

Physicists Just Discovered The Rarest Particle Decay Ever

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TECHNOLOGY

Kaon and pion experiments

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Outline

- 1) Current kaon experiments: NA62 at CERN, KOTO at J-PARC
- 2) Next-generation kaon experiment: KOTO-II
- 3) Rare pion decays: PIONEER at PSI
- 4) Summary



ECFA-UK meeting on European Strategy
IPPP Durham • 25 September 2024



Kaons: European and UK strategy

European Strategy 2020

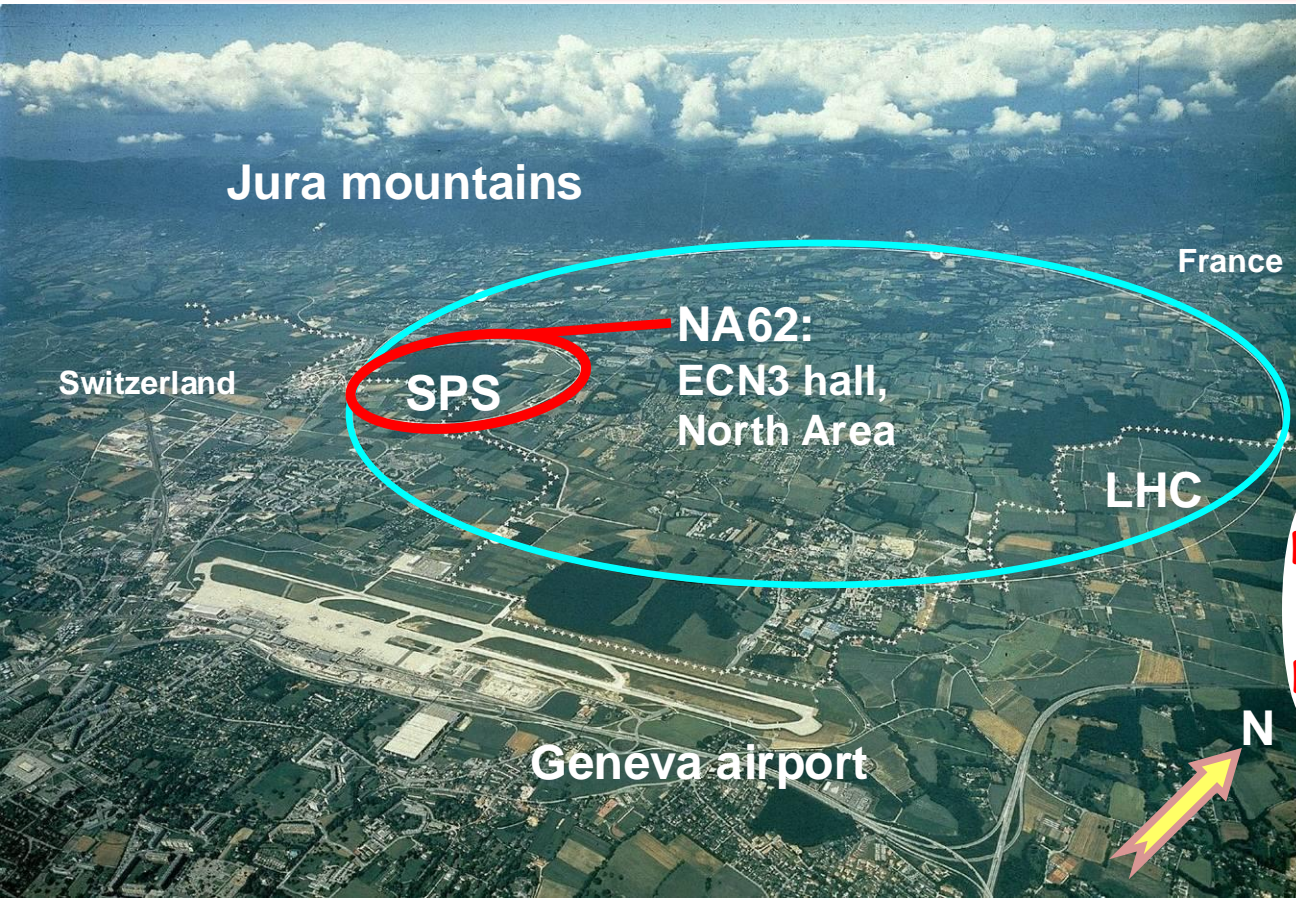
- ❖ “Other essential scientific activities for particle physics”
 - ✓ “... exploration of flavour and fundamental symmetries are crucial components of the search for new physics”
 - ✓ “These [experiments] include measurements of ...
rare kaon decays at CERN and KEK”

PPAP Roadmap 2021

- ❖ Recommendation 5.2: “The UK has a leading role in the scientific exploitation of the current generation of kaon experiments. The UK should ... invest into the *future CERN kaon experiments*”

Current kaon experiments

Kaon experiments at CERN



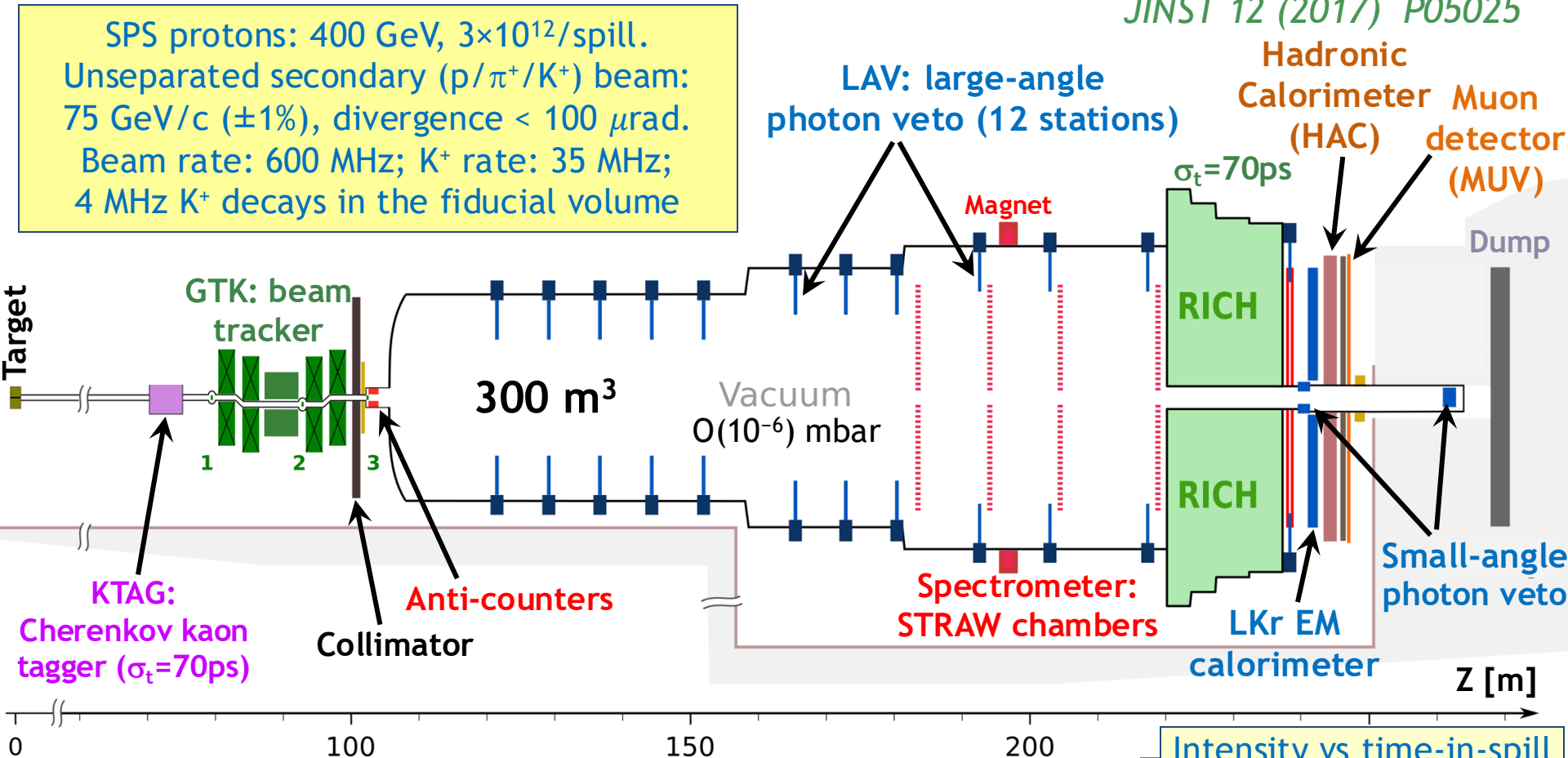
NA62: a multi-purpose K^+ (and π^0) decay experiment focused on $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ measurement to 15% precision
Strong UK leadership at all levels

Earlier: NA31	
1997:	$\epsilon'/\epsilon: K_L + K_S$
1998:	$K_L + K_S$
1999:	$K_L + K_S$ K_S HI
2000:	K_L only K_S HI
2001:	$K_L + K_S$ K_S HI
NA48	discovery of direct CPV
NA48/1	2002: K_S /hyperons
NA48/2	2003: K^+/K^-
	2004: K^+/K^-
NA62	2007: $K_{e2}^\pm / K_{\mu2}^\pm$ tests
R_K run	2008: $K_{e2}^\pm / K_{\mu2}^\pm$ tests
NA62	2015: commissioning
	2016–18: Run 1
	2021–LS3: Run 2

NA62 experiment at CERN

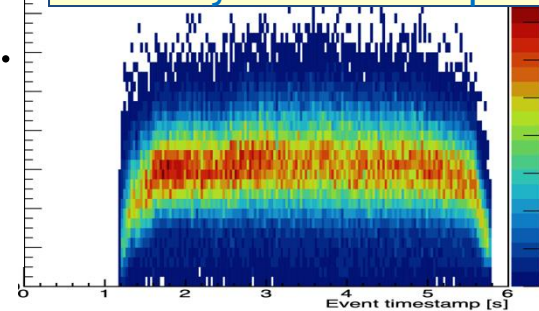
JINST 12 (2017) P05025

SPS protons: 400 GeV, 3×10^{12} /spill.
 Unseparated secondary ($p/\pi^+/K^+$) beam:
 75 GeV/c ($\pm 1\%$), divergence $< 100 \mu\text{rad}$.
 Beam rate: 600 MHz; K^+ rate: 35 MHz;
 4 MHz K^+ decays in the fiducial volume

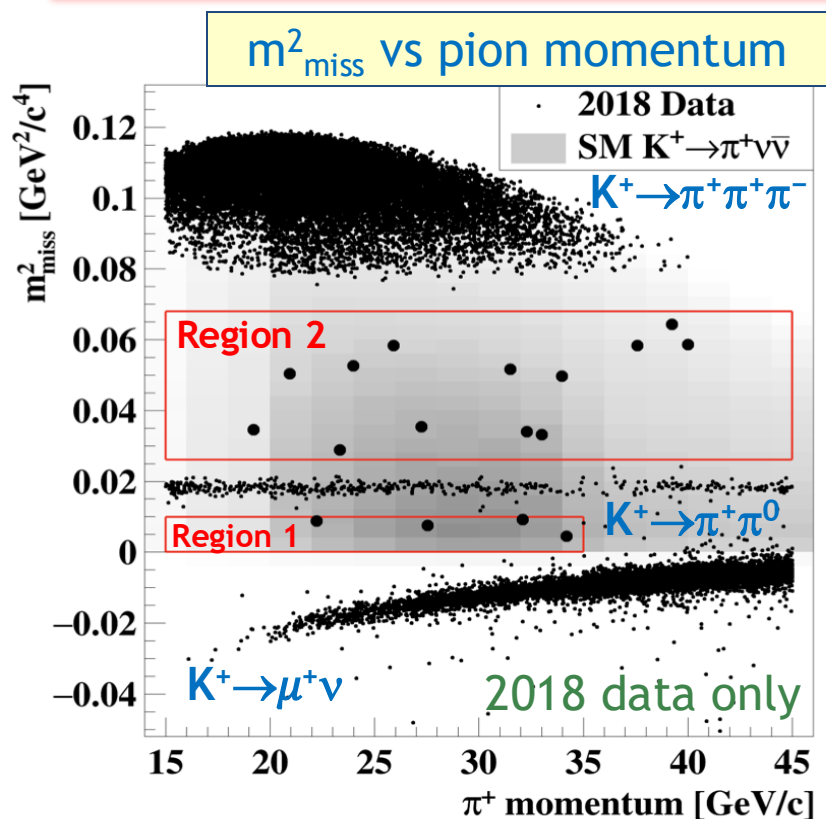


- ❖ One year $\approx 2 \times 10^{18}$ protons on target $\approx 5 \times 10^{12}$ K^+ decays.
- ❖ Beam structure: ideally, uniform over a 4.8 s long spill.
- ❖ In practice, significant variations of instantaneous beam intensity during the spill.

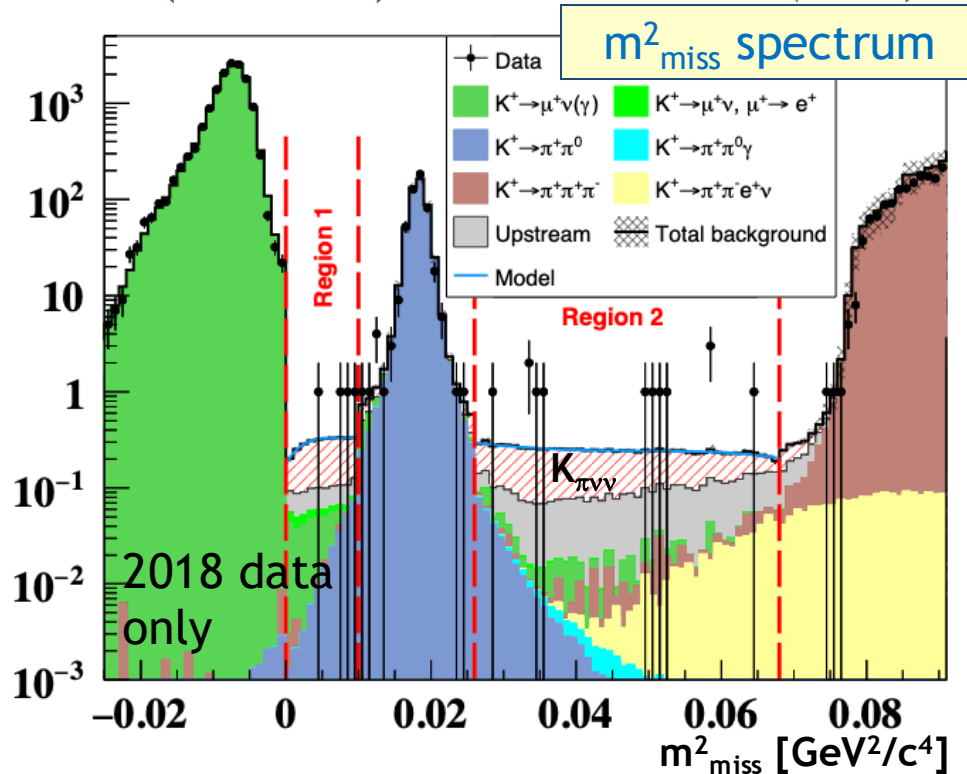
Intensity vs time-in-spill



$K_{\pi\nu\nu}$ @NA62: 3.4σ evidence



Run 1 (2016–18) dataset: *JHEP 06 (2021) 93*



Run 1 dataset: **20** candidates observed; expected background: $7.03^{+1.05}_{-0.82}$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6^{+4.0}_{-3.4} |_{\text{stat}} \pm 0.9_{\text{syst}}) \times 10^{-11}$$

- ❖ NA62 Run 2 started in **2021**: upgraded setup, **~30%** higher beam intensity.
- ❖ Signal yield per SPS spill improved by **50%** wrt Run 1.
- ❖ Full NA62 dataset (by **LS3**) projected to be about **×5** the Run 1 dataset.

$K_{\pi\nu\nu}$ @NA62: 5σ observation

New: CERN EP seminar, 24 Sep 2024

The 2021–22 dataset has been analysed.

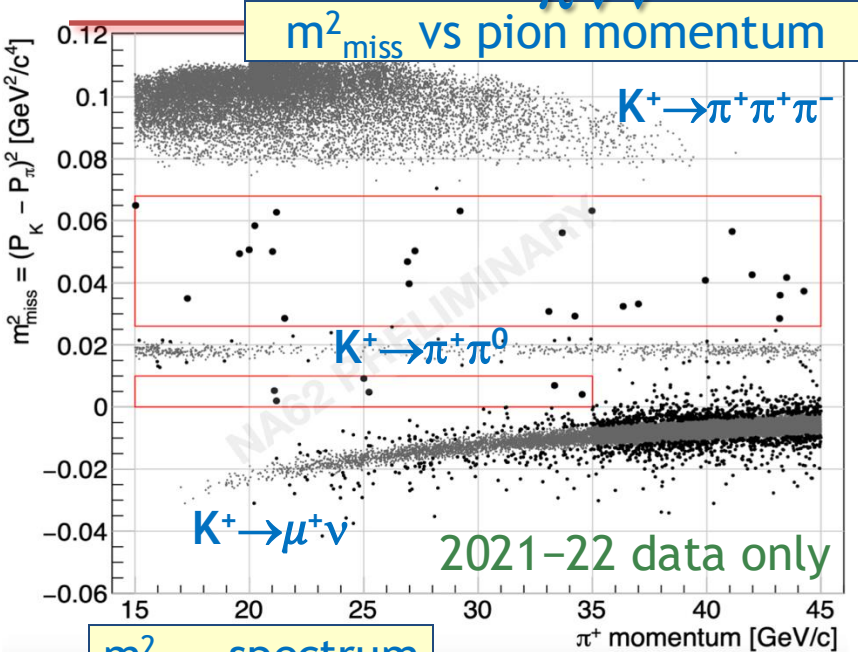
In the full 2016–22 dataset,

candidates observed: **51**;

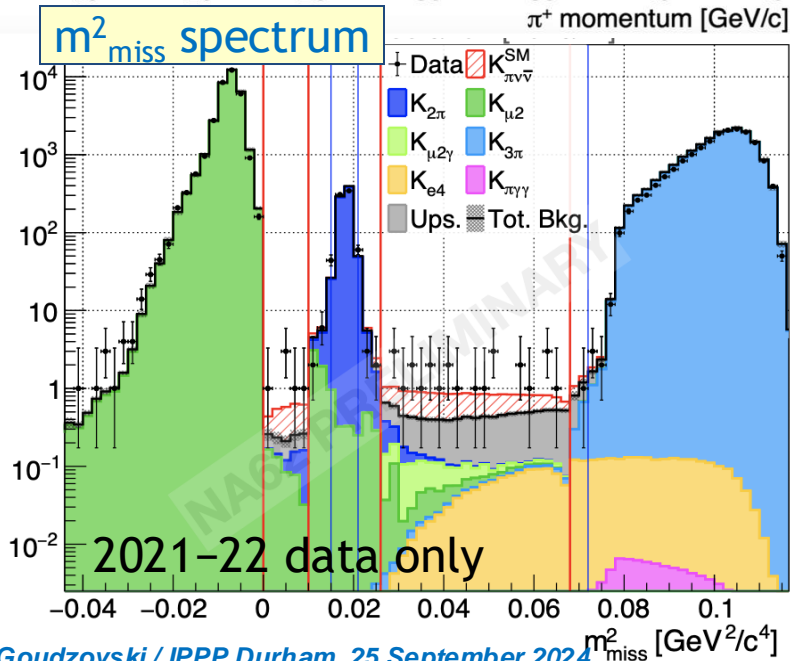
expected background: $18.1^{+2.3}_{-1.6}$

Combined 2016–22 result (**5σ observation**):

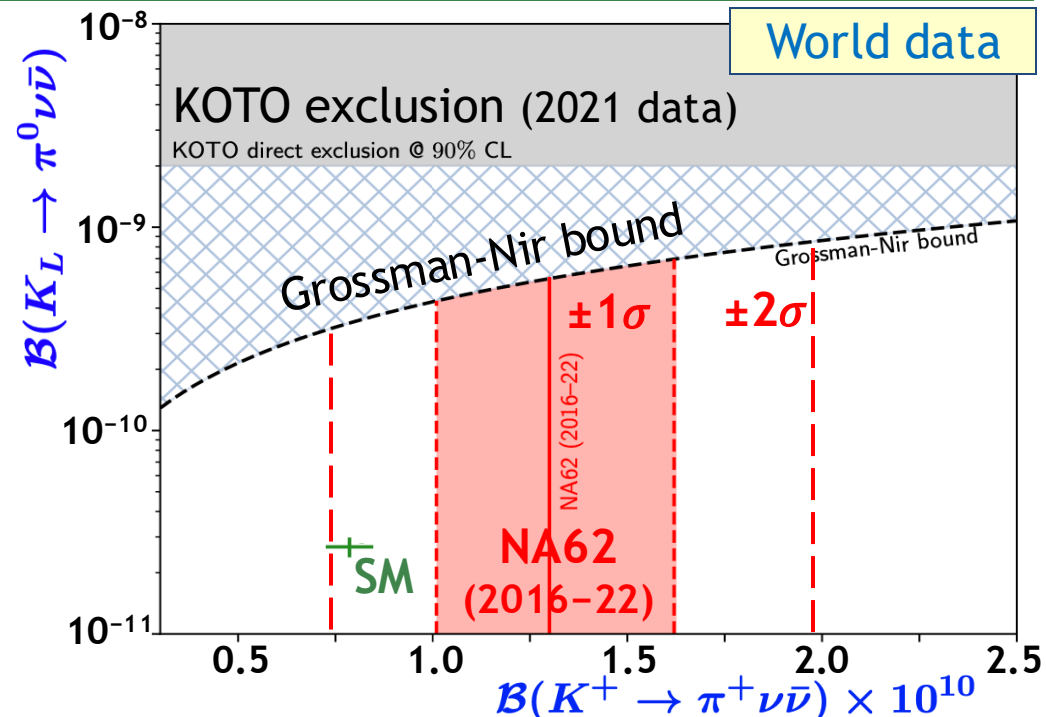
$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \left(13.0^{+3.3}_{-2.9} \right) \times 10^{-11}$$



2021–22 data only



2021–22 data only



World data

KOTO exclusion (2021 data)

KOTO direct exclusion @ 90% CL

Grossman-Nir bound

Grossman-Nir bound

$\pm 1\sigma$

$\pm 2\sigma$

NA62 (2016-22)

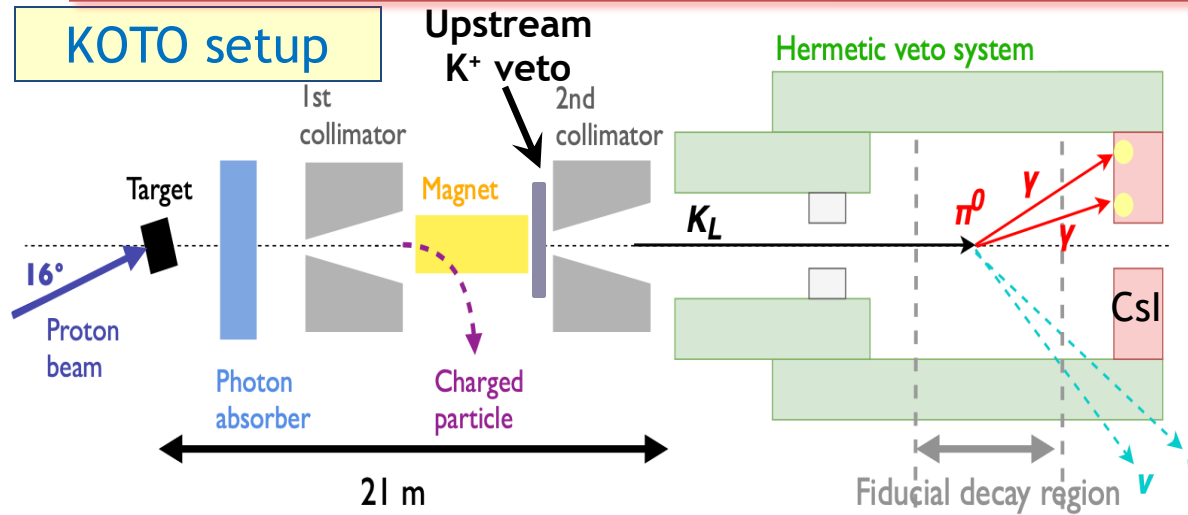
NA62 (2016-22)

SM

$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \times 10^{10}$

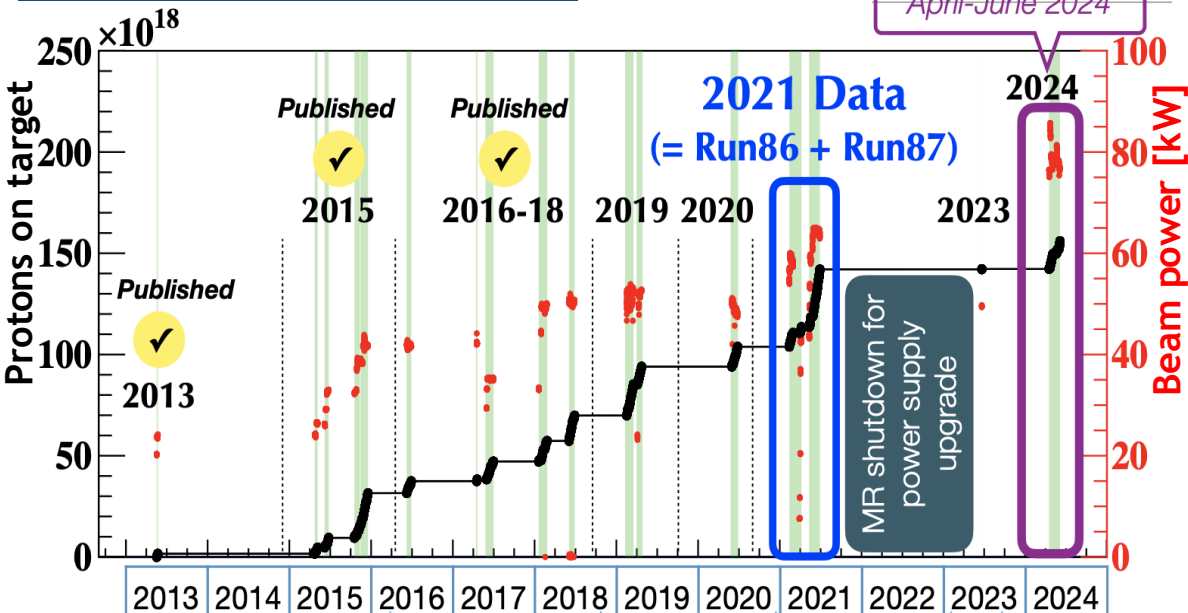
KOTO experiment at J-PARC

KOTO setup



Primary KOTO goal:
search for $K_L \rightarrow \pi^0 \nu \nu$,
single-event sensitivity $< 10^{-10}$

KOTO data collection

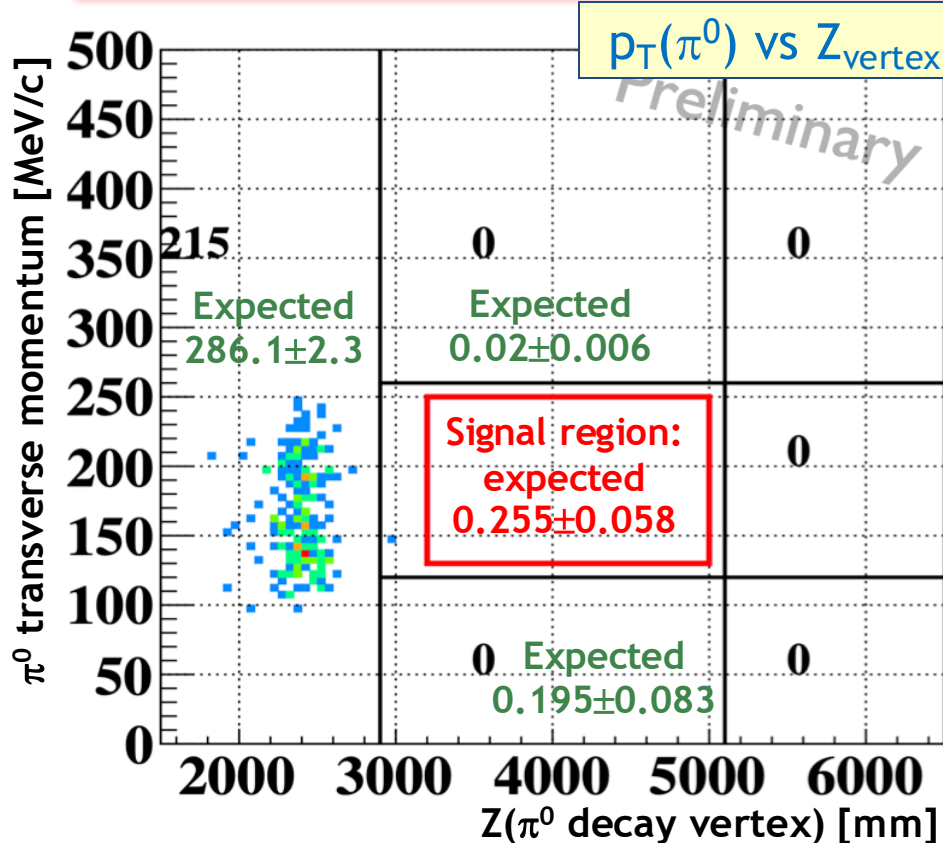


- ❖ Primary proton beam: **30 GeV**;
60 kW = $6.6 \times 10^{13} / 5.2$ s.
- ❖ Secondary K_L beam:
peak momentum **1.4 GeV/c.**
- ❖ Beam composition:
 K_L , neutrons, photons.
- ❖ Decay region length: **~2 m.**
- ❖ Hermetic photon detector,
including CsI calorimeter.
- ❖ Progressive improvements
to the setup; upstream
 K^+ veto installed in **2020.**

*PTEP 2017 (2017) 021C01
PRL 122 (2019) 021802
PRL 126 (2021) 121801*

KOTO: $K_L \rightarrow \pi^0 \nu \nu$ (2021 dataset)

[Preliminary; EPJ C84 (2024) 377]



- ❖ Number of K_L decays: $N_K = 6.8 \times 10^{12}$.
- ❖ Single-event sensitivity: $BR_{SES} = 8.7 \times 10^{-10}$ ($30 \times BR_{SM}$).
- ❖ Background: 0.255 ± 0.058 events (upstream π^0 , $K_L \rightarrow 2\pi^0$, $K^+ \rightarrow \pi^0 e^+ \nu$, scattered $K_L \rightarrow 2\gamma$, hadron clusters).
- ❖ No candidates observed in the data.
- ❖ Preliminary result: $BR(K_L \rightarrow \pi^0 \nu \nu) < 2.0 \times 10^{-9}$ at 90% CL.

KOTO plans:

- ❖ Accumulate $\times 10$ the 2021 dataset by 2027 (beam power up to 100 kW).
- ❖ Reduce B/S by a factor of 2 (improved beam; hardware upgrades).
- ❖ Reach a single-event sensitivity of $(5-8) \times 10^{-11}$.
- ❖ Reach the Grossman-Nir bound, $BR(K_L) < 4.3 \times BR(K^+)$.

Next-generation
kaon experiment: KOTO-II

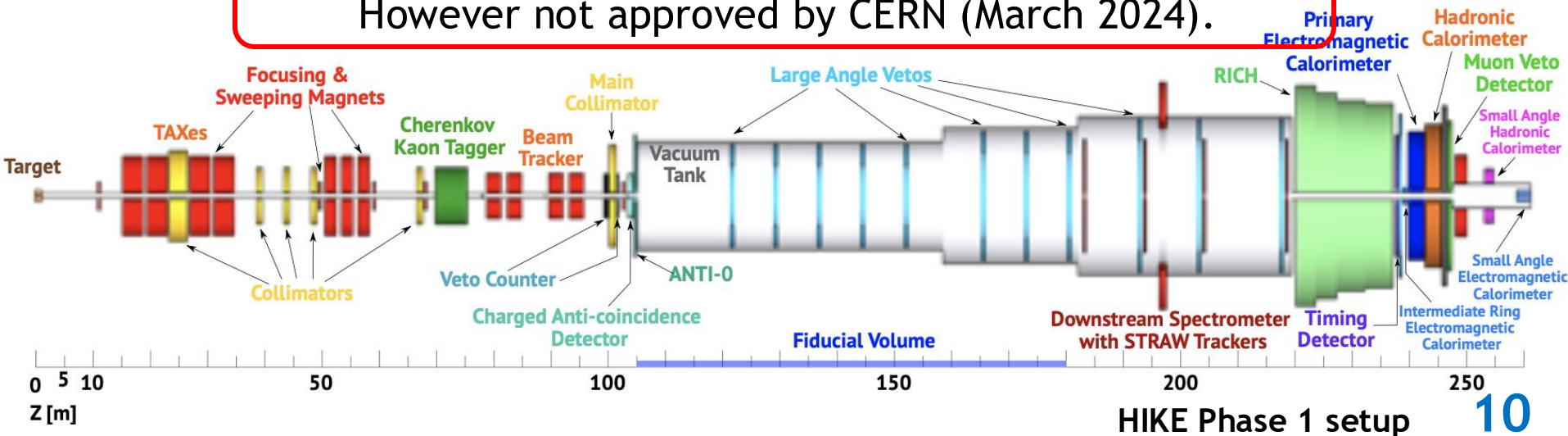
HIKE proposal at CERN

CERN-SPSC-2023-031, arXiv:2311.08231

Proposal for a staged high-intensity ($5\times\text{NA62}$) kaon programme at the CERN SPS, with a strong UK leadership.

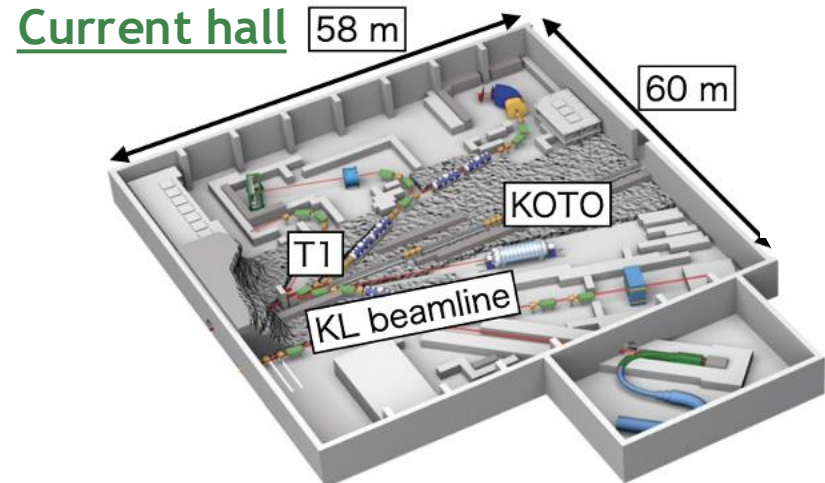
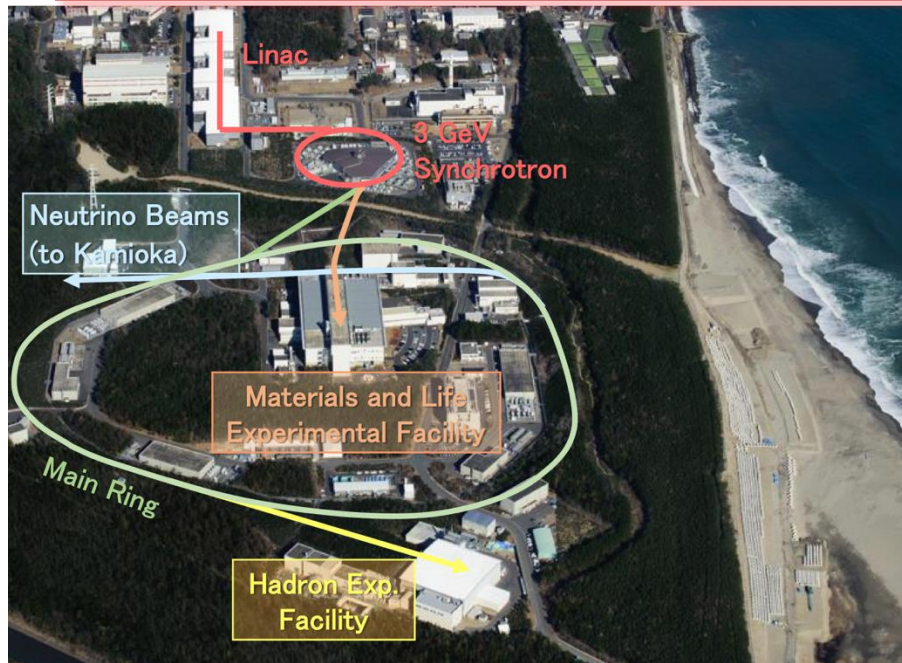
- ❖ Phase 1: a multi-purpose K^+ experiment; $\text{BR}(K^+\rightarrow\pi^+\nu\nu)$ to 5% precision.
 - ✓ Four years of data collection with K^+ beam.
 - ✓ Four years in beam-dump mode, along with SHADOWS exp (5×10^{19} pot).
- ❖ Phase 2: a multi-purpose K_L experiment; $\text{BR}(K_L\rightarrow\pi^0\ell^+\ell^-)$ to 15% precision.
 - ✓ Five years of data collection with K_L beam.
- ❖ Phase 3: a dedicated $K_L\rightarrow\pi^0\nu\nu$ experiment.

CERN research board conclusion: excellent physics case.
However not approved by CERN (March 2024).

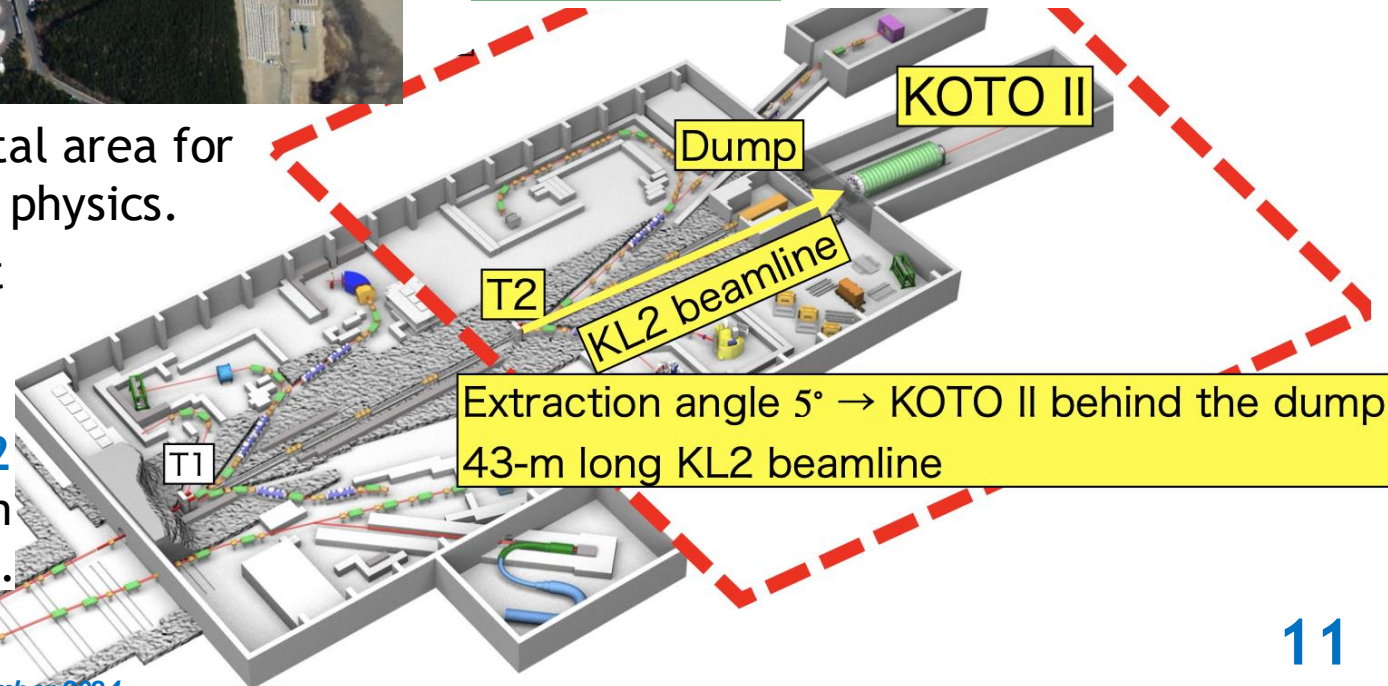


HIKE Phase 1 setup **10**

J-PARC Hadron Hall extension



Extended hall



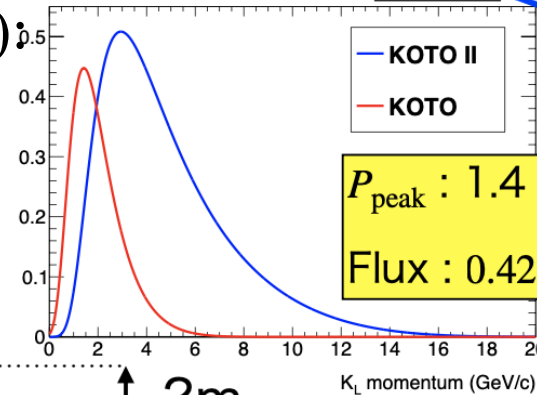
- ❖ Doubling experimental area for nuclear and particle physics.
- ❖ First-priority project for budget request.
- ❖ Anticipated project time scale: **2026–32** (in parallel with beam operation until **2029**).
- ❖ Cost ≈ **\$100M**.

KOTO-II proposal at J-PARC

Goal: $K_L \rightarrow \pi^0 \nu \nu$ observation

Primary 30-GeV proton (100kW)

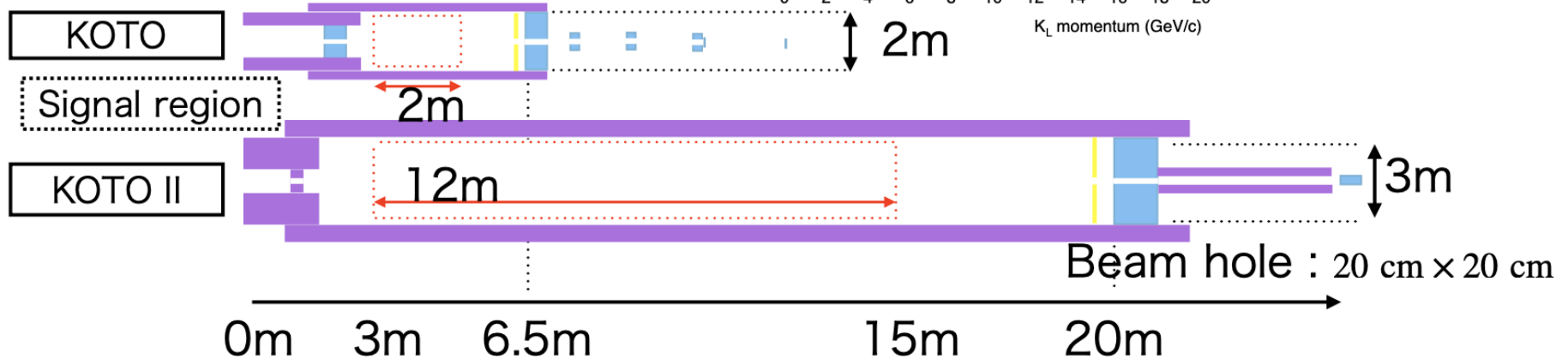
- ❖ Smaller production angle ($16^\circ \rightarrow 5^\circ$); higher K_L flux ($\times 2.6$); harder K_L spectrum.
- ❖ Longer decay volume ($2\text{m} \rightarrow 12\text{m}$).
- ❖ Larger calorimeter ($D=2\text{m} \rightarrow 3\text{m}$).



$P_{\text{peak}} : 1.4 \rightarrow 2.9 \text{ GeV}/c$

Flux : $0.42 \times 10^7 \rightarrow 1.1 \times 10^7/10^{13} \text{ POT}$

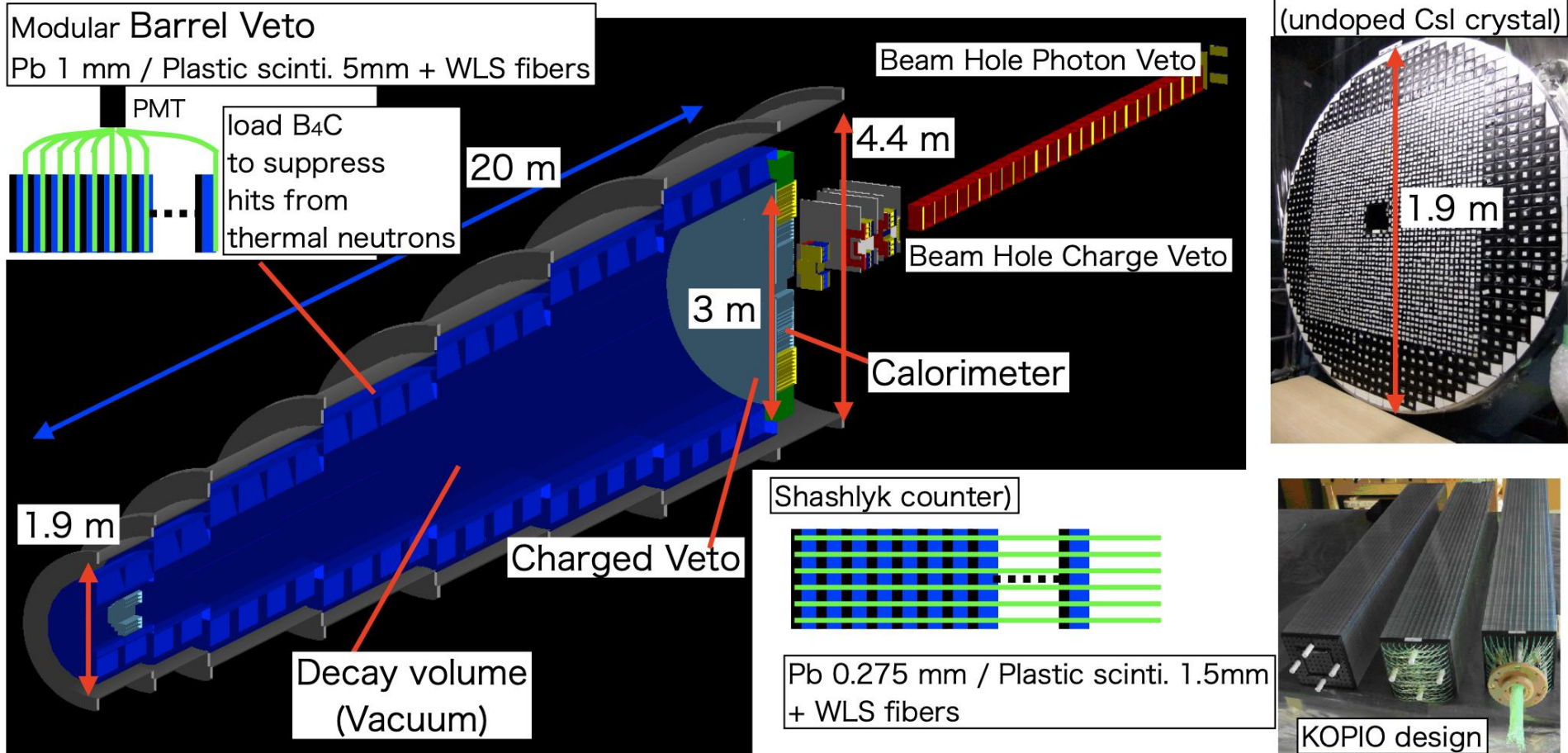
5° (KOTO II)
16° (KOTO)



- ❖ Four years of operation starting in 2034: 35 signal + 40 background events.
- ❖ Observation of the SM $K_L \rightarrow \pi^0 \nu \nu$ decay ($> 5\sigma$); $\Delta BR/BR=25\%$.
- ❖ UK leads the expansion of the physics programme to measure $K_L \rightarrow \pi^0 \ell^+ \ell^-$.
- ❖ Letter of Intent to be submitted to J-PARC in December 2024.

KOTO-II detector concept

Detector concept is based on the KOTO setup:

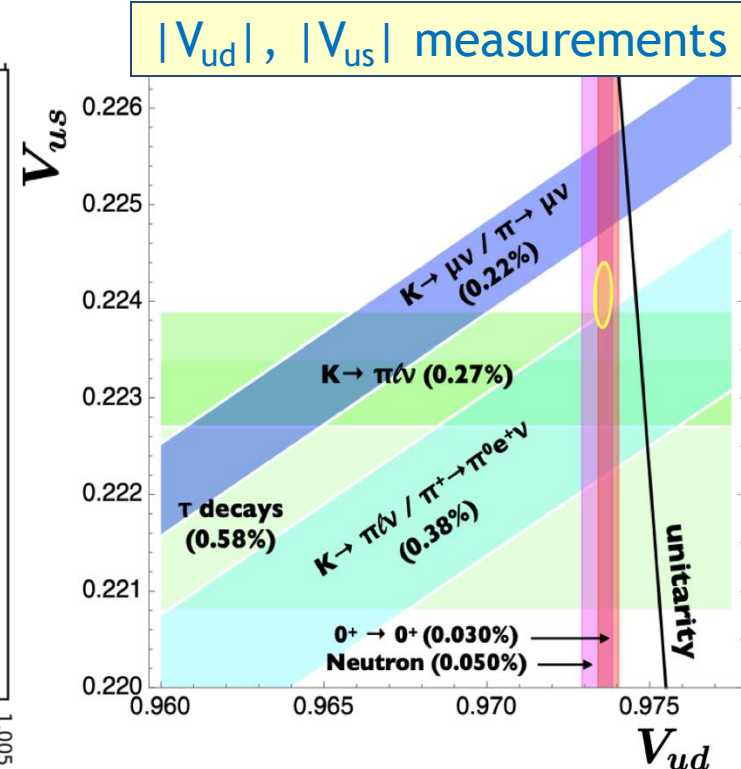
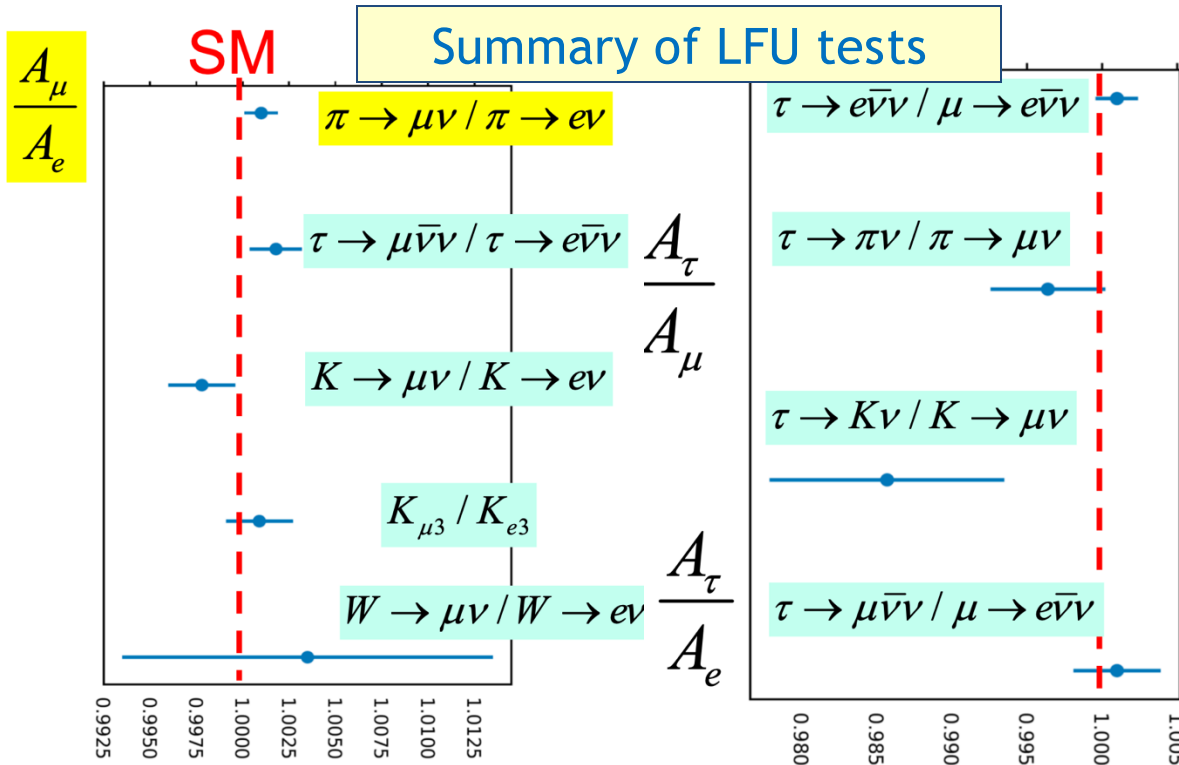


- ❖ Several UK groups are interested in joining KOTO-II.
- ❖ UK can take a **major role**: tracker for $K_L \rightarrow \pi^0 \ell^+ \ell^-$ measurement, Beam Hole Charge Veto, DAQ, trigger, simulations, physics analysis. **13**

Next-generation
pion experiment: PIONEER

PIONEER at PSI: motivation

- ❖ Lepton flavour universality is tested at $O(10^{-3})$ level. arXiv:2203.01981
- ❖ CKM first-row unitarity deficit: $|V_{ud}|^2 + |V_{us}|^2 - 1 = (-19.5 \pm 5.3) \times 10^{-4}$
[Bryman, Cirigliano, Crivellin, Inguglia, Ann.Rev.Nucl.Part.Sci. 72 (2022) 69; arXiv:2111.05338]
- ❖ PIONEER at PSI: a next-generation π^+ decay experiment based on the experience of PIENU@TRIUMF and PEN@PSI.
- ❖ Phase I: $BR(\pi^+ \rightarrow e^+ \nu) / BR(\pi^+ \rightarrow \mu^+ \nu) \sim 10^{-4}$ to a 10^{-4} relative precision (3 years).
- ❖ Phases II and III: $BR(\pi^+ \rightarrow \pi^0 e^+ \nu) \sim 10^{-8}$ to 0.04%; $|V_{ud}|$ to 0.02% (4+4 years).

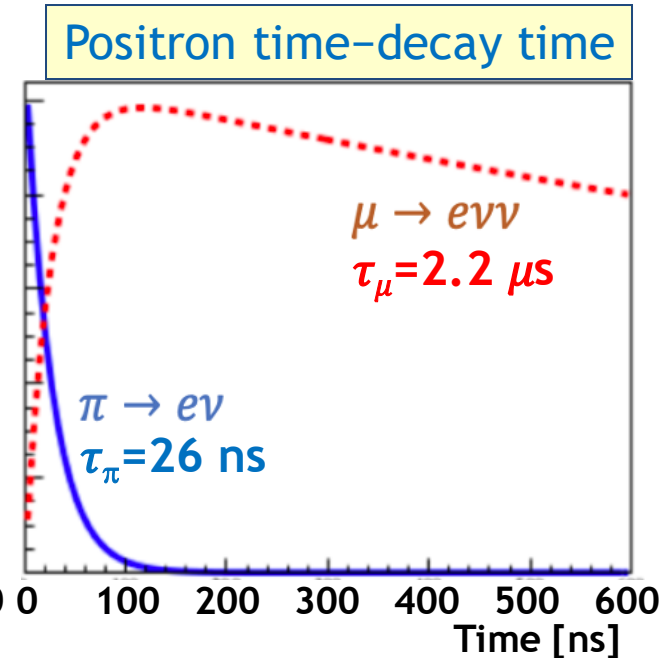
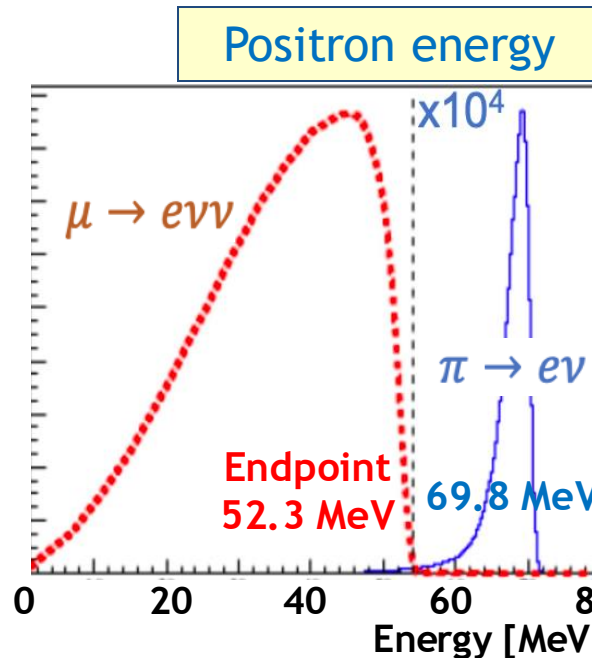
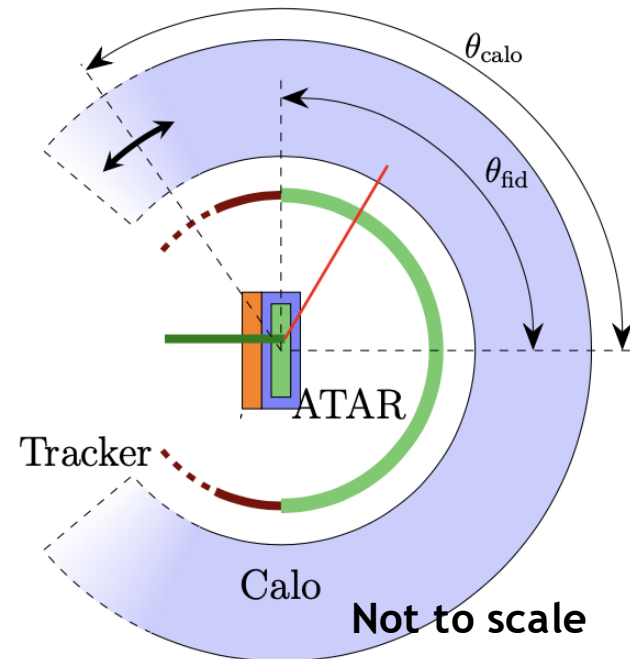


PIONEER: detector and method

Detector design:

Approved by PSI, aiming to start data-taking in 2030

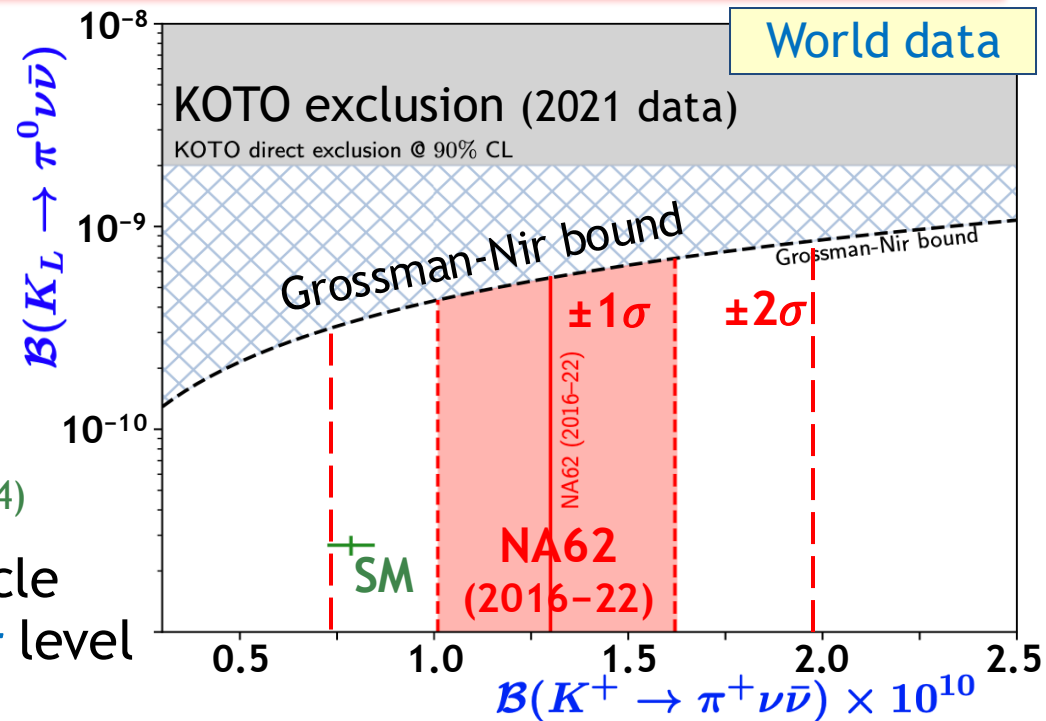
- ❖ Low-energy π^+ beam (65 MeV/c) stopped in an active target (ATAR), an LGAD silicon-strip detector; pion stopping rate = 300 kHz.
- ❖ LXe/LYSO calorimeter ($R_{\text{out}} \sim 80\text{cm}$; $25X_0$): e^+ energy tail <0.5% below 52 MeV.
- ❖ Spherical tracker (design to be determined).



- ❖ UK (Glasgow) has been involved in PIENU at TRIUMF.
- ❖ Several UK groups are interested in contributing to software, simulations and MC productions (and eventually to physics).

Summary

- ❖ Kaon decay experiments are collecting data and advancing on rare decay measurements.
 - ✓ KOTO: improved $K_L \rightarrow \pi^0 \nu \bar{\nu}$ upper limit (September 2023)
 - ✓ NA62: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ observation at the 5σ level (September 2024)
 - ✓ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ is the rarest particle decay established at the 5σ level



- ❖ UK groups are interested in joining next-generation experiments.
 - ✓ KOTO-II at J-PARC: $K_L \rightarrow \pi^0 \nu \bar{\nu}$ observation at 5σ ; rare K_L decays
 - ✓ PIONEER at PSI: $BR(\pi_{e\nu})/BR(\pi_{\mu\nu})$ to 10^{-4} precision in Phase I

Kaon and pion decay experiments should be acknowledged as **essential scientific activities** in the upcoming European Strategy and PPRP Roadmap updates