

Axion-less (partial) solution to strong CP problem

—— Presentation for the 5th AEI Workshop

2408.12406, 2505.05142 Q.Liang, R.Okabe, T.T.Yanagida



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Content

- Introduction to strong CP problem and solutions
- Non-invertible symmetry in quark mass matrix
- Discussion

Introduction to strong CP and axion

- Strong CP problem in SM

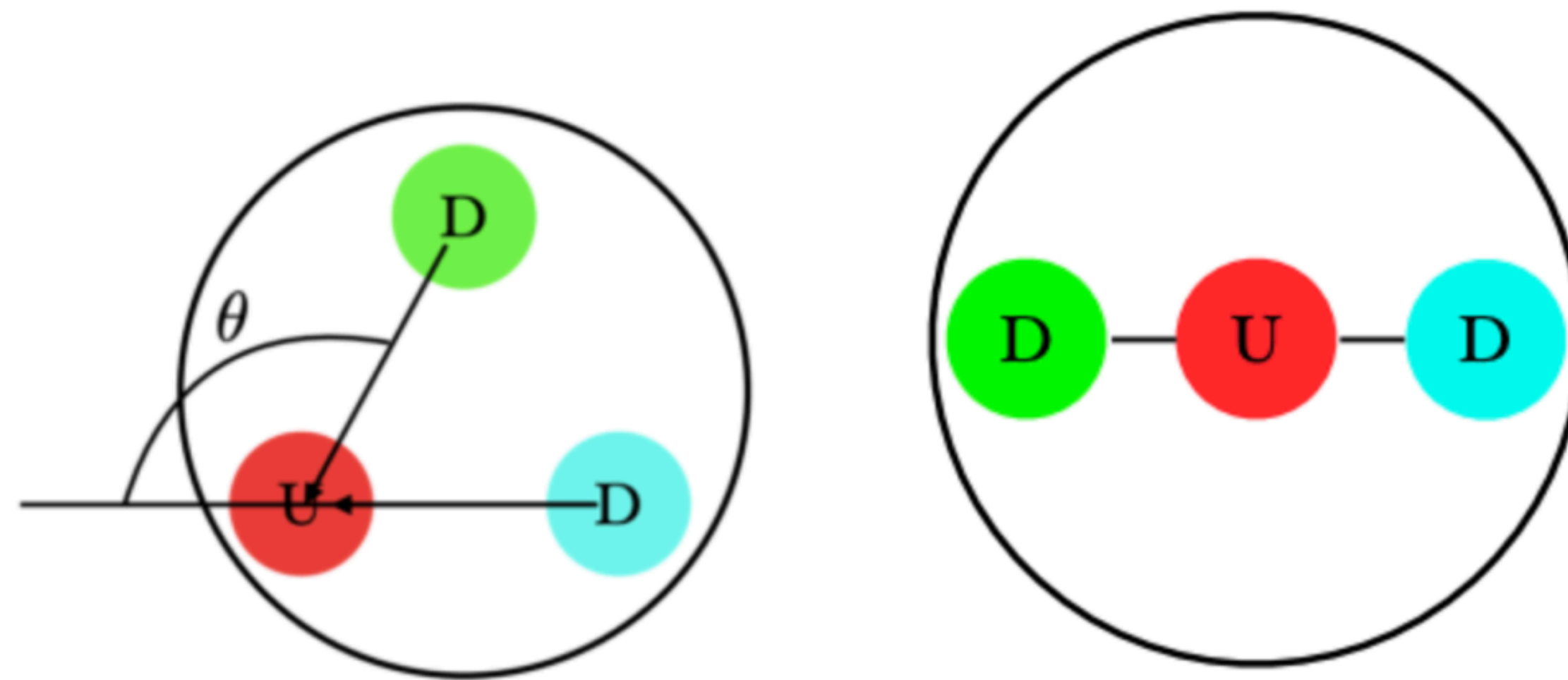
$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \theta\frac{g^2}{32\pi^2}F_{\mu\nu}\tilde{F}^{\mu\nu} + \bar{\psi}(i\gamma^\mu D_\mu - me^{i\theta'\gamma_5})\psi.$$

- The theory is CP invariant only when $\theta = -\theta'$.
- Physical CP violating angle: $\bar{\theta} = \theta_0 + \text{Arg}[\det(M_d)\det(M_u)]$ M_u and M_d are up/down quark mass matrix.
- Neutron electric dipole moment is proportional to physical CP violating angle $d_N = (5.2 \times 10^{-16} \text{e} \cdot \text{cm})\bar{\theta}$.
- Experiments put a tight constraint on $\bar{\theta} < 10^{-10}$

Axion solution

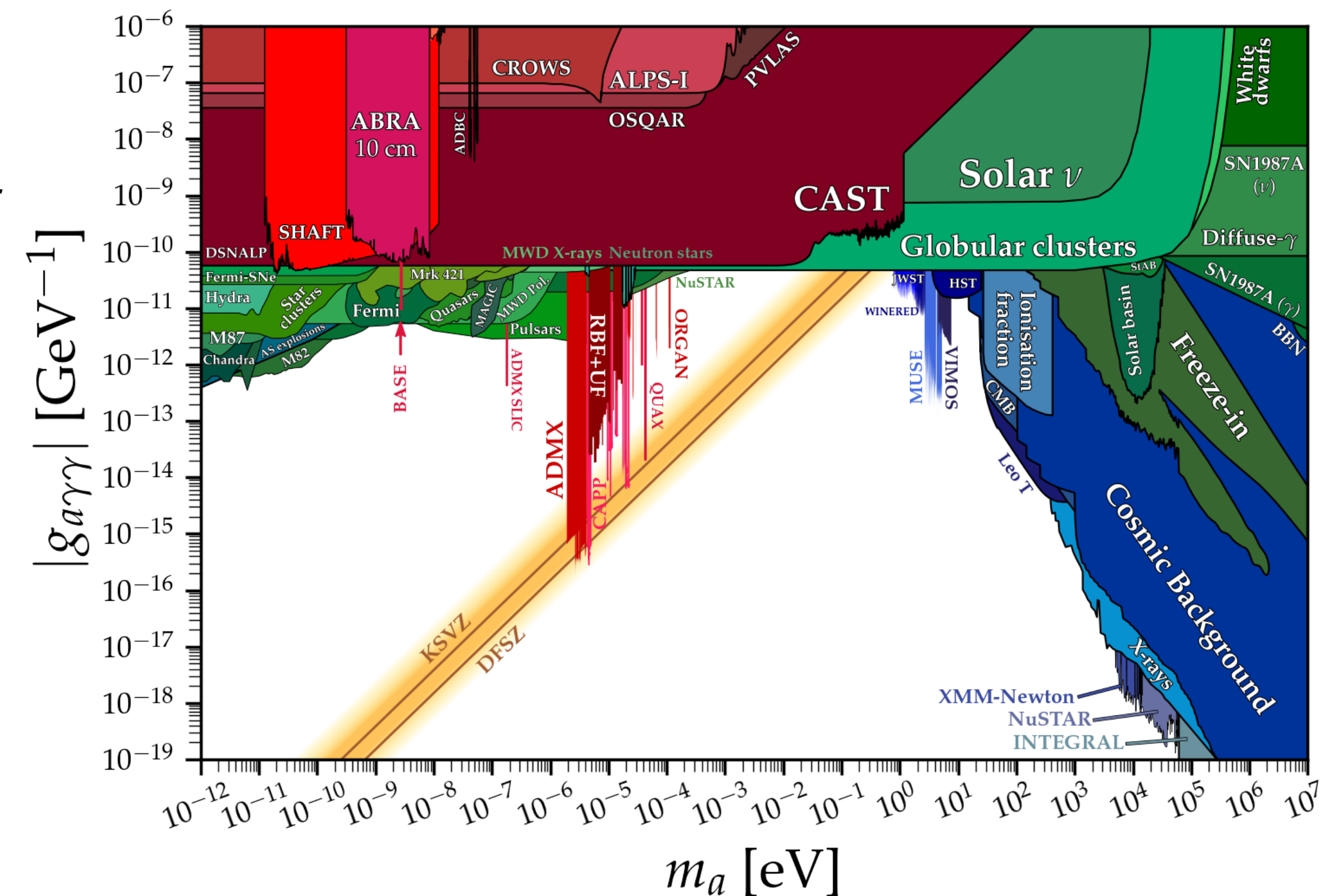
- QCD axion: prompt the theta angle to a field whose vacuum expectation value is zero. The effective potential in Peccei-Quinn theory is $V_{\text{eff}} \sim \cos \left(\theta + \xi \frac{\langle a \rangle}{f_a} \right)$

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Introduction to strong CP and axion

- QCD axion: prompt the theta angle to a field whose vacuum expectation value is zero. The effective potential in Peccei-Quinn theory is $V_{\text{eff}} \sim \cos \left(\theta + \xi \frac{\langle a \rangle}{f_a} \right)$
- QCD axion is also a popular dark matter candidate through misalignment. Constraints through their anomalous coupling to electromagnetic :
- Can arise from string theory and other UV completion. [hep-th/0605206](https://arxiv.org/abs/hep-th/0605206).



Axion

- However, axion has not been identified by experiments.
- Moreover, it has been claimed that axion generally has the quality problem. (Quantum correction will generally lead to a non-zero vev)
- 1. From Effective field theory point of view, without symmetry, axion should not have the desired coupling: $\mathcal{L} \supset \left(\frac{a}{f_a} + \theta \right) \frac{1}{32\pi^2} G\tilde{G}$.
- 2. Quantum gravity should break all symmetries that are not gauged. Gravitational effects will induce additional mass terms that are not centered at zero.
- This also seems incompatible with cosmology:

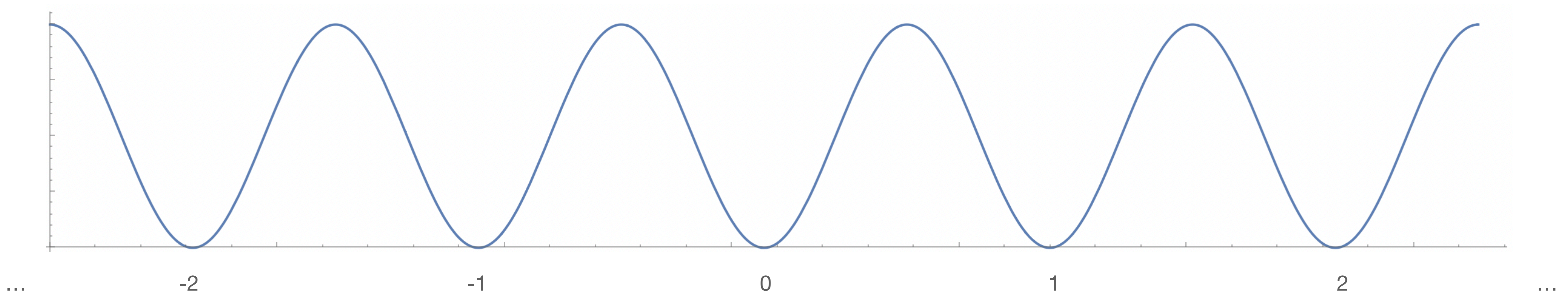
2301.00549 [hep-th]
2312.07650 [hep-ph].
Phys. Rev. D 32 (1985) 3178.

Axion-less solutions to strong CP problem

- Massless quark: chiral rotation to eliminate θ angle, but ruled out by experiments.
- Parity-based solutions: parity requires θ to vanish, and quark mass matrix are hermitian to have real determinant. [arXiv:hep-ph/9511376](https://arxiv.org/abs/hep-ph/9511376)
- Nelson-Barr: CP symmetry is fundamentally exact and breaks at low energy to give rise to CP-violation phase in CKM matrix. A. E. Nelson, Phys. Lett. B 143, 165 (1984)
[10.1103/PhysRevLett.53.329](https://doi.org/10.1103/PhysRevLett.53.329)

Axion-less solutions to strong CP problem

- Quantum corrections are hard to control.
- Symmetry at the Lagrangian level does not lead to symmetry at the state level.



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Nelson-Barr solutions to strong CP problem

- Turn back to the original question about the strong CP.

$$\bar{\theta} = \theta_0 + \text{Arg}[\det(M_d)\det(M_u)] \qquad \bar{\theta} < 10^{-10}$$

- Spontaneous CP violation as an alternative: $\theta_0 = 0$
- For this type of solutions, one need to have real mass matrix determinant: $\text{Arg}[\det(M_d)\det(M_u)] = 0$
- This is not easy to construct since quark mass matrix contains complex phases, and harder to protect them from loop correction.

Nelson-Barr solutions to strong CP problem

- The 4-th quark pair A. E. Nelson, Phys. Lett. B 143, 165 (1984)
10.1103/PhysRevLett.53.329
- Extra Higgs L. Hall, C. A. Manzari, and B. Noether, arXiv:2407.14585 [hep-ph].
- Modular invariance F. Feruglio, M. Parriciatu, A. Strumia, and A. Titov, arXiv:2406.01689 [hep-ph].
S. T. Petcov and M. Tanimoto, arXiv:2404.00858 [hep-ph].

Three-zero texture of quark mass matrix

- It has been proposed that 7 three-zero textures of quark mass matrix can fit data well. One such example of the down quark mass matrix is

$$M_d = \begin{pmatrix} 0 & a & 0 \\ a' & be^{-i\phi} & c \\ 0 & c' & d \end{pmatrix}$$

a [MeV]	a' [MeV]	b [MeV]	c [MeV]	c' [GeV]	d [GeV]	ϕ [°]
16 - 17.5	10 - 15	92 - 104	78 - 95	1.65 - 2.0	2.0 - 2.3	37 - 48

- M. Tanimoto and T. T. Yanagida, “Occam’s Razor in Quark Mass Matrices,” PTEP 2016 no. 4, (2016) 043B03, arXiv:1601.04459 [hep-ph].

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- More interestingly, the determinant of this matrix is real even it contains complex elements!
- This looks like a good way to solve strong CP. But why these elements have to be exact zero? Is there any symmetry protecting them?

Three-zero texture of quark mass matrix for strong CP

- We first want to show that 4D ordinary symmetry does not work

•

$$M_d = \begin{pmatrix} 0 & \boxed{a} & 0 \\ \boxed{a'} & be^{-i\phi} & c \\ 0 & c' & \boxed{d} \end{pmatrix}$$

$10_2 H \bar{5}_1$ Neutral

$10_1 H \bar{5}_2$ Neutral

$10_i = (q_L, \bar{u}_R)_i$
 $\bar{5}_i = (\bar{d}_R)_i$

Three-zero texture of quark mass matrix for strong CP

- We first want to show that 4D ordinary symmetry does not work

- $10_1 H \bar{5}_2$ Neutral

$$M_d = \begin{pmatrix} 0 & a & 0 \\ a' & be^{-i\phi} & c \\ 0 & c' & d \end{pmatrix}$$

$10_2 H \bar{5}_1$ Neutral
 $10_2 H \bar{5}_2 \eta_{1,2}$

M_{d11} should have opposite charge of M_{d22} , no reason to be zero!

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Three-zero texture of quark mass matrix for strong CP

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$$M_d = \begin{pmatrix} 0 & a & 0 \\ a' & be^{-i\phi} & c \\ 0 & c' & d \end{pmatrix}$$

$10_1 H \bar{5}_2$ Neutral (points to a)
 $10_2 H \bar{5}_1$ Neutral (points to a')
 $10_2 H \bar{5}_2 \eta_{1,2}$ (points to c')

$M_d 11$ should have opposite charge of $M_d 22$, no reason to be zero!

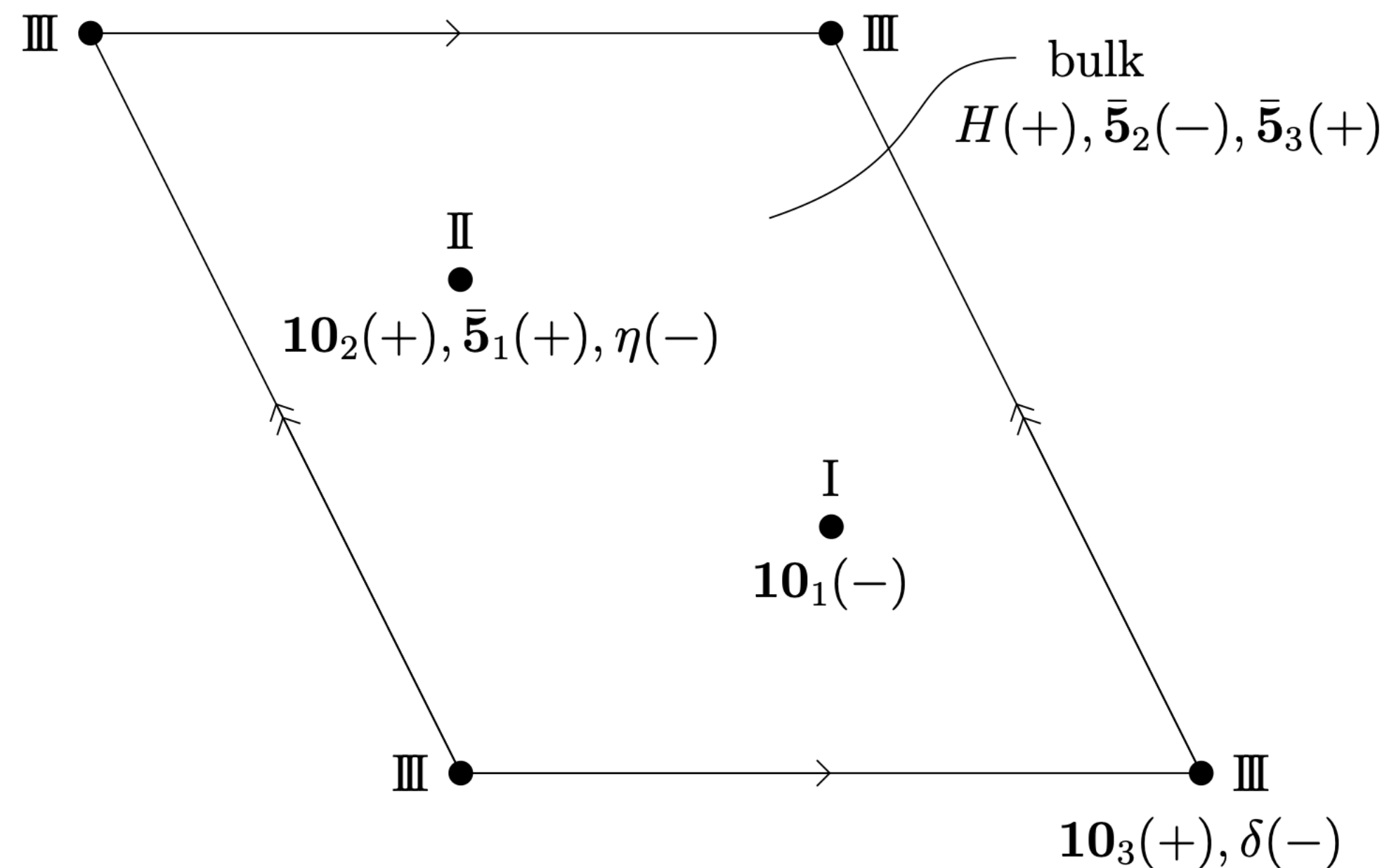
$10_i = (q_L, \bar{u}_R)_i$
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- Maybe generalized symmetry? Maybe extra dimension?

Three-zero texture of quark mass matrix for strong CP

QL, R. Okabe, T.T.Tsutomu 2408.12406

- We go to higher dimension $\mathbf{T}^2/\mathbb{Z}_3$ orbifold with fixed points.



	$\mathbf{10}_1$	$\mathbf{10}_2$	$\mathbf{10}_3$	$\bar{\mathbf{5}}_1$	$\bar{\mathbf{5}}_2$	$\bar{\mathbf{5}}_3$	H	η	δ
\mathbb{Z}_2	-	+	+	+	-	+	+	-	-

TABLE II. \mathbb{Z}_2 charge for each particle.

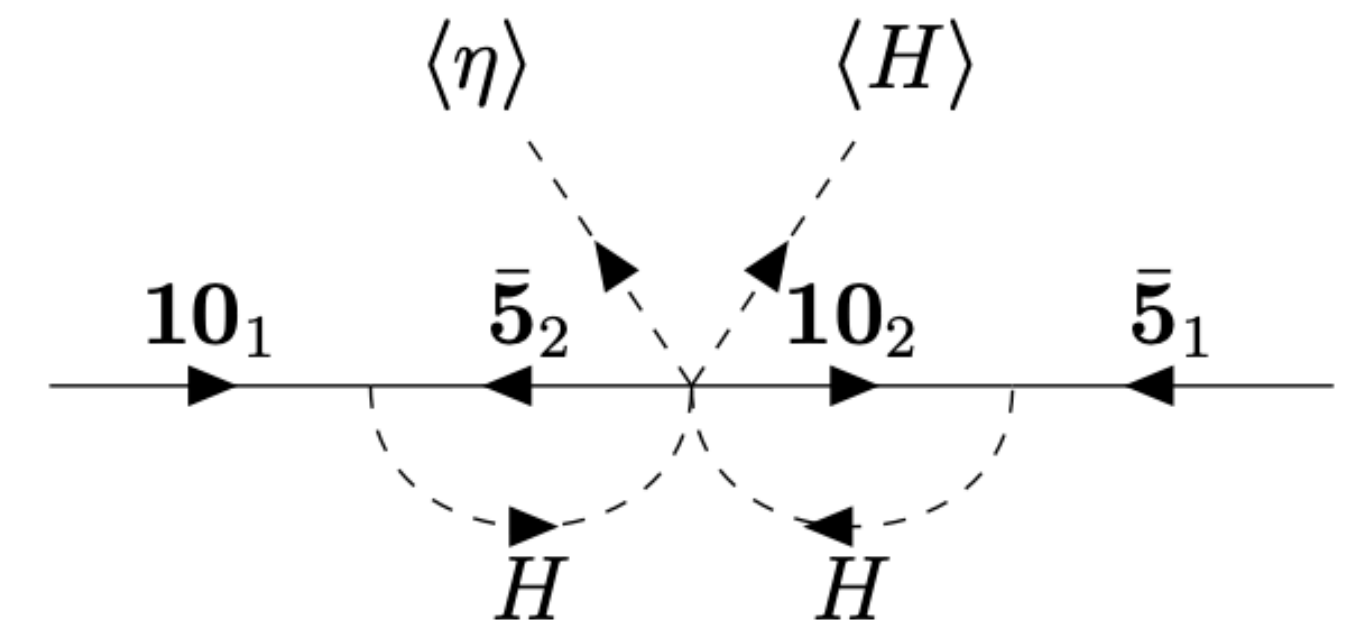
Complex phase are realized through eta condensation.

Three-zero texture of quark mass matrix for strong CP

QL, R. Okabe, T.T.Tsutomu 2408.12406_s

- Loop corrections are manageable. $\bar{\theta} = \text{Arg det } M_d \sim \frac{\text{Im}[\Delta_{11}(be^{-i\phi}d - cc')]}{aa'd} \sim g \times 10^{-9}$
- Quark mass hierarchy can be approached

$$m_u : m_c : m_t \simeq \epsilon^2 : \epsilon : 1 \text{ with the } \epsilon \simeq 1/300$$



through the ratio of the Higgs wave function

at the fixed points to satisfy $|\Psi(H)|_{\text{I}} : |\Psi(H)|_{\text{II}} : |\Psi(H)|_{\text{III}} \simeq \epsilon^2 : \epsilon : 1$.



Exotic symmetry in 4D to realize the three-zero texture?

QL, T.T.Tsutomu, 2505.05142

Introduction to generalized symmetries

- The concept of symmetry has been generalized in the following ways:
- Higher form symmetry — the charged objects are not local fields but extended objects
- Non-invertible symmetry — the operation is not described by group structure anymore
- Subsystem symmetry — symmetries that are not enjoyed by the whole system, fractonic symmetry...
- See reviews in 2306.00912, [2504.05960](#), etc..

Introduction to generalized symmetries

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Introduction to generalized symmetries

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Non-invertible symmetries

- Symmetries that are not describe by the group structure, usually with category theories (See lecture notes in SH Shao , S.Schafer-Namek). Non-invertible Peccei-Quinn symmetry to solve strong CP problem has been discussed in C.Córdova, S.Hong,c S. Koren [2402.12453](#).
- The one that I will talk about is a zero-form non-invertible symmetry, obtained through gauging Z_2 from Z_n , that has been discussed in T Kobayashi, Y Nishioka, H Otsuka, and M Tanimoto [_2409.05270](#), [_2305.18296](#), M Suzuki and L-X Xu [_2503.19964](#)
- I will focus on the selection rule implied by this specific non-invertible symmetry, there exists other non-invertible symmetry from higher group structure Y
Tachikawa [_1712.09542](#) , J. Kaidi, Y. Tachikawa, H. Y. Zhang [2402.00105](#), J.J.Heckman, etal, [2402.00118](#)

Non-invertible symmetries

- Add comparison between group \mathbb{Z}_M and non-invertible $\tilde{\mathbb{Z}}_M$

$$\mathbb{Z}_M$$

$$\tilde{\mathbb{Z}}_M$$

Generator

$$g^k, \quad k = 0, 1, \dots, M-1 \text{ mod } M$$

$$[g^k] = \{h g^k h^{-1} | h \in \mathbb{Z}_2\}, \quad k = 0, 1, \dots, \left\lfloor \frac{M}{2} \right\rfloor$$

Gauging operation identify charge k with $-k$!

Operation

$$g^{k_1} g^{k_2} \dots g^{k_n} = g^{k_1 + k_2 + \dots + k_n}$$

$$[g^{k_1}][g^{k_2}] \dots [g^{k_n}] = [g^{k_1 + k_2 + \dots + k_n}] + [g^{M - k_1 + k_2 + \dots + k_n}] \\ + [g^{k_1 + M - k_2 + \dots + k_n}] + \dots + [g^{k_1 + k_2 + \dots + M - k_n}]$$

Selection rule

$$\sum k_i = 0$$

$$\sum \pm k_i = 0$$

Fusion rule!

Non-invertible symmetries

QL, T. Yanagida :[2505.05142](#)

- Talk about $M=3$

	\mathbb{Z}_3				$\widetilde{\mathbb{Z}}_3$		
Generator	g^0, g^1, g^2				$[g^0], [g^1]$		
3 point coupling	(000)	(111)	(222)	(012)	([0][0][0])	([1][1][1])	([0][1][1])

- Winberg mass matrix

$$\bar{q}_L H d_R : \begin{pmatrix} 0 & \delta \\ \delta & M \end{pmatrix}$$

Non-invertible symmetries

QL, T. Yanagida :[2505.05142](#)

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- Winberg mass matrix

$$\bar{q}_L H d_R : \begin{pmatrix} 0 & \delta \\ \delta & M \end{pmatrix}$$

Ordinary symmetry $\begin{pmatrix} a + a' & a + b' \\ a' + b & b + b' \end{pmatrix}$

Accidental zero!

Non-invertible symmetries

QL, T. Yanagida :[2505.05142](#)

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- Winberg mass matrix

$$\bar{q}_L H d_R : \begin{pmatrix} 0 & \delta \\ \delta & M \end{pmatrix}$$

$\widetilde{\mathbb{Z}}_3$
 $[\bar{q}_L] \quad ([g^0], [g^1])$

$\begin{pmatrix} 0 & \checkmark \\ \checkmark & \checkmark \end{pmatrix} \begin{pmatrix} [d_R] \\ [g^0] \\ [g^1] \end{pmatrix}$
 $H = [g^1]$

Non-invertible symmetry for three-zero texture of quark mass matrix

QL, T. Yanagida :[2505.05142](#)

- We consider the non-invertible symmetry $\tilde{\mathbb{Z}}_5 \times \tilde{\mathbb{Z}}_3 \times \tilde{\mathbb{Z}}_3$

$$\begin{array}{ccc}
 \bar{u}_L H_1 u_R & & \bar{u}_L H_2 u_R \\
 \\
 [\bar{q}_L] : (010, 111, 210) & \begin{pmatrix} \checkmark & 0 & 0 \\ 0 & \checkmark & \checkmark \\ \checkmark & 0 & \checkmark \end{pmatrix} & \begin{matrix} [u_R] \\ \begin{pmatrix} 101 \\ 000 \\ 201 \end{pmatrix} \end{matrix} \\
 [H_1] = 111 & & \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\
 & & [H_2] = 000
 \end{array}$$

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QL, T. Yanagida :[2505.05142](#)

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$$\begin{array}{ccc}
 \bar{d}_L H_1^\dagger d_R & & \bar{d}_L H_2^\dagger d_R \\
 [d_R] & & \\
 [\bar{q}_L] : (010, 111, 210) & \begin{pmatrix} 0 & \checkmark & 0 \\ \checkmark & 0 & \checkmark \\ 0 & \checkmark & \checkmark \end{pmatrix} \begin{pmatrix} 001 \\ 111 \\ 201 \end{pmatrix} & \begin{pmatrix} 0 & 0 & 0 \\ 0 & \star & 0 \\ 0 & 0 & 0 \end{pmatrix} \\
 [H_1] = 111 & & [H_2] = 000
 \end{array}$$

- Loop corrections are also manageable!

Non-invertible symmetry for three-zero texture of quark mass matrix

QL, T. Yanagida :[2505.05142](#)

- Discussion:
 - 1) Quantum behavior of non-invertible symmetry ? [2503.19964](#) 2508.14970
 - 2) Origin of this symmetry? Higher dimensional compactification vs defect condensation?
 - 3) Possible prediction by extending to electroweak sector? 2508.12287
 - 4) mass hierarchy?
- We can use the three-zero texture of the quark matrix to solve strong CP problem, non-invertible symmetry can naturally give rise to this three-zero texture in 4D spacetime.

Thanks for your attention!