Clustering Effects on Primordial Black Hole Merger Rates

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July/2025, PASCOS2025

Introduction

Primordial black holes (PBHs)

• Black holes (BHs) formed from overdense regions in the early universe.

Ya. B. Zel'dovich & I. D. Novikov(1966),S. W. Hawking (1971), B. J. Carr & S. W. Hawking (1974)

• Formation condition: Their density contrast exceeds $\mathcal{O}(1)$.

$$\delta \equiv \frac{\rho - \rho_{\rm BG}}{\rho_{\rm BG}} > \mathcal{O}(1)$$

 ρ : energy density

 $\rho_{\rm BG}$: background energy density

B. J. Carr (1975), T. Harada et. al.(2022)

• Motivation: GW source

The merger rate of binary BHs is inferred as

 $17.9 - 44 \text{ Gpc}^{-3} \text{ yr}^{-1}$ at a fiducial redshift z = 0.2

LVK collaborations (2022)

The origin of the source BHs is still under debate.

PBH merger rate of LVK region

M. Sasaki, T. Suyama, T. Tanaka, and S. Yokoyama (2016)

- Assumption
- monochromatic mass distribution
- uniform spatial distribution
- external contribution
 = nearest external PBH only



• Binary formation rate of the latest LVK inference is achieved by

$$f_{\text{PBH}} = (2 - 4) \times 10^{-3}$$
 f_{PBH} : PBH-to-DM ratio

• CMB anisotropy puts constraints on accretion

 $f_{\rm PBH} \lesssim 10^{-2}$ (conservative)

Y. Ali-Haïmoud & M. Kamionkowski (2017)

PBH clustering

• Analysis beyond the uniform distribution is important.



• Some concrete PBH models predict "strong clustering"

(e.g. PBHs from axion bubbles, PBHs from Affleck-Dine mechanisms)

Merger rate for clustered PBHs

M. Kawasaki, K. Murai, and H. Nakatsuka (2021)

- CMB constraints on isocurvature perturbations from PBH clustering
- Merger rate calculation for power-law correlation functions of PBHs under the assumptions of
- a monochromatic mass distribution
- external contribution
 - = nearest external PBH only

In fact, the eccentricity which corresponds one-to-one with the angular momentum is given by

$$e = \sqrt{1 - \left(\frac{x_0}{y_0}\right)^6}$$



PBH merger rate WITHOUT clustering

Recent calculation technique

M. Raidal, V. Vaskonen, and H. Veermäe (2024)

- Assumption
 - monochromatic mass distribution
 - Poisson spatial distribution
- Focus : Early two-body channel of PBH merger





<u>1. Binary formation</u> Excluding three-body system formation $(|\mathbf{x}| < y)$

2. Binary shrinking by GW emission



3. Merger

Binary formation

M. Raidal, V. Vaskonen, and H. Veermäe (2024)

• Ignoring external forces, equation of motion in the relative coordinate is

$$\frac{F}{\mu} = \begin{bmatrix} -\frac{GM}{r^3}r \\ r^3 \end{bmatrix} + \begin{bmatrix} \ddot{a} \\ a \\ r \\ a \end{bmatrix} \qquad G : \text{Newton constant} \\ M = 2m : \text{binary mass} \\ M = 2m : \text{$$

• Binary formation (Gravity>Hubble flow) occurs at

$$a_{\rm dc} = \frac{a_{\rm eq}}{\delta_{\rm pair}}$$
 during RD era

• Binary formation condition is

$$\delta_{\text{pair}} := \frac{M/2}{\rho_{M,0} V(x_0)} > 1$$

 a_{eq} : matter-radiation equality

- $V(x_0)$: comoving volume of
 - the initial separation x_0

 $\rho_{M,0}$: BG density of total matter

Merger rate calculation

M. Raidal, V. Vaskonen, and H. Veermäe (2024)

Probability of the early two-body channel

$$\int d^3x_0 \,\bar{n}e^{-V(y)}$$

Probability distribution of angular momentum *j* for given initial positions

$$1 = \int dj \frac{dP(j \mid x_0, y)}{dj} \quad \longleftarrow$$







Binary formation rate

$$R_{b}(t) = \int d^{3}x_{0} \,\bar{n}e^{-V(y)} \int dj \,\frac{dP(j \,|\, x_{0}, y)}{dj} \,\delta(t - t_{\rm dc})$$

Merger rate $R_{\text{merger}}(t) = \int_0^\infty d^3 x_0 \,\bar{n} e^{-V(y)} \int dj \,\frac{dP(j | x_0, y)}{dj} \,\delta(t - t_{\text{dc}} - \overline{\tau(x_0, j)})$

Merger rate recalculation

M. Raidal, V. Vaskonen, and H. Veermäe (2024)

• Merger rate

$$R_{\text{merger}}(t) = \int_{0}^{\infty} d^{3}x_{0} \,\bar{n}e^{-V(y)} \int dj \,\frac{dP(j \,|\, x_{0}, y)}{dj} \,\delta(t - t_{\text{dc}} - \tau(x_{0}, j))$$

It is integrated over $x_0 \in (0, \infty)$ to obtain analytic formula in their paper.

• The binary formation during radiation-dominated era requires

$$\delta_{\text{pair}} := \frac{m}{\rho_{M,0} V(x_0)} > 1 \quad \rightarrow x_{\text{max}} : \text{upper limit of } x_0$$

Merger rate should be integrated over $x_0 \in (0, x_{max})$.

• This upper limit will lowers the merger rate especially for small f_{PBH} .

Recalculation

Our work (in preparation)

• Blue line :

Result of

M. Raidal, V. Vaskonen, and H. Veermäe (2024) integrating over $x_0 \in (0, \infty)$

• Orange line :

Our revised result with the binary formation condition, integrating over $x_0 \in (0, x_{\text{max}})$



PBH merger rate WITH clustering

Correlation function

Our work (in preparation)

• power spectrum of PBH density perturbations

$$P_{\rm PBH} = P_{\rm Poisson} + \int d^3x \, e^{-ik \cdot x} \xi(x)$$

• PBH correlation functions $\xi(r) = \xi_c \left(\frac{r}{1 \text{ Mpc}}\right)^{-\alpha}$

r: distance from the PBH at the origin

• Spatial dependence of PBH abundance $n_{\rm PBH}(r) \simeq \bar{n}(1 + \xi(r))$



- Modifications in the merger rate calculation :
 - 1. Realization probability of early two-body channel
 - 2. Angular momentum contributions from surrounding PBHs

 \mathcal{L}

Improved binary formation condition

Our work (in preparation)

• As a GR-motivated generalization, we consider EoM for mass excess

$$\ddot{r} - \frac{\ddot{a}}{a}r + \frac{GM}{r^2} = 0 \quad \rightarrow \quad \ddot{r} - \frac{\ddot{a}}{a}r + \frac{G}{r^2}\left(M - 2 \times \frac{4\pi}{3}\rho_{\rm PBH}r^3\right) = 0$$

• This equation can be solved numerically if the initial density contrast is given

$$\delta_{2B} := \frac{M/2 - \rho_{\text{PBH}} V(x_0)}{\rho_{M,0} V(x_0)}$$

• New binary formation condition : binary formation $\dot{r} = 0$ occurs before the matter-radiation equality.

The numerical result shows that the required density contrast is

 $\delta_{2B} > 0.14$: earlier binary formation than previously estimated $a_{2B} < a_{dc}$



Our work (in preparation)

• PBH correlation

$$\xi(r) = \xi_c \left(\frac{r}{1 \text{ Mpc}}\right)^{-\alpha}$$

Here we set $\alpha = 1.5$ as a typical value.

• New binary formation condition

 $\delta_{2\mathrm{B}} > 0.14$



Take-home message

• PBHs formed from inflationary fluctuations can exhibit clustering. Some concrete models predict strong clustering, which significantly alters merger rate estimates.

• The predicted merger rate is sensitive to physical assumptions, such as binary formation.

• The exponential suppression at large PBH abundance comes from the rarity of early two-body configurations. This suggests that three-body or many-body channels may dominate in that regime.

→ future work

Thank you for your attention!