

# Muon cLFV + Kaon motivation

Martin Gorbahn  
(University of Liverpool)

ECFA-UK Meeting on UK studies for the European Strategy  
Particle Physics Update, 25 September 24



# Motivation

- Origin and stability of the electroweak scale
- Strong CP problem
- Neutrino masses
- Structure of fermion generations and masses
- Dark matter
- Origin of the matter/ antimatter asymmetry
- Dark energy = cosmological constant?
- Cosmological history at  $T \gtrsim \text{MeV}$
- Quantum gravity. Inflation. Supersymmetry.

- ▶ Structure of fermion generations and masses
- ▶ For non ultra heavy NP: link
  - ▶ Seesaw mechanism
  - ▶ Lepto- / Baryogenesis
  - ▶ Test  $\nu$  interactions
  - ▶ New sources of CP violation
  - ▶ Decay into light new particles

Talk by: Ed Hardy

# Charged ( $\mu$ ) Lepton Flavour Violation

- ▶ Dirac masses:  $\mathcal{B}(\mu \rightarrow e\gamma) \simeq (G_F \Delta m_\nu^2)^2 \sim 10^{-50}, \dots$
- ▶ Majorana masses:  $(\text{Dim } 5)^2 \rightarrow (H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{L} \gamma^\mu L)$

	Current	Future
$\mu \rightarrow e \gamma$	$< 4.2 \times 10^{-13}$	$\sim 10^{-14}$ (MEG II)
$\mu \rightarrow e e e$	$< 1.0 \times 10^{-12}$	$\sim 10^{-16}$ (Mu3e)
$\mu A \rightarrow e A$	$< 7 \times 10^{-13}$	$\sim 10^{-16}$ (COMET, Mu2e)

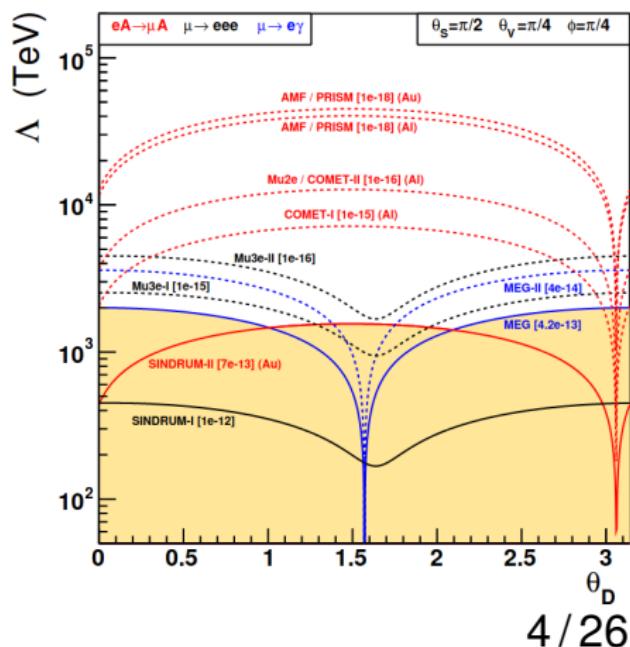
- ▶ Observation of LFV: Clear signal of new physics
- ▶ Could test mechanism of neutrino masses
- ▶ Review: Kuno, Okada hep-ph/9909265

# Weak Effective Theory Constraints

$$\delta \mathcal{L} = \frac{1}{\Lambda_{LFV}^2} \left[ C_D (m_\mu \bar{e} \sigma^{\alpha\beta} P_R \mu) F_{\alpha\beta} + C_S (\bar{e} P_R \mu) (\bar{e} P_R e) + C_{VR} (\bar{e} \gamma^\alpha P_L \mu) (\bar{e} \gamma_\alpha P_R e) + C_{VL} (\bar{e} \gamma^\alpha P_L \mu) (\bar{e} \gamma_\alpha P_L e) + C_{A\text{light}} \mathcal{O}_{A\text{light}} + C_{A\text{heavy}\perp} \mathcal{O}_{A\text{heavy}\perp} \right]$$

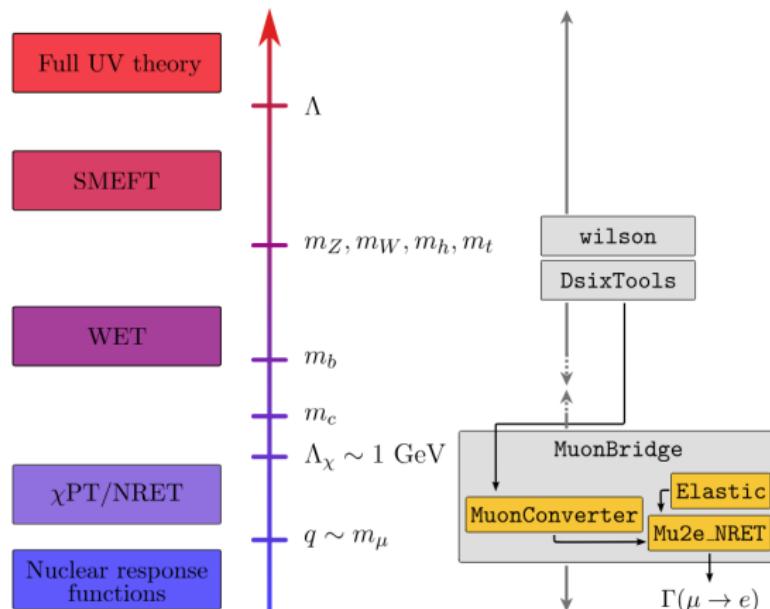
- ▶ Complementary information
  - ▶ Including RGE & Parametrisation
- 2204.00564

$\vec{C} \cdot \vec{e}_D$	$ \vec{e}_D  \cos \theta_D$
$\vec{C} \cdot \vec{e}_S$	$ \vec{e}_S  \sin \theta_D \cos \theta_S$
$\vec{C} \cdot \vec{e}_{VL}$	$ \vec{e}'_{VL}  \sin \theta_D \sin \theta_V$
$\vec{C} \cdot \vec{e}_{VR}$	$ \vec{e}'_{VR}  \sin \theta_D \sin \theta_V$
$\vec{C} \cdot \vec{e}_{A\text{light}}$	$ \vec{e}_{A\text{light}}  \sin \theta_D \sin \theta_D$
$\vec{C} \cdot \vec{e}_{A\text{heavy}\perp}$	$ \vec{e}_{A\text{heavy}\perp}  \sin \theta_D$



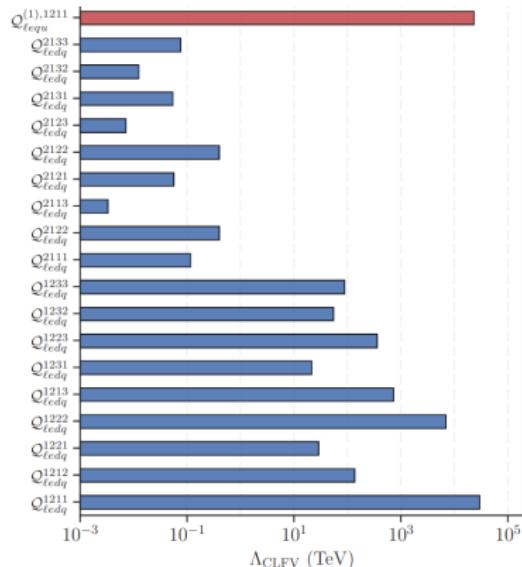
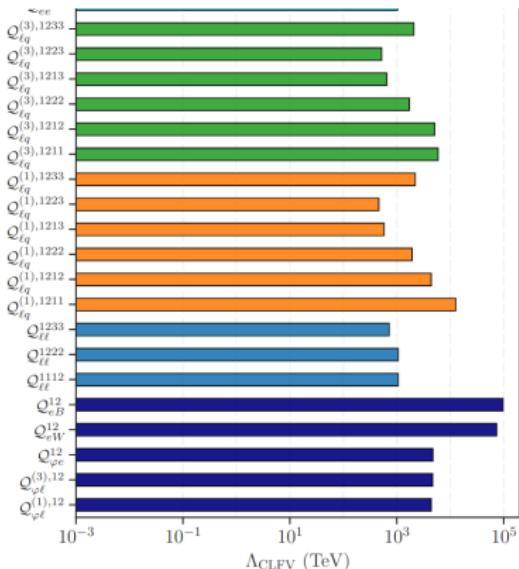
# EFT for muon conversion

- $\mu A \rightarrow e A$  similar to WIMP. Tower of EFTs and Matching:  
2406.13818



# SMEFT constraints

- ▶ Constraints from  $\mathcal{B}(\mu + Al \rightarrow e + AL) < 10^{-17}$  (2406.13818)
- ▶ e.g. on  $O_{eB} = (\bar{L}\sigma^{\mu\nu}e)HB_{\mu\nu}$



# Beyond $\mu \rightarrow e$ EFT

- ▶ Distinguish TeV scale models,
  - ▶ E.g. Type-I and Type-II seesaw. 2308.16897
- ▶  $\tau$  LFV:  $\mu \rightarrow \tau \rightarrow e$  physics 2202.09246
- ▶ NSIs for  $q^2 \ll M_W^2$ :  $\mathcal{L} \supset -2\sqrt{G_F}\varepsilon_{f,(L)}^{\rho\sigma}(\bar{\nu}_\rho\gamma_\alpha\nu_\sigma)(\bar{f}\gamma^\alpha(P_L)f)$ 
  - ▶ In SMEFT: NSI typically generate LFV 1807.04283

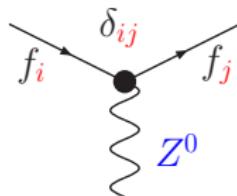
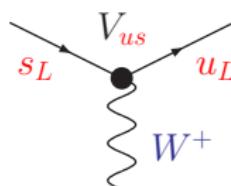
$f$	$C_{V,LR}^{\mu \text{ eff}}$	$\varepsilon^{\mu e}_{fR}(1l)$	$\varepsilon^{\mu e}_{fR}(2l)$
e	$< 9.3 \cdot 10^{-7}$	$< 5 \cdot 10^{-5}$	$9 \cdot 10^{-3}$
u	$< 5.4 \cdot 10^{-8}$	$< 3 \cdot 10^{-6}$	$5 \cdot 10^{-4}$
d	$< 6.3 \cdot 10^{-8}$	$< 3 \cdot 10^{-6}$	$6 \cdot 10^{-4}$

## $\mu$ Lepton Flavour Violation

- ▶ No SM background
- ▶ LFV Measurement: Clear signal of new physics
  - ▶ Could give handle  $\nu$ -mass generation, lepton number violation
  - ▶ Limits give complementary information to collider- and  $\nu$ -experiments

# FCNCs in the Quark Sector

Mass  $\neq$  flavour eigenstates



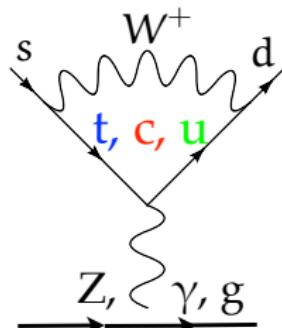
SM: Only charged currents  
change the flavour ( $\propto V_{us}$ )

SM: Neutral currents do not  
change the flavour ( $i=j$ ) at tree-level

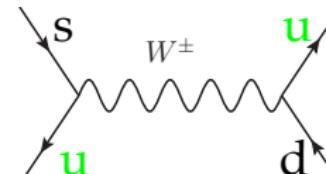
$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho + i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

- ▶ SM: Yukawas only source of flavour & CP violation
- ▶ CKM parametrises CP & flavour violation
- ▶ First row from tree-level semi-leptonic decays

# Rare Kaon Decays: CKM Structure



Using the GIM mechanism, we can eliminate either  $V_{cs}^* V_{cd}$  or  $V_{us}^* V_{ud} \rightarrow -V_{cs}^* V_{cd} - V_{ts}^* V_{td}$



Z-Penguin and Boxes (high virtuality): power expansion in:  $A_c - A_u \propto 0 + \mathcal{O}(m_c^2/M_W^2)$

$\gamma/g$ -Penguin (expand in mom.):  $A_c - A_u \propto \mathcal{O}(\text{Log}(m_c^2/m_u^2))$

$$\text{Im} V_{ts}^* V_{td} = -\text{Im} V_{cs}^* V_{cd} = \mathcal{O}(\lambda^5) \quad \text{Im} V_{us}^* V_{ud} = 0$$

$$\text{Re} V_{us}^* V_{ud} = -\text{Re} V_{cs}^* V_{cd} = \mathcal{O}(\lambda^1) \quad \text{Re} V_{ts}^* V_{td} = \mathcal{O}(\lambda^5)$$

- ▶  $K \rightarrow \pi \bar{\nu} \nu$  (from Z & Boxes): Clean and suppressed
- ▶  $K \rightarrow (\pi) \mu \mu$ :  $\gamma$ -Penguin pollution  $\rightarrow$  isolate SD piece from mixing

# Rare Kaon Decays SD contributions

- ▶  $K \rightarrow \pi \nu \bar{\nu}$  are theoretically super clean
- ▶ Use CP properties for neutral decays into leptons
  - ▶  $K_S$ : CP even ;  $K_L$  CP odd
  - ▶  $K_S \rightarrow \mu \mu$  – extract CP violating part via mixing
  - ▶  $K_L \rightarrow \pi \ell \ell$  – indirect and direct CP violation interfere
    - ▶ indirect  $K_L \rightarrow K_S \rightarrow \pi \ell \ell$

$$K \rightarrow \mu^+ \mu^-$$

**SD:**  $Y_t + Y_{NNLO}$  from SM  $\mathcal{L}_{eff}^{d=6}$  gives  $\ell = 0$ .  $[O(\lambda_t, \frac{m_c^2}{M_W^2} \lambda_c)]$

**LD:** from  $\gamma\text{-}\gamma$  loop is **CP** conserving:  $O(\frac{\alpha_{QED}}{4\pi})$

Setting  $\epsilon_K$  to zero,  $K_L$  and  $K_S$  are CP eigenstates:

$K_i^{CP}$	$BR_{exp}$	$(\mu^+ \mu^-)_{\ell=0}^-$	$(\mu^+ \mu^-)_{\ell=1}^+$
$K_L^{(-)}$	$6.84(11) \times 10^{-9}$	$\lambda_t, \frac{m_c^2}{M_W^2} \lambda_c, \gamma\text{-}\gamma$	<b>CP</b> $\simeq 0$
$K_S^{(+)}$	$< 2.1 \times 10^{-10}$	<b>CP</b> : $\text{Im } \lambda_t$	$\gamma\text{-}\gamma$

$\ell$  is not experimentally accessible, but interference term in time dependent decay sensitive to **SD** (D'Ambrosio, Kitahara '17)

$$K(t) \rightarrow \mu^+ \mu^-$$

$$\frac{d\Gamma}{dt} \propto C_L e^{-\Gamma_L} + C_S e^{-\Gamma_S} + 2[C_{sin} \sin(\Delta M t) + C_{cos} \cos(\Delta M t)] e^{-\Gamma t}$$

$$C_{sin/cos} = Im/Re \left\{ (A_0^S)^* A_0^L + (A_1^S)^* A_1^L \right\}$$

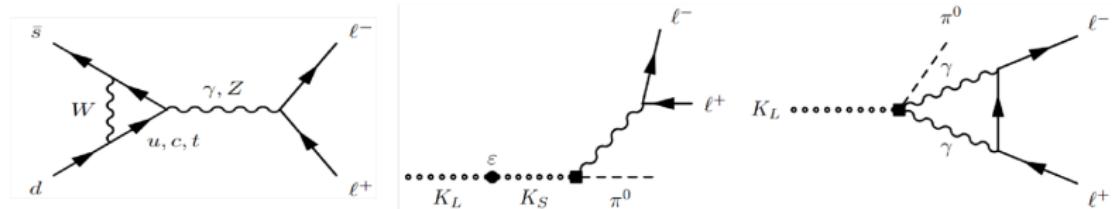
$$\frac{BR(K_S \rightarrow \mu^+ \mu^-)_{\ell=0}^{(pert)}}{BR(K_L \rightarrow \mu^+ \mu^-)} = \frac{\tau_S}{\tau_L} \frac{|A_0^S A_0^L|^2}{|A_0^L|^4} = \frac{\tau_S}{\tau_L} \frac{C_{int}^2}{C_L^2}$$

where we assumed  $A_1^L = 0$ ,  $\epsilon_K = 0$

- ▶ Measurement of  $BR(K_S \rightarrow \mu^+ \mu^-)_{\ell=0}$  (Dery et.al.'21)

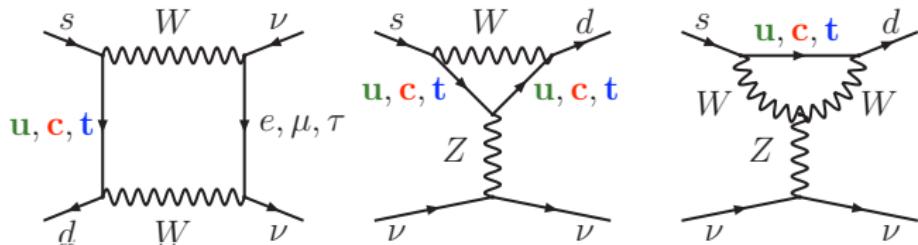
$$BR(K_S \rightarrow \mu^+ \mu^-)_{\ell=0}^{(pert)} = 1.70(02)_{QCD/EW}(01)_{f_K}(19)_{param.} \times 10^{-13}$$

$$K \rightarrow \pi \ell \ell$$



- ▶ CP violation: direct, indirect & interference
- ▶  $K_s \rightarrow \pi \ell \ell$  gives 1  $\gamma$  contribution, can be extracted from data
- ▶ Sign of interference
  - ▶ large  $N_c$  2409.08568
  - ▶ future: Lattice 1608.07585, 1507.03094 – interesting interplay of perturbation theory 2112.11140.

# $K \rightarrow \pi \bar{\nu} \nu$ at $M_W$



$$x_i = \frac{m_i^2}{M_W^2}$$

$$\sum_i V_{is}^* V_{id} F(x_i) = V_{ts}^* V_{td} (F(x_t) - F(x_u)) + V_{cs}^* V_{cd} (F(x_c) - F(x_u))$$

Quadratic GIM:  $\lambda^5 \frac{m_t^2}{M_W^2}$

$\lambda \frac{m_c^2}{M_W^2} \ln \frac{M_W}{m_c}$

$\lambda \frac{\Lambda_{\text{QCD}}^2}{M_W^2}$

Matching (NLO + EW):

$$Q_\nu = (\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_L \gamma^\mu \nu_L)$$

Operator Mixing (RGE)

ChiPT & Lattice

- Below the charm: Only  $Q_\nu$ , ME from  $K_{l3}$
- semi-leptonic  $(\bar{s}\gamma_\mu u_L)(\bar{\nu}\gamma^\mu \ell_L)$  operator:  $\chi$  PT gives small contribution (10% of charm contribution)

# Leading Effective Hamiltonian for $\mu < m_c$

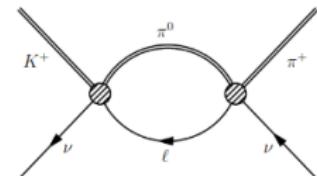
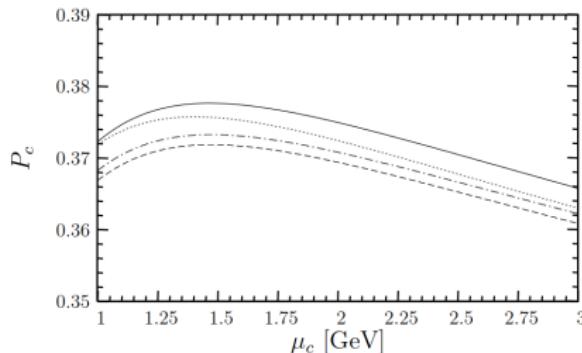
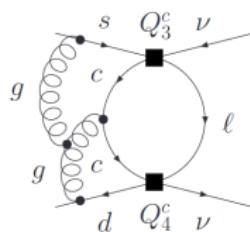
SM:  $\nu\bar{\nu}$  are only invisibles  $\Rightarrow$  no  $\gamma$ -Penguin  $\Rightarrow$

$$\mathcal{H}_{\text{eff}} = \frac{\sqrt{2}\alpha G_F}{\pi \sin^2 \theta_W} \sum_{\ell=e,\mu,\tau} (\lambda_c X^\ell + \lambda_t X_t) (\bar{s}_L \gamma_\mu d_L) (\bar{\nu}_{\ell L} \gamma^\mu \nu_{\ell L}) + \text{h.c.}$$

generated by highly virtual particles + tiny light quark contribution  $\Rightarrow$  clean & CKM suppressed ( $\lambda_i = V_{is}^* V_{id}$ ).

- ▶  $X_t$  known at NLO QCD (Buchalla,Buras;Misiak,Urban'99) and two-loop EW (Brod et.al.'10):  $X_t = 1.462 \pm 0.017_{\text{QCD}} \pm 0.002_{\text{EW}}$
- ▶  $P_c = \lambda^{-4} \left( \frac{2}{3} X^e + \frac{1}{3} X^\tau \right)$  at NNLO QCD (Buras et.al.'05) + NLO EW (Brod et.al.'08)

# Higher order corrections for $P_c$



- ▶ GIM in the EFT: (Charm - Up) only  $m_c^2 G_F^2 Q_\nu$  above  $m_c$ 
  - ▶  $P_c = (0.2255/\lambda)^4 \times (0.3604 \pm 0.0087)$  @ NNLO+EW (Brod et.al.'21)
- ▶ Small corrections from higher dimensional operator + light quarks below  $m_c$ 
  - ▶  $\chi$  PT matching logs:  $\delta P_{c,u} = 0.04 \pm 0.02$  (Isidori et.al.'05)
  - ▶ Future Lattice: (Bai et.al.'18, 2311.02923)

# CKM parameters for $\mathcal{H}_{\text{eff}}$

- We need  $\text{Im}\lambda_t$ ,  $\text{Re}\lambda_t$  and  $\text{Re}\lambda_c$ :
- Improvements 1911.06822 in  $\epsilon_K \rightarrow$  change  $V_{cb}$
- Use exact Wolfenstein parameterization (PDG)
  - $s_{12} = \lambda$ ,  $s_{23} = A\lambda^2$ ,  $s_{13}e^{i\delta} = A\lambda^3(\rho + i\eta)$ :

$$\text{Im}\lambda_t = A^2\bar{\eta}\lambda^5 + \dots, \text{Re}\lambda_c = -\lambda + \dots$$

$$\text{Re}\lambda_t = A^2\lambda^5(\bar{\rho} - 1) + \dots$$

	$\bar{\rho}$	$\bar{\eta}$	$\lambda$	$A$
PDG20	0.141(17)	0.357(11)	0.22650(48)	0.790(17)
PDG22	0.159(10)	0.348(10)	0.22500(67)	$0.826^{+0.018}_{-0.015}$
UTfit	0.159(10)	0.348(9)	0.22499(67)	0.833(11)

## $K \rightarrow \pi\nu\bar{\nu}$ in the Standard Model

- ▶ 2105.02868 Standard Model Prediction

$$\text{BR}(K^+ \rightarrow \pi^+\nu\bar{\nu}) = 7.73(16)_{SD}(25)_{LD}(54)_{para.} \times 10^{-11},$$

$$\text{BR}(K_L \rightarrow \pi^0\nu\bar{\nu}) = 2.59(6)_{SD}(2)_{LD}(28)_{para.} \times 10^{-11}.$$

- ▶ 2311.02923 using UTfit CKM parameters:

$$\text{BR}(K^+ \rightarrow \pi^+\nu\bar{\nu}) = 8.38(17)_{SD}(25)_{LD}(40)_{para.} \times 10^{-11},$$

$$\text{BR}(K_L \rightarrow \pi^0\nu\bar{\nu}) = 2.87(7)_{SD}(2)_{LD}(23)_{para.} \times 10^{-11}.$$

- ▶ Consistent with  $\epsilon_K$  'ratio' 2203.11960

$$\text{BR}(K^+ \rightarrow \pi^+\nu\bar{\nu}) = 8.44(41) \times 10^{-11},$$

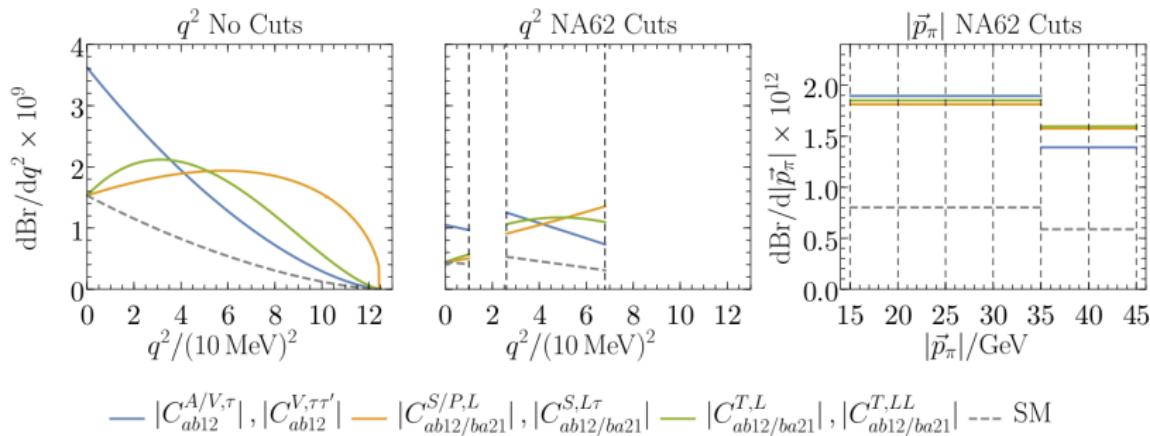
- ▶ NA62 collaboration (before 24.9.):

$$\text{BR}(K^+ \rightarrow \pi^+\nu\bar{\nu}) = (10.6^{+4.0}_{-3.4})_{\text{stat}} \pm 0.9_{\text{syst}} \times 10^{-11}$$

- ▶ JPARC-KOTO has  $\text{BR}(K_L \rightarrow \pi^0\nu\bar{\nu}) \leq 2.0 \times 10^{-9}$

# Distributions for WET @ 90%CL

- ▶ Majorana & Dirac Wilson coefficients saturate 90% CL
  - ▶ left:  $q^2$  distribution integrated over full  $|\vec{p}_\pi|$
  - ▶ middle:  $q^2$  distribution for NA62 cuts
- ▶ right:  $|\vec{p}_\pi|$  distribution with  $q^2$  region as NA62



# Sensitivity NA62 and HIKE like

- General quark- $\nu$ -interactions 2312.06494:

$$O_{abij}^{V,L} = \frac{1}{2} (\bar{\nu}_{Ma} \gamma_\mu \nu_{Mb}) (\bar{d}_i \gamma^\mu P_L d_j), \dots$$

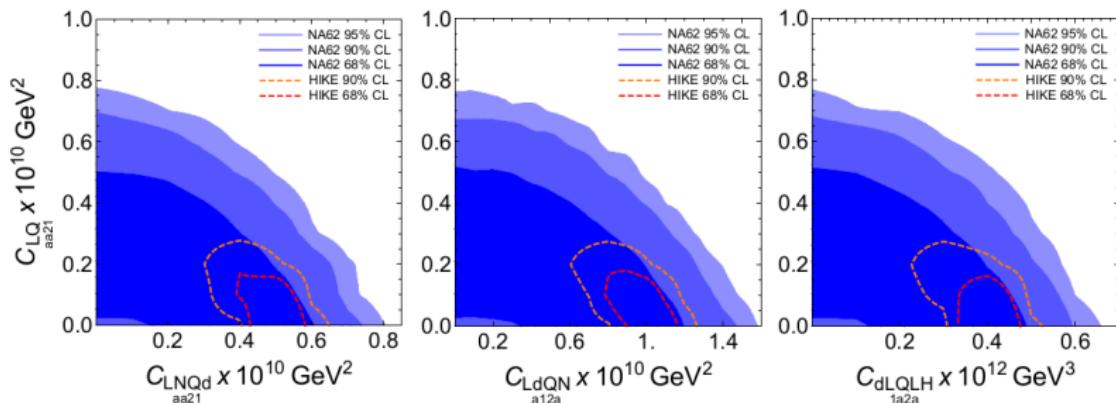
$\nu$ -Majorana EFT		current	future	future[ $q^2$ bins]
$1/\sqrt{ C_{ab12}^{V,L/R} }$	$a \neq b$	$7.6 \cdot 10^1$ TeV	$1.2 \cdot 10^2$ TeV	$1.2 \cdot 10^2$ TeV
$1/\sqrt{ C_{ab12}^{A,L/R} }$	$\sum a = b$	$1.2 \cdot 10^2$ TeV	$2.8 \cdot 10^2$ TeV	$2.6 \cdot 10^2$ TeV
	$a = b$	$8.1 \cdot 10^1$ TeV	$1.7 \cdot 10^2$ TeV	$1.6 \cdot 10^2$ TeV
	$a \neq b$	$7.6 \cdot 10^1$ TeV	$1.2 \cdot 10^2$ TeV	$1.2 \cdot 10^2$ TeV
$1/\sqrt{ C_{ab12/21}^{S,L} }$	$\sum a = b$	$1.6 \cdot 10^2$ TeV	$2.4 \cdot 10^2$ TeV	$2.5 \cdot 10^2$ TeV
	$a = b$	$1.2 \cdot 10^2$ TeV	$1.9 \cdot 10^2$ TeV	$1.9 \cdot 10^2$ TeV
	$a \neq b$	$1.4 \cdot 10^2$ TeV	$2.2 \cdot 10^2$ TeV	$2.3 \cdot 10^2$ TeV
$1/\sqrt{ C_{ab12/21}^{P,L} }$	$\sum a = b$	$1.6 \cdot 10^2$ TeV	$2.4 \cdot 10^2$ TeV	$2.5 \cdot 10^2$ TeV
	$a = b$	$1.2 \cdot 10^2$ TeV	$1.9 \cdot 10^2$ TeV	$1.9 \cdot 10^2$ TeV
	$a \neq b$	$1.4 \cdot 10^2$ TeV	$2.2 \cdot 10^2$ TeV	$2.3 \cdot 10^2$ TeV
$1/\sqrt{ C_{ab12/21}^{T,L} }$	$a \neq b$	$3.0 \cdot 10^1$ TeV	$4.7 \cdot 10^1$ TeV	$4.7 \cdot 10^1$ TeV

## (v) SMEFT

- ▶  $\mathcal{L}_{\nu\text{SMEFT}} \supset \mathcal{L}_{\text{SM}} + i\bar{N}\not{\partial}N + \left\{ -\frac{1}{2} m_N \bar{N} N^c - Y(\bar{N}L)\epsilon H + \text{h.c.} \right\}$
- ▶ SMEFT has no extra sterile Weyl fermions  $N$
- ▶  $\nu$  SMEFT with Lepton Number Conservation (LNC):  $m_N = 0$
- ▶ scalar and tensor operators at  $d=6$  (LNC)
  - ▶  $Q_{LNQd}^{(6)} = \epsilon_{ij}(\bar{L}^i N)(\bar{Q}^j d)$  and  $Q_{LdQN}^{(6)} = \epsilon_{ij}(\bar{L}^i d)(\bar{Q}^j N)$
  - ▶ scalar and (tensor) operator at  $d=7$  in SMEFT (LNV)
    - ▶  $Q_{dLQLH}^{(7)} = \epsilon_{ij}\epsilon_{mn}(\bar{d} L^i)(\bar{Q}^c{}^j L^m) H^n$

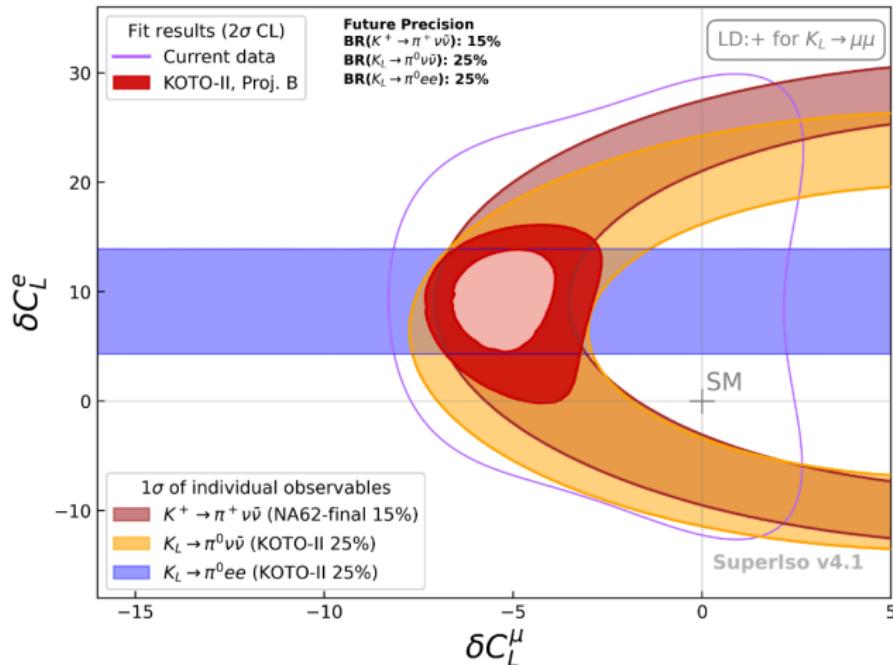
# Can we disentangle Operators?

- ▶ Assume  $C_{\text{LNQd}}^{(6)} = 0.5 \times 10^{-10} \text{ GeV}^{-2}$
- ▶ Try to fit events with SMEFT and  $\nu$  SMEFT



- ▶ Does not allow for Vector explanation
- ▶ Can be explained with  $d=7$ , but at lower scale (10TeV) instead of (100TeV)

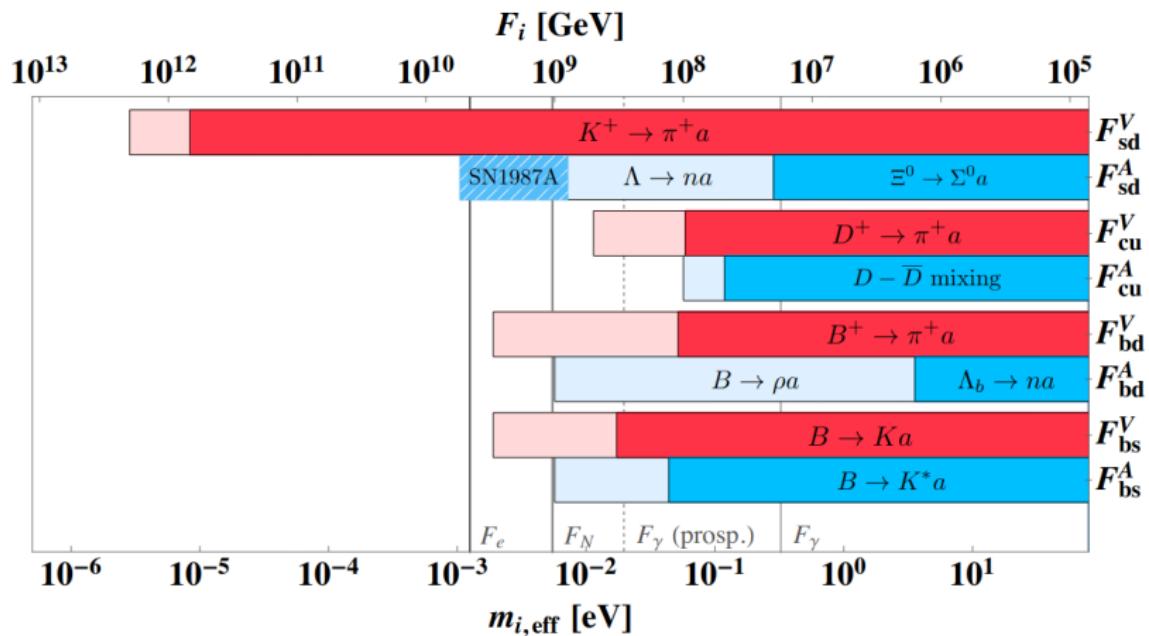
$$\mathcal{L}_{NP} = \delta C_L^\ell \sqrt{2} G_F V_{ts}^* V_{td} \frac{\alpha}{4\pi} (\bar{s} \gamma_\mu d_L) [(\bar{\ell} \gamma^\mu \ell_L) + (\bar{\nu}_\ell \gamma^\mu \nu_{\ell L})] + \text{h.c.}$$



Cross check New Physics in  $K \rightarrow \pi \bar{\nu} \nu$  with  $K \rightarrow \pi e^+ e^-$   
 (2409.06545)

# Axion s-d couplings

- $\mathcal{L}_{aff} = \frac{\partial_\mu a_-}{2f_a} \bar{f}_i \gamma^\mu (c_{ij}^V + c_{ij}^A \gamma_5) f_j$ , with generic hermitian  $c_{ij}^{V,A}$
- Tests generic  $F_{ij}^{V,A} = 2f_a/c_{ij}^{VA}$  couplings 2002.04623



- Also  $K_L \rightarrow \pi^0 a$  &  $K_L \rightarrow \pi^0 \pi^0 a$

# Conclusion

- ▶ Flavour physics: sensitivities to High scales and light new physics
- ▶ EFT approach to generic new physics
- ▶ Precision prediction using perturbation theory
  - ▶ using interplay with lattice
- ▶ Lepton flavour physics  $\leftrightarrow$  neutrino physics
- ▶ Strong Kaon program essential for high energy physics
  - ▶ Today:  $K \rightarrow \pi \nu \bar{\nu}$  is still a highlight observable
  - ▶ Outlook: Interplay with lattice will improve SM prediction & NP sensitivity and wider program at KOTO(2)