



Testing nucleation calculations for strong phase transitions

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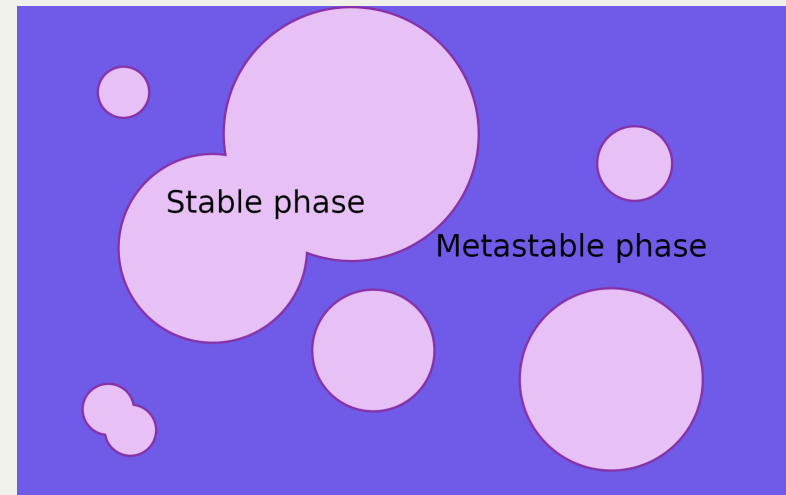
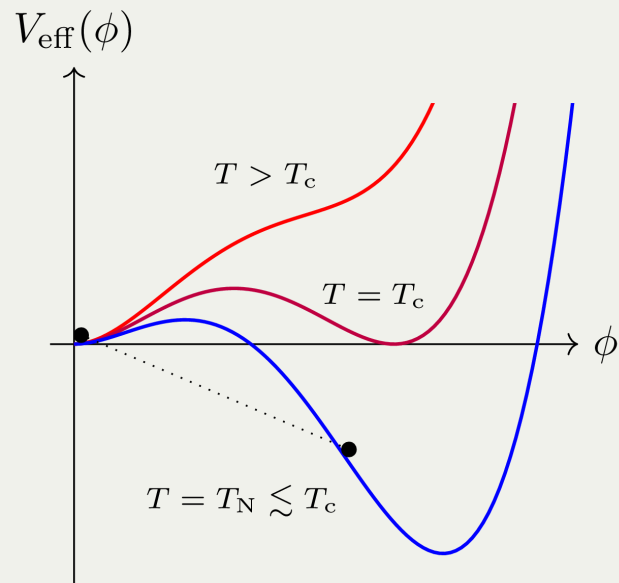
Anna Kormu and **David J. Weir** [they/he] - University of Helsinki

This talk: saoghal.net/slides/lattice2024

Lattice 2024, 29 July 2024

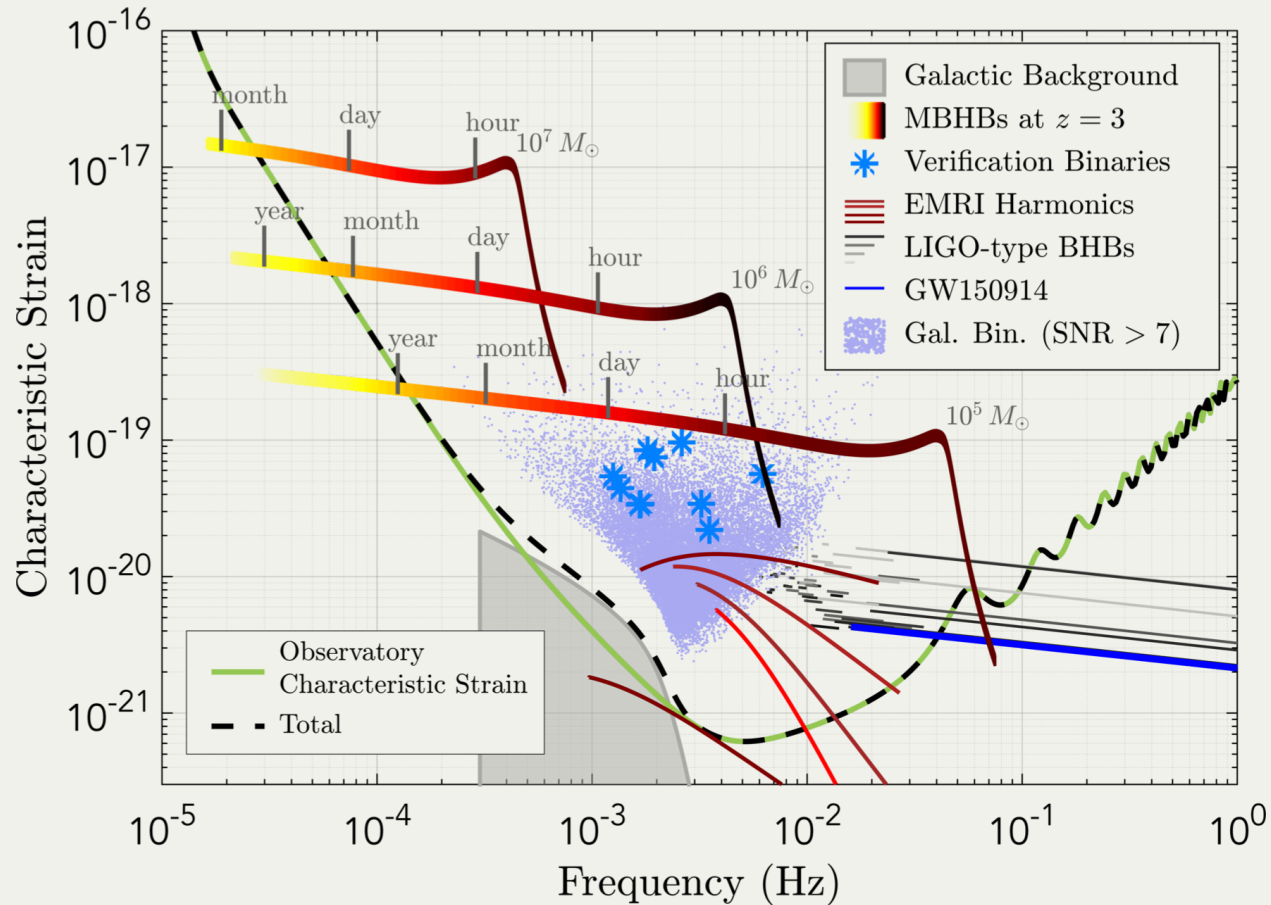
First-order phase transitions

- Bubbles of stable phase nucleate in metastable phase
- Bubbles then expand and collide
 - Out-of-equilibrium: can facilitate baryogenesis
 - Collisions produce gravitational waves



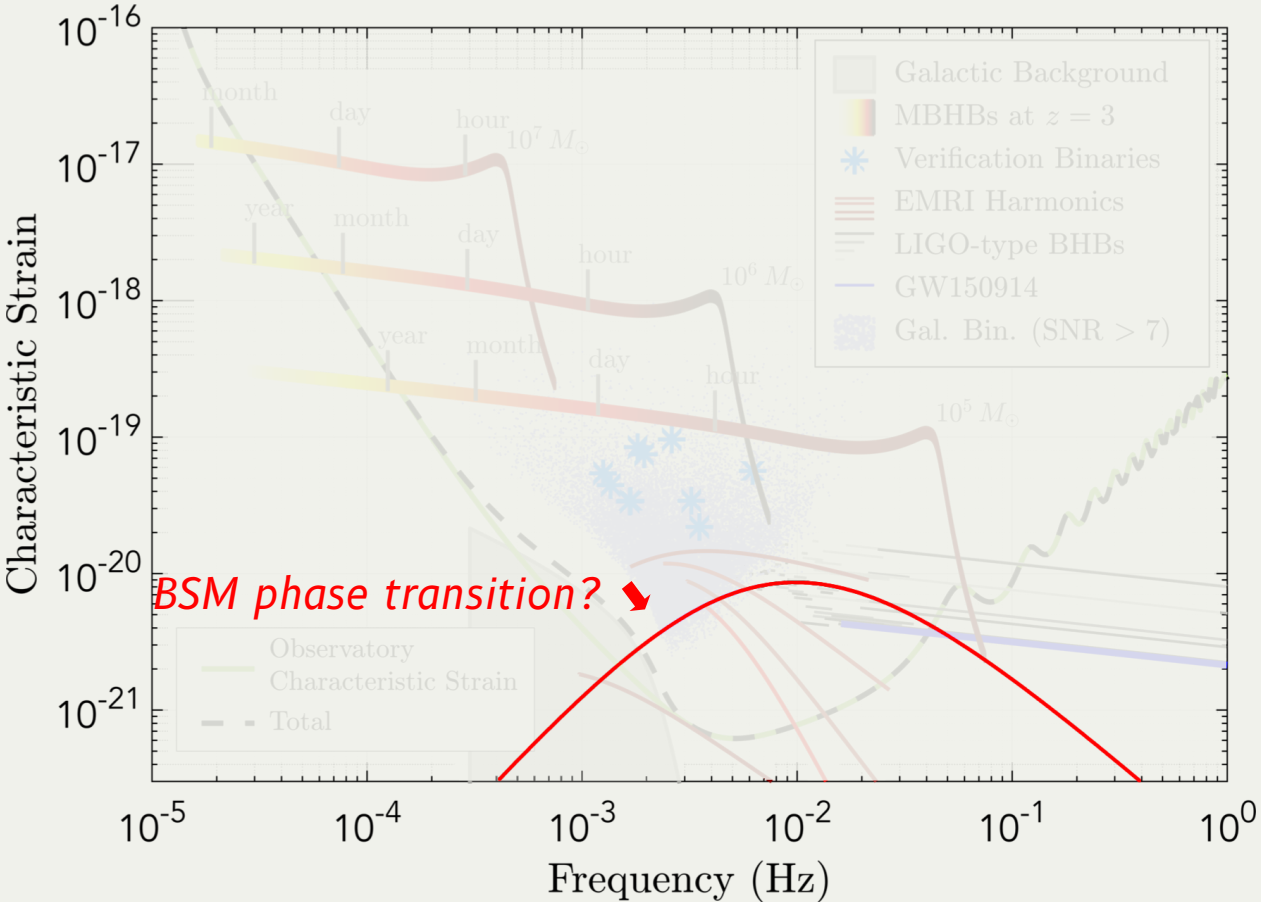
[Sketch: Anna Kormu]

LISA: "Astrophysics" signals



Source: arXiv:1702.00786

LISA: Stochastic background?



BSM phase transition? ↘

[qualitative curve, sketched on]

[what BSM physics might there be?]

Particle physics model

$\Downarrow \mathcal{L}_{4d}$

Dimensional reduction

$\Downarrow \mathcal{L}_{3d}$

Phase transition parameters
from lattice simulations

$\Downarrow \alpha, \beta, T_N, v_w, \dots$

Real time cosmological simulations

$\Downarrow \Omega_{gw}(f)$

Cosmological GW background

[what would we see as a result?]

Particle physics model

⇓ \mathcal{L}_{4d}

Dimensional reduction

⇓ \mathcal{L}_{3d}

Phase transition parameters
from lattice simulations

⇓ $\alpha, \beta, T_N, v_w, \dots$

Real time cosmological simulations

⇓ $\Omega_{\text{gw}}(f)$

Cosmological GW background

Phase transition parameters connect particle physics and cosmology

- α , the phase transition strength [\sim latent heat]
- T_N , the temperature at which bubbles nucleate
- β , the inverse phase transition duration [\sim peak nucleation rate]
- v_w , the speed at which bubbles expand

Our focus: nucleation rate

- We compute the bubble nucleation rate Γ
- Earlier work doing this on the lattice focussed on radiatively induced transitions

hep-ph/0009132; hep-lat/0103036; arXiv:2205.07238

- Current BSM phenomenological interest is in stronger transitions with tree-level barriers, like ours:

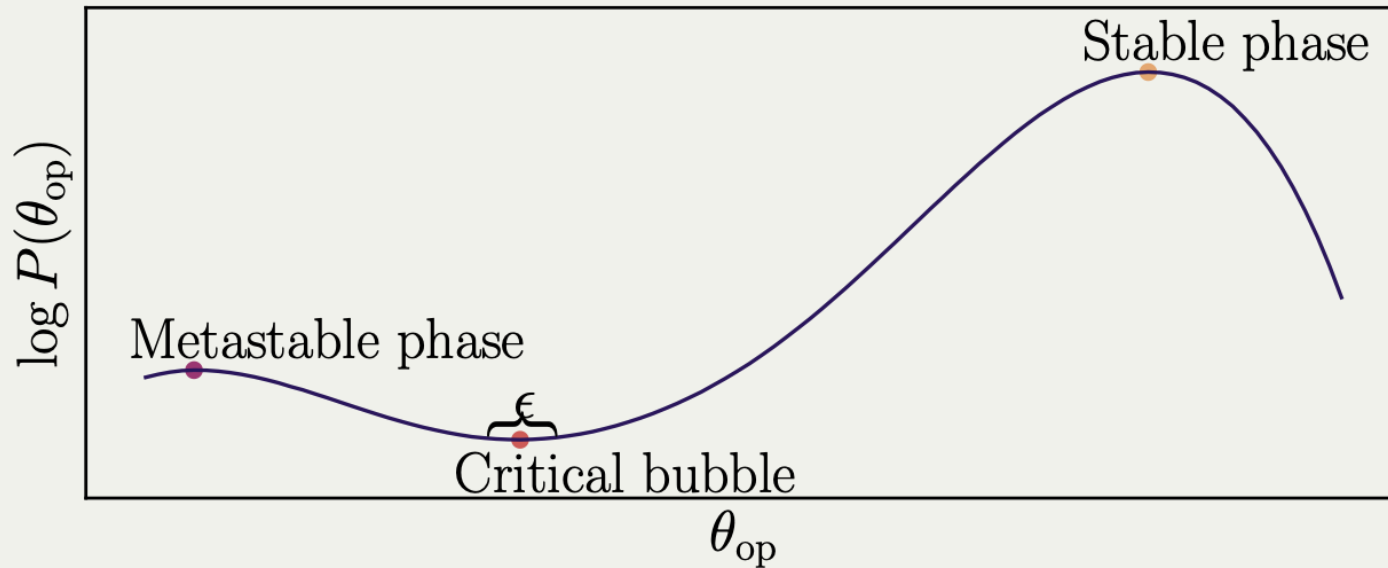
$$\mathcal{L} = -\frac{1}{2}\partial_\mu\varphi\partial^\mu\varphi - V(\varphi) - J_1\varphi - J_2\varphi^2,$$
$$V(\varphi) = \sigma\varphi + \frac{1}{2}m^2\varphi^2 + \frac{1}{3!}g\varphi^3 + \frac{1}{4!}\lambda\varphi^4$$

Bubbles in the lab

- Another motivation: test classical (non-relativistic) nucleation theory in the laboratory:
 - A-B transition in ^3He [arXiv:2401.07878](https://arxiv.org/abs/2401.07878)
 - Ferromagnetic superfluids [arXiv:2305.05225](https://arxiv.org/abs/2305.05225)
 - Proposed: ultracold atomic gases
[arXiv:1408.1163](https://arxiv.org/abs/1408.1163); [arXiv:2212.03621](https://arxiv.org/abs/2212.03621); [arXiv:2307.02549](https://arxiv.org/abs/2307.02549)
- Hints [e.g. from ^3He] that theory not totally consistent with experiment
- Lattice simulations provide a third path between nucleation theory and analogue experiments

How to compute the nucleation rate

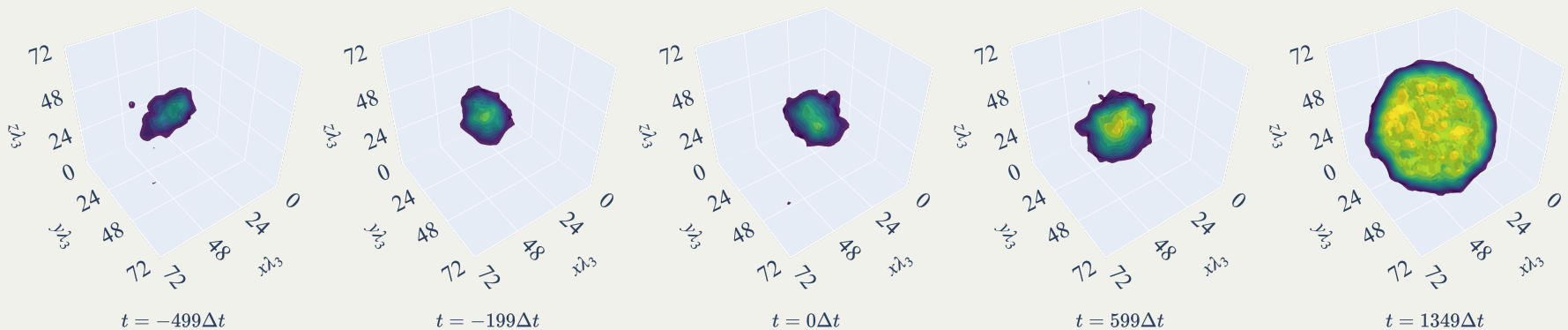
1. Use multicanonical simulations to generate order parameter histogram



2. Calculate probability of critical bubble P_c relative to metastable phase

How to compute the nucleation rate

3. Evolve critical bubble configurations forward and backward in a heat bath

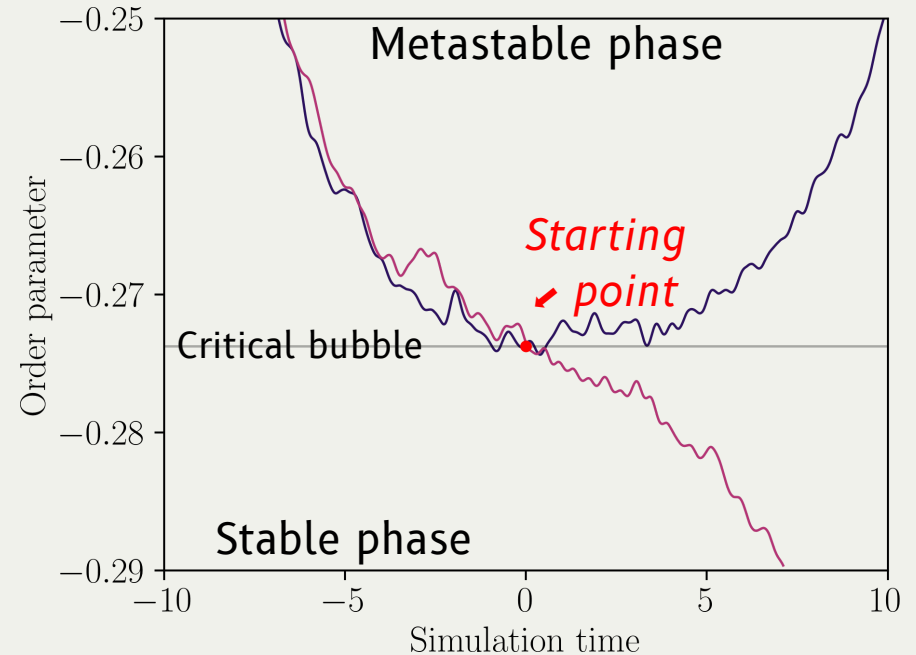


How to compute the nucleation rate

4. Compute fraction \mathbf{d} of configurations that tunnel relative to crossings of θ_c

$$\mathbf{d} = \frac{\delta_{\text{tunnel}}}{N_{\text{crossings}}}$$

$\delta_{\text{tunnel}} = 1$ if bubble tunnels, 0 otherwise



How to compute nucleation rate

5. Determine [analytically] rate of change of order parameter across *transition surface*
[\sim set of all critical bubbles in configuration space]

$$\langle \text{flux} \rangle = \left\langle \left| \frac{\Delta \theta}{\Delta t} \right|_{\theta_c} \right\rangle = \sqrt{\frac{8}{\pi \mathcal{V}} (\theta_c + A^2)}$$

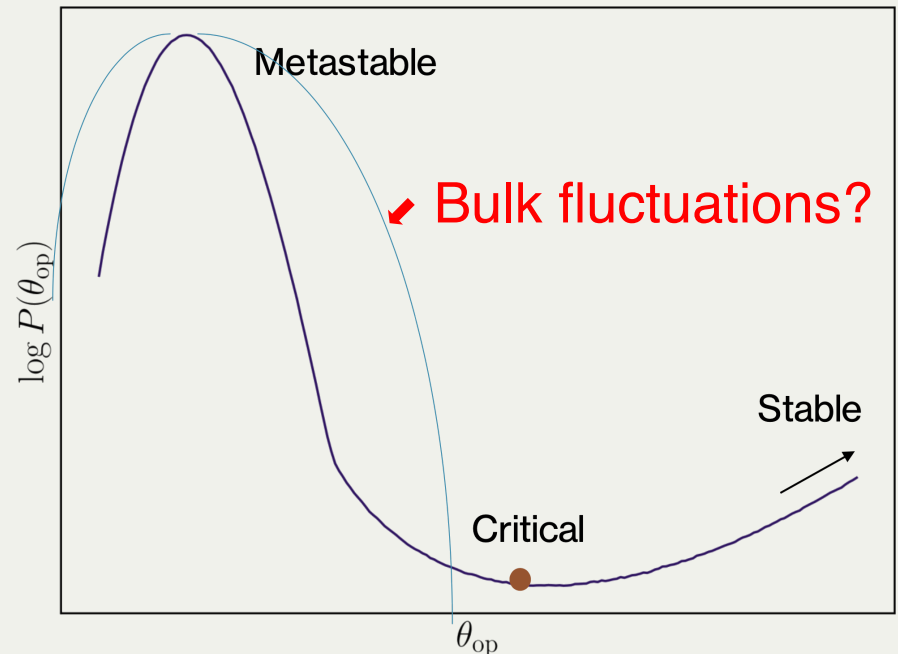
Then the nucleation rate is $\Gamma \mathcal{V} \approx P_c \langle \text{flux} \rangle \frac{\langle \mathbf{d} \rangle}{2}$

[assuming that $\langle \text{flux} \rangle$ consists of short-range fluctuations
and \mathbf{d} long-range fluctuations hep-lat/0103036]

Picking a good order parameter

- Tried two order parameters $\theta = \overline{\phi}$; $\theta' = \overline{\phi^2} - 2A\overline{\phi}$
- Metastable peak can be broadened by bulk [not bubble] fluctuations - hiding critical bubble

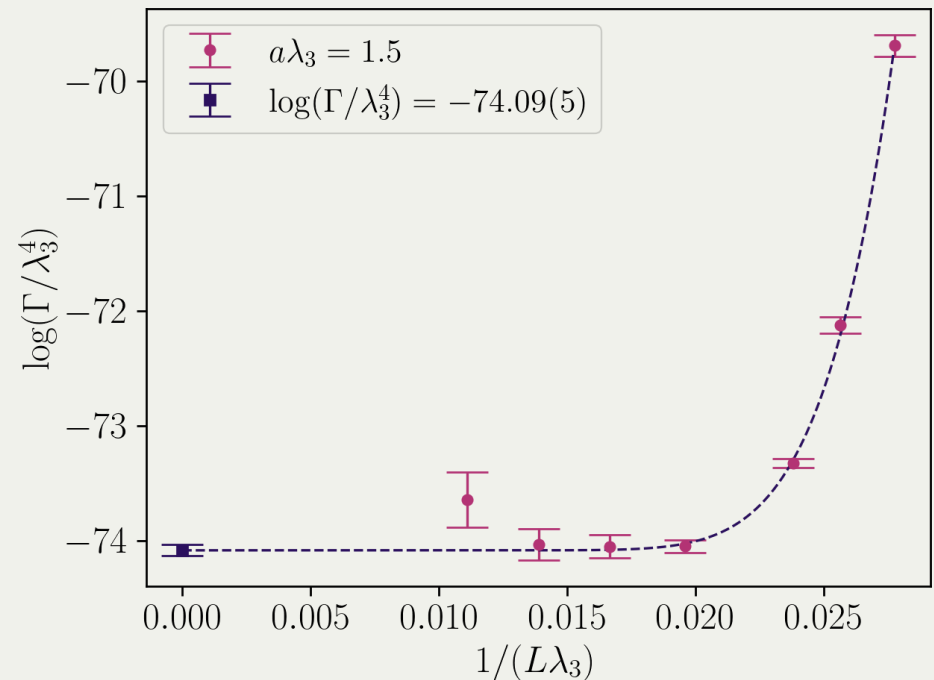
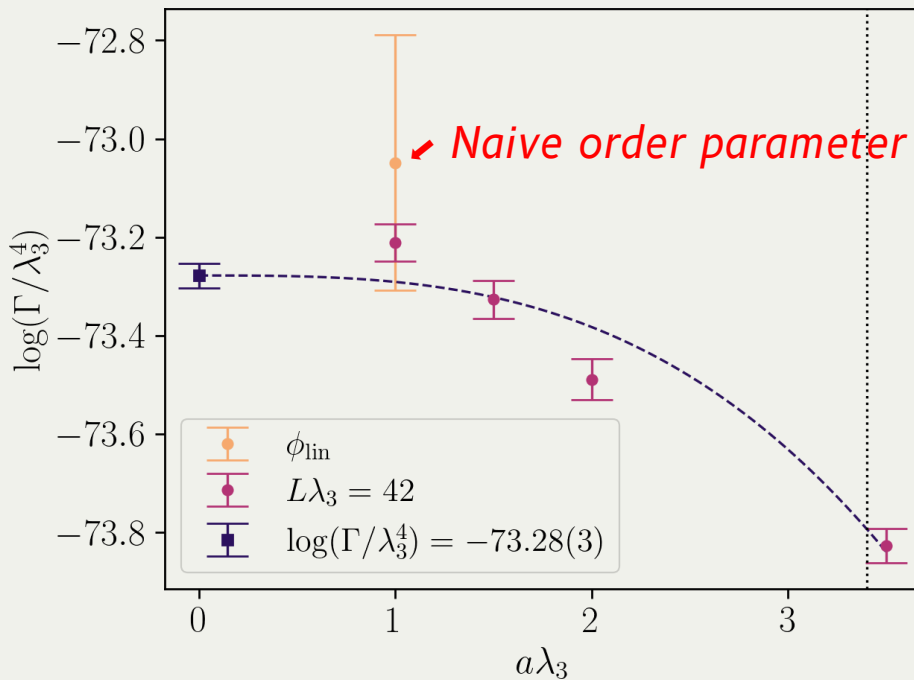
[Sketch: Anna Kormu]



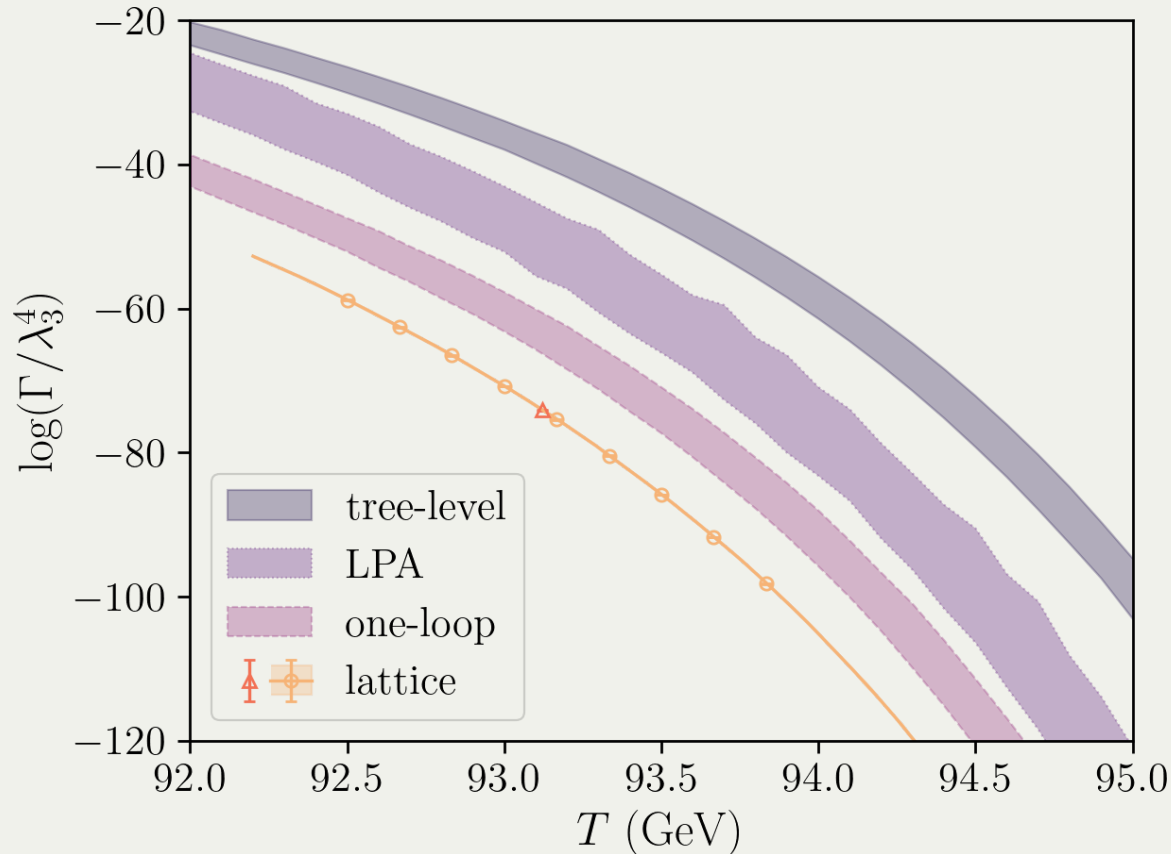
- We found θ' helped a lot [see [arXiv:2205.07238](https://arxiv.org/abs/2205.07238)]

Main results: nucleation rate

- $a \rightarrow 0$ with $L\lambda_3 = 42$
- Up to 60^3 at $a\lambda_3 = 1.5$



Reweighting; comparison with PT



20% discrepancy in $\log \Gamma \Rightarrow 10^{\text{several}}$ discrepancy in Γ

Check out Riikka Seppä's poster!



RESOLVING THE CRITICAL BUBBLE IN SU(8)

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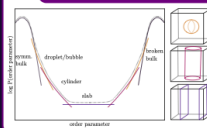
What and why?

- The pure gauge SU(8) model exhibits a **first order phase transition**, where a low-temperature confining, symmetric phase transitions into a high temperature broken, deconfining phase (or vice versa).
- The system can remain in the old, metastable phase at temperatures above of the critical temperature. The new, stable phase will spread through **nucleation of bubbles**.
- We investigate the transition in the SU(8) pure gauge theory, using **multicanonical lattice simulations**. Our aim is to obtain the probability of the most suppressed configuration, the critical bubble. This gives us the **free energy F of the critical bubble**, [1]

$$\log \left(\frac{P_{\text{crit}}}{P_{\text{min}}} \right) = -F/T, \quad (1)$$
- Nucleation rate can be estimated as [2]

$$\Gamma \propto d \times e^{-F/T}, \quad (2)$$
 where d contains the dynamical information and has suitable dimensions. The exponential factor gives a rough upper limit.
- Some suggested hidden sector gauge models could have an observable gravitational wave signal from a (de)confinement transition, see for example [3,4]. To estimate the GW spectrum, the nucleation rate is needed.

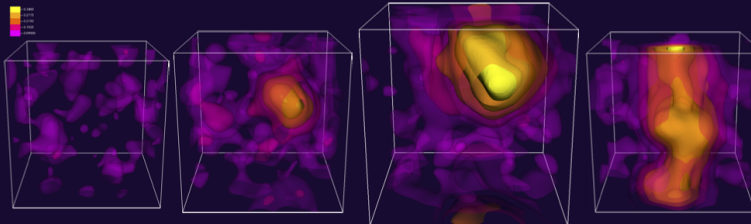
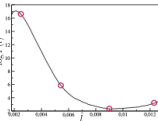
Bubble in a box



- In the thin wall approximation, a mixed-phase configuration settles into a shape that minimizes the surface area [1,2].
- On a three-dimensional lattice this can mean a **bubble**, a **cylinder** or a **slab**.

Preliminary results

- For the critical bubble to be small enough to feasibly be simulated, the system needs to be **superheated**.
- We are able to tunnel from symmetric phase to crit. bubble and back, but more runs need to be performed to obtain numerical results.
- A histogram of the order parameter I for a $60^3 \times 6$ lattice, with $\Delta\beta = 0.15$, $\beta = 44.712$, and 48 sweeping steps with coefficient 0.5.



Conclusions and future

- With the modified order parameter, we can resolve the critical bubble configuration, and thus are able to obtain the free energy of the bubble.
- More data needs to be collected still, before making infinite volume extrapolations.
- In the future, we hope to resolve the bubble in SU(3) and SU(4) as well.

Lattice setup

- We study the transition on a periodic $N_t^3 N_s$ lattice with a lattice spacing a . The action is the standard plaquette action

$$S = \beta \sum_{\text{plaq}} \left[1 - \frac{1}{8} \text{Re Tr } U_{\text{plaq}} \right], \quad (3)$$

where the time direction relates to the temperature as $T = \frac{1}{N_s a(\beta)}$. If N_s is held constant, the temperature is a function of β only.

- Polyakov loop at spatial lattice site \vec{x} is

$$L(\vec{x}) = \text{Tr} \prod_{t=0}^{T-1} U(\vec{x}, t). \quad (4)$$

- The conventional order parameter when considering confinement in pure gauge theory is the volume average of the Polyakov loop,

$$\langle l_p \rangle = \frac{1}{N_{\text{plaq}}} \sum_{\vec{x}} |L(\vec{x})|. \quad (5)$$

- As the potential is multimodal, and we are interested in the most suppressed configurations, we employ **multicanonical algorithm**.

- The configurations are then drawn according to

$$P_{\text{MC}} \propto \exp[-H/T + W(l_p)], \quad (6)$$

where $W(l_p)$ is a pre-computed weight function.

Improved order parameter

- To resolve the critical bubble, the lattice needs to be large enough for the critical bubble to fit.

- However, the width of the bulk phase gaussian peak increases as $1/\sqrt{V}$, while the critical bubble location scales as $1/V$.

- Thus as we increase the lattice size, the **bulk phase fluctuations 'swallow' the bubble**.

- To combat this, we employ a modified order parameter I , which makes the symmetric bulk phase peak thinner, but still allows us to clearly differentiate the bubble, cylinder and slab regimes, [5]

$$\langle I \rangle = \frac{1}{N_{\text{plaq}}} \sum_{\vec{x}} \left[|L(\vec{x})|^2 - 2A|L(\vec{x})| \right]. \quad (7)$$

- Smearing, or averaging over nearest neighbours is necessary to perform with this order parameter.

References

- [1] G. D. Moore and K. Rummukainen, Phys. Rev. D **63**, 045002 (2001)
- [2] G. D. Moore, K. Rummukainen and A. Tranberg, JHEP **04**, 017 (2003)
- [3] W. C. Huang, M. Borkert, F. Sannino and Z. W. Wang, Phys. Rev. D **104**, no.3, 035005 (2021)
- [4] R. C. Hower et al. [Lattice Strong Dynamics], Phys. Rev. D **103**, no.1, 014305 (2021)
- [5] O. Gould, A. Korras and D. J. Wit, arXiv:2004.01978 [hep-th]

Key points

- Testing nucleation theory is important for particle physics, cosmology [and lab experiments].
- Our potential [with tree level barrier] shows a significant discrepancy with analytical nucleation theory.
- Need a good [quasi]order parameter to suppress bulk fluctuations in multicanonical simulations.