

TESTING THE FAR UV WITH LOW-E ACTION EXPERIMENTS[⌘]

⌘: Together with P. Agrawal (OXF), M. Nee (Harvard)

based on: 2206.07053 + 24XX.YYYY

DARK MATTER BEYOND THE WEAK SCALE

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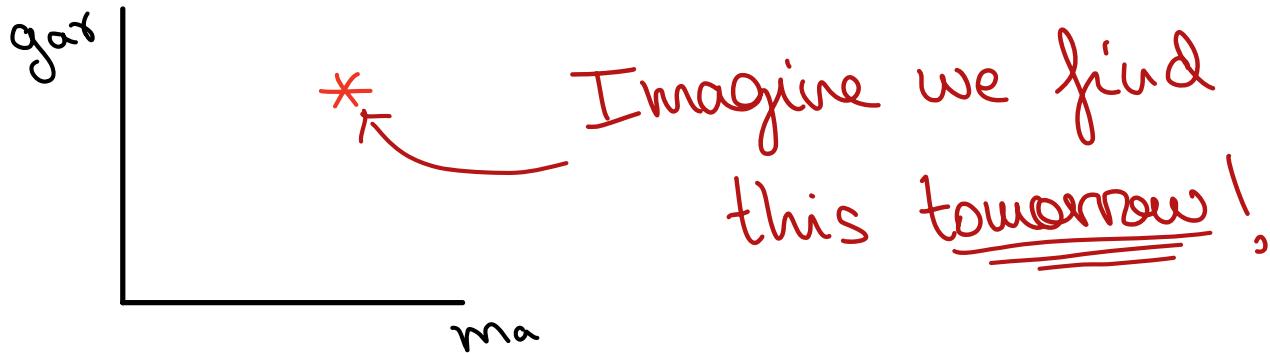
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WHY TOPOLOGICAL COUPLINGS?

Or ... what can we learn with (g_a, m_a) ?



Dark matter? Strong CP?
mmmmmm? mmmmm?

A) Is the SM unified in the UV?

B) Can we test / distinguish different
String theories at low-E?

Axion REVIEW

- * Axion: periodic (compact) scalar with discrete shift-symmetry.
AKA axion-like particle (ALP)
NOT NECESSARILY COUPLED TO QCD

$$a \rightarrow a + 2\pi f_a$$

- * (periodic) Interactions shaped by shift-symmetry

$$\frac{\partial_\mu a}{f_a} \bar{f} \gamma^\mu \gamma^5 f ; \quad \frac{a}{f_a} F \tilde{F} ; \quad V(a) = -\lambda^4 \cos(a/f_a)$$

- * Field theory language: pNGB of (anomalous) symmetries

↪ $U(1)_{\text{PQ}}$ for QCD axion

$$[SU(3)_c]^2 \times U(1)_{\text{PQ}} = A_{\text{aCO}}$$

WHY AXIONS?

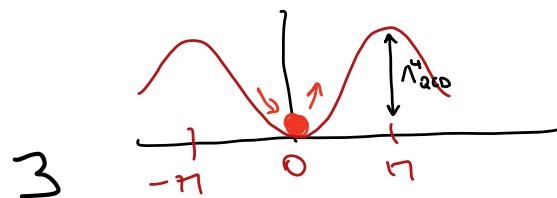
- * Appear BSM models & string Theory (i.e. Axiverse)
- * solve strong CP problem: QCD axion
- * Dark matter candidates
- * Dark energy, or even inflation (?)

Ex: QCD Axion

$$\partial_{\alpha \phi} G \tilde{G} \rightarrow \frac{\alpha}{F_a} G \tilde{G}$$

* solves strong CP: $\frac{\langle a \rangle}{F_a} = 0$

$$* V(a) = \Delta_{\text{QCD}}^4 (1 - \cos(\frac{a}{F_a})) \Rightarrow m_a \sim \frac{\Delta_{\text{QCD}}^2}{F_a}$$



WHY AXIONS?

- * Appear in many BSM constructions
- * solve strong CP problem: QCD axion
- * Dark matter candidates
- * Dark energy, or even inflation (?)
- * Topological, quantized couplings to gauge bosons

$$\mathcal{L}_a = \frac{(\partial_\mu a)^2}{2} + A \frac{a}{F_a} \frac{\alpha_{\text{GUT}}}{8\pi} G_{\text{GUT}} \tilde{G}_{\text{GUT}}$$

→ QUANTISATION:

Anomaly
coefficient

$A \in \mathbb{Z}$, an integer!

TOPOLOGICAL COUPLINGS TO GAUGE BOSONS

- * Anomaly coeff. unaffected by renormalization [see anomaly matching]

$$\lambda_{UV} = \lambda_{IR}$$

directly probing the
far UV!

surge in interest recently...

[Reece ; Agrawal+ ; Cordova+ ; Choi+, 23]

- * One possible caveat to claims: Axion Mixing!

(e.g. QCD axion)

topological nature

$\frac{\chi_{em}}{F_a} (E/N - 1.92) a \tilde{F} \tilde{F}$

axion-pion mixing

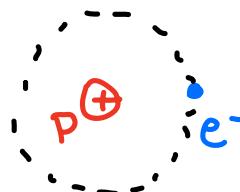
APPLICATION 1

Is the SM unified in
the UV?
 ,

HINTS FOR UNIFICATION

* GUTs explain charge quantisation (integers of q_e^-)

$$\frac{|Q_p + Q_{e^-}|}{q_{e^-}} < 10^{-21}$$



* Anomaly freedom:

$$\text{e.g. } \text{Tr } Y^3 = 2\left(-\frac{1}{2}\right)^3 + 6\left(\frac{1}{6}\right)^3 + 3\left(-\frac{2}{3}\right)^3 + 3\left(\frac{1}{3}\right)^3 + 1^3 = 0$$

* Unification of couplings; $\sin^2 \theta_W$ & $\frac{m_b}{m_\tau}$

$$\sin^2 \theta_W = \frac{g'^2}{g^2 + g'^2} = \frac{3}{8} \quad , \quad \frac{m_b}{m_\tau} \approx 3 \quad \text{at low } E$$

$g' = \sqrt{\frac{3}{5}} g_1 \rightarrow$

AXIONS AS PROBES OF UNIFICATION

[see: Agrawal, Nee, MR: 2206.07053]

UV gauge group $\xrightarrow{\text{SSB}}$ $SU(3) \times SU(2) \times U(1)$

$G_{\text{GUT}} \xrightarrow{\text{SSB}} SU(3) \times SU(2) \times U(1)$

$SU(5), SO(10) \dots \xrightarrow{\text{SSB}}$

- * Topological, quantised couplings to gauge bosons:

$$\mathcal{L}_a = \frac{(\partial_\mu a)^2}{2} + A \frac{a}{F_a} \frac{\alpha_{\text{GUT}}}{8\pi} G \tilde{G}_{\text{GUT}}$$

- * Anomaly matching: $A_{\text{UV}} = A_{\text{IR}}$
 - * Gauge invariance of G_{GUT}
- } Strong constraints
for axion couplings!

↳ Based on topology: independent of SSB and physics @ intermediate scales

Axions AS PROBES OF UNIFICATION

* Starting point:

$$G_{\text{GUT}} \times \prod_i U(1)_{PQ_i}$$

simple gauge group
e.g. SU(5)

Set of commuting, global unbroken symmetries

↳ Analogy:
with SM

$$\underbrace{U(1)_B \text{ and } U(1)_L}_{\text{weak interaction}} \xrightarrow{\text{SU}(2)}$$

$U(1)_{B-L}$ anomaly-free
 $U(1)_{B+L}$ ANOMALOUS!
applications for baryogenesis etc.

* After symmetry redefinition:

Important !!

$$[G_{\text{GUT}}]^2 \times U(1)_{PQ} = A \neq 0$$

$$[G_{\text{GUT}}]^2 \times \tilde{U}(1)_i = 0$$

$$G_{\text{GUT}} \times U(1)_{PQ} \times \prod_i \begin{cases} \text{non anom.} \\ \sim \end{cases} \tilde{U}(1)_i$$

ONLY ONE AXION COUPLED
THROUGH THE ANOMALY!

exact or decoupled

Goldstone bosons

$$A = 0$$

Axions AS PROBES OF UNIFICATION

(see:

2206.07053)

TOPOLOGY

+

GAUGE INVARIANCE

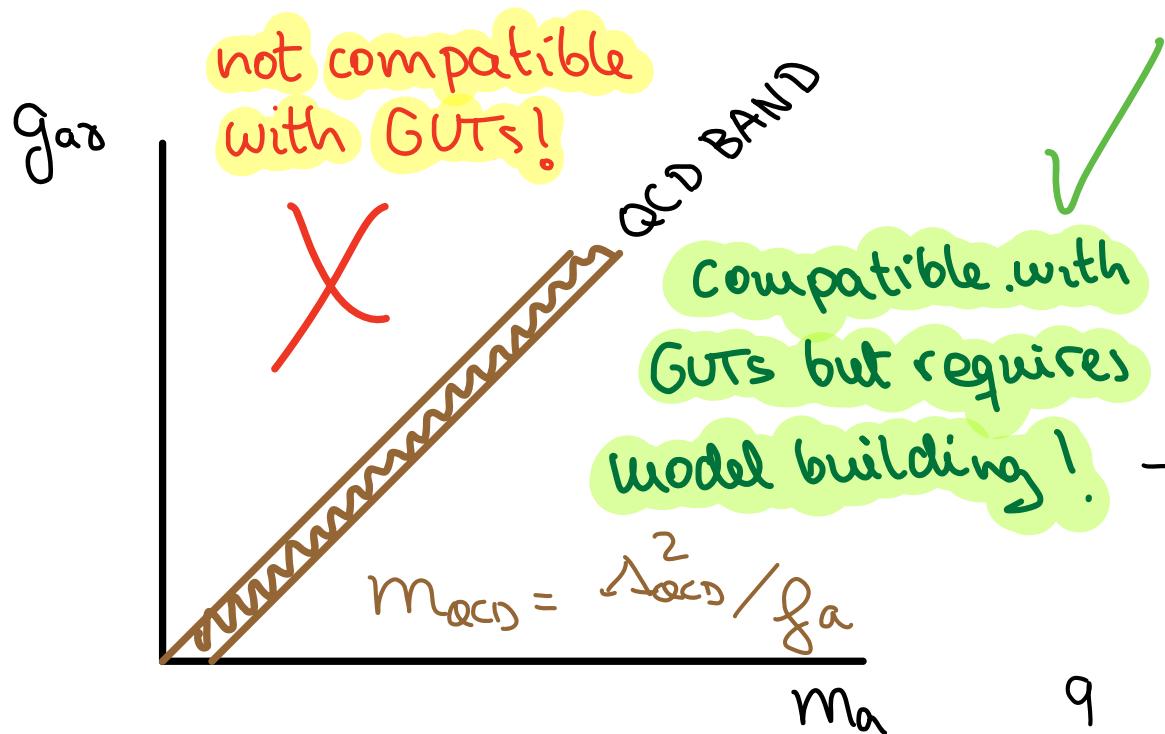
$$\rightarrow \frac{a}{f_a} [\alpha_{em} \tilde{E} \tilde{F}_{em} + \alpha_s \tilde{N} \tilde{G}_{QCD}]$$

unavoidable QCD potential

$$V(a) \simeq -\Lambda_{QCD}^4 \cos(a/f_a)$$

↳ zero order statement:

Single axion coupled to photons: QCD axion



RESULT:

$$\frac{g_{ALP}^{QCD}}{m_{ALP}} < \frac{g_a^{QCD}}{m_a^{QCD}} = \frac{\alpha_{em}}{m_n f_n}$$

- * axion mixing
- * "charged axions" (pion-like fields)
- * Dark photon models
- * Extra dim. GUTs..

APPLICATION 2

WHAT CAN AXIONS SAY ABOUT
STRING THEORY ?

(or at least
some of them)

Work in progress w/ P. Agrawal & M. Nee

Axions IN STRING THEORY

GENERIC, KNOWN RESULTS...

- * Multiple sources of axions in ST: B_{MN} , C_p , ... \sim gauge fields

"Axions from p-form fields wrapping p-cycles" $\Theta_p = \int_{W_p} C_p$

- * Appear in large number: $\#$ axions \sim "complexity" of compact space

AxiVERSE!
~~~~~! [Arvanitaki et al., '09]

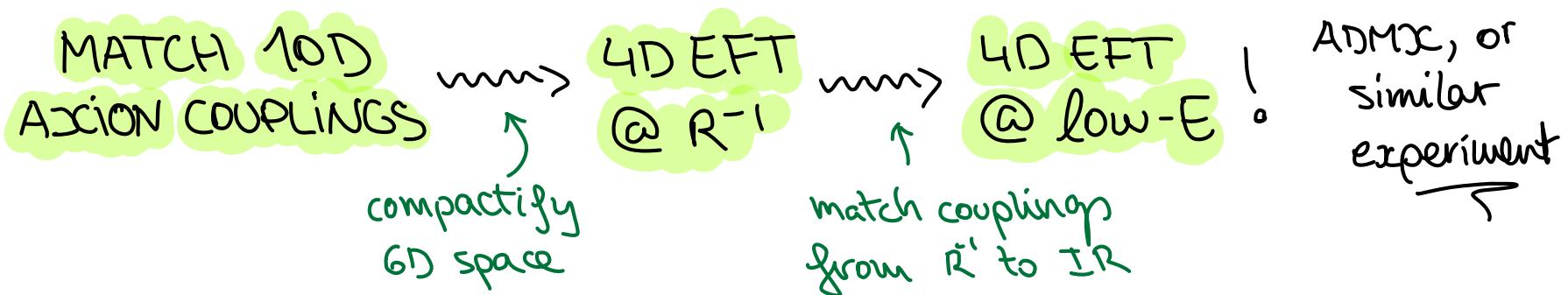
- \* Exponentially good PQ ( moduli stabilisation might spoil this )  
[Conlon, '06 ; McAllister & Quevedo, 23]

- \*  $F_a$  tends to be large! Observability? Overabundance?  
(although avg. decreases with  $\#$  axions)  
[Gondler et al., 23]

# WHAT'S NEW HERE?

[Huge amount of papers  
since Witten et al in '80s]

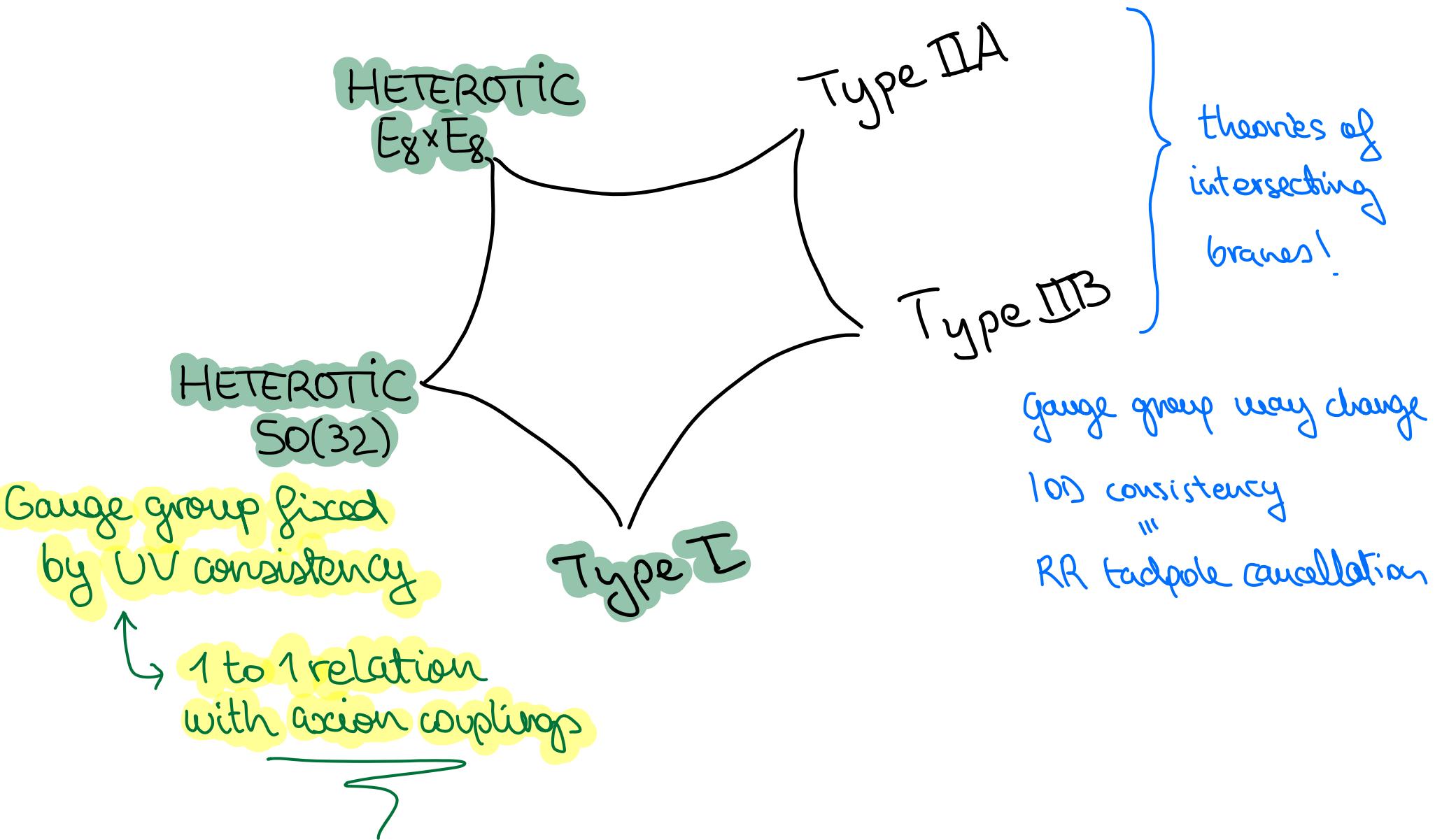
- \* UV consistency fixes UV gauge group in some ST.
- \* Axion couplings are topological in ST.



↳ well defined axion predictions independent of details associated to: compactification & obtaining SM spectrum

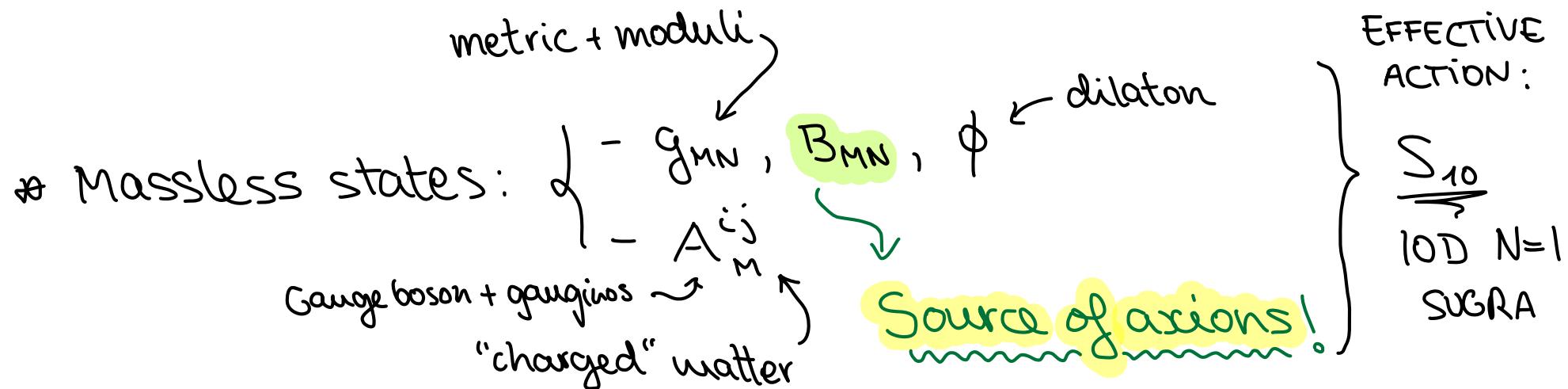
In some ST:  $g_{\text{ax}}/m_a < \frac{\alpha_{em}}{2\pi} \frac{1}{f_\pi m_\pi}$  holds!

# DIFFERENT STRING THEORIES



# HETEROtic STRINGS

- \* Theory of closed (super)strings in 10D



- \* Green-Schwarz anomaly cancellation  $\rightarrow \overline{E_8 \times E_8}$  or  $SO(32)$

$$\hookrightarrow B \wedge \text{tr} F^2 \wedge \text{tr} F^2$$

FOCUS ON

Axion COUPLING in 4d!

[See Svrcek, Witten  
for a review]

$$\hookrightarrow \int_{X_6} \{ \dots \} \rightarrow a \tilde{G} \tilde{G}$$

# HETEROtic STRINGS

"Problem"  
~~~~~

UV simplicity vs IR richness

$E_8 \times E_8$ in 10d
~~~~~

↪ compactifying on Calabi-Yau or toroidal orbifold

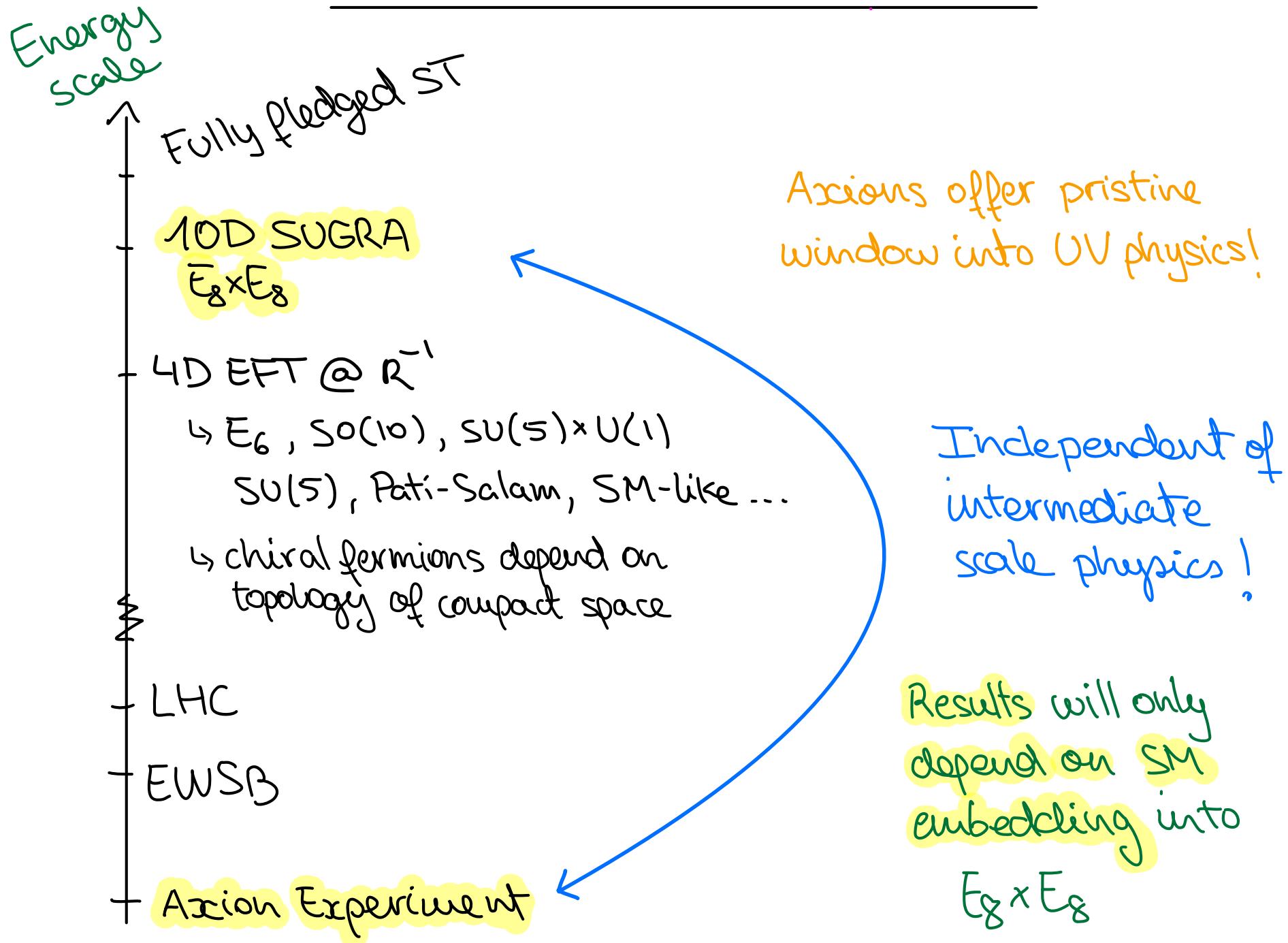
+

(discrete) Wilson lines

↪ MANY 4D EFT become available!

SU(5), SO(10),  $E_6$ , triification, etc...

# CONNECTING FAR UV TO IR



# Actions from Higher-D Gauge Fields

↪ Baby version: QCD  $\times$  U(1) in 5D

↪ Compactify:  $S_1 / \mathbb{Z}_2 : \left. \begin{array}{l} \text{- gluons: } A_\mu^{a(0)} \\ \text{- pseudo-scalar: } B_5^{(0)} \end{array} \right\}$

# REMARKS:

i) Particle worldline  
Non-local potential

$$e^{i\theta} = e^{i \int B_5 dx_5} \quad \xrightarrow{\qquad} \quad V(B_5) = R^{-4} e^{-S} \cos(B_5^{(0)} R)$$

$\sim$  UV instanton from 4D  
point of view!

$$S = 2\pi M R$$

ii) Chern-Simons interaction

$$\int K_{cs} \in \overset{\text{MNPQR}}{B_M} G_{NP} G_{QR} \rightarrow \overset{(0)}{B_5} \tilde{G} \tilde{G} \quad \text{in 4D!}$$

# Axions in HETEROtic STRINGS

↪  $B_{MN}$  : 2-index antisym. tensor

[see Svrcek, Witten for  
a review]

( $\equiv B_2$ )

$$B_2 \rightarrow B_2 + d\lambda_1$$

$B_6 \equiv$  dual of  $B_2$ !

\* Model-independent axion ( $a$ ):  $a = \int_{X_6} B_6$   
(MI)

(MD)

\* Model-dependent axions ( $b_i$ ): zero modes of  $B_{mn}$

wrapping 2-cycles ( $W_i$ )

$$b_i = \int_{W_i} B_2$$

↪ "internal  
dimensions"

## SHIFT SYMMETRY BREAKING EFFECTS:

Instantons in  $E_8 \times E_8$ , worldsheet instantons, NS5-branes...

↪ Only  $e^{-S}$  effects!

[see Choi 9706171]

# MATCHING AXION COUPLINGS

$$S_{10d} \supset \int_{X_6} B_6 \wedge [\text{tr}_1 F^2 + \text{tr}_2 \bar{F}^2] + \int_{X_6} B_2 \wedge X_8^{(\text{YM})}$$

MI couplings  $\uparrow$  MD couplings  $\uparrow$

\* MI axion couplings :  $\mathcal{L} \supset a/f_a (\text{tr}_1 \bar{F}^2 + \text{tr}_2 F^2)$  Universally coupled to gauge bosons

$$\text{tr}_1 F^2 = \sum_i \text{tr} F_i^2$$

unbroken gauge groups in 1st E<sub>8</sub>

\* MD axion couplings :  $\sum k_i^{(1)} \int_{M_4} b_i \text{tr}_1 F^2 + \sum k_i^{(2)} \int_{M_4} b_i \text{tr}_2 F^2$

depend on compact space CALCULABLE!

10d  
SUGRA

$S_{10d} \longleftrightarrow$  Axion couplings @  $R^{-1}$  in 4d EFT

$\underline{\circ} \underline{R}^{-1}$  :

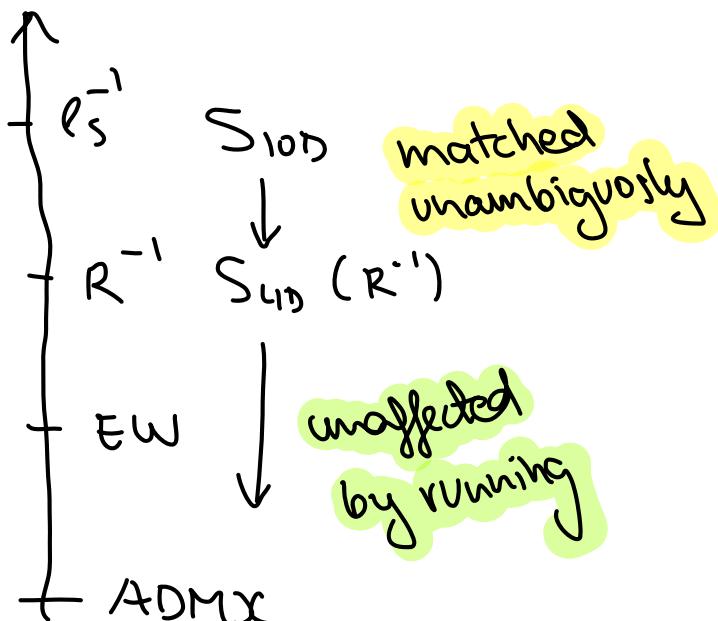
# EMBEDDING THE SM

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

\*  $\theta_1, \theta_2$  : different linear combinations of  $a_i$  &  $b_i$ !

axion couplings at low-E only depend on how we embed the SM!

Energy



OPTIONS

- i)  $E_8 \supset G \supset SM$ ; second  $E_8$  "untouched"
- ii) SM non-trivially embedded in  $E_8 \times E_8$

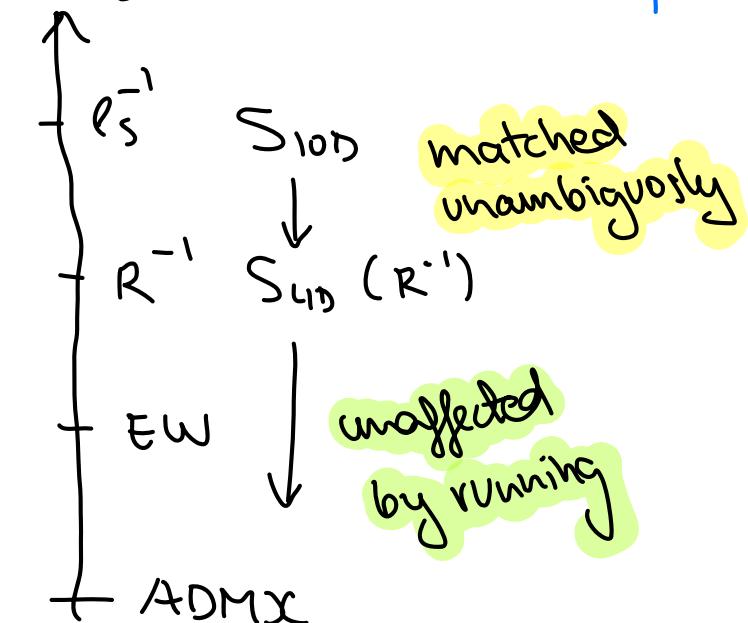
# EMBEDDING THE SM

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

↓  
Hidden sector

Energy

$$\text{tr}_1 F^2 = \{QCD + EW + Hypercharge\}$$



$$\theta_1 = a + \sum_i k_i^{(1)} b_i$$

Model independent

model dependent

value of  $k_i^{(1)}$  depends  
on compact space

# STANDARD SM EMBEDDING

- 4D EFT  $\rightarrow$  @  $R^{-1}$  scale:
  - $\Theta_1 = \alpha + \sum_i k_i^{(1)} b_i$

$$\mathcal{L} = \frac{\Theta_1}{8\pi} (\alpha_1 \tilde{B}\tilde{B} + \alpha_2 \tilde{W}\tilde{W} + \alpha_3 \tilde{G}\tilde{G}) + \frac{\Theta_2}{8\pi} \tilde{H}\tilde{H} + \underbrace{\sum_{\text{world-sheet}} V(b_i)}$$

axions other than  
those in  $\Theta_1$ , not  
important for  
gas!

- 4D EFT  $\rightarrow$  below EWSB scale:

$$\mathcal{L} = \frac{\Theta_1}{8\pi} \left[ \alpha_{ew} \left( \frac{E}{N} - 1.92 \right) \tilde{F}\tilde{F} + \tilde{G}\tilde{G} \right] + V_{\text{eff}}(b_i)$$

Only QCD axion to leading order!

source of  
axion mixing!

↳ Additional axions  
satisfy:

$$\frac{\text{gas}}{m_a} < \frac{X_{ew}}{M_n f_n}$$

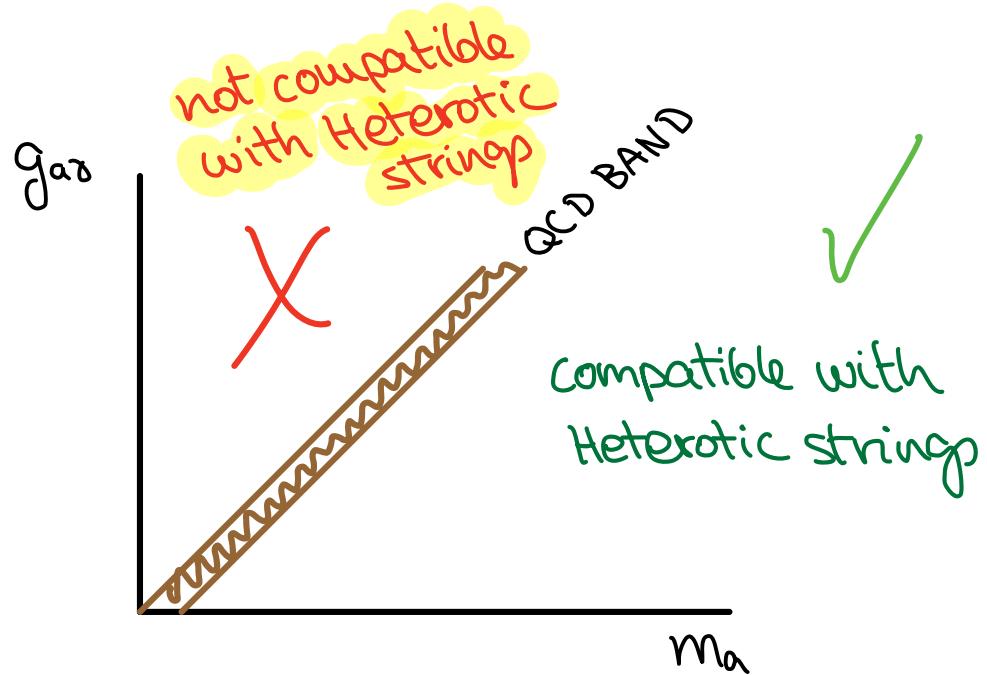
# ZERO ORDER STATEMENT

\* Find an axion with:  $g_{\text{ax}}/m_{\text{ax}} > \alpha_{\text{em}}/m_{\pi} f_{\pi}$

For example:

↳ Cosmic birefringence

↳ Ultralight axions  
coupled to photons



Rule out Heterotic Strings?

↳ ANY LOOPHOLE?!

# NON-STANDARD SM EMBEDDING

Possible  
way out?  
injn?

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

$\downarrow$  ~QCD axion       $\downarrow$  ~ALP

\* Take:  $E_8 \times E_8$

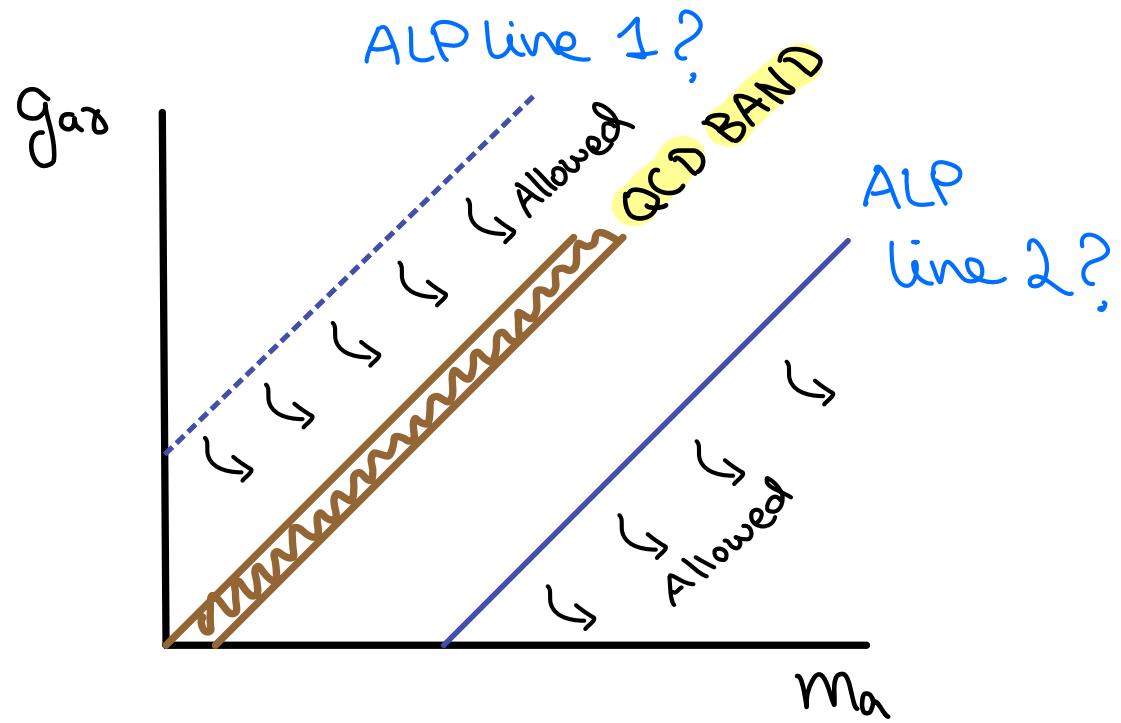
$$[\underbrace{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)^n}_{\text{QCD}}] \times [\underbrace{\text{U}(1)^m \times G_h}_{\text{ALP}}]$$

$$\text{SU}(3)_c \times \text{SU}(2)_L \times \text{U}(1)_Y \times G_h^*$$

keep  $G_h^*$  instantons under control!  
subdominant wrt QCD.

$$\left. \begin{aligned} V(\theta_1) &\simeq -\Lambda_{\text{QCD}}^4 \cos \theta_1 \\ V(\theta_2) &\simeq -\Lambda_{\text{ALP}}^4 \cos \theta_2 \end{aligned} \right\} \rightarrow \Lambda_{\text{ALP}} \text{ vs } \Lambda_{\text{QCD}} ?$$

# WHAT'S THE COST OF THE ALP?



\* ALP line 1 or 2?

$\Lambda_{\text{QCD}}$  vs  $\Lambda_{\text{ALP}}$

↳ Model dependent question!

\* Irreducible axion potential

$$V(\theta_{\text{ALP}}) \sim R^{-4} e^{-2\pi/\lambda_{\text{GUT}}} \cos(\theta)$$

## MODEL INDEPENDENT IMPLICATIONS

i) Weak mixing angle is modified;  $\sin^2 \theta_W < 1/3$ !

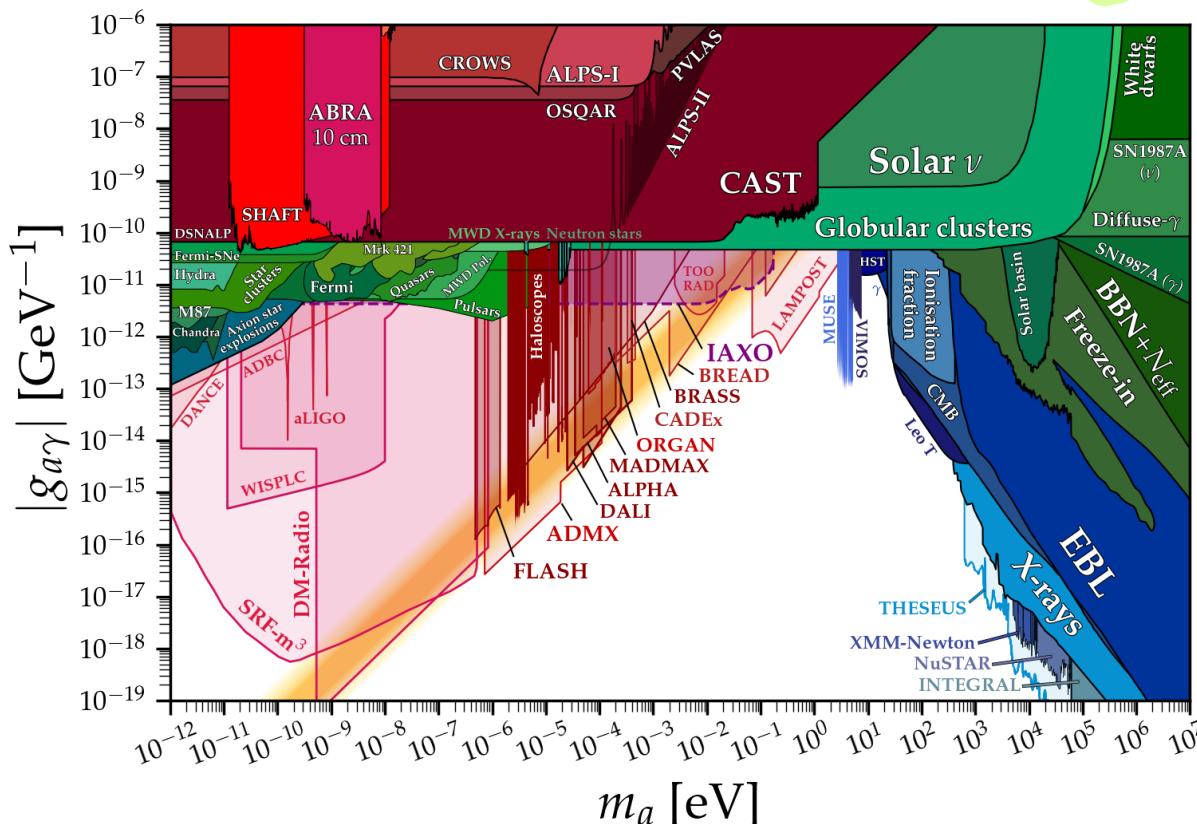
Standard GUT

ii) Fractional charges? Possibly chiral!

$$\sin^2 \theta_W = 3/8$$

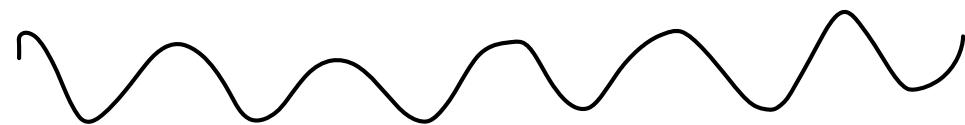
# UV LESSONS FROM IR EXP

- 1) On top of Strong CP, Dark Matter, etc axions offer unpolluted UV information: GUTs, Heterotic strings
- 2) Many experiments searching for axion-photon in near future, specially  $g_{a\gamma}/m_a > \Delta m/m_{\text{eff}}$



3) We CANNOT confirm GUTs or Heterotic strings  
 BUT  
 axion searches offer NON-TRIVIAL TESTS of these theories

BACK-UP



# FIELD THEORETIC AXIONS?

\* Can we get axions from the phase of complex scalars?

$$\phi = \bar{\Phi} e^{ic} ; \quad c \rightarrow c + q_c \theta$$

YES! BUT only in theories where the lightness

is guaranteed by a gauge symmetry:  $U(1)_A$

See:  
Anomalous  
 $U(1)$  scenario

$$\phi \sim e^{-s} e^{ia} \phi^N$$

phase from  $\phi$  mixes with  
"a" and inherits axion coupling

↳ Couplings of "c" are the  
same as MI axion, "a"!

$$\frac{c}{\text{left}} \tilde{F} F$$

\* QUESTION: Can we form cosmic strings?

Do they have "decompactified" core?

## COMPUTING $K_{1,2}^{(i)}$

\*  $K_{1,2}^{(i)}$  are "anomaly coeff." for model dependent axions.

$$\int \beta \wedge \chi_8 = \sum_i k_1^i \int_{M_4} b_i \text{tr}_1 F^2 + \sum_i k_1^i \int_{M_4} b_i \text{tr}_2 F^2$$

$$k_1^{(i)} = \int_{\mathbb{X}_6} \beta_i \wedge (-\text{tr} R^2 + 2\text{tr}_1 F^2 - \text{tr}_2 F^2)$$

Field strength subject to topological constrain:

$$dH = -\text{tr}_1 F^2 - \text{tr}_2 F^2 + \text{tr} R^2 \rightarrow [\text{tr} R^2] = [\text{tr}_1 F]^2 + [\text{tr}_2 F]^2$$

Same cohomology class

# TWISTED STATE CONTRIBUTIONS

- \* Compactification on non-simply connected manifold

$$K = K_0 / G$$

- ↳ Fractionally charged states appear!  $\rightarrow$  Do they modify  $g_{\text{as}}/m_\psi < \alpha_{\text{em}}/m_{\text{Pl}}$
- ↳  $\psi$ , do they induce  $g_{\text{as}}$ ?

- \* EFT

$$\mathcal{L} = -\mu \bar{\psi} e^{i\gamma_5 a} \psi - m_\psi \bar{\psi} \psi \rightarrow g_{\text{as}} \sim \frac{\alpha_{\text{em}}}{f_a} \equiv$$

- ↳ Calabi-Yau compactification:  $z = e^{-S}$ ;  $S \sim 2\pi/\alpha$

$$\hookrightarrow \text{Orbifold: } z = \frac{m_\psi}{m_\psi} e^{-S/2}$$

## P-FORM FIELDS

- \* Antisym. tensor field ( $\sim$  generalised gauge potential)

$$C_{p+1} \rightarrow C_{p+1} + d\Lambda_p$$

p-form gauge parameter

- \* Field strength:  $F_{p+2} = dC_{p+1}$

$$\# p\text{-branes} = \text{electric objects} \longleftrightarrow \text{Scher} = Q \int_{W_{p+1}} C_{p+1}$$

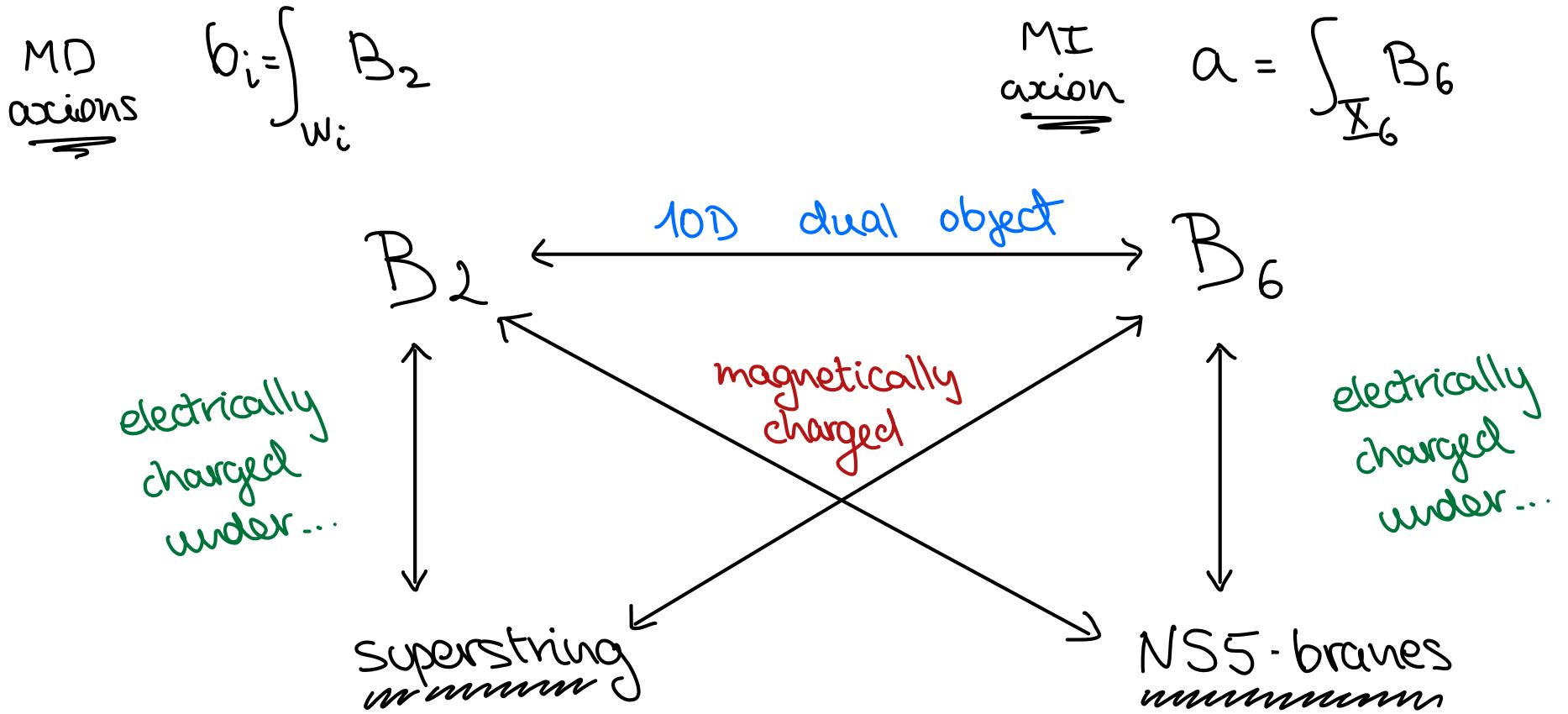
- \* Duals:

$$\hookrightarrow F_{p+2} = F_{d-p-2} \leftrightarrow F_{d-p-2} = dC_{d-p-3}$$

$\hookrightarrow$   $(d-p-4)$ -branes electrically charged under  $C_{d-p-3}$   
and magnetically charged under  $C_{p+1}$ .

# Axions in HETEROtic STRINGS

If time  
allows...  
↓



↳ 4D point of view, superstring = axion string!

# 4D GUT: ONE AxIONS COUPLED TO GAUGE BOSONS

$$\prod_i U(1)_{PQ,i} \rightarrow U(1)_{PQ} \times \prod_i \tilde{U}(1)_i$$

field redef.

non-Abelian

only this linear combination gives an axion coupled to gauge bosons.

$$\left. \begin{array}{l} A_{PQ} \neq 0 \\ A_i = 0 \end{array} \right\}$$

and due to quantisation

$$A^{\text{UV}} = A^{\text{IR}}$$

↳ CURRENTS:

$$\left\{ \begin{array}{l} U(1)_{PQ}: \partial^\mu J_\mu^{PQ} = A_{PQ} \frac{\alpha_{\text{GUT}}}{8\pi} G \sim G_{\text{GUT}} \\ \tilde{U}(1)_i: \partial^\mu J_\mu^{\tilde{U}(1)_i} = 0 \end{array} \right.$$

Above PQ & GUT SSB scales



This action couples to both photons and gluons!!

↳ decoupled Goldstones!  
(from gauge bosons)

# SINGLE AXION – DEPENDENCE ON PQ SCALE?

PQ current  
above  
 $F_a, M_{GUT}$

$$\partial^\mu J_\mu^{\text{PQ}} = A_{\text{PQ}} \frac{\alpha_{\text{GUT}}}{8\pi} \tilde{G}\tilde{G}_{\text{GUT}}$$

→ What if  $F_a < M_{\text{GUT}}!$ ?

A)  $\underline{F_a > M_{\text{GUT}}}$ : effects of anomaly captured by dim-5 op.

$$A_{\text{PQ}} \frac{a}{F_a} \frac{\alpha_{\text{GUT}}}{8\pi} \tilde{G}\tilde{G}_{\text{GUT}}$$

axion couples to both photons and gluons!

$K_3, K_2, K_1$  levels of embedding of  $SU(3), SU(2), U(1)$  in  $G_{\text{GUT}}$

B)  $\underline{F_a < M_{\text{GUT}}}$ :

$$\partial^\mu J_\mu^{\text{PQ}} = A_{\text{PQ}} \left\{ K_3 \frac{\alpha_3}{8\pi} \tilde{G}\tilde{G}_{\text{QCD}} + K_2 \frac{\alpha_2}{8\pi} W\tilde{W} + K_1 \frac{\alpha_1}{8\pi} B\tilde{B} \right\}$$

↓ After PQ breaking...

$$A_{\text{PQ}} \frac{a}{F_a} \left\{ K_3 \frac{\alpha_3}{8\pi} \tilde{G}\tilde{G}_{\text{QCD}} + K_2 \frac{\alpha_2}{8\pi} W\tilde{W} + K_1 \frac{\alpha_1}{8\pi} B\tilde{B} \right\}$$

Again, axion couples to both photons & gluons!

# How does axion mixing change the result?

- \* In the absence of mixing: 1 anomalous  $U(1)_{\text{PC}}$

$$\prod_i U(1)_{\text{PC}i} \rightarrow U(1)_{\text{PC}} \times \prod_i^{\text{non-anom}} \tilde{U}(1)_i$$

↑ only possible if unbroken!

1 axion coupled  
to photons

- \* Small explicit breaking of shift symmetries

may turn on non-quantised mixing...  
(see  $\alpha - m^\circ$  mixing)

↳ Do we get additional light ALPs with gas?

# MASS MIXED AXIONS?

$$\mathcal{L} = \delta_{ij} \frac{\partial a_i \partial a_j}{2} + a_{\text{QCD}} G \tilde{G} + \underbrace{U_{ij} a_i a_j}_{\text{Axion mixing!}}$$

$\det(U_{ij}) \neq 0$   
 to solve strong CP

↳ No longer freedom to rotate away axions!

SPECTRUM  
OF  
ADDITIONAL  
ALPs

- { \* Heavy (decoupled) axions       $m_i^2 \gg m_{\text{QCD}}^2$
- \* LIGHT AXIONS WITH       $m_i^2 \ll m_{\text{QCD}}^2$

# TOY MODEL WITH 2 AXIONS

$$\mathcal{L} = \left( \frac{a}{f_a} + \frac{b}{f_b} \right) G\tilde{G} + \frac{1}{2} m_b^2 b^2$$

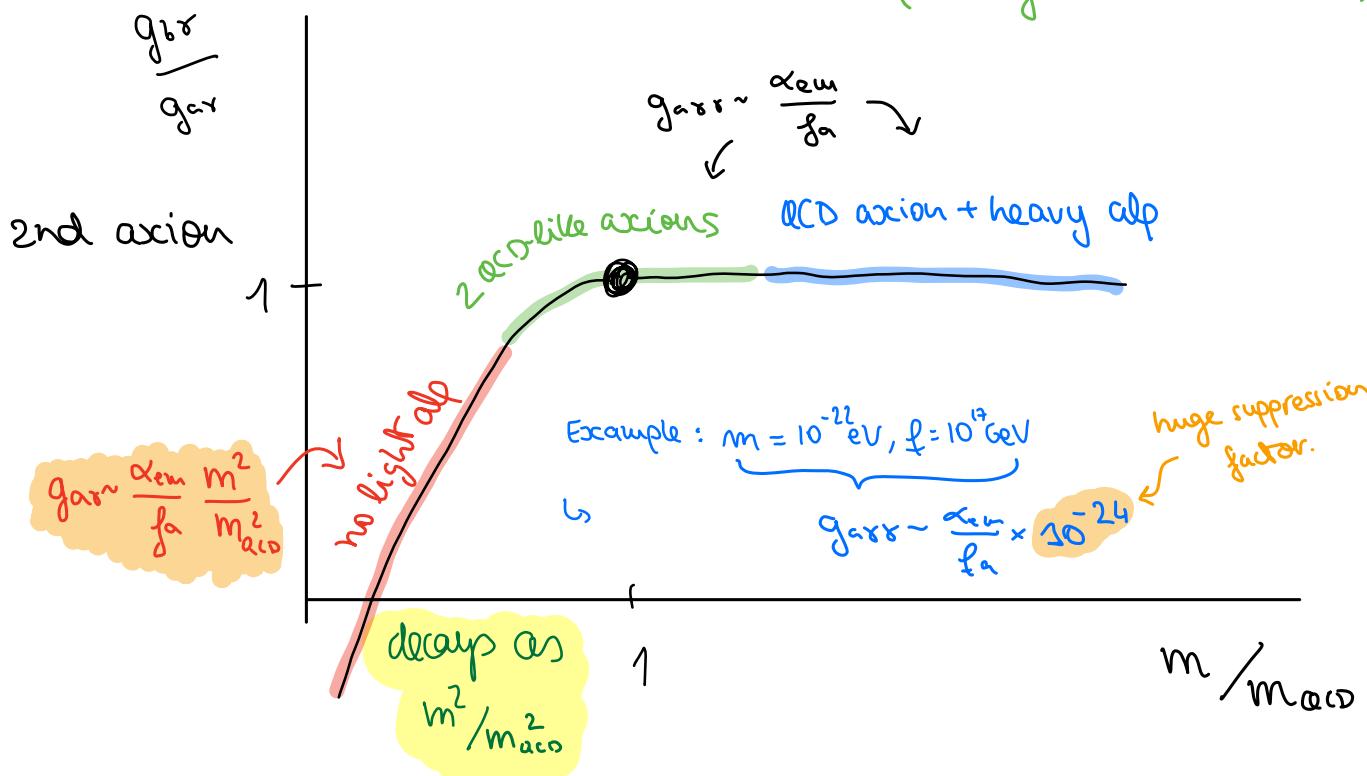
explicit breaking of 6 shift-symmetry

↪ a)  $m_b \gg \Delta_{QCD}^2 / f_a \rightarrow \begin{cases} QCD \text{ axion: } a_{QCD} = a \\ \text{heavy ALP } b: \text{ mass } m_b, \text{ coupling } g_{b\gamma} \sim \frac{\alpha}{f_b} \end{cases}$

↪ b)  $m_b \ll \Delta_{QCD}^2 / f_a \rightarrow \begin{cases} QCD \text{ axion: } \frac{a_{QCD}}{F} = \frac{a}{f_a} + \frac{b}{f_b} \\ \text{decoupled light ALP:} \\ (\text{orthogonal linear comb.}) \end{cases}$

$$g_{b\gamma} \sim \frac{m_b^2}{m_{QCD}} \times \frac{\alpha_{em}}{f_b}$$

$$M_{ALP} \sim M_b$$

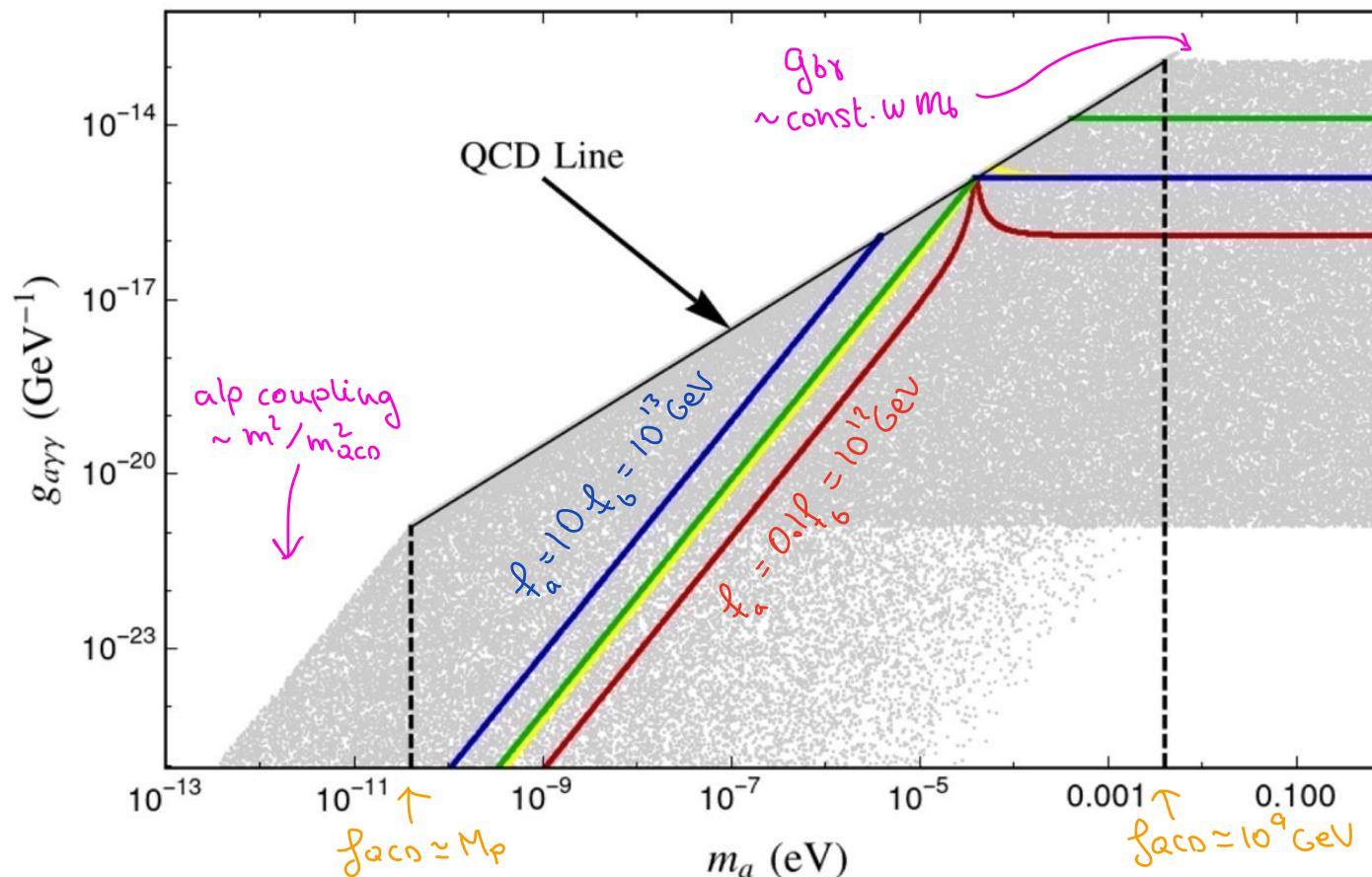


ALP-photon coupling induced by mixing is smaller than the coupling of the QCD axion

# ALP-photon coupling via mixing

$$\mathcal{L} = \left( \frac{a}{f_a} + \frac{b}{f_b} \right) G\tilde{G} + \frac{1}{2} m_b^2 b^2$$

Generate sets of "points"  
 $(a, g_{a\gamma}) + (b, g_{b\gamma})$



Ranges:

- $m_b = [10^{-11}, 1] \text{ eV}$
- $f_a, f_b = [10^9, 10^{18}] \text{ GeV}$

ADDITIONAL  
ALPs:

$\frac{g_{a\gamma}}{M_{a\text{co}}}$  is always smaller than QCD axion  $\frac{g_{a\gamma}}{M_{a\text{co}}}$   
 [Does not depend on number of axions]

# STRING AxIONS & GUTs

\* SM is embedded in higher dim. simple gauge group:

GUT symmetry is exact everywhere in extra dimension

CS-like coupling (e.g. 5D)

$$S_{\text{CS}}^{(5)} = \frac{K}{16\pi^2} \int d^5x \epsilon^{\mu\nu\rho\sigma\tau} B_M \text{Tr}[G_{\mu\rho} G_{\sigma\tau}] \stackrel{4\text{-dimensions}}{\approx} \frac{a}{F_a} G_{\text{GUT}} \tilde{G}_{\text{GUT}} \rightarrow$$

CS level ~ anom. coeff.  $\rightarrow B_5 \equiv \text{axion "a"}$

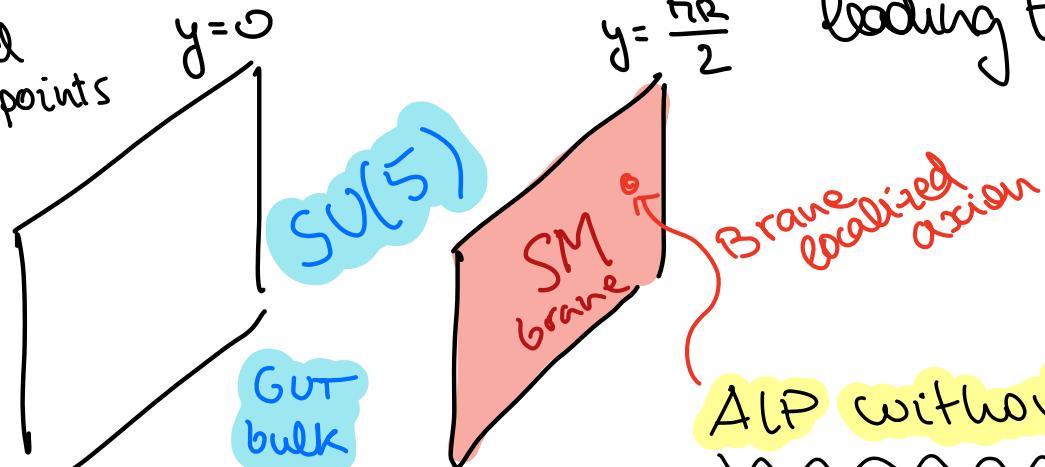
4d result trivially extended to higher D!

\* String theory offers richer possibilities:

orbifold GUTs

"position dependent" gauge symmetry

branes @  
orbifold  
fixed points



leading to:

APPARENT UNIFICATION

ALP without QCD coupling ?!

EXAMPLE:

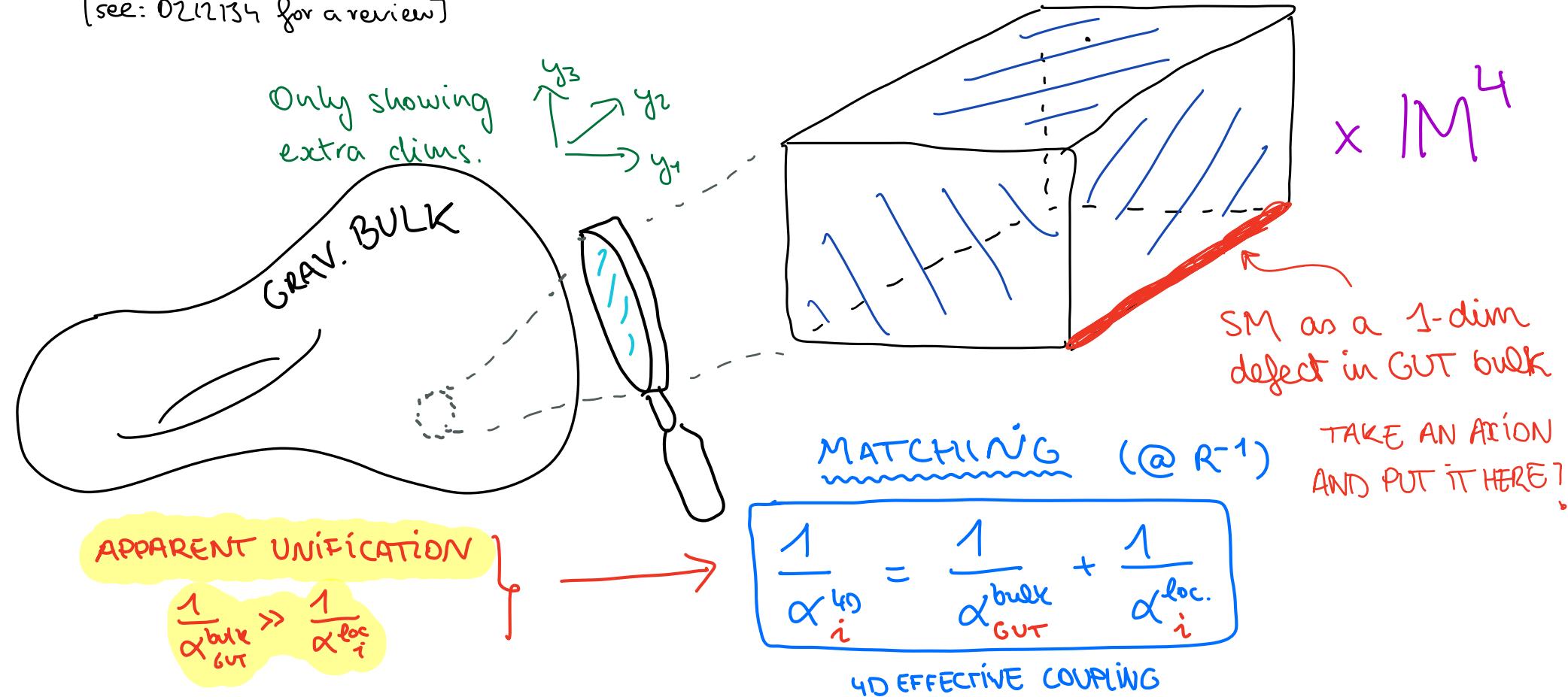
$S_1/Z_2 \times Z_2'$  orbifold

[See: AH, JMR 0106166]

# TOY MODEL OF "APPARENT UNIFICATION"

\* General GUT-symmetric bulk with SM-like defect

[see: 021134 for a review]



↳ localized action:  
(ALP)

$a_{\text{loc.}} F_m \tilde{F}_m$

# MINIMAL ALP MASS...

In some sense is the usual "PL quality problem applied to ALPs.

**MINIMAL ALP POTENTIAL**

$$V(a) \sim \text{TK} \times R^{-4} e^{-S_{D(p-1)}} \cos(\theta^i)$$

CHIRAL SUPPRESSION?

- Zero modes saturated by  $m_{SUSY}$  insertions
- Charged chiral matter highly constrained!  
(e.g.  $Z$  decays, Higgs properties...)

D-instanton action

$$S_{D(p-1)} \sim 2\pi / \alpha_i$$

- Dominates  $V(a)$
- axion massless ( $m_a \ll H_0$ )  
if  $\alpha_i \lesssim 1/45$

Although  $\exists$  minimum mass, it may well be orders of magnitude below  $H_0$ .

# AN APPLICATION: APPARENT UNIFICATION

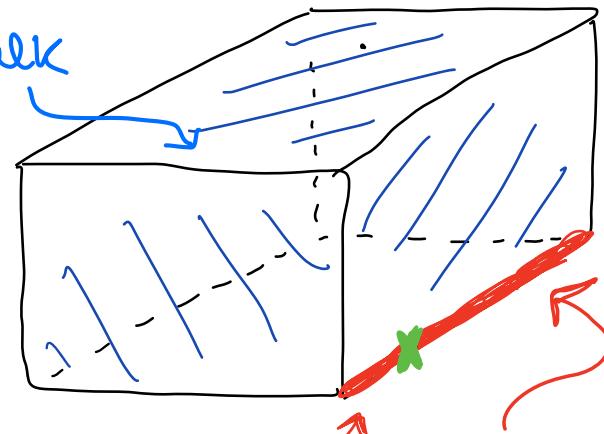
MATCHING (@ R<sup>-1</sup>)

$$\frac{1}{\alpha_i^{loc}} = \frac{1}{\alpha_{GUT}^{bulk}} + \frac{1}{\alpha_i^{loc.}}$$

localized axion  
coupled to photons

$$\frac{\alpha_i}{F_{\alpha_i}} F_i \tilde{F}_i ?$$

GUT bulk



KEEP PREDICTIONS

\* Gauge coupling unification }  
 $\alpha_{bulk} \sim 1/25$

\*  $\sin^2 \theta_W$  prediction.

LARGE MASS FOR  
LOCALISED AXIONS !!

needs

$$\frac{1}{\alpha_{bulk}} \gg \frac{1}{\alpha_i^{loc}}$$

implies

LOCALISED  
D-INSTANTON  
ACTION  
 $S_D \sim \delta(1) !$

$\hookrightarrow V(\theta_{loc}^i) \sim K R^{-4} e^{-2\pi/\alpha_i^{loc}} \cos(\theta^i)$

# KINETICALLY MIXED AXIONS?

$$K_{ij} \frac{\partial a_i \partial a_j}{2} + \hat{a} \tilde{G}\tilde{G}_{\text{GUT}}$$

Axion kinetic mixing matrix  $\uparrow$

linear combination coupled to GUT  $\hookrightarrow$

Remember about redef.  
of "anomalous" U(1)'s  $\downarrow$

\* Massless limit: freedom to rotate away  $K_{ij}$  ✓

$$[E\tilde{F} + N\tilde{G}\tilde{G} \dots]$$

$\hookrightarrow$

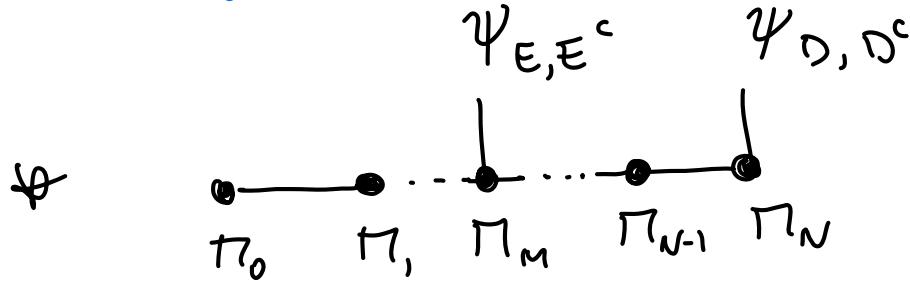
$$\frac{S_{ij}}{2} \partial a_i \partial a_j + a_{\text{QCD}} \tilde{G}\tilde{G}_{\text{GUT}} + \left\{ \begin{array}{l} \text{bunch of massless} \\ \text{decoupled axions} \end{array} \right\}$$

SINGLE AXION COUPLED TO PHOTONS : QCD AXION!

# Clockwork axions

[1611.09855]

- Each site = scalar field
- links = nearest neighbor interact.



- \* Coupling to photons gets exp. enhancement

$$g_{\text{ax}} \approx \frac{\alpha_{\text{em}}}{F_a} (E/N - 1.92)$$

$$\text{with } E/N = q^{N-M}$$

↳ Crucially relies on having "incomplete" multiplets @ each site.

↳ GUT-like constructions are expected to get

$$\text{back to } \frac{E}{N} = \frac{k_1 + k_2}{k_3}$$



mirror sectors ? See } 1802.10093  
2102.00012

$$\mathcal{Z}_N : \text{SM}_k \rightarrow \text{SM}_{k+1} \quad a \rightarrow a + \frac{2\pi k}{N} f_a \quad \downarrow N \text{ copies of SM}$$

$$m^2 \sim M_{\text{eCO}}^2 \times \frac{1}{2^N}$$

E.g. to get  $m \sim 10^{-22} \text{ eV}$ ;  $f_a \sim 10^{17} \text{ GeV}$  } Need:  $N \sim 100$   
copies of SM

## FLIPPED GUTS

what about exotic charges?!

- \* Theories based on  $SU(5) \times U(1)_X$ , or more complex groups.
- \*  $U(1)_Y$  comes from  $T_{24} \otimes \Sigma$  (properly normalizing)
- \* WEAK MIXING ANGLE (@ GUT scale)

$$\sin^2 \theta_W = \frac{3/8}{1 + \frac{5}{3} \left( \frac{\alpha_5}{\alpha_X} - 1 \right)}$$

Only if  $\alpha_5 = \alpha_X$

$\rightarrow$  Standard GUT prediction  
All couplings meet @ GUT scale

ONLY QCD AXION  
IN THIS CASE !

Embeddable in simple group  
 $SO(10), E_6, \dots$

# FLIPPED GUTS

## \* QUANTUM NUMBERS

$$SU(5) \times U(1)_{\bar{X}}$$

$5_{-3}, 10_1, 1_5$

$\underbrace{\qquad}_{\text{SM family} + \nu_R}$

## \* WEAK MIXING ANGLE

$$\sin^2 \theta_W = \frac{3/8}{1 + \frac{5}{3} \left( \frac{\alpha_5}{\alpha_X} - 1 \right)}$$

↳ Axion coupled to  $U(1)_{\bar{X}}$  without  $SU(5)$   $\rightarrow \nexists$  common origin

i)  $\nexists$  reason for SM charges

e.g. fermion with electric charge  $+\frac{1}{2}$ ?

ii)  $\nexists$  prediction of  $|\sin^2 \theta_W|$

$\left. \begin{array}{c} \downarrow \\ \alpha_5 \neq \alpha_{\bar{X}} \end{array} \right\} \leftarrow \begin{array}{c} \uparrow \\ \text{price to pay...} \\ \text{``} \end{array}$

# KINETICALLY MIXED PHOTONS ?

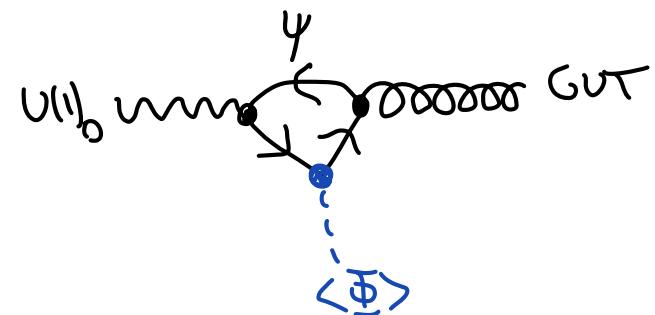
- \*  $G_{\text{GUT}} \times U(1)_{\text{Dark}}$  with 2 axions:
 
$$\alpha_{\text{GUT}} \frac{a}{f_a} \tilde{G}\tilde{G}_{\text{GUT}} + \alpha_D \frac{b}{f_b} \tilde{F}\tilde{F}_D$$

dark photon  $\gamma$   
 LCD axion  $\phi$  ↓  
↓ axion coupled to dark sector
- \* Gauge invariance forbids tree-level kin. mixing

↳ higher dim:

$$\frac{1}{M_p} F_D \not{\Phi} G_{\text{GUT}}$$

$$\epsilon \sim \frac{\alpha_{\text{GUT}} \alpha_D}{16\pi^2} \frac{M_{\text{GUT}}}{M_p}$$



- \* After GUT SSB:

$$\frac{\epsilon^2}{8\pi} \alpha_D \frac{b}{f_b} \tilde{F}\tilde{F}$$

expected to give a large suppression!  
 $\epsilon^2 \lesssim 10^{-8}$

# CHARGE QUANTISATION

(see Polchinski  
ST vol. 2)

## IN GUTS

- ↪ Define  $Q' = Q_{\text{em}} + \frac{T_{\text{color}}}{3}$   $\leadsto$  accounts for triality  
↳ Isolated states have  $T_{\text{color}} = 0 \bmod 3$
- ↪ All particles in 5-plet of  $SU(5)$  have integer  $Q'$ .
- ↪ All  $SU(5)$  reps. are obtained by tensor prod. of 5-plet.
- ↪  $Q' = \text{integer} \rightarrow Q_{\text{em}} = \text{integer for isolated states, too!}$

