

Neutrino Interactions in the Few-GeV Regime

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YETI School 2024—The 3 *Neutrino* Problem

IPPP, Durham

2024 July 31

Outline

1. Experimental Techniques
 - a. Standard Model and massive neutrinos
 - b. GeV neutrino experiments
 - c. Detectors
 - d. MINERvA measurements
2. Neutrino Scattering and Nuclear Structure
 - a. Neutrino-nucleon interactions
 - b. Nuclear models
 - c. Neutrino-nucleus interactions
3. TKI Phenomenology
 - a. Definitions
 - b. Measurements and interpretations

Questions for **Quiz** and **Homework** are prepared. Number of * (0-3) indicates the difficulty.

Focus of this lecture

- ❖ Survey of experimental techniques to inform what we can *do*.
- ❖ Introduction to theory to explain what we are dealing with.
- ❖ First experience with one of the current trends in the field via phenomenology.
- ❖ Overall, to provide a taste of understanding and guessing to ease your Day One in the field.

Great lectures on neutrinos with focus on interactions if you want to dive into the subject

1. Kevin McFarland, University of Rochester, [Neutrino interactions](#), Center for Excellence in Particle Physics (CCEPP) School, 25-26 August 2021
2. Steve Boyd, University of Warwick, [Neutrino Physics](#), [Warwick Week](#) Lecture, 2024

Great text on nuclear, particle, and neutrino physics

1. Giunti, C., Kim, C. W. (2007). *Fundamentals of Neutrino Physics and Astrophysics*. United Kingdom: OUP Oxford.
2. Povh, B., Rith, K., Scholz, C., Zetsche, F., Rodejohann, W. (2015). *Particles and Nuclei: An Introduction to the Physical Concepts*. Germany: Springer Berlin Heidelberg. **7th Ed.**
3. Donnelly, T. W., Formaggio, J. A., Holstein, B. R., Milner, R. G., Surrow, B. (2017). *Foundations of Nuclear and Particle Physics*. United Kingdom: Cambridge University Press.
4. Zuber, K. (2020). *Neutrino Physics*. United Kingdom: CRC Press. **3rd Ed.**
5. Rubbia, A. (2022). *Phenomenology of Particle Physics*. United Kingdom: Cambridge University Press.

Part 1: Experimental Techniques

Elements

(Mendeleev, 1869)

Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	
1	1 H																		2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne	
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar	
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr	
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe	
6	55 Cs	56 Ba	* 71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn	
7	87 Fr	88 Ra	* 103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og	
			* 57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb			
			* 89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No			

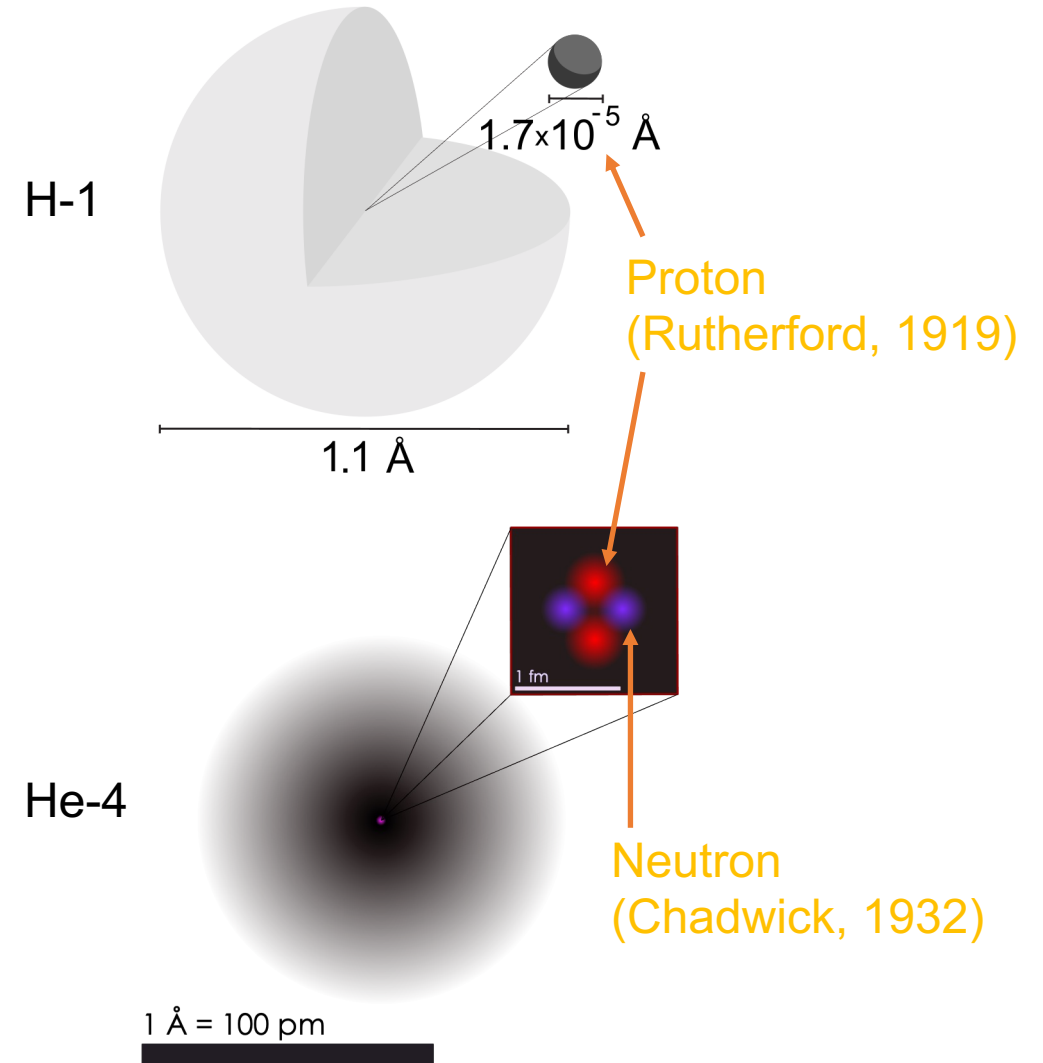
Quiz: How many neutrons does argon have?

Atom

Electron (J. J. Thomson, 1897)

Nucleus

(Rutherford, 1911)



Elements

(Mendeleev, 1869)

Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
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5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	* 71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
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Quiz: How many neutrons does argon have?

Atom

Electron

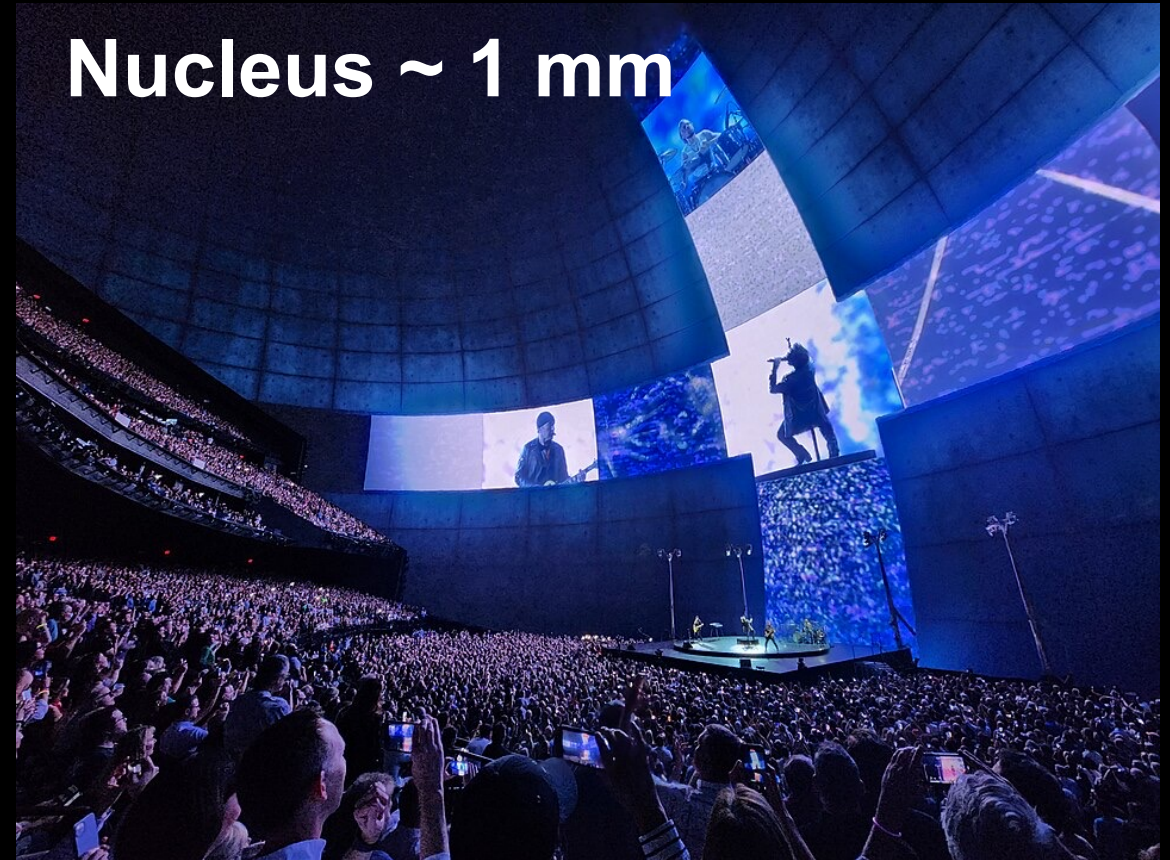


$$1 \text{ \AA} = 100 \text{ pm}$$

Atom ~ The Sphere



Nucleus ~ 1 mm

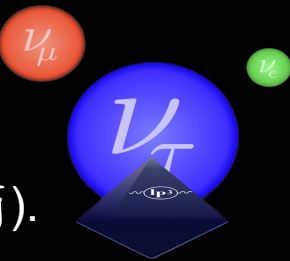


Source: [https://en.wikipedia.org/wiki/Sphere_\(venue\)](https://en.wikipedia.org/wiki/Sphere_(venue))

Recall what we can do with atoms (how long did it take us?)

- Can we probe inside the nuclei? If yes, how?
- Can we manipulate the nucleus and nucleons?

... In the *Three-Body-Problem* (三体) universe, it would mean that we could make Droplets (水滴).



Fundamental matter in our current world view: Standard Model

	I	II	III	I	II	III
QUARKS mass charge spin	$\approx 2.2 \text{ MeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ u up	$\approx 1.28 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ c charm	$\approx 173.1 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ t top	$\approx 2.2 \text{ MeV}/c^2$ $-\frac{2}{3}$ $\frac{1}{2}$ \bar{u} antiup	$\approx 1.28 \text{ GeV}/c^2$ $-\frac{2}{3}$ $\frac{1}{2}$ \bar{c} anticharm	$\approx 173.1 \text{ GeV}/c^2$ $-\frac{2}{3}$ $\frac{1}{2}$ \bar{t} antitop
	$\approx 4.7 \text{ MeV}/c^2$ $\frac{1}{3}$ $\frac{1}{2}$ d down	$\approx 96 \text{ MeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$ s strange	$\approx 4.18 \text{ GeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$ b bottom	$\approx 4.7 \text{ MeV}/c^2$ $\frac{1}{3}$ $\frac{1}{2}$ \bar{d} antidown	$\approx 96 \text{ MeV}/c^2$ $\frac{1}{3}$ $\frac{1}{2}$ \bar{s} antistrange	$\approx 4.18 \text{ GeV}/c^2$ $\frac{1}{3}$ $\frac{1}{2}$ \bar{b} antibottom
	$\approx 0.511 \text{ MeV}/c^2$ -1 $\frac{1}{2}$ e electron	$\approx 105.66 \text{ MeV}/c^2$ -1 $\frac{1}{2}$ μ muon	$\approx 1.7768 \text{ GeV}/c^2$ -1 $\frac{1}{2}$ τ tau	$\approx 0.511 \text{ MeV}/c^2$ 1 $\frac{1}{2}$ e^+ positron	$\approx 105.66 \text{ MeV}/c^2$ 1 $\frac{1}{2}$ $\bar{\mu}$ antimuon	$\approx 1.7768 \text{ GeV}/c^2$ 1 $\frac{1}{2}$ $\bar{\tau}$ antitau
	$< 2.2 \text{ eV}/c^2$ 0 $\frac{1}{2}$ ν_e electron neutrino	$< 0.17 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ ν_μ muon neutrino	$< 18.2 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ ν_τ tau neutrino	$< 2.2 \text{ eV}/c^2$ 0 $\frac{1}{2}$ $\bar{\nu}_e$ electron antineutrino	$< 0.17 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ $\bar{\nu}_\mu$ muon antineutrino	$< 18.2 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ $\bar{\nu}_\tau$ tau antineutrino

Neutrinos in SM

1. Electric charge = 0
2. Mass = 0
3. Have flavours

In **nature**, neutrino mass gap leads to oscillations.

In **practice**, neutrino mass can be neglected in neutrino interactions.

Neutrino Mass

Standard Model

Beyond Standard Model

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

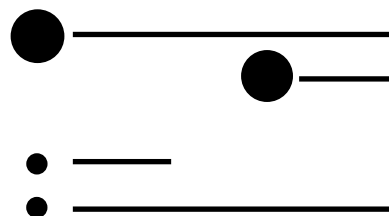
\pm

Pontecorvo–Maki–Nakagawa–Sakata

PMNS matrix

$$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

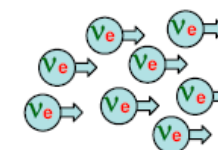
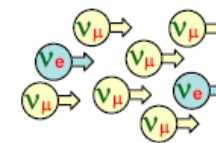
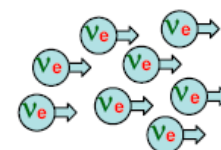
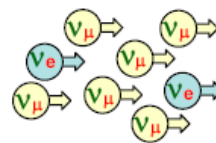
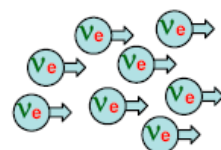
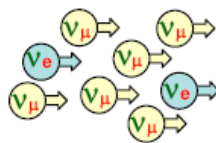
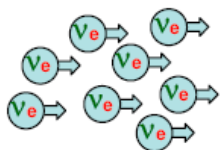
Mass Ordering



Normal

Inverted

Δm^2 leads to neutrino oscillations



[Mark Thomson, Particle Physics lecture notes](#)

PMNS Matrix

$$c_{ij} = \cos\theta_{ij}$$

$$s_{ij} = \sin\theta_{ij}$$

PMNS matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\theta_{13} \neq 0 \rightarrow \delta_{CP}$ can be observed

θ_{12} : mixing between ν_1 and ν_2

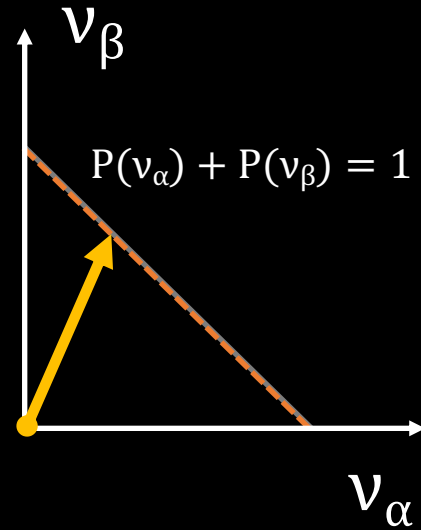
θ_{23} : mixing between ν_μ and ν_τ

θ_{13} : if 0, effective 2 flavour mixing

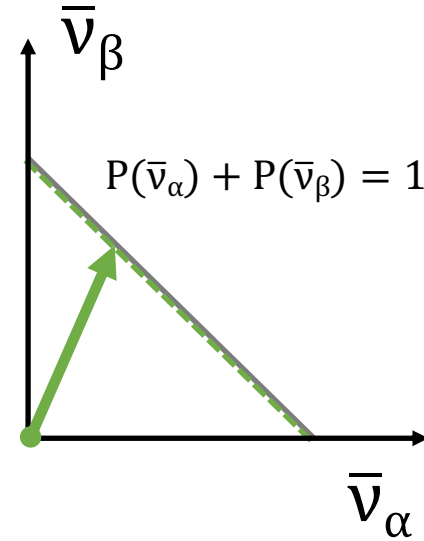
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} \nu_1' \\ \nu_2' \\ \nu_3 \end{pmatrix}$$

$$\theta_{13} = 0$$

2-flavor oscillation



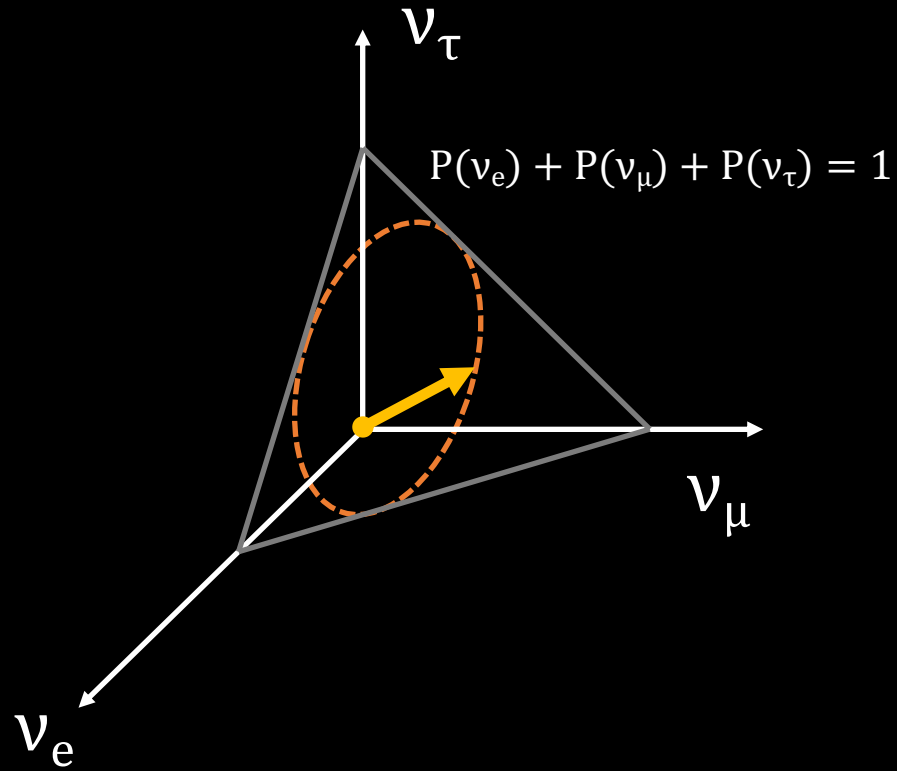
Antineutrinos



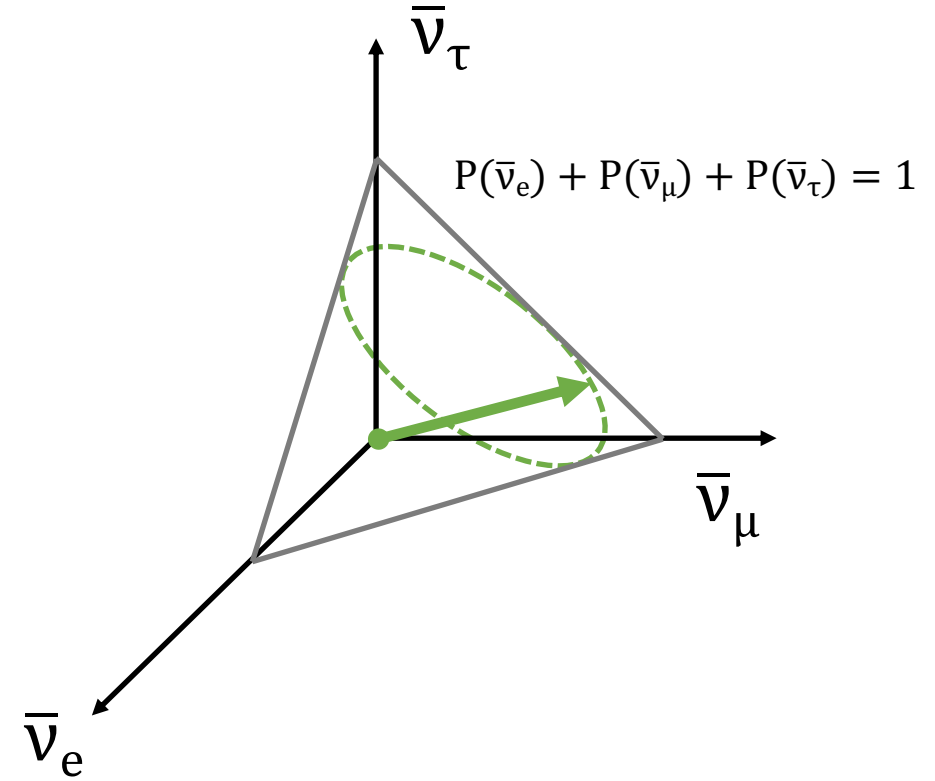
Oscillation as a function of *time*
line-in-line \rightarrow same trivia

$$\theta_{13} \neq 0$$

3-flavor oscillation



Antineutrinos



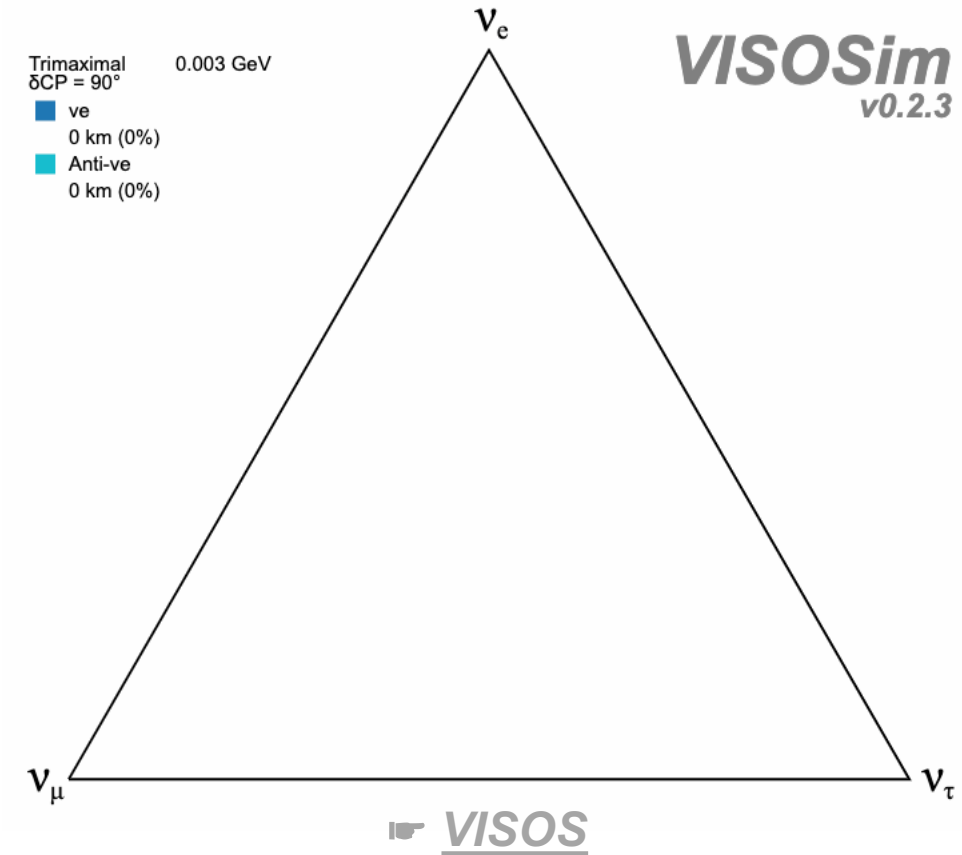
Oscillation as a function of *time*
line-in-plane \rightarrow CP-violation possible

PMNS

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

Trimaximal mixing
— maximally CP-violating

$$(|U_{i\alpha}|^2) = \begin{bmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \end{bmatrix}$$

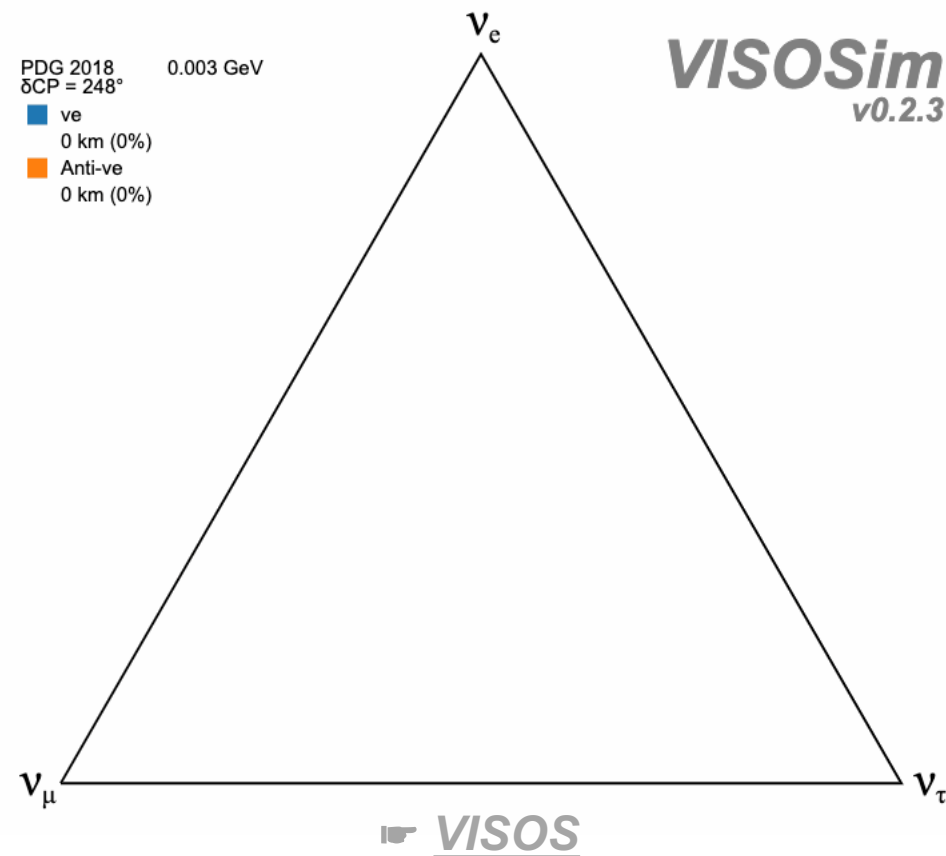


Beautiful but *not* how Nature works $\overline{\setminus}(\text{ツ})_/\overline{\setminus}$

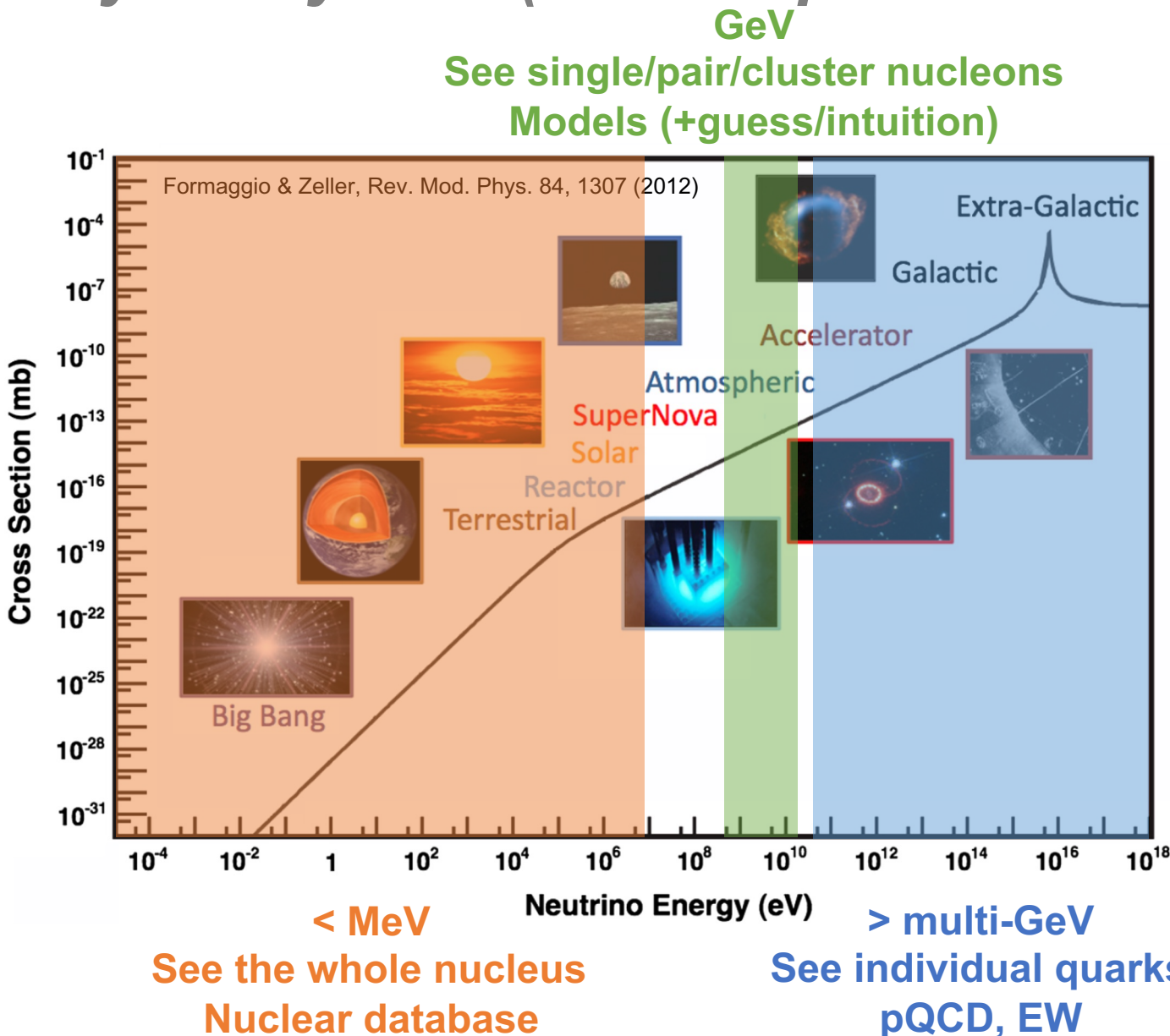
How Nature might work:

$$\begin{bmatrix} |U_{e1}| & |U_{e2}| & |U_{e3}| \\ |U_{\mu 1}| & |U_{\mu 2}| & |U_{\mu 3}| \\ |U_{\tau 1}| & |U_{\tau 2}| & |U_{\tau 3}| \end{bmatrix}$$

$$= \begin{bmatrix} 0.801 \dots 0.845 & 0.513 \dots 0.579 & 0.143 \dots 0.156 \\ 0.233 \dots 0.507 & 0.461 \dots 0.694 & 0.631 \dots 0.778 \\ 0.261 \dots 0.526 & 0.471 \dots 0.701 & 0.611 \dots 0.761 \end{bmatrix}$$



Why Study GeV (= atmospheric + accelerator)?



Physical reasons

- Neutrino for *CP*-violation
- Need to detect *accelerator* neutrinos at O(1) GeV
- GeV-neutrinos also relevant for
- Mass hierarchy measurement via atmospheric neutrino oscillations
- Background to rare event searches

Technical reasons

Difficult to *control*

✓ *Control*: we know how good/bad things are.

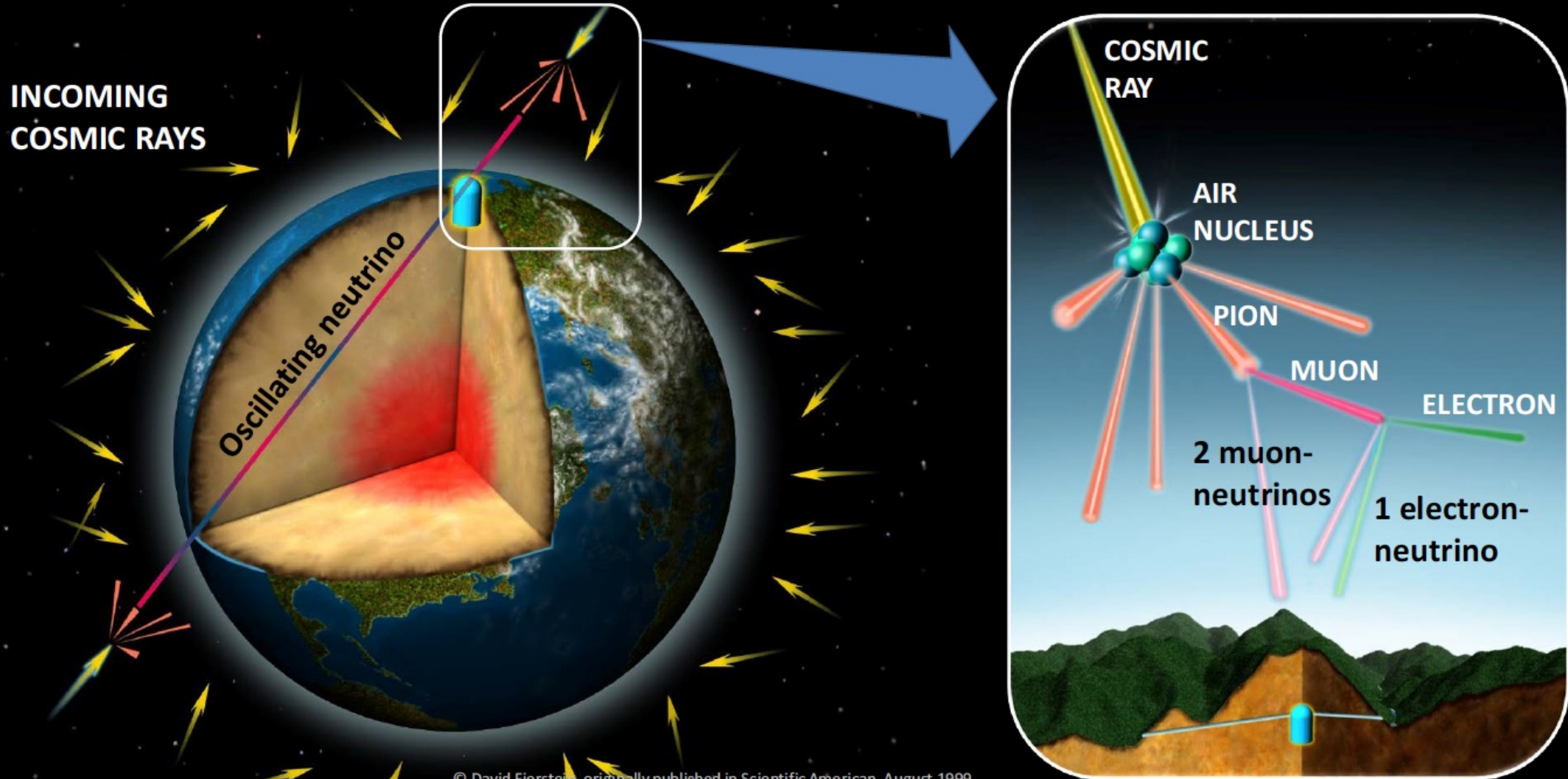
Quiz: Here is a model

$1 \text{ year} = \pi \times 10^{\# \text{days of a week}} \text{ seconds}$

It is wrong by a few ___%.

A: 10 B: 1 C: 0.1

Discovery of neutrino oscillations

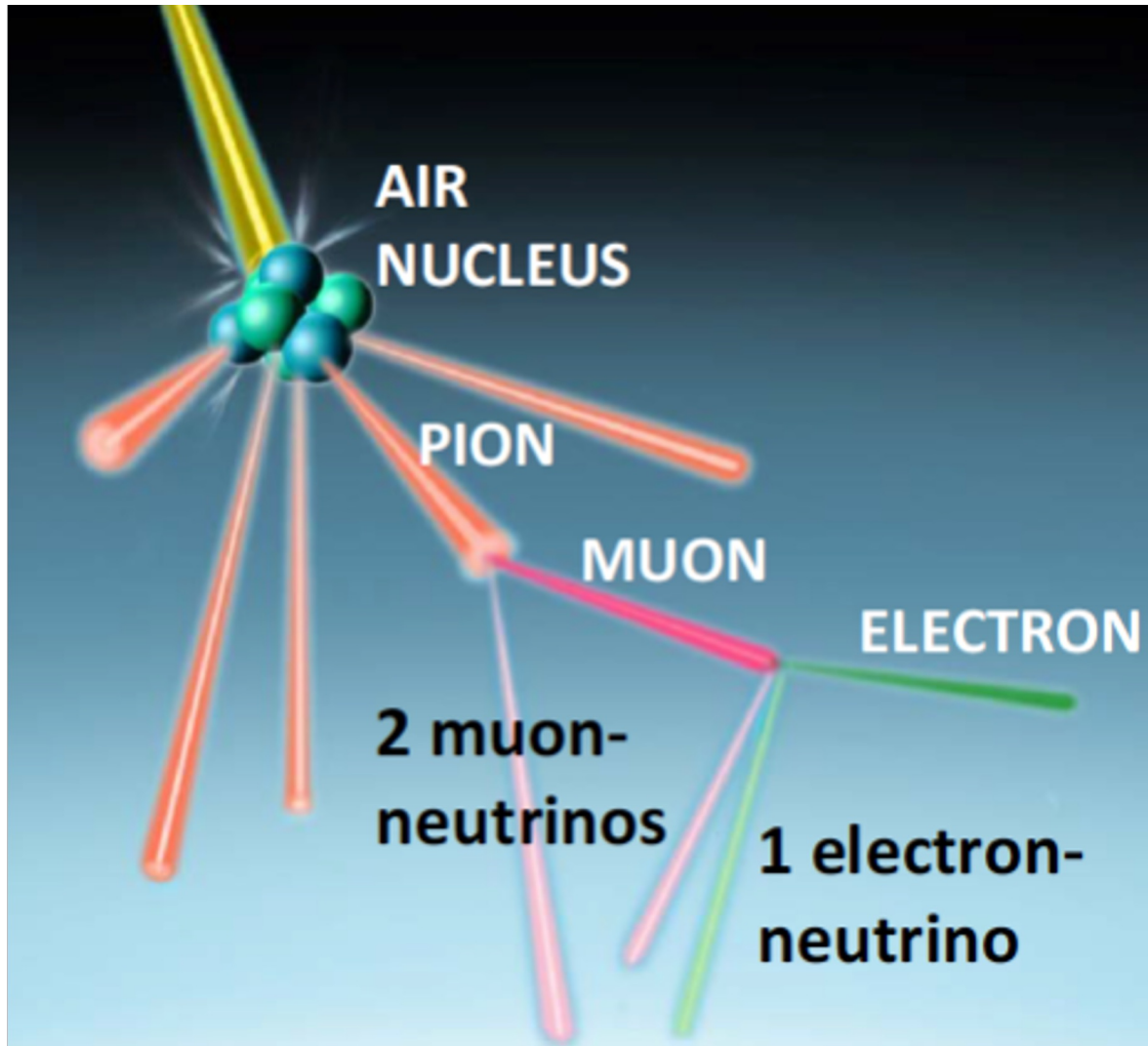


© David Fierstein, originally published in Scientific American, August 1999

Lu, Xianguo 卢显国, Warwick: Neutrino Interactions in the Few-GeV Regime

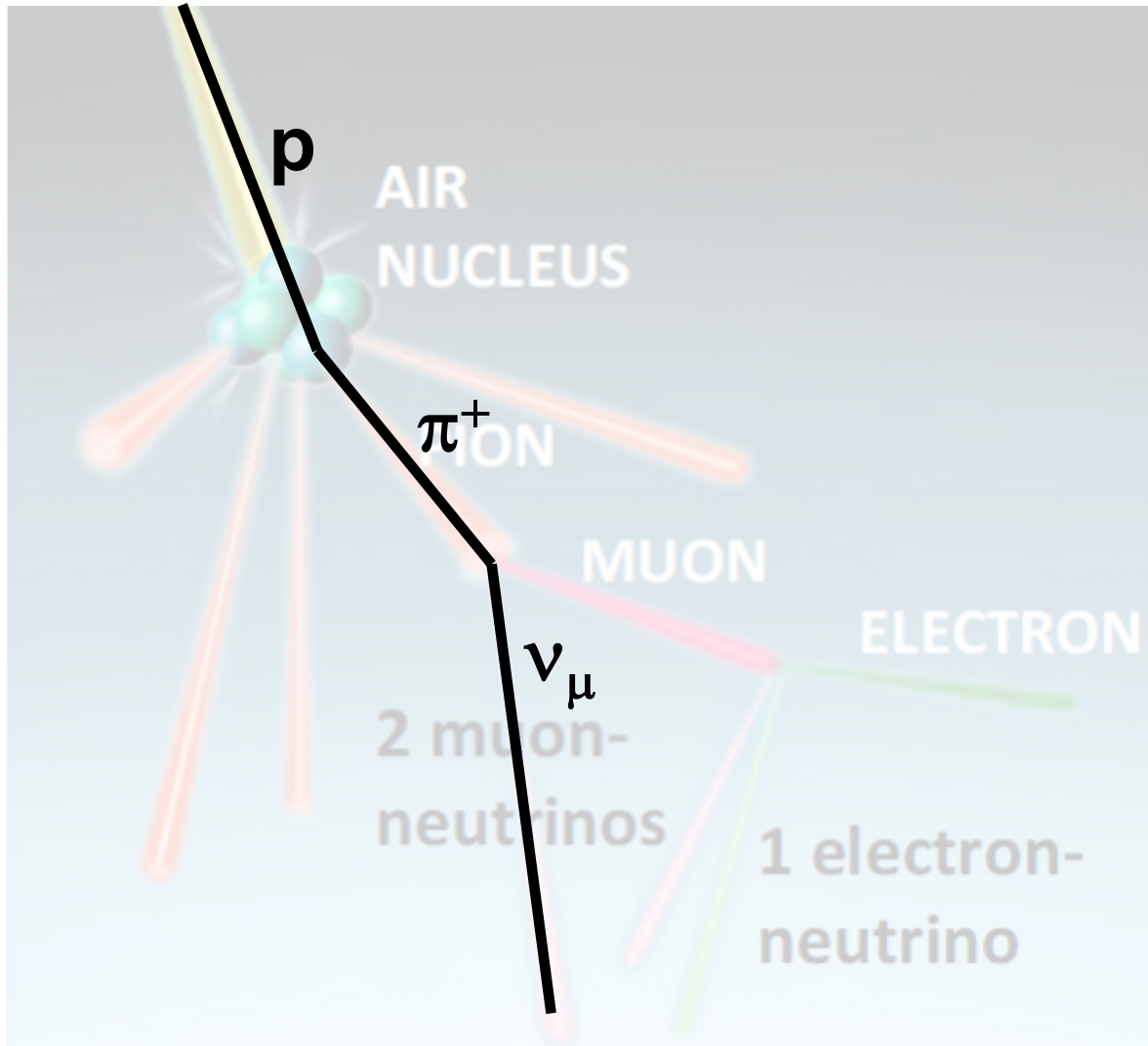
Accelerator Neutrinos

How to obtain a controlled sample of neutrinos?

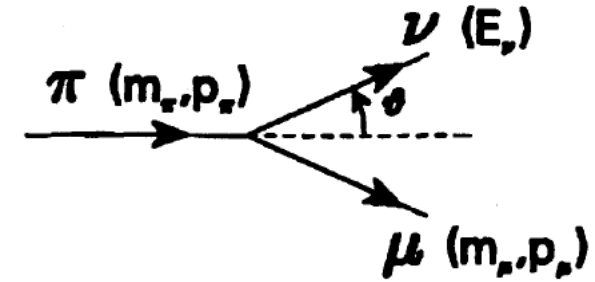


Accelerator Neutrinos

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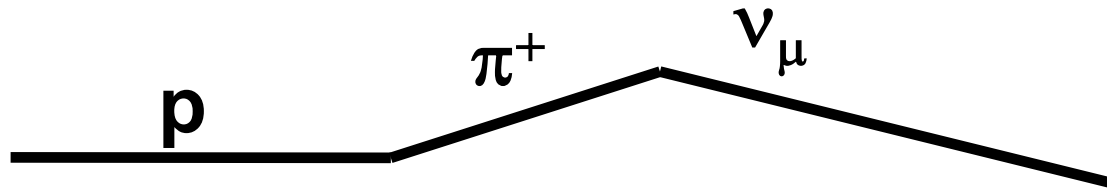


Let's start from π decays

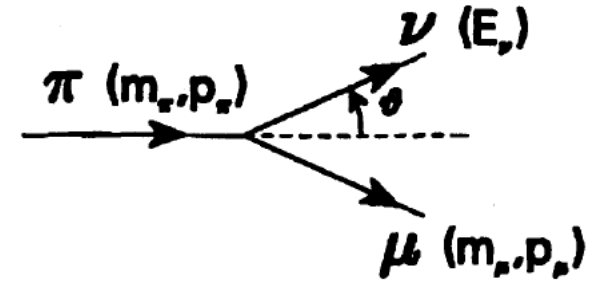


Accelerator Neutrinos

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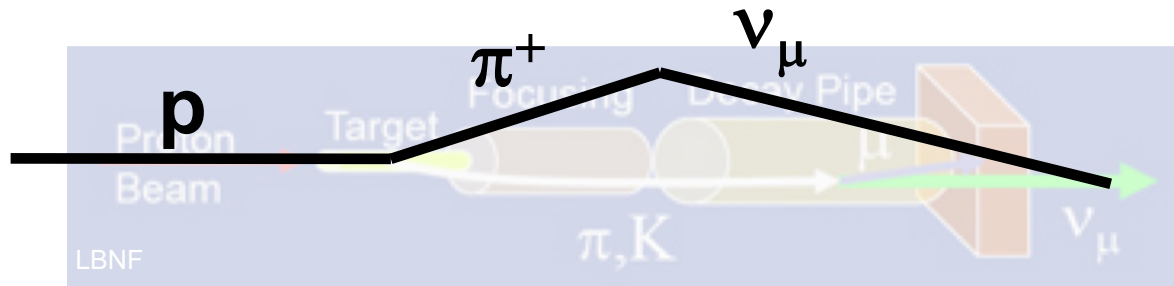


Let's start from π decays



Accelerator Neutrinos

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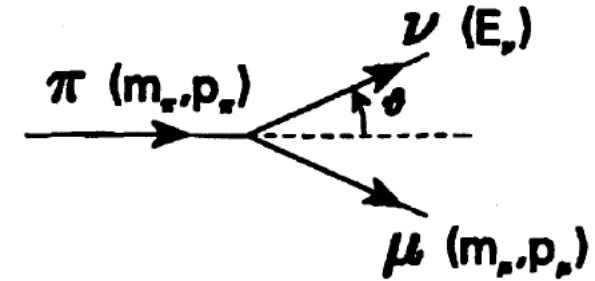


“ β decay” of energetic collision products (mostly ν_μ from π)

Neutrino beams from accelerators \rightarrow Directional

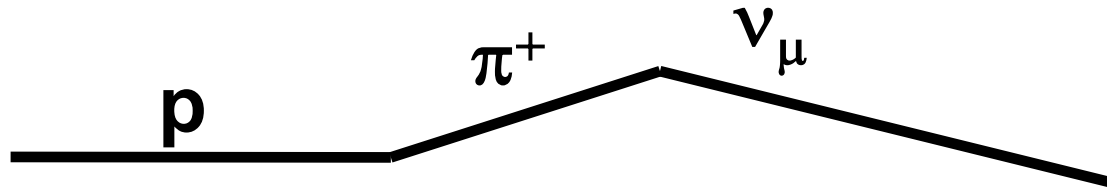
Charge selection on $\pi \rightarrow$ High purity ν or $\bar{\nu}$ beams

Let's start from π decays

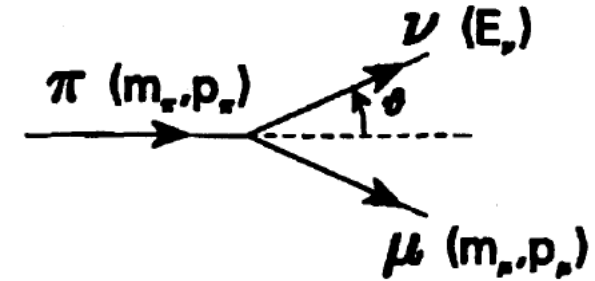


Accelerator Neutrinos

How to obtain a controlled sample of neutrinos?

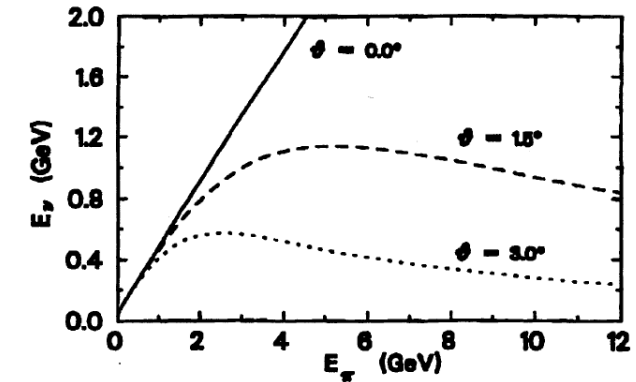


Let's start from π decays



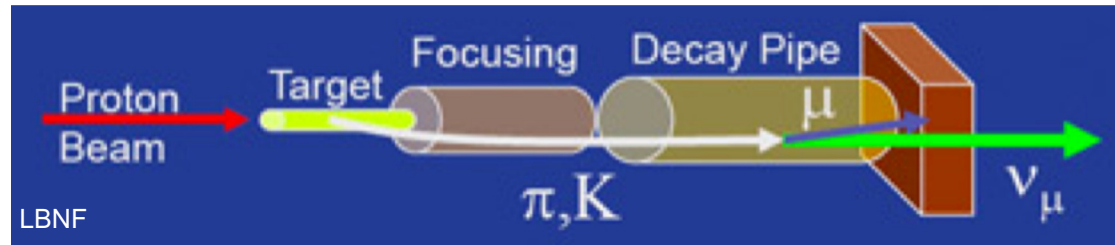
From energy, momentum conservation

$$E_\nu = \frac{m_\pi^2 - m_\mu^2}{2(E_\pi - p_\pi \cos \theta)}$$



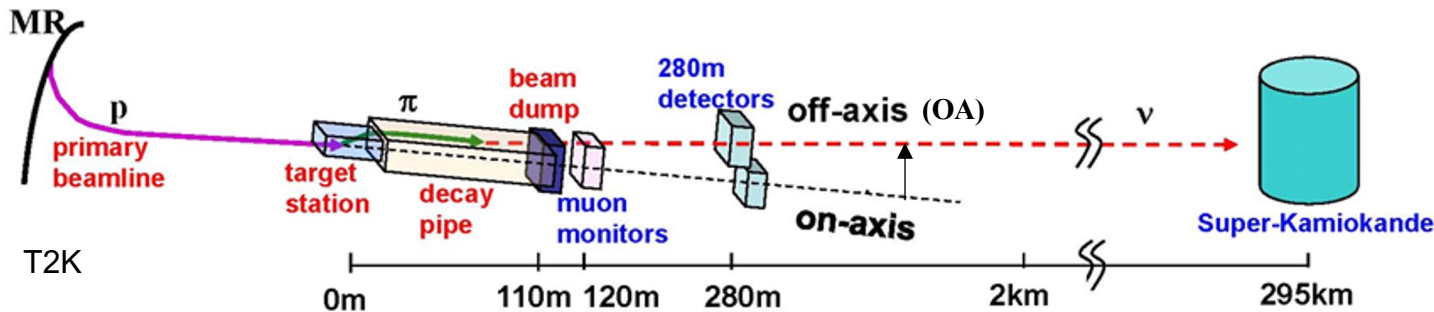
Off-axis (OA) technique \rightarrow Narrow-band beams

[D. Beavis, et al., P889: long baseline neutrino oscillation experiment at the AGS, Report No. BNL-52459, April, 1995](#)

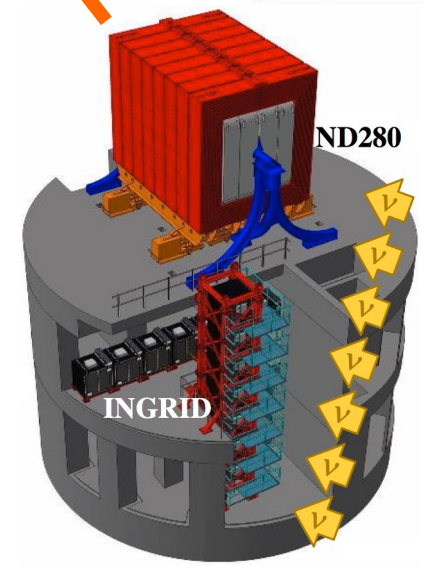
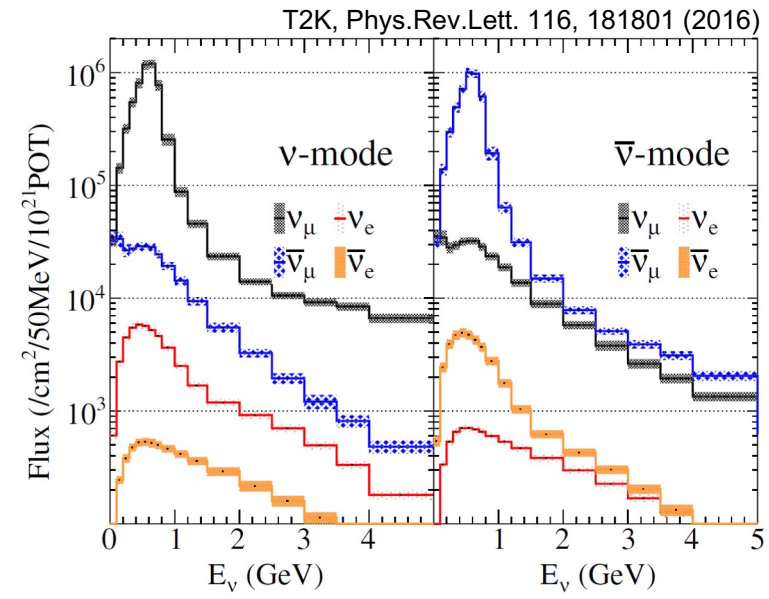
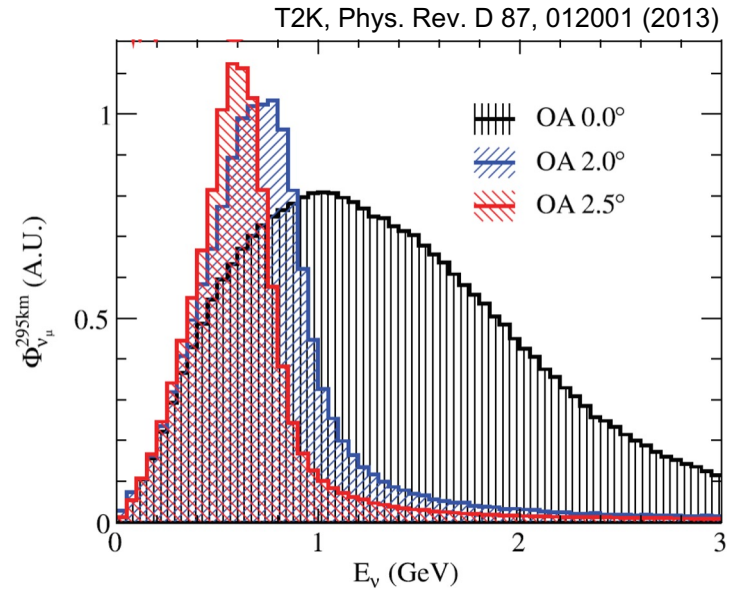


" β decay" of energetic collision products (mostly ν_μ from π)

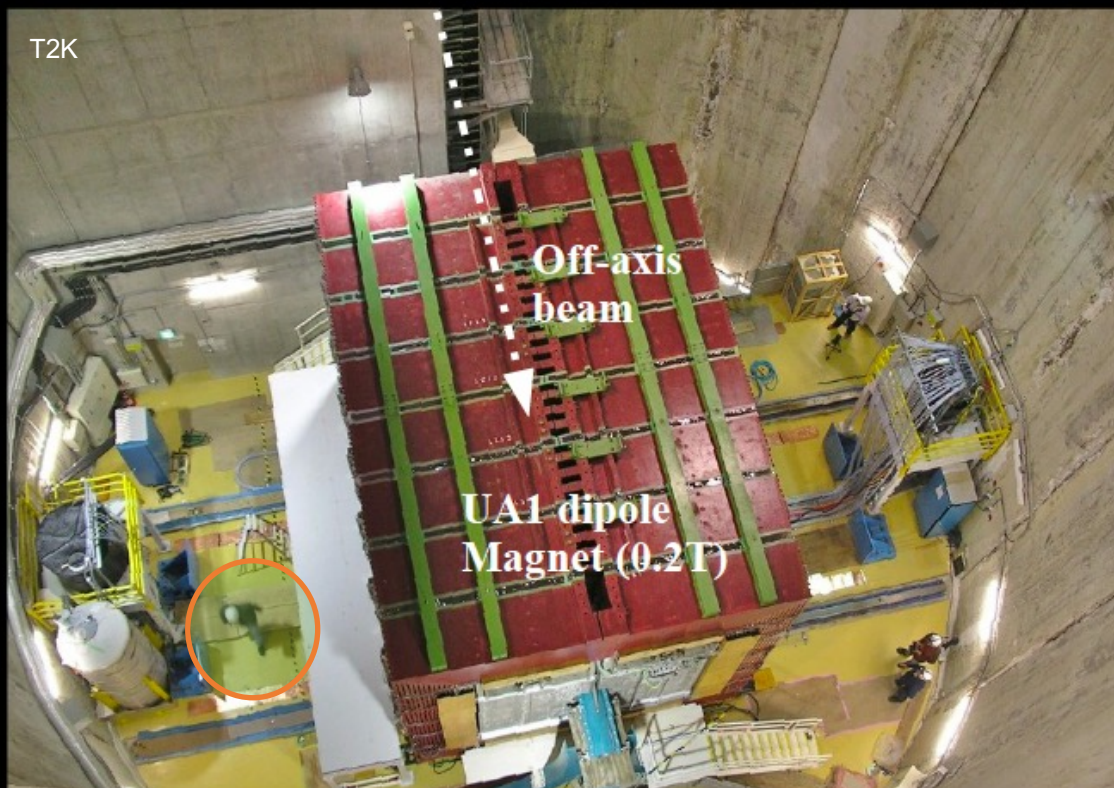
Neutrino beams from accelerators \rightarrow Directional
Charge selection on $\pi \rightarrow$ High purity ν or $\bar{\nu}$ beams



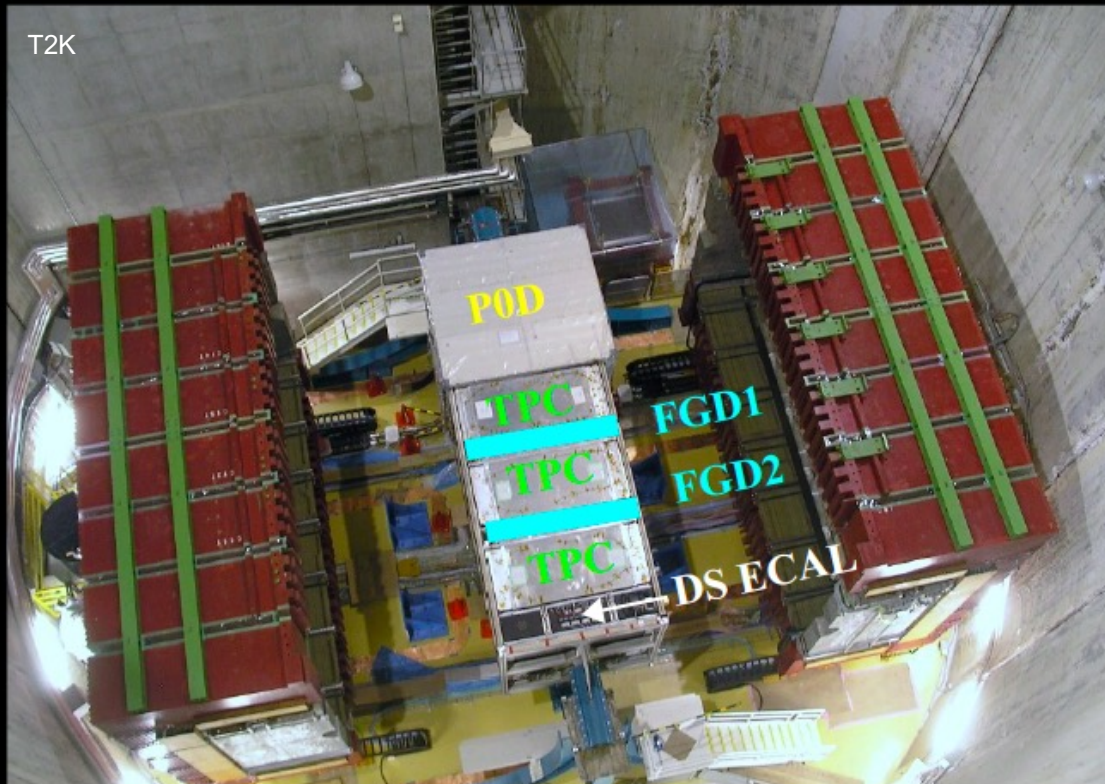
Accelerator Neutrino Experiments



T2K off-axis near detector (ND280)



T2K off-axis near detector (ND280)



P0D: Pi0 Detector
contains H_2O targets

Tracker:

- FGD: Fine-Grained Detector
 1. plastic scintillator C_8H_8 target
 2. $\text{C}_8\text{H}_8 + \text{H}_2\text{O}$ target
- TPC

ECAL:

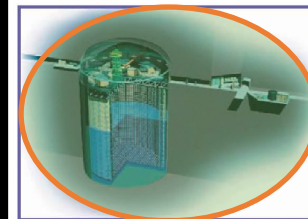
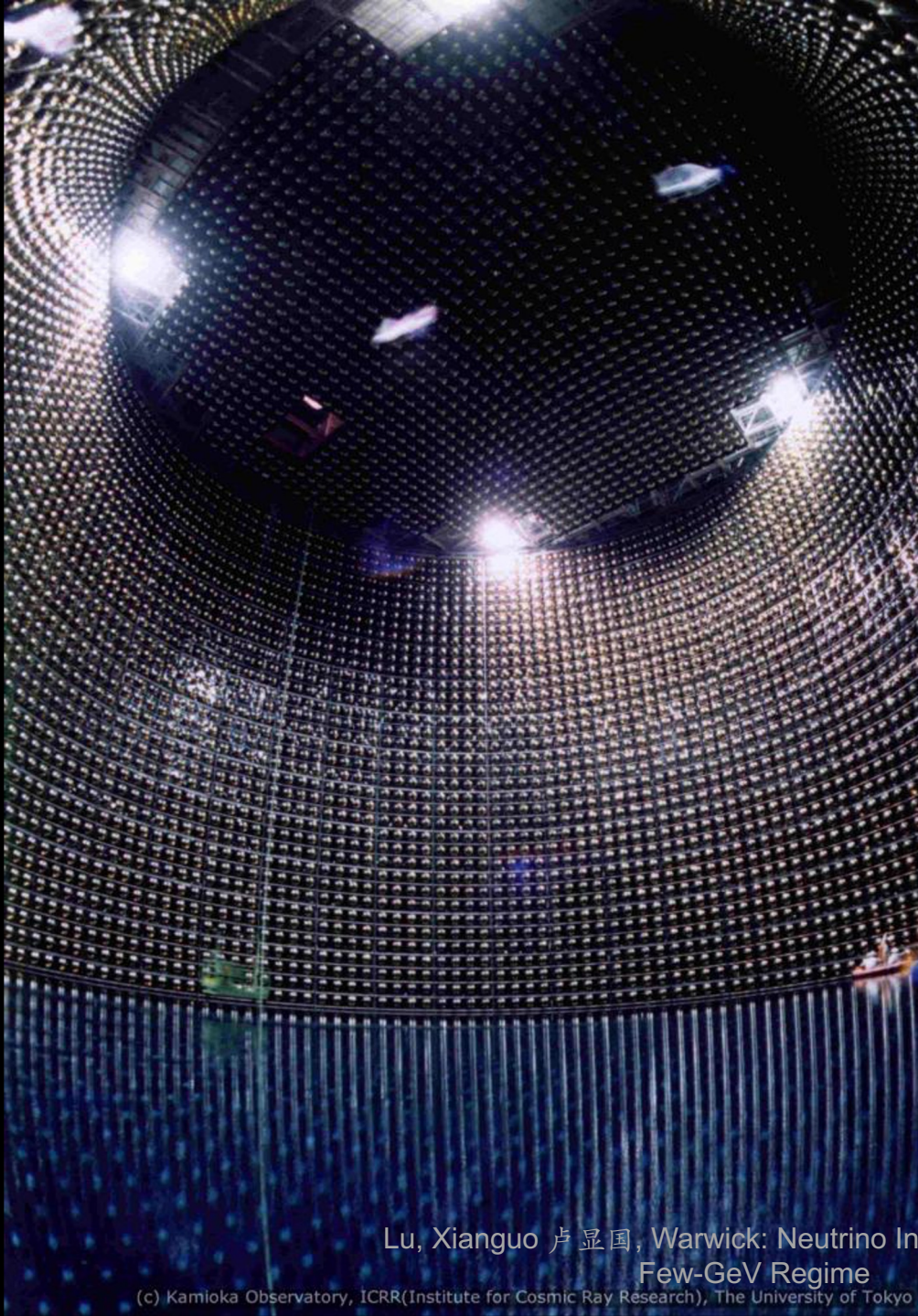
surrounding P0D and tracker

Side Muon Range Detector:
in magnet yokes

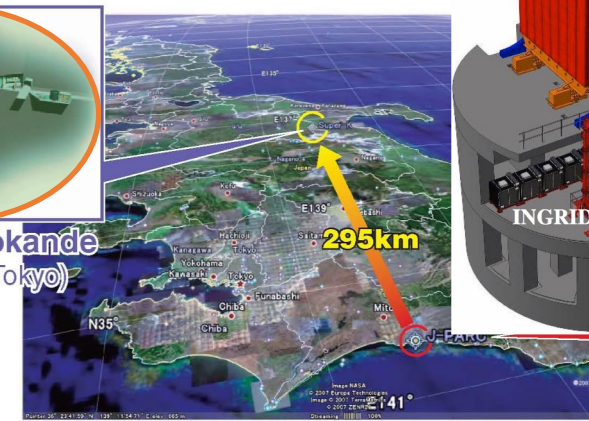
→

- constrain beam flux and cross section for oscillation analysis
- stand-alone neutrino interaction measurements

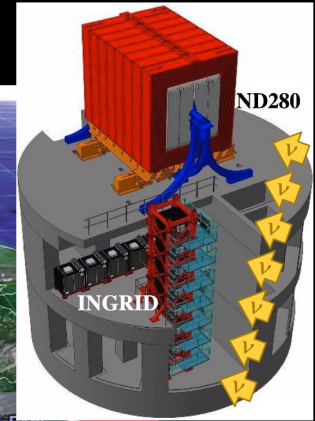
Water Cherenkov detector



Super-Kamiokande
(ICRR, Univ. Tokyo)



T2K



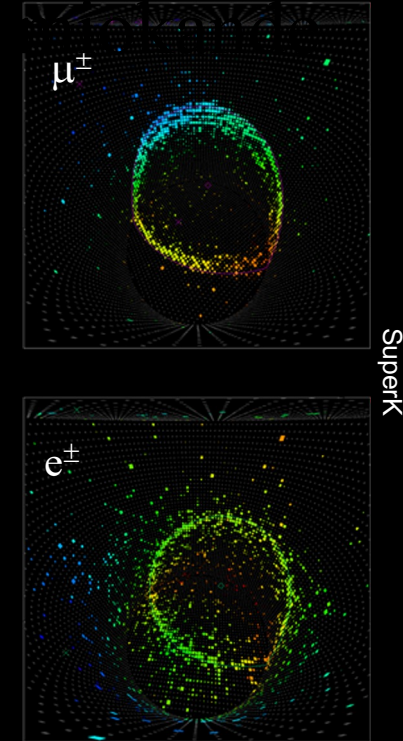
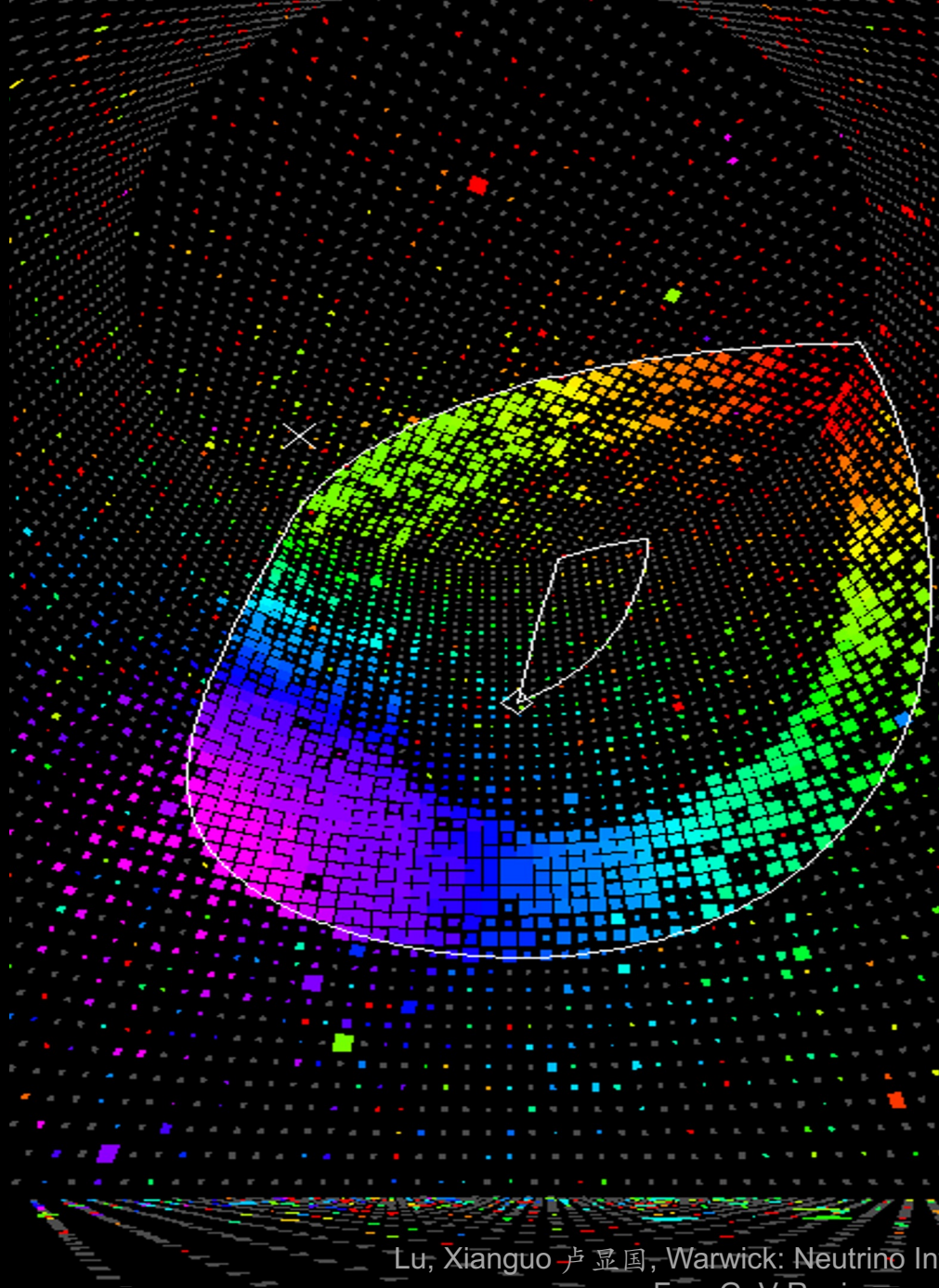
50 kt water Cherenkov

- 11129 20-inch PMTs in inner detector;
- 1885 8-inch PMTs in outer veto detector
- time and amplitude of Cherenkov light

Quiz:

Assuming height=diameter, what is the diameter of the 50kt-water tank in meters?

Water Cherenkov detector



Homework: Calculate the Cherenkov light threshold (in total energy, kinetic energy, and momentum) for e , μ , π^+ , K^+ , p , τ .

Accelerator Neutrino Experiments



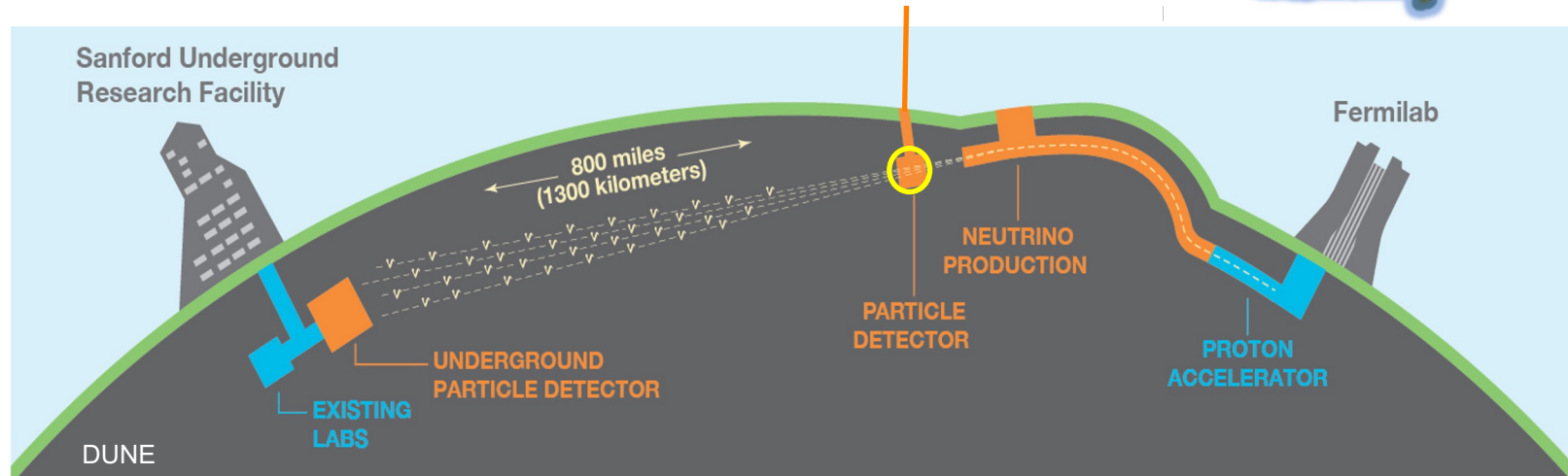
T2K / Hyper-K



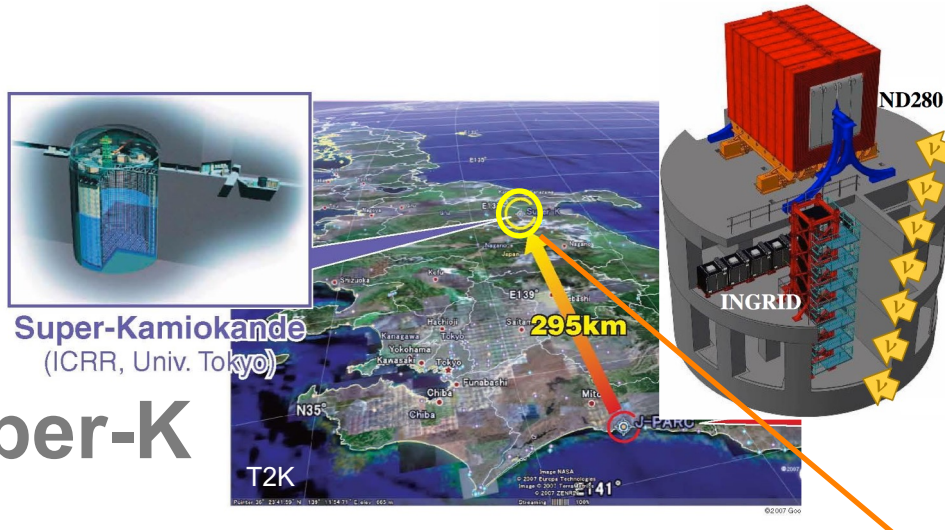
Near Detectors to measure ν interaction

NOvA

DUNE



Accelerator Neutrino Experiments



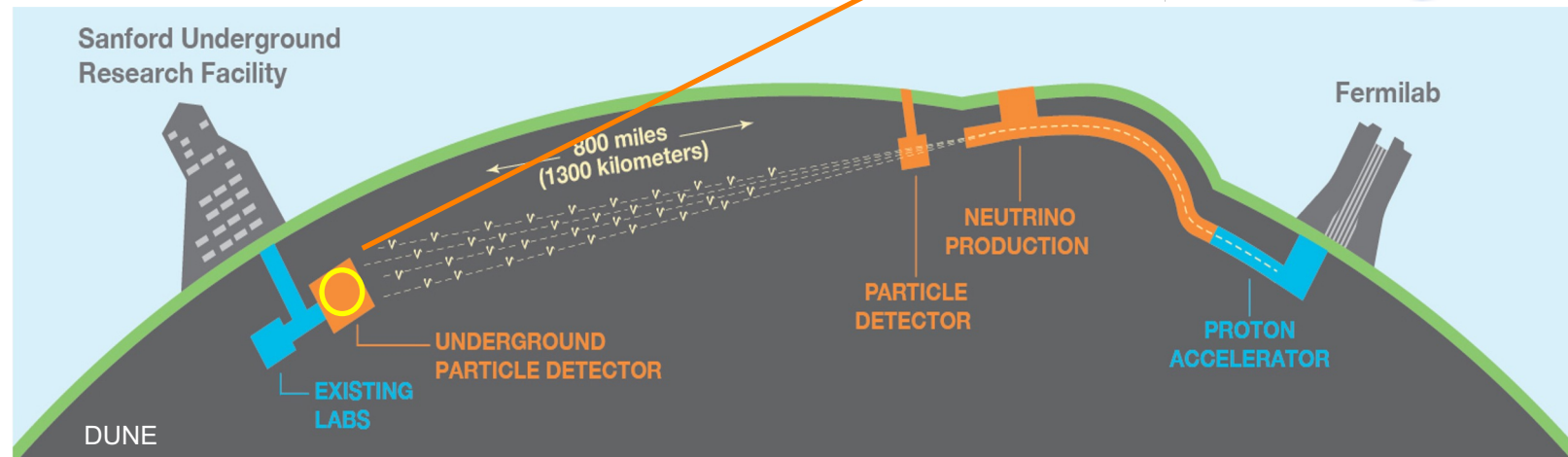
T2K / Hyper-K



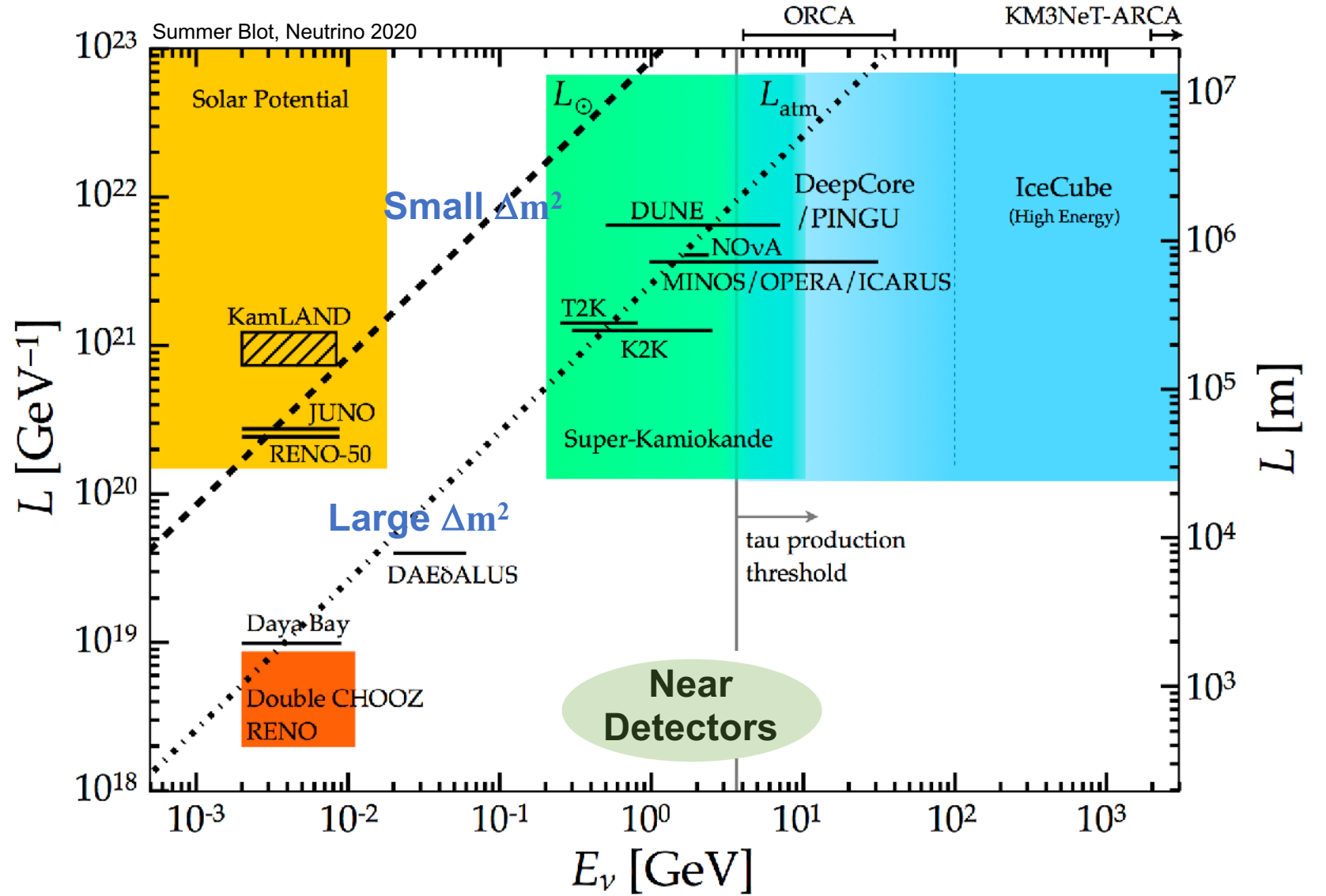
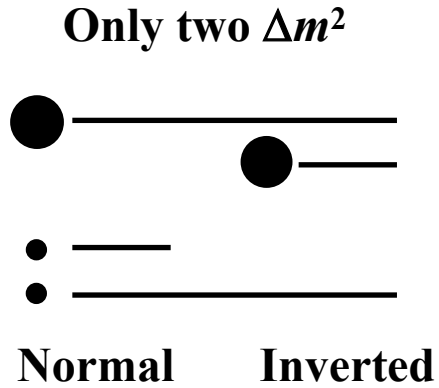
NOvA

Far Detectors to measure ν oscillation

DUNE



Oscillation phase $\sim \Delta m^2 L/E$

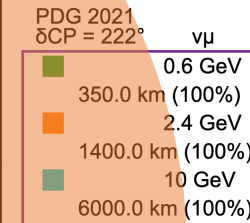


Detector considerations

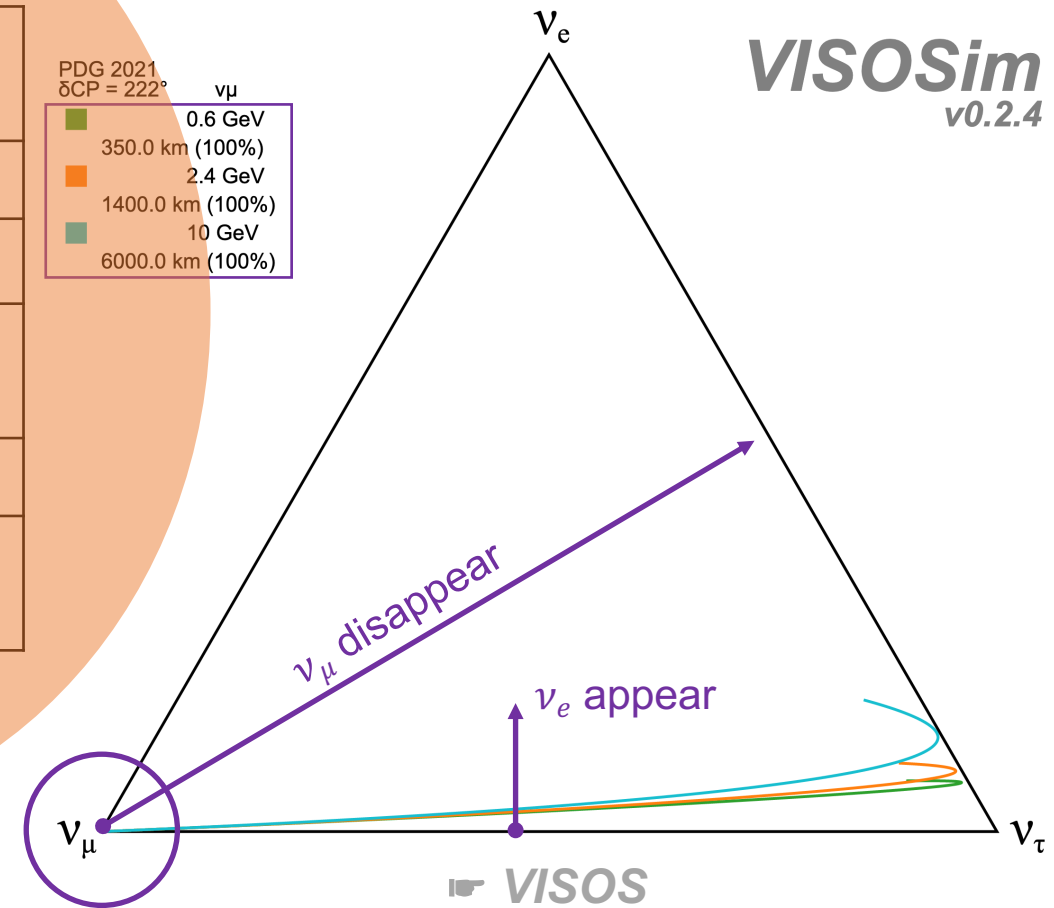
❖ Accelerator and atmospheric GeV- ν experiments

❖ ν_μ flux*: ν_μ disappear, ν_e appear

Future Oscillation Experiment	E_ν/GeV @Flux Peak	Detector Technology	Target Nuclei
Hyper-K	0.6	Water Che'	H ₂ O
DUNE	2.4	LAr TPC	Ar
IceCube Upgrade	3-10 (ν Mass Ordering/NMO sensitive region)	Cherenkov in ice	H ₂ O
KM3NeT/ORCA		Water Che'	H ₂ O
Atmos- ν @ JUNO		Liquid Scintillator	CH _{1.6}



VISOSim
v0.2.4



*Referring to neutrinos and/or antineutrinos implicitly depending on the context.



Sensing ν interactions

Homework: Calculate proton momentum for 10 MeV and 100 MeV kinetic energy.

Embedded in detector, incomplete particle information

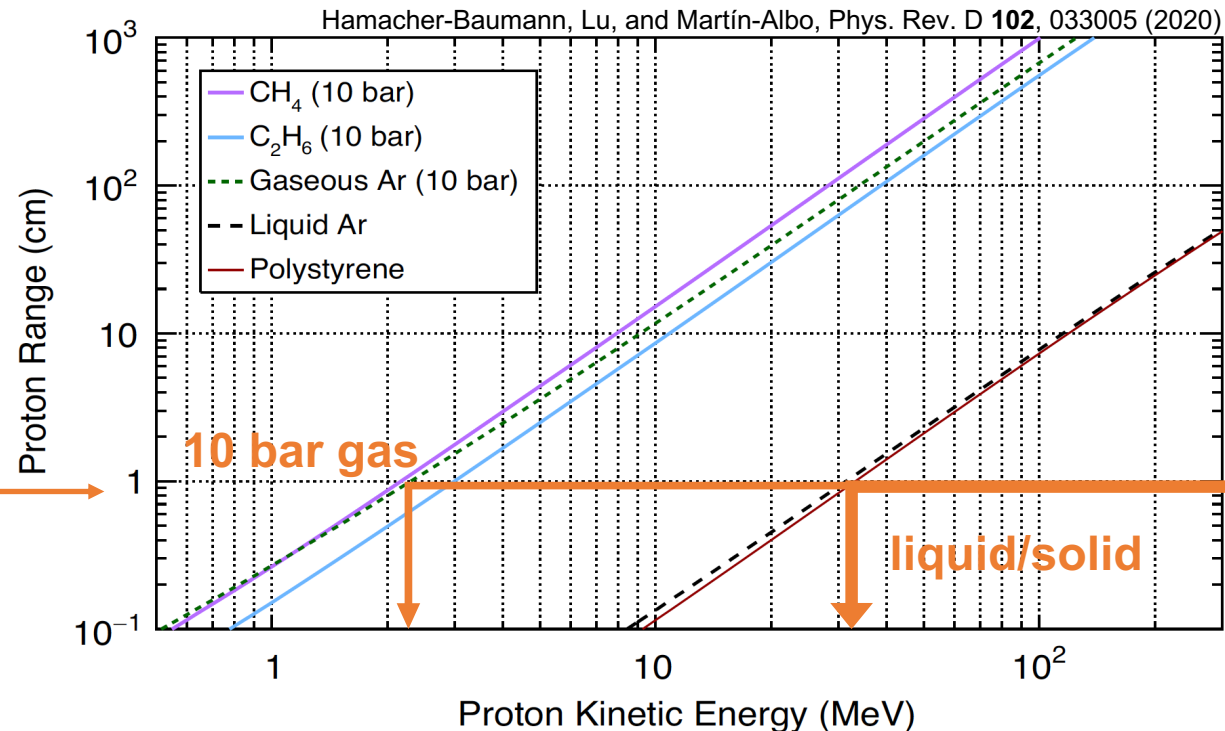
- ❖ Tracking/Cherenkov threshold
- ❖ Angular acceptance
- ❖ Particle Identification (PID)
- ❖ Neutrals
- ❖ Noise

$$\text{Proton Range} \sim (\text{kinetic energy})^2 / (\text{material density})$$

(Range: how far a particle can travel in a medium)

VS
Kinetic Energy

Sensor granularity
~ mm-cm



Quiz: Air density at STP in kg/m³? What about water (in its usual liquid condition)?

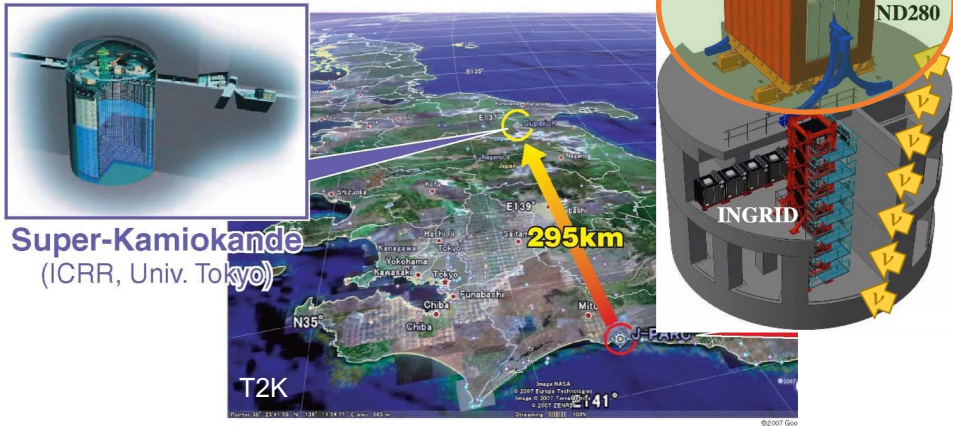
A: 10³ B: 1 C: 10⁻³

Quiz: Density ratio between liquid air and water (at their own conditions)? What about polystyrene (a kind of plastics) vs. water?

A: 10 B: 1 C: 0.1

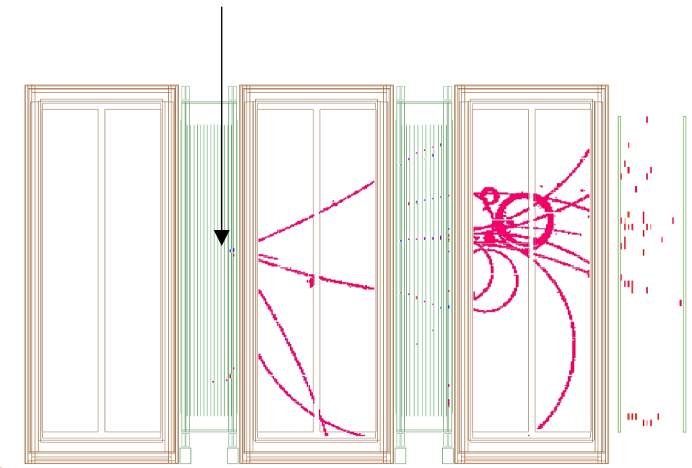
Tracking threshold
(no momentum measurement possible below it)
~ few MeV for 10 bar gas
~ 10s MeV for liquid/solid

Detector

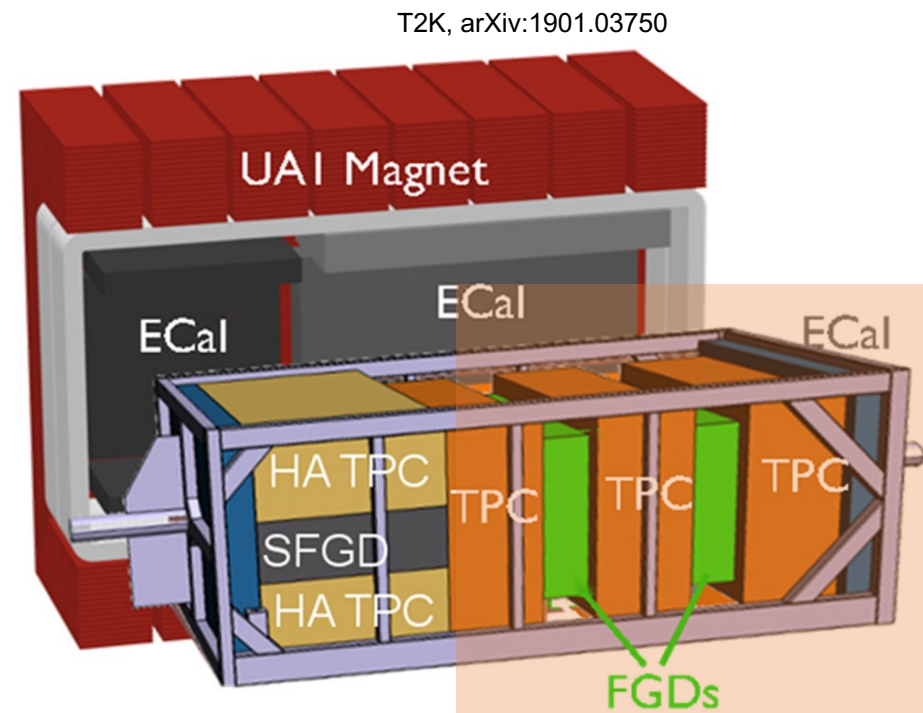


T2K Near Detector ND280
FGD (Fine-Grained Detector)
planes of few-cm-thick **bars**

ν interaction in plastic scintillator bars—FGD



T2K, Nucl. Instrum. Meth. A **659**, 106 (2011)



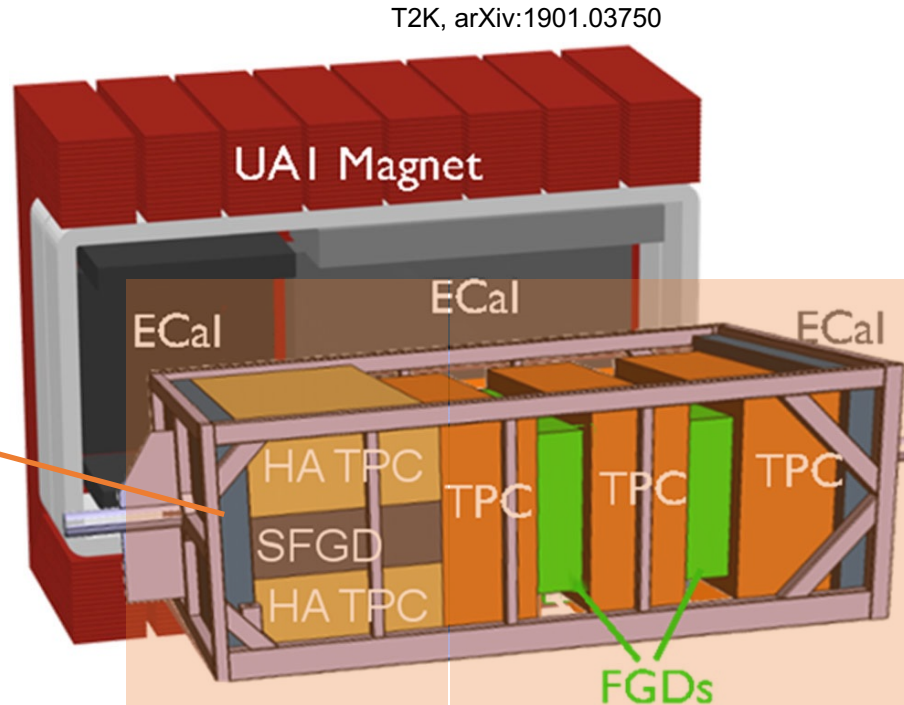
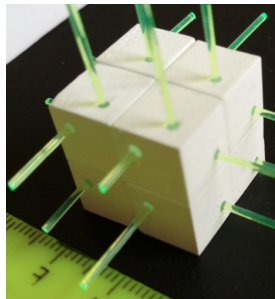
Plastic scintillator tracker

- ❑ Also **active target**
 - ❖ Tracking + **calorimetry**
- ❑ T2K Upgrade sFGD
 - ❖ **Homogeneous 4π acceptance**
 - ❖ **Lower tracking threshold**
 - ✓ **Much improved exclusivity**

Exclusivity: to measure all final states (except nuclear remnant)

ND280 Upgrade
sFGD (SuperFGD)
1-cm³ **cube**

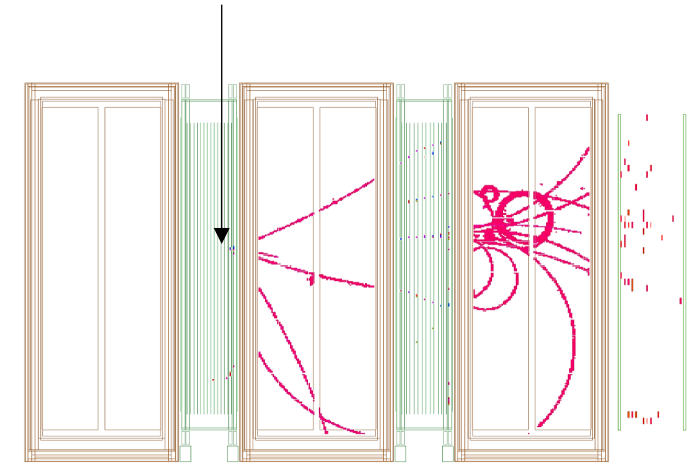
Blondel *et al.* JINST 13, P02006 (2018)



T2K, arXiv:1901.03750

T2K Near Detector ND280
FGD (Fine-Grained Detector)
planes of few-cm-thick **bars**

ν interaction in plastic scintillator bars—FGD



T2K, Nucl. Instrum. Meth. A **659**, 106 (2011)

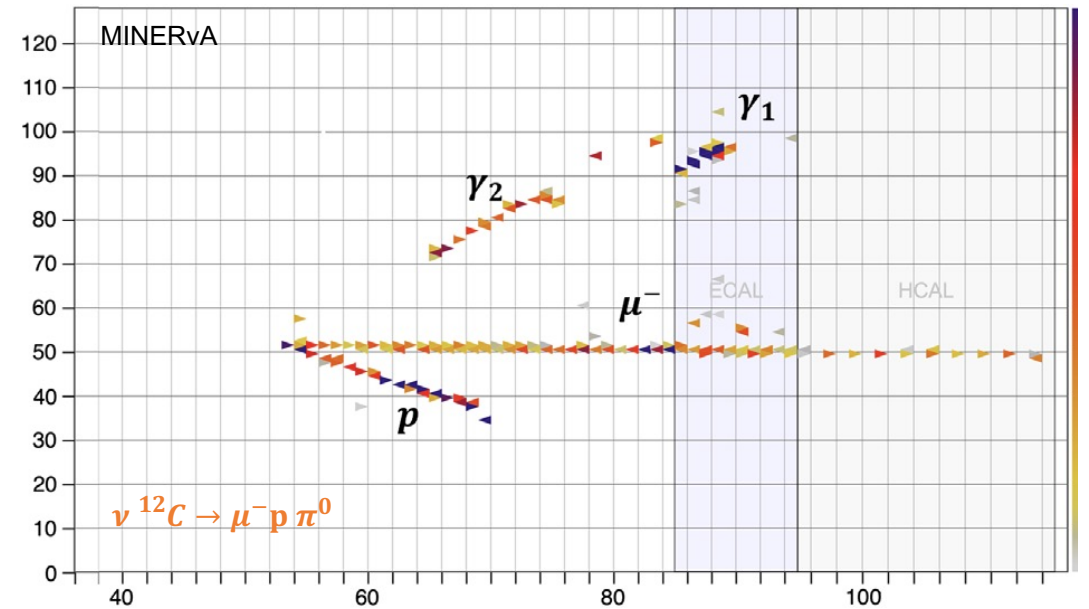
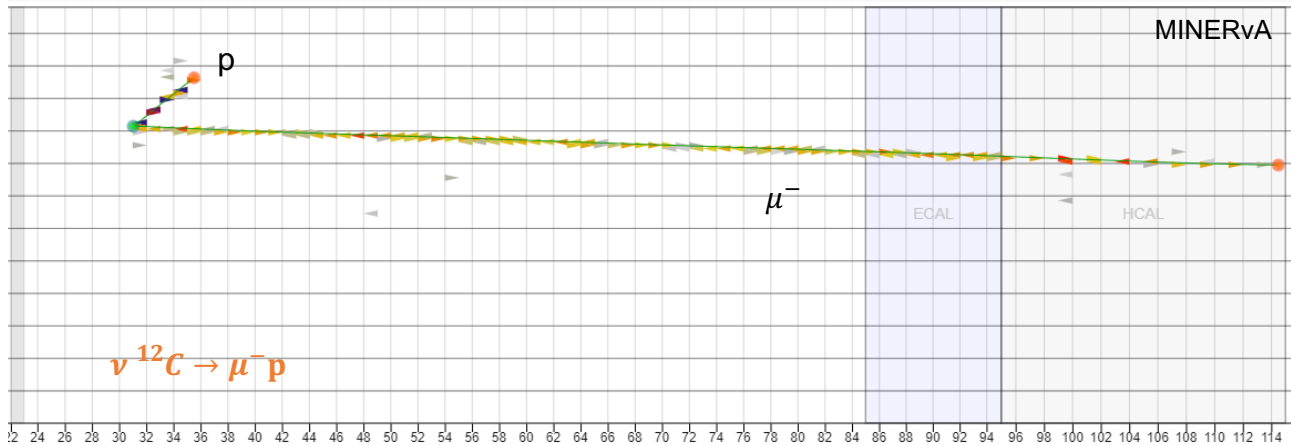
Plastic scintillator tracker

- ❑ Also **active target**
 - ❖ Tracking + **calorimetry**

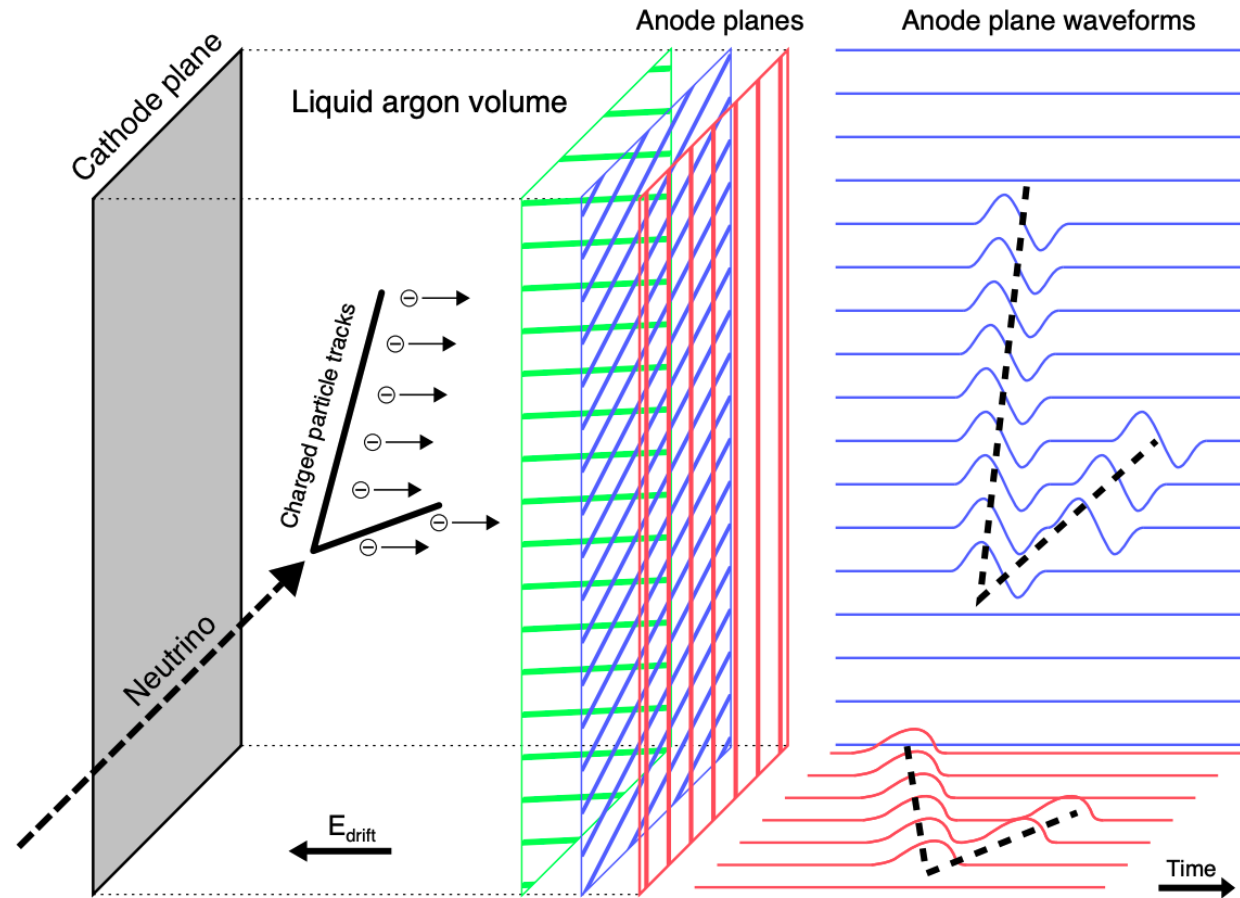
Current role in studying ν interactions

- ❑ Largest data set
- ❑ Systematic investigation, cf. e.g. MINERvA, Eur. Phys. J. ST **230**, 4243 (2021)

Typical event display w/ plastic scintillator tracker



Time Projection Chamber (TPC)



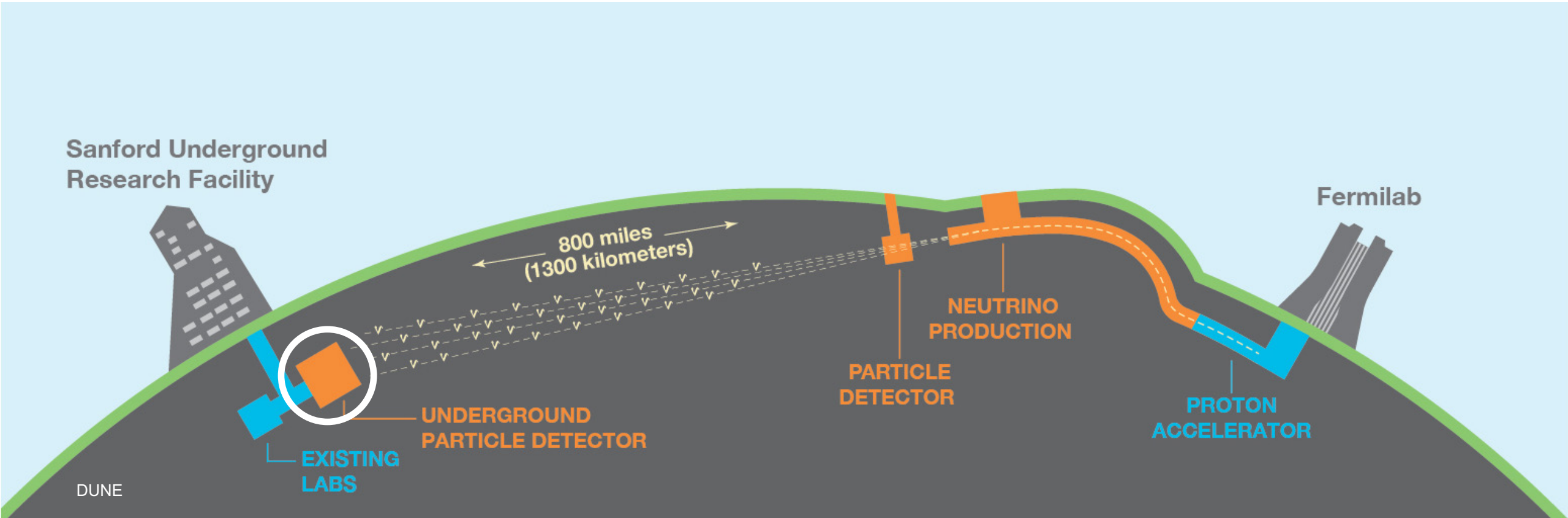
Vermeulen, FERMILAB-THESIS-2021-05

DUNE

- ☐ FD (Far Detector)
 - ❖ LArTPC (Liquid Argon TPC)
 - ✓ **Mass-scalable (~10kt) for tracking + calo**

Quiz: What is Earth's diameter in unit of DUNE's baseline?

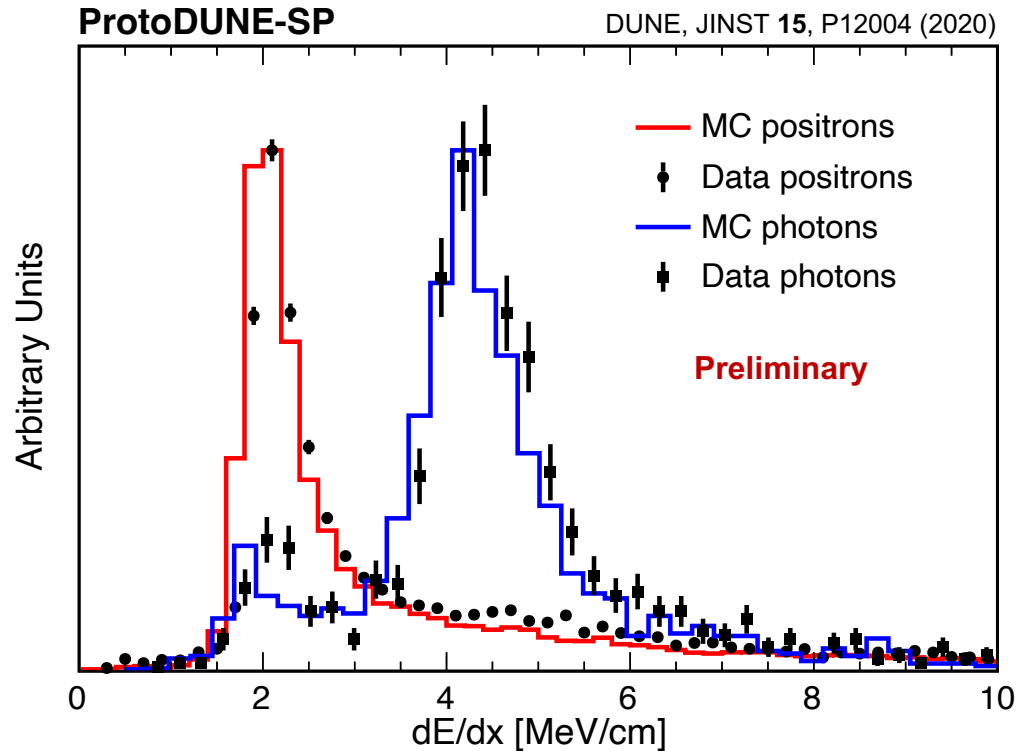
A: 100 B: 50 C: 10



ProtoDUNE

LArTPC Demonstrator at CERN for DUNE FD

- Hadron beams of 0.3-7 GeV/c
 - ❖ 4.7 mm wire spacing (same as FD)
 - ✓ *Versatile reconstruction in LAr*



e/γ separation

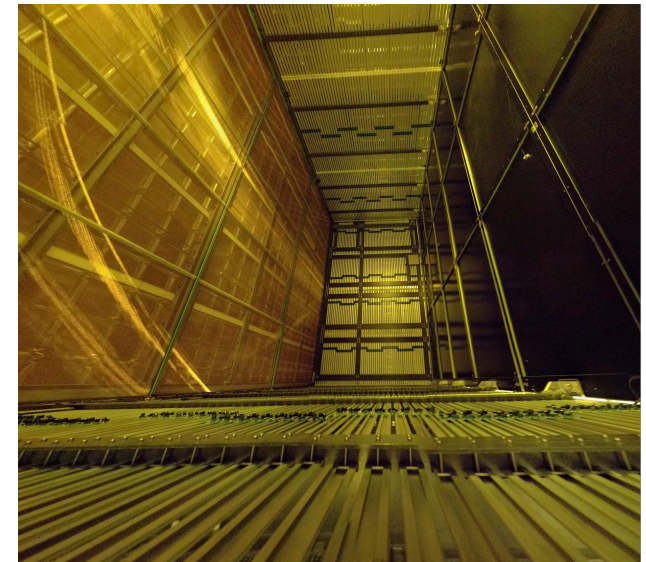
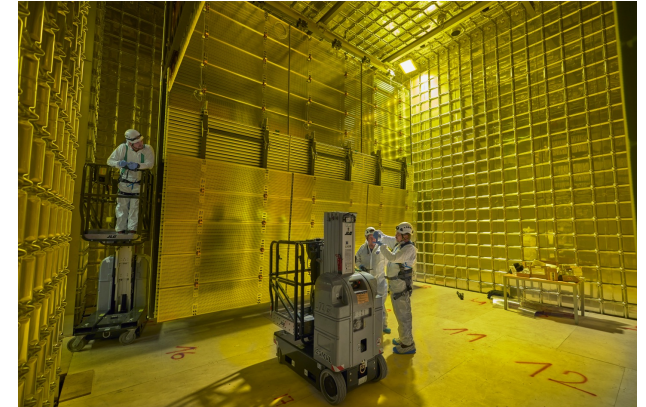


Photo Credit: CERN

ProtoDUNE

LArTPC Demonstrator at CERN for DUNE FD

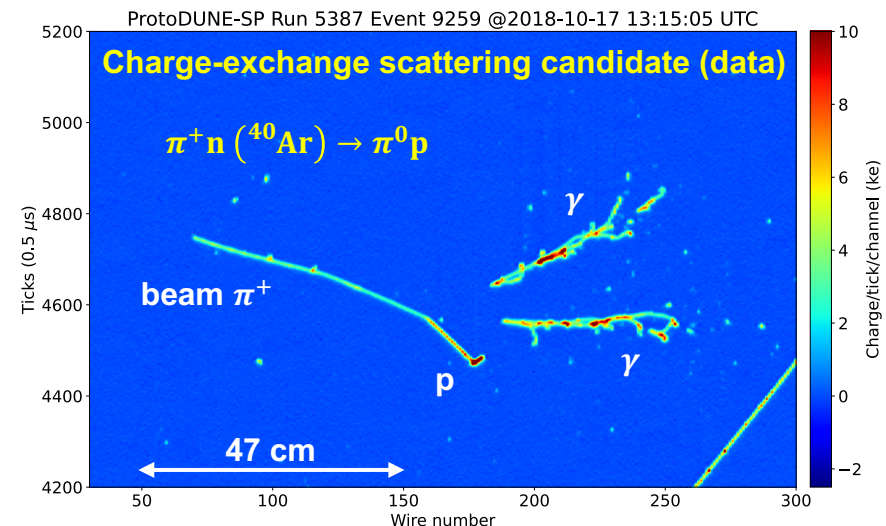
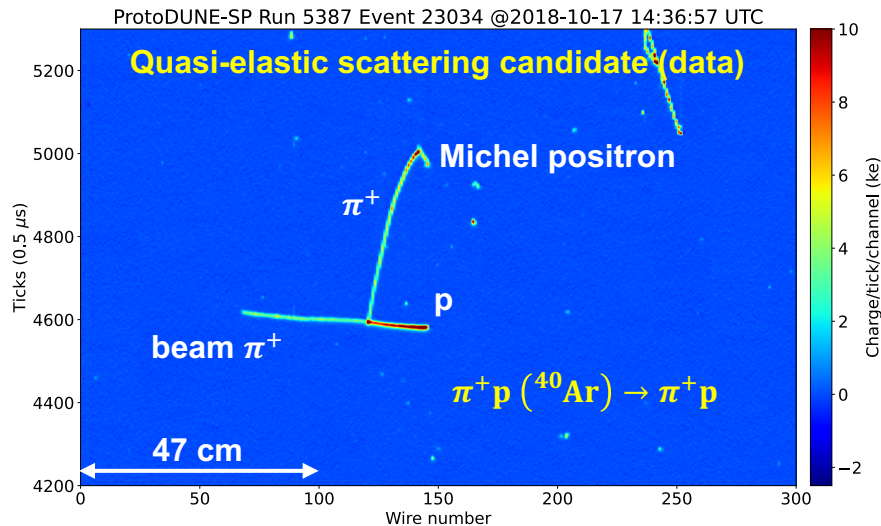
- ❑ Hadron beams of 0.3-7 GeV/c
 - ❖ 4.7 mm wire spacing (same as FD)
 - ✓ *Versatile reconstruction in LAr*
 - ✓ **Exclusivity + beam energy, can “see” inside argon nuclei**

Homework: If we need to have signals from at least 6 wires in LArTPC to reconstruct a proton track, what is the tracking threshold?

Exclusivity: to measure all final states (except nuclear remnant)

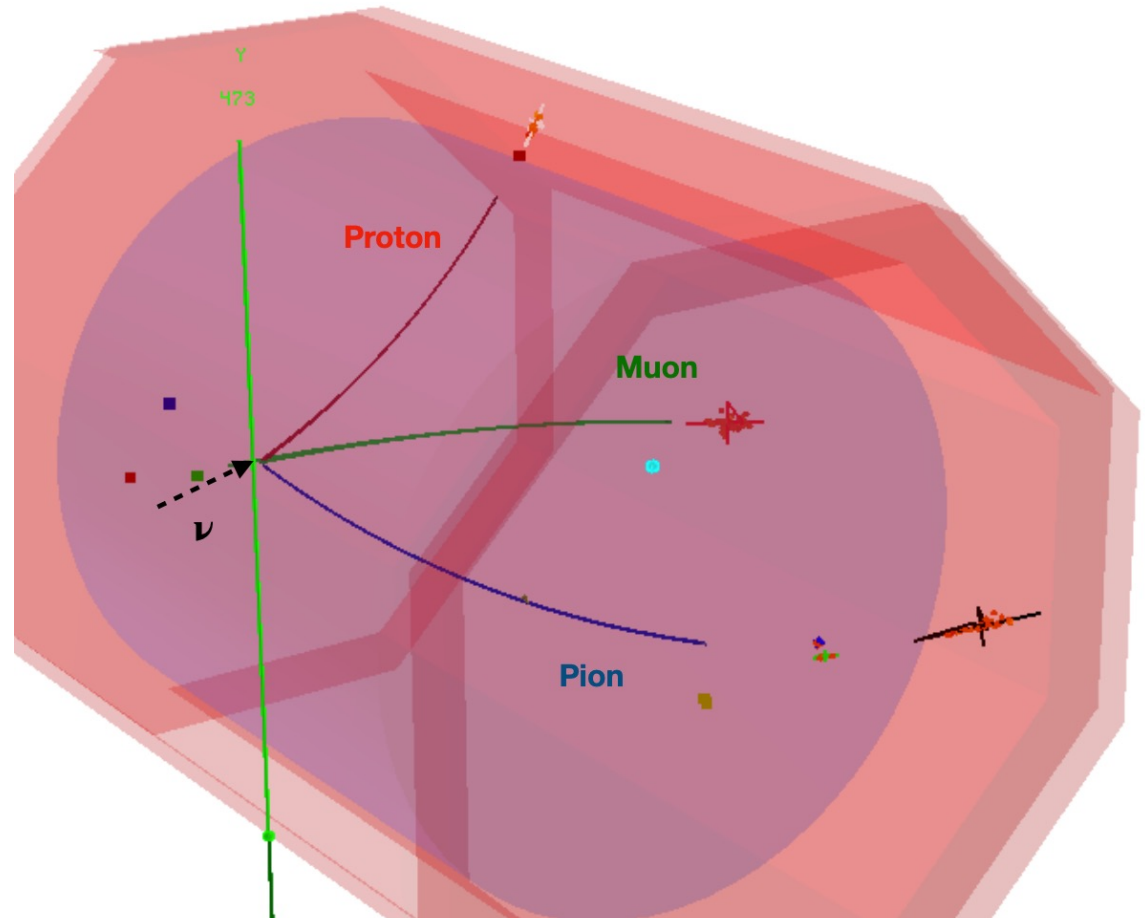
Exclusive event candidates

DUNE, JINST 15, P12004 (2020)



Hydrogen-rich high-pressure TPC

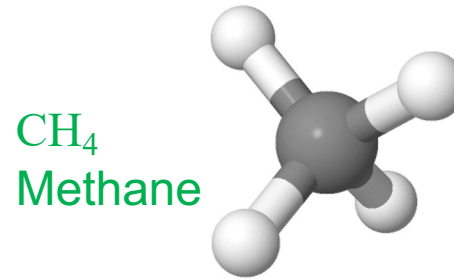
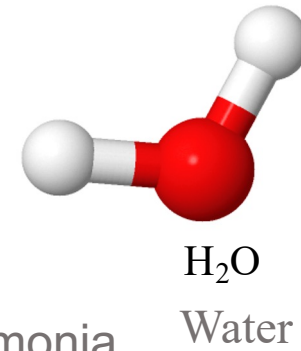
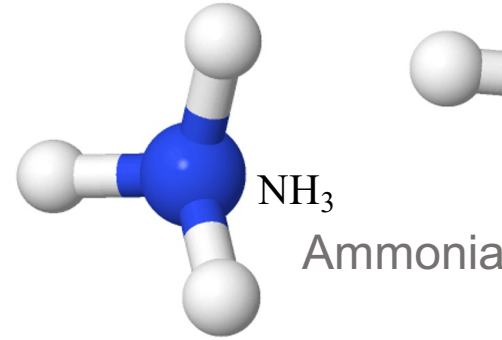
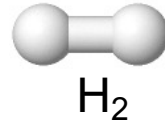
- ❑ Why gas TPC? Why high pressure?
 - ❖ Acceptance, tracking threshold
 - ❖ Target mass



[Raaf, TPC Mini Workshop](#)

Hydrogen-rich high-pressure TPC

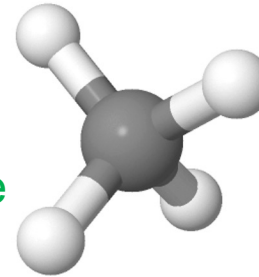
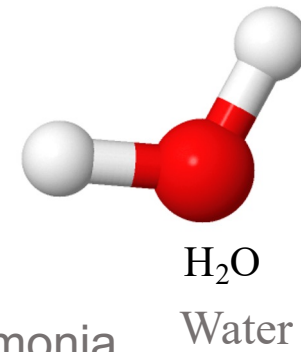
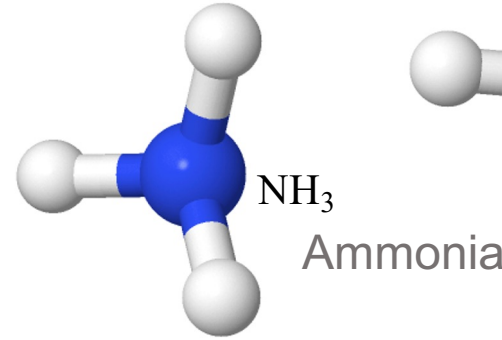
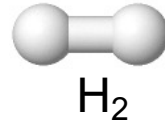
- ❑ Why gas TPC? Why high pressure?
 - ❖ Acceptance, tracking threshold
 - ❖ Target mass
- ❑ Why not pure hydrogen TPC
 - ❖ Bubble chamber: worse tracking
 - ❖ H₂ gas: not hydrogen-rich enough
- ❑ How rich is rich enough?
 - ❖ Element carrying as much hydrogen as possible: Carbon base C_xH_y



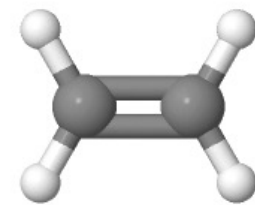
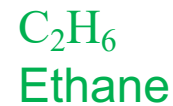
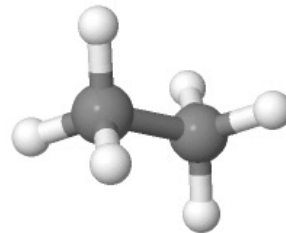
					2 He
5 B	6 C	7 N	8 O	9 F	10 Ne
13 Al	14 Si	15 P	16 S	17 Cl	18 Ar

Hydrogen-rich high-pressure TPC

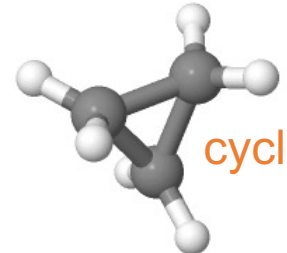
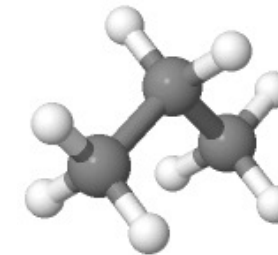
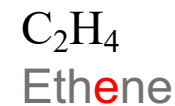
- ❑ Why gas TPC? Why high pressure?
 - ❖ Acceptance, tracking threshold
 - ❖ Target mass
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 - ❖ H₂ gas: not hydrogen-rich enough
- ❑ How rich is rich enough?
 - ❖ Element carrying as much hydrogen as possible: Carbon base C_xH_y
 - Saturated, acyclic: Alkane C_nH_{2n+2}
 - ✓ CH₄ most efficient H-carrier, but not the largest one



					2 He
5 B	6 C	7 N	8 O	9 F	10 Ne
13 Al	14 Si	15 P	16 S	17 Cl	18 Ar



unsaturated



cyclic

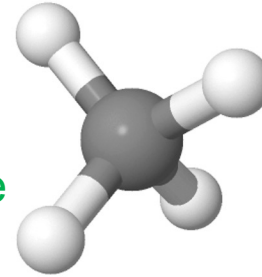
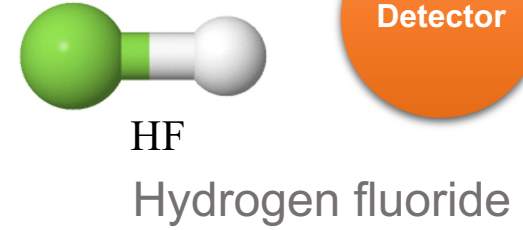
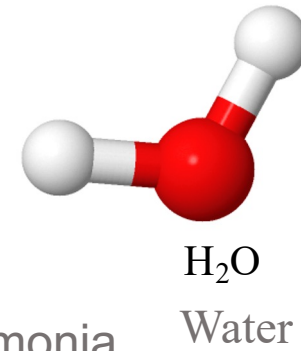
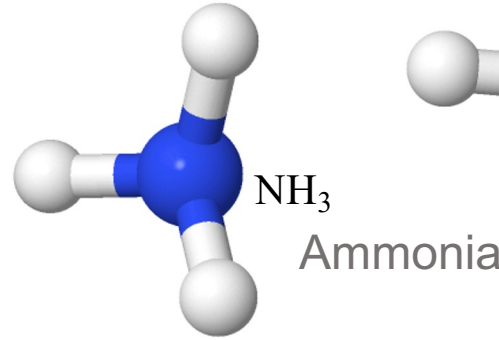
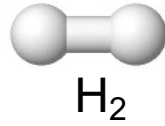


<http://www.jmol.org/>

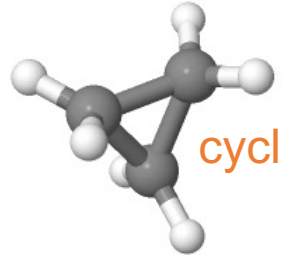
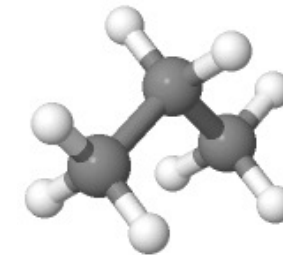
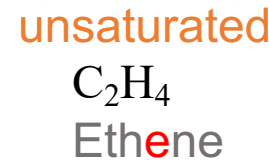
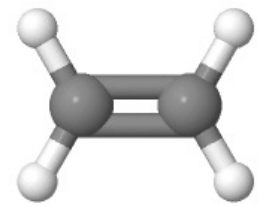
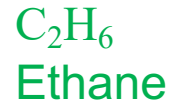
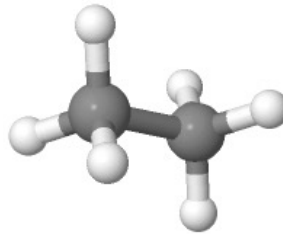
Hydrogen-rich high-pressure TPC

- ❑ Why gas TPC? Why high pressure?
 - ❖ Acceptance, tracking threshold
 - ❖ Target mass
- ❑ Why not pure hydrogen TPC
 - ❖ Bubble chamber: worse tracking
 - ❖ H₂ gas: not hydrogen-rich enough
- ❑ How rich is rich enough?
 - ❖ Element carrying as much hydrogen as possible: Carbon base C_xH_y
 - Saturated, acyclic: Alkane C_nH_{2n+2}
 - ✓ CH₄ most efficient H-carrier, but not the largest one
 - ❖ Maximal partial pressure limited by vapor pressure
 - Theoretically hydrogen-richest mix at 10 bar: C_{3.93}H_{9.86}
 - = 17% C(CH₃)₄ (neopentane) + 35% *i*C₄H₁₀ (isobutane) + 24% C₄H₁₀ (butane) + 24% C₃H₈ (propane)

Homework: Write down all (anti)neutrino-hydrogen interactions whose final-state particles are all electrically charged.



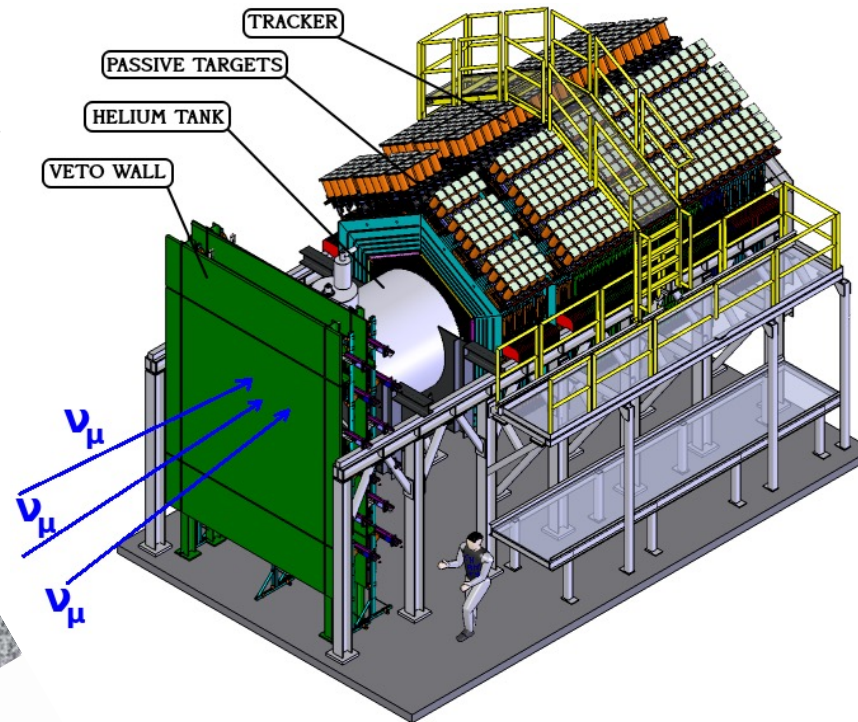
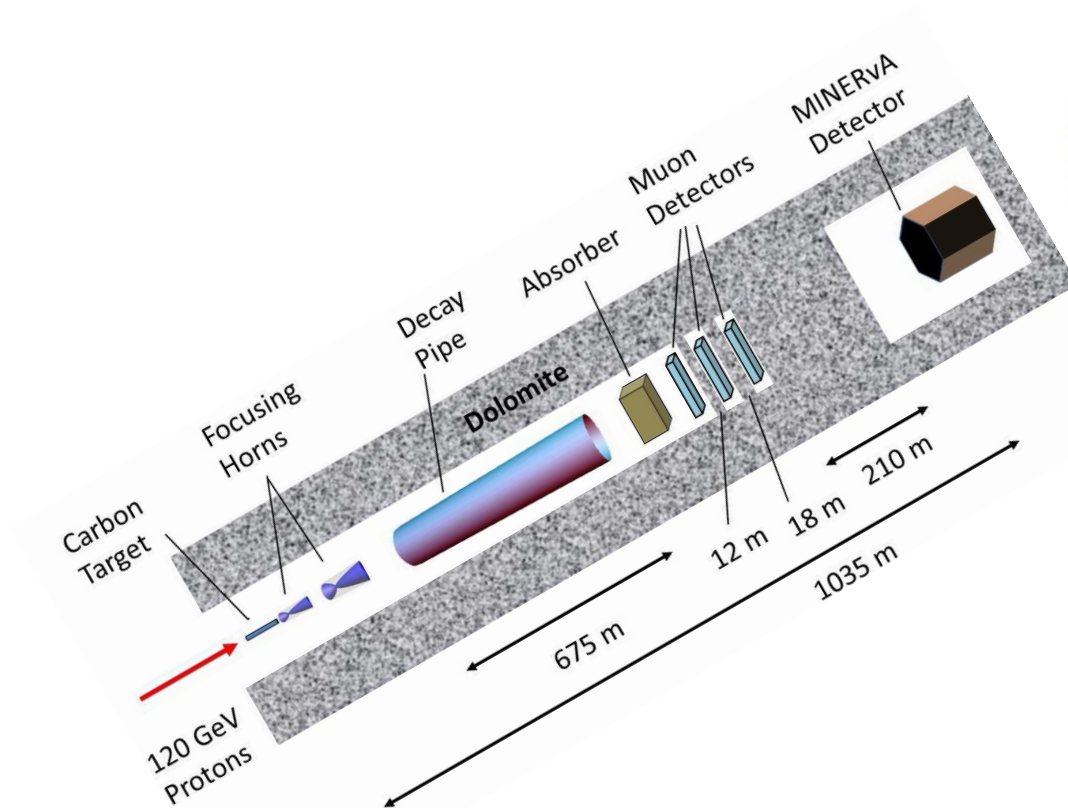
					2 He
5 B	6 C	7 N	8 O	9 F	10 Ne
13 Al	14 Si	15 P	16 S	17 Cl	18 Ar





MINERvA@FNAL

A dedicated ν -interaction experiment



- ❑ 5.4 ton active scintillator fiducial volume
- ❑ 10- μ s beam spill, ~ 1 event in tracker per spill

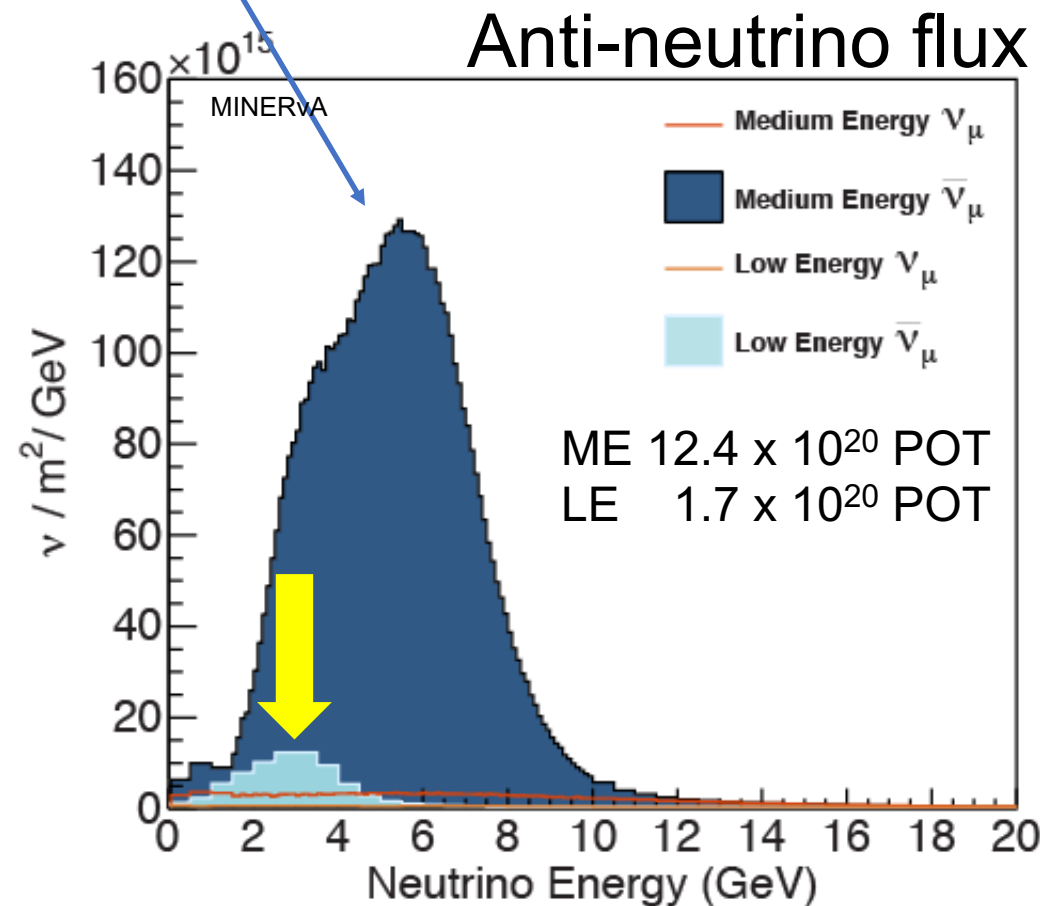
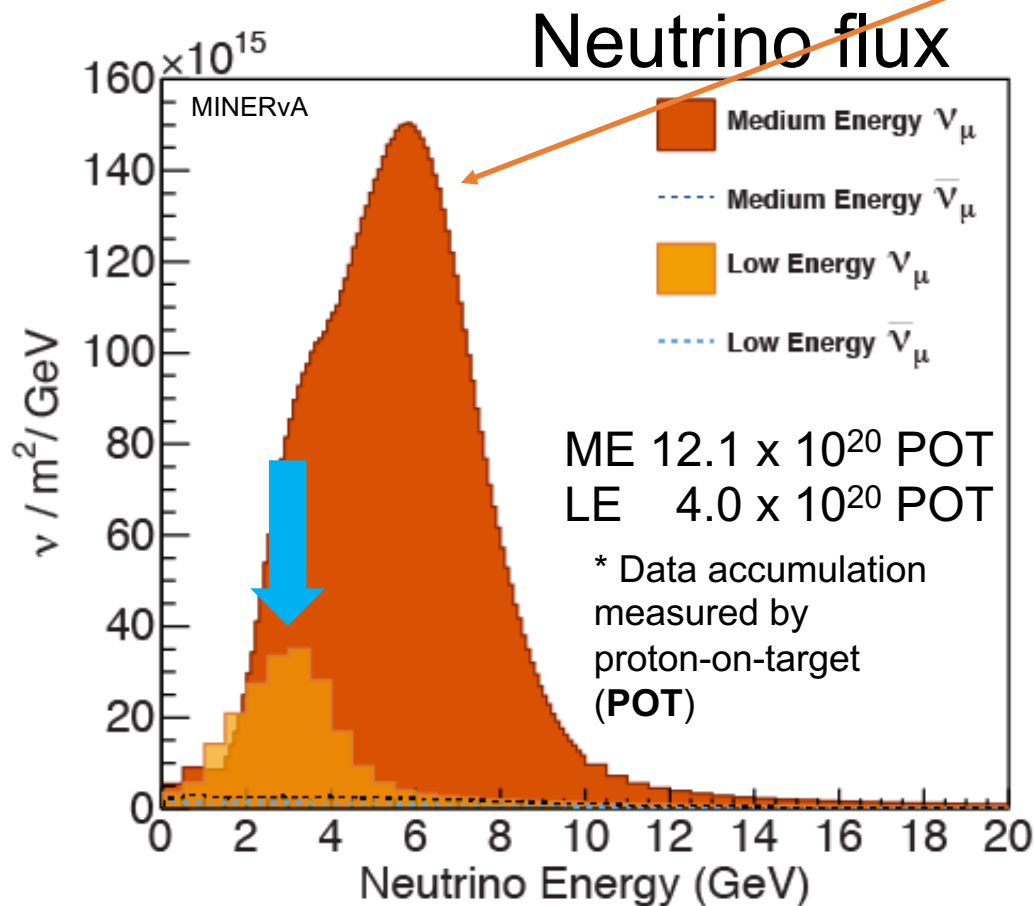


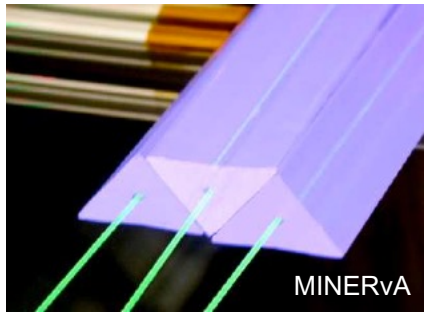
ME: gigantic data sets!

(all MINERvA results here are w/ LE)

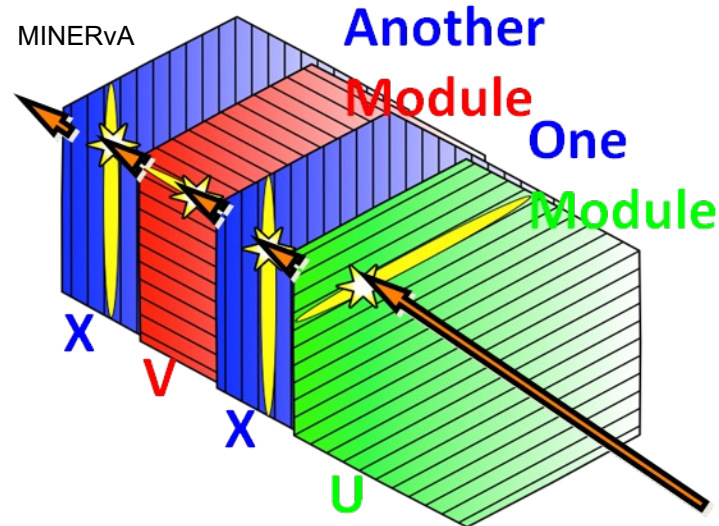
LE: Low Energy, peak at 3 GeV

ME: Medium Energy, peak at 6 GeV



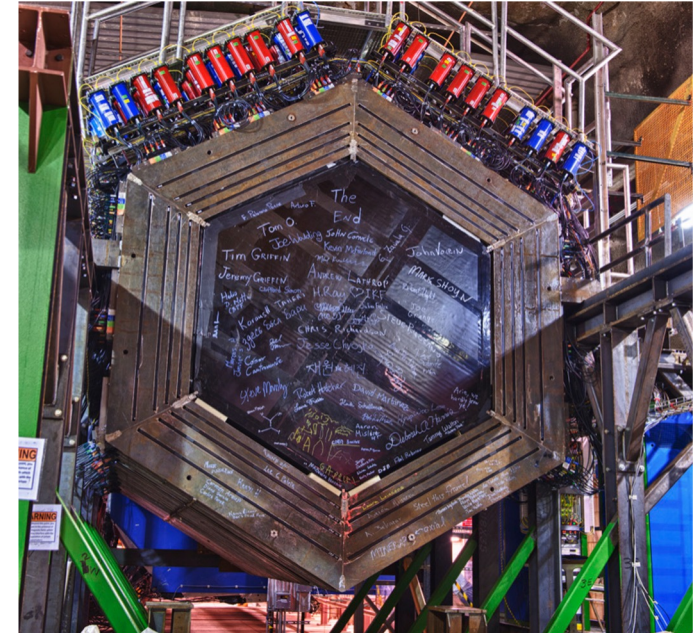


- ❑ Scintillator bar (CH)
- ❑ 3.3 cm base, 1.7 cm height
- ❑ 3 ns timing resolution

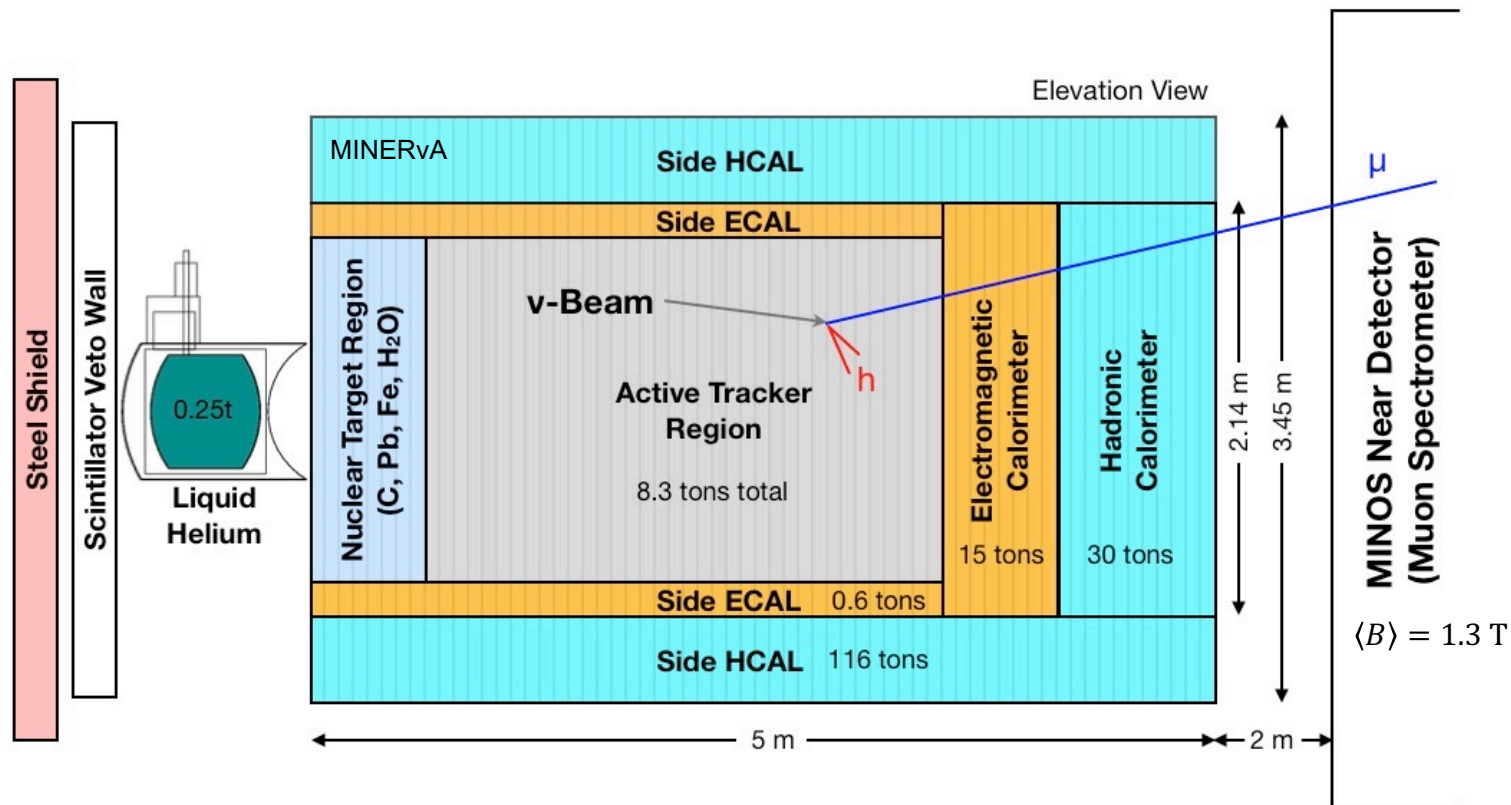


- ❑ 3 views
- ❑ 2.7 mm position resolution per plane

Photo Credit: R. Hahn



- ❑ Non-magnetized



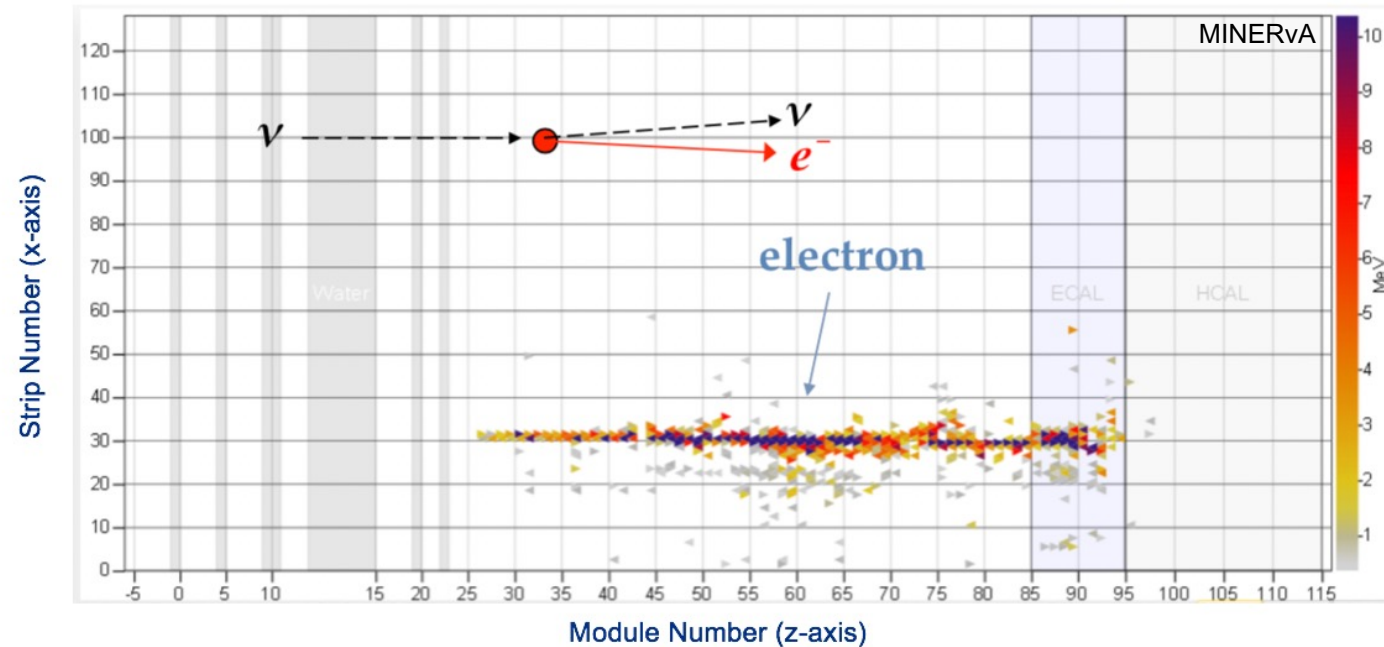
- ❑ Muon momentum resolution (range + curvature) 8% @ 6 GeV/c
- ❑ Proton threshold 100 MeV K.E., momentum (by range) resolution 2% @ 1 GeV/c
- ❑ π^0 momentum resolution ~20%
- ❑ High-energy charged π energy resolution by calorimetry $18\% + 8\% / \sqrt{E_\pi/\text{GeV}}$
- ❑ Can also detect neutrons



Neutrino-Electron Elastic Scattering

Well-understood SM process

$$\nu e \rightarrow \nu e$$



Homework: Write down the Feynman diagram(s) for ν -e elastic scattering.

- ❑ Beam flux prediction:
 - GEANT4+hadron production data
- ❑ *in situ* flux constrained by νe scattering [MINERvA, Phys.Rev. D93, 112007 (2016), Phys. Rev. D 100, 092001 (2019)]
 - ❖ reduced by $\sim 10\%$
 - ❖ uncertainty near the peak reduced from 8% to 4%



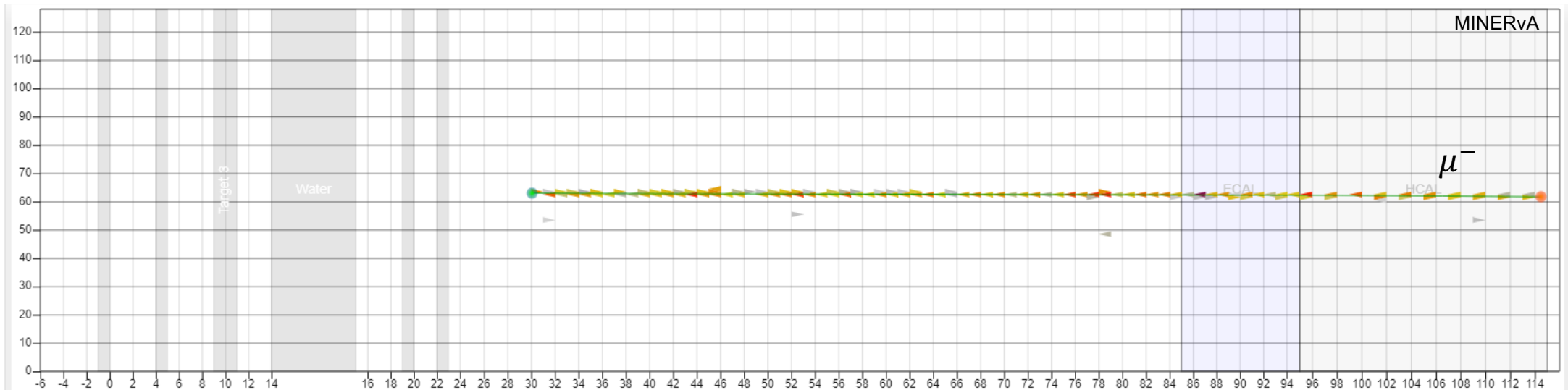
Inverse Muon Decay

(Muon decay $\mu^- \rightarrow \nu_\mu + e^- + \bar{\nu}_e$)

Another well-understood SM process

$$\nu_\mu + e^- \rightarrow \mu^- + \nu_e$$

Inverse muon decay

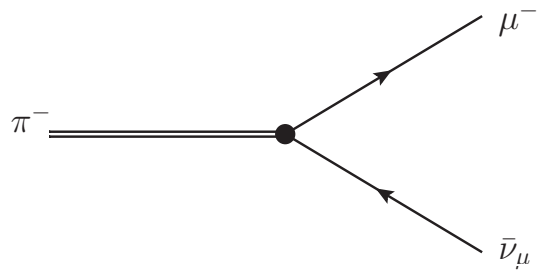


New flux constraint method [MINERvA, Phys.Rev.D 104, 092010 (2021)]

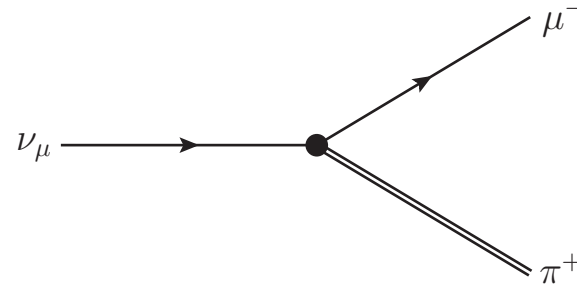
Homework:

1. Write down the Feynman diagram(s).
2. What is the minimum neutrino energy for this process to happen (that is, the neutrino energy threshold)?
3. Can this technique constrain $\bar{\nu}_\mu$ flux?

Charged-Current Coherent π Production



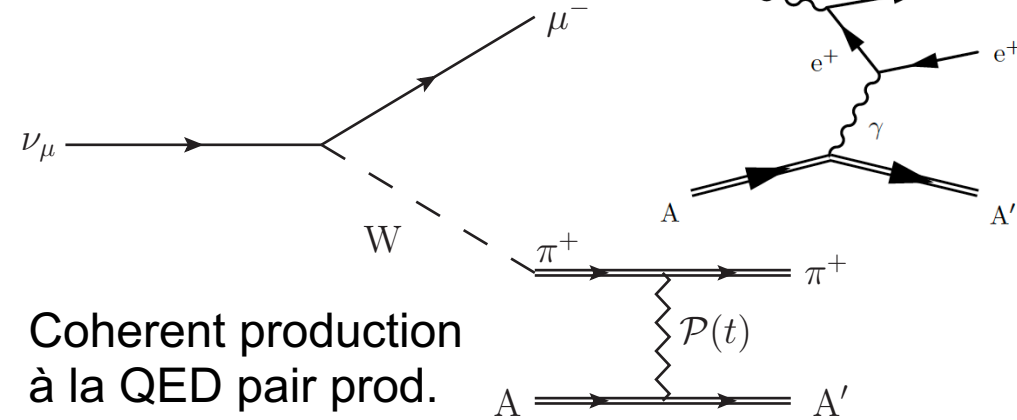
Pion decay



“Inverse pion decay”

✗ forbidden

✓ IF ν_μ is replaced by a heavy neutrino (heavy neutral lepton)

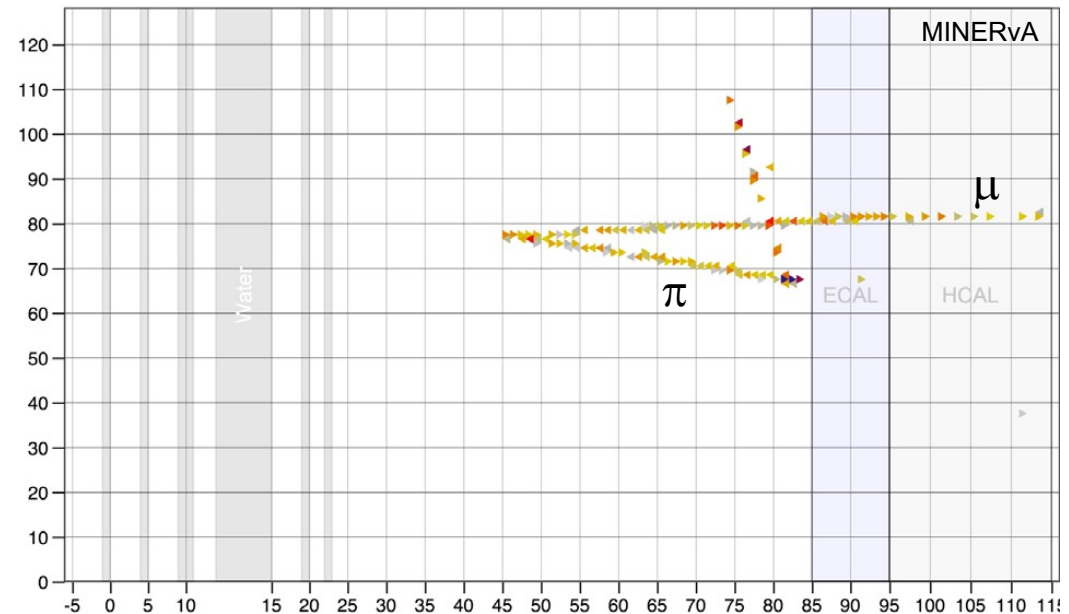
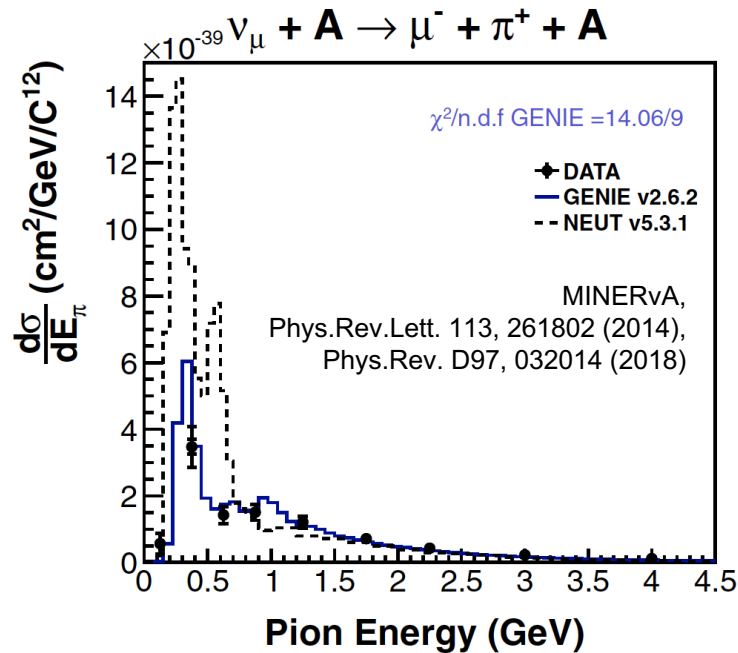


Coherent production à la QED pair prod.

✓ allowed

☐ Intrinsic background to HNL search

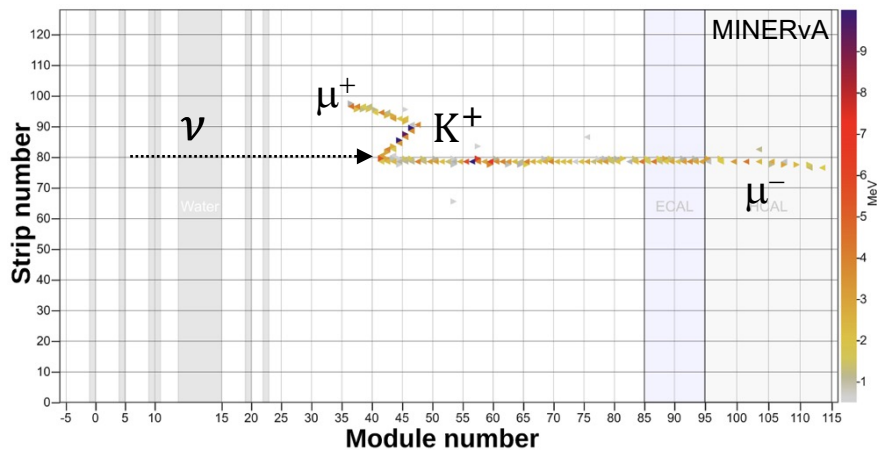
[T2K, Phys.Rev. D100, 052006 (2019)]



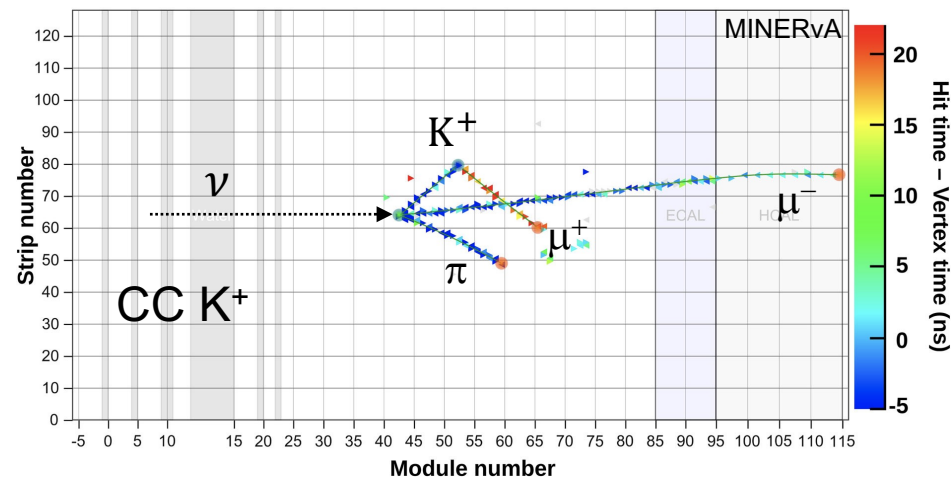
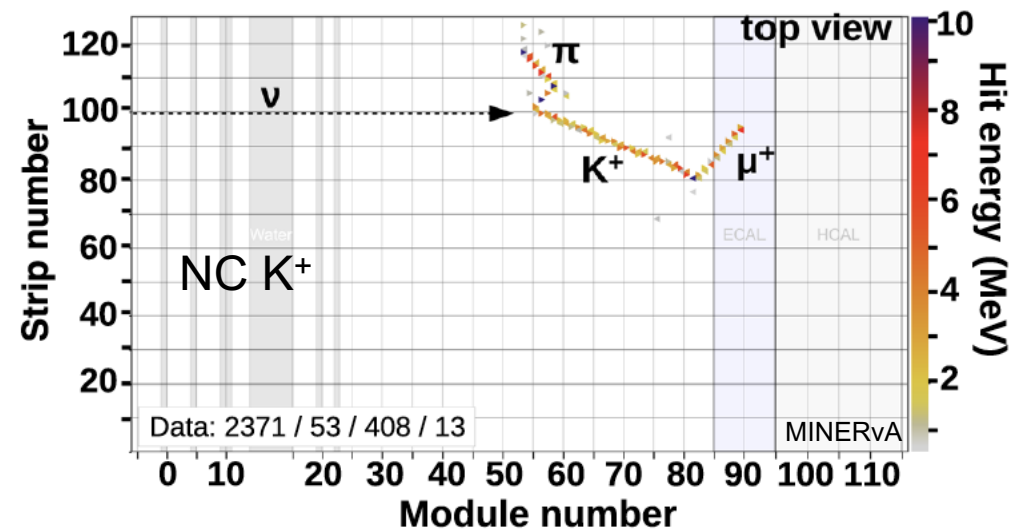


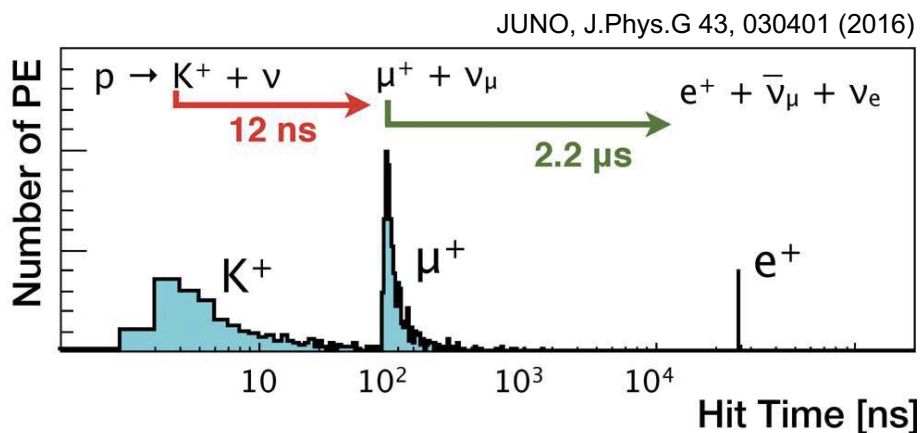
Kaon Production

“Inverse kaon decay”
— Coherent K^+



- K^+ decay-at-rest signature
12.4 ns lifetime, kink, energy deposit





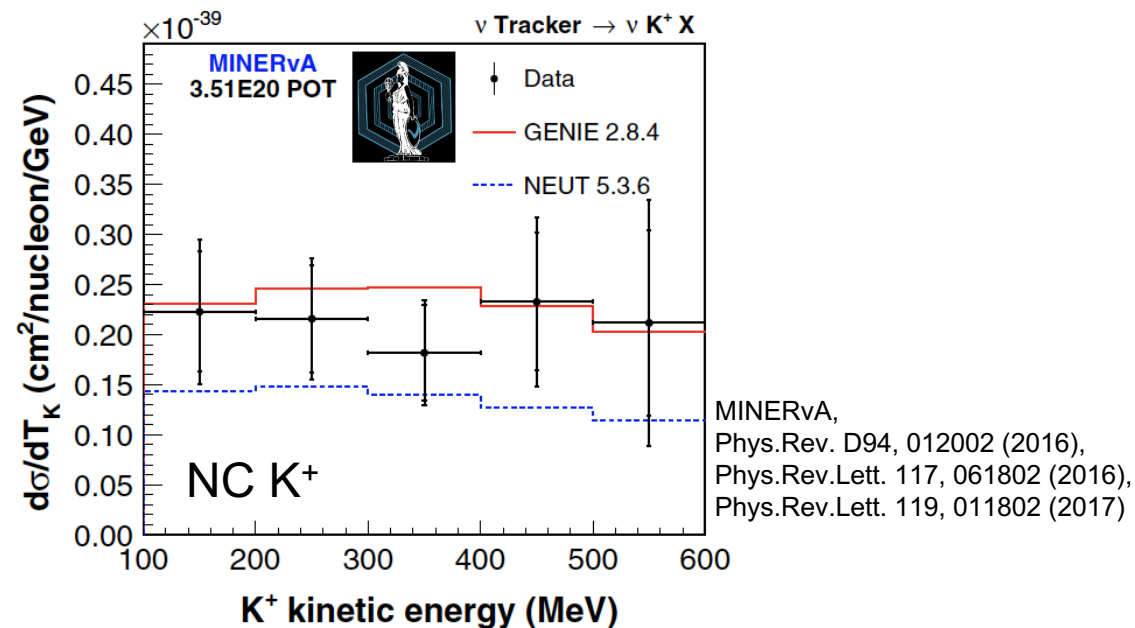
Protons inside a nucleus

- ❑ Bound nucleons are moving—Fermi motion
- ❑ Interactions while exiting, very often breaking up the nucleus—final state interactions (FSI)

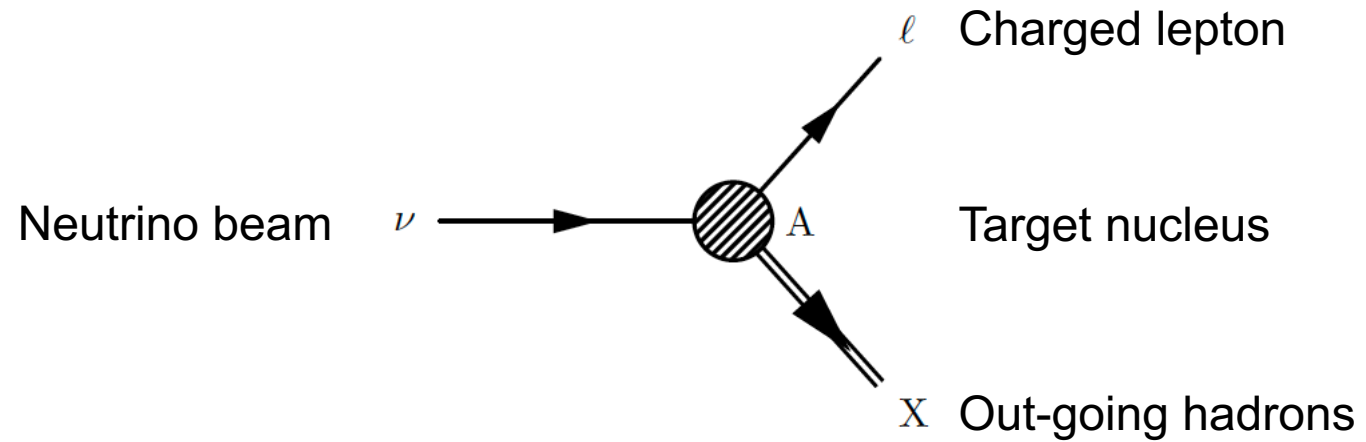
Bound-proton decay

- ❑ K^+ 20-200 MeV K.E. (not considering FSI)
- ✗ background from K^+ production by atmospheric neutrinos

- ❑ Proton *decay at rest* $\rightarrow K^+$ 105 MeV K.E.
- ✓ Nice kinematic signature with decay chain coincidence
- Or not?



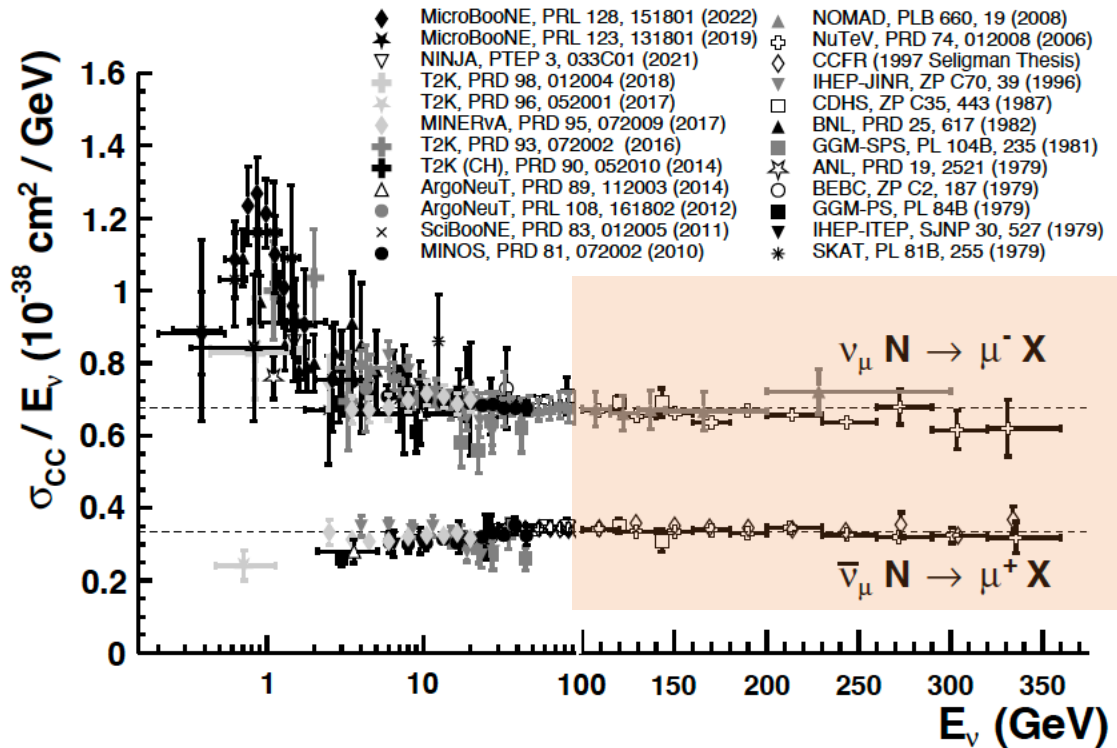
Part 2: Neutrino Scattering and Nuclear Structure



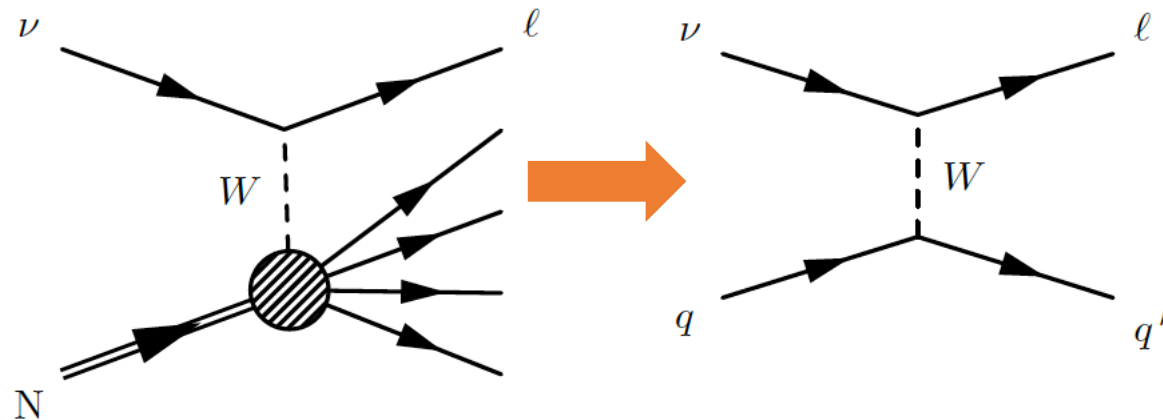
Settings

1. Neutrino beam on at-rest nuclear target
 - ❖ Nucleus A, nucleon N
2. Only consider charged current (W-exchange)
3. Residual nucleus not detectable

PDG, PTEP 2022, 083C01 (2022)



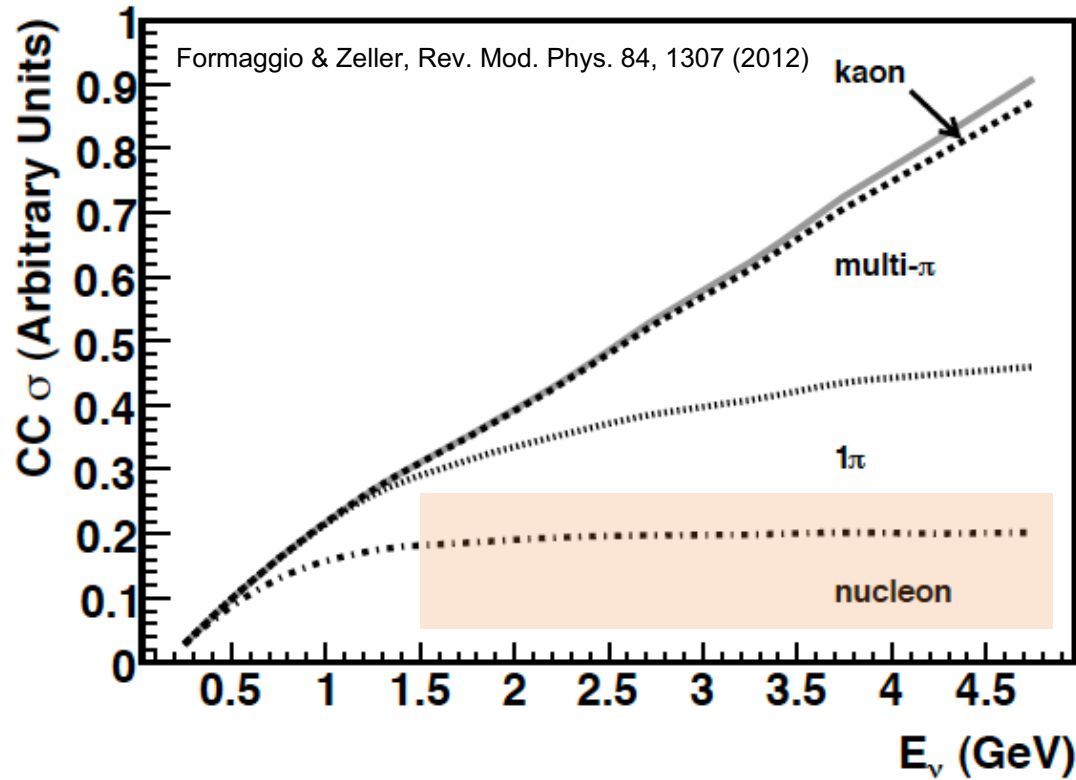
Deep Inelastic Scattering (DIS)



Homework:** Explain $\sigma_{\nu N}^{\nu N} / \sigma_{\bar{\nu} N}^{\bar{\nu} N} \simeq 2$ at high energy.

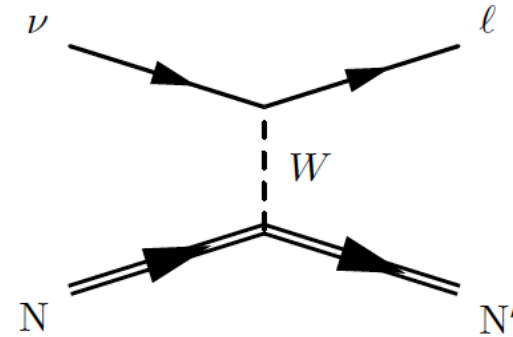
□ At high energy, ν interacting with quarks, $\sigma \sim E_\nu$

Homework: Calculate the energy threshold of ν_e and ν_μ CCQE scattering on nucleons.

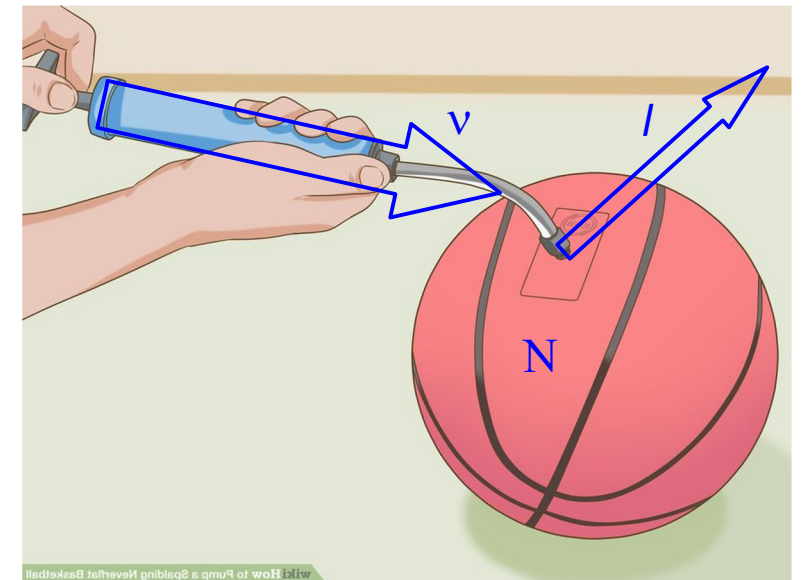


σ_{QE} starts to saturate at 1 GeV

Quasielastic (QE)

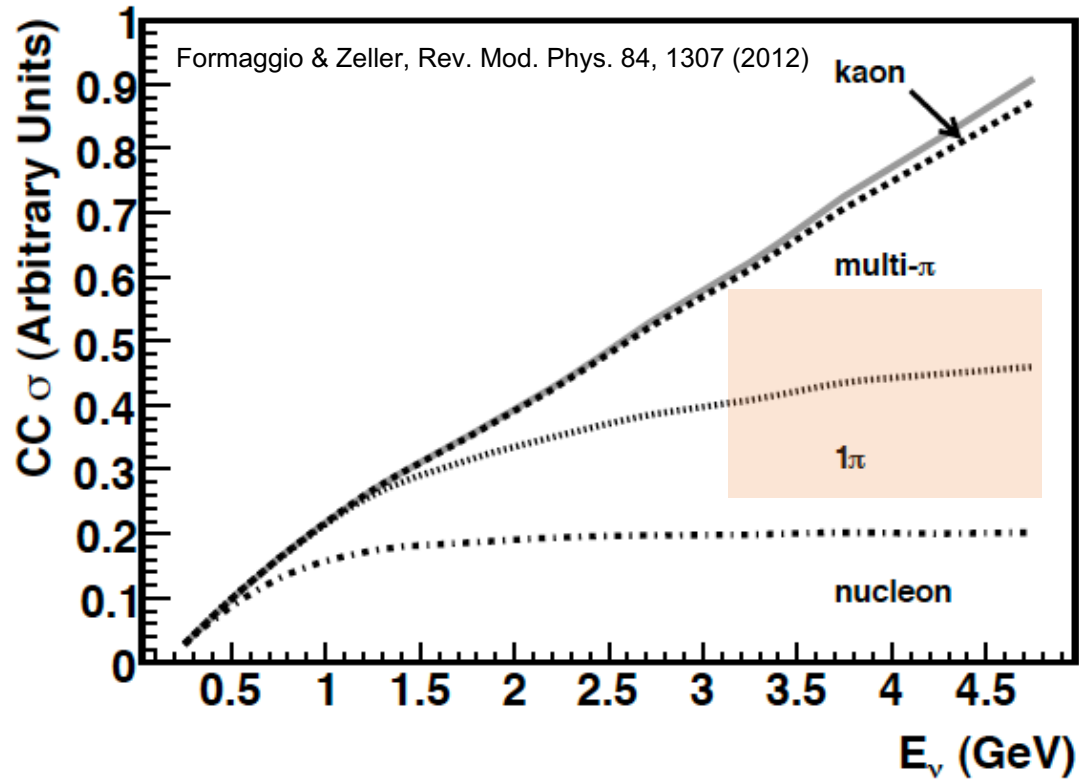


Final-state lepton takes away energy excess



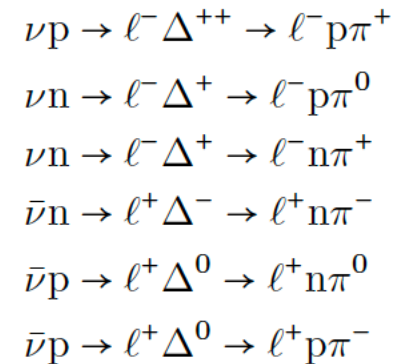
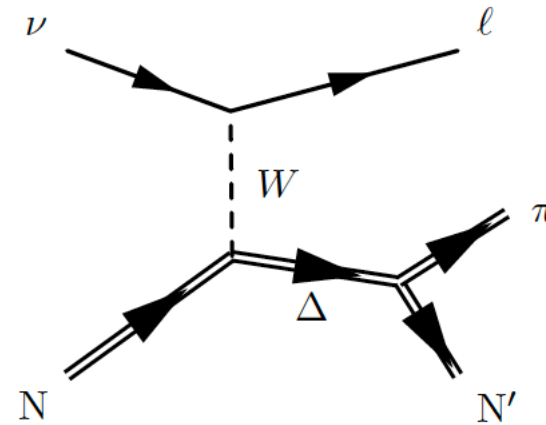
Source: <http://www.wikihow.com/Pump-a-Spalding-Neverflat-Basketball>

Homework*: Using isospin arguments (CG coefficients), show that the cross-section ratio between $\nu_\mu p \rightarrow \mu^- p \pi^+$, $\nu_\mu n \rightarrow \mu^- p \pi^0$, and $\nu_\mu n \rightarrow \mu^- n \pi^+$ is 9:2:1 (assuming Δ dominance).



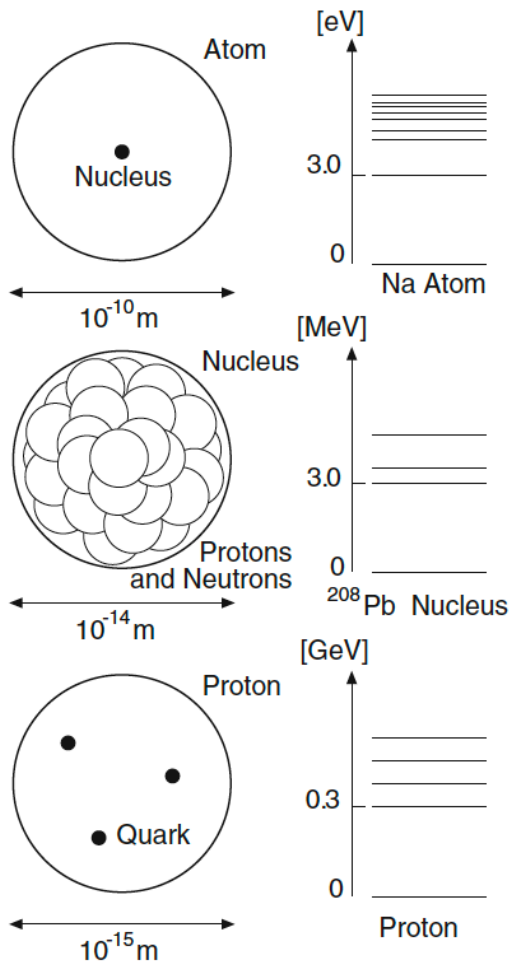
Homework: Check the quantum numbers and quark contents of Δ and N^* on PDG (Google “pdg delta resonance”; navigate <https://pdg.lbl.gov/>).

Resonant production (RES)



- ❖ Besides Δ , there are also many other resonances, e.g. N resonances (N^*)
- ❖ Non-resonant background: diagrams with the same initial and final states but without the intermediate resonance

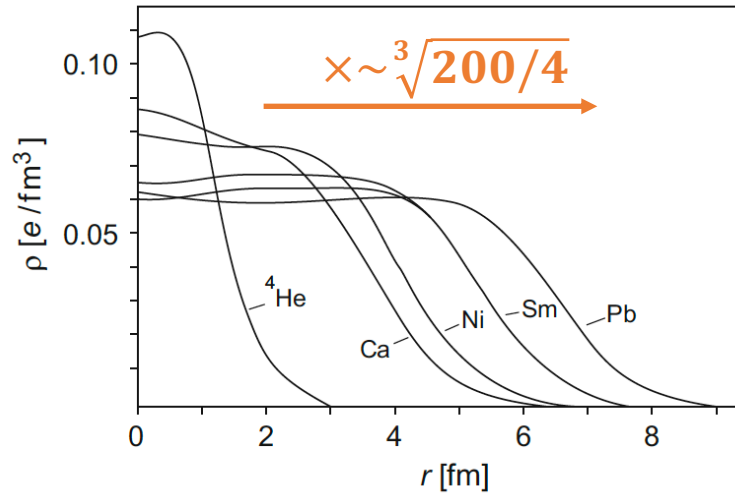
Excitation energy



Quiz: What is the momentum scale for 1 fm according to the uncertainty principle?

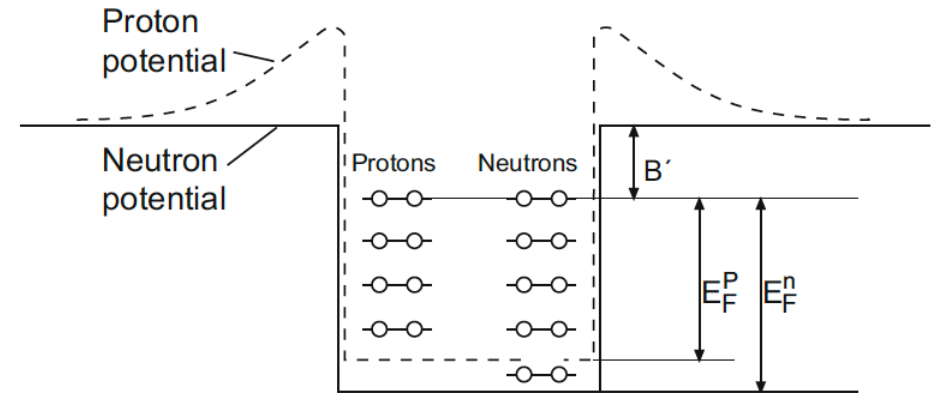
Homework**: What charge is seen by neutrino CC scattering?

Electric charge density seen by electron scattering



Fermi gas

Nucleons need to “ladder up” the momentum space due to overlap of wavefunction in configuration space

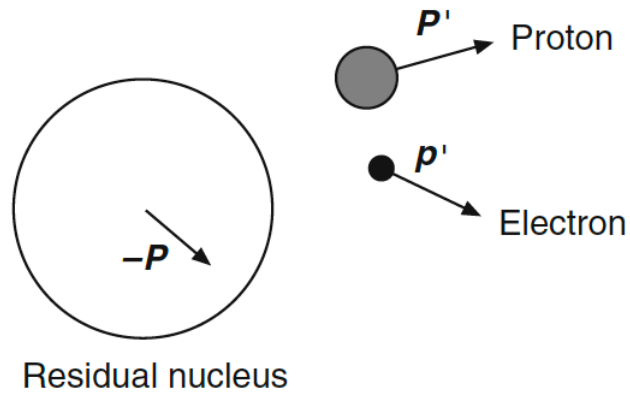
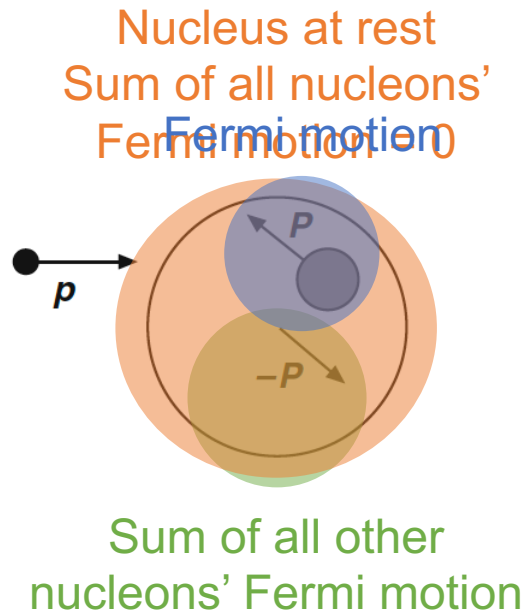


$$p_F \propto (\text{nucleon density})^{1/3} \propto \frac{1}{R}$$

(local) density varies with r

Nucleus radius (global)

All figures from Povh, et al. Particles and Nuclei



Povh, et al. Particles and Nuclei

- Shell model** (no longer non-interacting gas)
- ❖ Mean field: each nucleon moving freely in a potential approximated for the sum of interactions with all other nucleons.
 - ❖ Confining (radial-dependent) mean-field potential leads to energy levels

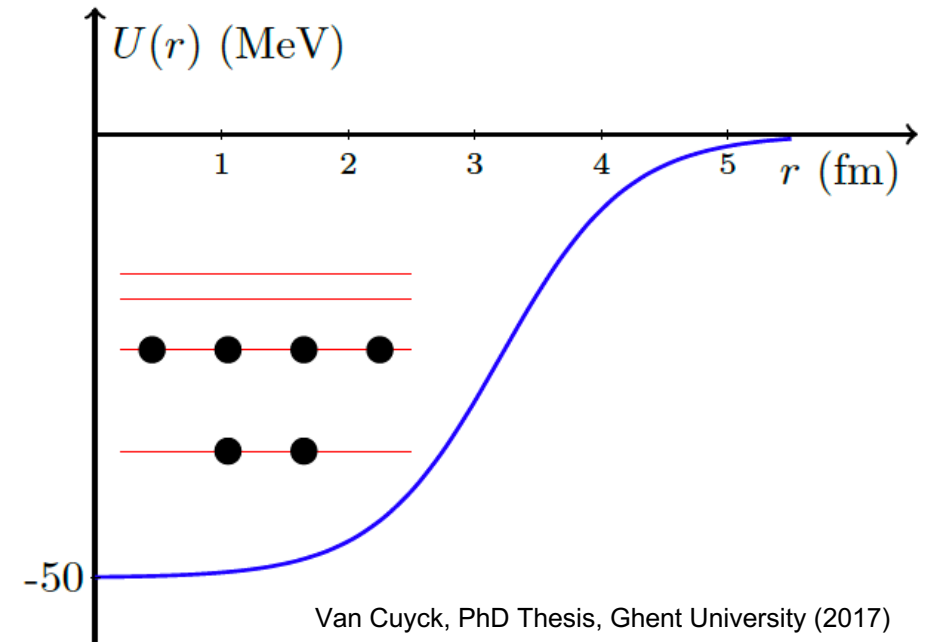


Table 6.1 Fermi momentum P_F and effective average potential S for various nuclei. These values were obtained from an analysis of quasi-elastic electron scattering at beam energies between 320 and 500 MeV and at a fixed scattering angle of 60° [12, 18]. The errors are approximately 5 MeV/c (P_F) and 3 MeV (S)

Nucleus	${}^6\text{Li}$	${}^{12}\text{C}$	${}^{24}\text{Mg}$	${}^{40}\text{Ca}$	${}^{59}\text{Ni}$	${}^{89}\text{Y}$	${}^{119}\text{Sn}$	${}^{181}\text{Ta}$	${}^{208}\text{Pb}$
P_F (MeV/c)	169	221	235	249	260	254	260	265	265
S (MeV)	17	25	32	33	36	39	42	42	44

Povh, et al. Particles and Nuclei

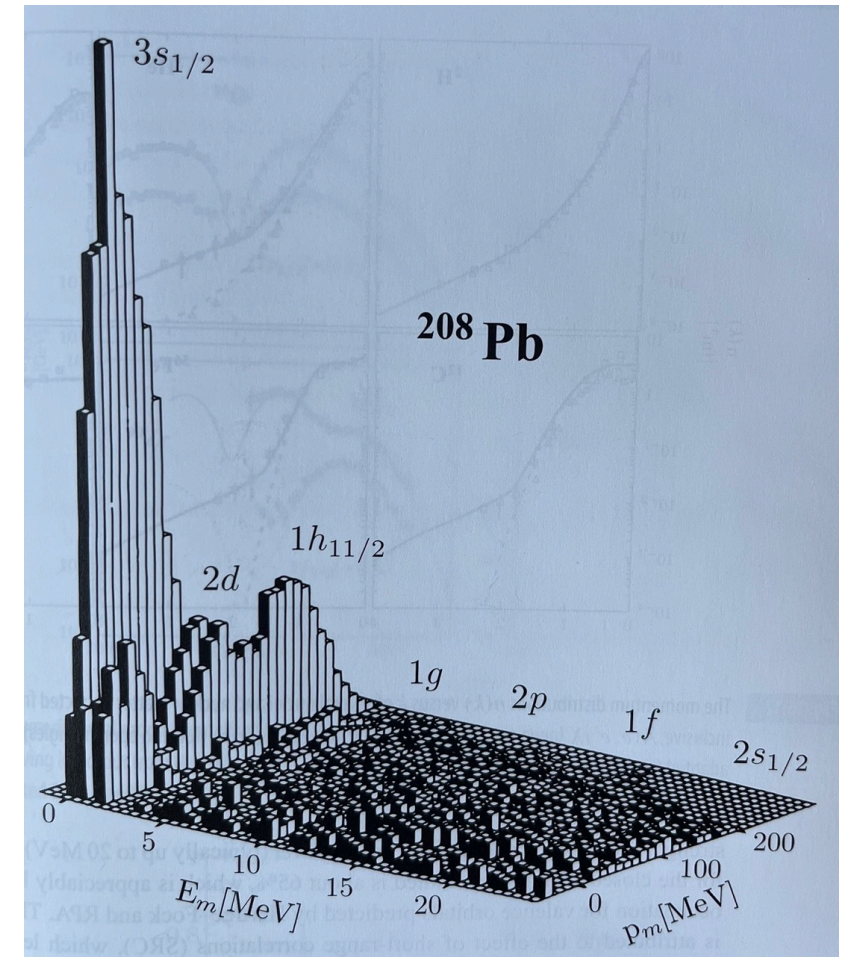
Free-moving \neq free, the potential makes the nucleon off-shell

$$E_N = \sqrt{M_N^2 + \vec{p}^2} + \epsilon$$

Spectral function

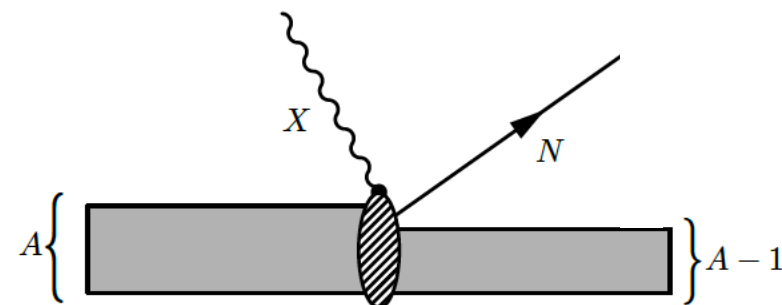
Probability density as a function of nucleon energy and momentum (or their equivalent)

Homework*: What would the spectral function look like if the proton is on-shell (as in Fermi gas).



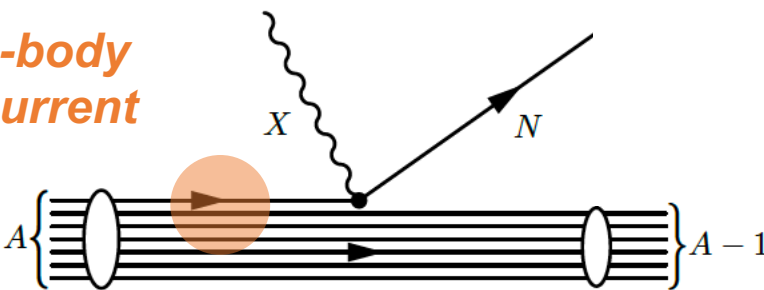
${}^{208}\text{Pb}$ spectral function represented by missing energy and missing momentum, using cross section data for the ${}^{208}\text{Pb}(e, e'p){}^{207}\text{Tl}^*$ reaction.

Quint, PhD thesis, University of Amsterdam, 1988

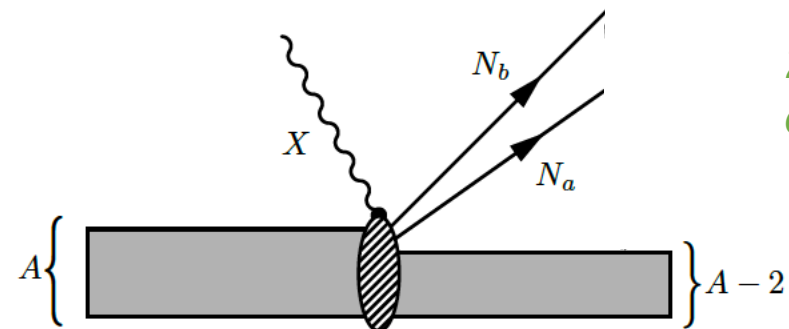
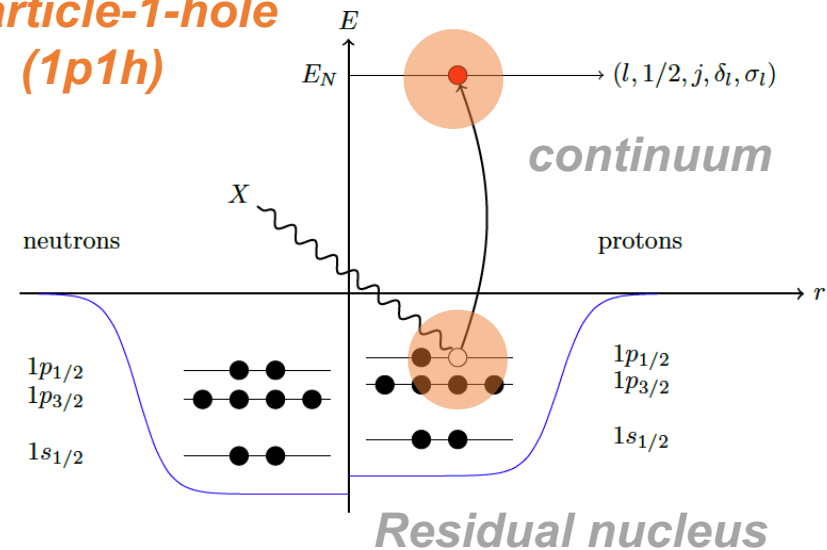


Impulse approximation (IA)

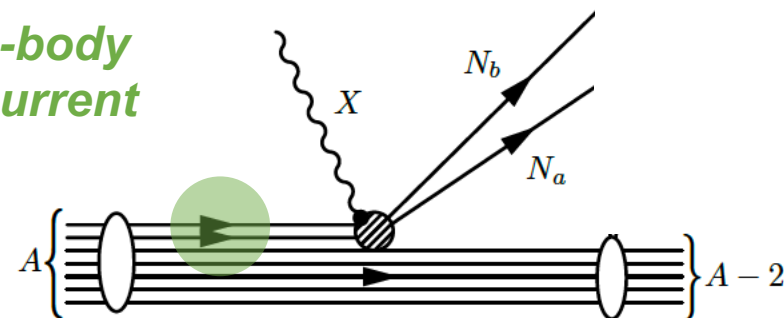
1-body current



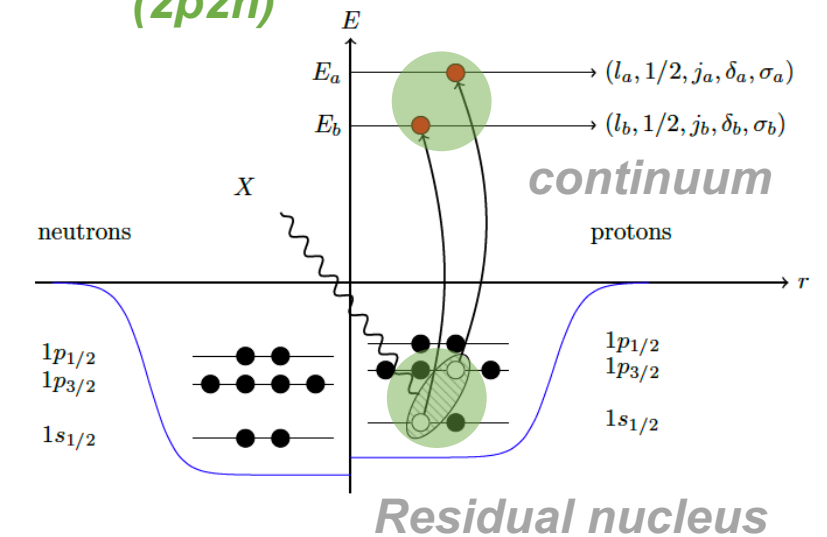
1-particle-1-hole (1p1h)



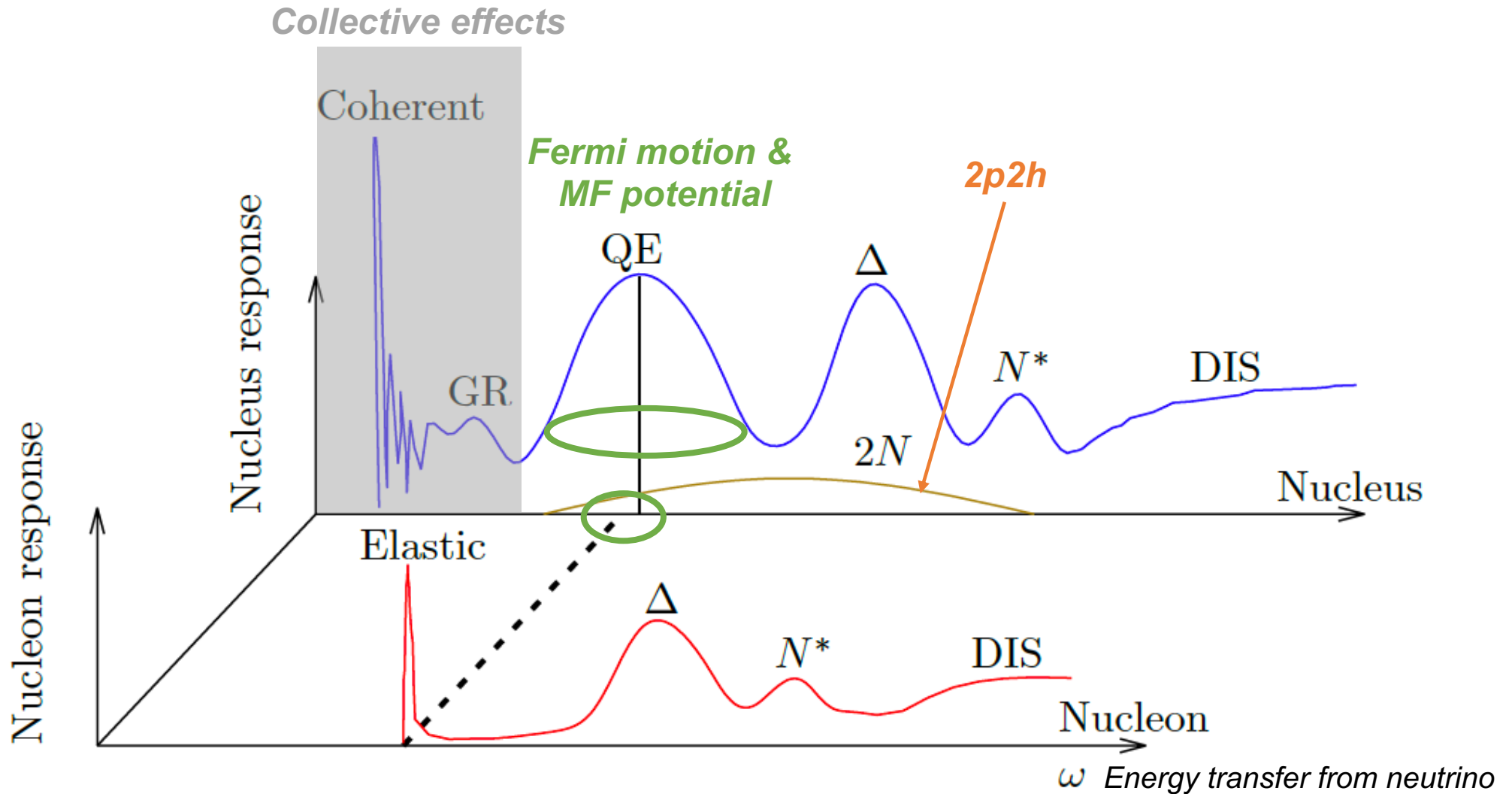
2-body current



2-particle-2-hole (2p2h)

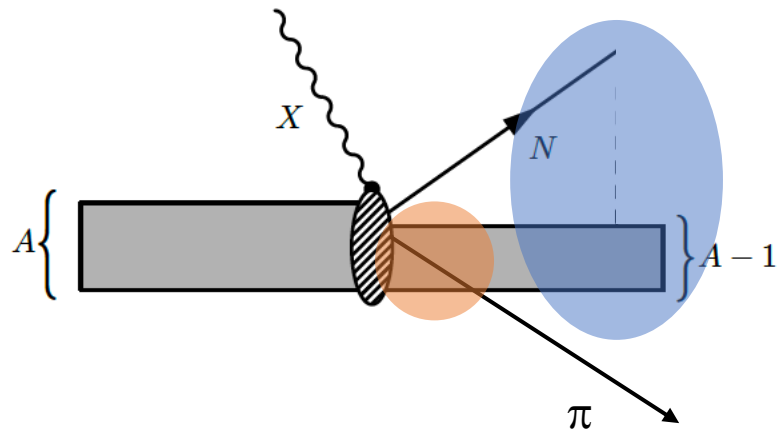


All figures from Van Cuyck, PhD Thesis, Ghent University (2017)

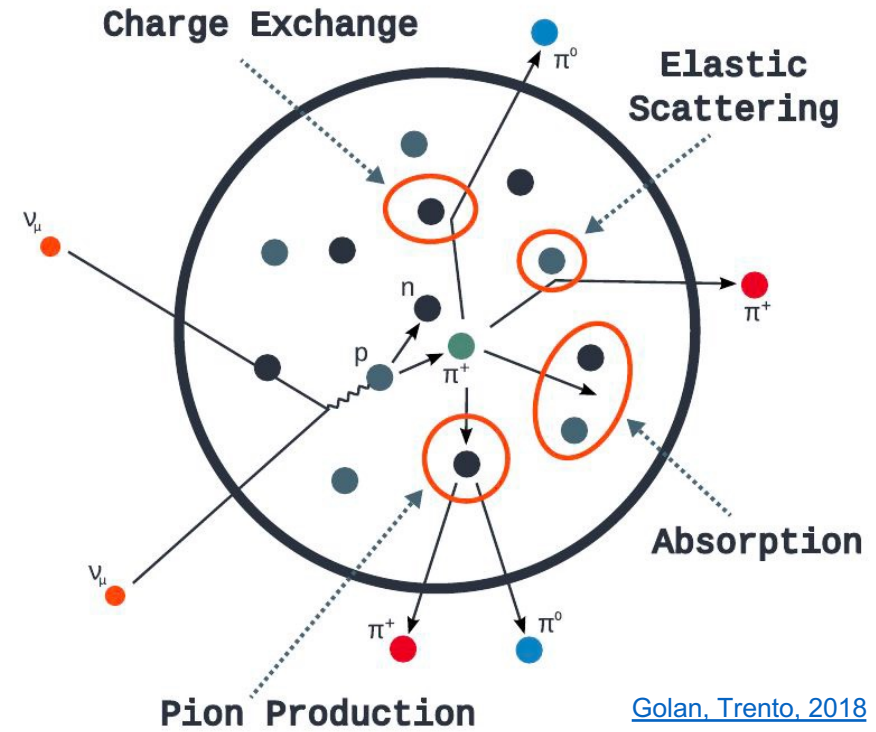


Van Cuyck, PhD Thesis, Ghent University (2017)

Final-state interaction (FSI)



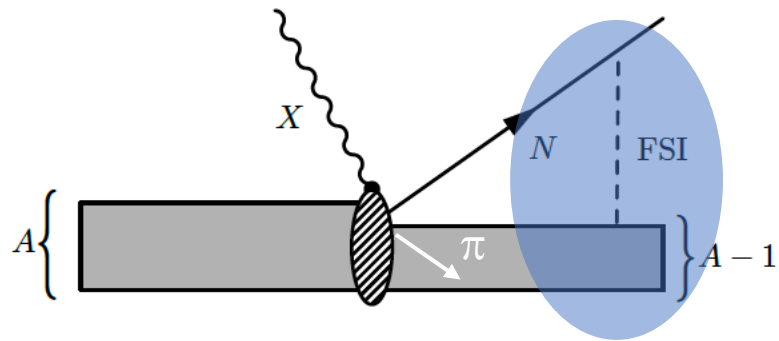
Van Cuyck, PhD Thesis, Ghent University (2017)



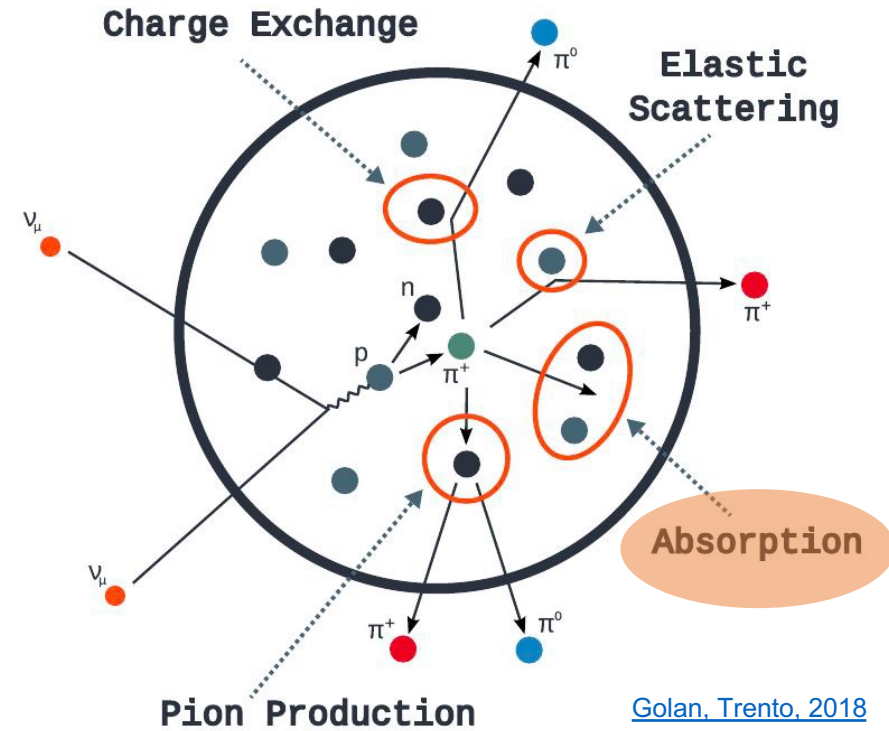
[Golan, Trento, 2018](#)

Final-state interaction (FSI)

Can not identify RES experimentally

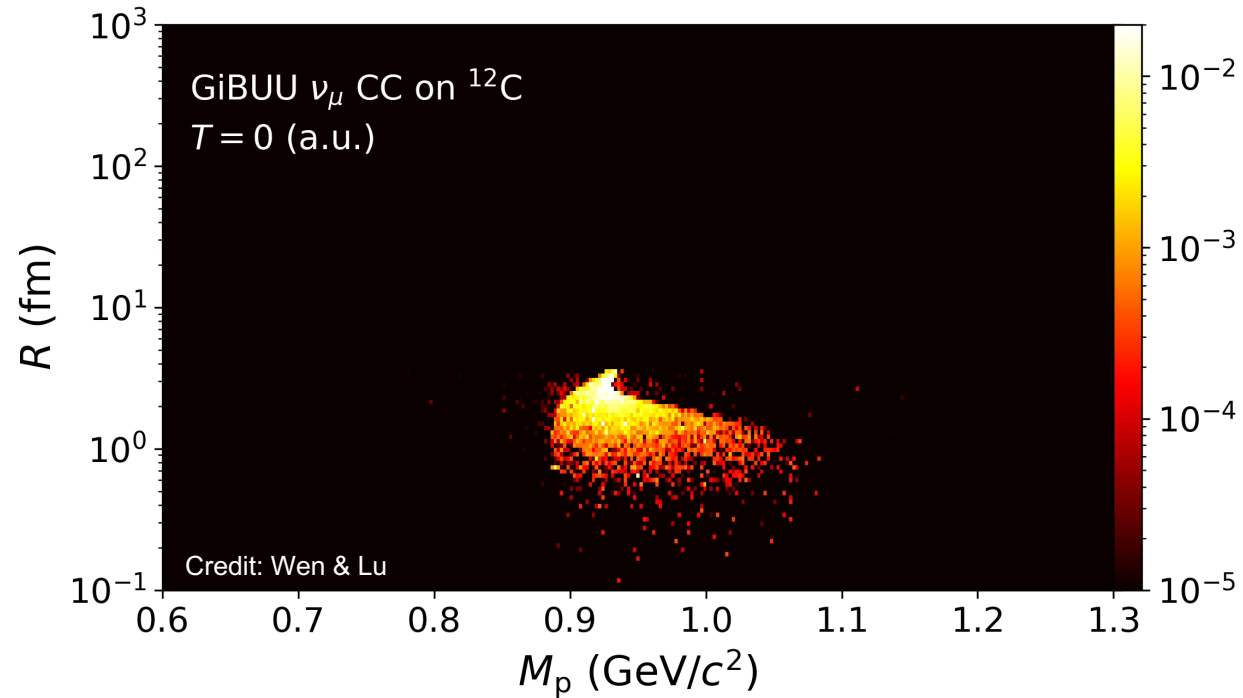


Van Cuyck, PhD Thesis, Ghent University (2017)



[Golan, Trento, 2018](#)

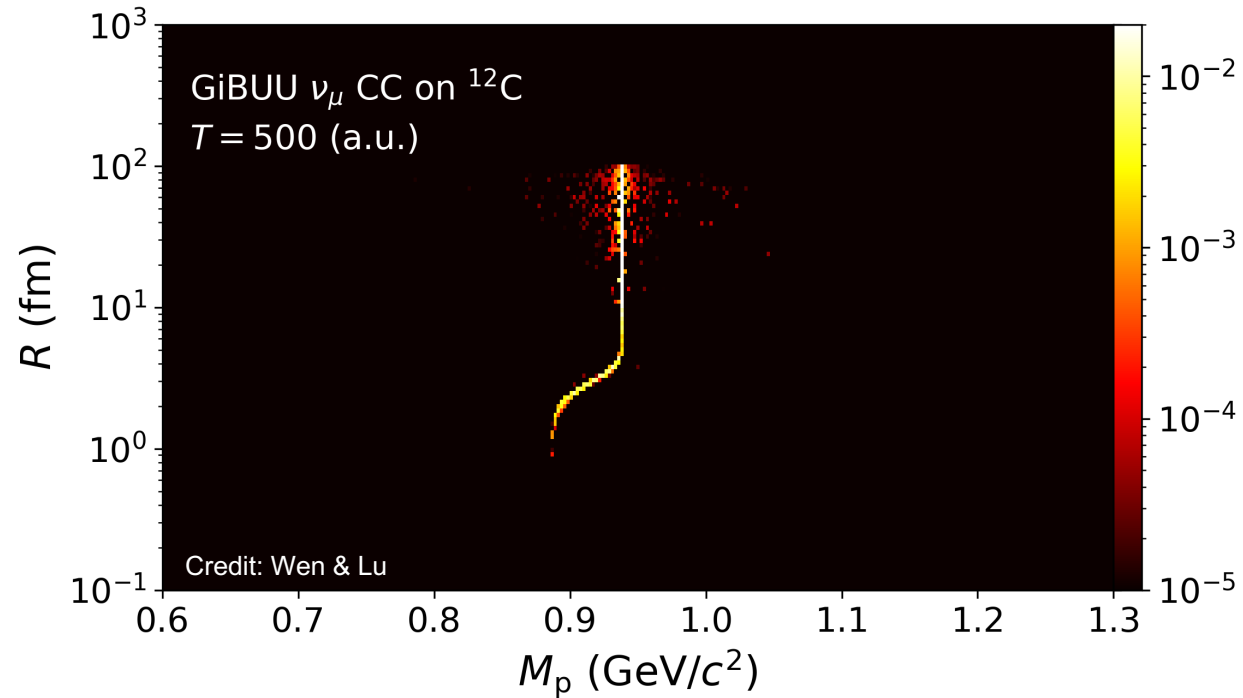
Final-state interaction (FSI)



† Proton in GiBUU final-state transport
 R : radial position, M_p : mass

Final-state proton inside nucleus: **mass** evolves as it propagates out of the nucleus.

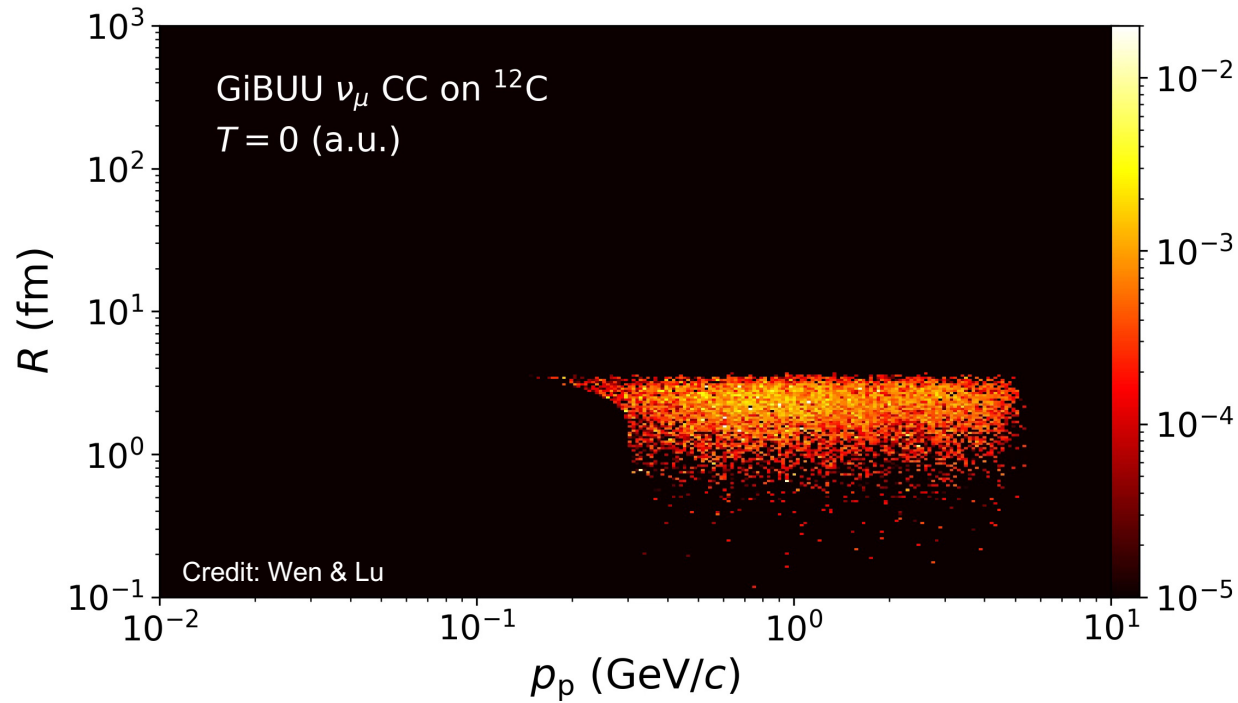
Final-state interaction (FSI)



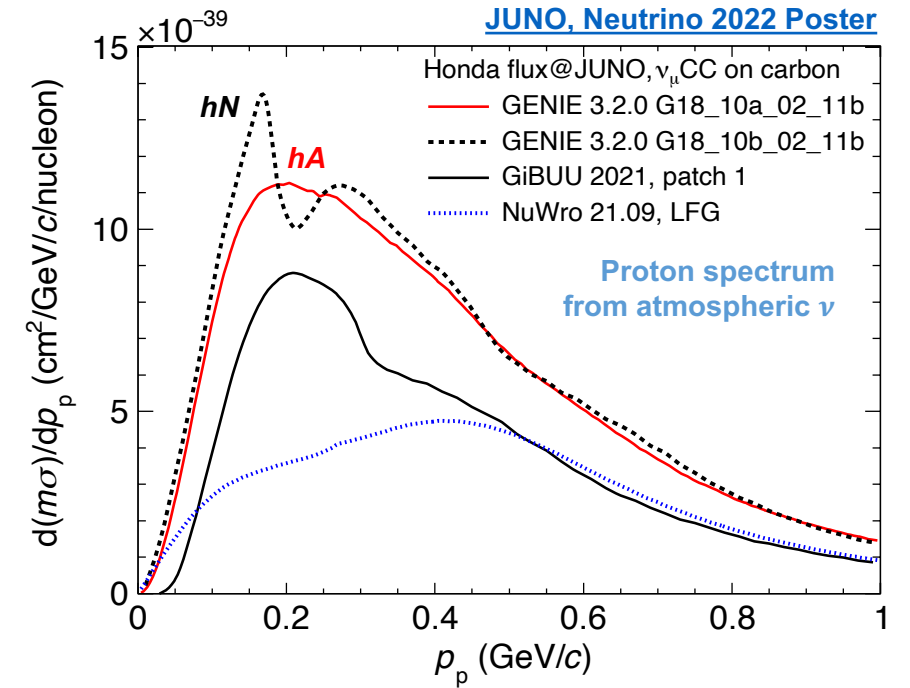
† Proton in GiBUU final-state transport
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Final-state interaction (FSI)

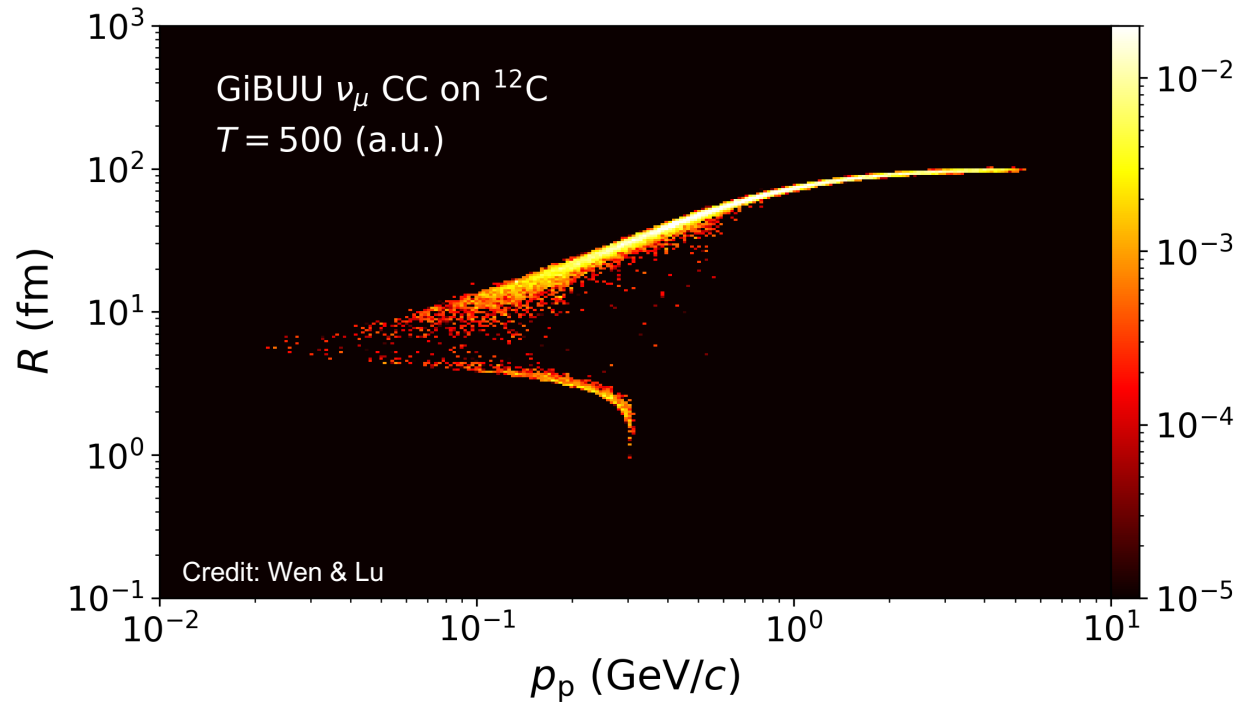


† Proton in GiBUU final-state transport
 R : radial position, p_p : momentum

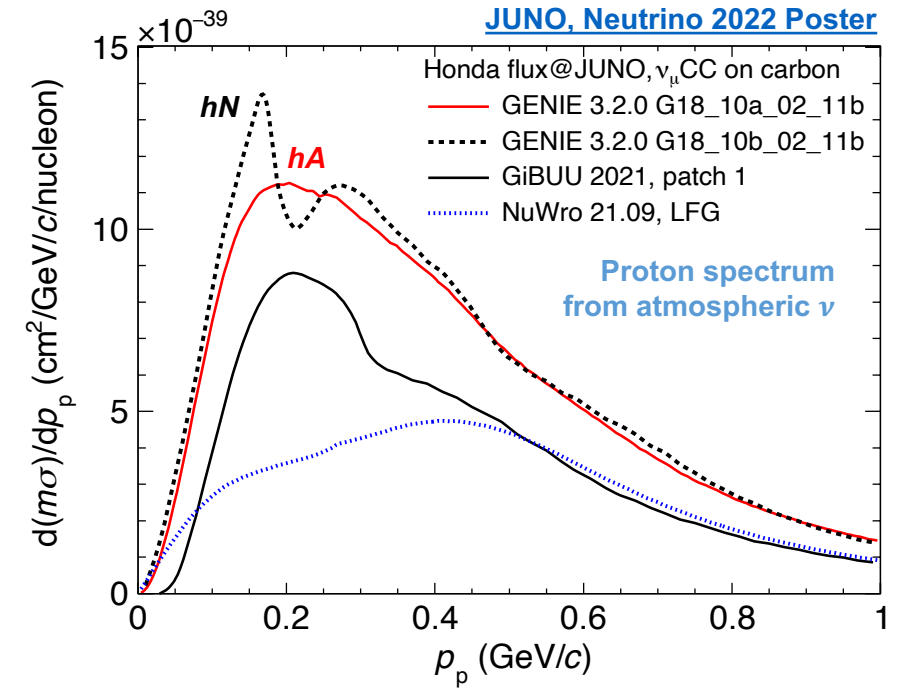


Final-state proton in neutrino interactions: **momentum** evolves as it propagates out of the nucleus.

Final-state interaction (FSI)



† Proton in GiBUU final-state transport
 R : radial position, p_p : momentum

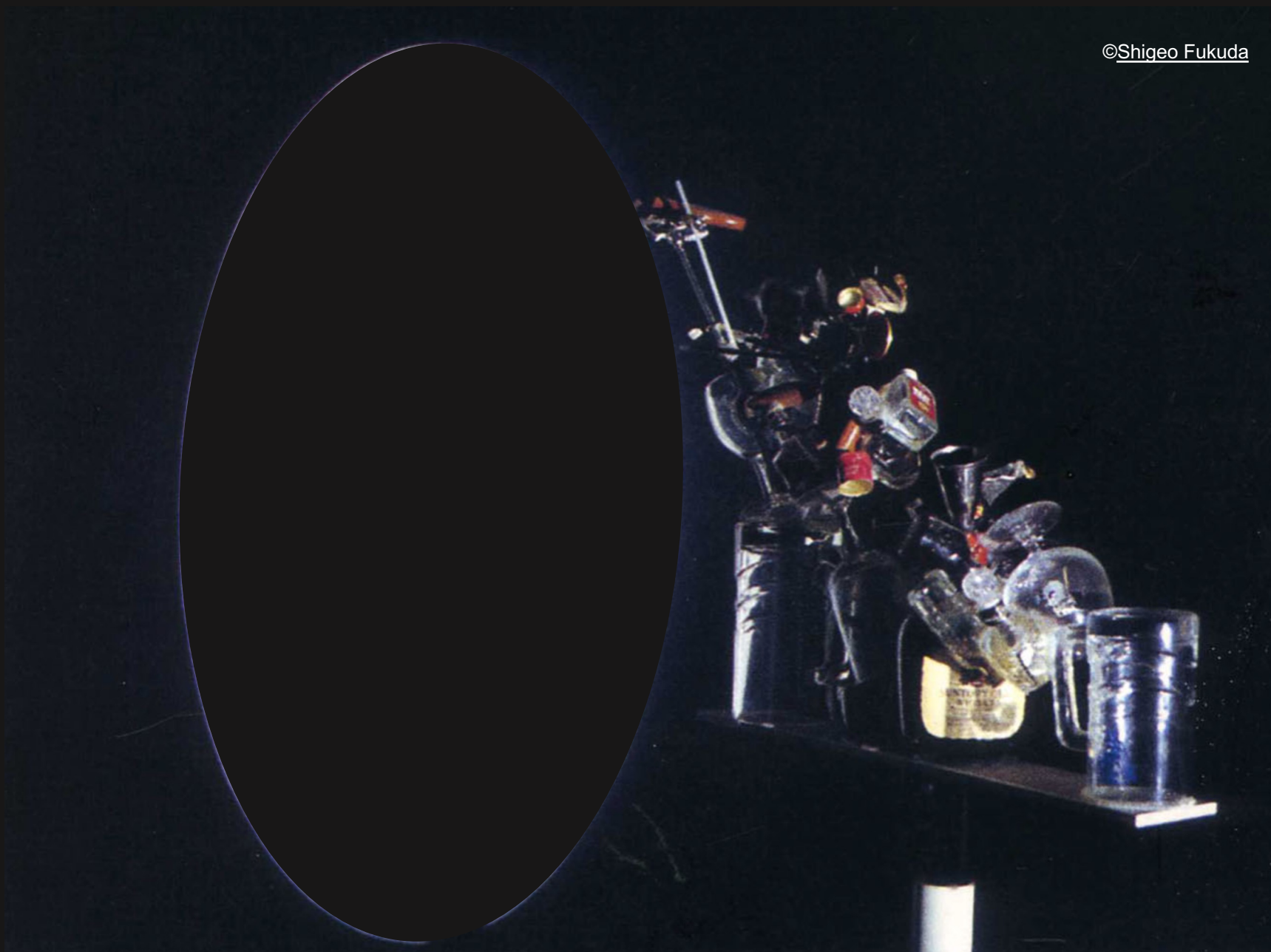


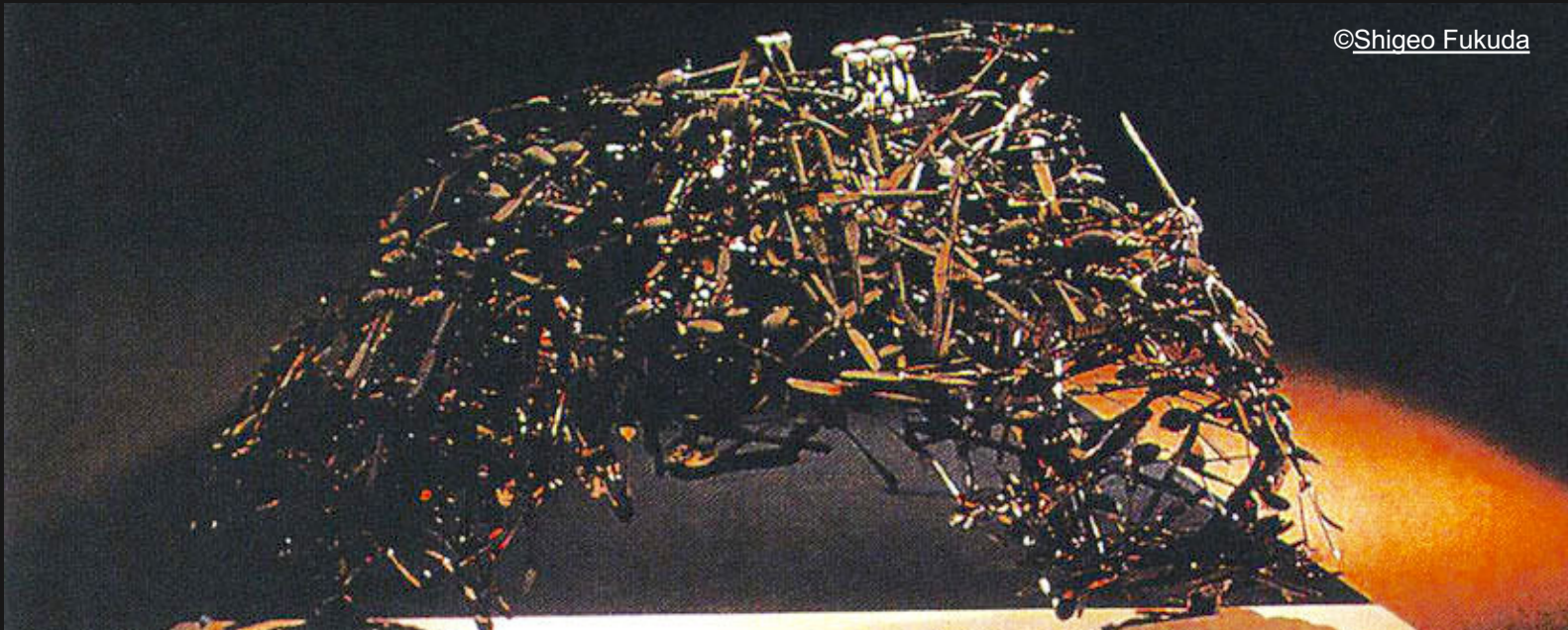
Final-state proton in neutrino interactions: **momentum** evolves as it propagates out of the nucleus.

Homework: In the GiBUU FSI movie:

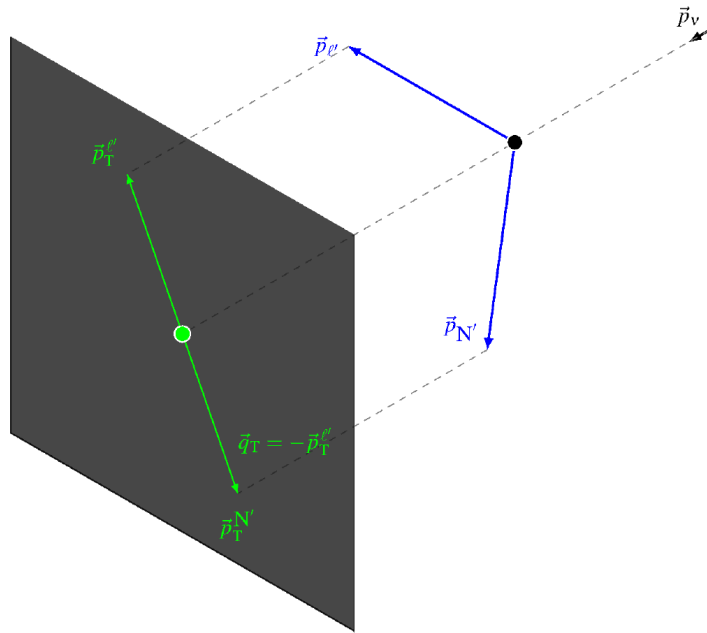
1. * Calculate the physical time unit, i.e. how long is $T=1$ a.u.?
2. *** Locate the forbidden region. Explain its mechanism. What determines its boundary?

Part 3: TKI Phenomenology

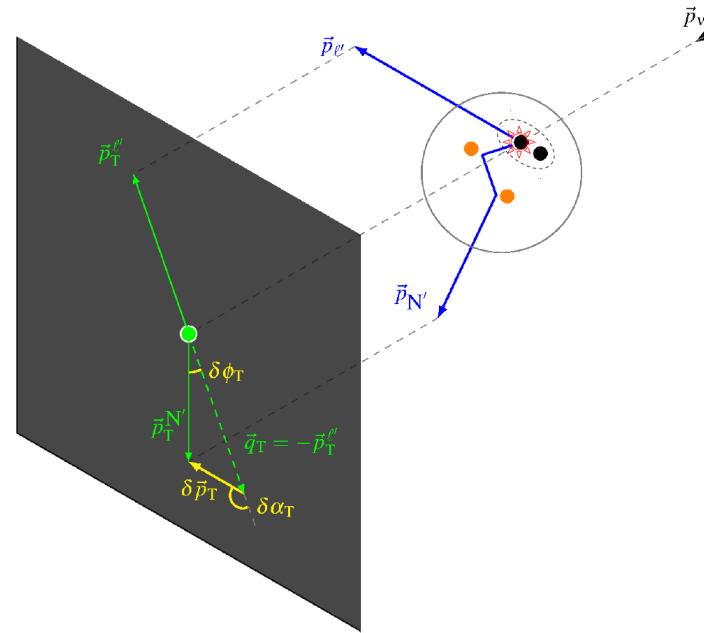




Transverse Kinematic Imbalance (TKI)



Stationary free nucleon target



Nuclear target ($A > 1$)

- Fermi motion
- FSI
- 2p2h

Missing energy



From Wikipedia, the free encyclopedia

[...]
[neutrinos](#).^[1] In general, missing energy is used to infer the presence of non-detectable particles and is expected to be a signature of many theories of [physics beyond the Standard Model](#).^{[2][3][4]}

[...]
[hadron colliders](#).^[5] The initial momentum of the colliding [partons](#) along the beam axis is not known —

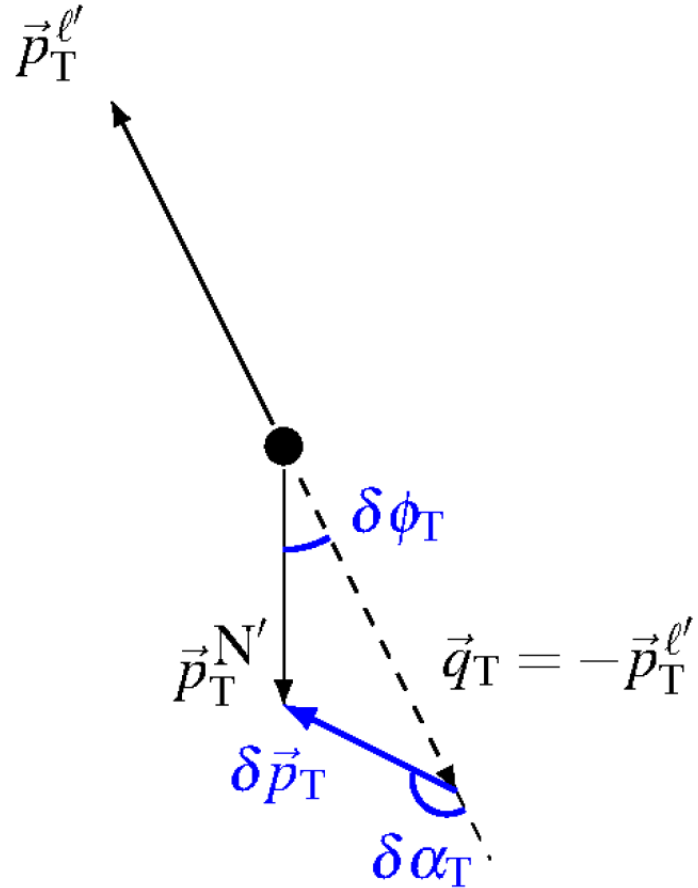
TKI

Multi-dimensional observation

- Momentum (magnitude)
- Angle
- Asymmetry

[Lu, et al., Phys.Rev.D 92, 051302 \(2015\)](#)
[Lu, et al., Phys.Rev.C 94, 015503 \(2016\)](#)

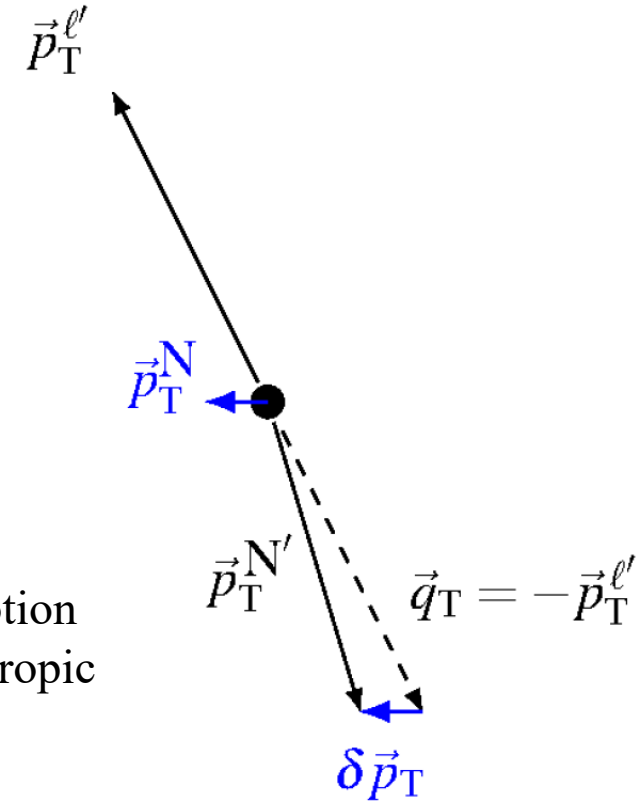
Transverse Boosting Angle $\delta\alpha_T$



if Fermi motion only



$\delta\vec{p}_T = \vec{p}_T^N$
 $\delta\alpha_T$ is Fermi motion
 direction \rightarrow isotropic



In full

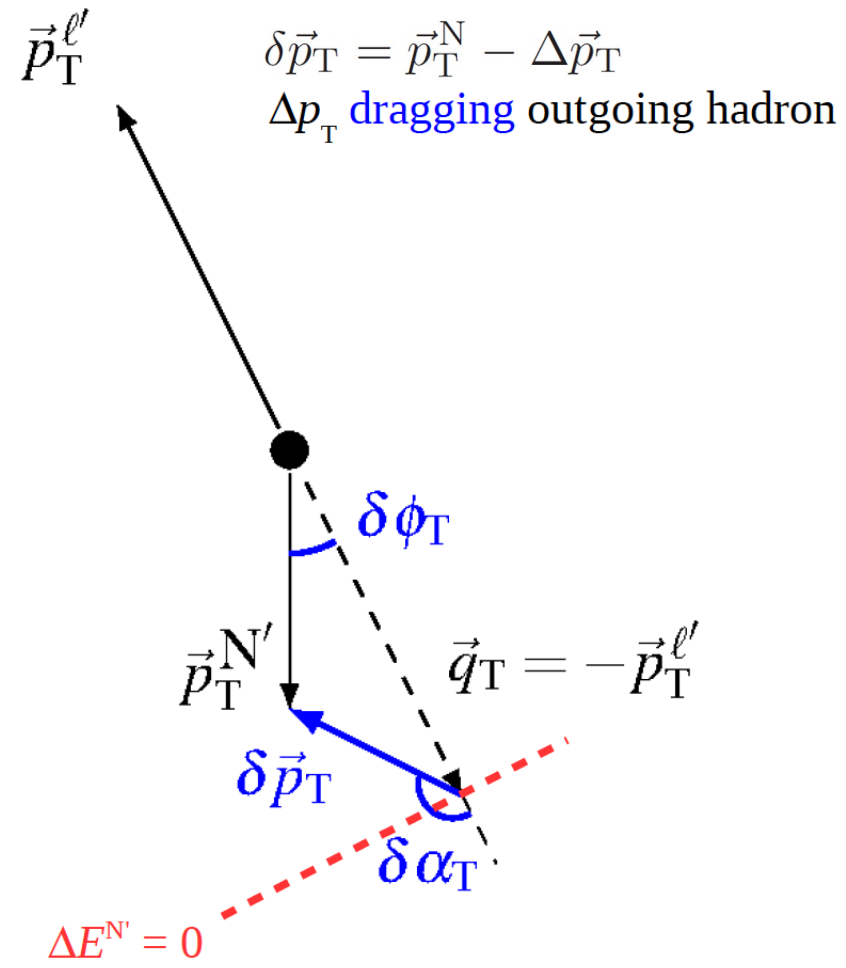
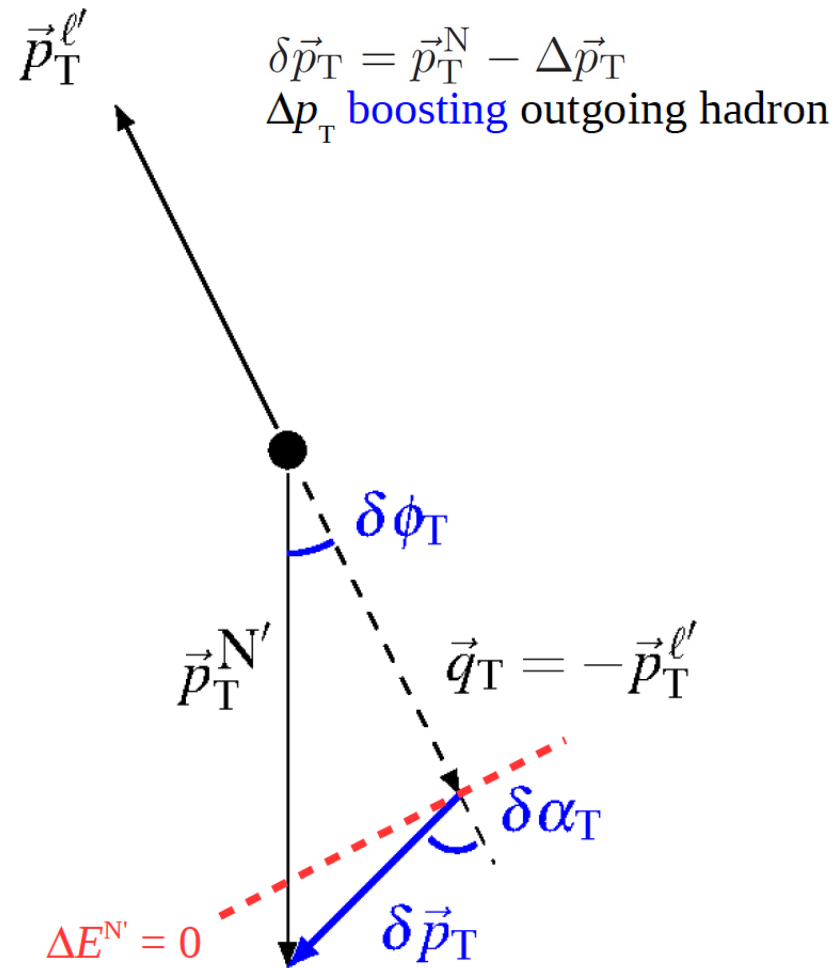
$$\delta\vec{p}_T = \vec{p}_T^N - \Delta\vec{p}_T$$

$\Delta\vec{p}_T$ — FSI and missing particles

$\delta\vec{p}_T$

- total transverse momentum
- transverse momentum imbalance
- missing pT
- ...

Transverse Boosting Angle $\delta\alpha_T$



FSI and momentum sharing with extra particles

- pion absorption
- 2p2h

Emulated Nucleon Momentum p_N

[Furmanski & Sobczyk, Phys.Rev.C 95, 065501 (2017)]

A more general analysis of kinematic imbalance

Transverse: $0 = \vec{p}_T^{\ell'} + \vec{p}_T^{N'} - \delta\vec{p}_T$

Longitudinal: $E_\nu = p_L^{\ell'} + p_L^{N'} - \delta p_L$

New variable: $p_n \equiv \sqrt{\delta p_T^2 + \delta p_L^2}$

Neutrino energy is unknown (in the first place), equations are not closed.

Assuming exclusive μ -p-A' final states
Use energy conservation to close the equations

$$E_\nu + m_A = E_{\ell'} + E_{N'} + E_{A'}$$

$$E_{A'} = \sqrt{m_{A'}^2 + p_n^2}$$

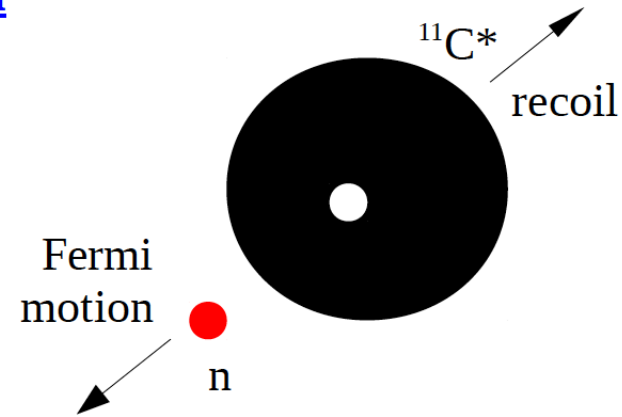
p_n : recoil momentum of the nuclear remnant

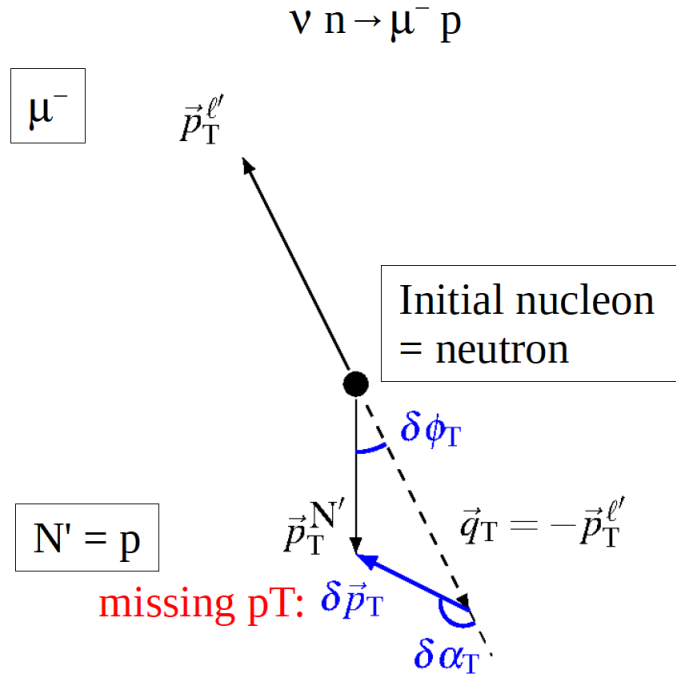
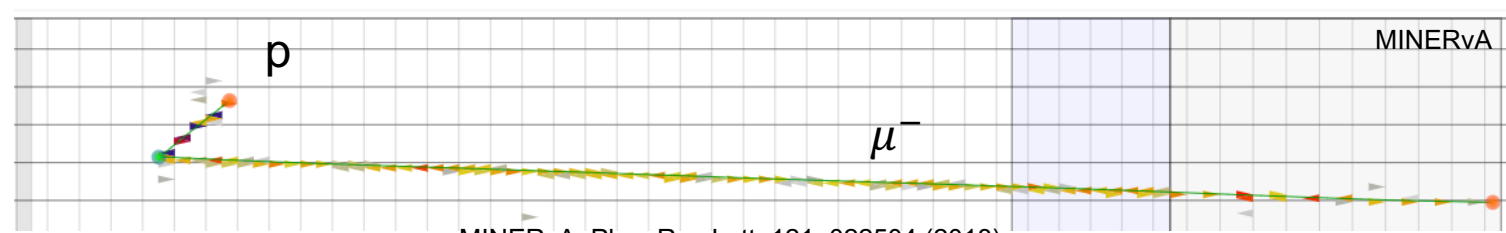
final-state

Dual Interpretation

For CCQE, $A' = {}^{11}\text{C}^*$
No more unknowns
 p_n : neutron Fermi motion

initial-state

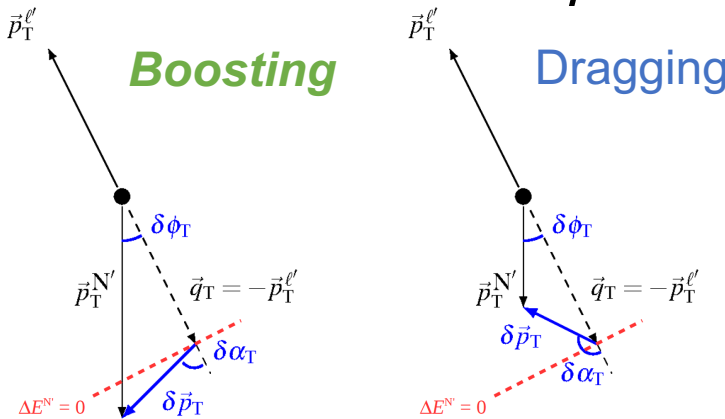
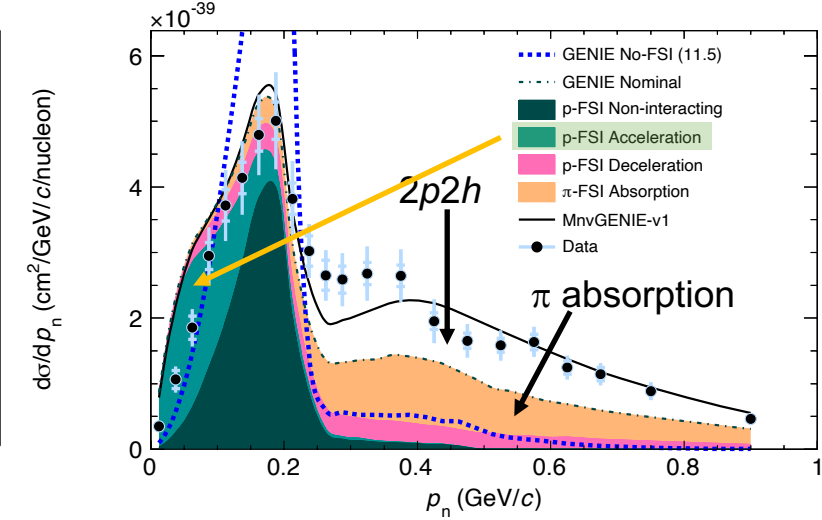
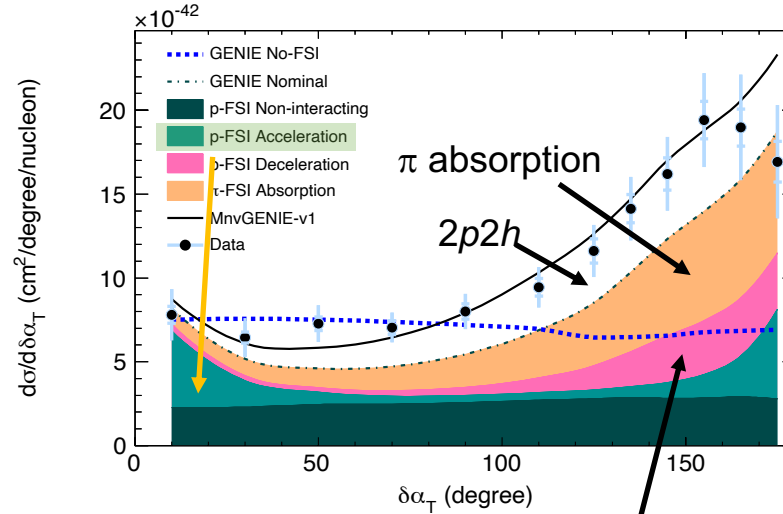
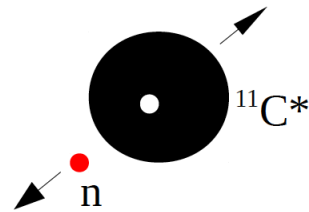




Assuming target remnant $^{11}\text{C}^*$

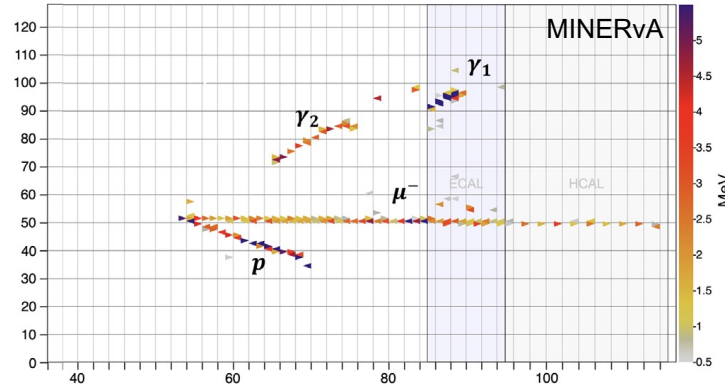
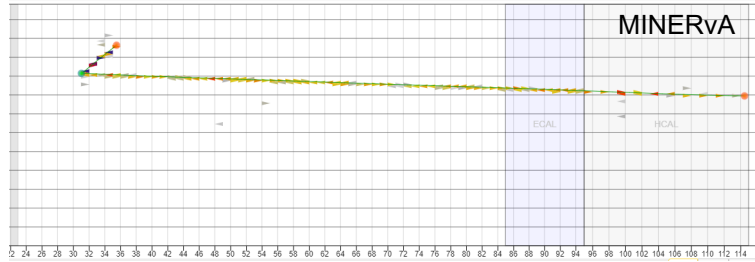
$$p_n \equiv \sqrt{\delta p_T^2 + \delta p_L^2}$$

$$\sim [1 + O(10\%)] \times \delta p_T$$

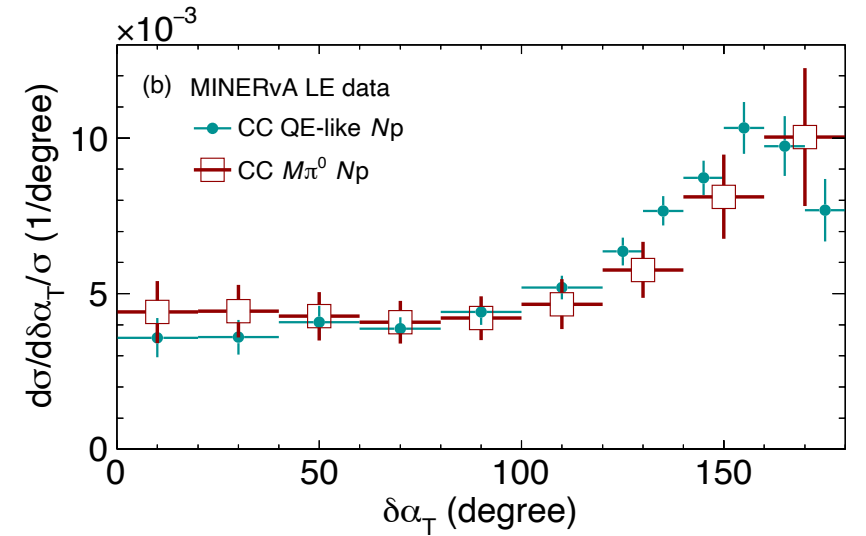
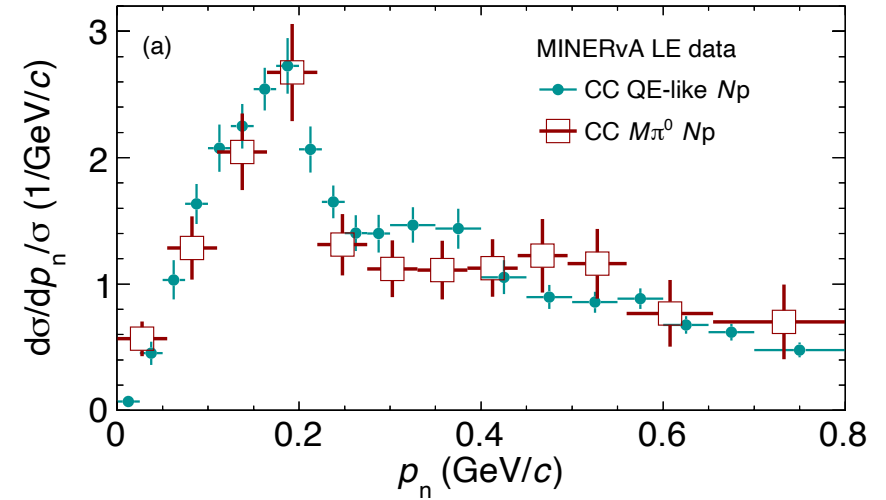


Abnormal acceleration?!

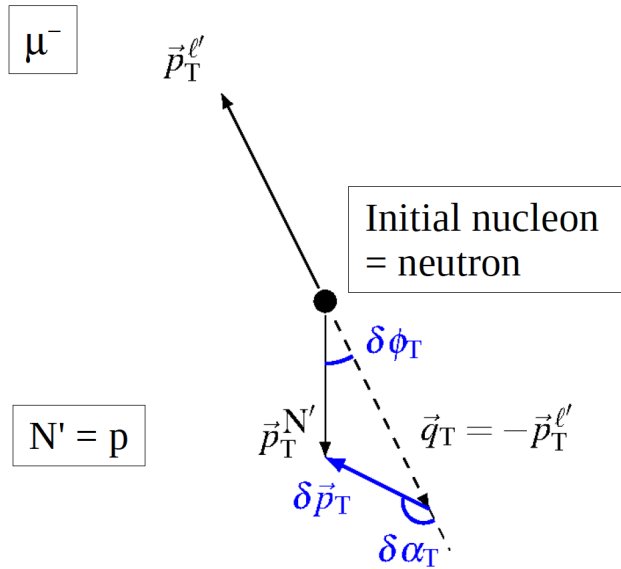
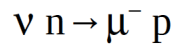
- ☐ GENIE FSI (v2.8 hA)
- ✓ *Not dark energy*
- ✓ *Identified by $\delta\alpha_T$ prior to measurement*
- ✓ *Fixed after measurement*



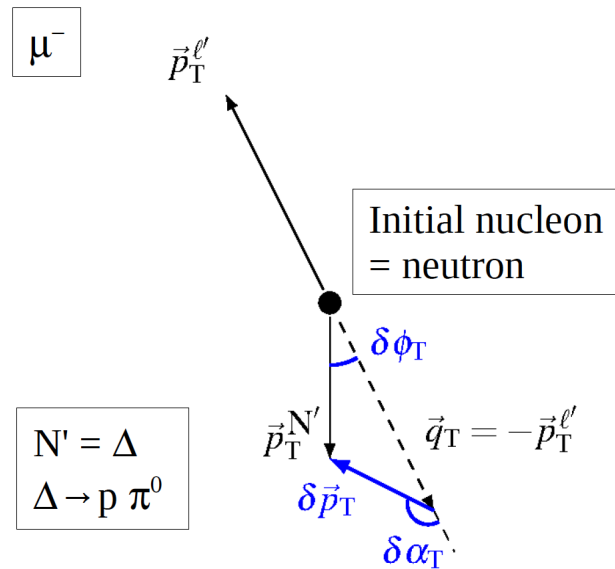
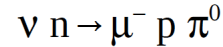
Surprising consistency!



MINERvA, Phys. Rev. D 102, 072007 (2020)



via $CC0\pi$ measurement

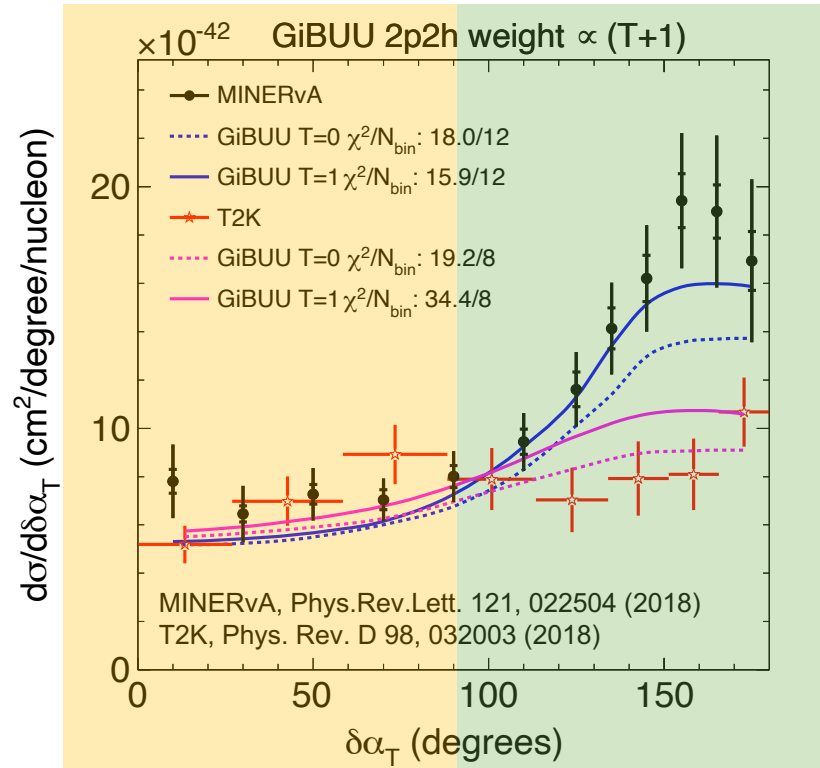


via inclusive π^0 production

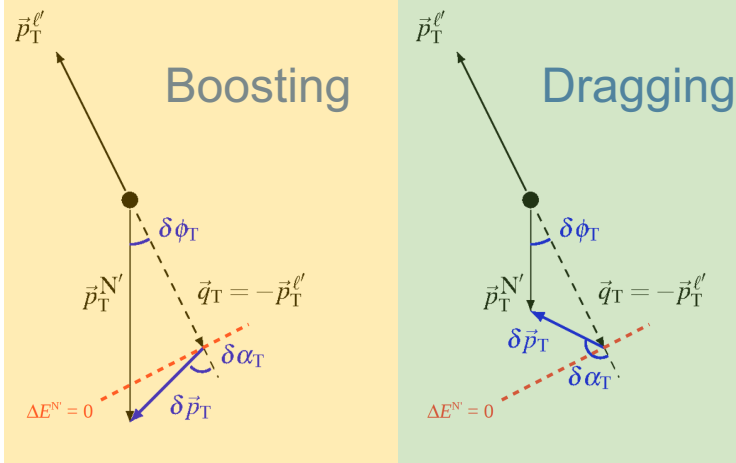
[Lu & Sobczyk, Phys.Rev.C 99, 055504 (2019)]

Lu, Xianguo 卢显国, Warwick: Neutrino Interactions in the Few-GeV Regime

TKI: FSI and 2p2h

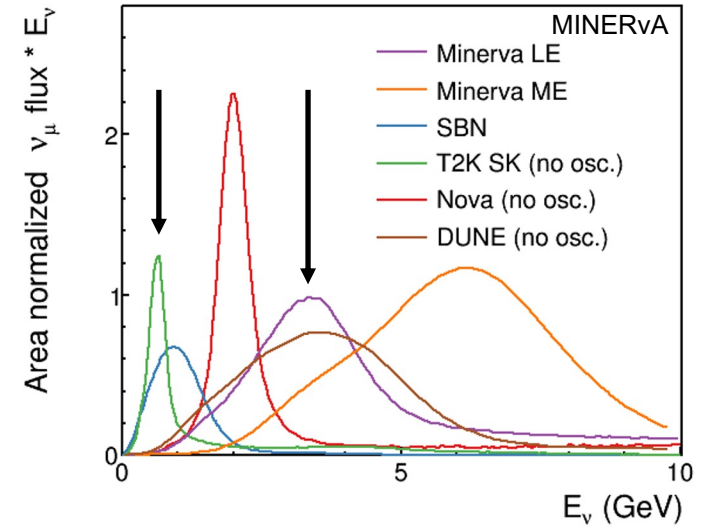
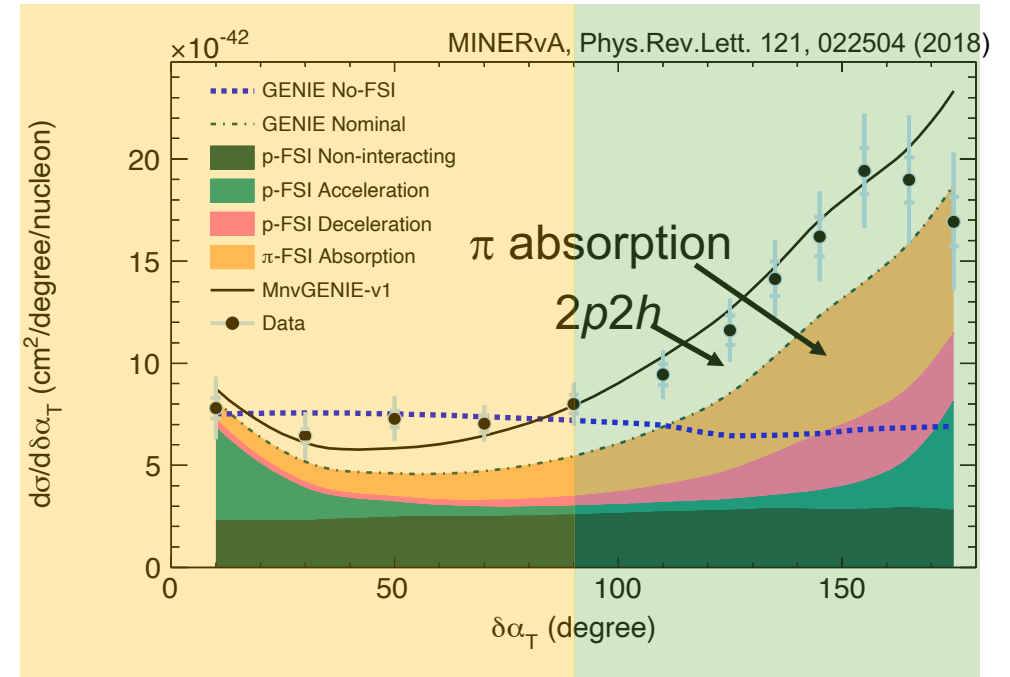


➤ Consistent QE xsec



➤ At T2K energy: smaller pion production and absorption

➤ Also sensitive to 2p2h



Neutrino Interactions in the Few-GeV Regime

1. Experimental Techniques
 - a. Standard Model and massive neutrinos: **from elements to quark and leptons**
 - b. GeV neutrino experiments
 - i. **Neutrino production**
 - ii. **Neutrino detection**
 - c. Detectors
 - i. **Plastic scintillator tracker**
 - ii. **Liquid argon TPC**
 - iii. **High-pressure gas TPC**
 - d. MINERvA measurements
 - i. **Flux and detector**
 - ii. **Neutrino-electron elastic scattering, inverse muon decay, CC coherent production, and kaon production**
2. Neutrino Scattering and Nuclear Structure
 - a. Neutrino-nucleon interactions: **DIS, QE, and RES**
 - b. Nuclear models: **Fermi gas, shell model, and spectral function**
 - c. Neutrino-nucleus interactions: **nuclear currents and FSI**
3. TKI Phenomenology
 - a. Definitions: **transverse boosting angle and emulated nucleon momentum**
 - b. Measurements and interpretations: **CC0 π vs. CC π^0 and MINERvA vs. T2K**

Quiz

1. *How many neutrons does argon have?*
2. *Here is a model: $1 \text{ year} = \pi \times 10^{\#\text{days of a week}}$ seconds. It is wrong by a few __%. (A: 10, B: 1, C: 0.1)*
3. *Assuming height=diameter, what is the diameter of the 50kt-water tank in meters?*
4. *Air density at STP in kg/m^3 ? What about water (in its usual liquid condition)? (A: 10^3 , B: 1, C: 10^{-3})*
5. *Density ratio between liquid air and water (at their own conditions)? What about polystyrene (a kind of plastics) vs. water? (A: 10, B: 1, C: 0.1)*
6. *What is Earth's diameter in unit of DUNE's baseline? (A: 100, B: 50, C: 10)*
7. *What is the momentum scale for 1 fm according to the uncertainty principle?*

Homework

1. Calculate the Cherenkov light threshold (in total energy, kinetic energy, and momentum) for e , μ , π^+ , K^+ , p , τ .
2. Calculate proton momentum for 10 MeV and 100 MeV kinetic energy.
3. If we need to have signals from at least 6 wires in LArTPC to reconstruct a proton track, what is the tracking threshold?
4. Write down all (anti)neutrino-hydrogen interactions whose final-state particles are all electrically charged.
5. Write down the Feynman diagram(s) for ν -e elastic scattering.
6. Inverse muon decay
 - 1) Write down the Feynman diagram(s).
 - 2) What is the minimum neutrino energy for this process to happen (that is, the neutrino energy threshold)?
 - 3) Can this technique constrain $\bar{\nu}_\mu$ flux?
7. ** Explain $\sigma_{CC}^{\nu N}/\sigma_{CC}^{\bar{\nu} N} \simeq 2$ at high energy.
8. Calculate the energy threshold of ν_e and ν_μ CCQE scattering on nucleons.
9. Check the quantum numbers and quark contents of Δ and N^* on PDG (Google “pdg delta resonance”; navigate <https://pdg.lbl.gov/>).
10. * Using isospin arguments (CG coefficients), show that the cross-section ratio between $\nu_\mu p \rightarrow \mu^- p \pi^+$, $\nu_\mu n \rightarrow \mu^- p \pi^0$, and $\nu_\mu n \rightarrow \mu^- n \pi^+$ is 9:2:1 (assuming Δ dominance).
11. ** What charge is seen by neutrino CC scattering?
12. * What would the spectral function look like if the proton is on-shell (as in Fermi gas).
13. In the GiBUU FSI movie:
 - 1) * Calculate the physical time unit, i.e. how long is $T=1$ a.u.?
 - 2) *** Locate the forbidden region. Explain its mechanism. What determines its boundary?

BACKUP

The New Impressive

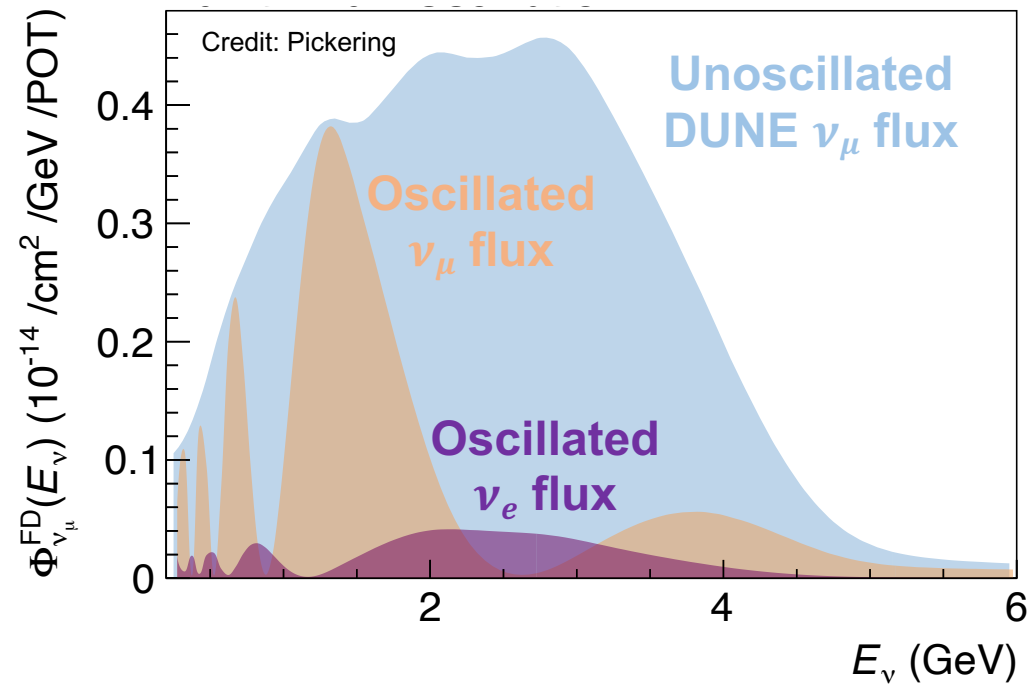


Counting oscillated ν

At *far detector*, interactions **cannot** be measured with *unknown oscillated flux*

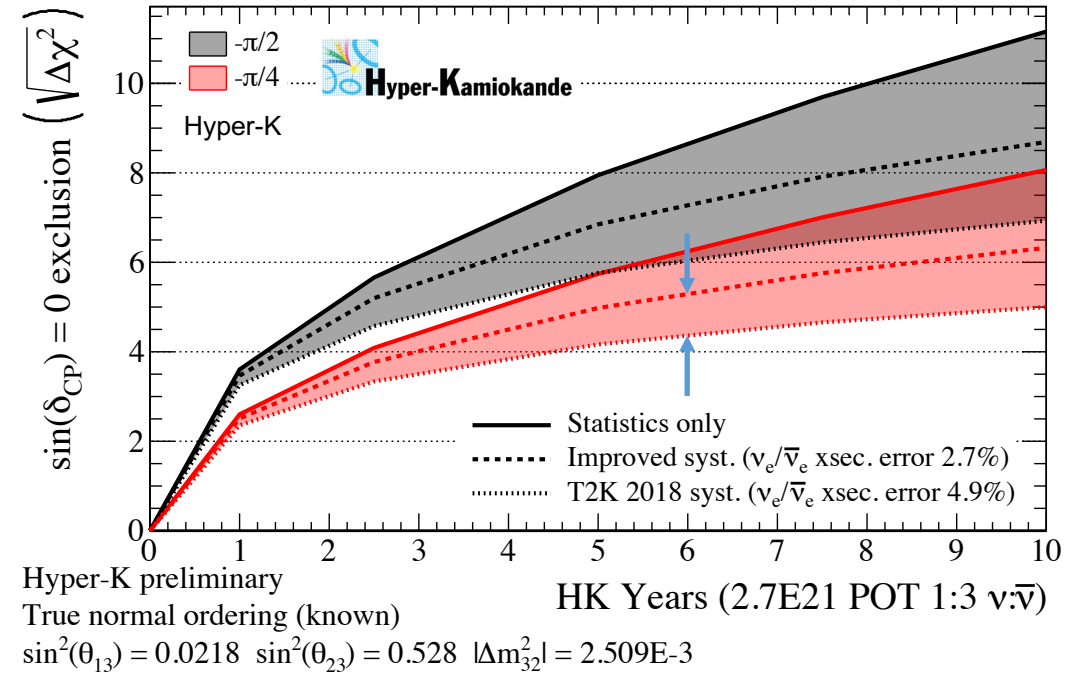
$$\text{Measurement} = (\text{flux} \times \text{interaction}) \oplus \text{detector effects}$$

No two unknowns at the same time



$\nu_e/\bar{\nu}_e$ interactions

- ❑ δ_{CP} requires ν_e and $\bar{\nu}_e$ appearance
 - ✓ Suppress ν_e and $\bar{\nu}_e$ bkg in beams
- ❑ Need $\nu_e/\bar{\nu}_e$ interaction data
- ❑ ν_μ -A + lepton universality constrains ν_e -A to 1st order precision
- ❑ Oscillation requires 2nd order precision
 - ✓ *Higher statistics and better-understood fluxes*



Lepton mass correction m_ℓ^2 + Q^2 Hadronic/nuclear response

$$E_\nu^{\text{tree-level}} = \frac{m_\ell^2 + Q^2}{2(E_\ell - p_\ell \cos \theta_\ell)}$$

Lepton observables

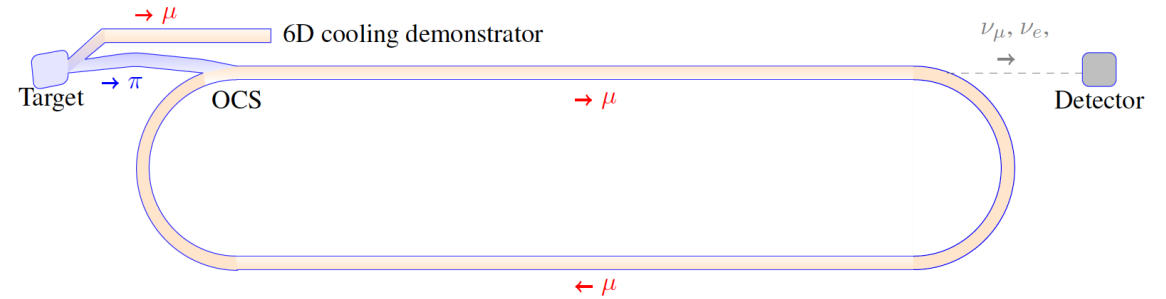
- ❖ QED radiative corrections and lepton mass “nudge” Q^2 , shifting internal (q_0, \vec{q}_3) phase space

$\nu_e/\bar{\nu}_e$ interactions

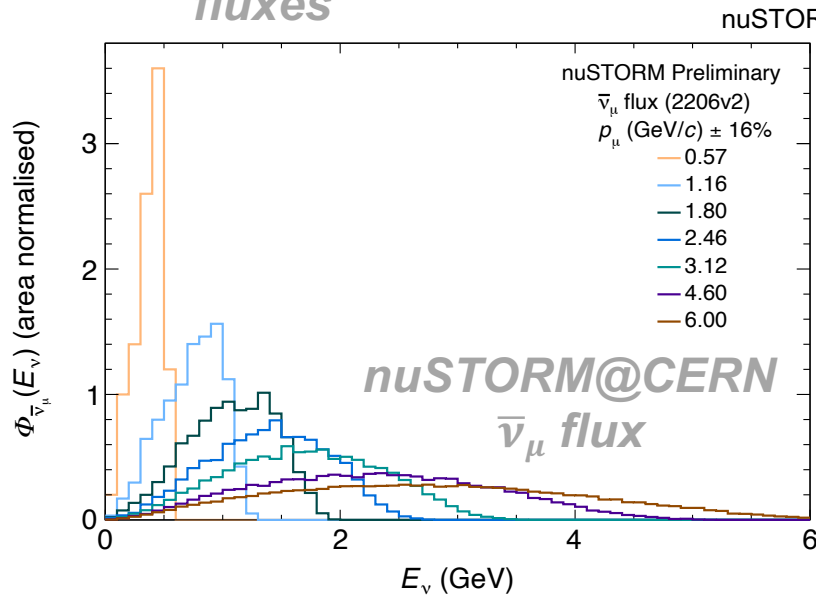
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- ν_μ -A + lepton universality constrains ν_e -A to 1st order precision
- Oscillation requires 2nd order precision
 - ✓ **Higher statistics and better-understood fluxes**

□ ν from STOREd Muons (nuSTORM)

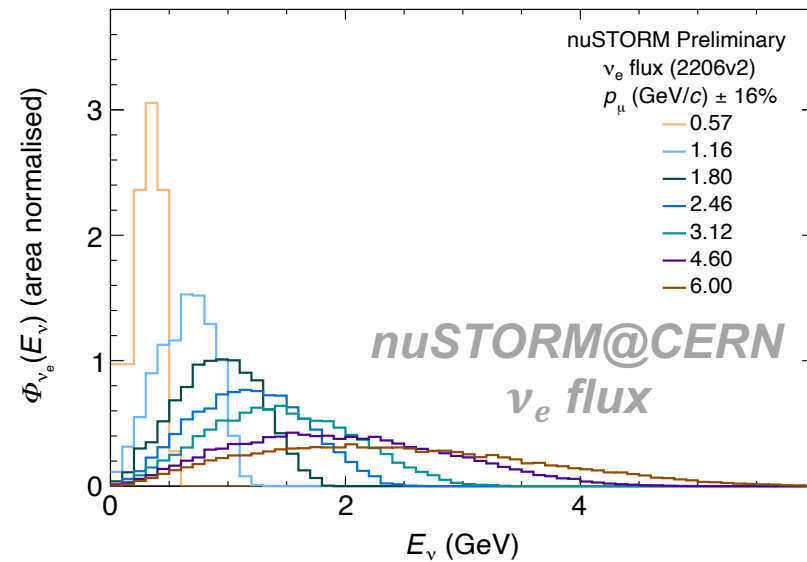
- ❖ $\nu_\mu/\bar{\nu}_e/\bar{\nu}_\mu/\nu_e$ fluxes from μ^\pm decays
- ✓ **1% or better flux precision**



nuSTORM, arXiv:2203.07545



nuSTORM, arXiv:2203.07545



Oscillation-relevant energy regime