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UNIVERSITY OF
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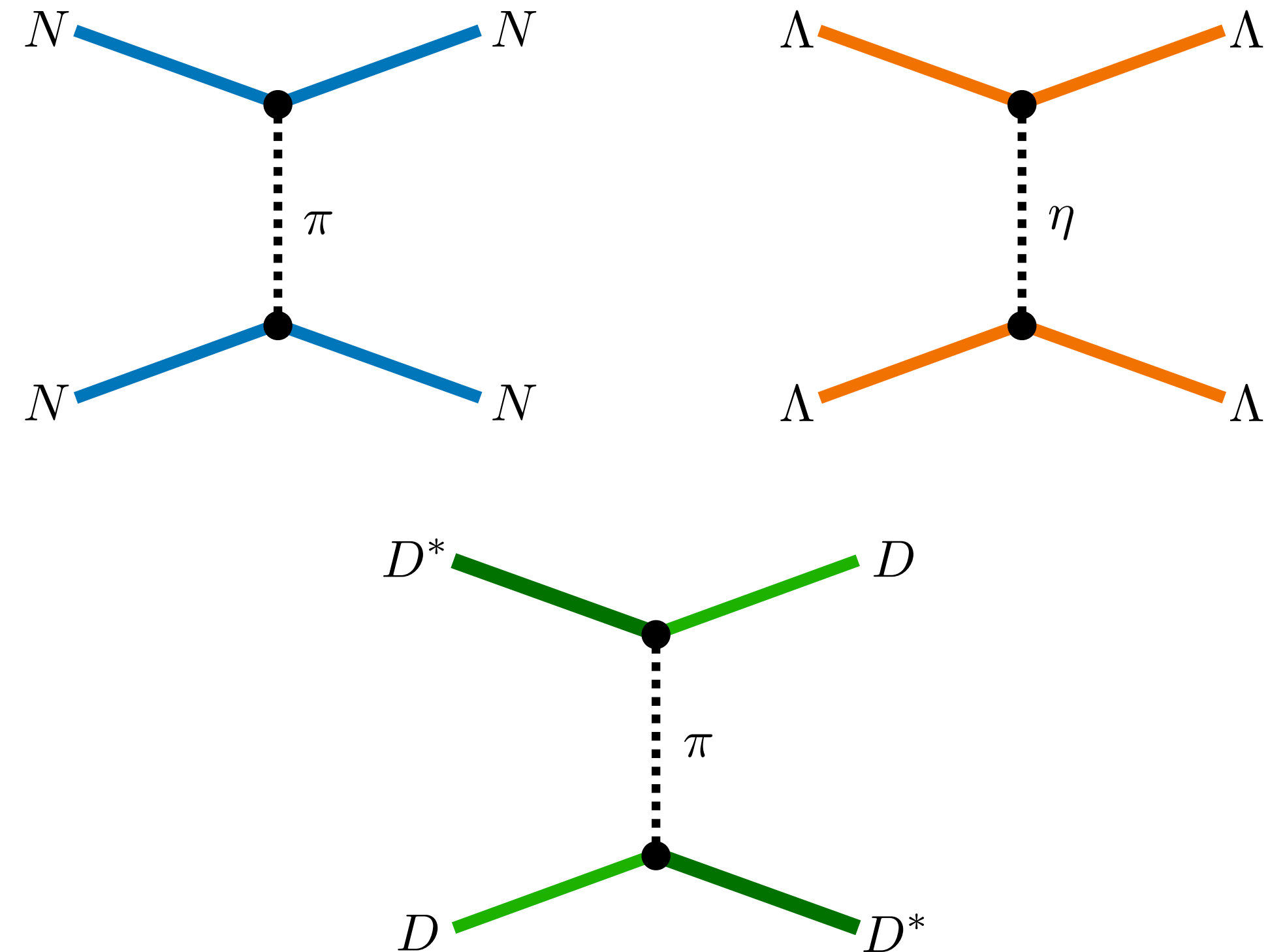
Lüscher formalism on the left-hand cut: an update



André Baião Raposo
in collaboration with
Max Hansen and Raúl Briceño

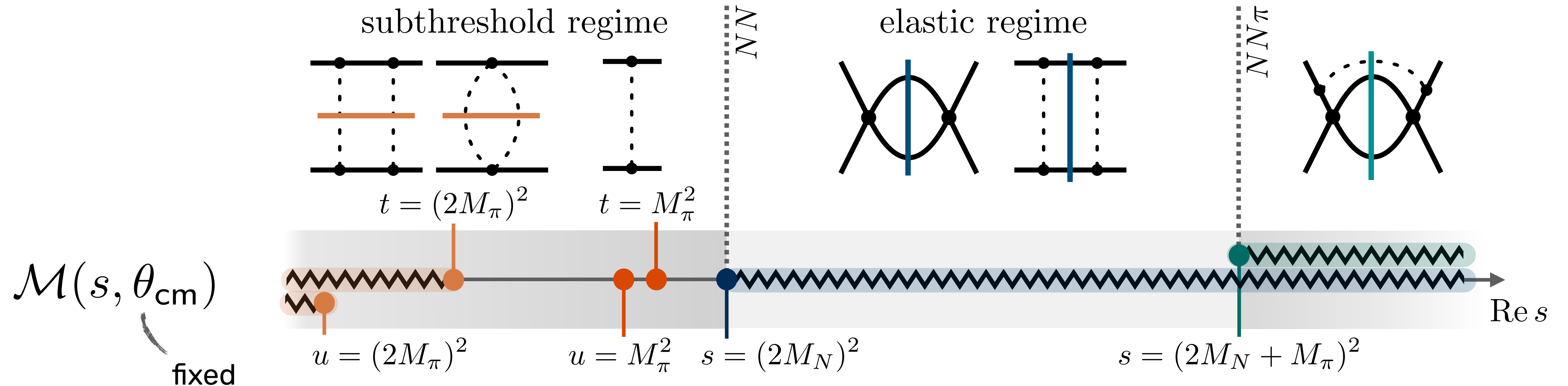
Motivation

- ▶ **Lüscher formalism** is widely used for extraction of 2-to-2 scattering amplitudes from finite-volume energies
- ▶ formalism breaks down at energies near/on **left-hand cuts** in partial-wave-projected amplitudes, as seen in
 - $\Lambda\Lambda$ [Green, Hanlon et al. '21] arXiv 2103.01054
 - DD^* (relevant for $T_{cc}(3875)^+$ ($cc\bar{u}\bar{d}$) tetraquark) [Padmanath, Prelovšek '22] arXiv 2202.10110 [Du, Filin, Baru et al. '23] arXiv 2303.09441 ... many talks at Lattice 2023 and 2024
- ▶ left-hand cuts in the projected amplitudes due to light meson exchanges
- ▶ extension of standard formalism needed for resolving these issues



Amplitude and partial-wave projection

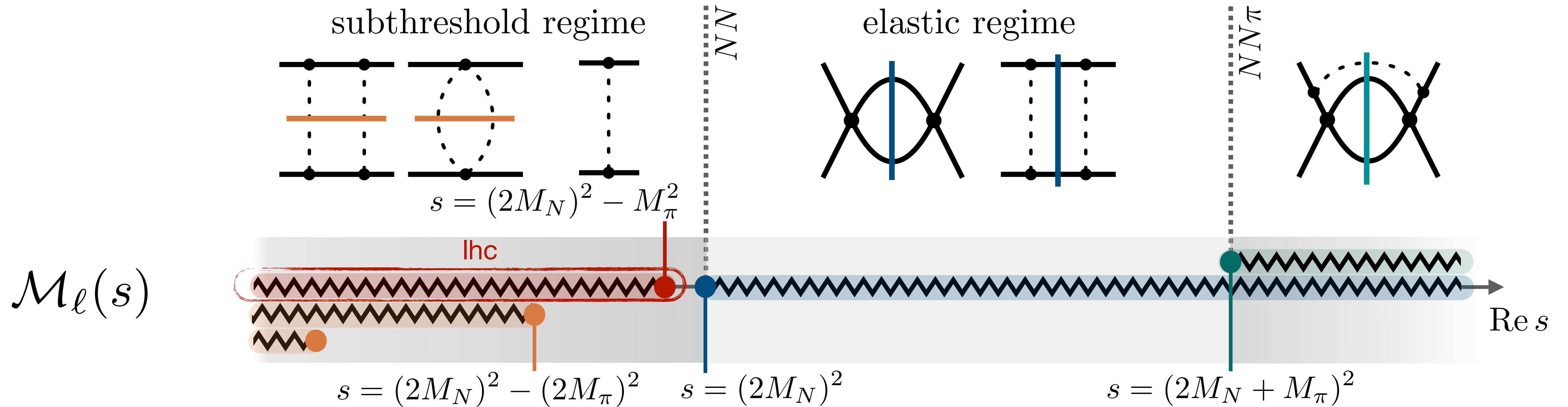
- ▶ We focused on the case of identical particles, e.g. NN scattering
- ▶ General **structure of the amplitude** at fixed CM scattering angle:
 - right-hand **2-particle cut** above NN threshold and **3-particle cut** above $NN\pi$ threshold
 - sub-threshold **poles** due to single exchanges and lower **left-hand cuts** due to multiple exchanges



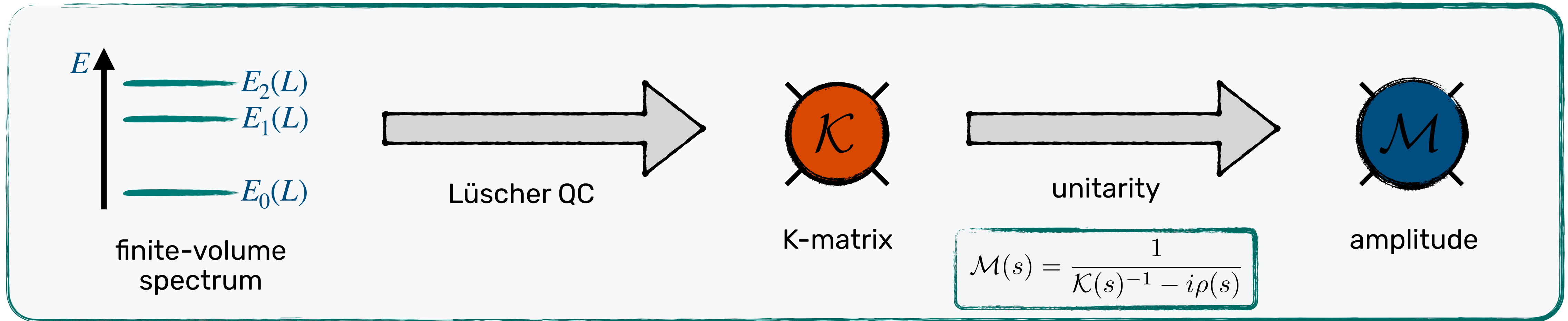
Amplitude and partial-wave projection

- Projecting to definite angular momentum $\mathcal{M}(s, \theta_{\text{cm}}) \longrightarrow \mathcal{M}_\ell(s) = \frac{1}{2} \int d \cos \theta_{\text{cm}} P_\ell(\cos \theta_{\text{cm}}) \mathcal{M}(s, \theta_{\text{cm}})$
Legendre polynomial

... sub-threshold poles become the **nearest left-hand cut**



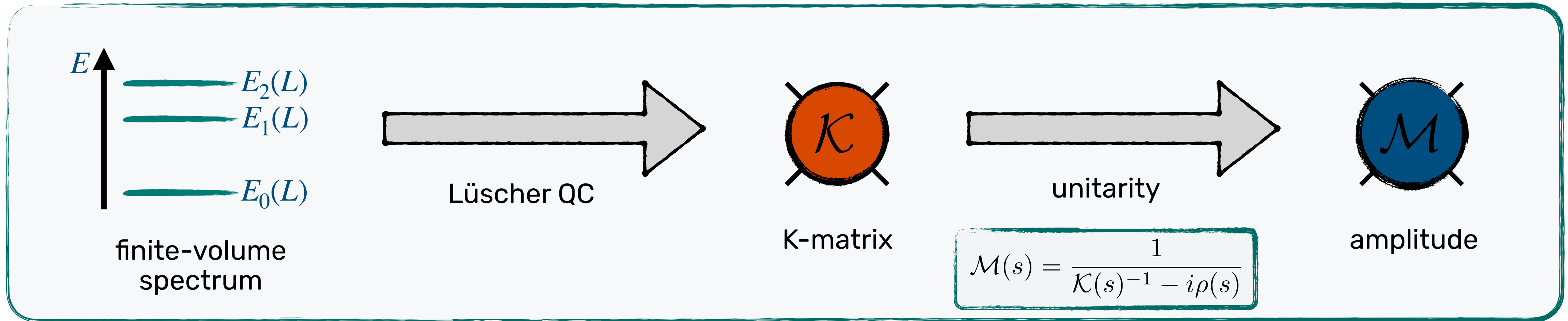
Lüscher formalism breakdown



Lüscher quantisation condition:

$$\det_{lm} [\mathcal{K}(E, \mathbf{P})^{-1} + F(E, \mathbf{P}, L)] = 0 \quad \text{at the FV energies}$$

Lüscher formalism breakdown



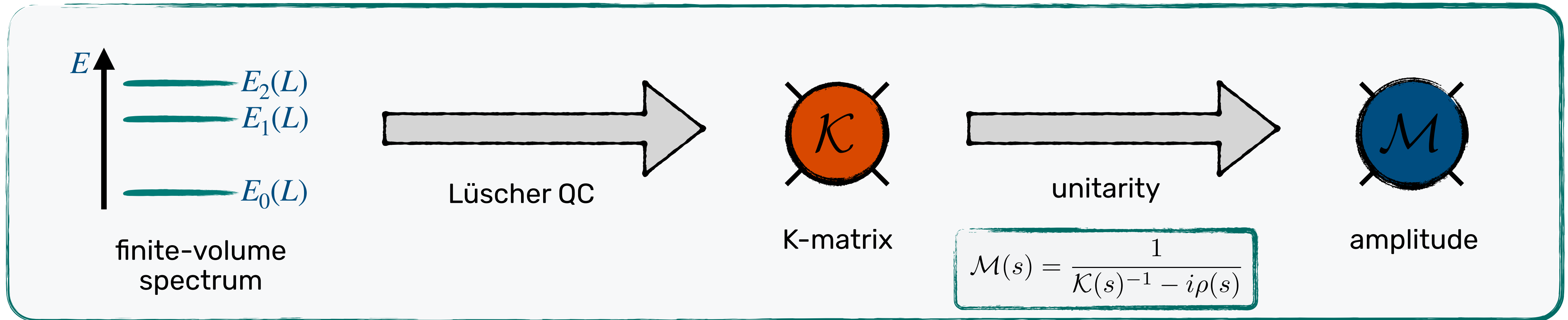
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$\mathcal{M}_\ell(s)$



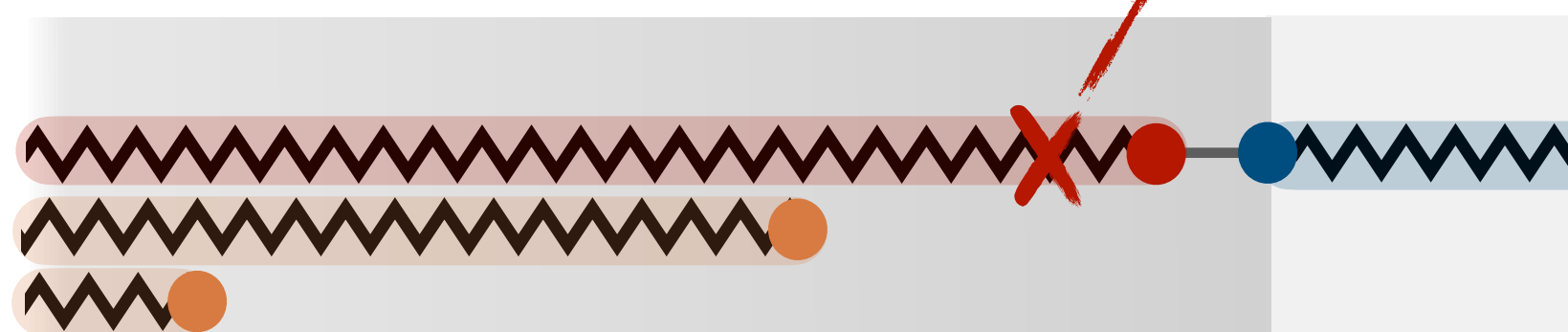
Lüscher formalism breakdown



Lüscher quantisation condition:

$$\det_{lm} [\mathcal{K}(E, \mathbf{P})^{-1} + F(E, \mathbf{P}, L)] = 0 \quad \text{at the FV energies}$$

$\mathcal{M}_\ell(s)$



- ▶ F is real on the cut \rightarrow solutions for \mathcal{K} are real
- ▶ \mathcal{K} should be complex on the cut!
- ▶ **cannot apply the usual QC!!!**

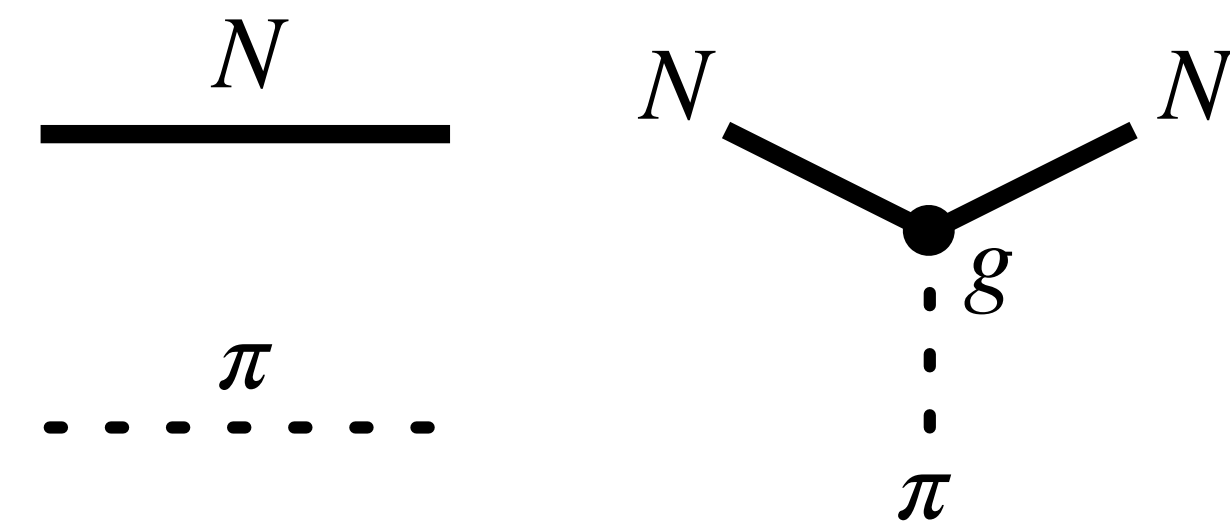
[Green, Hanlon et al. '21] arXiv 2103.01054

Other approaches to the left-hand cut problem

- ▶ Use a plane-wave basis (no projection to angular momentum) [Meng, Epelbaum '21]
- ▶ HAL QCD method [Lyu et al. '23] (see Sinya Aoki's talk just before)
- ▶ Apply the 3-particle RFT formalism [Hansen et al. '24] – applied to $DD\pi$ system (talk by Sebastian M. Dawid on Monday)
- ▶ NREFT-based approach [Bubna et al. '24] (see the next talk by Akaki Rusetski)

Finite-volume analysis

- ▶ focus on two-nucleon elastic scattering $NN \rightarrow NN$ as model system which includes a left-hand cut
- ▶ use generic, EFT-independent, all-orders diagrammatic expansion in periodic cubic finite volume
- ▶ considered a **“skeleton expansion”** for a finite-volume correlation function...



$$C_L(P) = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

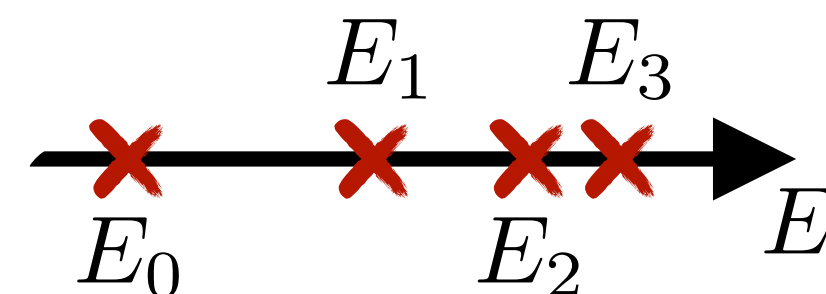
The diagrams in the expansion are:

- Diagram 1: A grey oval labeled A connected to a grey oval labeled A^\dagger via two lines labeled L .
- Diagram 2: A grey oval labeled A connected to a grey oval labeled A^\dagger via two lines labeled L , with a blue oval labeled B inserted between the two L lines.
- Diagram 3: A grey oval labeled A connected to a grey oval labeled A^\dagger via two lines labeled L , with two blue ovals labeled B inserted between the two L lines.

[Kim, Sachrajda, Sharpe '05] arXiv 0507006



has poles at the FV energies



... and track the volume dependence of the different building blocks to derive a QC

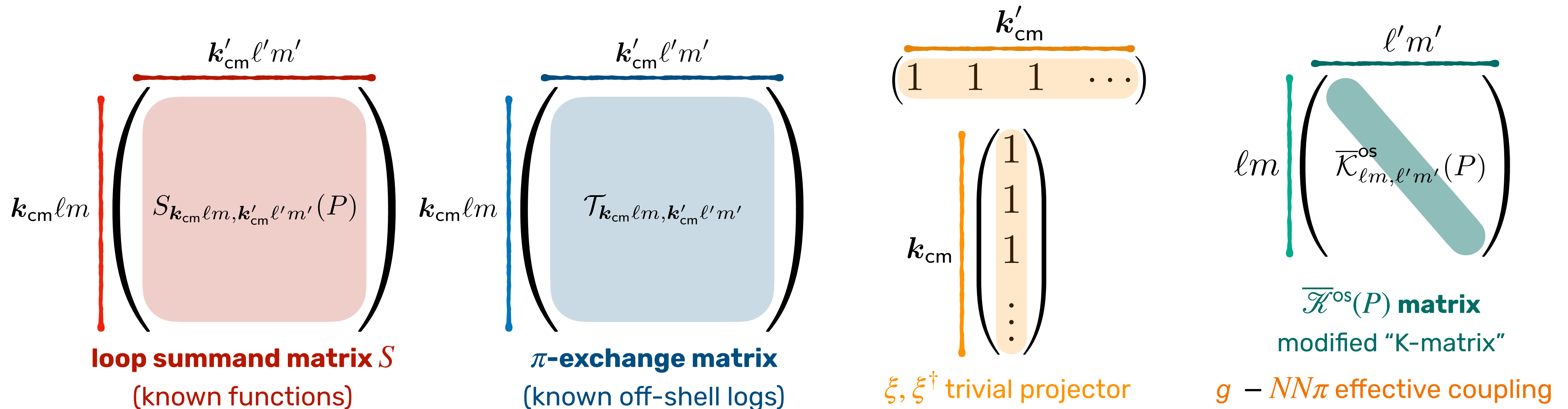
Adapted quantisation condition

Revisiting derivation step-by-step, we derive the following **modified QC** for identical spinless particles:

$$\det_{\mathbf{k}_{\text{cm}} \ell m} [S(P, L)^{-1} + \xi^\dagger \overline{\mathcal{K}}^{\text{os}}(P) \xi + 2g^2 \mathcal{T}] = 0$$

used to constrain $\overline{\mathcal{K}}^{\text{os}}(P)$ from the finite-volume spectrum

[ABR, Hansen '23] arXiv 2311.18793

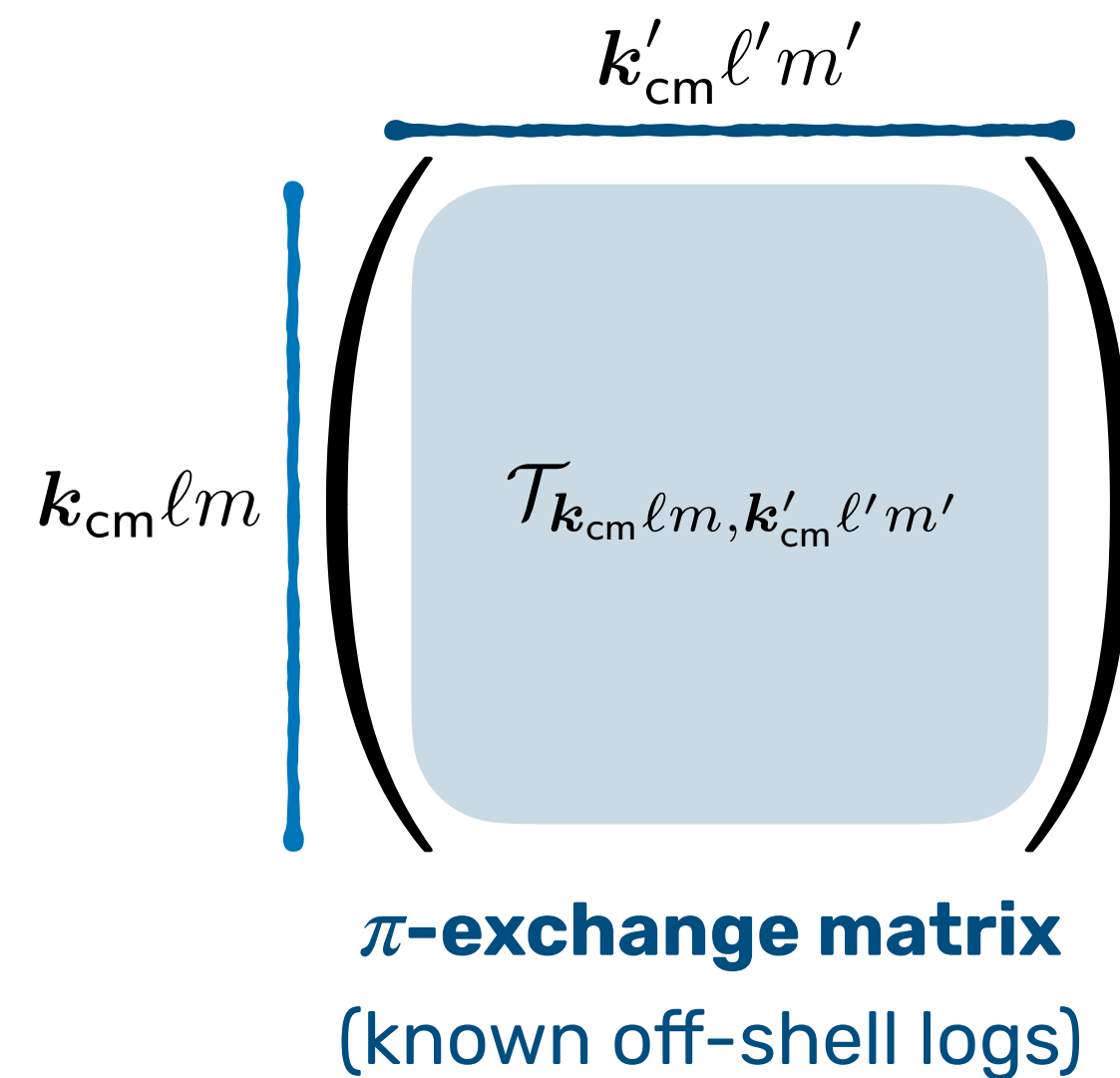


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e.g. S-wave result

$$\mathcal{T}_{\mathbf{k}_{\text{cm}} 00, \mathbf{k}'_{\text{cm}} 00} = \frac{1}{4|\mathbf{k}_{\text{cm}}||\mathbf{k}'_{\text{cm}}|} \log \left(\frac{2\omega_N(\mathbf{k}_{\text{cm}})\omega_N(\mathbf{k}'_{\text{cm}}) + 2|\mathbf{k}_{\text{cm}}||\mathbf{k}'_{\text{cm}}| - 2M_N^2 + M_\pi^2 - i\epsilon}{2\omega_N(\mathbf{k}_{\text{cm}})\omega_N(\mathbf{k}'_{\text{cm}}) - 2|\mathbf{k}_{\text{cm}}||\mathbf{k}'_{\text{cm}}| - 2M_N^2 + M_\pi^2 - i\epsilon} \right)$$

$$\omega_N(\mathbf{k}) = \sqrt{\mathbf{k}^2 + M_N^2}$$

Adapted quantisation condition

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used to constrain $\overline{\mathcal{K}}^{\text{os}}(P)$ from the finite-volume spectrum

- ▶ separates the “physical ingredients”: $\overline{\mathcal{K}}^{\text{os}}(P)$ contains all short-range physics
 \mathcal{T} is the single π -exchange
- ▶ extended index space $\mathbf{k}_{\text{cm}} \ell m, \mathbf{k}'_{\text{cm}} \ell' m'$ (reminiscent of three-particle formalisms)
- ▶ inclusion of spin straightforward: index space expanded to include spin state labels

Extracting the amplitude

An extra step is needed to connect K-bar to the amplitude:



... we need to solve integral equations:

$$\mathcal{M}^{\text{aux}}(P, p, p') = \mathcal{K}^{\mathcal{T}}(P, p, p') - \frac{1}{2} \int \frac{d^3 \mathbf{k}_{\text{cm}}}{(2\pi)^3} \frac{\mathcal{M}^{\text{aux}}(P, p, k) \mathcal{K}^{\mathcal{T}}(P, k, p') e^{\alpha((k_{\text{cm}}^{\text{os}})^2 - k_{\text{cm}}^2)}}{4\omega_N(\mathbf{k}_{\text{cm}}) [(k_{\text{cm}}^{\text{os}})^2 - k_{\text{cm}}^2 + i\epsilon]}$$

$$\mathcal{K}^{\mathcal{T}}(P, p, p') = \bar{\mathcal{K}}^{\text{os}}(P, p, p') + 2g^2 \mathcal{T}(P, p, p')$$

$$\text{Amplitude Diagram} = \left[\text{K-bar Diagram} + 2 \text{Diagram} \right] + \text{Amplitude Diagram} \left[\text{K-bar Diagram} + 2 \text{Diagram} \right]$$

solve for auxiliary amplitude

$$\text{Amplitude Diagram} = \frac{1}{2} \left[\text{Amplitude Diagram} + \text{Amplitude Diagram} \right]$$

symmetrize to get amplitude

Quantisation condition in the ℓm basis

We can obtain the condition in an alternative form, purely in the ℓm basis:

$$\det_{\ell m} \left[\bar{\mathcal{K}}^{\text{os}}(P)^{-1} + F^{\mathcal{T}}(P, L) \right] = 0$$

[ABR, Hansen '23] arXiv 2311.18793

**finite-volume
function:**

$$F^{\mathcal{T}}(P, L) = \xi S(P, L) \frac{1}{1 + 2g^2 \mathcal{T}(P) S(P, L)} \xi^\dagger$$

 $k_{\text{cm}}, k'_{\text{cm}}$ indices still present internally

- ▶ more similar in form to standard Lüscher QC
- ▶ can use usual irrep projection technology
- ▶ finite-volume function is trickier to evaluate

Quantisation condition in the ℓm basis

We can obtain the condition in an alternative form, purely in the ℓm basis:

$$\det_{\ell m} \left[\bar{\mathcal{K}}^{\text{os}}(P)^{-1} + F^{\mathcal{T}}(P, L) \right] = 0$$

... can be rewritten in many ways, e.g.

$$\det_{\ell m} \left[\tilde{\mathcal{K}}_0(P)^{-1} + \tilde{F}(P, L) + \Delta F^{\mathcal{T}}(P, L) \right] = 0$$

- $\tilde{\mathcal{K}}_0(P)$ becomes standard K-matrix as $g \rightarrow 0$ (when we turn off the π exchanges)
- $\tilde{F}(P, L)$ is the standard Lüscher finite-volume function (up to kinematic factor)

$$\Delta F^{\mathcal{T}}(P, L) = F^{\mathcal{T}}(P, L) - \xi S(P, L) \xi^\dagger$$

$$\tilde{\mathcal{K}}_0(P)^{-1} = \bar{\mathcal{K}}^{\text{os}}(P)^{-1} + \tilde{I}(P) \quad \tilde{I}_{\ell m, \ell' m'}(P) = \frac{1}{2} \text{pv} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{4\pi Y_{\ell m}(\hat{\mathbf{k}}_{\text{cm}}) Y_{\ell' m'}^*(\hat{\mathbf{k}}_{\text{cm}}) |\mathbf{k}_{\text{cm}}|^{\ell+\ell'} H(\mathbf{k}_{\text{cm}})}{4\omega_N(\mathbf{k}) [(k_{\text{cm}}^{\text{os}})^2 - (\mathbf{k}_{\text{cm}})^2]}$$

Exchanges in momentum-only basis

$$F^{\mathcal{T}}(P, L) = \xi S(P, L) \frac{1}{1 + 2g^2 \mathcal{T}(P) S(P, L)} \xi^\dagger$$



can shuffle factors between internal building blocks and re-sum over angular momentum

$$F_{\ell m, \ell' m'}^{\mathcal{T}}(P, L) = Y_{\ell m} S(P, L) \frac{1}{1 + 2g^2 \mathcal{T}(P, L) S(P, L)} Y_{\ell' m'}^\dagger$$

matrix in $\mathbf{k}_{\text{cm}}, \mathbf{k}'_{\text{cm}}$ space

exchange recovers exact pole form

$$(Y_{\ell m})_{\mathbf{k}_{\text{cm}}} = \sqrt{4\pi} |\mathbf{k}_{\text{cm}}|^\ell Y_{\ell m}(\hat{\mathbf{k}}_{\text{cm}}) \quad \mathcal{T}_{\mathbf{k}_{\text{cm}} \mathbf{k}'_{\text{cm}}}(P, L) = - \frac{1}{(\omega_N(\mathbf{k}_{\text{cm}}) - \omega_N(\mathbf{k}'_{\text{cm}}))^2 - (\mathbf{k}_{\text{cm}} - \mathbf{k}'_{\text{cm}})^2 - M_\pi^2 + i\epsilon}$$

similarities with plane-wave basis approach suggested in [Meng, Epelbaum '21], but **QC is still in ℓm basis**

→ may improve convergence of the finite-volume function to work in this basis (numerical tests needed)

Simplification of the integral equations

- We can show that there is a direct algebraic relation between $\overline{\mathcal{K}}^{\text{os}}$ and the infinite-volume amplitude:

$$\mathcal{M}(P) = \mathcal{D}(P) - \mathcal{D}_{\ell m}^{\text{L}}(P) \left[\frac{1}{\overline{\mathcal{K}}^{\text{os}}(P)^{-1} + \mathcal{D}^{\text{C}}(P)} \right]_{\ell m, \ell' m'} \mathcal{D}_{\ell' m'}^{\text{R}}(P)$$

(sum over repeated indices)

where $\mathcal{D}(P)$ solves the auxiliary integral equation:

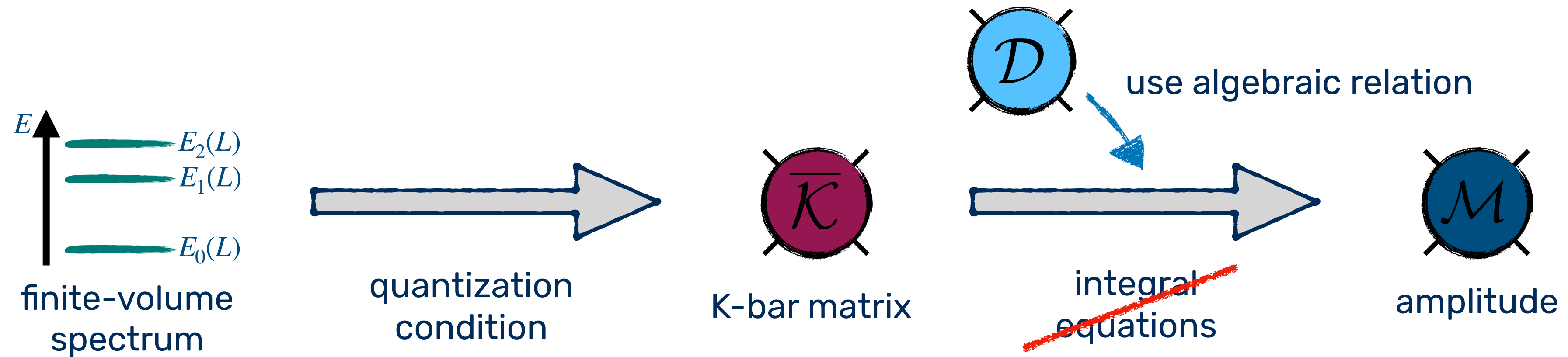
$$\mathcal{D}(P, p, p') = 2g^2 \mathcal{T}(P, p, p') - \frac{1}{2} \int \frac{d^3 \mathbf{k}_{\text{cm}}}{(2\pi)^3} \frac{\mathcal{D}(P, p, k) 2g^2 \mathcal{T}(P, k, p') e^{\alpha((k_{\text{cm}}^{\text{os}})^2 - \mathbf{k}_{\text{cm}}^2)}}{4\omega_N(\mathbf{k}_{\text{cm}}) [(k_{\text{cm}}^{\text{os}})^2 - \mathbf{k}_{\text{cm}}^2 + i\epsilon]}$$

with on-shell external momenta, and the objects \mathcal{D}^{L} , \mathcal{D}^{R} , \mathcal{D}^{C} are obtained from it

→ similar to ladder equation in 3-particle formalisms, can profit from the progress in solving this type of integral equation e.g. [Romero-Lopez et al. '19], [Jackura et al. '21], [Dawid et al. '23]

Simplification of the integral equations

This suggests a more direct approach for finding the amplitude, (partially) bypassing the integral equations

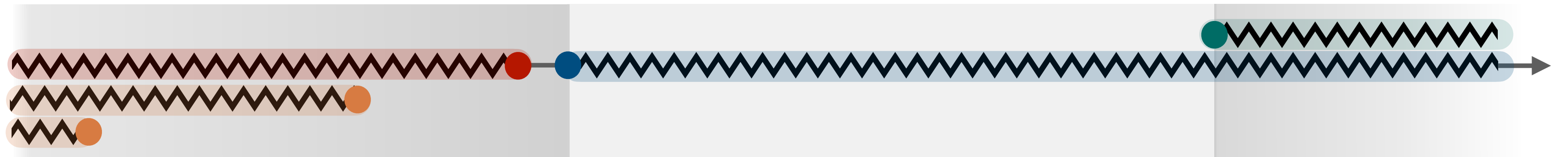


Summary

- we have presented relativistic quantisation conditions that apply on the nearest left-hand cut, extending the validity of the standard Lüscher condition
- QCs were introduced in both a mixed momentum and angular-momentum basis and angular-momentum-only basis
- the formalism applies in moving frames and has been extended to nonidentical particles and arbitrary spins
- different strategies have been proposed to extract amplitudes from intermediate K-matrices, including integral equations and a direct algebraic relation

$$\det_{\mathbf{k}_{\text{cm}} \ell m} [S(P, L)^{-1} + \xi^\dagger \bar{\mathcal{K}}^{\text{os}}(P) \xi + 2g^2 \mathcal{T}] = 0$$

$$\det_{\ell m} [\bar{\mathcal{K}}^{\text{os}}(P)^{-1} + F^{\mathcal{T}}(P, L)] = 0$$



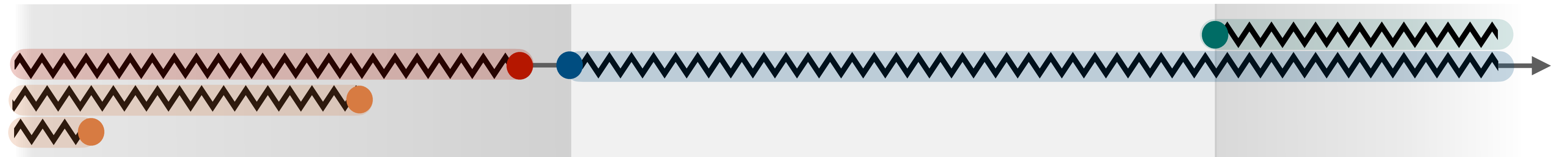
Outlook

- numerical testing of the formalism and consistency check with three-particle RFT formalism is underway
- extension to multichannel systems
- formal clarification of connections to three-particle formalisms (e.g. this method as a limiting case?)
- comparison with alternative approaches



Thank you for your attention!

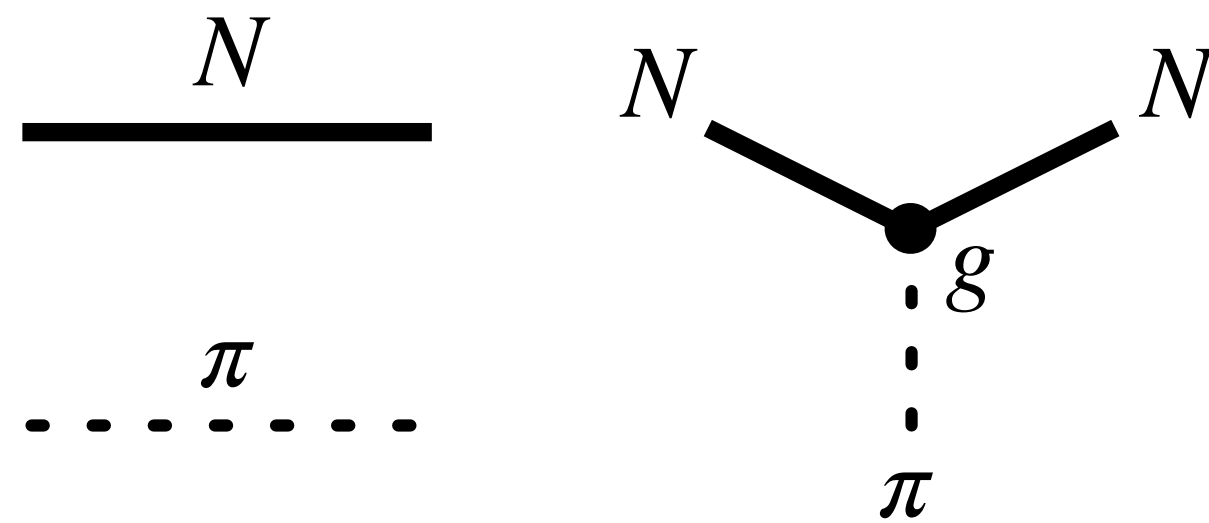
Any questions?



Introductory details

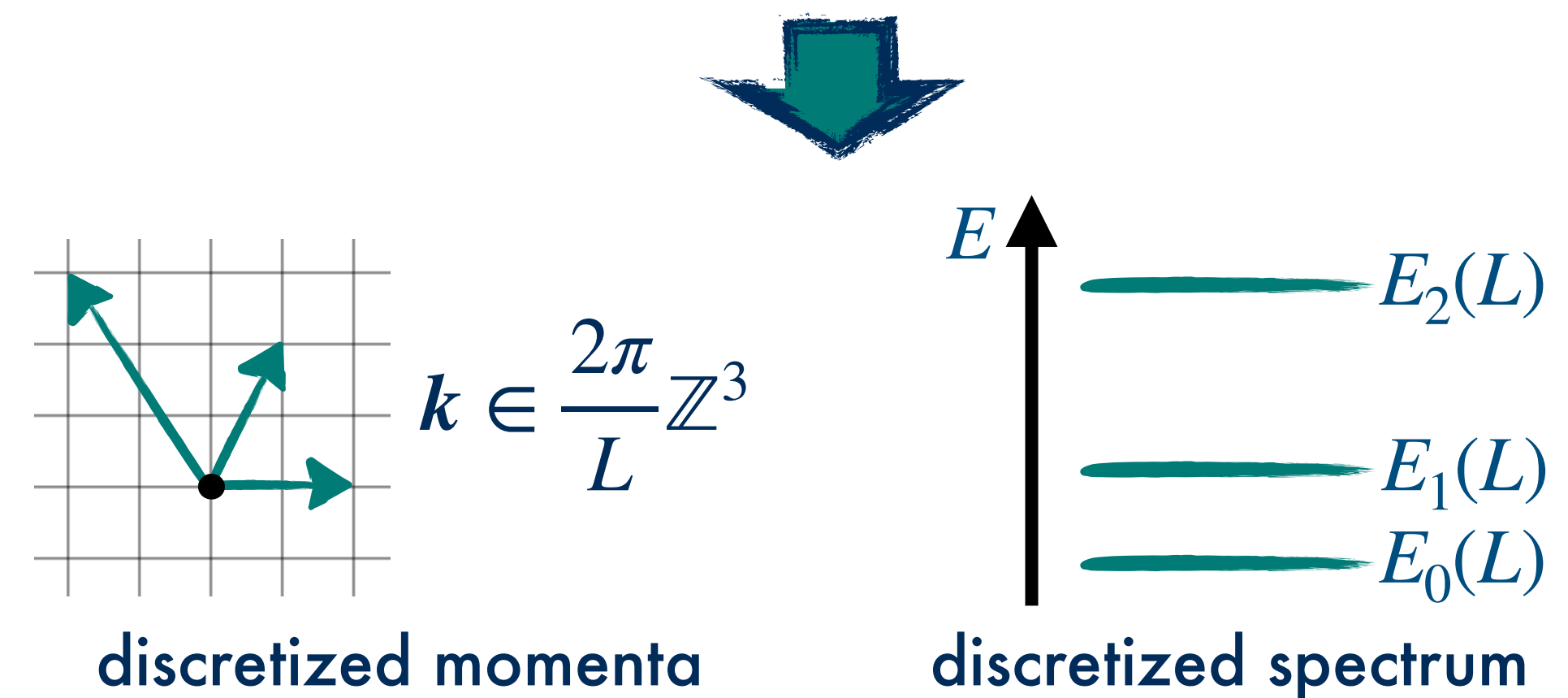
Theoretical setup:

- generic low-energy EFT with “nucleons” N and lighter “pions” π (masses M_N and M_π)
- N and π with arbitrary spins
- generic interactions, including $N\bar{N}\pi$ vertex with coupling g



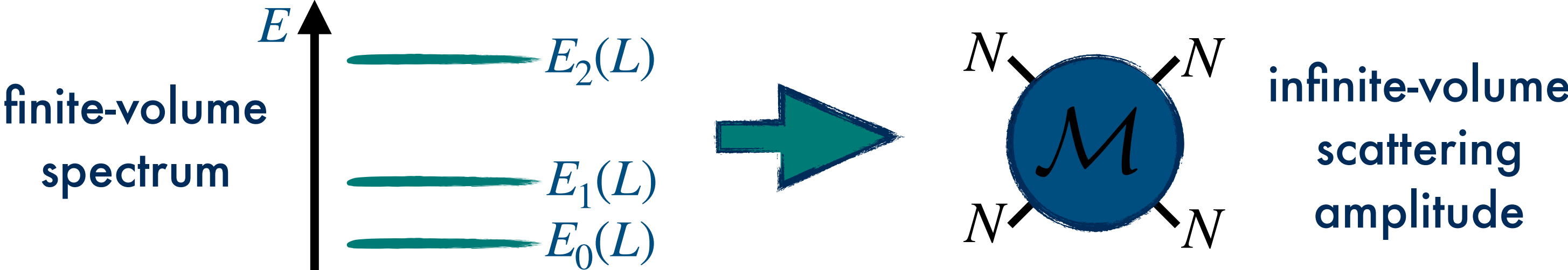
Finite volume setup:

- periodic cubic spatial volume of side L , finite time extent T
- L large enough to neglect $\mathcal{O}(e^{-M_\pi L})$ effects



Finite-volume scattering formalism

What we want from a scattering formalism:



Consider **finite-volume correlator** – has poles at the finite-volume energy levels

$$C_L(P) = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

[Kim, Sachrajda, Sharpe 2005]

Bethe-Salpeter kernel $B =$ all other diagrams which are 2-particle irreducible in the s-channel

dressed N propagator $=$ 1-particle irreducible diagrams

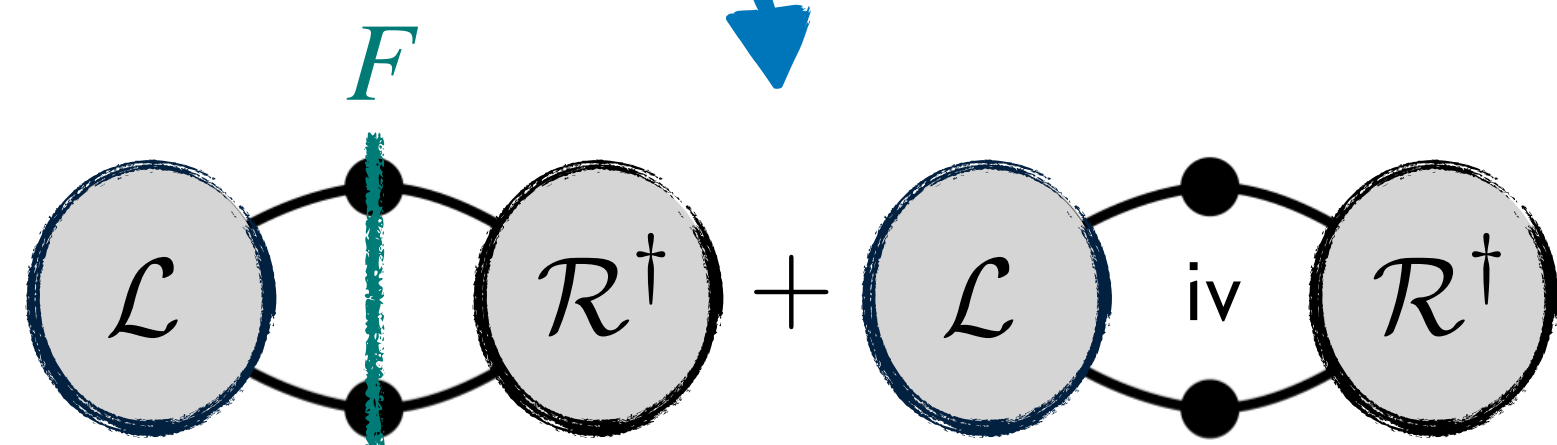
The standard derivation

$$C_L(P) = \text{[diagram: } A \text{ fv } A^\dagger] + \text{[diagram: } A \text{ fv } B \text{ fv } A^\dagger] + \text{[diagram: } A \text{ fv } B \text{ fv } B \text{ fv } A^\dagger] + \dots$$

Analysis of finite-volume loops in elastic regime $(2M_N)^2 < s < (2M_N + M_\pi)^2$:



[Lüscher 1986]
[Kim, Sachrajda, Sharpe 2005]



- $F \text{ cut } \sum_k - \int_k$
- intermediate two-particle (NN) state dominates
 - left and right functions set to on-shell kinematics



infinite-volume correlator

$$C_L(P) = \underbrace{C_\infty(P)}_{\text{matrix of known functions}} + \underbrace{A(P)}_{\text{operator "overlaps"}} \frac{i}{\underbrace{F(P, L)^{-1}}_{\text{matrix of known functions}} + \underbrace{\mathcal{K}(P)}_{\text{K-matrix}}} \underbrace{A(P)^\dagger}_{\text{operator "overlaps"}}$$

$$\det [F(P, L)^{-1} + \mathcal{K}(P)] = 0 \text{ at fv energy levels}$$

Lüscher quantization condition

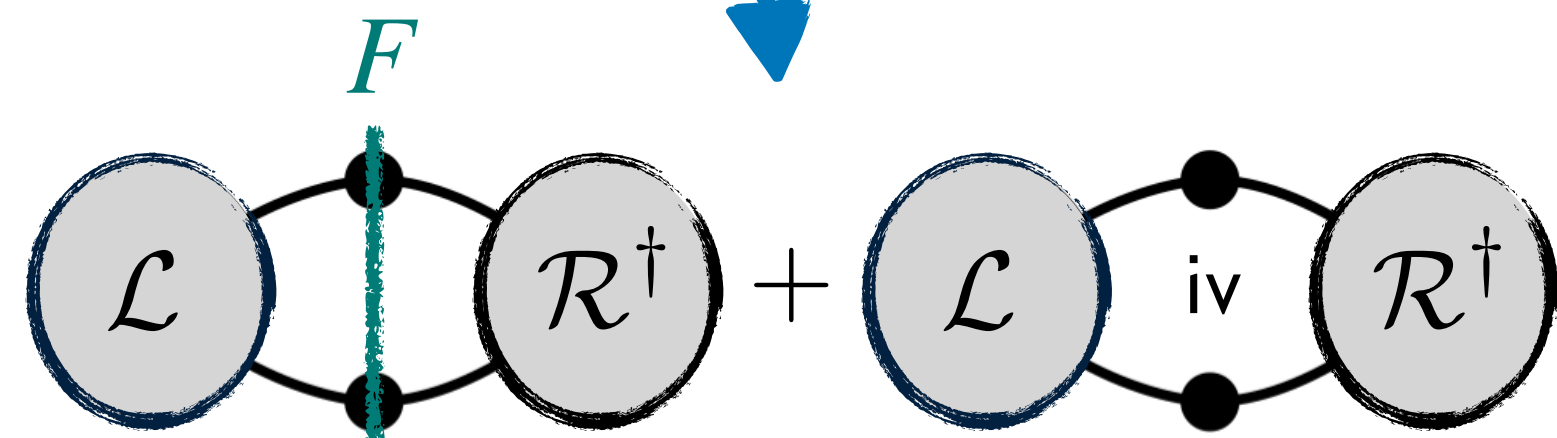
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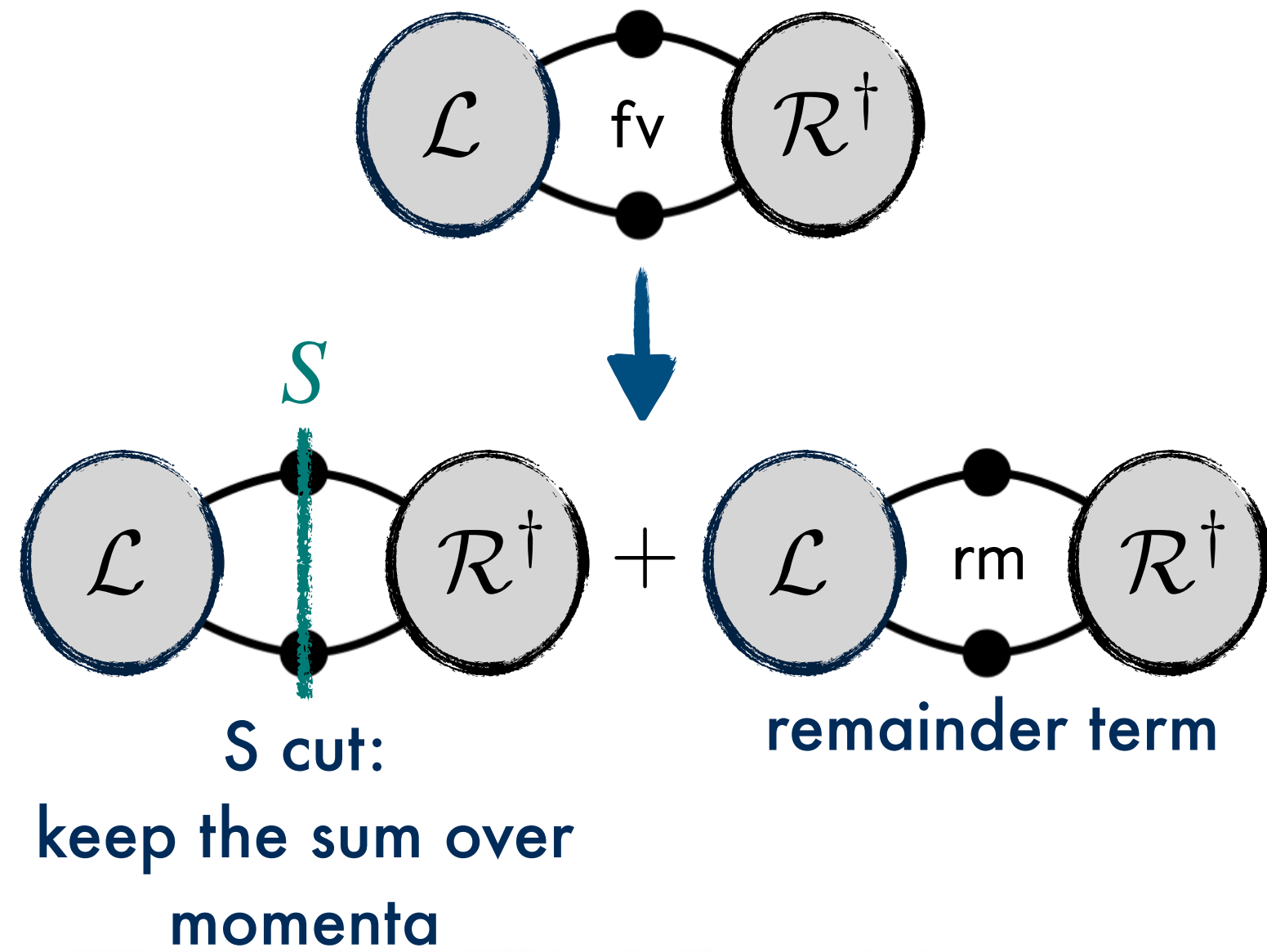
$$B = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{Diagram 4} + \dots$$

- placing BS kernels on shell introduces singularities and left-hand cuts below threshold – not present in the correlator
- cut near threshold arises from the π exchanges shown
- invalidates next steps in derivation

Proposed formalism

$$C_L(P) = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \dots$$

We propose the following instead:



dangerous π exchanges are never put fully on shell, only the safe kernels \bar{B}

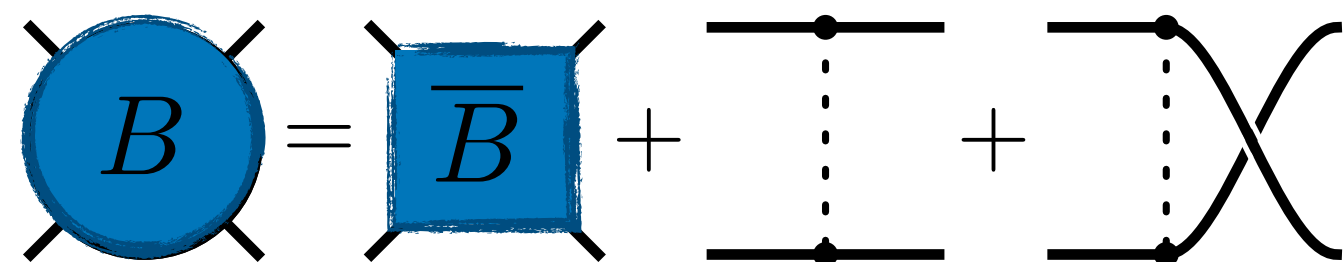
$$C_L(P) = \underbrace{\mathcal{I}(P)}_{\text{remainder}} + \underbrace{\tilde{A}(P)\xi}_{\text{endcap vectors}} \frac{i}{S(P, L)^{-1} + \xi^\dagger \bar{\mathcal{K}}(P) \xi + 2g^2 T(P)} \xi^\dagger \underbrace{\tilde{A}(P)^\dagger}_{\text{endcap vectors}}$$

$$\det [S(P, L)^{-1} + \xi^\dagger \bar{\mathcal{K}}(P) \xi + 2g^2 T(P)] = 0$$

at finite-volume energy levels

modified quantization condition

key step

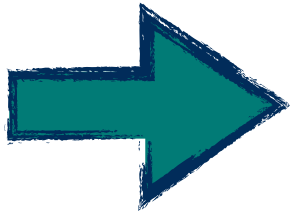


split BS kernel: remove π exchanges

- quantities in QC live in angular momentum plus discrete spatial momentum index space: $k^* \ell m; k^* \ell' m'$ with $k, k' \in 2\pi\mathbb{Z}^3/L$
- determinant taken over this full space (similarity to 3-particle RFT formalism [Hansen, Sharpe 2014])

Quantization condition

$$\det [S(P, L)^{-1} + \xi^\dagger \overline{\mathcal{K}}(P) \xi + 2g^2 T(P)] = 0$$



constrains the K-bar $\overline{\mathcal{K}}(P)$ (and coupling g) from the finite-volume spectrum

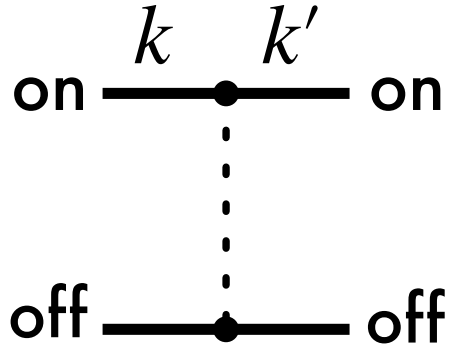
- S-cut matrix:**

$$S_{\mathbf{k}^* \ell m, \mathbf{k}'^* \ell' m'}(P, L) = \frac{1}{2L^3} \frac{4\pi Y_{\ell m}(\hat{\mathbf{k}}^*) Y_{\ell' m'}^*(\hat{\mathbf{k}}^*) \delta_{\mathbf{k}^* \mathbf{k}'^*} |\mathbf{k}^*|^{\ell+\ell'} \underline{H(\mathbf{k}^*)}}{4\omega_N(\mathbf{k}) [(k_{os}^*)^2 - (\mathbf{k}^*)^2]}$$

regulator function

on-shell CM momentum magnitude $(k_{os}^*)^2 = s/4 - M_N^2$

- T matrix:** partial wave projections of partially off-shell t-channel diagram



e.g. S-wave:
$$\mathcal{T}_{\mathbf{k}^*, \mathbf{k}'^*}^{\ell=0}(P) = \frac{1}{2|\mathbf{k}^*||\mathbf{k}'^*|} \log \left(\frac{2\omega_N(\mathbf{k}^*)\omega_N(\mathbf{k}'^*) + 2|\mathbf{k}^*||\mathbf{k}'^*| - 2M_N^2 + M_\pi^2 - i\epsilon}{2\omega_N(\mathbf{k}^*)\omega_N(\mathbf{k}'^*) - 2|\mathbf{k}^*||\mathbf{k}'^*| - 2M_N^2 + M_\pi^2 - i\epsilon} \right)$$
 $(\omega(\mathbf{k}) = \sqrt{\mathbf{k}^2 + M_N^2})$

- Trivial projectors ξ, ξ^\dagger :** $\xi_{\mathbf{k}^*} = 1$

- $\overline{\mathcal{K}}(P)$ matrix:** matrix in AM index space, projections of a Lorentz scalar $\overline{\mathcal{K}}(s)$

Particles with nonzero spin taken into account by incorporating spin state indices into the above quantities.