

The imaginary-theta dependence of the $SU(N)$ spectrum

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Introduction

The Yang-Mills Lagrangian density describing θ -vacua is

$$\tilde{\mathcal{S}} = -\frac{1}{2g^2} \int d^4x \operatorname{Tr} F_{\mu\nu} F^{\mu\nu} + i\theta \int d^4x q(x) \quad (1)$$

where

$$q(x) = \frac{1}{32\pi^2} \varepsilon_{\mu\nu\rho\sigma} \operatorname{Tr} F^{\mu\nu} F^{\rho\sigma} \quad (2)$$

The θ dependence in gauge theories has attracted a lot of interest especially at large- N .

- ▶ The $U(1)_A$ problem and the Witten-Veneziano formula.
['t Hooft 1976; Veneziano 1979; Witten 1979](#)
- ▶ The strong-CP problem and the axion.
[Peccei and Quinn 1977; Weinberg 1978](#)
- ▶ Lattice simulations at fixed Q .
[Aoki et al. 2007; Brower et al. 2003](#)

Theta dependence of the spectrum

The greatest effort was devoted to studying the θ dependence of the free energy,

$$F(\theta, T) - F(0, T) = \frac{1}{2} \chi(T) \theta^2 s(\theta, T) \quad (3)$$

where $\chi(T)$ is the topological susceptibility and $s(\theta, T) = 1 + b_2(T)\theta^2 + b_4(T)\theta^4 + \dots$

- ▶ In the chiral limit, perturbatively.

[Di Vecchia and Veneziano 1980](#); [Grilli di Cortona et al. 2016](#)

- ▶ At high- T , semiclassically.

[Gross, Pisarski, and Yaffe 1981](#); [Schäfer and Shuryak 1998](#)

- ▶ In $D=2$ for $U(N)$ gauge theories, exactly.

[Bonati and Rossi 2019](#); [Kovacs, Tomboulis, and Schram 1995](#)

For the generic T regime, only lattice simulations. However, the θ term introduces a sign problem. Hence

- ▶ Either one works at $\theta = 0$,
- ▶ Or one works at imaginary $\theta = i\theta_I$.

Theta dependence of the spectrum

What are our expectations:

- ▶ At $\theta = 0$, ground state has quantum numbers 0^{++} .
- ▶ At $\theta \neq 0$, P explicitly broken, hence

$$m_G \rightarrow m(0^{++}), \quad \theta \rightarrow 0 \quad (4)$$

We will have, then

$$m_G(\theta) = m(0^{++}) \{1 + m_2 \theta^2 + O(\theta^4)\}, \quad \sigma(\theta) = \sigma \{1 + s_2 \theta^2 + O(\theta^4)\} \quad (5)$$

our task: to estimate m_2 and s_2 .

Two strategies are possible:

- ▶ Expand correlation functions in a power series in θ

Del Debbio, Manca, et al. 2006

$$G(t, \theta) = G^{(0)}(t) + \frac{1}{2} \theta^2 G^{(2)}(t) + \theta^4 + \dots \quad (6)$$

and simulate at $\theta = 0$.

- ▶ Simulate the theory at imaginary θ .

Numerical setup

We use a standard discretization

$$S_L(\theta_L) = S_W - \theta_L Q_{\text{clov}} \quad (7)$$

where

$$S_W[U_\mu] \equiv \beta \sum_x \sum_{\mu < \nu} \left(1 - \frac{1}{N} \Re \text{Tr} \mathcal{P}_{\mu\nu} \right), \quad \beta \equiv \frac{2N}{g_0^2} \quad (8)$$

with

$$\mathcal{P}_{\mu\nu}(x) \equiv U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x), \quad U_\mu(x) \equiv \exp \left(i \int_x^{x+\hat{\mu}} d\lambda^\mu \tau^A A_\mu^A(\lambda) \right), \quad (9)$$

and

$$Q_{\text{clov}}(x, t) = \frac{1}{2^9 \pi^2} \sum_x \sum_{\mu < \nu} \varepsilon_{\mu\nu\rho\sigma} \text{Tr} \mathcal{C}_{\mu\nu}(t) \mathcal{C}_{\rho\sigma}(t) \quad (10)$$

is the clover operator built from $U_\mu(t)$.

Numerical Setup

The physical θ and θ_L are related as follows,

$$\theta = \iota Z_Q \theta_L \quad (11)$$

where $Q = Z_Q Q_{\text{clover}}$ and Z_Q is determined using cooling, from,

$$Z_Q = \frac{\langle Q Q_{\text{clover}} \rangle}{\langle Q^2 \rangle} \quad (12)$$

Panagopoulos and Vicari 2011

where

$$Q = \text{round} \left\{ \alpha Q_{\text{clover}}^{(\text{cool})} \right\} \quad (13)$$

and α is chosen to minimize $\langle \left[\alpha Q_{\text{clover}}^{(\text{cool})} - \text{round}(\alpha Q_{\text{clover}}^{(\text{cool})}) \right]^2 \rangle$.

Del Debbio, Panagopoulos, and Vicari 2002

Hence, in terms of θ_L ,

$$m_G(\theta_L) = m(0^{++}) \{1 - m_2 Z_Q^2 \theta_L^2 + O(\theta_L^4)\}, \quad \sigma(\theta_L) = \sigma \{1 - s_2 Z_Q^2 \theta_L^2 + O(\theta_L^4)\}$$

Calculation of the spectrum

Variational method

We consider the operators

$$\mathcal{O}(t) = \sum_i v_i \mathcal{O}_i(t) , \quad \mathcal{O}_i(t) = \sum_{\vec{x}} \text{Tr} U_{\mathcal{C}_i}(\vec{x}, t) \quad (14)$$

for a set of lattice paths \mathcal{C}_i , and in a specific space-time symmetry channel,

- ▶ contractible paths correspond to glueball states
- ▶ non-contractible paths correspond to torelons

We determine v_i from the GEVP,

$$C_{ij}(t)v_i = \lambda(t, t_0)C_{ij}(t_0)v_j , \quad C_{ij}(t) = \frac{1}{aL} \sum_{t'} \langle \mathcal{O}_i(t-t') \mathcal{O}_j(t') \rangle \quad (15)$$

and then define the optimal correlator as $C_G(t) = C_{ij}(t)v_i v_j$,

Calculation of the spectrum

To determine m_G , we look for a plateau in

$$am_G^{\text{eff}}(t) = -\log \left\{ \frac{C_G(t+a)}{C_G(t)} \right\} \quad (16)$$

and then fit the functional form

$$C_G(t) = A_G \left[e^{-m_G t} + e^{m_G(aL-t)} \right] \quad (17)$$

on the corresponding interval, using A_G and m_G as fitting parameters.

The string tension is determined from the mass of the torelon as follows,

$$a^2 \sigma = \frac{am_{\text{tor}}}{L} + \frac{\pi}{3L^2} \quad (18)$$

Numerical Setup

Ensembles of configurations of pure gauge $SU(N)$ theories were collected in the following settings:

- ▶ at $N = 3$, using the standard HB+OR combination at 5 values of β , L such that $\sqrt{\sigma}L \geq 3.5$, approx. $O(60k)$ configurations
- ▶ at $N = 6$, using Parallel Tempering on Boundary Conditions (PTBC) at 2 values of β , approx. $O(5k)$. [Bonanno, Bonati, and D'Elia 2021](#); [Bonanno, Clemente, et al. 2024](#); [Hasenbusch 2017](#)

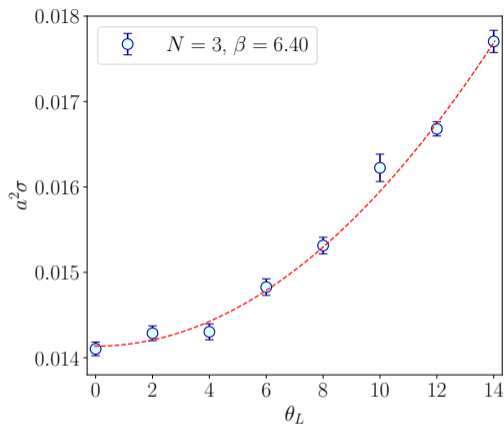
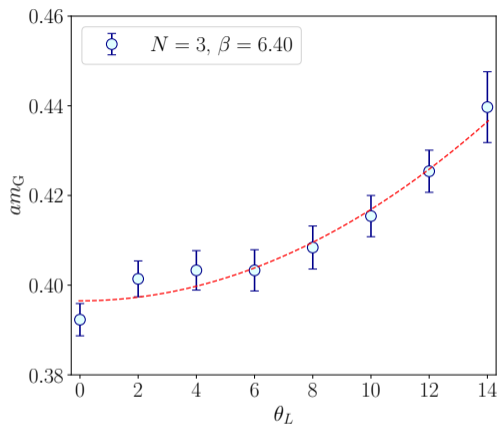
N	L/a	β	N_{conf}
3	16 – 30	5.95 – 6.40	60k
6	14, 16	25.056, 24.452	5k

- ▶ The variational basis consisted of ~ 100 blocked-smear operators.
- ▶ After obtaining $\sigma(\theta_L)$ and $m_G(\theta_L)$, we fit

$$f(\theta_L) = A_1 (1 + A_2 \theta_L^2) \quad (19)$$

with A_1 and A_2 fitting parameters and f either σ or m_G .

At $N = 3$ and fixed β



We fit,

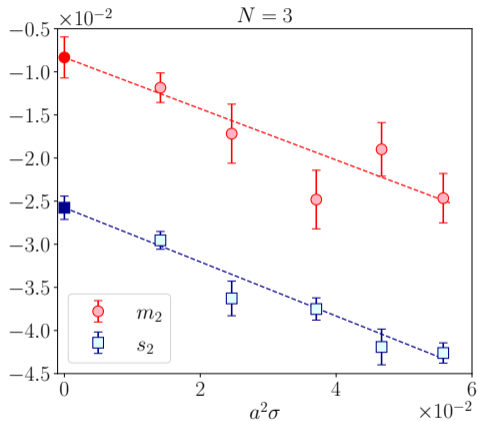
$$f(\theta_L) = A_1 (1 + A_2 \theta_L^2) \quad (20)$$

and obtain

$$m_2(\beta = 6.40) = -0.0118(16), \quad s_2(\beta = 6.40) = -0.0295(10). \quad (21)$$

At $N = 3$, continuum extrapolations

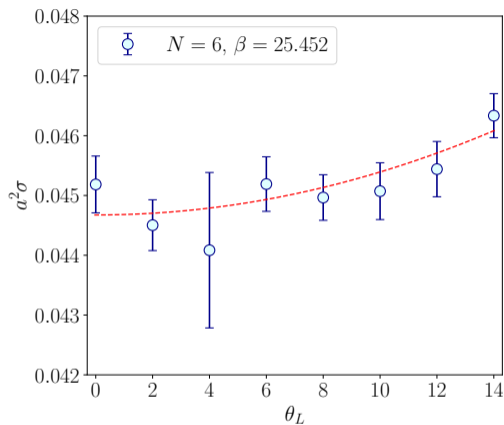
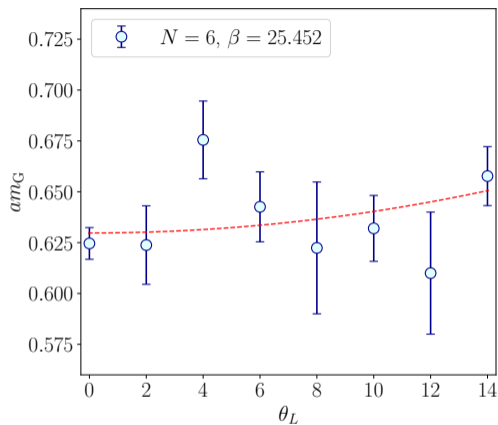
Assuming $O(a^2)$ scaling corrections,



we obtain

$$m_2 = -0.0083(23) , \quad s_2 = -0.0258(14) . \quad (22)$$

At $N = 6$, and fixed β



We fit,

$$f(\theta_L) = A_1 (1 + A_2 \theta_L^2) \quad (23)$$

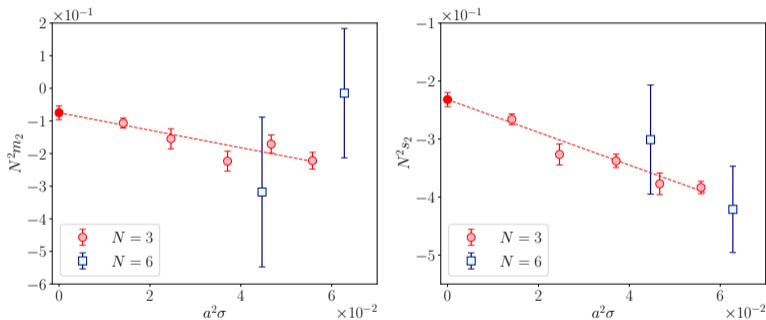
and obtain

$$m_2(\beta = 25.452) = -0.0088(64), \quad s_2(\beta = 25.452) = -0.0084(26). \quad (24)$$

The large- N scaling

We cannot test directly expected scaling. Assuming it is valid for $N \geq 3$,

$$s_2 \simeq \frac{\bar{s}_2}{N^2} + O(N^{-4}), \quad m_2 \simeq \frac{\bar{m}_2}{N^2} + O(N^{-4}) \quad (25)$$



We obtain, from the $N = 3$ data,

$$\bar{s}_2 \simeq -0.23(1), \quad \bar{m}_2 \simeq -0.075(20) \quad (26)$$

the $N = 6$ data seem consistent with this scaling.

Conclusions

- ▶ The leading order $O(\theta^2)$ dependence on θ of the ground state mass and the string tension was studied, at imaginary θ . At $N = 3$,

$$m_2(N = 3) \simeq -0.0083(23), \quad s_2(N = 3) \simeq -0.0258(14) \quad (27)$$

- ▶ We could not estimate these coefficients at $N = 6$, but could check that the data are not in contrast with the expected scaling with

$$s_2 N^2 \simeq \bar{s}_2 \simeq -0.23(1), \quad m_2 N^2 \simeq \bar{m}_2 \simeq -0.075(20) \quad (28)$$

- ▶ This method is effective in reducing uncertainties compared to the Taylor's expansion method.
- ▶ The relative error in simulating at frozen topology can be estimated at $\sim 0.08\%$ at $V \simeq (1.5 fm)^4$.

Future directions:

- ▶ Investigate $N > 3$ to better determine \bar{s}_2 and \bar{m}_2 .
- ▶ Study the excited spectrum.

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