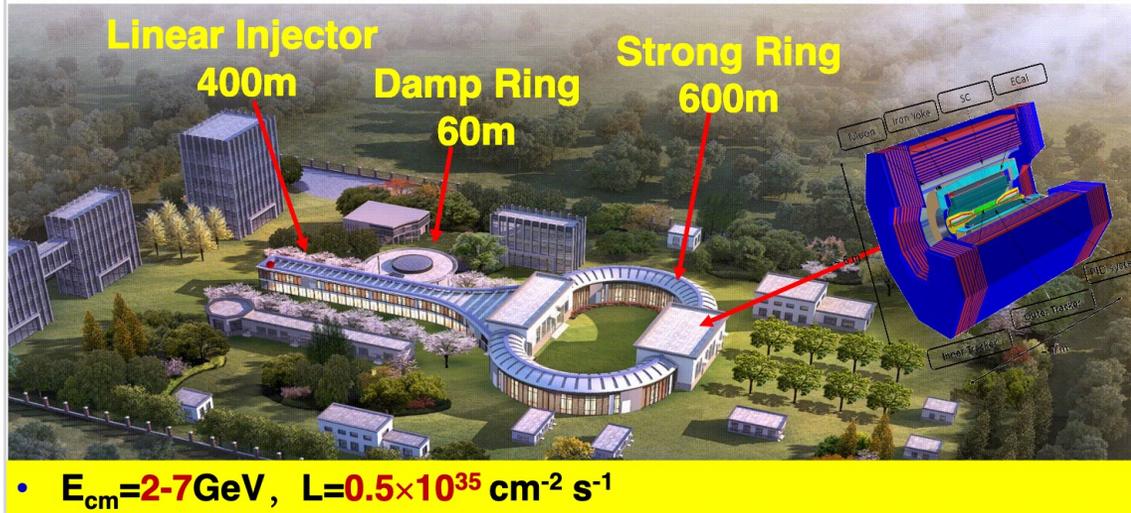
Nature of exotic hadrons much debated:

- Different production mechanism
- Low backgrounds

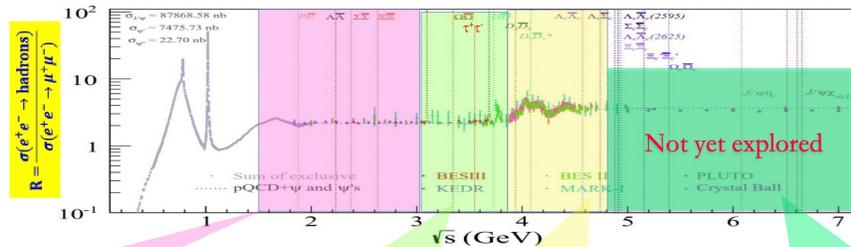


# Charm dedicated facilities – Super Charm-Tau in China?

With thanks to Yangheng Zheng, Charm 2023



- Proposed new facility [arXiv:2303.15790](https://arxiv.org/abs/2303.15790)
- Build on BESII success, two orders of magnitude higher luminosity
- Apply to Chinese government for construction funding in 2026-2030

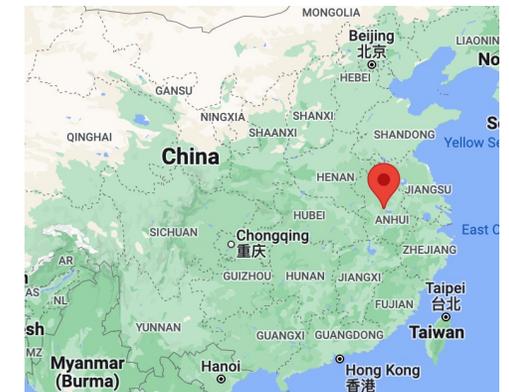
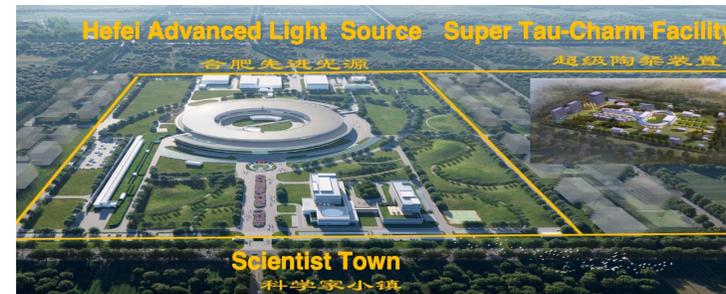


- Nucleon/Hadron form factors
- $Y(2175)$  resonance
- Multiquark states with s quark
- MLLA/LPHD and QCD sum rule predictions

- LH spectroscopy
- Gluonic and exotic
- LFV and CPV
- Rare and forbidden decays
- Physics with  $\tau$  lepton

- XYZ particles
- Physics with D mesons
- $f_D$  and  $f_{D_s}$
- $D_0$ - $D_0$  mixing
- Charm baryons

- New XYZ particle
- Hidden-charm pentaquark
- Multiquark state
- Di-charmonium state
- Charm baryons
- Hadron fragmentation

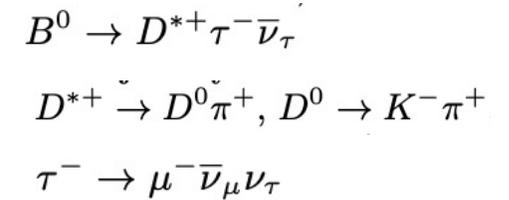
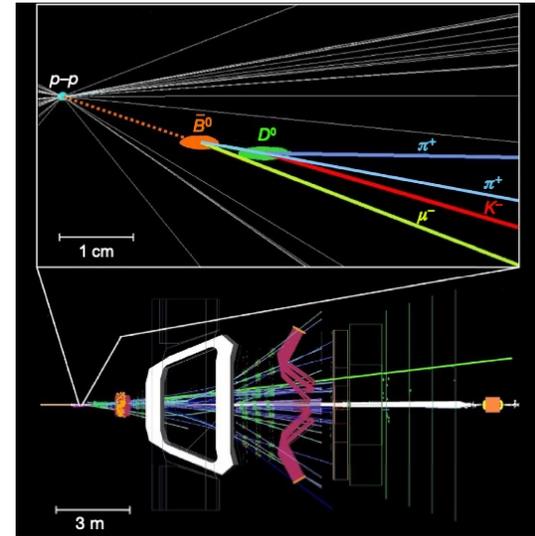
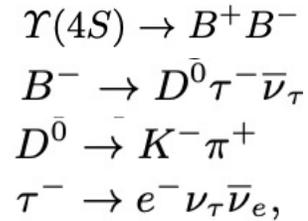
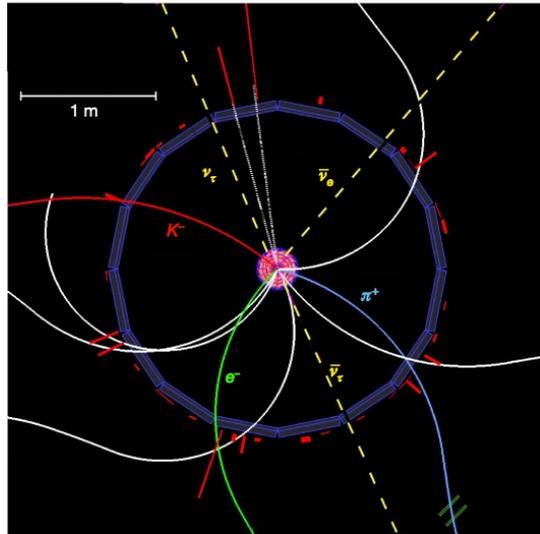


FTCF2024  
USTC Hefei

## The 2024 International Workshop on Future Tau Charm Facilities

January 14-18, 2024

# Beauty dedicated facilities – threshold $e^+e^-$ or p-p



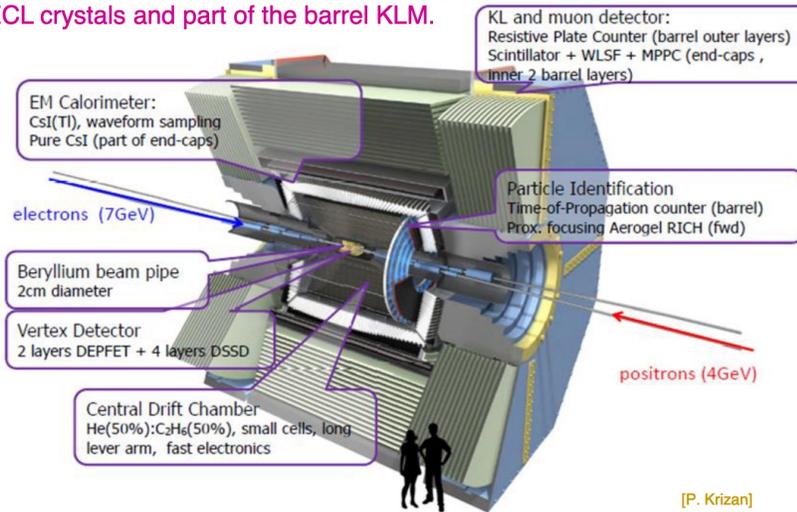
- Clean environment
  - no additional tracks
- Initial state
  - $B^0 B^0$  or  $B^+ B^-$
- B mesons  $\sim 20\% \sigma_{\text{tot}}$ 
  - simpler triggering

- Huge production rates
  - $pp \text{ fb}^{-1} \sim e^+e^- \text{ ab}^{-1}$
- All Beauty hadrons species
  - $B^0, B^+, B_s, B_c, \Lambda_b$
- Large boost factor
  - Time resolution

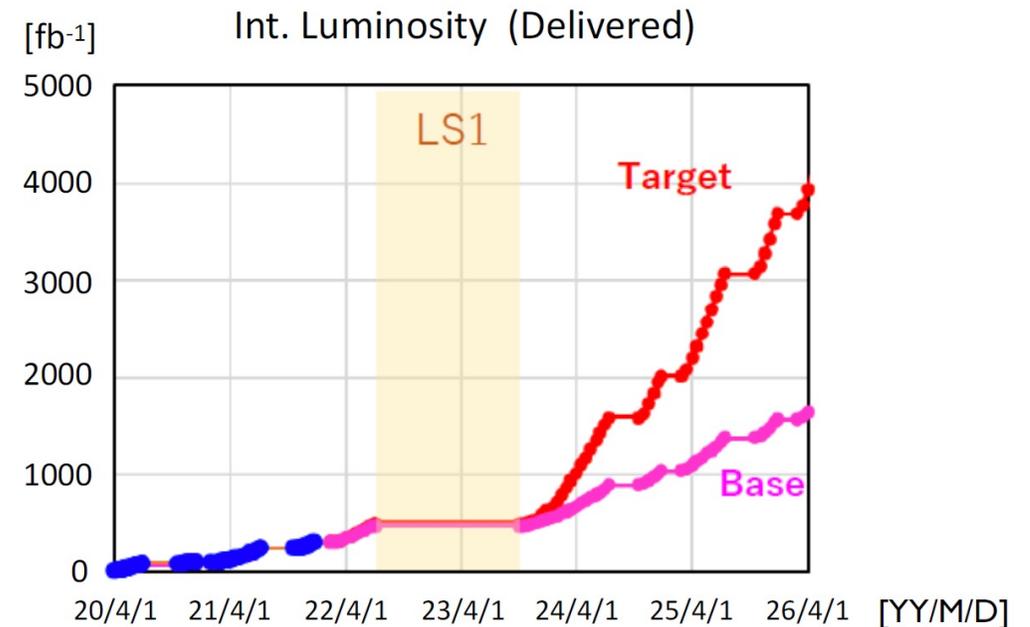
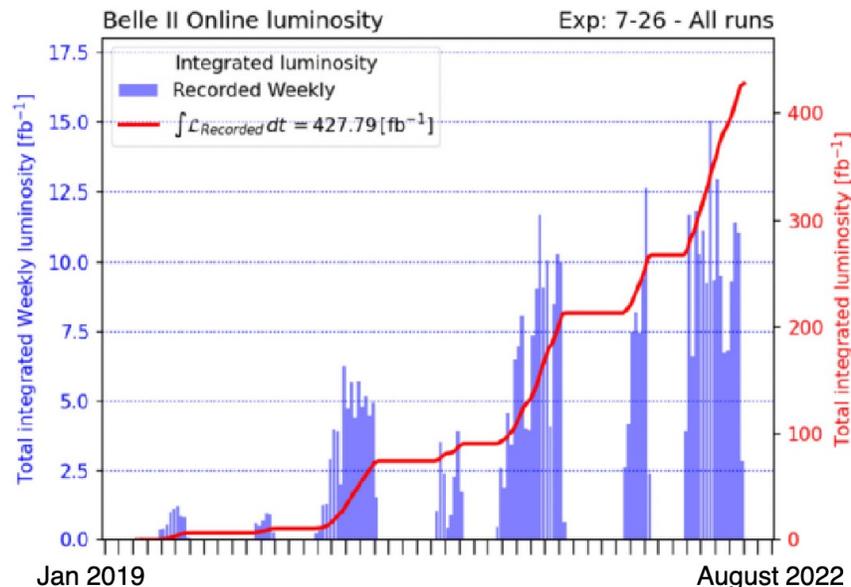
# Beauty dedicated facilities – Belle II Detector

## Belle II detector

All sub-detectors upgraded from Belle, except for ECL crystals and part of the barrel KLM.



- Asymmetric beam energy  $e^+e^-$  at  $\Upsilon(4S)$ 
  - B  $B\bar{b}$  with boost
- Aim to collect  $50\text{ab}^{-1}$  by mid-2030s
- Luminosity has been below expectations
  - Current sample  $0.4\text{ab}^{-1}$  (cf. Belle  $0.7\text{ab}^{-1}$ )

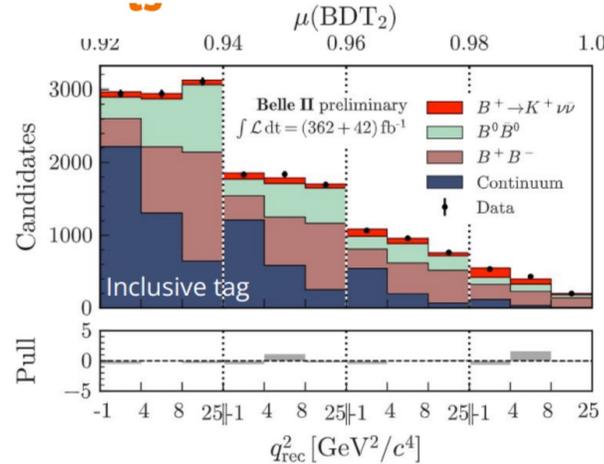
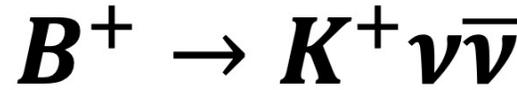
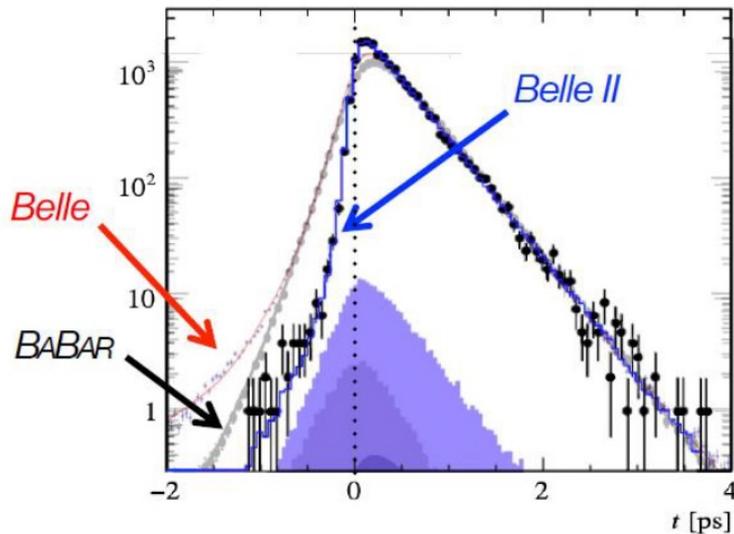


# Beauty dedicated facilities – Belle II Results & Prospects

- Detector performance demonstrated
  - And full pixel detector installed in current shutdown
- Initial results with some world bests

arXiv:1808.10567

Improved time resolution



## Combined result

- Evidence @  $3.6\sigma$
- Tension with SM ( $0.6 \times 10^{-5}$ ) @  $2.8\sigma$

Observables	Expected the. accuracy	Expected exp. uncertainty
UT angles & sides		
$\phi_1$ [°]	***	0.4
$\phi_2$ [°]	**	1.0
$\phi_3$ [°]	***	1.0
$ V_{cb} $ incl.	***	1%
$ V_{cb} $ excl.	***	1.5%
$ V_{ub} $ incl.	**	3%
$ V_{ub} $ excl.	**	2%
CP Violation		
$S(B \rightarrow \phi K^0)$	***	0.02
$S(B \rightarrow \eta' K^0)$	***	0.01
$A(B \rightarrow K^0 \pi^0) [10^{-2}]$	***	4
$A(B \rightarrow K^+ \pi^-) [10^{-2}]$	***	0.20
(Semi-)leptonic		
$B(B \rightarrow \tau \nu) [10^{-6}]$	**	3%
$B(B \rightarrow \mu \nu) [10^{-6}]$	**	7%
$R(B \rightarrow D \tau \nu)$	***	3%
$R(B \rightarrow D^* \tau \nu)$	***	2%
Radiative & EW Penguins		
$B(B \rightarrow X_s \gamma)$	**	4%
$A_{CP}(B \rightarrow X_{s,d} \gamma) [10^{-2}]$	***	0.005
$S(B \rightarrow K_S^0 \pi^0 \gamma)$	***	0.03
$S(B \rightarrow \rho \gamma)$	**	0.07
$B(B_s \rightarrow \gamma \gamma) [10^{-6}]$	**	0.3
$B(B \rightarrow K^* \nu \bar{\nu}) [10^{-6}]$	***	15%
$R(B \rightarrow K^* \ell \ell)$	***	0.03
Charm		
$B(D_s \rightarrow \mu \nu)$	***	0.9%
$B(D_s \rightarrow \tau \nu)$	***	2%
$A_{CP}(D^0 \rightarrow K_S^0 \pi^0) [10^{-2}]$	**	0.03
$q/p (D^0 \rightarrow K_S^0 \pi^+ \pi^-)$	***	0.03
$A_{CP}(D^+ \rightarrow \pi^+ \pi^0) [10^{-2}]$	**	0.17
Tau		
$\tau \rightarrow \mu \gamma [10^{-10}]$	***	< 50
$\tau \rightarrow e \gamma [10^{-10}]$	***	< 100
$\tau \rightarrow \mu \mu \mu [10^{-10}]$	***	< 3

Broad programme  
Belle II at 50 ab<sup>-1</sup>  
highly competitive  
with LHCb Upgrade I  
at 50fb<sup>-1</sup>

Notably:

- States difficult at LHCb (e.g. neutrals)
- Similar projections on  $\gamma$  and semi-leptonics



	Original 2009-2018		Upgrade I 2022-2032		Upgrade II 2033-
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## Part II: LHCb Trilogy

- Recent Physics Highlights
- LHCb Upgrade I Status
- LHCb Upgrade II Opportunities

Chris Parkes



# 2020-2023: Three special years...



With its challenges....



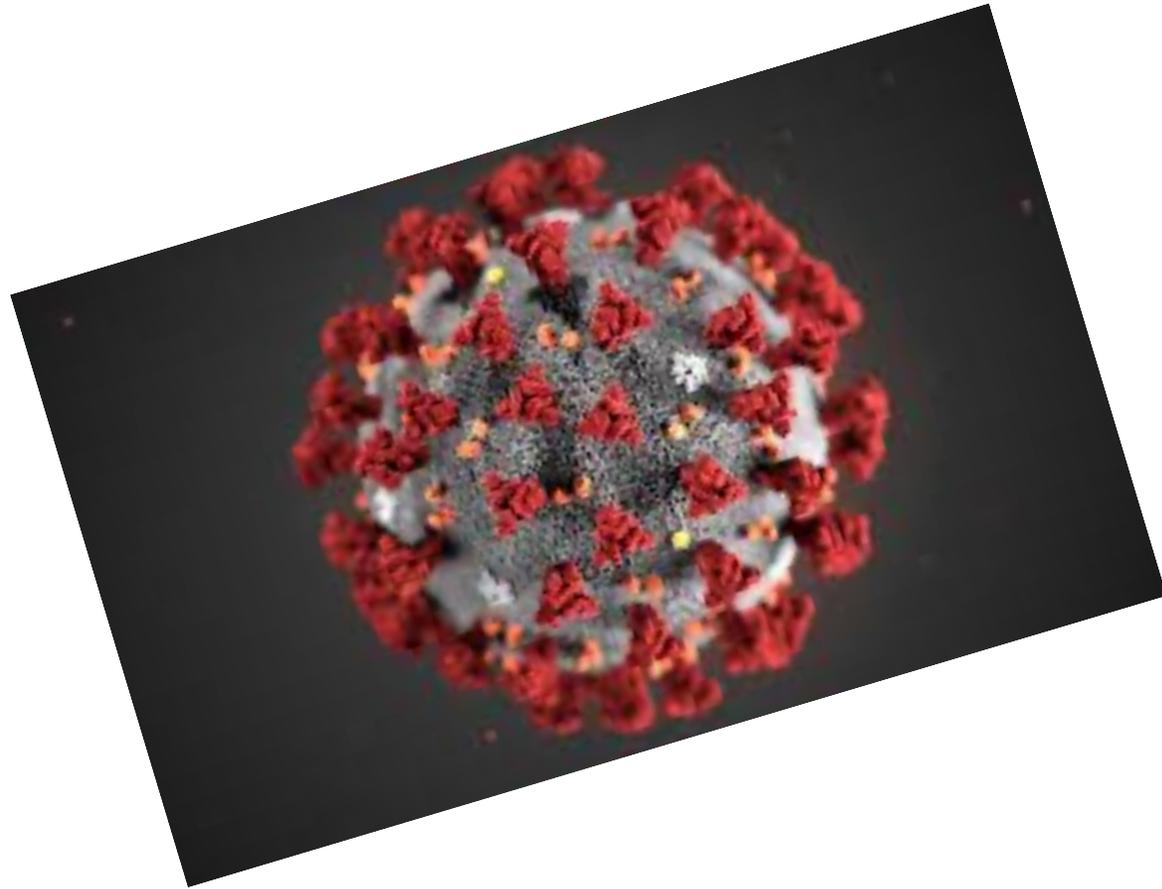
## LHCb Upgrade

- 5 major new detector systems to install
- New electronics for all 7 major systems
- New software-only trigger system

Most major CERN detector project since start of LHC

# 2020-2023: Three special years...

With its challenges....



Started in covid lockdown of 2020:

- Daily crisis meetings

Personal tragedies of collaborators

Throughout two years:

- Logistics of construction, transport & installation of new experiment across 20 countries

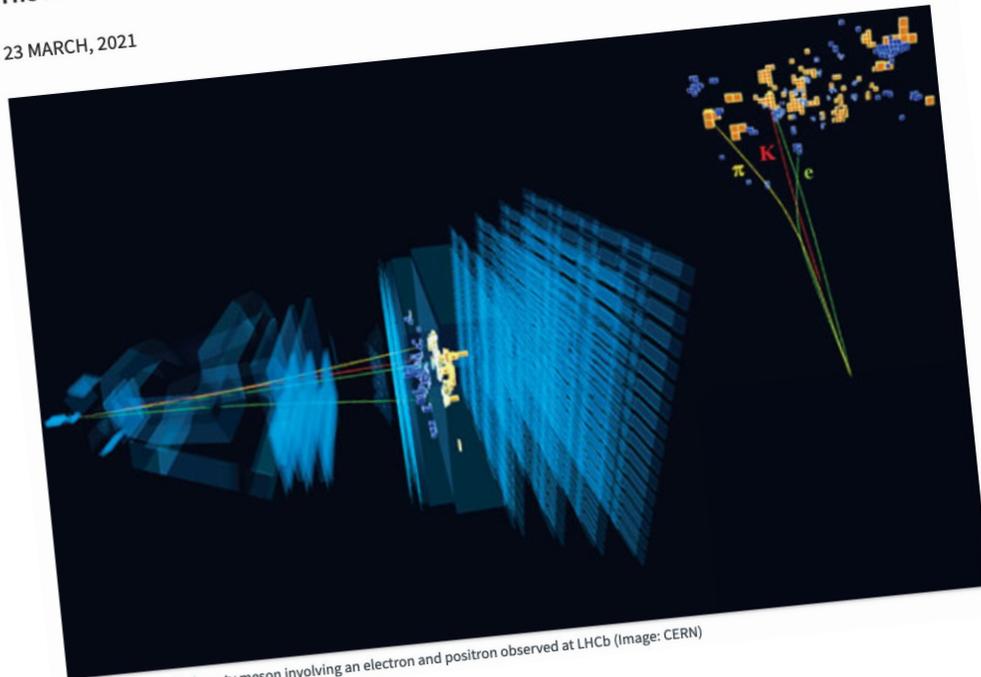
# 2020-2023: Three special years...

With its challenges....

## Intriguing new result from the LHCb experiment at CERN

The LHCb results strengthen hints of a violation of lepton flavour universality

23 MARCH, 2021



Very rare decay of a beauty meson involving an electron and positron observed at LHCb (Image: CERN)

Intriguing pattern of “B anomalies” over past decade (and  $g-2$  muon)  
- attempts to create a coherent theoretical picture

March 2021 LHCb result ( $R_K$ ) at  $3.1\sigma$  from SM

December 2022 LHCb results ( $R_K$ ,  $R_{K^*}$ , 4 bins  $q^2$ ) compatible with SM

# 2020-2023: Three special years...



With its challenges....

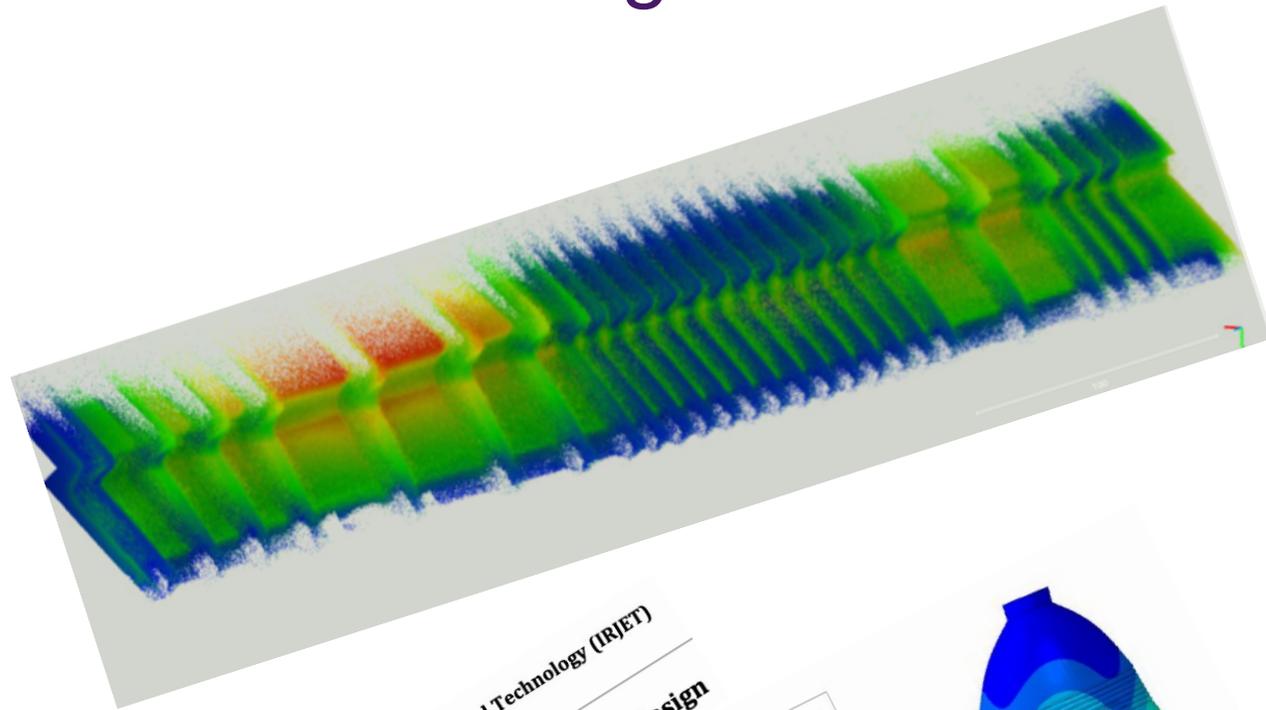


## LHCb Collaboration

- Four institutes in Ukraine
  - Three damaged by Russian bombs
  - Members sheltering in underground stations, family members killed
  - Males under 65 unable to leave country
  - Major responsibilities for luminometer and radiation monitoring system
- Eleven institutes in Russia (10% collaboration)
  - Many colleagues openly against the war
  - Difficult decisions whether to return to family or move / stay outside Russia
  - Major responsibilities for calorimeters and muon systems
- Paper publication suspended for 1 year to reach author list agreement
  - Results continued to be released on arXiv

# 2020-2023: Three special years...

With its challenges....



International Research Journal of Engineering and Technology (IRJET)  
Volume: 05 Issue: 10 | Oct 2018  
www.irjet.net  
Buckling Evaluation of a Plastic Bottle Design

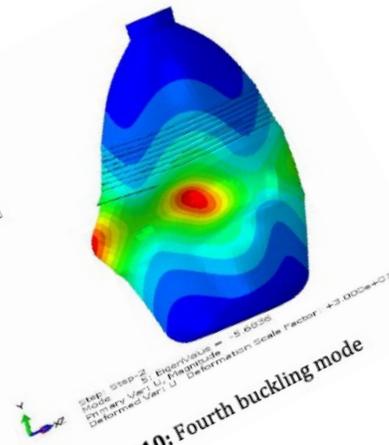
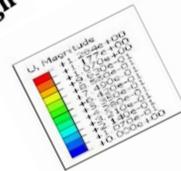


Fig-10: Fourth buckling mode

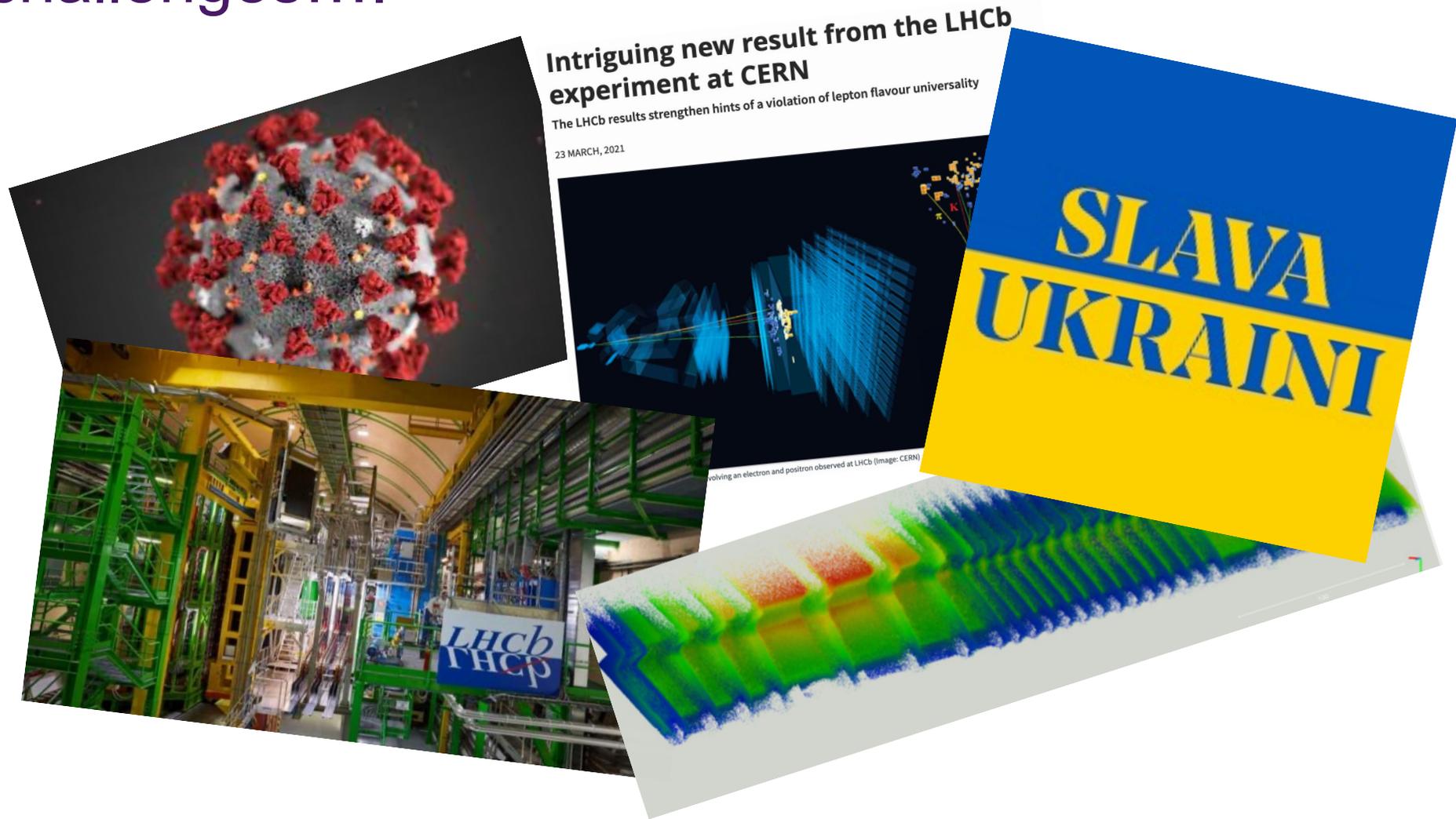
January 2023

- Malfunction of LHC vacuum safety system
- Primary LHC vacuum and vertex detector modules separated by thin foil
- 200mbar pressure differential across 250 $\mu$ m of aluminum
  - 400kg, thickness of a few sheets of paper

# 2020-2023: Three special years...

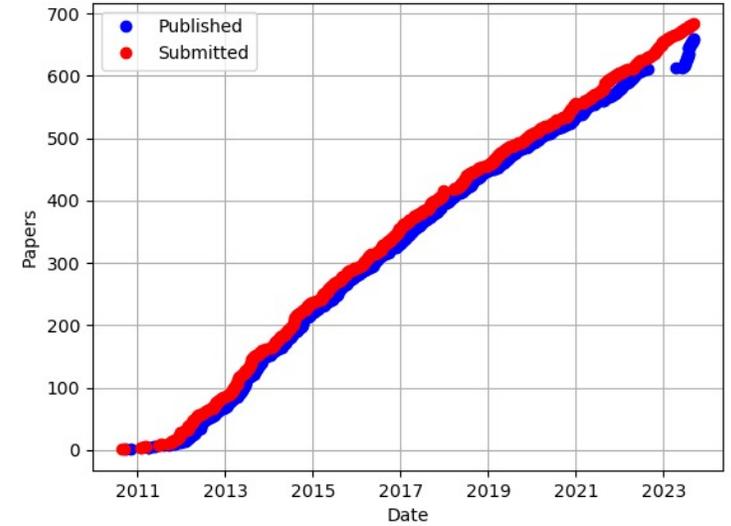
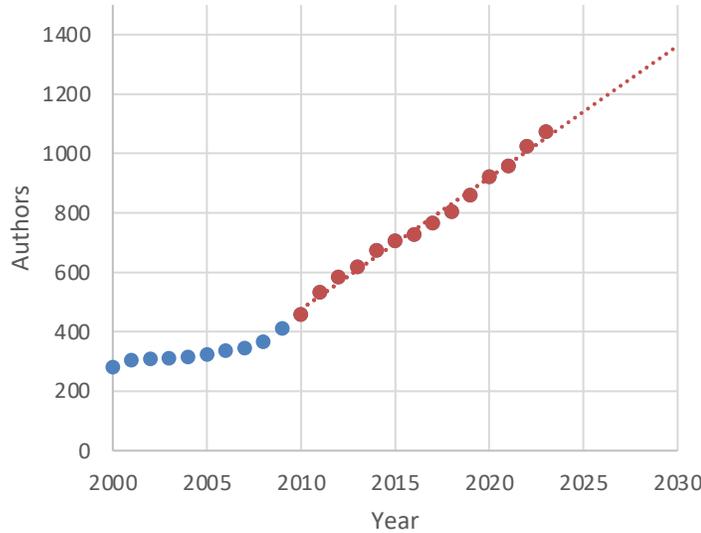


With its challenges....



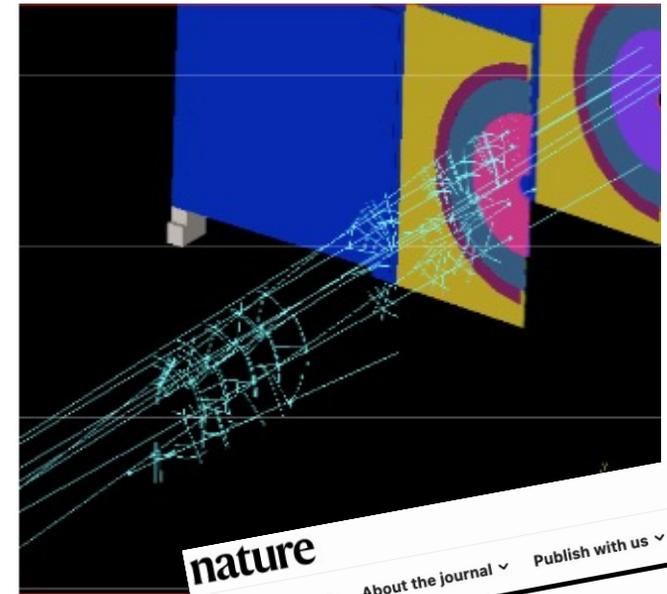
# 2020-2023: Three special years...

## And its successes....



1100 authors, 98 institutes, 22 countries

700 submitted papers



2009 : we didn't know what we were doing but I still had hair

nature

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Published: 25 August 2008

## Double first for Large Hadron Collider

[Matthew Chalmers](#)

[Nature \(2008\)](#) | [Cite this article](#)

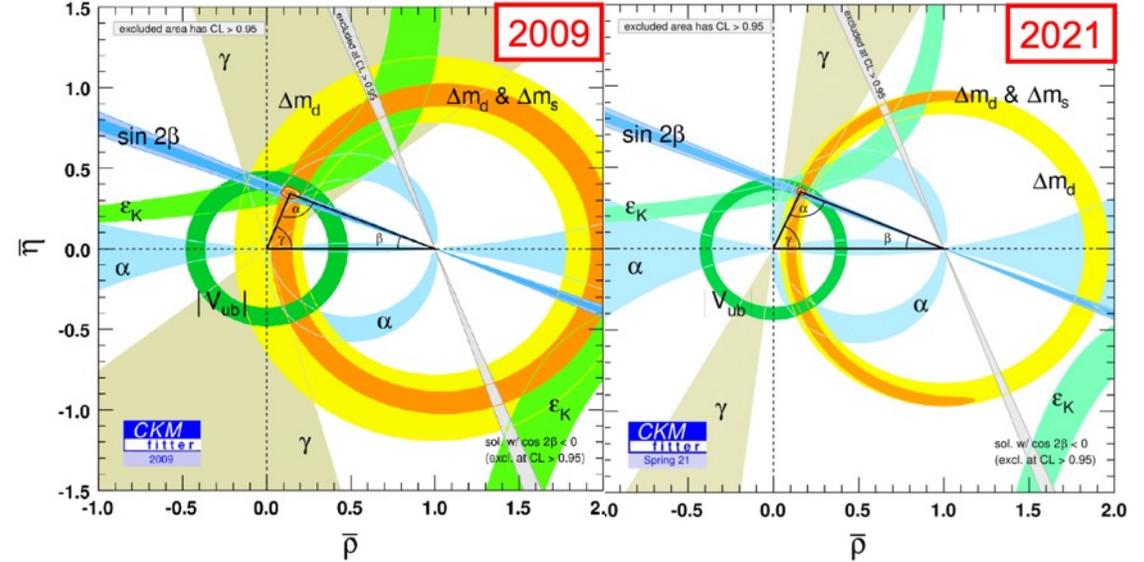
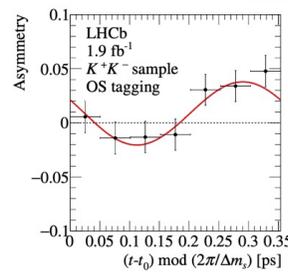
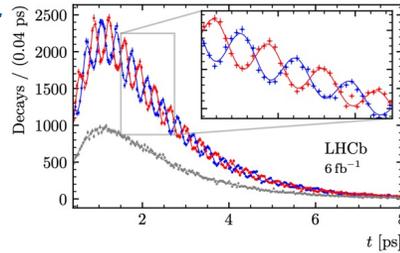
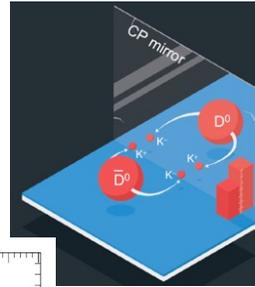
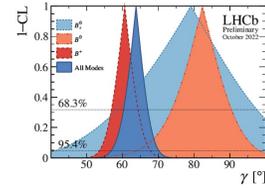
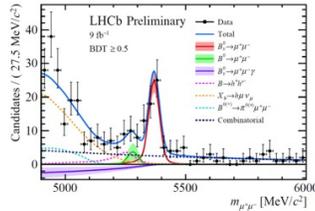
# Celebrating "LHCb-original"!

LHCb was originally designed for CP violation and b & c-hadron rare decays...

$B_s^0 \rightarrow \mu\mu$   
 $\Delta m_s$

Time-dependent  
CPV in  $B_s$

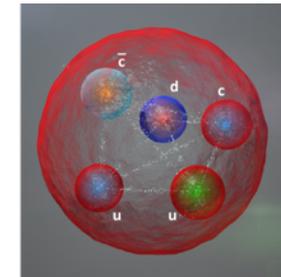
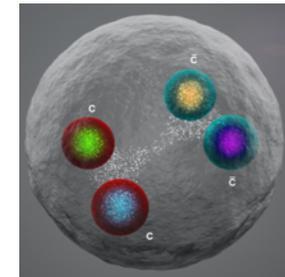
CKM  
angle  $\gamma$



... but it achieved much more: exotic spectroscopy, heavy ions, fixed target programme, EW precision physics, dark sector searches...

Today recent results on

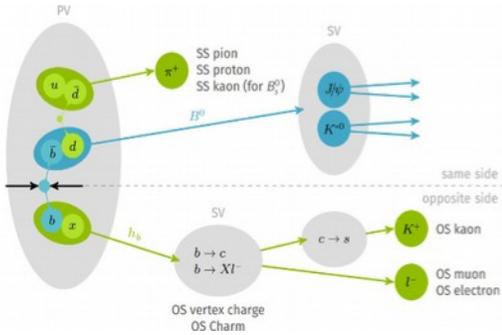
CP violation in B decays and  $D^0$  mixing, Lepton Flavour Universality, Spectroscopy, breadth of programme



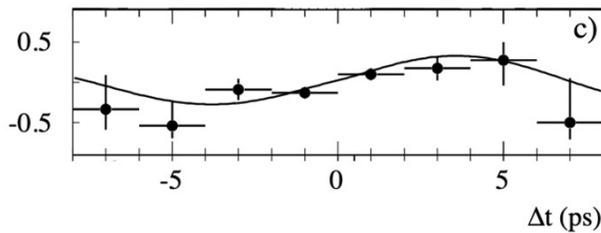
- obtained by the “golden mode”  $B^0 \rightarrow J/\psi K^0$

CP violation in interference between decay and mixing  $P(B \rightarrow f_{CP}) = P(\bar{B} \rightarrow f_{CP})$

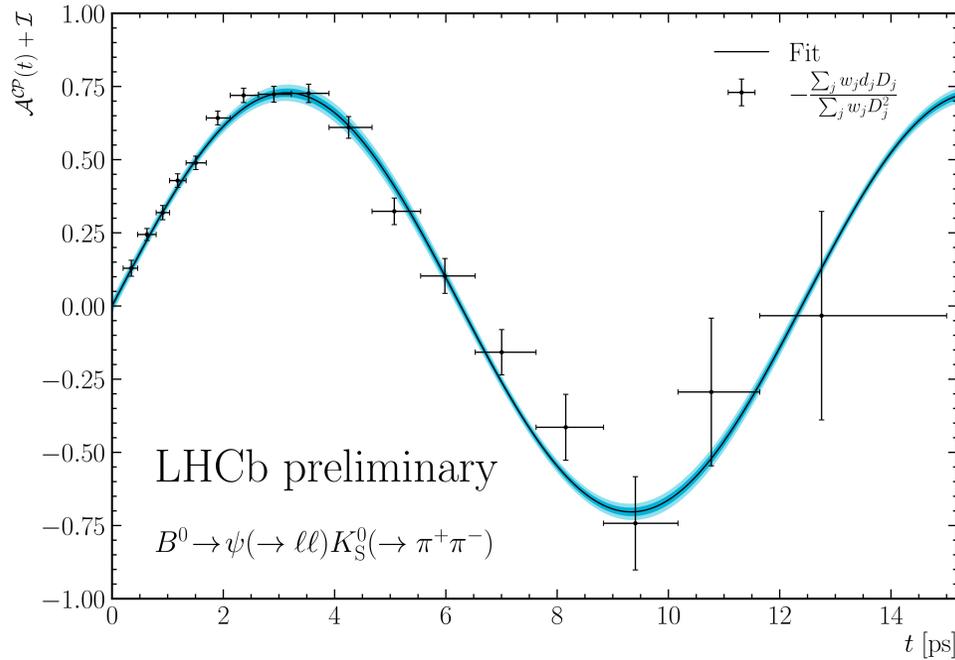
## Flavour Tagging



## Belle 2001

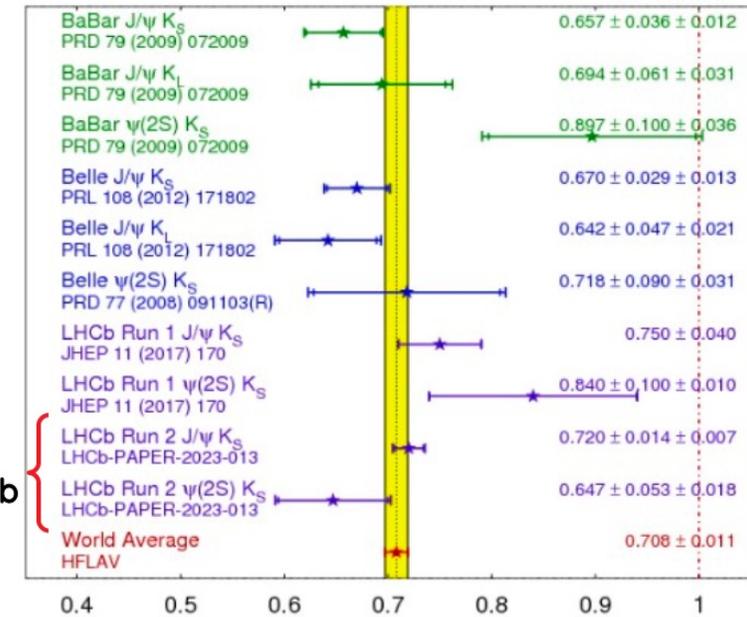


$$A_{CP}(t) = \sin(2\beta) \sin(\Delta m_d t)$$



## sin(2β) ≡ sin(2φ<sub>1</sub>)

HFLAV  
Summer 2023  
PRELIMINARY



- Original mode of Babar/Belle discovery 2001

- Confirming SM interpretation of CP violation, Nobel Prize 2008
- Factor 2 better than prev. world best (Belle), compatible result

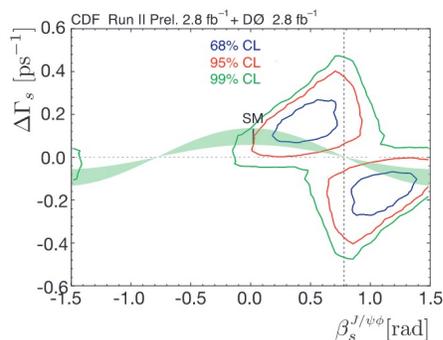
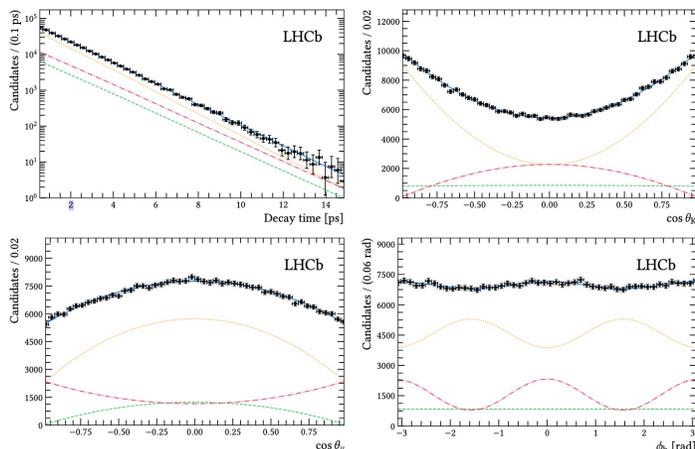
- Obtained by the “golden mode”  $B^0 \rightarrow J/\psi K^+ K^-$ 
  - Similar role to  $\beta$  but for  $B_s$  system – not accessible Belle

CP violation in interference between decay and mixing  $P(B \rightarrow f) = P(\bar{B} \rightarrow \bar{f})$

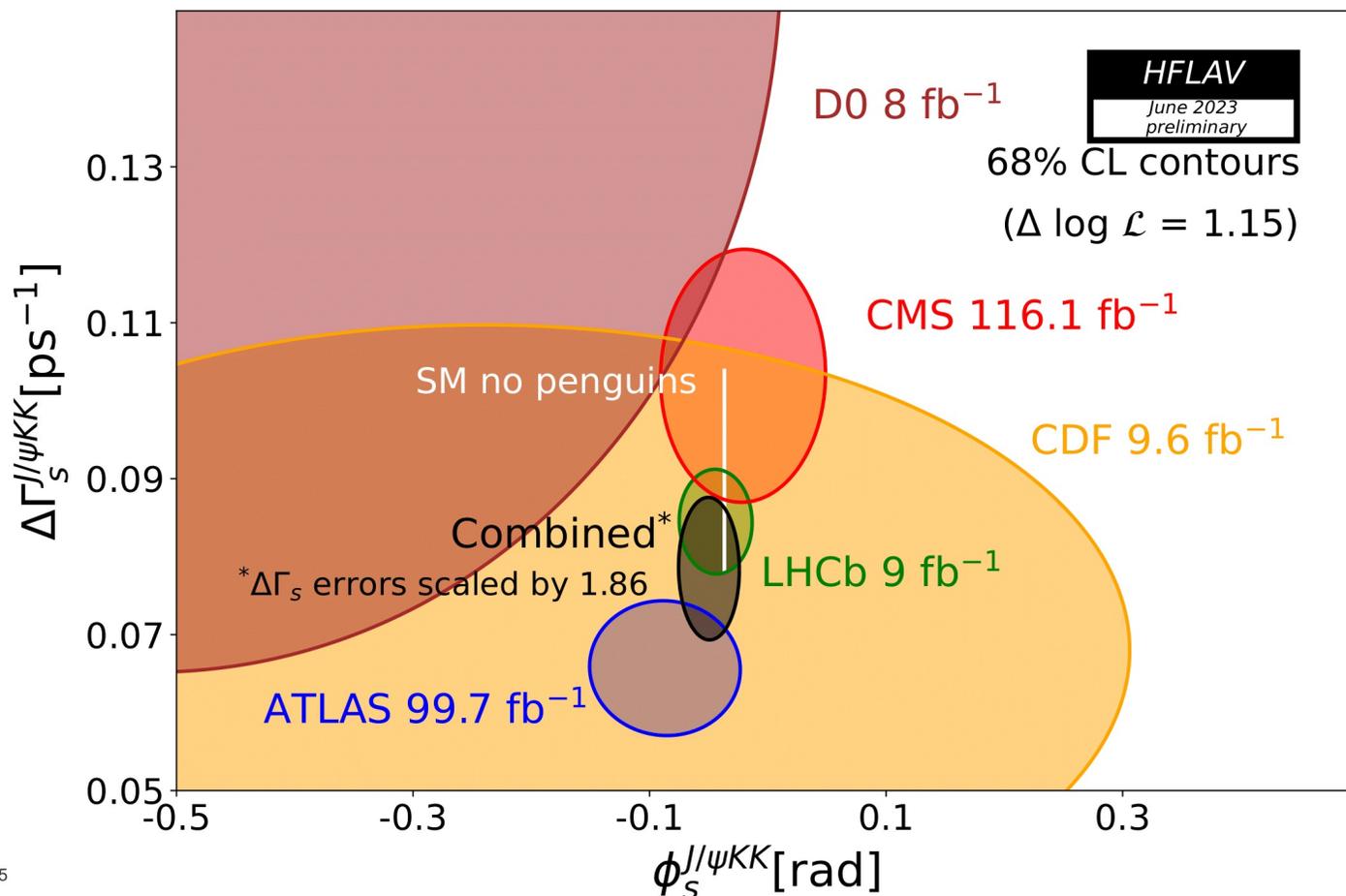
Run2: LHCb  $\phi_s = -0.039 \pm 0.022 \pm 0.006$  rad

Time-dependent and angular analysis – separate CP even and odd components

CP-even  
CP-odd  
S-wave



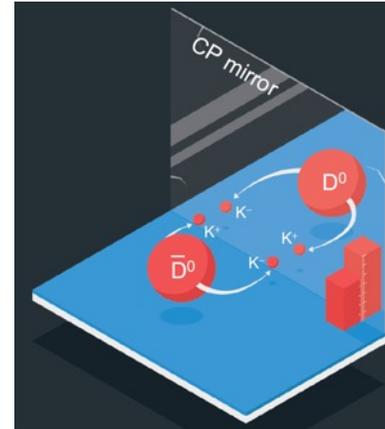
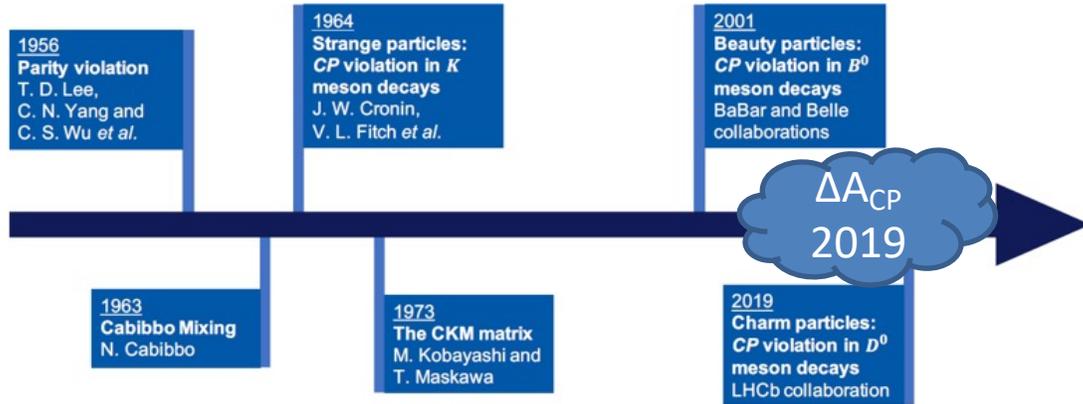
New physics sensitive  
Was tension with SM  
At time of start of LHC  
D0 public note 5928



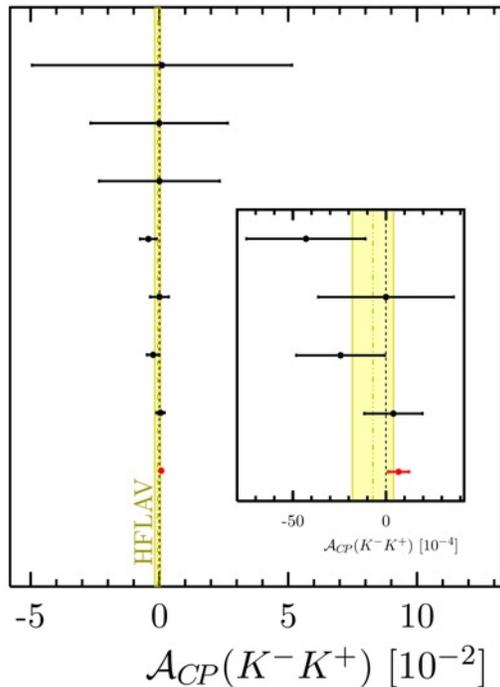
# First evidence Charm CP Violation in specific decay

ICHEP '22

LHCb-PAPER-2022-024



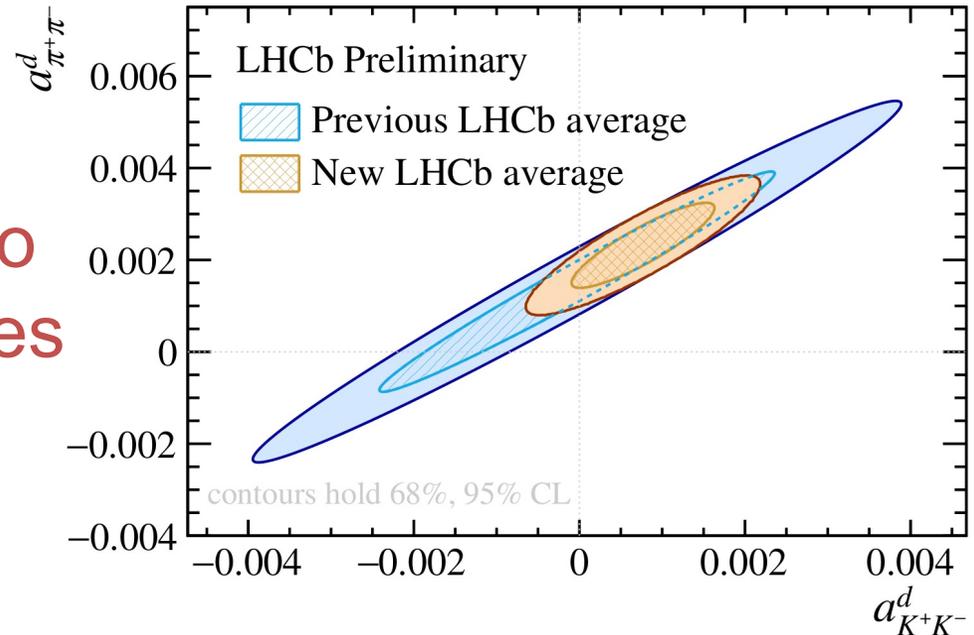
- Direct CP Discovery 2019
- $\Delta A_{CP}$  difference  $KK, \pi\pi$
- Cancel systematics
  - Production, detection asymmetries



E791  
FOCUS  
CLEO  
Belle  
BaBar  
CDF  
LHCb 3  $\text{fb}^{-1}$   
LHCb 5.7  $\text{fb}^{-1}$   
Preliminary

- Upper end of SM prediction – separate into individual symmetries

- Control channels to correct asymmetries
- $3.8\sigma$  asymmetry evidence in  $KK$



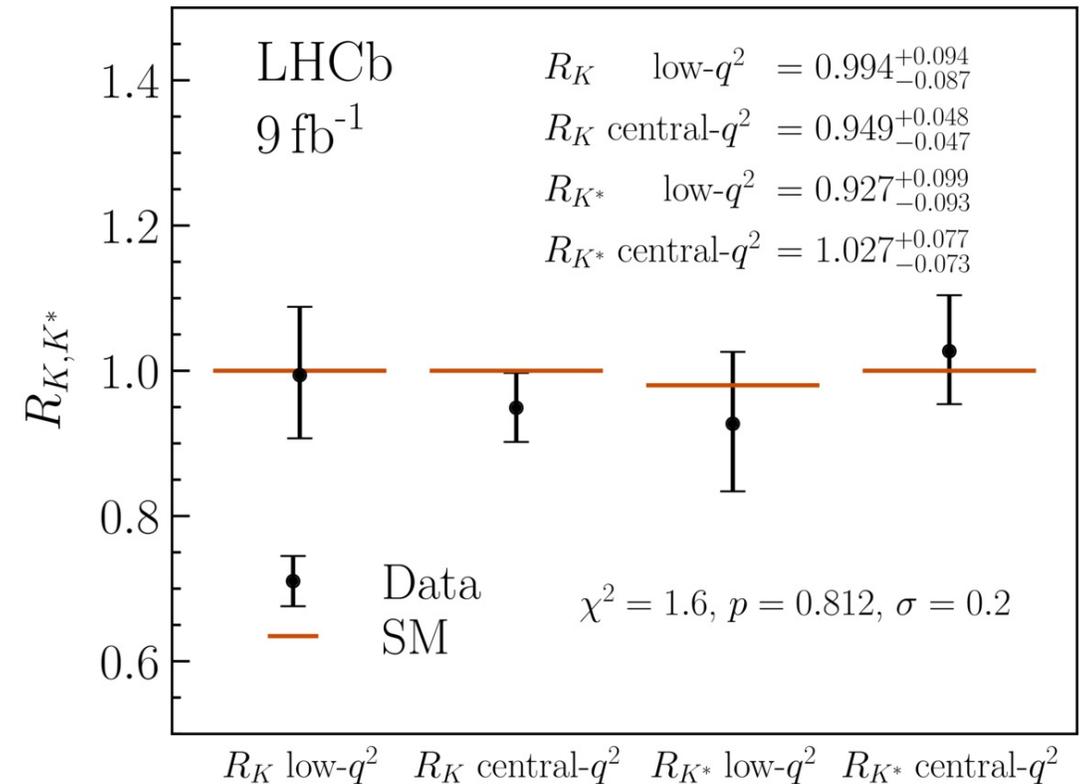
# B anomalies: $R(K)$ & $R(K^*)$

December '22

- “B anomalies” – several results in tension with standard model (SM)
- Included lepton flavour universality ratios in **rare  $b \rightarrow sll$  processes**
- 2021 LHCb paper reported  $3.1\sigma$  from SM in one  $q^2$  bin in  $R_K$  generating much interest

$$R_H \equiv \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B \rightarrow H\mu^+\mu^-)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B \rightarrow He^+e^-)}{dq^2} dq^2}.$$

- Coherent measurement of four values ( $R_K$ ,  $R_{K^*}$  each in two  $q^2$  bins) with full Run1+2 data sample for all
  - new treatment of hadronic misidentified background to electrons
  - All results in good agreement with SM



# B anomalies: $R(D)$ & $R(D^*)$

LHCb-PAPER-2022-039

LHCb-PAPER-2023-052

La Thuile '23

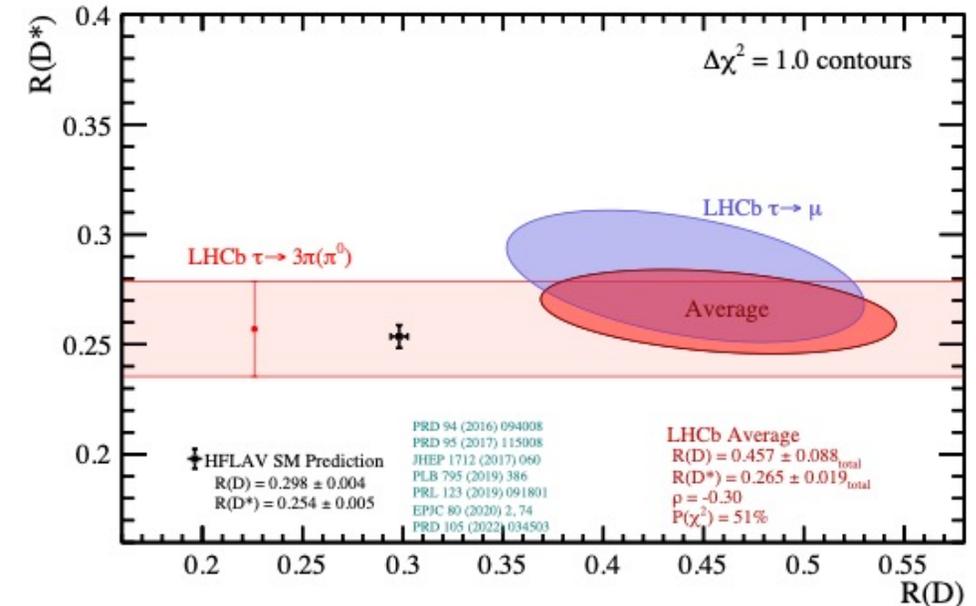


- “B anomalies” – several results in tension with standard model (SM)
- Including lepton flavour universality ratios in **semi-leptonic  $b \rightarrow cl\nu$  processes**
- Undetected  $\nu$  considered difficult at LHC, previously results dominated by Belle/Babar
- LHCb results with muonic and hadronic decay of tau

$$\mathcal{R}(D^*) \equiv \mathcal{B}(\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B} \rightarrow D^* \mu^- \bar{\nu}_\mu)$$

$$\mathcal{R}(D^0) \equiv \mathcal{B}(B^- \rightarrow D^0 \tau^- \bar{\nu}_\tau) / \mathcal{B}(B^- \rightarrow D^0 \mu^- \bar{\nu}_\mu)$$

- LHCb results compatible with SM and with previous results
- world average remains  $3\sigma$  from SM



Red band – LHCb hadronic tau result

Blue ellipse – LHCb muonic result, October '22

- LHCb now major contributor in this area
- Future results with full Run1&2 will give significant improvement in precision

# B anomalies: $R(D)$ & $R(D^*)$

LHCb-PAPER-2022-039

LHCb-PAPER-2023-052

La Thuile '23



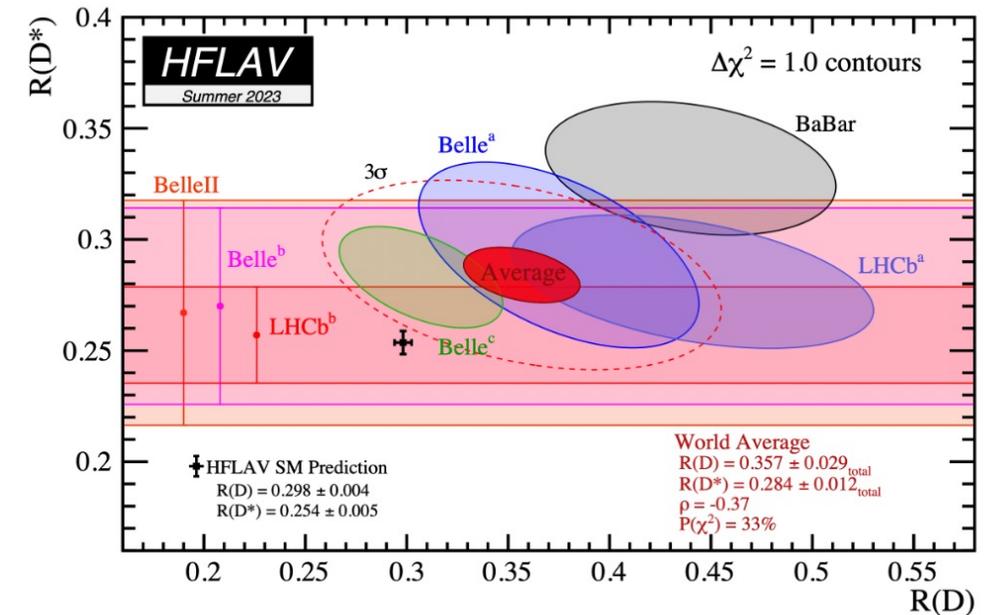
- “B anomalies” – several results in tension with standard model (SM)
- Including lepton flavour universality ratios in **semi-leptonic  $b \rightarrow cl\nu$  processes**
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- LHCb results compatible with SM and with previous results
- world average remains  $3\sigma$  from SM



Hot topic – lots of activity !

- LHCb now major contributor in this area
- Future results with full Run1&2 will give significant improvement in precision

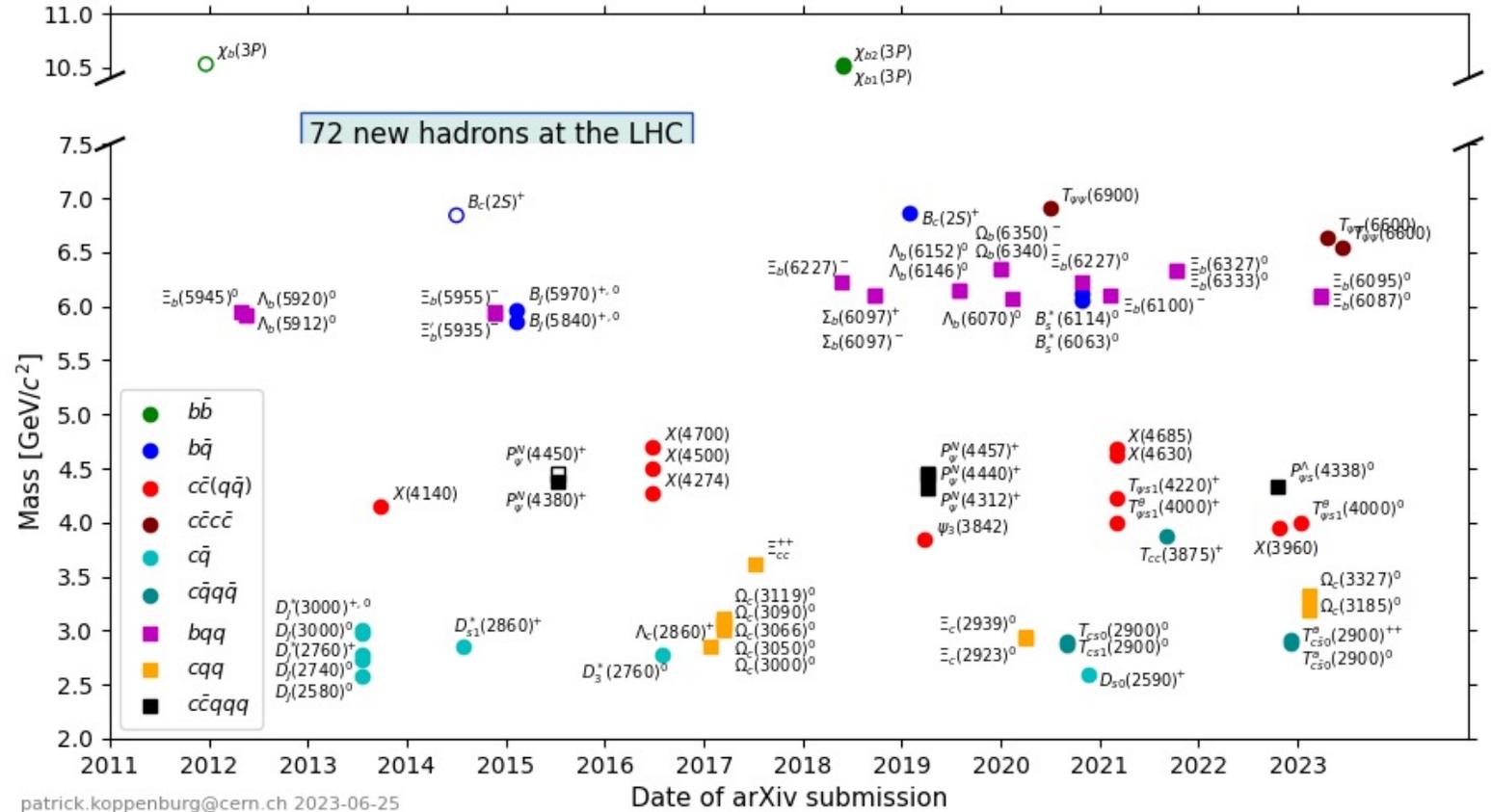
- More than 70 particles discovered at LHC
- 64 at LHCb

Including 23 exotic hadrons  
Tetraquarks & Pentaquarks

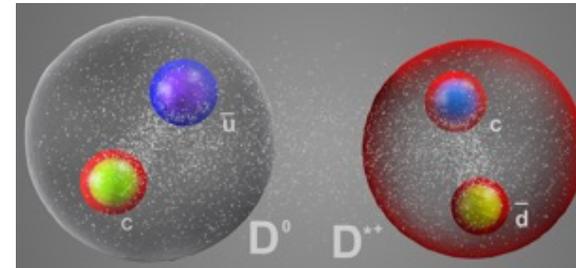
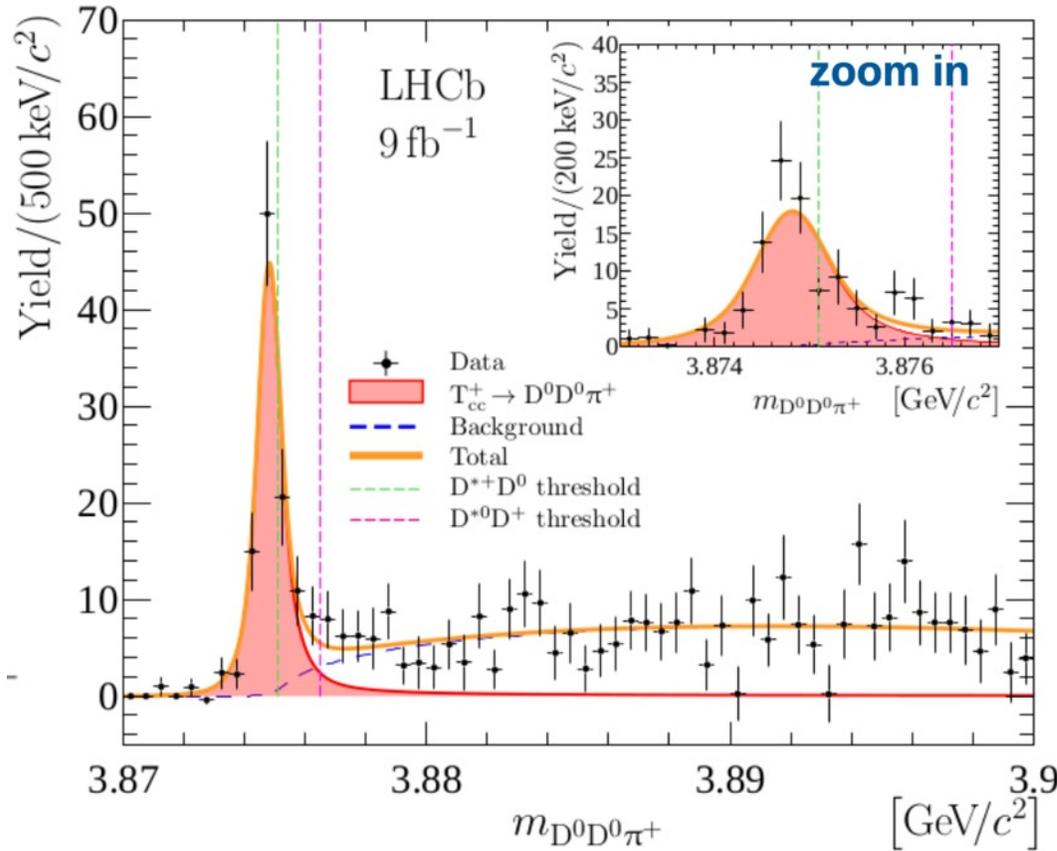
WILLIS E. LAMB, JR.  
Fine structure of the hydrogen atom  
Nobel Lecture, December 12, 1955

When the Nobel Prizes were first awarded in 1901, physicists knew something of just two objects which are now called « elementary particles »: the electron and the proton. A deluge of other « elementary » particles appeared after 1930; neutron, neutrino,  $\mu$  meson,  $\pi$  meson, heavier mesons, and various hyperons. I have heard it said that « the finder of a new elementary particle used to be rewarded by a Nobel Prize, but such a discovery now ought to be punished by a \$10,000 fine ».

With inflation we owe about £25 million



- *Doubly Charming* Tetraquark Discovery:  $T_{cc}^+$  in  $D^0 D^0 \pi^+$  consistent with  $cc\bar{u}\bar{d}$



Very narrow state, slightly below  $D^{*+}D^0$  threshold

$$\delta m_{BW} = -273 \pm 61 \pm 5 \begin{matrix} +11 \\ -14 \end{matrix} \text{ keV}/c^2,$$

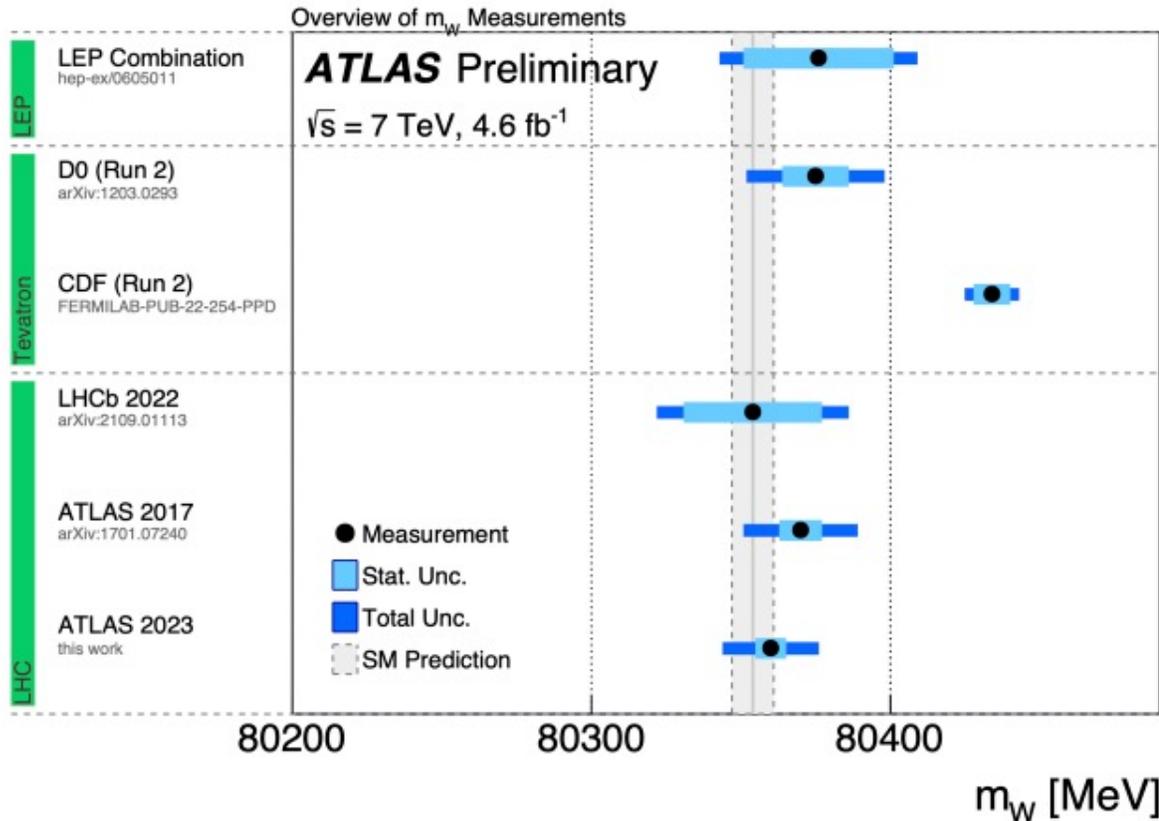
$$\Gamma_{BW} = 410 \pm 165 \pm 43 \begin{matrix} +18 \\ -38 \end{matrix} \text{ keV},$$

Increased interest for  $T_{bc}$ ,  $T_{bb}$  as possible first long-lived, weakly decaying, states!

Need Upgrade statistics



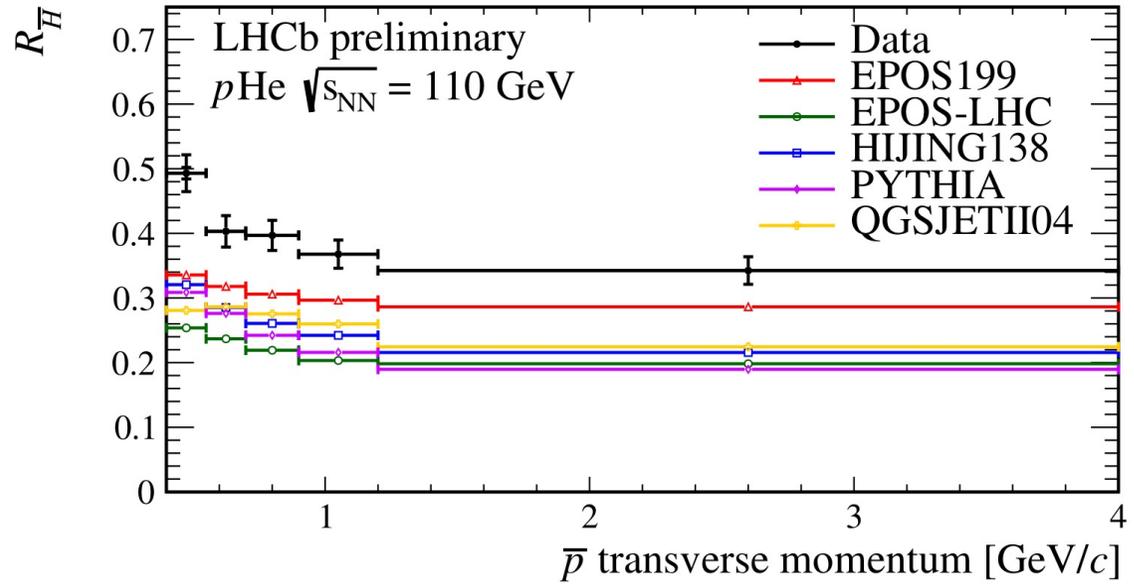
- LHCb results in Precision Electroweak
- W mass – hot topic with '22 CDF result
- Pathfinder LHCb result with 2016 data only



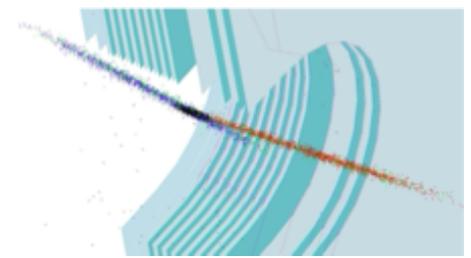
- LHCb results combined with ATLAS reduce sensitivity to the parton distribution functions. PDFs.
- In LHCb W bosons are produced in collisions of high- with low-x partons
- ATLAS mainly collisions of mid-x partons produce the W bosons observed

# Breadth of LHCb: Understanding Dark Matter in Space

- Astrophysics tells us that dark matter exists
- Space based experiments try to detect it by measuring anti-protons
  - need to know how many anti-protons to expect from standard physics
  - protons collide with He in space and can produce anti-protons
- LHCb has unique programme measuring protons with gas



- Ratio of *detached* to *prompt* anti-protons
- Predictions have underestimated this ratio



# LHCb Upgrades

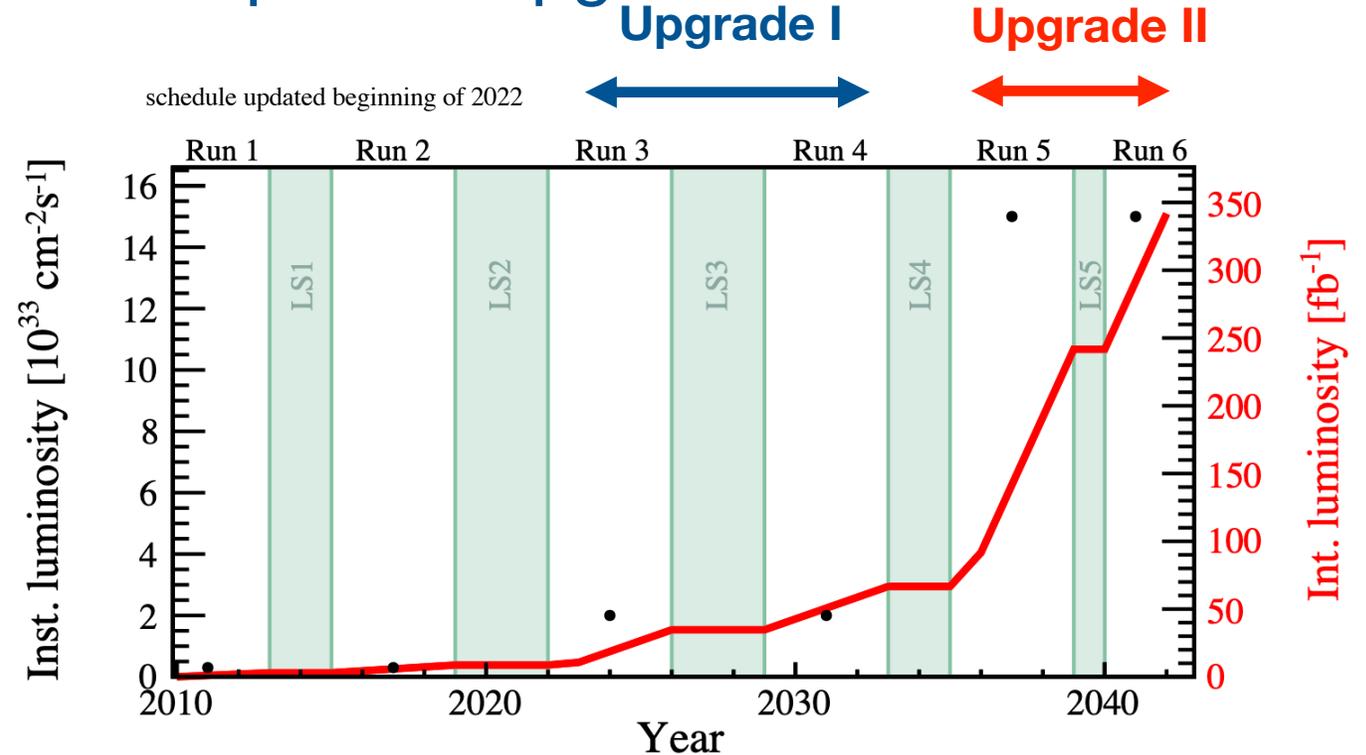
- Physics programme limited by detector, NOT by LHC
- Hence, clear case for an ambitious plan of upgrades

## Upgrade I started now!

- $L_{peak} = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- $L_{int} = 50 \text{ fb}^{-1}$  during Run 3 & 4
- Healthy competition with Belle II if reach  $50 \text{ ab}^{-1}$

## Upgrade II

- $L_{peak} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- $L_{int} = \sim 300 \text{ fb}^{-1}$  during Run 5 & 6, Install in LS4 (2033)
- Some smaller detector consolidation and enhancements in LS3 (2026)
- Potentially the only general purpose flavour physics facility in world on this timescale



# LHCb Upgrade I



Does the sequel  
live up to the original ?



The next step

**LHCb**  
**THCP**

1<sup>st</sup> Dedicated LHCb Collaboration Workshop on  
*High Luminosity Upgrade*  
11<sup>th</sup> / 12<sup>th</sup> January 2007,  
National E-Science Institute, Edinburgh

External speakers include:  
Y. Nir, P. Ball, M. Mangano, C. Sachrajda, F. Zimmermann

Web site: <http://www.nesc.ac.uk/esi/events/729>  
Secretariat: [lee@nesc.ac.uk](mailto:lee@nesc.ac.uk)  
Local Organisers: Chris Parkes, Franz Muheim

Attendance from potential new collaborators is welcome

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH (CERN)

LHCb-DP-2022-002  
May 17, 2023

**The LHCb Upgrade I**

LHCb collaboration<sup>1</sup>

**Abstract**

The LHCb upgrade represents a major change of the experiment. The detectors have been almost completely renewed to allow running at an instantaneous luminosity five times larger than that of the previous running periods. Readout of all detectors into an all-software trigger is central to the new design, facilitating the reconstruction of events at the maximum LHC interaction rate, and their selection in real time. The experiment's tracking system has been completely upgraded with a new pixel vertex detector, a silicon tracker upstream of the dipole magnet and three scintillating fibre tracking stations downstream of the magnet. The whole photon detection system of the RICH detectors has been renewed and the readout electronics of the calorimeter and muon systems have been fully overhauled. The first stage of the all-software trigger is implemented on a GPU farm. The output of the trigger provides a combination of totally reconstructed physics objects, such as tracks and vertices, ready for final analysis, and of entire events which need further offline reprocessing. This scheme required a complete revision of the computing model and rewriting of the experiment's software.

submitted to J. Instr.

© 2023 CERN for the benefit of the LHCb collaboration. [CC BY 4.0 license](https://creativecommons.org/licenses/by/4.0/).

<sup>1</sup>Authors are listed at the end of this paper.

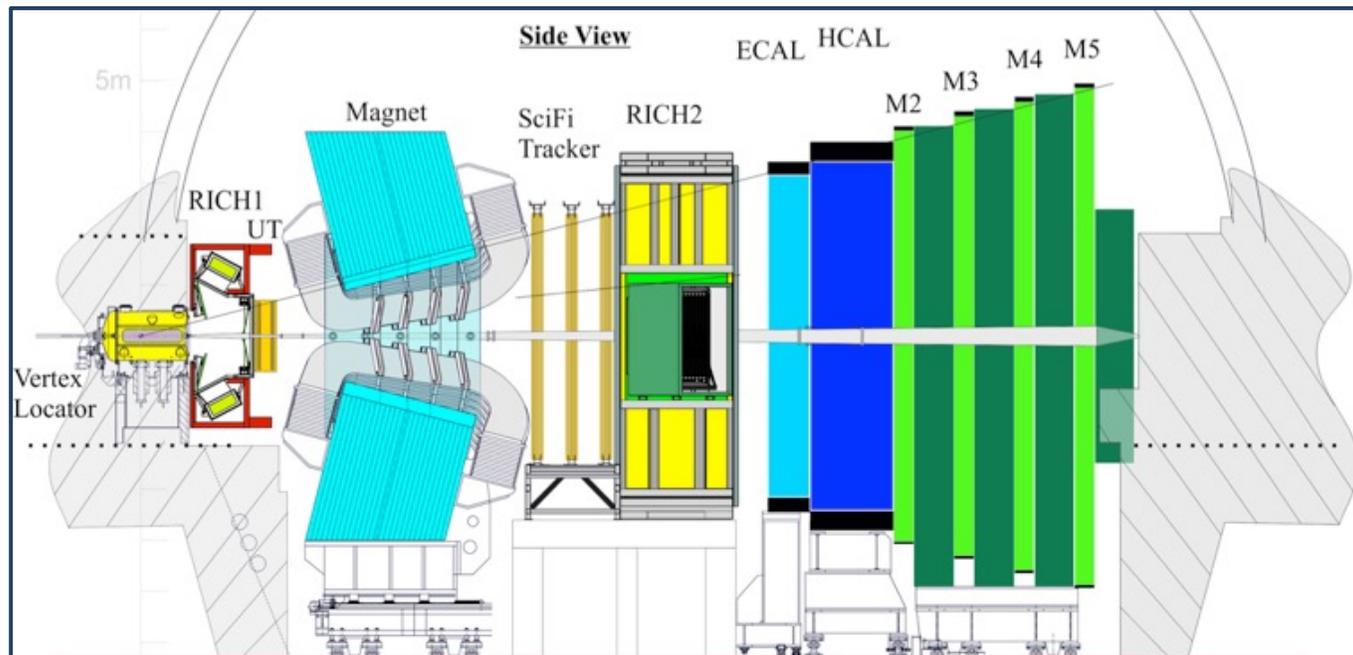
arXiv:2305.10515v1 [hep-ex] 17 May 2023

<https://arxiv.org/abs/2305.10515>

Accepted by JINST

# Upgrade I

- All sub-detectors read out at 40 MHz for a **fully software trigger**



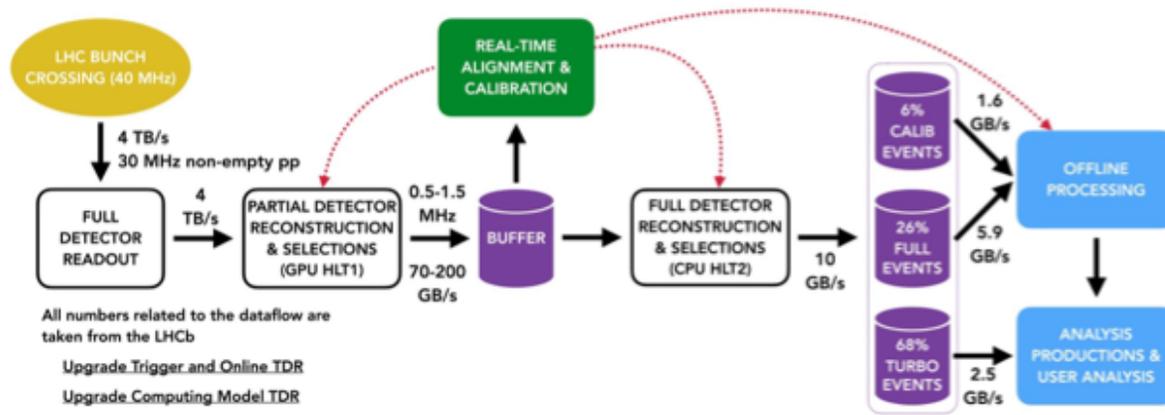
- Target  $L_{\text{peak}} = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ , pile-up  $\sim 5$



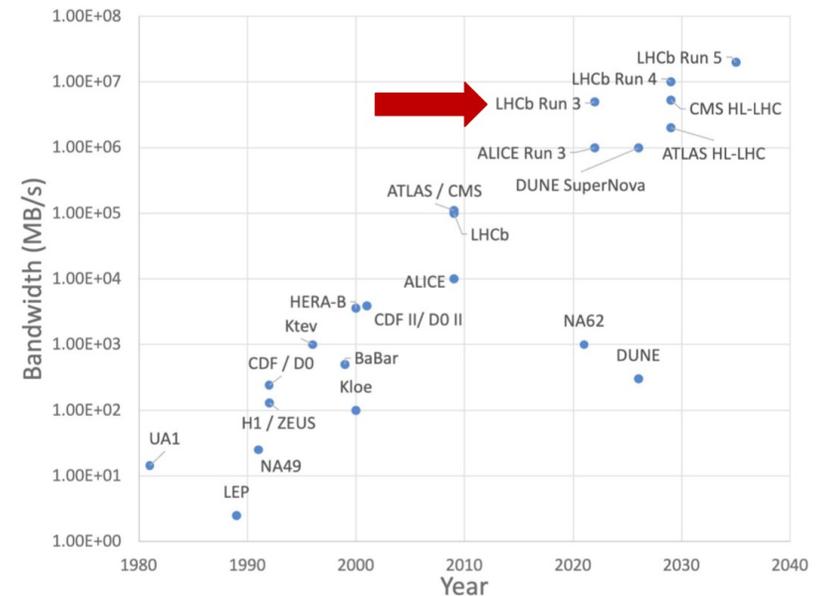
- Pixel detector **VELO** with silicon microchannel cooling 5mm from LHC beam
- New **RICH** mechanics, optics and photodetectors
- New silicon strip upstream tracker **UT** detector
- New **SciFi** tracker with 11,000 km of scintillating fibres
- New electronics for **muon** and **calorimeter** systems

Major project  
installed for  
operation in Run 3

- All sub-detectors read out at 40 MHz for a **fully software trigger**
- Factor of ~ 10 increase expected in hadronic yields at Run 3



- 30 MHz of inelastic collisions will be reduced to ~1MHz by the HLT1 (tracking/vertexing and muon ID) running on **GPUs**
  - ~ 400 cards
- Highest throughput of any HEP experiment
  - Up to 4 TB/s data rate through Event Builder network.
  - O(4%) of internet traffic in 2022



- Online Align and Calib means...
- Optimal quality reconstruction online in trigger

- No need for re-reconstruction
- No need to keep raw data

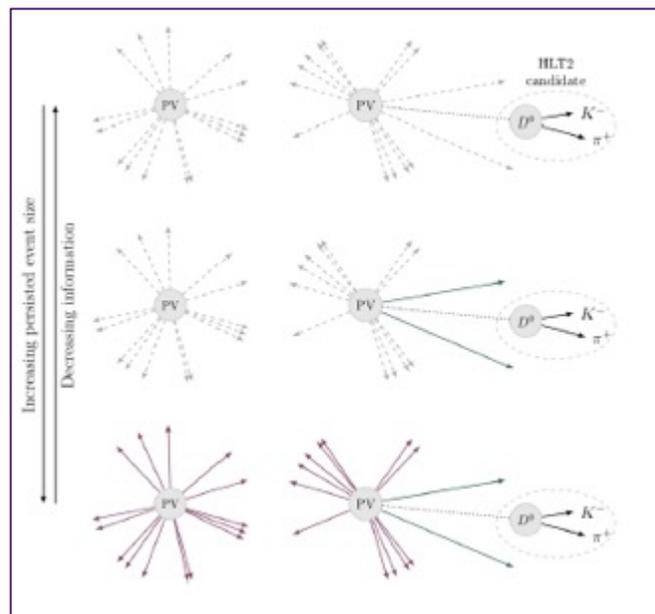
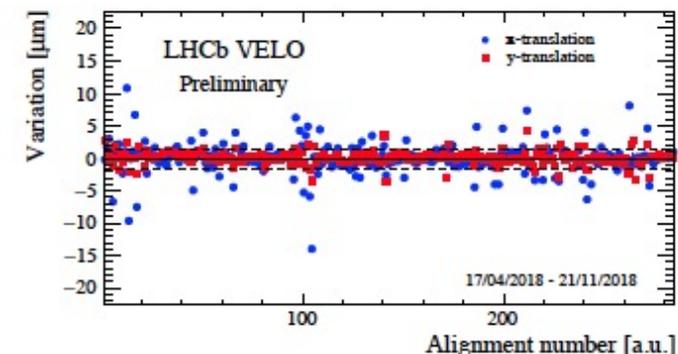
- Benefits:

- Expansion of physics programme
- Large reduction in computing resources (raw data 200kB, triggered objects 15kB)

- Risks:

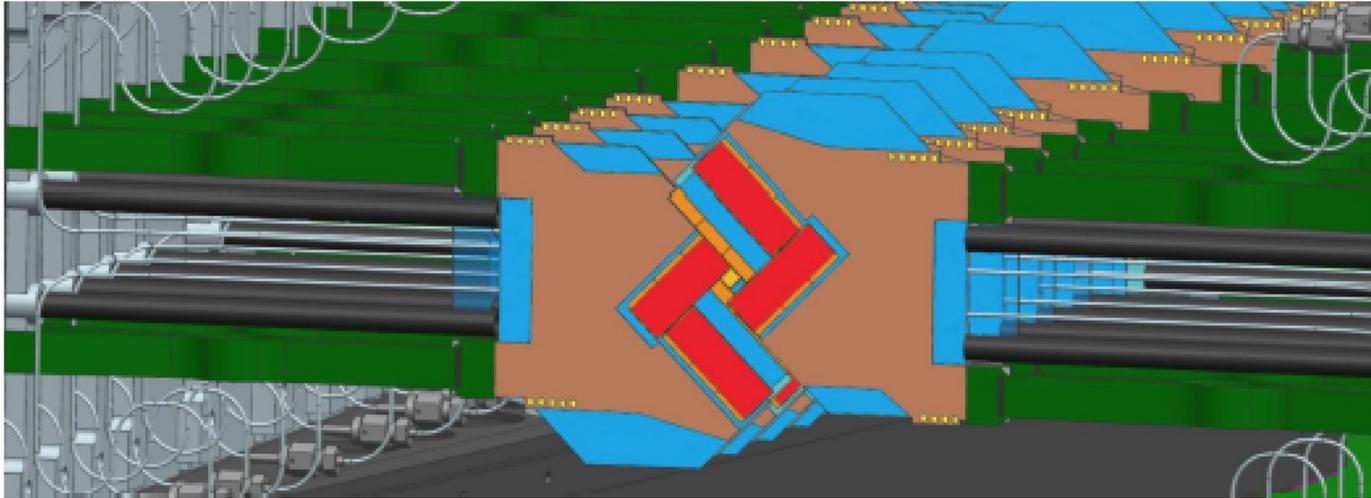
- Reprocessing not possible in case of errors

e.g. VELO alignment performed online in 7mins in Run2



- Selective persistence

- Only signal decay tracks....
- those in cone around...
- those from same PV....
- All tracks in event....
- All ECAL clusters....



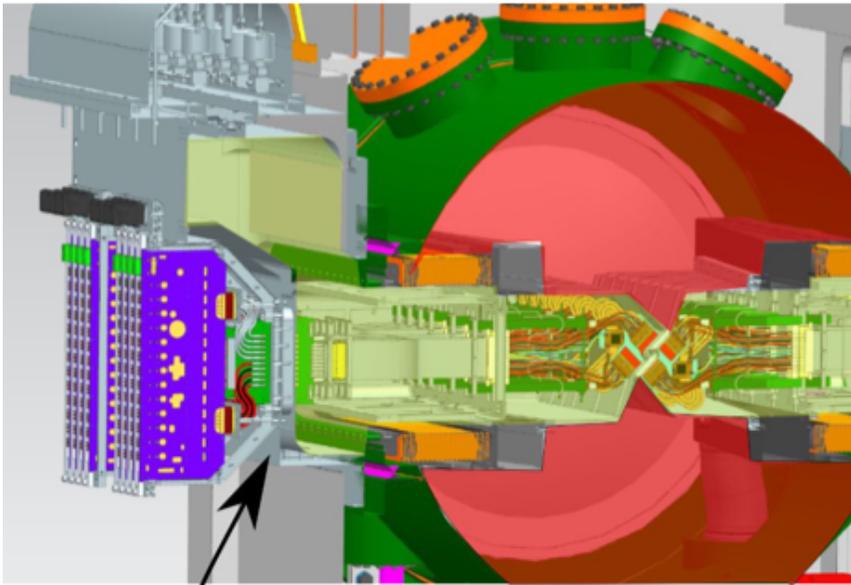
- Hybrid Pixel Detectors (55 $\mu$ m pitch)
- Close to the LHC beam (5.1 mm)
  - retracted/reinserted each fill
- Innovative silicon microchannel substrate
  - Bi-phase CO<sub>2</sub> cooling
- DAQ capable of handling 40TB/s
- **Installation completed May 2022**



# LHC Vacuum Volume Incident in VELO



RF Foil, 150-250 $\mu$ m thick, separates primary and secondary vacuum volumes

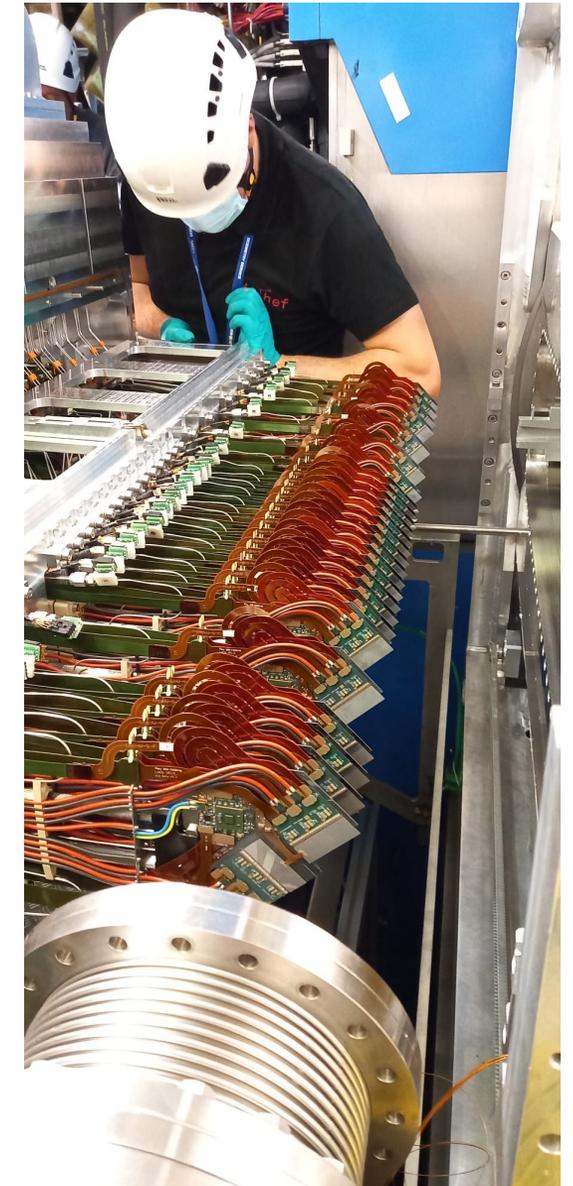
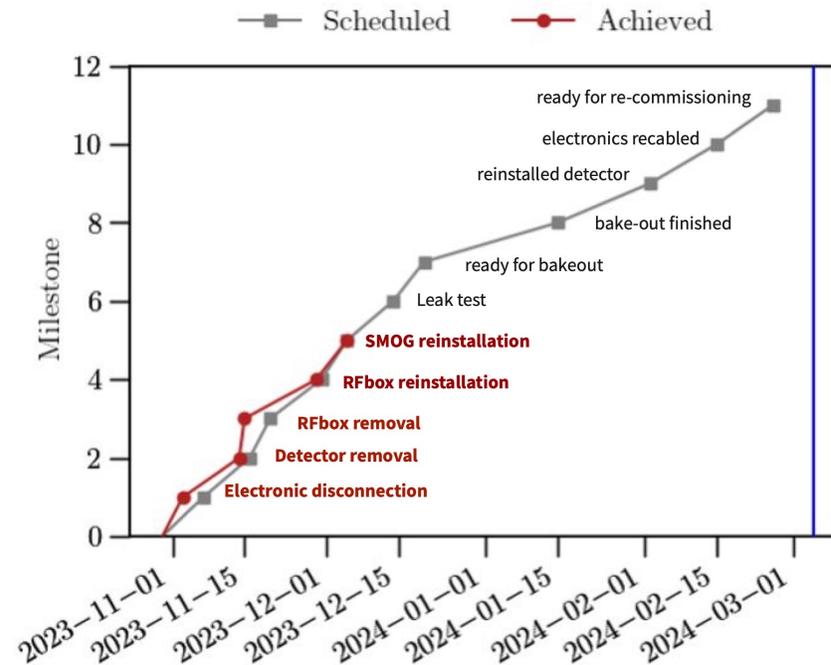


- On 10<sup>th</sup> January 2023 incident occurred due to a failure of the LHC vacuum system at the VELO.
- **Detector modules & cooling are not damaged**
- The system was returned to a safe situation
- **RF foil has undergone plastic deformation**
- Replacement in current shutdown would have significantly affected overall LHC programme
- Replace in the shutdown now at the end of 2023
  - **schedule: 13 weeks + contingency 3 weeks**
- LHCb physics programme in '23 affected as VELO could not be fully closed but opportunities remain

# VELO RF Foil Replacement

Replacement work is proceeding on schedule

Most critical tasks of foil and half removal are successfully completed

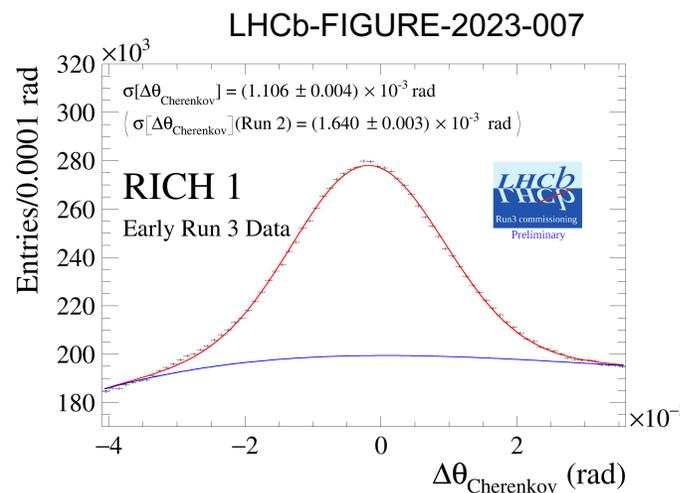
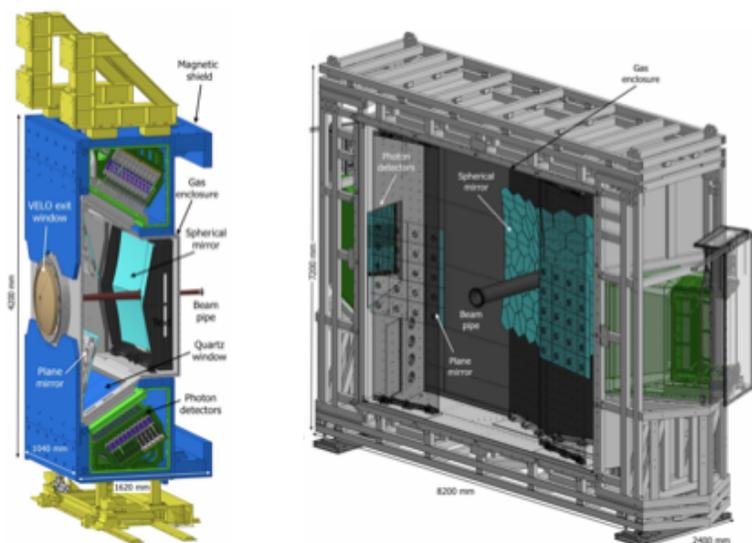
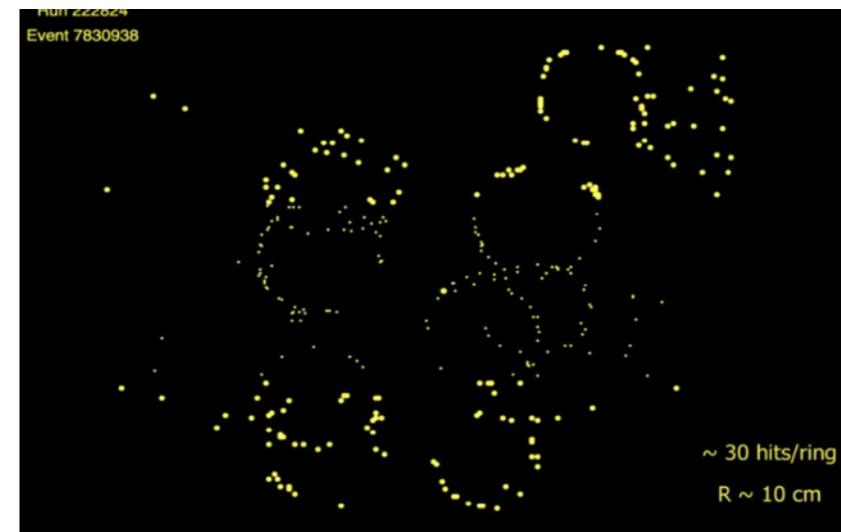


- Unique particle identification system, key for success of physics programme
- RICH1&2: new photodetector MaPMTs with Increased granularity and 40MHz readout
- RICH1: new design with new optical system with increased focal length, to halve occupancy
- **Installation successfully completed Feb. '22**

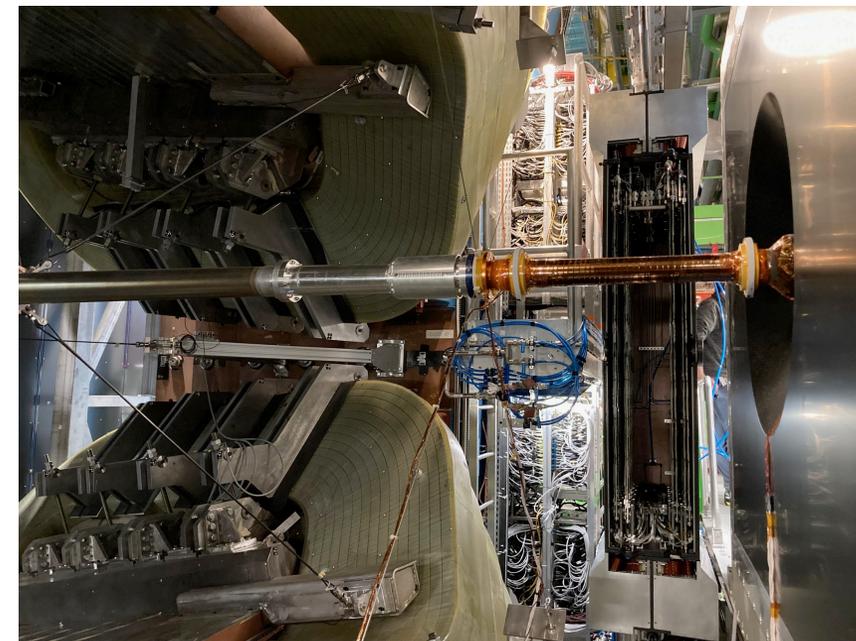
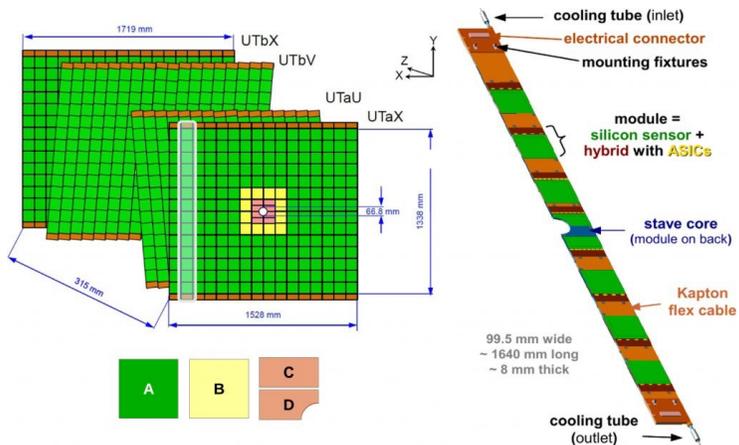
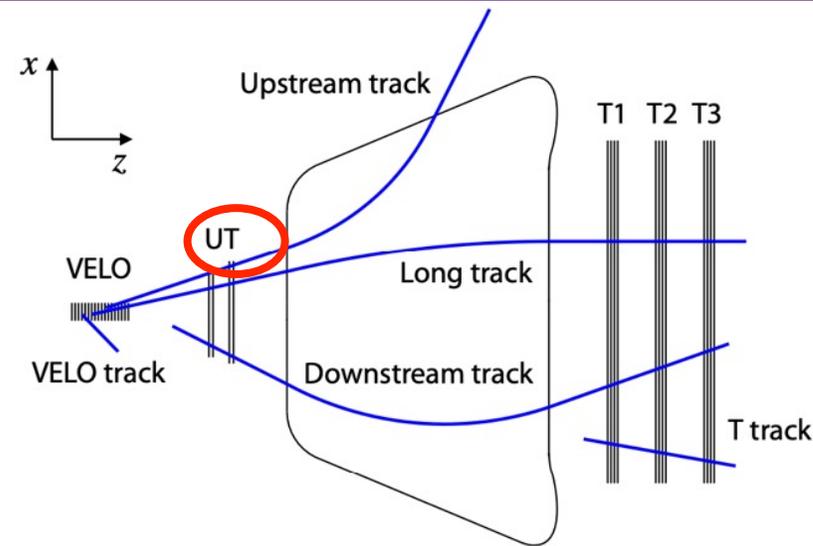
RICH1: MaPMTs installation



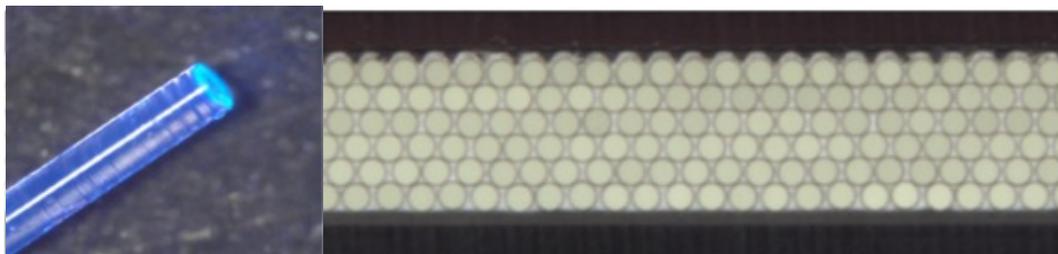
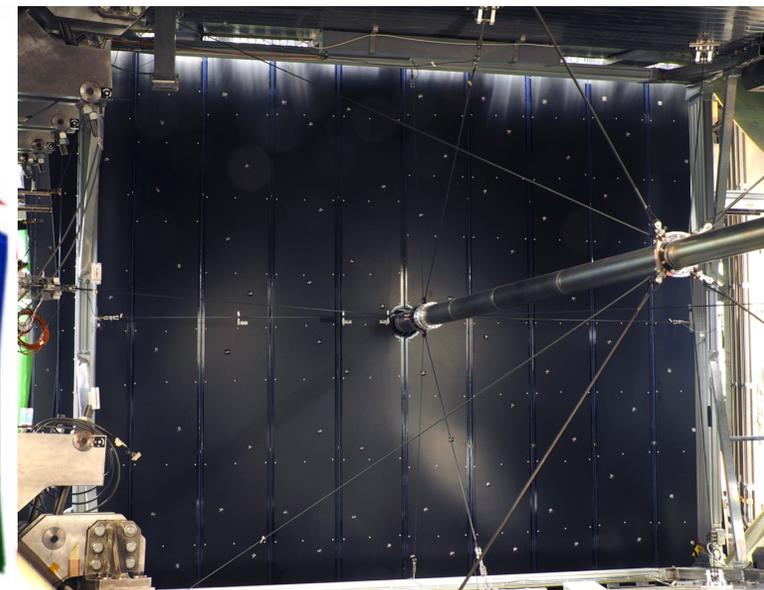
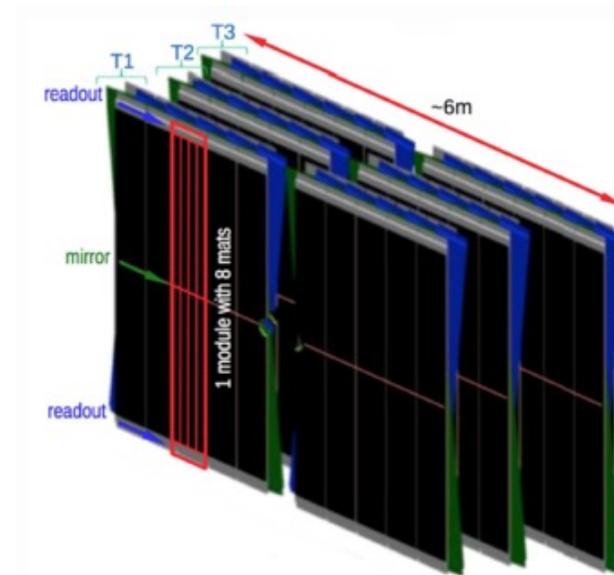
RICH2: first rings, LHC October '21 test

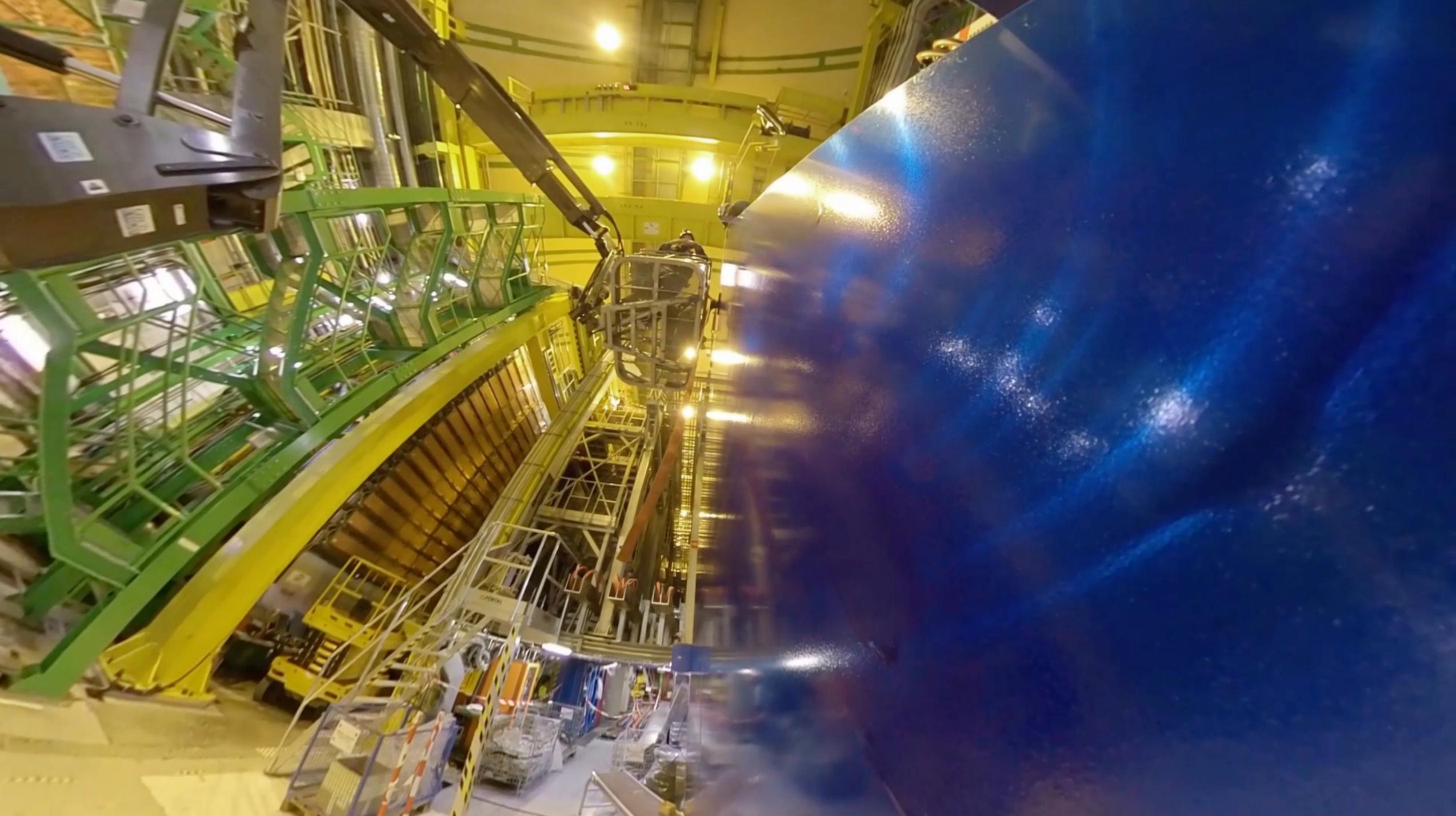


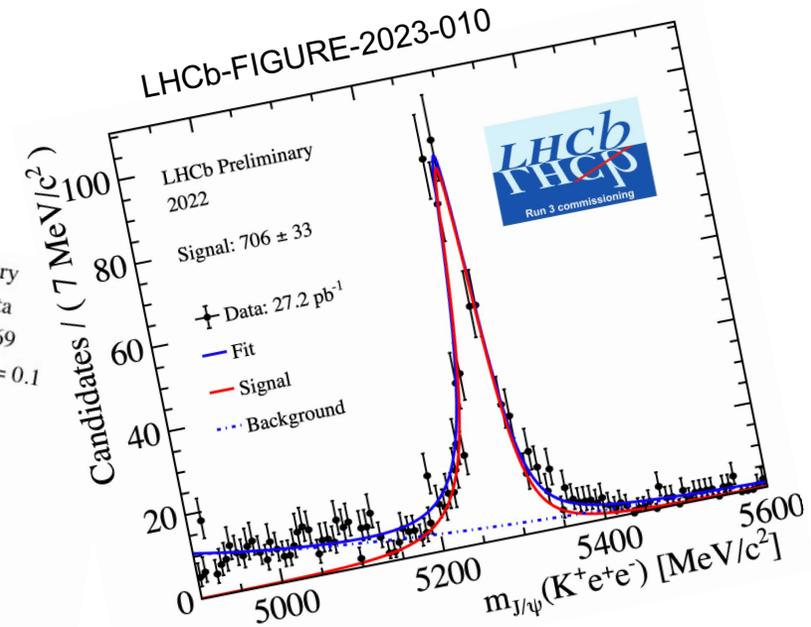
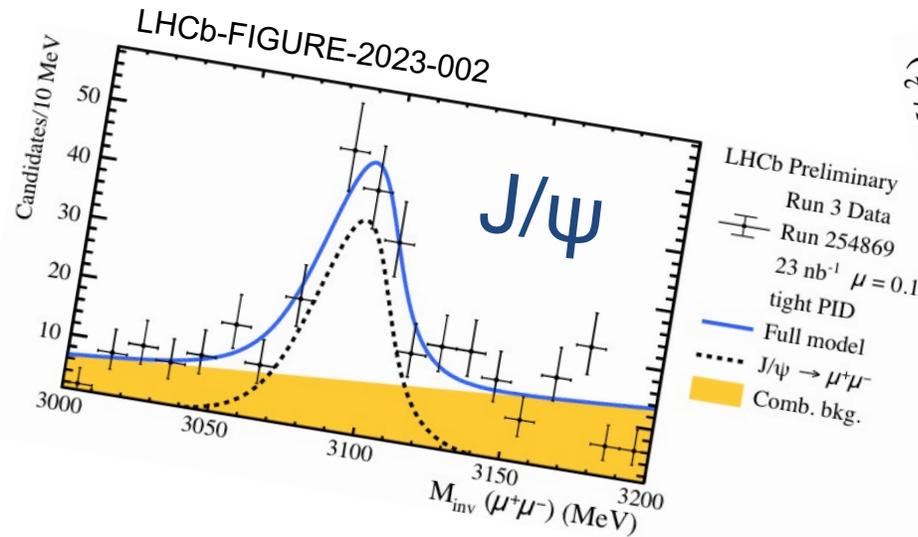
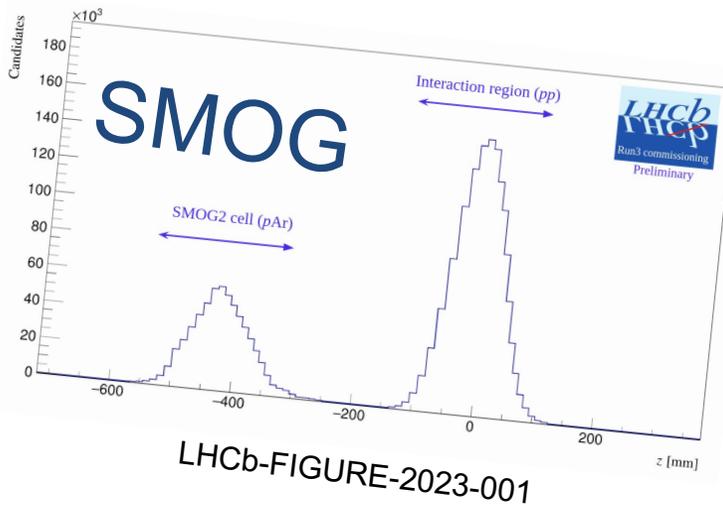
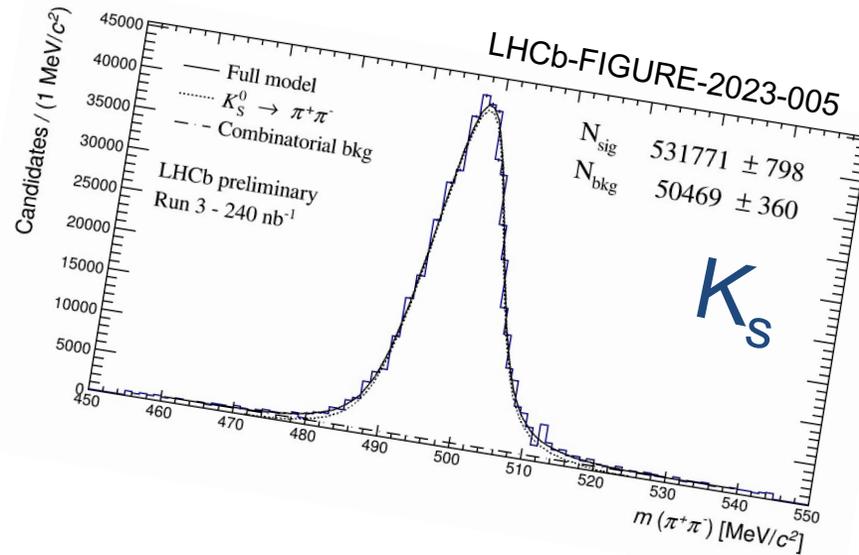
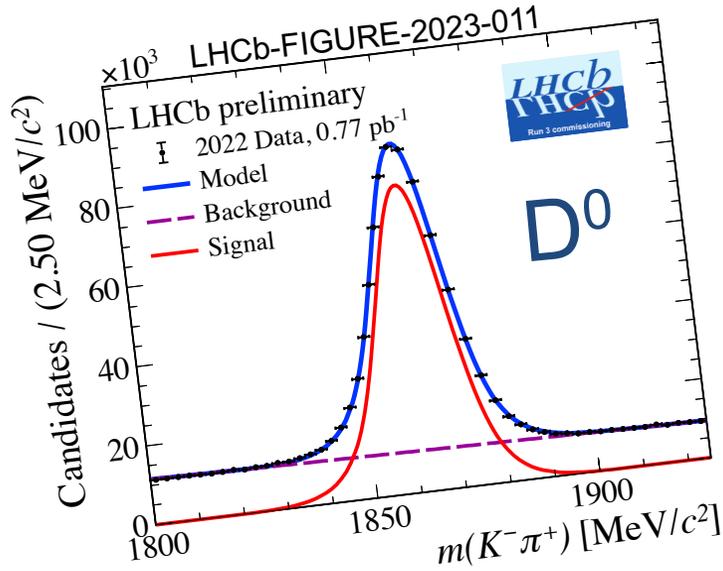
- 68 staves with silicon strips and integrated cooling, arranged in 4 planes
  - fast  $p_T$  determination for track extrapolation
    - reduce ghost track, and improve trigger bandwidth
  - long-lived particles decaying after VELO ( $K_S, \Lambda$ )
- Installation successfully completed March '23, now commissioning,



- Large scale tracking stations after magnet
- Scintillating Fibres
  - 250 $\mu$ m diameter, 2.5m long
- Signal readout by SiPMs
  - Operate at -40 C
- 12 layers of mats
- 6 layers of fibres in each mat
  - 12,000 km of fibre !
- **Installation completed March '22**







# LHCb Upgrades

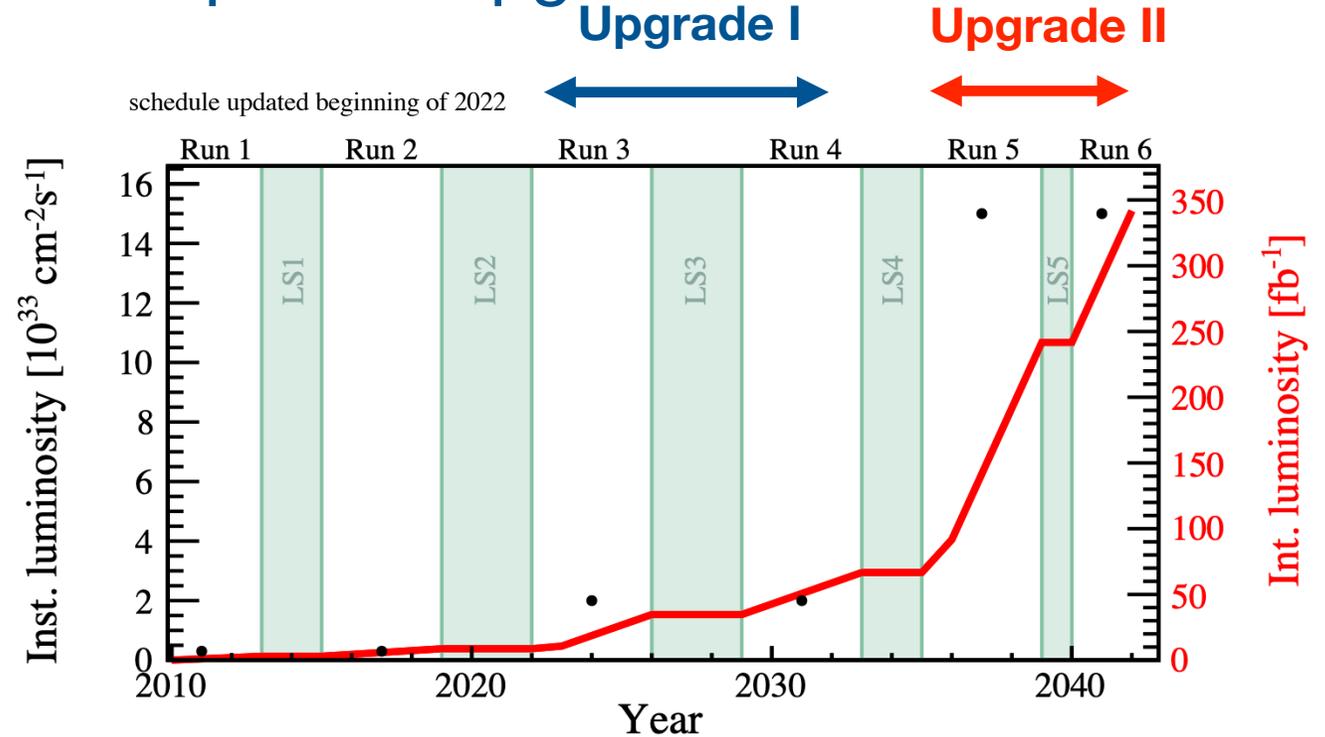
- Physics programme limited by detector, NOT by LHC
- Hence, clear case for an ambitious plan of upgrades

Upgrade I starting now!

- $L_{peak} = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- $L_{int} = 50 \text{ fb}^{-1}$  during Run 3 & 4
- Healthy competition with Belle II at  $50 \text{ ab}^{-1}$

## Upgrade II

- $L_{peak} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- $L_{int} = \sim 300 \text{ fb}^{-1}$  during Run 5 & 6, Install in LS4 (2033)
- Some smaller detector consolidation and enhancements in LS3 (2026)
- Potentially the only general purpose flavour physics facility in world on this timescale



# Upgrade II



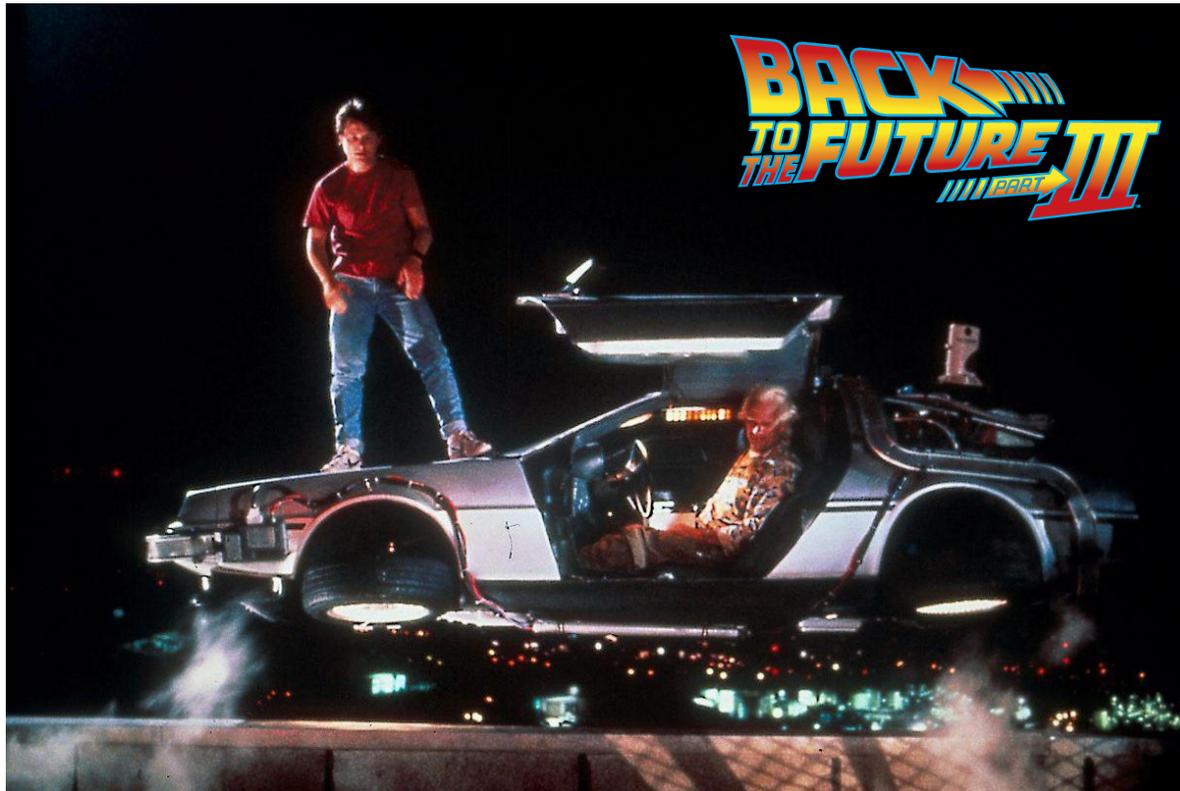
Upgrade II

2033-



## Critics Consensus

*Back to the Future Part III* draws the trilogy to a satisfying close



Powered by time travel !

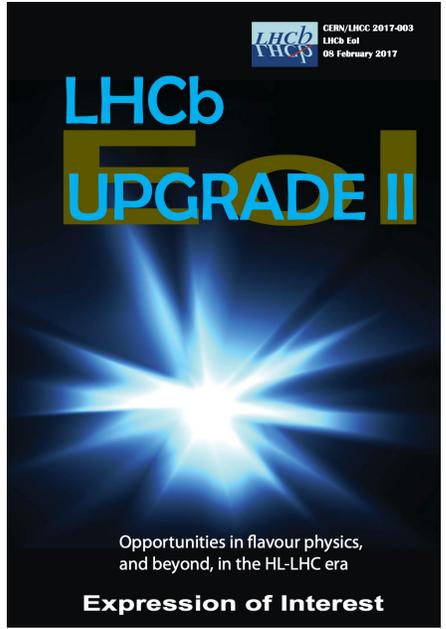


# Upgrade II: steps so far

Expression of Interest

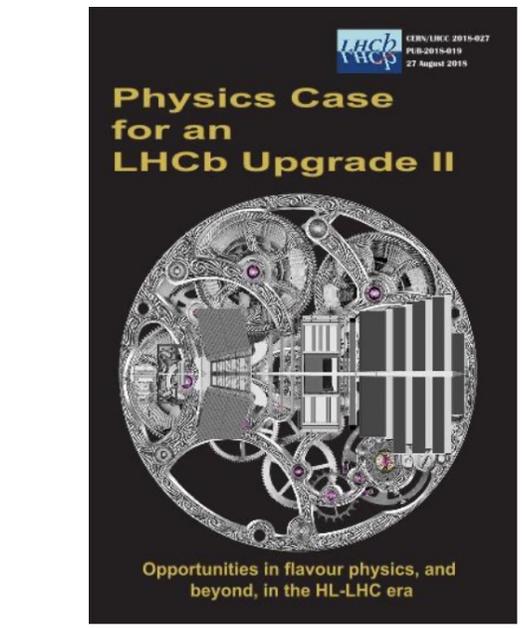
Physics case

Accelerator study



[LHCC-2017-003](#)

**CERN Research Board  
September 2019**



[LHCC-2018-027](#)

*"The recommendation to prepare a framework TDR for the LHCb Upgrade-II was endorsed, noting that LHCb is expected to run throughout the HL-LHC era."*



CERN-ACC-NOTE-2018-0038  
2018-08-29  
Ilias.Efthymiopoulos@cern.ch

**LHCb Upgrades and operation at  $10^{34}$  cm<sup>-2</sup> s<sup>-1</sup> luminosity –A first study**

*G. Arduini, V. Baglin, H. Burkhardt, F. Cerutti, S. Claudet, B. Di Girolamo, R. De Maria, I. Efthymiopoulos, L.S. Esposito, N. Karastathis, R. Lindner, L.E. Medina Medrano, Y. Papaphilippou, C. Parkes, D. Pellegrini, S. Redaelli, S. Roesler, F. Sanchez-Galan, P. Schwarz, E. Thomas, A. Tsinganis, D. Wollmann, G. Wilkinson*  
CERN, Geneva, Switzerland

Keywords: LHC, HL-LHC, HiLumi LHC, LHCb, <https://indico.cern.ch/event/400665>

[CERN-ACC-2018-038](#)



[LHCC-2021-012](#)

**Approved March 2022  
R&D programme followed  
by sub-system TDRs**

**European Strategy Update 2020** *"The full potential of the LHC and the HL-LHC, including the study of flavour physics, should be exploited"*

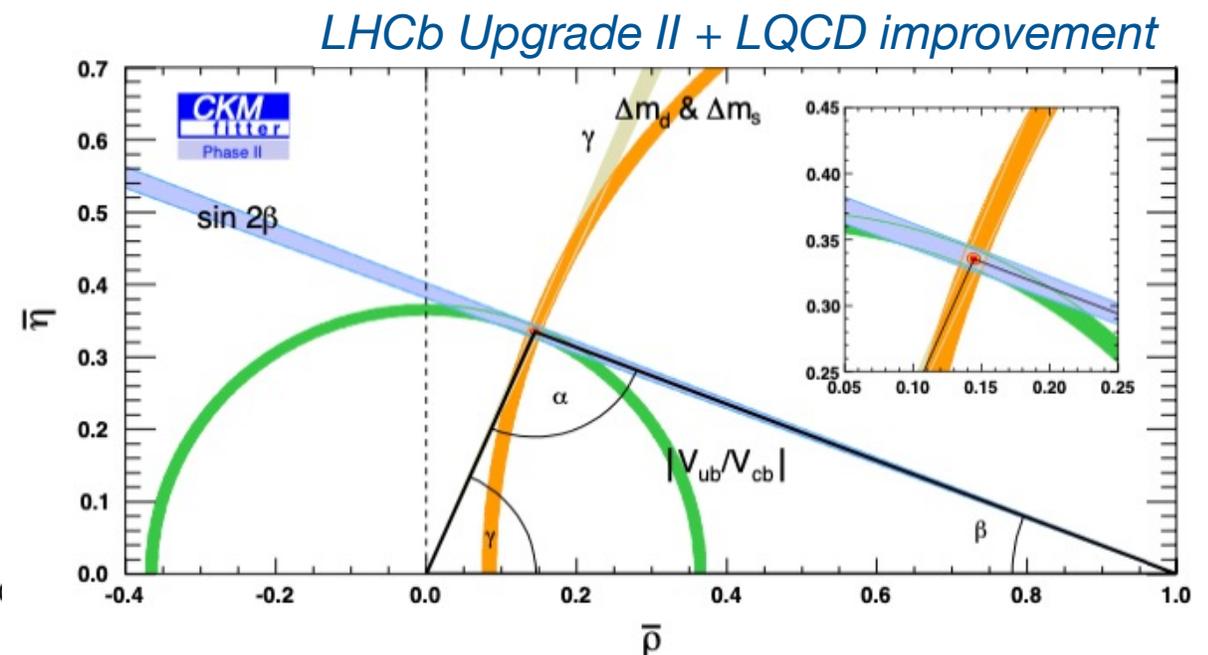
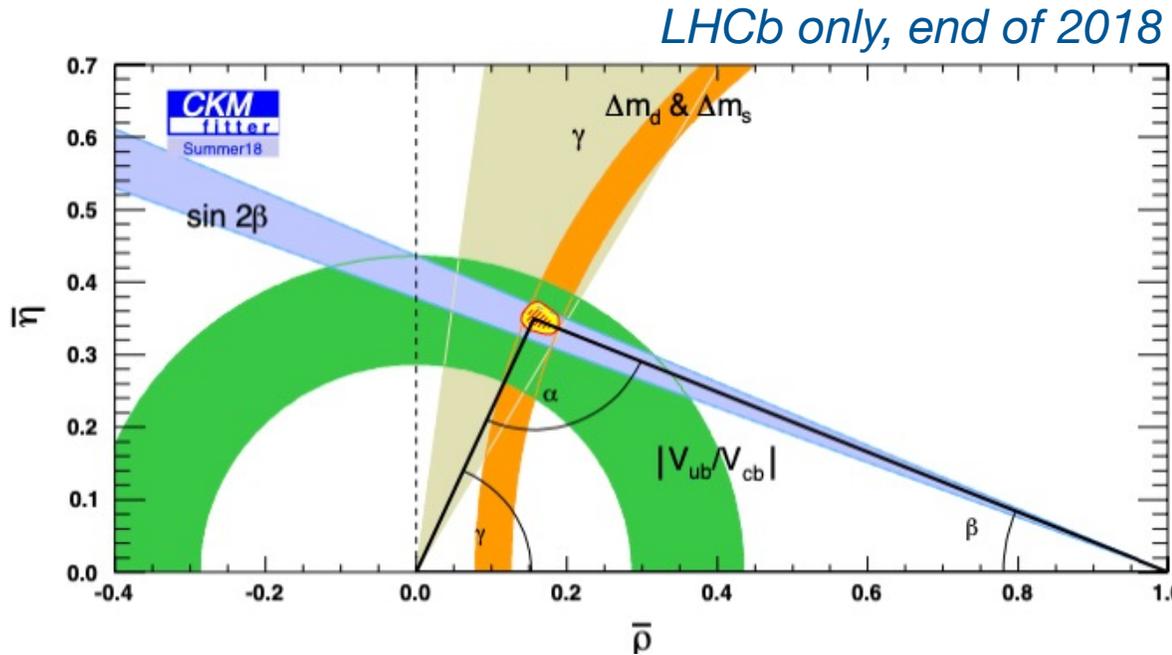
**US P5 2023:** "LHCb upgrade II will be a major project that opens a new era of precision"

Large Hadron Collider beauty (LHCb) 2030+  
Funding  
£1.1 million over the next three years. £49.4 million from the Infrastructure Fund in total including future funding years.  
Project start date: financial year 2024 to 2025.

# Constraining the Unitarity Triangle

- *Current data show no significant deviations from the SM on  $\Delta F=2$  observables and many other flavour-changing processes*
- *Either NP is very heavy or it has a highly non trivial structure*

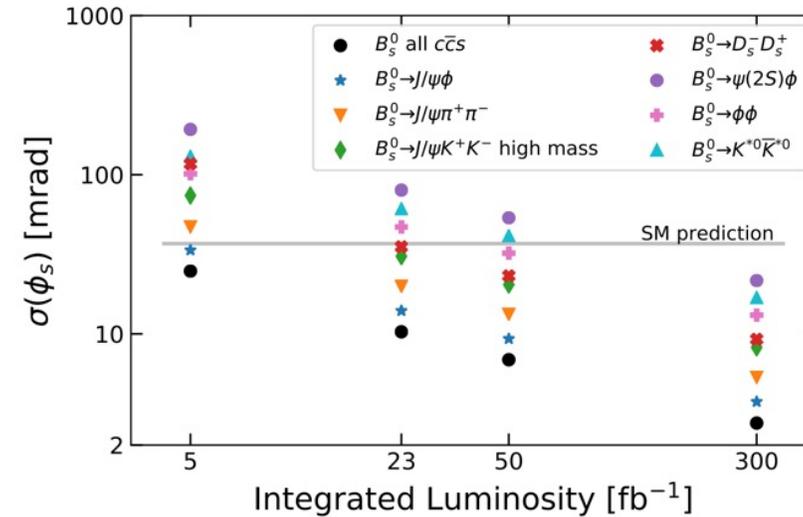
**LHCb Upgrade II will test the CKM paradigm with unprecedented accuracy**



**Arguably the greatest likelihood of a further paradigm shifting discovery at the HL-LHC lies with flavour physics**

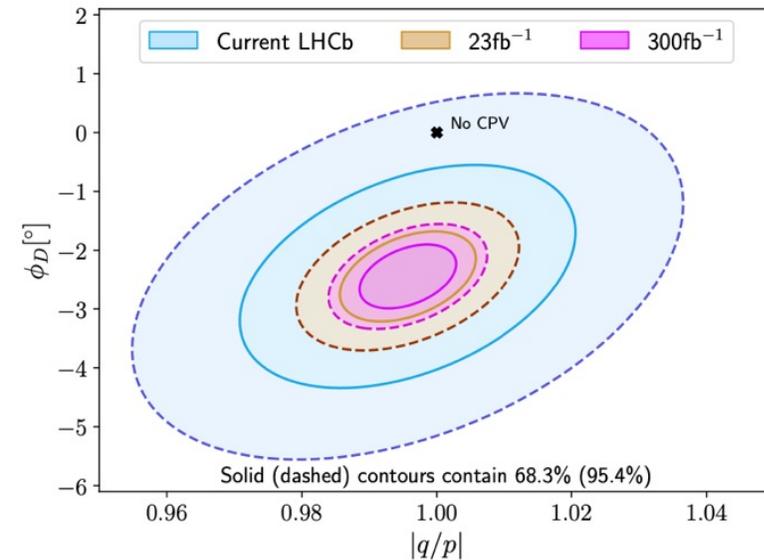
## CP violating phase $\phi_s$

- Sensitive to new physics – small and well predicted in SM
- Upgrade II sensitivity below SM prediction in multiple channels



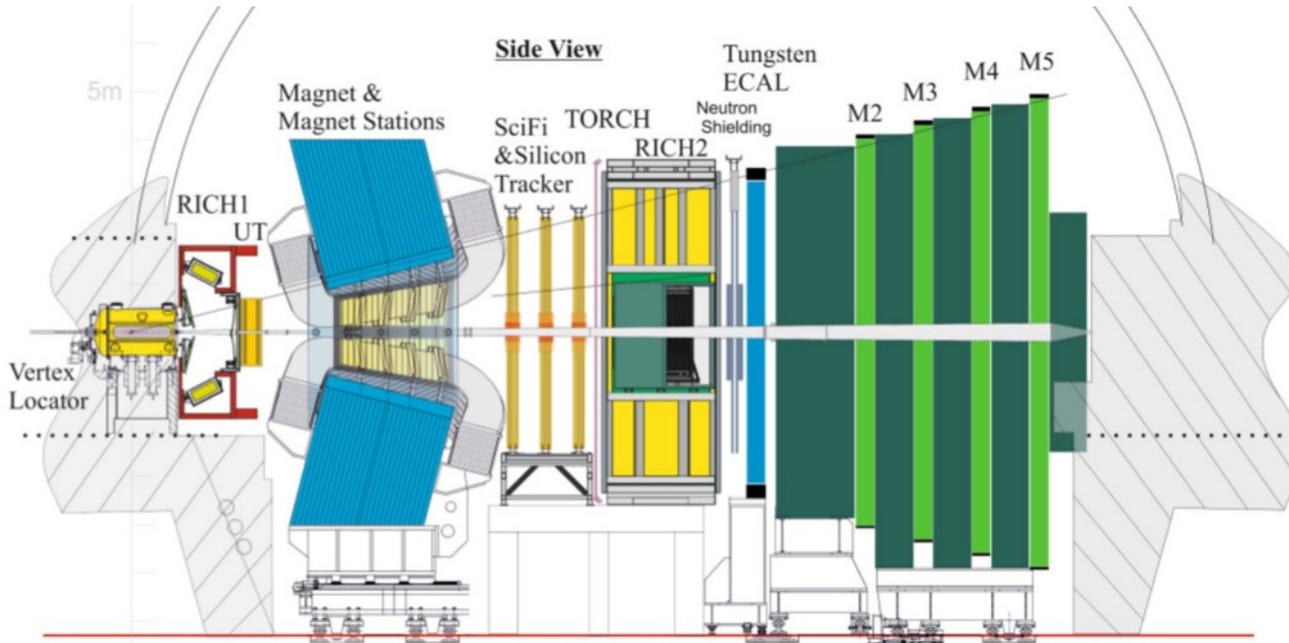
## CP violation in charm

- LHCb Upgrade II is the only planned facility with a realistic possibility to observe CPV in charm mixing *(at  $>5\sigma$  if present central values are assumed)*



# The detector challenge

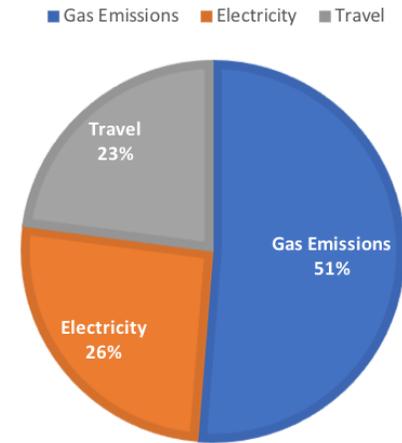
Targeting same performance as in Run 3, but with pile-up ~40!



Same spectrometer footprint, innovative technology for detector and data processing

Key ingredients:

- granularity
- fast timing (few tens of ps)
- radiation hardness

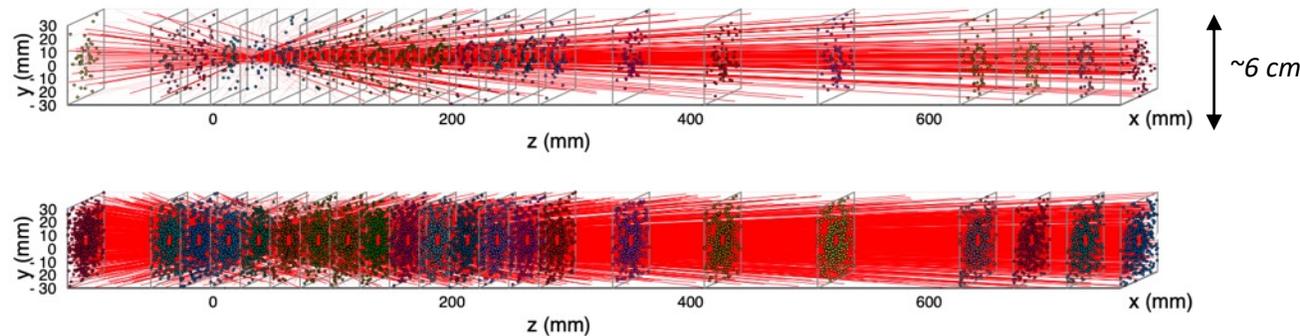


Environmental impact discussed for the first time in a TDR

## Vertex Locator (VELO)

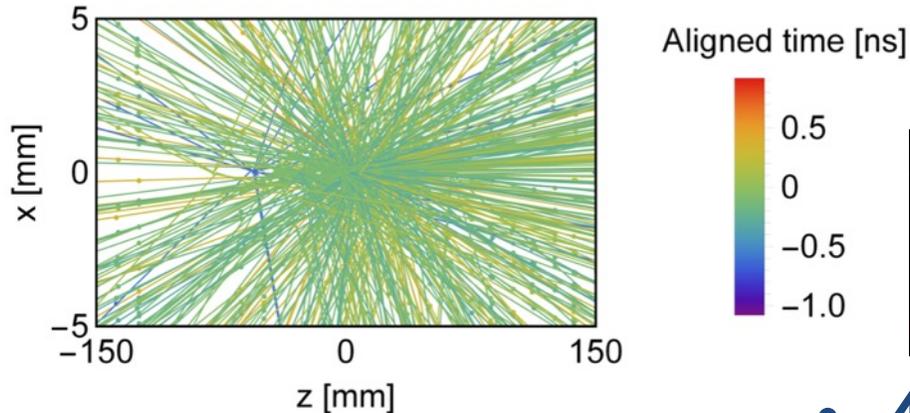
Run 3: pile-up ~6

Upgrade II: pile-up ~42

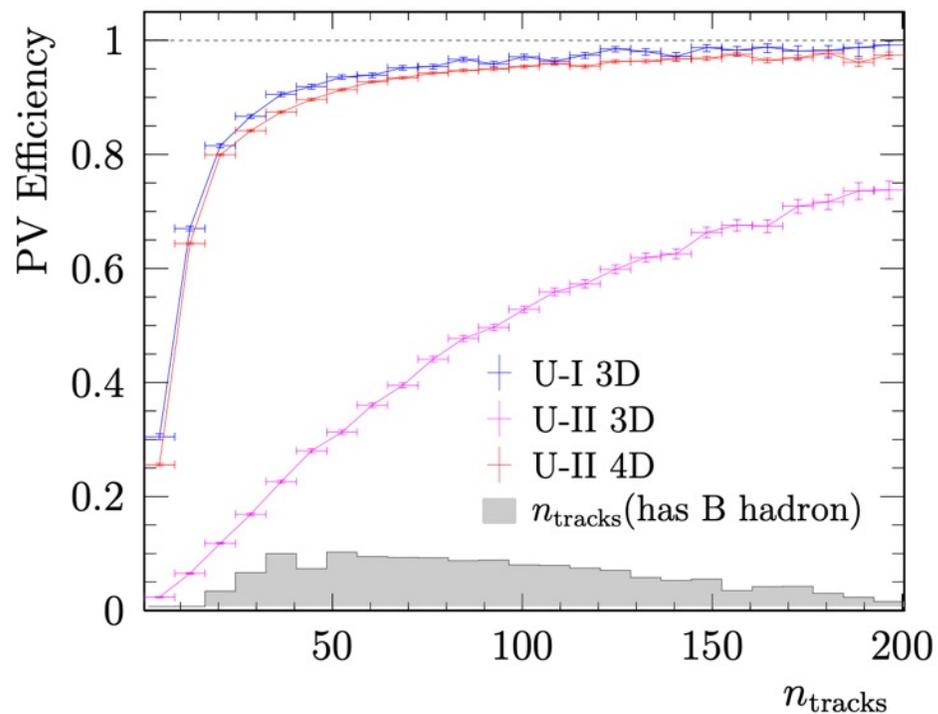
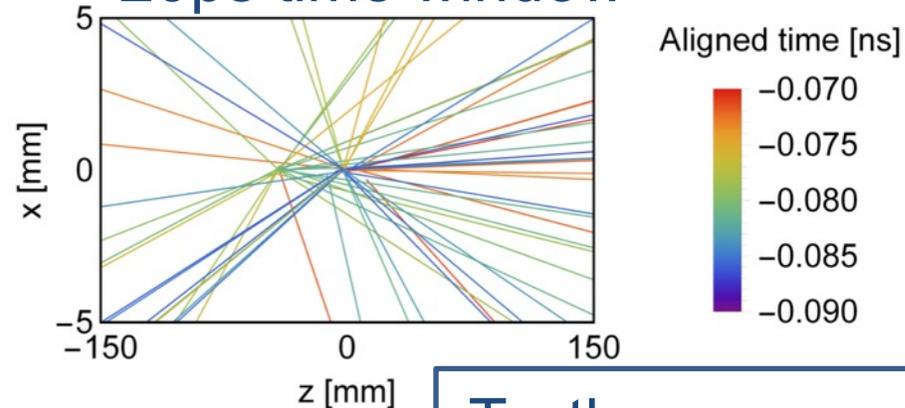


# 4D Vertexing: Precision Timing

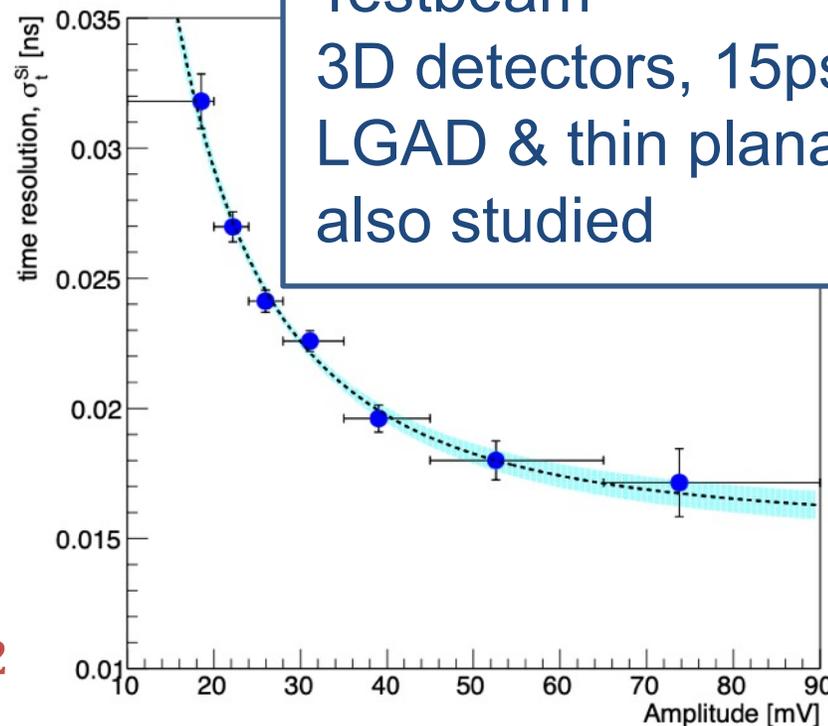
42 interactions



20ps time window



- 4D tracking
- Ensures similar performance to U1 at U2
  - $\sim 50\text{ps}, 50\mu\text{m}^2$
- Extreme lifetime fluence
  - $6 \times 10^{16} n_{eq}/\text{cm}^2$

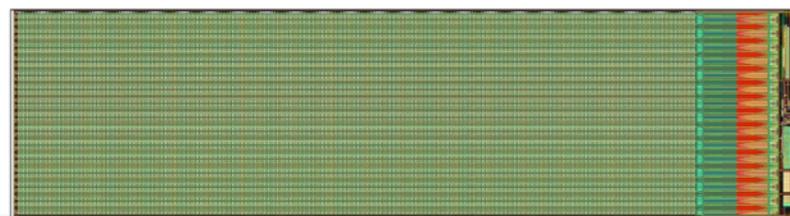
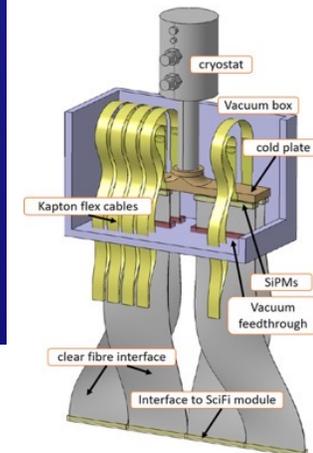
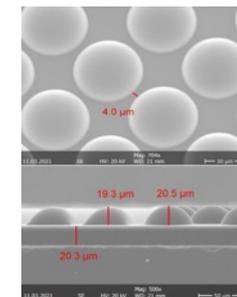
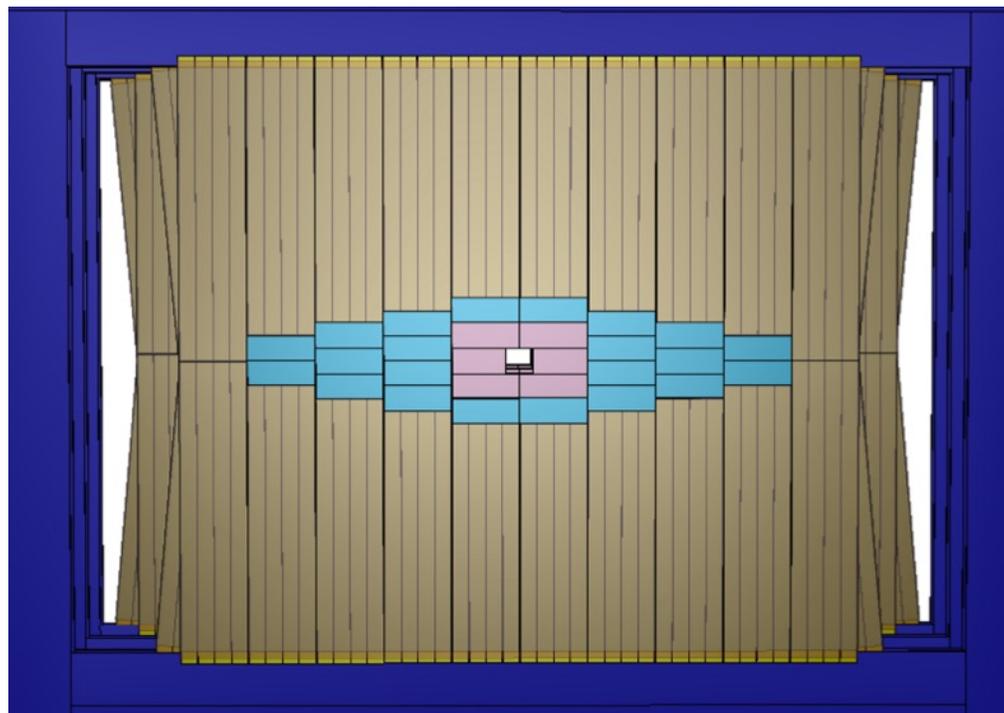


Testbeam  
3D detectors, 15ps  
LGAD & thin planar  
also studied

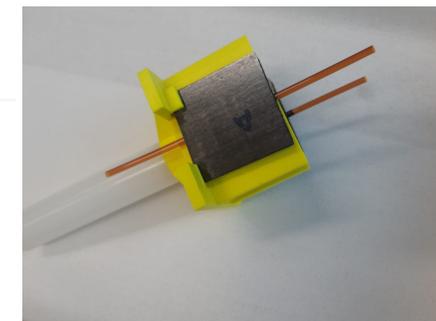
# Tracker: Rad Hard MAPs, first of kind at LHC



- UT – before magnet
- Mighty tracker – SciFi+CMOS – after magnet
- Monolithic Active Pixel Sensors ( $50 \times 150 \mu\text{m}^2$ )
  - Radiation requirements in UT  $3 \times 10^{15} n_{eq}/\text{cm}^2$
  - low-cost commercial process, low material budget
- Scintillating fibres in outer region
  - radiation-hard fibres, cryogenic cooling, micro-lens enhanced SiPMs



MightyPix1 1/4 scale chip fabricated



# Summary



Original

2009-2018



Upgrade I

2022-2032



Upgrade II

2033-

- LHCb physics

- > 650 papers so far, many more to come from Run 2 analysis

- New:  $\sin(2\beta)$ ,  $\phi_s$

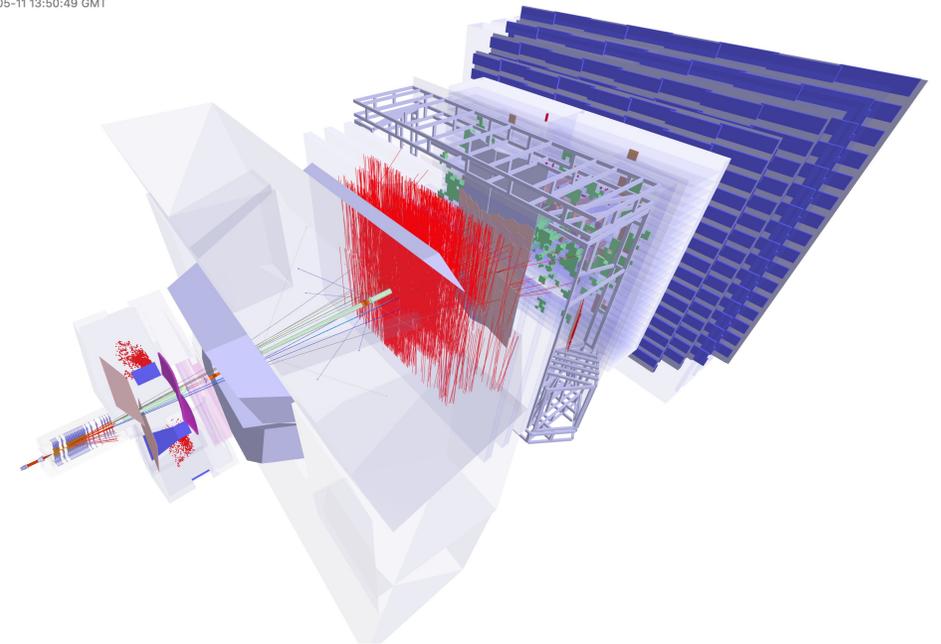
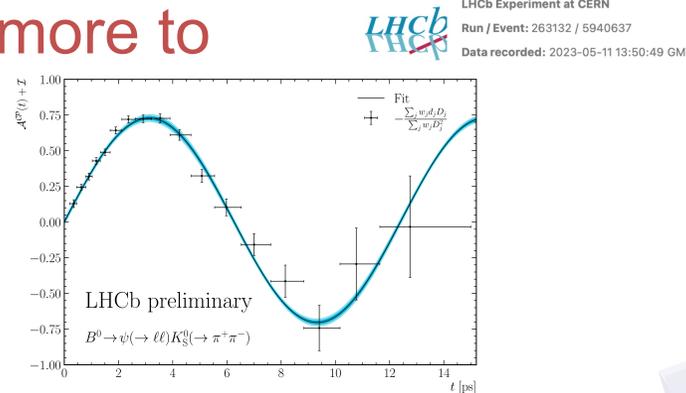
- LHCb Upgrade I

- Largest CERN particle physics project since LHC completion

- Despite pandemic completed on-budget and in time for Run 3

- LHCb Upgrade II

- project taking shape: Framework TDR approved, R&D setting path



# Summary



Original  
2009-2018



Upgrade I  
2022-2032



Upgrade II  
2033-



- LHCb physics

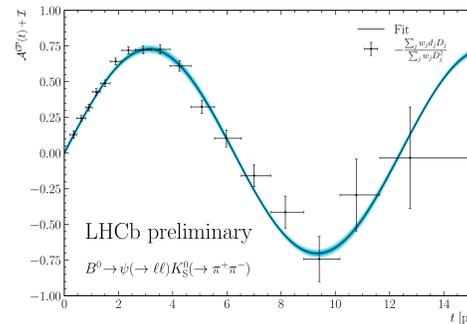
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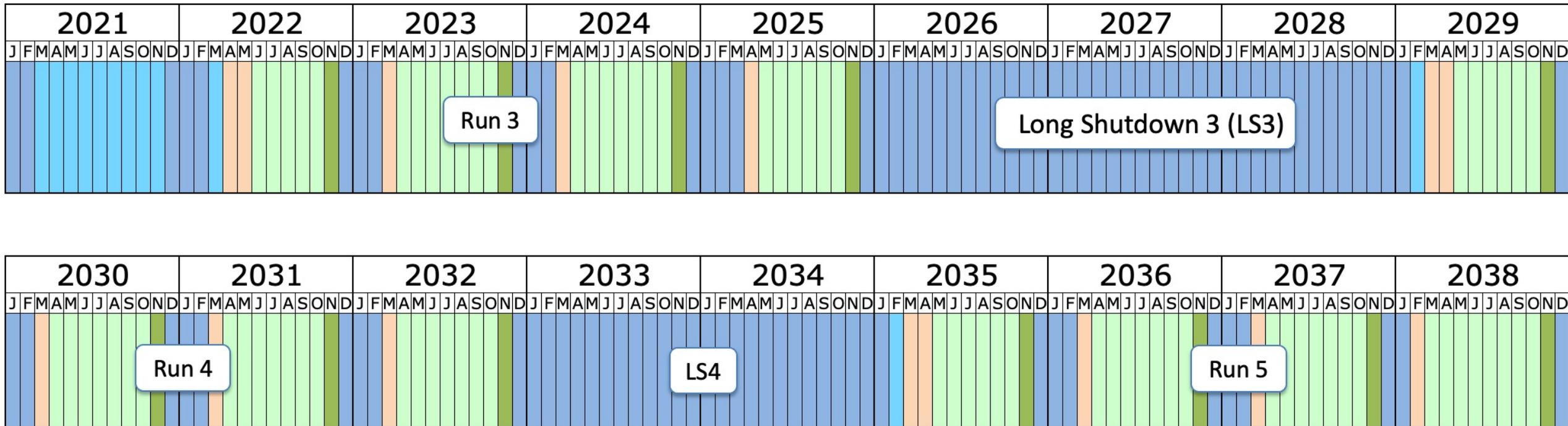
- LHCb Upgrade II

- project taking shape: Framework TDR approved, R&D setting path



# Backup

# LHC Schedule

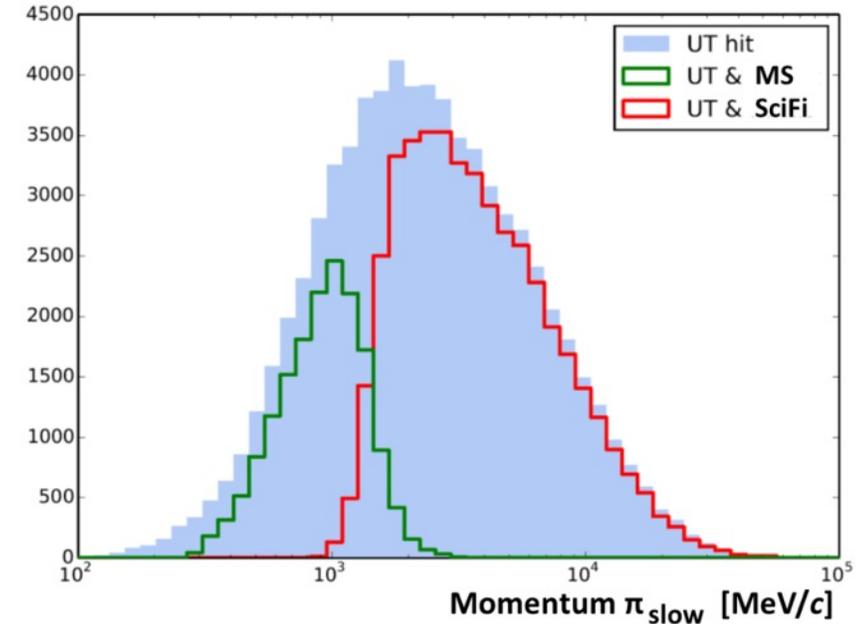
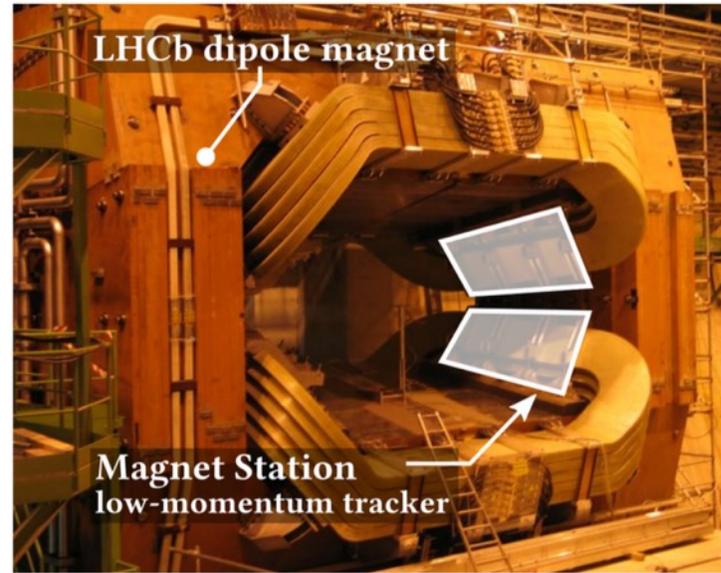
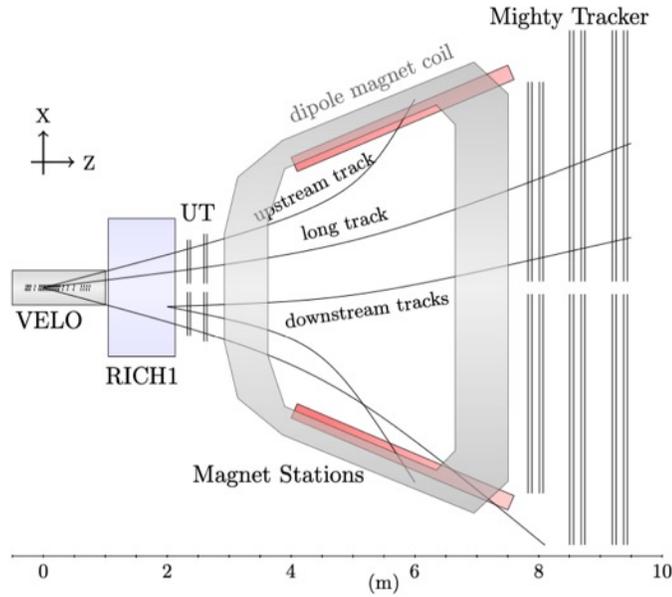


Last updated: January 2022

- Shutdown/Technical stop
- Protons physics
- Ions
- Commissioning with beam
- Hardware commissioning/magnet training

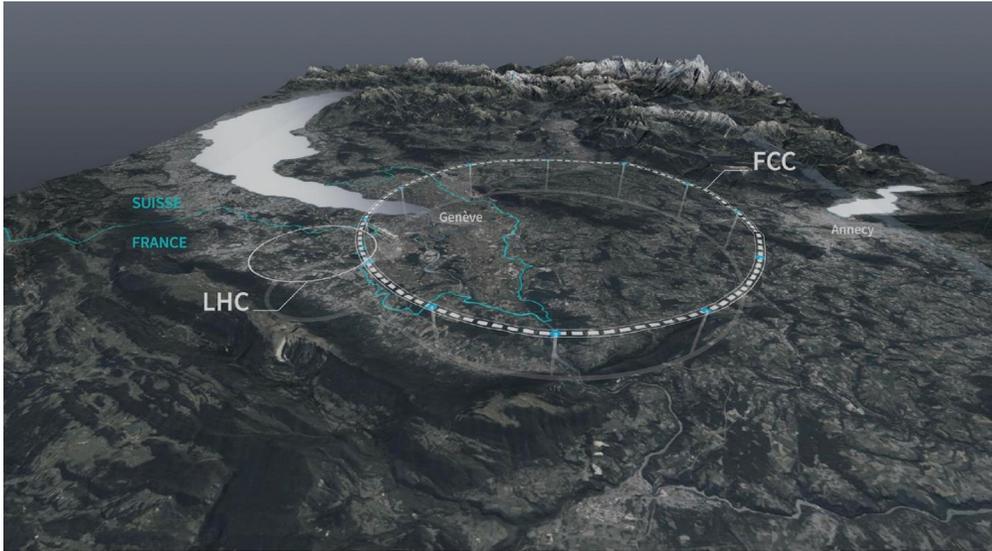
LS4 extended to  
allow LHCb Upgrade II  
installation

# Magnet Stations: expanding physics potential

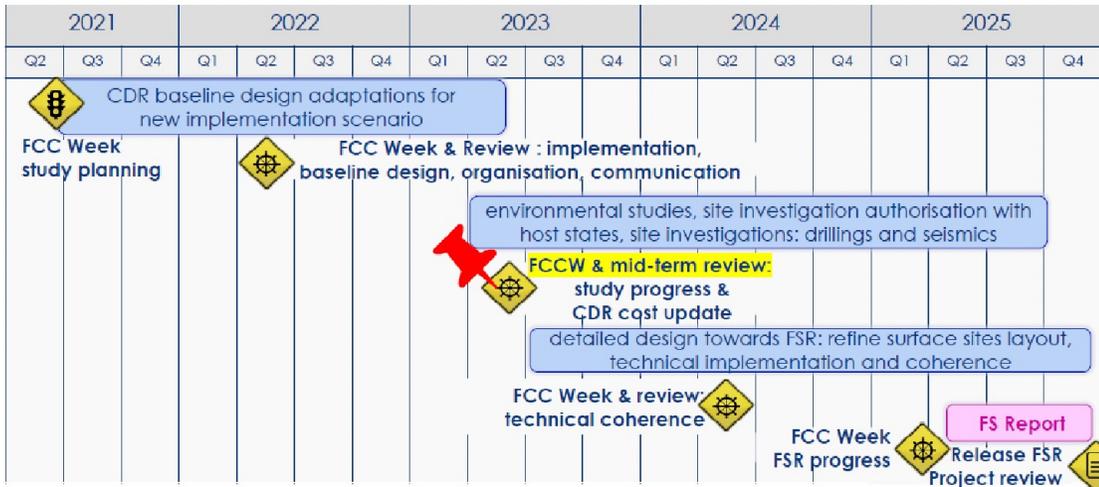


- Low momentum particles swept out by magnet
  - Instrument walls of magnet with scintillating bars
  - Obtain sub-% momentum measurement
  - Significant increase of acceptance for low momentum
- e.g. factor of  $\sim 2$  gain in prompt  $D^{*+}$  with slow  $\pi$

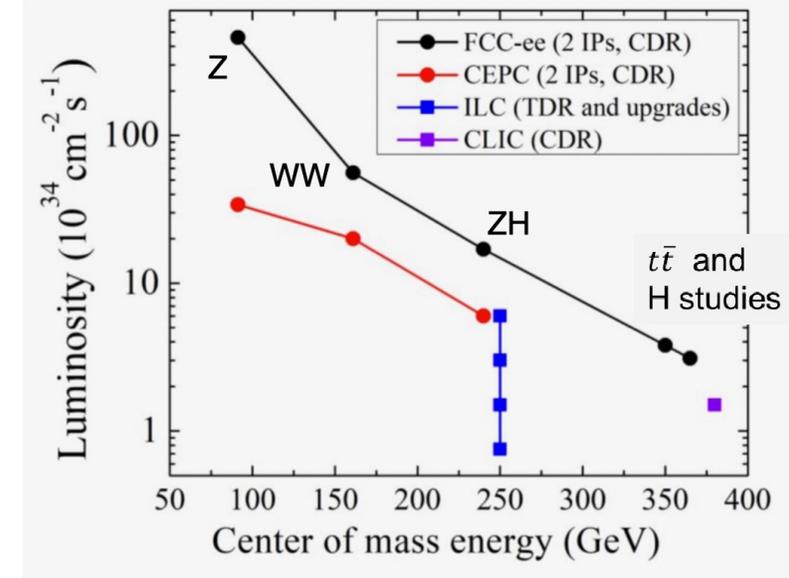
# Flavour @ FCC-ee (or CEPC – very similar machine)



~100km CERN e<sup>+</sup>e<sup>-</sup> machine for >2045



From Guy Wilkinson, Jernej Kamenik FCC week 2023



- Running mode includes ~  $5 \times 10^{12}$  Z decays, hence flavour potential

Attribute	$\Upsilon(4S)$	$pp$	$Z^0$
All hadron species		✓	✓
High boost		✓	✓
Enormous production cross-section		✓	
Negligible trigger losses	✓		✓
Low backgrounds	✓		✓
Initial energy constraint	✓		(✓)

Effort underway to explore potential

- New Electronics readout
- Existing detectors able to stand increased luminosity of Run3

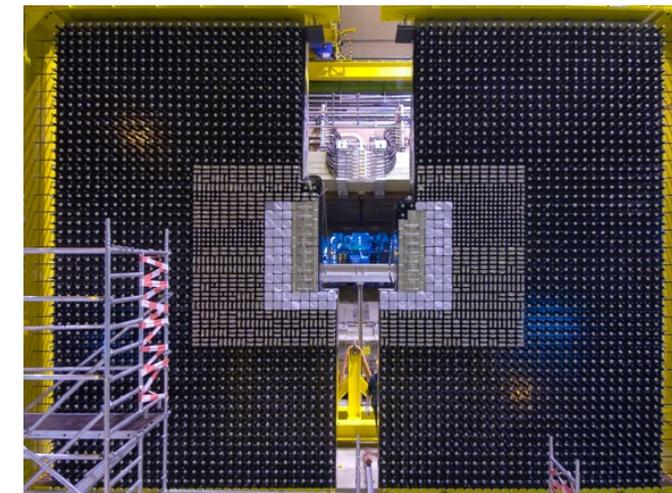
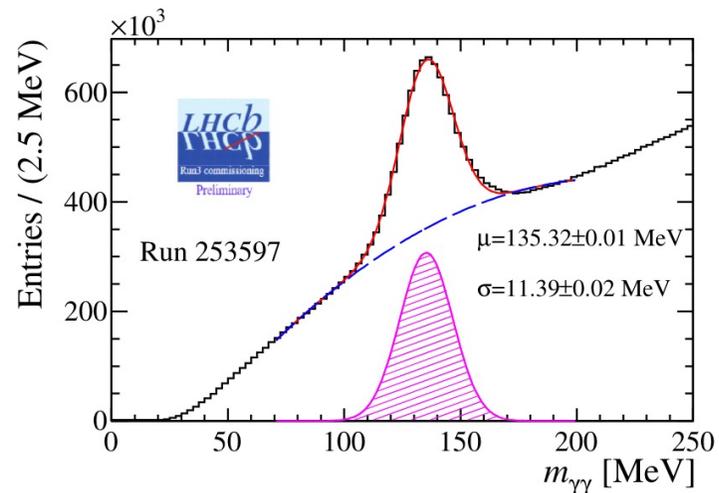
- Inner ECAL upgrade for LS3

- **Shashlik Calorimeters**

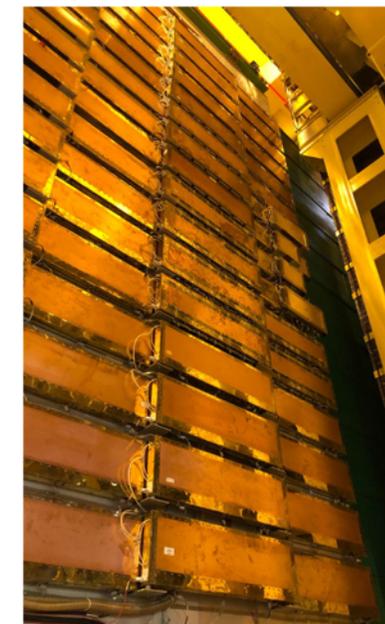
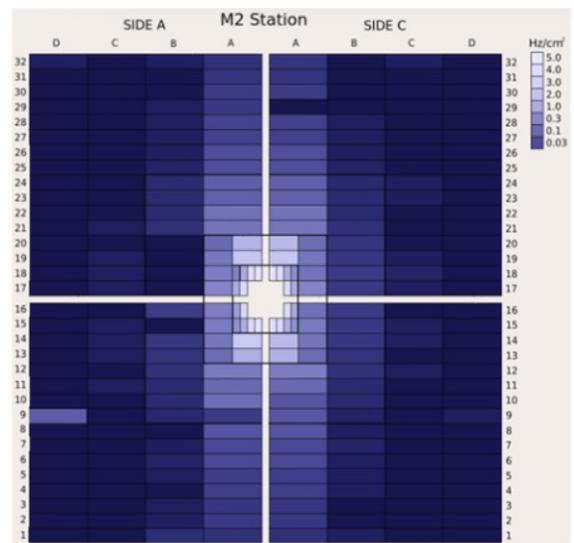
- PMT gains reduced
- New front-end electronics with improved S/N and 40MHz readout

- **Muon stations**

- 4 walls equipped with MWPCs, and interleaved with iron filters
- 40Mz readout electronics



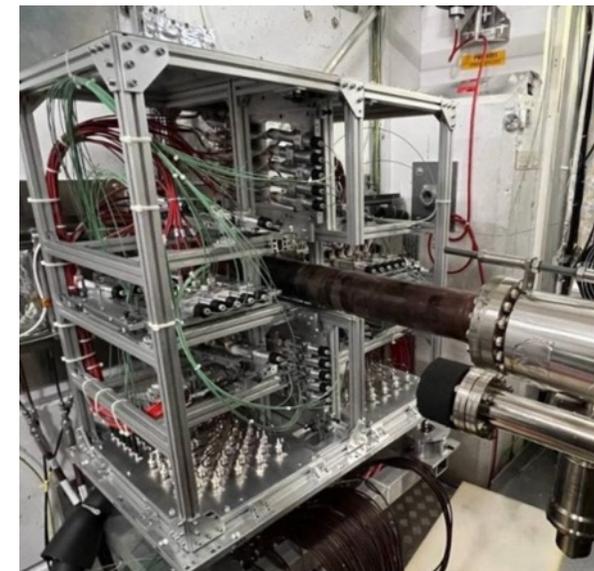
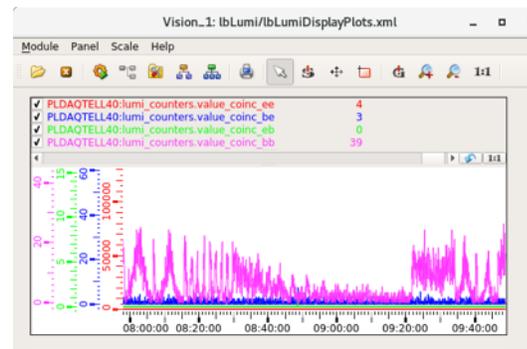
Occupancy Muon station 2



- Systems at the entrance of the VELO are ready to operate

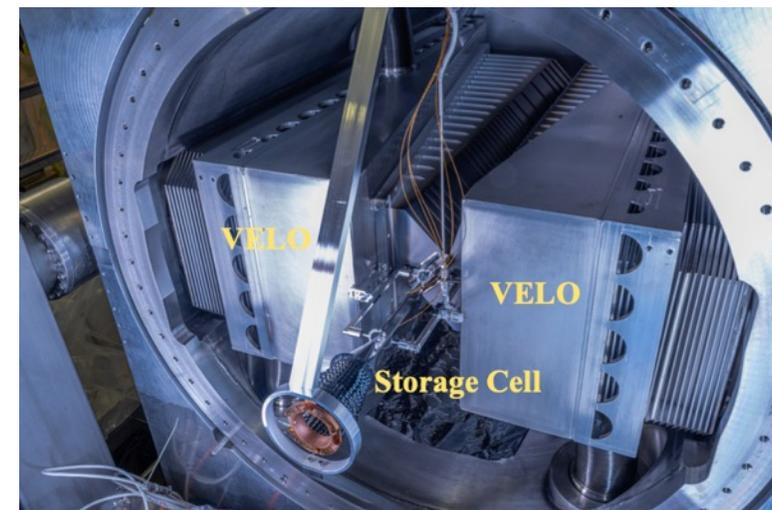
- **PLUME luminometer**

- quartz tablets + PMTs
- online+offline per-bunch luminosity measurement
- **in Global data taking**



- **SMOG2 gas target**

- New storage cell for the gas upstream of the nominal IP
- Gas density increased by up to two orders of magnitude → much higher luminosity
- Gas targets: *He, Ne, Ar* + possibly *H<sub>2</sub>, D<sub>2</sub>, N<sub>2</sub>, Kr, Xe*
- **Installed & tested**
- **Simultaneous p-p and p-gas data taking possible!**



# Physics Case: performance table

Upgrade I will not saturate precision in many key observables

⇒ Upgrade II will fully realise the flavour-physics potential of the HL-LHC

## Key observables in flavour physics

[LHCC-2018-027](#)

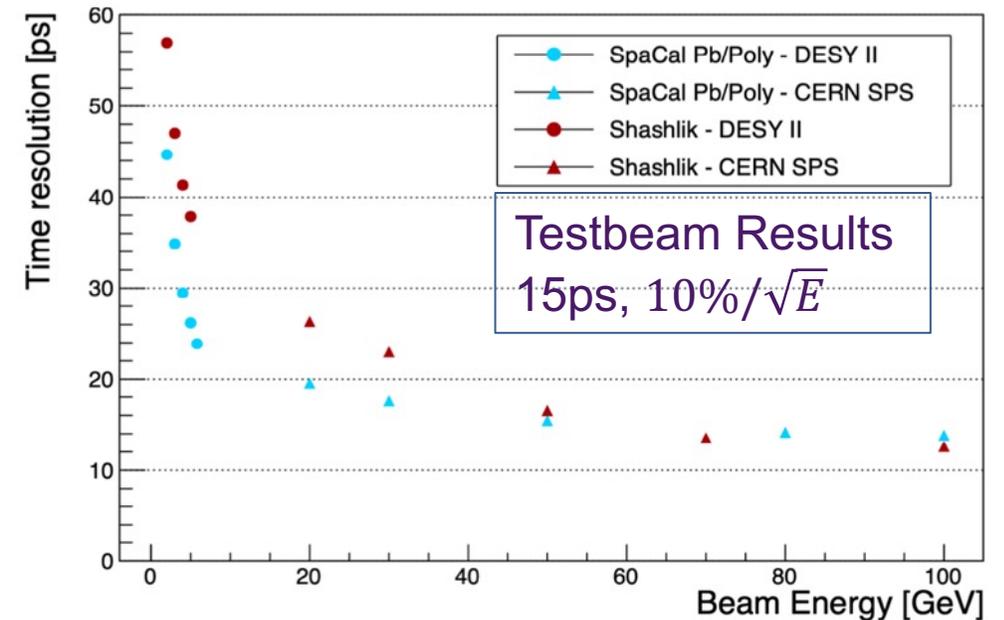
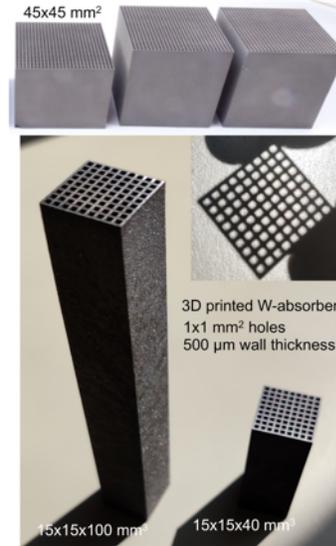
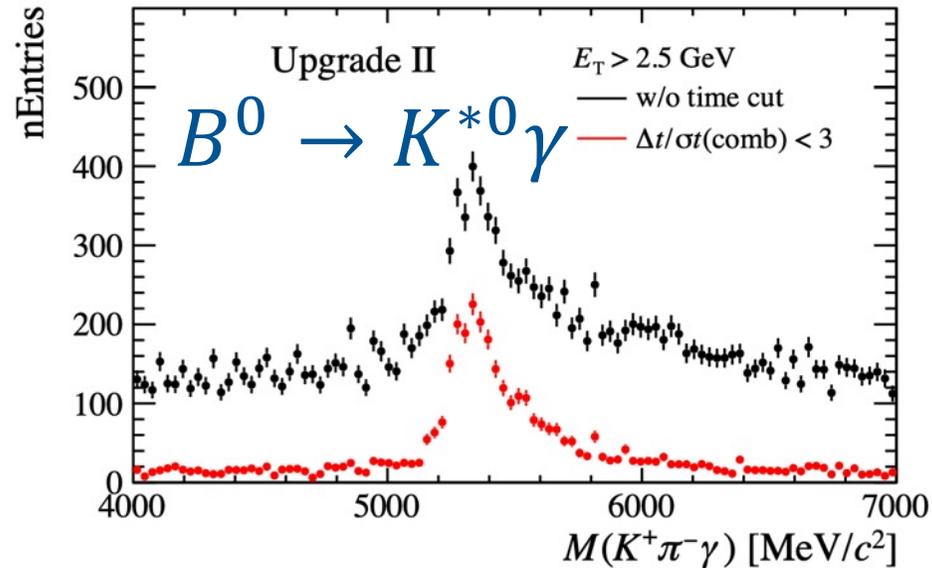
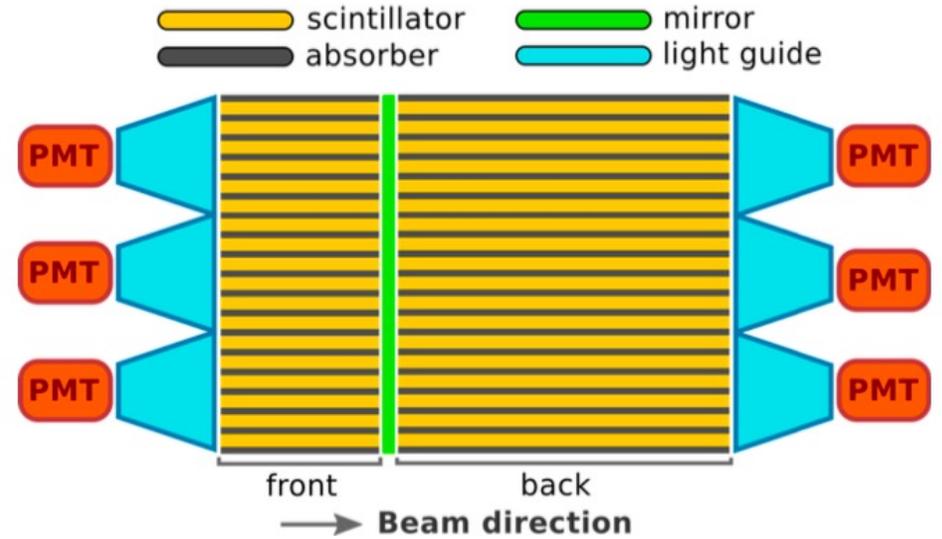
updated for FTDR

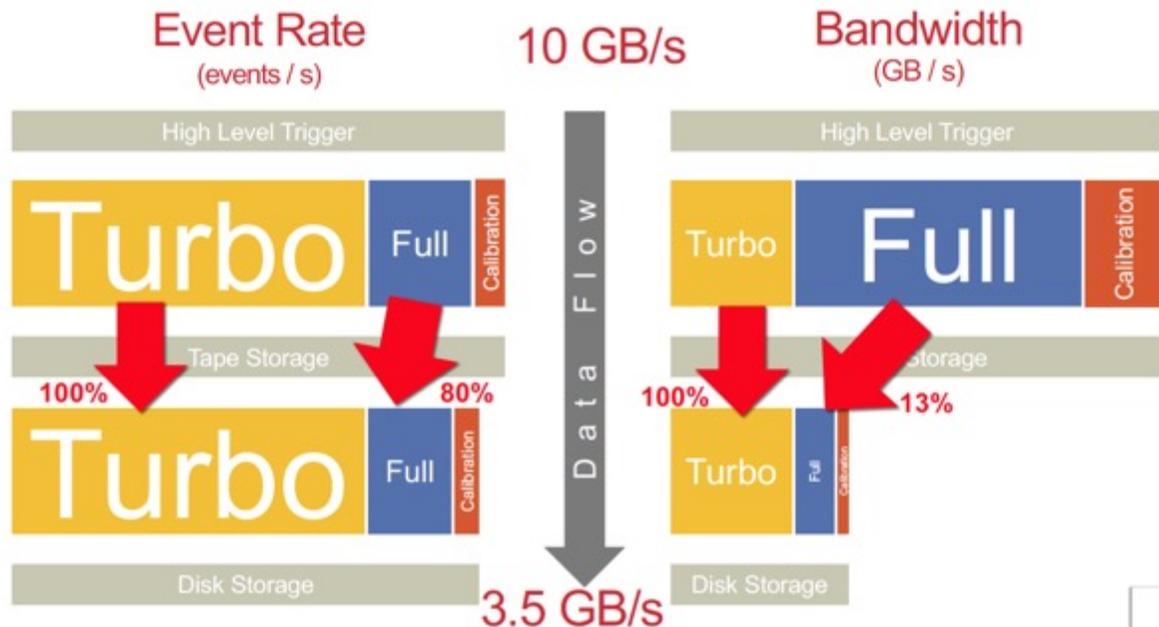
Observable	Current LHCb	→ Upgrade I		Upgrade II
	(up to 9 fb <sup>-1</sup> )	(23 fb <sup>-1</sup> )	(50 fb <sup>-1</sup> )	(300 fb <sup>-1</sup> )
<b>CKM tests</b>				
$\gamma (B \rightarrow DK, \text{etc.})$	4° [9, 10]	1.5°	1°	0.35°
$\phi_s (B_s^0 \rightarrow J/\psi\phi)$	32 mrad [8]	14 mrad	10 mrad	4 mrad
$ V_{ub} / V_{cb}  (A_b^0 \rightarrow p\mu^-\bar{\nu}_\mu, \text{etc.})$	6% [29, 30]	→ 3%	2%	1%
$a_{\text{sl}}^d (B^0 \rightarrow D^-\mu^+\nu_\mu)$	$36 \times 10^{-4}$ [34]	$8 \times 10^{-4}$	$5 \times 10^{-4}$	$2 \times 10^{-4}$
$a_{\text{sl}}^s (B_s^0 \rightarrow D_s^-\mu^+\nu_\mu)$	$33 \times 10^{-4}$ [35]	$10 \times 10^{-4}$	$7 \times 10^{-4}$	$3 \times 10^{-4}$
<b>Charm</b>				
$\Delta A_{CP} (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	$29 \times 10^{-5}$ [5]	→ $13 \times 10^{-5}$	$8 \times 10^{-5}$	$3.3 \times 10^{-5}$
$A_\Gamma (D^0 \rightarrow K^+K^-, \pi^+\pi^-)$	$11 \times 10^{-5}$ [38]	$5 \times 10^{-5}$	$3.2 \times 10^{-5}$	$1.2 \times 10^{-5}$
$\Delta x (D^0 \rightarrow K_s^0\pi^+\pi^-)$	$18 \times 10^{-5}$ [37]	$6.3 \times 10^{-5}$	$4.1 \times 10^{-5}$	$1.6 \times 10^{-5}$
<b>Rare Decays</b>				
$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	69% [40, 41]	41%	27%	11%
$S_{\mu\mu} (B_s^0 \rightarrow \mu^+\mu^-)$	—	—	—	0.2
$A_\Gamma^{(2)} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$A_\Gamma^{\text{Im}} (B^0 \rightarrow K^{*0}e^+e^-)$	0.10 [52]	0.060	0.043	0.016
$\mathcal{A}_{\phi\gamma}^{\Delta\Gamma} (B_s^0 \rightarrow \phi\gamma)$	$\begin{smallmatrix} +0.41 \\ -0.44 \end{smallmatrix}$ [51]	→ 0.124	0.083	0.033
$S_{\phi\gamma} (B_s^0 \rightarrow \phi\gamma)$	0.32 [51]	0.093	0.062	0.025
$\alpha_\gamma (A_b^0 \rightarrow A\gamma)$	$\begin{smallmatrix} +0.17 \\ -0.29 \end{smallmatrix}$ [53]	0.148	0.097	0.038
<b>Lepton Universality Tests</b>				
$R_K (B^+ \rightarrow K^+\ell^+\ell^-)$	0.044 [12]	0.025	0.017	0.007
$R_{K^*} (B^0 \rightarrow K^{*0}\ell^+\ell^-)$	0.12 [61]	0.034	0.022	0.009
$R(D^*) (B^0 \rightarrow D^{*-}\ell^+\nu_\ell)$	0.026 [62, 64]	0.007	0.005	0.002

- Full range of beauty & charm mesons & baryons accessible
- Strong results with  $\pi^0$ , photons, missing particles reconstruction
- Beyond Flavour: LHCb as general purpose detector in forward region
- Spectroscopy, EW precision, dark sector and exotic searches, heavy ions and fixed target physics

# 5D Calorimetry: Precision timing

- Goal: achieve energy resolution and reconstruction eff.  $\sim$  to Run1&2
  - pile-up, radiation up to 1MGy
- Requires: granularity, precision timing
- Different technologies in different regions
- Crystal fibres R&D for highest fluence regions
- Extensive R&D





- Real time analysis already extensively used in Run 2
- >70% of events in Upgrade I will use real time analysis

- Efficient use of computing resources
- Focus on bandwidth not event rate
- Minimise expensive disk resource

