

A new method for calculating false vacuum decay rates on the lattice

Luchang Jin, Joshua Swaim*

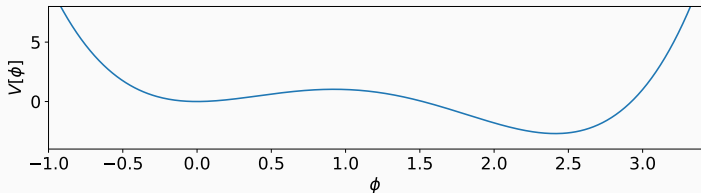
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University of Connecticut

False Vacuum Decay

- Consider a field theory in which the potential has two local minima.
e.g.

$$\mathcal{L}(x) = \frac{1}{2}(\partial_\mu \phi(x))^2 + V[\phi(x)]$$



- The higher minimum gives a semi-stable “false vacuum” state.
- In the quantized theory, the false vacuum decays to the true vacuum.

False vacuum decay is relevant to:

- The Standard Model (the vacuum may be unstable)
- The Schwinger mechanism (field decay through pair production)
- Cosmology (the original inflation model involved false vacuum decay)
- Condensed matter systems

- The standard approach is the semi-classical approximation
- Recently, two lattice methods were proposed ¹
 - These methods were tested on 1D quantum mechanics with the hope of generalizing to field theory.
- Why a new approach?
 - Semi-classical approximation does not always work well.
 - The first lattice method struggles with small decay rates.
 - The second lattice method requires extrapolation to large volumes.
 - An new, independent lattice method could serve as a cross-check.

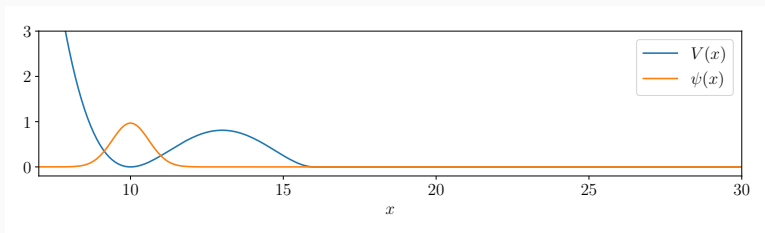
¹Jiayu Shen, Patrick Draper, and Aida X. El-Khadra. Vacuum decay and Euclidean lattice Monte Carlo. Phys. Rev. D, 107(9):094506, 2023. doi: 10.1103/PhysRevD.107.094506.

Challenges of Using Monte Carlo for False Vacuum Decay

- **Sampling problem:** It is difficult to correctly sample configurations for Monte Carlo simulation.
- **Statistics problem:** Even with perfect sampling, the signal is exponentially suppressed.
- **Inverse problem:** To go from imaginary to real time, we need to do an inverse Laplace transformation.

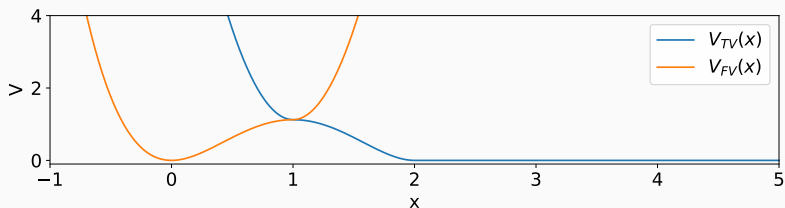
1D Quantum Mechanics Problem

- We will be dealing with a potential of the form shown below



Sampling Problem: Dynamic Constraints

- Consider the potentials below.
- The orange line is V_{FV} and is identical to V_{full} in the false vacuum.
- The blue line is V_{TV} and is identical to V_{full} in the true vacuum.

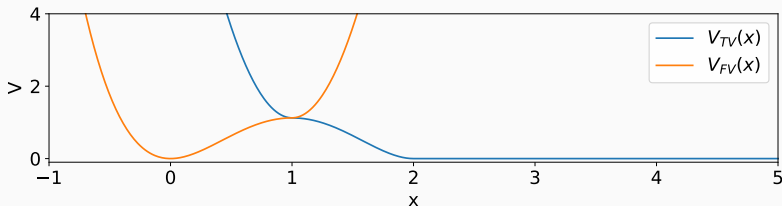


Sampling Problem: Dynamic Constraints

Procedure:

- Start with sum over all states.
- Evolve with $H_{FV} \equiv K + V_{FV}$ (projects false vacuum “ground state”)
- Evolve with $H_{full} \equiv K + V_{full}$ (allows transitions)
- Evolve with $H_{TV} \equiv K + V_{TV}$ (projects configurations that transition)
- Evolve with $H_{full} \equiv K + V_{full}$ (allows transitions)

$$\text{Tr} \left[e^{-H_{full} t} e^{-H_{TV} t_{TV}} e^{-H_{full} t} e^{-H_{FV} t_{FV}} \right]$$



Sampling Problem: Ratios

- To get the decay rate, we need the ratio

$$\frac{\text{Tr}\left[e^{-H_{\text{full}}t} e^{-H_{\text{TV}}t_{\text{TV}}} e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{FV}}}\right]}{\text{Tr}\left[e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{TV}}} e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{FV}}}\right]}$$

- It is very difficult to directly calculate this ratio.
- However, we can split this ratio into sub-ratios:

$$\frac{\text{Tr}\left[\dots e^{-H_{\text{TV}}t_{\text{TV}}} \dots\right]}{\text{Tr}\left[\dots e^{-H_1t_{\text{TV}}} \dots\right]} \cdot \frac{\text{Tr}\left[\dots e^{-H_1t_{\text{TV}}} \dots\right]}{\text{Tr}\left[\dots e^{-H_2t_{\text{TV}}} \dots\right]} \dots \frac{\text{Tr}\left[\dots e^{-H_n t_{\text{TV}}} \dots\right]}{\text{Tr}\left[\dots e^{-H_{\text{FV}}t_{\text{TV}}} \dots\right]}$$

- The intermediate Hamiltonians H_i transition smoothly between H_{TV} and H_{FV} .
- This solves **both** the sampling and statistics problems.

Inverse Problem: Fermi's Golden Rule

- We can relate the ratio

$$\frac{\text{Tr}\left[e^{-H_{\text{full}}t} e^{-H_{\text{TV}}t_{\text{TV}}} e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{FV}}}\right]}{\text{Tr}\left[e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{TV}}} e^{-H_{\text{full}}t} e^{-H_{\text{FV}}t_{\text{FV}}}\right]}$$

to the decay rate using Fermi's Golden Rule (FGR).

- To use FGR, we need information on the energy spectrum.
- Finding the full spectrum is equivalent to the inverse problem.
- However, for FGR, we only need the spectrum at the energy of the false vacuum.
- This allows us to try simple ansatz to approximate the spectrum.
- This procedure works when the false vacuum energy is not too big.

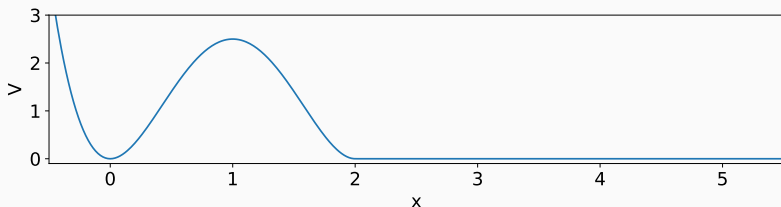
Results

We use the same action as Shen et al. [2023]

$$S = \int dt \left[\frac{1}{2}(\partial_t x)^2 + \begin{cases} \frac{1}{2}m^2 x^2 - \eta x^3 + \frac{\eta^2}{2m^2} x^4 & x < \frac{m^2}{\eta} \\ 0 & x > \frac{m^2}{\eta} \text{ (true vacuum)} \end{cases} \right]$$

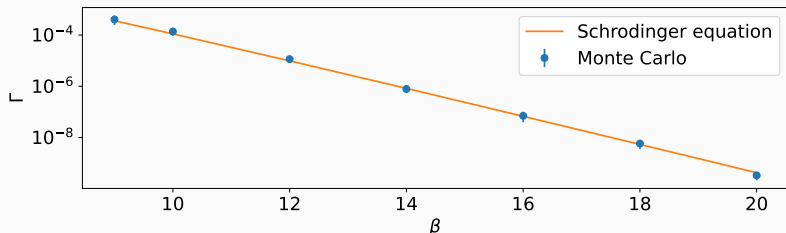
\implies

$$S = \beta \int dt \left[\frac{1}{2}(\partial_t x)^2 + \begin{cases} \frac{1}{2}x^2 - \frac{1}{2}x^3 + \frac{1}{8}x^4 & x < 2 \\ 0 & x > 2 \text{ (true vacuum)} \end{cases} \right]$$



Results

$$S = \beta \int dt \left[\frac{1}{2} (\partial_t x)^2 + \begin{cases} \frac{1}{2} x^2 - \frac{1}{2} x^3 + \frac{1}{8} x^4 & x < 2 \\ 0 & x > 2 \text{ (true vacuum)} \end{cases} \right]$$



References

Jiayu Shen, Patrick Draper, and Aida X. El-Khadra. Vacuum decay and Euclidean lattice Monte Carlo. *Phys. Rev. D*, 107(9):094506, 2023.
doi: 10.1103/PhysRevD.107.094506.

Appendix: Fermi's Golden Rule

Appendix: Fermi's Golden Rule

- One way to calculate decay rates is Fermi's golden rule:

$$\begin{aligned}\Gamma &= \sum_m 2\pi\delta(E_m - E_{FV}) |\langle \phi_m | V | \varphi_{FV} \rangle|^2 \\ &= 2\pi \langle \varphi_{FV} | V \delta(H_{\text{sep}} - E_{FV}) V | \varphi_{FV} \rangle.\end{aligned}$$

- H_{sep} is a Hamiltonian such that the FV and TV are separated.
- V is defined so that $H = H_{\text{sep}} + V$.
- ϕ_m are eigenstates of H_{sep} in the TV.
- φ_{FV} is the lowest eigenstate of H_{sep} in the FV.

Appendix: Fermi's Golden Rule

- We can show that

$$\frac{\langle \psi | e^{-H_{FV} t_{FV}} e^{-Ht} e^{-H_{TV} t_{TV}} e^{-Ht} e^{-H_{FV} t_{FV}} | \psi \rangle}{\langle \psi | e^{-H_{FV}(2t_{FV} + 2t + t_{TV})} | \psi \rangle}$$
$$= \int_0^t dt_1 \int_0^t dt_2 \langle FV | V^\dagger e^{-(H_{TV} - E_{FV})(t_{TV} + t_1 + t_2)} V | FV \rangle$$

- We want to calculate

$$\langle \varphi_{FV} | V \delta(H_{\text{sep}} - E_{FV}) V | \varphi_{FV} \rangle.$$

- Define

$$\rho(E) \equiv \int_0^t dt_1 \int_0^t dt_2 \langle FV | V^\dagger \delta(H_{TV} - E) e^{-(H_{TV} - E_{FV})(t_{TV} + t_1 + t_2)} V | FV \rangle$$

- Then

$$\begin{aligned} \rho(E_{FV}) &= \int_0^t dt_1 \int_0^t dt_2 \langle FV | V^\dagger \delta(H_{TV} - E_{FV}) V | FV \rangle \\ &= t^2 \langle FV | V^\dagger \delta(H_{TV} - E_{FV}) V | FV \rangle \end{aligned}$$

Appendix: Fermi's Golden Rule

- We want

$$\rho(E) = \frac{\langle \psi | e^{-H_{FV} t_{FV}} e^{-Ht} \delta(H_{TV} - E) e^{-H_{TV} t_{TV}} e^{-Ht} e^{-H_{FV} t_{FV}} | \psi \rangle}{\langle \psi | e^{-H_{FV}(2t_{FV} + 2t + t_{TV})} | \psi \rangle}.$$

- But we can only calculate

$$\int dE \rho(E) = \frac{\langle \psi | e^{-H_{FV} t_{FV}} e^{-Ht} e^{-H_{TV} t_{TV}} e^{-Ht} e^{-H_{FV} t_{FV}} | \psi \rangle}{\langle \psi | e^{-H_{FV}(2t_{FV} + 2t + t_{TV})} | \psi \rangle}.$$

- But we can also calculate the moments

$$\int dE E \rho(E) \text{ and } \int dE E^2 \rho(E).$$

- If we assume $\rho(E)$ has a Gaussian distribution, we can calculate it.