

Towards more accurate $D_{(s)} \rightarrow \pi(K)$ and $B_{(s)} \rightarrow \pi(K)$ Form Factors

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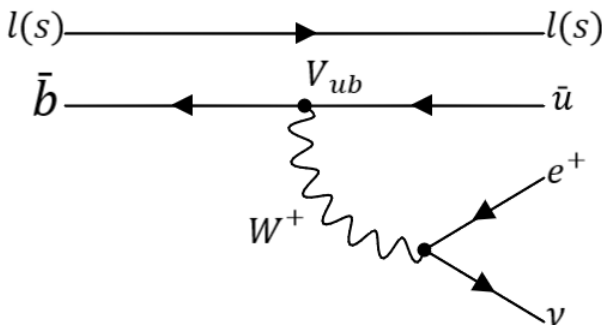
Lattice 2024, July 30th

Form Factor Motivation

CKM matrix elements calculated from kinematic form factors.

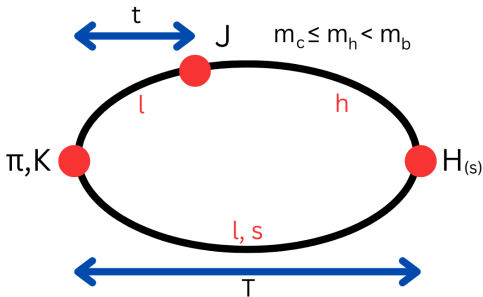
$$\frac{d\Gamma}{dq^2} \propto |V_{CKM}|^2 \times |f(q^2)|^2,$$

$$|V_{cd}| = 0.221 \pm 0.004, |V_{ub}| = (3.82 \pm 0.20) \times 10^{-3} [\text{PDG, 2024}].$$



Heavy HISQ

- Generic **heavy**-quark simulated at various masses.
- Allows extrapolation to physical b-quark mass.



- $N_f = 2 + 1 + 1$ MILC-HISQ gluon fields [[1004.0342](#)], [[1212.4768](#)].

- Fully relativistic, nearly full kinematic range.

Gluon Field Ensembles

Set	$\approx a(\text{fm})$	m_s/m_l	$N_x^3 \times N_t$	am_h range	$ \vec{p}_{\max}^{\pi,K} $ [MeV/c ²]	T range
f-5	0.09	5	$32^3 \times 96$	0.450-0.8	311	15-24
f-phys	0.09	27	$64^3 \times 96$	0.433-0.8	330	15-24
sf-5	0.06	5	$48^3 \times 144$	0.274-0.8	622	22-31
sf-phys	0.06	27	$96^3 \times 192$	0.2585-0.8	648	22-31
uf-5	0.04	5	$64^3 \times 192$	0.194-0.8	583	29-44

- Per ensemble we set smallest $am_h \approx am_c^{\text{phys}}$.
- Coming soon:
 - coarser ensembles, $a \approx 0.12\text{fm}, 0.15\text{fm}$.
 - $am_h = am_b^{\text{phys}}$ on select finer ensembles.
- Max q^2 on uf5 $\approx 20\text{GeV}^2$.

Correlator Fit Equations

Two-point correlator fit equation (e.g. π):

$$C_2^\pi(t) = \sum_{i=0}^{N_{\text{exp}}-1} \left[|A_i^{\pi,n}|^2 (e^{-E_i^{\pi,n}t} + e^{-E_i^{\pi,n}(N-t)}) - (-1)^t |A_i^{\pi,o}|^2 (e^{-E_i^{\pi,o}t} + e^{-E_i^{\pi,o}(N-t)}) \right].$$

Three-point correlator fit equation (e.g. $H \rightarrow \pi$):

$$C_3^{\pi,H}(t, T) = \sum_{i,j=0}^{N_{\text{exp}}-1} \left[A_i^{\pi,n} J_{ij}^{\pi n} A_j^{H,n} e^{-E_i^{\pi,n}t} e^{-E_j^{H,n}(T-t)} - (-1)^{(T-t)} A_i^{\pi,n} J_{ij}^{\pi n o} A_j^{H,o} e^{-E_i^{\pi,n}t} e^{-E_j^{H,o}(T-t)} - (-1)^t A_i^{\pi,o} J_{ij}^{\pi o n} A_j^{H,n} e^{-E_i^{\pi,o}t} e^{-E_j^{H,n}(T-t)} + (-1)^T A_i^{\pi,o} J_{ij}^{\pi o o} A_j^{H,o} e^{-E_i^{\pi,o}t} e^{-E_j^{H,o}(T-t)} \right].$$

Form Factor Equations

Matrix element for ground-state lattice current J_{00}^{nn} (e.g. $H \rightarrow \pi$):

$$\langle \pi | \mathbf{J}_{\text{latt}} | H \rangle = 2Z_{\text{disc}} \sqrt{M_H E_\pi} \times J_{00}^{nn}.$$

Form factor relations to lattice matrix elements:

$$\text{Scalar: } \langle \pi | \mathbf{S}_{\text{latt}} | H \rangle = f_0(q^2) \times \frac{M_H^2 - M_\pi^2}{m_h - m_u},$$

$$\text{Vector: } Z_V \langle \pi | \mathbf{V}_{\text{latt}}^\mu | \hat{H} \rangle = f_+(q^2) \left(p_H^\mu + p_\pi^\mu - \frac{M_H^2 - M_\pi^2}{q^2} q^\mu \right) \\ + f_0(q^2) \frac{M_H^2 - M_\pi^2}{q^2} q^\mu$$

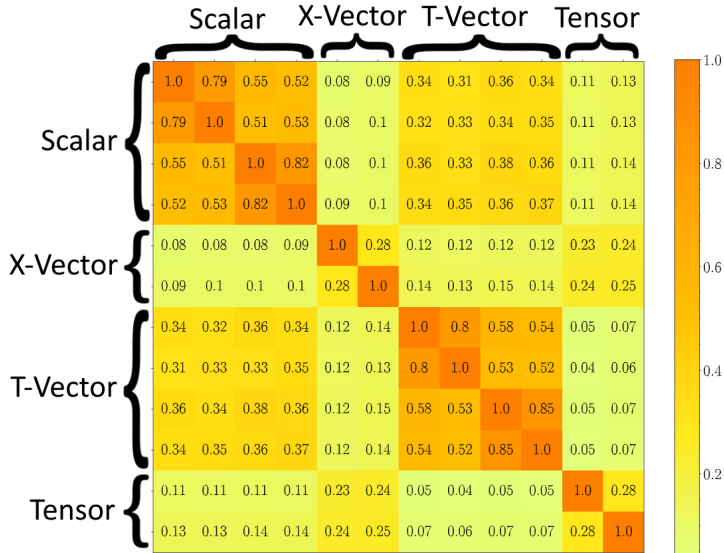
$$\text{Tensor: } Z_T(\mu) \langle \hat{\pi} | \mathbf{T}_{\text{latt}}^{k0} | \hat{H} \rangle = f_T(q^2, \mu) \times \frac{2iM_H p_\pi^k}{M_H^2 + M_\pi^2}.$$

Note: \hat{H} and $\hat{\pi}$ denote local non-Goldstone pseudoscalars. Z terms calculated in [1211.6966], [1008.4562], [1305.1462], [2008.02024]

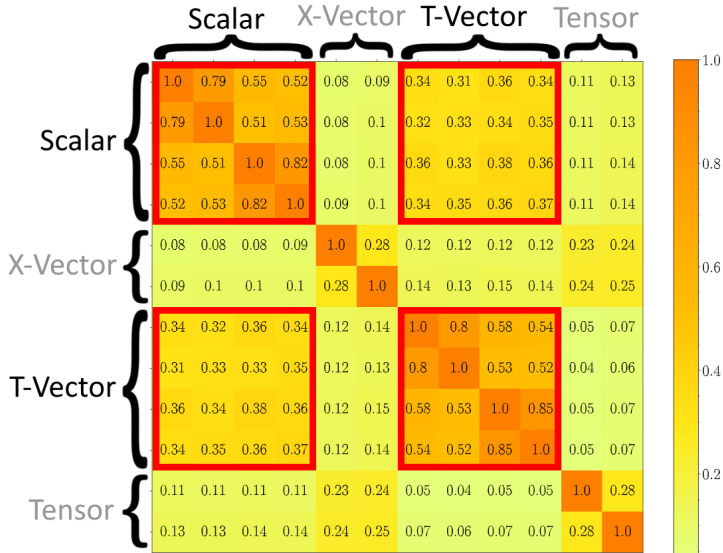
Fitting Obstacles

Fitting requires inverting correlation matrix. For $H \rightarrow \pi$, per ensemble we fit over:

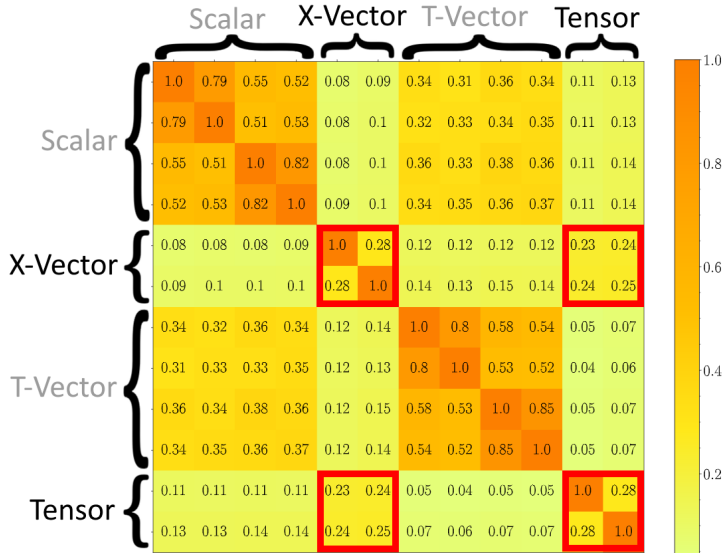
- $4 \times am_h$,
 - $5 \times \theta$, where $\theta = |a\vec{p}_{\pi,K}| \times \frac{N_x}{\sqrt{3\pi}}$,
 - $4 \times T$,
 - $4 \times$ spin-taste copies for H from using local current operators,
 - $4 \times$ 3-point current components: (scalar, temporal vector, spacial vector, tensor),
- ... and the $H_S \rightarrow K$ alternative of each of the above.



H to pi, f-5 ensemble, Sample Fit Correlation Matrix

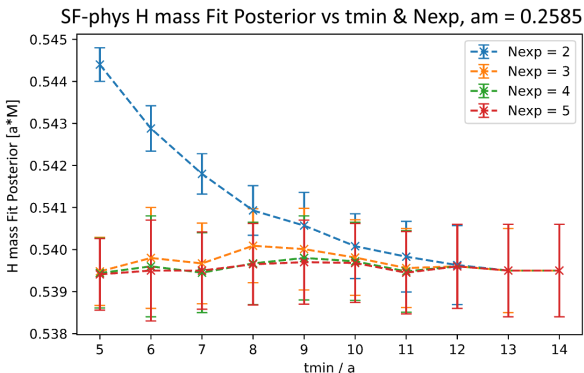


H to pi, f-5 ensemble, Sample Fit Correlation Matrix



H to pi, f-5 ensemble, Sample Fit Correlation Matrix

N_{exp} and t_{min} Testing



- Uncertainty decreases at smaller t_{min}/a .
- Posterior central value saturates at higher N_{exp} .
- Here I choose $t_{\text{min}}/a = 7$.
- Empirical Bayes testing favors $N_{\text{exp}} = 4$ across all ensembles.

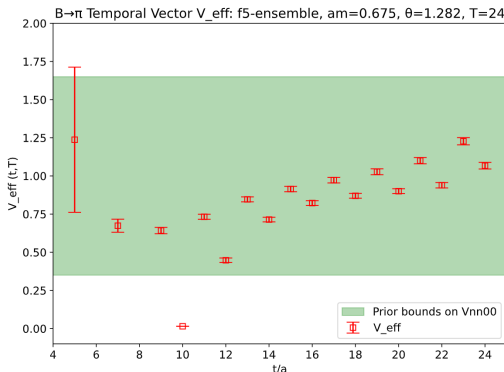
Priors and Bayesian Fitting

$P_i \pm \sigma_i = \text{Fit Parameter,}$

$\tilde{P}_i \pm \tilde{\sigma}_i = \text{Prior on Parameter,}$

$$\chi^2 \rightarrow \chi_{\text{aug}}^2 = \chi^2 + \chi_{\text{prior}}^2,$$

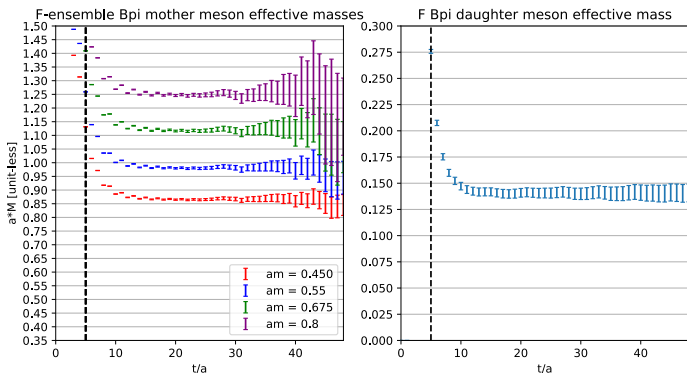
$$\chi_{\text{prior}}^2 = \sum_i \left(\frac{P_i - \tilde{P}_i}{\tilde{\sigma}_i} \right)^2.$$



Priors $\tilde{P}_i \pm \tilde{\sigma}_i$ are initially set from M_{eff} and A_{eff} plots, refined through Empirical Bayesian Analysis.

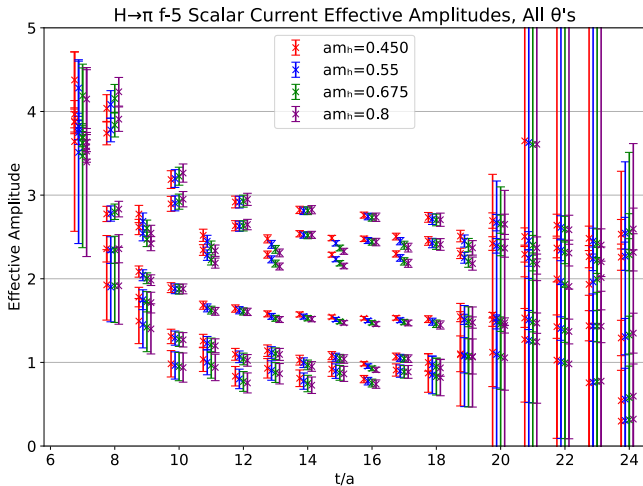
Setting Priors

Some parameters warrant precise priors with narrow widths:

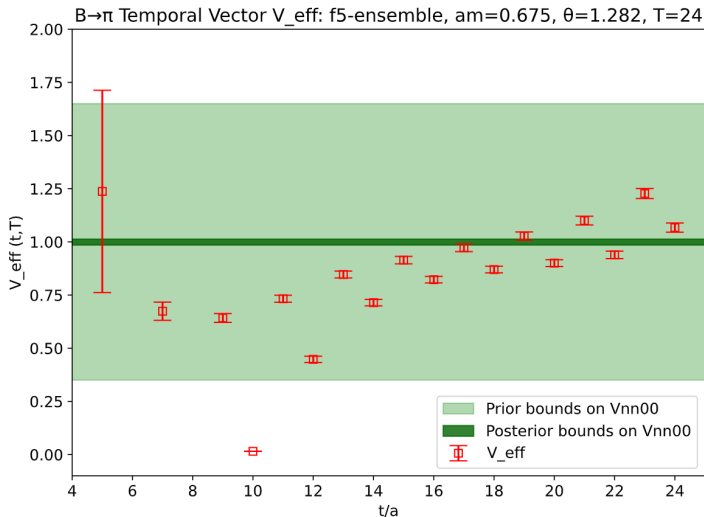


Setting Priors

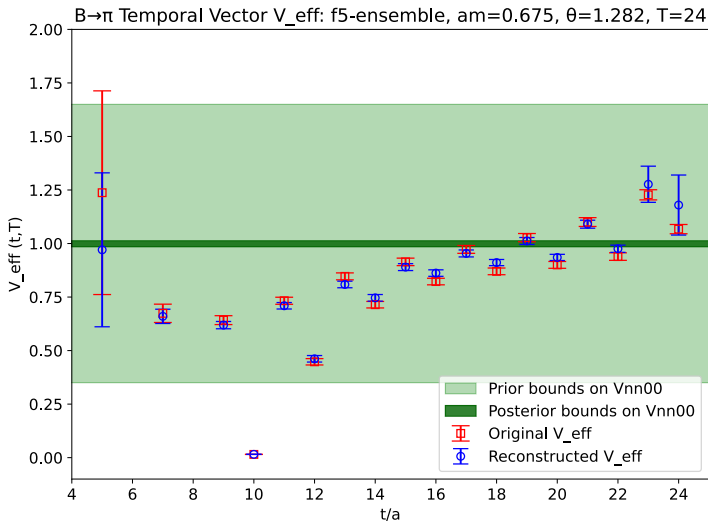
Others warrant conservative priors with broad widths:



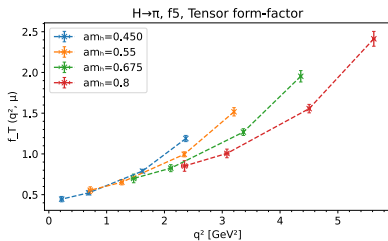
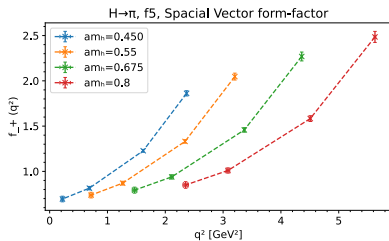
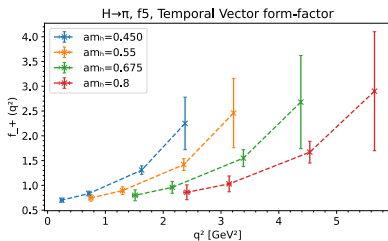
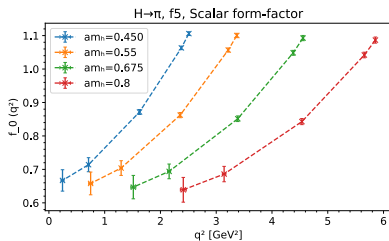
Sample Fit Result - Prior vs. Posterior Bounds



Sample Fit Result - Reconstructed Effective Amplitude

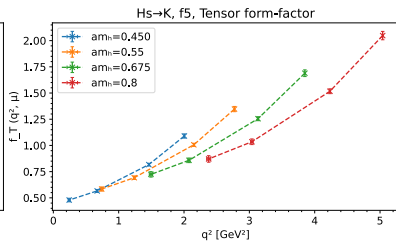
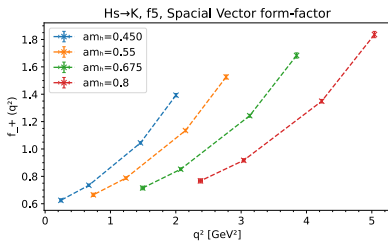
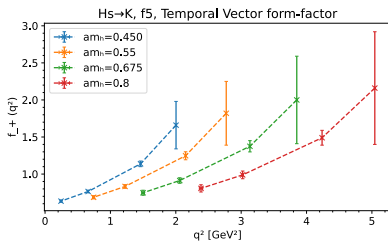
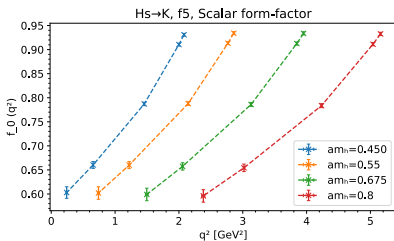


$H \rightarrow \pi$ ($a = 0.09\text{fm}$, $m_s/m_l = 5$) form factors



Results shown are preliminary. $am_c^{\text{phys}} \equiv am_h^{\text{min}} = 0.450$

$H_s \rightarrow K$ ($a = 0.09\text{fm}$, $m_s/m_l = 5$) form factors



Results shown are preliminary. $am_c^{\text{phys}} \equiv am_h^{\text{min}} = 0.450$

Recap and Outlook

Key Points

- Kinematic form factors
→ $|V_{ub}|$ and $|V_{cd}|$.
- First use of **heavy-HISQ** method for $H_{(s)} \rightarrow \pi(K)$ across wide kinematic range.
- Bayesian Statistics integral to fitting method (priors).

Next steps:

- Fit refinement:
 - SVD cut analysis,
 - Empirical Bayes testing of 3pt priors,
 - Stability testing.
- Modified z-expansion:
 - Physical b-quark mass extrapolation,
 - Continuum limit extrapolation.

Back-Up: Renormalization

- Z_{disc} : tree level discretization correction starting at $(am_h)^4$ [Monahan, Shigemitsu, Horgan, 1211.6966].
- Z_V : derived from the partially conserved vector current relation [Na *et al.*, 1008.4562],[Koponen *et al.*, 1305.1462].

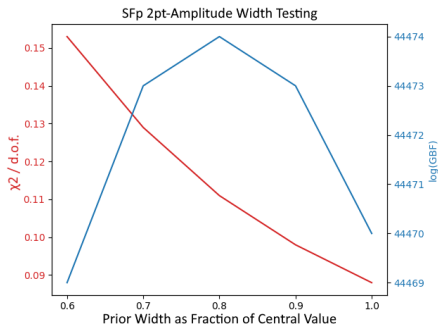
$$\text{For } H \rightarrow \pi : \quad Z_V = \left| \frac{(m_h - m_l) \langle \pi | S | H \rangle}{(M_H - M_\pi) \langle \pi | V^0 | H \rangle} \right|_{q^2=q_{\text{max}}^2}$$

- Z_T : tensor current renormalization at energy scale = $4.8\text{GeV} \approx m_b$ [Hatton *et al.*, 2008.02024].

Back-Up: Empirical Bayes Analysis

Gaussian Bayes Factor (GBF) = probability density of randomly sampling the fit data from fit model (including priors). By construction it punishes over-fitting.

- Optimization: minimize χ^2_{aug} , maximise GBF.
- $\Delta \log(\text{GBF}) \geq 3$ is considered significant. Increasing prior width artificially lowers χ^2_{aug} .
- Adding "noise" to priors restores $\chi^2/\text{d.o.f.} \approx 1$.



Back-Up: Sample Correlator Fitting

F5 Ensemble 3pt-Correlator Comparison: $am=0.675$, $\theta=1.282$, $T=24$

