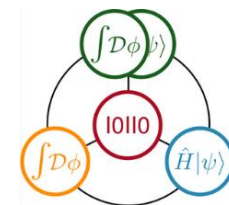


# Hamiltonian Lattice Formulation of Compact Maxwell-Chern-Simons Theory

Lena Funcke



CRC 1639  
NuMerIQS

Lattice 2024, Liverpool, 30 July 2024

# Why Maxwell-Chern-Simons (MCS) theory?

# Why Maxwell-Chern-Simons (MCS) theory?

3+1D: Topological  $\theta$ -Term

2+1D: Topological Chern-Simons Term

# Why Maxwell-Chern-Simons (MCS) theory?

## 3+1D: Topological $\theta$ -Term

### Relevance

Strong CP problem, Grand Unified Theories, ...

## 2+1D: Topological Chern-Simons Term

### Relevance

Fractional quantum Hall effect, fermion/boson dualities, ...

# Why Maxwell-Chern-Simons (MCS) theory?

## 3+1D: Topological $\theta$ -Term

### Relevance

Strong CP problem, Grand Unified Theories, ...

### Parameter

Continuous angle  $\theta \in [0, 2\pi)$

## 2+1D: Topological Chern-Simons Term

### Relevance

Fractional quantum Hall effect, fermion/boson dualities, ...

### Parameter <sup>1</sup>

Quantized Chern-Simons coupling  $k \in \mathbb{Z}$ , called “level”

<sup>1</sup> Pisarski (1986)

# Why Maxwell-Chern-Simons (MCS) theory?

## 3+1D: Topological $\theta$ -Term

### Relevance

Strong CP problem, Grand Unified Theories, ...

### Parameter

Continuous angle  $\theta \in [0, 2\pi)$

### Degeneracy

Ground state has no  $\theta$ -dependent degeneracy

## 2+1D: Topological Chern-Simons Term

### Relevance

Fractional quantum Hall effect, fermion/boson dualities, ...

### Parameter <sup>1</sup>

Quantized Chern-Simons coupling  $k \in \mathbb{Z}$ , called “level”

### Degeneracy <sup>2</sup>

Ground state has  $k$ -fold degeneracy on a torus

<sup>1</sup> Pisarski (1986)

<sup>2</sup> Eliezer, Semenov (1992)

# Why Maxwell-Chern-Simons (MCS) theory?

## 3+1D: Topological $\theta$ -Term

### Relevance

Strong CP problem, Grand Unified Theories, ...

### Parameter

Continuous angle  $\theta \in [0, 2\pi)$

### Degeneracy

Ground state has no  $\theta$ -dependent degeneracy

### Mass generation

No mass from  $\theta$ -term, only from QCD and Higgs

## 2+1D: Topological Chern-Simons Term

### Relevance

Fractional quantum Hall effect, fermion/boson dualities, ...

### Parameter <sup>1</sup>

Quantized Chern-Simons coupling  $k \in \mathbb{Z}$ , called “level”

### Degeneracy <sup>2</sup>

Ground state has  $k$ -fold degeneracy on a torus

### Mass generation <sup>3</sup>

Photon mass from Chern-Simons term:  $m_\gamma = ke^2/2\pi$

<sup>1</sup> Pisarski (1986)

<sup>2</sup> Eliezer, Semenoff (1992)

<sup>3</sup> Deser, Jackiw, Templeton (1982)

# Why Maxwell-Chern-Simons (MCS) theory?

## 3+1D: Topological $\theta$ -Term

### Relevance

Strong CP problem, Grand Unified Theories, ...

### Parameter

Continuous angle  $\theta \in [0, 2\pi)$

### Degeneracy

Ground state has no  $\theta$ -dependent degeneracy

### Mass generation

No mass from  $\theta$ -term, only from QCD and Higgs

## 2+1D: Topological Chern-Simons Term

### Relevance

Fractional quantum Hall effect, fermion/boson dualities, ...

### Parameter <sup>1</sup>

Quantized Chern-Simons coupling  $k \in \mathbb{Z}$ , called “level”

### Degeneracy <sup>2</sup>

Ground state has  $k$ -fold degeneracy on a torus

### Mass generation <sup>3</sup>

Photon mass from Chern-Simons term:  $m_\gamma = ke^2/2\pi$   
→ “Maxwell-Chern-Simons (MCS) theory”

<sup>1</sup> Pisarski (1986)

<sup>2</sup> Eliezer, Semenoff (1992)

<sup>3</sup> Deser, Jackiw, Templeton (1982)



# How to formulate MCS theory on the lattice?

# How to formulate MCS theory on the lattice?

**Problem**

**State-of-the-Art**

# How to formulate MCS theory on the lattice?

Problem

State-of-the-Art

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

# How to formulate MCS theory on the lattice?

## Problem

## State-of-the-Art

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

**Naïve lattice discretization:**

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

# How to formulate MCS theory on the lattice?

## Problem

## State-of-the-Art

### Continuum: 2+1D Chern-Simons term

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

### Naïve lattice discretization:

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

**Problem: compact gauge fields → monopoles**  
→ **Chern-Simons term violates gauge invariance!**<sup>1</sup>

<sup>1</sup> Pisarski (1986), Affleck et al. (1989)

# How to formulate MCS theory on the lattice?

## Problem

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

**Naïve lattice discretization:**

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

**Problem: compact gauge fields → monopoles  
→ Chern-Simons term violates gauge invariance! <sup>1</sup>**

<sup>1</sup> Pisarski (1986), Affleck et al. (1989)

## State-of-the-Art

**Lattice formulation of *non-compact* MCS Hamiltonian <sup>2</sup>**  
However, non-compact gauge field → no simulations

<sup>2</sup> Lüscher (1989), ...

# How to formulate MCS theory on the lattice?

## Problem

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

**Naïve lattice discretization:**

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

**Problem: compact gauge fields → monopoles  
→ Chern-Simons term violates gauge invariance!** <sup>1</sup>

<sup>1</sup> Pisarski (1986), Affleck et al. (1989)

## State-of-the-Art

**Lattice formulation of *non-compact* MCS Hamiltonian** <sup>2</sup>  
However, non-compact gauge field → no simulations

**Lattice formulation of *compact* CS Hamiltonian** <sup>3</sup>  
Villain approach, monopoles eliminated → gauge invariant

<sup>2</sup> Lüscher (1989), ...

<sup>3</sup> Jacobson, Sulejmanpasic (2024)

# How to formulate MCS theory on the lattice?

## Problem

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

**Naïve lattice discretization:**

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

**Problem: compact gauge fields → monopoles  
→ Chern-Simons term violates gauge invariance!**<sup>1</sup>

<sup>1</sup> Pisarski (1986), Affleck et al. (1989)

## State-of-the-Art

**Lattice formulation of non-compact MCS Hamiltonian**<sup>2</sup>  
However, non-compact gauge field → no simulations

**Lattice formulation of compact CS Hamiltonian**<sup>3</sup>  
Villain approach, monopoles eliminated → gauge invariant  
However, non-commuting geometry → no simulations

<sup>2</sup> Lüscher (1989), ...

<sup>3</sup> Jacobson, Sulejmanpasic (2024)



# How to formulate MCS theory on the lattice?

## Problem

**Continuum: 2+1D Chern-Simons term**

$$S_{CS}(A) = -\frac{ik}{4\pi} \int d^3x \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho$$

**Naïve lattice discretization:**

$$S_{CS}(A) = -\frac{ik}{4\pi} a^2 d\tau \sum_{x \in \text{sites}} \epsilon^{\mu\nu\rho} A_{x;\mu} \Delta_\nu A_{x+\hat{\mu};\rho}$$

**Problem: compact gauge fields → monopoles  
→ Chern-Simons term violates gauge invariance!**<sup>1</sup>

<sup>1</sup> Pisarski (1986), Affleck et al. (1989)

## State-of-the-Art

**Lattice formulation of non-compact MCS Hamiltonian**<sup>2</sup>  
However, non-compact gauge field → no simulations

**Lattice formulation of compact CS Hamiltonian**<sup>3</sup>  
Villain approach, monopoles eliminated → gauge invariant  
However, non-commuting geometry → no simulations

**Our goal: Derive compact MCS lattice Hamiltonian  
Paves the way for simulations on (quantum) computers**

<sup>2</sup> Lüscher (1989), ...

<sup>3</sup> Jacobson, Sulejmanpasic (2024)

# Result: Compact MCS Lattice Hamiltonian

# Result: Compact MCS Lattice Hamiltonian

2+1D QED Lattice Hamiltonian + Extension

Compact Gauge Fields

# Result: Compact MCS Lattice Hamiltonian

2+1D QED Lattice Hamiltonian + Extension

Compact Gauge Fields

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \begin{array}{c} \xrightarrow{\hat{p}_1} \\ \left[ \text{---} \right] \\ \left[ \hat{A}_2 \right] \end{array} \right)^2 + \left( \begin{array}{c} \left[ \hat{p}_2 \right] \\ \left[ \text{---} \right] \\ \xrightarrow{\hat{A}_1} \end{array} \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{c} \xrightarrow{-\hat{A}_1} \\ \left[ \text{---} \right] \\ \xrightarrow{\hat{A}_2} \\ \xrightarrow{\hat{A}_1} \\ \xrightarrow{-\hat{A}_2} \end{array} \right)^2$$

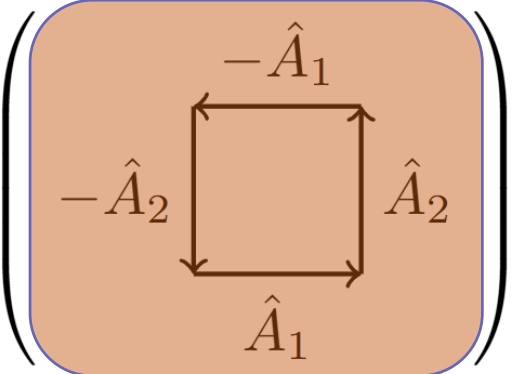
# Result: Compact MCS Lattice Hamiltonian

2+1D QED Lattice Hamiltonian + Extension

Compact Gauge Fields

Magnetic field term: similar to QED (without monopoles)

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \vec{\hat{p}}_1 - \left( \frac{ka^2}{4\pi} \right) \vec{\hat{A}}_2 \right)^2 + \left( \vec{\hat{p}}_2 + \left( \frac{ka^2}{4\pi} \right) \vec{\hat{A}}_1 \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \text{Diagram} \right)^2$$


# Result: Compact MCS Lattice Hamiltonian

## 2+1D QED Lattice Hamiltonian + Extension

## Compact Gauge Fields

**Magnetic field term:** similar to QED (without monopoles)

**Electric field term:** modified by Chern-Simons term

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \begin{array}{|c|c|} \hline \hat{p}_1 & - \left( \frac{ka^2}{4\pi} \right) \hat{A}_2 \\ \hline \end{array} \right)^2 + \left( \begin{array}{|c|c|} \hline \hat{p}_2 & + \left( \frac{ka^2}{4\pi} \right) \hat{A}_1 \\ \hline \end{array} \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{|c|c|} \hline -\hat{A}_1 & \hat{A}_2 \\ \hline \hat{A}_2 & \hat{A}_1 \\ \hline \end{array} \right)^2$$

**Commutation relations:**  $[\hat{A}_x, \hat{p}_y] = i\delta_{x,y}$

# Result: Compact MCS Lattice Hamiltonian

## 2+1D QED Lattice Hamiltonian + Extension

## Compact Gauge Fields

**Magnetic field term:** similar to QED (without monopoles) **Gauge configurations:** can take values in  $(-\infty, +\infty)$   
**Electric field term:** modified by Chern-Simons term

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \begin{array}{|c|c|} \hline \hat{p}_1 & - \left( \frac{ka^2}{4\pi} \right) \hat{A}_2 \\ \hline \end{array} \right)^2 + \left( \begin{array}{|c|c|} \hline \hat{p}_2 & + \left( \frac{ka^2}{4\pi} \right) \hat{A}_1 \\ \hline \end{array} \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{|c|c|} \hline -\hat{A}_1 & \hat{A}_2 \\ \hline \hat{A}_2 & \hat{A}_1 \\ \hline \end{array} \right)^2$$

**Commutation relations:**  $[\hat{A}_x, \hat{p}_y] = i\delta_{x,y}$

# Result: Compact MCS Lattice Hamiltonian

## 2+1D QED Lattice Hamiltonian + Extension

## Compact Gauge Fields

**Magnetic field term:** similar to QED (without monopoles)  
**Electric field term:** modified by Chern-Simons term

**Gauge configurations:** can take values in  $(-\infty, +\infty)$   
**Compactness:** ensured by constraints on Hilbert space

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \begin{array}{|c|c|} \hline \hat{p}_1 & - \left( \frac{ka^2}{4\pi} \right) \hat{A}_2 \\ \hline \end{array} \right)^2 + \left( \begin{array}{|c|c|} \hline \hat{p}_2 & + \left( \frac{ka^2}{4\pi} \right) \hat{A}_1 \\ \hline \end{array} \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{|c|c|} \hline -\hat{A}_1 & \hat{A}_2 \\ \hline \hat{A}_2 & \hat{A}_1 \\ \hline \end{array} \right)^2$$

**Commutation relations:**  $[\hat{A}_x, \hat{p}_y] = i\delta_{x,y}$



# Result: Compact MCS Lattice Hamiltonian

## 2+1D QED Lattice Hamiltonian + Extension

## Compact Gauge Fields

**Magnetic field term:** similar to QED (without monopoles)  
**Electric field term:** modified by Chern-Simons term

**Gauge configurations:** can take values in  $(-\infty, +\infty)$   
**Compactness:** ensured by constraints on Hilbert space

$$\hat{H} = \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \begin{array}{|c|c|} \hline \hat{p}_1 & - \left( \frac{ka^2}{4\pi} \right) \hat{A}_2 \\ \hline \end{array} \right)^2 + \left( \begin{array}{|c|c|} \hline \hat{p}_2 & + \left( \frac{ka^2}{4\pi} \right) \hat{A}_1 \\ \hline \end{array} \right)^2 \right]$$

$$+ \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{|c|c|} \hline -\hat{A}_1 & \hat{A}_2 \\ \hline -\hat{A}_2 & \hat{A}_1 \\ \hline \end{array} \right)^2$$

**Commutation relations:**  $[\hat{A}_x, \hat{p}_y] = i\delta_{x,y}$

**Quadratic Hamiltonian:** can be solved analytically!

# Exact Solution of Lattice Hamiltonian

# Exact Solution of Lattice Hamiltonian

Pure Maxwell Theory

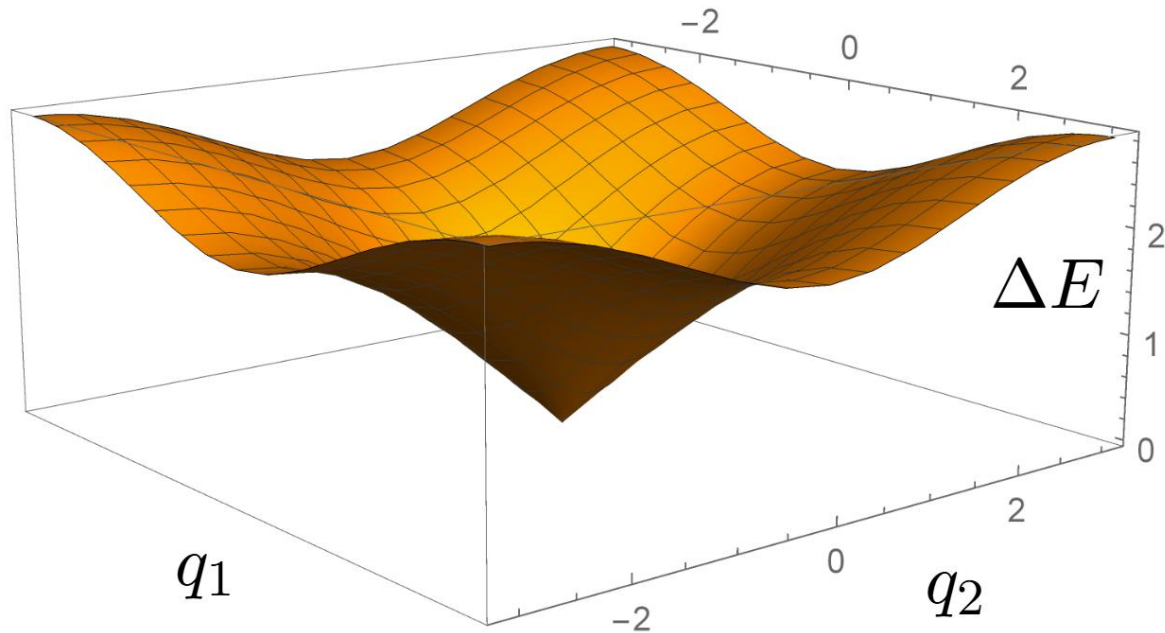
Maxwell-Chern-Simons Theory

# Exact Solution of Lattice Hamiltonian

Pure Maxwell Theory

Maxwell-Chern-Simons Theory

**No gap:** Linear dispersion relation of gapless photon

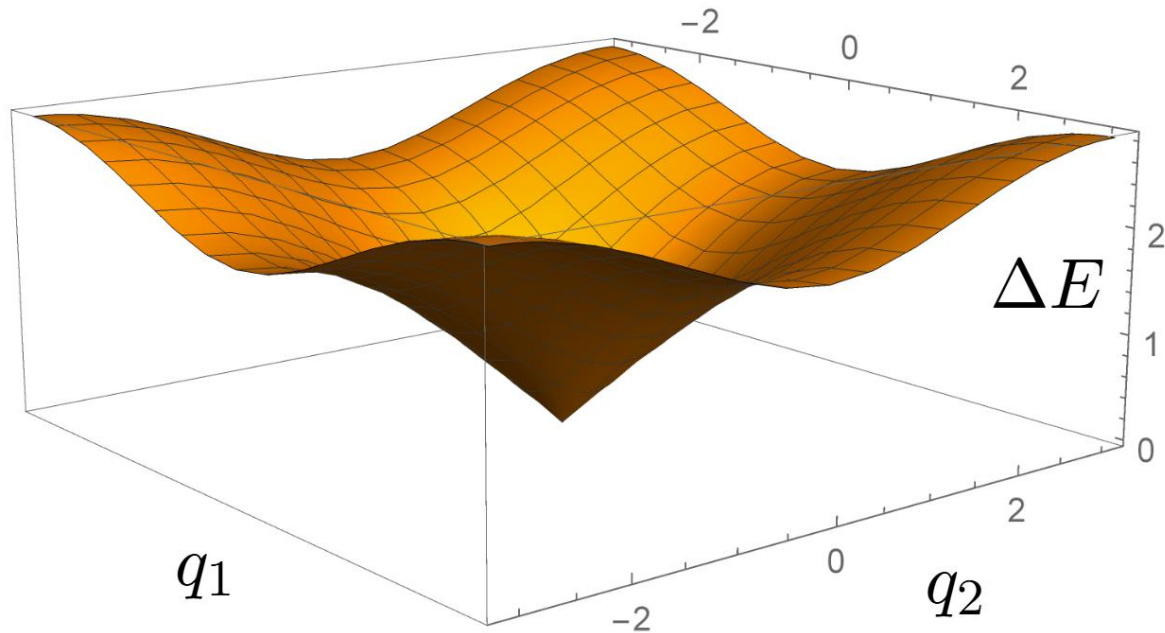


# Exact Solution of Lattice Hamiltonian

Pure Maxwell Theory

Maxwell-Chern-Simons Theory

**No gap:** Linear dispersion relation of gapless photon



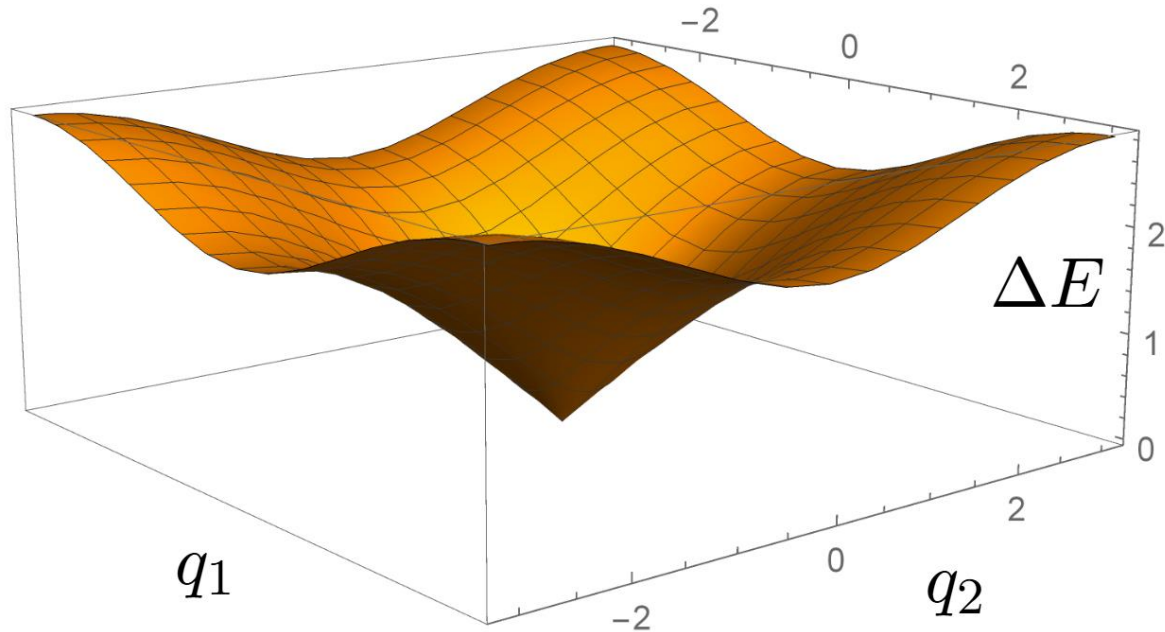
**Plot of dispersion relation:**

$$\Delta E = \omega = \sqrt{\frac{1}{a^2} [2(1 - \cos q_1) + 2(1 - \cos q_2)] + \left(\frac{ke^2}{4\pi}\right)^2 [2 + 2 \cos(q_1 + q_2)]}$$

# Exact Solution of Lattice Hamiltonian

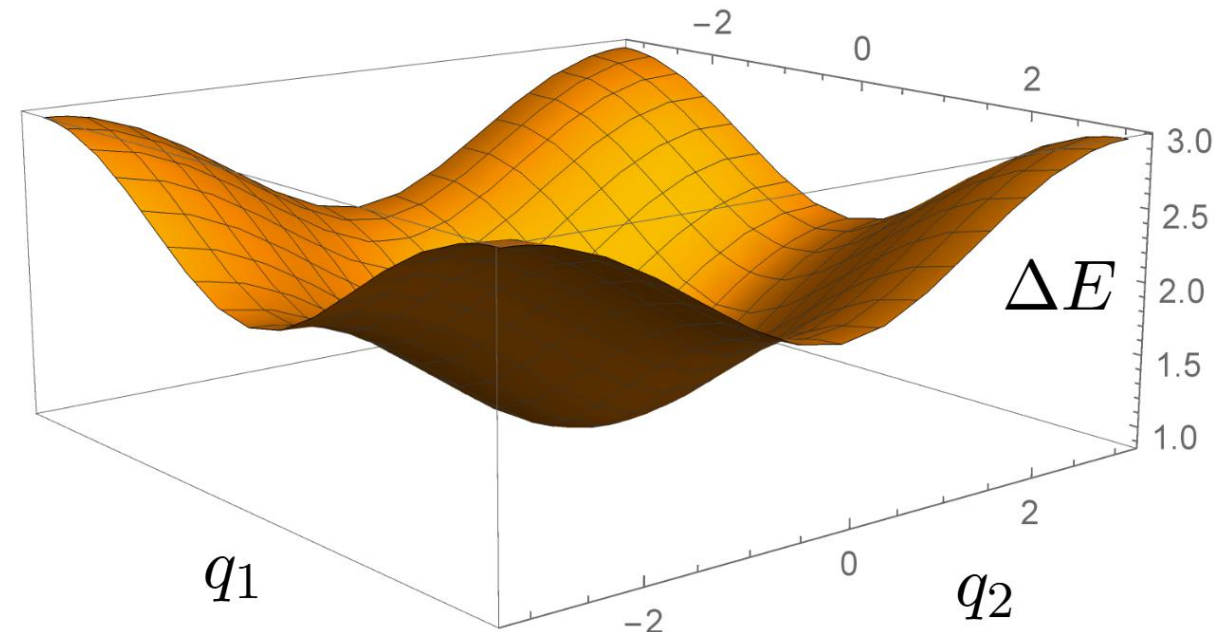
## Pure Maxwell Theory

**No gap:** Linear dispersion relation of gapless photon



## Maxwell-Chern-Simons Theory

**Gap:** Chern-Simons term gives mass to photon



**Plot of dispersion relation:**

$$\Delta E = \omega = \sqrt{\frac{1}{a^2} [2(1 - \cos q_1) + 2(1 - \cos q_2)] + \left(\frac{ke^2}{4\pi}\right)^2 [2 + 2 \cos(q_1 + q_2)]}$$

# Can we correctly reproduce all topological properties?

# Can we correctly reproduce all topological properties?

Photon Mass & Quantized Coupling

Ground-State Degeneracy



# Can we correctly reproduce all topological properties?

## Photon Mass & Quantized Coupling

## Ground-State Degeneracy

### Continuum limit of dispersion relation

Correctly reproduces photon mass in continuum: <sup>1</sup>

$$\omega^2 \rightarrow |\tilde{q}|^2 + \left( \frac{ke^2}{2\pi} \right)^2$$

<sup>1</sup> Deser, Jackiw, Templeton (1982)

# Can we correctly reproduce all topological properties?

## Photon Mass & Quantized Coupling

## Ground-State Degeneracy

### Continuum limit of dispersion relation

Correctly reproduces photon mass in continuum: <sup>1</sup>

$$\omega^2 \rightarrow |\tilde{q}|^2 + \left( \frac{ke^2}{2\pi} \right)^2$$

### Quantization of Chern-Simons level

Constraint from large gauge transformations:

$$e^{2\pi i \hat{L}_1} e^{2\pi i \hat{L}_2} = e^{2\pi i k} e^{2\pi i \hat{L}_2} e^{2\pi i \hat{L}_1}$$

Correctly reproduces quantization property: <sup>2</sup>

$$e^{2\pi i k} = 1 \quad \implies \quad k \in \mathbb{Z}$$

<sup>1</sup> Deser, Jackiw, Templeton (1982)

<sup>2</sup> Pisarski (1986)

# Can we correctly reproduce all topological properties?

## Photon Mass & Quantized Coupling

### Continuum limit of dispersion relation

Correctly reproduces photon mass in continuum: <sup>1</sup>

$$\omega^2 \rightarrow |\tilde{q}|^2 + \left( \frac{ke^2}{2\pi} \right)^2$$

### Quantization of Chern-Simons level

Constraint from large gauge transformations:

$$e^{2\pi i \hat{L}_1} e^{2\pi i \hat{L}_2} = e^{2\pi i k} e^{2\pi i \hat{L}_2} e^{2\pi i \hat{L}_1}$$

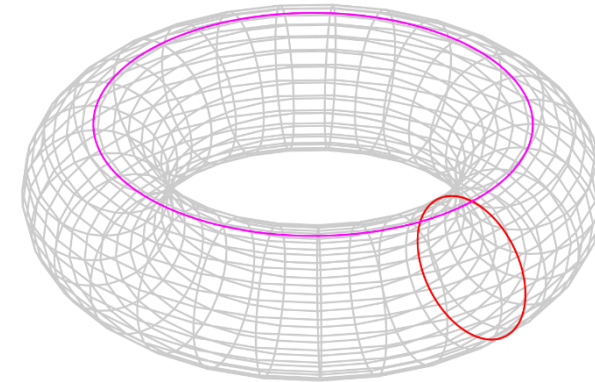
Correctly reproduces quantization property: <sup>2</sup>

$$e^{2\pi i k} = 1 \quad \implies \quad k \in \mathbb{Z}$$

## Ground-State Degeneracy

### Degeneracy for torus

Torus: periodic boundary conditions  $\rightarrow$  non-trivial topology



<sup>1</sup> Deser, Jackiw, Templeton (1982)

<sup>2</sup> Pisarski (1986)

Image credit: <https://commons.wikimedia.org/w/index.php?curid=32176358>

# Can we correctly reproduce all topological properties?

## Photon Mass & Quantized Coupling

### Continuum limit of dispersion relation

Correctly reproduces photon mass in continuum: <sup>1</sup>

$$\omega^2 \rightarrow |\tilde{q}|^2 + \left(\frac{ke^2}{2\pi}\right)^2$$

### Quantization of Chern-Simons level

Constraint from large gauge transformations:

$$e^{2\pi i \hat{L}_1} e^{2\pi i \hat{L}_2} = e^{2\pi i k} e^{2\pi i \hat{L}_2} e^{2\pi i \hat{L}_1}$$

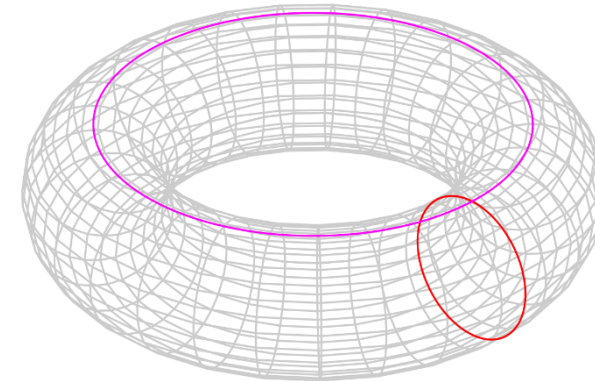
Correctly reproduces quantization property: <sup>2</sup>

$$e^{2\pi i k} = 1 \quad \implies \quad k \in \mathbb{Z}$$

## Ground-State Degeneracy

### Degeneracy for torus

Torus: periodic boundary conditions  $\rightarrow$  non-trivial topology



Correctly reproduce  $k$ -fold degeneracy of ground state <sup>3</sup>

<sup>1</sup> Deser, Jackiw, Templeton (1982)

<sup>2</sup> Pisarski (1986)

<sup>3</sup> Eliezer, Semenoff (1992)

Image credit: <https://commons.wikimedia.org/w/index.php?curid=32176358>

# Can we correctly reproduce all topological properties?

## Photon Mass & Quantized Coupling

### Continuum limit of dispersion relation

Correctly reproduces **photon mass in continuum**: <sup>1</sup>

$$\omega^2 \rightarrow |\tilde{q}|^2 + \left( \frac{ke^2}{2\pi} \right)^2$$

### Quantization of Chern-Simons level

Constraint from large gauge transformations:

$$e^{2\pi i \hat{L}_1} e^{2\pi i \hat{L}_2} = e^{2\pi i k} e^{2\pi i \hat{L}_2} e^{2\pi i \hat{L}_1}$$

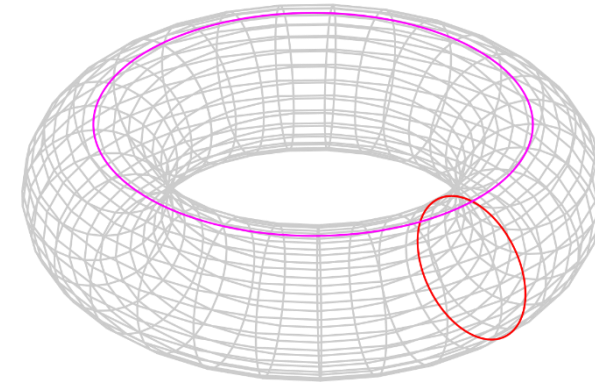
Correctly reproduces quantization property: <sup>2</sup>

$$e^{2\pi i k} = 1 \quad \implies \quad k \in \mathbb{Z}$$

## Ground-State Degeneracy

### Degeneracy for torus

Torus: periodic boundary conditions  $\rightarrow$  non-trivial topology



Correctly reproduce  $k$ -fold degeneracy of ground state <sup>3</sup>  
 $\rightarrow$  **good cross-check / benchmark for numerical methods!**

<sup>1</sup> Deser, Jackiw, Templeton (1982)

<sup>2</sup> Pisarski (1986)

<sup>3</sup> Eliezer, Semenoff (1992)

Image credit: <https://commons.wikimedia.org/w/index.php?curid=32176358>

# Summary & Outlook

# Summary & Outlook

## Summary

### **Topological Chern-Simons term**

Relevant for fractional quantum Hall effect, topological mass generation, fermion/boson dualities, ...

## Outlook

# Summary & Outlook

## Summary

### **Topological Chern-Simons term**

Relevant for fractional quantum Hall effect, topological mass generation, fermion/boson dualities, ...

### **Our derivation**

Compact Maxwell-Chern-Simons lattice Hamiltonian

## Outlook



# Summary & Outlook

## Summary

## Outlook

### **Topological Chern-Simons term**

Relevant for fractional quantum Hall effect, topological mass generation, fermion/boson dualities, ...

### **Our derivation**

Compact Maxwell-Chern-Simons lattice Hamiltonian

### **Topological features**

Correct continuum limit of photon mass, quantization of Chern-Simons level, degeneracy of ground state, ...

# Summary & Outlook

## Summary

### Topological Chern-Simons term

Relevant for fractional quantum Hall effect, topological mass generation, fermion/boson dualities, ...

### Our derivation

Compact Maxwell-Chern-Simons lattice Hamiltonian

### Topological features

Correct continuum limit of photon mass, quantization of Chern-Simons level, degeneracy of ground state, ...

## Outlook

### Simulation on quantum and classical computers

Rich phase structure upon adding fermions

→ no exact solution → Hamiltonian-based simulations

# Summary & Outlook

## Summary

### Topological Chern-Simons term

Relevant for fractional quantum Hall effect, topological mass generation, fermion/boson dualities, ...

### Our derivation

Compact Maxwell-Chern-Simons lattice Hamiltonian

### Topological features

Correct continuum limit of photon mass, quantization of Chern-Simons level, degeneracy of ground state, ...

## Outlook

### Simulation on quantum and classical computers

Rich phase structure upon adding fermions

→ no exact solution → Hamiltonian-based simulations

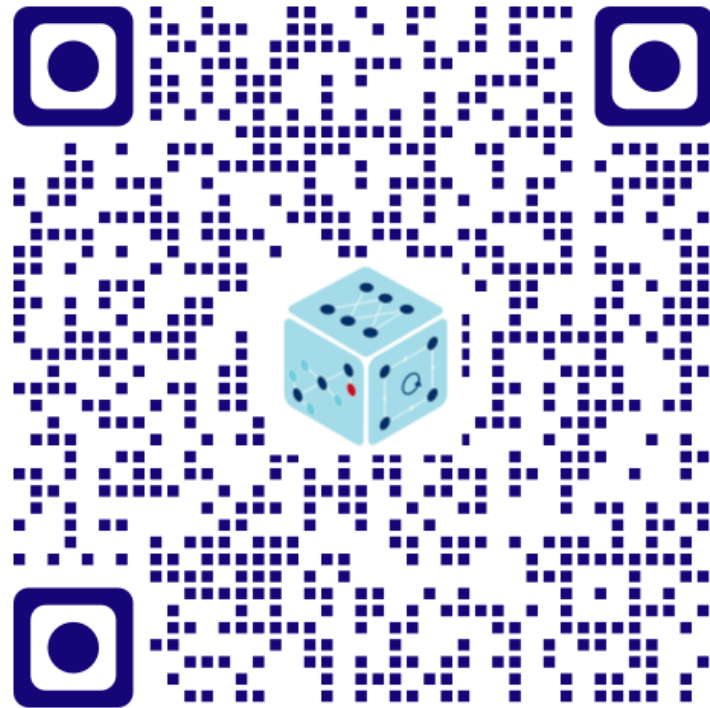
For more details, see arXiv:



Peng, Diamantini, LF, Hassan, Jansen, Kühn, Luo, Naredi, "Hamiltonian Lattice Formulation of Compact Maxwell-Chern-Simons Theory", arXiv:2407.20225

# Workshop Advertisement

**New algorithms:** machine learning  
**Applications outside of particle physics:** lattice  $\leftrightarrow$  chemistry



UNIVERSITÄT BONN bctp Bethe Center for Theoretical Physics

## Bethe Forum

### Machine-Learning-Based Sampling in Lattice Field Theory and Quantum Chemistry

October, 21 - 25, 2024  
Bonn, Germany

**Keynote Talks**  
Pan Kessel  
Frank Noé\*  
Phiala Shanahan

**Research Talks**  
Michael Albergro  
Christopher J. Anders  
Simone Bacchio  
Tristan Berreau  
Piotr Biasas  
Pim de Haan\*  
Daniel Hackett  
Gurtej Kanwar  
Leon Klein  
Jonas Köhler  
Bálint Máté  
Laurence Midgeley  
Alessandro Nada  
Lorenz Richter  
Lei Wang  
\*to be confirmed

**Organizers:**  
Kim A. Nicoli  
Lena Funcke  
Tom Froemberg  
Shinichi Nakajima

Bethe Center for Theoretical Physics  
Physikalisches Institut  
Universität Bonn  
Weglarzstr. 10  
53115 Bonn  
Phone: (+49) 228 / 73 8770  
e-mail: theory@physik.uni-bonn.de  
http://bctp.uni-bonn.de

TRP MATTER

Additional information and application form:  
<https://indico.hiskp.uni-bonn.de/event/443/>

# Thanks to my collaborators and my group



Changnan Peng  
(MIT)



Di Luo  
(MIT)



Maxim Metlitski  
(MIT)



Cristina Diamantini  
(U. Perugia)



Karl Jansen  
(DESY)



Stefan Kühn  
(DESY)



Pranay Naredi  
(DESY &  
Cyprus Inst.)



Syed Muhammad  
Ali Hassan  
(Cyprus Inst.)

**Thanks to you for listening! Questions?**

# Backup: Hamiltonian Simulations of Topological Terms

## State-of-the-Art

### Markov Chain Monte Carlo

Sign problem: No simulation of topological terms

### Tensor networks <sup>1</sup>

Simulation of  $\theta$ -term for 1+1D compact QED, CP(1), ...

### Quantum computing <sup>2</sup>

Simulation of  $\theta$ -term for 1+1D compact QED

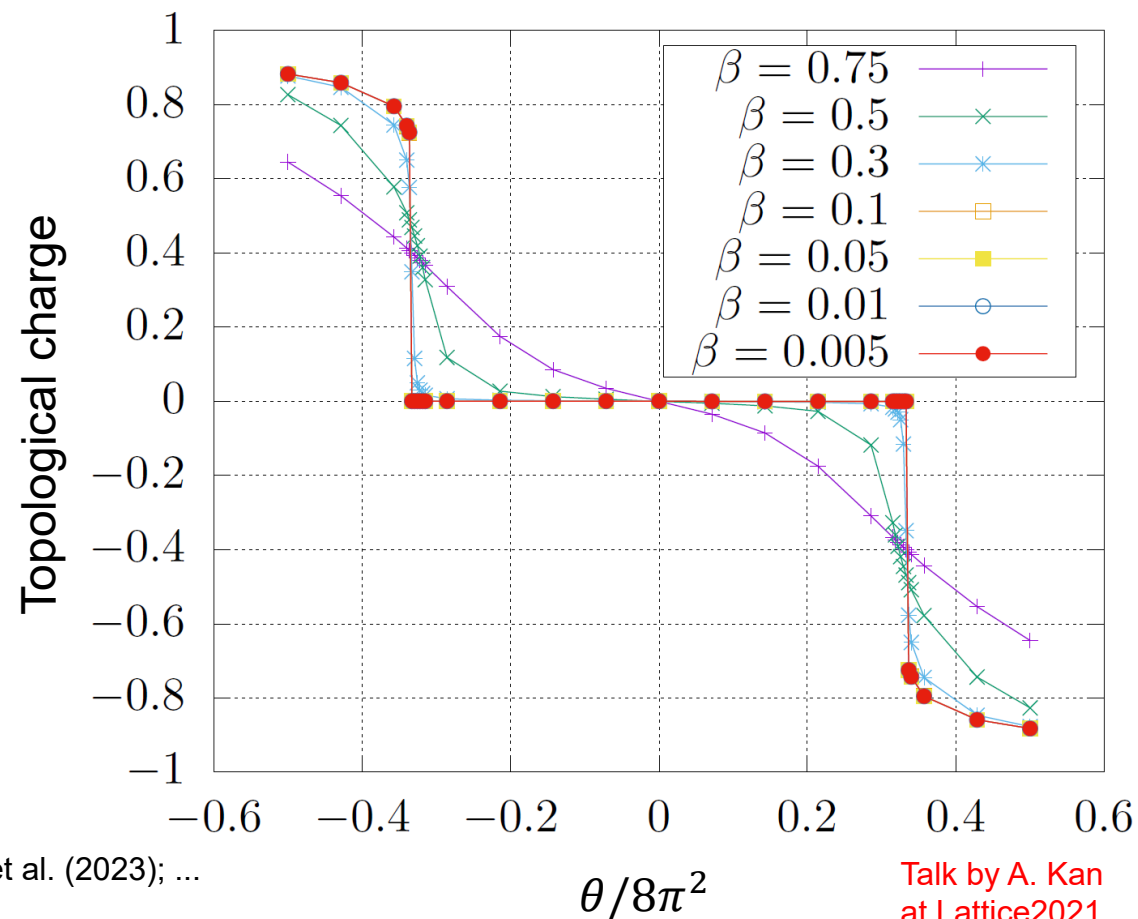
### Exact diagonalization <sup>3</sup>

Simulation of  $\theta$ -term for 3+1D compact U(1) →

### Why no simulations of topological terms in 2+1D?

So far, no suitable lattice formulation available!

## Numerical Results for 3+1D $\theta$ -Term



Talk by A. Kan at Lattice2021

<sup>1</sup> Byrnes et al. (2002), Buyens et al. (2017), LF, et al. (2020); Nakayama, LF, et al. (2022); LF, et al. (2023); ...

<sup>2</sup> Thomson, Siopsis (2022); Angelides et al. (2023); <sup>3</sup> Kan, LF, et al. (2021); ...

# Backup: Monopole Problem of Compact MCS Theory

## 1+1D Lattice Theories

### Compact Maxwell Theory

Existence of quantized magnetic fluxes:

$$\int_{\Sigma} dA \in 2\pi\mathbb{Z}$$

If closed surface is contractible, **monopole** inside

### Compact MCS Theory

Monopole configuration: large gauge transformation  
→ changes Chern-Simons action → boundary terms at spatial infinity → action **violates gauge invariance**

## Modified Villain Approach

### Conventional Villain Approach

Add discrete plaquette variables  $n$ , encode magnetic flux  
Interpret  $n$  as discrete gauge fields for shift symmetry:

$$A_0 \rightarrow A_0 + \frac{2\pi}{d\tau}, \quad A_i \rightarrow A_i + \frac{2\pi}{a}, \quad i = 1, 2$$

Gauge the discrete shifts → study compact gauge theory:

$$U(1) = \mathbb{R}/2\pi\mathbb{Z}$$

### Modified Villain Approach

Eliminate monopoles with Lagrange multiplier

# Backup: 2+1D QED Hamiltonian With(out) Monopoles

## 2+1D Compact QED ...

... including monopoles / instantons

$$H = \frac{e^2}{2a^2} \sum_{\text{links}} E_i^2 + \frac{1}{e^2 a^2} \sum_{\text{plaquettes}} (1 - \cos a^2 B)$$

... with monopoles / instantons removed

$$H = \frac{e^2}{2a^2} \sum_{\text{links}} E_i^2 + \frac{a^2}{2e^2} \sum_{\text{plaquettes}} B^2$$

## Villain Approximation

**Compact variables**

For compact  $\theta_i$ , action contains terms  $\cos(\sum_i c_i \theta_i)$

**Conventional Villain approach**

Replace cosine terms by periodic Gaussian potential:

$$e^{\beta \cos(\theta)} \approx \sum_{n=-\infty}^{\infty} e^{-\frac{\tilde{\beta}}{2}(\theta + 2\pi n)^2}$$

→ discrete gauge fields  $n$  can take values in  $(-\infty, +\infty)$

→ compactness ensured by constraints on Hilbert space

**Modified Villain approach**

Lagrange multiplier constrains gauge fields  $n$  to be flat



# Backup: Constraints on Hilbert Space

## Local Gauge Transformations

### Gauss' law

Constraints on physical states in Hilbert space:

$$e^{i\lambda\hat{G}}|\psi\rangle = |\psi\rangle, \quad \forall \lambda \in \mathbb{R}, \quad [\hat{H}, \hat{G}] = 0$$

### Generator for local gauge transformations

Similar as for QED, but modified by Chern-Simons term:

$$\hat{G} = \left( \begin{array}{c} \uparrow \hat{p}_2 \\ \leftarrow -\hat{p}_1 \\ \downarrow \hat{p}_1 \\ \rightarrow -\hat{p}_2 \end{array} \right) + \left( \frac{ka^2}{4\pi} \right) \left( \begin{array}{c} \text{---} -\hat{A}_2 \text{---} \\ \uparrow \hat{A}_1 \\ \text{---} \hat{A}_2 \text{---} \\ \downarrow \hat{A}_1 \end{array} \right)$$

## Large Gauge Transformations

### Two additional constraints

$$e^{2\pi i\hat{L}_1}|\psi\rangle = e^{i\theta_1}|\psi\rangle, \quad e^{2\pi i\hat{L}_2}|\psi\rangle = e^{i\theta_2}|\psi\rangle$$

→ compactify gauge field configurations

→ similar as for QED (without monopoles)

### Generators for large gauge transformations

Similar as for QED, but modified by Chern-Simons term

→ enforce quantized Chern-Simons coupling

# Backup: Compactification Through Constraints

## Large Gauge Transformations

**Constraints on Hilbert space ...**

$$e^{2\pi i \hat{L}_i} |\psi\rangle = e^{i\theta_i} |\psi\rangle$$

**... allow only certain gauge field configurations**

$$\hat{L}_i |\psi\rangle = \left( \frac{\theta_i}{2\pi} + m_i \right) |\psi\rangle, \quad m_i \in \mathbb{Z}, \quad i = 1, 2$$

**Different topological sectors of theory**

Transformation  $|\psi\rangle \rightarrow e^{2\pi i \hat{L}_j} |\psi\rangle$

... changes sector  $m_i \rightarrow m_i + k \epsilon_{ij}$

... due to non-zero commutator  $[\hat{L}_1, \hat{L}_2] = -\frac{k}{2\pi} i$

## Invariance of Partition Function

**Partition function**

Sum over all sectors / values of  $m_i$  with equal weights  
→ stays invariant under large gauge transformations

**Similarity to Villain approximation:**

$$e^{\beta \cos(\theta)} \approx \sum_{n=-\infty}^{\infty} e^{-\frac{\tilde{\beta}}{2} (\theta + 2\pi n)^2}$$

→ obtain periodic function (i.e., compact gauge field) by summing over multiple non-periodic functions

**Numerical simulation**

Truncation of infinite sum, neglect terms with large  $n$

# Backup: Large Gauge Transformation Constraint #1

2+1D Compact QED ...

... With Chern-Simons Term

Constraint on Hilbert space

$$e^{2\pi i \hat{L}_1} |\psi\rangle = e^{i\theta_1} |\psi\rangle$$

Generator for large gauge transformation

$$\hat{L}_1 = \cdots \uparrow \quad \uparrow \quad \uparrow \frac{1}{a} \hat{p}_2 \quad \cdots$$

$$= \frac{1}{a} \sum_{x_1=0}^{N_1-1} \hat{p}_{(x_1, N_2-1); 2}$$

Modified generator for large gauge transformation

$$\begin{aligned} \hat{L}_1 &= \cdots \overleftarrow{\left( \begin{array}{c} -\frac{ka}{4\pi} \hat{A}_1 \\ \uparrow \\ \frac{1}{a} \hat{p}_2 \end{array} \right)} \cdots \\ &= \sum_{x_1 \in \{0, 1, \dots, N_1-1\}} \left( \frac{1}{a} \hat{p}_{(x_1, x_2); 2} - \frac{ka}{4\pi} \hat{A}_{(x_1, x_2+1); 1} \right) \end{aligned}$$

# Backup: Large Gauge Transformation Constraint #2

2+1D Compact QED ...

... With Chern-Simons Term

Constraint on Hilbert space

$$e^{2\pi i \hat{L}_2} |\psi\rangle = e^{i\theta_2} |\psi\rangle$$

Generator for large gauge transformation

$$\hat{L}_2 = \begin{array}{c} \vdots \\ \xrightarrow{\frac{1}{a}\hat{p}_1} \\ \xrightarrow{\quad\quad} \\ \xrightarrow{\quad\quad} \\ \vdots \end{array} = \frac{1}{a} \sum_{x_2=0}^{N_2-1} \hat{p}_{(N_1-1, x_2); 1}$$

Modified generator for large gauge transformation

$$\hat{L}_2 = \begin{array}{c} \vdots \\ \xrightarrow{\frac{1}{a}\hat{p}_1} \\ \xrightarrow{\quad\quad} \uparrow \frac{ka}{4\pi} \hat{A}_2 \\ \xrightarrow{\quad\quad} \\ \vdots \end{array} = \sum_{x_2 \in \{0, 1, \dots, N_2-1\}} \left( \frac{1}{a} \hat{p}_{(x_1, x_2); 1} + \frac{ka}{4\pi} \hat{A}_{(x_1+1, x_2); 2} \right)$$

# Backup: Compatibility of Constraints

## Commutators

**Constraints need to commute with Hamiltonian**

$$[\hat{H}, \hat{G}] = 0$$

$$[\hat{H}, \hat{L}_i] = 0, \quad i = 1, 2$$

**Constraints need to commute with Gauss' law**

$$[\hat{G}, \hat{L}_i] = 0, \quad i = 1, 2$$

**But: constraints do not commute with each other!**

$$[\hat{L}_1, \hat{L}_2] = -\frac{k}{2\pi}i$$

## Quantization of Chern-Simons Level

**Non-zero commutator yields quantization condition!**

Constraint from large gauge transformations:

$$e^{2\pi i \hat{L}_1} e^{2\pi i \hat{L}_2} = e^{2\pi i k} e^{2\pi i \hat{L}_2} e^{2\pi i \hat{L}_1}$$

Correctly reproduces quantization property: <sup>1</sup>

$$e^{2\pi i k} = 1 \quad \implies \quad k \in \mathbb{Z}$$

<sup>1</sup> Pisarski (1986)

# Backup: Plaquette Visualization of Lattice Hamiltonian

## Compact MCS Hamiltonian

**Magnetic field term:** similar to QED (without monopoles)

**Electric field term:** modified by Chern-Simons term

$$\begin{aligned}
 \hat{H} &= \sum_{x \in \text{sites}} \frac{e^2}{2a^2} \left[ \left( \hat{p}_{x;1} - \frac{ka^2}{4\pi} \hat{A}_{x-\hat{2};2} \right)^2 + \left( \hat{p}_{x;2} + \frac{ka^2}{4\pi} \hat{A}_{x-\hat{1};1} \right)^2 \right] + \frac{1}{2e^2} \left( \square \hat{A}_{x;1,2} \right)^2 \\
 &= \frac{e^2}{2a^2} \sum_{\text{plaquettes}} \left[ \left( \hat{p}_1 - \left( \frac{ka^2}{4\pi} \right) \hat{A}_2 \right)^2 + \left( \hat{p}_2 + \left( \frac{ka^2}{4\pi} \right) \hat{A}_1 \right)^2 \right] \\
 &\quad + \frac{1}{2e^2} \sum_{\text{plaquettes}} \left( \begin{array}{c} \text{Diagram of a square plaquette with arrows: } \\ \text{Top: } -\hat{A}_1 \text{ (leftward)} \\ \text{Right: } \hat{A}_2 \text{ (upward)} \\ \text{Bottom: } \hat{A}_1 \text{ (rightward)} \\ \text{Left: } -\hat{A}_2 \text{ (downward)} \end{array} \right)^2
 \end{aligned}$$

**Plaquette operator:**

$$\square \hat{A}_{x;1,2} \equiv \hat{A}_{x;1} + \hat{A}_{x+\hat{1};2} - \hat{A}_{x+\hat{2};1} - \hat{A}_{x;2}$$