The background of the slide is a Cosmic Microwave Background (CMB) fluctuation map, showing a mottled pattern of red, orange, and purple. A large, solid black circle is centered on the slide, framing the text.

# Leptogenesis and black holes in the primordial universe

Based on:

Calabrese et al, Phys.Rev.D 107 (2023) 12, 123537

Calabrese et al, arXiv 2311.13276

# Type-1 Seesaw & neutrino masses

The SM is extended by 3 singlet fermions

$$\mathcal{L}_N = -\frac{1}{2} \bar{N}^c \hat{M} N - Y \bar{\ell} \tilde{\Phi} N + h.c.$$

$$\begin{pmatrix} M_1 & 0 & 0 \\ 0 & M_2 & 0 \\ 0 & 0 & M_3 \end{pmatrix}$$

$$M_1 \ll M_{2,3}$$

$$M_1 \approx M_2 \ll M_3$$

Left handed (SM) neutrinos supplemented by right handed Majorana partners (RHNs)

Majorana mass from  $10^{-2} - 10^{16}$  GeV

Yukawa coupling to SM leptons and Higgs generates active neutrino masses

$$Y = \frac{1}{v_{EW}} \sqrt{\hat{M}} \cdot R \cdot \sqrt{\hat{m}_\nu} \cdot U_{PMNS}^\dagger$$

Casas-Ibarra parameterisation,

we take  $\Delta m^2_{sol} \ll \Delta m^2_{atm}$

$$R = R_{13}(\theta_{13} = x + iy)$$

# Type-1 Seesaw & leptogenesis

Sakharov's conditions:

CP violation

Departure from equilibrium

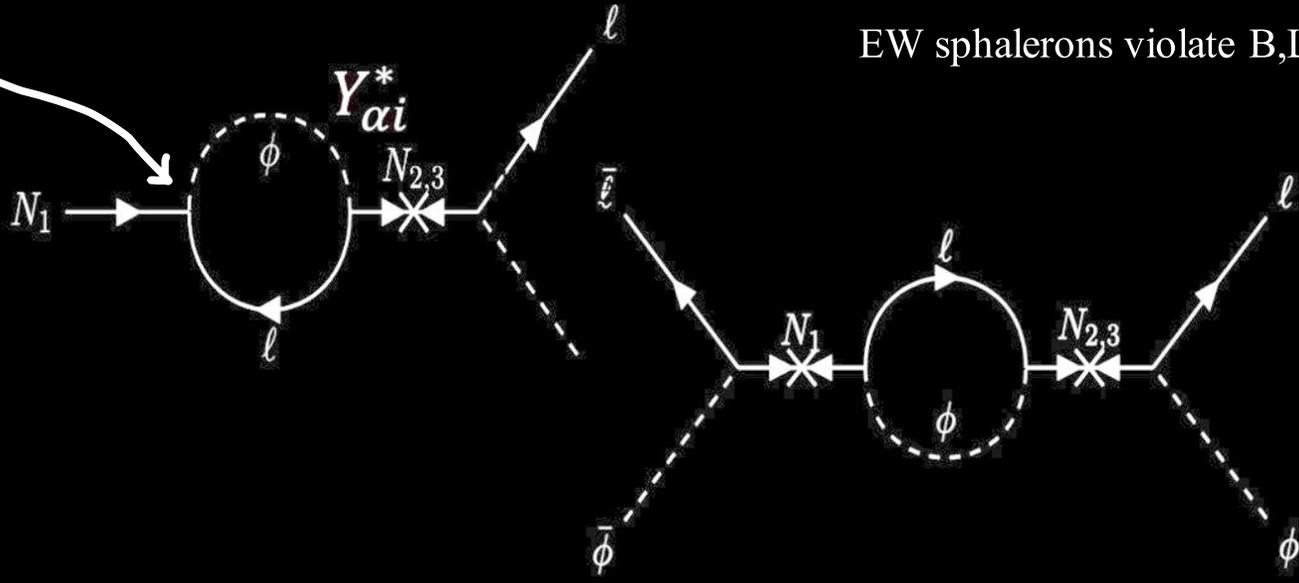
Baryon number violation

"Freeze-in" or "Freeze-out"

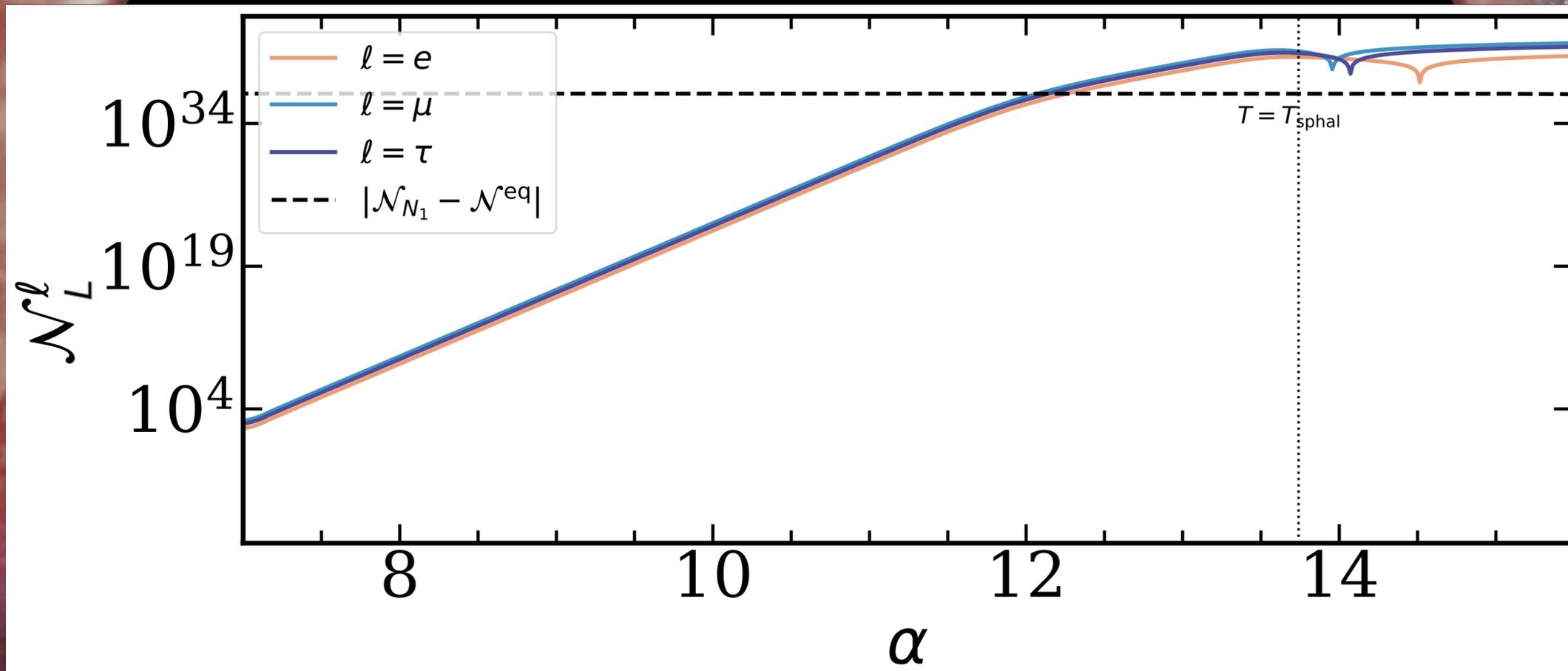
Depends on initial conditions

Exists in the SM at  $T > T_{\text{sphal}} \approx 130\text{GeV}$

EW sphalerons violate B,L, conserve B-L.



# Asymmetry generation



# Models of leptogenesis

## *Low scale models*

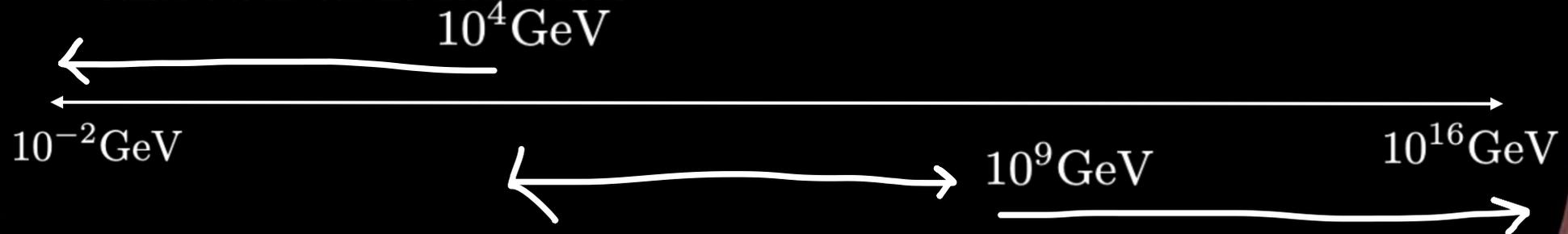
More easily accessible experimentally

Require some degeneracy

May freeze-in

Sensitive to flavour and thermal effects

**Problem – all of these models  
are difficult to constrain**



## *Intermediate scale models*

Difficult to probe with experiments

Some theoretical constraints

Not widely studied

## *High scale models*

Very difficult to reach experimentally

No degeneracy required

Freeze-out at very early times

Insensitive to flavour effects

# Models of leptogenesis

## *Low scale models*

More easily accessible experimentally

Require some degeneracy

May freeze-in

Sensitive to flavour and thermal effects

$10^4 \text{ GeV}$

$10^{-2} \text{ GeV}$

$$y \rightarrow U^2, M_1$$

$10^9 \text{ GeV}$

$10^{16} \text{ GeV}$

## Intermediate scale models

Difficult to probe with experiments

Some theoretical constraints

Not widely studied

## *High scale models*

Very difficult to reach experimentally

No degeneracy required

Freeze-out at very early times

Insensitive to flavour effects



# Exploding PBHs?

## Black hole explosions?

QUANTUM gravitational effects are usually ignored in calculations of the formation and evolution of black holes. The justification for this is that the radius of curvature of space-time outside the event horizon is very large compared to the Planck length  $(G\hbar/c^3)^{1/2} \approx 10^{-33}$  cm, the length scale on which quantum fluctuations of the metric are expected to be of order unity. This means that the energy density of particles created by the gravitational field is small compared to the space-time curvature. Even though quantum effects may be small locally, they may still, however, add up to produce a significant effect over the lifetime of the Universe  $\approx 10^{17}$  s which is very long compared to the Planck time  $\approx 10^{-43}$  s.

Stephen Hawking 1974, Nature

Black holes radiate all particles – finite lifetime

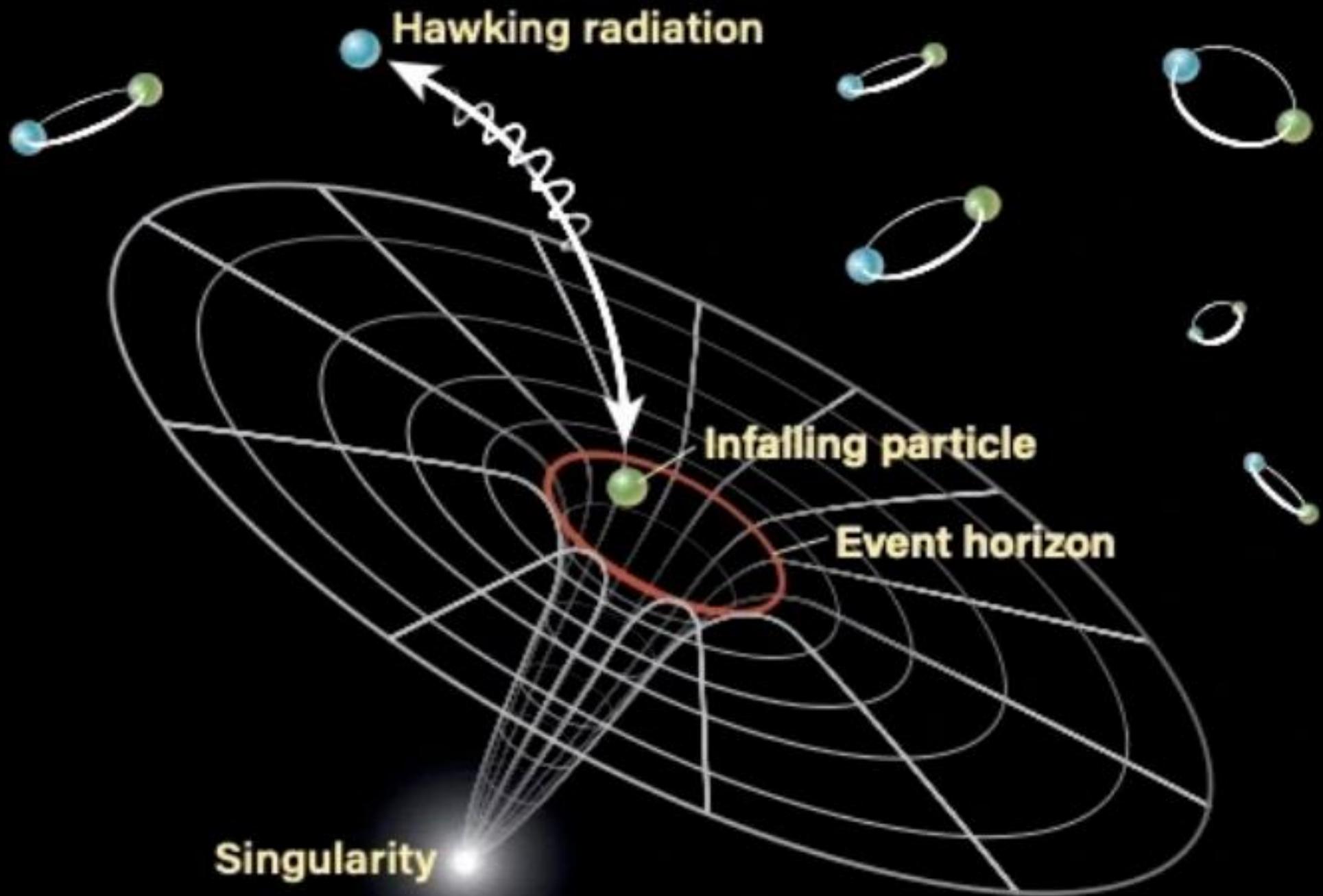
At the end of life, evaporation is extremely rapid

Essentially unobservable for stellar  
Black holes

$$\frac{dM_{\text{PBH}}}{dt} \propto M_{\text{PBH}}^{-2}$$

Light (primordial) black holes evaporate extremely quickly

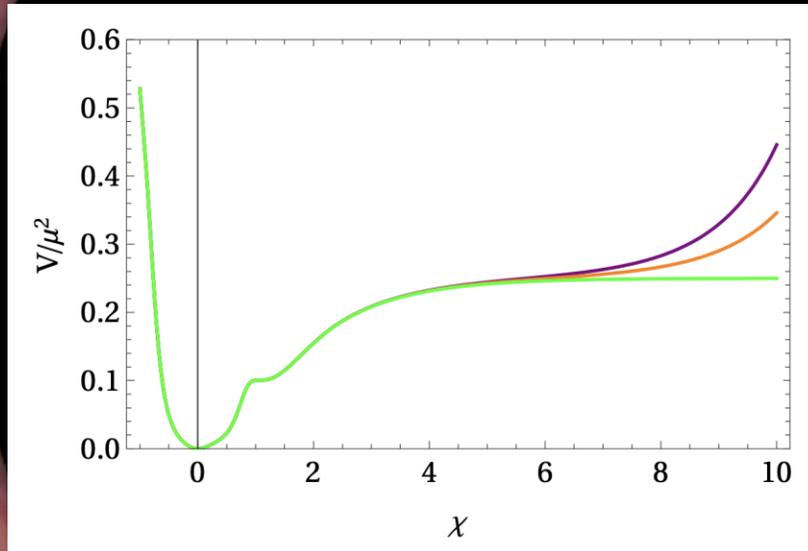
Even during leptogenesis!



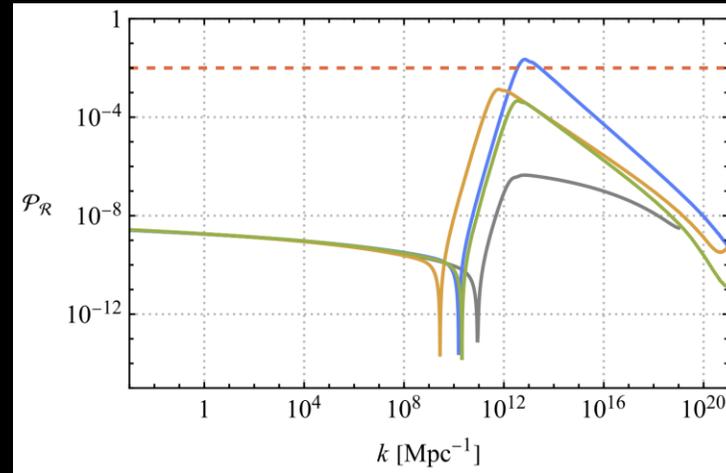
# What are Primordial Black Holes?

Black Holes which form in the primordial universe!

Features in inflaton potentials



I. Dalianis, 2023



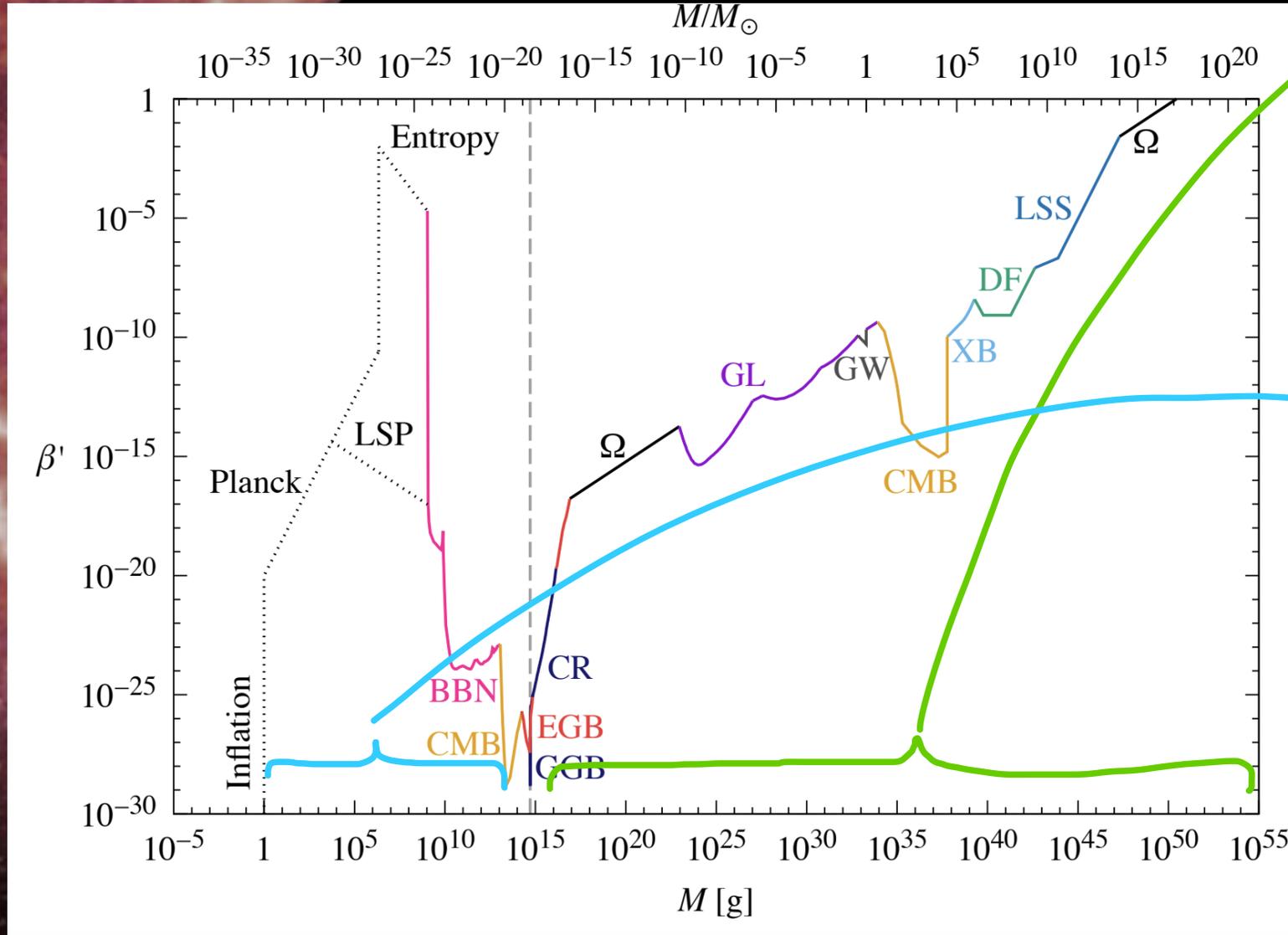
Gu, Shu, Yang, 2023

Enhancement of small scale power spectrum

Huge range of masses possible, more than 50  
orders of magnitude!

# Constraints on PBHs

Carr, 2020



Still exist today

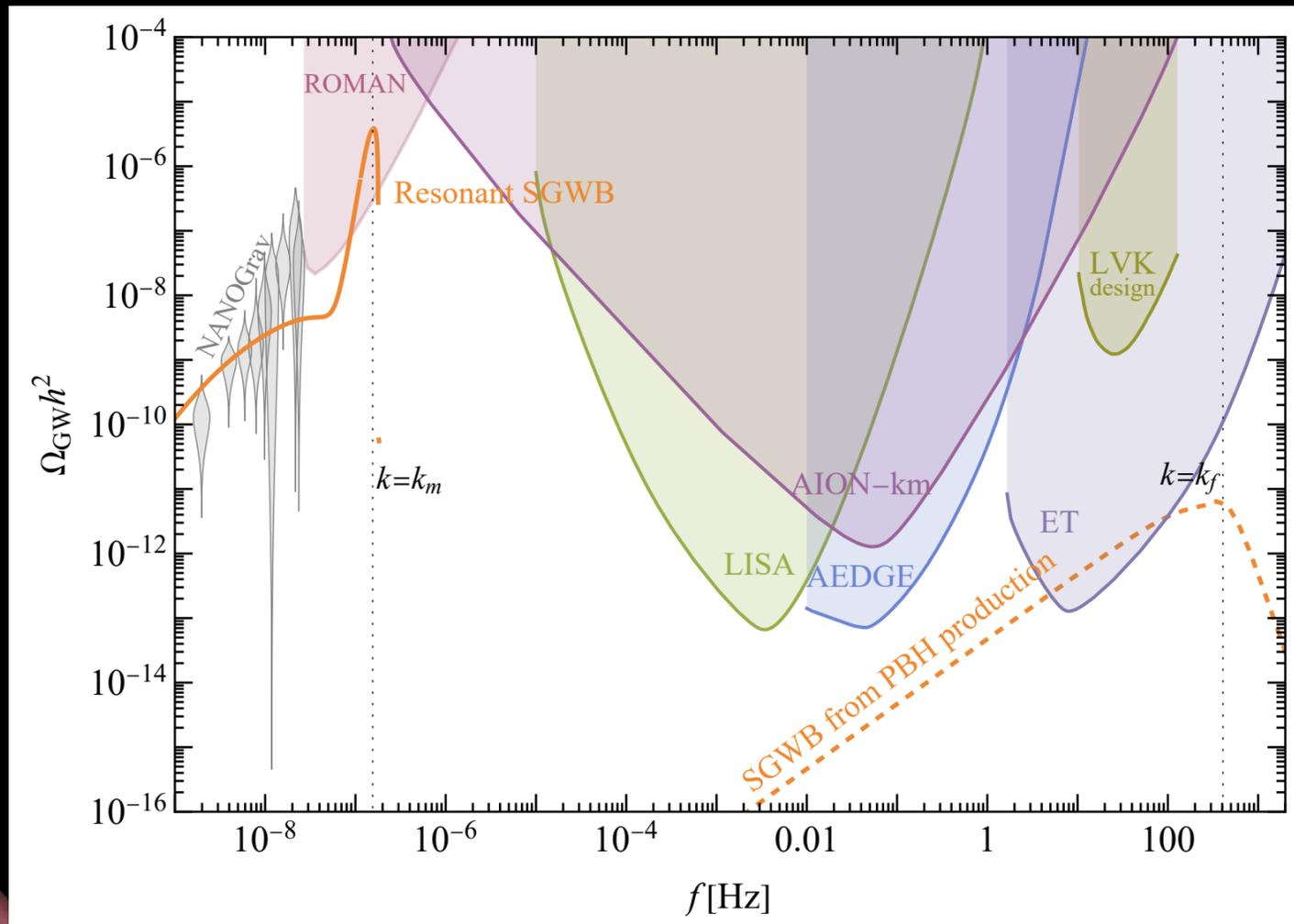
Subject to various  
observational constraints

Evaporated completely

Very difficult to constrain  
but increasingly subject to  
GW constraints

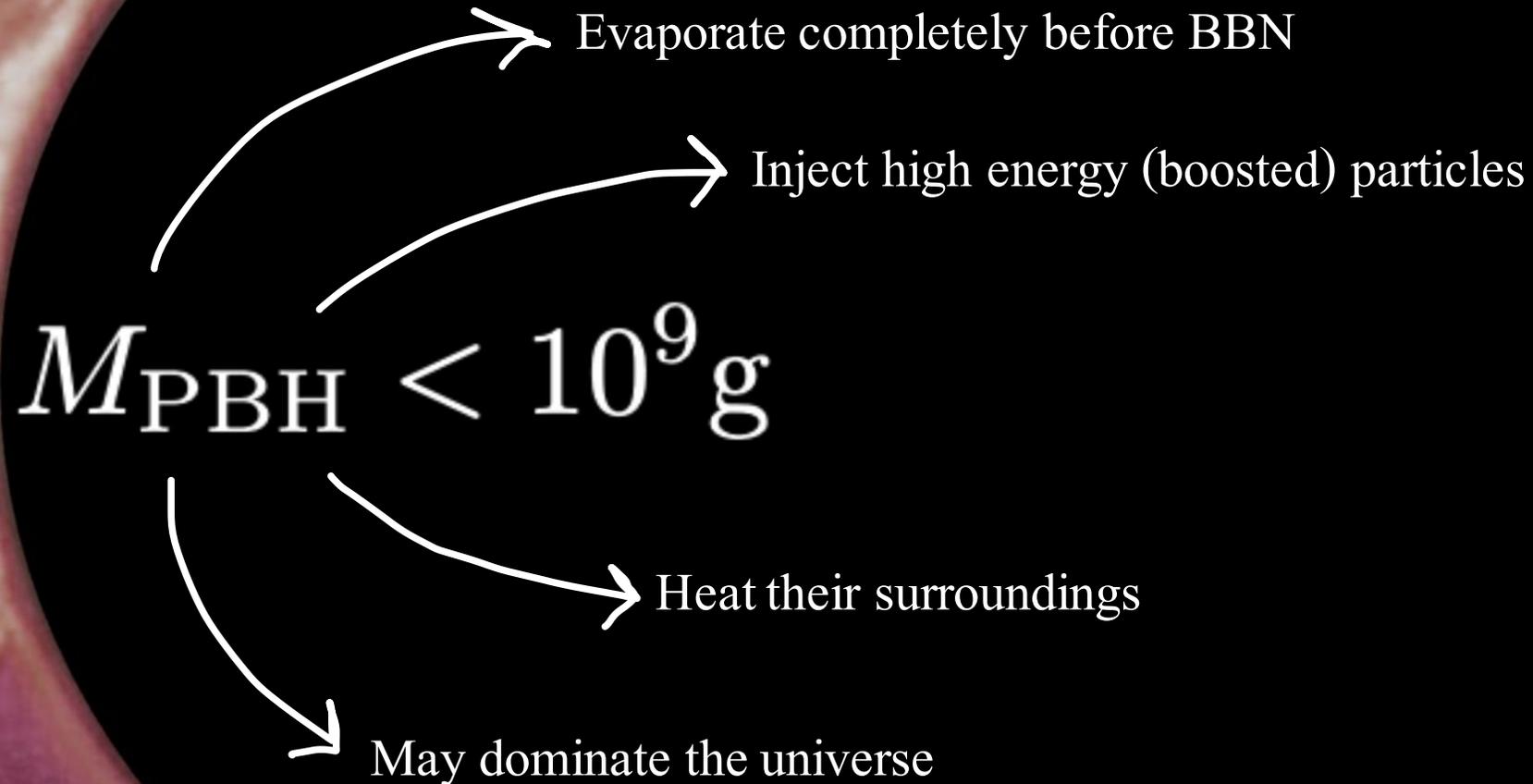
# Hope on the Gravitational Wave Horizon?

Bhaumik, Jain, Lewicki

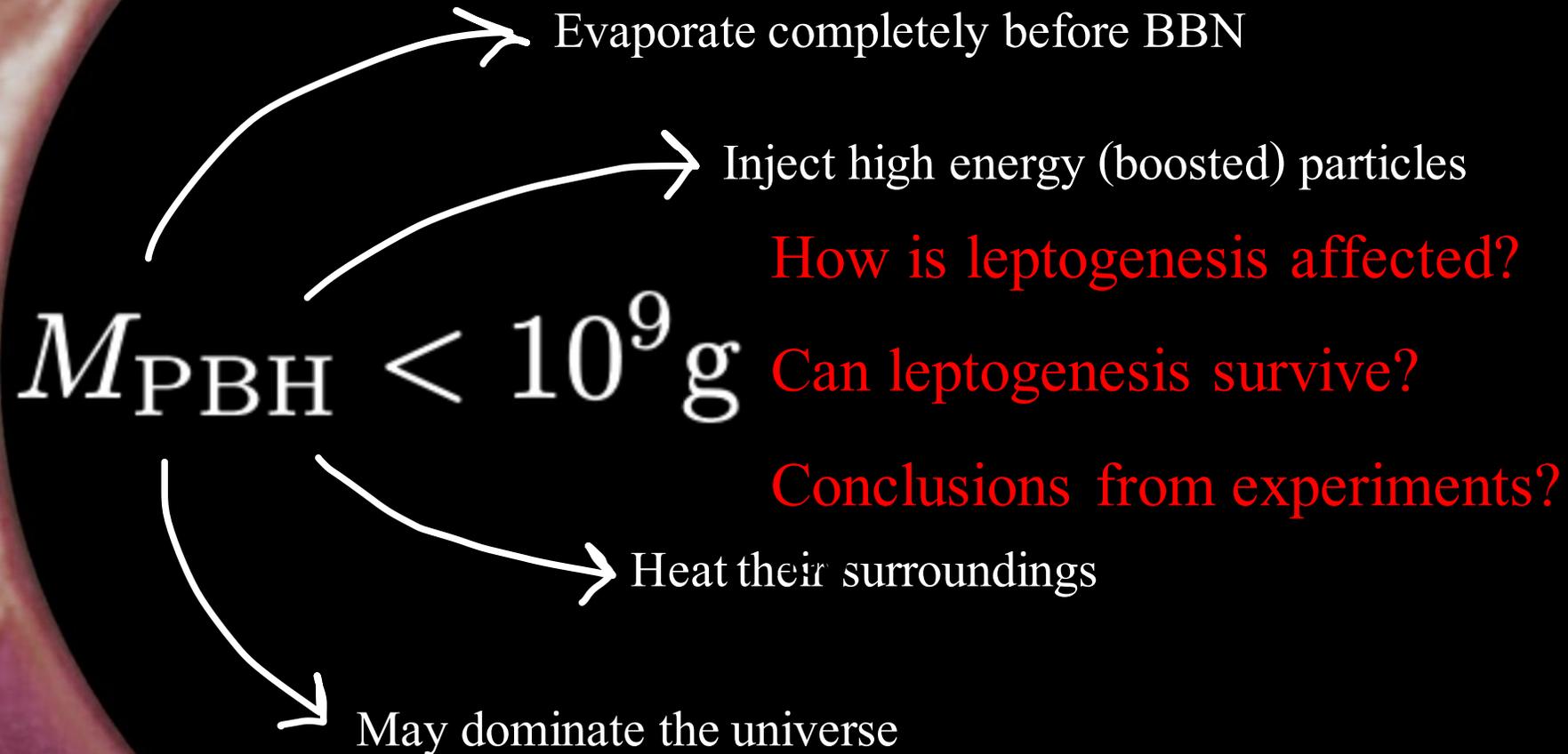


$$M_{\text{PBH}} \sim 10^8 \text{ g}$$

# PBHs in the early universe



# PBHs in the early universe



# How is leptogenesis affected?

- Domination of the energy budget of the universe
  - Emission of RHNs
- Injection of entropy (entropy dilution)

# PBH Domination

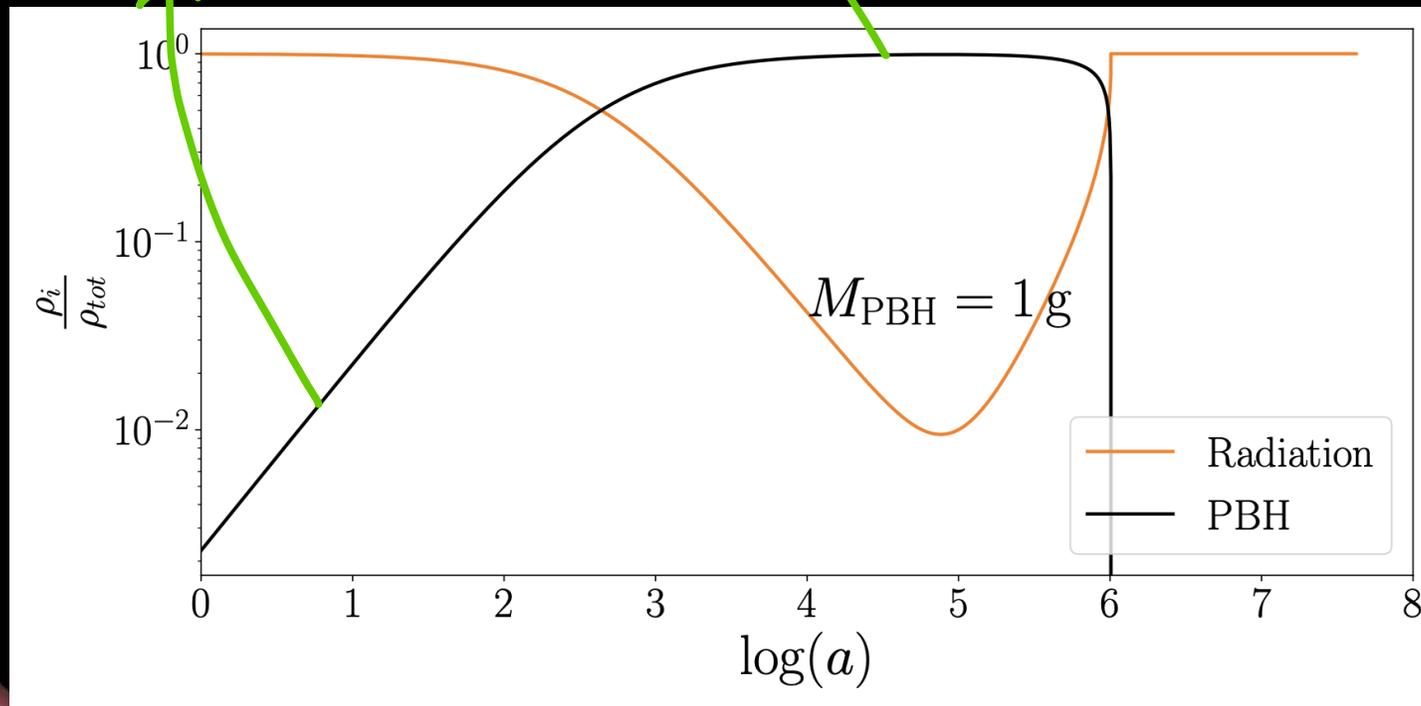
Expansion rate of the universe enhanced

Especially important for low scale models

$$M_{N_1} \sim 10^2 \text{ GeV}$$

Relative contribution of PBHs grows like matter

$$H(T_{\text{sphal}}) = \Gamma_{\text{sphal}}(T_{\text{sphal}})/T_{\text{sphal}}^3$$



# Production of RHNs

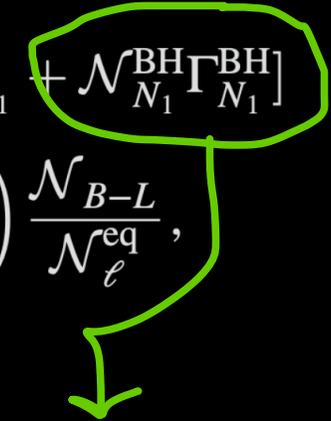
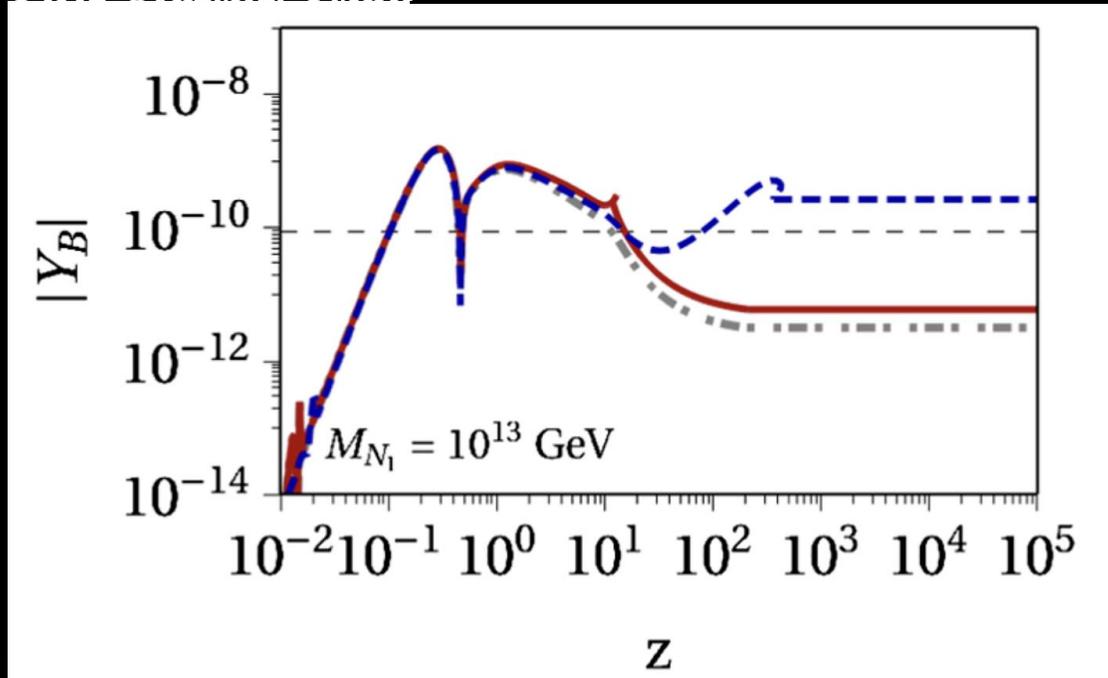
PBHs radiate all d.o.f lighter than their temperature

$$\frac{dM_{\text{PBH}}}{dt} \propto M_{\text{PBH}}^{-2}$$

Occurs rapidly at the end of the PBH life

$$aH \frac{d\mathcal{N}_{B-L}}{da} = \epsilon [(\mathcal{N}_{N_1}^{\text{TH}} - \mathcal{N}_{N_1}^{\text{eq}}) \Gamma_{N_1}^T + \mathcal{N}_{N_1}^{\text{BH}} \Gamma_{N_1}^{\text{BH}}] + \left( \frac{1}{2} \Gamma_{N_1}^T \mathcal{N}_{N_1}^{\text{eq}} + \gamma \right) \frac{\mathcal{N}_{B-L}}{\mathcal{N}_e^{\text{eq}}},$$

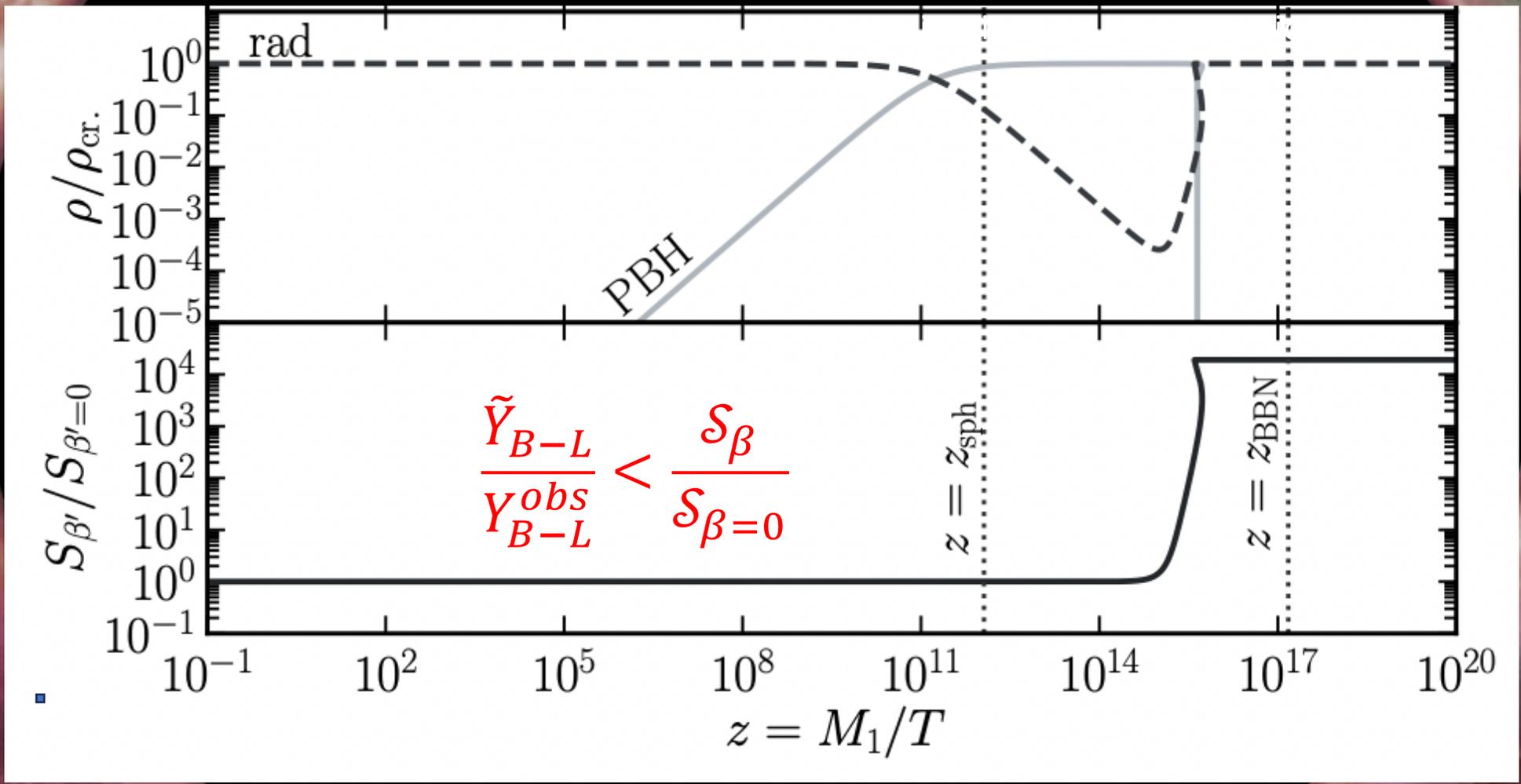
Perez-Gonzalez, Turner



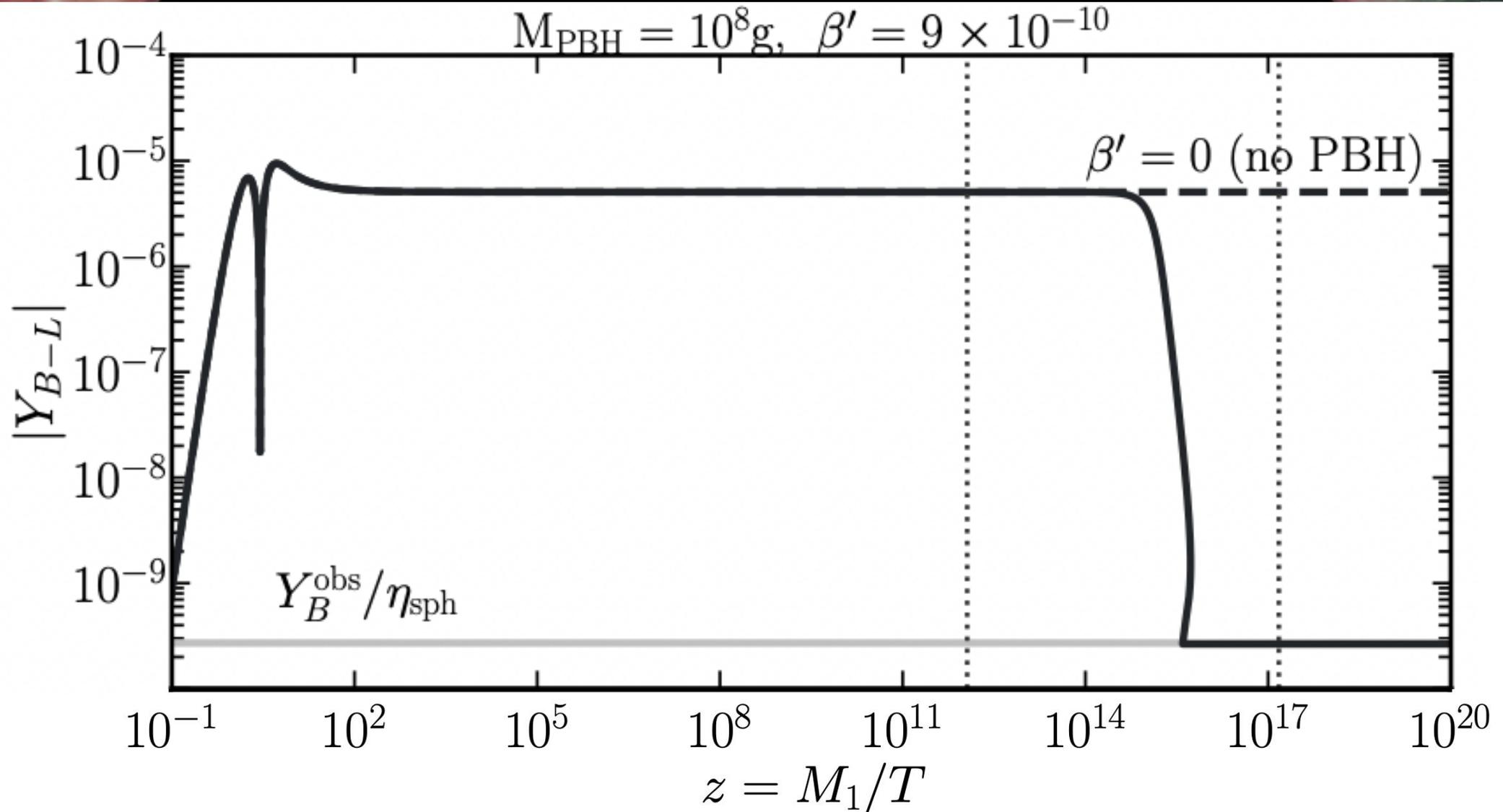
New source term for asymmetry

# Entropy dilution

$$Y_{B-L} \equiv \frac{\mathcal{N}_{B-L}}{\mathcal{S}} \quad \mathcal{S}_\beta / \mathcal{S}_{\beta=0}(\beta, M_{PBH})$$

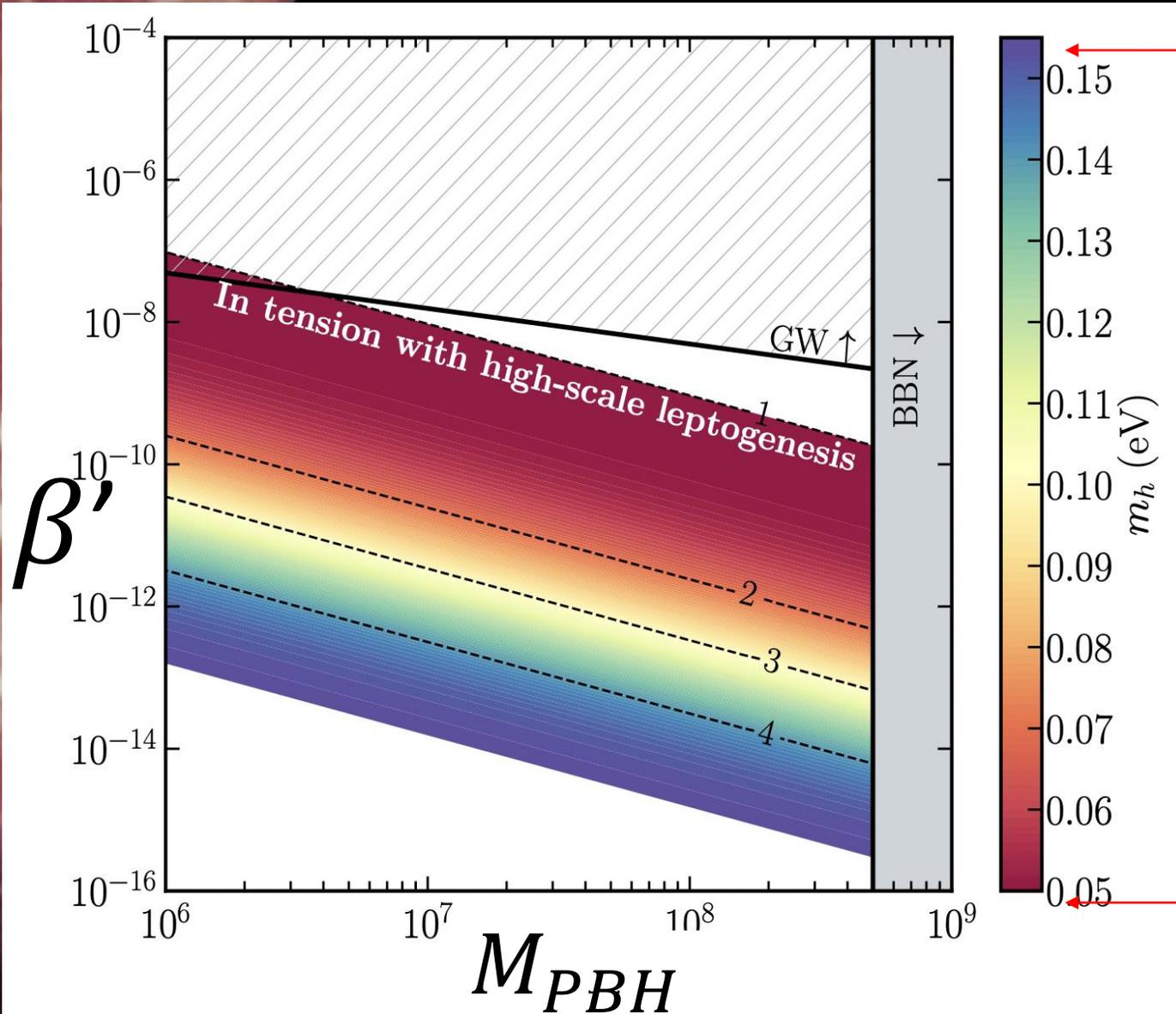


# Dilution of asymmetry



# PBHs can exclude leptogenesis (and vice versa)

Calabrese et al.

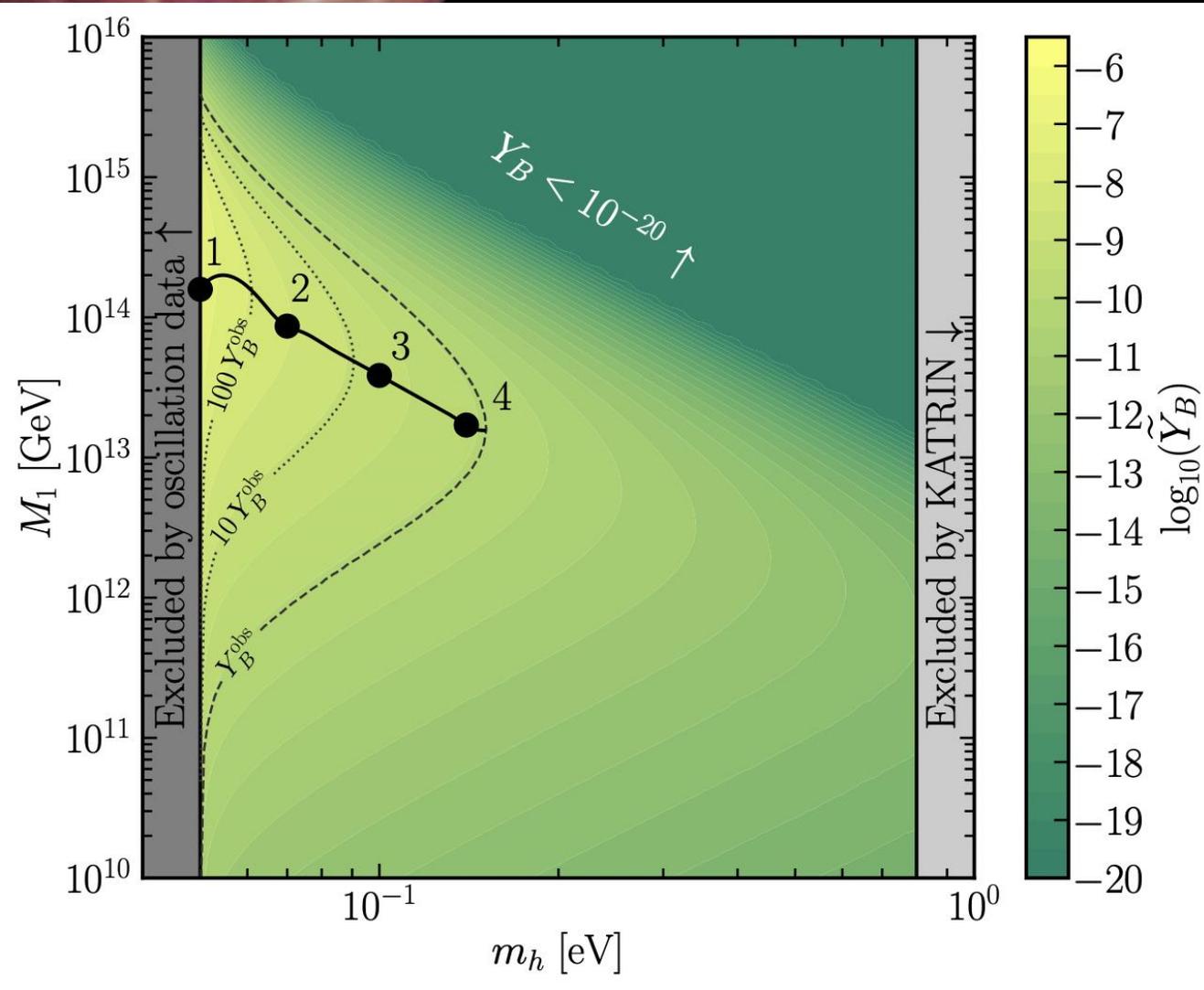


Upper bound for leptogenesis

The mutual exclusion limits become more severe with heavier active neutrino masses

Oscillation data lower bound

# The maximum asymmetry



$$\begin{pmatrix} M_1 & 0 & 0 \\ 0 & M_2 & 0 \\ 0 & 0 & M_3 \end{pmatrix}$$

$$M_1 \ll M_{2,3}$$

Casas-Ibarra parameterisation,

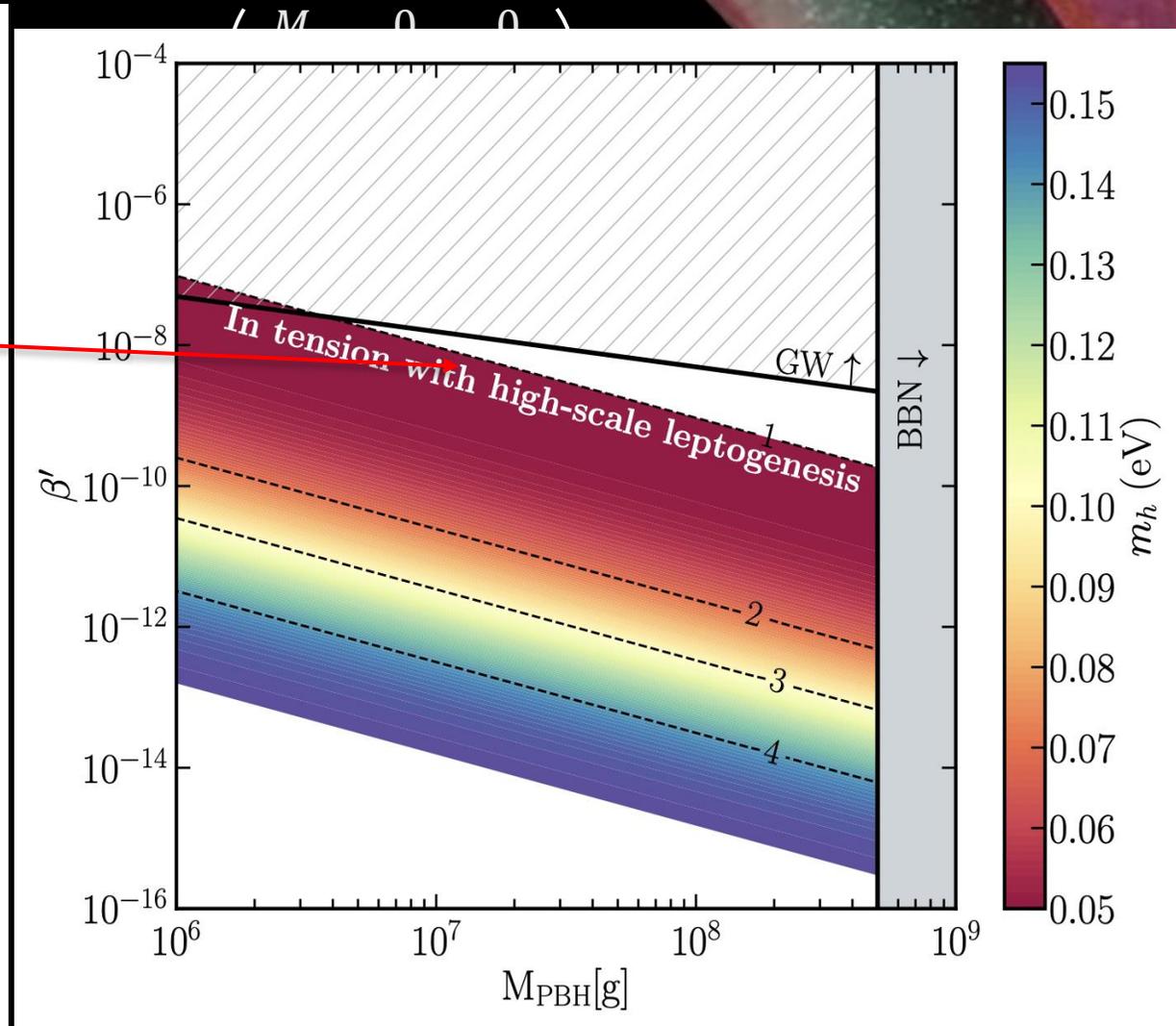
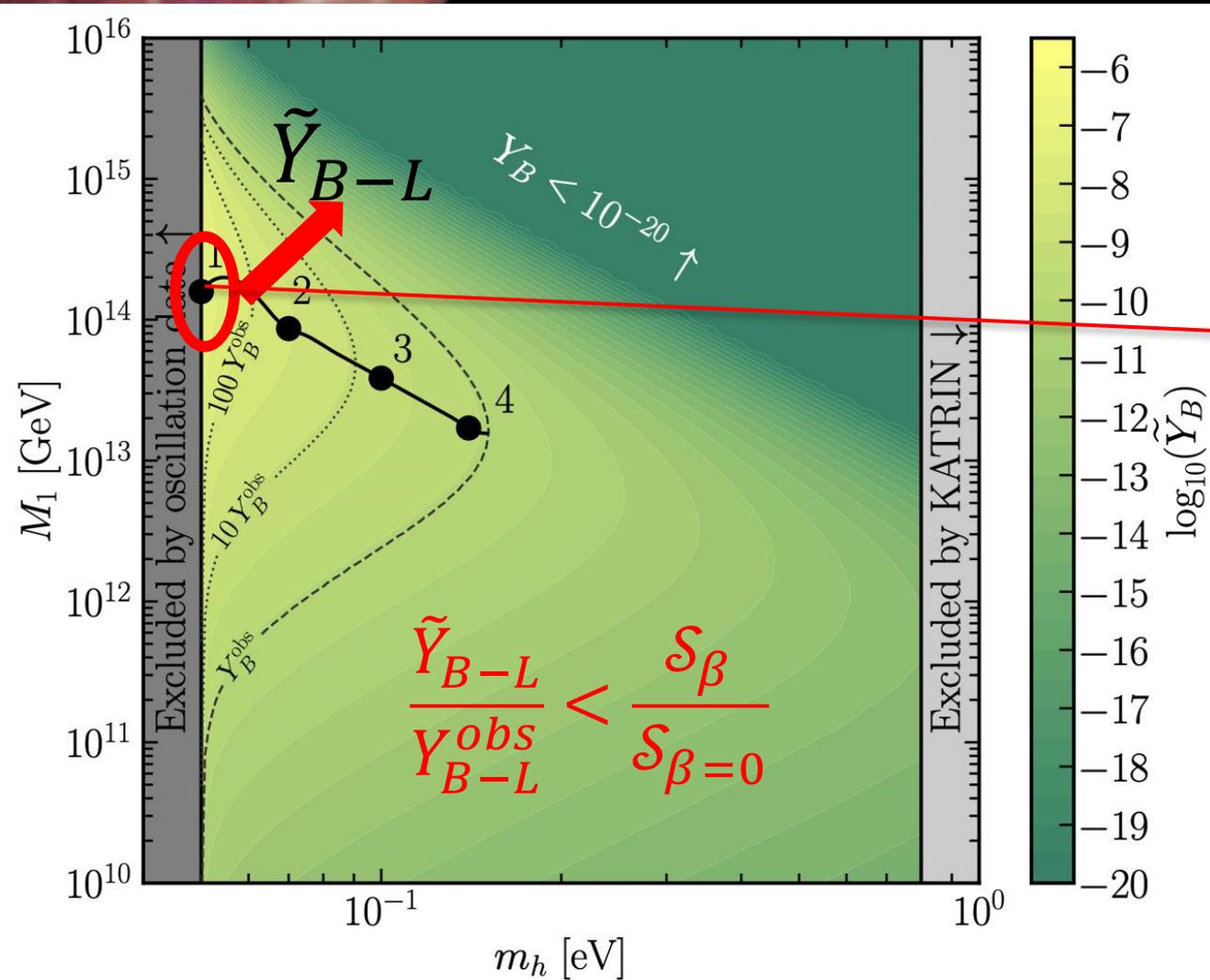
we take  $\Delta m^2_{sol} \ll \Delta m^2_{atm}$

$$R = R_{13}(\theta_{13} = x + iy)$$

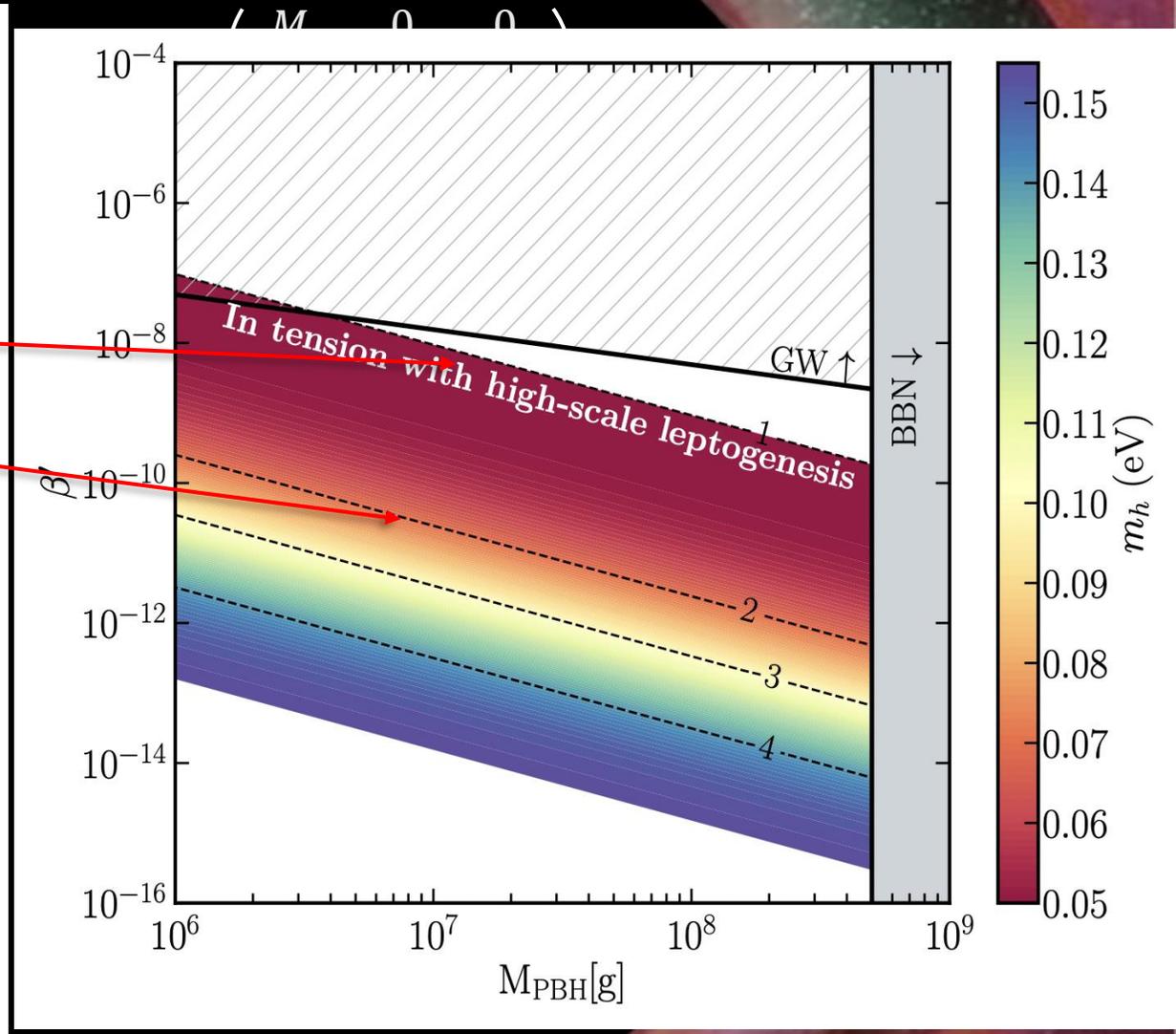
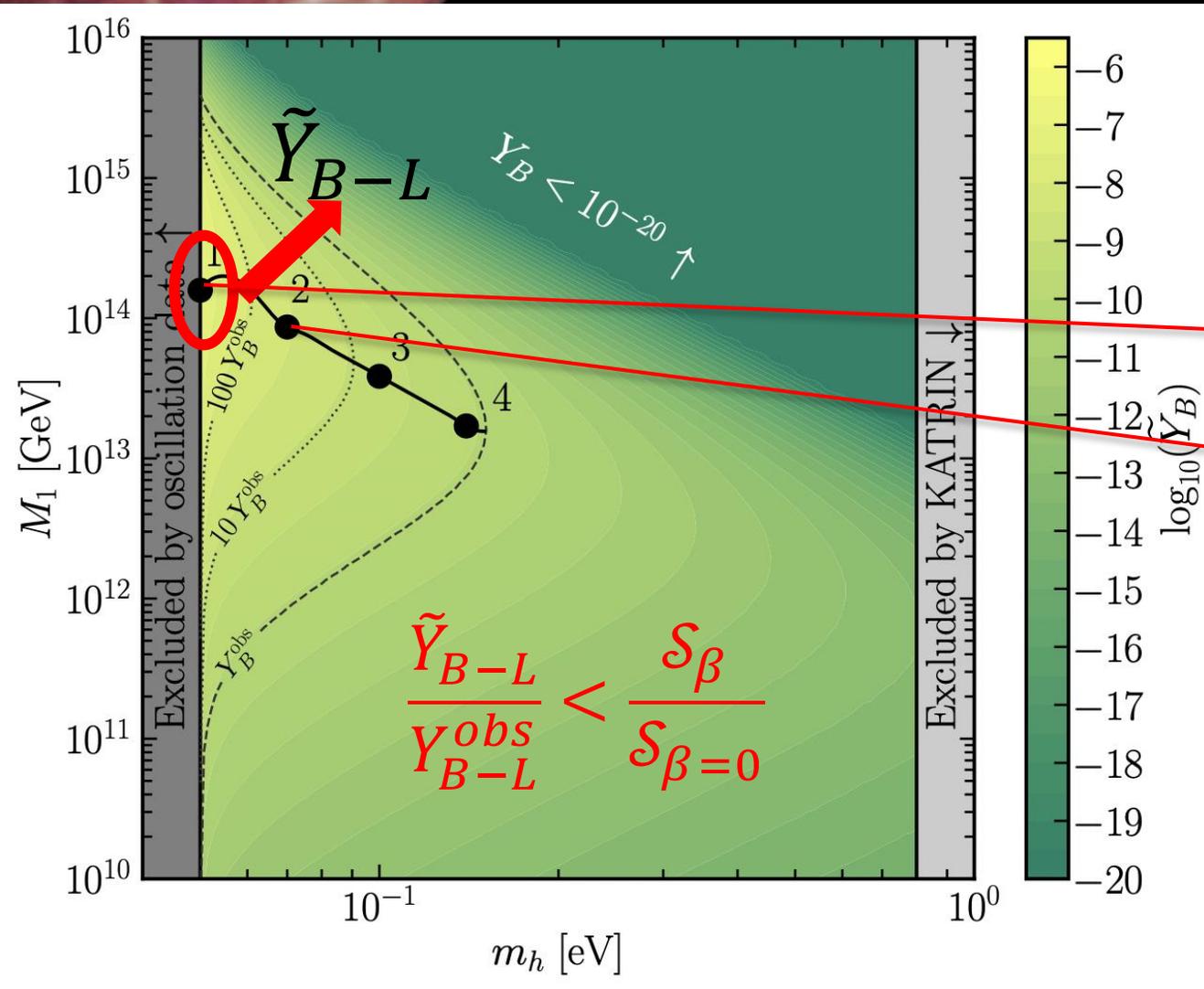
$$\{M_1, m_h, x, y\}$$

Leptogenesis parameter space is 4 - dimensional

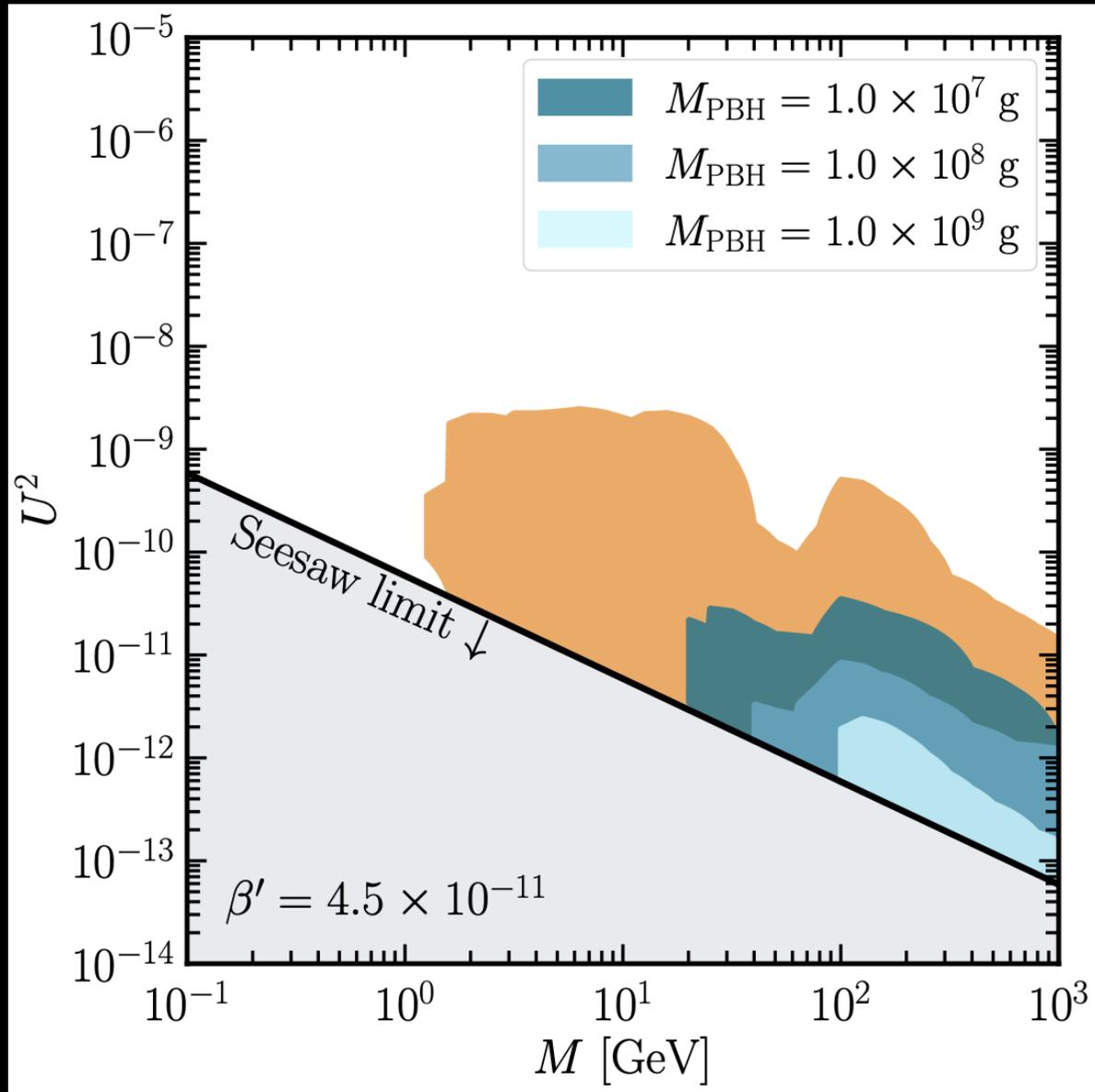
# The maximum asymmetry



# The maximum asymmetry

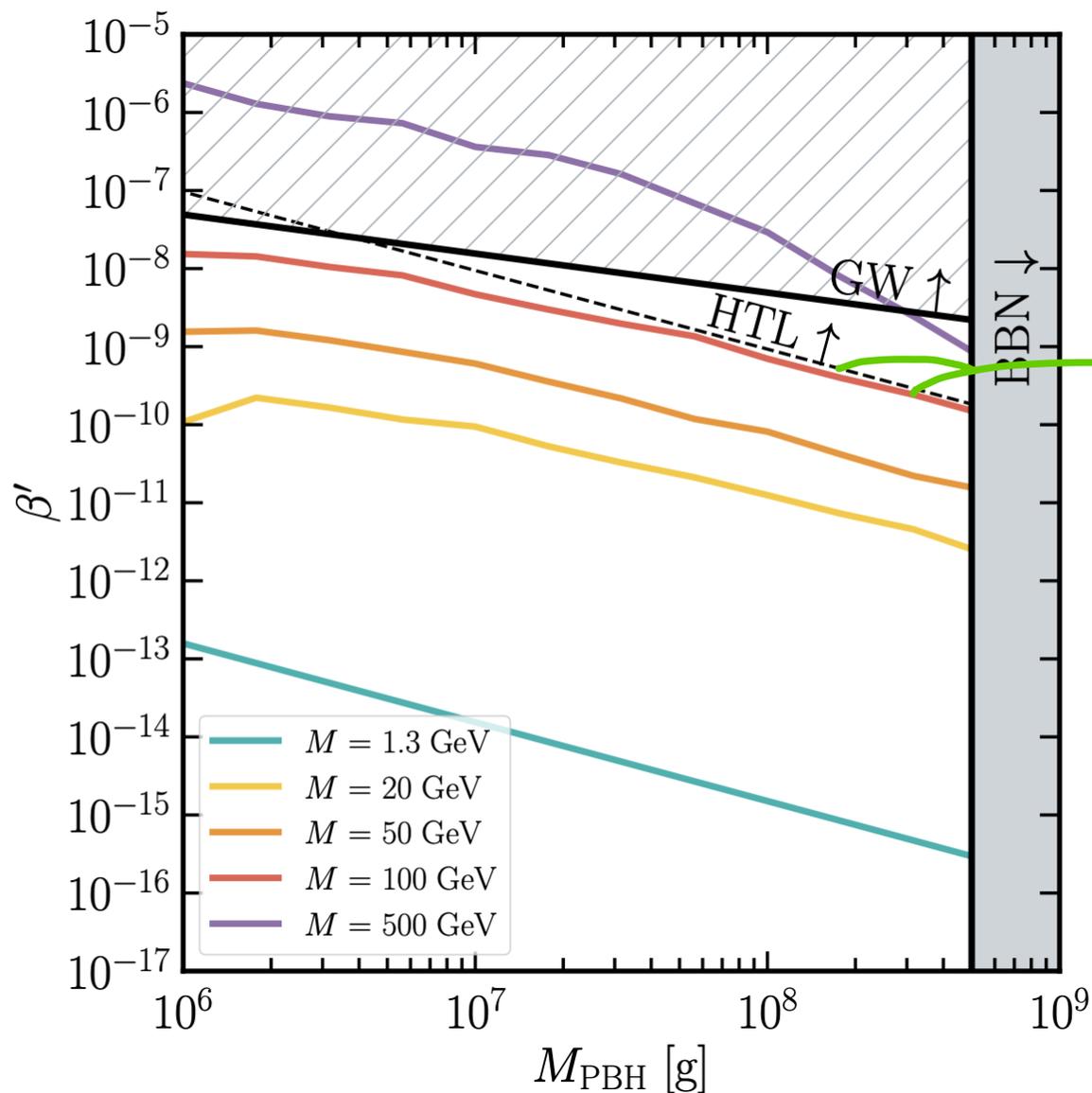


# Low scale leptogenesis



# Low scale leptogenesis

Calabrese et al.



In this case the limits depend on the heavy neutrino mass scale rather than the active one

Here we compare the constraints from high and low scale leptogenesis.

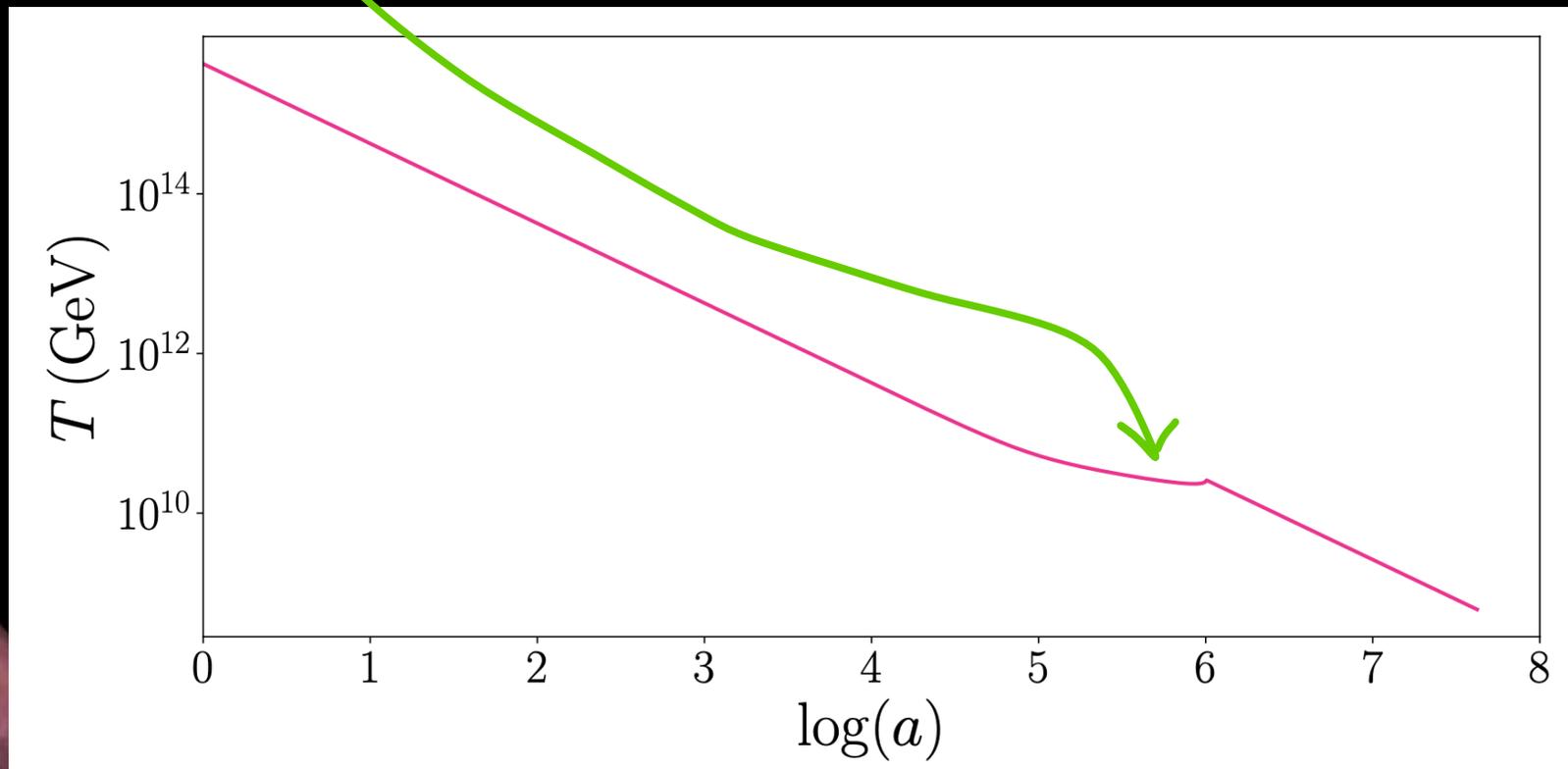
Similar arguments will hold for intermediate scale models too

# Hot-spots

Evaporation of PBHs  
heats the universe

Most analyses assume this heating is  
homogeneous throughout the universe

This is a strong  
assumption

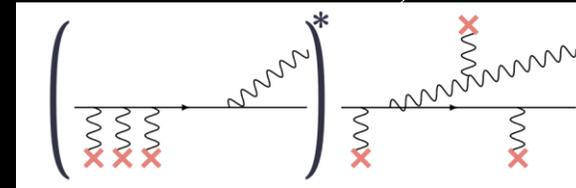


# Hot-spots

PBHs do not evaporate in a vacuum!

Yamada, Mukhaida, Kohri

Hawking radiation interacts with the plasma

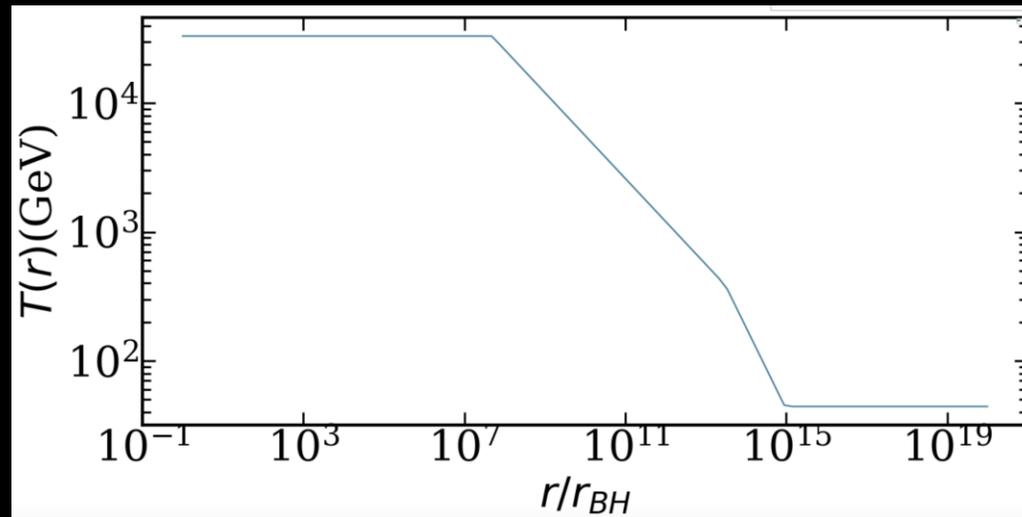


LPM suppressed collinear emission of soft gauge bosons is dominant

Energy is deposited close to the BH

Initially quasi-static, explosive at late times

Plasma around the BH heats up locally



# Particle processes in a hot-spot

$$\lambda_S^X = \sum_{\text{all } Y, Z} \frac{1}{n_Y \sigma_{XY \leftrightarrow Z} v_{Mol}}$$

Functions of temperature  $\longrightarrow$  Functions of radius  $\longrightarrow$  ?

$$\Gamma_{N_i} = \frac{M_{N_i} (Y^\dagger Y)_{ii}}{8\pi} \Lambda(1, a_H, a_L) (1 - a_H + a_L) \theta(1 - a_H - a_L)$$

Very mild function of temperature and essentially unaffected

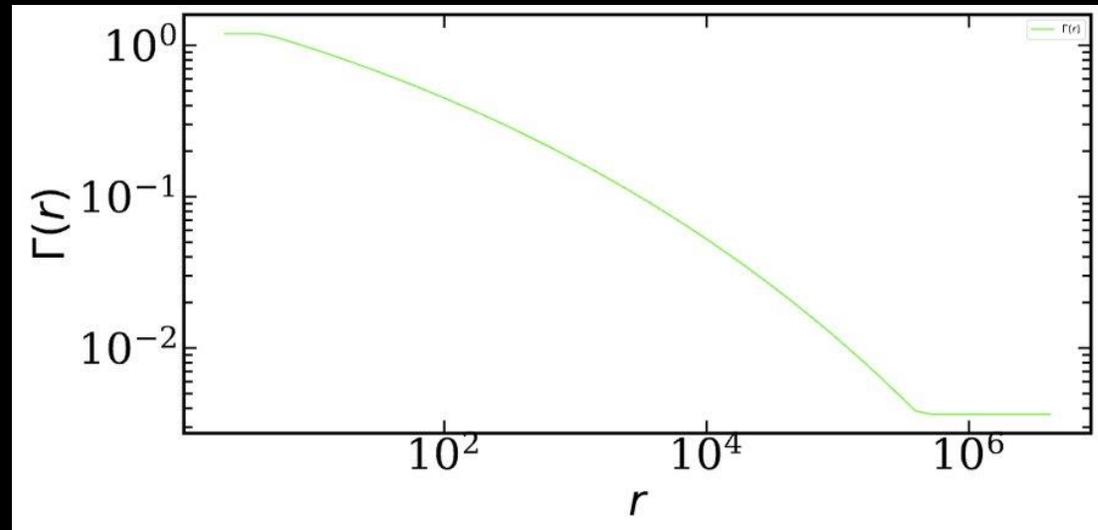
# Escaping hot-spots

$$P(r) = 1 - e^{-\int_0^r \Gamma(r') dr'}$$

Can a BSM particle escape the hot-spot?

Crucial for calculating observables

Particles which were massless when produced may gain mass simply by escaping



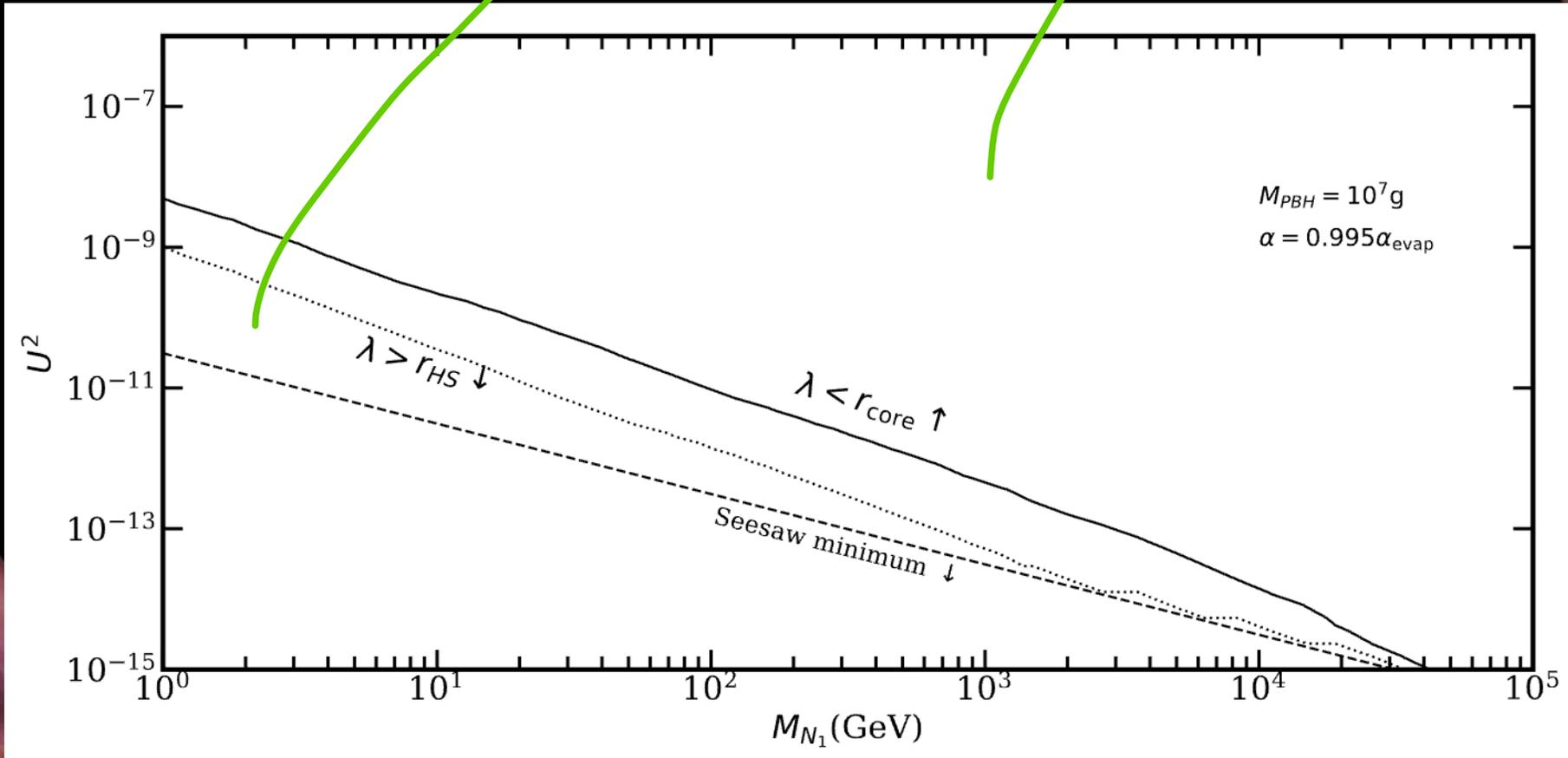
Which particle processes are possible depends on distance travelled

# Preliminary results

Whether a particle may escape depends on its parameters

Only the lightest most weakly interacting particles escape

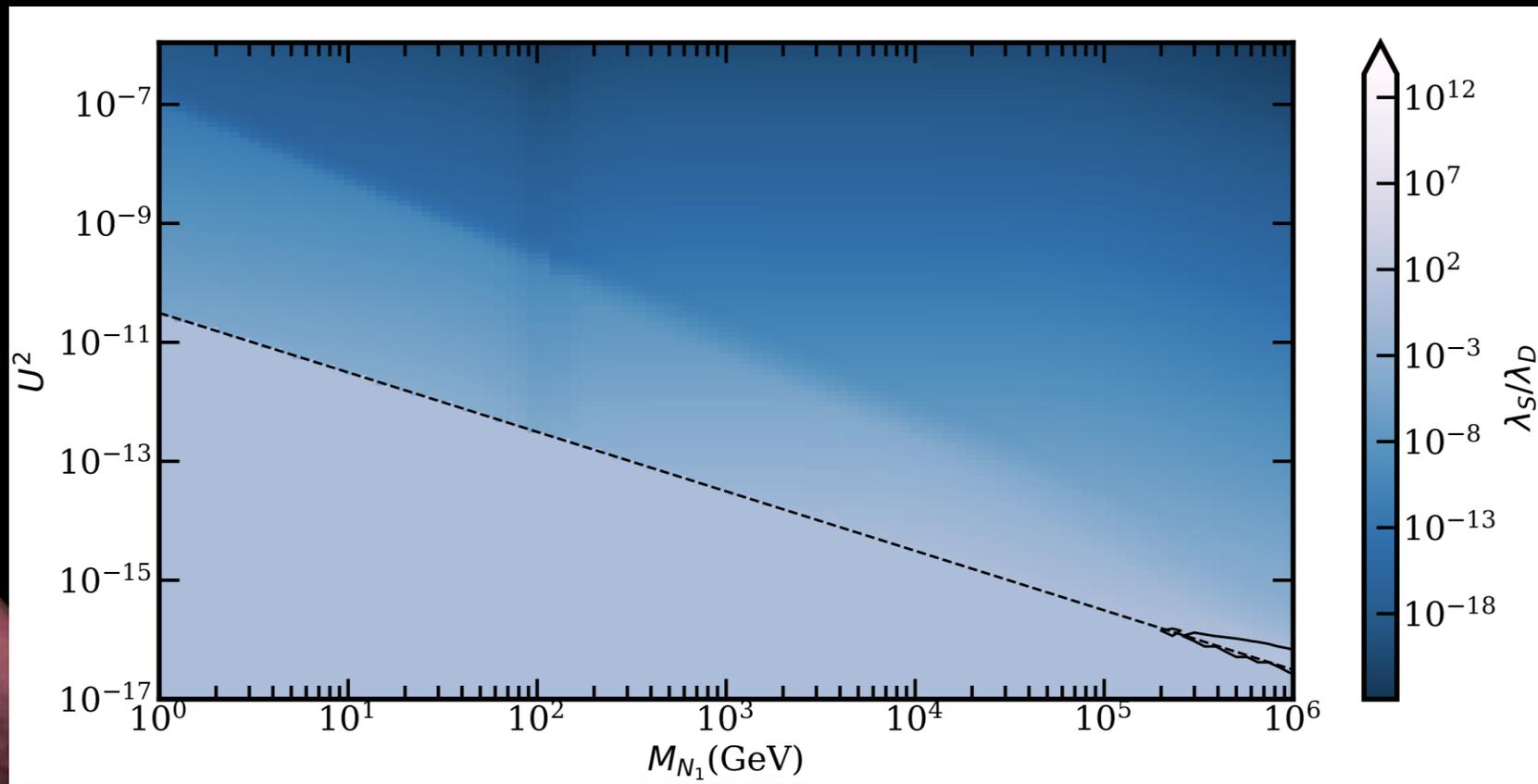
Most scatter close to the PBH



# Preliminary results

Scattering with the plasma is  
strongly enhanced

Constraining for models  
involving decay of Hawking  
radiation



# Conclusions

PBHs and leptogenesis are both interesting and well motivated new physics

Both are difficult to probe directly, but future experiments offer hope

We have shown that future discoveries in either parameter space can constrain the other

Locally heated regions around PBHs alter the dynamics of particle processes involving Hawking radiation