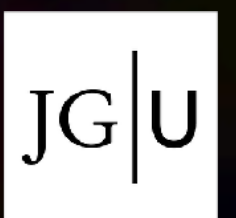


Dark Matter and Cosmological Phase Transitions

Joachim Kopp (CERN & JGU Mainz)

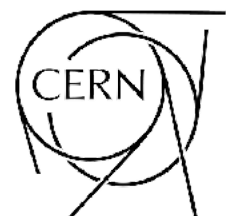
Dark Matter Beyond the Weak Scale • Durham • 26 March 2024



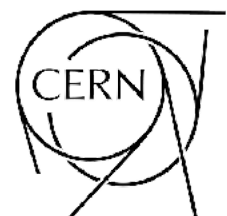
JOHANNES GUTENBERG
UNIVERSITÄT MAINZ

Beyond Thermal Freeze-Out

- Continued absence of signals in
 - **direct DM searches** (DM–nucleus scattering)
 - **indirect DM searches** (cosmic rays from DM annihilation)
 - **collider searches** (production of DM particles)
- No showstoppers yet, but a good moment to look beyond
- One alternative: setting the DM abundance in a cosmological phase transition
- This talk:
 - **DM annihilation, decay, and freeze-in** around a phase transition
 - **“Filtered” Dark Matter**
 - **Primordial black holes** from phase transitions

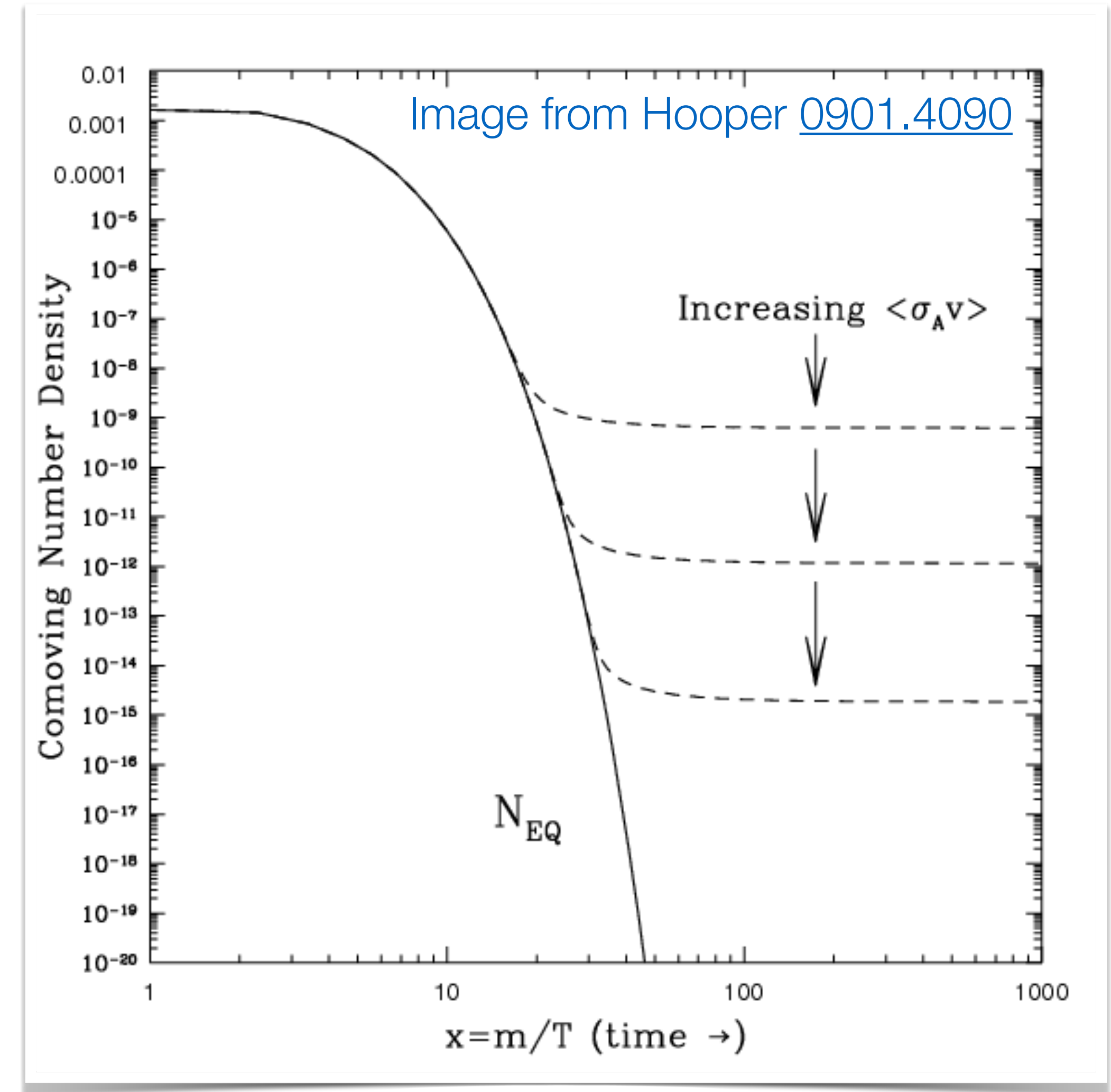


Dark Matter Decay Between Phase Transitions



DM Decay Between Phase Transitions

- Observed DM abundance requires a mechanism that **depletes DM by several orders of magnitude, then stops**
- **Idea:** DM decay.
- **Example:**
 - Phase transition **shifts particle masses**, making DM unstable
 - DM **partly decays**
 - 2nd **PT restores stability**



The Vev Flip-Flop

- Toy Model: SM + singlet scalar S

$$V^{\text{tree}} = -\mu_H^2 H^\dagger H + \lambda_H (H^\dagger H)^2 - \mu_S^2 S^\dagger S + \lambda_S (S^\dagger S)^2 + \lambda_p (H^\dagger H)(S^\dagger S)$$

- Typical behavior: 2-step phase transition

- High T : $\langle S \rangle = 0, \langle H \rangle = 0$

- Intermediate T : $\langle S \rangle \neq 0, \langle H \rangle = 0$

- Low T : $\langle S \rangle = 0, \langle H \rangle \neq 0$

Profumo *et al.* [0705.2425](#)

Cline *et al.* [0905.2559](#)

Espinosa Konstandin Riva [1107.5441](#)

Cui Randall Shuve [1106.4834](#)

Cline Kainulainen [1210.4196](#)

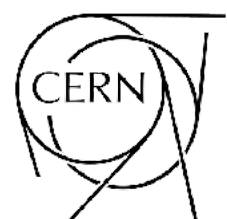
Fairbairn Hogan [1305.3452](#)

Curtin Meade Yu [1409.0005](#)

Baker JK [1608.07578](#)

Baker Breitbach JK Mitnacht [1712.03962](#)

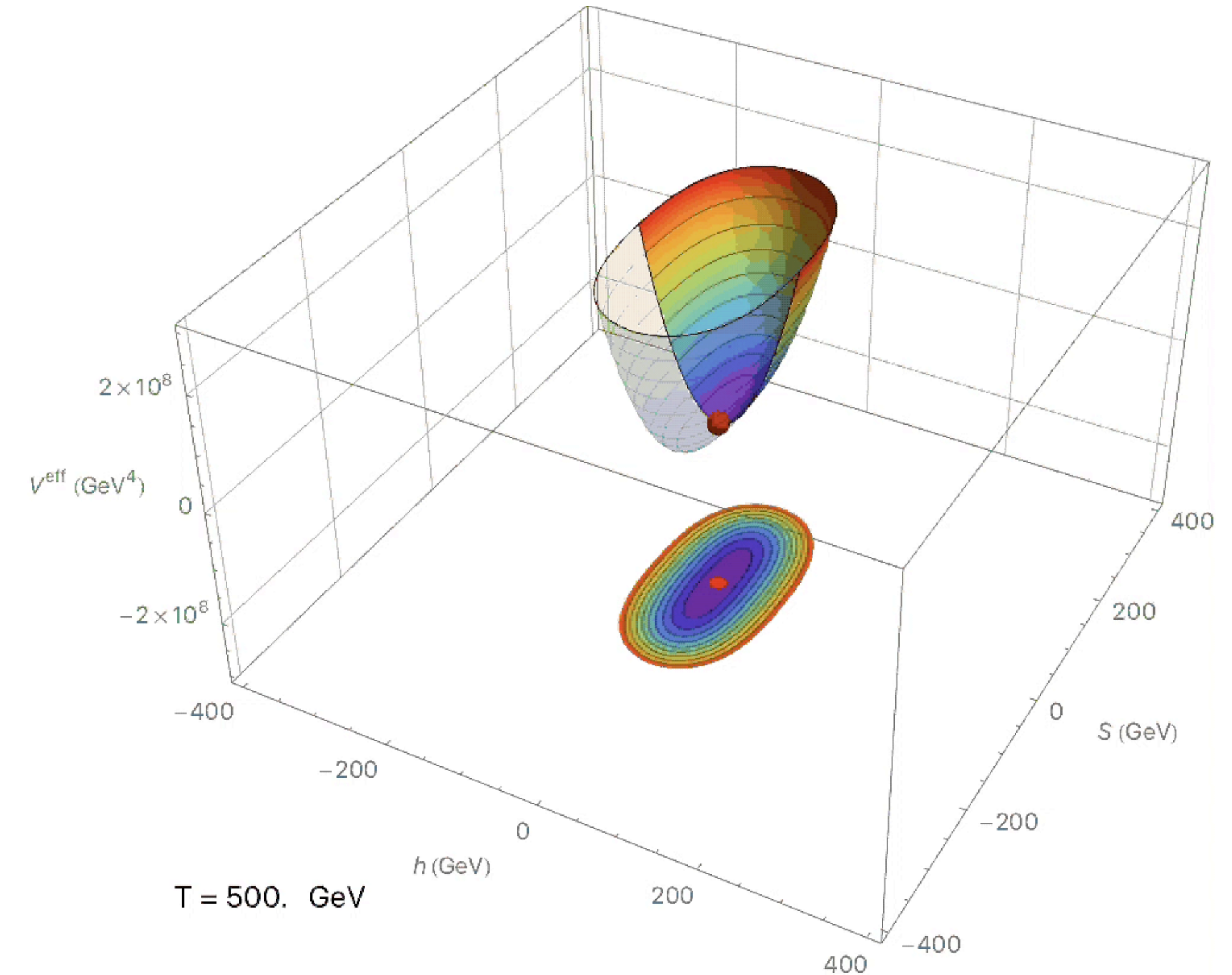
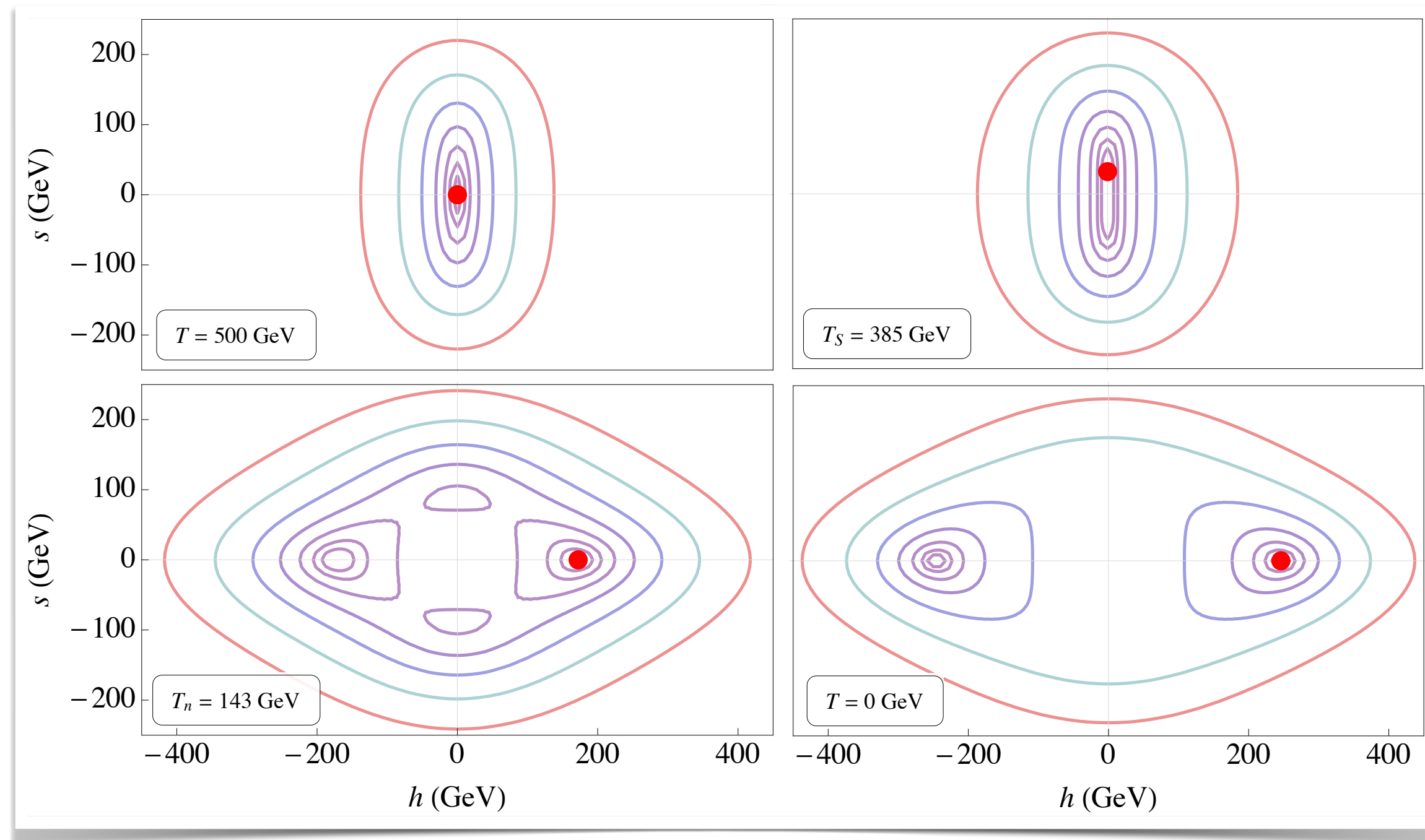
Baker Mitnacht [1811.03101](#)



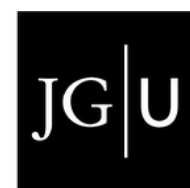
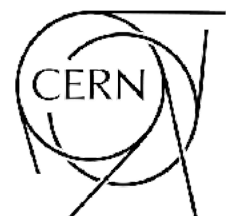
The Vev Flip-Flop

Animation: Mike Baker using CosmoTransitions

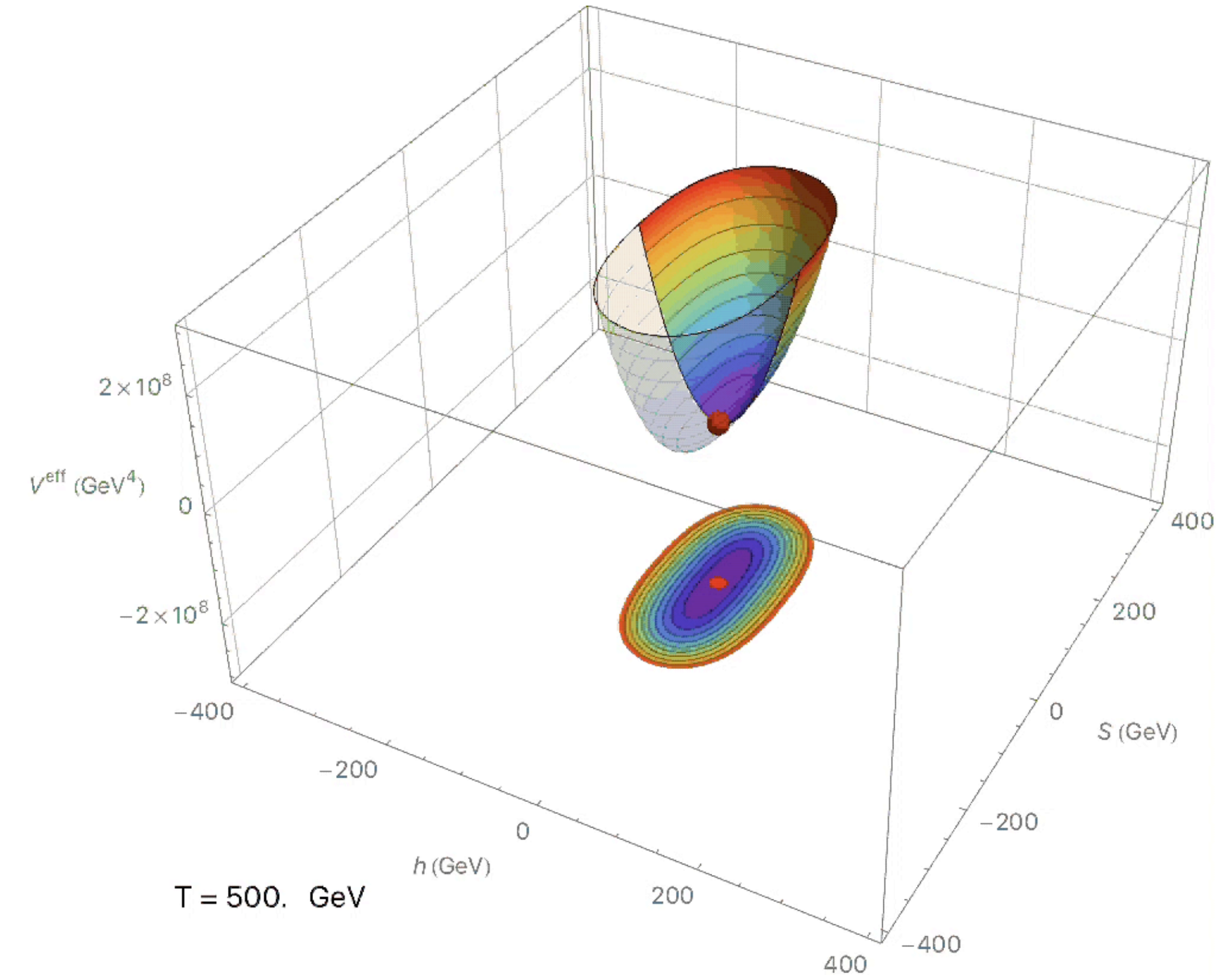
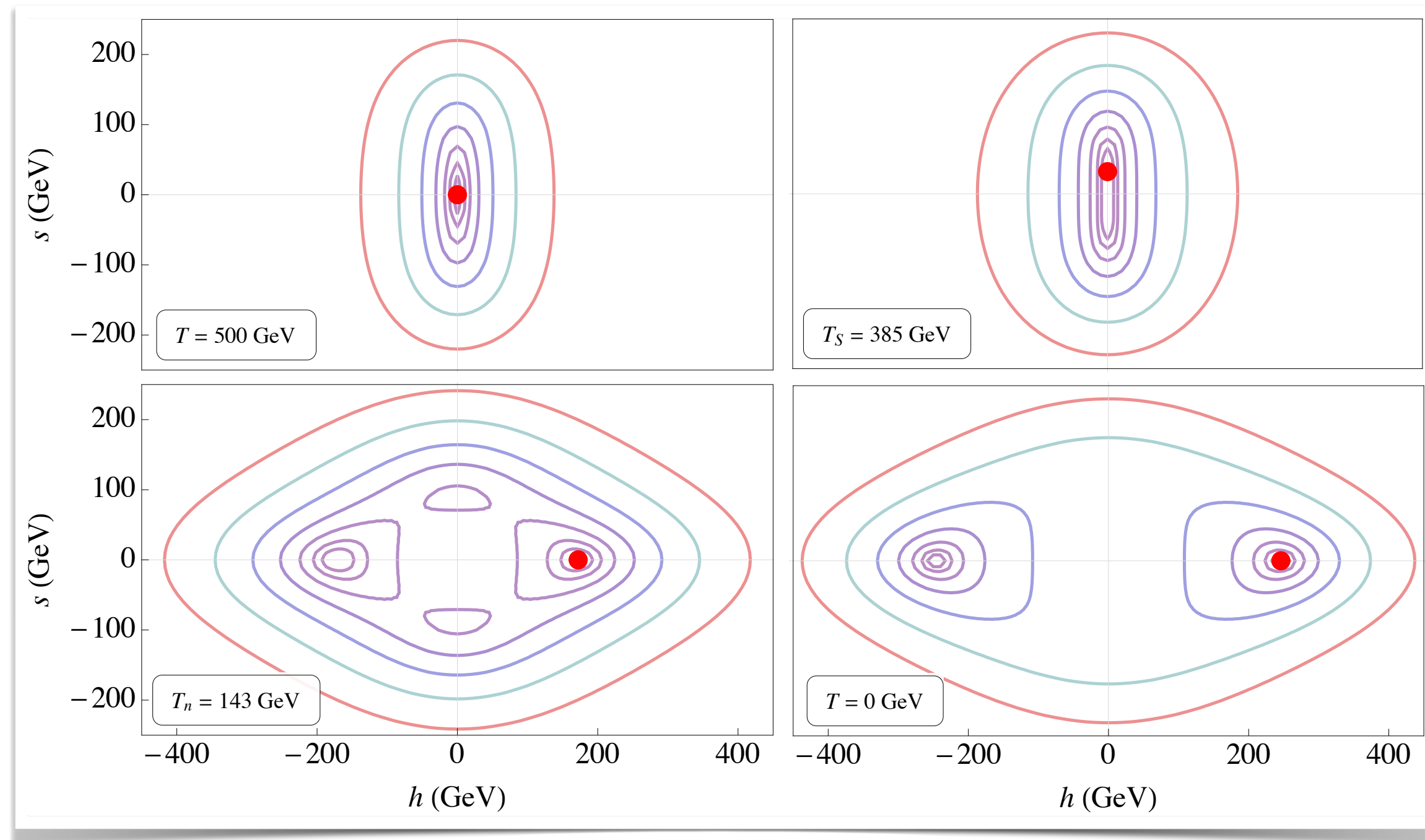
Wainwright [1109.4189](#), Kozaczuk Profumo Haskins Wainwright [1407.4134](#)



- $T > 400$ GeV: $\langle S \rangle = 0$, $\langle H \rangle = 0$ (thermal corrections dominate V_{eff})
- $T \sim 400$ GeV: S develops vev \implies DM unstable $\lambda_p (H^\dagger H) (S^\dagger S)$
- $T \sim 150$ GeV: H develops vev \implies Feedback through $m_{S,\text{eff}}$ changes sign, $\langle S \rangle \rightarrow 0$, DM stable



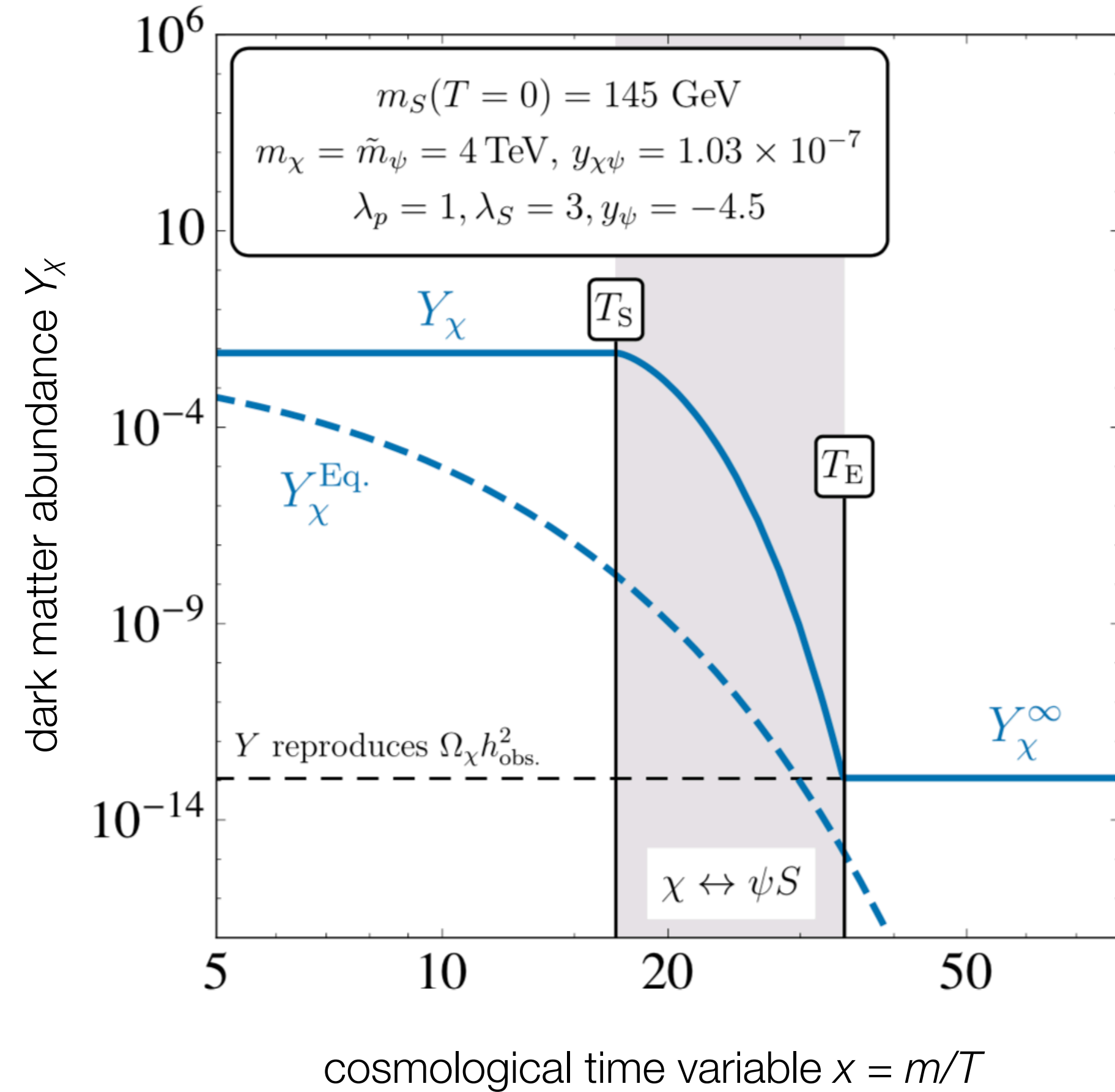
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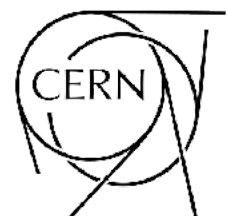
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DM Decay Between Phase Transitions

Evolution of DM Abundance



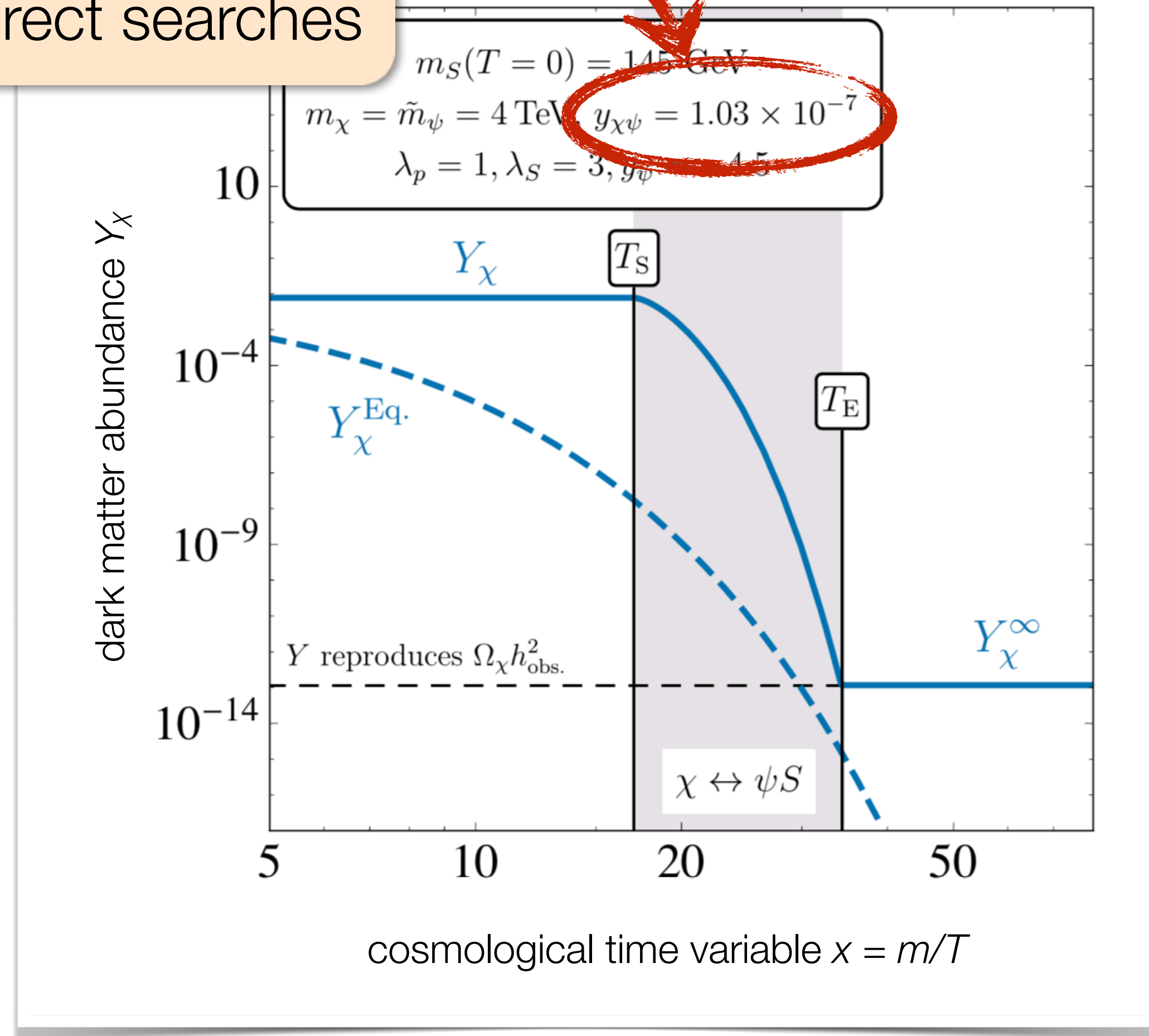
Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)
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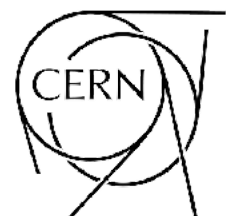
DM Decay Between Phase Transitions

DM very weakly coupled
difficult for direct & indirect searches

Evolution of DM Abundance



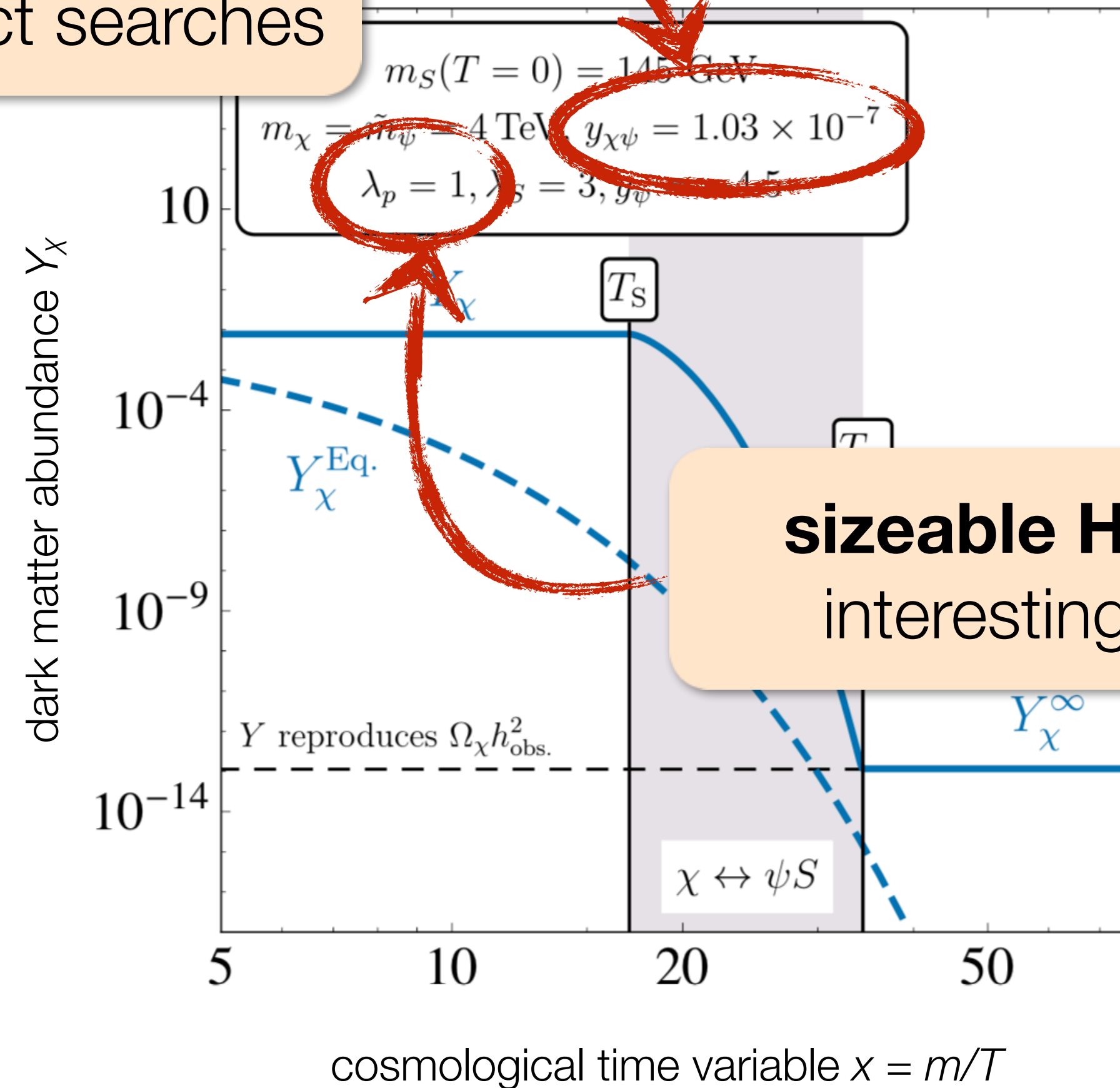
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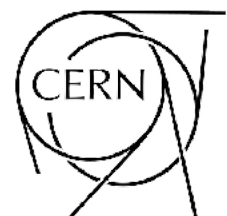
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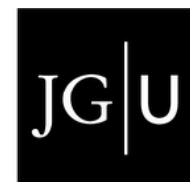
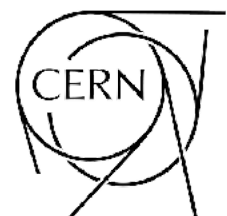


sizeable Higgs portal coupling
interesting for collider searches

Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)
see also Baker JK [arXiv:1608.07578](https://arxiv.org/abs/1608.07578)



Thermal Effects in Dark Matter Freeze-In



DM Freeze-In in Higgs Portal Models

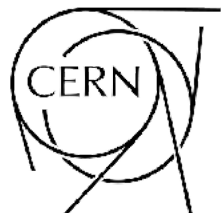
- Toy Model: SM + singlet scalar S + DM fermion χ + fermion ψ

$$\mathcal{L} \supset \frac{1}{2} (\partial_\mu S)(\partial^\mu S) + \bar{\psi}(i\not{\partial} - m_\psi)\psi + \bar{\chi}(i\not{\partial} - m_\chi)\chi \\ + [y_{\psi\chi} \bar{\psi} S \chi + h.c.] + y_\chi \bar{\chi} \chi S + y_\psi \bar{\psi} \psi S - V(H, S)$$

- Scalar Potential:

$$V(H, S) = -\mu_H^2 H^\dagger H + \lambda_{H4} (H^\dagger H)^2 - \frac{1}{2} \mu_S^2 S^2 + \frac{\lambda_{S4}}{4!} S^4 \\ + \frac{\lambda_{S3}}{3!} \mu_S S^3 + \lambda_{p3} \mu_S S (H^\dagger H) + \frac{\lambda_{p4}}{2} S^2 (H^\dagger H).$$

Baker Breitbach JK Mitnacht [1712.03962](https://arxiv.org/abs/1712.03962)



DM Freeze-In in Higgs Portal Models

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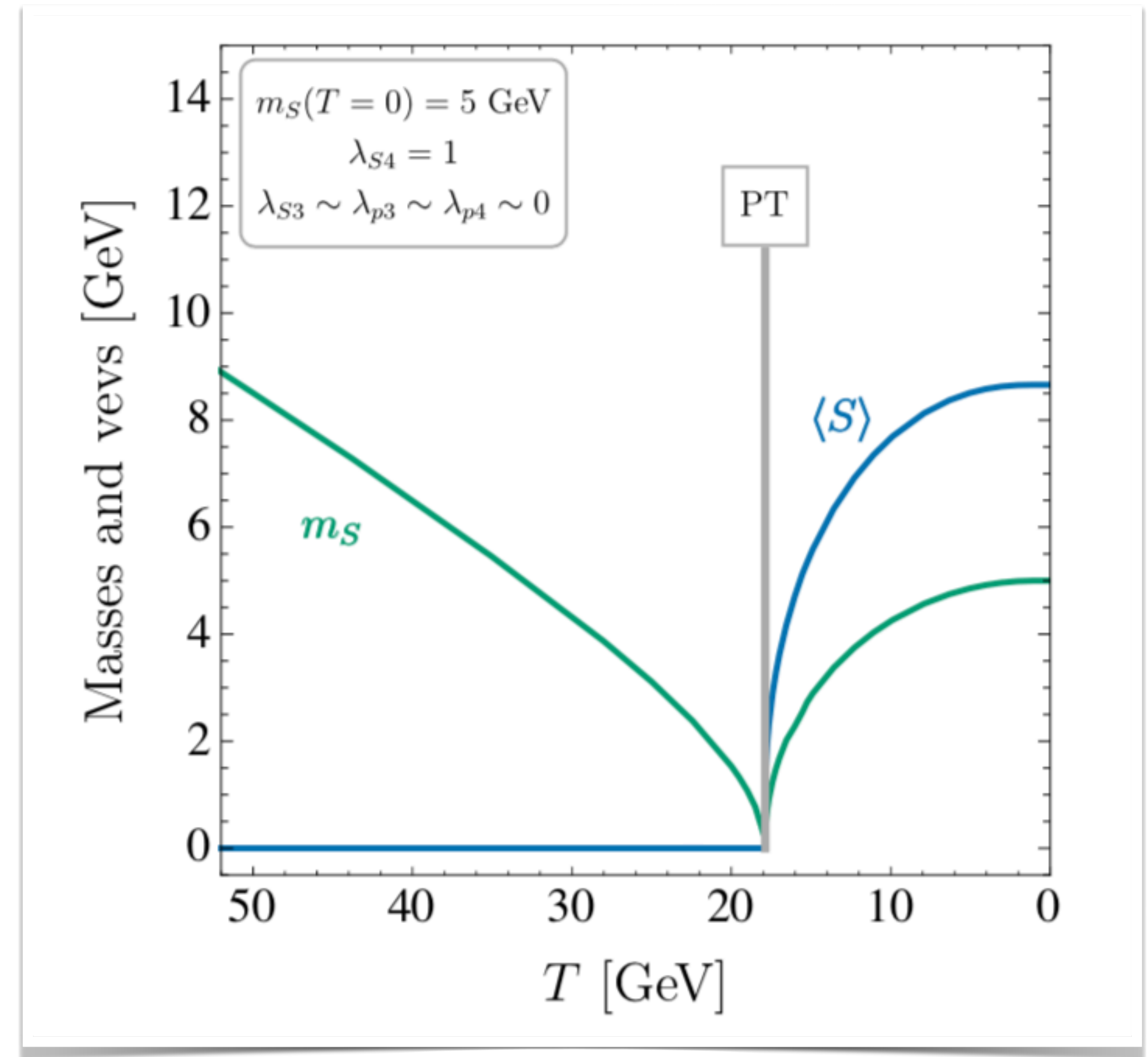
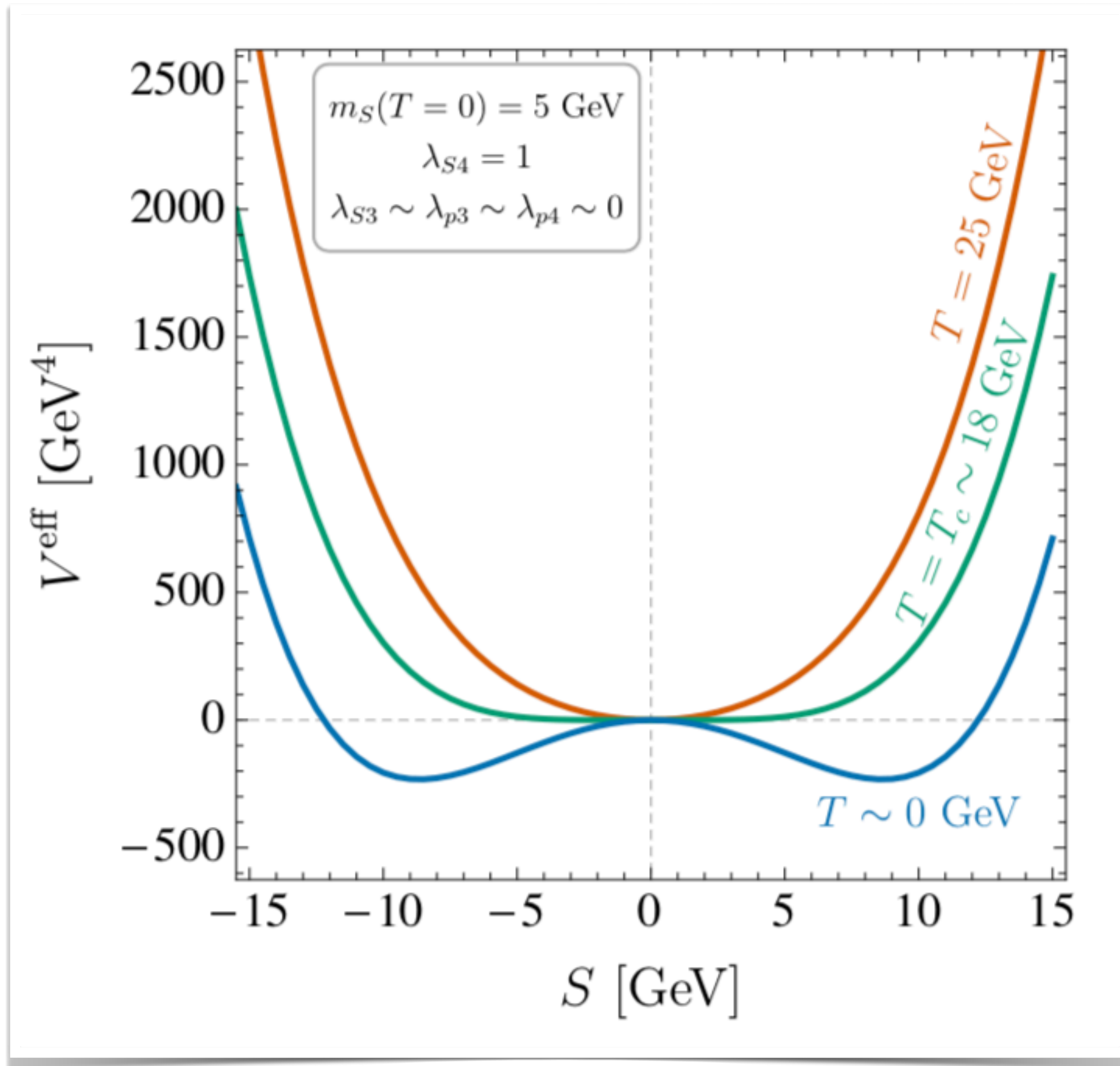
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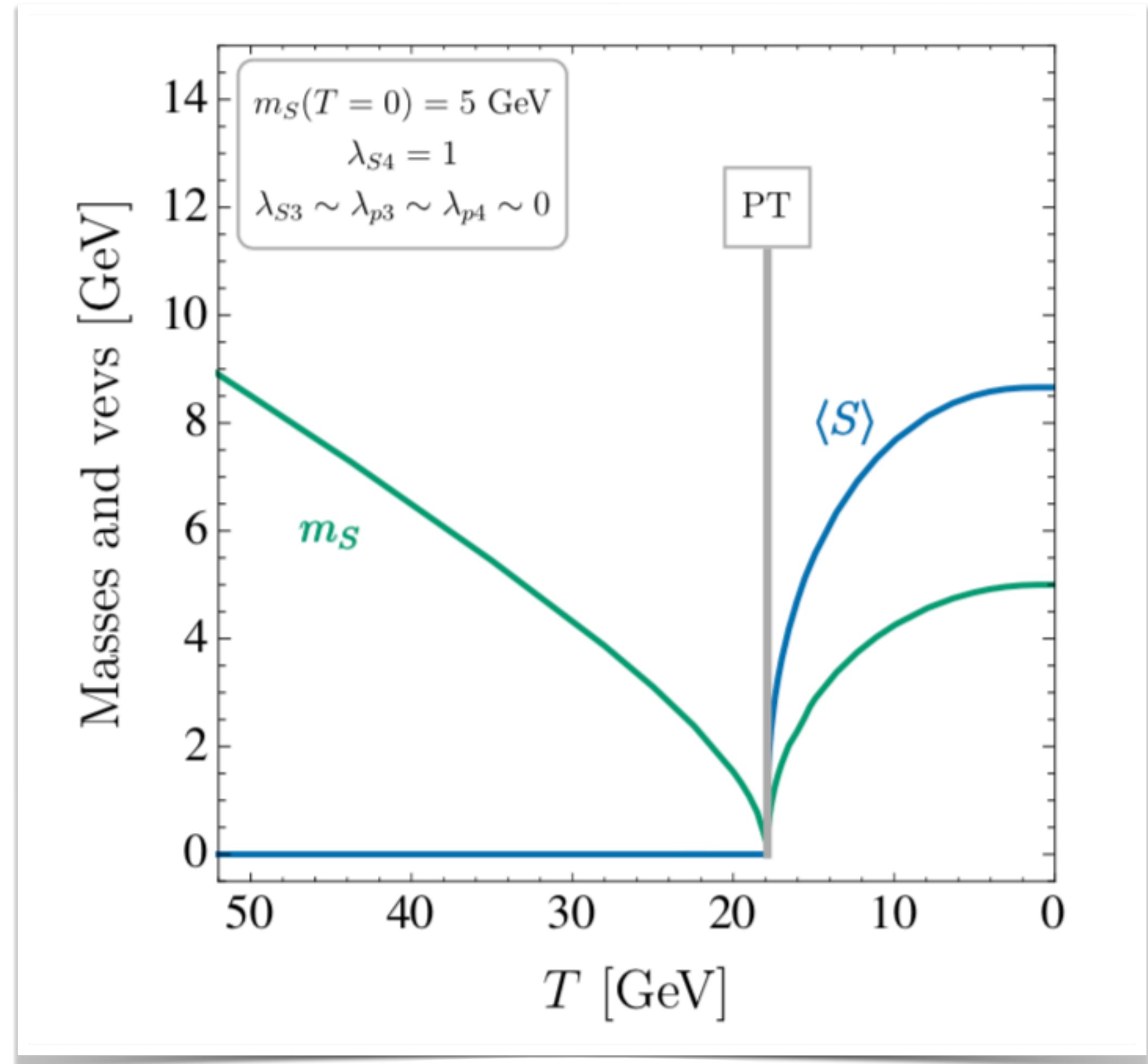
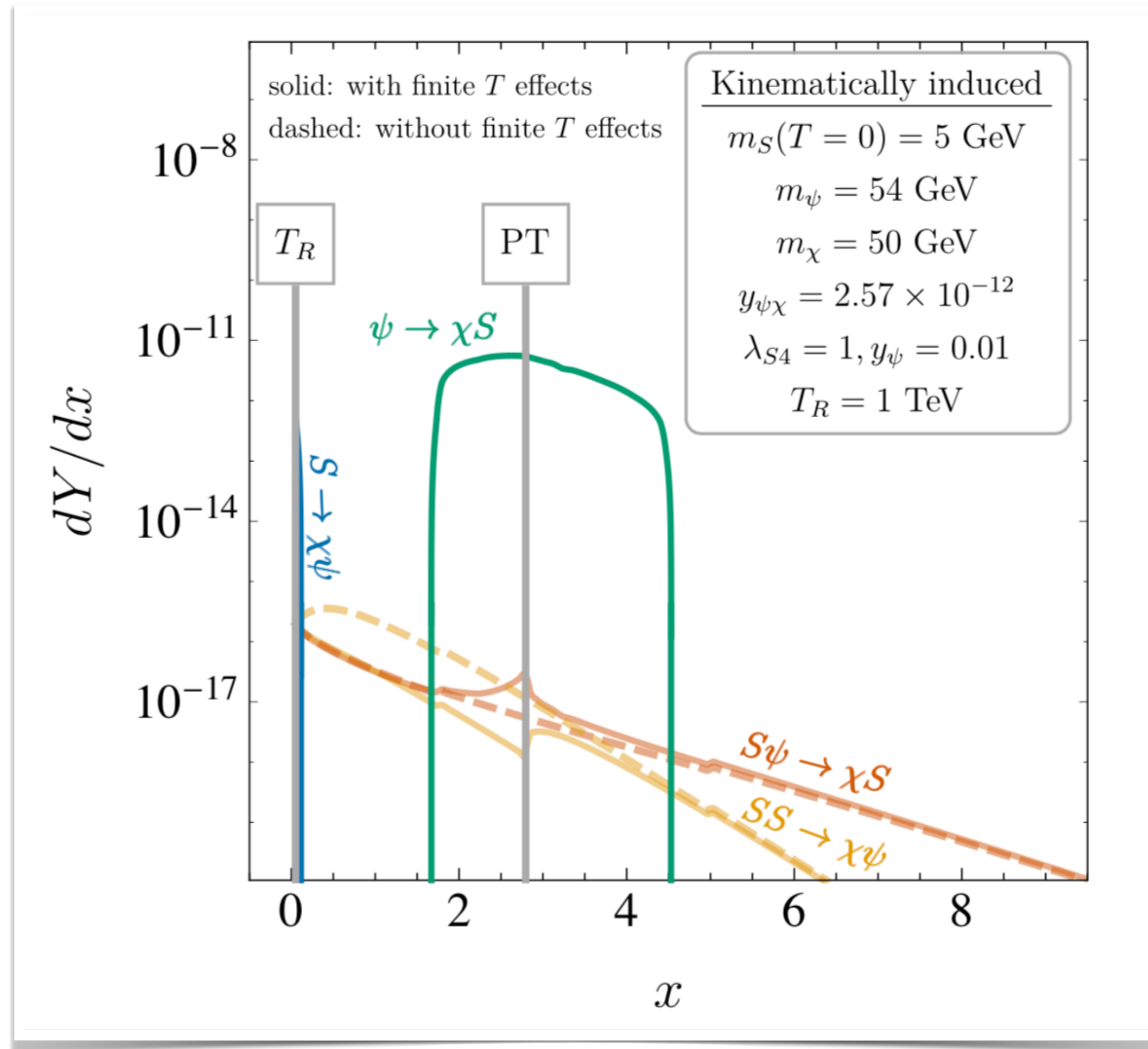
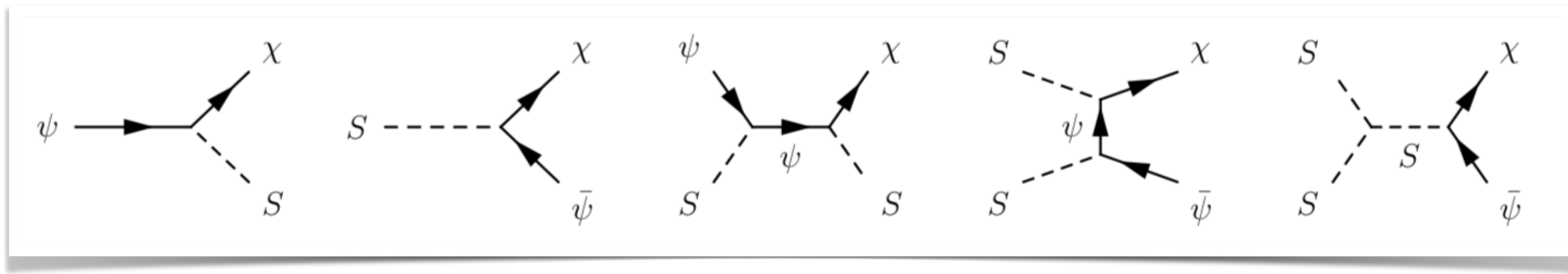
assumed small

Baker Breitbach JK Mitnacht [1712.03962](https://arxiv.org/abs/1712.03962)

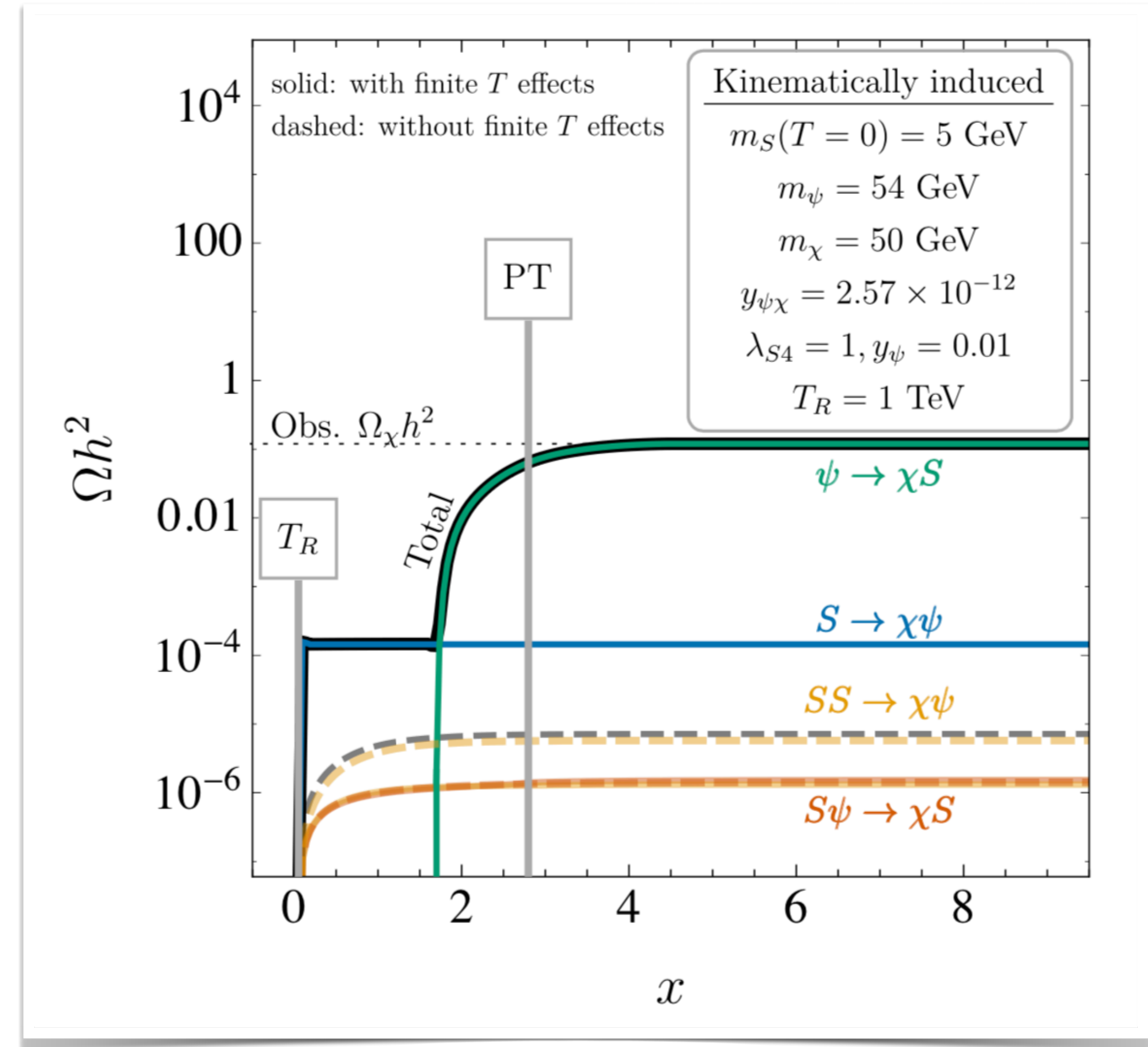
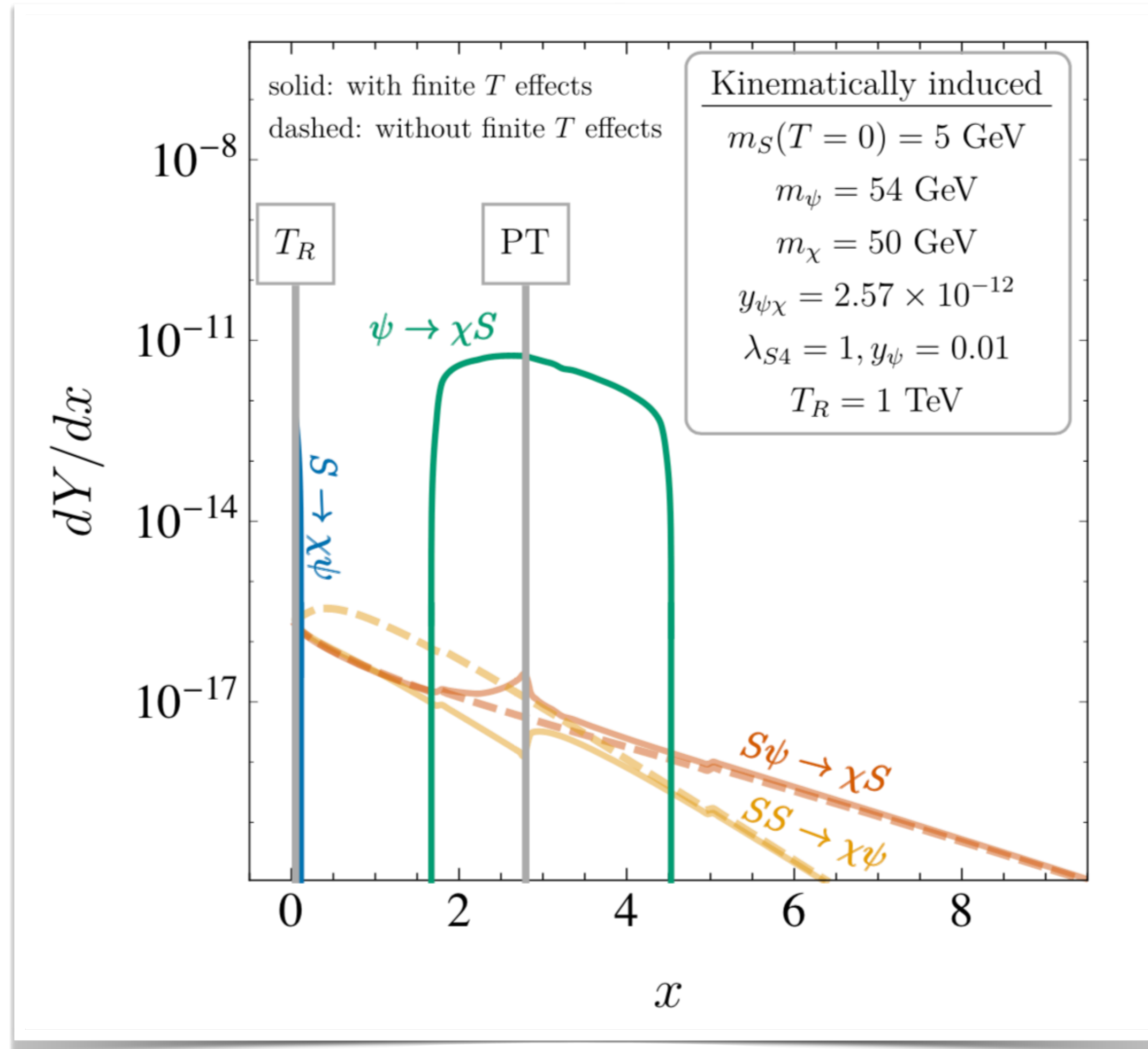
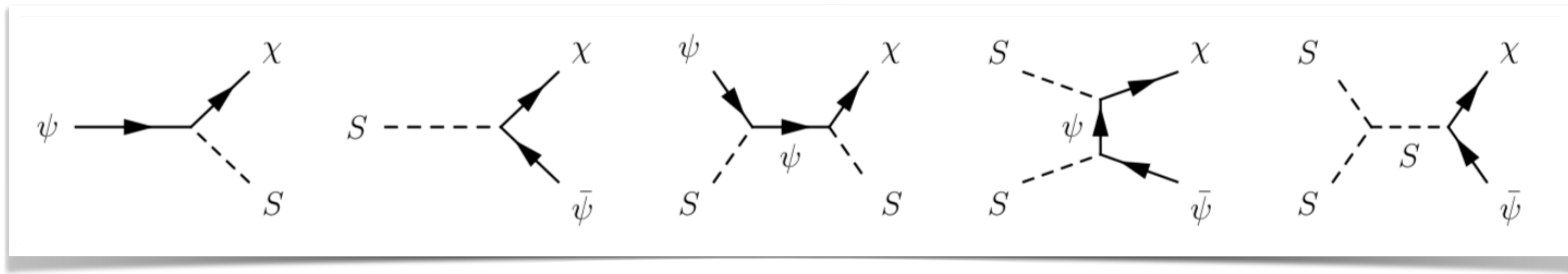
Effective Potential and Thermal Masses



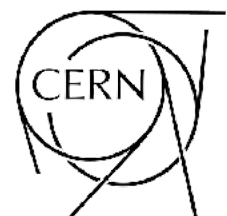
Dark Matter Freeze-In



Dark Matter Freeze-In



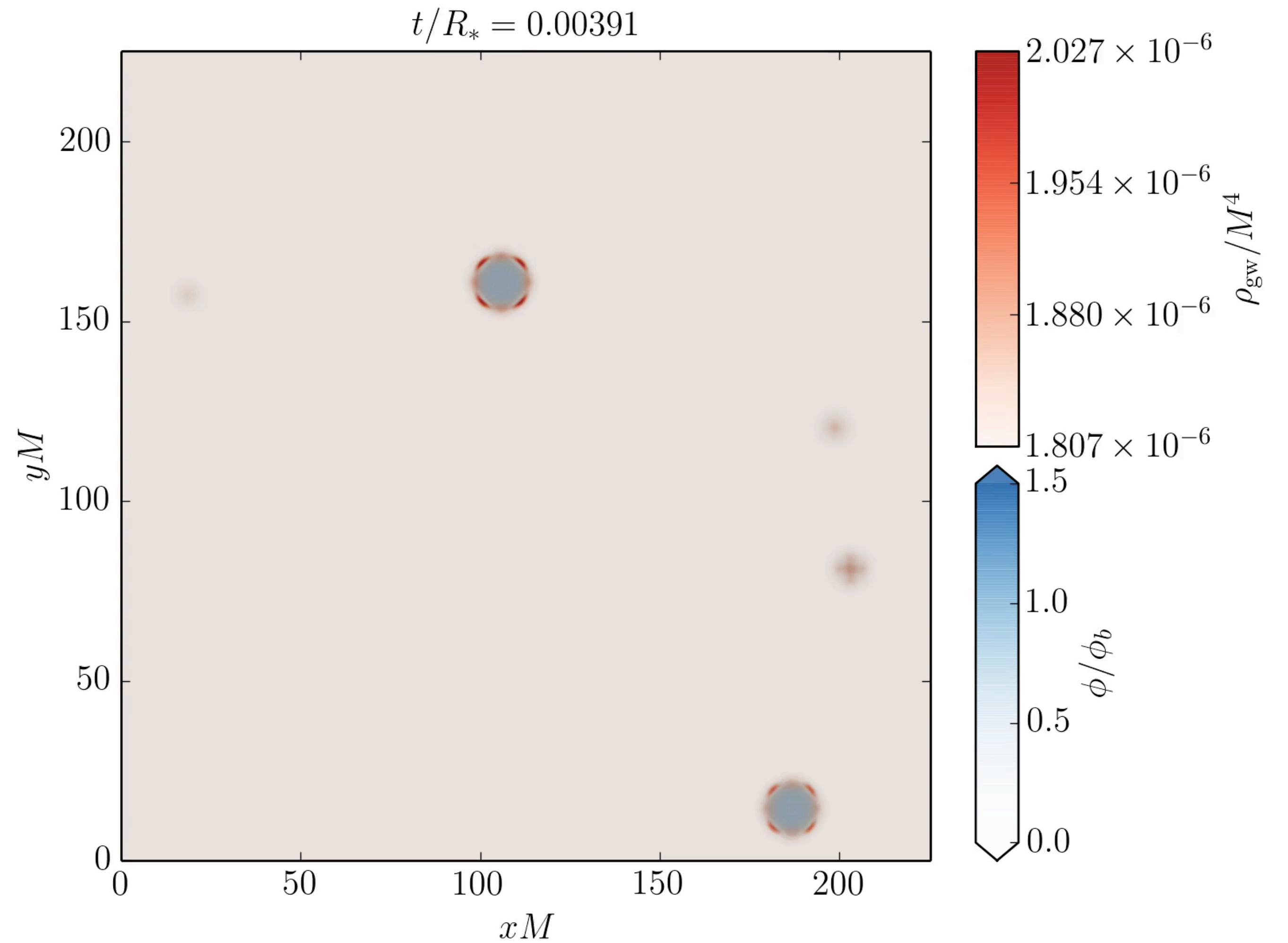
Filtered Dark Matter



DM Filtering at Bubble Walls



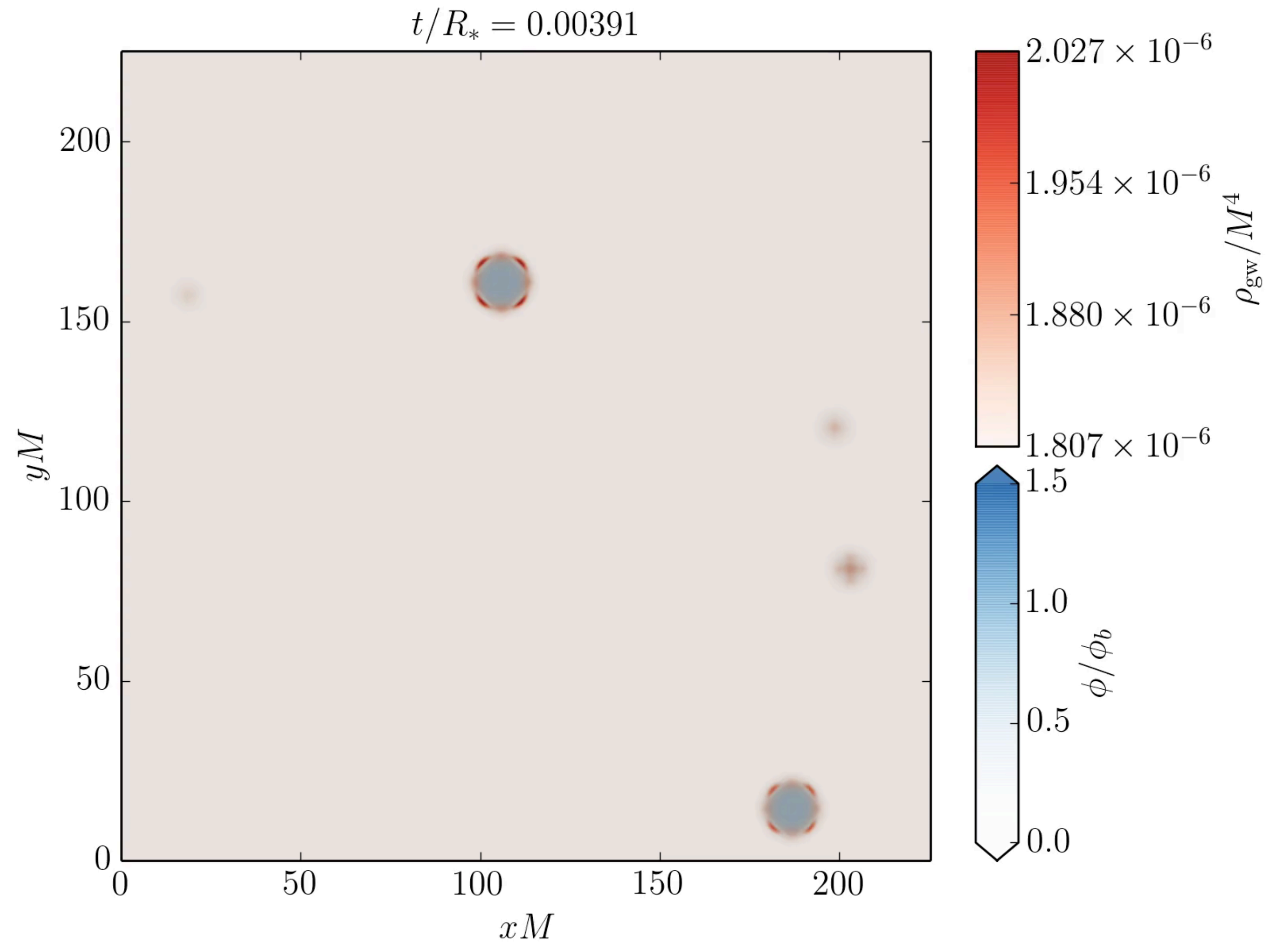
[Witten 1984](#), [Cutting Hindmarsh Weir 2018](#)



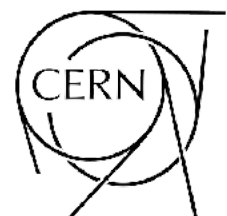
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[Witten 1984](#), [Cutting Hindmarsh Weir 2018](#)



DM Decay Between Phase Transitions



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- Assume DM (χ) acquires mass during a phase transition

$$\mathcal{L} \supset -y_{\text{DM}} \phi \bar{\chi} \chi$$

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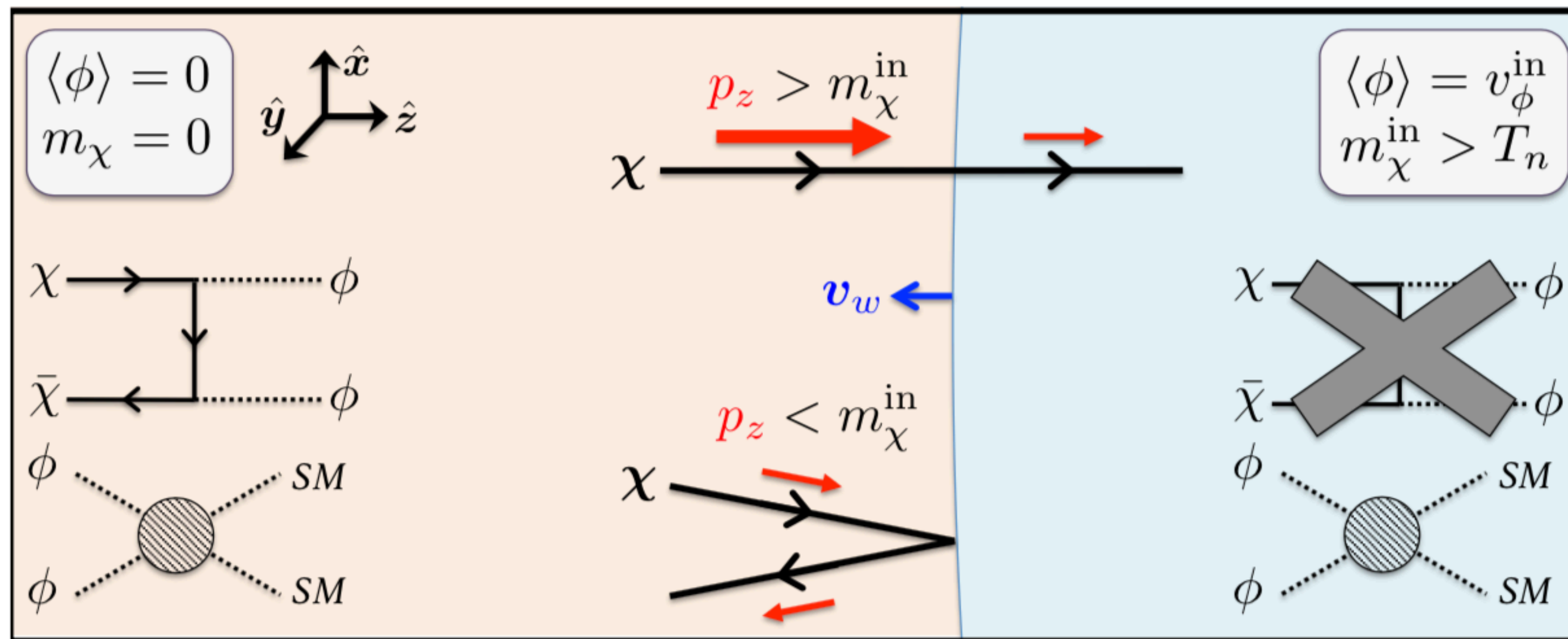
- low-energy DM particles will not be able to enter bubbles

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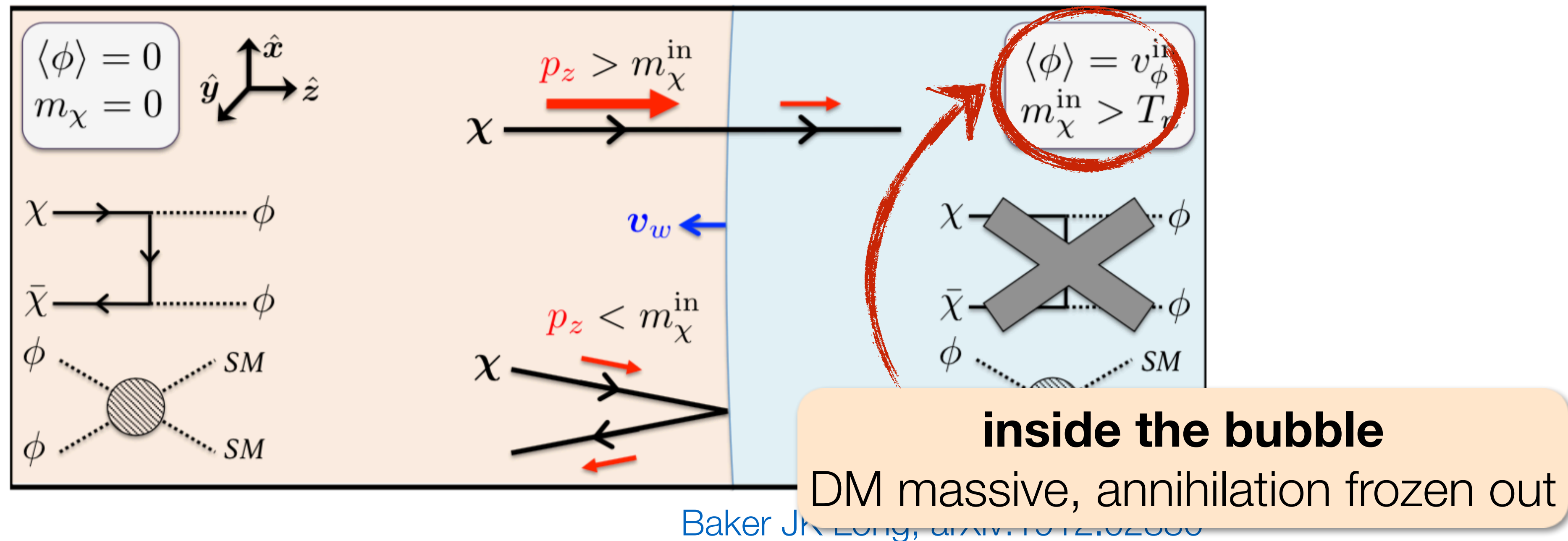
Baker JK Long, arXiv:1912.02830

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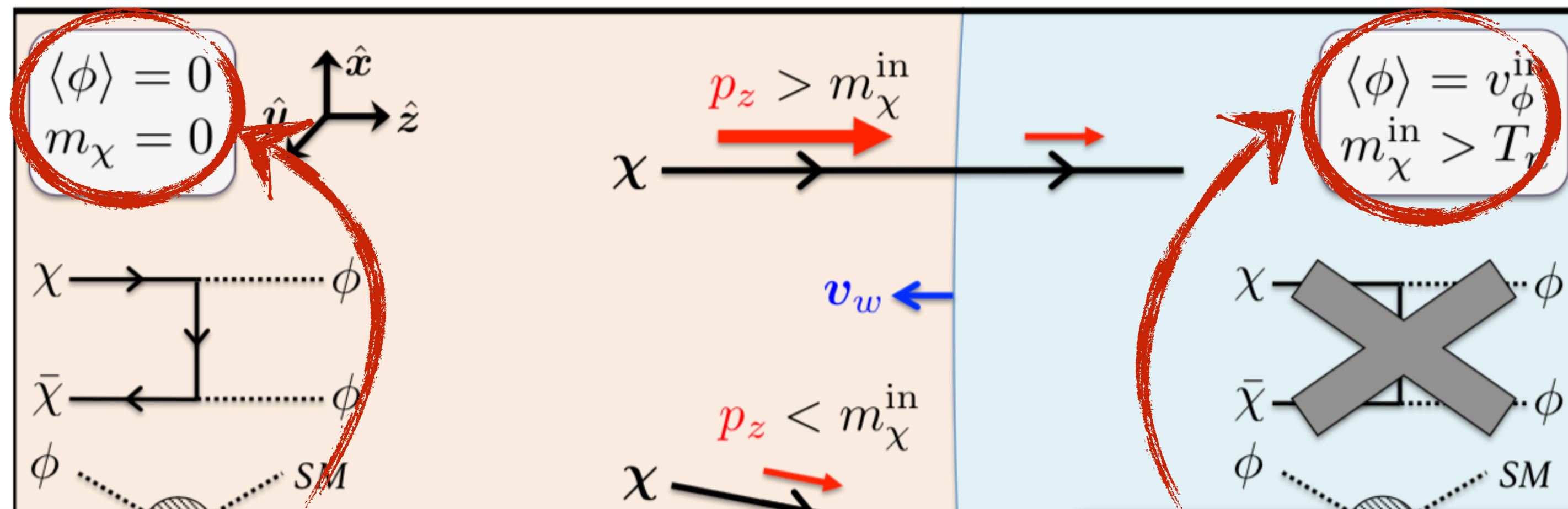


DM Decay Between Phase Transitions

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$$\mathcal{L} \supset -y_{\text{DM}} \phi \bar{\chi} \chi$$

- low-energy DM particles will not be able to enter bubbles



outside the bubble
DM massless, annihilates efficiently

inside the bubble
DM massive, annihilation frozen out

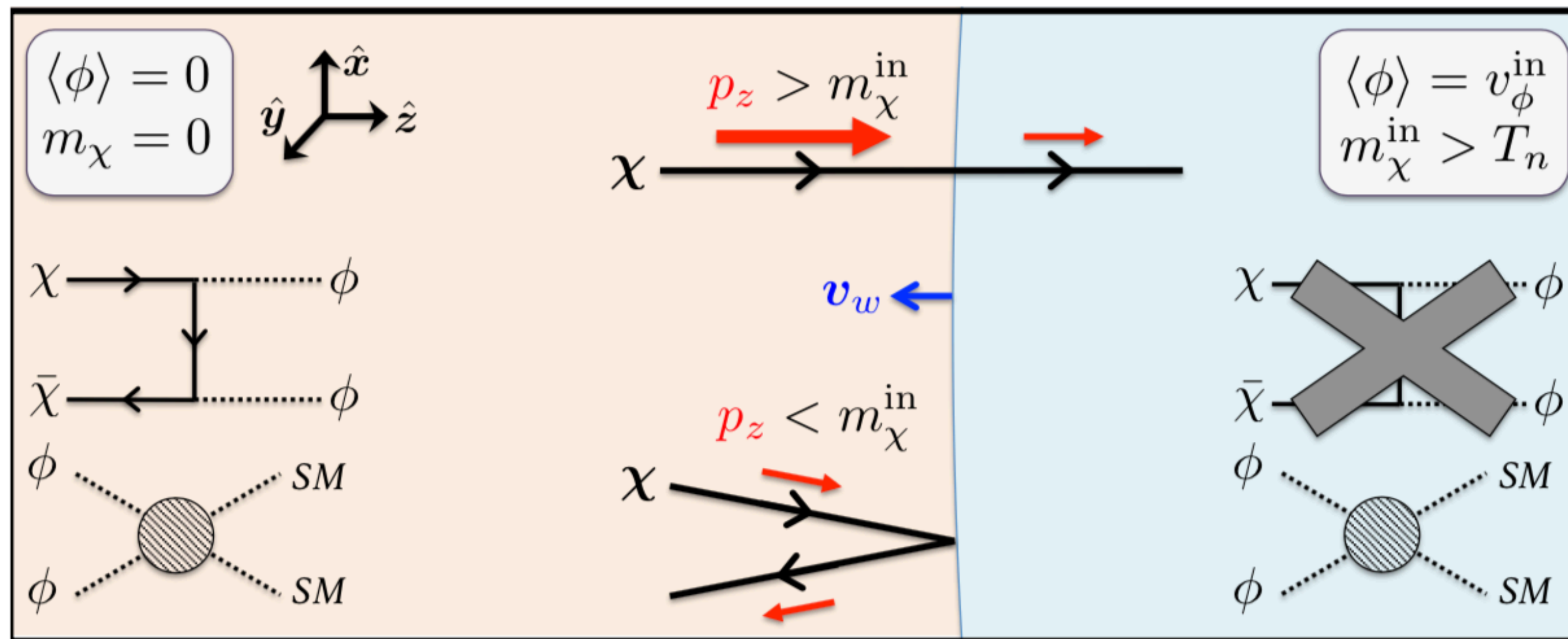
Baker JK Long, arXiv:1912.02000

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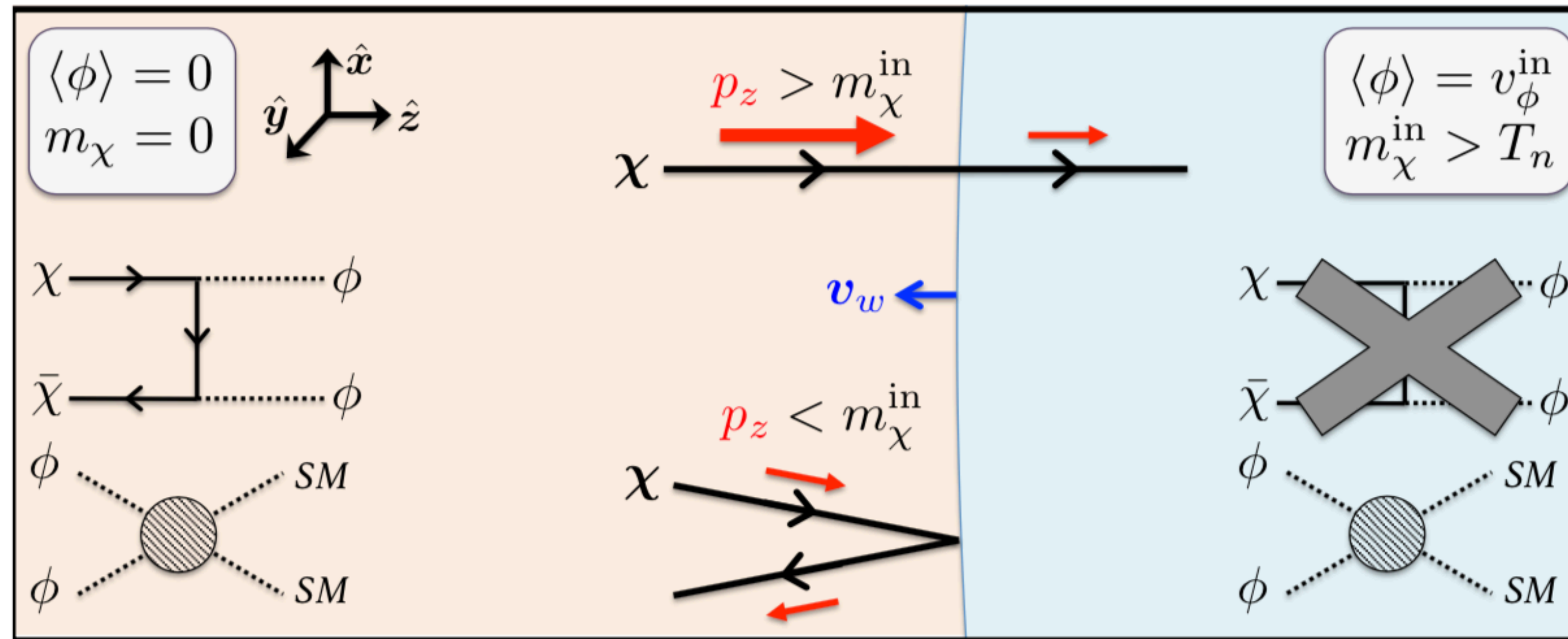
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Baker JK Long, arXiv:1912.02830

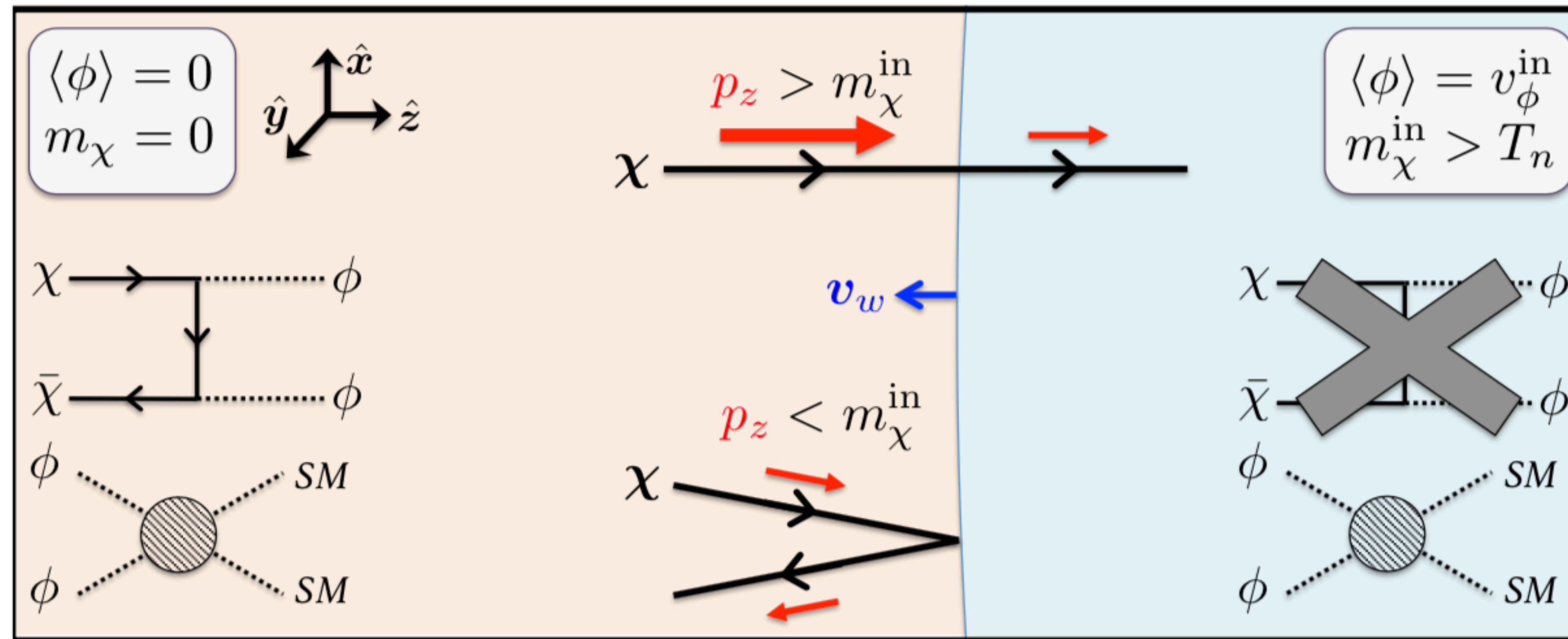
DM Decay Between Phase Transitions



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- small DM abundance inside the bubble persists

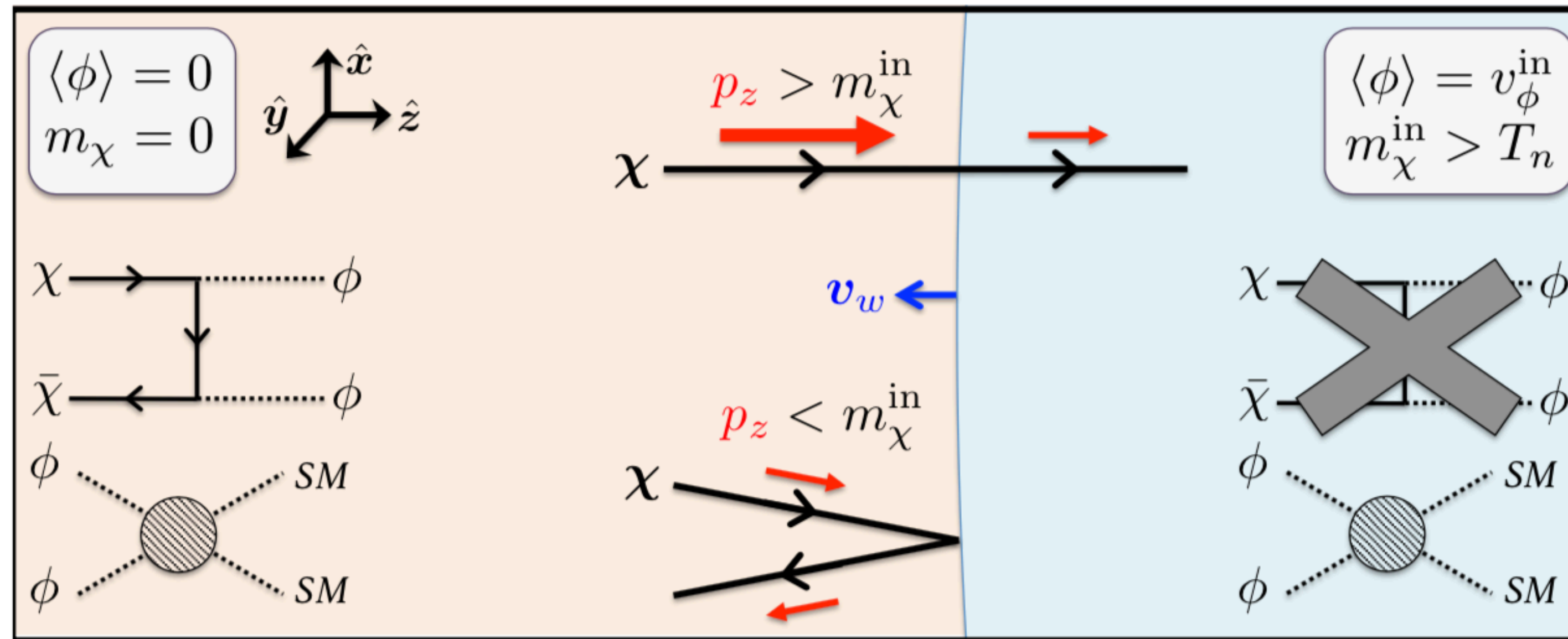
DM Decay Between Phase Transitions



Baker JK Long, arXiv:1912.02830

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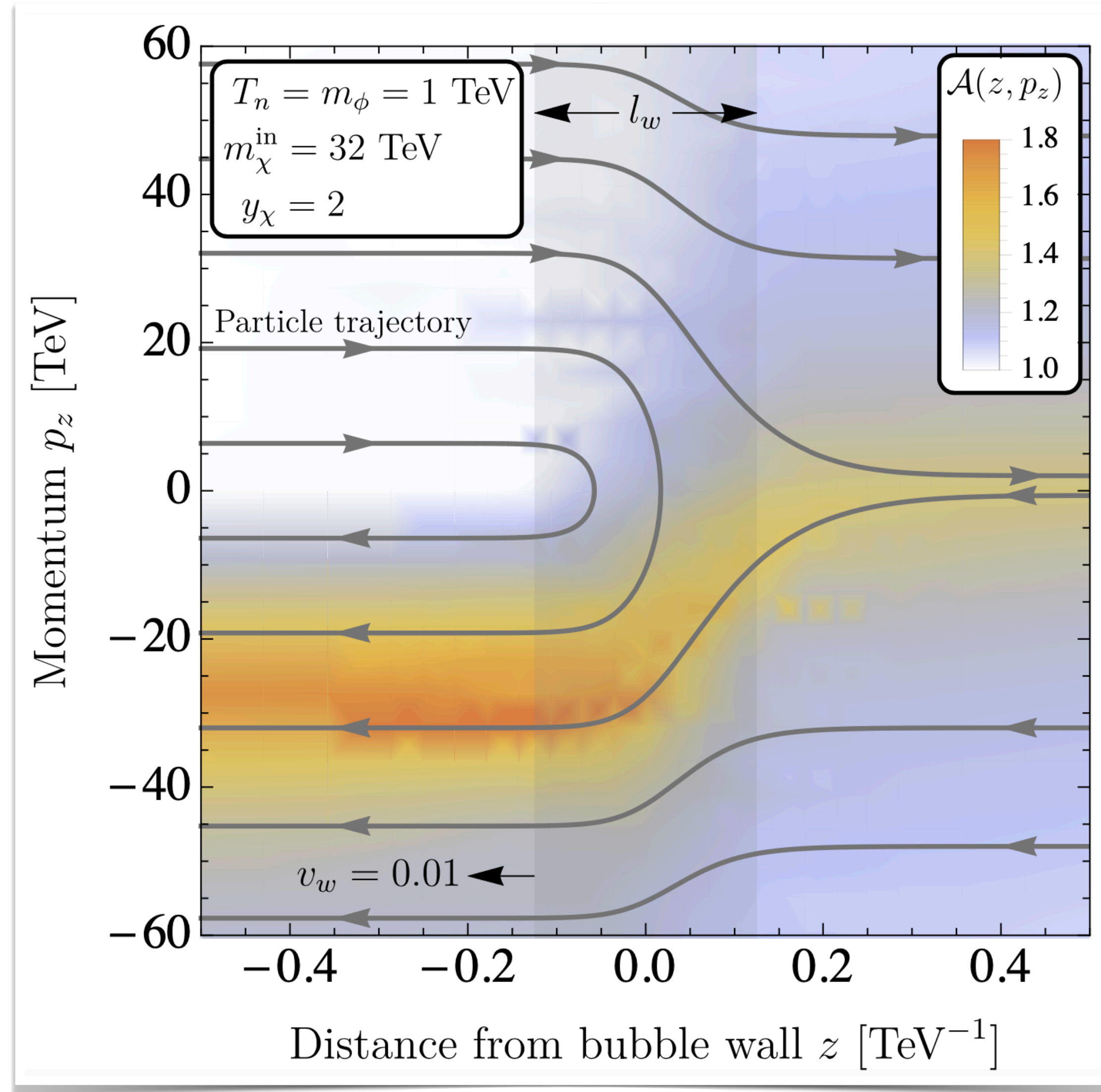
DM Decay Between Phase Transitions



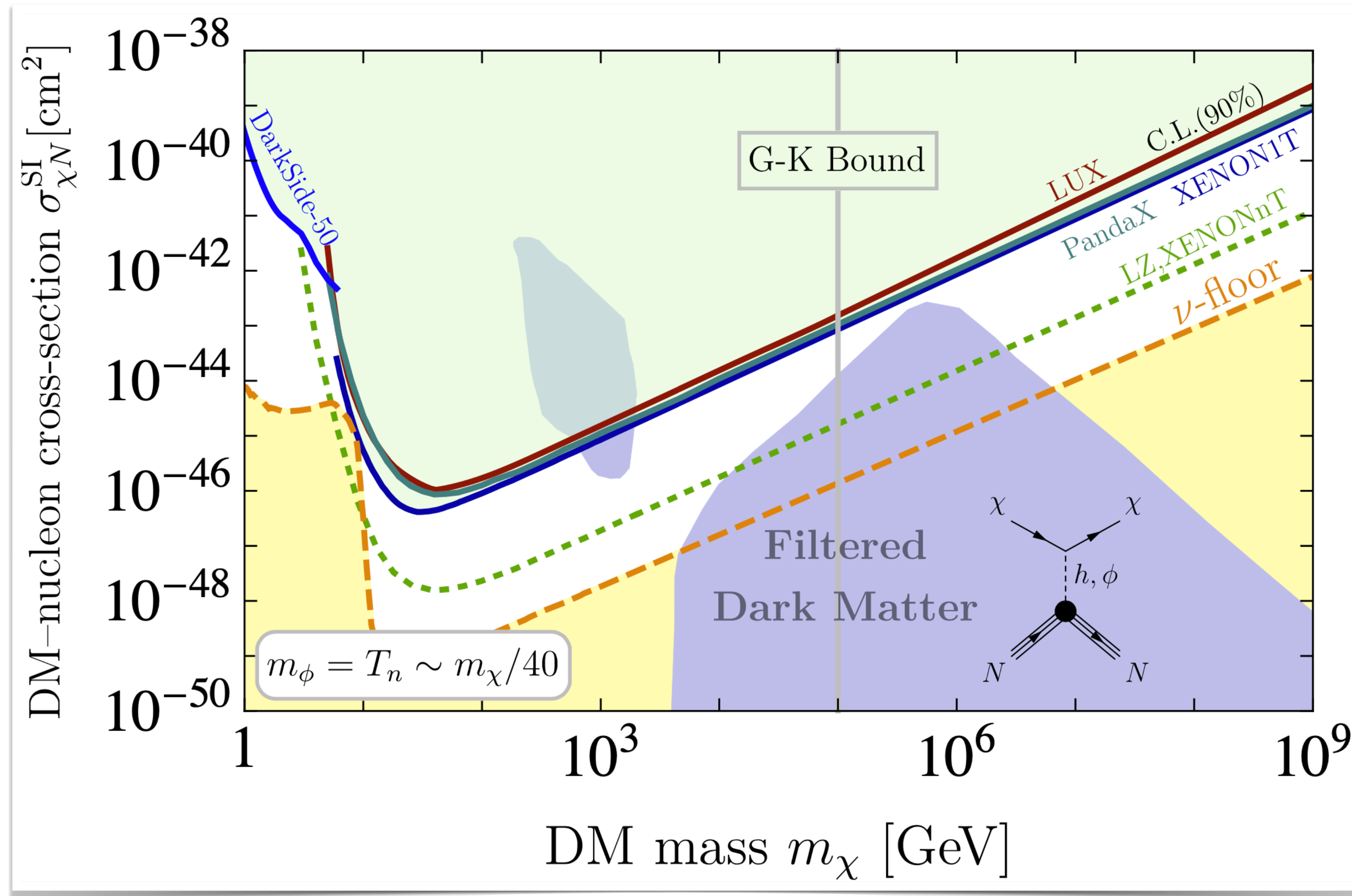
Baker JK Long, arXiv:1912.02830

- small DM abundance inside the bubble persists
- most DM particles remain outside, annihilate efficiently
- quantitative description: Boltzmann transport equations

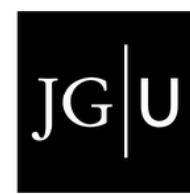
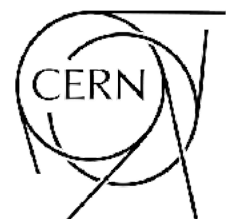
Dark Matter at Bubble Walls



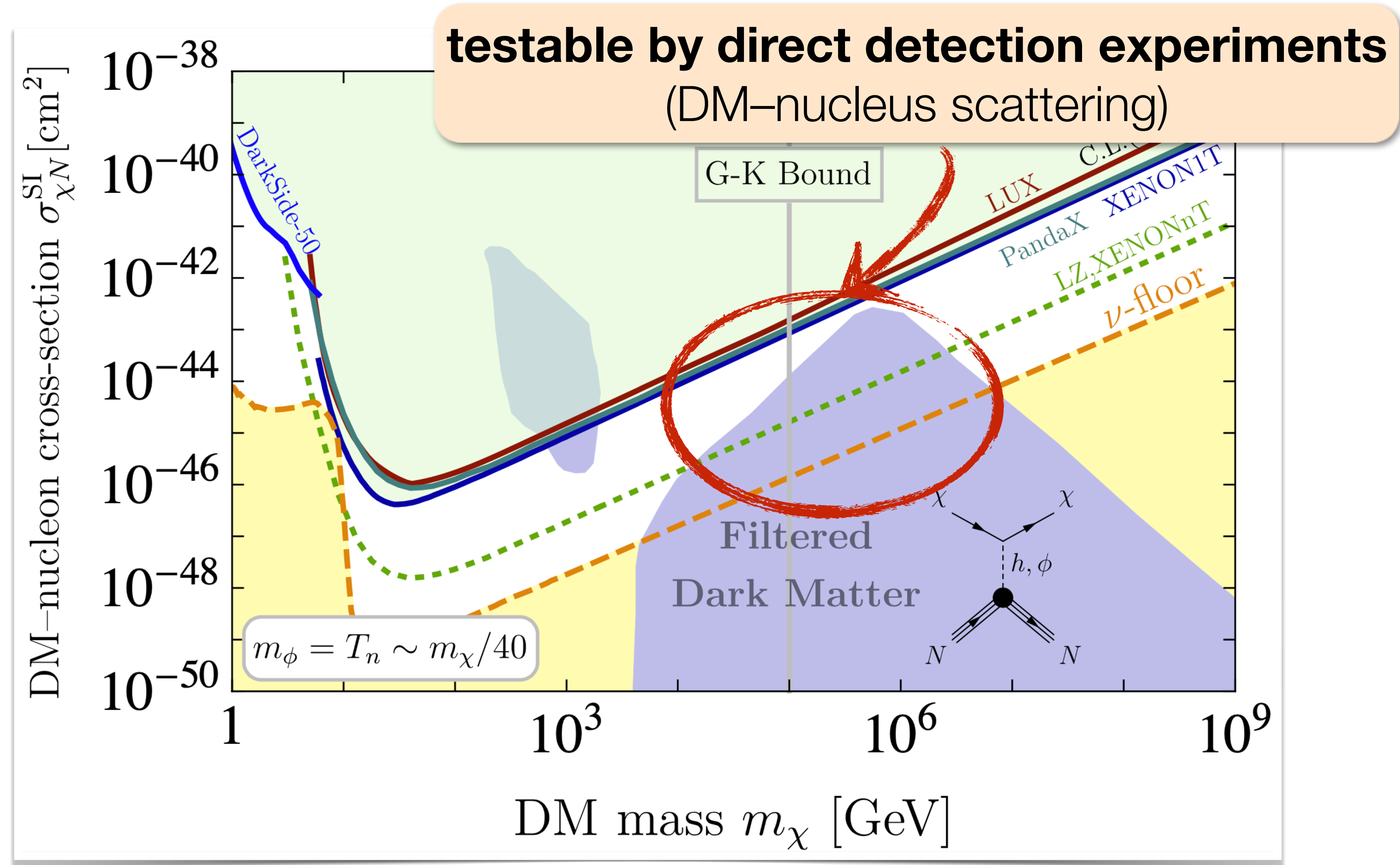
DM Filtering at Bubble Walls



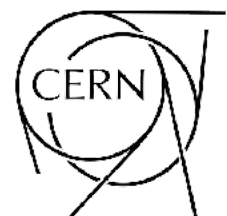
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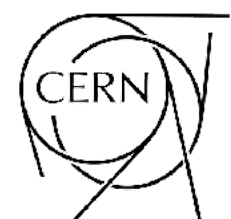
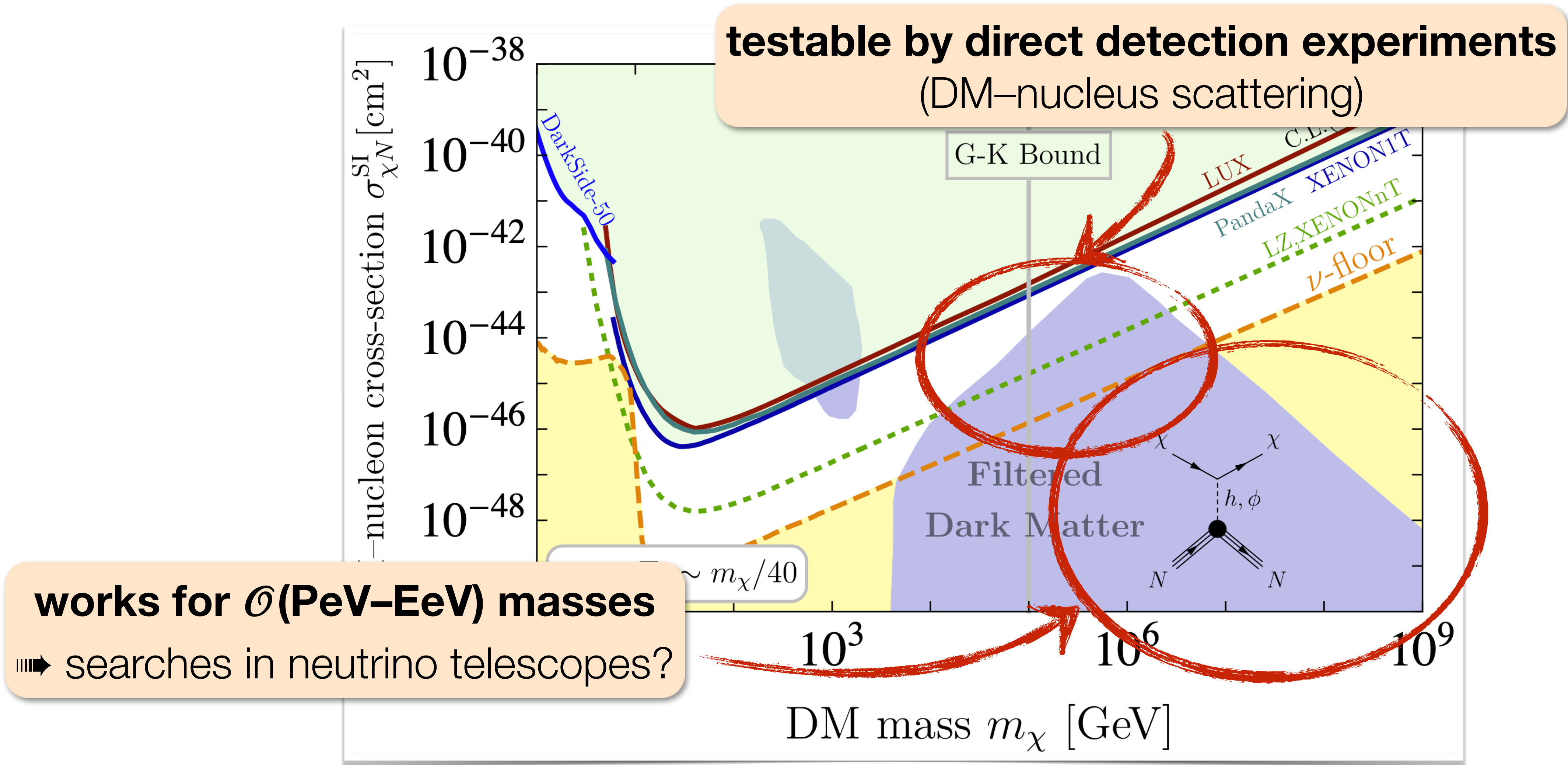
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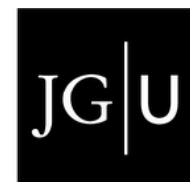
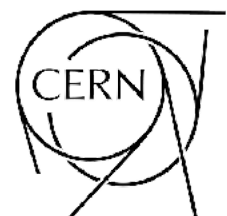


DM Filtering at Bubble Walls



Baker JK Long, arXiv:1912.02830

Primordial Black Holes from Phase Transitions



Primordial Black Holes From Phase Transitions

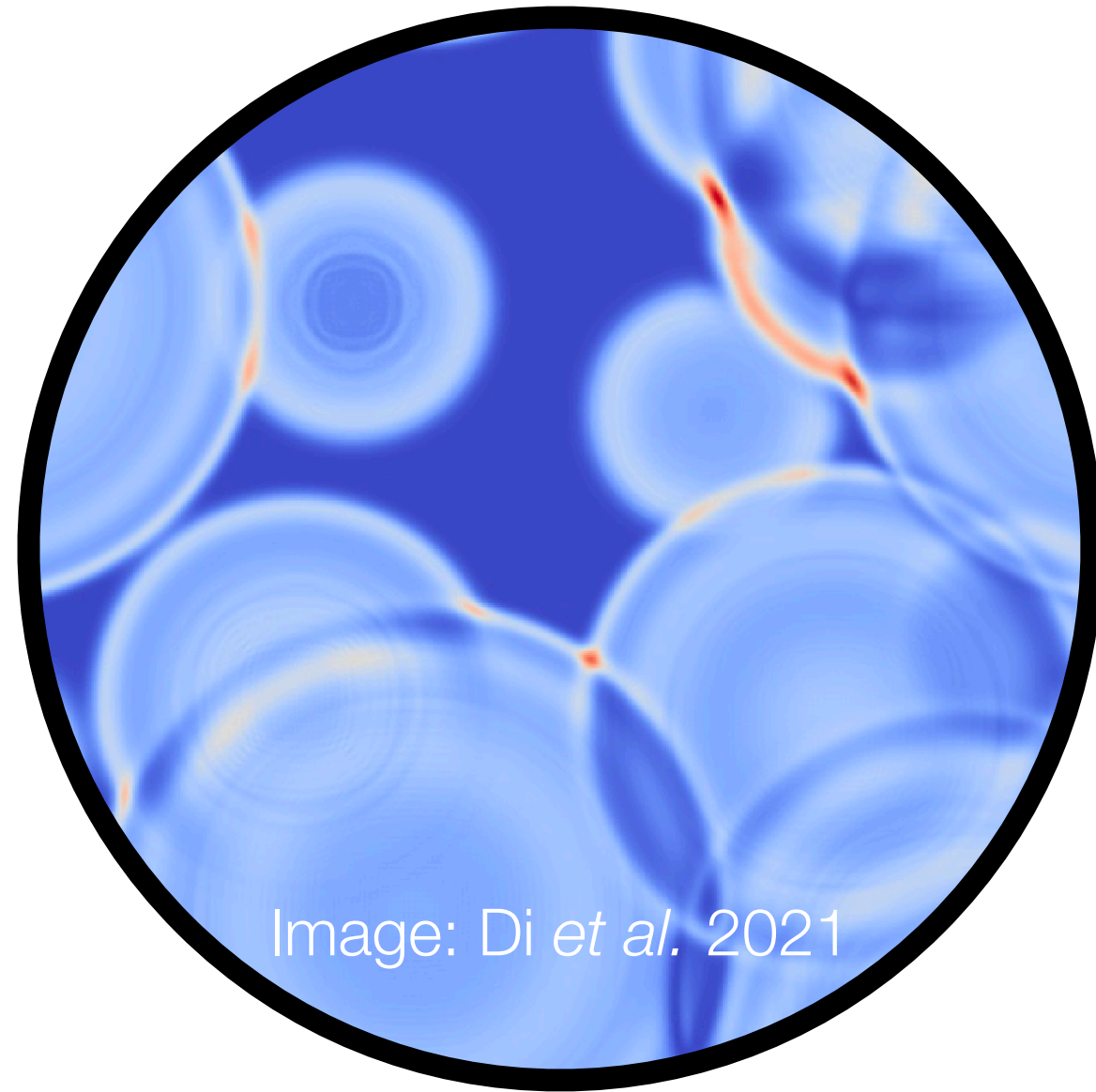
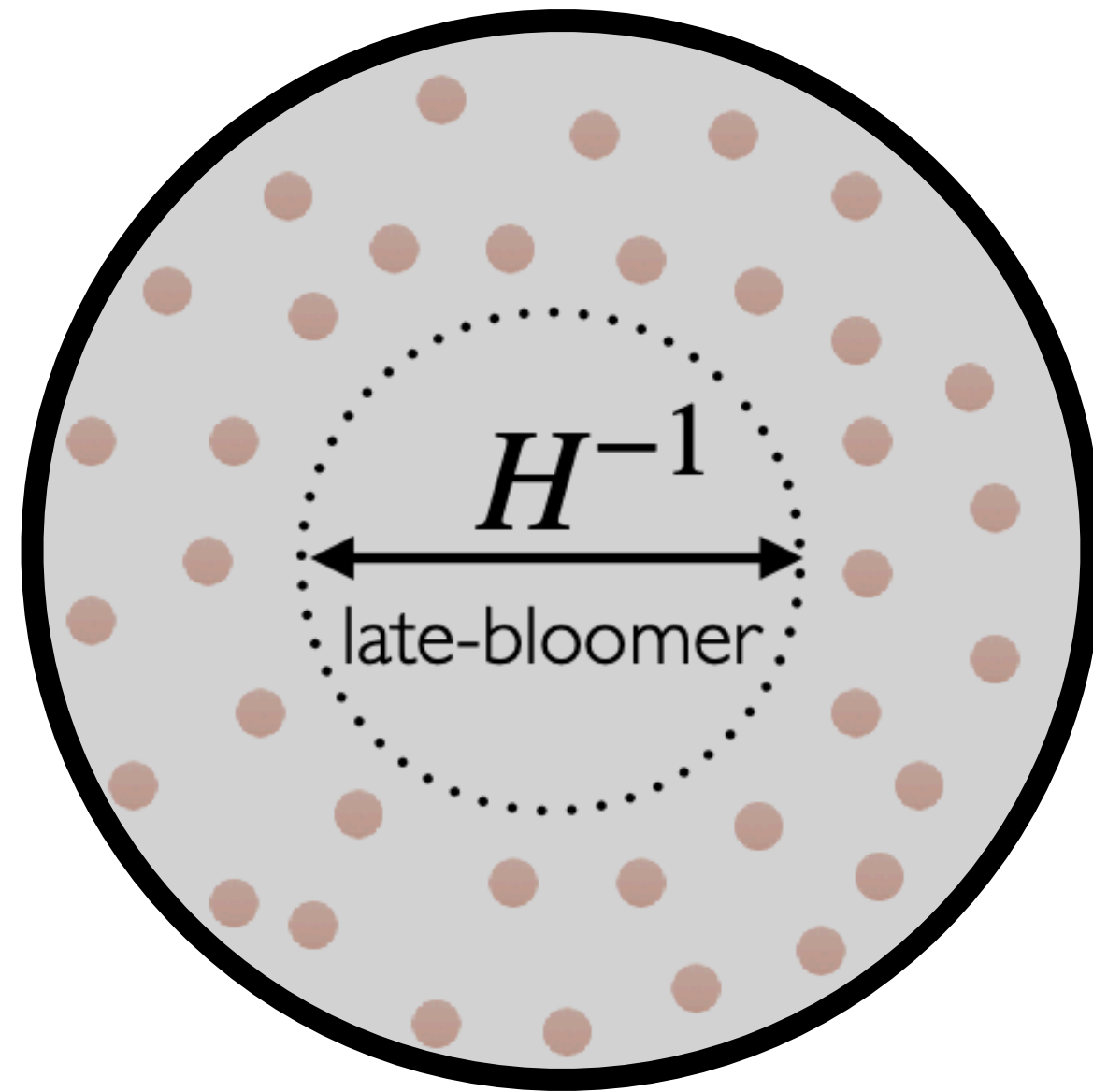


Image: Di *et al.* 2021

bubble wall collisions

Hawking Moss Stuart [1982](#)

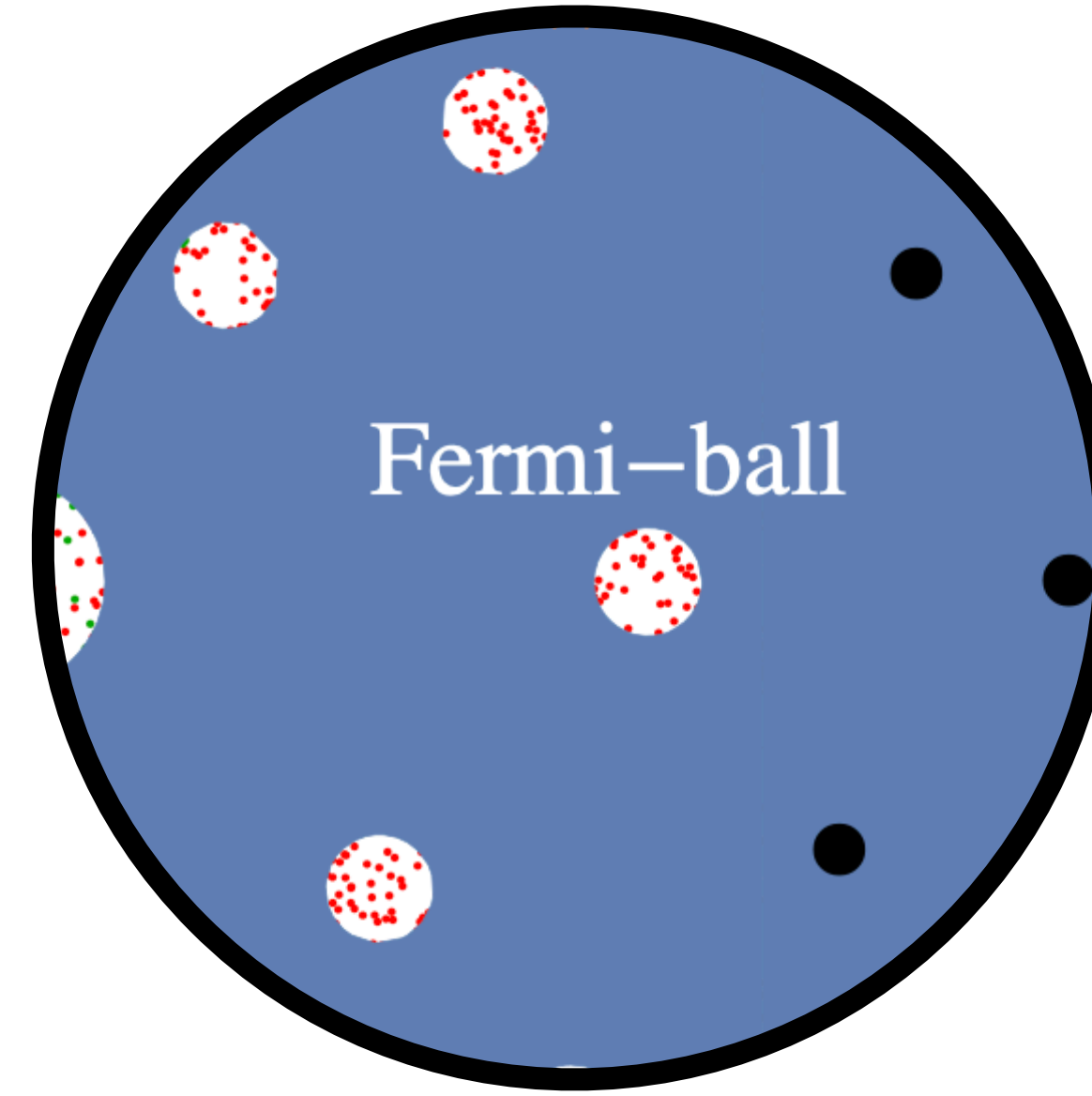
Moss [1994](#)



collapse of inflating
false-vacuum pockets

Sato Sasaki Kodama Maeda [1981](#)

Gouttenoire Volansky [2305.04942](#)

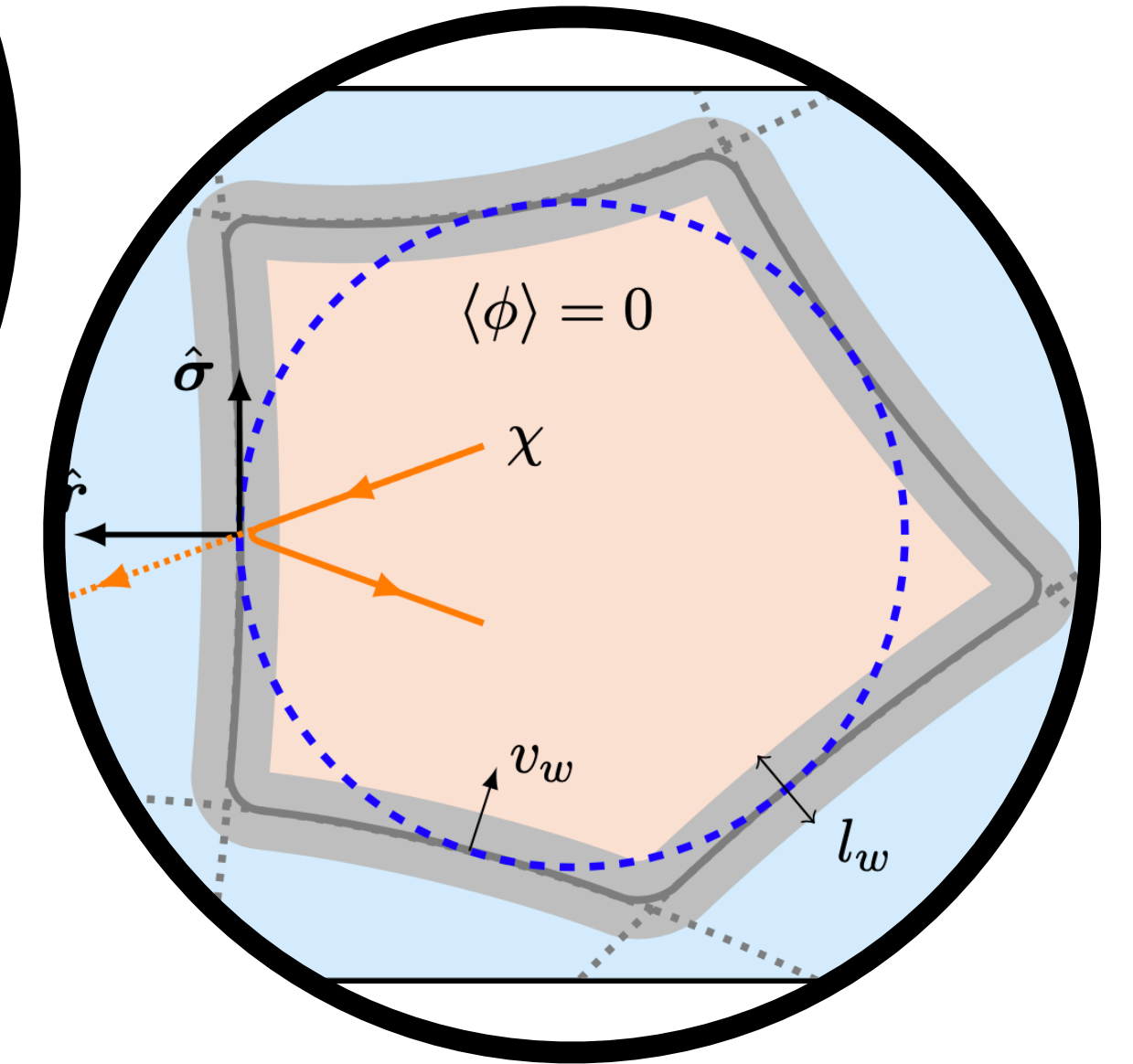


collapse of Fermi balls

Gross *et al.* [2105.02840](#)

Kawana Xie [2106.00111](#)

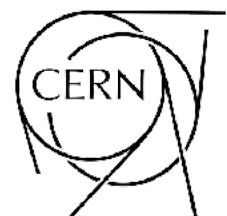
Huang Xie [2201.07243](#)



compression followed
by direct collapse

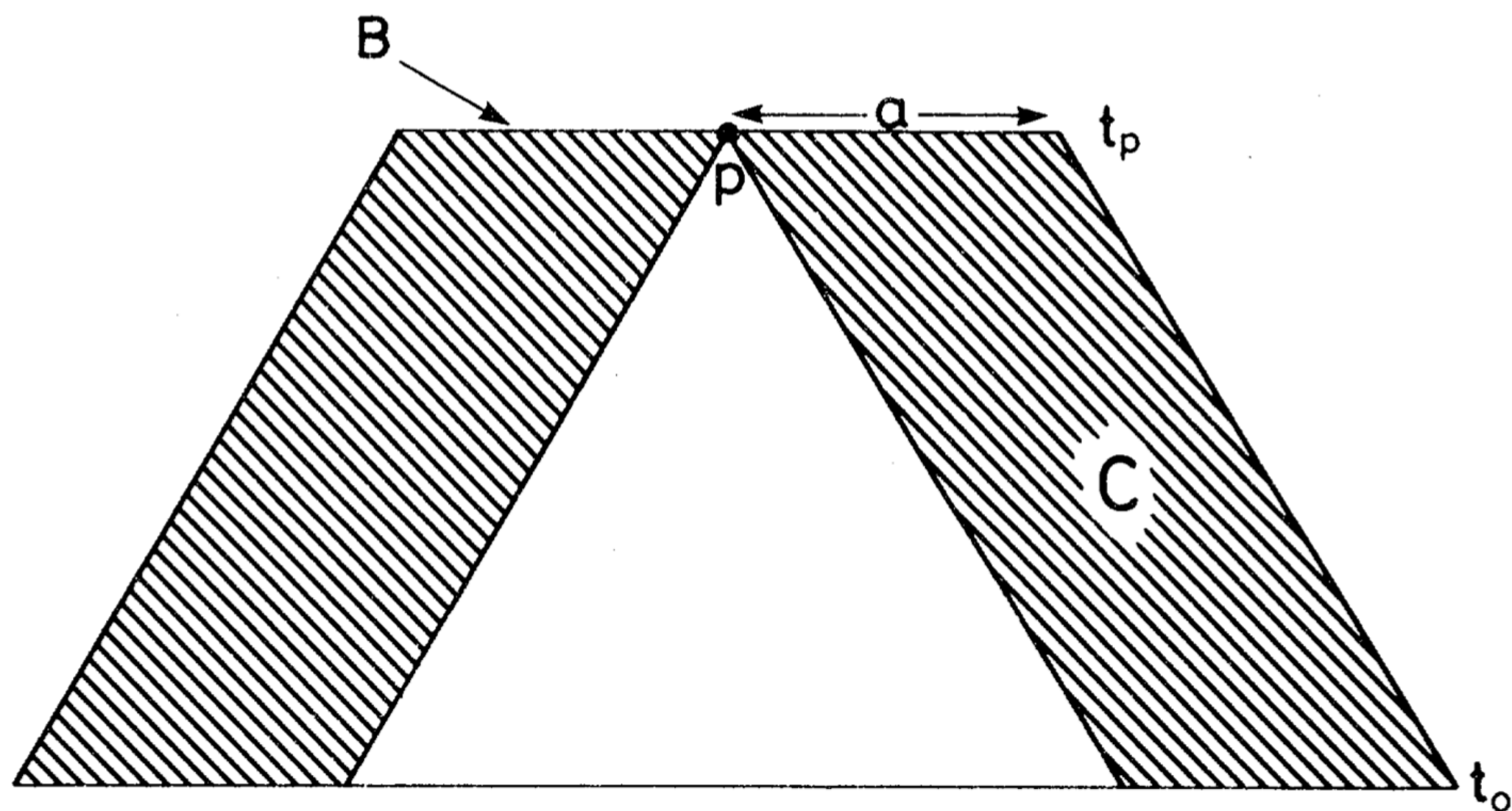
Breitbach *et al.*

[2105.07481](#), [2110.00005](#)



#1: Bubble Wall Collisions

B = spherical region of radius a



Hawking Moss Stuart [1982](#)
Moss [1994](#)

- assume Universe **initially inflating**
- probability for no nucleation** in past light cone of p :

$$e^{-4\pi NH^{-3}(t_p - t_0)/3}$$

- surface energy density** of bubble wall arriving at p

$$\gamma\sigma = \frac{1}{3}\epsilon^4 H^{-1} = \frac{m_P^2}{8\pi} H$$

- for n bubbles, **energy density within B** is

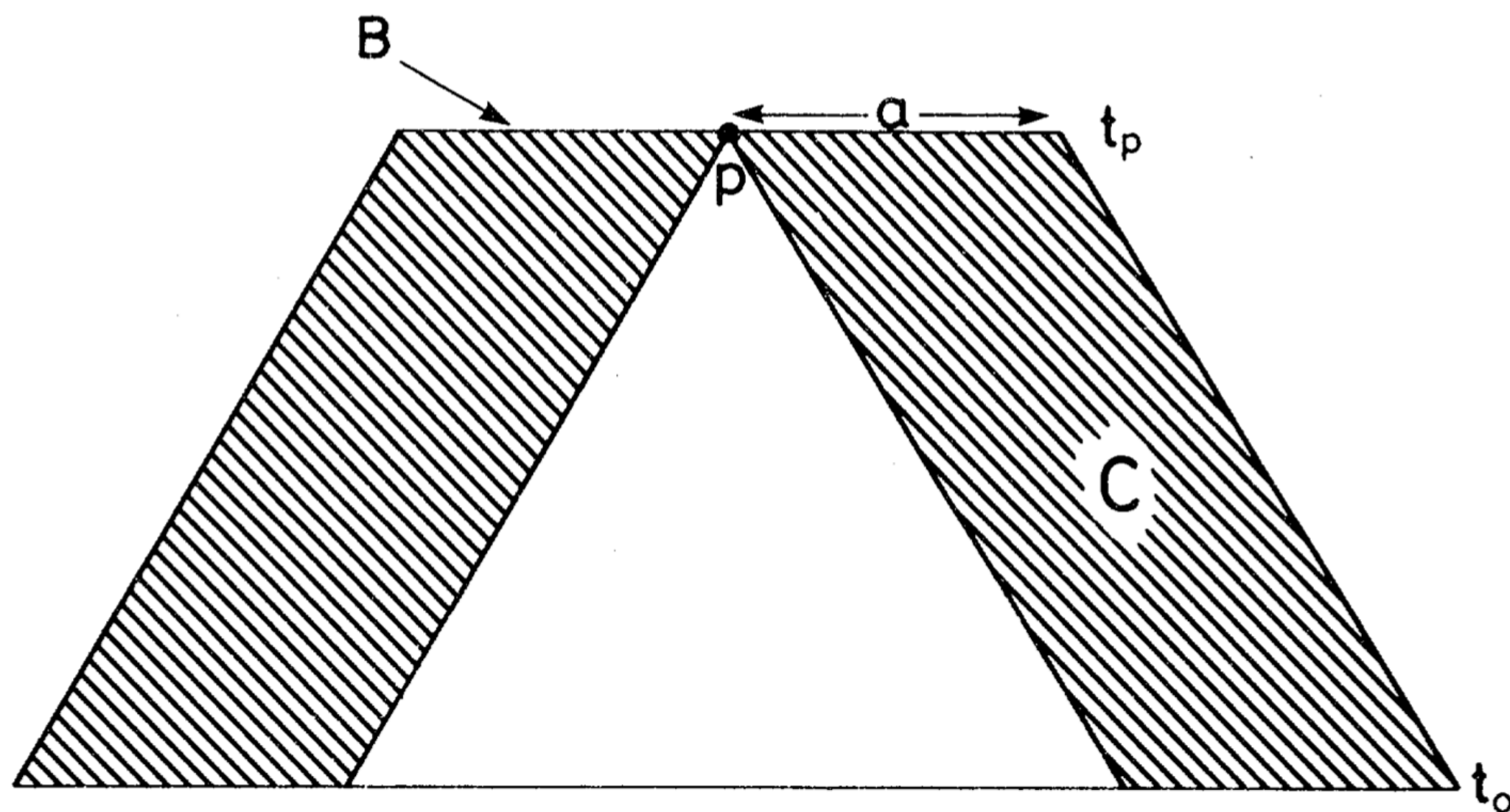
$$\frac{1}{8}na^2 H m_P^2$$

- require this to be **$> aM_P^2/2$**

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nucleation rate
per unit volume

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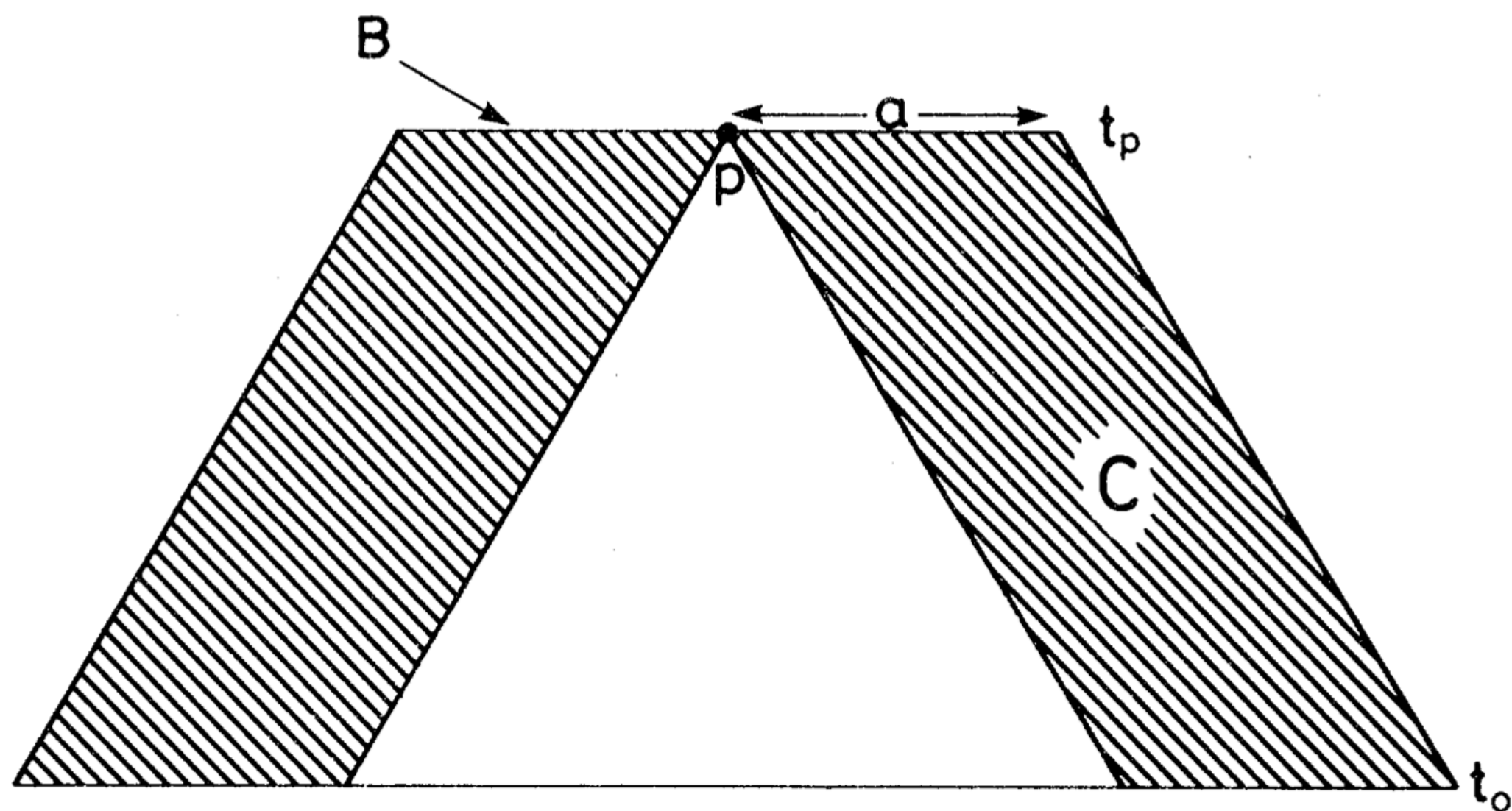
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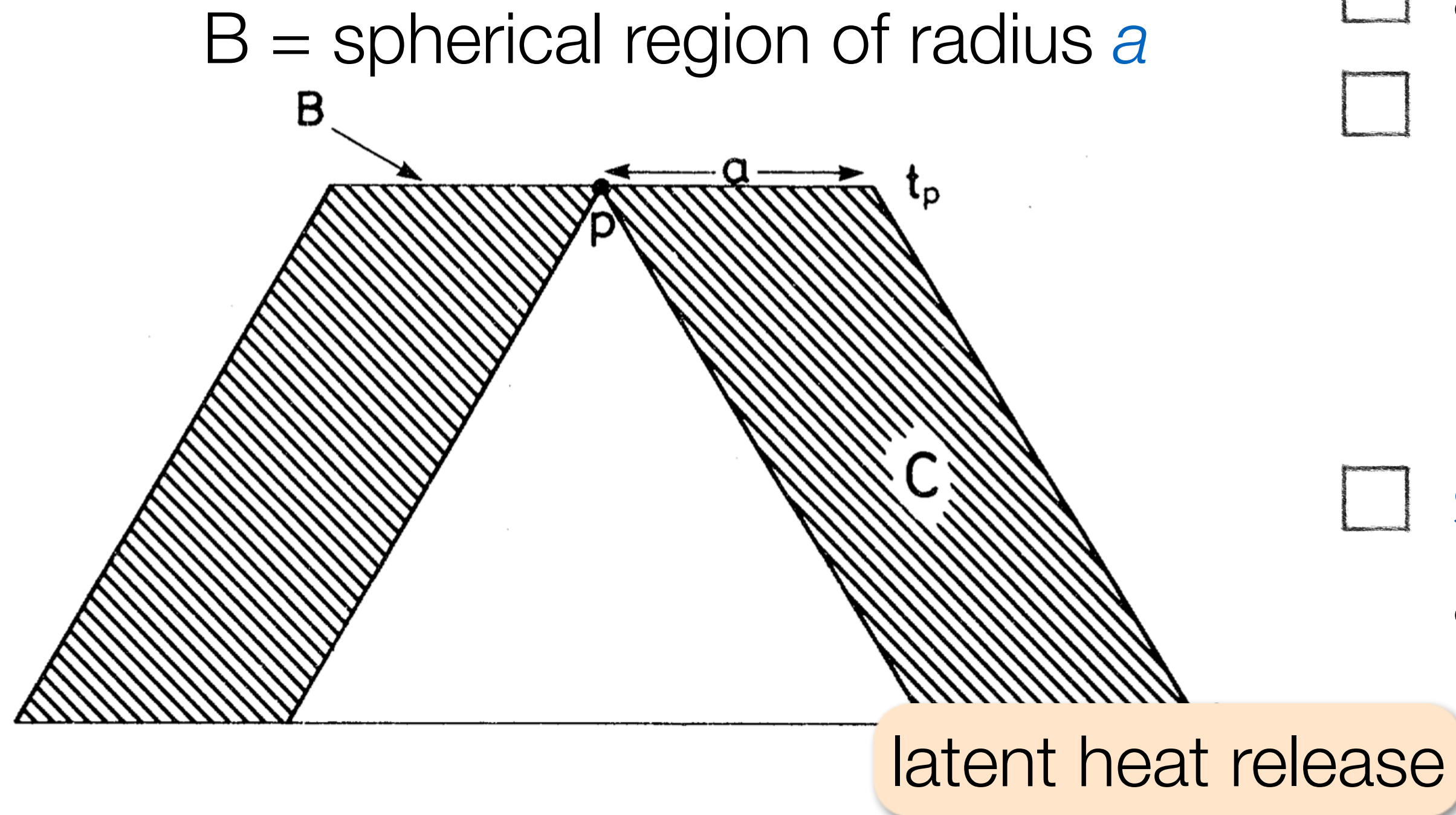
$$\gamma\sigma = \frac{1}{3}\epsilon^4 H^{-1} = \frac{m_p^2}{8\pi} H$$

- for n bubbles, **energy density within B** is

$$\frac{1}{8}na^2 H m_p^2$$

- require this to be **$> aM_p^2/2$**

#1: Bubble Wall Collisions



Hawking Moss Stuart [1982](#)
Moss [1994](#)

- assume Universe **initially inflating**
- probability for no nucleation** in past light cone of p :

$$e^{-4\pi NH^{-3}(t_p - t_0)/3}$$

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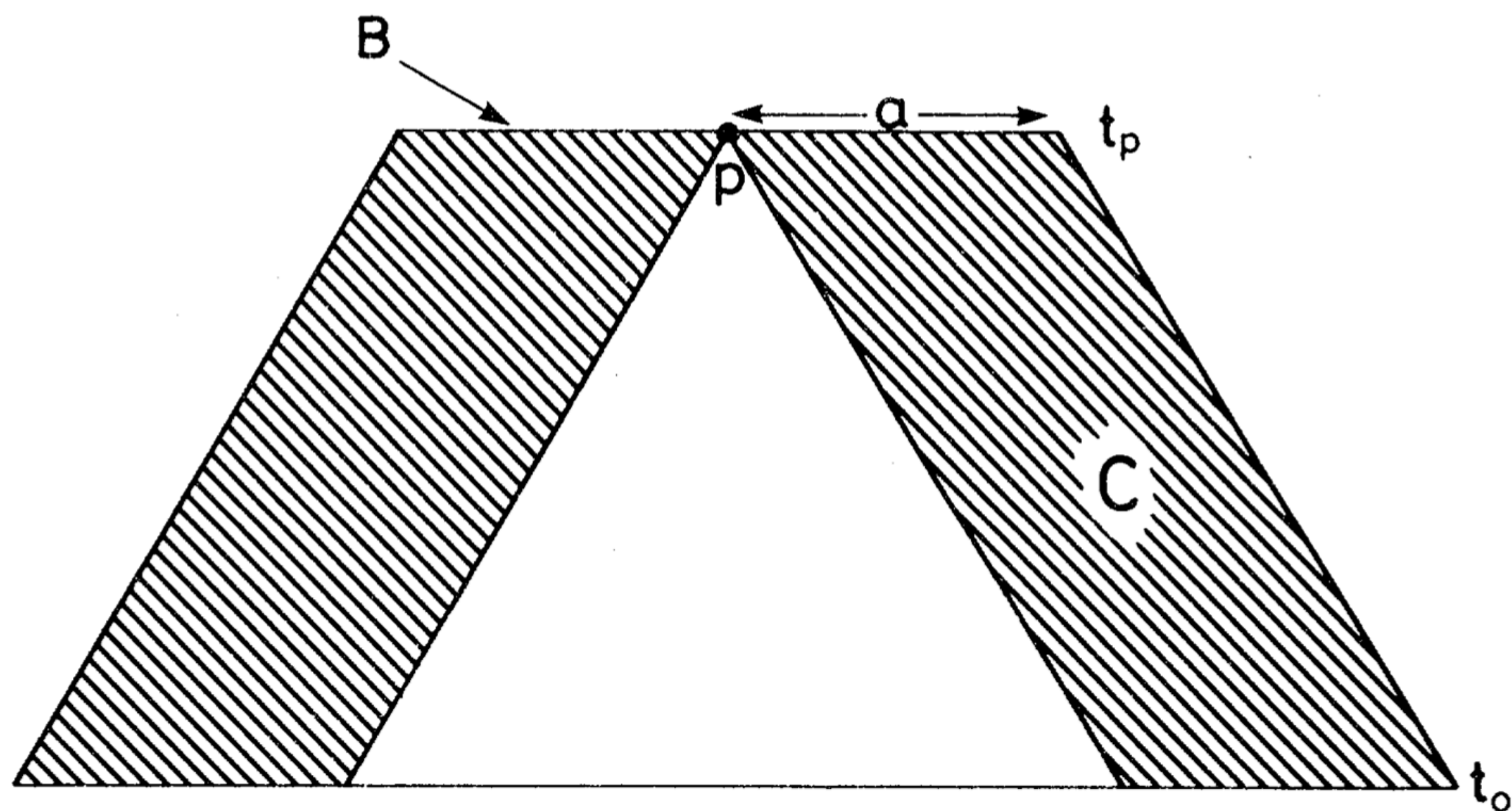
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#1: Bubble Wall Collisions

B = spherical region of radius a



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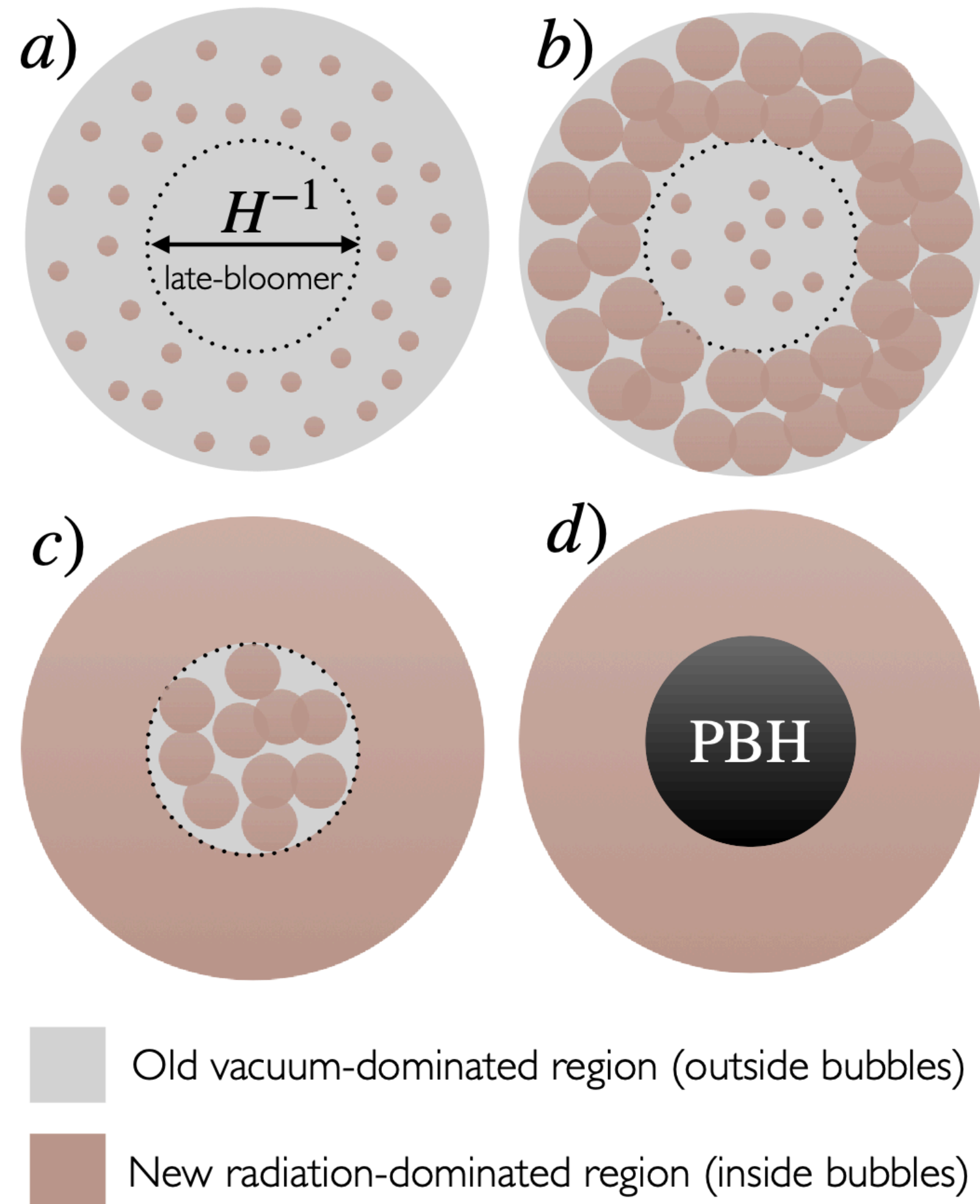
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- require this to be **$> aM_p^2/2$**

#2: Collapse of Inflating False-Vacuum Pockets



- Supercooled PT
 - ▣ Universe inflates initially
- Bubble nucleation is **stochastic**
 - some regions transition later
 - generate energy longer
- Resulting overdensity may **collapse to PBH**

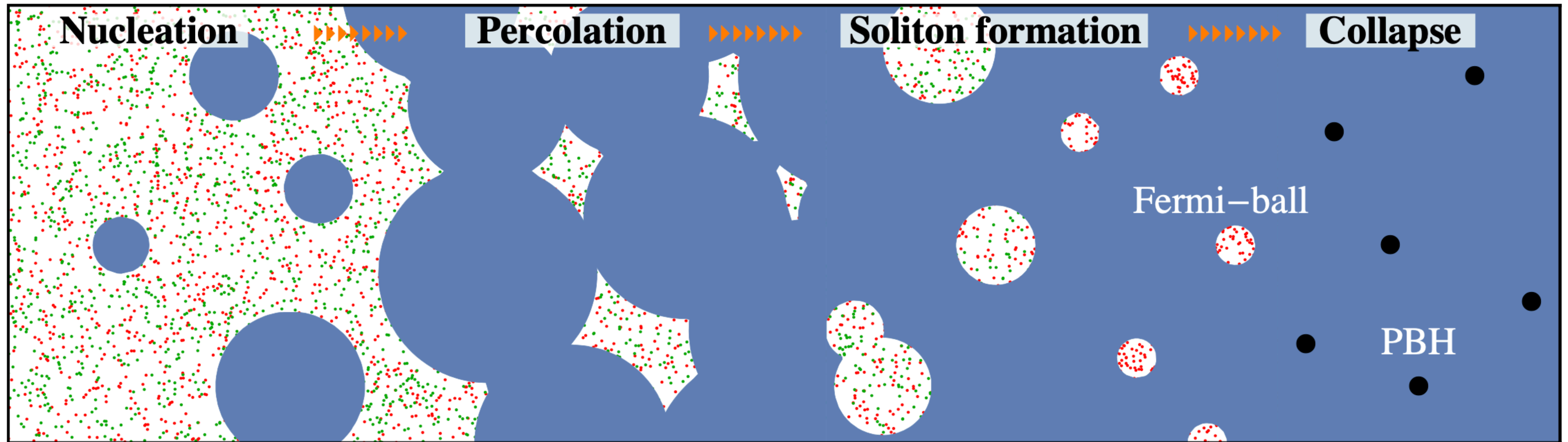
Sato Sasaki Kodama Maeda [1981](#)
Gouttenoire Volansky [2305.04942](#)

#3: Collapse of Fermi Balls

Gross *et al.* [2105.02840](#)

Kawana Xie [2106.00111](#)

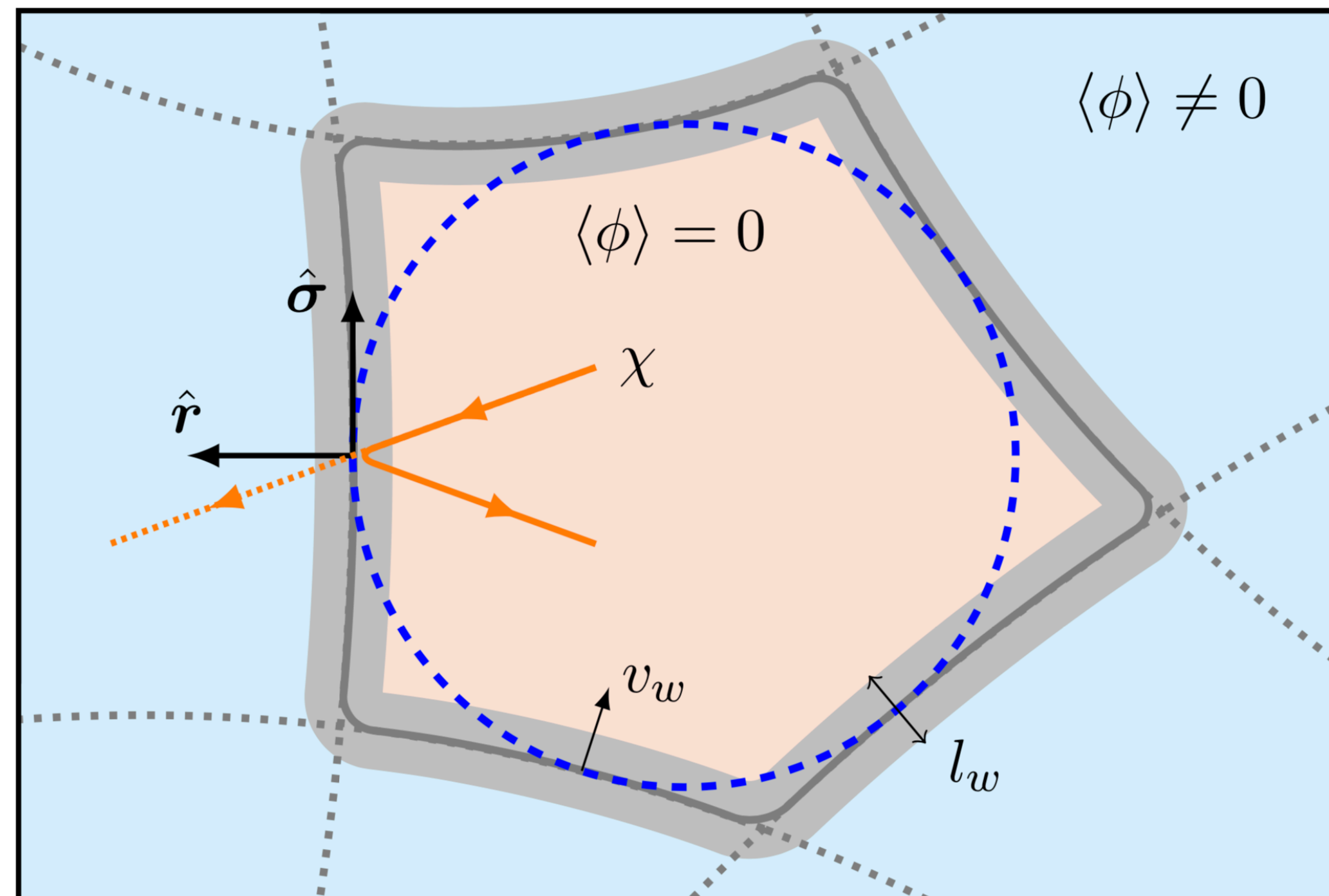
Huang Xie [2201.07243](#)



- fermions χ acquire mass during PT \implies **too heavy to enter bubbles** (cf. Filtered DM)
- false-vacuum pockets containing the χ never transition \implies **“Fermi Balls”**
- pockets collapse** under **gravity** or due to **Yukawa force**

#4: Compression and Direct Collapse

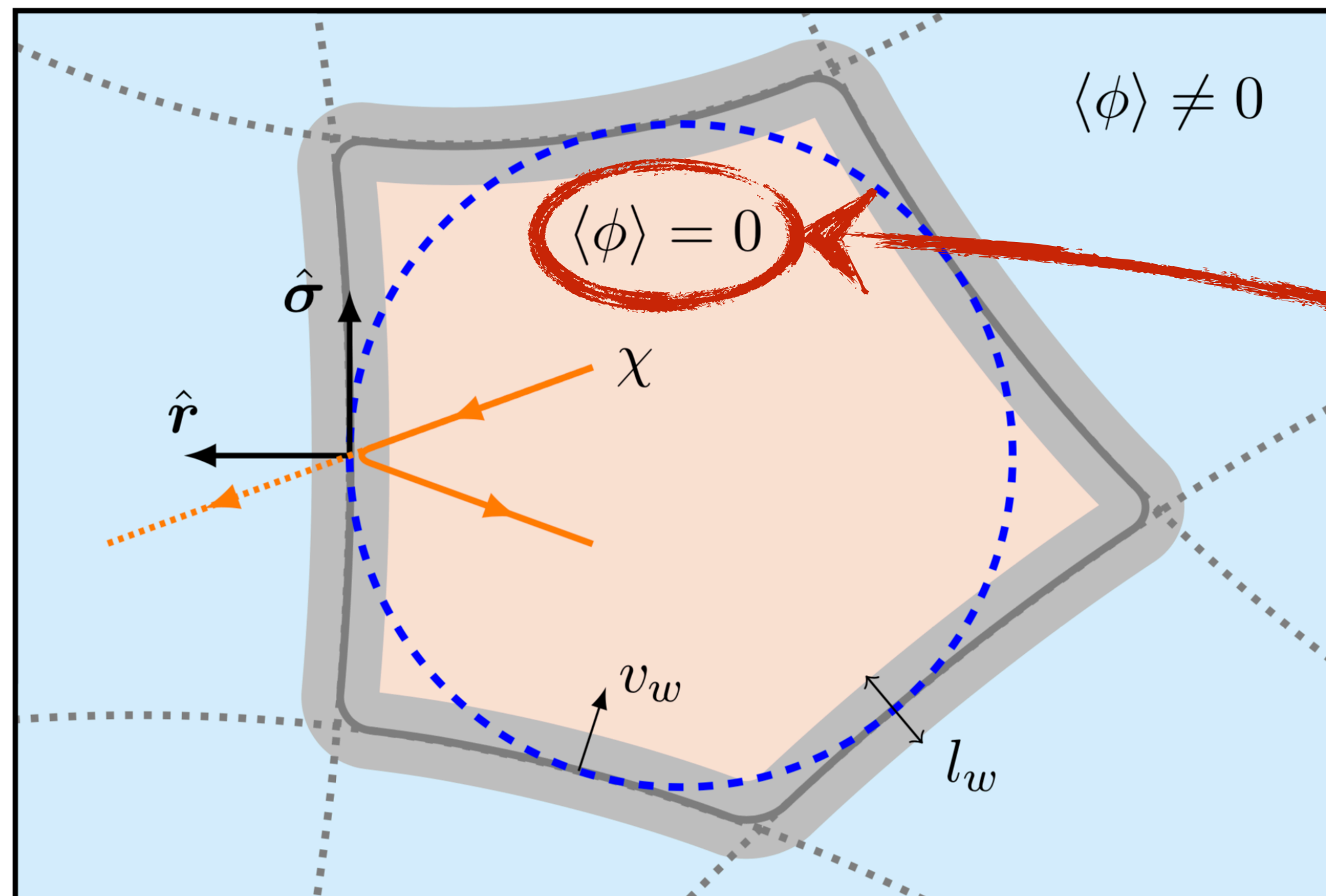
- Assume fermion χ acquires mass during phase transition
- but cannot annihilate efficiently
- χ overdensity builds up in front of the bubble wall



Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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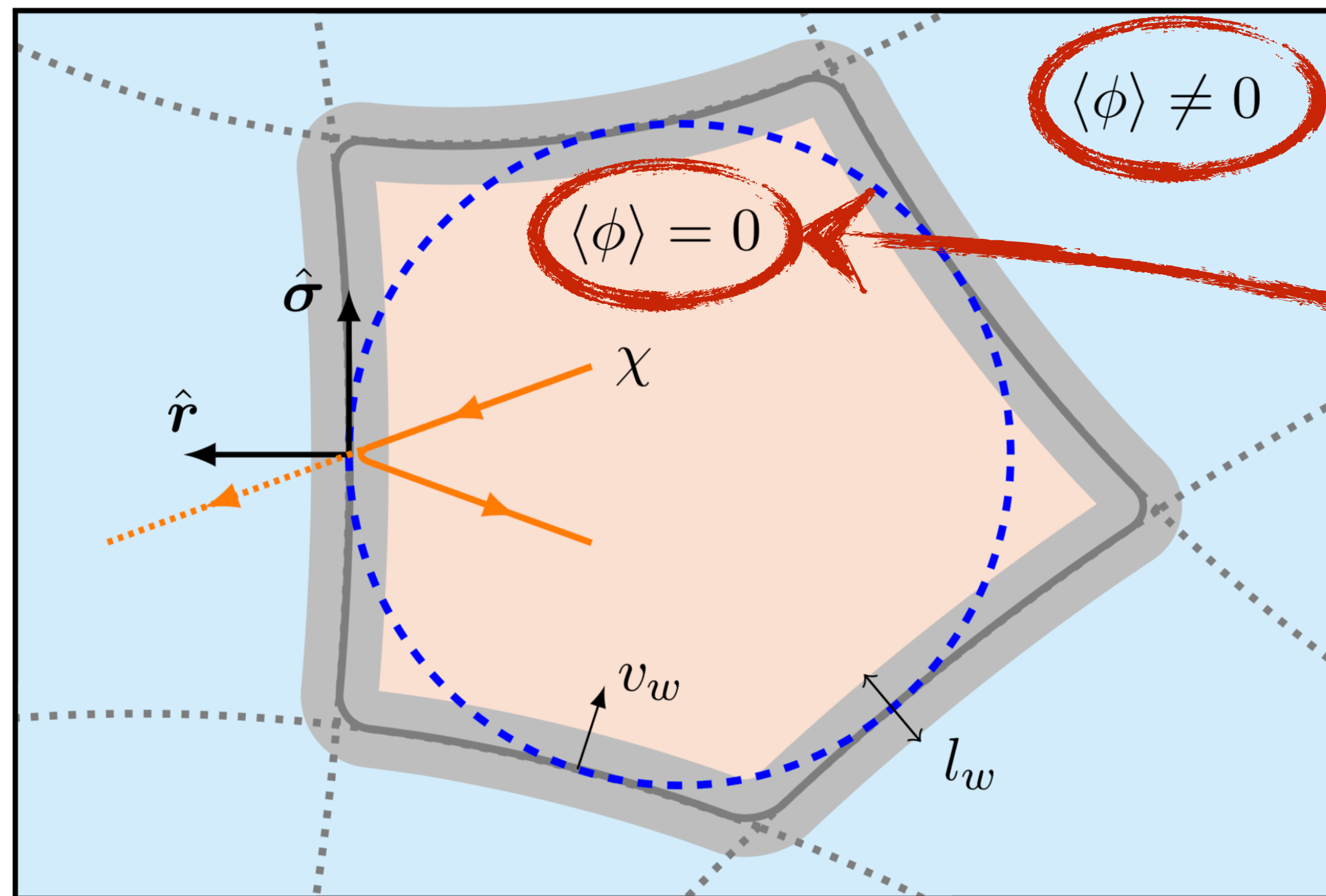
shrinking bubble
still in the **false vacuum** phase

Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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most of the Universe already in the true vacuum phase



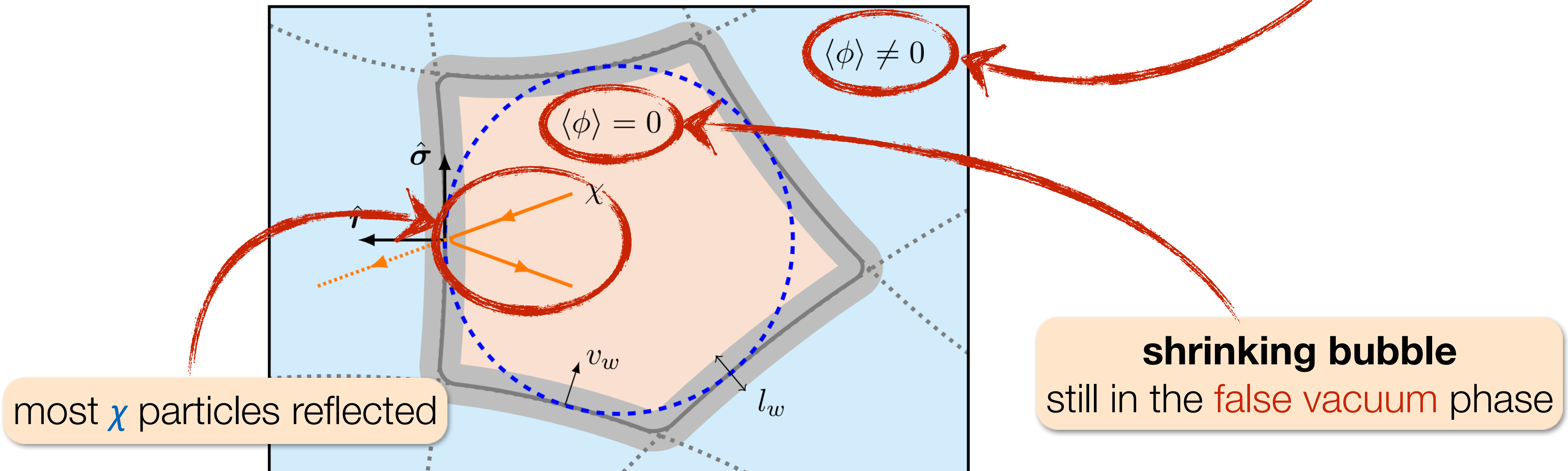
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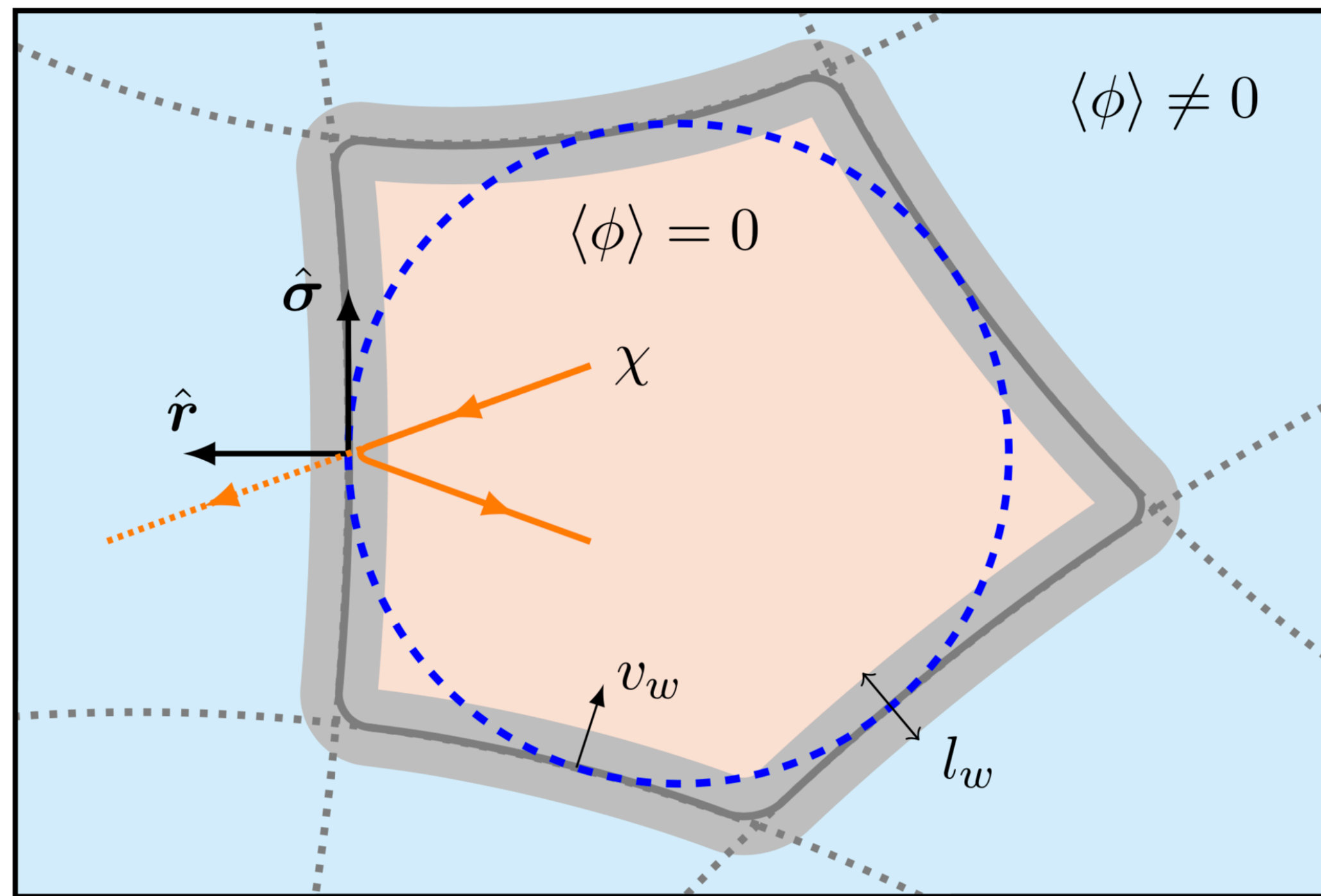
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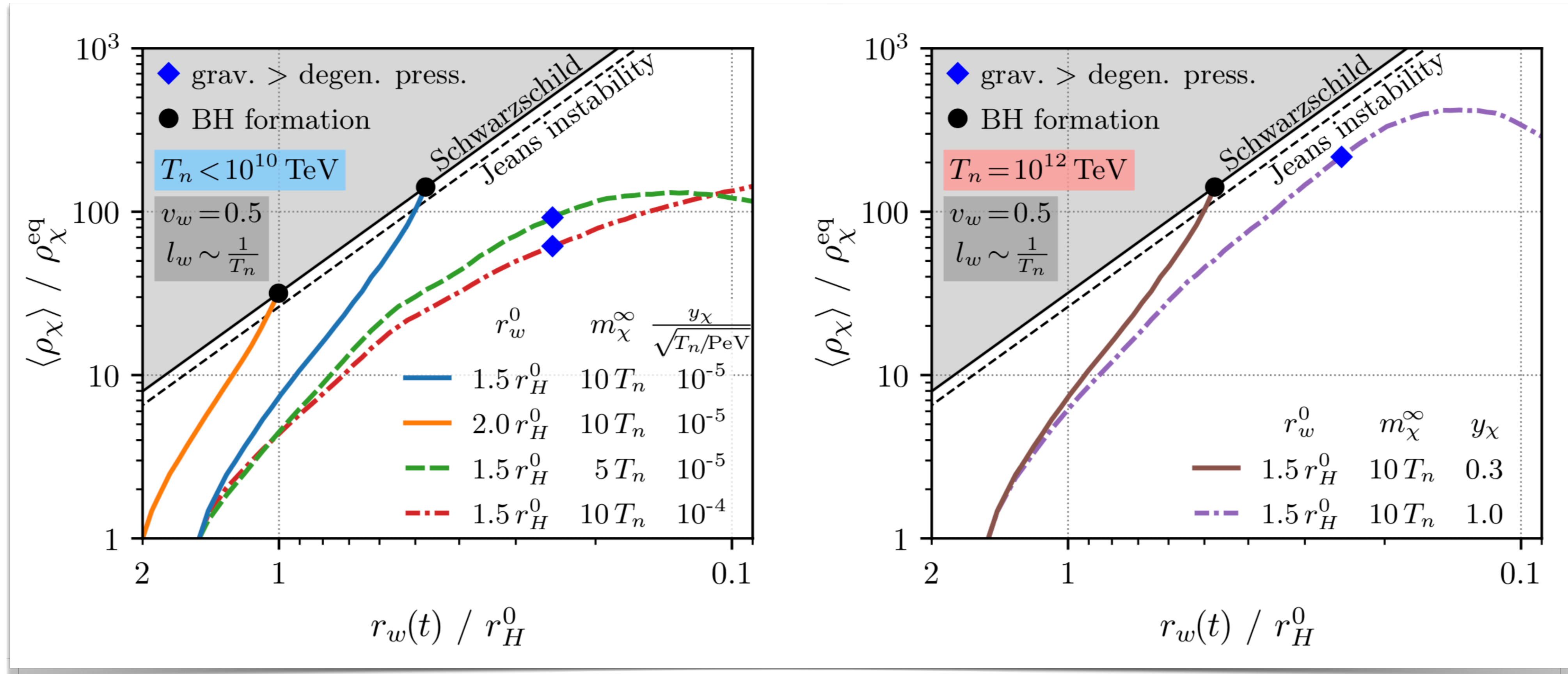
#4: Compression and Direct Collapse



- if population of χ particles **shrinks** below its **Schwarzschild radius**, a **black hole** forms

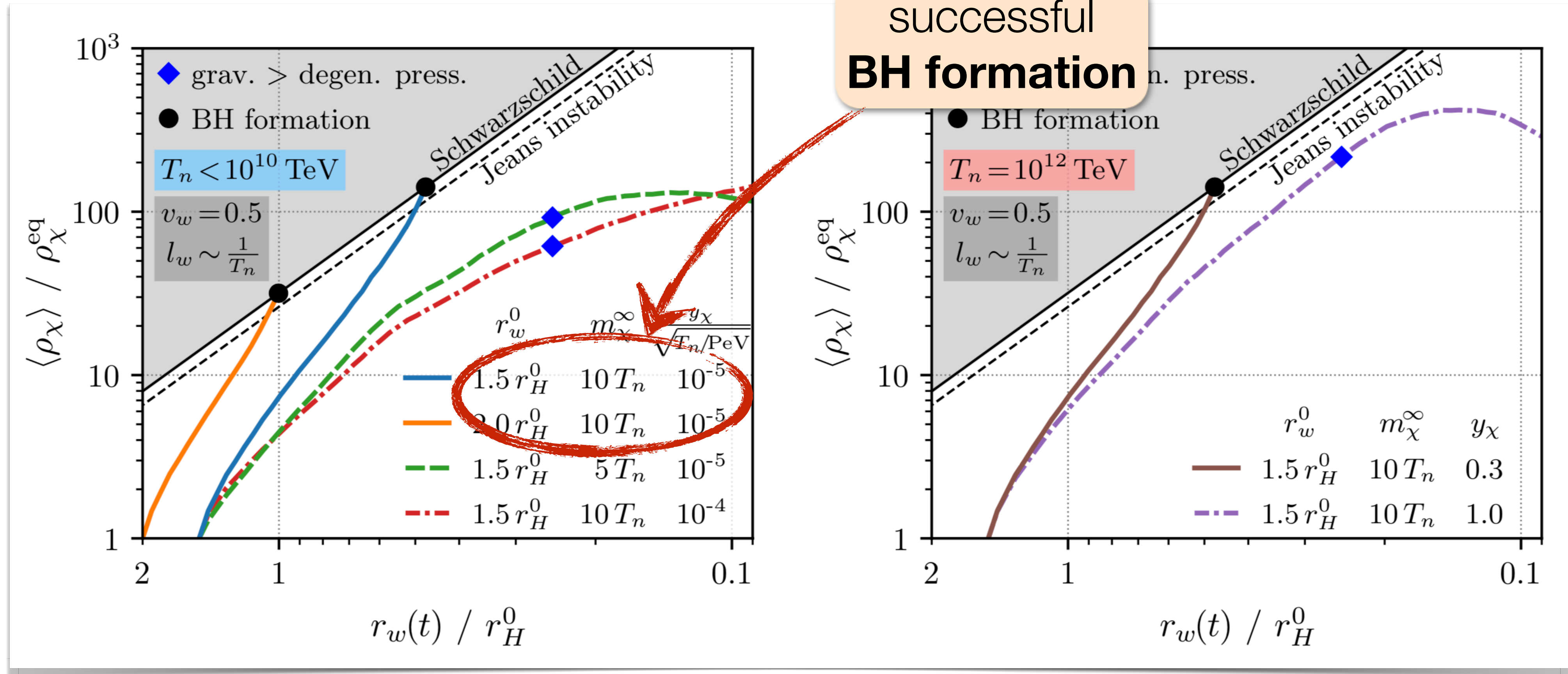
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Bubble Trajectories



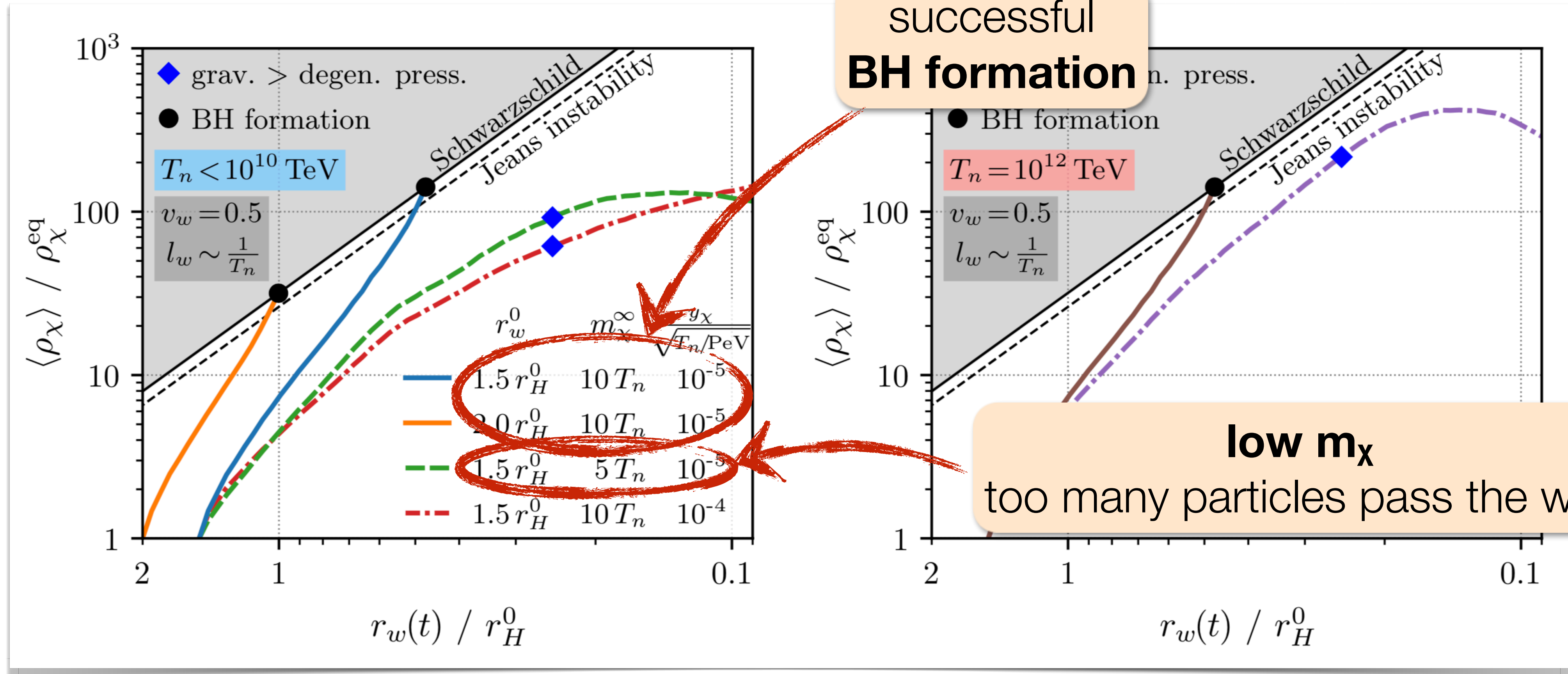
Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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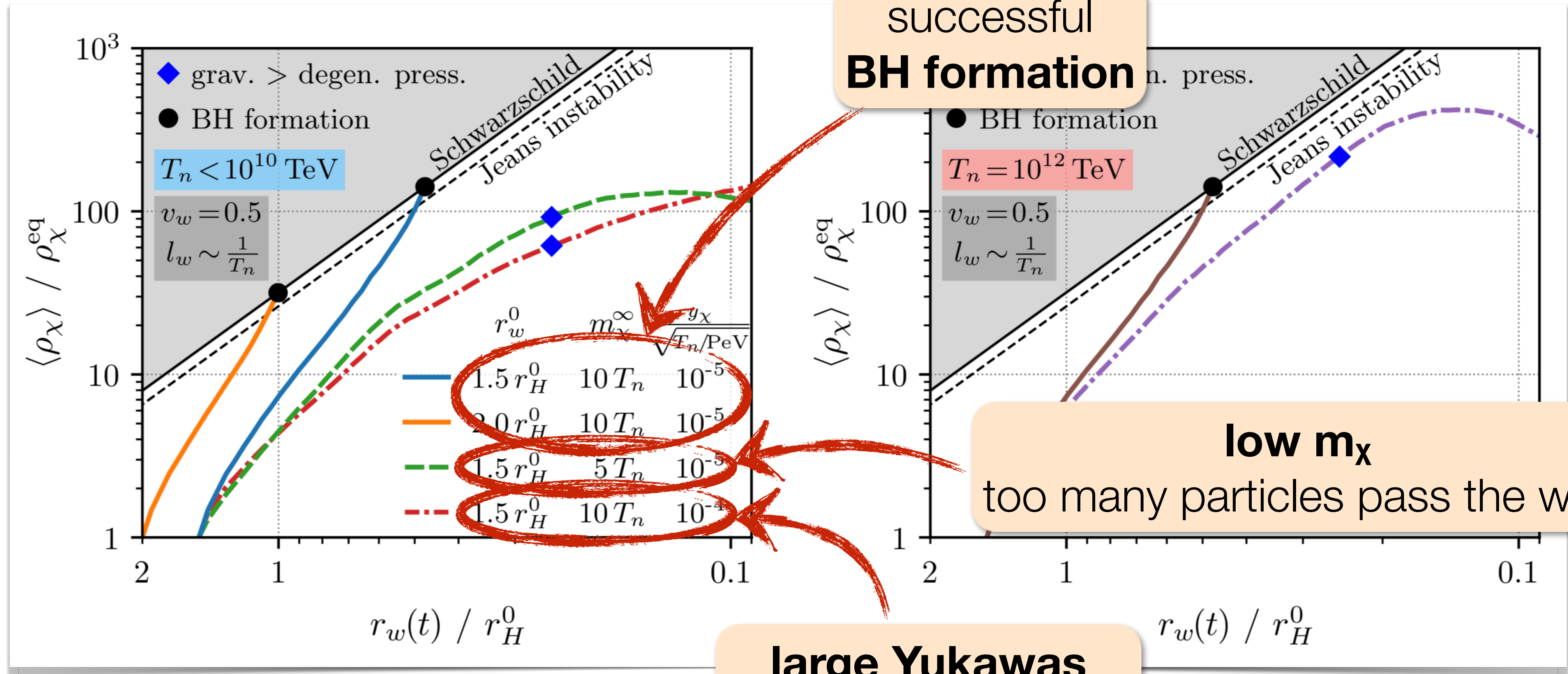
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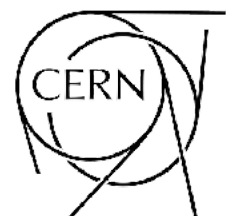
Bubble Trajectories



Baker Breith

large Yukawas
 annihilation too large

[.07481](#) and [arXiv:2110.00005](#)



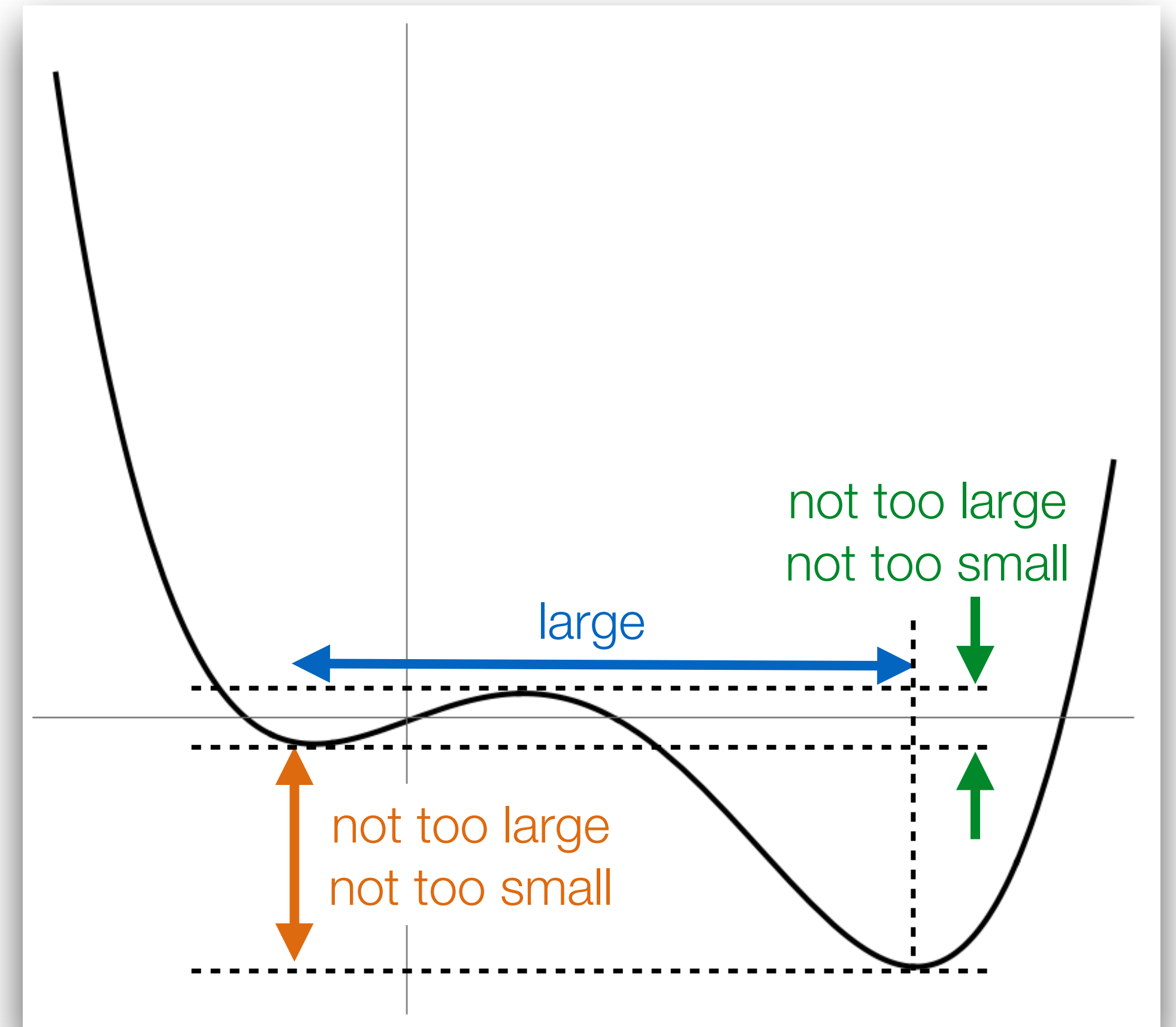
Requirements

- large bubble radii ($\gtrsim r_H$):
 - larger Schwarzschild radius
 - ⇒ BH formation easier
 - requires **slow PT**
(\approx one bubble per Hubble volume)
 - **supercooled PT?**

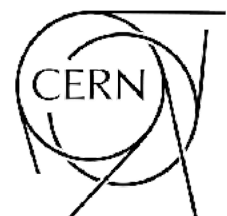
Hambye Struma Teresi, [arXiv:1805.01473](https://arxiv.org/abs/1805.01473)
delle Rose Panico Redi Tesi, [arXiv:1912.06139](https://arxiv.org/abs/1912.06139)

- constraints on the **strength of the PT**
 - large enough
to **overcome pressure** from χ **overdensity**
 - small enough
to **avoid intermittent vacuum domination**

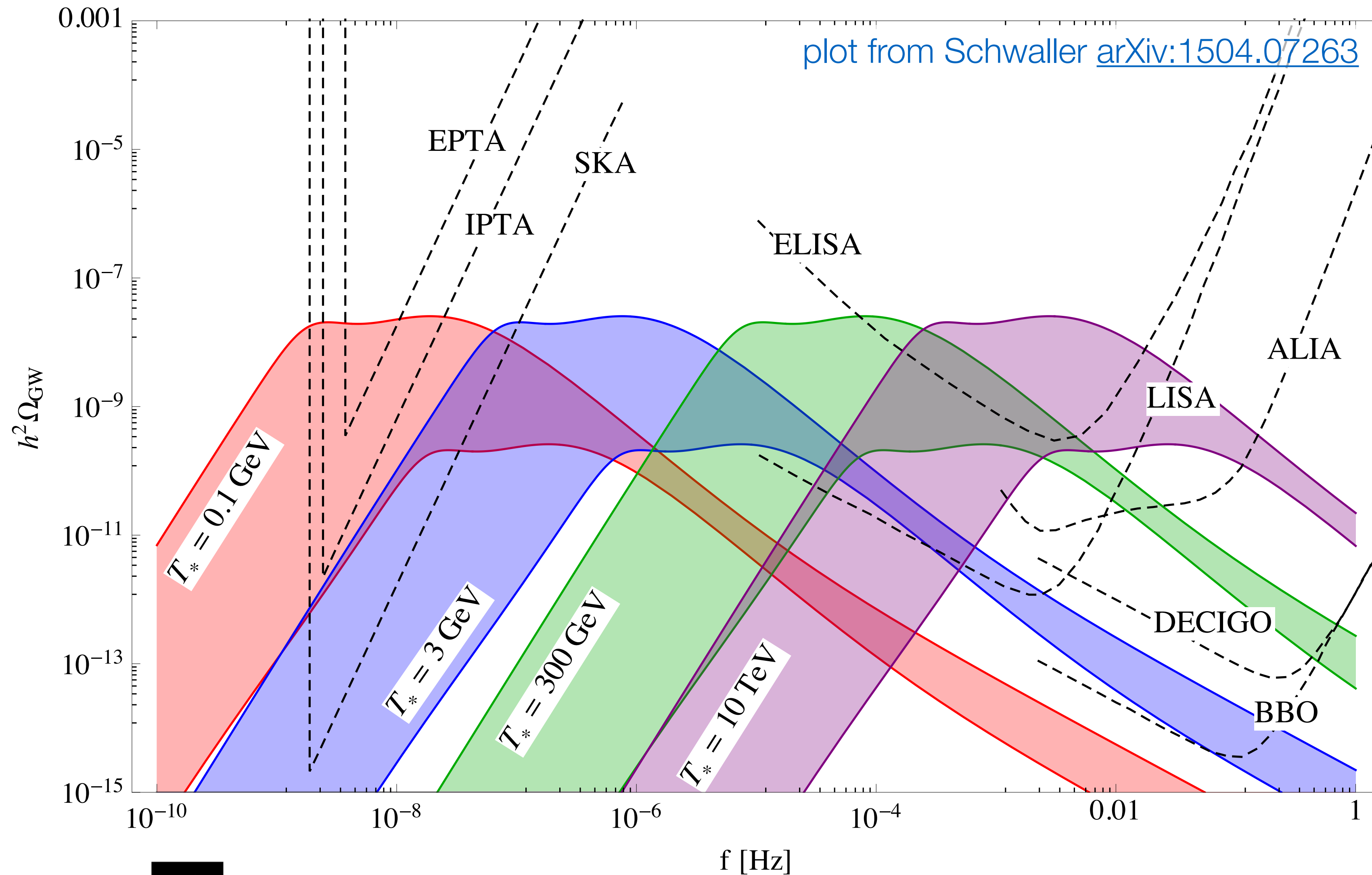
- large fermion masses



Complementary Signals and Opportunities

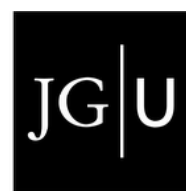
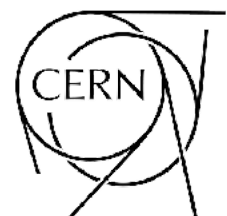


Gravitational Waves from Phase Transitions



Electroweak Baryogenesis

- Consider 1st order electroweak PT
e.g. SM + real singlet scalar
- Penetrating bubble walls is
difficult for top quarks
(massless outside, massive inside)
- Permeability can be larger
for t_L than t_R
requires new CP-violating interaction
- Deficit of t_L outside the bubbles



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- Permeability can be larger for t_L than t_R
requires new CP-violating interaction
- Deficit of t_L outside the bubbles

- $B+L$ violated by sphalerons
 - weak interaction
 - ▣ affects t_L , but not t_R
 - active only outside the bubbles
(ewk symmetry broken inside)
 - $B-L$ remains conserved
- entropy maximisation implies that leptons are converted to baryons
- ▣ net gain in baryon number
- excess baryons are eventually swept up by the advancing bubble

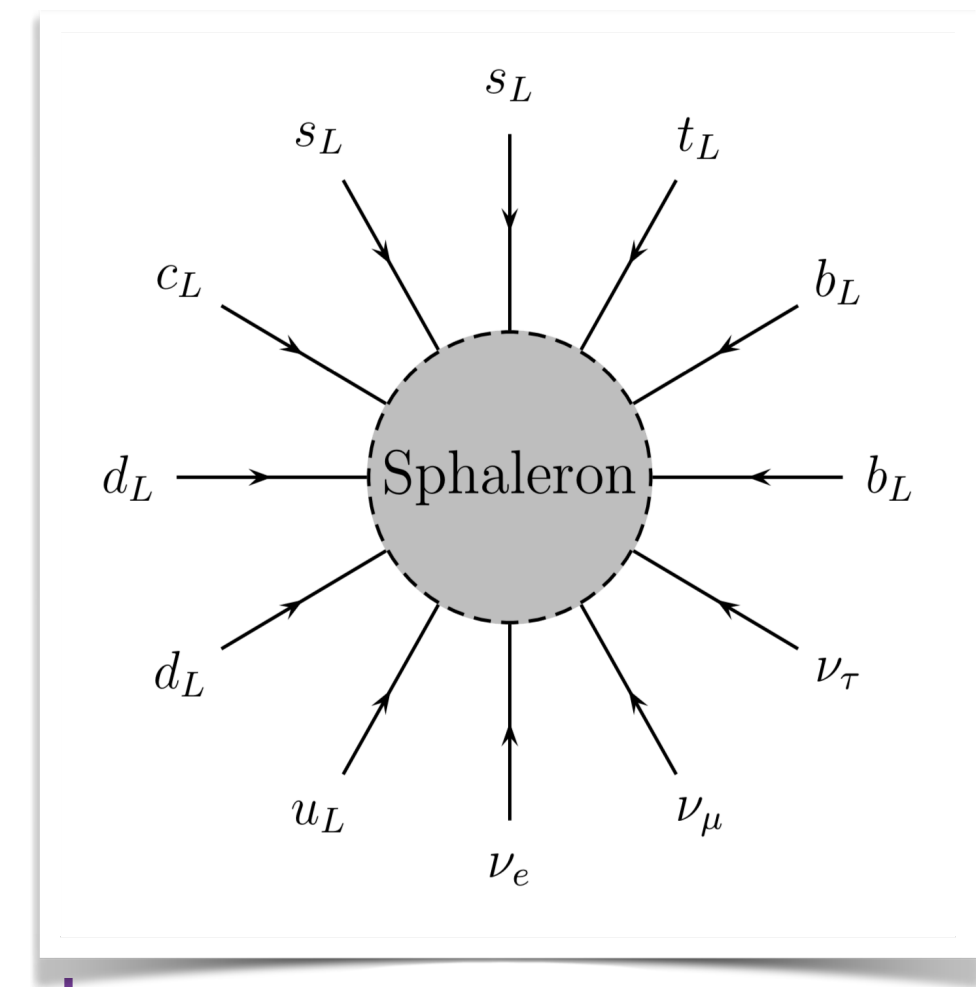


Image: Wilfried Buchmüller, hep-ph/9812447

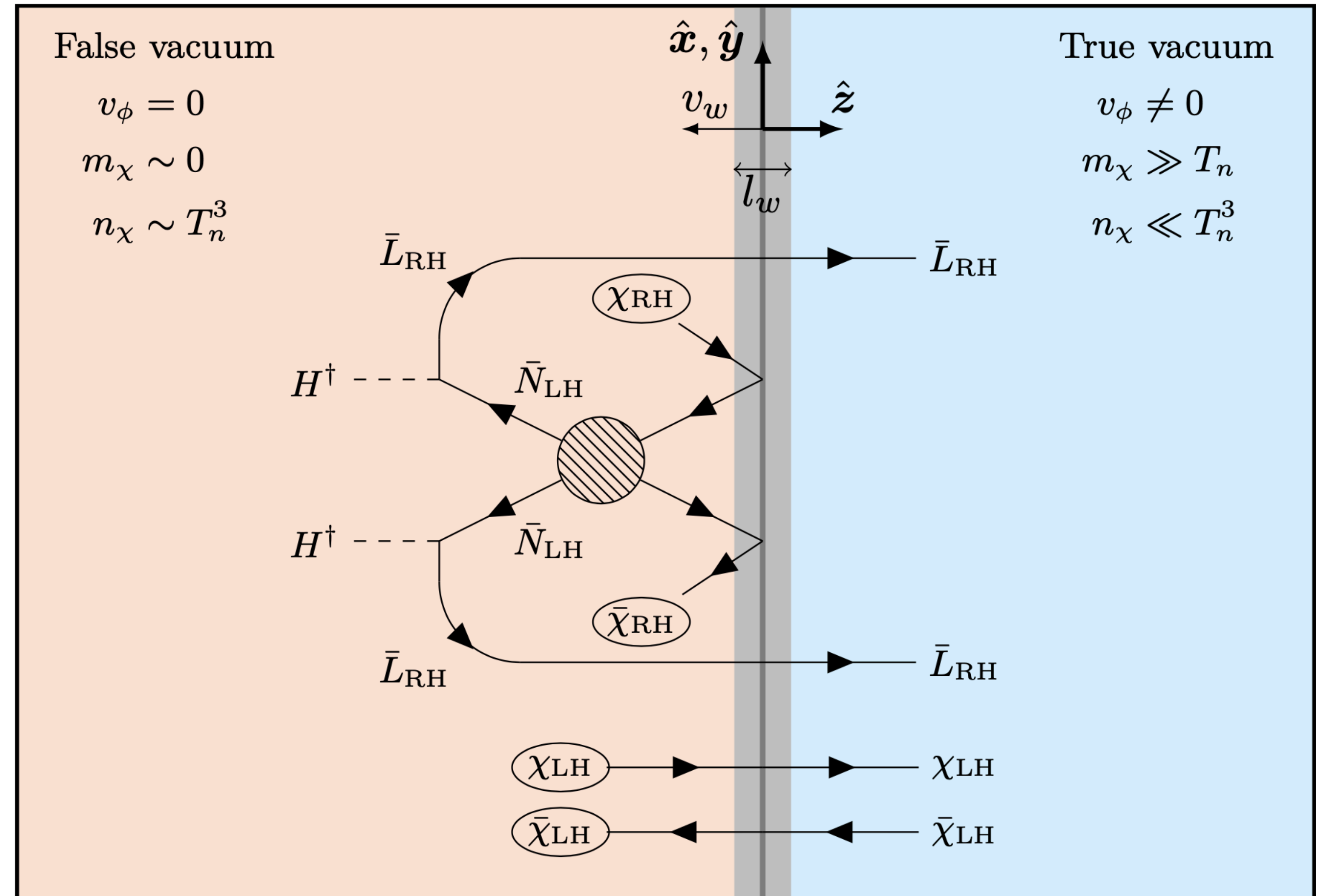
Filtered Baryogenesis

- combines Filtered DM with ewk-style baryogenesis
- $\chi_{LH}, \bar{\chi}_{LH}$ enters bubbles more easily than $\chi_{RH}, \bar{\chi}_{RH}$
- Portal interaction, e.g.

$$\mathcal{L}_p = \frac{1}{\Lambda_p^2} \sum_{j=1,2,3} (\overline{N_R^j} N_R^{jc}) (\overline{\chi_R} \chi_L)$$

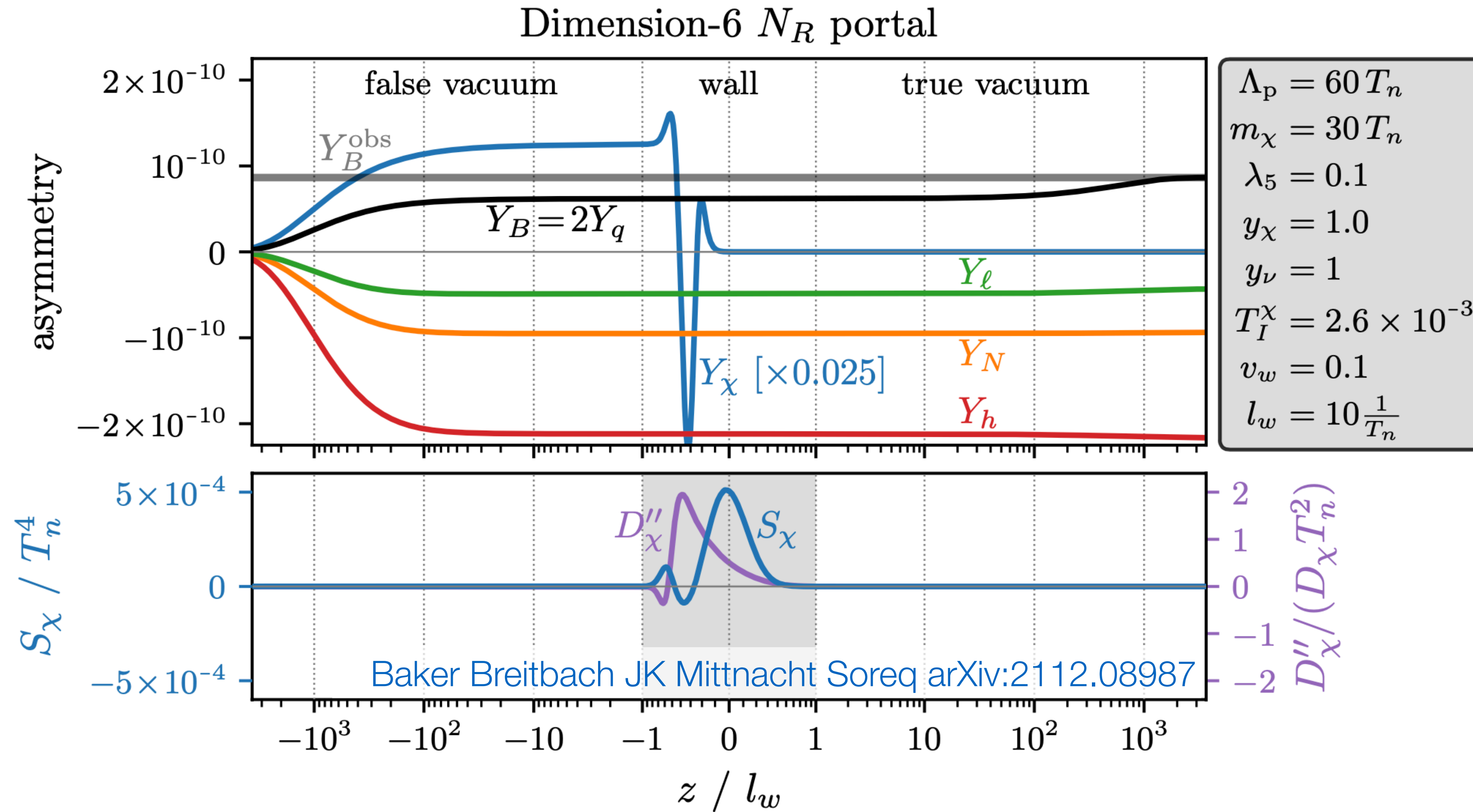
produces excess of LH leptons

- Resulting **lepton asymmetry** is converted to **baryon asymmetry** by sphalerons



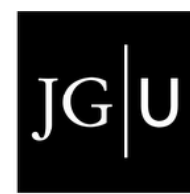
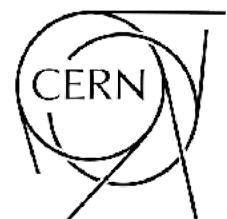
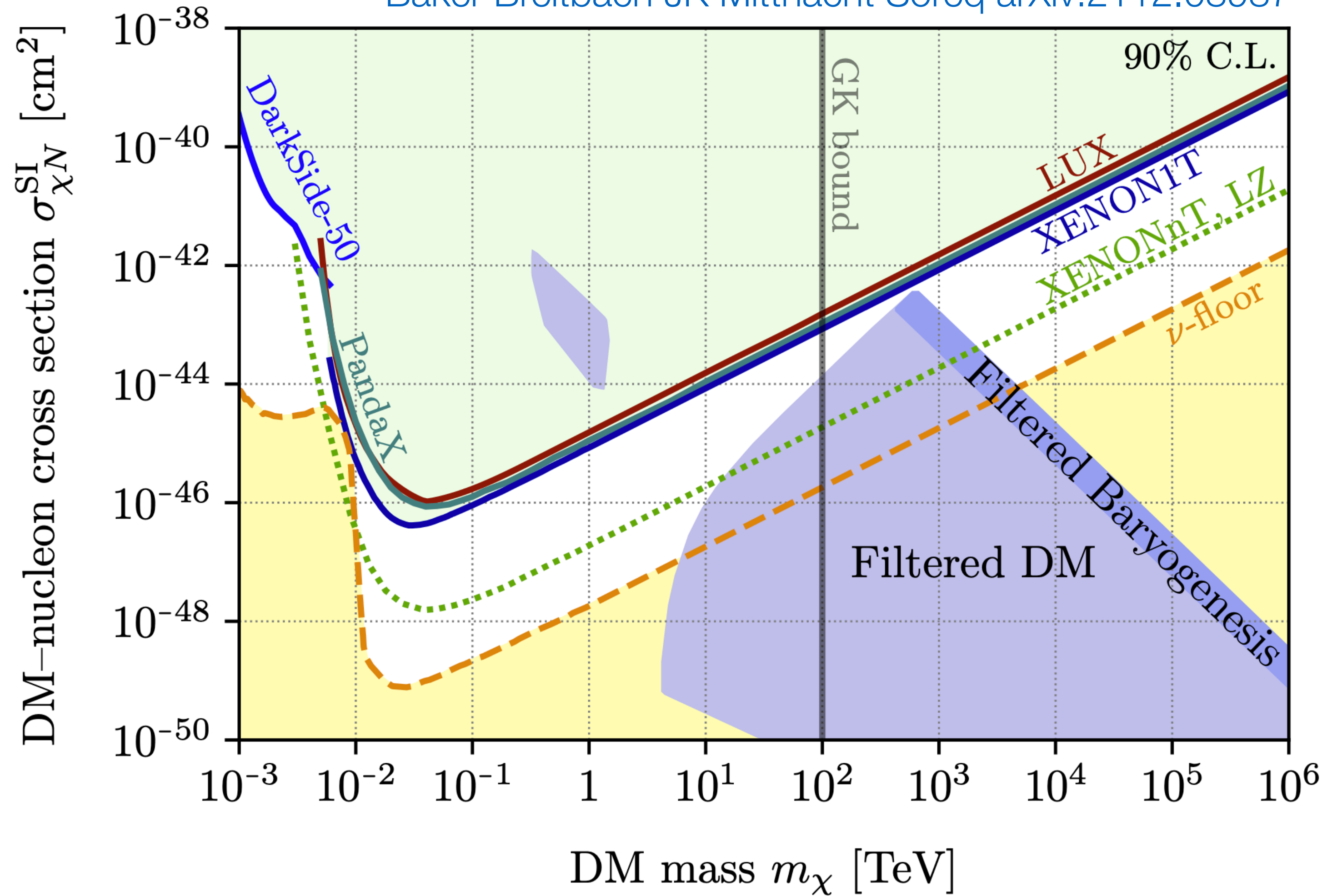
Baker Breitbach JK Mittnacht Soreq arXiv:2112.08987

Filtered Baryogenesis



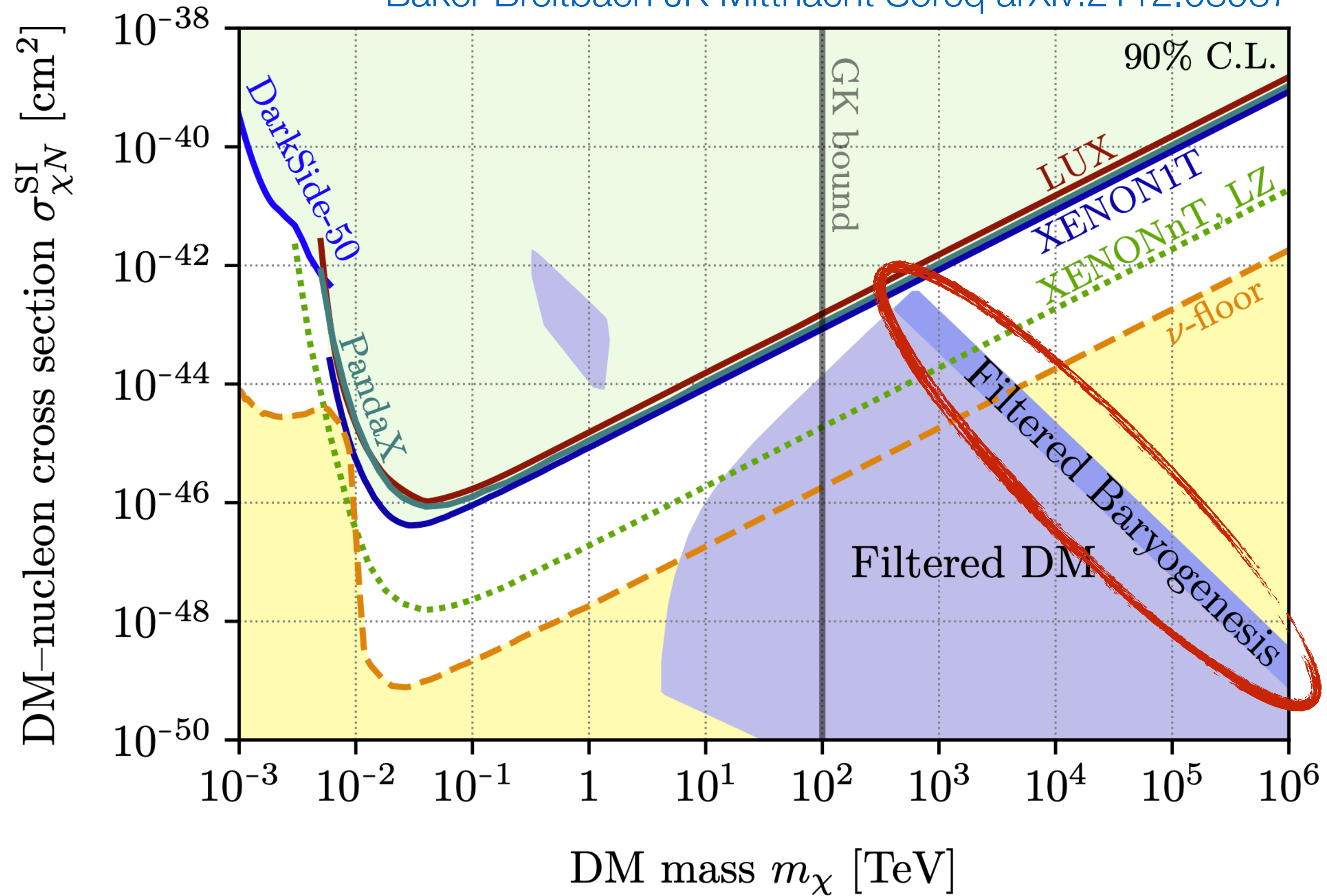
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Filtered Baryogenesis

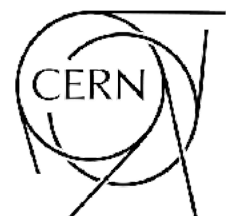
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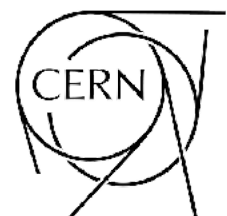
Collider Signals

- Early Universe phase transitions often controlled by scalar fields S
- Connection to the SM: Higgs portal $(S^\dagger S)(H^\dagger H)$
- Testable at colliders:
 - Invisible Higgs decays
 - If $\langle S \rangle \neq 0$: mixing between S and H
 - electroweak precision observables (S , T , U parameters)
 - modified H branching ratios
 - direct observation of S
(similar production/decay channels as H , but suppressed by mixing)
 - Precision measurements of Higgs self-coupling
(e.g. in di-Higgs production)

Barger *et al.*, <https://arxiv.org/abs/0706.4311>
Robens & Stefaniak, [arXiv:1601.07880](https://arxiv.org/abs/1601.07880)



Summary

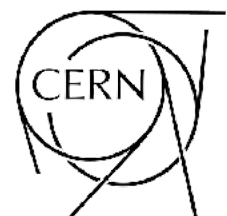


Summary

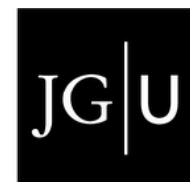
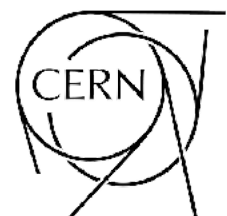
Phase Transition in the early Universe

- can set the **dark matter** abundance by “filtering” Baker JK Long 1912.02830
- can produce **primordial black holes** Baker Breitbach JK Mittnacht 2105.07481, 2110.00005
- can dramatically alter **dark matter freeze-in** Baker Breitbach JK Mittnacht 1712.03962
- can switch **dark matter decay** on and off Baker JK 1608.07578, Baker Mittnacht 1811.03101
- can produce **dark matter nuggets** Bai Long Lu 1810.04360, Hong Jung Xie 2008.04430
Gross Landini Strumia Teresi 2105.02840
- can facilitate new **baryogenesis** mechanisms Baker *et al.* 2112.08987
- ...

Thank You!



Bonus Slides

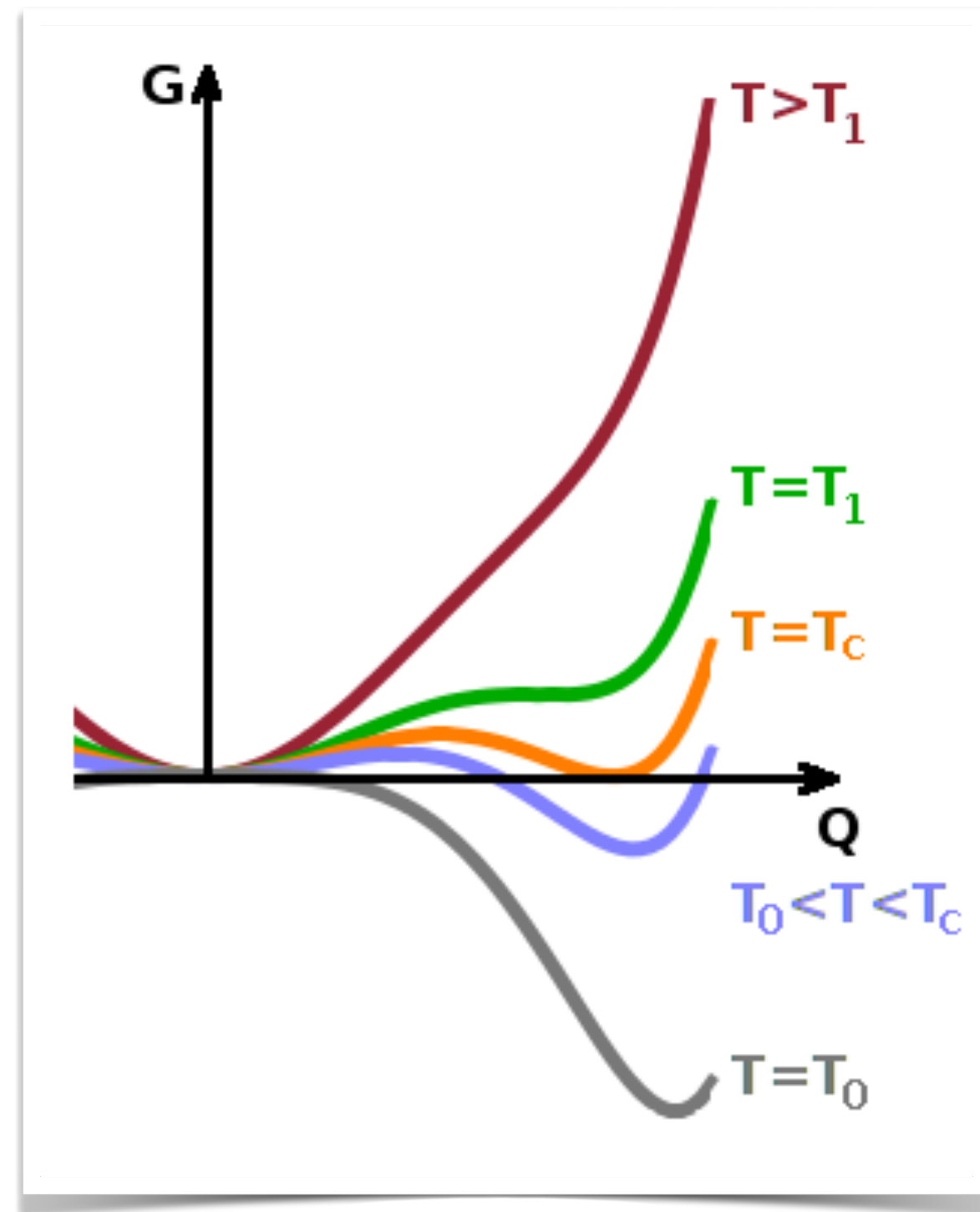


The Order of a Phase Transition

- Order Parameter Q : measures change in the system across the PT
 - for liquid–gas transition: density ρ
 - for QCD phase transition: quark condensate $\langle \bar{q}_L q_R \rangle$

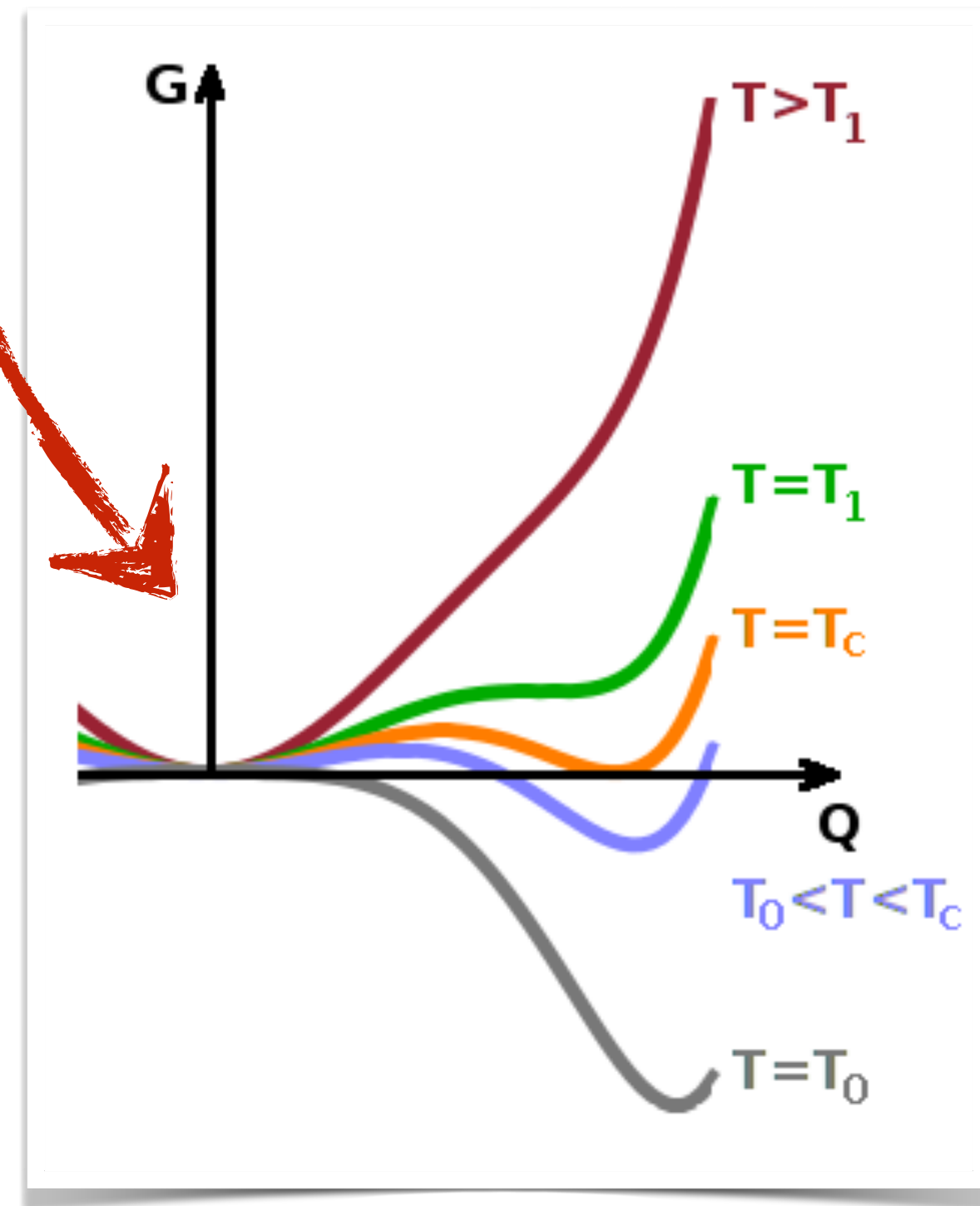
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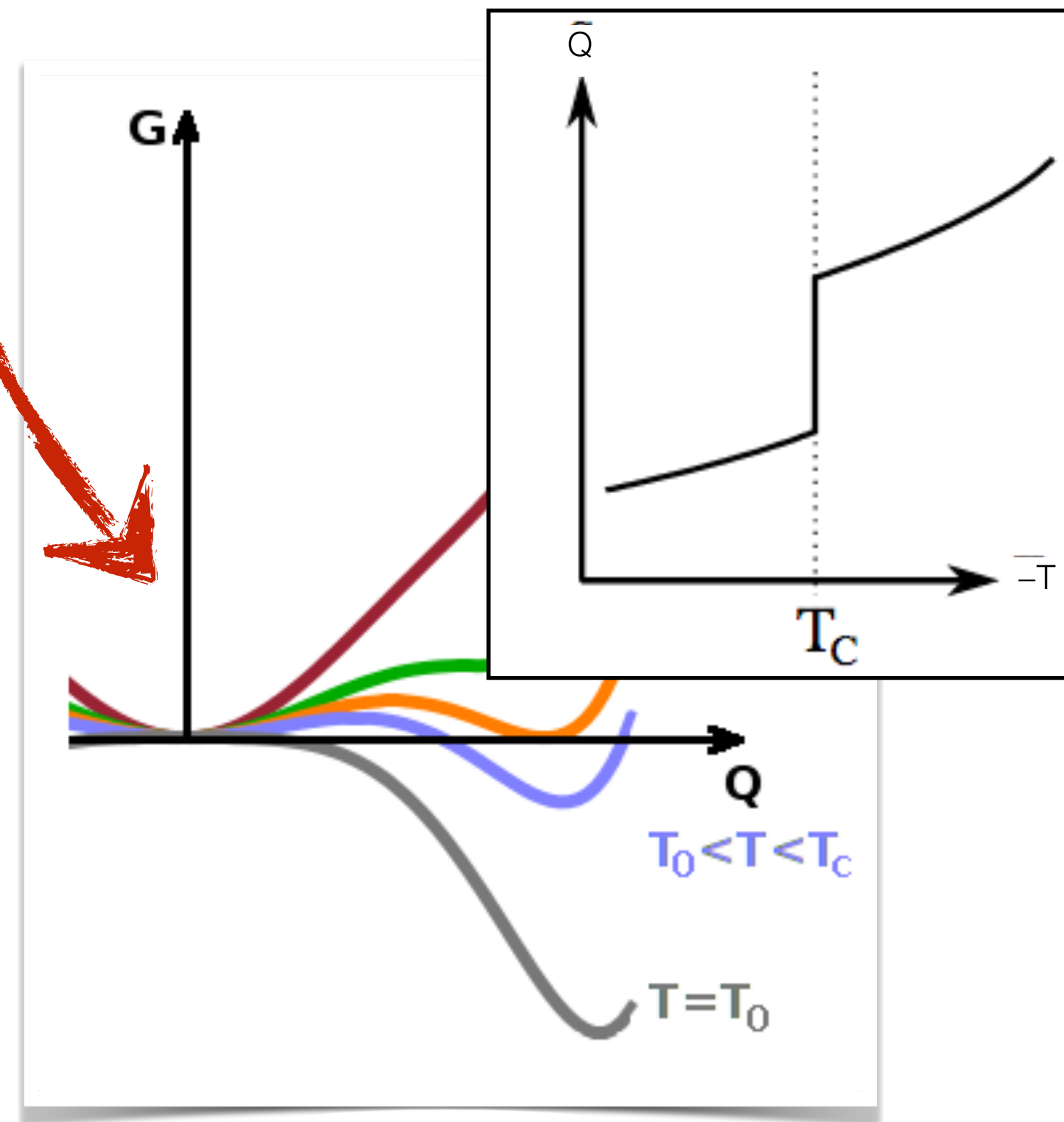
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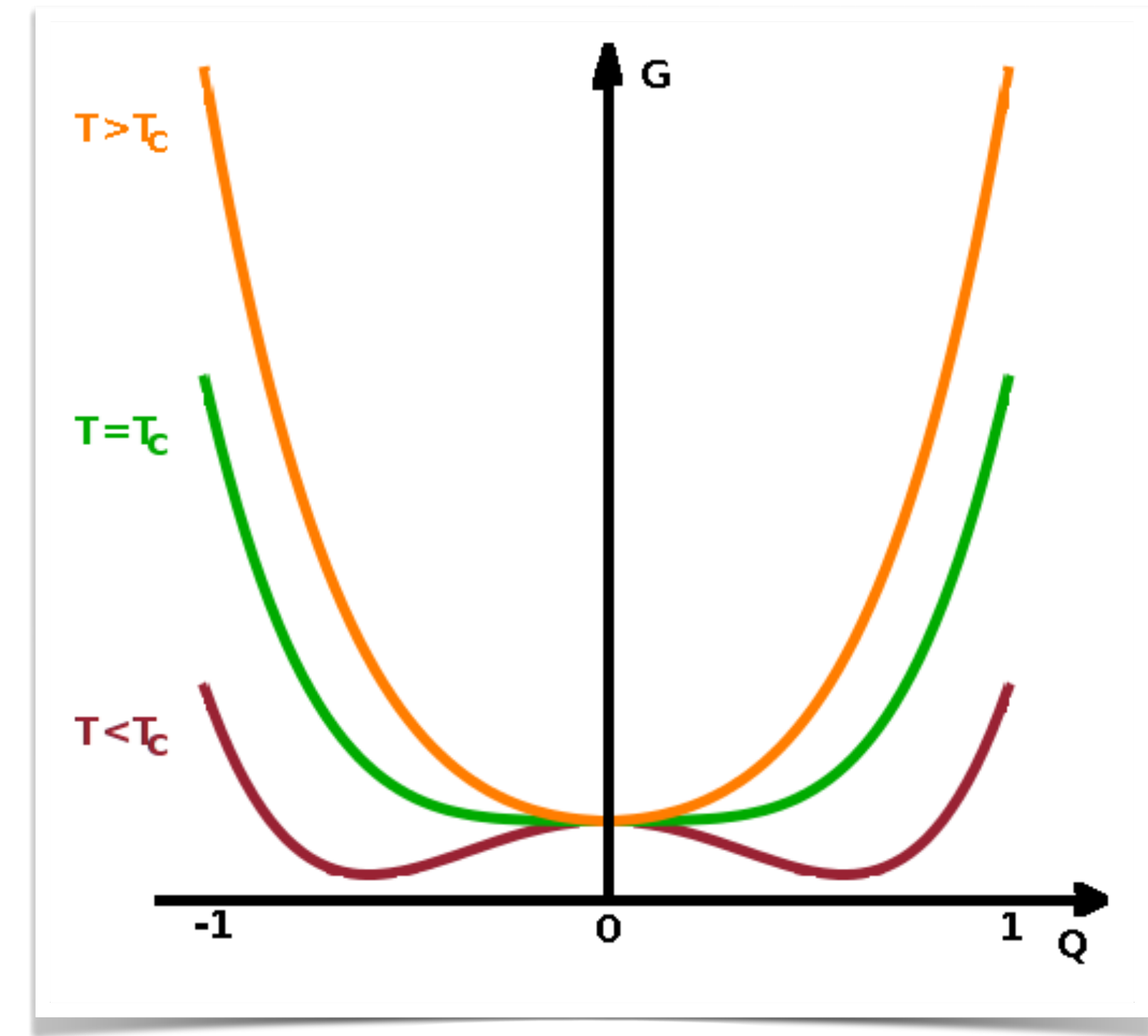
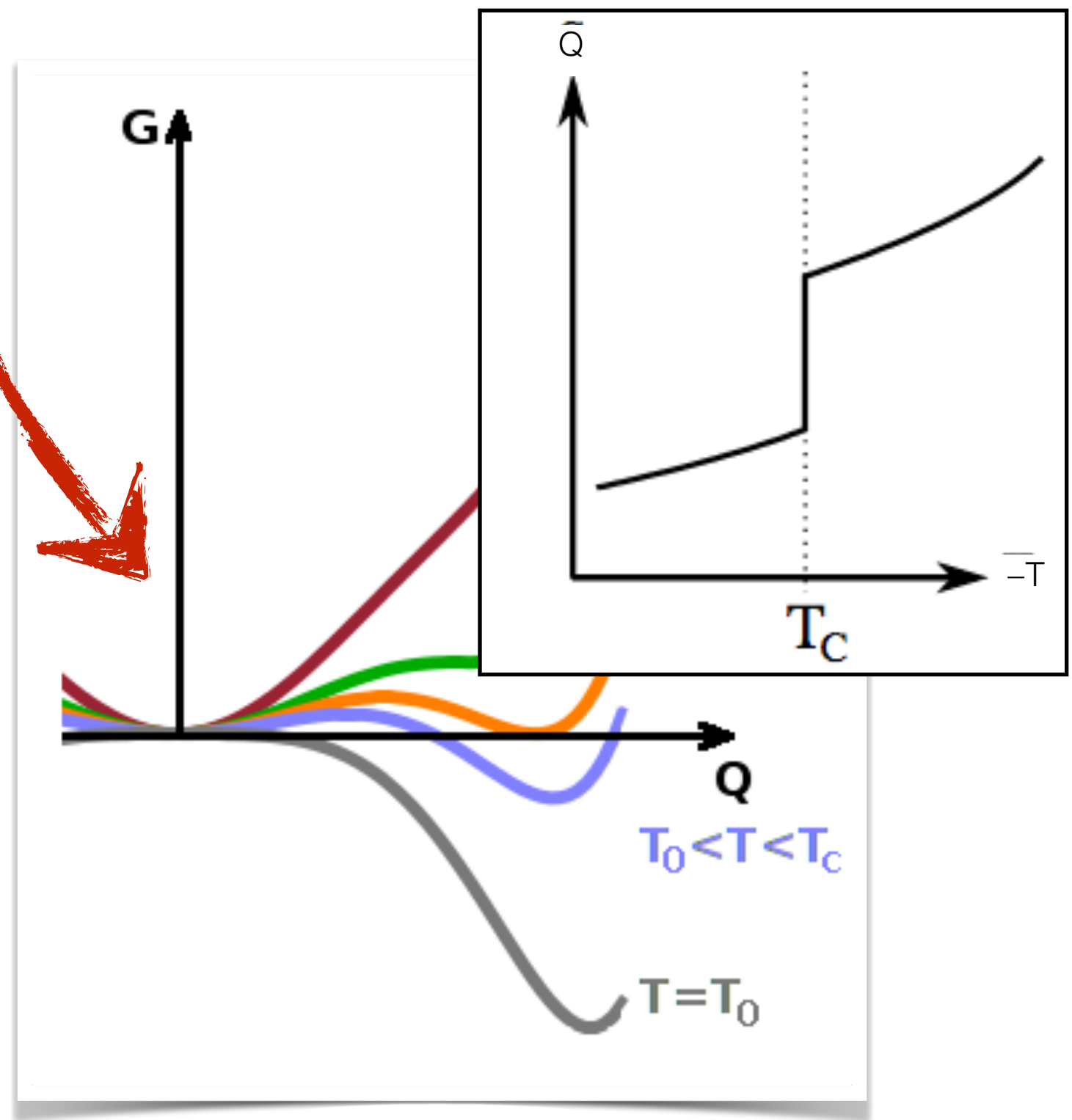
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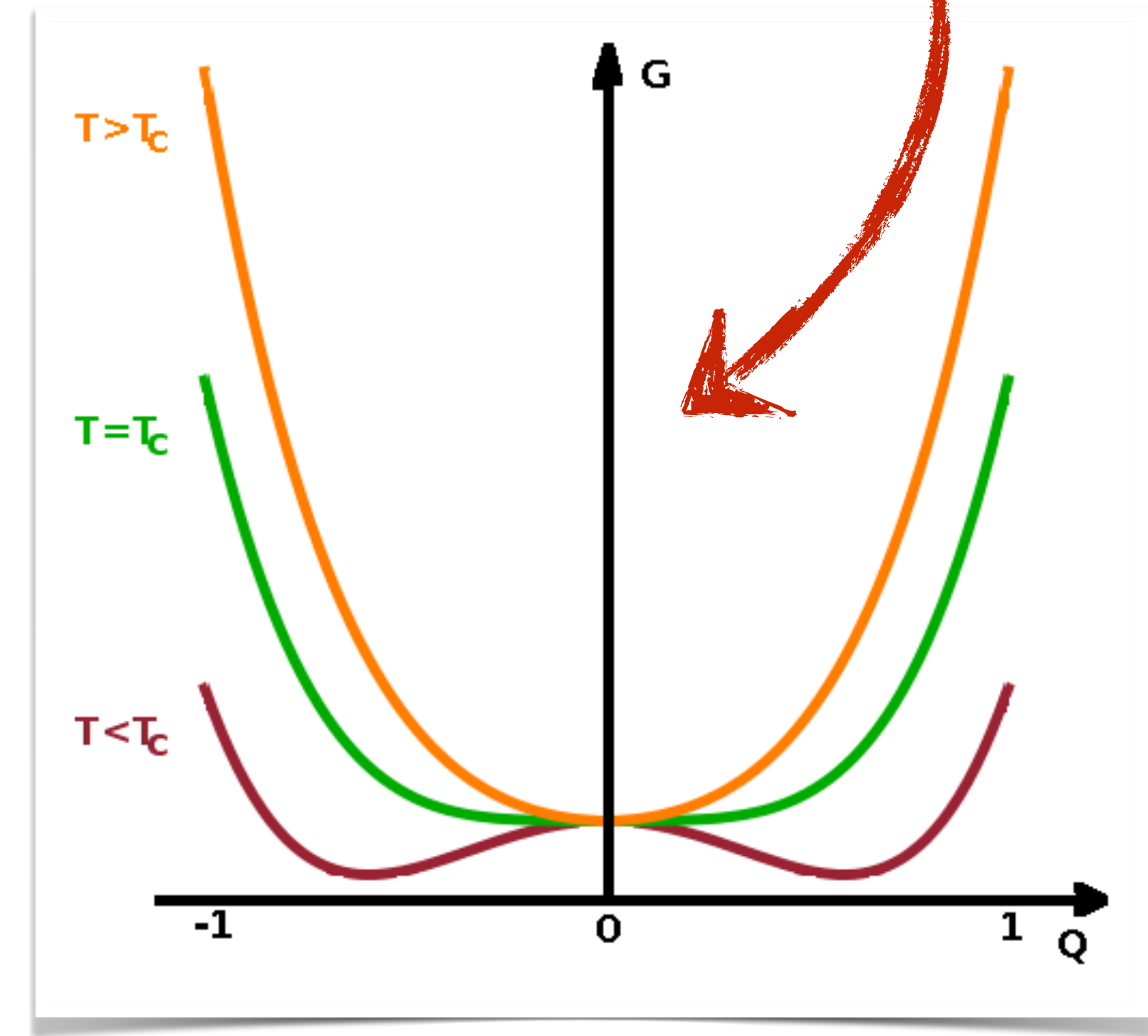
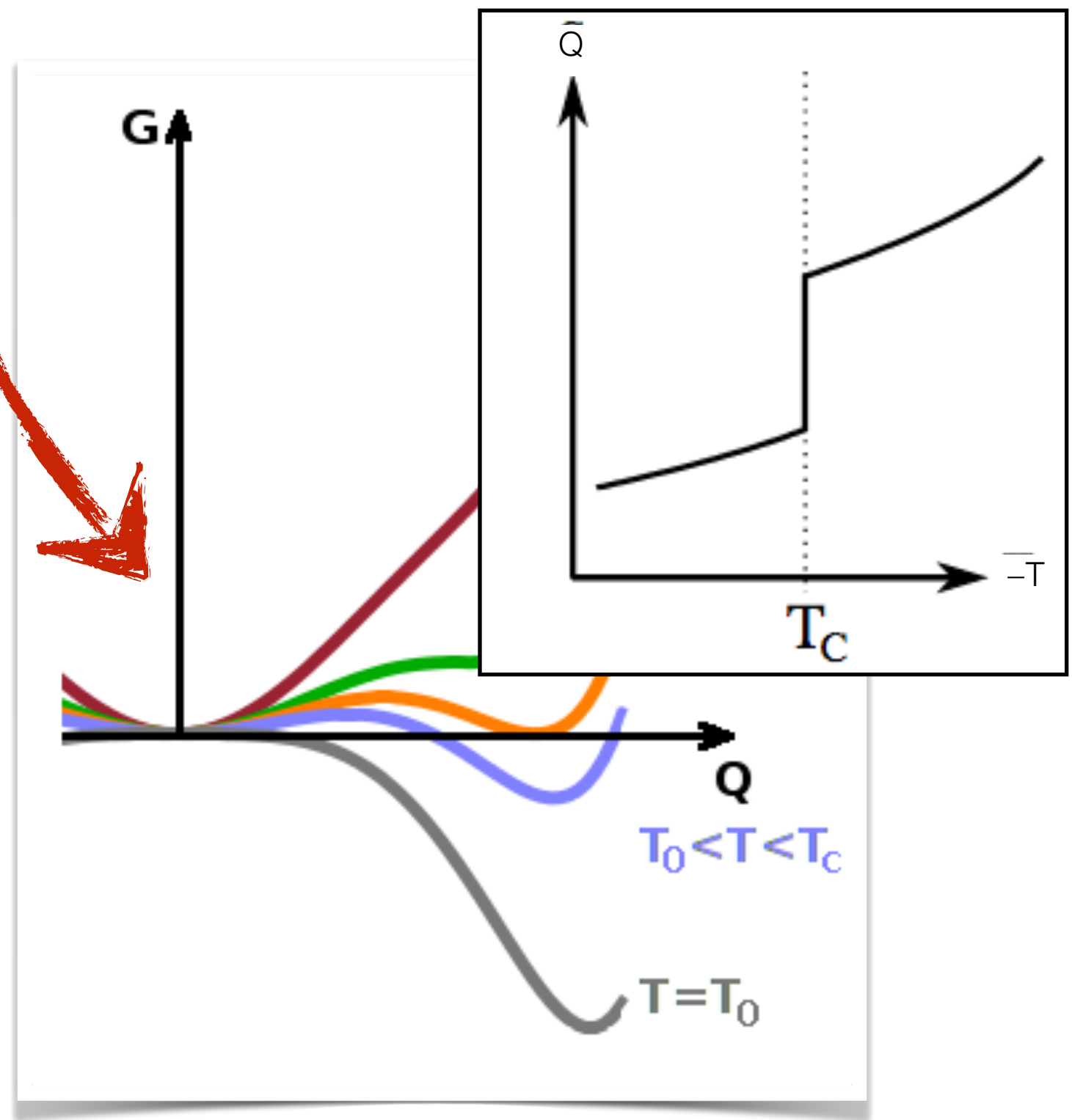
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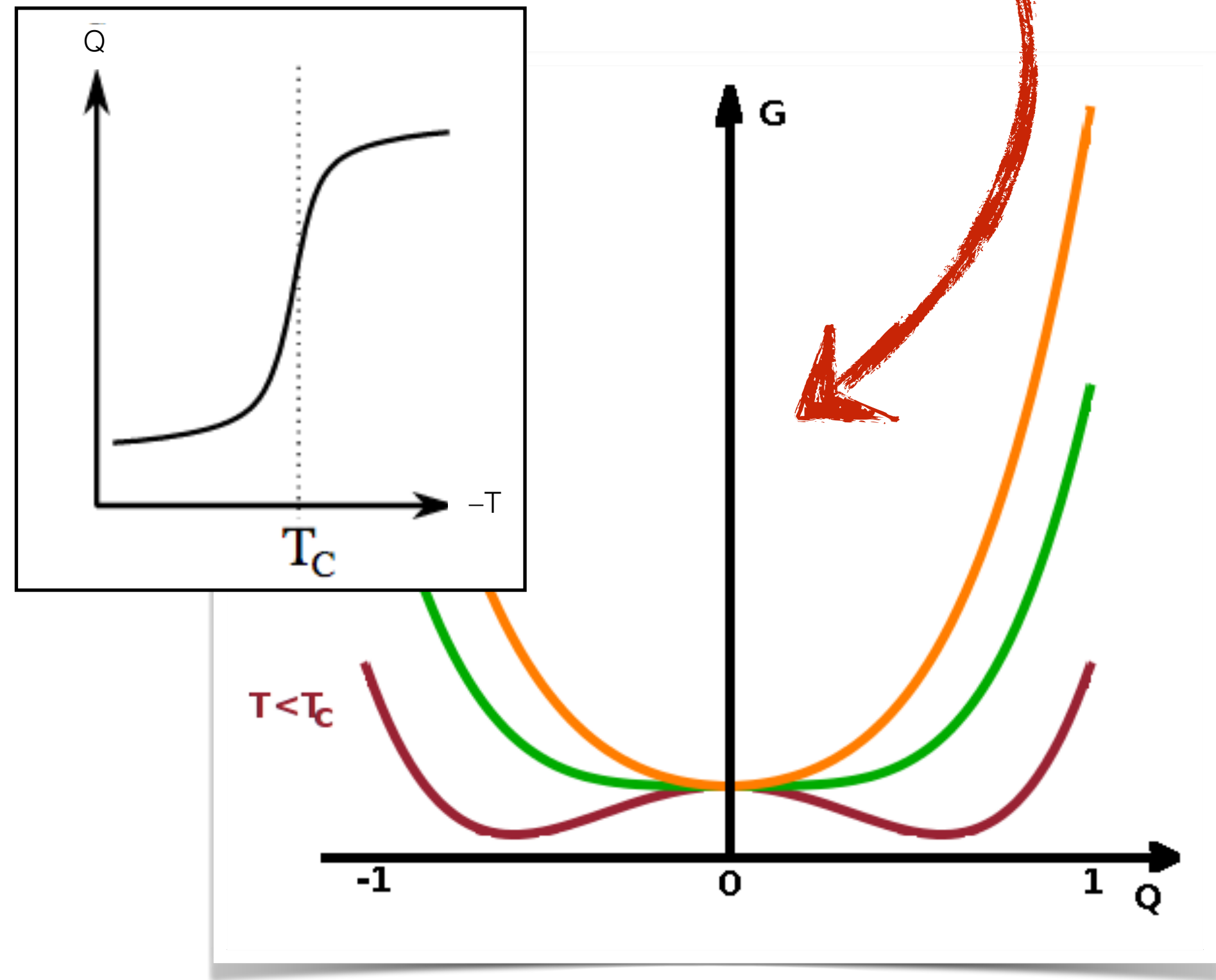
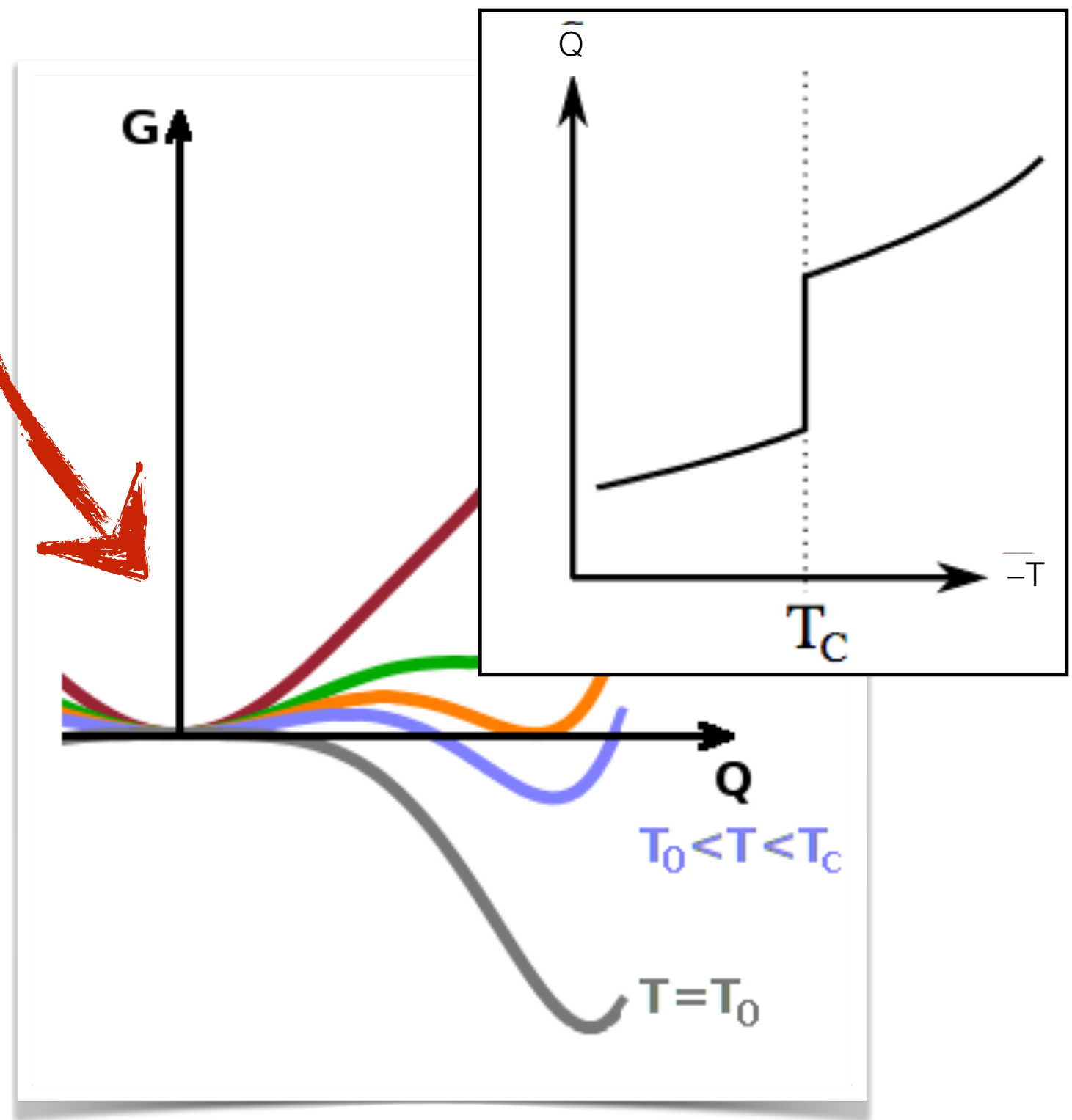
The Order of a Phase Transition

- ○ **1st order transition**
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 - for QCD phase transition: quark condensate $\langle \bar{q}_L q_R \rangle$
- **2nd order transition / crossover**
 - order parameter changes continuously

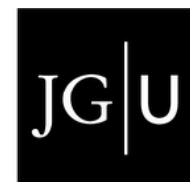
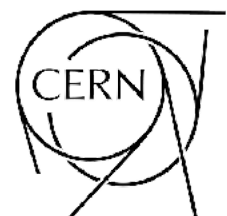


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DM Decay Between Phase Transitions



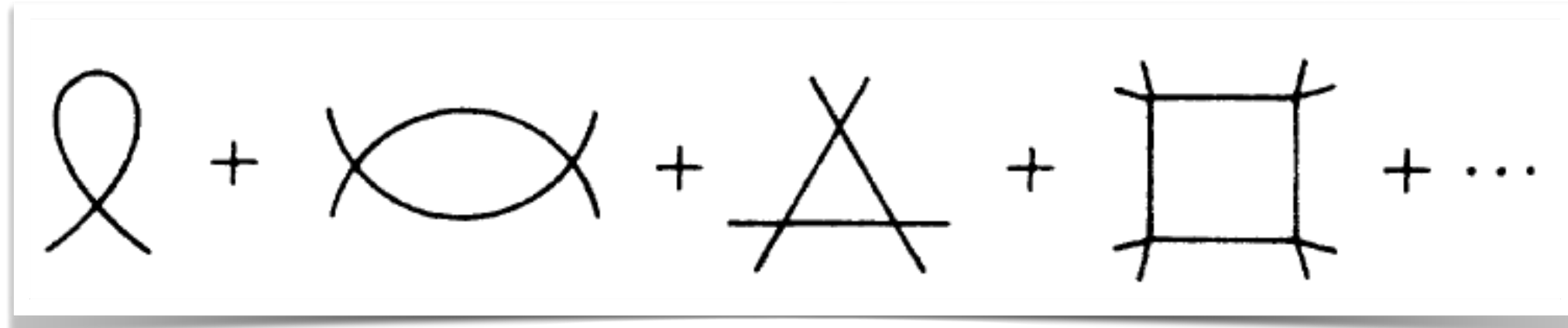
Scalar Potentials at Finite Temperature

Tree level potential

$$V^{\text{tree}} = -\mu^2 H^\dagger H + \lambda (H^\dagger H)^2$$

Coleman—Weinberg

[Coleman Weinberg 1973](#), [Dolan Jackiw 1974](#)



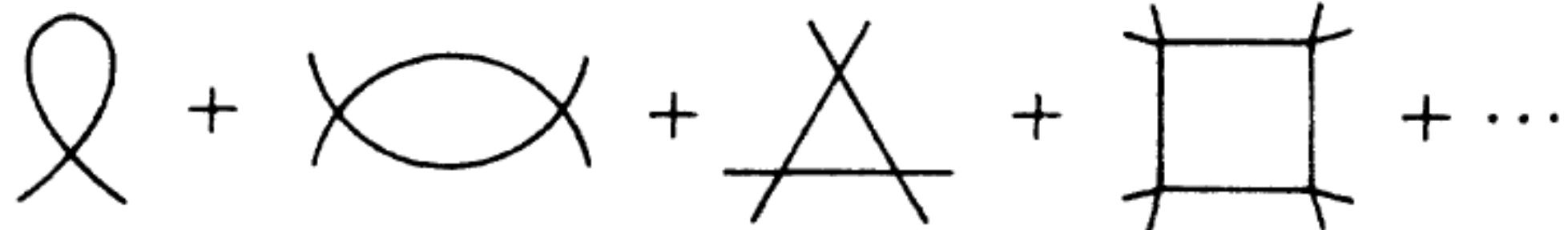
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[Coleman Weinberg 1973](#), [Dolan Jackiw 1974](#)



$$V^{\text{CW}}[\phi] = \sum_{n=1}^{\infty} \int \frac{d^4 k}{(2\pi)^4} \frac{1}{2n} \left(\frac{2\lambda\phi}{k^2 - m^2} \right)^n$$

- Sum over n
- Regularize, evaluate integral
- Renormalize by adding counterterms

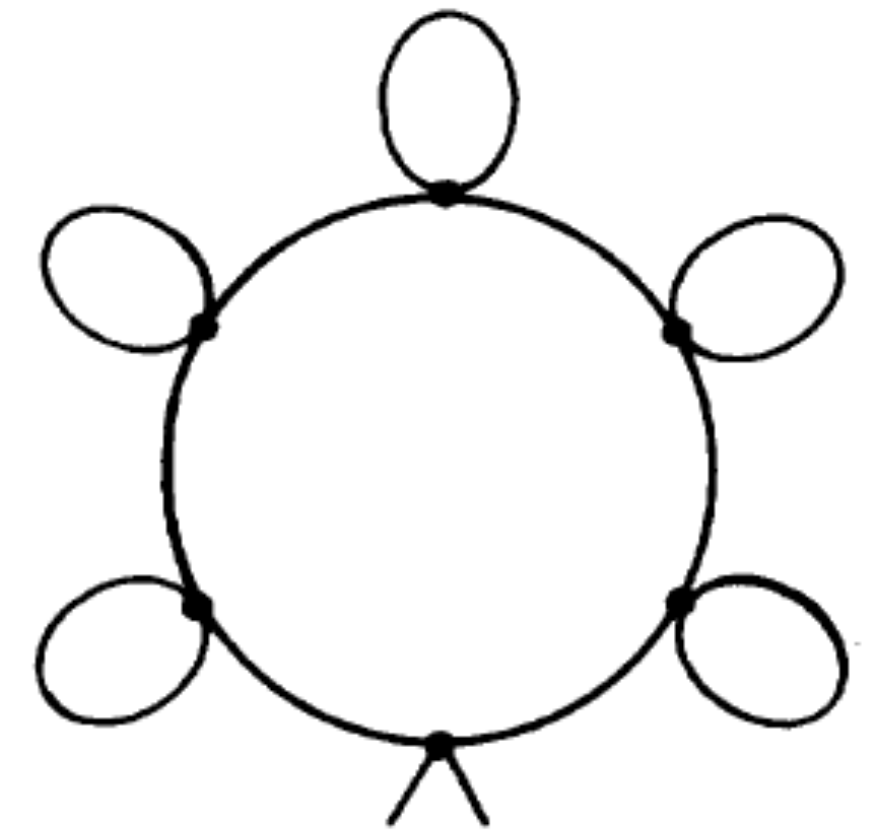
$$V^{\text{CW}} = \sum_i \frac{n_i}{64\pi^2} m_i^4(h, S) \left[\log \frac{m_i^2(h, S)}{\Lambda^2} - \frac{3}{2} \right]$$

Scalar Potentials at Finite Temperature

- 1-loop, finite temperature corrections [Dolan Jackiw 1974](#)
 - Evaluate 1-loop diagrams
 - Replace vacuum propagators by **thermal propagators**
propagator = correlation function $\langle \Phi(x) \Phi(y) \rangle$
in vacuum, points x and y become correlated if a particle propagates from x to y .
in a thermal bath, long-distance correlations are washed out by interactions with the bath.

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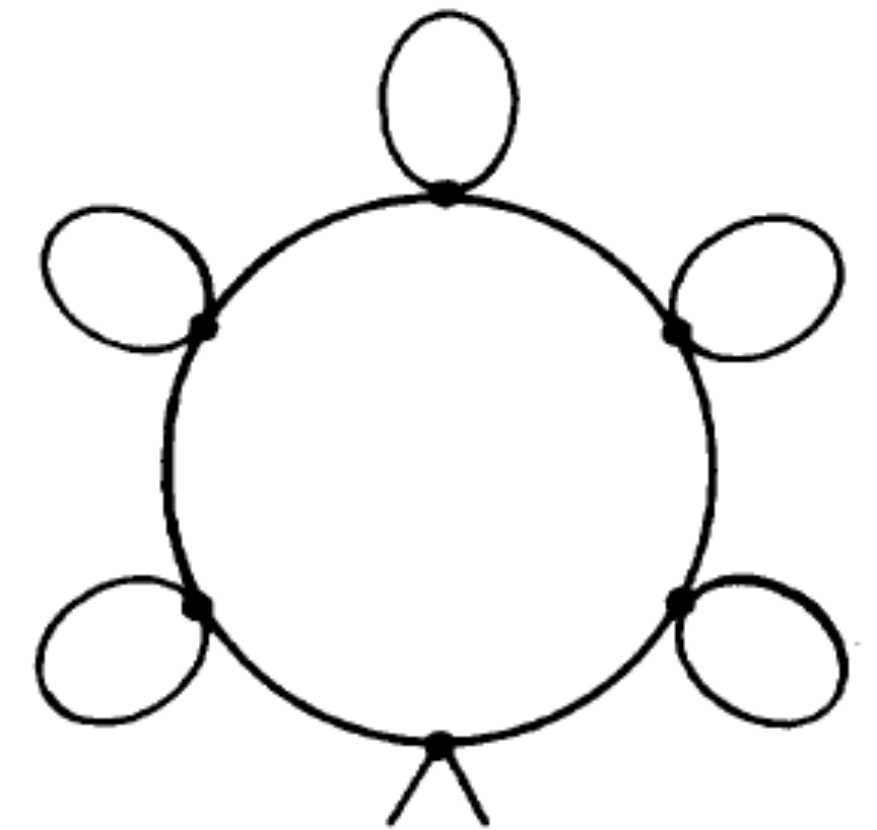
□ Resummed “Daisy” Corrections [Dolan Jackiw 1974](#), [Carrington 1992](#)

- n one-vertex bubbles, one n -vertex bubble:

$$\sum_n \left(\int \frac{d^4 k}{(2\pi)^4} \tilde{D}(k) \right)^n \cdot \int \frac{d^4 k}{(2\pi)^4} (\tilde{D}(k))^n$$

- One-vertex bubbles yield **thermal mass $\Pi(T)$**

$$V^{\text{daisy}} = -\frac{T}{12\pi} \sum_i n_i \left([m_i^2(h, S) + \Pi_i(T)]^{\frac{3}{2}} - [m_i^2(h, S)]^{\frac{3}{2}} \right)$$



A Toy Model

Field	Spin	\mathbb{Z}_2	mass Scale
S	0	+1	0.1 — 100
χ	$\frac{1}{2}$	-1	5 GeV — 5
ψ	$\frac{1}{2}$	-1	5 GeV — 5

Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)
see also Baker JK [arXiv:1608.07578](https://arxiv.org/abs/1608.07578)

$$\mathcal{L} \supset - [y_{\chi\psi} \bar{\psi} S \chi + h.c.] - y_{\chi} \bar{\chi} S \chi - y_{\psi} \bar{\psi} S \psi$$

A Toy Model

Field	Spin	\mathbb{Z}_2	mass Scale
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<i>ψ</i>	1/2	-1	5 GeV — 5

new scalar

Baker Mittnacht [arXiv:1811.03101](https://arxiv.org/abs/1811.03101)
 see also Baker JK [arXiv:1608.07578](https://arxiv.org/abs/1608.07578)

$$\mathcal{L} \supset - [y_{\chi\psi} \bar{\psi} S \chi + h.c.] - y_{\chi} \bar{\chi} S \chi - y_{\psi} \bar{\psi} S \psi$$

A Toy Model

	Field	Spin	\mathbb{Z}_2	mass Scale
new scalar	S	0	+1	0.1 — 100
	χ	$\frac{1}{2}$	-1	5 GeV — 5
	ψ	$\frac{1}{2}$	-1	5 GeV — 5

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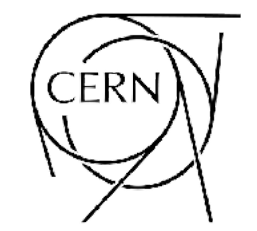
stabilizes DM

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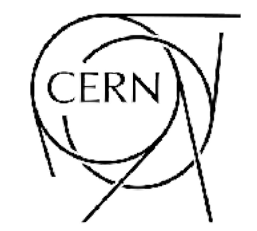
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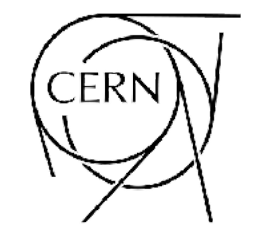
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large enough
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 $\langle S \rangle$ affects ψ mass



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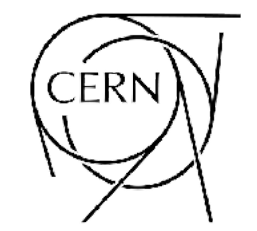
small

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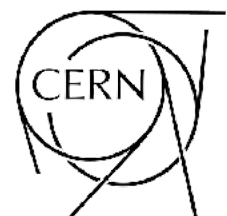
$$\mathcal{L} \supset - [y_{\chi\psi} \bar{\psi} S \chi + h.c.] - y_{\chi} \bar{\chi} S \chi - y_{\psi} \bar{\psi} S \psi$$

temporarily allows decay
 $\chi \rightarrow \psi S$

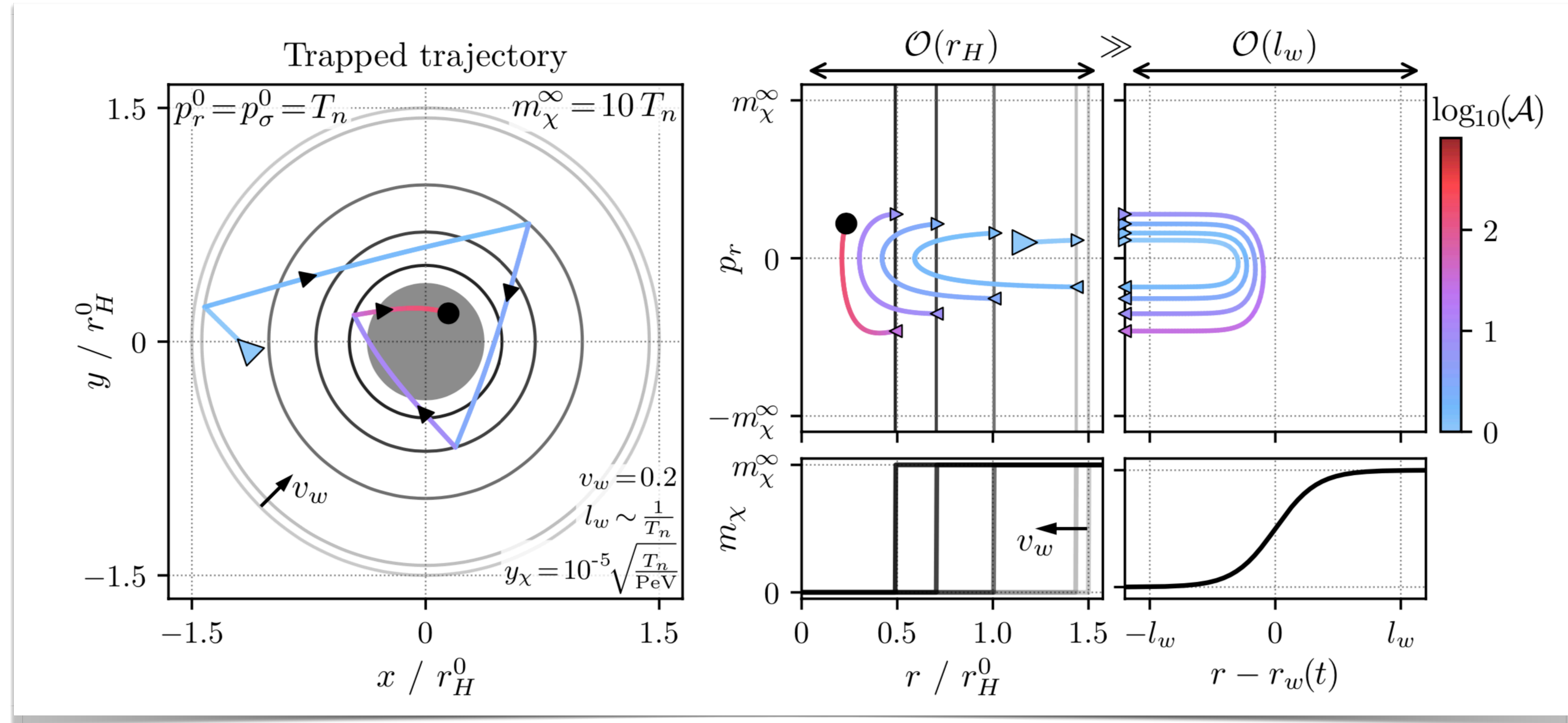
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Primordial Black Holes from Phase Transitions

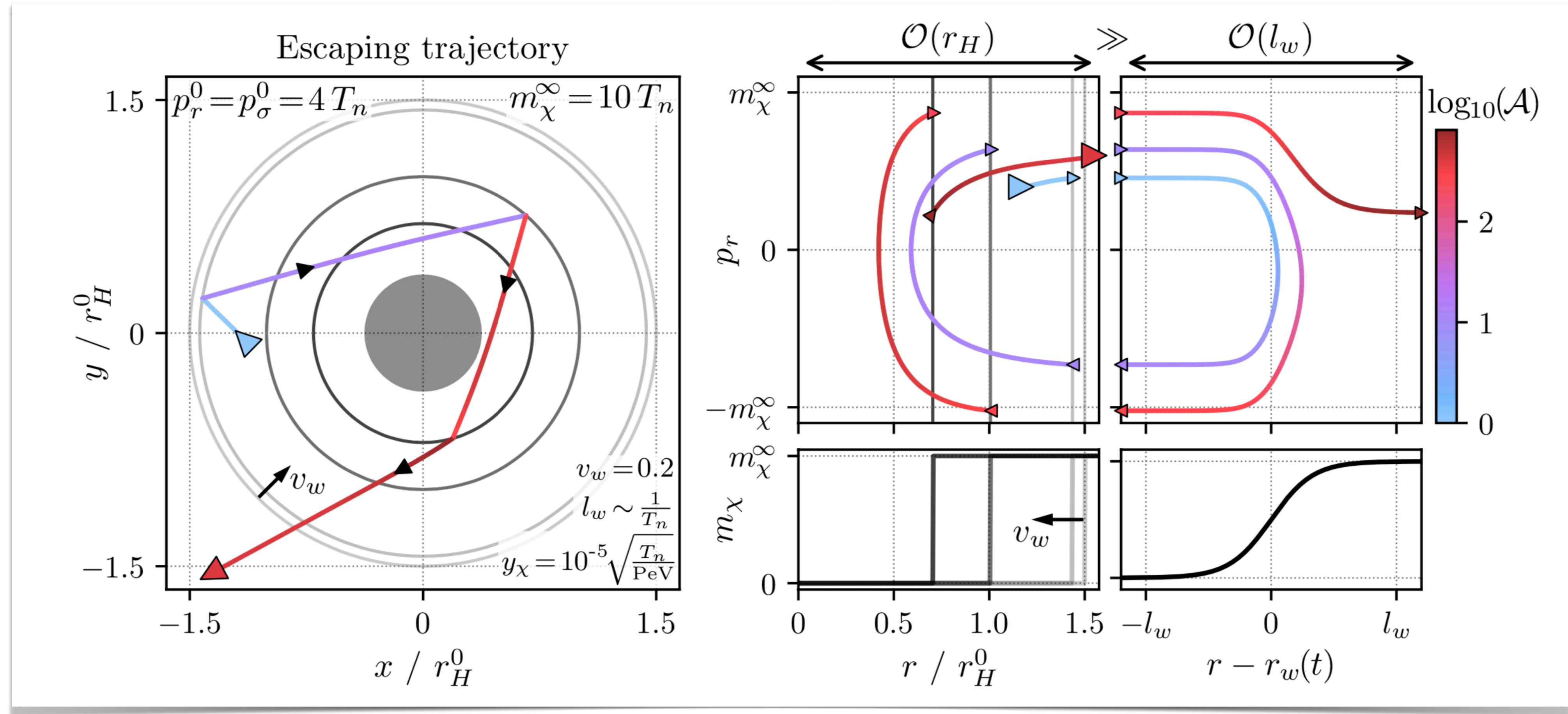


Typical Particle Trajectories (1)

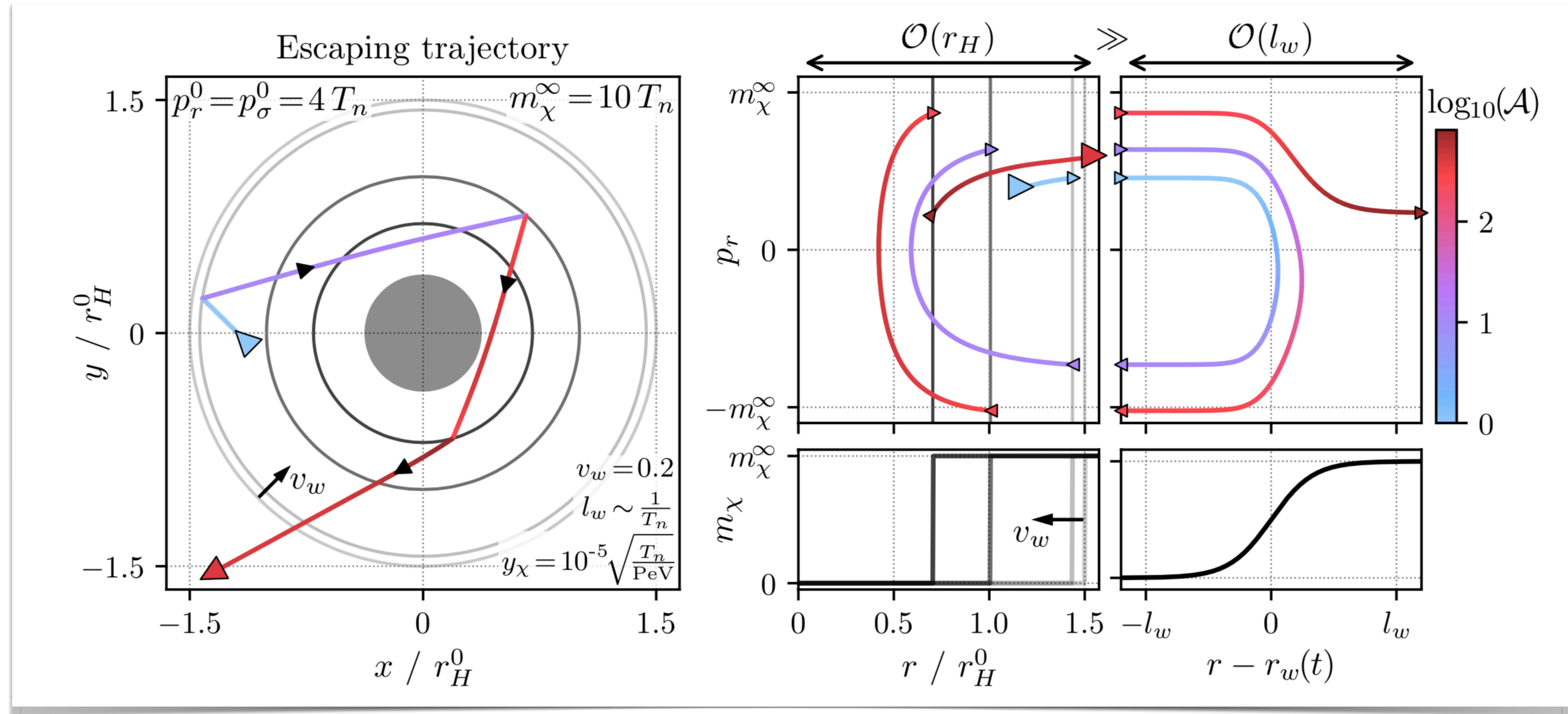


particle reflected multiple times, eventually ends up in black hole

Typical Particle Trajectories (2)

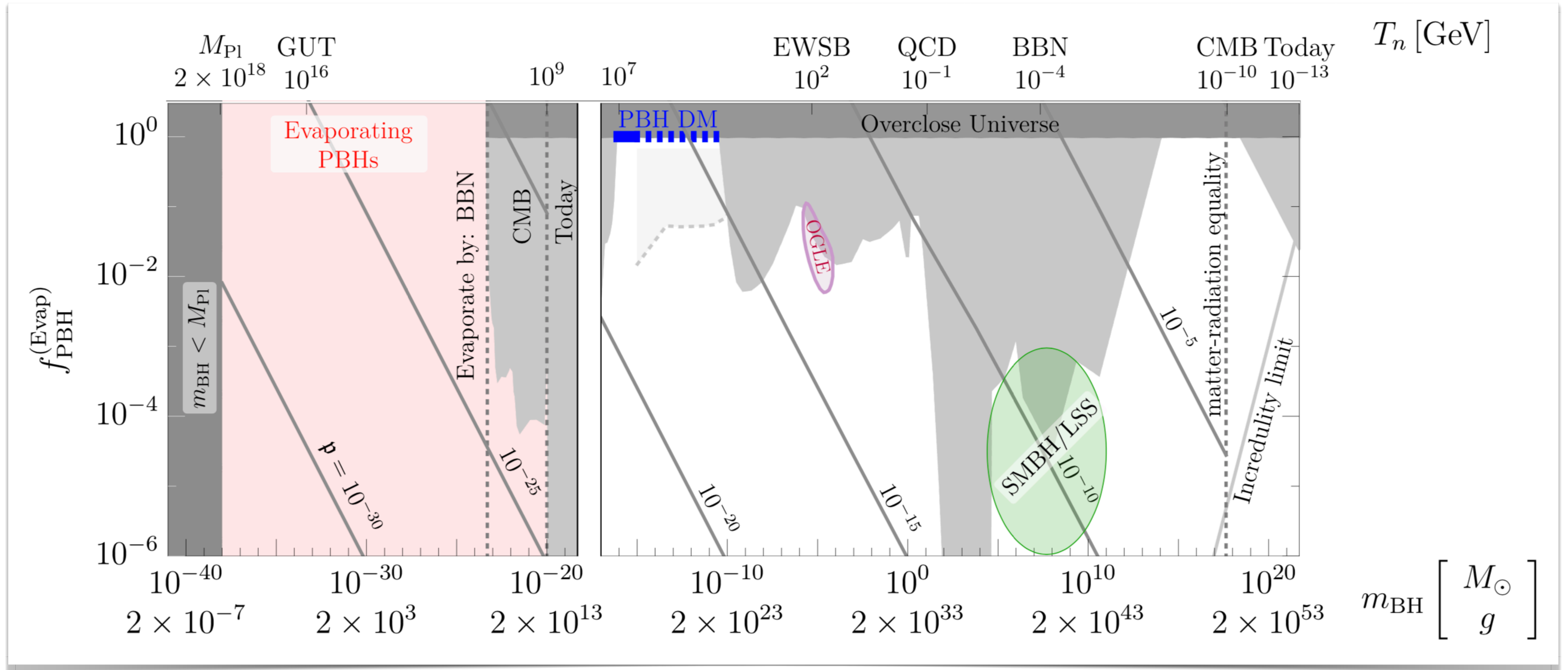


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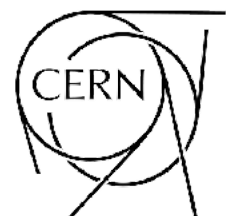


particle **reflected multiple times**, **gains energy** each time;
eventually has sufficient energy too **pass through the wall**

Requirements



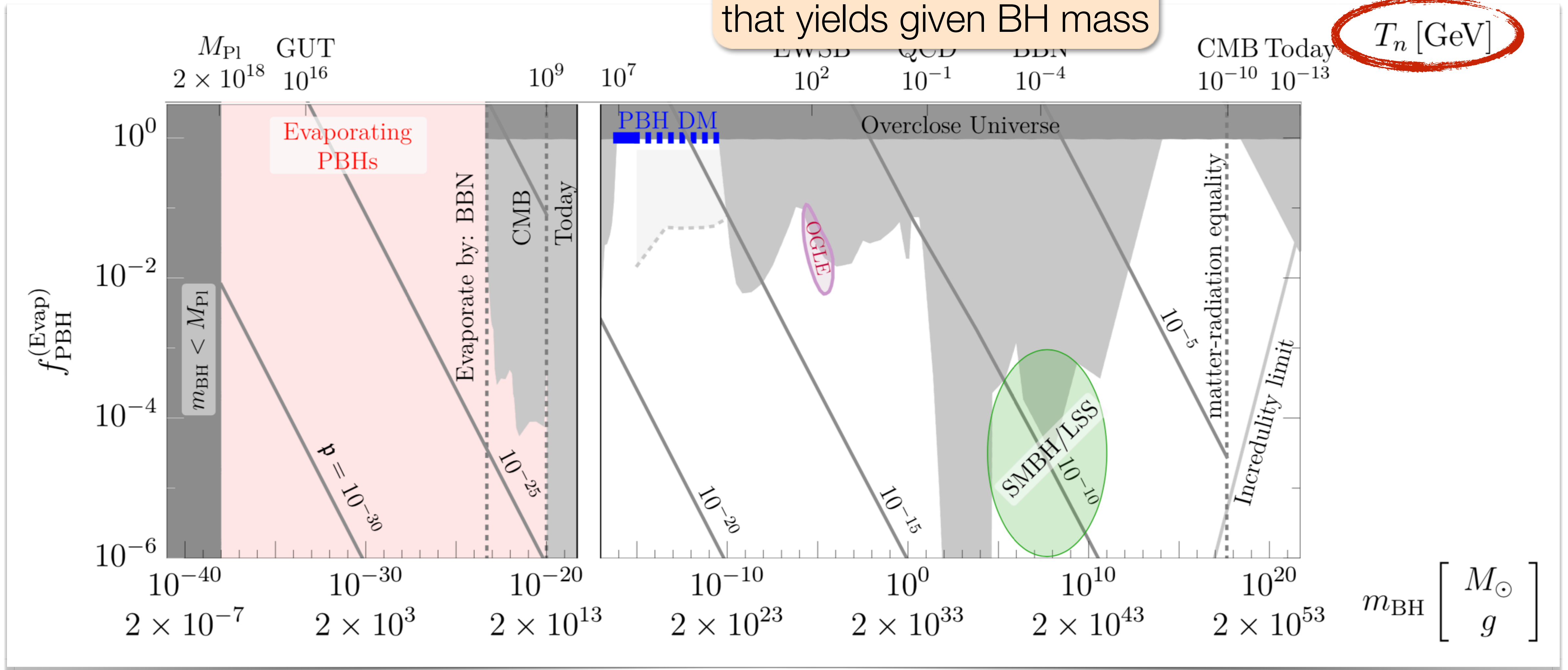
Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)



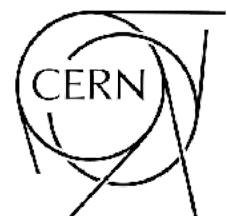
Requirements

phase transition
temperature
 that yields given BH mass

T_n [GeV]

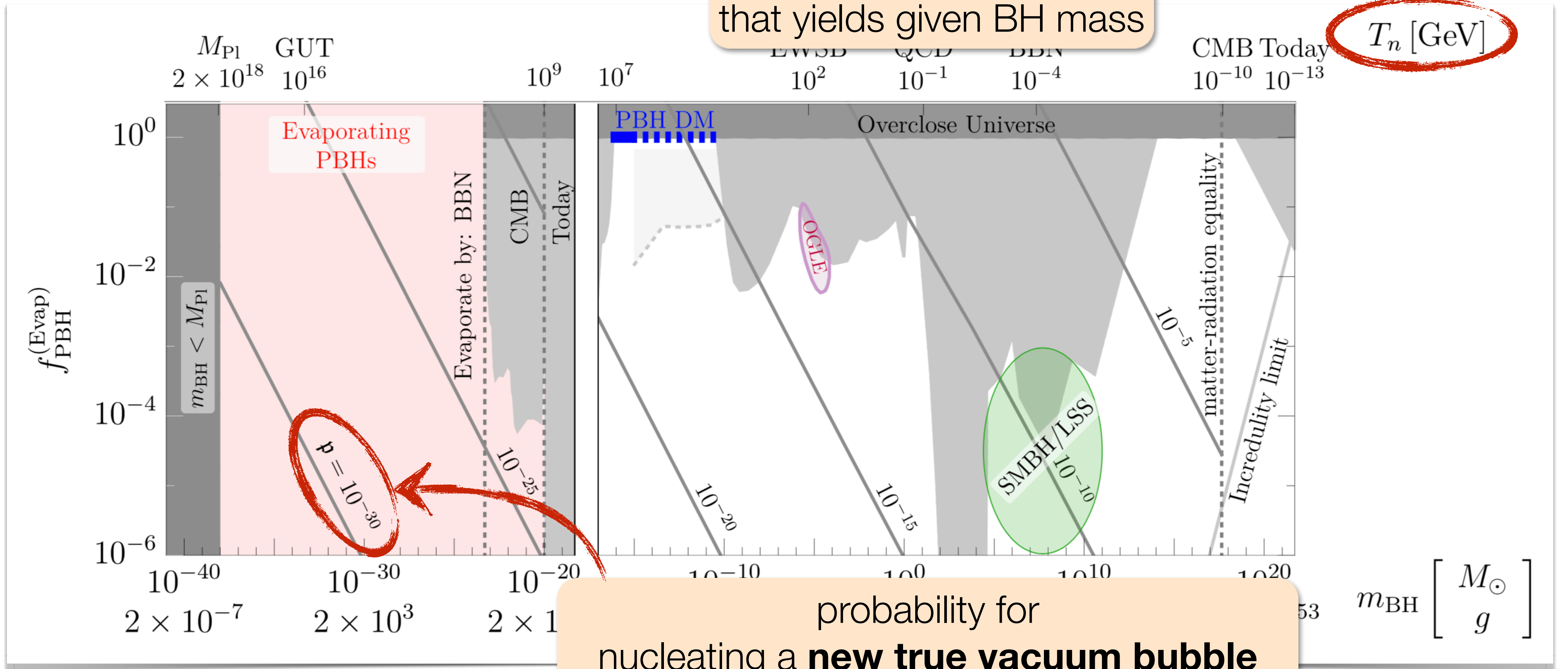


Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)



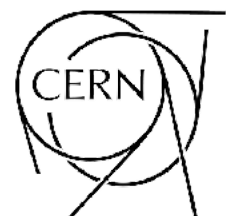
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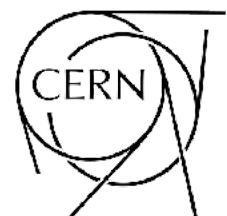


probability for
 nucleating a **new true vacuum bubble**
 in the shrinking false vacuum one

and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)



Complementary Signals and Opportunities



Gravitational Waves from Phase Transitions

□ Three contributions

- bubble collisions
- collisions of sound waves (generated during bubble collisions)
- turbulence

□ How to compute GW signals from these contributions

- requires numerical simulations (**large uncertainties**)
- Results parameterised e.g. as

$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}(f)}{d \log f} \simeq \mathcal{N} \Delta \left(\frac{\kappa \alpha}{1 + \alpha} \right)^p \left(\frac{H}{\beta} \right)^q s(f)$$

Gravitational Waves from Phase Transitions

□ Four relevant parameters

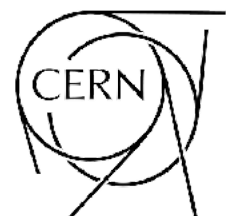
- bubble nucleation temperature T^{nuc}
- strength of the phase transition

$$\alpha \equiv \frac{\epsilon}{\rho_R} = \frac{1}{\rho_R} \left(-\Delta V + T^{\text{nuc}} \frac{\partial \Delta V}{\partial T} \Big|_{T^{\text{nuc}}} \right)$$

- inverse duration of the phase transition

$$\frac{\beta}{H} = T_h^{\text{nuc}} \frac{dS_E(T)}{dT} \Big|_{T_h^{\text{nuc}}}$$

- bubble wall velocity v_w



Gravitational Waves from Phase Transitions

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Euclidean action
corresponding to the
transition path in field space

- bubble wall velocity v_w

Gravitational Waves from Phase Transitions

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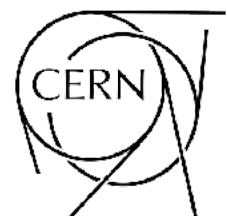
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