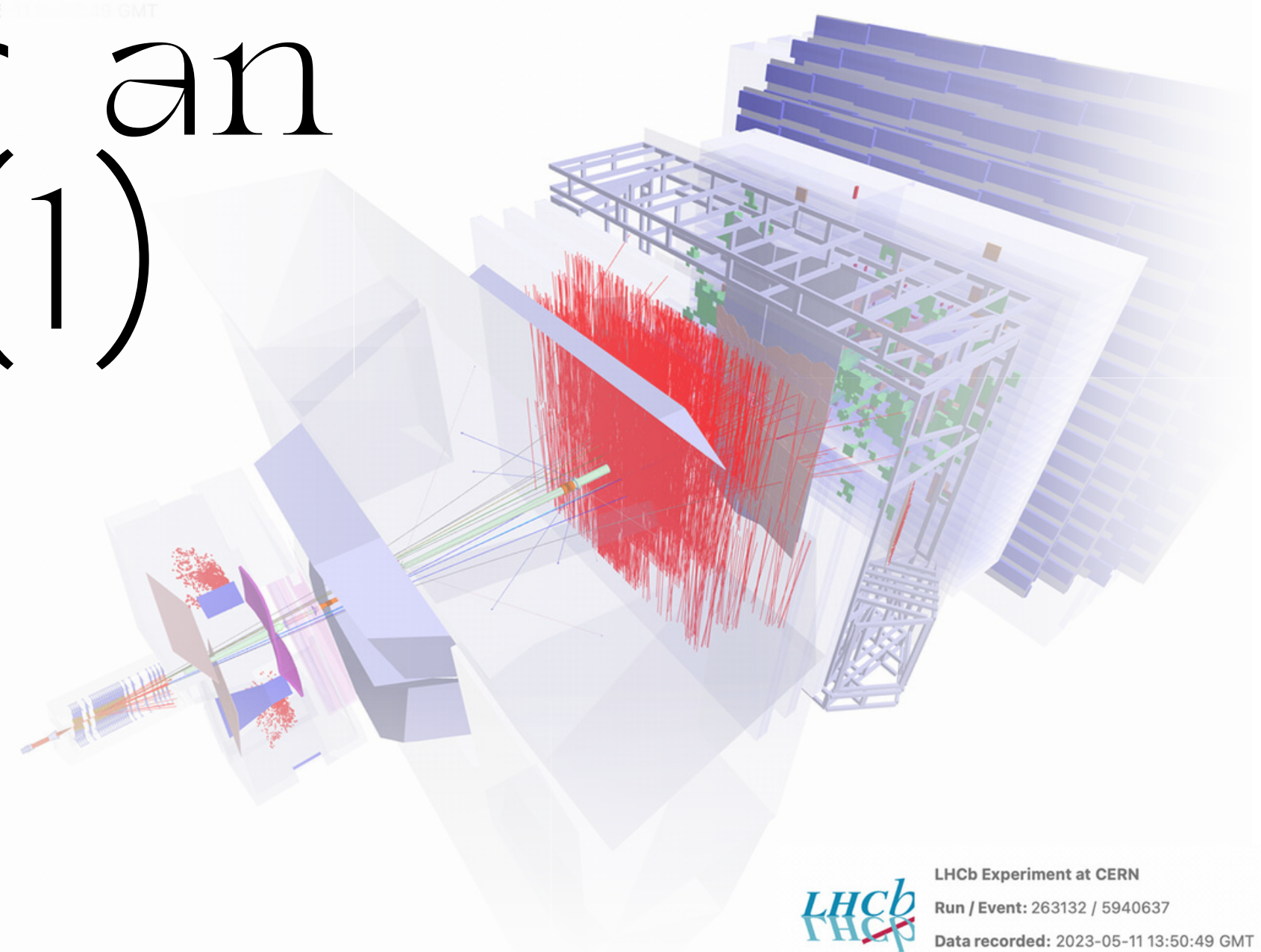

Search for an optimal $U(1)$

Anna Mullin
University of Cambridge
arXiv:2306.08669



 LHCb Experiment at CERN
Run / Event: 263132 / 5940637
Data recorded: 2023-05-11 13:50:49 GMT

New U(1)

Consider the SM as a low-energy EFT; fermions may have a family-dependent charge under new gauge group

Gauged U(1) extensions of the SM answer many phenomenological + philosophical questions

Z' heavy gauge boson of the broken symmetry

EFT is embedded into a renormalisable UV completion

New U(1) group spontaneously broken

Contents

Shopping list for a U(1) extension:

1. Answer **pertinent questions**
2. Assign **realistic U(1) charges** for fermionic fields
3. Produce pheno that is an **optimal fit** to relevant measurements



4. **Optimal example**

$$U(1)_{3B_3 - L_e - 2L_\mu}$$

1. Pertinent questions

Experiment
tensions

Flavour
puzzles

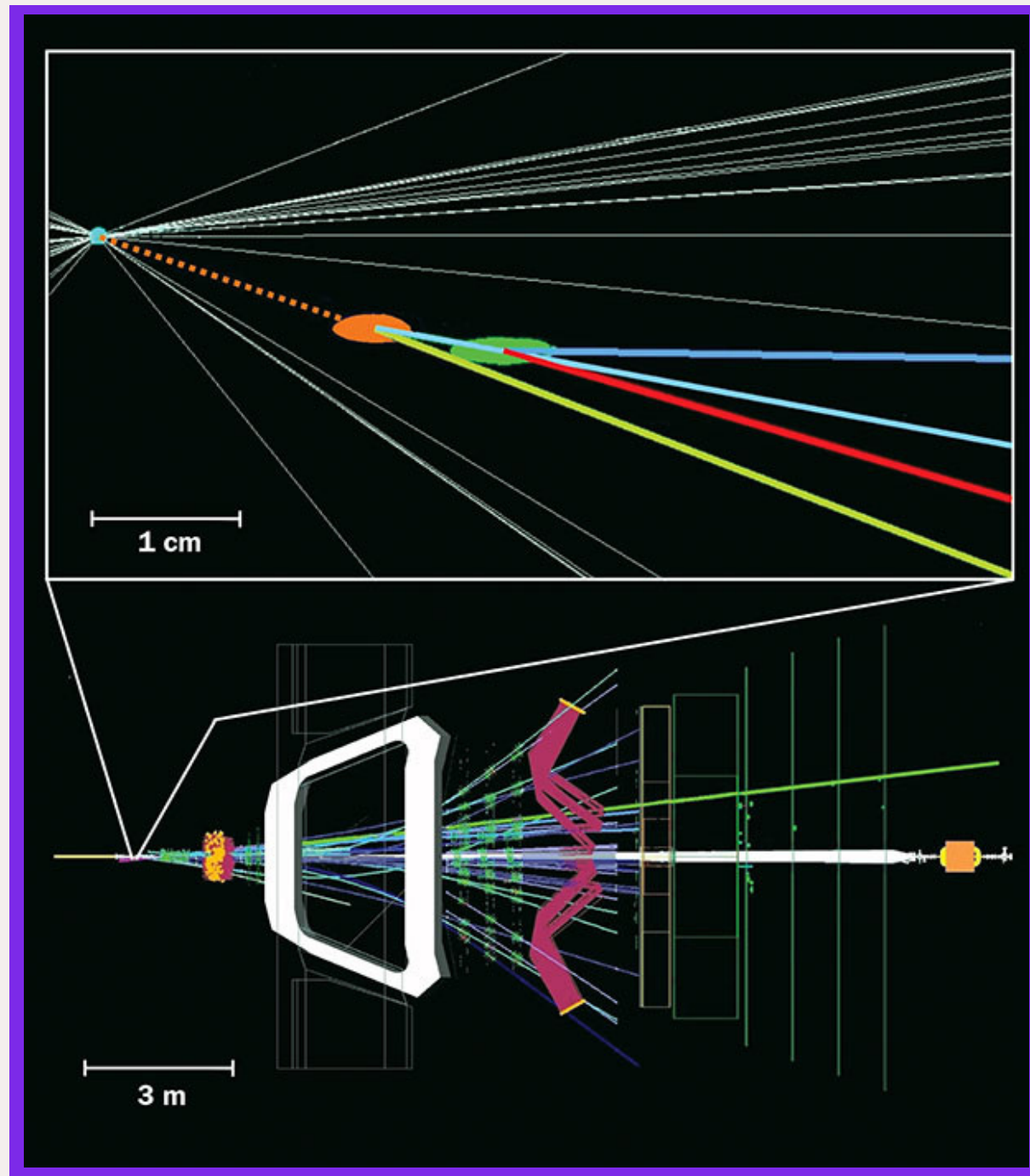
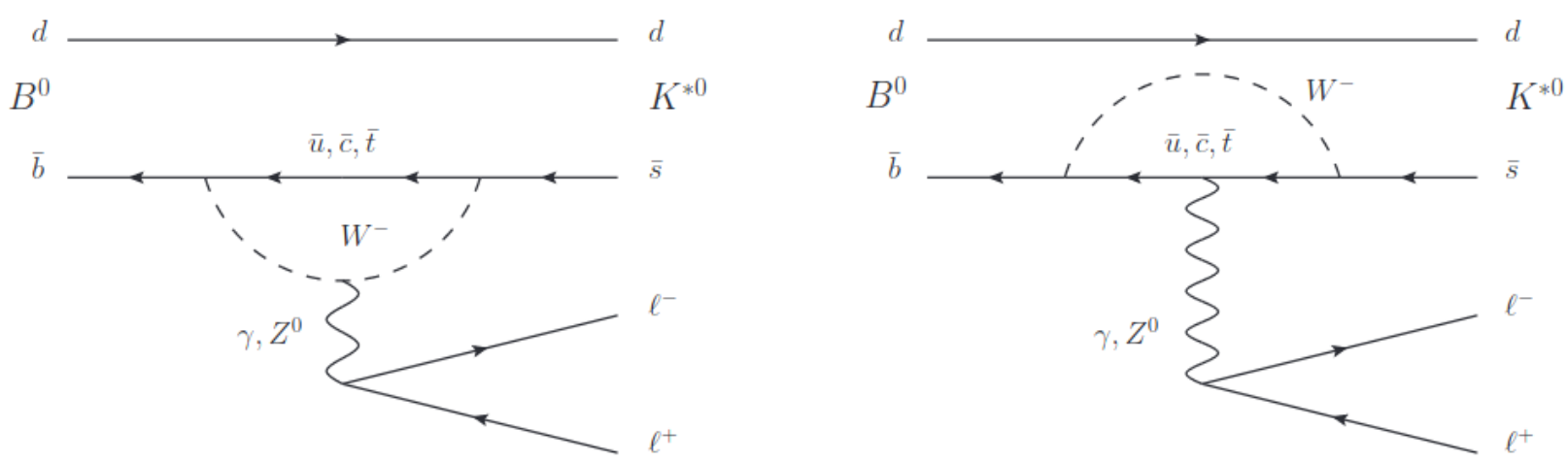
Neutrino
mass

Tensions at B-factories

- BaBar, anomalous branching fractions for B → D meson decays, 2012
- Belle, 2015
- ...
- LHCb, 2022

$$R_D, R_{D^*}, R_K, R_{K^*}$$

+ rare neutral current B-decays



LHCb side-view

Enlarged near interaction point

B meson decay event

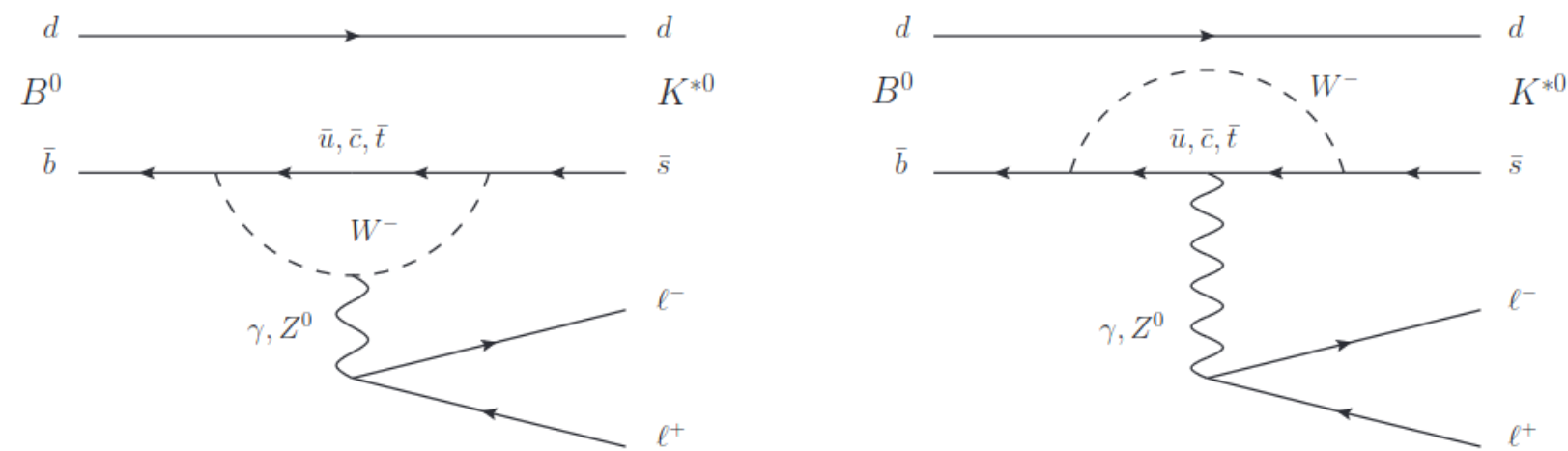


Tensions at B-factories

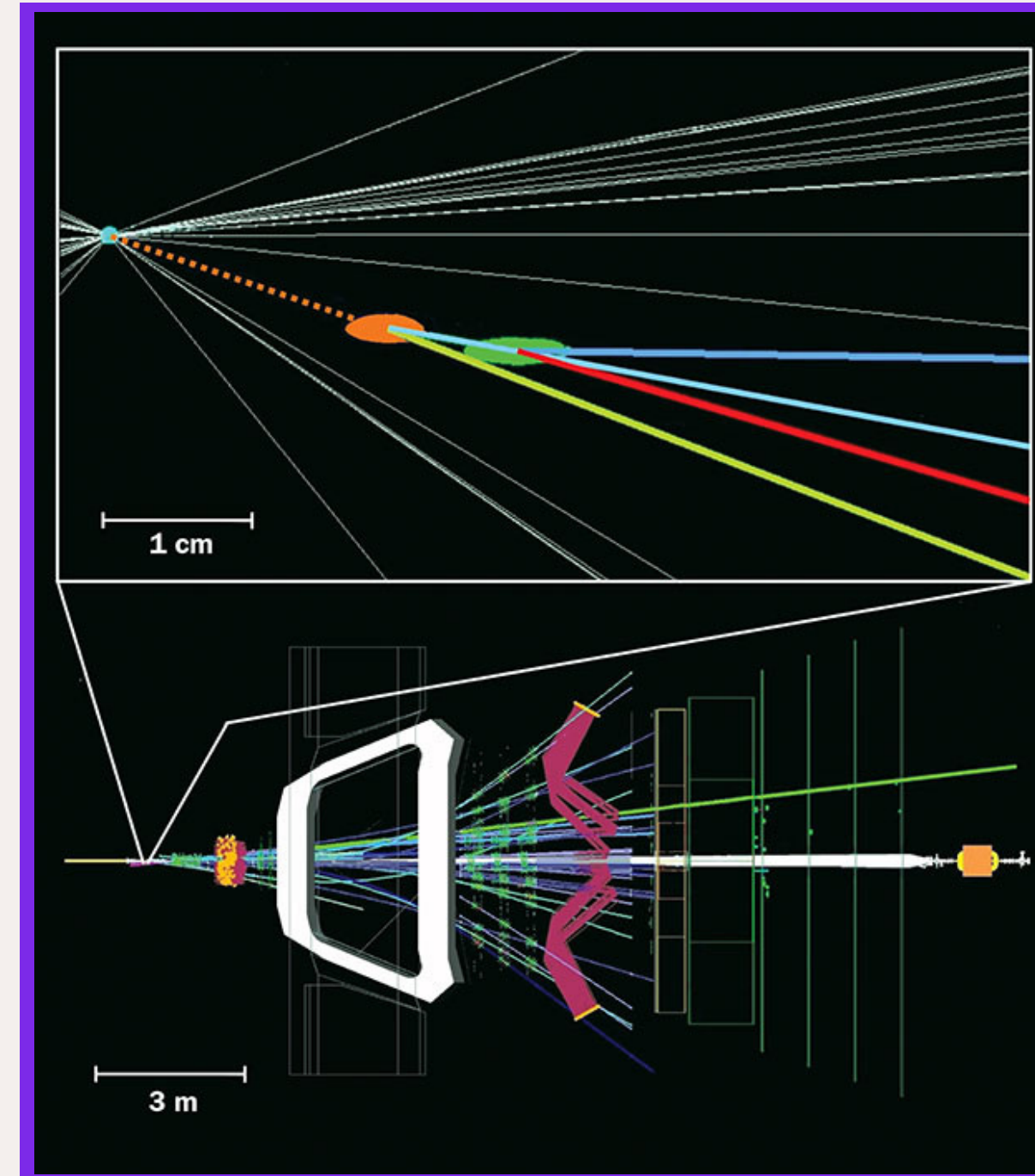
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- ...
- LHCb, 2022

$$R_D, R_{D^*}, R_K, R_{K^*}$$

+ rare neutral current B-decays



fermions may have family-dependent U (1) charge



LHCb side-view

Enlarged near interaction point

B meson decay event

CERN



Tensions at B-factories

Recent update: LFU test

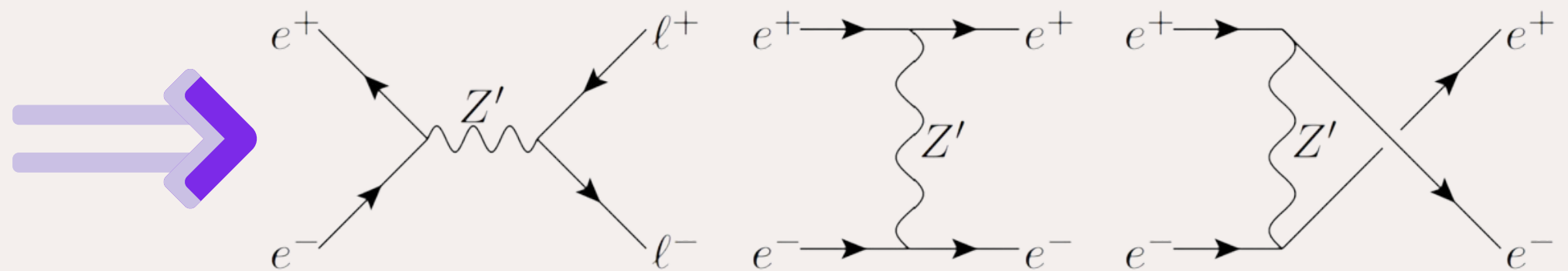
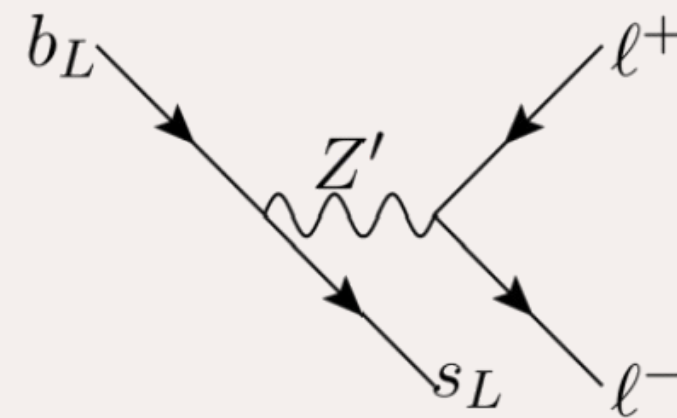
$$R_A(q_{\min}^2, q_{\max}^2) := \frac{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 BR(B \rightarrow A\mu^+\mu^-(q^2))}{\int_{q_{\min}^2}^{q_{\max}^2} dq^2 BR(B \rightarrow Ae^+e^-(q^2))}$$

$A \in \{K, K^*\}$

Current tensions:

- 1.6σ for $B_s \rightarrow \mu^+\mu^-$
- Up to 4σ for $B_s \rightarrow \phi\mu^+\mu^-$ in some bins
- \sim few σ for angular distributions in $B \rightarrow K^*\mu^+\mu^-$
- 3.3σ combined CC anomalies in $b \rightarrow cl\bar{\nu}$

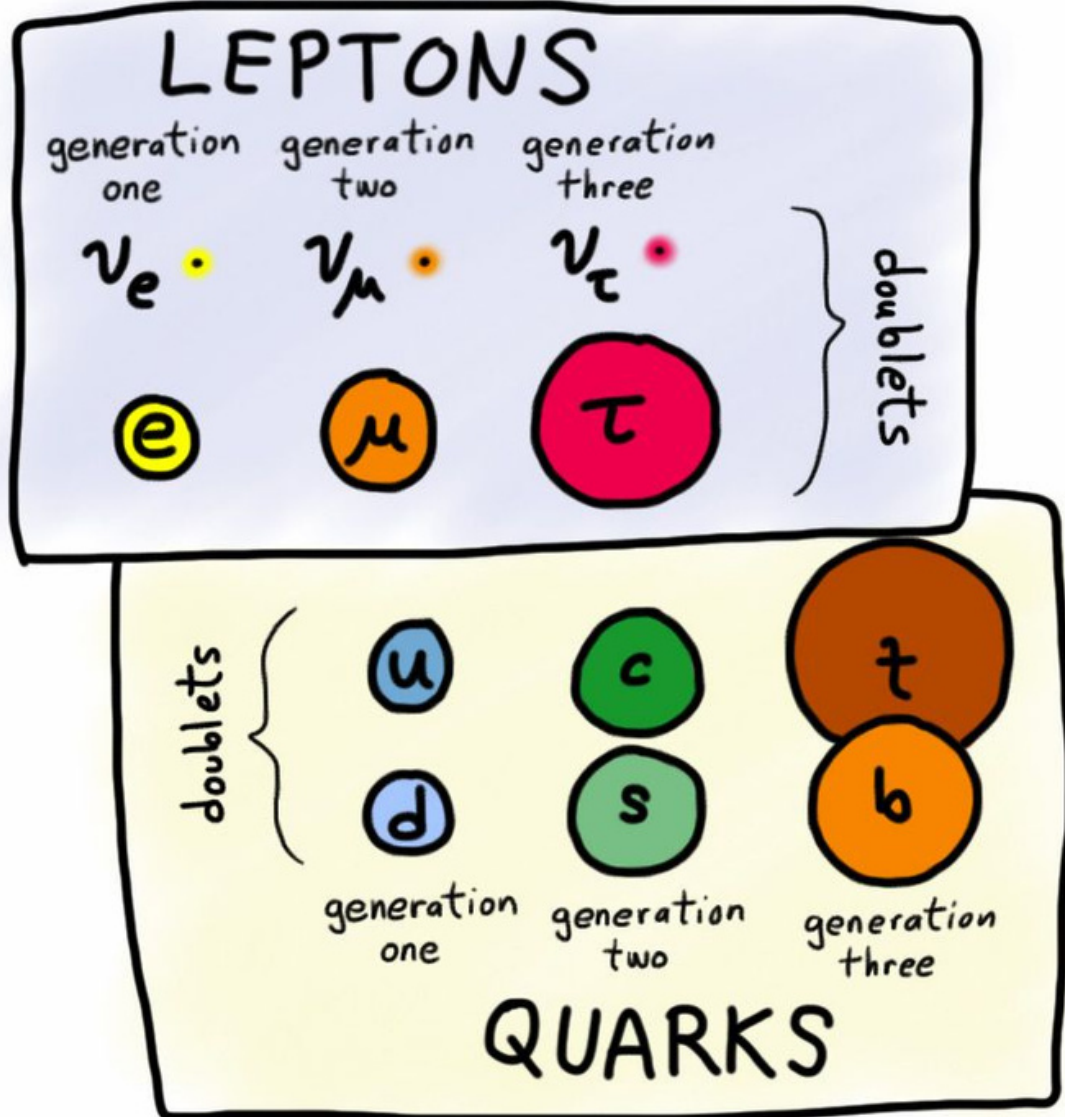
If family-dependent U(1) charges:



- Implies mediator of other processes \rightarrow constrains our **optimal fit**

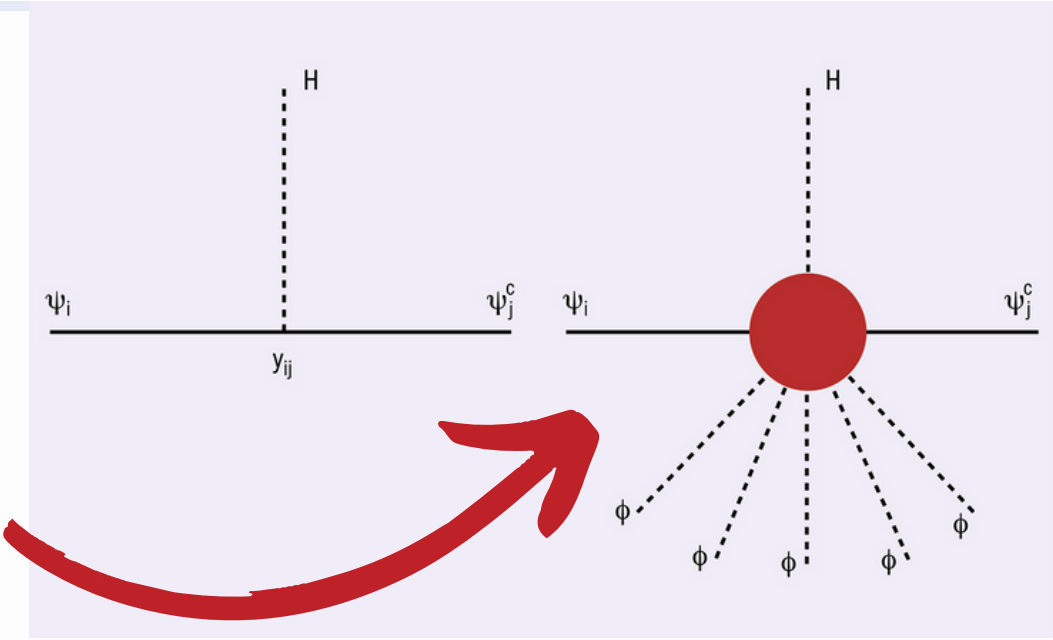
Flavour puzzles

- Bizarre hierarchy: at least **12 orders of magnitude** between largest+smallest fermion masses
- ~22 masses+mixings are unexplained



Explanations

Contact interactions with a new field ϕ (via 2.)?



1. Weinberg: Yukawa couplings forbidden at tree level **by a flavour symmetry, generated in loop diagrams** (1972)?
2. Froggatt and Nielsen: additional **U(1) flavour symmetry** -> different fermion charges + new fields (e.g. ϕ) (1979)?

Flavour puzzles

Froggatt & Nielsen: **new scalar field ϕ** \rightarrow acquires a VEV & **breaks the U(1) symmetry.**

A two-family example:

$$M_u = \begin{pmatrix} \epsilon^4 & \epsilon^2 \\ \epsilon^2 & 1 \end{pmatrix} v_u, \quad M_d = \begin{pmatrix} \epsilon^3 & \epsilon^3 \\ \epsilon & \epsilon \end{pmatrix} v_d$$

Flavour puzzles

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$$\frac{m_c}{m_t} \sim \epsilon^4, \quad \frac{m_s}{m_b} \sim \epsilon^2, \quad |V_{cb}| \sim \epsilon^2$$

correct if $\epsilon = \langle \phi \rangle / M^*$ is around 0.2

Flavour puzzles

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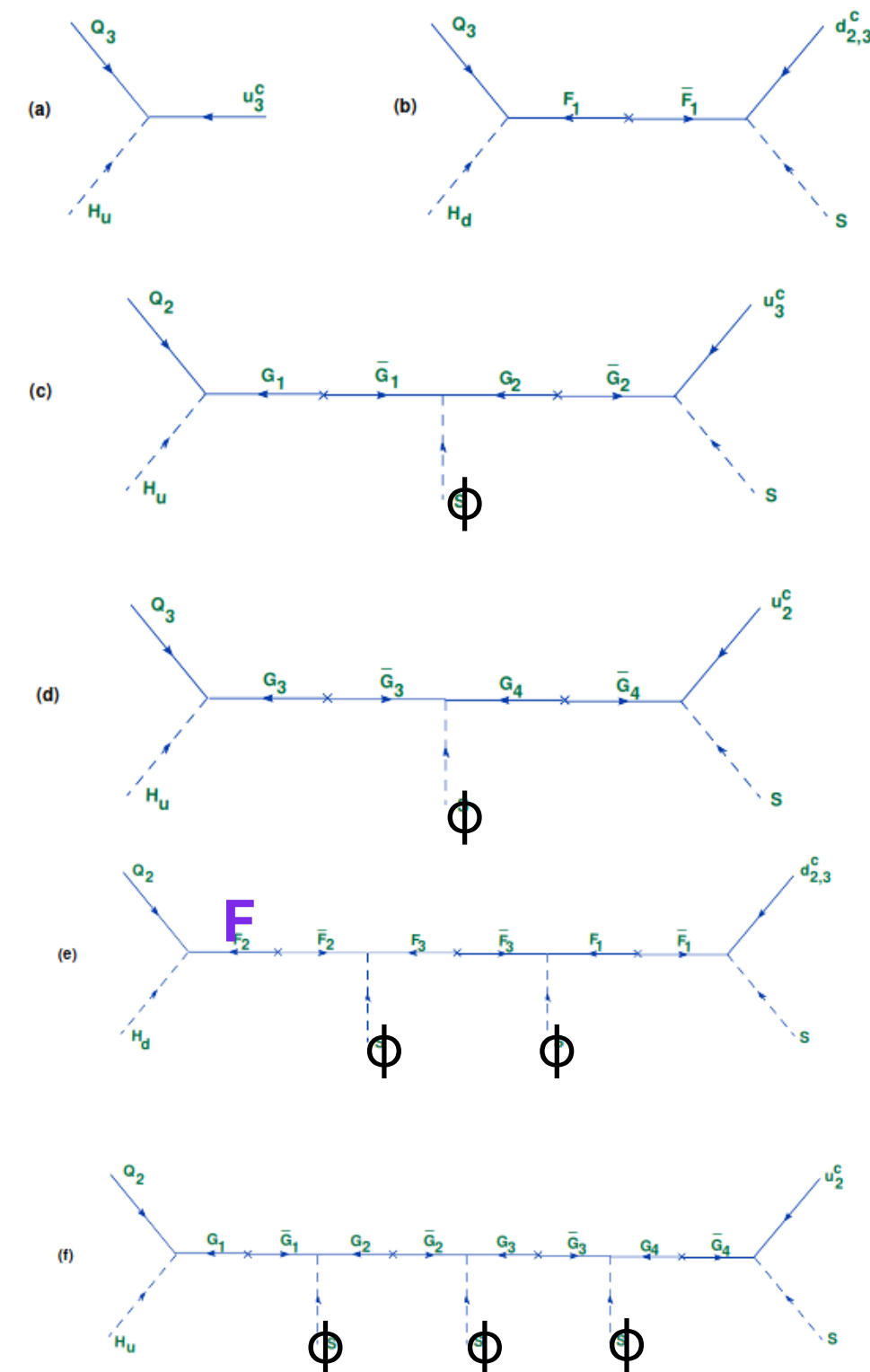
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correct if $\epsilon = \langle \phi \rangle / M^*$ is around 0.2

M^* mass scale of heavy new fields (integrated out)
e.g. **F**



• Spaghetti diagrams

Flavour puzzles

Frog

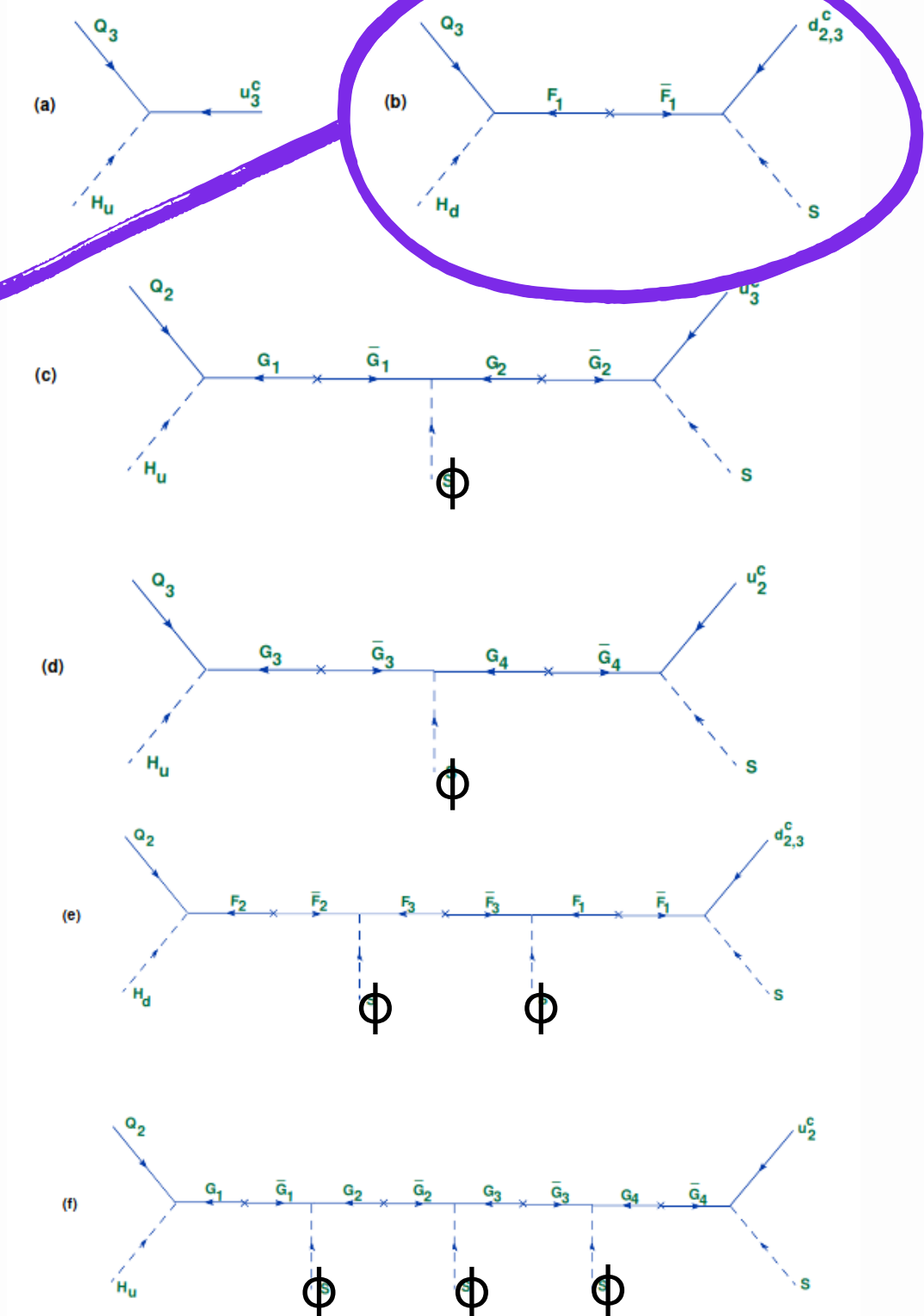
b quark:

$$\mathcal{L}_b^{\text{eff}} = Y_1 Y_2 (Q_3 d_3^c H_d) (\langle \phi \rangle / M^*)$$

M^* mass scale of heavy new fields (integrated out)

$$\frac{m_c}{m_t} \sim \epsilon^4, \quad \frac{m_s}{m_b} \sim \epsilon^2, \quad |V_{cb}| \sim \epsilon^2$$

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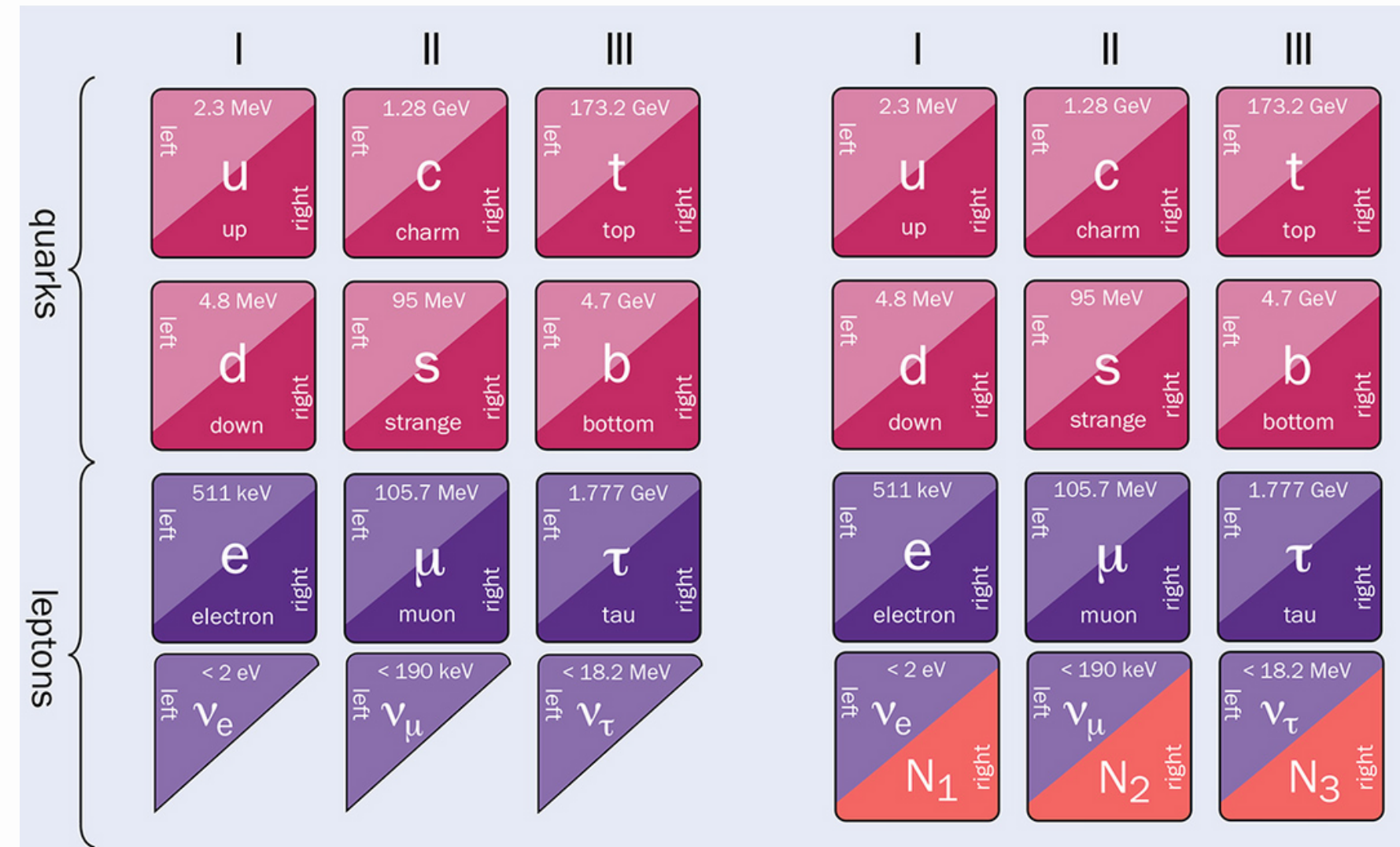


• Spaghetti diagrams

Right-handed neutrinos

- Explain: neutrino masses + dark matter + matter-antimatter asymmetry

- Example:
 - Try new U(1) with B-L gauge symmetry in a UV completion of the SM,
 - Because B-L appears to be an accidental global SM symmetry.
 - Assign charge -1 to 3 RH neutrinos
 - Introducing the scalar field with B-L charge 2 that generates Majorana masses of the RH neutrinos.
 - Obtain the type-I seesaw mechanism.



RH neutrino masses often $10^{11} - 10^{13}$ GeV to explain the smallness of neutrino masses

[Source](#)

2. Realistic charges

Anomaly-free

Other requirements

Fermion charges

Assign new U(1) charges (Q, L, u etc.)
based on:

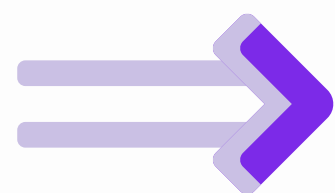
1. **anomalies**
2. **phenomenology**

Field	$(SU(3), SU(2), U(1)_Y, U(1)_X)$
Q_i	$(3, 2, 1, Q_i)$
L_i	$(1, 2, 3, L_i)$
u_i	$(3, 1, 4, u_i)$
d_i	$(3, 1, -2, d_i)$
e_i	$(1, 1, -6, e_i)$
ν_i	$(1, 1, 0, \nu_i)$

Chiral fermion field content and its
representations under $SM \times U(1)$

Anomalies

- U(1) charges constrained by QFT anomalies spoiling renormalisability & gauge symmetry:
 - U(1) & “gauge-gravity” anomalies



Solved **anomaly cancellation equations** for integer charges between 10 and -10

$$\sum_i (2Q_i - u_i - d_i) = 0,$$

$$\sum_i (L_i + 3Q_i) = 0,$$

$$\sum_i (Q_i + 3L_i - 8u_i - 2d_i - 6e_i) = 0,$$

$$\sum_i (6Q_i + 2L_i - 3u_i - 3d_i - e_i - \nu_i) = 0,$$

$$\sum_i (Q_i^2 - L_i^2 - 2u_i^2 + d_i^2 + e_i^2) = 0$$

Cancellation conditions

[Paper](#) 

Other requirements

- We require Z' coupling to left-handed $b\bar{s}$ pairs to **relate with $b \rightarrow s\mu+\mu-$ phenomenology**
 - choose non-zero Q_3 so Z' couples to left-handed $b\bar{b}$ pairs
 - assume Z' coupling to $b\bar{s}$ is provided by a small $b - s$ mixing from spontaneous breaking of $U(1)$ symmetry
- Seek **looser bounds from LHC data**
 - choose Z' coupling to 3rd family quarks only (so Z' production at LHC is suppressed)
- **RH neutrinos assumed heavy** compared to TeV scale
 - irrelevant to $b \rightarrow s\mu+\mu-$

3. Optimal fits

Measurements

EFT
coefficients

LFU violation

Measurements

Constraints from 3 categories of measurements

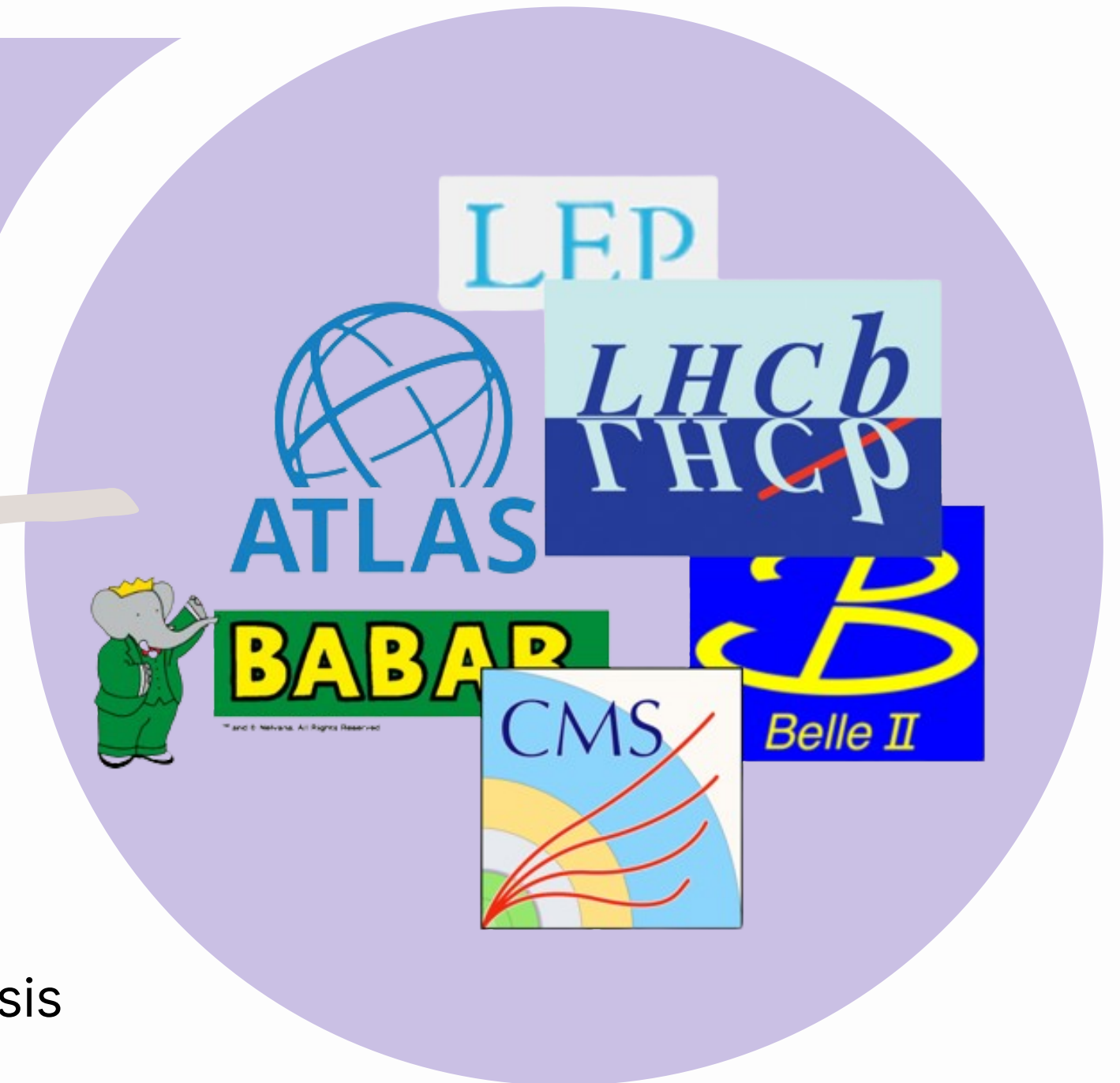
- **'LFU'**: 23 observables such as R_K and R_{K^*} , which test lepton flavour universality (Belle, LHCb, BaBar)
- **'LEP'**: the 148 $e^+e^- \rightarrow l^+l^-$ measurements
- **'Quarks'**: contains 224 other $b \rightarrow s\mu^+\mu^-$ measurements (ATLAS, CMS, Belle, BaBar, LHCb)



Measurements

Constraints from 3 categories of measurements

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Convert into constraints on EFT in chosen basis

WET

EFT coefficients

- Weak effective theory is valid below the W boson mass, therefore written in the spontaneously broken phase of the electroweak gauge symmetry

$$\mathcal{L} = \dots + N \left(C_9^{(e)} (\bar{b} \gamma^\alpha P_L s) (\bar{e} \gamma_\alpha e) + C_{10}^{(e)} (\bar{b} \gamma^\alpha P_L s) (\bar{e} \gamma_\alpha \gamma_5 e) + H.c. \right)$$

$$\dots + N \left(C_9^{(\mu)} (\bar{b} \gamma^\alpha P_L s) (\bar{\mu} \gamma_\alpha \mu) + C_{10}^{(\mu)} (\bar{b} \gamma^\alpha P_L s) (\bar{\mu} \gamma_\alpha \gamma_5 \mu) + H.c. \right)$$

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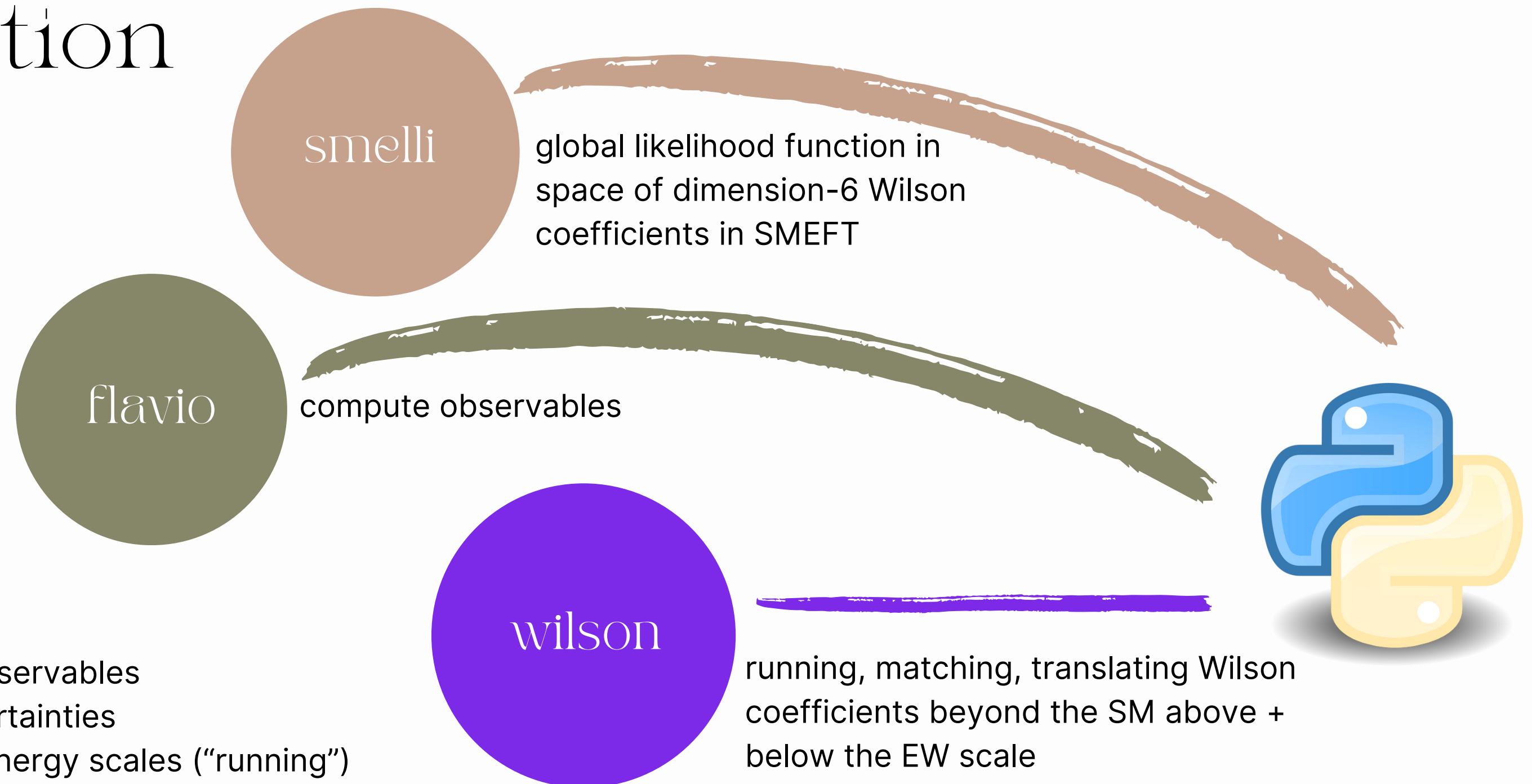
SMEFT

- Convert WCs in WET into SMEFT
- SMEFT involves complete representations of the unbroken SM gauge group (e.g. SU(2) doublets)

$$\underline{O_{qe}^{(l)2322}} = (\bar{Q}_2 \gamma_\alpha Q_3) (\bar{e}_2 \gamma^\alpha e_2), \quad \underline{C_{qe}^{(l)2322}} = N(C_9^{(\mu)} + C_{10}^{(\mu)})$$

$$\underline{O_{lq}^{(l)2223}} = (\bar{L}_2 \gamma_\alpha L_2) (\bar{Q}_2 \gamma^\alpha Q_3) \quad \underline{C_{lq}^{(l)2223}} = N(C_9^{(\mu)} - C_{10}^{(\mu)})$$

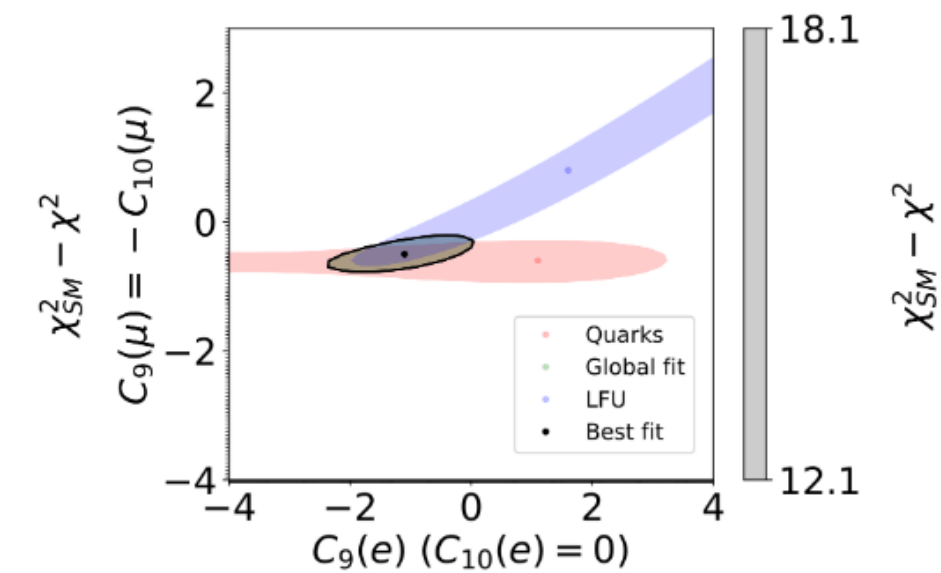
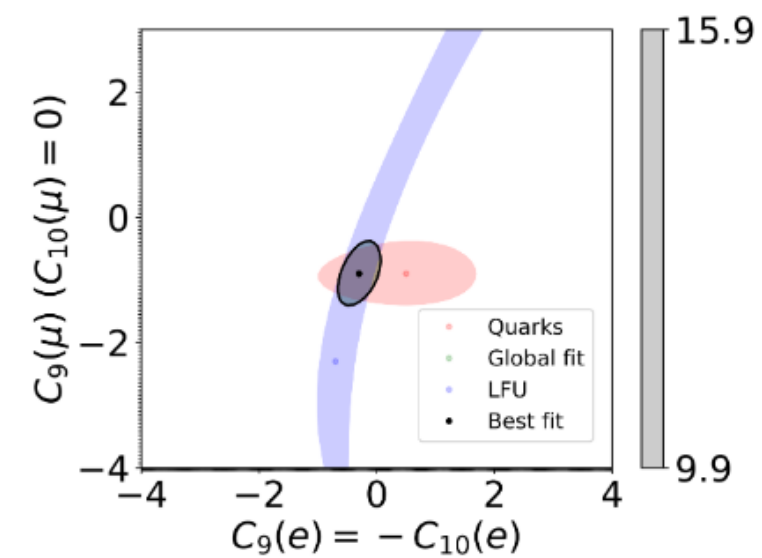
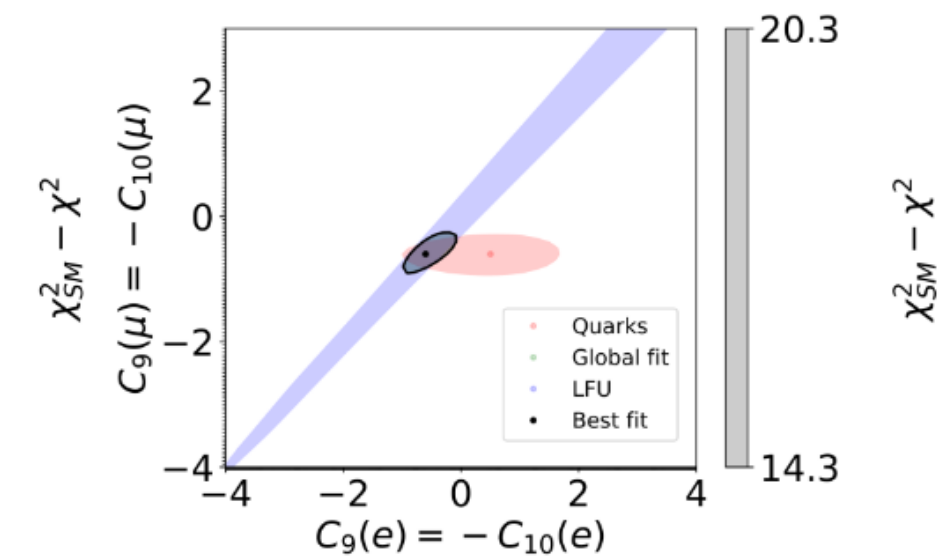
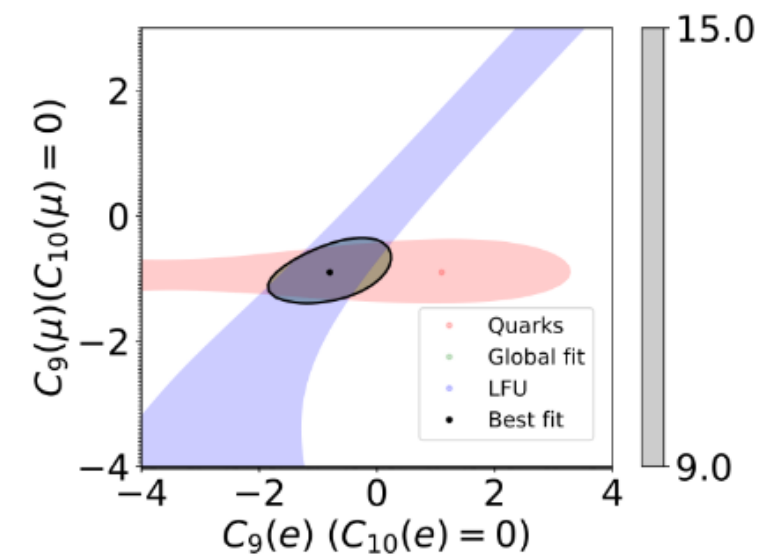
Computation



- Include a total of 247 observables
- Account for theory uncertainties
- Alter couplings at high energy scales (“running”)

Fits to data

- $C_9 = -C_{10}$ \rightarrow left-handed
- $C_{10} = 0$ \rightarrow vector-like

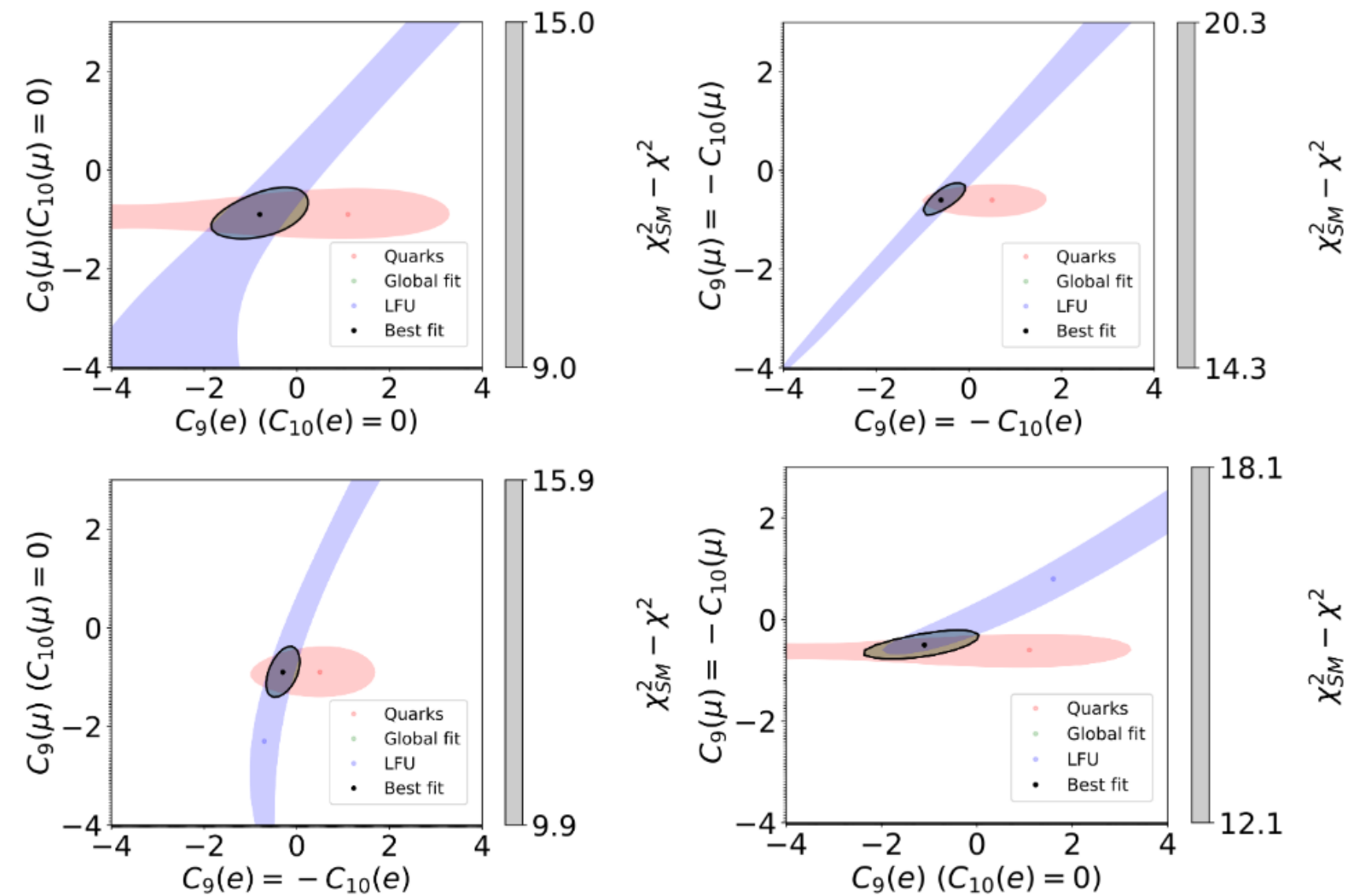


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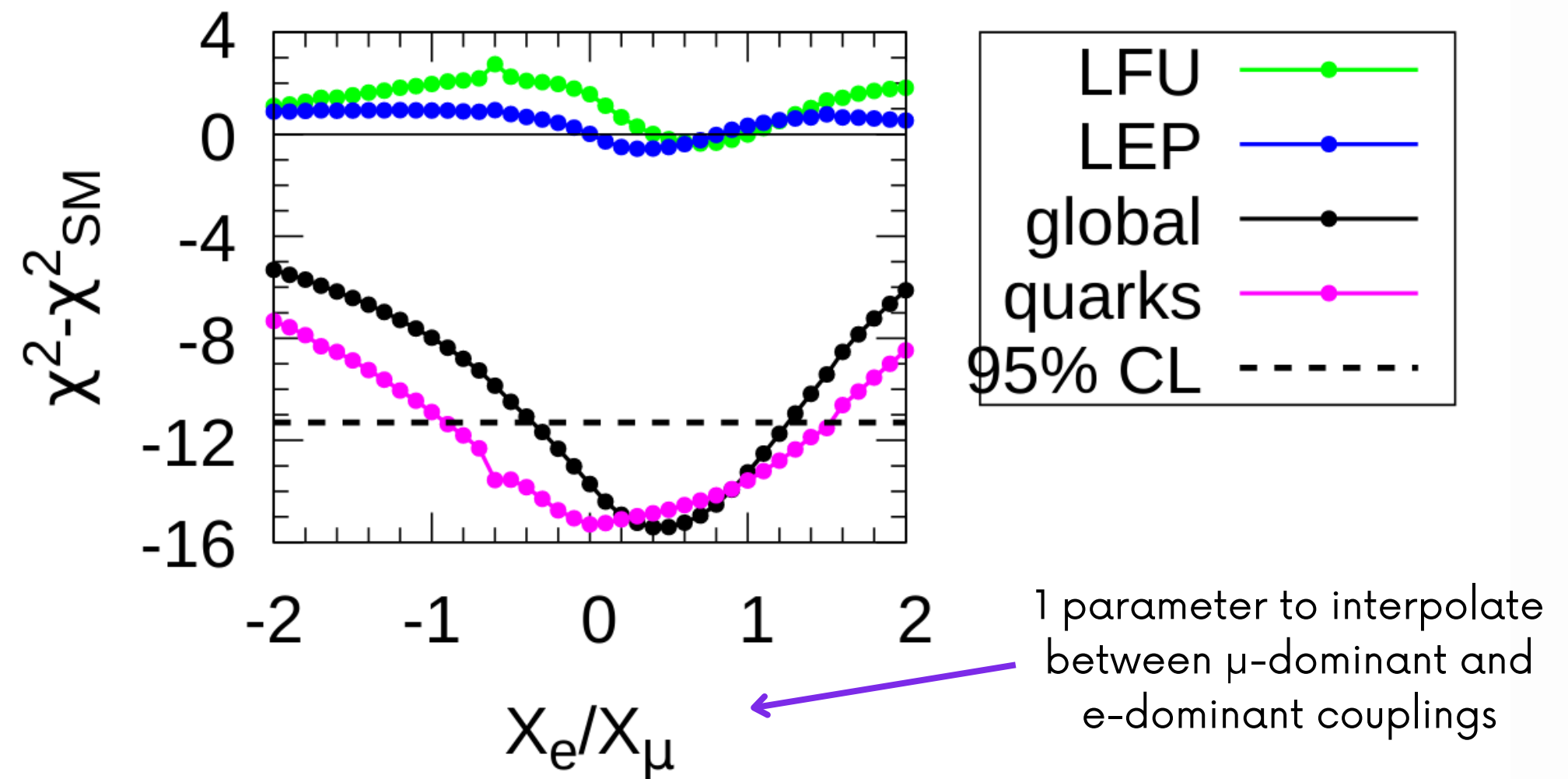
=> best fit point is $C_9(e) \approx C_9(\mu)$, values slightly negative, although $C_9(e)=0$ may also fit

What models range these best fit lepton couplings?



LFU-violating charges

- Check how much U(1) improves fits to measurements compared with SM:
 - for a range of U(1) charges, χ_e and χ_μ
- Negative values of $\chi^2 - \chi^2(\text{SM})$ indicate improvement of fit



4. Optimal example

Model

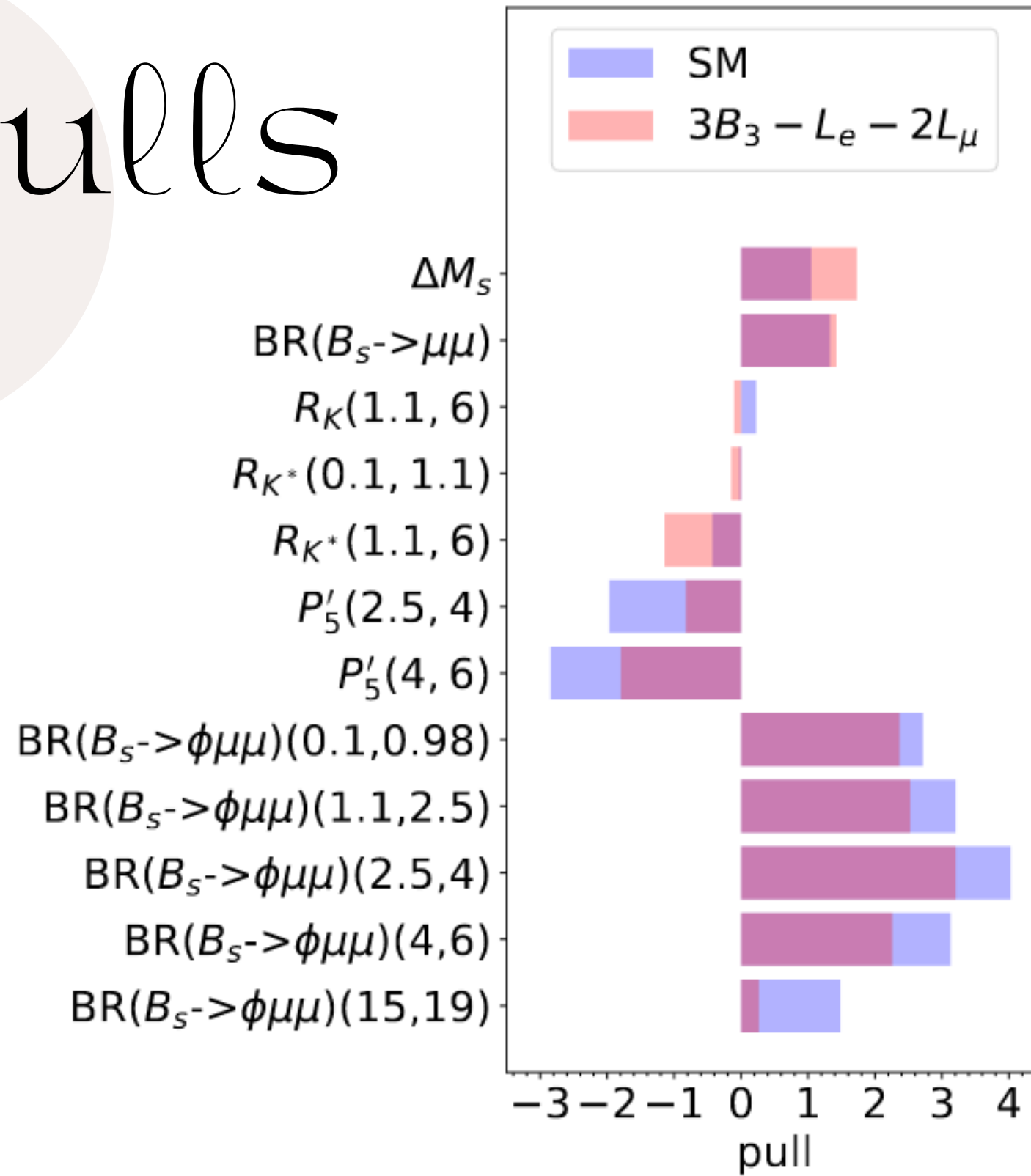
Improved fits

$$U(1)_{3B_3 - L_e - 2L_\mu}$$

- Accounting for unexplained SM parameters instead of adding many new ones
- Relatively small fermion charges

- B - L appears to be an accidental global symmetry in the SM, indicating that this might be a gauge symmetry in a UV completion of the theory
- B3: a single generation of quarks is charged under the additional U(1) gauge group (3rd family)

Pulls



$$\text{Pull} = \frac{\text{theory} - \text{experiment}}{\text{uncertainty}}$$

Improvement

Fits to some observables are better than the SM

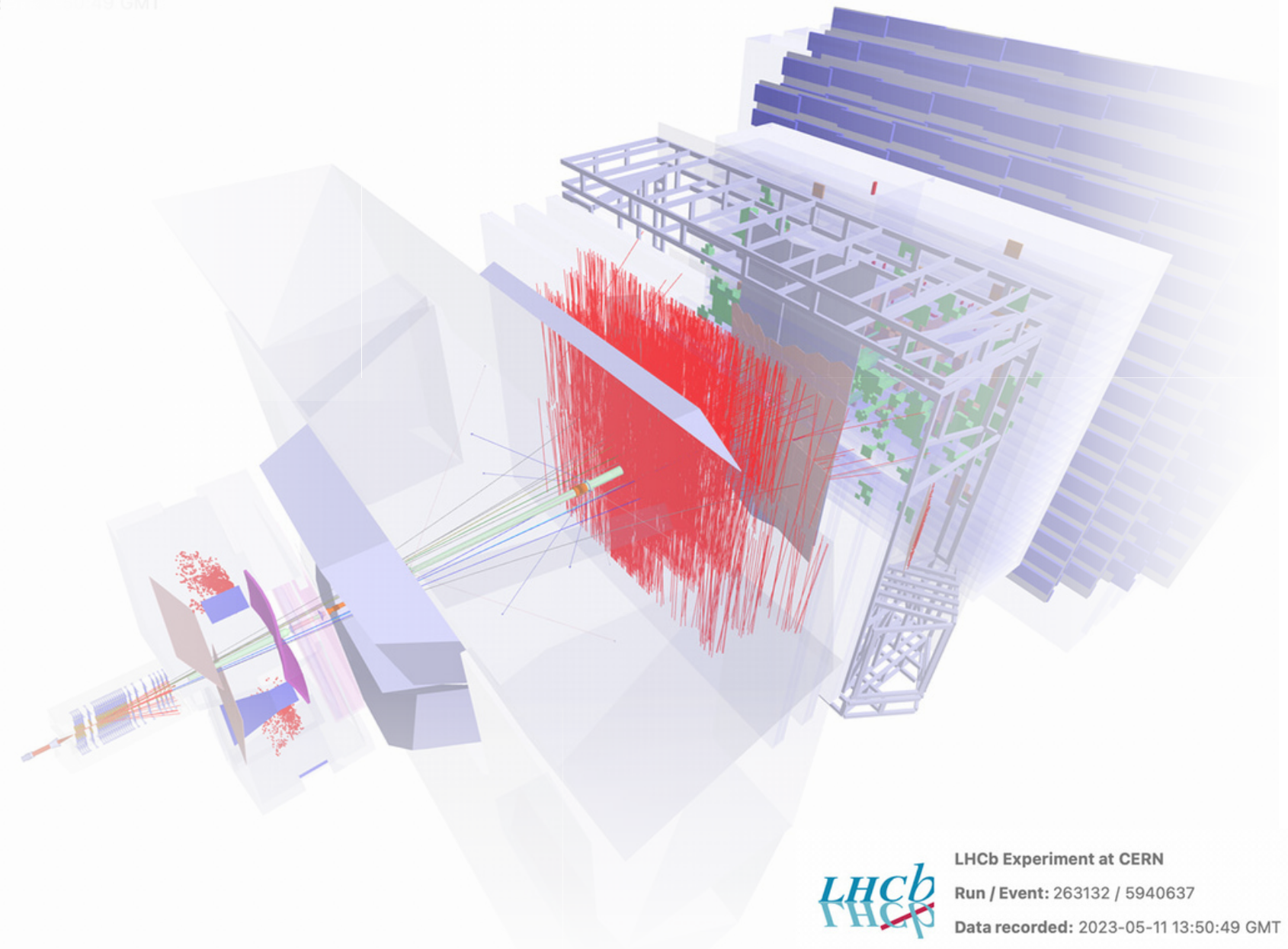
Thanks!

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DECEMBER 2023



Backup

Fit to 88 $b \rightarrow s\mu^+\mu^-$ observables

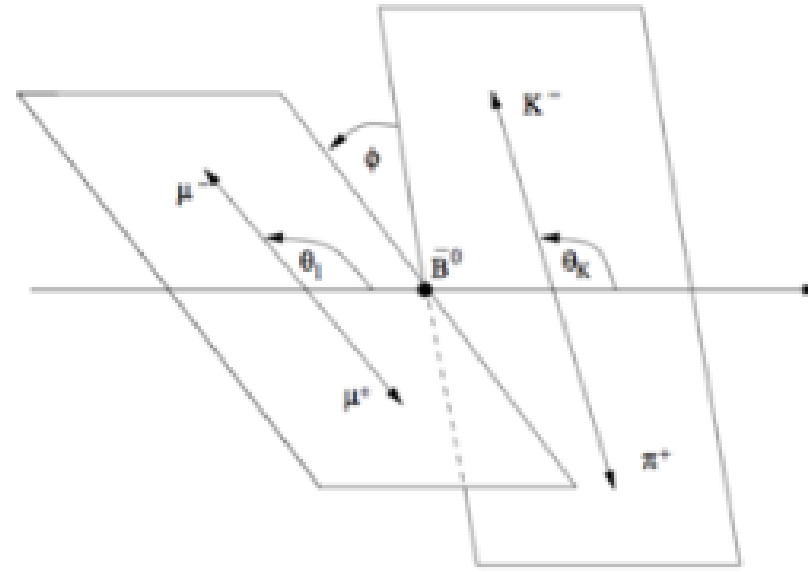
[Altmannshofer & Straub, 1503.06199]

Coeff.	best fit	1σ	2σ	$\sqrt{\chi_{\text{b.f.}}^2 - \chi_{\text{SM}}^2}$	p [%]
C_7^{NP}	-0.04	[-0.07, -0.01]	[-0.10, 0.02]	1.42	2.4
C_7'	0.01	[-0.04, 0.07]	[-0.10, 0.12]	0.24	1.8
C_9^{NP}	-1.07	[-1.32, -0.81]	[-1.54, -0.53]	3.70	11.3
C_9'	0.21	[-0.04, 0.46]	[-0.29, 0.70]	0.84	2.0
C_{10}^{NP}	0.50	[0.24, 0.78]	[-0.01, 1.08]	1.97	3.2
C_{10}'	-0.16	[-0.34, 0.02]	[-0.52, 0.21]	0.87	2.0
$C_9^{\text{NP}} = C_{10}^{\text{NP}}$	-0.22	[-0.44, 0.03]	[-0.64, 0.33]	0.89	2.0
$C_9^{\text{NP}} = -C_{10}^{\text{NP}}$	-0.53	[-0.71, -0.35]	[-0.91, -0.18]	3.13	7.1
$C_9' = C_{10}'$	-0.10	[-0.36, 0.17]	[-0.64, 0.43]	0.36	1.8
$C_9' = -C_{10}'$	0.11	[-0.01, 0.22]	[-0.12, 0.33]	0.93	2.0

- Since p-value of SM is 2.1%, no solution really nails it. Scenario with a -25% shift in C_9 (vector current) preferred

What is P'_5 ?

- Integrated observables
- S are form factor dependent observables



$$\frac{1}{d\Gamma/dq^2} \frac{d^4\Gamma}{d\cos\theta_\ell d\cos\theta_K d\phi dq^2} = \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2\theta_K + F_L \cos^2\theta_K + \frac{1}{4}(1 - F_L) \sin^2\theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2\theta_K \cos 2\theta_\ell + S_3 \sin^2\theta_K \sin^2\theta_\ell \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \right. \\ \left. + S_6 \sin^2\theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2\theta_K \sin^2\theta_\ell \sin 2\phi \right]$$

$$P'_{i=4,5,6,8} = \frac{S_{j=4,5,7,8}}{\sqrt{F_L(1 - F_L)}}$$