

Testing the origin of neutrino masses and baryon asymmetry

Jacobo López-Pavón

New ν Physics: From Colliders to Cosmology
11 April 2025, IPPP (Durham University)

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Project CNS2022-136013 funded by MICIU/AEI/10.13039/501100011033
and the European Union NextGenerationEU/PRTR



GOBIERNO
DE ESPAÑA

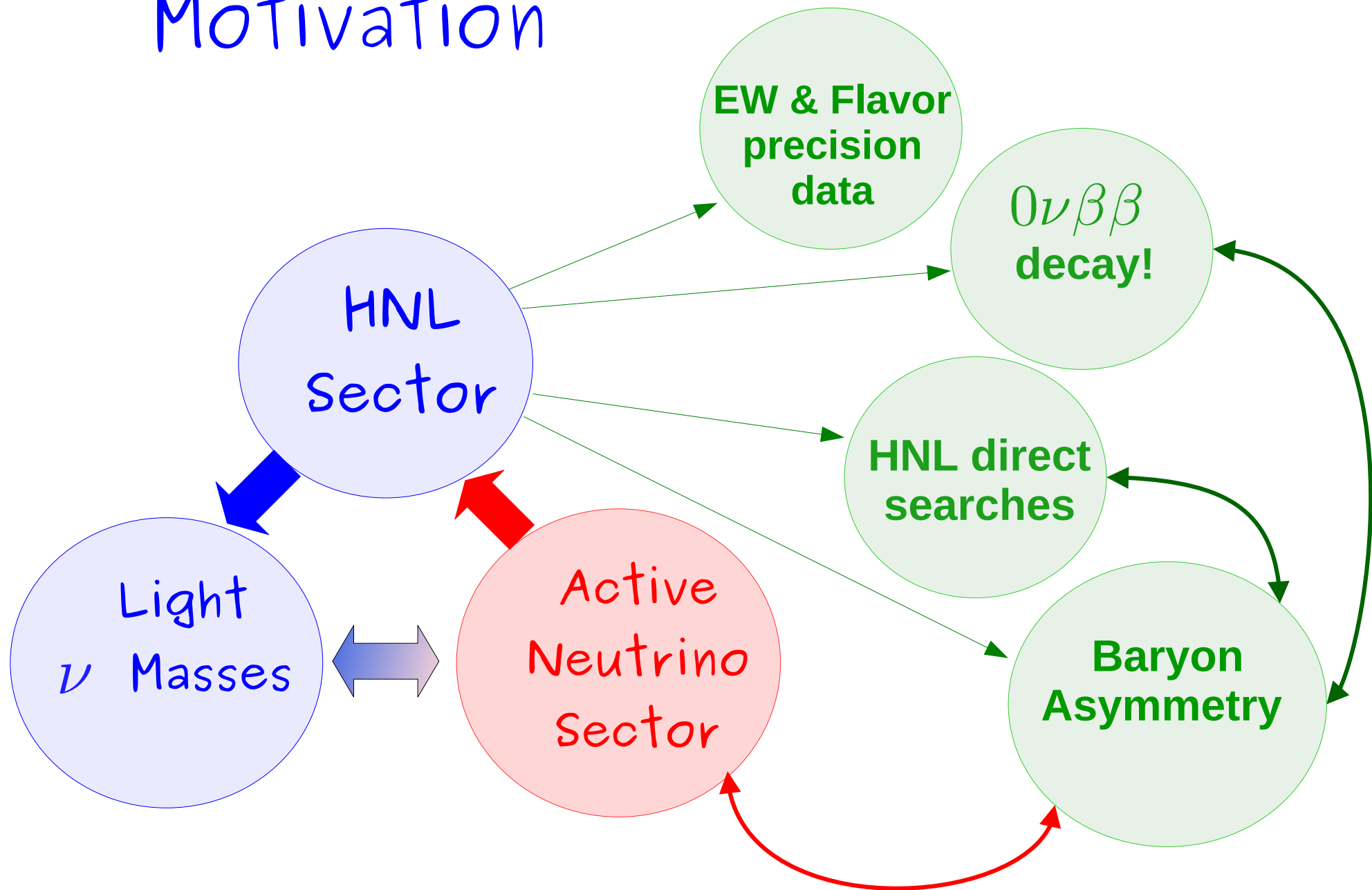
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NextGenerationEU



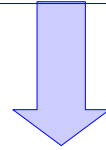
Motivation



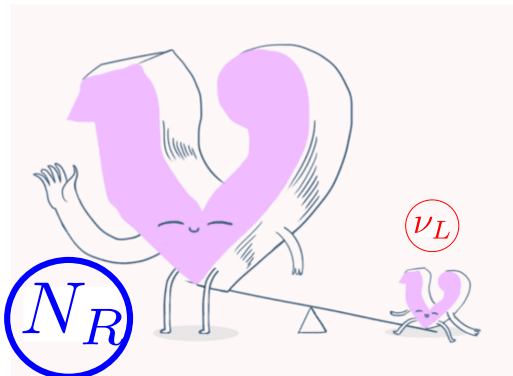
Minimal model: Seesaw Model

- Simplest extension of SM able to account for neutrino masses. Consists in the addition of **heavy fermion singlets** (N_i) to the SM field content:

$$\mathcal{L} = \mathcal{L}_{\mathcal{SM}} + \mathcal{L}_{\mathcal{K}} - \left[\frac{1}{2} \overline{N}_i^c M_{ij} N_j - Y_{i\alpha} \overline{N}_i \tilde{H}^\dagger L_\alpha + h.c. \right]$$



Light
Neutrino
Masses



Minkowski 77; Gell-Mann, Ramond, Slansky 79
Yanagida 79; Mohapatra, Senjanovic 80

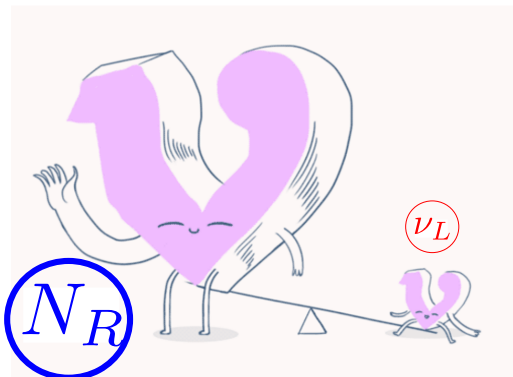
Mass Matrix

$$M_\nu = \begin{pmatrix} \overline{\nu^c} & \overline{N} \\ 0 & Y^T v / \sqrt{2} \\ Y v / \sqrt{2} & M \end{pmatrix} \begin{matrix} \nu \\ N^c \end{matrix}$$



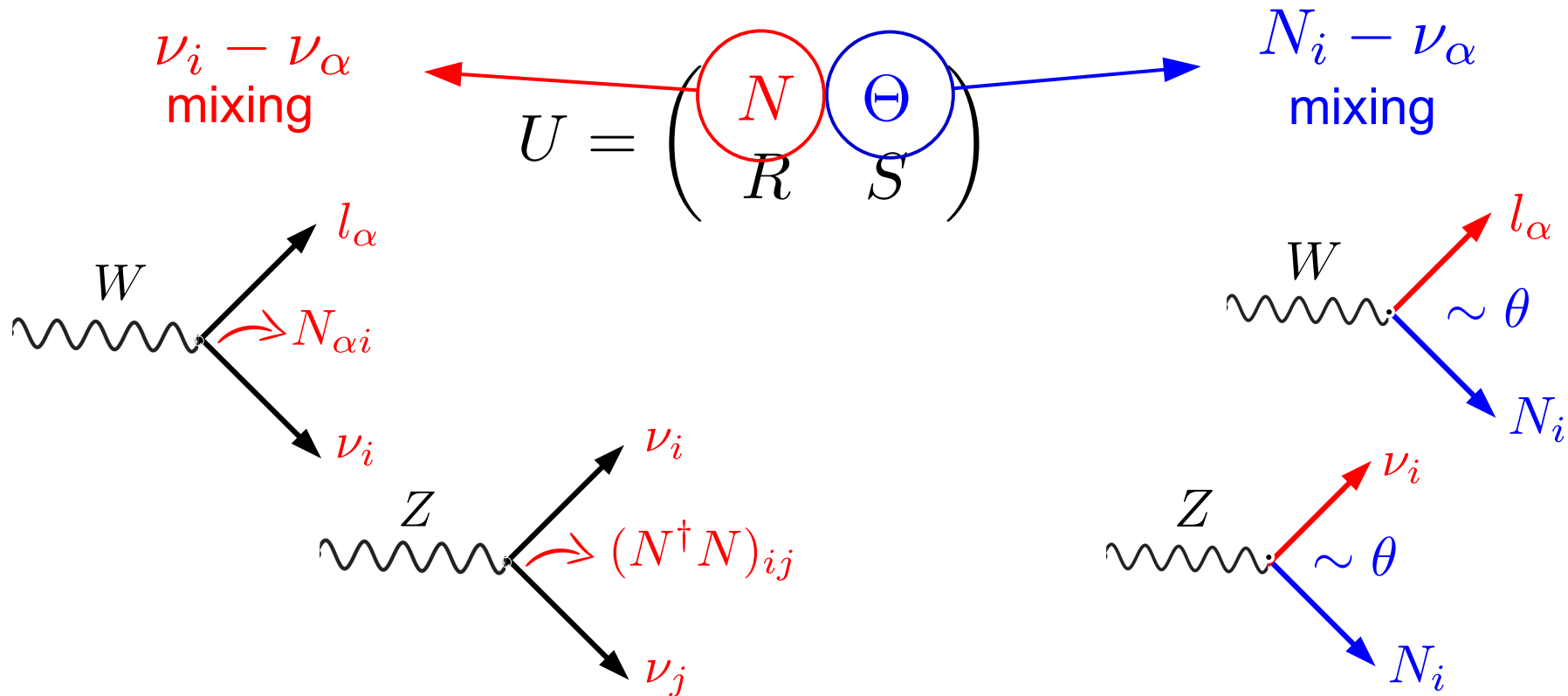
Light
Neutrino
Masses

$$\begin{pmatrix} \frac{v^2}{2} Y^T M^{-1} Y & 0 \\ 0 & M \end{pmatrix}$$



Minkowski 77; Gell-Mann, Ramond, Slansky 79
Yanagida 79; Mohapatra, Senjanovic 80

Mixing



Non unitary PMNS matrix

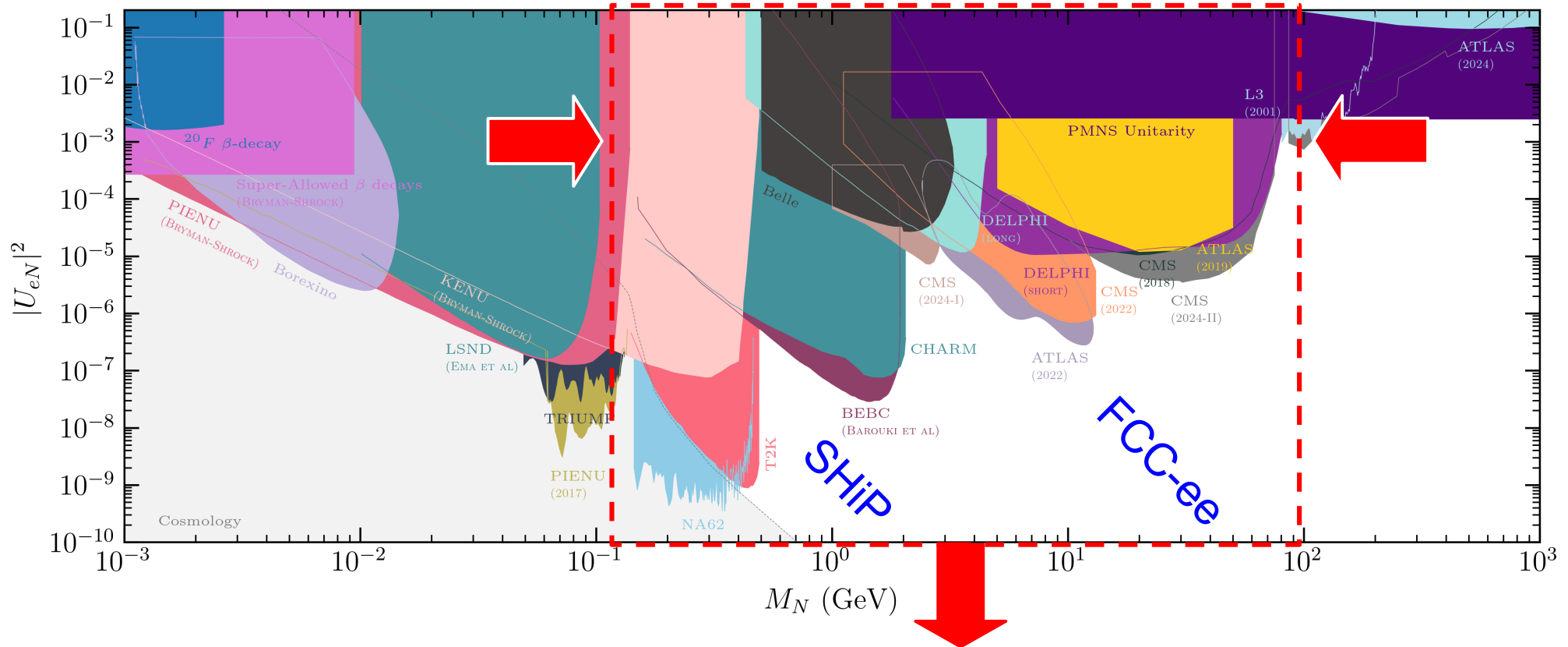
- Constraints from *EW and CLFV precision data*

Antusch, Biggio, Fernandez-Martinez, Gavela, JLP 2006
 Blennow, Fernandez-Martinez, Hernandez-Garcia, Marciano,
 Naredo-Tuero, JLP 2306.01040

"Direct" Searches

- Sterile neutrino oscillations, kinks in beta decays & peak searches in semileptonic meson decays, beam-dump experiments, colliders...

Current Constraints



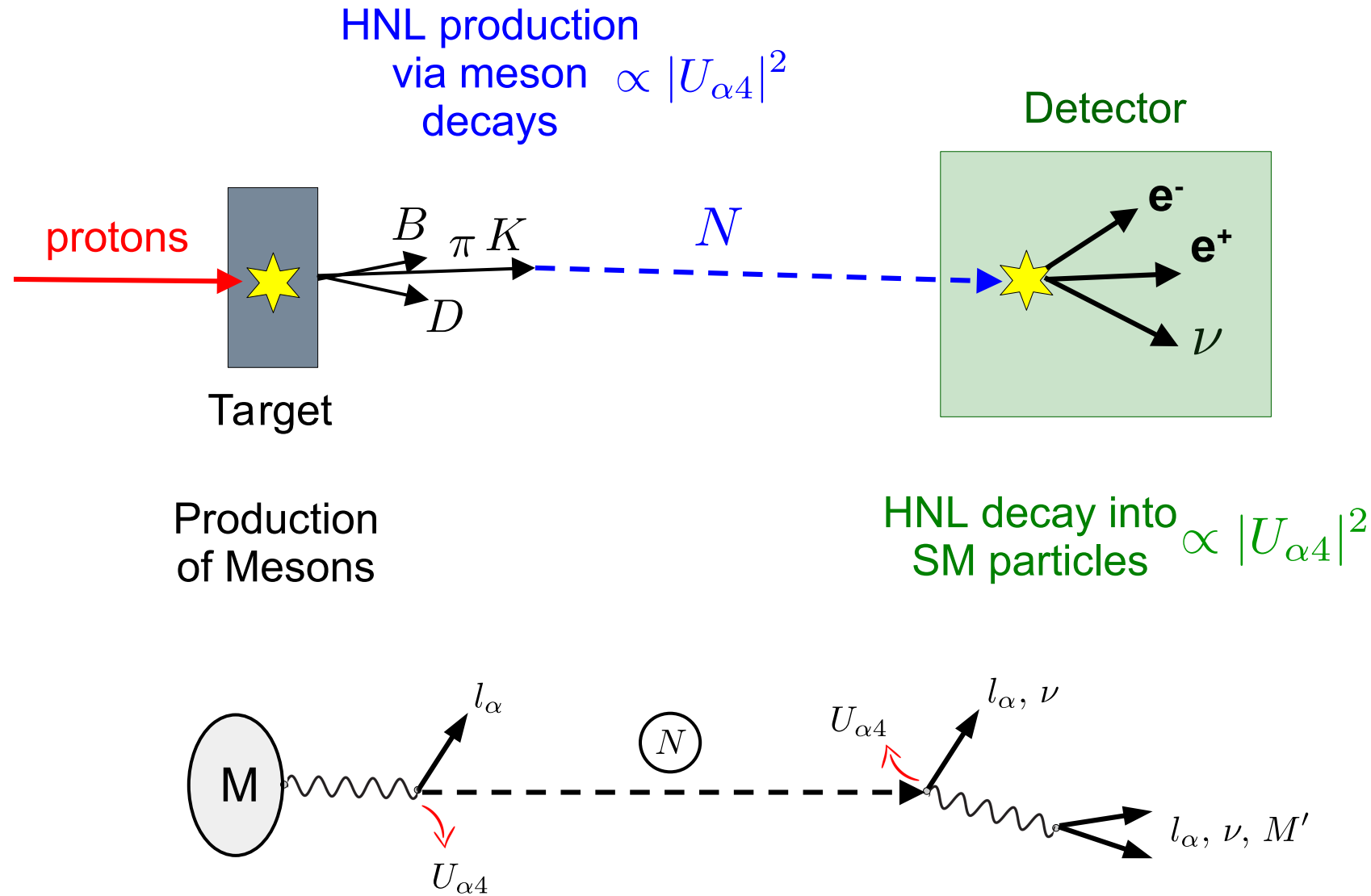
ARS Leptogenesis

<https://github.com/mhostert/Heavy-Neutrino-Limits>

Fernandez-Martinez, Hernandez-Garcia, Gonzalez-Lopez, Hostert, JLP 2304.06772

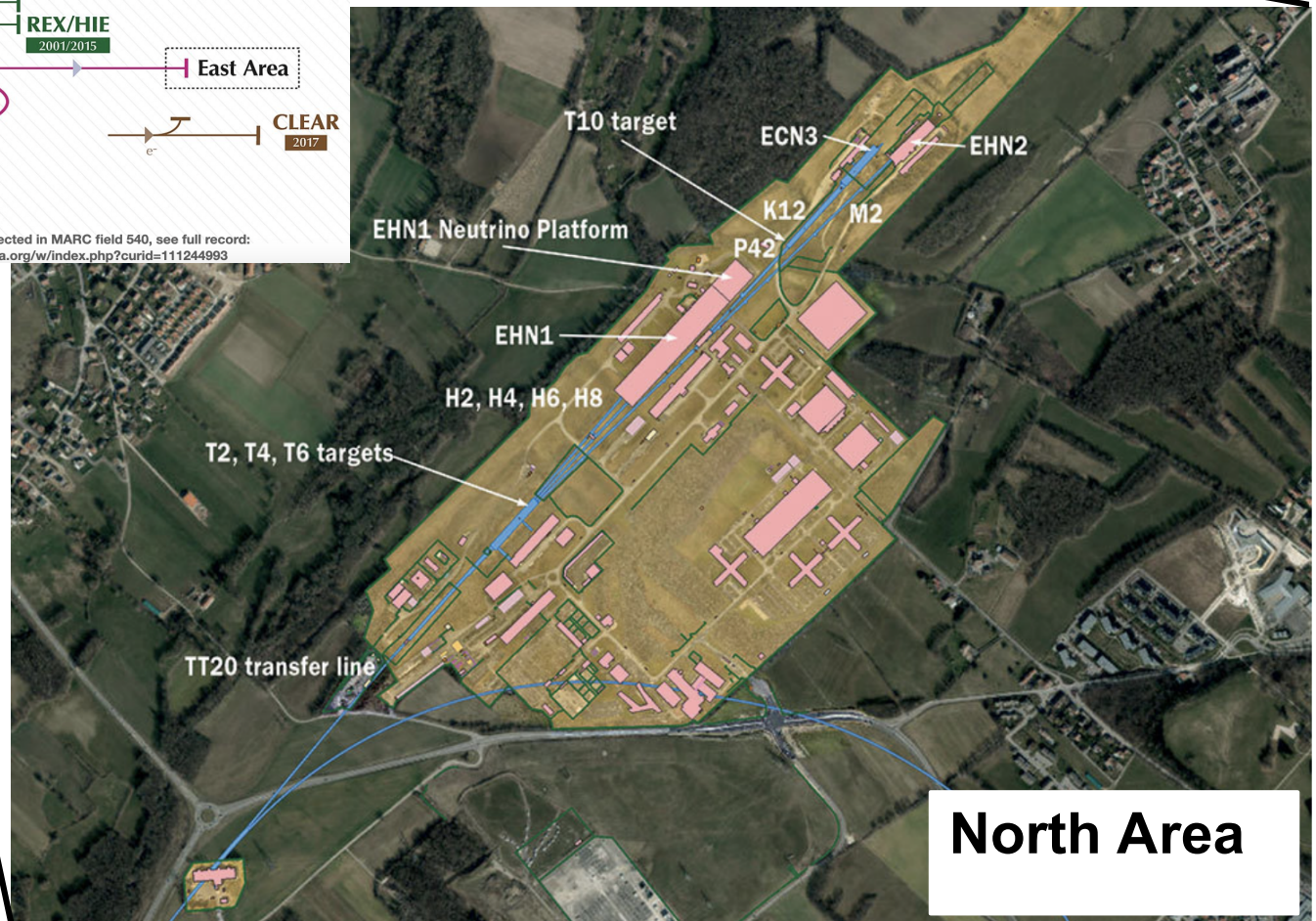
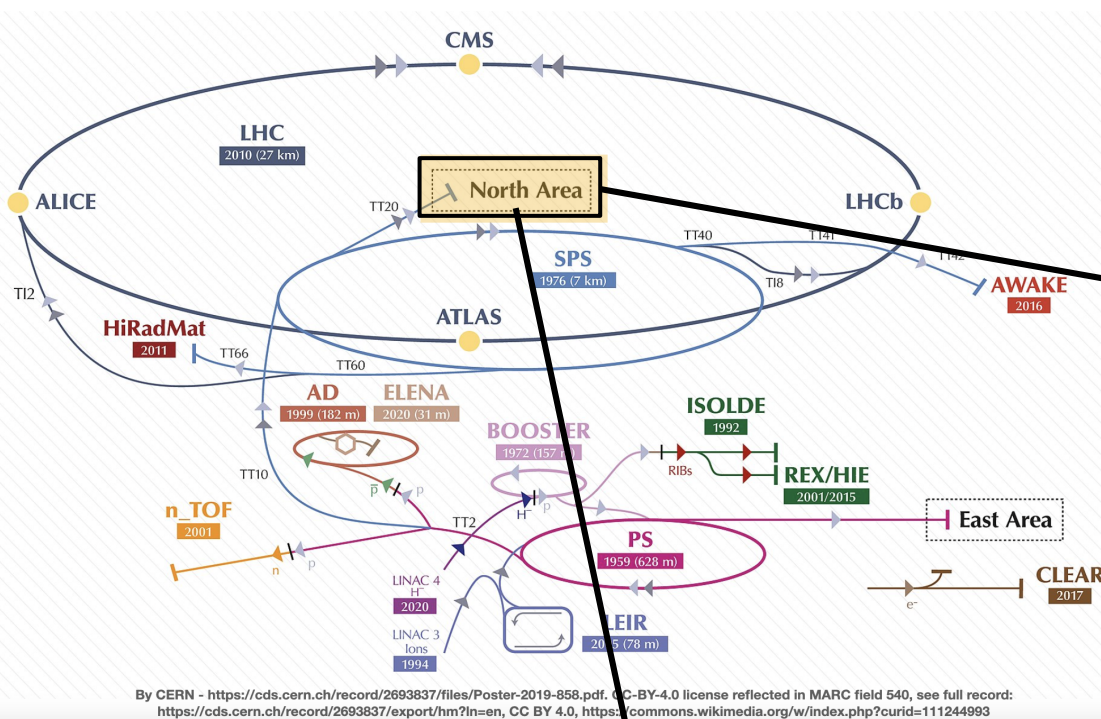
See also talks by Lyon, Saimpert, Silva, Kretz, Mitra, Lutz and Sfar.

ProtoDUNE in beam dump configuration?



See talk by Jelle Groot for other beam dump searches

North Area & SPS accelerator @CERN



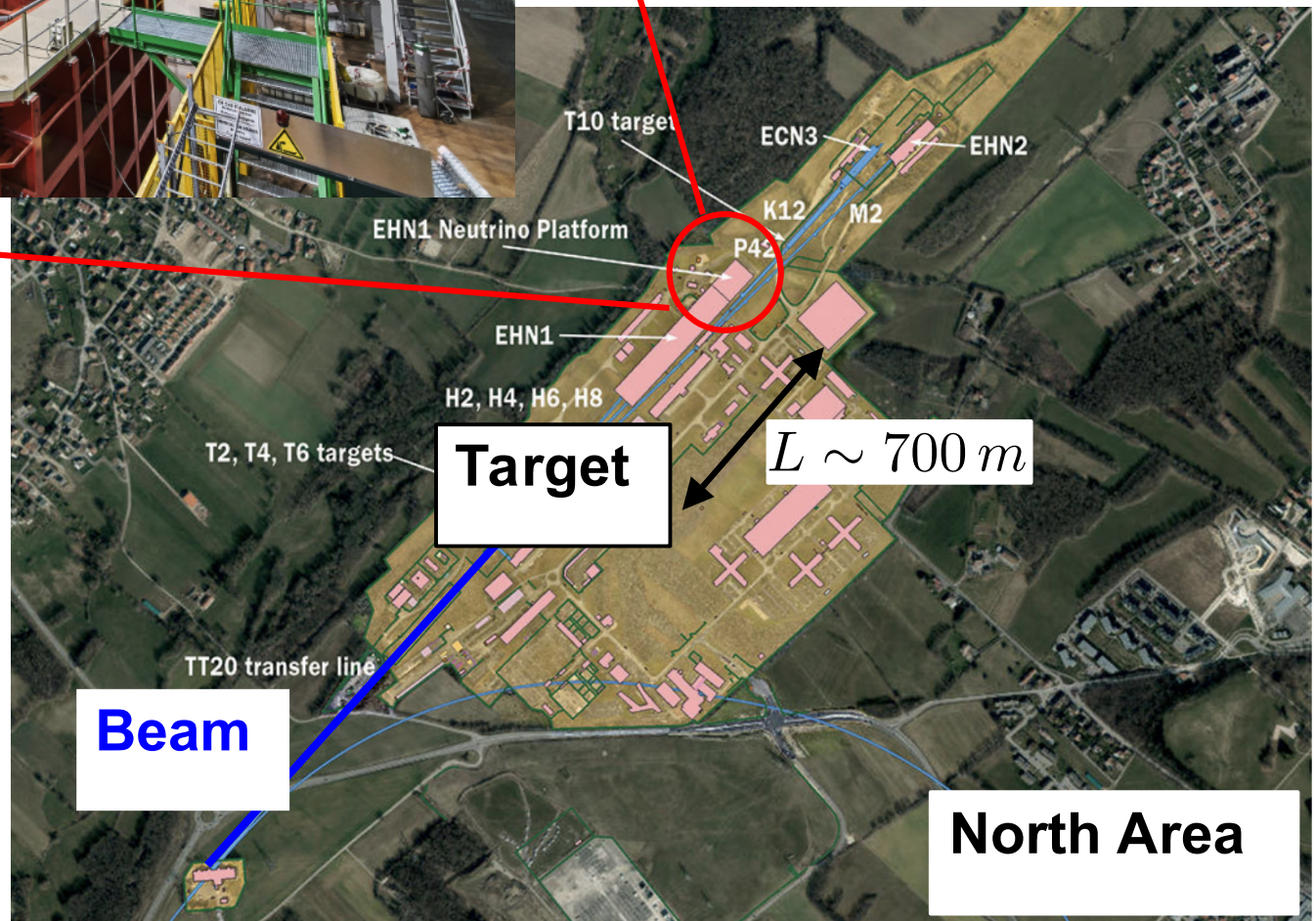
North Area & SPS accelerator @CERN



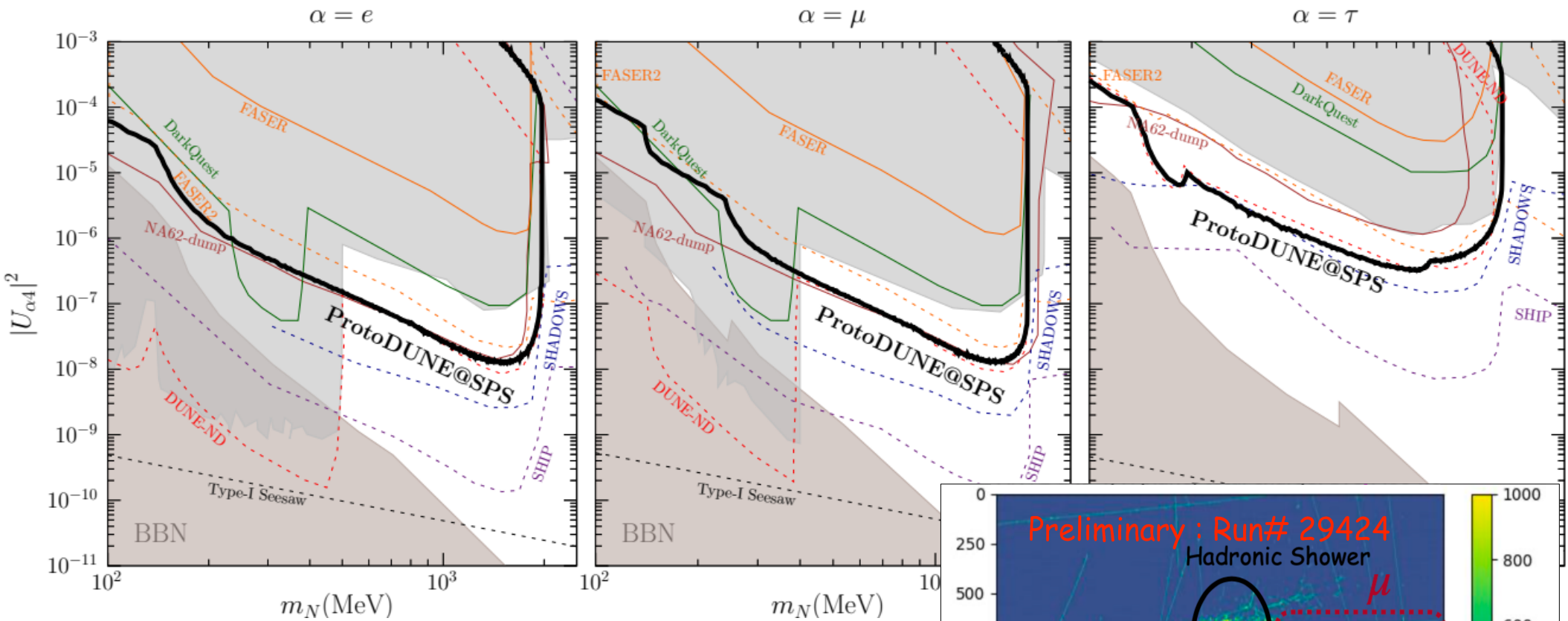
CERN Neutrino Platform
(ProtoDUNE detectors)

ProtoDUNE detectors (already located at CERN)

Two kiloton-scale liquid Argon Time Projection Chambers (LArTPCs) constructed to prototype and consolidate the technology of the DUNE Far Detector.

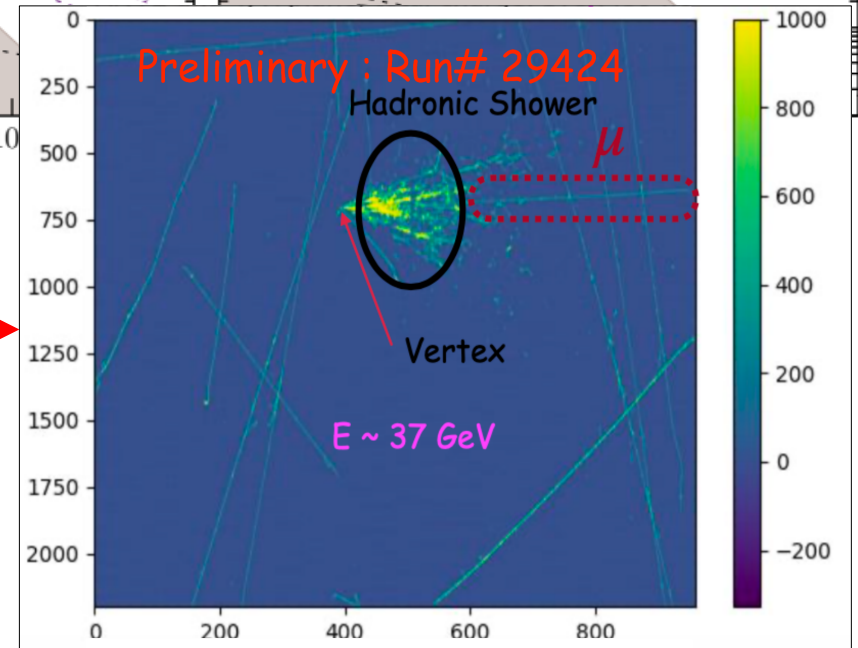


ProtoDUNE in beam dump configuration?



Coloma, JLP, Molina-Bueno, Urrea 2304.06765

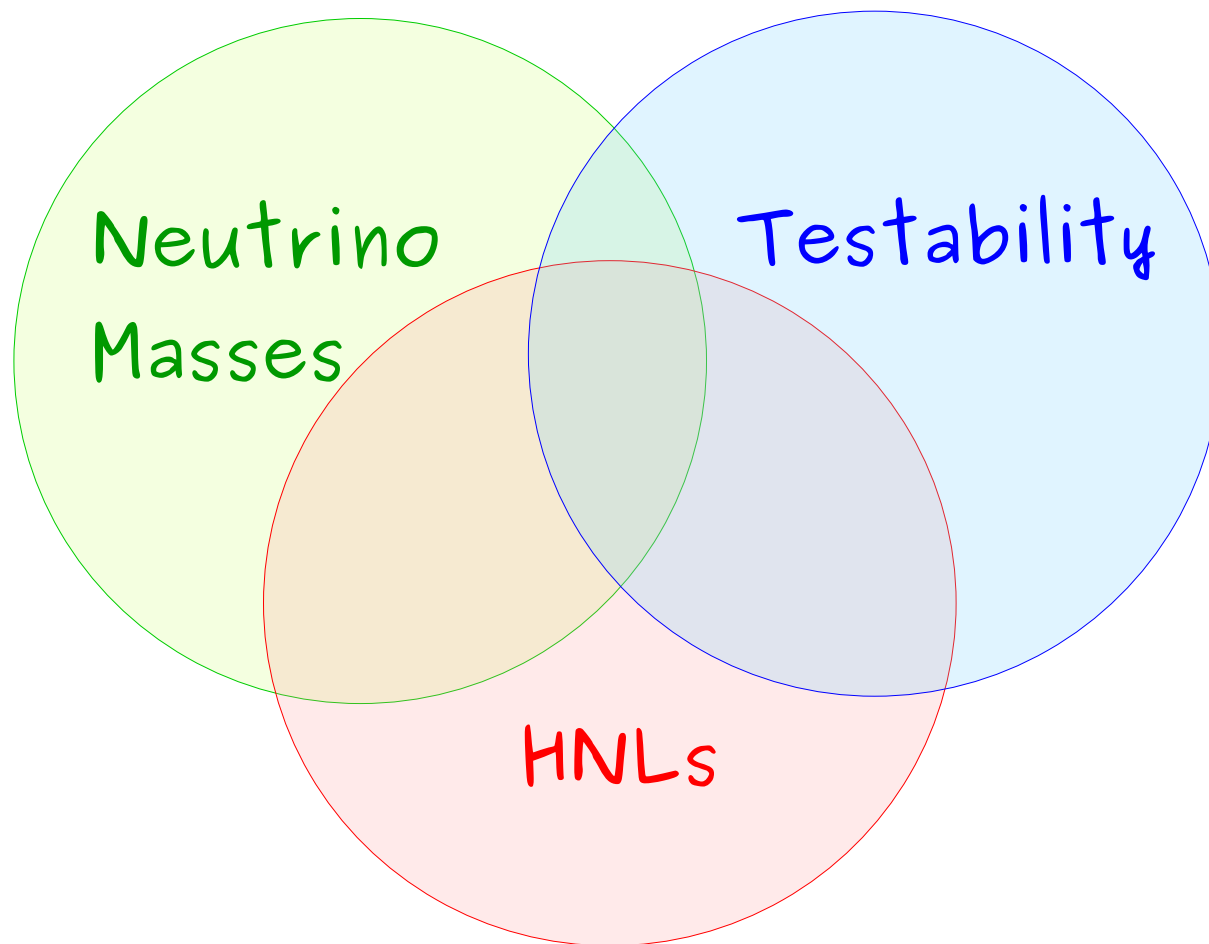
Neutrino candidate
detected during test
in summer 2024



H. Amar, A. Chatterjee, C. Hasnip, W. Ketchum, L. Molina Bueno, D. Pullia, DUNE-DAQ...

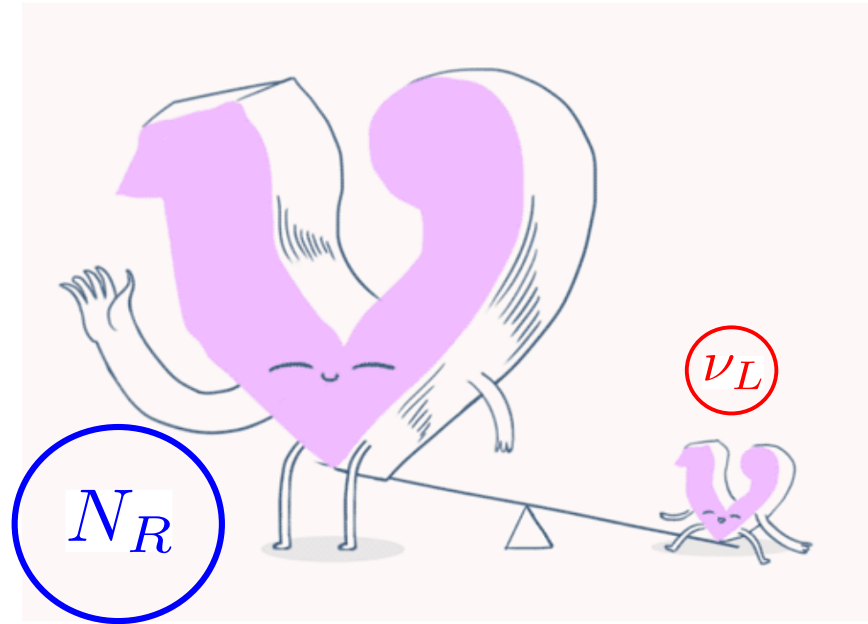
https://indico.cern.ch/event/1460367/contributions/6240613/attachments/3001559/5289608/BSM@protoDUNE_NeutrinoWkshp_Animesh.pdf

https://indico.cern.ch/event/1381368/contributions/5963281/attachments/2888251/5062517/molina_LL2024_2072024_v2.pdf



Constraint on HNL mixing from active sector

- Generation of light neutrino masses imposes **constraints on mixing between HNLs and active neutrinos from *active neutrino sector***

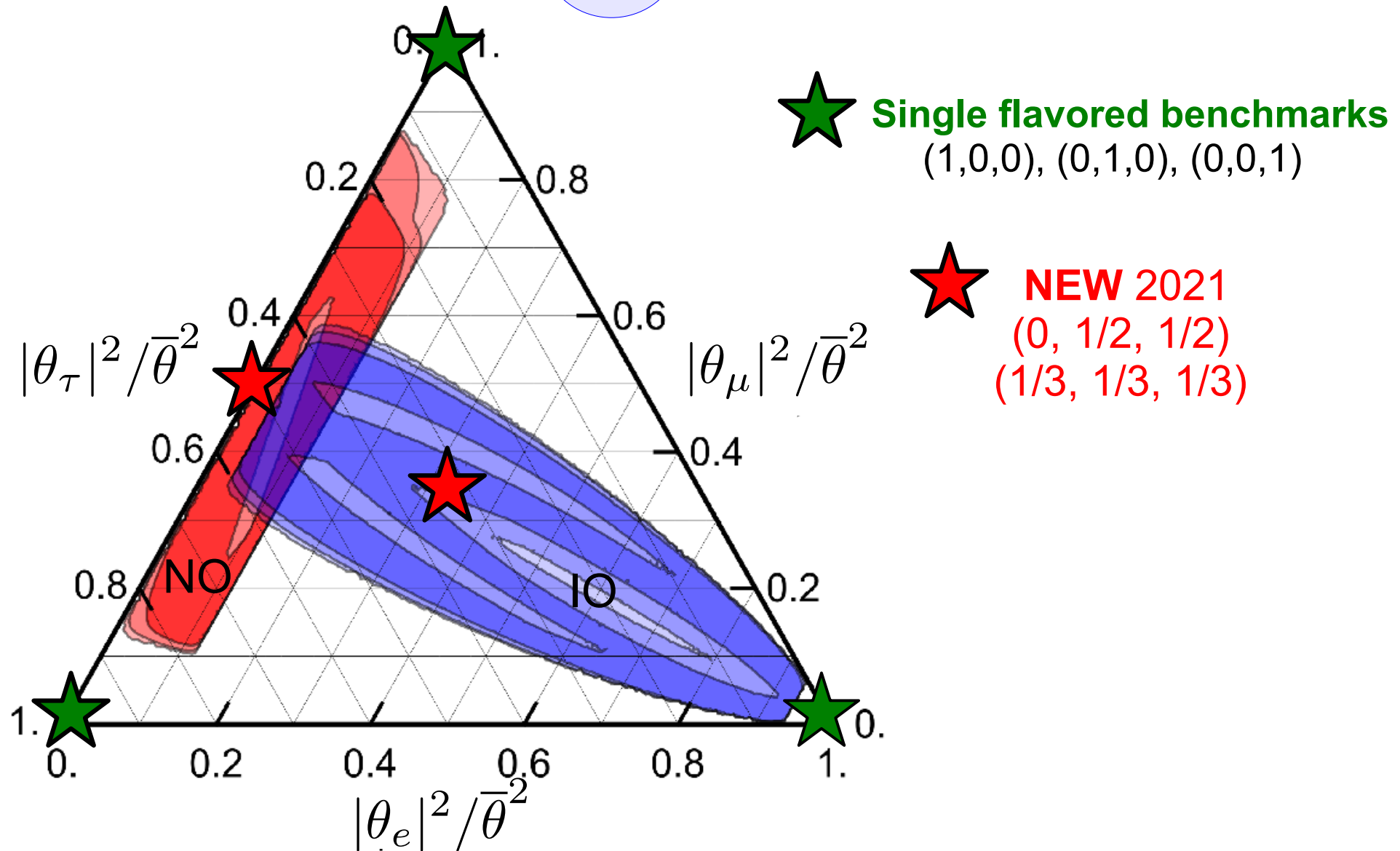


$$m_\nu = \frac{v^2}{2} Y^T M^{-1} Y = \boxed{\theta M \theta^T} = \boxed{U m U^T}$$

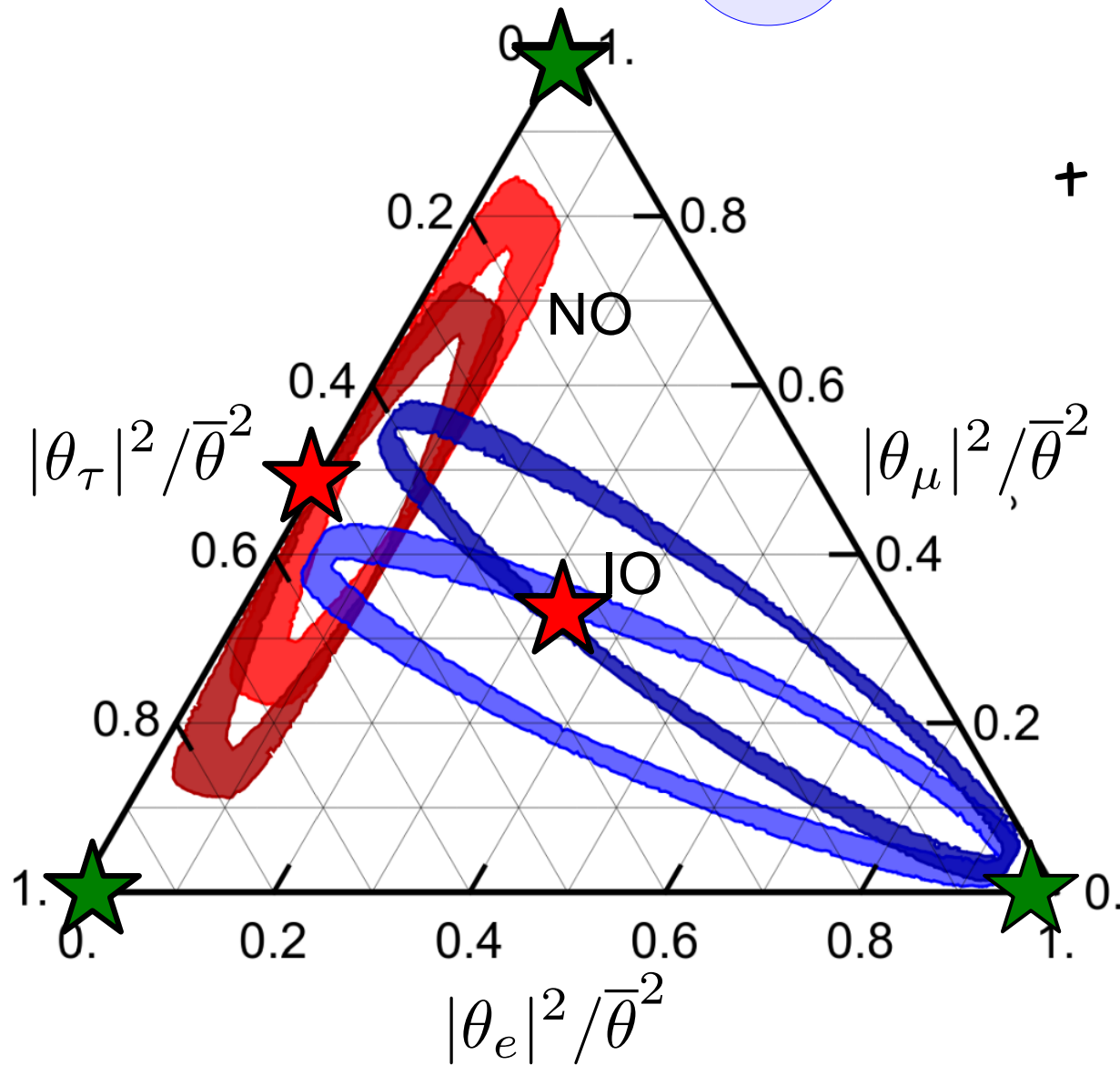
HNL sector

Light-active
neutrino sector

Minimal model $n_R=2$: Flavor structure



Minimal model $n_R=2$: Flavor structure



+ DUNE/T2HK...
(future)

■ NO, $s_{23}^2=0.58$

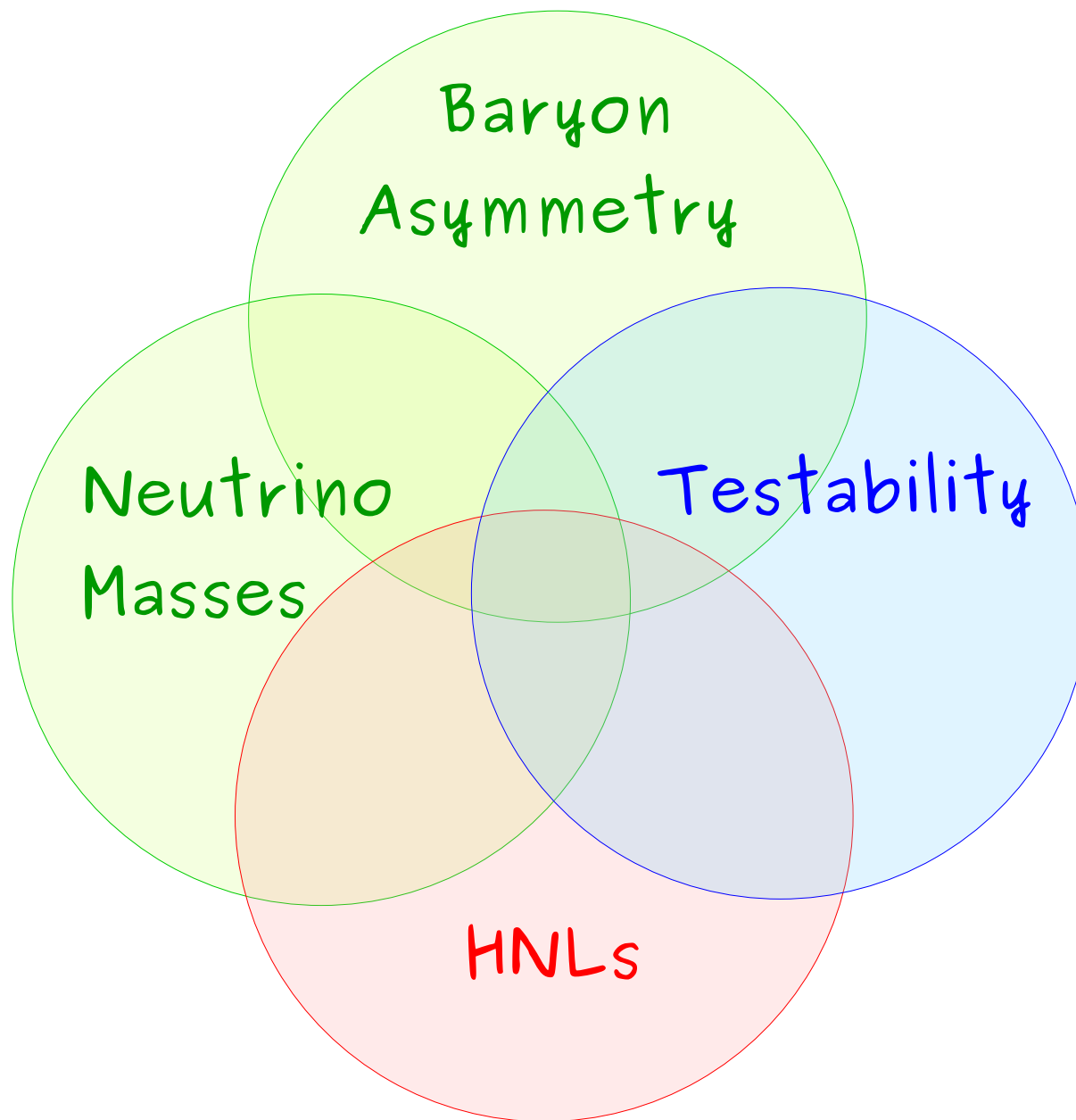
■ NO, $s_{23}^2=0.42$

■ IO, $s_{23}^2=0.58$

■ IO, $s_{23}^2=0.42$

DUNE forecast assuming $\delta = -\pi/2$

Abdullahi et al 2203.08039
Drewes, Klaric, JLP 2207.02742



Hernandez, Kekic, JLP, Racker, Salvado 1606.06719

Hernandez, JLP, Rius, Sandner 2207.01651

Hernandez, JLP, Rius, Sandner 2305.14427

Sakharov conditions

1

Baryon number violation

Sphalerons translate lepton asymmetry into baryon asymmetry.



2

C and CP violation

New sources of CP violation in lepton sector *encoded in the Yukawa couplings* (not enough ~~CP~~ in quark sector)

(Gavela, Hernandez Orloff, Pene, Quimbay 1994)



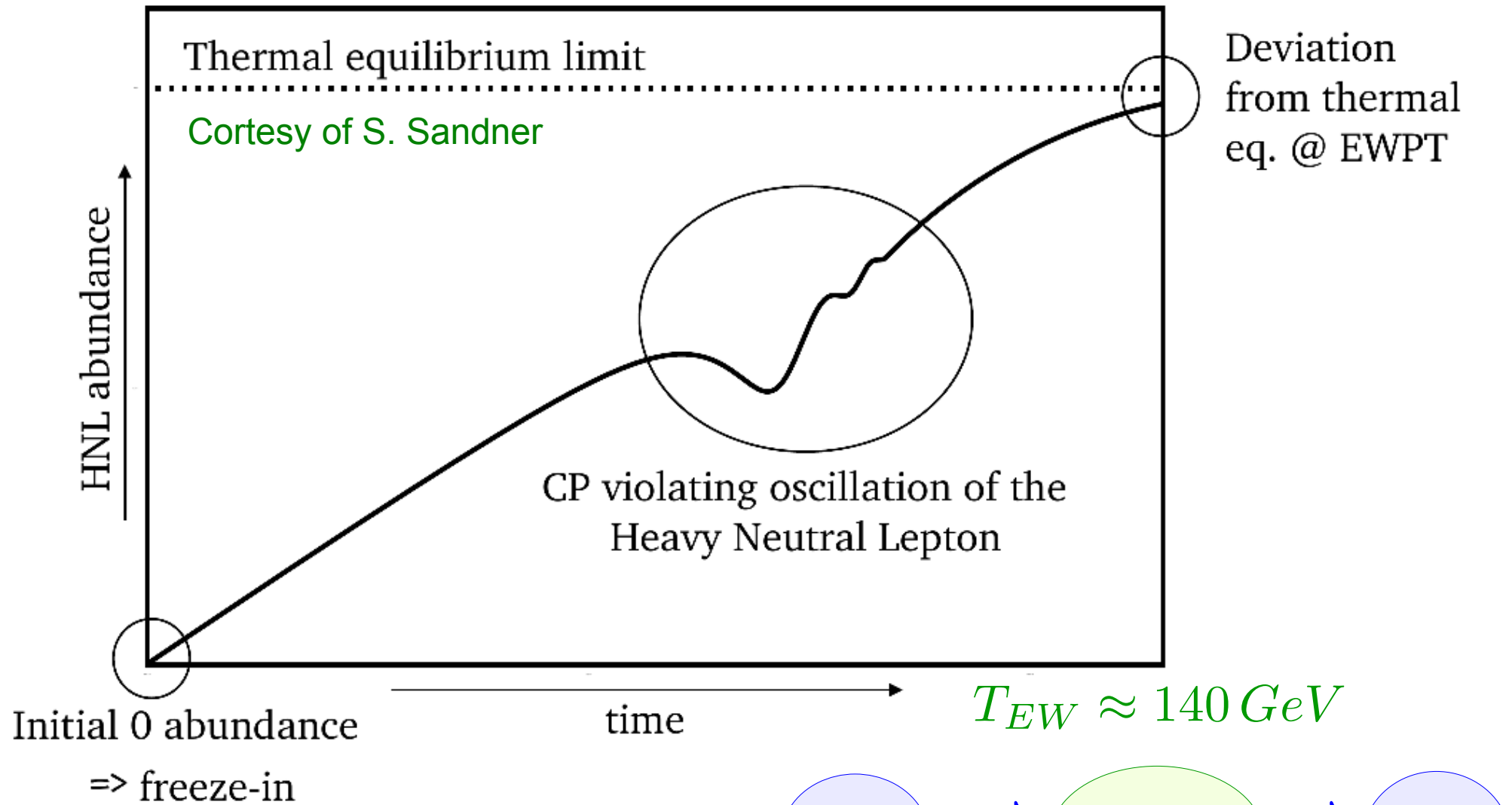
3

Departure from thermal equilibrium

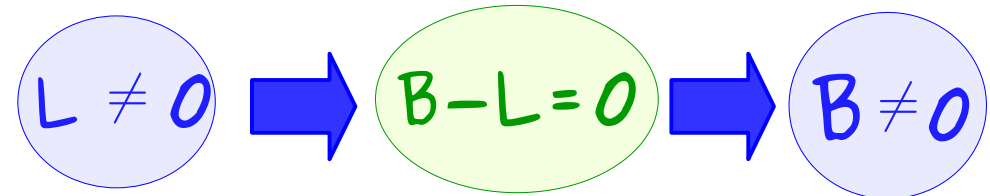
$$\Gamma_N \leq H(T) \quad T > T_{EW} \quad \checkmark$$



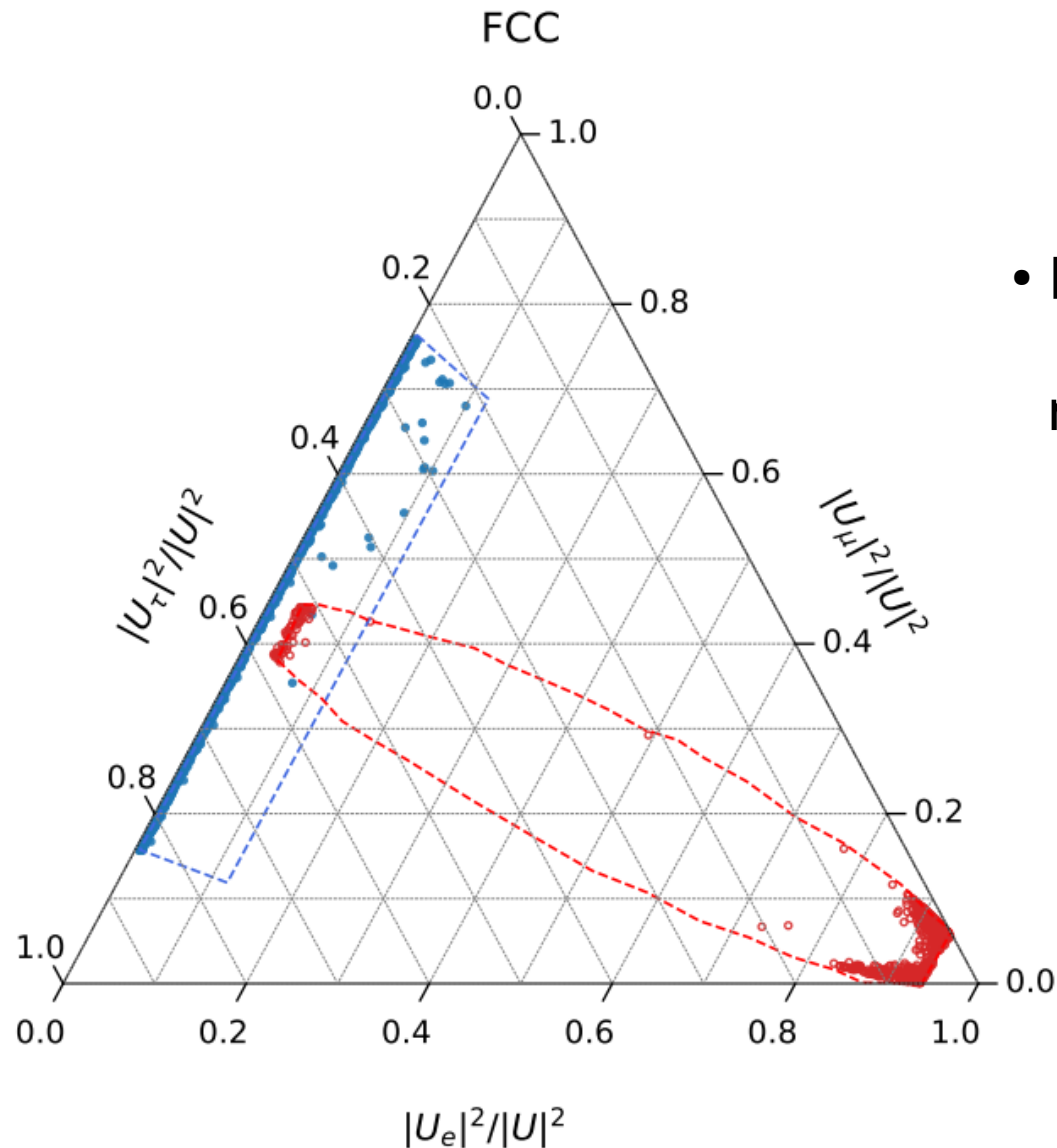
Low Scale Leptogenesis (ARS)



Akhmedov, Rubakov, Smirnov (ARS)
Asaka, Shaposhnikov (AS)

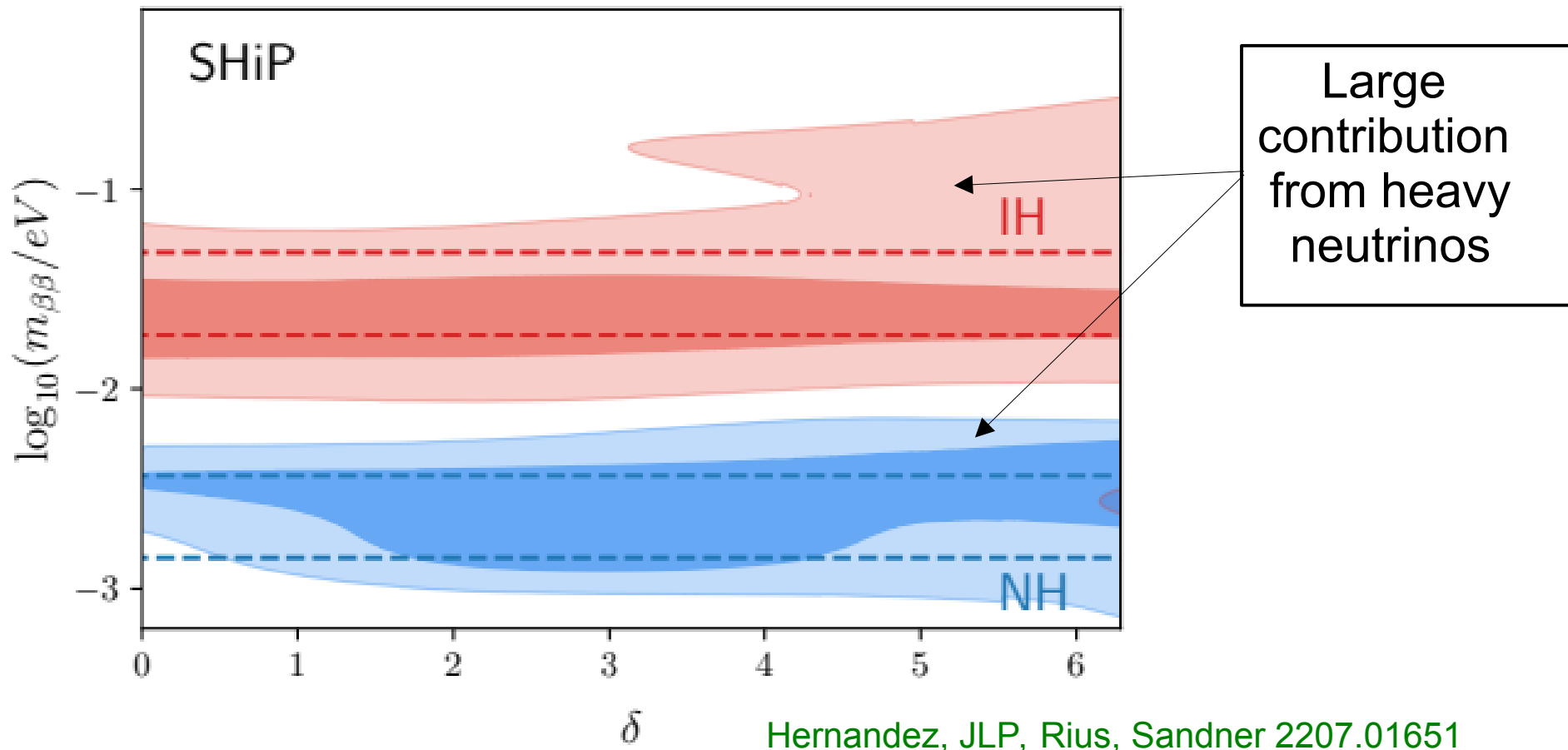


Baryon asymmetry: flavor structure



- Flavor correlations implied by reproducing observed matter-antimatter asymmetry in the region of parameter space that can be covered by FCC-ee for $\Delta M/M = 10^{-2}$

Baryon asymmetry: $\nu\bar{\nu}\beta\beta$ decay



- Correlation with neutrinoless decay rate implied by reproducing observed baryon asymmetry in region of parameter space that can be probed by SHiP for

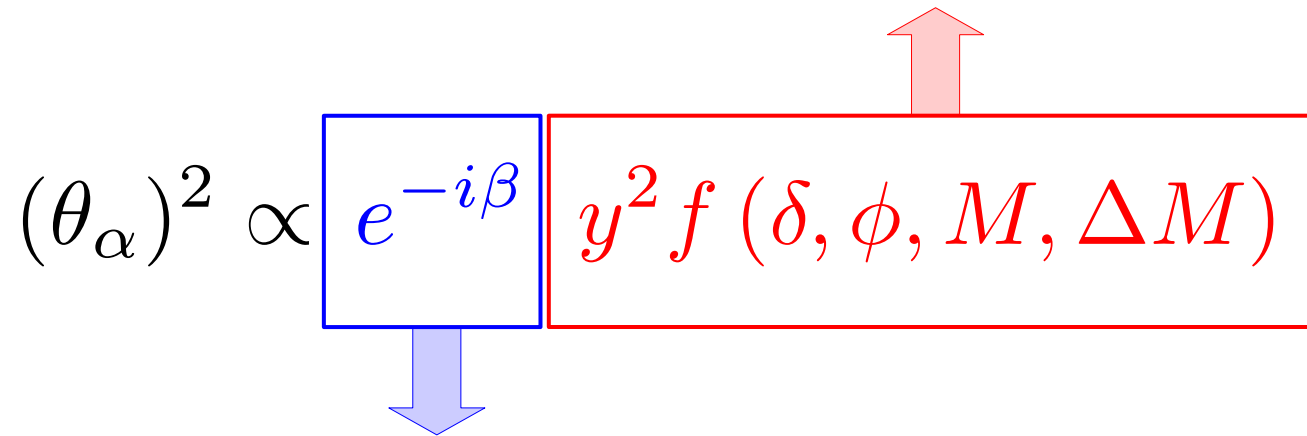
$$\Delta M/M = 10^{-2}$$

See also talk by Vaisakh Plakkot and Jelle Groot

Predicting Y_B in minimal model $n_R=2$

- **Baryon asymmetry depends on all the unknown parameters**

SHiP & FCC-ee sensitive to $|\theta_\alpha|$, M , ΔM

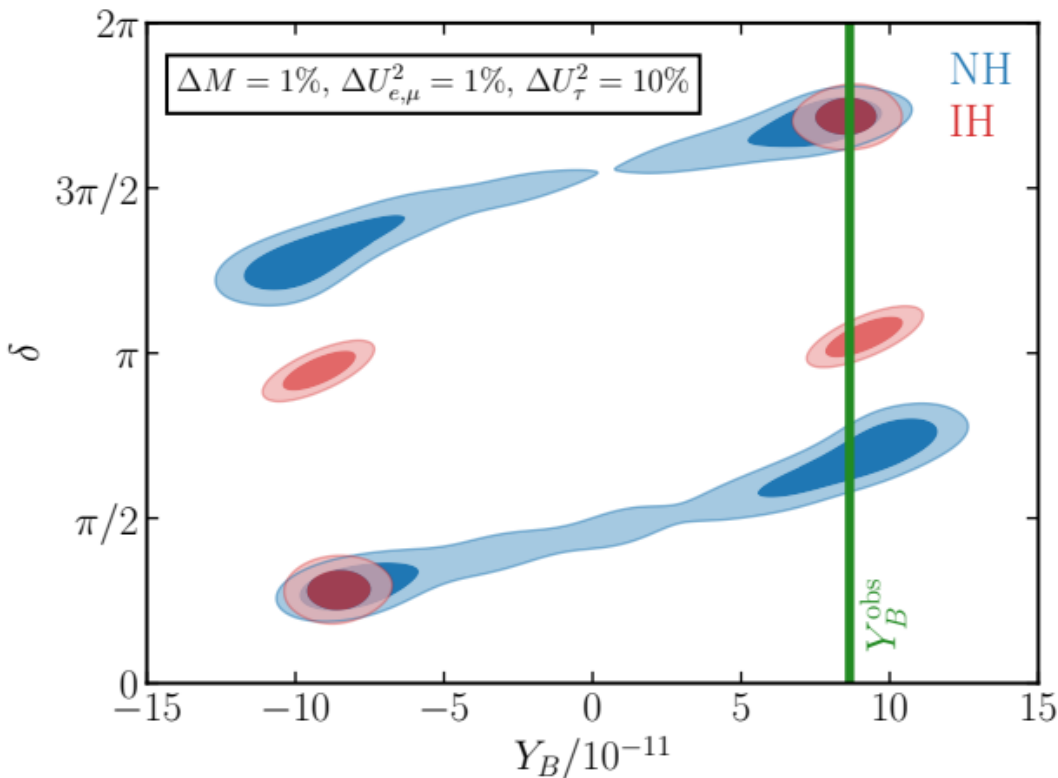
$$(\theta_\alpha)^2 \propto \boxed{e^{-i\beta}} \boxed{y^2 f(\delta, \phi, M, \Delta M)}$$


Neutrinoless double beta decay sensitive to β through
interference between light and heavy contribution
Non physical phase if HNLs are degenerate

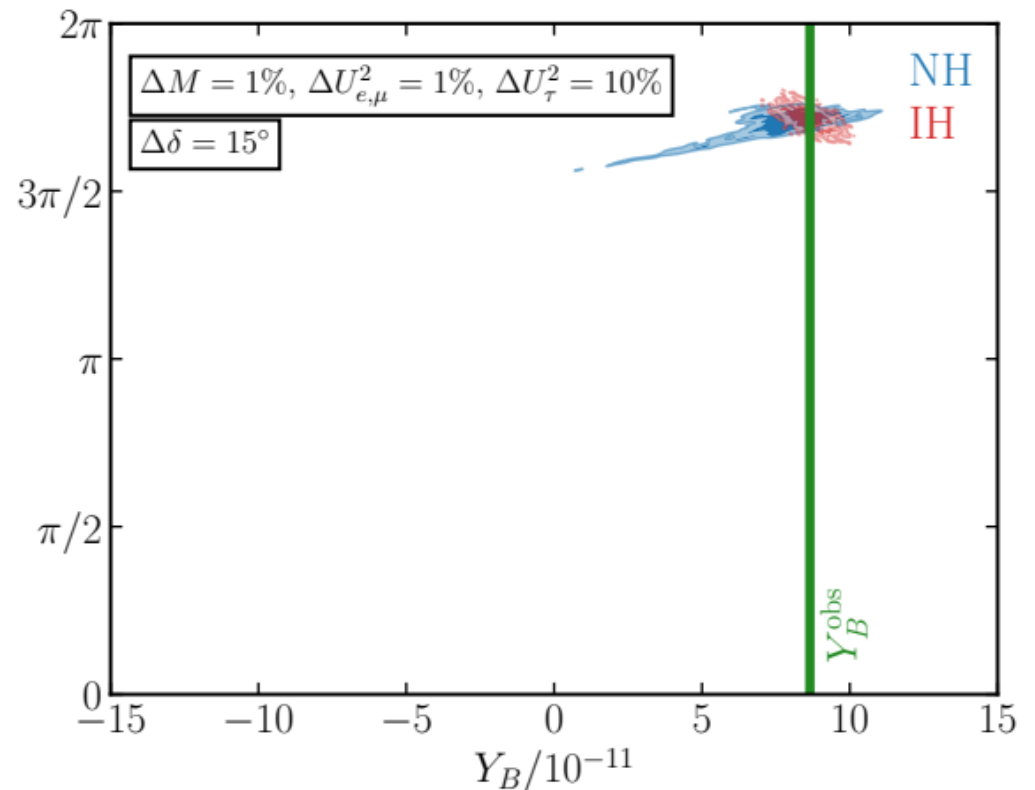
Simplest scenario: degenerate HNLs

- Measurement of CP violation in neutrino oscillations, HNL mass and mixing with electron, muon and tau flavours can suffice to pin down matter-antimatter asymmetry.

$$|U_e|^2, |U_\mu|^2, |U_\tau|^2$$



$$|U_e|^2, |U_\mu|^2, |U_\tau|^2, \delta$$



	$M^{\text{true}}/\text{GeV}$	$(U_e^2)_{\text{true}}$	$(U_\mu^2)_{\text{true}}$	$(U_\tau^2)_{\text{true}}$	$\delta^{\text{true}}/\text{rad}$
NH	31.60	2.843×10^{-12}	1.087×10^{-11}	1.234×10^{-11}	5.396
IH	20.731	3.291×10^{-11}	4.823×10^{-12}	3.465×10^{-12}	5.402

FCC-ee + DUNE/T2HK

Conclusions

- Introducing HNLs allows to explain the origin of neutrino masses and baryon asymmetry of our universe
- **Low Scale Minimal Seesaw Models** are testable and highly predictive: *mechanisms generating neutrino masses and Baryon asymmetry can be potentially tested*
- Strong complementarity among different observables as neutrino oscillations, cosmology, neutrinoless double beta decay and HNLs direct searches.
- In non minimal models HNLs may present new interactions and thus a different phenomenology (Left-Right symmetric models, dark U(1) extensions, etc).
See also talks by Ruiz, Groot, Mitra and Lutz
- Low energy effects of additional new physics at higher energies can be studied via an extension of the SMEFT including the HNLs as building blocks

Fernandez-Martinez, Hernandez-Garcia, Gonzalez-Lopez, Hostert, JLP 2306.01040
See also talks by Titov and Bates

Thank you!



Project CNS2022-136013 funded by MICIU/AEI/10.13039/501100011033
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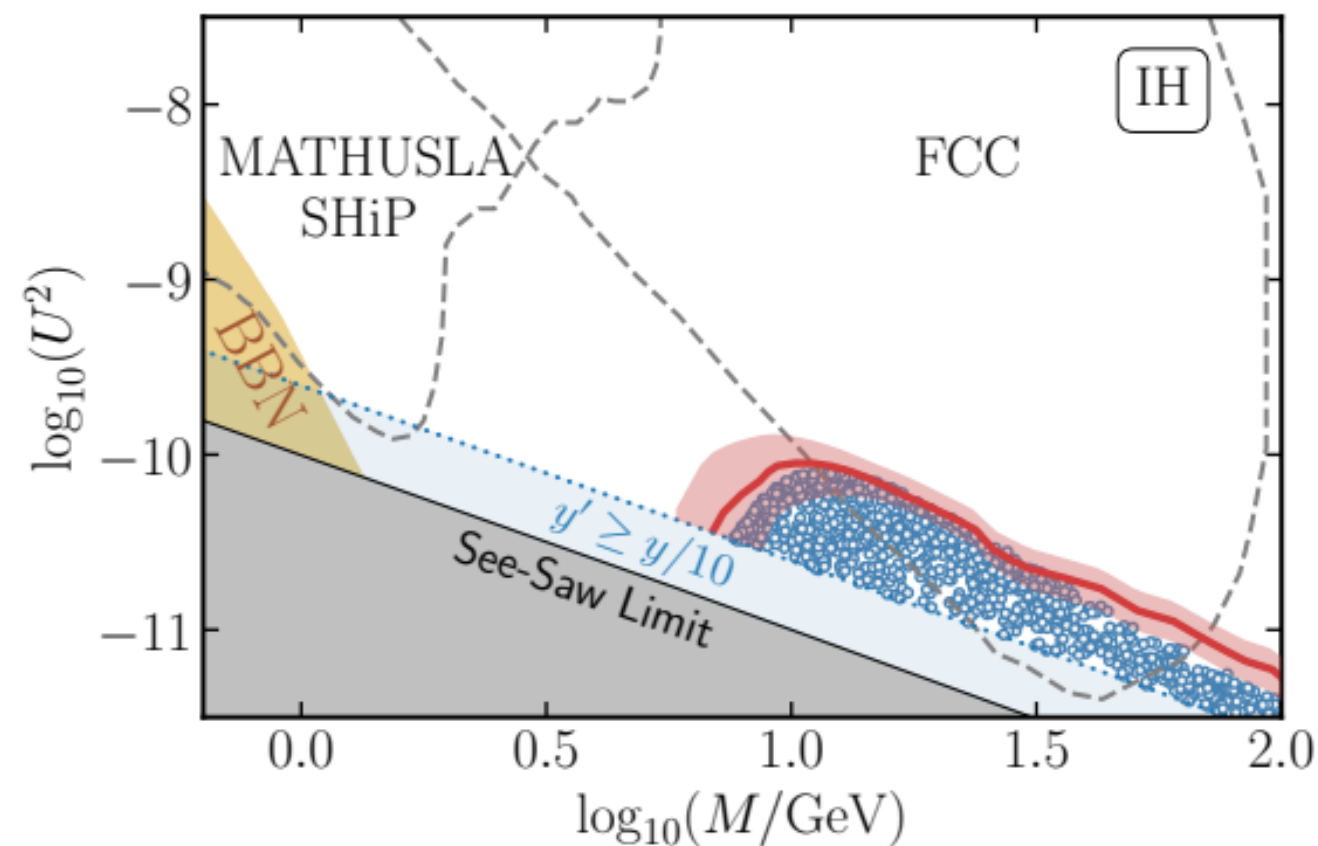
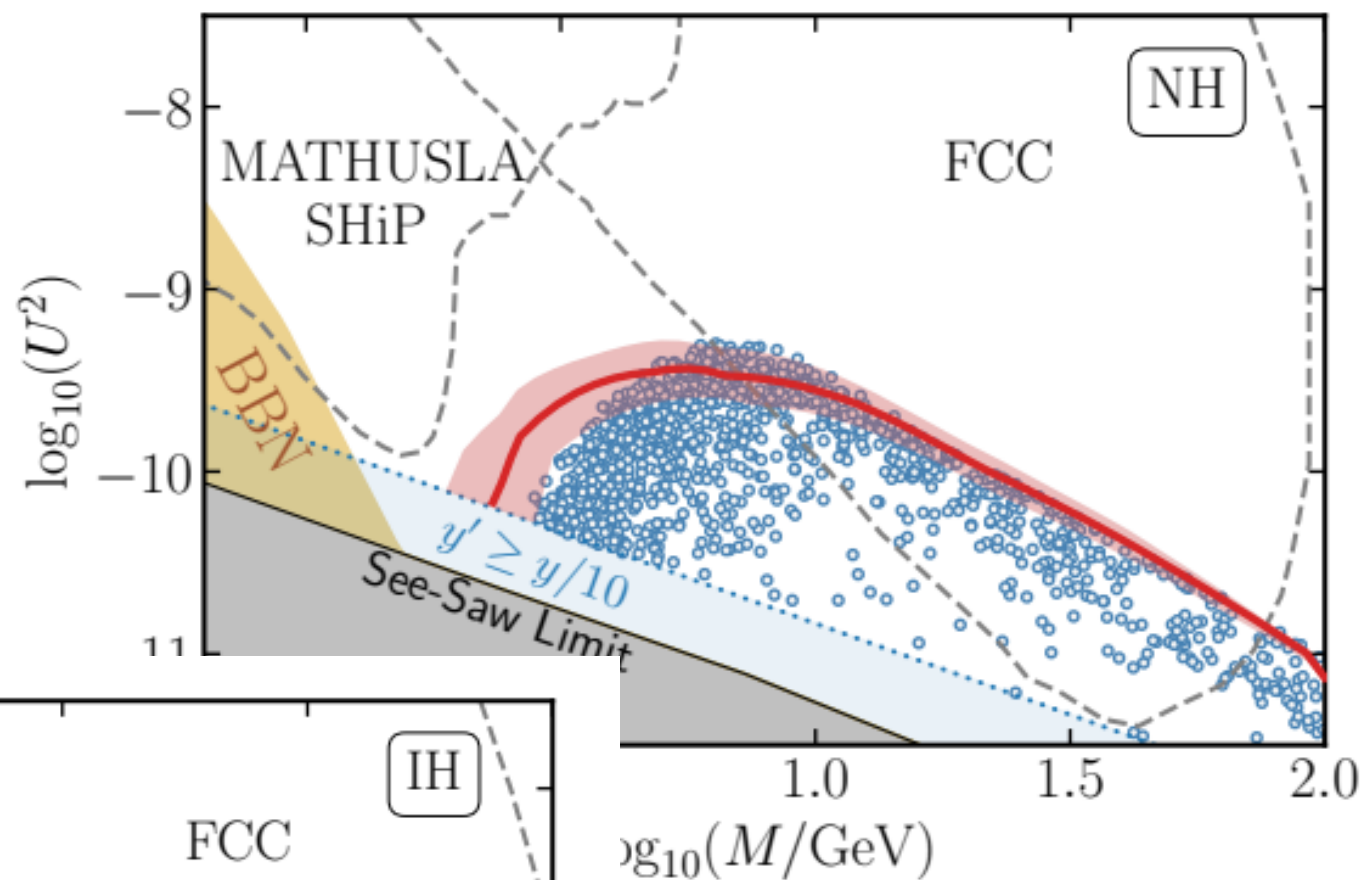


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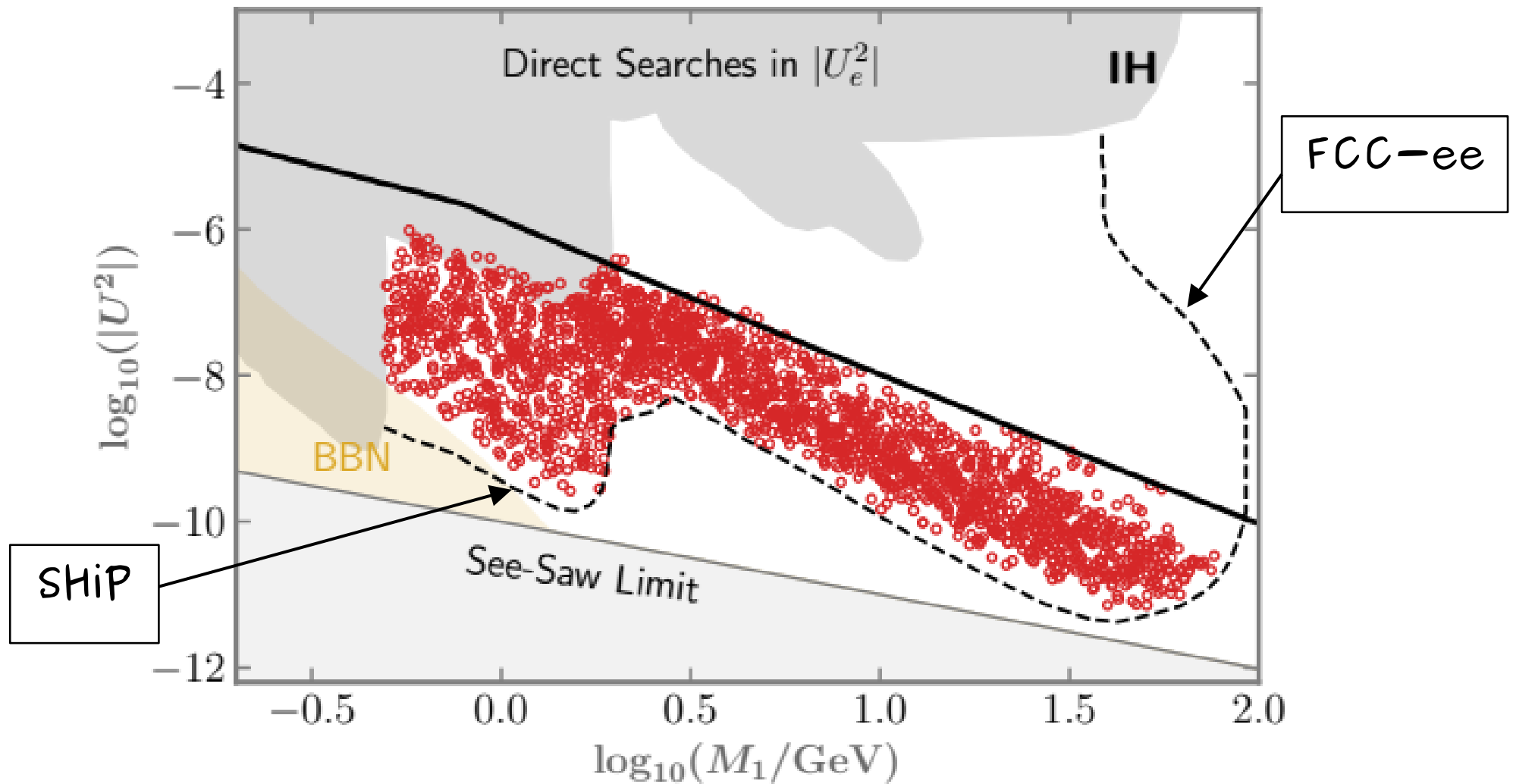
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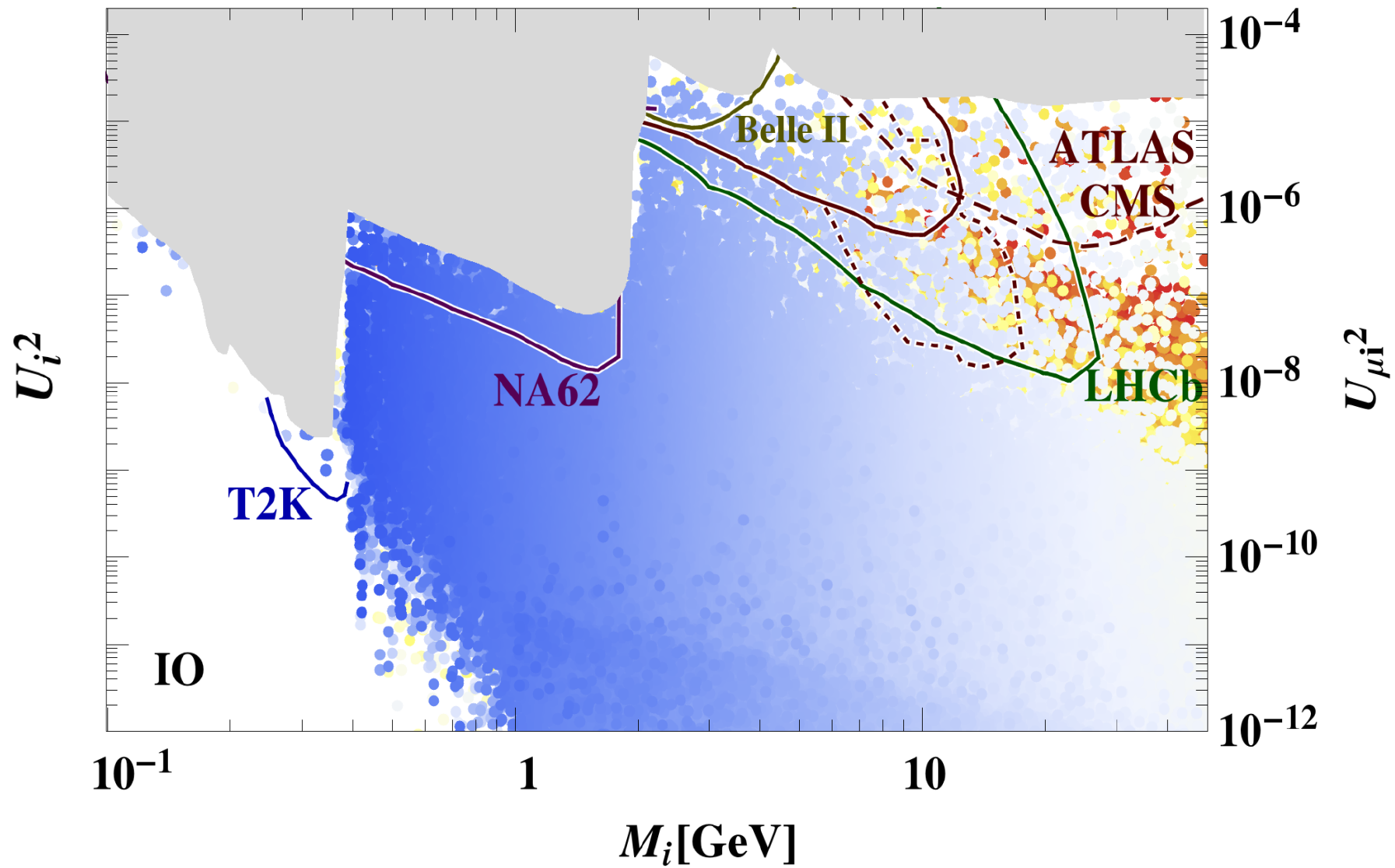
$$\Delta M = 0$$



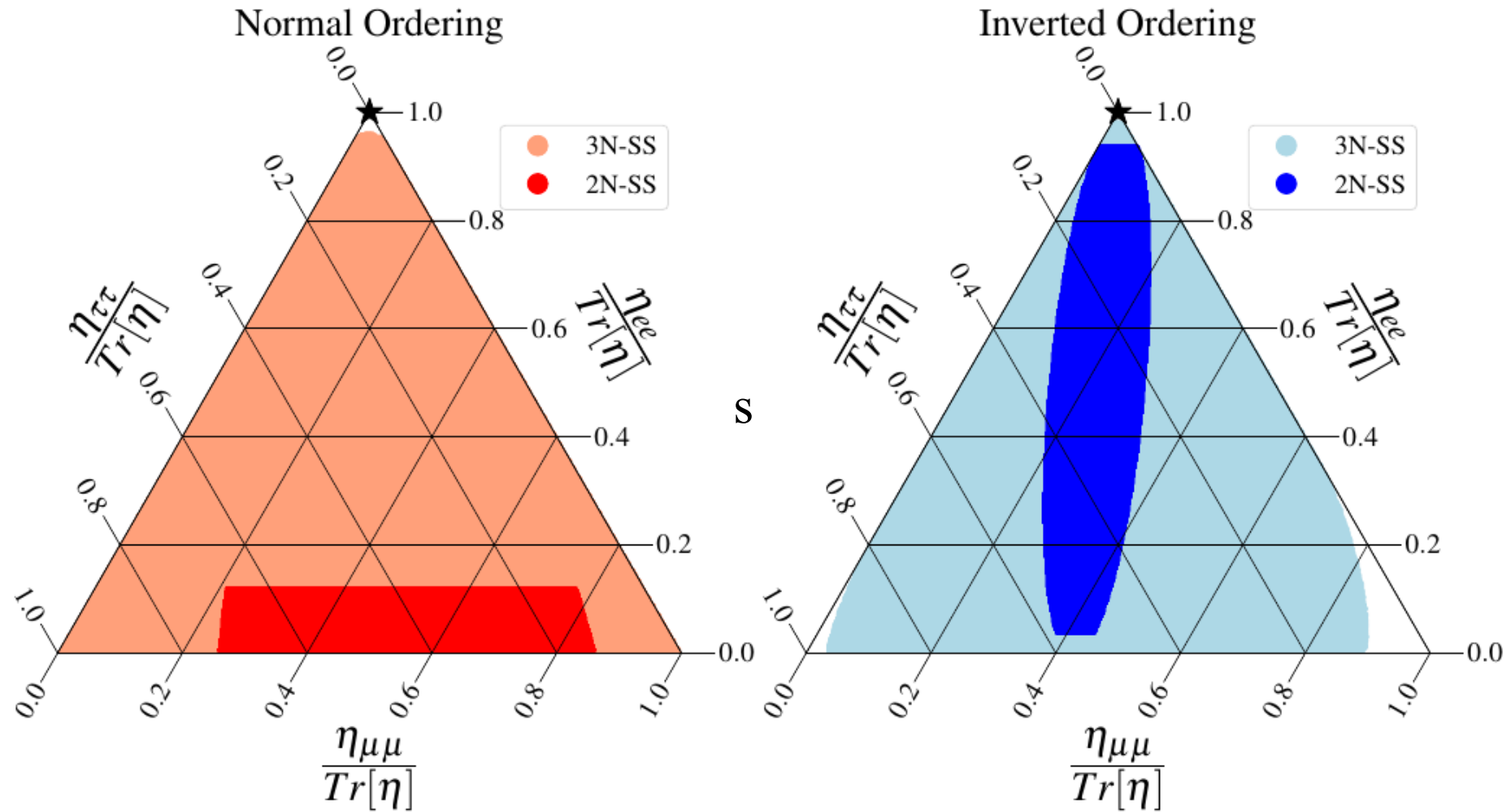
Potentially Testable $n_R=2$



Potentially Testable $n_R=3$



Neutrino oscillation bounds on Flavor structure



Direct searches of HNLs

- Direct detection requires:

$$\theta_{\alpha i} \gg \sqrt{m/M} \iff R_{ij} \gg 1$$

$$\iff \theta_{\alpha i}^2 \propto e^{-2\theta i} e^{2\gamma} f(\delta, \phi_1, M_j)$$

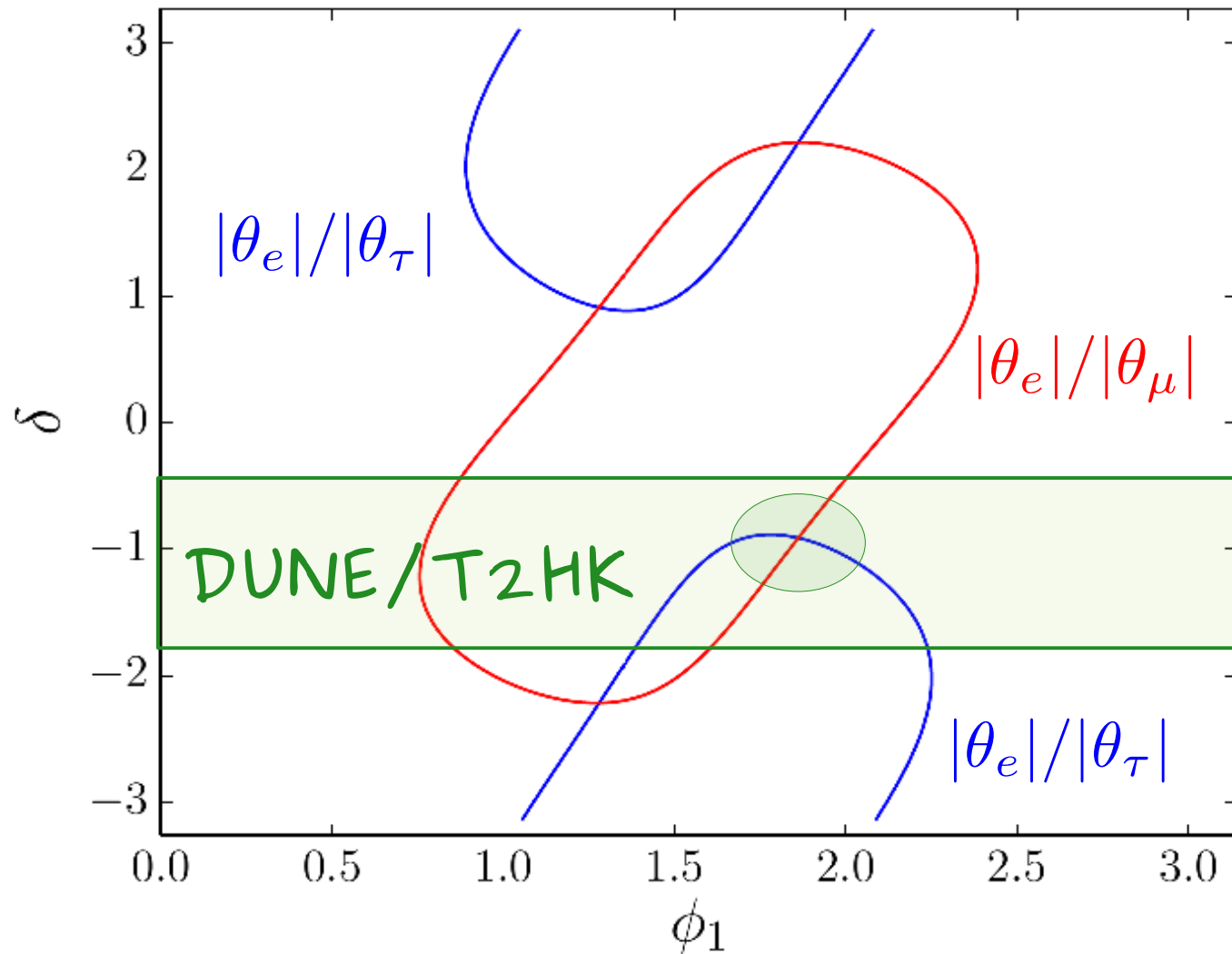
$$\bullet |\theta_{e1}|^2/|\theta_{\mu 1}|^2 \simeq |\theta_{e2}|^2/|\theta_{\mu 2}|^2 \simeq$$

$$(1 + s_{\phi_1} \sin 2\theta_{12})(1 - \theta_{13}^2) + \frac{1}{2}r^2 s_{12}(c_{12}s_{\phi_1} + s_{12})$$

$$\frac{(1 - \sin 2\theta_{12}s_{\phi_1} (1 + \frac{r^2}{4}) + \frac{r^2 c_{12}^2}{2}) c_{23}^2 + \theta_{13}(c_{\phi_1} s_{\delta} - \cos 2\theta_{12}s_{\phi_1} c_{\delta}) \sin 2\theta_{23} + \theta_{13}^2(1 + \sin 2\theta_{12})s_{23}^2 s_{\phi_1}}{}$$

Sensitivity to
PMNS CP-phases!
 δ, ϕ_1

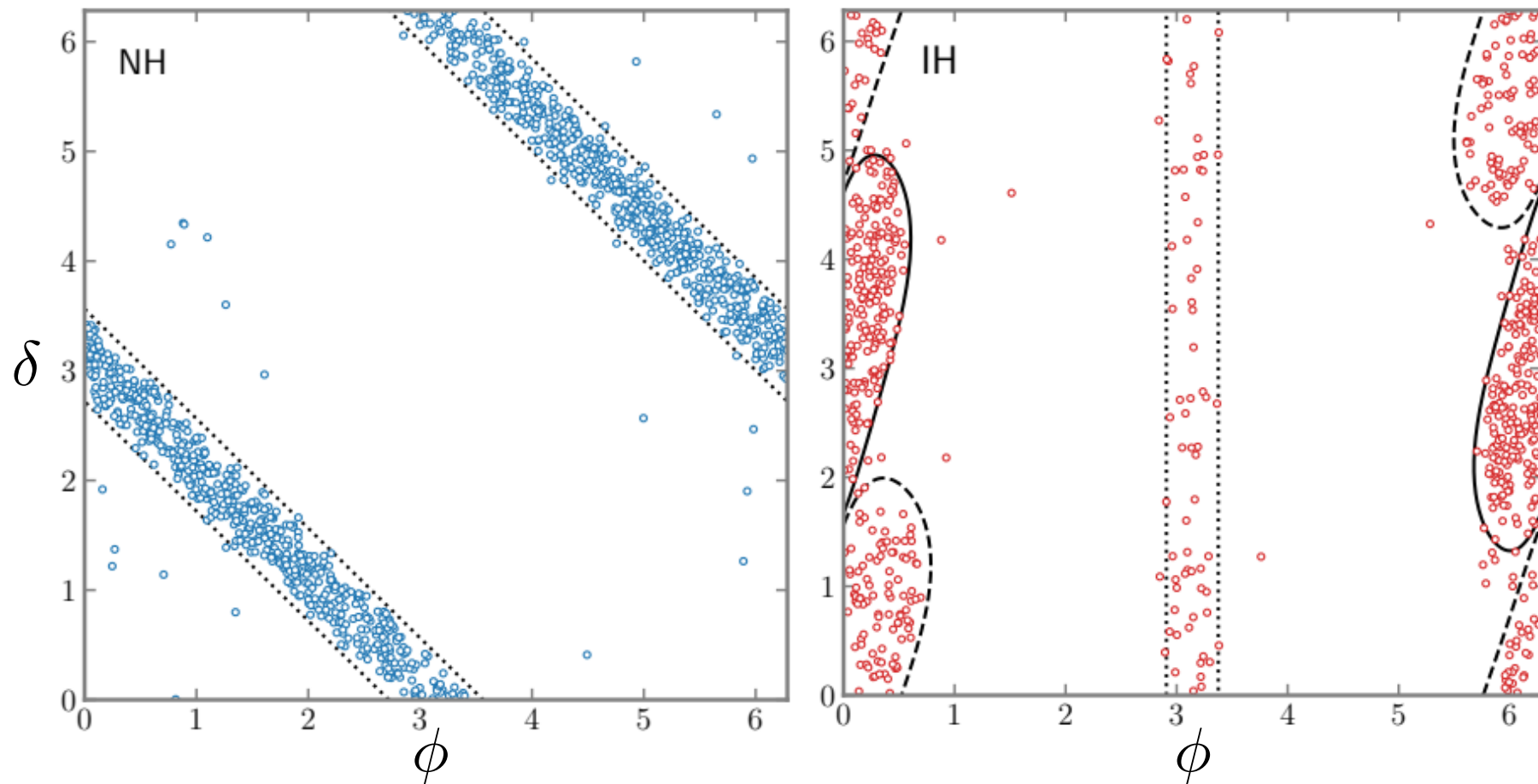
PMNS CP-phases from HNLs searches



$$N_R = 2$$

- **Potential determination of the PMNS Majorana phase!**

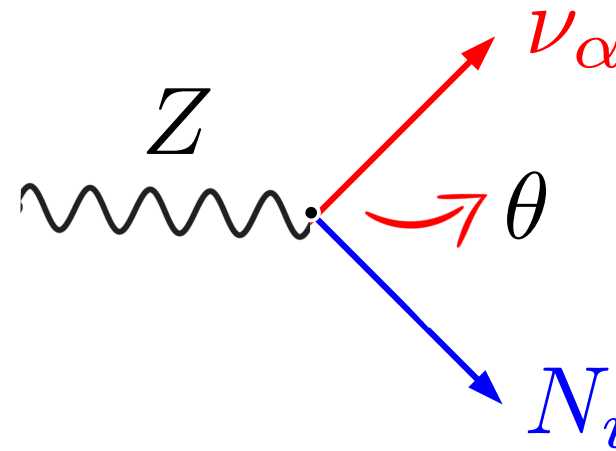
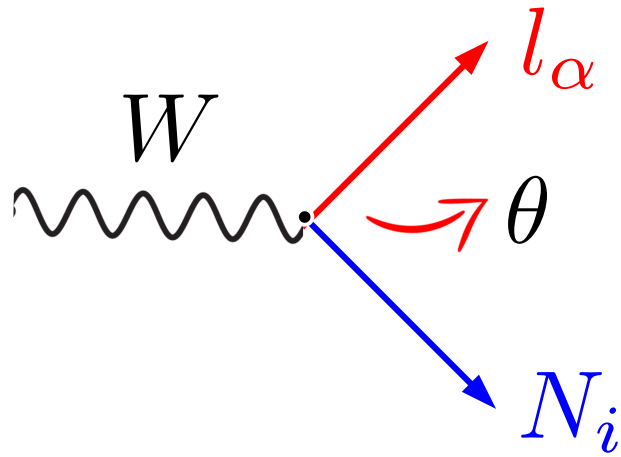
Baryon asymmetry: PMNS CP phases



- PMNS CP-phase correlations implied by reproducing observed baryon asymmetry in region of parameter space that can be covered by FCC-ee for

$$\Delta M/M = 10^{-2}$$

Constraint on HNL mixing from active sector



Casas-Ibarra

$$\theta = \boxed{iU m^{1/2}} \boxed{R^\dagger M^{-1/2}}$$



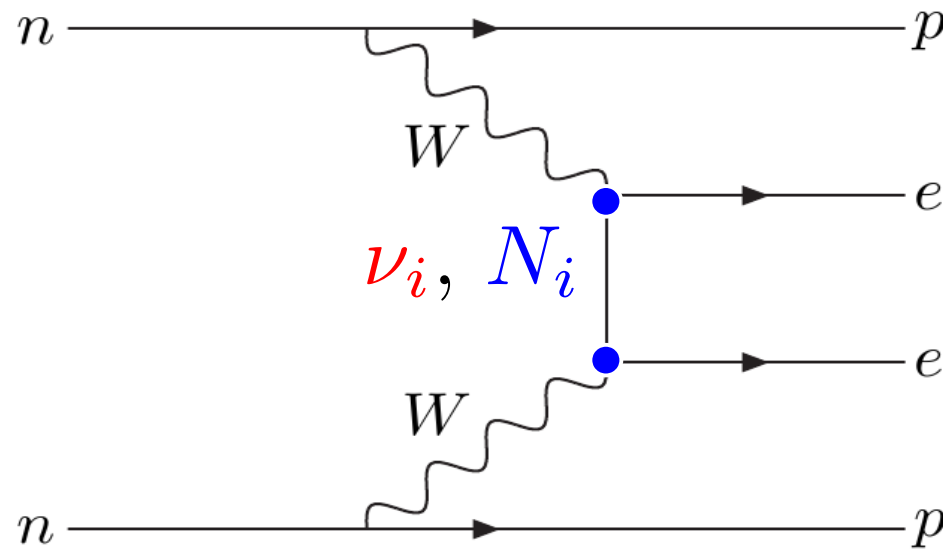
Active Sector

- 3x3 PMNS mixing matrix
- light neutrino masses

HNL sector

- Complex $3 \times n_R$ orthogonal matrix
- HNL masses

Neutrinoless double beta decay



$$m_{\beta\beta} = \sum_{i=light} U_{ei}^2 m_i + \sum_{i=heavy} \underbrace{\frac{\mathcal{M}^{0\nu\beta\beta}(M_i)}{\mathcal{M}^{0\nu\beta\beta}(0)}}_{\text{NMEs}} \theta_{ei}^2 M_i$$

$$M_i \gg 100 \text{ MeV} : \quad \sim 1/M_i^2$$

$$M_i \ll 100 \text{ MeV} : \quad \sim 1$$

Predicting γ_B in minimal model $n_R=2$

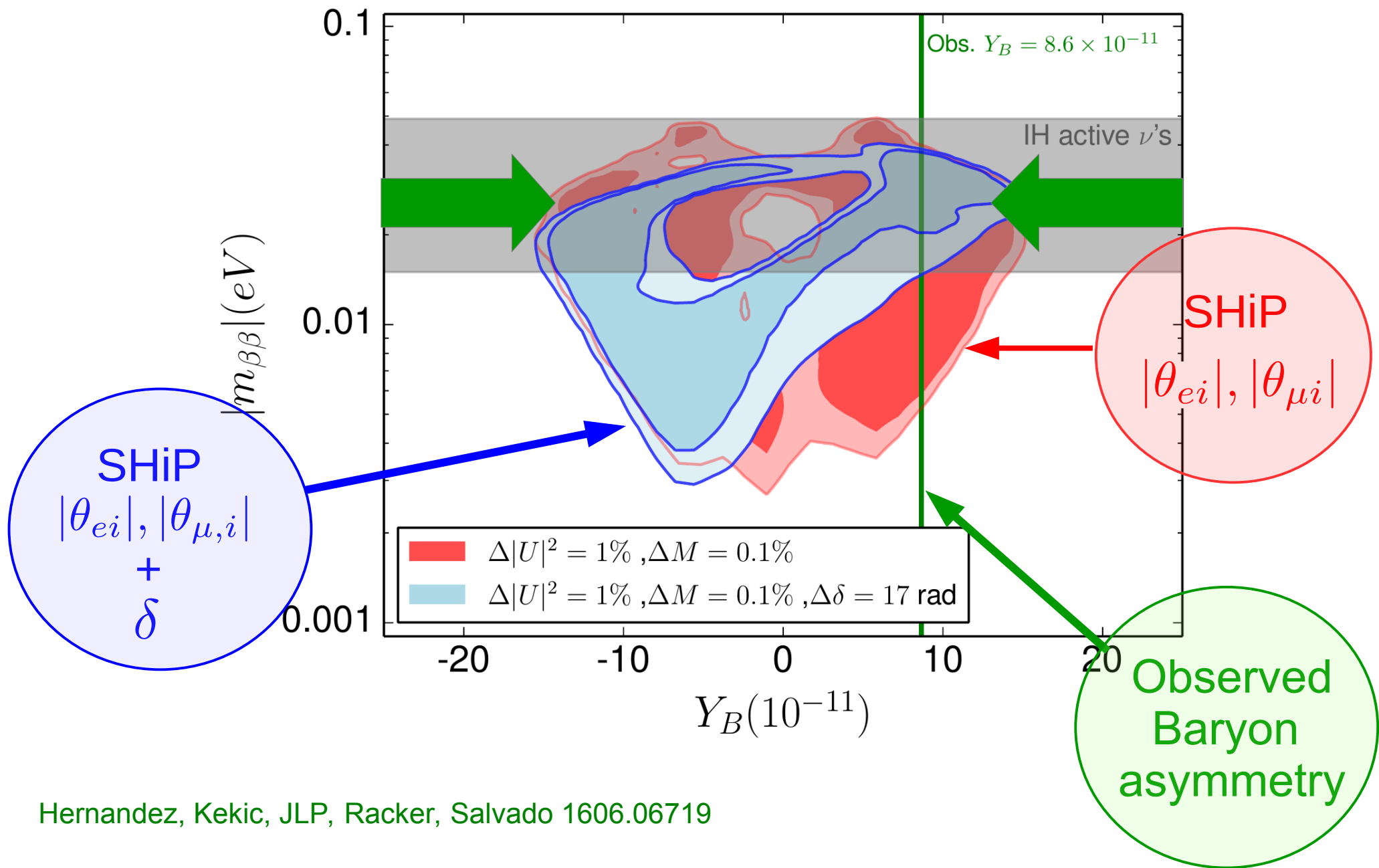
- Neutrinoless double beta decay effective mass in the IH case

$$|m_{\beta\beta}|_{IH} \simeq \sqrt{\Delta m_{atm}^2} \left| \begin{array}{l} \text{LIGHT NEUTRINO} \\ \text{contribution} \end{array} \right. c_{13}^2 \left(c_{12}^2 + e^{2i\phi_1} s_{12}^2 \left(1 + \frac{r^2}{2} \right) \right) \\ \left. - f(A) \underbrace{e^{2i\beta}}_{\beta} e^{2\gamma} (c_{12} - ie^{i\phi_1} s_{12})^2 \frac{(0.9 \text{ GeV})^2}{2M_1^2} \frac{\Delta M}{M_1} \right|$$

HEAVY NEUTRINO contribution

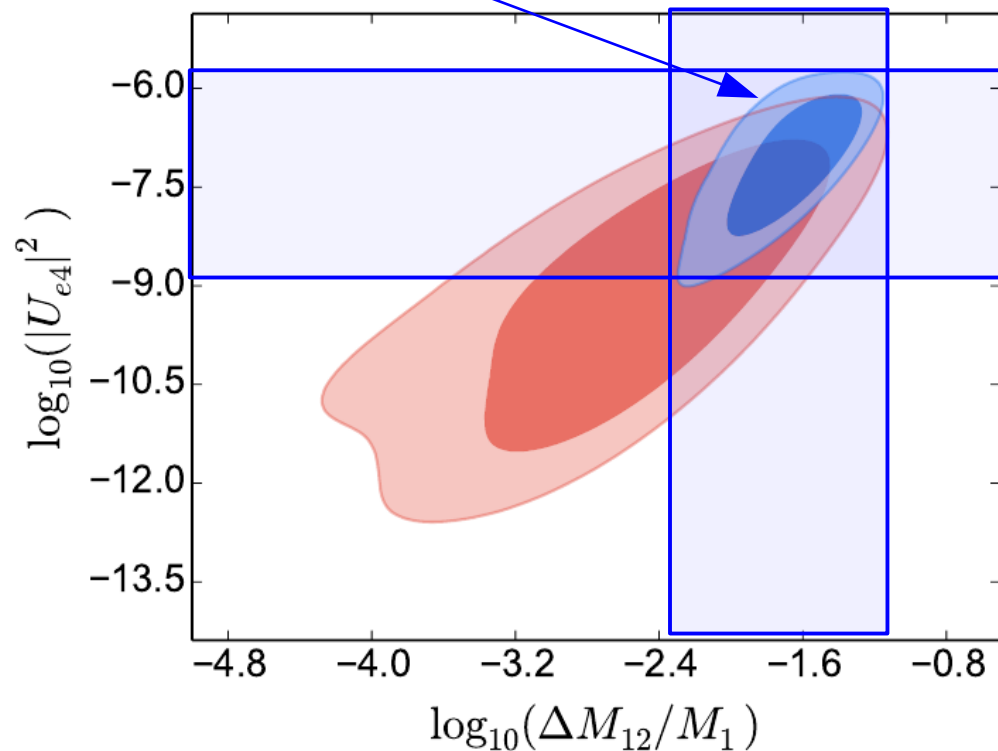
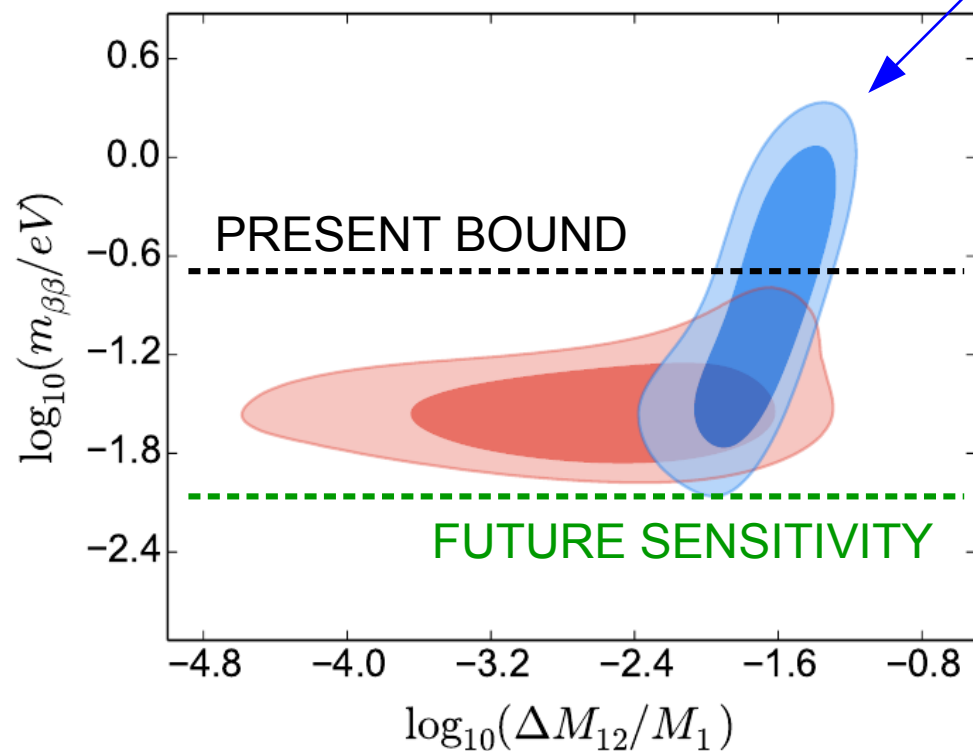
- Heavy neutrino contribution can be sizable for $M \sim O(\text{GeV})$ ✓

GeV Scale Leptogenesis $n_R=2$



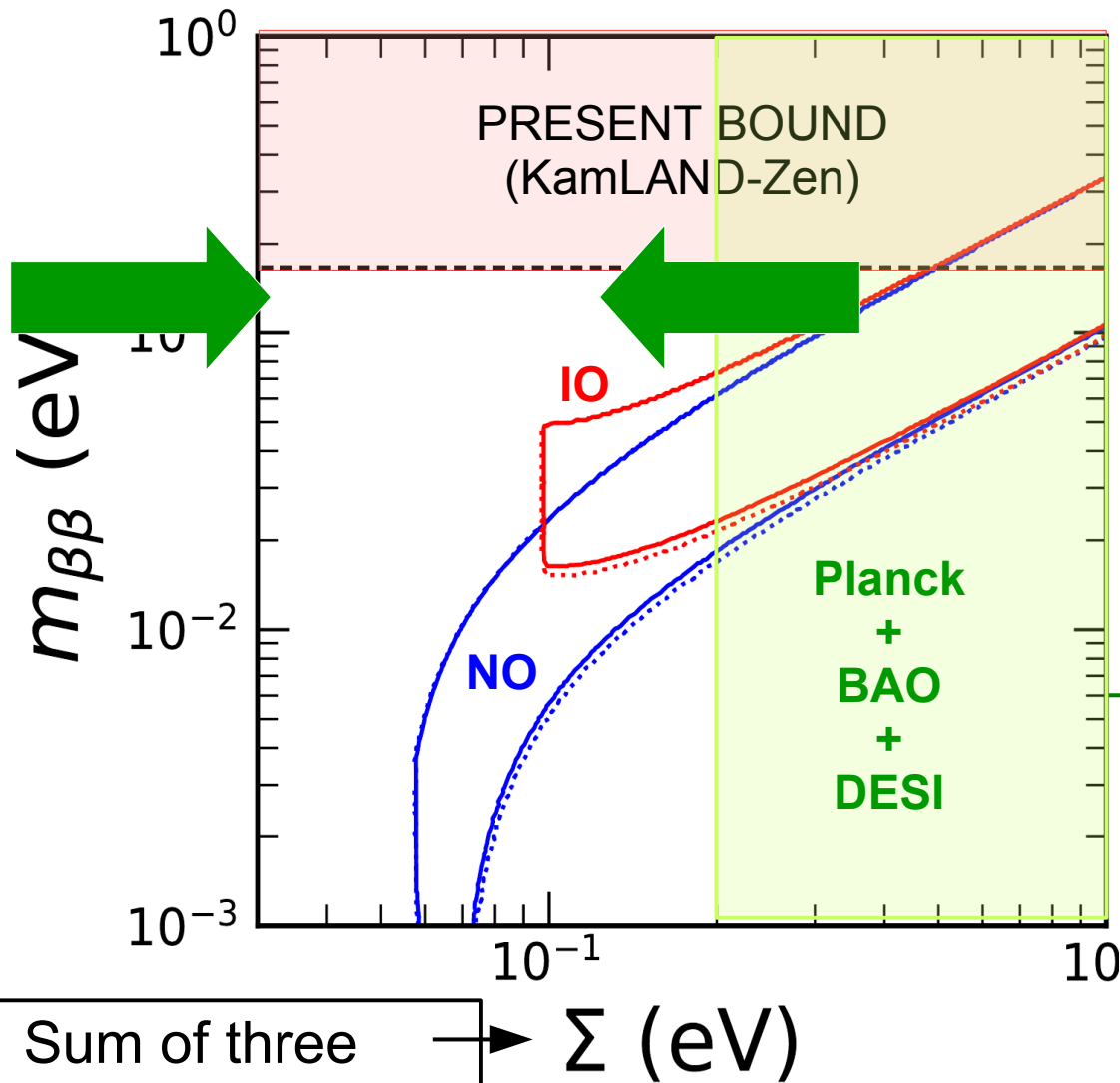
Leptogenesis in Minimal Model $n_R=2$

Non very degenerate solutions



Inverted light neutrino ordering (IH)

Neutrinoless double beta decay signal?



- Outstanding **complementarity** among **neutrino oscillations**, **$0\nu\beta\beta$ decay** and **cosmology**.

- *Extremely relevant input in order to probe New Physics models responsible for ν mass generation.*

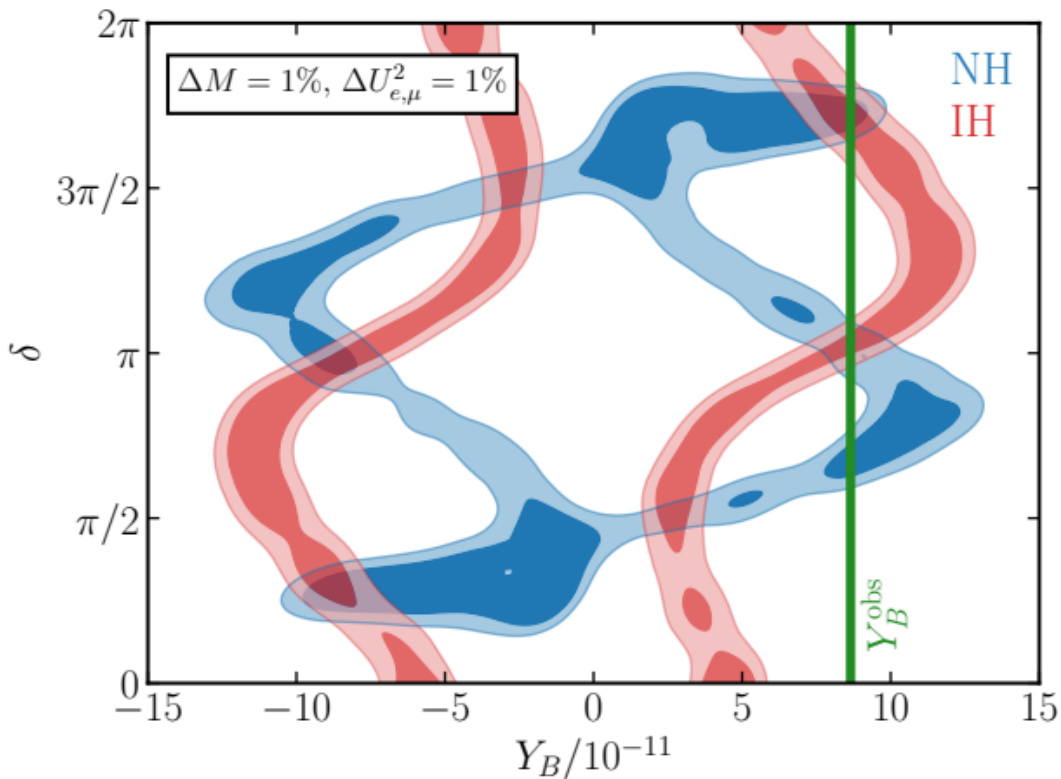
Posibilities:

- Dominated by New Physics as HNL contribution
- Cosmological bound could be **relaxed**. For instance, if neutrinos decay (new interactions required)
Escudero, JLP, Rius, **Sandner**
2007.04994

Simplest scenario: degenerate HNLs

- Measurement of CP violation in neutrino oscillations, HNL mass and mixing with electron, muon and tau flavours can suffice to pin down matter-antimatter asymmetry.

$$|U_e|^2, |U_\mu|^2$$



	$M^{\text{true}}/\text{GeV}$	$(U_e^2)_{\text{true}}$	$(U_\mu^2)_{\text{true}}$	$(U_\tau^2)_{\text{true}}$	$\delta^{\text{true}}/\text{rad}$
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FCC-ee

Minimal Model with approximated LNC

$$M_\nu = \begin{pmatrix} \overline{\nu^c} & \overline{N}_1 & \overline{N}_2 & L \\ 1 & -1 & 1 & \\ 0 & Y_1^T v/\sqrt{2} & \epsilon Y_2^T v/\sqrt{2} & \\ Y_1 v/\sqrt{2} & \mu' & M & \\ \epsilon Y_2 v/\sqrt{2} & M & \mu & \end{pmatrix} \begin{pmatrix} 1 & \nu \\ -1 & N_1^c \\ 1 & N_2^c \end{pmatrix}$$

- Light nu masses suppressed with LNV parameters

$$m_\nu = \mu \frac{v^2}{2M^2} Y_1^T Y_1 + \frac{v^2}{2M} \epsilon Y_2^T Y_1 + \frac{v^2}{2M} Y_1^T \epsilon Y_2$$

- Quasi-Dirac heavy neutrinos with large mixing:

$$M_2 \approx M_1 \approx M \quad \Delta M \approx \mu' + \mu \quad U_{\nu N} \sim Y_1 v/M$$

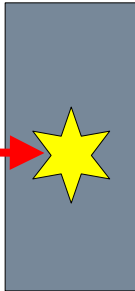
Production

NEW

Full Simulation
H. Sieber

Meson production yield Y_M
(normalised per PoT)

**400 GeV
protons**



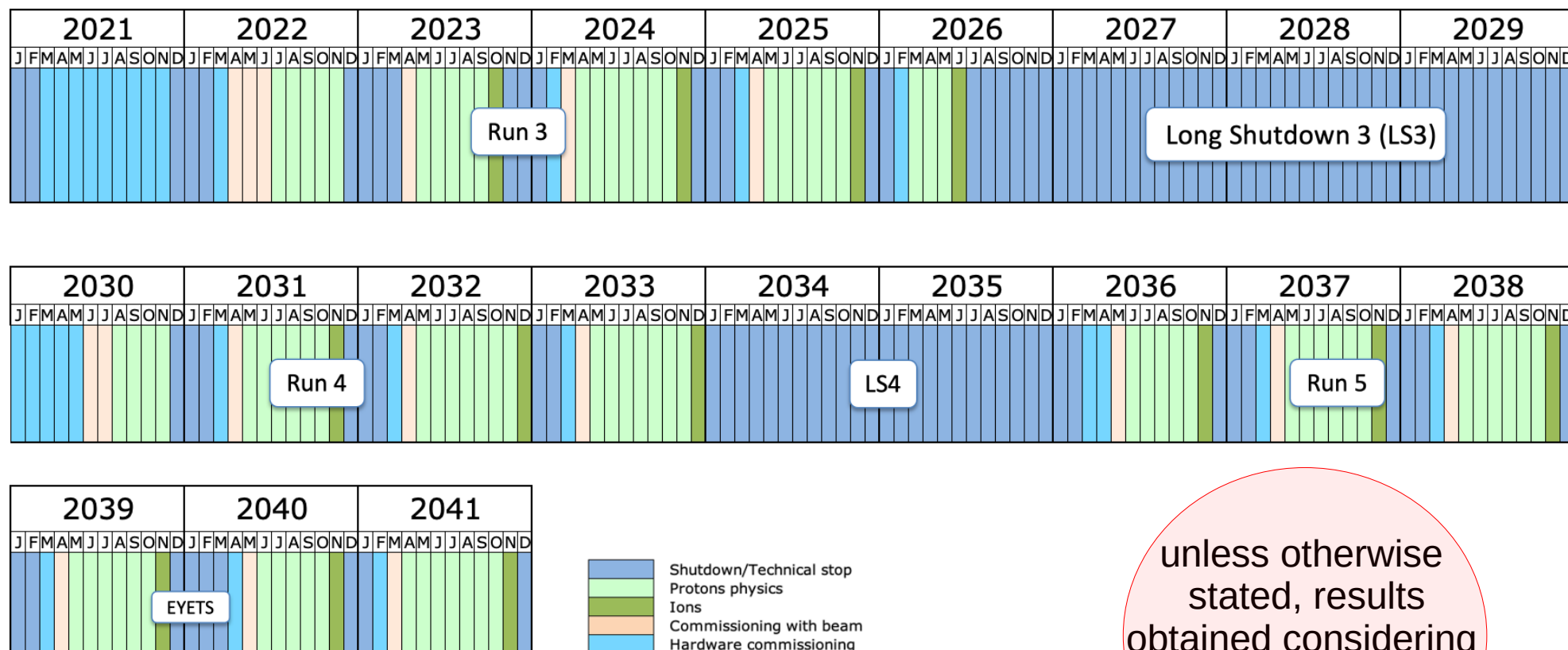
π^+ 1.12	π^- 1.13	π^0 4.03	K^+ 0.216	K^- 0.0856	K_S^0 2.93
K_L^0 0.0680	η 0.46	η' 0.05	D^+ $4.8 \cdot 10^{-4}$	D_s^+ $1.4 \cdot 10^{-4}$	τ $7.4 \cdot 10^{-6}$
ρ 0.54	ω 0.53	ϕ 0.019	J/ψ $4.4 \cdot 10^{-5}$	B^+ $1.2 \cdot 10^{-7}$	Υ $2.3 \cdot 10^{-8}$

From Pythia
S.Urrea

- **Implementation of heavy meson production?** Production of BSM particles from D decays extremely relevant.

Time scale & Statistics

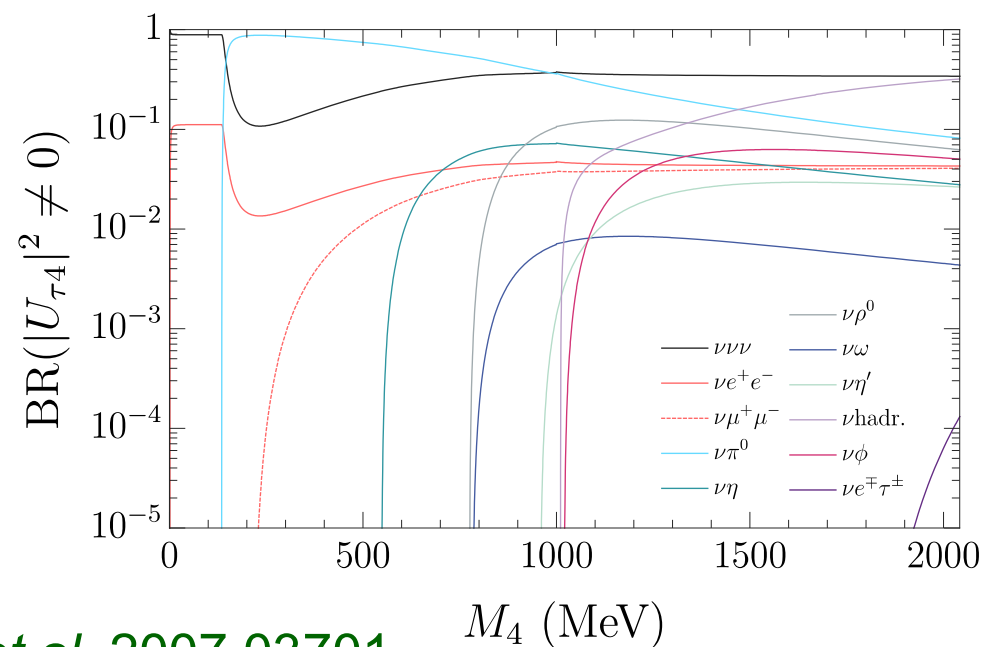
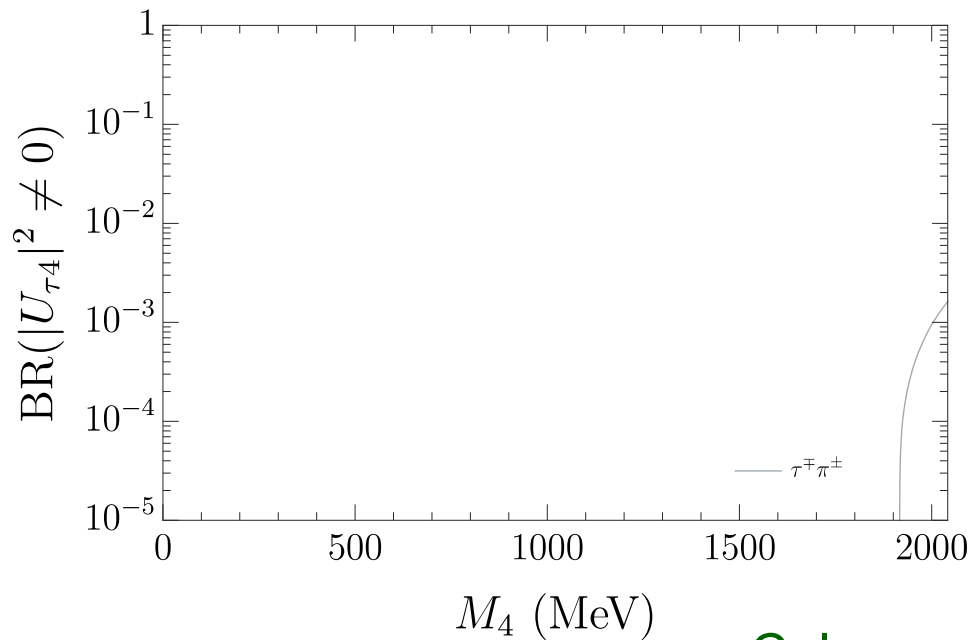
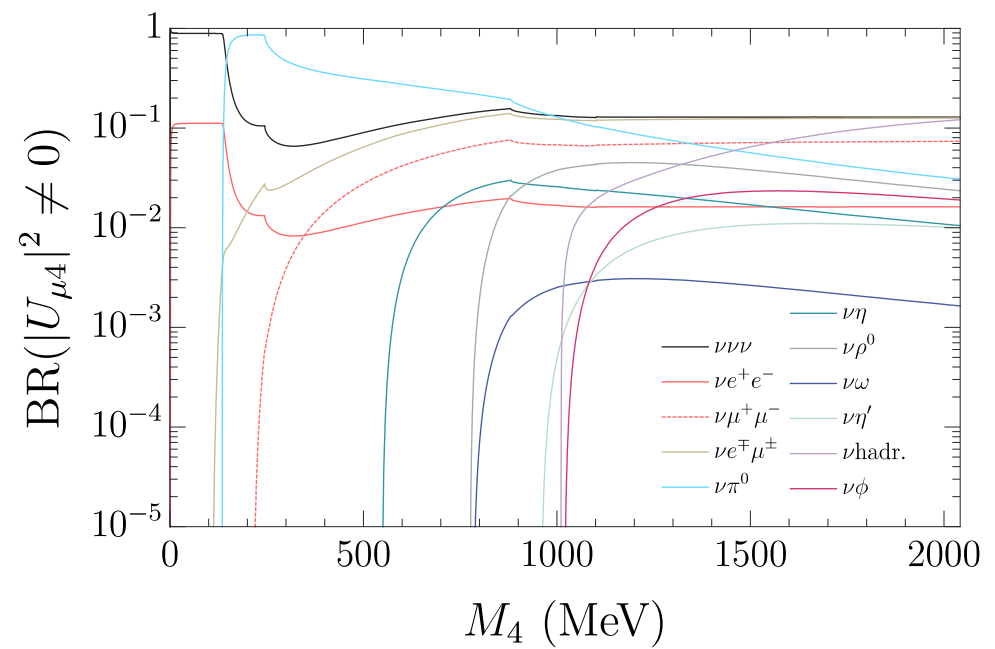
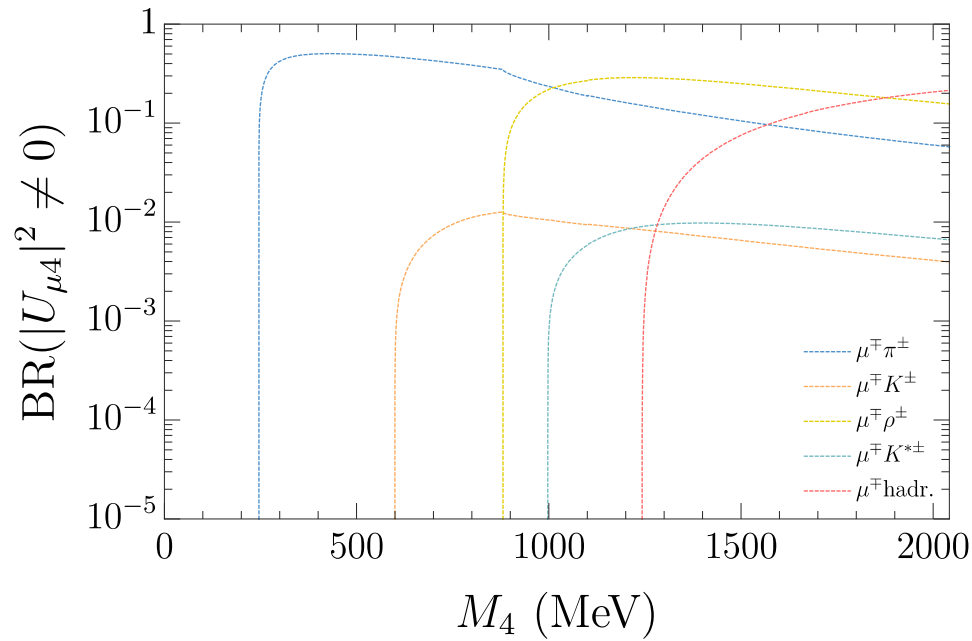
- In our pheno analysis we assume **5 years** of data taking and **3.5×10^{18} PoT/year** ($\sim 5\text{--}7 \times 10^{12}$ protons/spill with a spill duration of 4.8 s). **This could be done before LS4.**

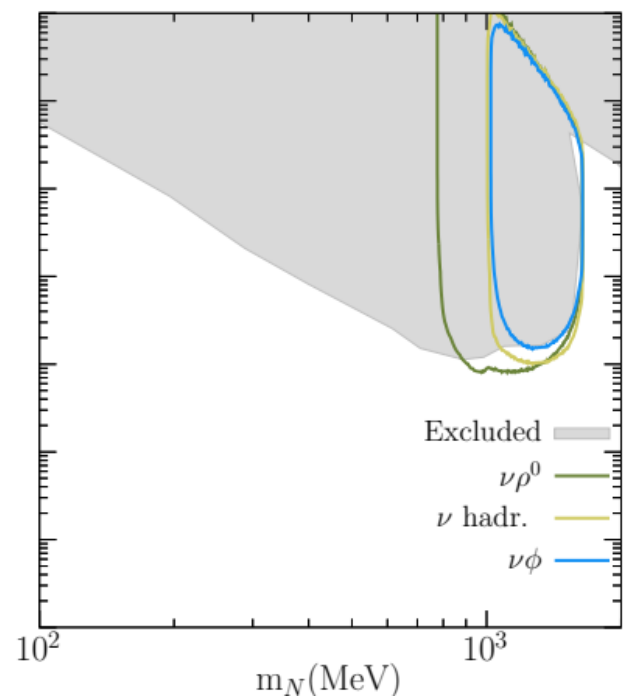
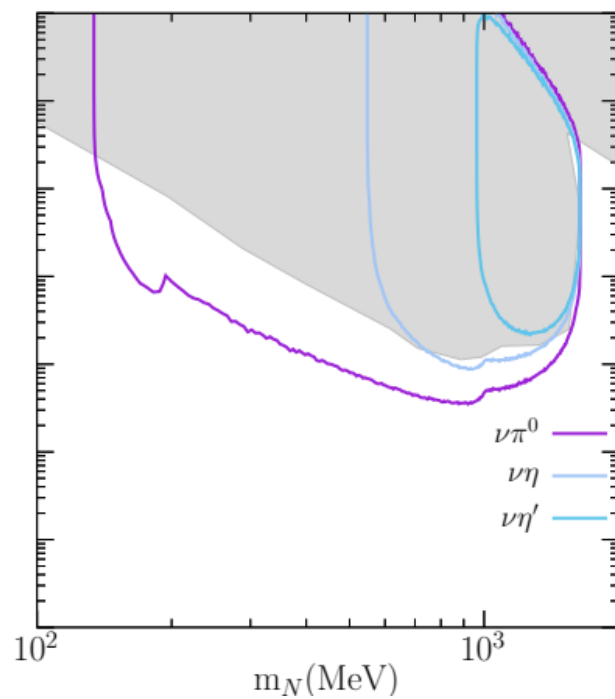
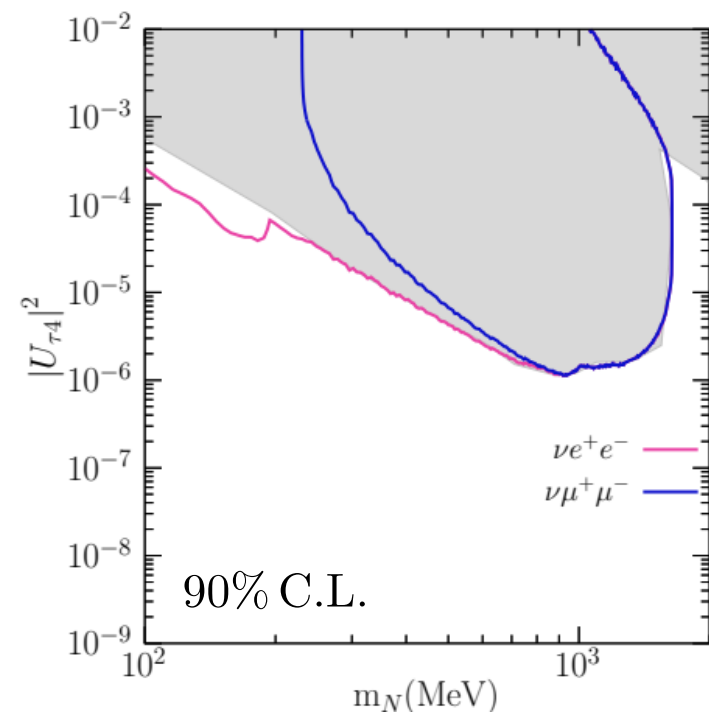
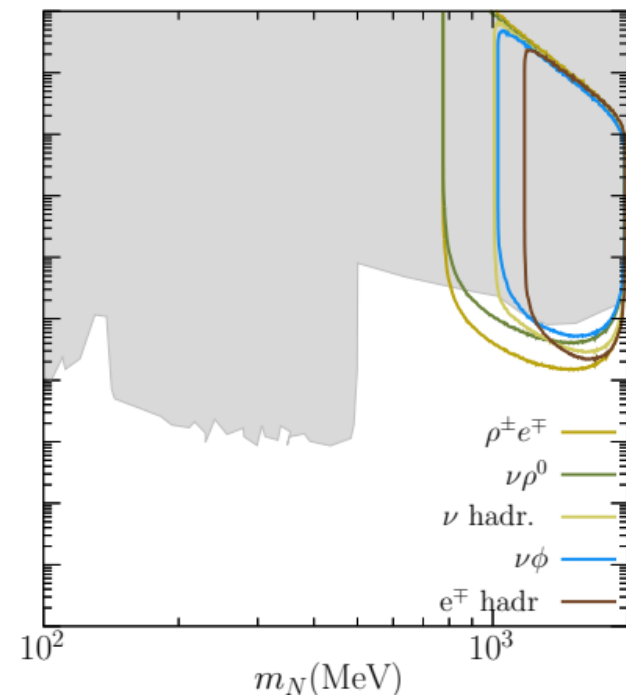
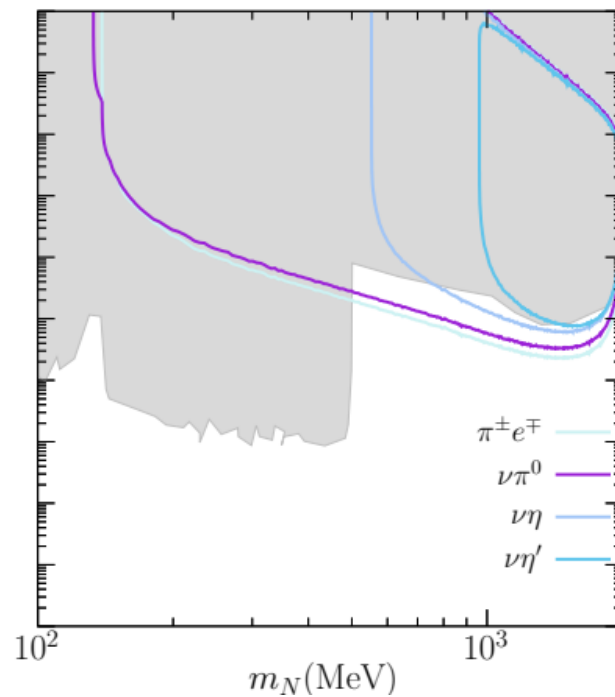
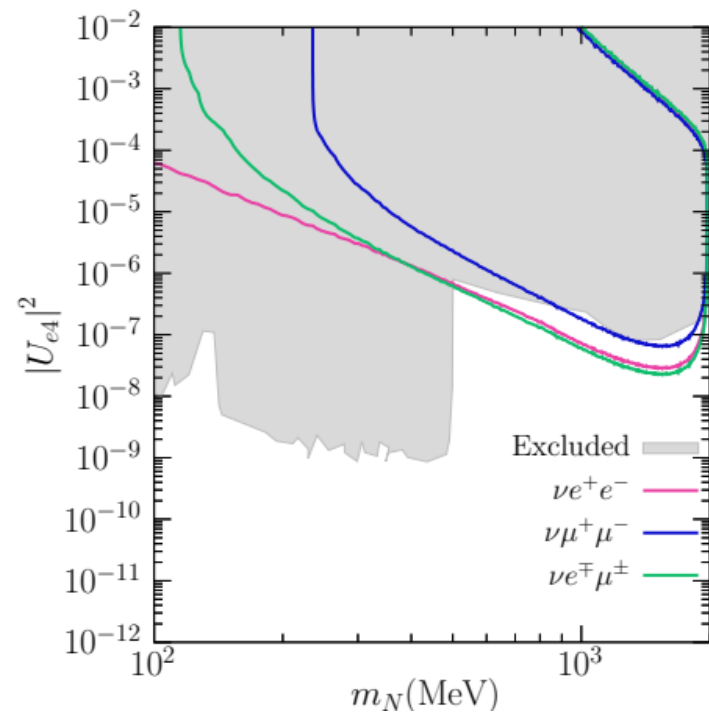


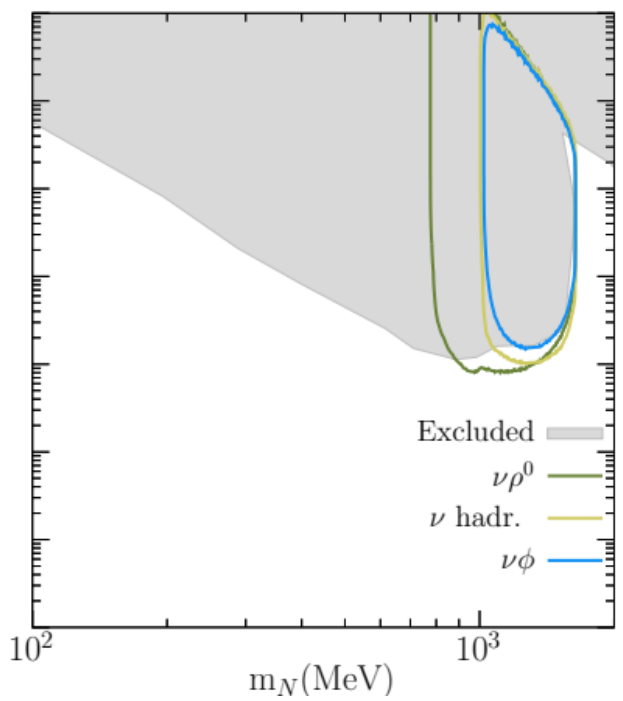
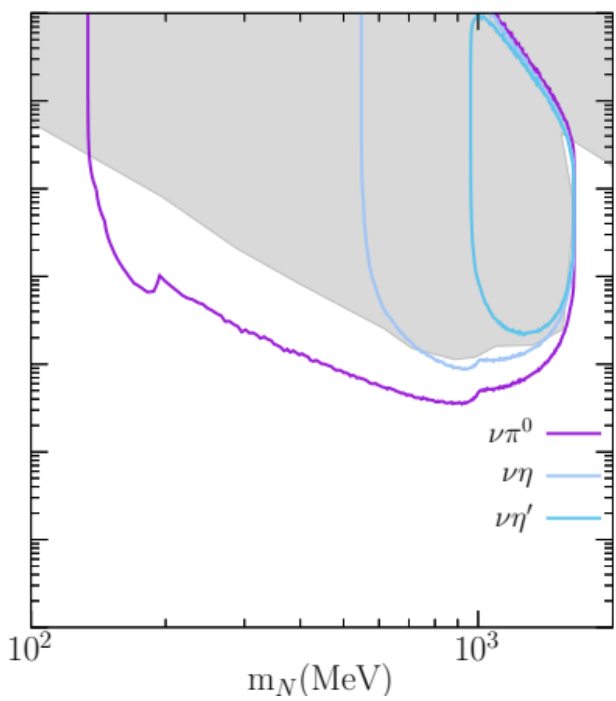
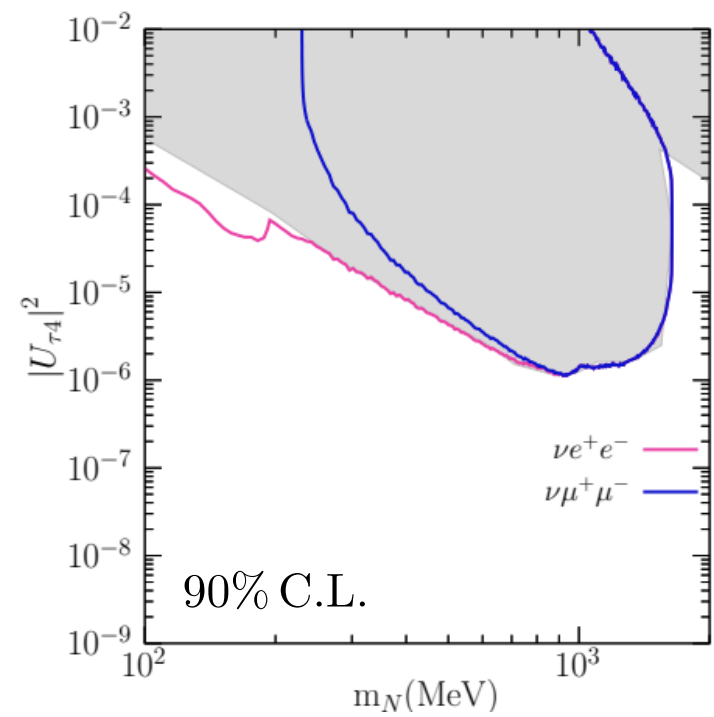
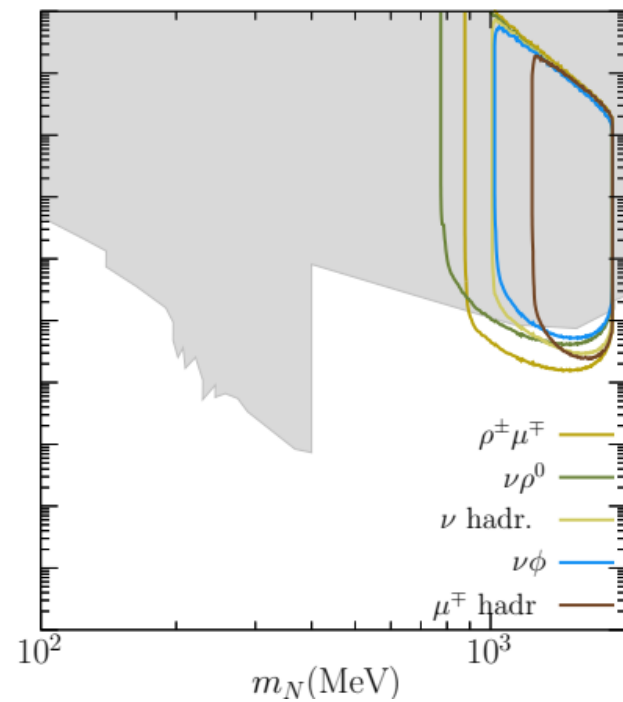
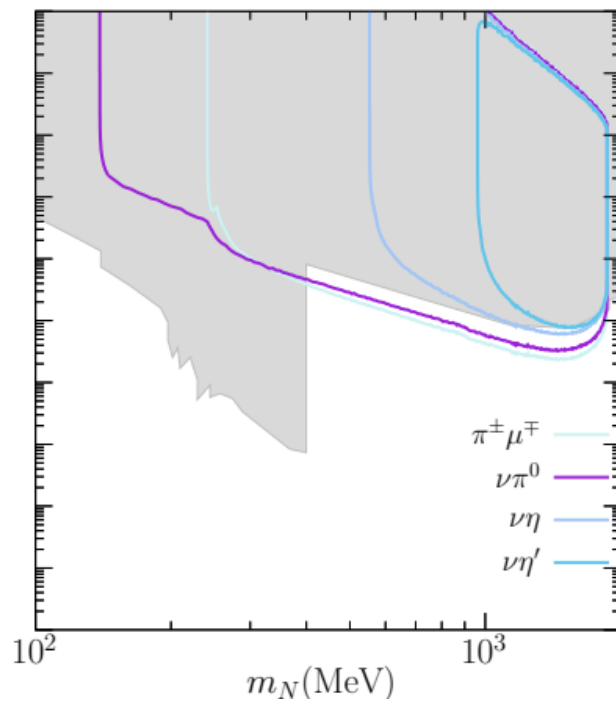
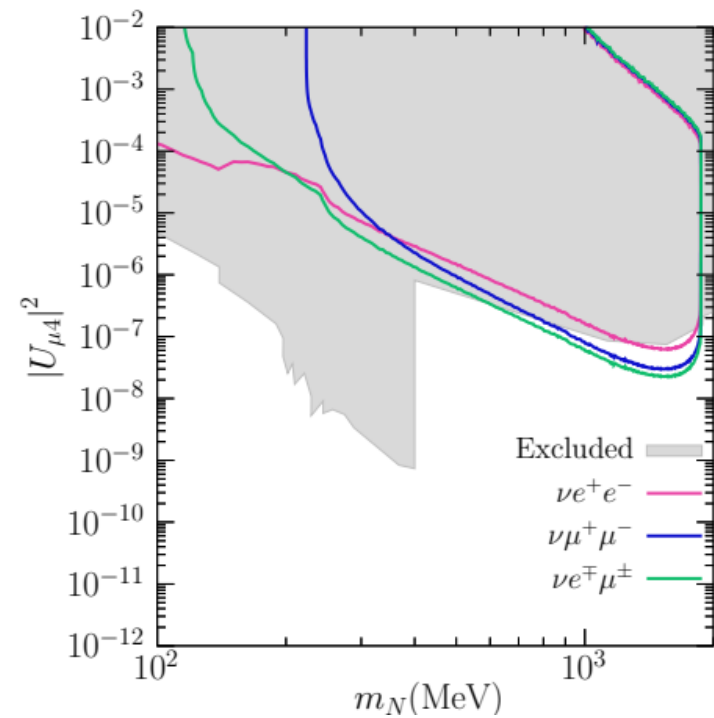
Last update: November 24

unless otherwise
stated, results
obtained considering
Background Free
scenario

HNL decays

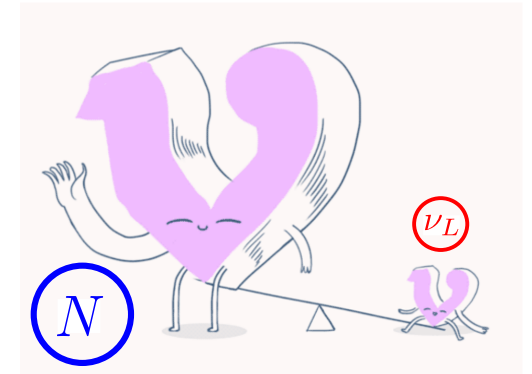






1) LLPs: Heavy Neutral Leptons

HNLs arising in low-scale seesaw models can accommodate the origin of neutrino masses and baryon asymmetry



$$\mathcal{L} \supset -\frac{m_W}{v} \bar{N} U_{\alpha 4}^* \gamma^\mu l_{L\alpha} W_\mu^+ - \frac{m_Z}{\sqrt{2}v} \bar{N} U_{\alpha 4}^* \gamma^\mu \nu_{L\alpha} Z_\mu$$

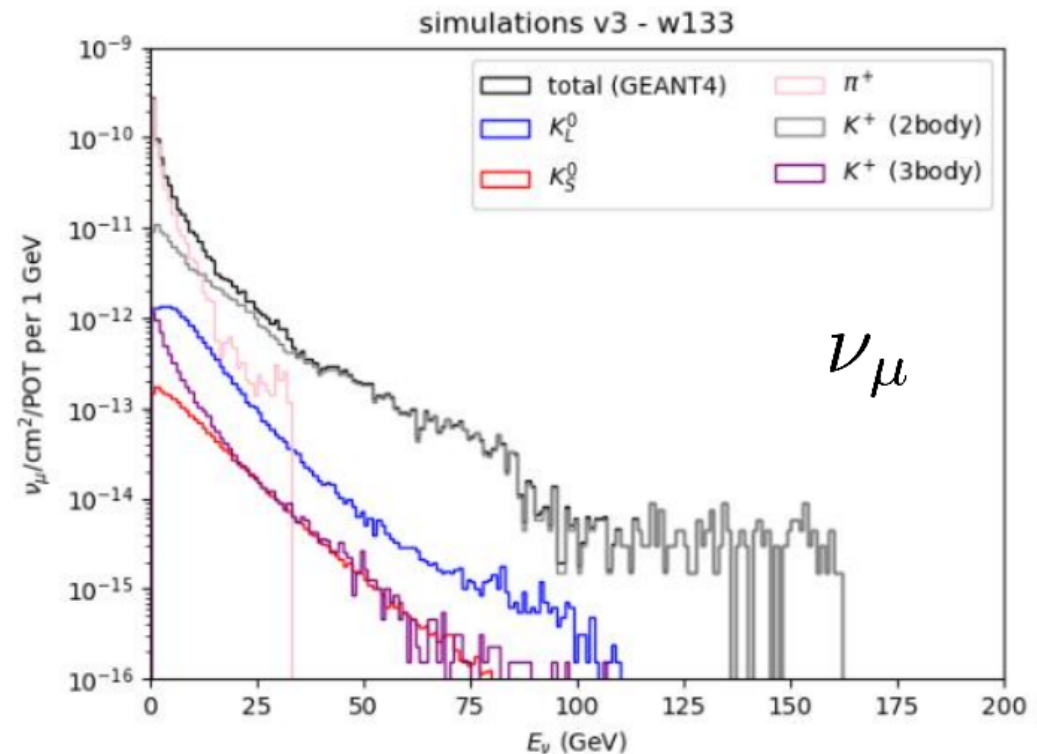
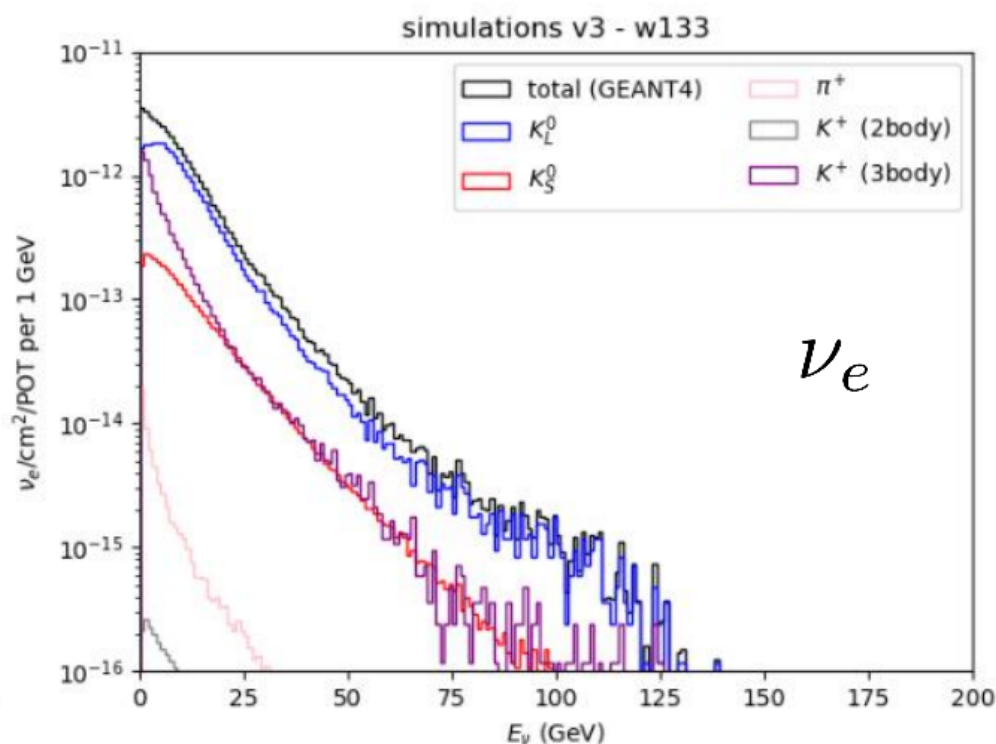
Parent	2-body decay	3-body decay
$\pi^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$	—
$K^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$	$\pi^0 e^+ N_4$ $\pi^0 \mu^+ N_4$
$\tau^- \rightarrow$	$\pi^- N_4$ $\rho^- N_4$	$e^- \bar{\nu} N_4$ $\mu^- \bar{\nu} N_4$

Parent	2-body decay	3-body decay
$D^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$ $\tau^+ N_4$	$e^+ \bar{K}^0 N_4$ $\mu^+ \bar{K}^0 N_4$
$D_s^+ \rightarrow$	$e^+ N_4$ $\mu^+ N_4$ $\tau^+ N_4$	—

HNL production branching ratios and decay widths from Coloma *et al.* 2007.03701

Work in progress: courtesy of S. Urrea

- A Geant4 implementation of the target, dump, and magnets has been used to validate our results and compute the possible neutrino flux and HNL signal.

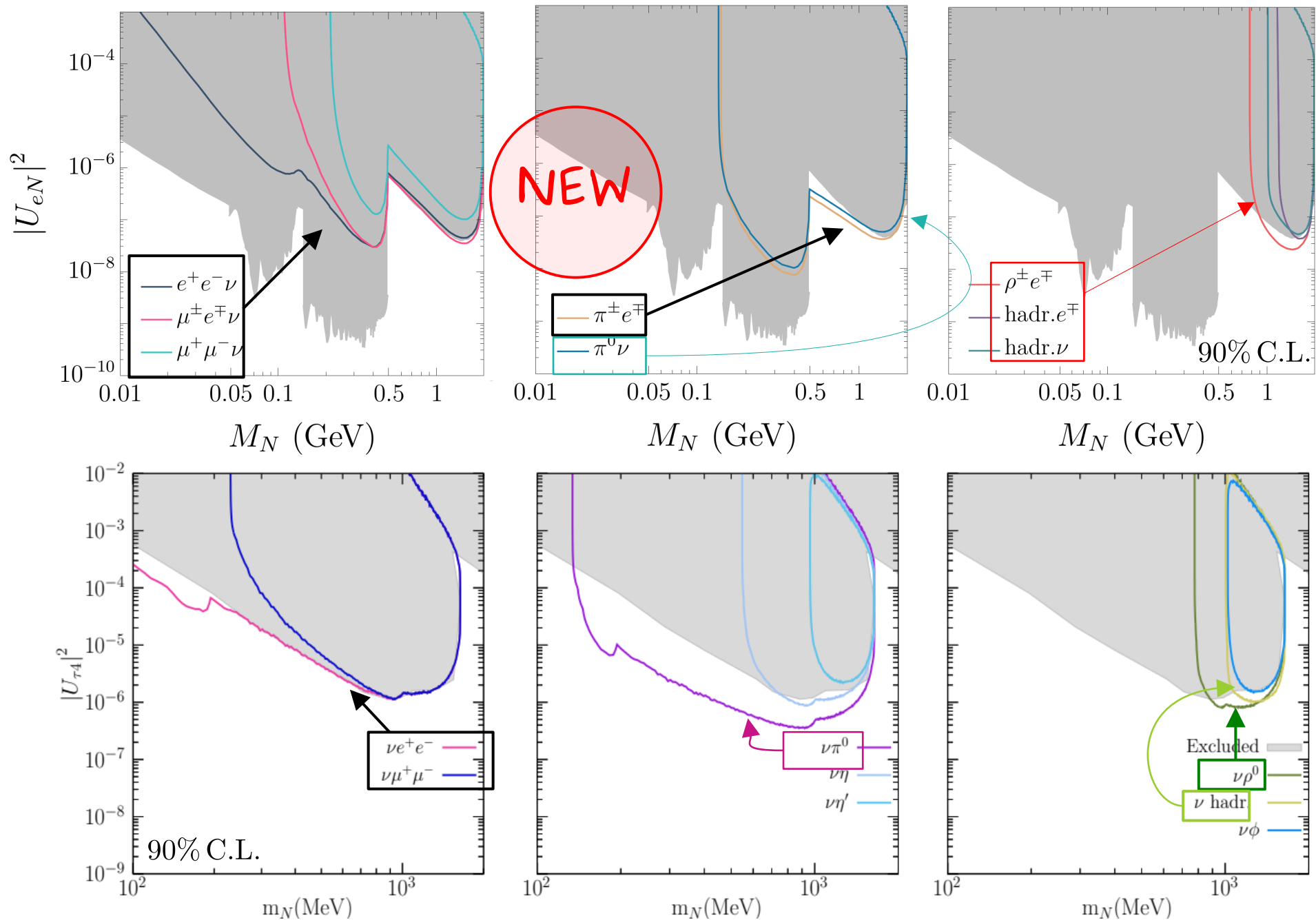


More details in:

https://indico.cern.ch/event/1460367/contributions/6240613/attachments/3001559/5289608/BSM@protoDUNE_NeutrinoWkshp_Animesh.pdf

https://indico.cern.ch/event/1381368/contributions/5963281/attachments/2888251/5062517/molina_LL2024_2072024_v2.pdf

J. Hernández-García, S. Urrea



1) LLPs: Heavy Neutral Leptons

HNLs arising in low-scale seesaw models can accommodate
the origin of neutrino masses and baryon asymmetry

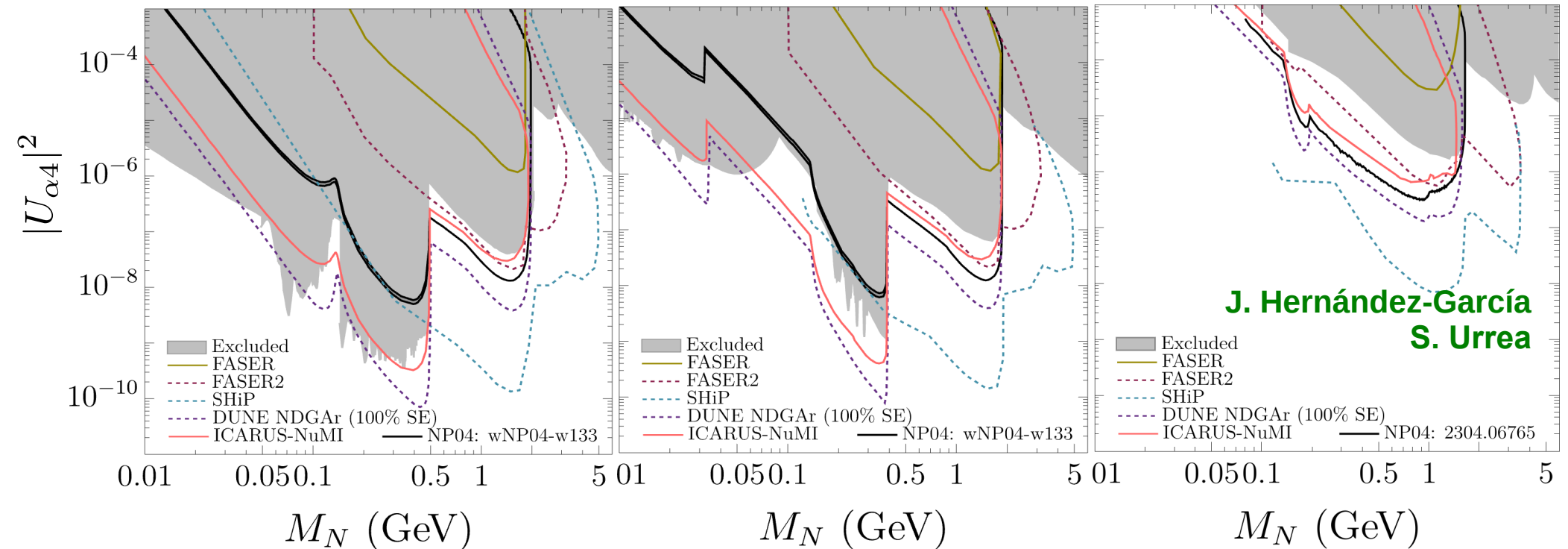


$$\mathcal{L} \supset -\frac{m_W}{v} \bar{N} U_{\alpha 4}^* \gamma^\mu l_{L\alpha} W_\mu^+ - \frac{m_Z}{\sqrt{2}v} \bar{N} U_{\alpha 4}^* \gamma^\mu \nu_{L\alpha} Z_\mu$$

e (BC6)

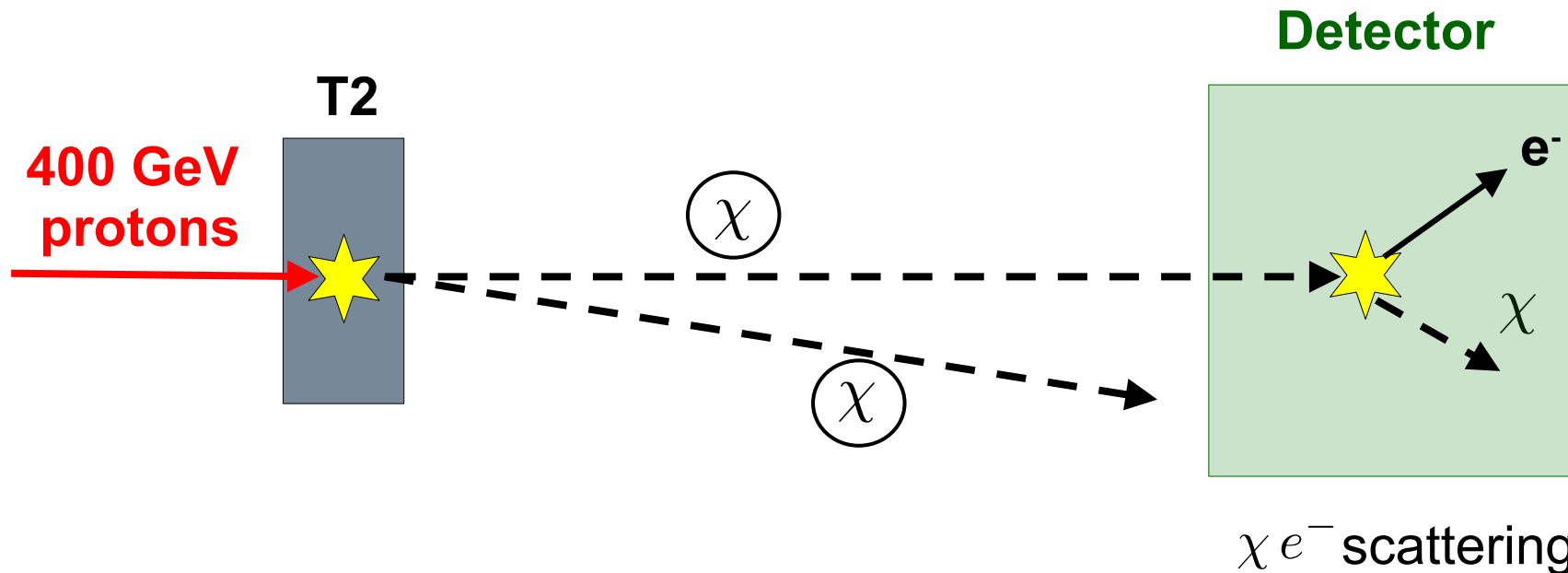
μ (BC7)

τ (BC8)



No background considered for any of the lines. We need a realistic background estimation.

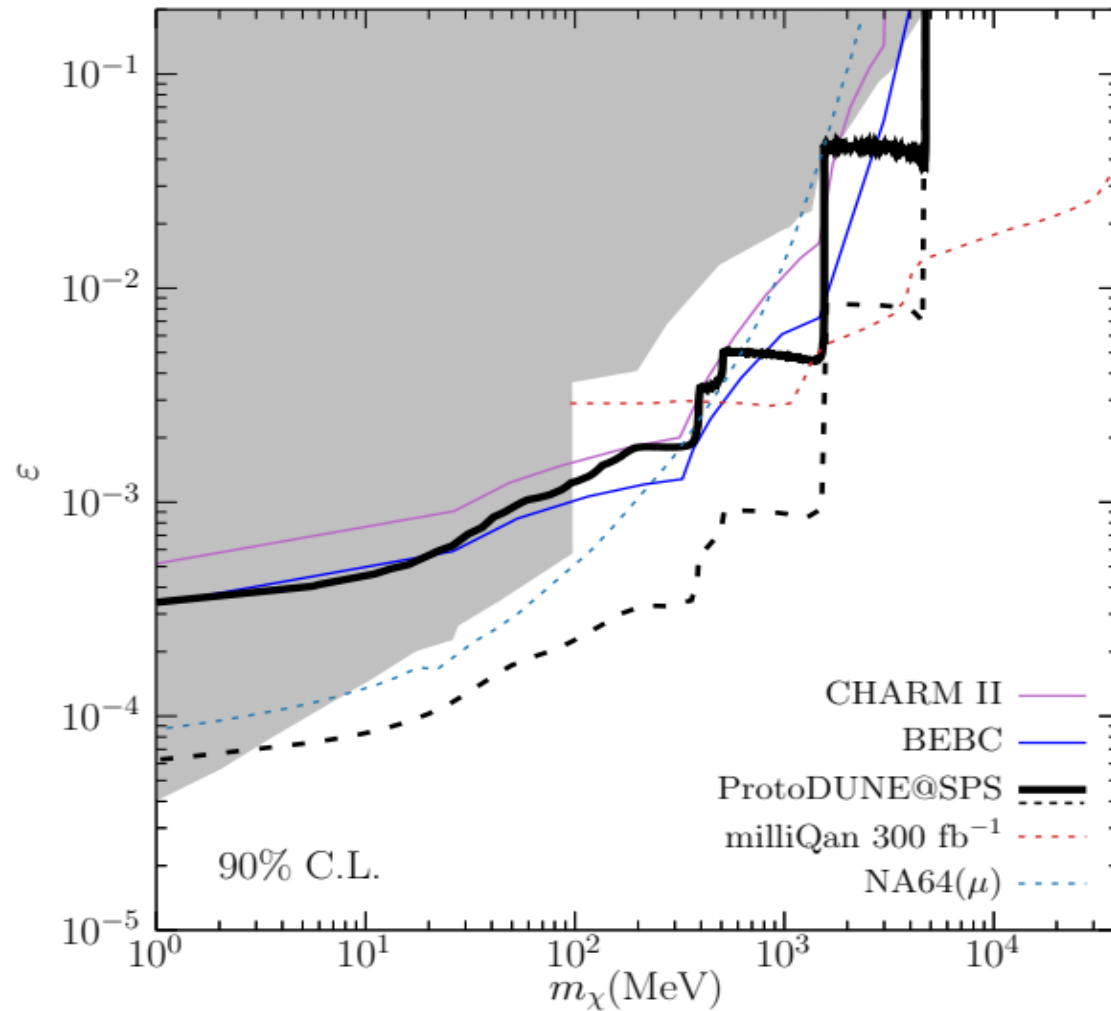
2) Stable Particles: Millicharged Particles



- **Millicharged particles (MCPs)**: fermions with an effective charge $e\epsilon$, arising from the mixing of the SM photon and a massless Dark Photon

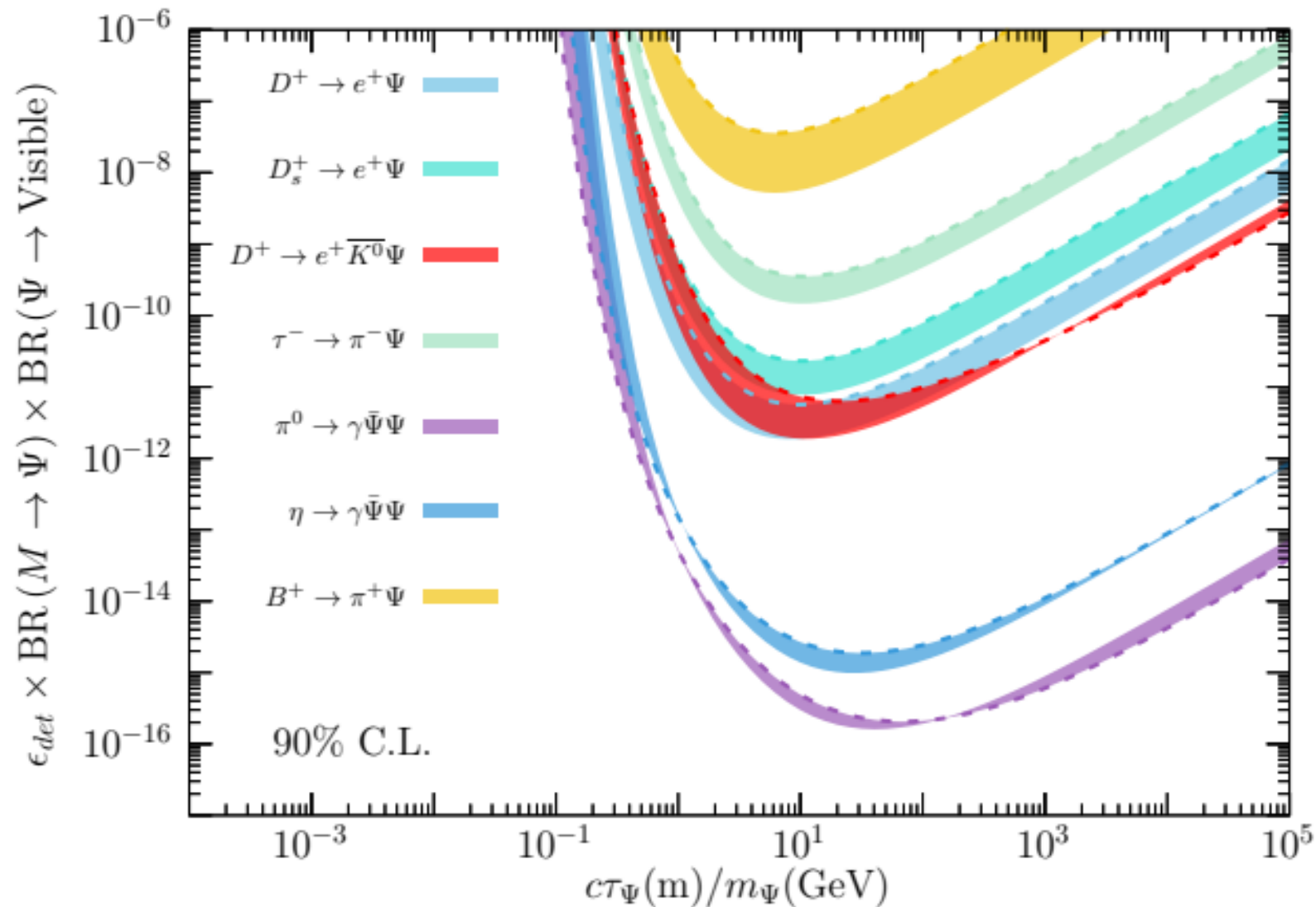
$$\frac{d\sigma}{dT} = \pi \alpha^2 \epsilon^2 \frac{2E_\chi^2 m_e + T^2 m_e - T (m_\chi^2 + m_e (2E_\chi + m_e))}{T^2 (E_\chi^2 - m_\chi^2) m_e^2}$$

2) Stable Particles: Millicharged Particles



1) LLPs: Model independent approach

$$N_{dec} = N_{dec} (\text{BR}(M \rightarrow \Psi) \text{BR}(\Psi \rightarrow \text{visible}) \epsilon_{det}, c\tau_{\Psi}/m_{\Psi})$$



Leptogenesis: Kinetic Equations

- Equations for the density matrix in the **Raffelt-Sigl** formalism

Ghiglieri, Laine '17

$$\frac{d\rho_N(k)}{dt} = -\boxed{i[H, \rho_N(k)]} - \boxed{\frac{1}{2} \{\Gamma_N^a, \rho_N\} + \frac{1}{2} \{\Gamma_N^p, 1 - \rho_N\}}$$

Oscillations

Scattering

$$\bar{\rho}_N (H \rightarrow H^*)$$

$$\frac{d\mu_{B/3-L_\alpha}}{dt} = f(\rho_N, \bar{\rho}_N, \mu_{B/3-L_\alpha})$$

Leptogenesis: Kinetic Equations

$$\begin{aligned}
 xH_u \frac{dr_N}{dx} = & -i[\langle H \rangle, r_N] - \frac{\langle \gamma_N^{(0)} \rangle}{2} \{Y^\dagger Y, r_N - 1\} - x^2 \frac{\langle s_N^{(0)} \rangle}{2} \{MY^T Y^* M, r_N - 1\} \\
 & + \langle \gamma_N^{(1)} \rangle Y^\dagger \mu Y - x^2 \langle s_N^{(1)} \rangle MY^T \mu Y^* M \\
 & - \frac{\langle \gamma_N^{(2)} \rangle}{2} \{Y^\dagger \mu Y, r_N\} + x^2 \frac{\langle s_N^{(2)} \rangle}{2} \{MY^T \mu Y^* M, r_N\},
 \end{aligned}$$

$$\begin{aligned}
 xH_u \frac{dr_{\bar{N}}}{dx} = & -i[\langle H^* \rangle, r_{\bar{N}}] - \frac{\langle \gamma_N^{(0)} \rangle}{2} \{Y^T Y^*, r_{\bar{N}} - 1\} - x^2 \frac{\langle s_N^{(0)} \rangle}{2} \{MY^\dagger Y M \\
 & - \langle \gamma_N^{(1)} \rangle Y^T \mu Y^* + x^2 \langle s_N^{(1)} \rangle MY^\dagger \mu Y M \\
 & + \frac{\langle \gamma_N^{(2)} \rangle}{2} \{Y^T \mu Y^*, r_{\bar{N}}\} - x^2 \frac{\langle s_N^{(2)} \rangle}{2} \{MY^\dagger \mu Y M, r_{\bar{N}}\},
 \end{aligned}$$

$$\begin{aligned}
 xH_u \frac{d\mu_{B/3-L\alpha}}{dx} = & \frac{\int_k \rho_F}{\int_k \rho'_F} \left[\frac{\langle \gamma_N^{(0)} \rangle}{2} (Y r_N Y^\dagger - Y^* r_{\bar{N}} Y^T) - x^2 \frac{\langle s_N^{(0)} \rangle}{2} (Y^* M r_N M Y^\dagger \right. \\
 & - \mu_\alpha \left(\langle \gamma_N^{(1)} \rangle Y Y^\dagger + x^2 \langle s_N^{(1)} \rangle Y M^2 Y^\dagger \right) + \frac{\langle \gamma_N^{(2)} \rangle}{2} \mu_\alpha (Y r_N Y^\dagger + Y \\
 & \left. + x^2 \frac{\langle s_N^{(2)} \rangle}{2} \mu_\alpha (Y M r_{\bar{N}} M Y^\dagger + Y^* M r_N M Y^T) \right]_{\alpha\alpha},
 \end{aligned}$$

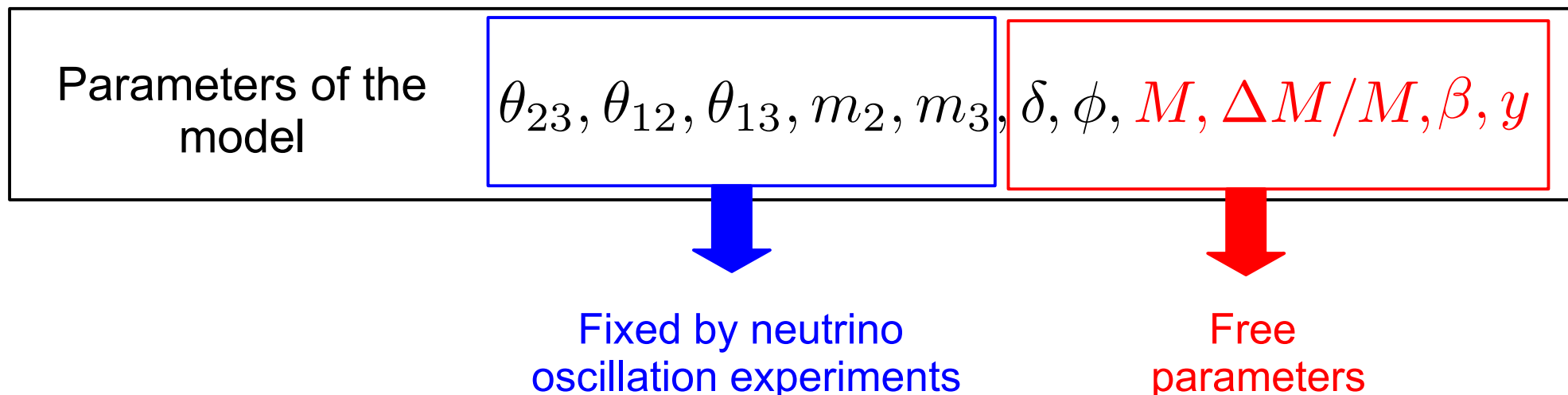
- *Stiff non-linear system with several relevant time scales: very hard to numerically explore parameter space.*

Leptogenesis: parameter scan

$$Y_B^{\text{exp}} \simeq 8.65(8) \times 10^{-11}$$

Bayesian posterior probabilities (using nested sampling Montecarlo MultiNest)

$$\log \mathcal{L} = -\frac{1}{2} \left(\frac{Y_B(t_{\text{EW}}) - Y_B^{\text{exp}}}{\sigma_{Y_B}} \right)^2.$$



Leptogenesis: Kinetic Equations

Hernandez, JLP, Rius, **Sandner**; arXiv:2207.01651

- AMIQS: publicly available software developed by **Stefan Sandner** introduced relevant optimizations that allows a faster scan.



<https://github.com/stefanmarinus/amiqs>
10.5281/zenodo.6866454

- Dependence on the parameters of the model and correlation between the baryon asymmetry and other observables not easy to understand just from a numerical scan.
- Our ultimate goal is to study this connection with future experimental measurements in order to be able to test leptogenesis.

Analytical understanding required

Towards analytical understanding

Hernandez, JLP, Rius, Sandner; arXiv:2207.01651

- Identify the different non-thermal regimes and characteristic time-scales
- Set up a perturbative approximation of the equations ($\epsilon \ll 1$, $\mu/M \ll 1$)
- Identify the CP invariants that control the flavour parameter dependencies

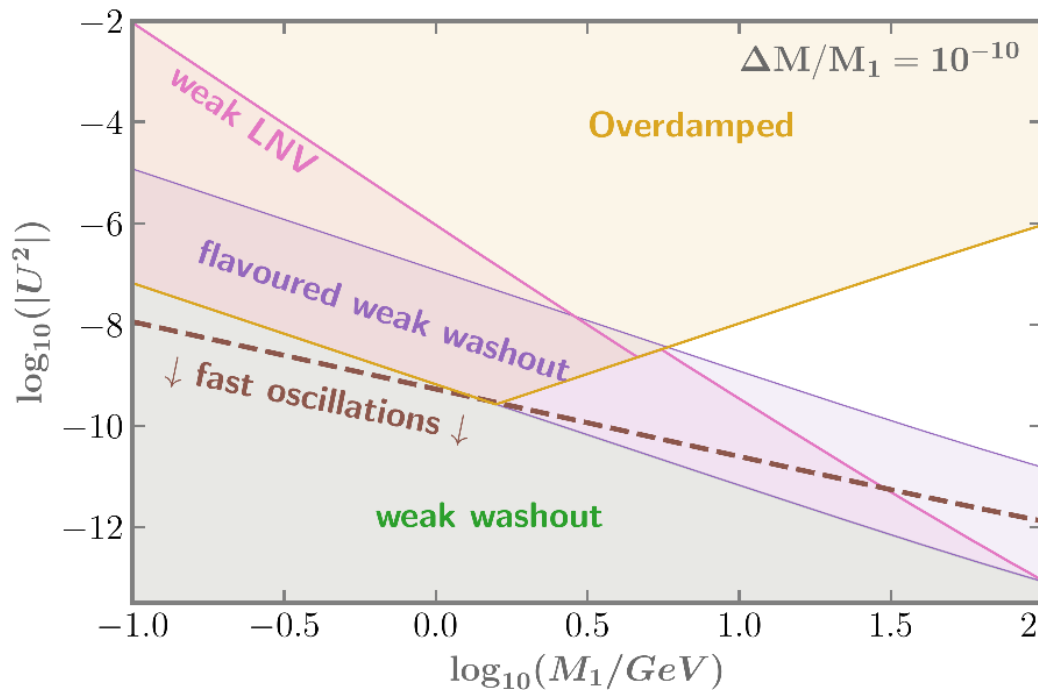
$$\begin{aligned} I_0 &= \text{Im} \left(\text{Tr} \left[Y^\dagger Y M^\dagger M Y^\dagger Y_l^\dagger Y \right] \right) \\ &\equiv \sum_{\alpha} y_{l_{\alpha}}^2 \Delta_{\alpha} \left(\delta, \phi, \Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, U^2, M, \theta \right) \end{aligned}$$

$$\begin{aligned} I_1 &= \text{Im} \left(\text{Tr} \left[Y^\dagger Y M^\dagger M M^* (Y^\dagger Y)^* M \right] \right) \\ &\equiv \sum_{\alpha} \Delta_{\alpha}^M \left(\delta, \phi, \Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, U^2, M, \theta \right) \end{aligned}$$

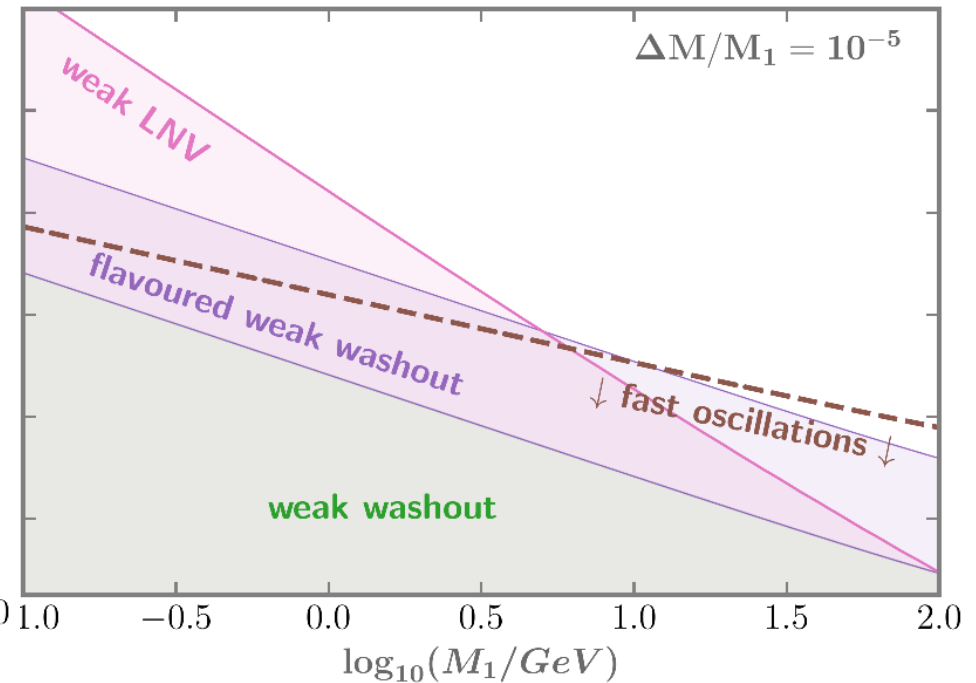
- Write the CP invariants in terms of observable parameters: find bounds and correlations implied by the matter-antimatter asymmetry

Non thermal equilibrium regimes

Highly degenerate N_R 's



Less degenerate N_R 's



Overdamped regime: $\Gamma_{\text{osc}}^{\text{slow}} = \epsilon^2 \Gamma$ $\epsilon \equiv \frac{\Gamma_{\text{osc}}}{\Gamma}$ $\Gamma_{\text{osc}}(T) \propto \frac{M_2^2 - M_1^2}{T}$

Flavoured: $y_\alpha \ll y_\beta$ $\Gamma_\alpha \propto (YY^\dagger)_{\alpha\alpha} T$

wLNV: $M \ll T$ $\Gamma_M^{\text{slow}} \propto \left(\frac{M_i}{T}\right)^2 \Gamma$

Example: overdamped regime (NH)

$$\left(\sum_{\alpha} \mu_{B/3-L_{\alpha}} \right)^{\text{ov-wLNV}} \simeq \frac{\kappa x^2}{6\gamma_0 + \kappa\gamma_1} \frac{\gamma_0^2}{\gamma_0^2 + 4\omega^2} \frac{c_H M_P^*}{T_{EW}^3} \left(\Delta_{\text{LNC}}^{\text{ov}} - \frac{24}{5} \frac{s_0 x^3}{T_{EW}^2} \Delta_{\text{LNV}}^{\text{ov}} \right)$$

$$\Delta_{\text{LNC}}^{\text{ov}} = \frac{1}{[\text{Tr}(Y^{\dagger}Y)]^2} \sum_{\alpha} \frac{\Delta_{\alpha}}{(YY^{\dagger})_{\alpha\alpha}} \approx -(M_2^2 - M_1^2) \frac{v^2 \sqrt{\Delta m_{\text{atm}}^2}}{8M^3 U^4} s_{\theta}$$

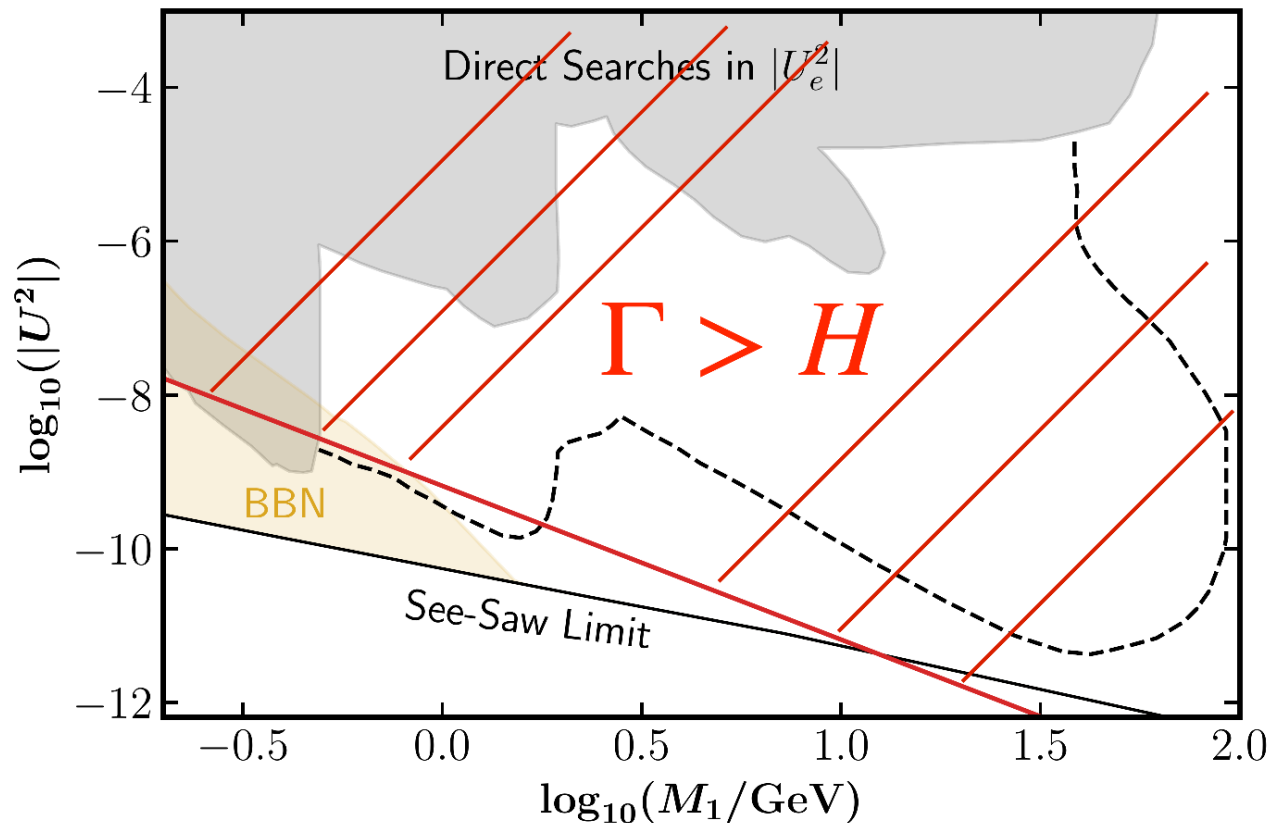
$$\left(\sum_{\alpha} \Delta_{\alpha} = 0, \Delta_{\text{LNC}}^{\text{ov}} \propto \sum_{\alpha} \frac{\Delta_{\alpha}}{\Gamma_{\alpha}} \right)$$

$$\Delta_{\text{LNV}}^{\text{ov}} = \frac{1}{[\text{Tr}(Y^{\dagger}Y)]^2} \sum_{\alpha} \Delta_{\alpha}^M \approx -M_1 M_2 (M_2^2 - M_1^2) \frac{\sqrt{\Delta m_{\text{atm}}^2}}{4MU^2} s_{\theta}$$

$$\left(\sum_{\alpha} \Delta_{\alpha}^M \neq 0 \right)$$

Non thermal equilibration

In principle, $\Gamma(T_{\text{EW}}) < H(T_{\text{EW}})$ is required $(\Gamma \propto \text{Tr} [Y^\dagger Y] T)$



BUT approximate L symmetry and flavour effects can lead to **slow modes**.

Non thermal equilibrium regimes $\Delta M = 0$

- “Thermal oscillation rate”

$$\Gamma_{\text{osc}}^{\text{thm}} \sim \rho y y' T$$

- LN symmetry

$$\Gamma_{\text{LN}}^{\text{slow}} \propto y'^2 T$$

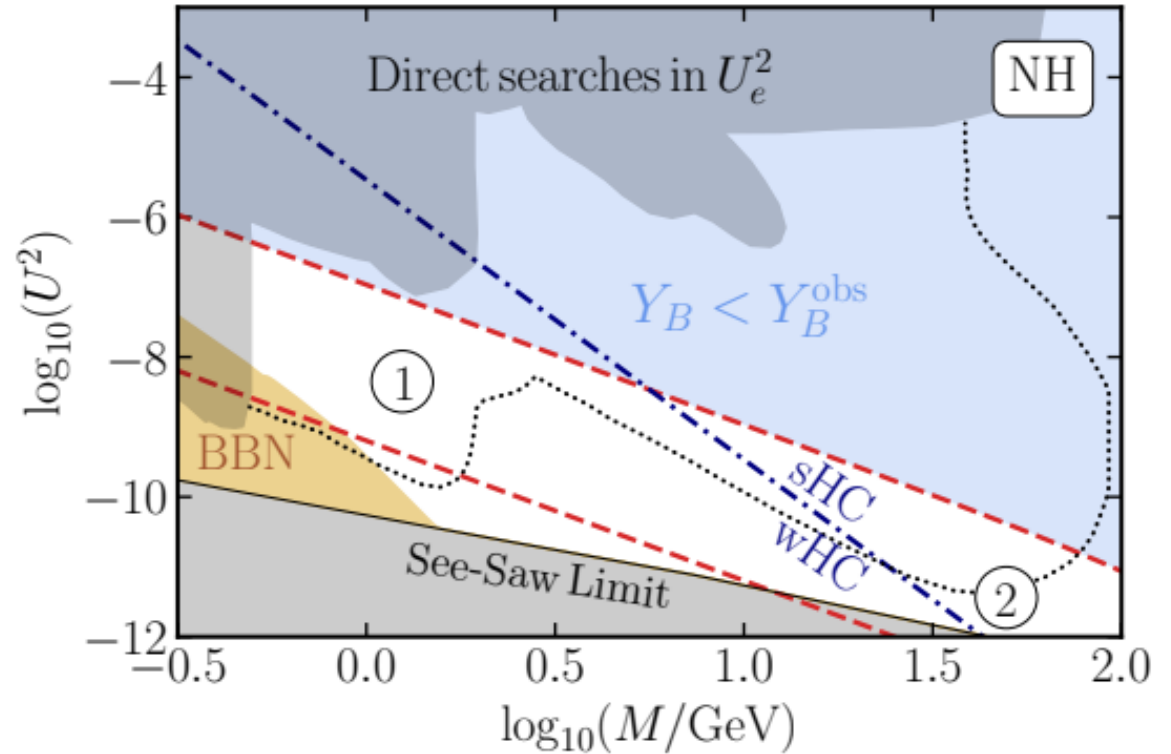
- Flavor

$$\Gamma_{\alpha}(T) \propto \epsilon_{\alpha} \Gamma(T), \quad \epsilon_{\alpha} \equiv \frac{(Y Y^{\dagger})_{\alpha\alpha}}{\text{Tr}[Y Y^{\dagger}]} = \frac{y_{\alpha}^2}{y^2} + \mathcal{O}(y'^2/y^2)$$

- wHC

$$\Gamma_M^{\text{slow}} \propto \left(\frac{M}{T}\right)^2 \Gamma \leq \Gamma$$

Non thermal equilibrium: degenerate N's



- Regime 1 – **Flavoured with wHC**.

$$\Gamma_{\text{LN}}(T_{\text{EW}}), \Gamma_M(T_{\text{EW}}), \Gamma_\alpha(T_{\text{EW}}) < H_u(T_{\text{EW}}) < \Gamma(T_{\text{EW}}).$$

- Regime 2 – **Flavoured with sHC**.

$$\Gamma_{\text{LN}}(T_{\text{EW}}), \Gamma_\alpha(T_{\text{EW}}) < H_u(T_{\text{EW}}) < \Gamma_M(T_{\text{EW}}), \Gamma(T_{\text{EW}}).$$

Analytical understanding $\Delta M = 0$

- Identify the different non-thermal regimes and characteristic time-scales
- Set up a perturbative approximation of the equations ($\epsilon \ll 1$, $\mu/M \ll 1$)
- Identify the CP invariants that control the flavor parameter dependencies

$$\tilde{I}_0 \equiv \text{Im} \left(\text{Tr} \left[Y^\dagger Y M_R^* Y^T Y^* M_R Y^\dagger Y_l Y_l^\dagger Y \right] \right) \equiv \sum_{\alpha} y_{l_\alpha}^2 \Delta_{\alpha}$$

$$\equiv \sum_{\alpha} y_{l_\alpha}^2 \Delta_{\alpha} (\delta, \phi, \Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, U^2, M,)$$

- Write the CP invariants in terms of observable parameters: find bounds and correlations implied by the matter-antimatter asymmetry

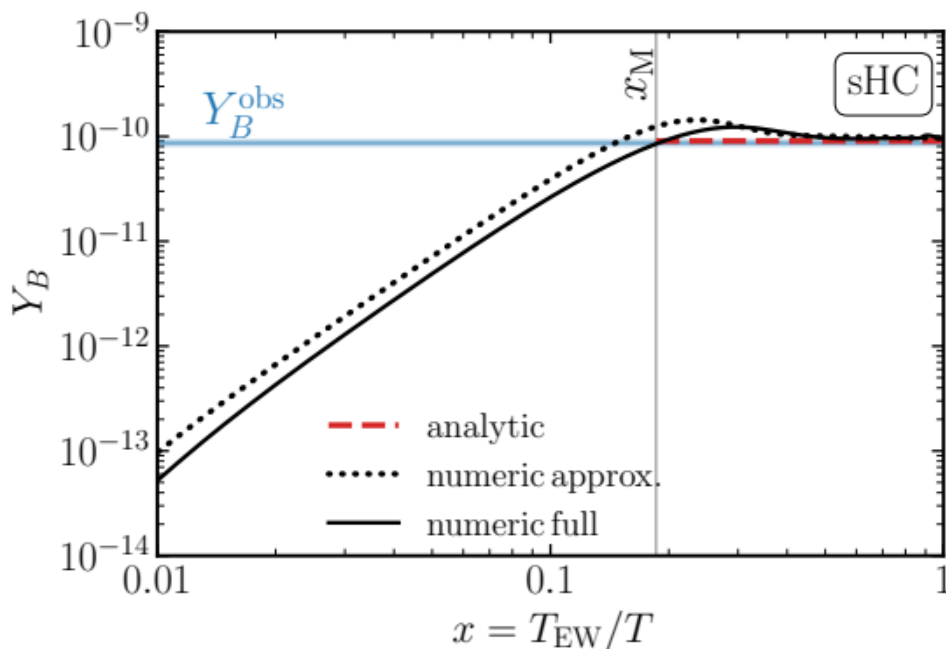
Example $\Delta M = 0$: Regime 2

$$\sum_{\alpha} \mu_{B-L_{\alpha/3}} = -x_M^3 \frac{1}{T_{EW}^2} \frac{8\gamma_0 \kappa^2 (\gamma_1 s_0 + \gamma_0 s_1) (s_0 \omega + \gamma_0 \omega_M)}{6(4\gamma_0 s_0 + \gamma_1 s_0 \kappa + \gamma_0 s_1 \kappa) (\gamma_0^2 + 4\omega^2)} \Delta_{\alpha}^{fw}$$

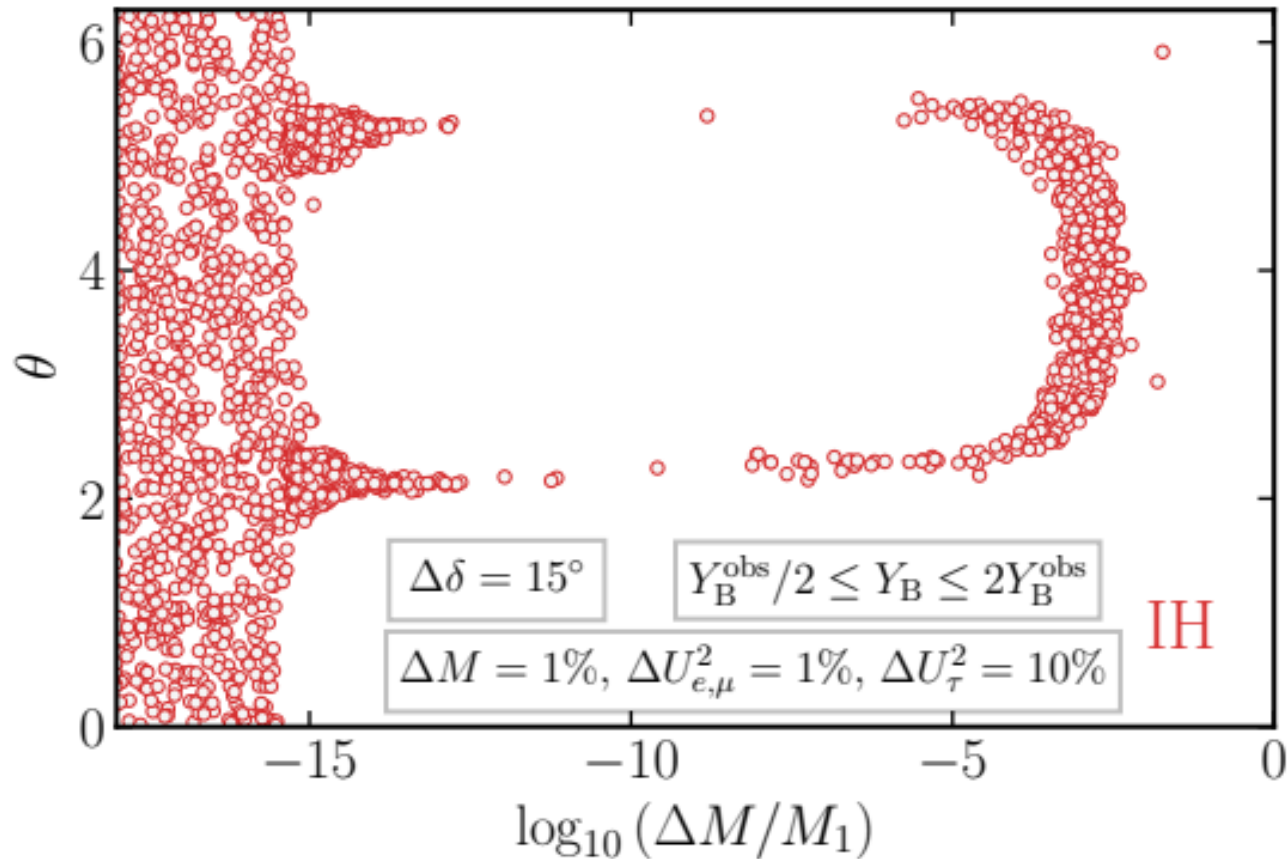
NO

$$\Delta_e^{fw} = -\frac{M^2 \Delta m_{atm}^2 \sqrt{r}}{2U^2 v^2} \theta_{13} s_{12} \sin(\delta + \phi)$$

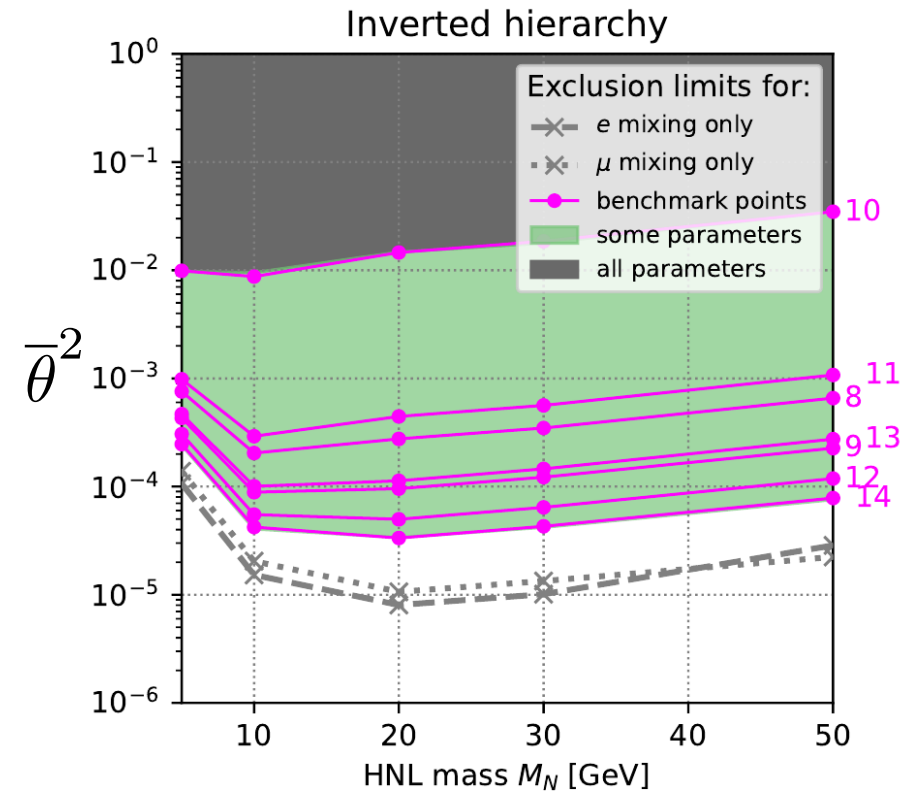
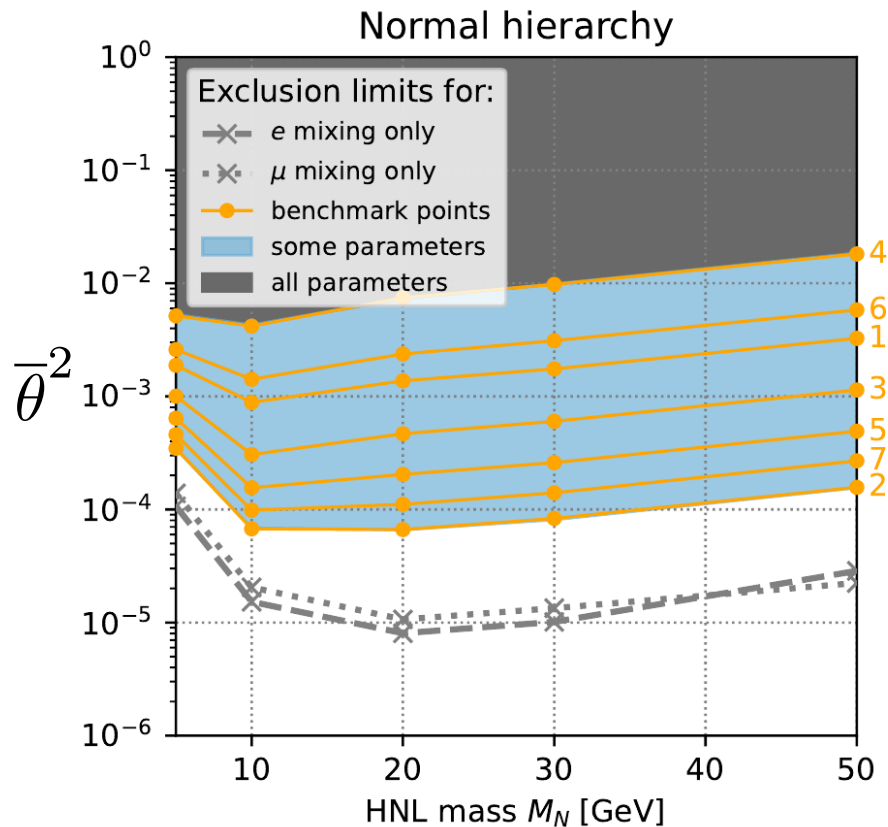
$$\Delta_{\mu}^{fw} = -\Delta_{\tau}^{fw} = -\frac{M^2 \Delta m_{atm}^2 \sqrt{r}}{4U^2 v^2} c_{12} \sin \phi,$$



Degenerate vs Non Degenerate case

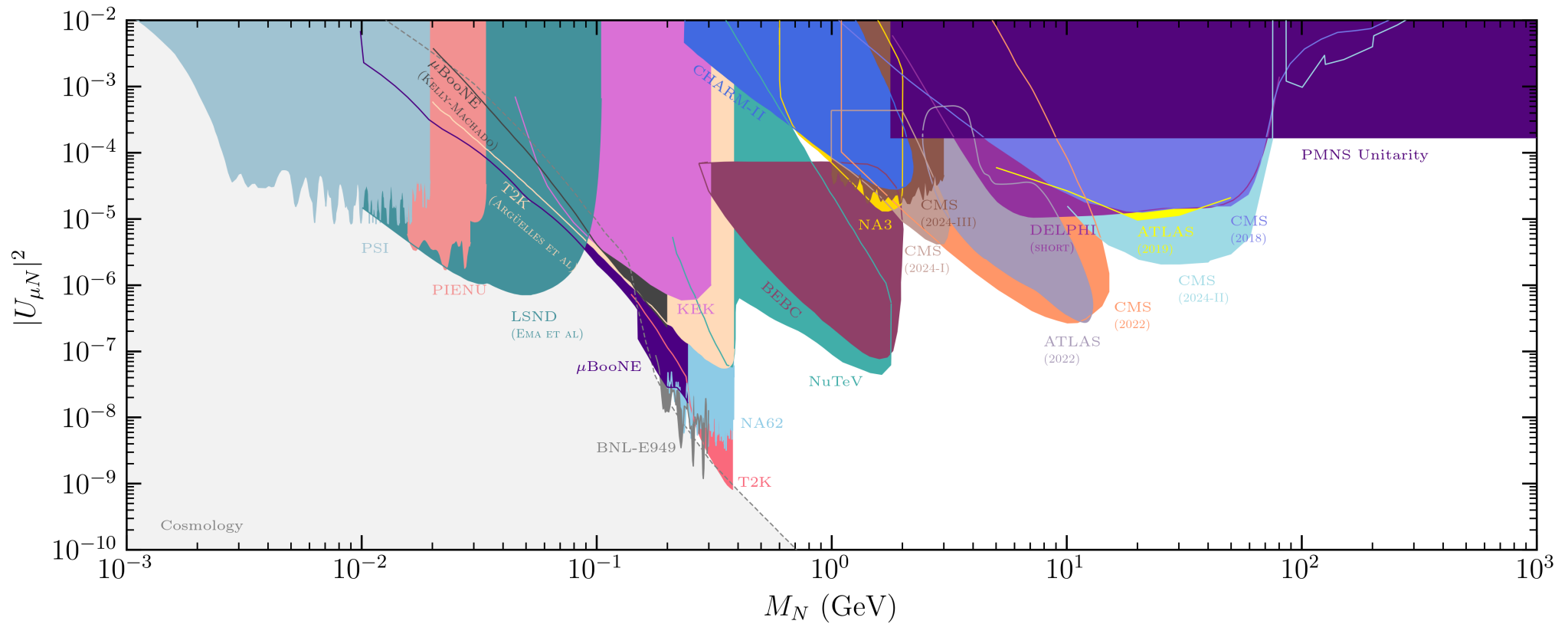


Flavor pattern vs sensitivity



- Interpretation of ATLAS data depends on assumptions about “flavor mixing pattern” Tastet, Ruchayskiya, Timiryasov 2107.12980
- Same conclusion applies to other experimental searches.

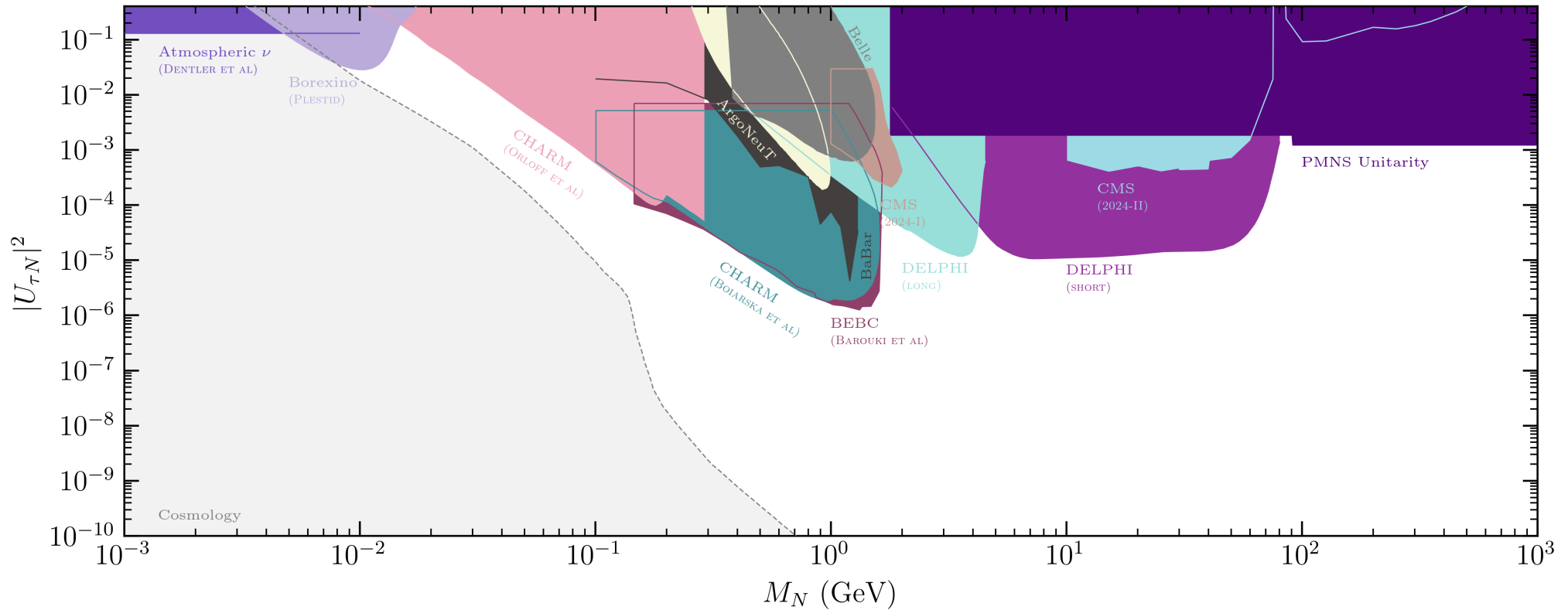
The New Physics Scale



<https://github.com/mhostert/Heavy-Neutrino-Limits>

Fernandez-Martinez, Hernandez-Garcia, Gonzalez-Lopez, Hostert, JLP 2306.01040

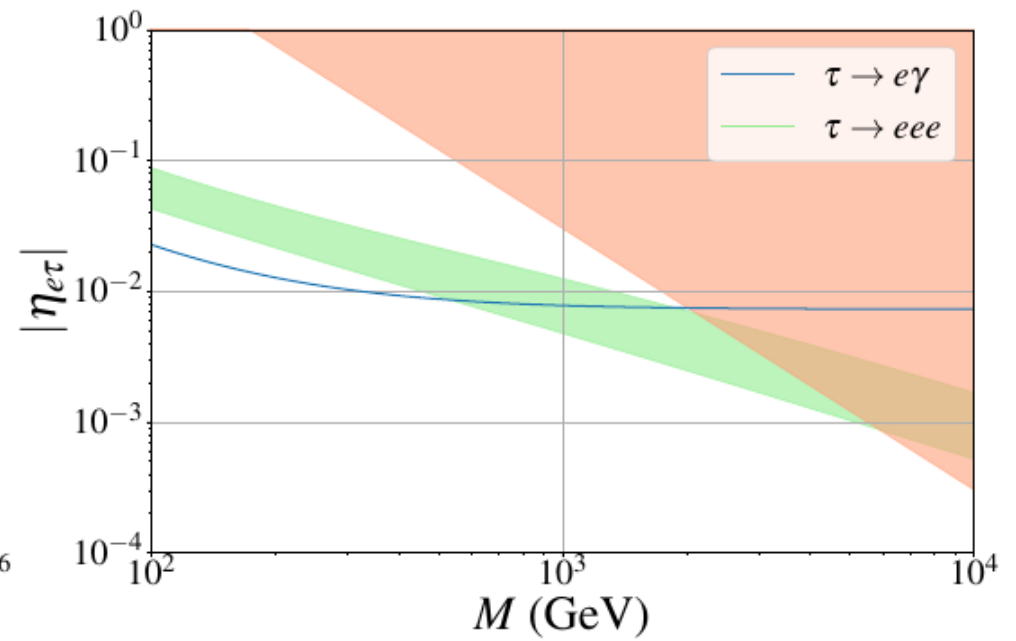
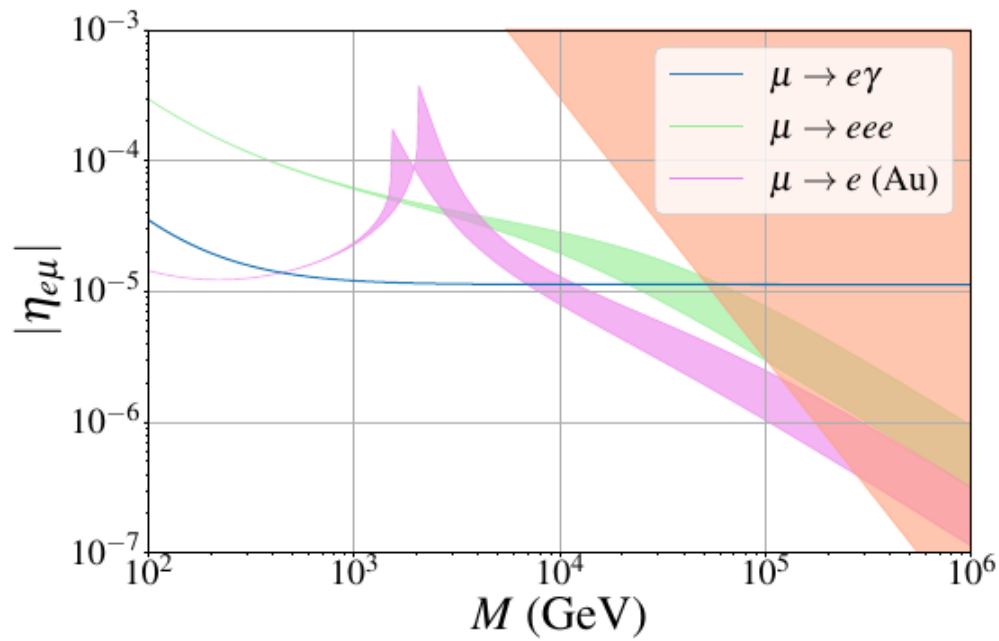
The New Physics Scale



<https://github.com/mhostert/Heavy-Neutrino-Limits>

Fernandez-Martinez, Hernandez-Garcia, Gonzalez-Lopez, Hostert, JLP 2306.01040

Bounds from CLFV



Global bounds: EW and CLFV precision data

2N-SS	Normal Ordering		Inverted Ordering	
	68%CL	95%CL	68%CL	95%CL
$\eta_{ee} = \frac{ \theta_e ^2}{2}$	$6.4 \cdot 10^{-6}$	$9.4 \cdot 10^{-6}$	$[0.98, 4.4] \cdot 10^{-4}$	$5.5 \cdot 10^{-4}$
$\eta_{\mu\mu} = \frac{ \theta_\mu ^2}{2}$	$6.9 \cdot 10^{-5}$	$1.3 \cdot 10^{-4}$	$[0.20, 1.0] \cdot 10^{-6}$	$3.2 \cdot 10^{-5}$
$\eta_{\tau\tau} = \frac{ \theta_\tau ^2}{2}$	$8.6 \cdot 10^{-5}$	$2.1 \cdot 10^{-4}$	$[0.94, 2.8] \cdot 10^{-5}$	$4.5 \cdot 10^{-5}$
$\text{Tr} [\eta] = \frac{ \theta ^2}{2}$	$1.6 \cdot 10^{-4}$	$2.9 \cdot 10^{-4}$	$[1.1, 4.8] \cdot 10^{-4}$	$6.0 \cdot 10^{-4}$
$ \eta_{e\mu} = \frac{ \theta_e \theta_\mu^* }{2}$	$8.3 \cdot 10^{-6}$	$1.2 \cdot 10^{-5}$	$[0.37, 1.0] \cdot 10^{-5}$	$1.3 \cdot 10^{-5}$
$ \eta_{e\tau} = \frac{ \theta_e \theta_\tau^* }{2}$	$1.5 \cdot 10^{-5}$	$2.2 \cdot 10^{-5}$	$[0.25, 1.2] \cdot 10^{-4}$	$1.4 \cdot 10^{-4}$
$ \eta_{\mu\tau} = \frac{ \theta_\mu \theta_\tau^* }{2}$	$7.2 \cdot 10^{-5}$	$1.3 \cdot 10^{-4}$	$[0.38, 3.0] \cdot 10^{-6}$	$3.5 \cdot 10^{-5}$

Global bounds: EW and CLFV precision data

2N-SS	Normal Ordering		Inverted Ordering	
	68%CL	95%CL	68%CL	95%CL
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$ \eta_{e\mu} = \frac{ \theta_e \theta_\mu^* }{2}$	$8.3 \cdot 10^{-6}$	$1.2 \cdot 10^{-5}$	$[0.37, 1.0] \cdot 10^{-5}$	$1.3 \cdot 10^{-5}$
$ \eta_{e\tau} = \frac{ \theta_e \theta_\tau^* }{2}$	$1.5 \cdot 10^{-5}$	$2.2 \cdot 10^{-5}$	$[0.25, 1.2] \cdot 10^{-4}$	$1.4 \cdot 10^{-4}$
$ \eta_{\mu\tau} = \frac{ \theta_\mu \theta_\tau^* }{2}$	$7.2 \cdot 10^{-5}$	$1.3 \cdot 10^{-4}$	$[0.38, 3.0] \cdot 10^{-6}$	$3.5 \cdot 10^{-5}$

Global bounds: EW and CLFV precision data

3N-SS	Normal Ordering		Inverted Ordering	
	68%CL	95%CL	68%CL	95%CL
$\eta_{ee} = \frac{ \theta_e ^2}{2}$	$[0.28, 0.99] \cdot 10^{-3}$	$1.3 \cdot 10^{-3}$	$[0.31, 1.0] \cdot 10^{-3}$	$1.4 \cdot 10^{-3}$
$\eta_{\mu\mu} = \frac{ \theta_\mu ^2}{2}$	$1.3 \cdot 10^{-7}$	$1.1 \cdot 10^{-5}$	$1.2 \cdot 10^{-7}$	$1.0 \cdot 10^{-5}$
$\eta_{\tau\tau} = \frac{ \theta_\tau ^2}{2}$	$[0.3, 3.9] \cdot 10^{-4}$	$1.0 \cdot 10^{-3}$	$1.7 \cdot 10^{-4}$	$8.1 \cdot 10^{-4}$
$\text{Tr}[\eta] = \frac{ \theta ^2}{2}$	$[0.35, 1.3] \cdot 10^{-3}$	$1.9 \cdot 10^{-3}$	$[0.33, 1.0] \cdot 10^{-3}$	$1.5 \cdot 10^{-3}$
$ \eta_{e\mu} = \frac{ \theta_e \theta_\mu^* }{2}$	$8.5 \cdot 10^{-6}$	$1.2 \cdot 10^{-5}$	$8.5 \cdot 10^{-6}$	$1.2 \cdot 10^{-5}$
$ \eta_{e\tau} = \frac{ \theta_e \theta_\tau^* }{2}$	$[1.3, 5.1] \cdot 10^{-4}$	$9.0 \cdot 10^{-4}$	$3.3 \cdot 10^{-4}$	$8.0 \cdot 10^{-4}$
$ \eta_{\mu\tau} = \frac{ \theta_\mu \theta_\tau^* }{2}$	$5.0 \cdot 10^{-6}$	$5.7 \cdot 10^{-5}$	$3.8 \cdot 10^{-6}$	$1.8 \cdot 10^{-5}$

Global bounds: EW and CLFV precision data

G-SS	LFC Bound		LFV Bound	
	68%CL	95%CL	68%CL	95%CL
η_{ee}	$[0.33, 1.0] \cdot 10^{-3}$	$[0.081, 1.4] \cdot 10^{-3}$	-	-
$\eta_{\mu\mu}$	$1.5 \cdot 10^{-5}$	$1.4 \cdot 10^{-4}$	-	-
$\eta_{\tau\tau}$	$1.6 \cdot 10^{-4}$	$8.9 \cdot 10^{-4}$	-	-
$\text{Tr} [\eta]$	$[0.28, 1.2] \cdot 10^{-3}$	$2.1 \cdot 10^{-3}$	-	-
$ \eta_{e\mu} $	$1.4 \cdot 10^{-4}$	$3.4 \cdot 10^{-4}$	$8.4 \cdot 10^{-6}$	$1.2 \cdot 10^{-5}$
$ \eta_{e\tau} $	$4.2 \cdot 10^{-4}$	$8.8 \cdot 10^{-4}$	$5.7 \cdot 10^{-3}$	$8.1 \cdot 10^{-3}$
$ \eta_{\mu\tau} $	$9.4 \cdot 10^{-6}$	$1.8 \cdot 10^{-4}$	$6.6 \cdot 10^{-3}$	$9.4 \cdot 10^{-3}$

$$|\mathbb{I} - \alpha| = \begin{pmatrix} [0.081, 1.4] \cdot 10^{-3} & 0 & 0 \\ < 2.4 \cdot 10^{-5} & < 1.4 \cdot 10^{-4} & 0 \\ < 1.8 \cdot 10^{-3} & < 3.6 \cdot 10^{-4} & < 8.9 \cdot 10^{-4} \end{pmatrix}$$

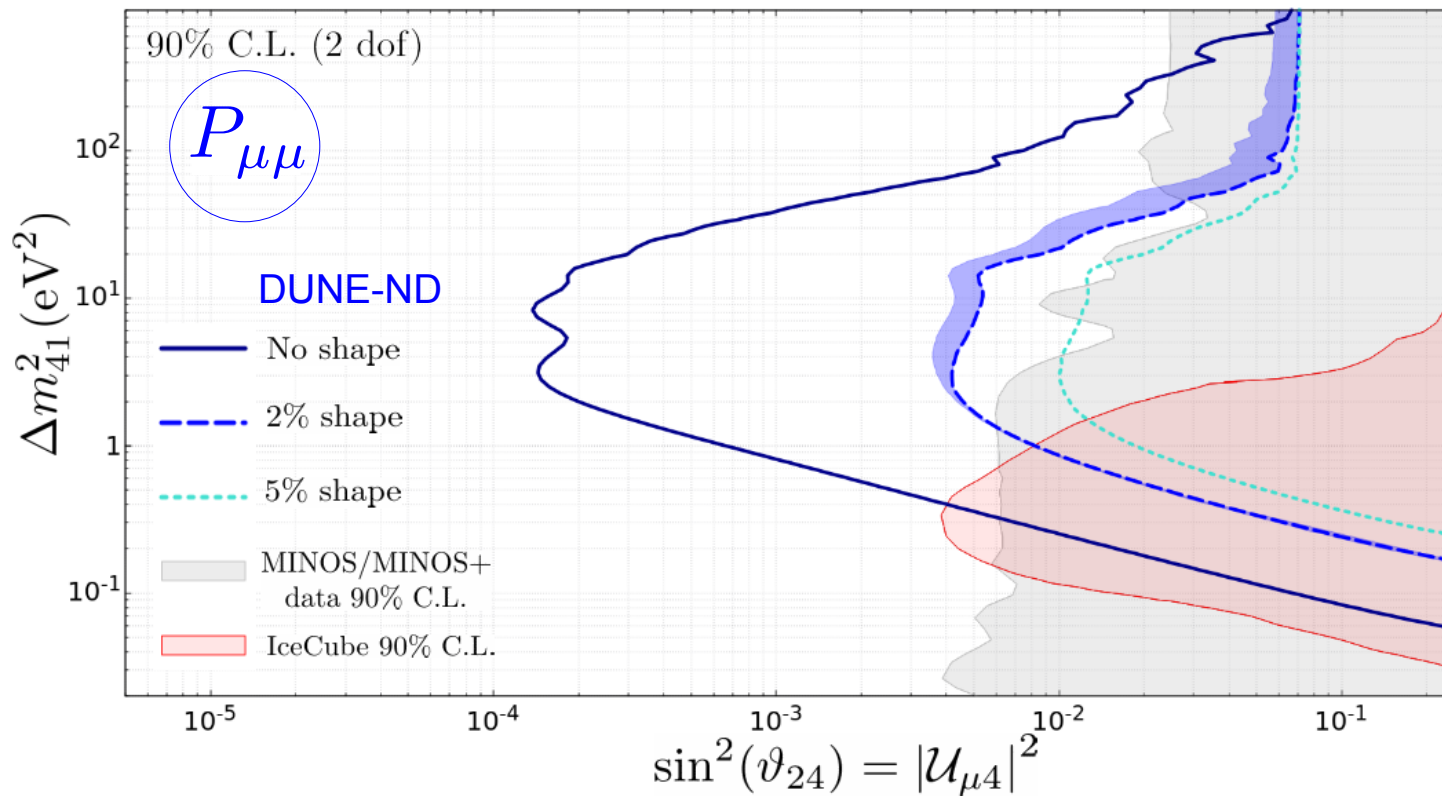
Observable	SM prediction	Experimental value
$M_W \simeq M_W^{\text{SM}} (1 + 0.20 (\eta_{ee} + \eta_{\mu\mu}))$ $s_{\text{eff}}^{2 \text{ Tev}} \simeq s_{\text{eff}}^{2 \text{ SM}} (1 - 1.40 (\eta_{ee} + \eta_{\mu\mu}))$ $s_{\text{eff}}^{2 \text{ LHC}} \simeq s_{\text{eff}}^{2 \text{ SM}} (1 - 1.40 (\eta_{ee} + \eta_{\mu\mu}))$	80.356(6) GeV 0.23154(4) 0.23154(4)	80.373(11) GeV - 0.23148(33) [76] 0.23129(33) [76]
$\Gamma_{\text{inv}}^{\text{LHC}} \simeq \Gamma_{\text{inv}}^{\text{SM}} (1 - 0.33 (\eta_{ee} + \eta_{\mu\mu}) - 1.33 \eta_{\tau\tau})$ $\Gamma_Z \simeq \Gamma_Z^{\text{SM}} (1 + 1.08 (\eta_{ee} + \eta_{\mu\mu}) - 0.27 \eta_{\tau\tau})$ $\sigma_{\text{had}}^0 \simeq \sigma_{\text{had}}^{0 \text{ SM}} (1 + 0.50 (\eta_{ee} + \eta_{\mu\mu}) + 0.53 \eta_{\tau\tau})$ $R_e \simeq R_e^{\text{SM}} (1 + 0.27 (\eta_{ee} + \eta_{\mu\mu}))$ $R_\mu \simeq R_\mu^{\text{SM}} (1 + 0.27 (\eta_{ee} + \eta_{\mu\mu}))$ $R_\tau \simeq R_\tau^{\text{SM}} (1 + 0.27 (\eta_{ee} + \eta_{\mu\mu}))$	0.50145(5) GeV 2.4939(9) GeV 41.485(8) nb 20.733(10) 20.733(10) 20.780(10)	0.523(16) GeV [77] 2.4955(23) GeV [76] 41.481(33) nb [76] 20.804(50) [76] 20.784(34) [76] 20.764(45) [76]
$R_{\mu e}^\pi \simeq (1 - (\eta_{\mu\mu} - \eta_{ee}))$ $R_{\tau\mu}^\pi \simeq (1 - (\eta_{\tau\tau} - \eta_{\mu\mu}))$ $R_{\mu e}^K \simeq (1 - (\eta_{\mu\mu} - \eta_{ee}))$ $R_{\mu e}^\tau \simeq (1 - (\eta_{\mu\mu} - \eta_{ee}))$ $R_{\tau\mu}^\tau \simeq (1 - (\eta_{\tau\tau} - \eta_{\mu\mu}))$	1 1 1 1 1	1.0010(9) [78] 0.9964(38) [78] 0.9978(18) [78] 1.0018(14) [78] 1.0010(14) [78]

$ V_{ud}^\beta \simeq \sqrt{1 - V_{us} ^2} (1 + \eta_{\mu\mu})$	$\sqrt{1 - V_{us} ^2}$	0.97373(31)	[76]
$ V_{us}^{\tau \rightarrow K\nu} \simeq V_{us} (1 + \eta_{ee} + \eta_{\mu\mu} - \eta_{\tau\tau})$	$ V_{us} $	0.2236(15)	[79]
$ V_{us}^{\tau \rightarrow K, \pi} \simeq V_{us} (1 + \eta_{\mu\mu})$	$ V_{us} $	0.2234(15)	[76]
$ V_{us}^{K_L \rightarrow \pi e \nu} \simeq V_{us} (1 + \eta_{\mu\mu})$	$ V_{us} $	0.2229(6)	[76]
$ V_{us}^{K_L \rightarrow \pi \mu \nu} \simeq V_{us} (1 + \eta_{ee})$	$ V_{us} $	0.2234(7)	[76]
$ V_{us}^{K_S \rightarrow \pi e \nu} \simeq V_{us} (1 + \eta_{\mu\mu})$	$ V_{us} $	0.2220(13)	[76]
$ V_{us}^{K_S \rightarrow \pi \mu \nu} \simeq V_{us} (1 + \eta_{ee})$	$ V_{us} $	0.2193(48)	[76]
$ V_{us}^{K^\pm \rightarrow \pi e \nu} \simeq V_{us} (1 + \eta_{\mu\mu})$	$ V_{us} $	0.2239(10)	[76]
$ V_{us}^{K^\pm \rightarrow \pi \mu \nu} \simeq V_{us} (1 + \eta_{ee})$	$ V_{us} $	0.2238(12)	[76]
$\left \frac{V_{us}}{V_{ud}} \right ^{K, \pi \rightarrow \mu \nu} \simeq \frac{ V_{us} }{\sqrt{1 - V_{us} ^2}}$	$\frac{ V_{us} }{\sqrt{1 - V_{us} ^2}}$	0.23131(53)	[76]

The New Physics Scale

- For very light scales M , HNLs (in this regime usually called sterile neutrinos) participate in **neutrino oscillations**. In simplified 3+1 scenario:

Coloma, JLP, Rosauero-Alcaraz, Urrea 2105.11466



Dasgupta, Kopp 2106.05913;

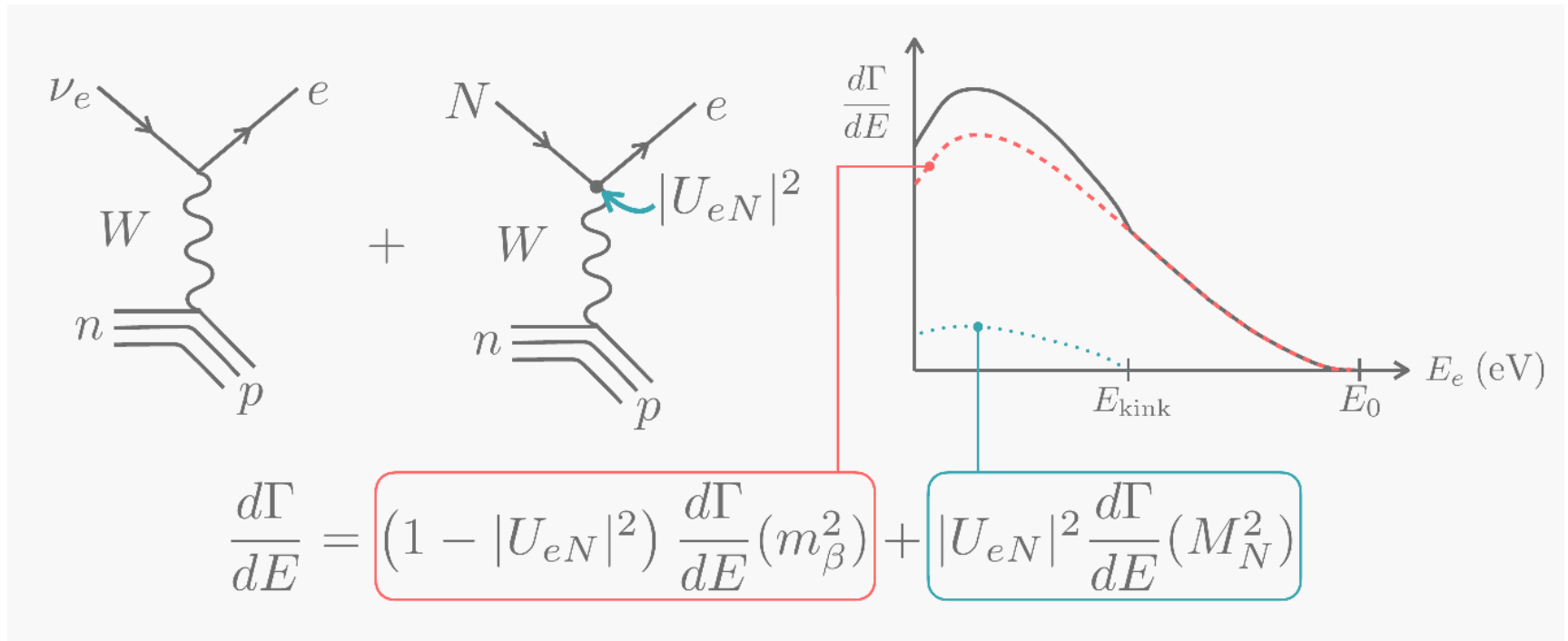
Dentler, Hernández-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz 1803.10661

Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, JLP 1609.08637;

...

The New Physics Scale

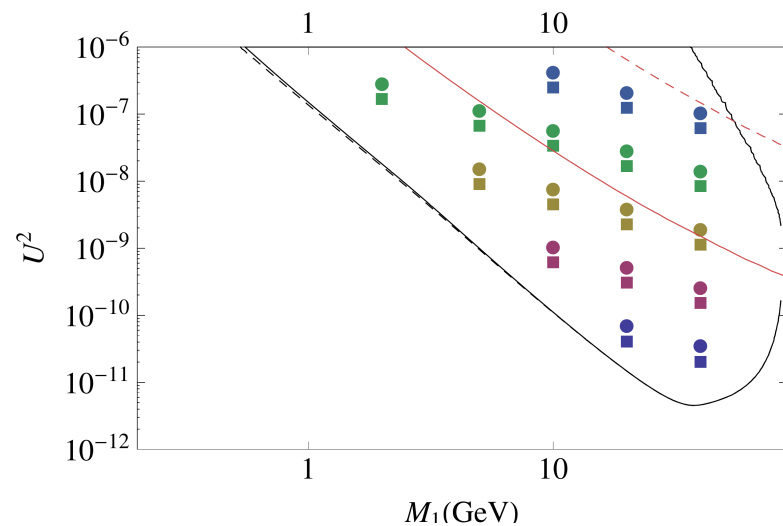
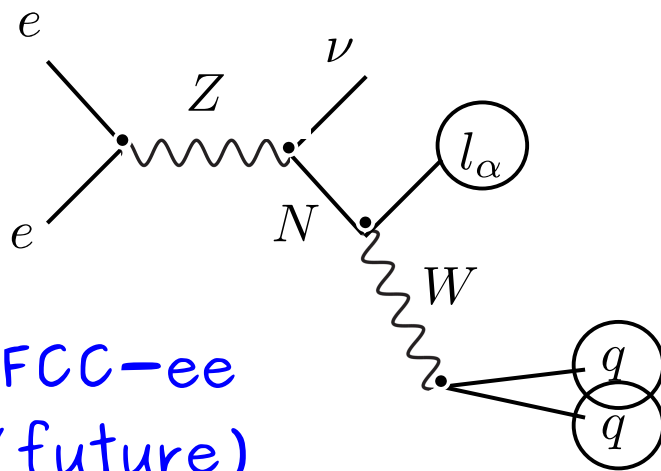
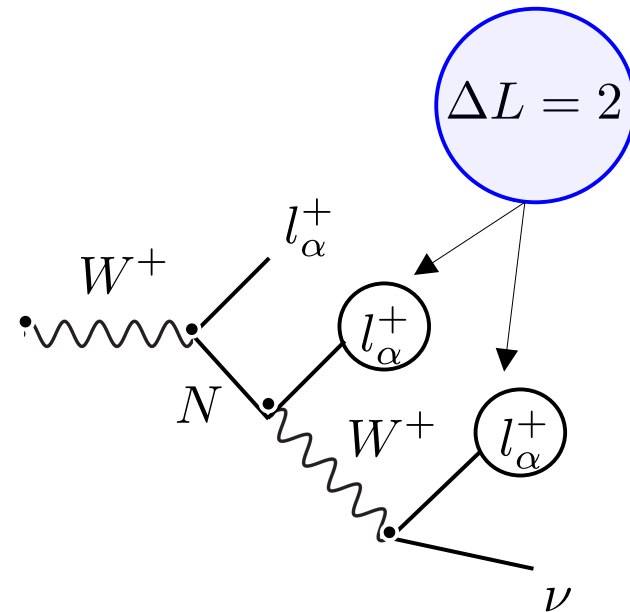
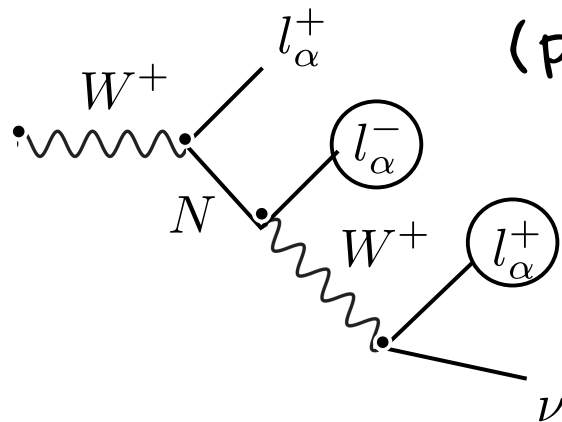
- Search for **kinks in beta decays & peak searches in semileptonic meson decays** (pion & kaon decays)



Cortesy of J. Hernandez-Garcia

The New Physics Scale

• Colliders



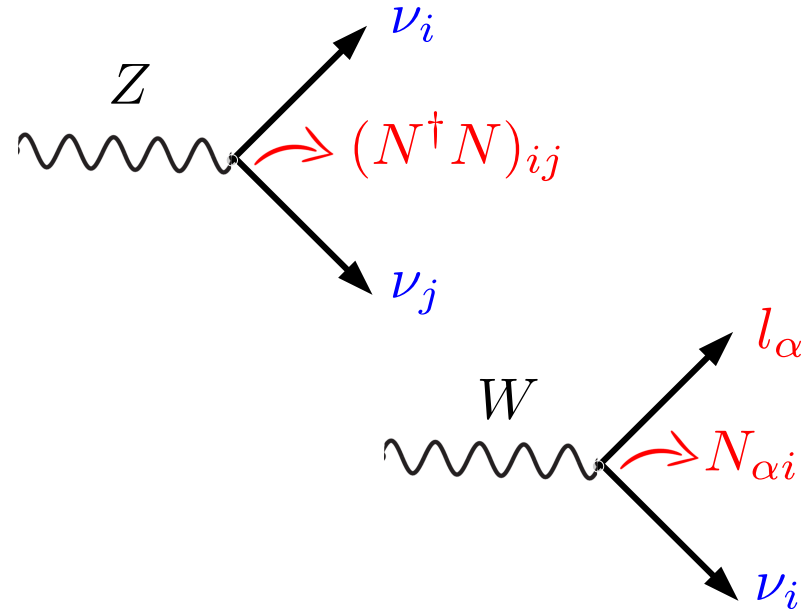
The New Physics Scale

- **CLFV and EW precision data**

$$N = (1 - \eta) U_{PMNS}$$

$$\eta = \frac{1}{2} \Theta^\dagger \boxed{\Theta}$$

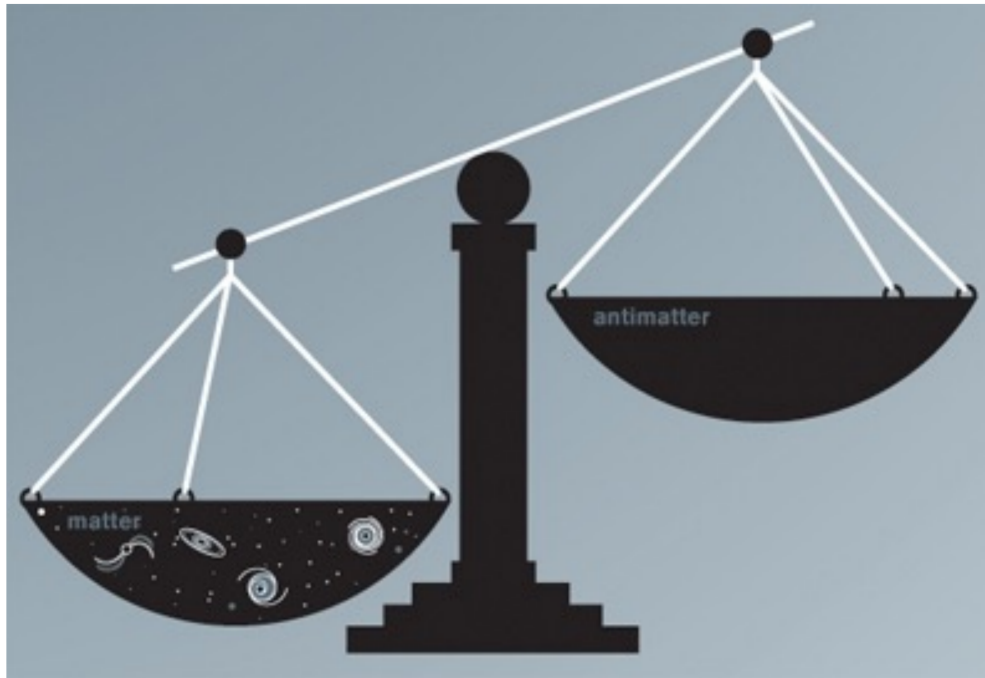
HNL
mixing



- *Many EW and CLFV processes affected:* determination of G_F via muon decay, W boson mass, weak mixing angle, ratios of Z fermionic decays, invisible width of the Z, ratios of weak decays constraining EW universality, weak decays constraining CKM unitarity, CLFV decays...

Baryon asymmetry

- After the Big-Bang same amount of matter and antimatter generated, but observed universe mainly made out of matter only!



$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10}$$

Sakharov conditions

1

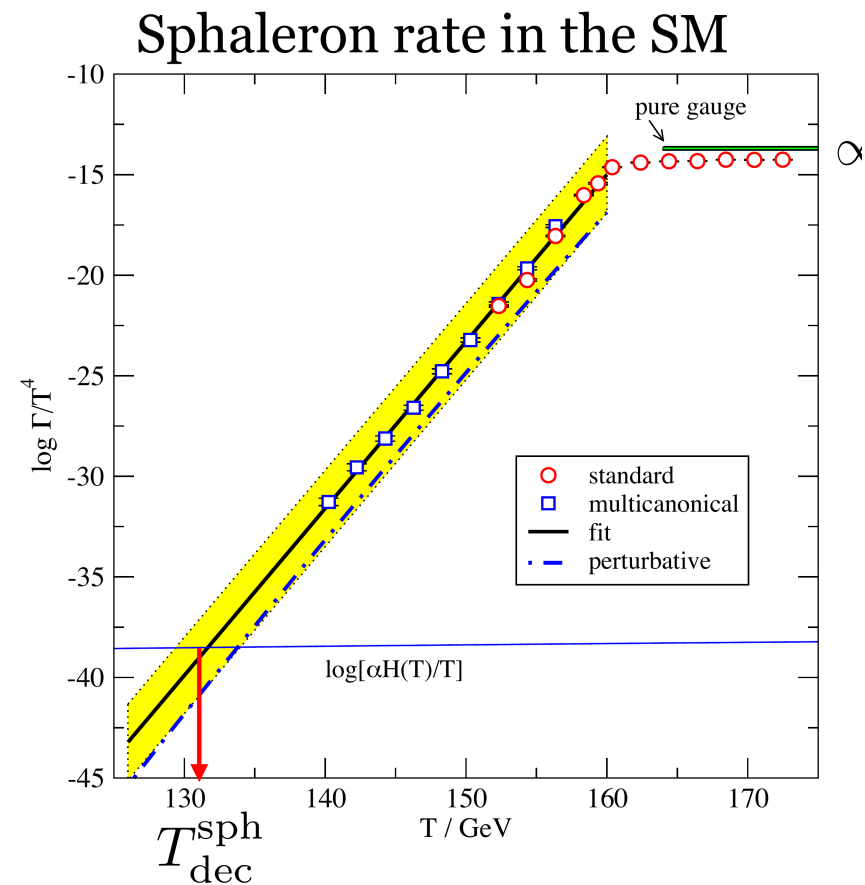
Baryon number violation

If baryon number is conserved, no baryon asymmetry can be generated



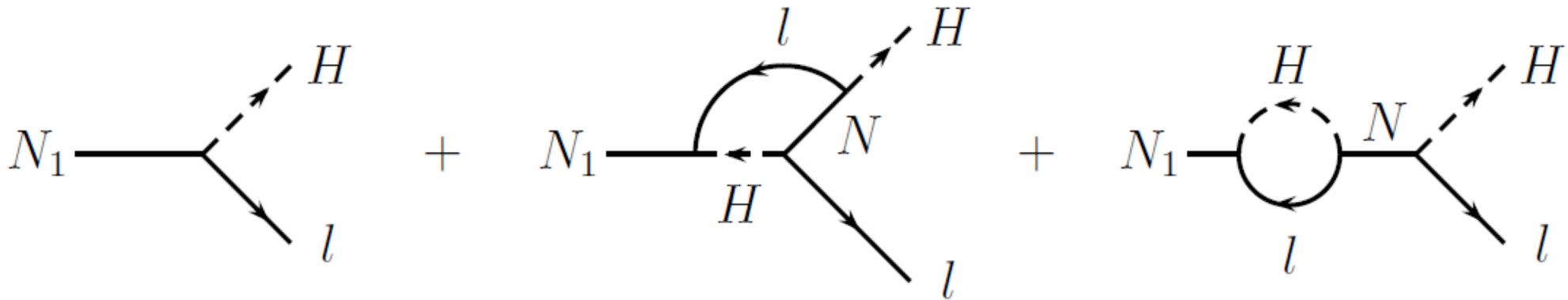
- Symmetry is broken by quantum effects: anomaly
- Only B-L is conserved!

Sphalerons
@ $T < 140 \text{ GeV}$



Leptogenesis: Sakharov conditions

② C and CP violation



At one loop: CP asymmetry generated via interference effects

$$\epsilon = \frac{\Gamma(N \rightarrow lH) - \Gamma(N \rightarrow l^c H^c)}{\Gamma(N \rightarrow lH) + \Gamma(N \rightarrow l^c H^c)} \propto \text{Im}(Y^\dagger Y)_{ij}^2$$