

# Formulation of $SU(N)$ Lattice Gauge Theories with Schwinger Fermions

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Based on 2112.02090, 2312.17734 and 2408.xxxxx

In collaboration with

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1 Overview

2 Introducing Schwinger fermions

3 Hamiltonian LGT, qubit regularization, and Schwinger fermion formulation

4 Numerical results for  $SU(2)$  QCD<sub>2</sub>

5 Conclusions

- Goal: study QCD using lattice models with finite dimensional local Hilbert space
- Our approach: *qubit regularization*<sup>1,2,3,4</sup> of traditional lattice models
- This talk: reformulate the theory using Schwinger fermions; reproduce the physics (IR and UV) of 2d  $SU(N)$  QCD

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<sup>1</sup>H. Singh and S. Chandrasekharan, 2019, *Phys. Rev. D* arXiv: [1905.13204](#) (hep-lat)

<sup>2</sup>T. Bhattacharya et al., 2021, *Phys. Rev. Lett.* arXiv: [2012.02153](#) (hep-lat)

<sup>3</sup>H. Liu and S. Chandrasekharan, 2022, *Symmetry* arXiv: [2112.02090](#) (hep-lat)

<sup>4</sup>S. Maiti et al., 2024, *PoS* arXiv: [2401.10157](#) (hep-lat)

# Outline

- 1 Overview
- 2 Introducing Schwinger fermions
- 3 Hamiltonian LGT, qubit regularization, and Schwinger fermion formulation
- 4 Numerical results for  $SU(2)$  QCD<sub>2</sub>
- 5 Conclusions

1 Overview

**2** Introducing Schwinger fermions

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# Review of Schwinger boson representation of SU(2)

- $a^{\alpha\dagger} : \alpha = 1, 2$  are boson creation operators that transform as an SU(2) doublet:

$$[a^\alpha, a^\beta] = [a^{\alpha\dagger}, a^{\beta\dagger}] = 0, \quad [a^\alpha, a^{\beta\dagger}] = \delta^{\alpha\beta}.$$

- The generators of SU(2) are defined as  $J^a := \frac{1}{2} a^{\alpha\dagger} \sigma_{\alpha\beta}^a a^\beta \implies [J^a, J^b] = i\varepsilon^{abc} J^c$
- The number operator  $\hat{n} := a^{1\dagger} a^1 + a^{2\dagger} a^2$  distinguishes different irreps of SU(2) (number of boxes in the Young diagram  $\square\square \cdots \square$ ), and is related to the Casimir operator as

$$\sum_a (J^a)^2 = \frac{\hat{n}}{2} \left(1 + \frac{\hat{n}}{2}\right)$$

- $|0\rangle$  satisfies  $a^\alpha|0\rangle = 0$  for  $\alpha = 1, 2 \implies$  the trivial representation of SU(2)

$$|jm\rangle = \left| \frac{1}{2}(n_1 + n_2), \frac{1}{2}(n_1 - n_2) \right\rangle \propto (a^{1\dagger})^{n_1} (a^{2\dagger})^{n_2} |0\rangle.$$

- Applications in LGT, e.g., loop-string-hadron formulation: SU(2)<sup>5</sup> and SU(3)<sup>6,7</sup>

<sup>5</sup>I. Raychowdhury and J. R. Stryker, 2020, *Phys. Rev. D* arXiv: [1912.06133](#) (hep-lat)

<sup>6</sup>S. V. Kadam et al., 2023, *Phys. Rev. D* arXiv: [2212.04490](#) (hep-lat)

<sup>7</sup>S. V. Kadam et al., 2024, arXiv: [2407.19181](#) (hep-lat)

# Introducing Schwinger fermion representation of $SU(N)$

- $c^{\alpha\dagger} : \alpha = 1, \dots, N$  are fermion creation operators that transform in the fundamental representation of  $SU(N)$ :

$$\{c^\alpha, c^\beta\} = \{c^{\alpha\dagger}, c^{\beta\dagger}\} = 0, \quad \{c^\alpha, c^{\beta\dagger}\} = \delta^{\alpha\beta}.$$

- The generators of  $SU(N)$  are defined as  $Q^a := c^{\alpha\dagger} T_{\alpha\beta}^a c^\beta \implies [Q^a, Q^b] = if^{abc} Q^c$ , where  $[T^a, T^b] = if^{abc} T^c$
- The number operator  $\hat{k} := \sum_{\alpha=1}^N c^{\alpha\dagger} c^\alpha$  distinguishes different irreps of  $SU(N)$  (number of boxes in the Young diagram  $(\square\square \cdots \square)^T$ ), and is related to the Casimir operator as

$$\sum_a (Q^a)^2 = \frac{N+1}{2N} (N - \hat{k}) \hat{k}$$

- $|0\rangle$  satisfies  $c^\alpha|0\rangle = 0$  for  $\alpha = 1, 2 \implies$  the trivial representation

$$|\alpha_1 \cdots \alpha_k\rangle = c^{\alpha_k\dagger} \cdots c^{\alpha_1\dagger} |0\rangle.$$

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# Hamiltonian LGT and qubit-regularization of the Hilbert space

## Kogut-Susskind Hamiltonian

$$H = \underbrace{\frac{g^2}{2} \sum_{\langle i,j \rangle} (L_{ij}^{a2} + R_{ij}^{a2})}_{\text{electric field}} - \underbrace{\frac{1}{4g^2} \sum_{\square} (W_{\square} + W_{\square}^{\dagger})}_{\text{magnetic field:}} + \underbrace{t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^{\beta} + \text{h.c.})}_{\text{fermion hopping}}$$

Qubit regularization: same Hamiltonian, but truncates the link Hilbert space:

|               | Traditional   | Qubit regularization  |
|---------------|---|---|
| Hilbert space | $L^2(G) = \bigoplus_{\lambda \in \widehat{\text{SU}(N)}} V_{\lambda} \otimes V_{\lambda}^*$<br>(Peter-Weyl theorem) | $\mathcal{H}_Q := \bigoplus_{\lambda \in Q} V_{\lambda} \otimes V_{\lambda}^*$<br>(Symmetry is preserved)   |
| Irreps        | $\widehat{\text{SU}(N)}$ : Young diagrams with at most $N - 1$ rows   | $Q = \{ \circ, \square, \begin{array}{ c } \hline \square \\ \hline \end{array}, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \end{array}, \dots, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array} \}$ |

# Reasons for $Q$ -scheme (anti-symmetric)

$$Q = \{ \circ, \square, \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}, \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}, \dots, \overline{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}}, \overline{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}} \}$$

- Contains all  $N$ -ality: string tensions at large distance are dictated by  $N$ -ality (screening)
- Smallest quadratic Casimir among each  $N$ -ality: minimize  $\frac{g^2}{2}(L^{a2} + R^{a2})$
- Anti-symmetric representations: formulation using Schwinger fermions

# Schwinger fermion representation of the link variables

- Link algebra:

$$\begin{aligned} [L_i^a, L_j^b] &= if^{abc} L_i^c \delta_{ij}, \quad [R_i^a, R_j^b] = if^{abc} R_i^c \delta_{ij}, \quad [L_i^a, R_j^b] = 0, \\ [L_i^a, U_{jk}^{\alpha\beta}] &= -T_{\alpha\gamma}^a U_{jk}^{\gamma\beta} \delta_{ij}, \quad [R_i^a, U_{jk}^{\alpha\beta}] = U_{jk}^{\alpha\gamma} T_{\gamma\beta}^a \delta_{ik}, \end{aligned}$$

- Each link is made of two Schwinger fermions  $l^{\alpha\dagger}, r^{\alpha\dagger} : \alpha = 1, \dots, N$

$$\begin{aligned} \{l^\alpha, l^{\beta\dagger}\} &= \delta^{\alpha\beta}, \quad \{l^\alpha, l^\beta\} = \{l^{\alpha\dagger}, l^{\beta\dagger}\} = 0, \\ \{r^\alpha, r^{\beta\dagger}\} &= \delta^{\alpha\beta}, \quad \{r^\alpha, r^\beta\} = \{r^{\alpha\dagger}, r^{\beta\dagger}\} = 0, \\ \{l^\alpha, r^{\beta\dagger}\} &= \{l^{\alpha\dagger}, r^\beta\} = \{l^\alpha, r^\beta\} = \{l^{\alpha\dagger}, r^{\beta\dagger}\} = 0, \end{aligned}$$

- Schwinger fermion representation of the link variables:

$$L^a = l^{\alpha\dagger} T_{\alpha\beta}^a l^\beta, \quad R^a = r^{\alpha\dagger} T_{\alpha\beta}^a r^\beta, \quad U^{\alpha\beta} = l^\alpha \frac{1}{\sqrt{\hat{k}_l \hat{k}_r}} r^{\beta\dagger}$$

- Peter-Weyl theorem, or conservation of electric flux  $\implies k_l + k_r = N$ .
- $|k_l = N; k_r = 0\rangle \equiv |k_l = 0; k_r = N\rangle$ .

# Schwinger fermion representation of the KS Hamiltonian

## Kogut-Susskind Hamiltonian

$$H = \underbrace{\frac{g^2}{2} \sum_{\langle i,j \rangle} \frac{N+1}{N} (N - \hat{k}) \hat{k}}_{\text{electric field}} - \underbrace{\frac{1}{4g^2} \sum_{\square} (W_{\square} + W_{\square}^{\dagger})}_{\text{magnetic field:}} + \underbrace{t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} l_i^{\alpha}) \frac{1}{\sqrt{\hat{k}_{l_i} \hat{k}_{r_j}}} (r_j^{\beta\dagger} c_j^{\beta})}_{\text{fermion hopping}} + \text{h.c.}$$

where

$$W_{\square} = l_1^{\alpha_1} \frac{1}{\sqrt{\hat{k}_{l_1} \hat{k}_{r_2}}} r_2^{\alpha_2\dagger} l_2^{\alpha_2} \frac{1}{\sqrt{\hat{k}_{l_2} \hat{k}_{r_3}}} r_3^{\alpha_3\dagger} l_3^{\alpha_3} \frac{1}{\sqrt{\hat{k}_{l_3} \hat{k}_{r_4}}} r_4^{\alpha_4\dagger} l_4^{\alpha_4} \frac{1}{\sqrt{\hat{k}_{l_4} \hat{k}_{r_1}}} r_1^{\alpha_1\dagger}$$

Advantages:

- manifestly gauge invariant on each site. Gauss law: conservation of quark number plus Schwinger fermion number mod  $N$ .
- gauge invariant operators on each site are bosonic

# Gauge invariant operators

$$C_{x,\mu}^\dagger := c_x^{\alpha\dagger} f_{x,\mu}^\alpha, \quad F_{x,\mu\nu} := f_{x,\mu}^{\alpha\dagger} f_{x,\nu}^\alpha.$$

$F_{x,\mu\nu}^\dagger = F_{x,\nu\mu}$  and  $F_{x,\mu\mu} = F_{x,\mu\mu}^\dagger = \hat{k}_{x,\mu}$  by definition.  
These operators satisfies

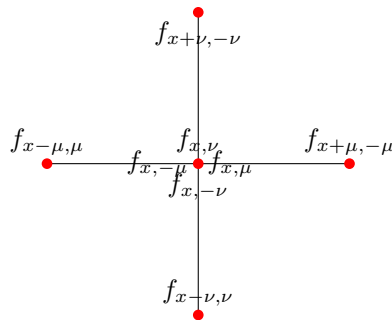
$$[C_\mu, C_\nu^\dagger] = F_{\mu\nu} - \hat{n} \delta_{\mu\nu}.$$

$$[F_{\mu\nu}, F_{\rho\sigma}] = F_{\mu\sigma} \delta_{\nu\rho} - F_{\rho\nu} \delta_{\mu\sigma}$$

$$[F_{\mu\nu}, C_\rho] = C_\mu \delta_{\nu\rho},$$

$$[F_{\mu\nu}, C_\rho^\dagger] = -C_\nu^\dagger \delta_{\mu\rho},$$

which is the algebra of  $U(2d + n_f)$ . ( $\mathfrak{l} = F \oplus \hat{n}$  and  $\mathfrak{p} = C$  form a Cartan decomposition.)



## Where is $N$ ?

Since the Hamiltonian can be written solely in terms of gauge invariant operators  $C_\mu$  and  $F_{\mu\nu}$  that form  $U(2d + N_f)$  algebra, where is the information of the original gauge group  $SU(N)$ ?

# Where is $N$ ?

Since the Hamiltonian can be written solely in terms of gauge invariant operators  $C_\mu$  and  $F_{\mu\nu}$  that form  $U(2d + N_f)$  algebra, where is the information of the original gauge group  $SU(N)$ ?

- Answer: It is hidden in the representation!

$$(C_\mu^\dagger)^{N+1} = 0, (F_{\mu\nu}^\dagger)^{N+1} = 0 \quad \text{when } \mu \neq \nu.$$

A trivial example:

$$C_\mu = |\mu\rangle\langle 0|, \quad C_\mu^\dagger = |0\rangle\langle \mu|, \quad F_{\mu\nu} = |\mu\rangle\langle \nu|,$$

Form a representation of the  $U(2d + 1)$  algebra in the case of  $N = 1$ .

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# Continuum theory and lattice theory

## Full continuum theory

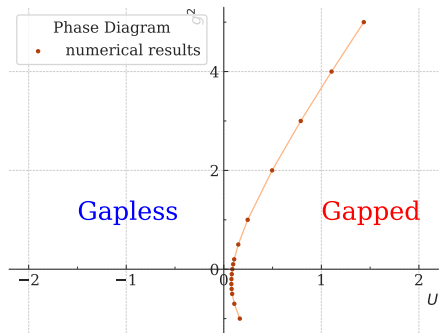
$$\mathcal{L} = \frac{1}{2\tilde{g}^2} \text{tr} F^2 + \bar{\psi}^{\alpha i} i \not{D} \psi^{\alpha} + \sum_a \lambda^a J_L^a J_R^a$$

## Full lattice theory

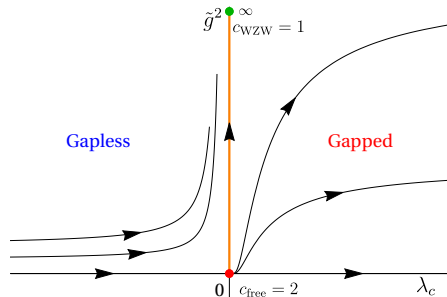
generalized Hubbard coupling

$$H = \frac{g^2}{2} \sum_{\langle i,j \rangle} (L_{ij}^{a2} + R_{ij}^{a2}) + t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^{\beta} + \text{h.c.}) + U \sum_i n_i (N - n_i)$$

# Phase Diagram (2312.17734)

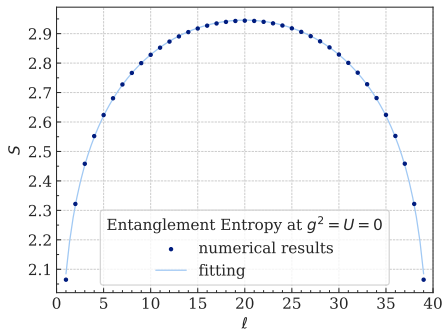


Phase diagram



Flow diagram

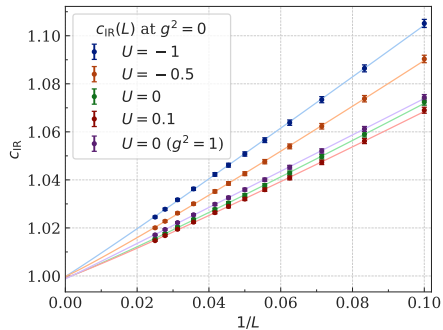
# IR central charge (2312.17734)



IR central charge via entanglement entropy:

$$S = \frac{c_{\text{IR}}}{3} \log \left( \frac{L}{\pi a} \sin \frac{\pi \ell}{L} \right) + \text{const.}$$

between two subsystems with size  $\ell$  and  $L - \ell$ .



Central charge extrapolation

$c_{\text{IR}}(\infty)$  ranges from 0.9988(7) to 0.9998(9).

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# Conclusions

- Formulated  $SU(N)$  lattice gauge theories using Schwinger fermions.
- Remarkably, the resulting theory can be expressed purely in terms of gauge-invariant operators, which form a  $U(2d + N_f)$  algebra.
- This formulation applies to any  $SU(N)$  gauge group in any spacetime dimension.
- Reproduced the IR phases of 2d QCD using finite-dimensional local Hilbert space
- References:
  - ▶ 2112.02090: general idea of qubit regularization for  $SU(N)$  gauge theories.
  - ▶ 2312.17734: phase analysis in the continuum and numerical check on the lattice.
  - ▶ 2408.xxxxx: formulation of the theory using Schwinger fermions.

THANKS FOR ATTENTION!

- 6 The continuum theory of 2d QCD and its bosonization
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# The continuum theory of 2d QCD

SU(N) Yang-Mills theory coupled to single-flavor massless Dirac fermions

$$\mathcal{L}_0 = \frac{1}{2\tilde{g}^2} \text{tr} F^2 + \bar{\psi}^\alpha i \not{D} \psi^\alpha$$

## Symmetries:

- $\tilde{g}^2 = 0$ : free fermion,  $O(2N)_L \times O(2N)_R$  chiral symmetry. ( $\psi^\alpha = \frac{1}{\sqrt{2}}(\xi^{2\alpha-1} - i\xi^{2\alpha})$ )
- $\tilde{g}^2 > 0$ : Gauge symmetry SU(N),  $SU(2)_L \times SU(2)_R$  ( $N = 2$ );  $U(1)_L \times U(1)_R$  ( $N \geq 3$ ).

## Bosonization:

- $\tilde{g}^2 = 0$ :  $U(N)_1$  or  $SO(2N)_1$  WZW model. Central charge:  $c = N$ .
- $\tilde{g}^2 > 0$ :  $SO(2N)_1/SU(N)_1$  or  $U(N)_1/SU(N)_1 \cong U(1)_N$  coset WZW model.  
Central charge:  $c = c(SO(2N)_1) - c(SU(N)_1) = N - (N - 1) = 1$ .
  - ▶  $N = 2$ :  $SO(4) \cong SU(2)_s \times SU(2)_c$ , coset is  $SU(2)_1$  WZW model in the charge sector.



# Symmetries from the lattice

- Continuum symmetries forbid any relevant or marginal couplings.
- When regularizing the theory on the lattice using staggered fermions,  
 $U(1)_L \times U(1)_R \rightarrow U(1) \times \mathbb{Z}_2^X$  ( $SU(2)_L \times SU(2)_R \rightarrow SU(2) \times \mathbb{Z}_2^X$  for  $N = 2$ ).  
 $\mathbb{Z}_2^X$  forbids mass terms, but allows coupling between currents:  $J_{L,R}^a := \frac{1}{2} \xi_{L,R}^T T^a \xi_{L,R}$

## Full continuum theory

$$\mathcal{L} = \frac{1}{2\tilde{g}^2} \text{tr} F^2 + \bar{\psi}^\alpha i \not{D} \psi^\alpha + \sum_a \lambda^a J_L^a J_R^a$$

- $N \geq 3$ : two independent couplings:  $\lambda_0$  (Thirring coupling) and  $\lambda_{\bar{c}}$
- $N = 2$ : one independent coupling:  $\lambda_0 = \lambda_{\bar{c}} = \lambda_c$

# RG flow

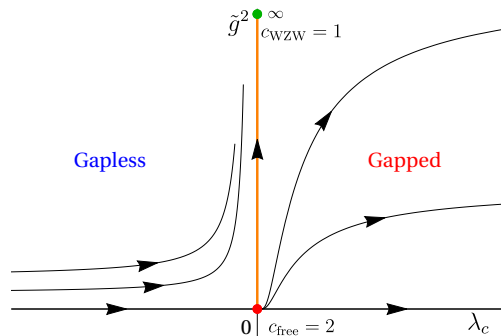
- $N \geq 3$ :

$$\frac{d\lambda_0}{d \ln \mu} = -\frac{N-1}{2\pi} \lambda_{\tilde{c}}^2,$$
$$\frac{d\lambda_{\tilde{c}}}{d \ln \mu} = -\frac{1}{N\pi} \lambda_0 \lambda_{\tilde{c}},$$

- $N = 2$ :

$$\frac{d\lambda_c}{d \ln \mu} = -\frac{1}{2\pi} \lambda_c^2.$$

Mixed anomaly between  $U(1)$  and  $\mathbb{Z}_2^X$   
 $\implies$  the gapped phase should spontaneously break  $\mathbb{Z}_2^X$ , the translation-by-one-site symmetry on the lattice.



RG flow for  $N = 2$

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# Phases in the strong coupling limit

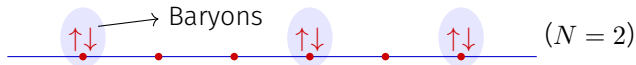
generalized Hubbard coupling

$$H = \frac{g^2}{2} \sum_{\langle i,j \rangle} (L_{ij}^{a2} + R_{ij}^{a2}) + t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^\beta + \text{h.c.}) + U \sum_i n_i (N - n_i)$$

$g^2/t \gg 1$ :

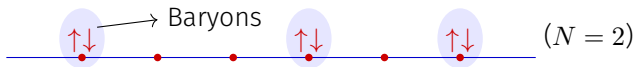
$$\frac{1}{2} (L_{ij}^{a2} + R_{ij}^{a2}) |k\rangle = \frac{N+1}{2N} k(N-k) |k\rangle,$$

gauge links prefer  $k = 0$  (trivial rep)



Similar analysis for  $-U/t \gg 1$ .

# Strong coupling expansion - spin-chain phase



When  $g^2/t \gg 1$  or  $-U/t \gg 1$ , treat hopping terms as a perturbation:

$$XXZ \text{ spin chain: } H_{\text{eff}} = \sum_{\langle i,j \rangle} J_{\perp} (X_i X_j + Y_i Y_j) + J_z (Z_i Z_j - 1)$$

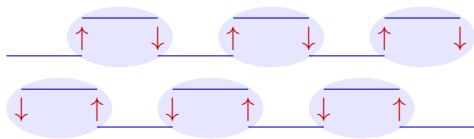
where

$$J_{\perp} = (-1)^{N-1} \frac{N}{2(N-1)!} \frac{t^N}{\left(\frac{N+1}{2N} g^2 + 2U\right)^{N-1}}, \quad J_z = \frac{N}{2(N-1)} \frac{t^2}{\frac{N+1}{2N} g^2 + 2U}$$

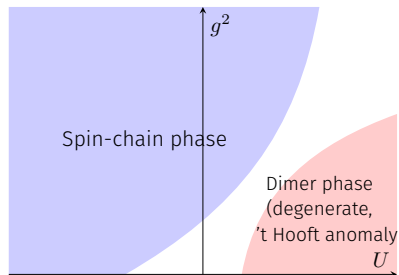
- When  $N = 2$ ,  $|J_{\perp}| = |J_z|$  (gapless)  $\implies$  SU(2) symmetry  $\leftrightarrow$  SU(2)<sub>1</sub> WZW model.
- When  $N > 2$ ,  $|J_{\perp}| < |J_z|$  (gapped, Néel)  $\implies$  U(1) symmetry  $\leftrightarrow$  U(1)<sub>N</sub> WZW model.

# Strong coupling expansion - dimer phase

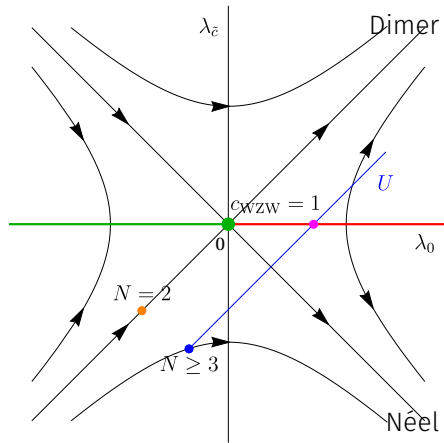
when  $U/t \gg 1$ , each site is forced to have one fermion ( $N = 2$ )



gapped, dimerized, doubly degenerate, expected from 't Hooft anomaly matching



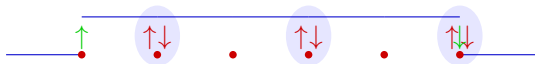
# Lattice model in the continuum RG flow



# Confinement in the strong coupling limit

Put two test quarks and pull them apart, see how the energy changes:

$-U/t \gg 1$ : Raise links in-between to higher irreps, confined

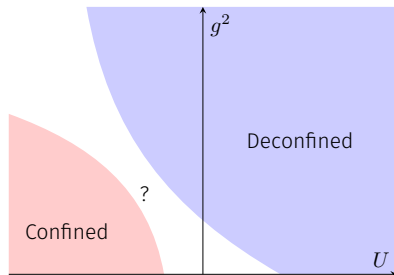


$$\text{String tension: } T_k = g^2 \frac{N+1}{2N} k(N-k).$$

$-U/t \gg 1$  or  $g^2/t \gg 1$ :

$$\text{String tension: } T_k = g^2 \frac{N+1}{2N} k(\lfloor \frac{N}{2} \rfloor - k).$$

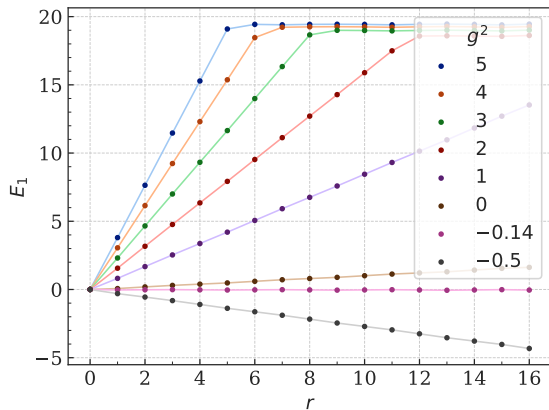
$\implies$  Deconfined for  $N = 2, 3$



Confinement diagram for  $N = 2, 3$

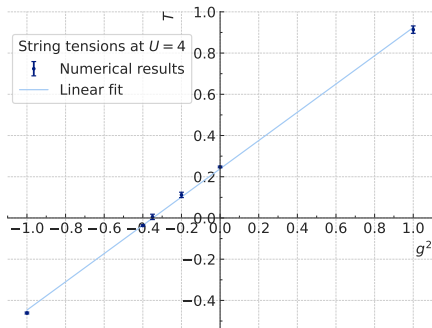


# Confining phase



Energy as a function of the distance  $r$  between the test quarks at  $N = 2$ ,  $k = 1$  and  $L = 20$  for  $U = -10$ .

# String tensions at large $U$



$$T = 0.685(8)g^2 + 0.239(5)$$

- Strong coupling result:  $T = 0.75g^2$
- Surprisingly, when  $g^2 = 0$ ,  $T > 0$ . (In traditional theory, when  $g^2 = 0$  the gauge field can be absorbed)
- In the qubit regularization, electric field term is generated by the hopping term in the RG sense:

$$H_{ij} = c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^\beta + c_j^{\beta\dagger} (U_{ij}^{\alpha\beta})^\dagger c_i^\alpha$$

$$-\frac{1}{\beta} \log(\text{tr}_f e^{-\beta H_{ij}}) \begin{cases} \propto \mathbb{1} & : \text{traditional} \\ \propto L_{ij}^{a2} + R_{ij}^{a2} & : \text{qubit} \end{cases}$$

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# Marginal operator, level crossing and critical point

- $SU(2)_1$  WZW has  $SU(2)_L \times SU(2)_R$  symmetry  
Lowest 5 states:  $(s_L, s_R) = (0, 0)$  and  $(\frac{1}{2}, \frac{1}{2})$
- On the lattice: chiral symmetry is broken  
 $\lambda_c J_L \cdot J_R$  is allowed, can be tuned by  $U$

$$SU(2)_L \times SU(2)_R \xrightarrow{\text{broken}} SU(2)_{\text{diag}}$$

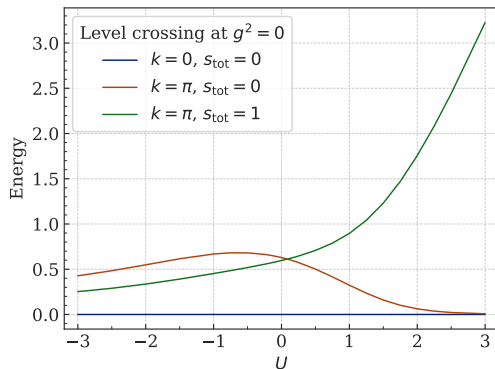
$$(s_L, s_R) = (\frac{1}{2}, \frac{1}{2}) \longrightarrow s_{\text{tot}} = 1, 0$$

$$\langle J_L \cdot J_R \rangle = \frac{1}{2} \langle (J_L + J_R)^2 - J_L^2 - J_R^2 \rangle$$

$$= \frac{1}{2} (s_{\text{tot}}(s_{\text{tot}} + 1) - s_L(s_L + 1) - s_R(s_R + 1))$$

$\lambda_c$  is marginal,  $\beta$ -function:

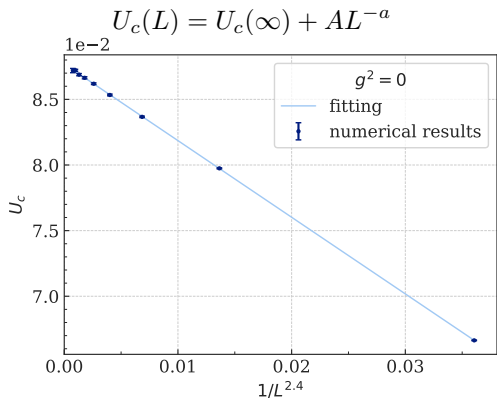
$$\frac{d\lambda_c}{d \ln \mu} = -\frac{1}{2\pi} \lambda_c^2$$



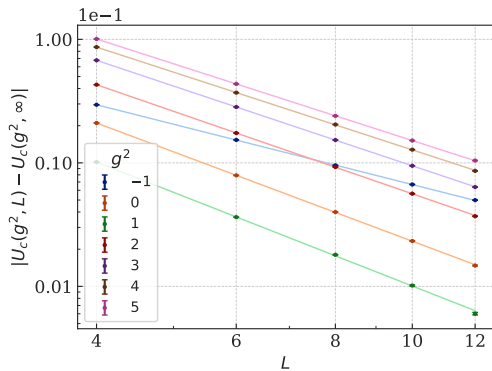
DMRG: ITensor<sup>a</sup>

<sup>a</sup>M. Fishman et al., 2022, *SciPost Phys. Codebases*

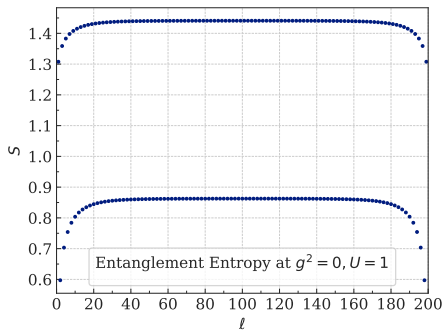
# Critical point extrapolation in $L$



$$U_c(\infty) = -0.08769(3)$$



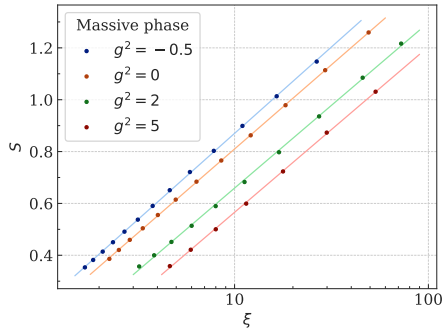
# UV central charge



UV central charge via entanglement entropy

$$S = \frac{c_{\text{UV}}}{6} \log \frac{\xi}{a} + \text{const.}$$

$\xi$  is correlation length.



$$c_{\text{UV}} = 1.737(6), 1.693(4), 1.66(1), 1.66(1)$$