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The observable spectrum for GUT-like theories

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Grand Unified Theories

importance of systematic control

nontrivial “broken-group” observables

Gauge invariance

BRST breaks down for nonabelian theories

elementary fields are unphysical

the Fröhlich–Morchio–Strocchi mechanism

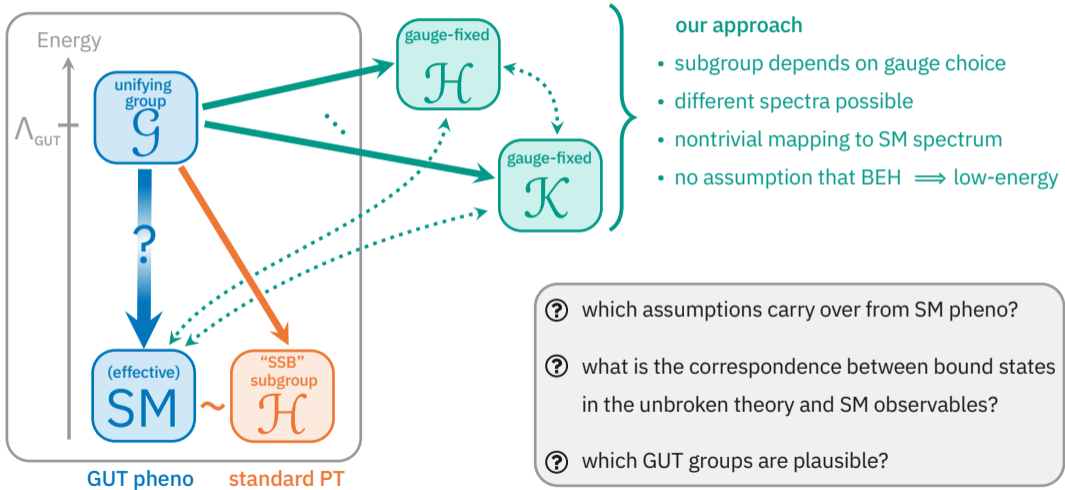
Spectroscopy

toy $SU(3)$ model to test FMS mechanism

discrepancies with naive perturbation theory

relevance of nonperturbative physics

Gauge-invariant approach to grand unified theories



Elementary fields form an unphysical state space

nonabelian gauge group + local gauge-fixing condition:

no unique solutions beyond PT

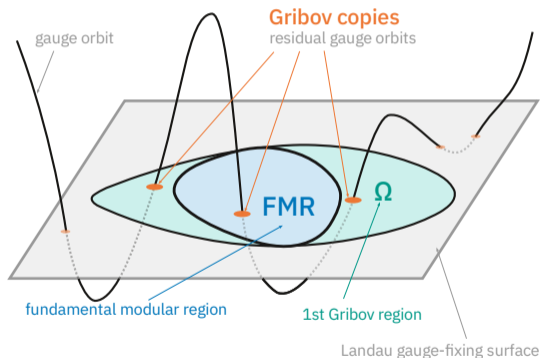
BRST insufficient to fix gauge

ξ -invariance \nRightarrow gauge invariance

perturbative state space is gauge-dependent

elementary fields (and e.g. Higgs vev)

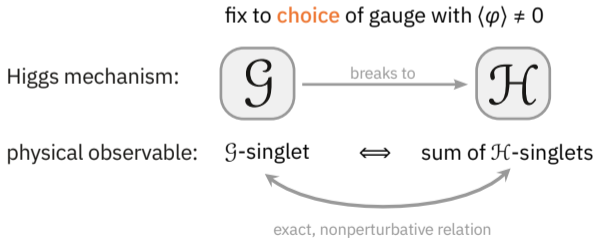
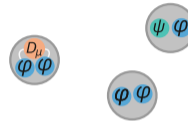
are not reliable order parameters



can we work directly with composite states in perturbation theory instead?

Fröhlich–Morchio–Strocchi approach: composite states

elementary:	ψ	$W_\mu^{(a)}$	φ
composite:	$\varphi^\dagger\psi$	$i\varphi^\dagger D_\mu\varphi$	$\varphi^\dagger\varphi$
	fermion	vector boson	“Higgs”



boundstate-boundstate correspondence
after gauge-fixing is nontrivial in general:

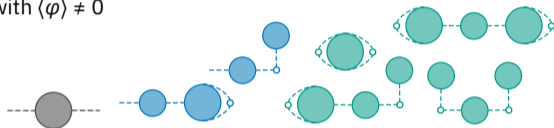
important for BSM model building!

Perturbation theory: “bound-state Higgs” vs 1^- vector singlet

[here: $SU(N)$ Yang–Mills with single fundamental scalar]

expand in **choice of gauge** with $\langle \varphi \rangle \neq 0$

$$\begin{aligned} \text{e.g. } \varphi(x) &= v\hat{n} + \eta(x) \\ h(x) &= 2 \operatorname{Re}[\hat{n}^\dagger \eta(x)] \end{aligned}$$



$$\underbrace{\langle (\varphi^\dagger \varphi)(x) (\varphi^\dagger \varphi)(y) \rangle_c}_{\text{bound-state mass}} = v^2 \langle h(x) h(y) \rangle_c + \underbrace{2v \langle h(x) (\eta^\dagger \eta)(y) \rangle_c + \langle (\eta^\dagger \eta)(x) (\eta^\dagger \eta)(y) \rangle_c}_{\text{extra terms neglected in standard picture}}$$

coincides with standard PT

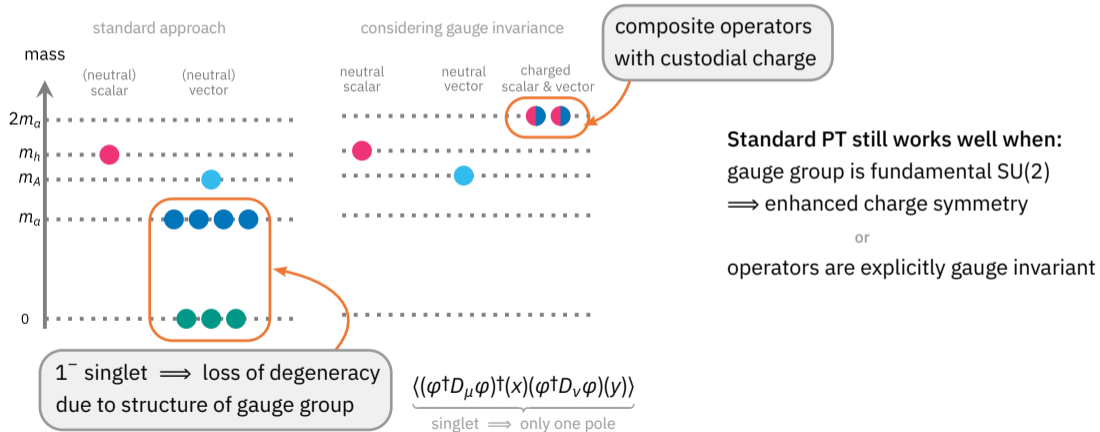
$$\underbrace{\langle (\varphi^\dagger D_\mu \varphi)^\dagger(x) (\varphi^\dagger D_\nu \varphi)(y) \rangle_c}_{\text{singlet} \Rightarrow \text{only one pole}} = v^2 c^{ab} \langle W_\mu^{(a)}(x) W_\nu^{(b)}(y) \rangle_c + \underbrace{O(\eta/v) + \dots}_{\text{don't affect pole structure}}$$

conflicts with standard PT
for $SU(N > 2)$

poles coincide to all orders in perturbation theory!

Gauge invariance qualitatively changes the PT spectrum

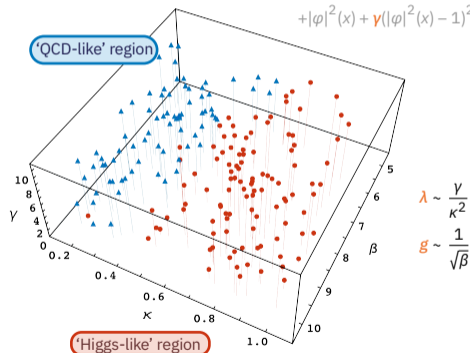
[Example: $SU(N)$ Yang–Mills with single fundamental scalar]



Toy model to test FMS approach: SU(3) + YM “GUT-like”*

$$\mathcal{L} = \frac{1}{2} \text{tr}(W_{\mu\nu}W^{\mu\nu}) + |D\varphi|^2 - \lambda(|\varphi|^2 - f^2)^2$$

$$\beta \text{Re tr} \sum_{\mu < \nu} [1 - U_{\mu\nu}(x)] - \kappa \sum_{\pm\mu} \varphi^\dagger(x) U_\mu^R(x) \varphi(x + \hat{\mu}) + |\varphi|^2(x) + \gamma(|\varphi|^2(x) - 1)^2$$



Generalisation of SM gauge-weak sector

single scalar $\varphi \in SU(3)$ or $\varphi \in su(3)$

Breaks to nontrivial gauge group

$SU(3) \rightarrow SU(2)$ or $SU(2) \times U(1)$, $U(1) \times U(1)$

Nontrivial custodial group

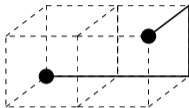
global $U(1)$ or Z_2

- ① what is the stable spectrum?
- ① are the lighter states charged?
- ① do lattice results support FMS?

Constructing an operator basis in different channels

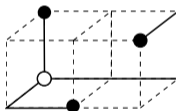
$$\varphi^\dagger \cdot (D_{\mu_1} \dots D_{\mu_n} \varphi)$$

U(1)-neutral, gauge-scalar



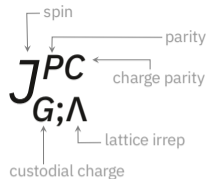
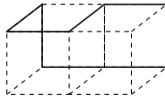
$$(D_{\mu_1} \dots D_{\mu_{n_1}} \varphi) \cdot [(D_{\nu_1} \dots D_{\nu_{n_2}} \varphi) \times (D_{\rho_1} \dots D_{\rho_{n_3}} \varphi)]$$

U(1)-charged, gauge-scalar



$$\text{tr} \left[(D_{\mu_1} \dots D_{\mu_{n_1}} F_{\nu_1 \rho_1}) \dots (D_{\sigma_1} \dots D_{\sigma_{n_R}} F_{\nu_R \rho_R}) \right]$$

gaugeball (+ scalar insertions for adjoint)



States for any (J, M) via 'ladder operators':

$$\tilde{D}_\pm = \mp i(D_1 \pm iD_2)/\sqrt{2}, \quad \tilde{D}_0 = iD_3$$

Continuum \rightarrow lattice: project onto O_h irreps via Clebsch–Gordan coefficients

Project to required parity/charge parity

Smear links and scalars to enlarge basis

stout

APE

Implementation details

Setup

SU(3) + YM + single scalar

3D coupling space (β, κ, γ)

isotropic lattice: $L = 10, 12, \dots, 32$

Heatbath + OR updates

- Cabbibo–Marinari method
- Scalar OR: rotate $\varphi(x)$ around vector $\propto \frac{\partial S}{\partial \varphi(x)}$
- Adjoint case: approx. HB/OR
+ accept/reject step

Gauge fixing

Landau 't Hooft or Unitary

Stochastic OR

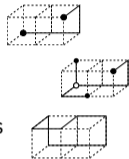
Smearing

Stout (links), APE (scalars)

Operator basis

on fixed timeslice

momentum boosts



Spectroscopy

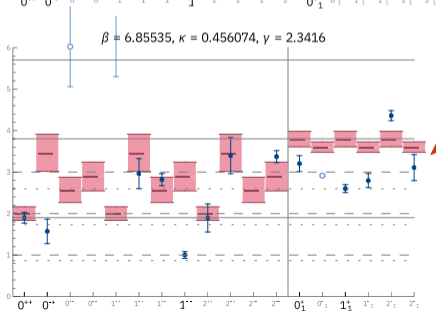
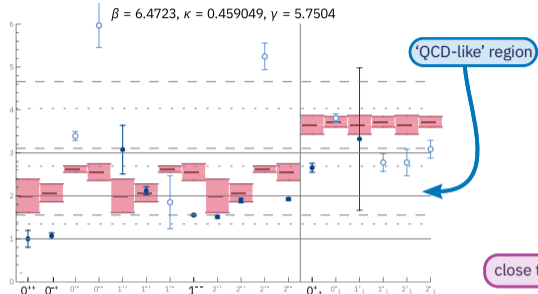
variational analysis

fitting to plateaus of $C(t)$

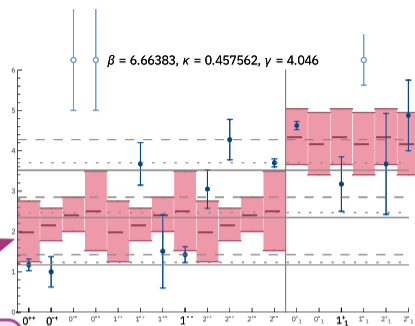
scattering from stable states

$V \rightarrow \infty$ extrapolation

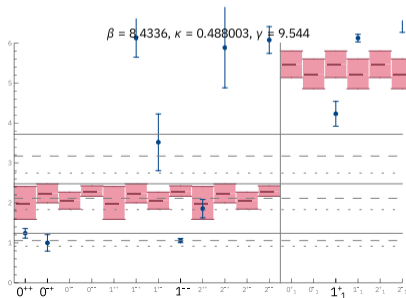
[NB: everything normalised to lightest mass]



close to phase boundary



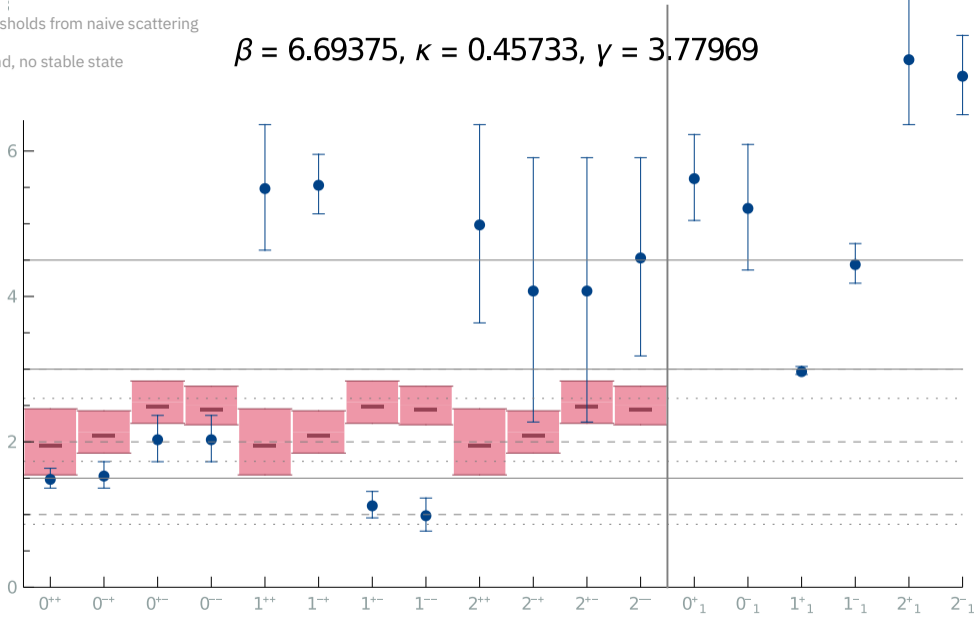
with Higgs effect



$$\beta = 6.69375, \kappa = 0.45733, \gamma = 3.77969$$

- = elastic thresholds from naive scattering
- = upper bound, no stable state
- = stable state

MASS / LIGHTEST MASS



NEUTRAL CHANNELS

CHARGED CHANNELS

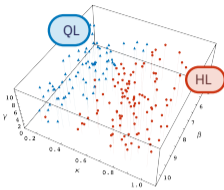
Features of the fundamental spectrum

Phase structure

clear indication of 2 phases:

4 apparent LCPs (2 for **QL**, 2 for **HL**)

order of transition/crossover unclear



Generic features

presence of massive charged bound-states

two distinct phases with different orderings of 0^{++} and 1^{--}

degeneracies across different channels

light pseudoscalar 0^{+-}

so far seems (?) consistent with FMS

appears not to be any SSB of custodial $U(1)$

QCD-like

lightest state is 0^{++} ; $m_S < m_V$

strongly coupled scalars

uncharged part \neq pure YM

heavier $U(1)$ -charged states

Phase boundary

massless modes?

Higgs-like

lightest state is 1^{--} ; $m_V < m_S$

degeneracies across channels

The adjoint-scalar case

More interesting

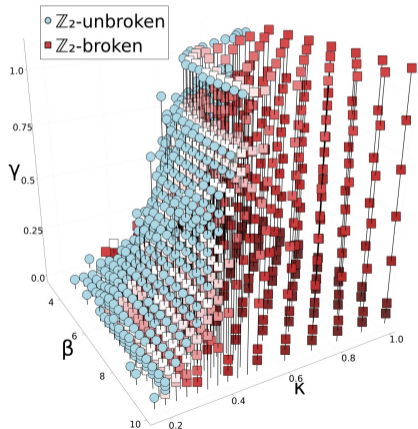
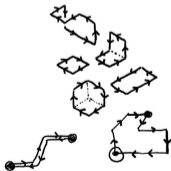
multiple breaking patterns $\begin{cases} SU(2) \times U(1) \\ U(1)^* \times U(1) \end{cases}$
more applications to BSM physics

More difficult

(presumably) massless modes
challenges in taking continuum limit
(even!) noisier

Spectroscopy (work in progress)

automatising larger operator basis
stable and scattering states



Summary and outlook

Systematic control matters

gauge invariance has a qualitative effect on nonperturbative spectra
qualitative differences, including at small coupling

Results

qualitative differences from pure Yang–Mills, and from $SU(2)$

FMS: **nontrivial field theory effects can still be treated perturbatively**

Work in progress

understanding fundamental spectrum (analytically?)

preliminary adjoint spectrum

automatising large operator basis

full scattering analysis

check consistency with FMS



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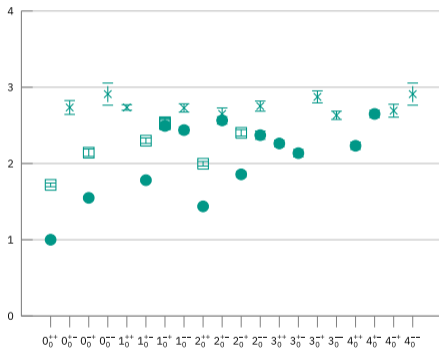
discrepancies with naive perturbation theory

relevance of nonperturbative physics

Comparison to pure Yang–Mills

[normalised to lightest mass]

Pure-YM SU(3) case



SU(3) YM + scalar
(deep QCD-like region)

