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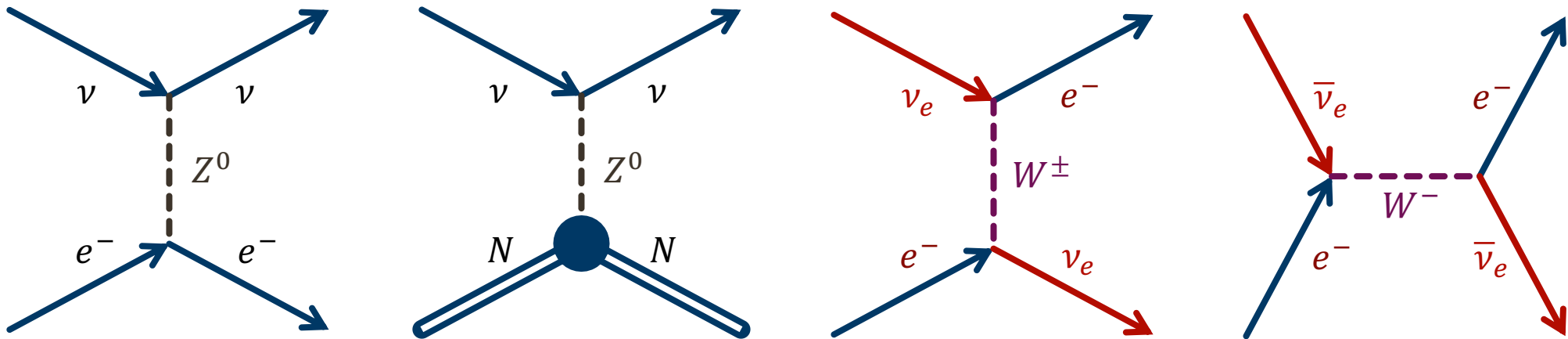
# Neutrinos in matter

# Neutrinos propagating in matter

Any neutrino propagating in matter can interact with the medium.

$\frac{d\sigma}{dQ^2}$  stays finite as  $Q^2 \rightarrow 0$ , so the neutrino can interact without scattering

- Analogue of optical refraction & the Higgs mechanism



$\sigma(Q^2 = 0)$  has additional CC contributions for  $\nu_e$  and  $\bar{\nu}_e$

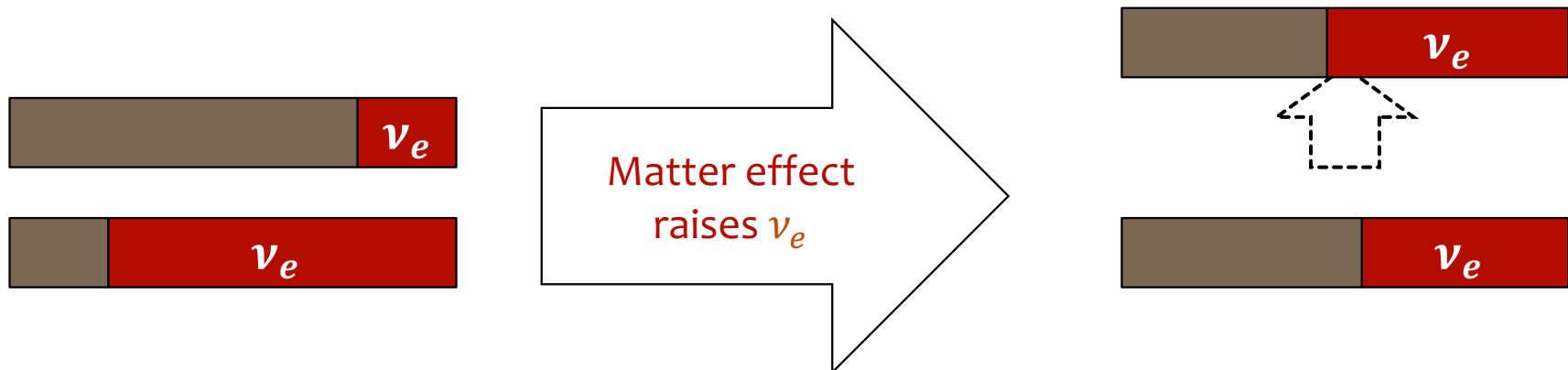
- Extra mass for electron flavours (sign is opposite for  $\nu_e$  and  $\bar{\nu}_e$ )

Interactions with matter create a drag force on propagating neutrinos.

- Increase their effective *mass*...
- ...but in a way that depends on their *flavour*

And we said neutrino flavour states look nothing like the mass states.

In practice what this means that matter effects distort both the mass spectrum and the flavour composition of each mass state



Oscillations are driven by non-uniform phase advance of different mass components:

$$|\nu(t)\rangle = e^{-iE \cdot t} |\nu(0)\rangle \rightarrow \exp[-i\mathcal{H} \cdot t] |\nu(0)\rangle$$

For ultra-relativistic particles (as before):

$$\mathcal{H} \cdot t \rightarrow \frac{m^2 L}{2E}$$

When acting on a mixture of state with different masses this becomes:

$$\mathcal{H} |\nu\rangle = \frac{M^2}{2E_\nu} |\nu\rangle$$

where  $M^2$  is a matrix. [In the mass basis,  $M^2 = \text{diag}(m_1^2, m_2^2, \dots)$ ]

Can again subtract a uniform component, e.g.  $m_1^2$

$$\Rightarrow M^2 = m_1^2 \mathbb{I} + \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix}$$

$$|e^{i\phi(z)} f(z)|^2 = |f(z)|^2$$

Pulling out uniform phases using  $|e^{i\phi(z)} f(z)|^2 = |f(z)|^2$  seems straightforward enough, but why can we do this here?

Remember the Hamiltonian  $\mathcal{H}$  is actually the time evolution operator:

$$\mathcal{H} |\psi(x, t)\rangle = i \frac{\partial}{\partial t} |\psi(x, t)\rangle$$

And from even further back, complex exponentials appear naturally as solutions to the equation:  $\frac{\partial \psi}{\partial t} = -iE\psi$

So with a little generalization (scalar  $E$  to matrix operator  $\mathcal{H}$ ) it follows that

$$\mathcal{H} |\psi\rangle = i \frac{\partial}{\partial t} |\psi\rangle \quad \Rightarrow \quad |v(t)\rangle = \exp[-i\mathcal{H} \cdot t] |v(0)\rangle$$

In flavour basis,  $M^2$  transforms via PMNS matrix:

$$M^2 \rightarrow UM^2U^\dagger$$

and propagation Hamiltonian looks like:

$$\mathcal{H}|\nu\rangle = \frac{1}{2E_\nu} \underbrace{UM^2U^\dagger}_{\text{This part is just some matrix}} |\nu\rangle$$

**Trick to understanding matter effects:**

It doesn't matter what the matrix  $\mathbf{X} = UM^2U^\dagger$  is.

If you **diagonalize it** (an eigenvalue problem), then:

- **Eigenvalues** are the propagation 'effective mass' **eigenstates**
- Matrix of **eigenvectors** is the effective **mixing matrix**

Howcome we can pull out a common phase before transforming the mass matrix?

Specifically, we have in the flavour basis  $\mathcal{H} \propto UM^2U^\dagger$ . Is it okay to do:

$$M^2 = m_1^2 \mathbb{I} + \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} = \begin{pmatrix} m_1^2 & 0 \\ 0 & m_1^2 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix}$$

and discard the  $m_1^2 \mathbb{I}$ , before the  $UM^2U^\dagger$  transformation?

Check by writing it out:

$$UM^2U^\dagger = U \left[ m_1^2 \mathbb{I} + \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} \right] U^\dagger = Um_1^2 \mathbb{I} U^\dagger + U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger$$

$\mathbb{I}$  commutes with everything, so  $Um_1^2 \mathbb{I} U^\dagger = UU^\dagger m_1^2 \mathbb{I} = m_1^2 \mathbb{I}$  and

$$UM^2U^\dagger = m_1^2 \mathbb{I} + U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger \quad \text{as required.}$$

Comes from the coherent forward scattering ( $Q^2 \rightarrow 0$ ) amplitude.

- ‘Flavour independent’ component  $V_A$  affects all active neutrinos
- Extra CC components  $+V_e$  for  $\nu_e$  and  $-V_e$  for  $\bar{\nu}_e$
- The potentials are  $V_e = \pm\sqrt{2}G_F n_e$  and  $V_A = \frac{1}{2}\sqrt{2}G_F n_e$

$$\mathcal{H}|\nu\rangle = \left[ \frac{1}{2E_\nu} UM^2U^\dagger + V_A + \begin{pmatrix} V_e & 0 \\ 0 & 0 \end{pmatrix} \right] |\nu\rangle$$

But again, any common phase like  $V_A$  can be taken out front and put on the pile, so the effective Hamiltonian becomes (for  $2\nu$ ):

$$\frac{1}{2E_\nu} UM^2U^\dagger + \begin{pmatrix} V_e & 0 \\ 0 & 0 \end{pmatrix}$$



In 2 neutrino case:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \text{ and } M^2 = \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} = \Delta m^2 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\mathcal{H} = \frac{\Delta m^2}{2E_\nu} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} + \begin{pmatrix} V_e & 0 \\ 0 & 0 \end{pmatrix}$$

$$\mathcal{H} = \frac{\Delta m^2}{2E_\nu} \begin{pmatrix} \xi - \sin^2 \theta & \sin \theta \cos \theta \\ -\sin \theta \cos \theta & \cos^2 \theta \end{pmatrix} \text{ where } \xi = \frac{2E_\nu V_e}{\Delta m^2}$$

$$\text{Eigenvalue equation: } \begin{vmatrix} \xi - \sin^2 \theta - \lambda & \sin \theta \cos \theta \\ -\sin \theta \cos \theta & \cos^2 \theta - \lambda \end{vmatrix} = 0$$

$$\text{gives } \lambda_2 - \lambda_1 = \sqrt{(\xi - \cos 2\theta)^2 + \sin^2 2\theta}$$

So we can write:

$$\mathcal{H} = \frac{1}{2E_\nu} U_m \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \sqrt{(\xi - \cos 2\theta)^2 + \sin^2 2\theta} \end{pmatrix} U_m^T$$

Thus

$$\Delta m_m^2 = \Delta m^2 \sqrt{(\xi - \cos 2\theta)^2 + \sin^2 2\theta}$$

And equating off-diagonal elements\*:

$$\begin{aligned} [U_m M_m U_m^T]_{12} &= [UMU^T]_{12} \\ \Delta m_m^2 \sin\theta_m \cos\theta_m &= \Delta m^2 \sin\theta \cos\theta \end{aligned}$$

So

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{(\xi - \cos 2\theta)^2 + \sin^2 2\theta}$$

\* The on-diagonal elements are messy because of the pile of global phases like  $V_A$  that we did not keep track of.

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Both the effective parameters  $\Delta m_m^2$  and  $\sin^2 2\theta_m$  depend on the extra factor  $[(\xi - \cos 2\theta)^2 + \sin^2 2\theta]$  where  $\xi = 2\sqrt{2}G_F n_e E_\nu / \Delta m^2$

- Interestingly this means

$$\Delta m_m^2 \sin 2\theta_m \sim \text{frequency}^2 \times \text{amplitude}$$

is **invariant** under the matter effect. We'll see this with  $3\nu$  as well.

For **low energy** ( $E_\nu$ ) or **low density** ( $n_e$ ), then  $\xi \ll 1$ :

$$[(\xi - \cos 2\theta)^2 + \sin^2 2\theta] \rightarrow 1, \text{ and } \text{vacuum mixing} \text{ is restored}$$

For **high energy**  $E_\nu$  or **high density**  $n_e$ , then  $\xi \gg 1$ :

$$[(\xi - \cos 2\theta)^2 + \sin^2 2\theta] \rightarrow \xi^2 \text{ and mixing is } \text{heavily suppressed}$$

- **Physics:** the overlap  $\langle \nu_e | \nu_2 \rangle \sim 1$  so  $U_m \simeq \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and flavour  $\simeq$  mass

# Resonance and antineutrinos

For the right value of  $\xi$  then  $(\xi - \cos 2\theta)^2 = 0$  and

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{0 + \sin^2 2\theta} = 1, \text{ for any (non-zero) value of } \sin^2 2\theta$$

- The oscillation amplitude can be large, *regardless* of the initial mixing.
- MSW resonance for **Mikheyev, Smirnov & Wolfenstein**.
- Was very interesting, if you believed the PMNS matrix  $\simeq$  CKM matrix. (or even exactly the same)

[But it turns out neutrino mixing is very large...]

Whether a resonance exists, depends on the sign of  $\xi = 2E_\nu V_e / \Delta m^2$ ,

- Opposite for  $\bar{\nu}_e$  and  $\nu_e$ , and **tells you the the sign of  $\Delta m^2$  !**

