



# Primordial Black Holes and Induced Gravitational Waves from a Smooth Crossover beyond Standard Model

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Based mainly on: A. Escrivà, Y. Tada and C.M Yoo. ArXiv:2311.17760

Please, let me advertise our PBH book chapter (200 pages, ~800 references)

# What is dark matter? Maybe PBHs (“maybe”....)

Review on PBHs: [Escriva, Kuhnel, Tada. ArXiv:2211.05767](#)

## But please, also check other reviews

[M. Sasaki, T. Suyama, T. Tanaka, S. Yokoyama. ArXiv:1801.05235](#) (grav. Waves perspectives)

[B. Carr, K. Kohri, Y. Sendouda, J. Yokoyama. ArXiv:2002.12778](#) (PBH constraints)

[M. Sasaki, T. Suyama, T. Tanaka, S. Yokoyama. ArXiv:1801.05235](#) (grav. Waves perspectives)

[B. Carr, F. Kuhnel. ArXiv:2006.02838](#) (PBH constraints)

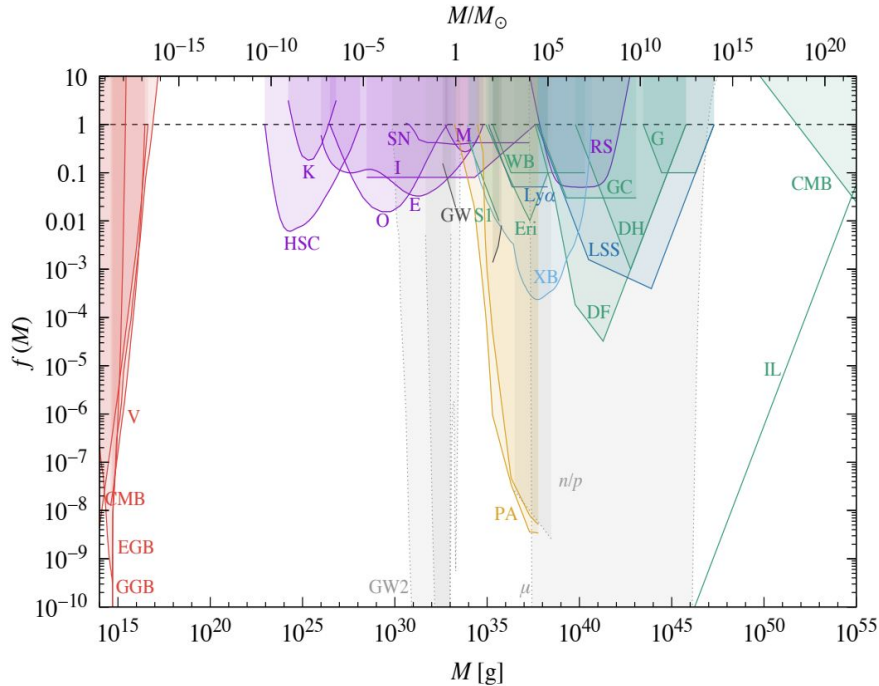
[Anne M. Green, Bradley J. Kavanagh. ArXiv:2007.10722](#) (PBH constraints and phenomenology)

[A. Escriva. ArXiv:2111.12693](#) (numerical simulations)

[Chul-Moon Yoo. ArXiv:2211.13512](#) (statistics)

[Shi Pi. arXiv:2404.06151](#) (NGs)

ETC (please check more in Arxiv)



B. Carr, K. Kohri, Y. Sendouda, J. Yokoyama. ArXiv:2002.12778

# Motivation

In general, we focus on the radiation-dominated era, assuming a radiation-perfect fluid

$$w=1/3$$

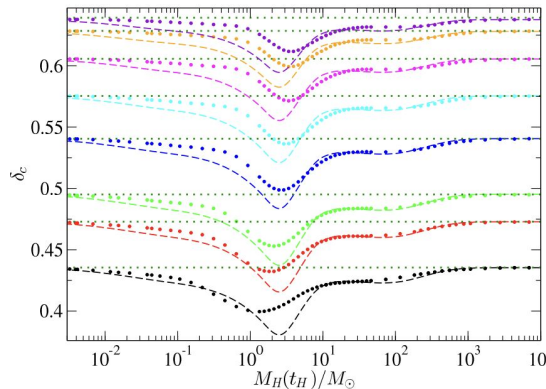
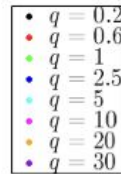
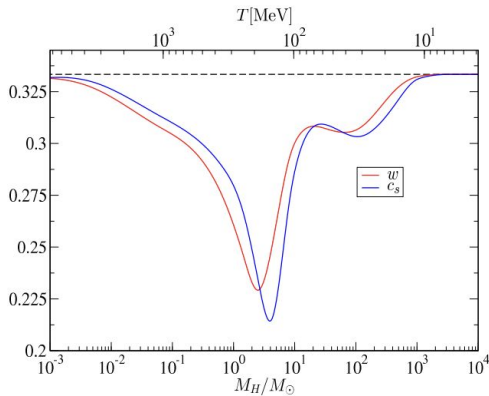
Pressure gradients depends on the equation of state and the shape of the curvature profile

But we could have, for instance, a time-dependent equation of state

$$w \downarrow \Rightarrow p \downarrow \Rightarrow \delta_c \downarrow \Rightarrow \beta \uparrow \longrightarrow$$

enhances the probability of forming PBHs at a given specific mass scale

Very well known example: the QCD crossover (several works on the topic, please check)



$$K_{\text{pol}}(r) = \frac{3 \delta_m}{2 r_m^2} \frac{1 + 1/q}{1 + \frac{1}{q} \left(\frac{r}{r_m}\right)^{2(q+1)}}$$

Relating profiles with the same curvature around the peak of the compaction function (universal threshold)

$$q \equiv -\frac{\mathcal{C}''(r_m) r_m^2}{4\mathcal{C}(r_m)}$$

Discovered, and introduced for the first time in the literature in:

A. Escrivà, E. Bagui and S. Clesse. arXiv:2209.06196

A. Escrivà, C. Germani, R. K. Sheth. ArXiv:1907.13311

# Motivation

Usually phase transitions beyond SM are considered, but not crossovers

But, what about if we consider a crossover beyond SM?

$$w \downarrow \Rightarrow p \downarrow \Rightarrow \delta_c \downarrow \Rightarrow \beta \uparrow$$

A. Escrivà, J. G. Subils. ArXiv:2211.15674

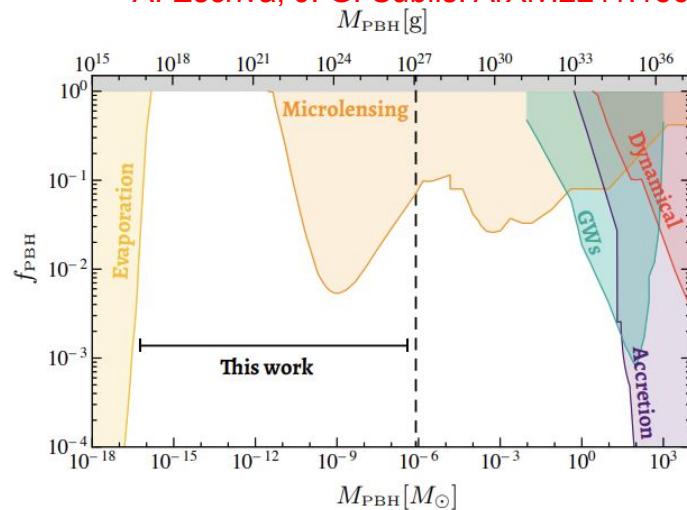
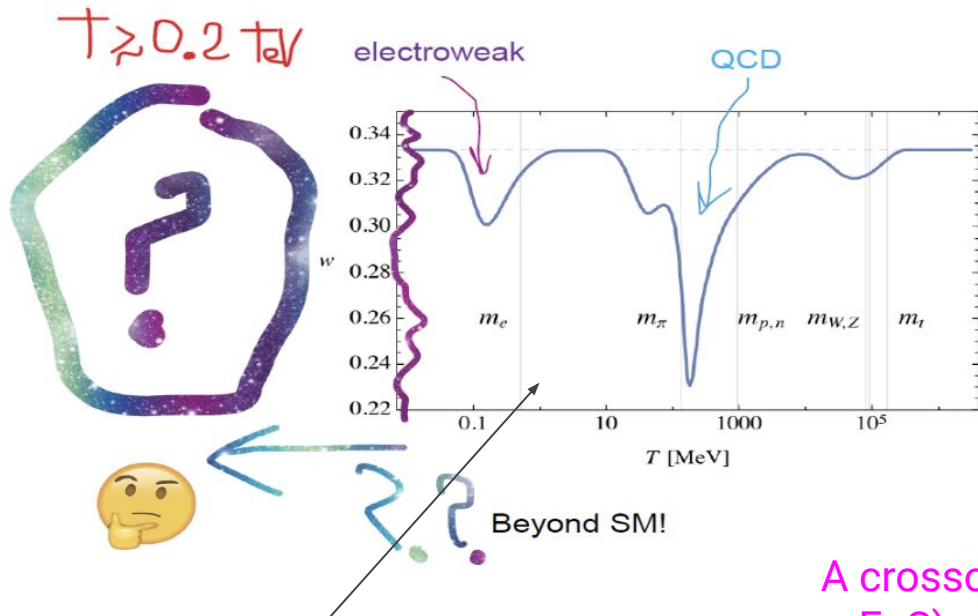


Diagram modified from  
B. Carr, S. Clesse, J.G. Bellido, F. Kuhnel. ArXiv:1906.08217

A crossover (softening of the EoS) can also be realized beyond SM theories

# Motivation

A. Escrivà, J. G. Subils. ArXiv:2211.15674

In our work, we considered an holographic model to modulate the softening of the EoS

$$S = \frac{1}{16\pi G_5} \int d^5x \sqrt{-g^{[5]}} \left( R^{[5]} - \frac{1}{2}(\partial\phi)^2 - V(\phi) \right)$$

$$W(\phi) = -\frac{3}{2} - \frac{\phi^2}{8} - \frac{\phi^4}{64\phi_M^2} + \frac{\phi^6}{64\phi_Q}$$

$$V(\phi) = -\frac{16}{3}W(\phi)^2 + 8W'(\phi)^2$$

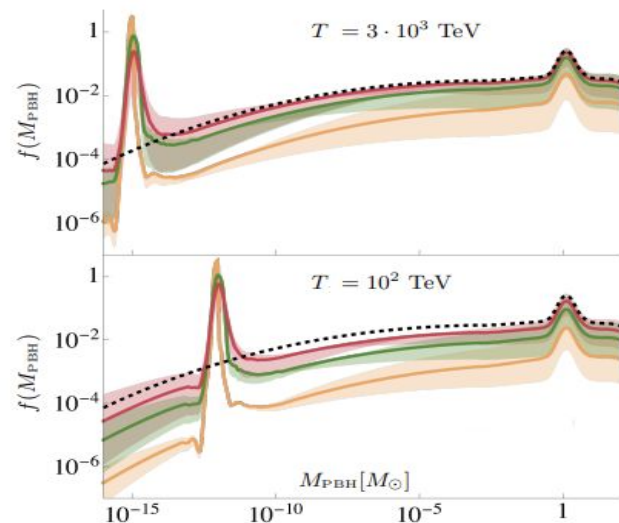
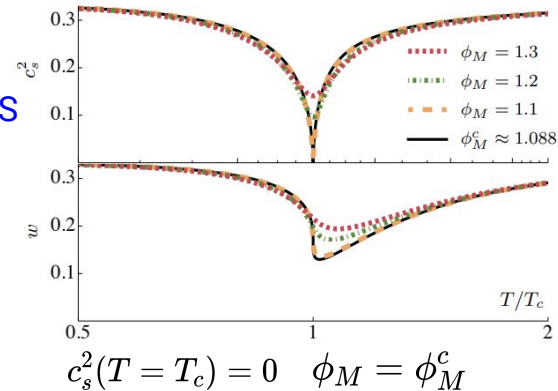
$$c_s^2 = \frac{dp}{d\rho} = \frac{s}{T} \frac{dT}{ds} \quad p(T) = \int_0^T s(T') dT' \quad w = \frac{p}{\rho}$$

Maldacena. Arxiv:hep th /9711200

Bea, Solana, Giannakopoulos, Jansen, Mateos, Garitaonandia, Zilhão. ArXiv:2202.10503

In the PBH scenario, actually can have important implications!

See also the work of P. Lu, V. Takhistov, G. M. Fuller. ArXiv:2212.00156



# Motivation

A lot of literature on the topic

Currently, we have a very important connexion between

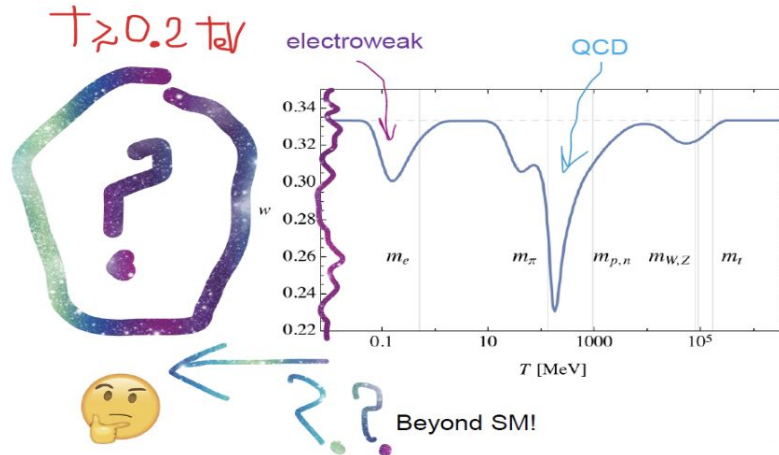
Gravitational waves  $\longleftrightarrow$  Primordial Black Holes

- Induced GWs  $\rightarrow$  can be a direct probe of the existence of primordial scalar curvature fluctuations, in particular at much smaller scales than the cosmic microwave background (CMB) scale.
  
- But also, it can be an indirect probe of the existence of primordial black holes (scenario of PBH formation from the collapse of super-horizon curvature fluctuations).
  - + give a quantification of the quantity of dark matter from PBHs

# Motivation

In this work, basically,

We want to obtain an observational signature in GWs (which could be tested with future space-based GW interferometers) from a hypothetical crossover beyond SM and make the corresponding connection with the PBH scenario.



Let's consider  
a flat power  
spectrum

# The model

- Consider a hypothetical softening beyond SM with the following crossover template:

## Model with two parameters

$$c_s^2(\rho) = w_0 - (w_0 - c_{s,\min}^2) \exp\left[\frac{-(\ln \tilde{\rho})^2}{2\sigma^2}\right]$$

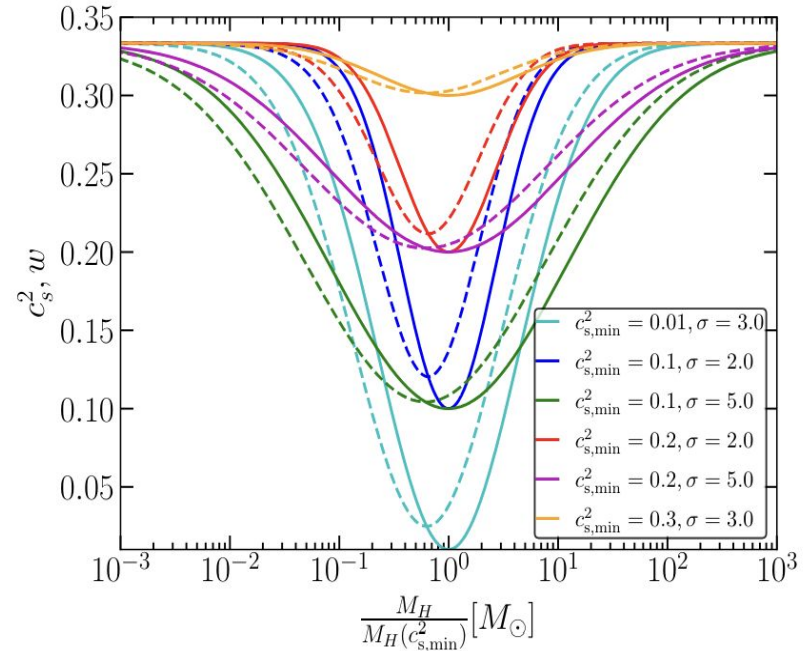
$$w(\rho) = w_0 - \frac{\sigma}{\tilde{\rho}} \sqrt{\frac{\pi}{2}} e^{\sigma^2/2} (w_0 - c_{s,\min}^2) \operatorname{erfc}\left[\frac{\sigma^2 - \ln(\tilde{\rho})}{\sqrt{2}\sigma}\right]$$

$$c_s^2(\rho) = \frac{\partial w(\rho)}{\partial \rho} \rho + w(\rho) \Leftrightarrow w(\rho) = \frac{1}{\rho} \int_{\tilde{\rho}=0}^{\tilde{\rho}=\rho} c_s^2(\tilde{\rho}) d\tilde{\rho}.$$

## BKG dynamics

$$\rho'(\eta) = -\sqrt{24\pi}(1 + w(\rho))a(\eta)\rho^{3/2}(\eta),$$

$$a'(\eta) = \sqrt{\frac{8\pi\rho(\eta)}{3}}a^2(\eta),$$





# Gravitational collapse of super-horizon adiabatic curvature fluctuations

Sufficiently large fluctuations generated during inflation (very rare events) will collapse during the radiation epoch after they reenter the cosmological horizon.

(at super-horizon scales)  $ds^2 = -dt^2 + a^2(t)e^{2\zeta(r)} [dr^2 + r^2 (d\theta^2 + \sin^2(\theta) d\phi^2)]$

M. Shibata, M. Sasaki. Arxiv:gr-qc/9905064

$$\zeta(r) = \mu g(r) \quad \zeta \Rightarrow \frac{\delta\rho}{\rho}$$

$$(\nu = \mu/\sigma \gg 1)$$

Large peaks  $\longrightarrow$  we assume approximately spherically symmetric (BBKS paper. *Astrophys.J.* 304 (1986) 15-61)

$\mu > \mu_c$  Collapse of the fluctuations

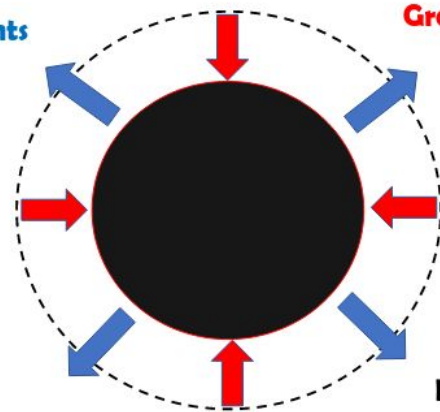
$\mu < \mu_c$  Dispersion of the fluctuations

We need relativistic numerical simulations to determine the threshold value (most important quantity for estimating the PBH abundance)

We use A. Escrivà. ArXiv:1907.13065

Pressure gradients

Gravitational collapse



FRW background

# PBH threshold and abundance estimation

Let's consider an accurate approach  
in peak theory to statistically  
estimate the PBH abundance

C-M. Yoo, T. Harada, J. Garriga, K. Kohri. ArXiv:1805.03946

C.M Yoo, T. Harada, S. Hirano, K. Kohri. Arxiv:2008.02425

$$\zeta = \mu_2 g(r, k_\bullet) \quad \mu_2 = - \left( \frac{\sigma_1}{\sigma_2} \right)^2 \Delta \zeta \Big|_{r=0} \quad k_\bullet = - \frac{\Delta \Delta \zeta \Big|_{r=0}}{\Delta \zeta \Big|_{r=0}}$$

$$g(r; k_\bullet) = \frac{1}{1 - \gamma_3^2} \left( \psi_1 + \frac{1}{3} R_3^2 \Delta \psi_1 \right) - \frac{k_\bullet^2}{\gamma_3(1 - \gamma_3^2)} \frac{\sigma_2}{\sigma_4} \left( \gamma_3^2 \psi_1 + \frac{1}{3} R_3^2 \Delta \psi_1 \right)$$

"Typical profile" (this is not the mean profile in general) of the curvature fluctuation

$$\sigma_n^2 = \int \frac{dk}{k} k^{2n} \mathcal{P}_g(k), \quad \psi_n(r) = \frac{1}{\sigma_n^2} \int \frac{dk}{k} k^{2n} \frac{\sin(kr)}{kr} \mathcal{P}_g(k),$$

$$\gamma_n = \frac{\sigma_n^2}{\sigma_{n-1} \sigma_{n+1}}, \quad R_n = \frac{\sqrt{3} \sigma_n}{\sigma_{n+1}} \text{ for odd } n.$$

$$\mu_2 = \frac{-1 + \sqrt{1 - 3\mathcal{C}(r_m)/2}}{r_m \partial_r g_m(r; k_\bullet) \Big|_{r=r_m}} \quad \leftarrow \text{Connexion with the compaction function}$$

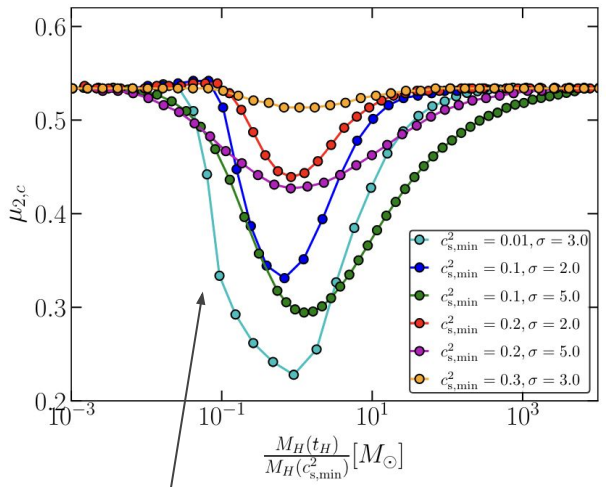
$$\beta_0 d \ln M = \frac{M n_{\text{PBH}}}{\rho a^3} d \ln M = \frac{4\pi}{3} n_{\text{PBH}} k_{\text{eq}}^{-3} \left( \frac{M}{M_{\text{eq}}} \right)^{3/2} d \ln M$$

$$= \frac{2 \times 3^{-5/2} k_{\text{eq}}^{-3} \sigma_4^2 \sigma_2^3}{(2\pi)^{1/2} \sigma_1^4 \sigma_3^3} \left( \frac{M}{M_{\text{eq}}} \right)^{3/2} \left[ \int_{\mu_2, c}^{\infty} d\mu_2 \mu_2 k_\bullet f \left( \frac{\sigma_2^2}{\sigma_1^2 \sigma_4} \mu_2 k_\bullet^2 \right) \right. \\ \left. \times P_1 \left( \frac{\sigma_2}{\sigma_1} \mu_2, \mu_2 k_\bullet^2 \frac{\sigma_2^2}{\sigma_1^2 \sigma_4} \right) \Big| \frac{d}{dk_\bullet} \ln r_m + \mu_2 \frac{d}{dk_\bullet} g_m \Big|^{-1} \right] d \ln M$$

PBH abundance calculation

$$P_1^{(n)}(\nu, \xi) = \frac{1}{2\pi \sqrt{1 - \gamma_n^2}} \exp \left[ -\frac{1}{2} \left( \nu^2 + \frac{(\xi - \gamma \nu)^2}{1 - \gamma_n^2} \right) \right]$$

# PBH threshold and abundance estimation

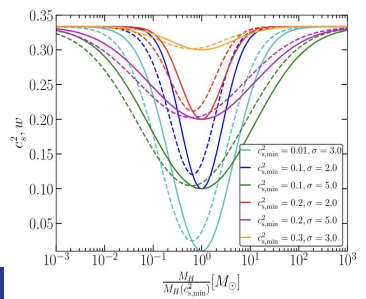


Numerical thresholds for PBH formation

“Typical profile” of the curvature fluctuation for flat spectrum

$$g(x; \kappa) = \frac{6}{x^4 \lambda^4} \left( -12\kappa^2 + x^2 \lambda^2 (3 - 4\kappa^2) + 4(3\kappa^2 - 2)x\lambda \sin(x\lambda) + 8 + [12\kappa^2 - 8 + x^2 \lambda^2 (1 - 2\kappa^2)] \cos(x\lambda) \right)$$

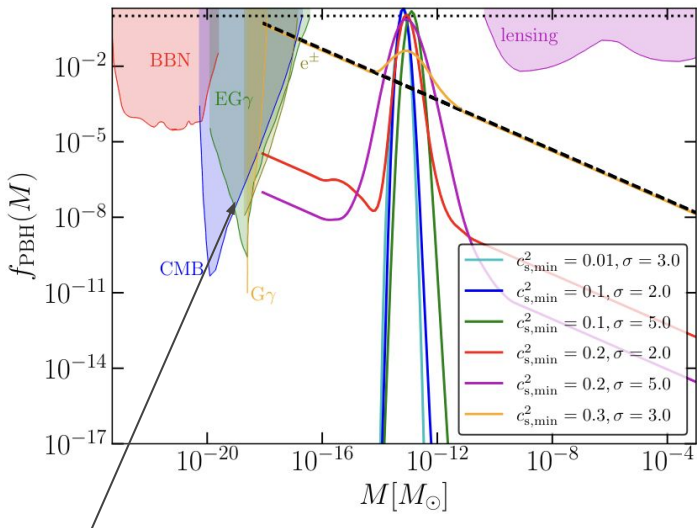
PBH abundance



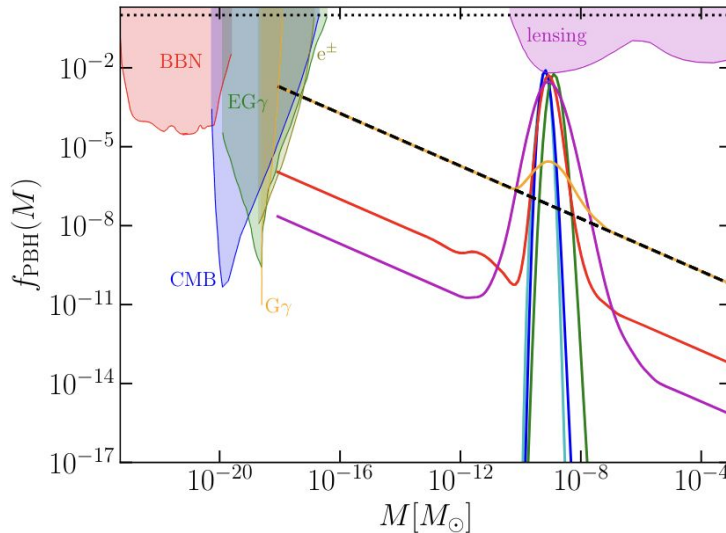
We make some simplifications

$$\beta_{0,max}^{approx} := \left[ \frac{3\kappa\lambda^3}{4\sqrt{\pi}(6\kappa^4 - 8\kappa^2 + 3)} e^{3\mu_2 g(1;\kappa)} \times f \left( \sqrt{\frac{2}{\mathcal{A}}} \kappa^2 \mu_2 \right) P_1 \left( \frac{\mu_2}{\sqrt{\mathcal{A}}}, \sqrt{\frac{2}{\mathcal{A}}} \kappa^2 \mu_2 \right) \times \left| \frac{d}{d\kappa} \ln \lambda + \mu_2 \frac{d}{d\kappa} g_m \right|^{-1} \right]_{\kappa=\kappa_t, \mu_2=\mu_{2,c}(k_t)}$$

# PBH mass function



Mass function highly peaked at the mass scale of the crossover

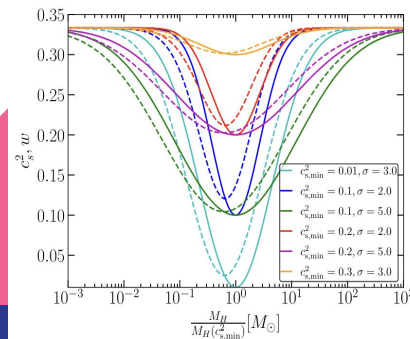


Cut-off scale

Model (A) ->  $M_H \approx 10^{-13} M_\odot, \rho^{1/4} \approx 1.7 \cdot 10^6 \text{ GeV}$

Model (B) ->  $M_H \approx 10^{-9} M_\odot, \rho^{1/4} \approx 17 \text{ TeV}$

$c_{s,\min}^2$	$\sigma$	$\mathcal{A}^A / 10^{-3}$	$\mathcal{A}^B / 10^{-3}$
0.1	2.0	1.972	1.920
0.1	5.0	1.539	1.504
0.2	2.0	3.393	3.320
0.2	5.0	3.156	3.086
0.3	3.0	4.268	3.840



# Computation of the induced GWs New code using "Julia" language

QCD case -> K. T. Abe, Y. Tada, I. Ueda. ArXiv:2010.06193

The numerical computation is actually quite hard...

$$\Omega_{\text{GW}}(k, \eta_0) h^2 = \Omega_{\text{r},0} h^2 \left( \frac{a_{\text{sh}} \mathcal{H}_{\text{sh}}}{a_{\text{f}} \mathcal{H}_{\text{f}}} \right)^2 \frac{1}{24} \left( \frac{k}{\mathcal{H}_{\text{sh}}} \right)^2 \overline{\mathcal{P}_h(k, \eta_{\text{sh}})}.$$

$$\mathcal{P}_h(k, \eta) = \frac{64}{81 a^2(\eta)} \int_{|k_1 - k_2| \leq k \leq k_1 + k_2} d \ln k_1 d \ln k_2 I^2(k, k_1, k_2, \eta) \times \frac{(k_1^2 - (k^2 - k_2^2 + k_1^2)^2 / (4k^2))^2}{k_1 k_2 k^2} \mathcal{P}_\zeta(k_1) \mathcal{P}_\zeta(k_2), \quad (11)$$

$$I(k, k_1, k_2, \eta) = k^2 \int_0^\eta d\tilde{\eta} a(\tilde{\eta}) G_k(\eta, \tilde{\eta}) \left[ 2\Phi_{k_1}(\tilde{\eta}) \Phi_{k_2}(\tilde{\eta}) + \frac{4}{3(1+w(\tilde{\eta}))} \left( \Phi_{k_1}(\tilde{\eta}) + \frac{\Phi'_{k_1}(\tilde{\eta})}{\mathcal{H}(\tilde{\eta})} \right) \left( \Phi_{k_2}(\tilde{\eta}) + \frac{\Phi'_{k_2}(\tilde{\eta})}{\mathcal{H}(\tilde{\eta})} \right) \right]$$

Barden equation

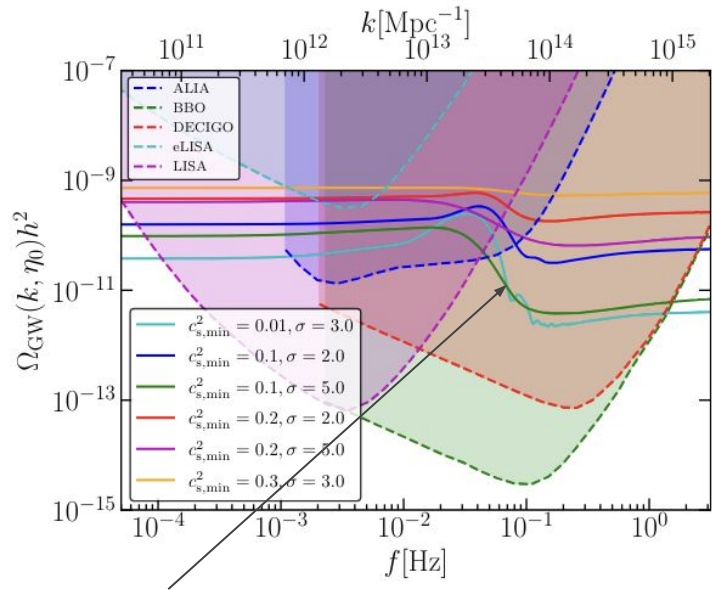
$$\Phi_k''(\eta) + 3\mathcal{H}(1 + c_s^2)\Phi_k'(\eta) + [c_s^2 k^2 + 3\mathcal{H}^2(c_s^2 - w)]\Phi_k(\eta) = 0,$$

Green function

$$G_k(\eta, \tilde{\eta}) = \frac{1}{\mathcal{N}_k} [g_{1k}(\eta)g_{2k}(\tilde{\eta}) - g_{1k}(\tilde{\eta})g_{2k}(\eta)] \Theta(\eta - \tilde{\eta})$$

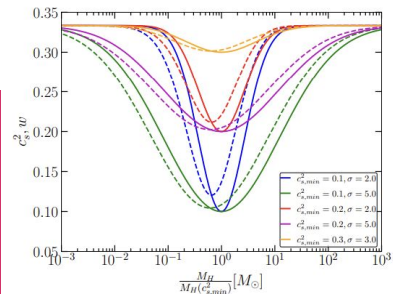
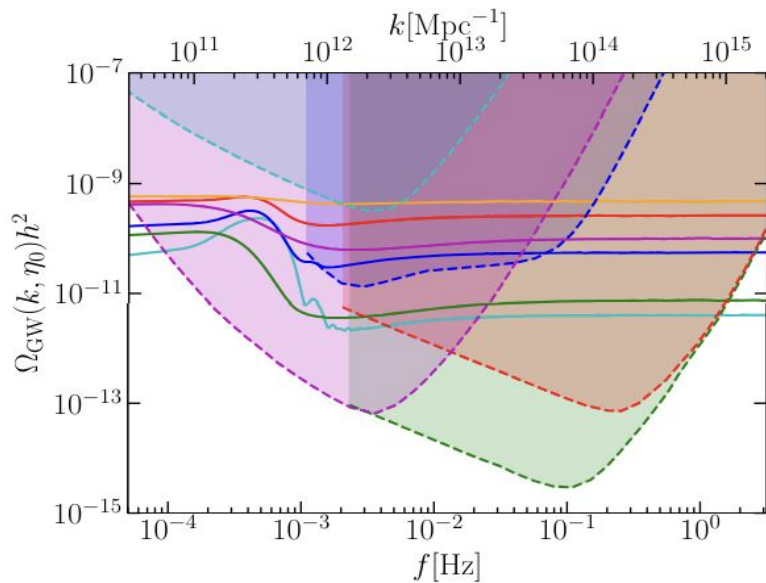
$$\left( \partial_\eta^2 + k^2 - \frac{1 - 3w(\eta)}{2} \mathcal{H}^2(\eta) \right) g_{jk}(\eta) = 0.$$

# Gravitational Wave signature



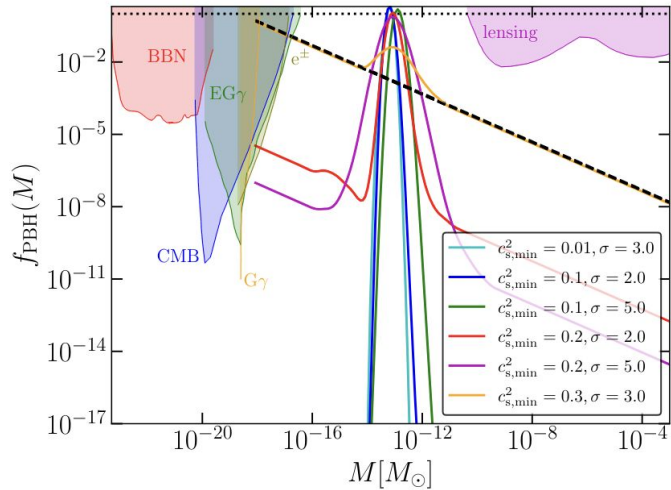
The "jump" between the two levels is caused by the crossover

$a_{\text{sh}} \mathcal{H}_{\text{sh}} / a_{\text{f}} \mathcal{H}_{\text{f}}$   
 Difference between the two levels

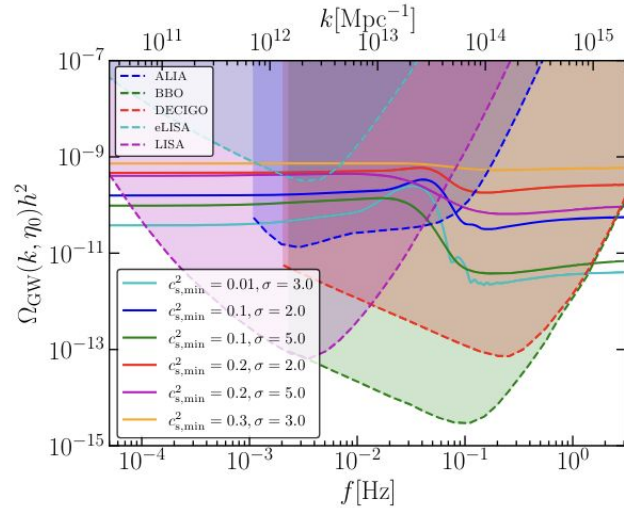


# Solving the degeneracy

Our results show that the GW signal can be used to resolve the existing degeneracy of sharply peaked mass function caused by peaked power spectrums and broad ones in the presence of softening crossovers.



**Theoretical prediction**



**Observational signature**

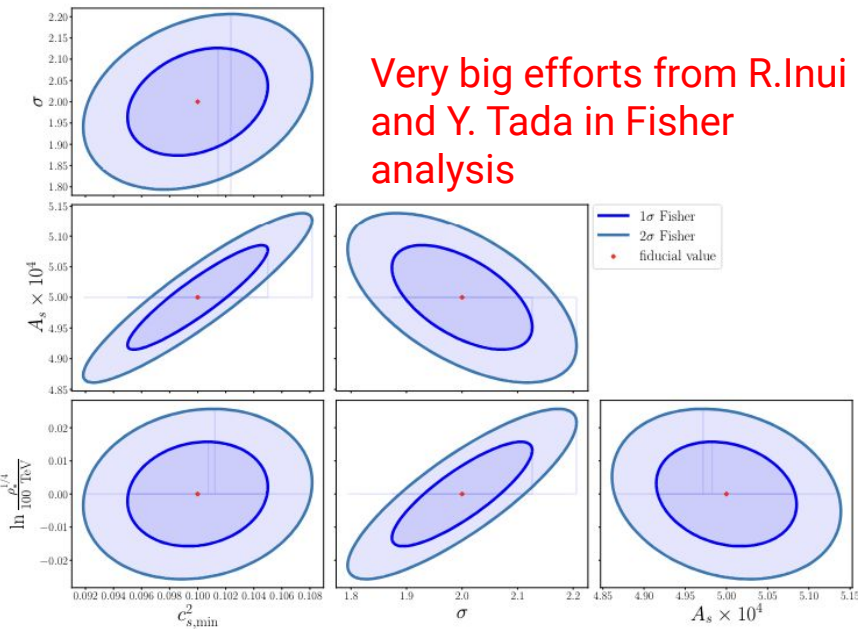
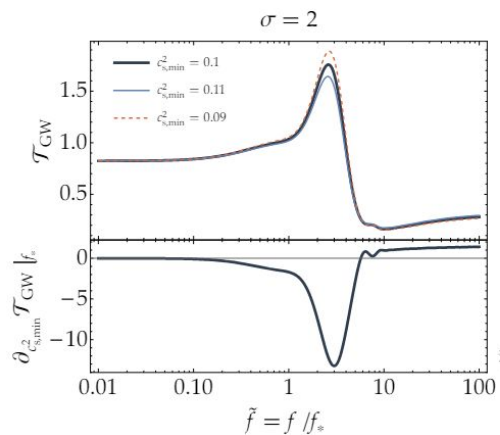
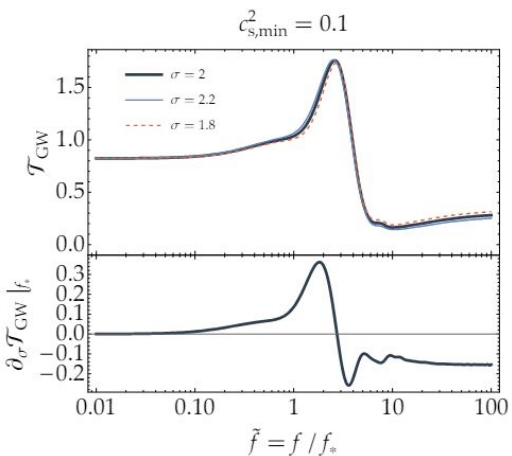
# Detectability of the SC?

A. Escrivà, R. Inui, Y. Tada, C-M Yoo. ArXiv:2404.12591

The smooth crossover can be detectable in LISA if

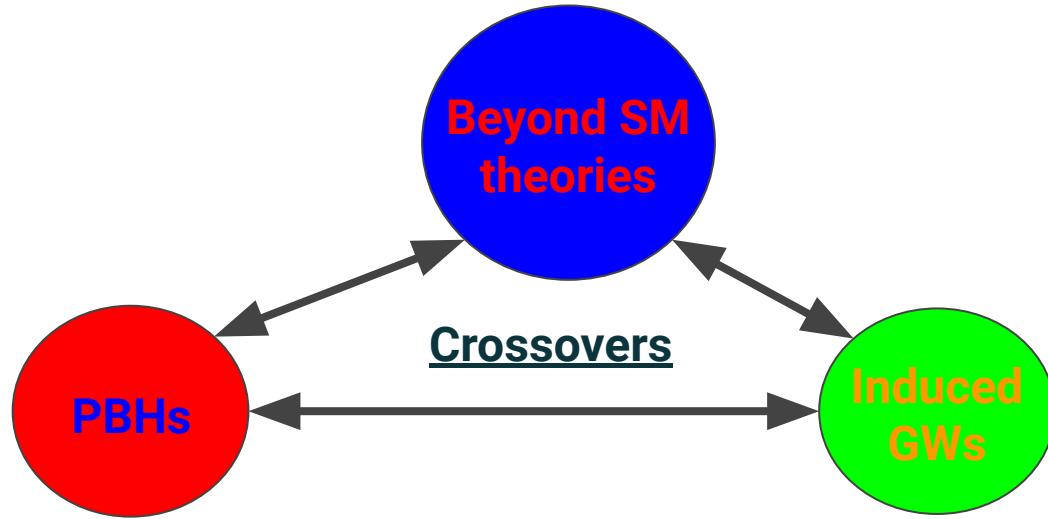
$$\mathcal{A} > 5 \cdot 10^{-4}$$

To make the analysis is needed very careful precise computation of the induced GWs (with IGWsfSC code)





# Conclusions and messages to take home:



- Crossovers softening beyond SM are usually not considered, but they can have important implications!
- We provide a potential observational signature for detecting a crossover beyond SM in future space based GW interferometers
- Such scenario can allow PBHs to be the dark matter, with a mass function specifically peaked at the mass scale of the minimum of the crossover.
- We solve the degeneracy of peaked mass functions caused by peaked power spectrums and broad ones in the presence of crossover softening.

# Extra slides 1

$$ds^2 = -A(r, t)^2 dt^2 + B(r, t)^2 dr^2 + R(r, t)^2 (d\theta^2 + \sin^2(\theta) d\phi^2)$$

$$\dot{U} = -A \left[ \frac{c_s^2(\rho)}{1 + w(\rho)} \frac{\Gamma^2}{\rho} \frac{\rho'}{R'} + \frac{M}{R^2} + 4\pi R w(\rho) \rho \right],$$

$$\dot{R} = AU,$$

$$\dot{\rho} = -A\rho [1 + w(\rho)] \left( 2\frac{U}{R} + \frac{U'}{R'} \right),$$

$$\dot{M} = -4\pi A w(\rho) \rho U R^2,$$

$$A' = -A \frac{\rho'}{\rho} \frac{c_s^2(\rho)}{1 + w(\rho)},$$

$$M' = 4\pi \rho R^2 R',$$

$$A(r, t) = 1 + \epsilon^2(t),$$

$$R(r, t) = a(t) r e^{\zeta(r)} (1 + \epsilon^2(t) \tilde{R}),$$

$$U(r, t) = H(t) R(r, t) (1 + \epsilon^2(t) \tilde{U}),$$

$$\rho(r, t) = \rho_b(t) (1 + \epsilon^2(t) \tilde{\rho}),$$

$$M(r, t) = \frac{4\pi}{3} \rho_b(t) R(r, t)^3 (1 + \epsilon^2(t) \tilde{M}),$$

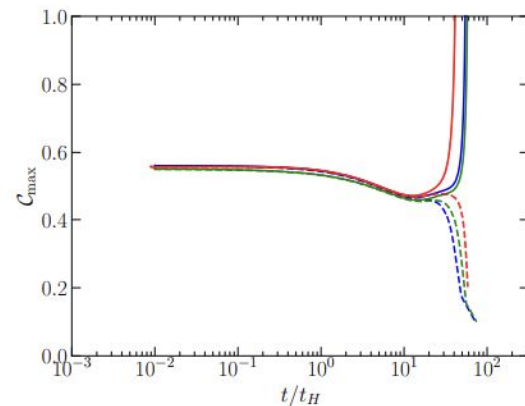
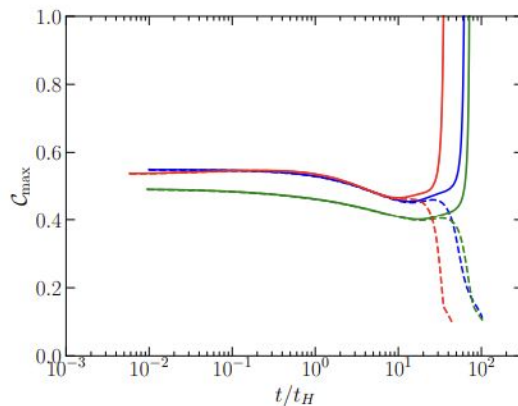
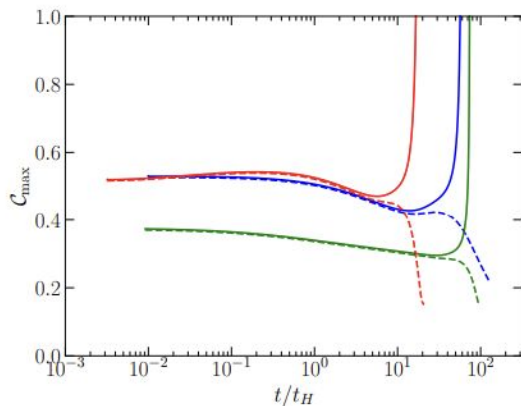
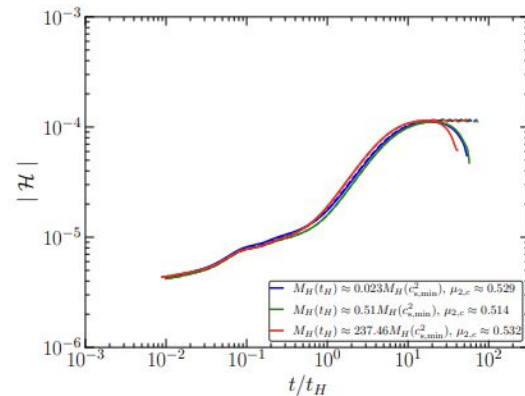
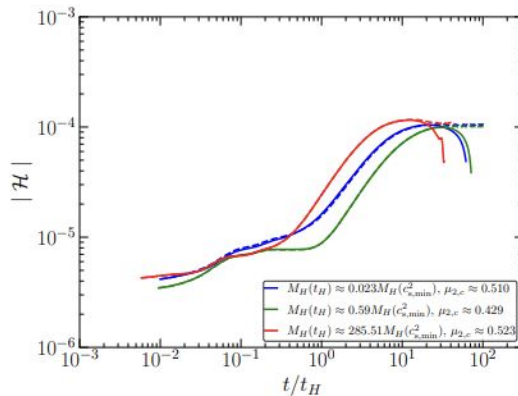
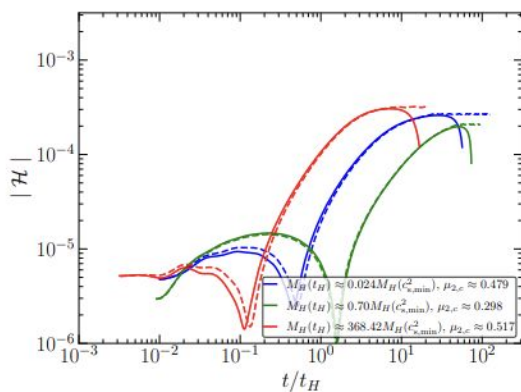
$$\phi_\rho(r) = -\frac{1}{3r} e^{-2\zeta(r)} [2r\zeta''(r) + \zeta'(r)(4 + r\zeta'(r))],$$

$$\phi_U(r) = -\frac{1}{r} e^{-2\zeta(r)} \zeta'(r) [2 + r\zeta'(r)],$$

# Extra slides 2

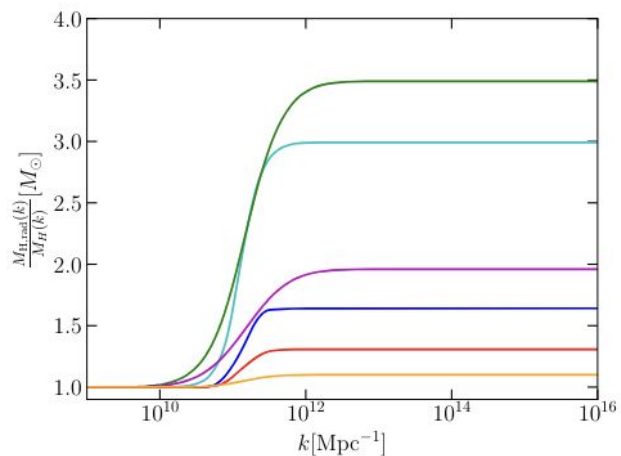
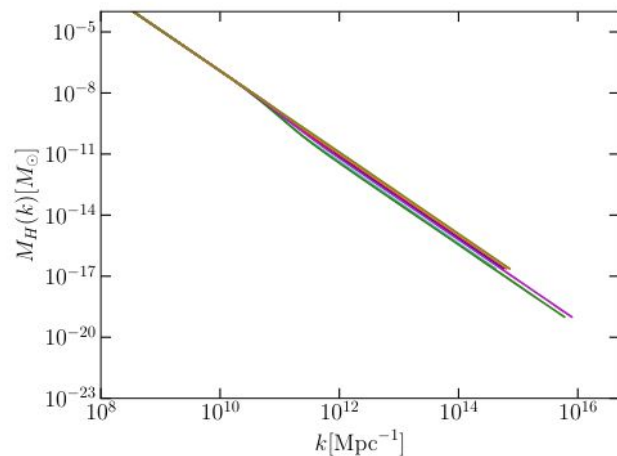
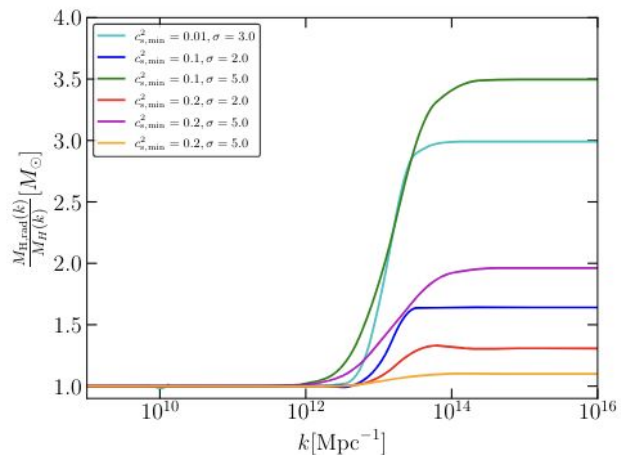
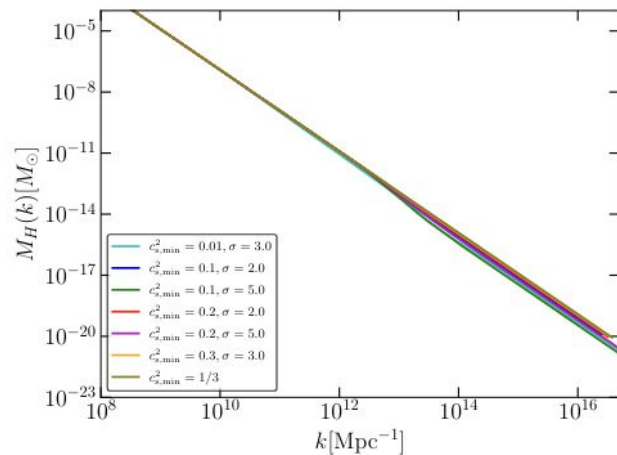
$$\mathcal{H} \equiv \frac{M'_{\text{num}} - M'_{\text{def}}}{M'_{\text{def}}} = \frac{M'_{\text{num}}/R'_{\text{num}}}{4\pi\rho_{\text{num}}R_{\text{num}}^2} - 1,$$

$$|\mathcal{H}| \equiv \frac{1}{N_{\text{cheb}}} \sqrt{\sum_{i=1}^N \left( \frac{M'_i/R'_i}{4\pi\rho_i R_i^2} - 1 \right)^2}.$$



# Extra slides 3

$$a^2(t_H)\rho(t_H)\tau^2 = \rho_b(t_0),$$



# Extra slides 4

