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# Neutrino Horizons in the 21<sup>st</sup> Century

## *Status of neutrino oscillations*

Thomas Schwetz-Mangold

CERN

# *Outline*

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- Global fit to present oscillation data in the three-neutrino framework  
impact of new data from the last 12 months
- LSND puzzle in the light of MiniBooNE results  
the fate of sterile neutrino oscillation schemes

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# Global data and three-neutrino oscillations

Maltoni, TS, Tortola, Valle, hep-ph/0405172 v6; TS, 0710.5027

# *Neutrino oscillation experiments*

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## natural neutrino sources:

- solar neutrinos  
Homestake, SAGE+GNO, Super-K, SNO, [Borexino](#)
- atmospheric neutrinos  
Super-Kamiokande

## artificial neutrino sources:

- reactor neutrinos  
Chooz (1 km), [KamLAND](#) (180 km)
- long-baseline accelerator experiments  
K2K (250 km), [MINOS](#) (735 km)

# 3-flavour oscillation parameters

$$U = \begin{matrix} \Delta m_{31}^2 \\ \left( \begin{array}{ccc} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{array} \right) \end{matrix} \begin{matrix} \left( \begin{array}{ccc} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{array} \right) \end{matrix} \begin{matrix} \Delta m_{21}^2 \\ \left( \begin{array}{ccc} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{array} \right) \end{matrix}$$

atmospheric+LBL                      Chooz                      solar+KamLAND

3-flavour effects are suppressed because

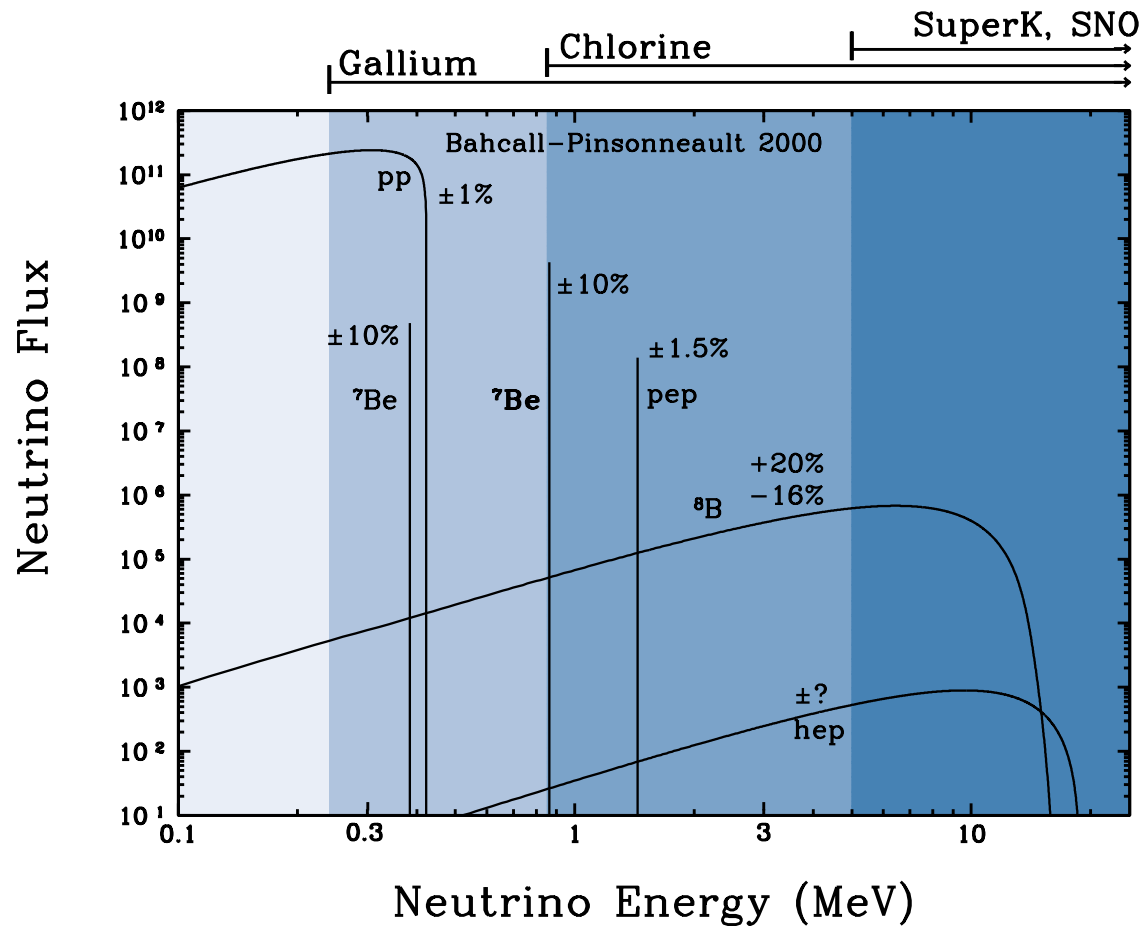
$$\Delta m_{21}^2 \ll |\Delta m_{31}^2| \text{ and } \theta_{13} \ll 1$$

⇒ dominant oscillations are well described by effective two-flavour oscillations

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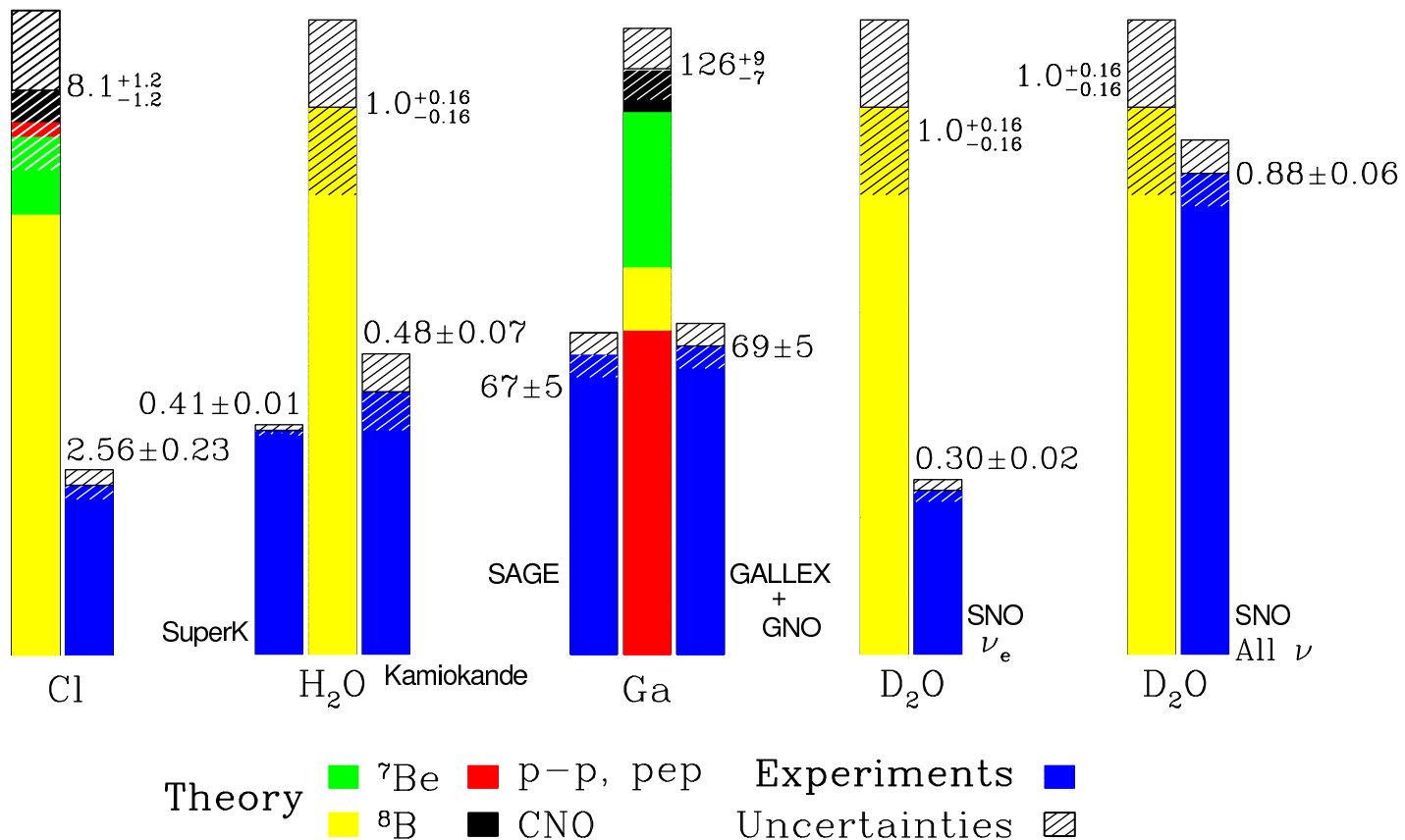
# The “solar” parameters $\Delta m_{21}^2, \theta_{12}$

# The solar neutrino flux



# Solar neutrino experiments

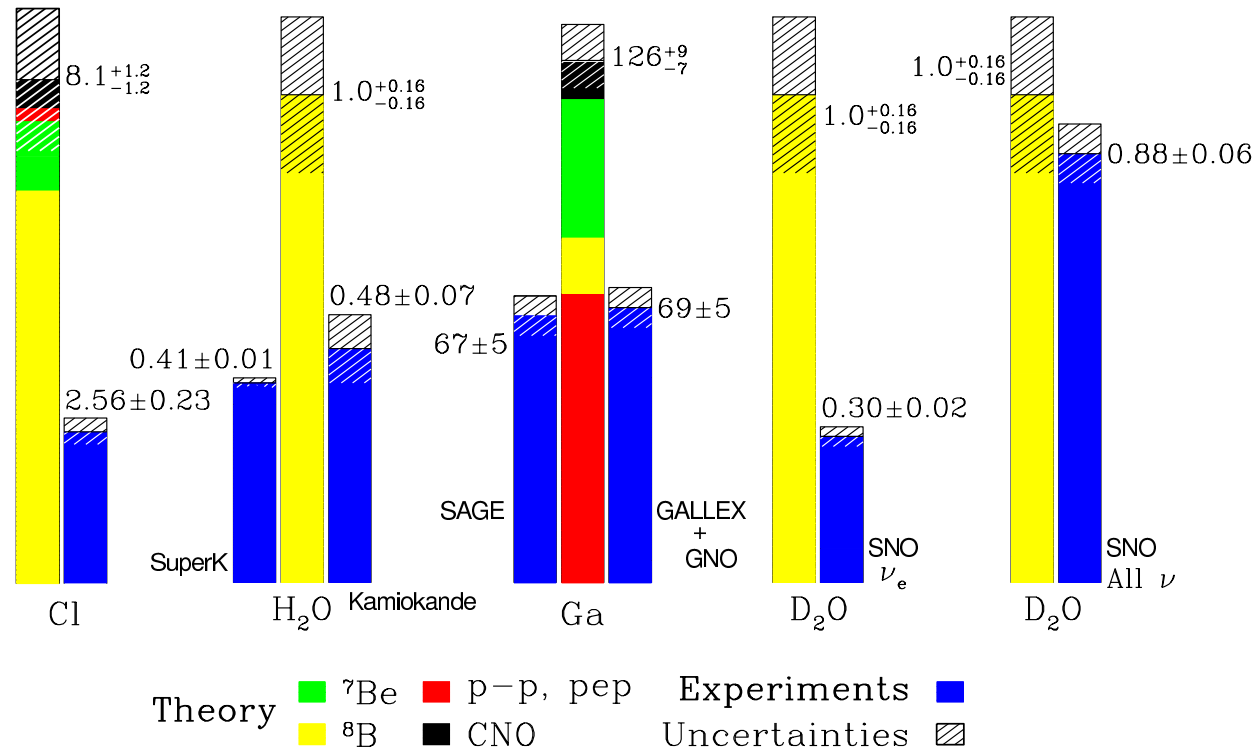
Total Rates: Standard Model vs. Experiment  
Bahcall–Serenelli 2005 [BS05(OP)]



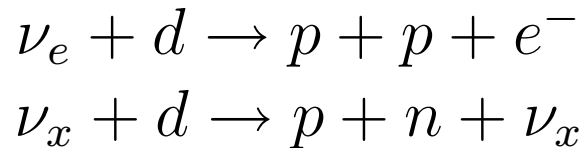


# Solar neutrino experiments

Total Rates: Standard Model vs. Experiment  
Bahcall-Serenelli 2005 [BS05(OP)]



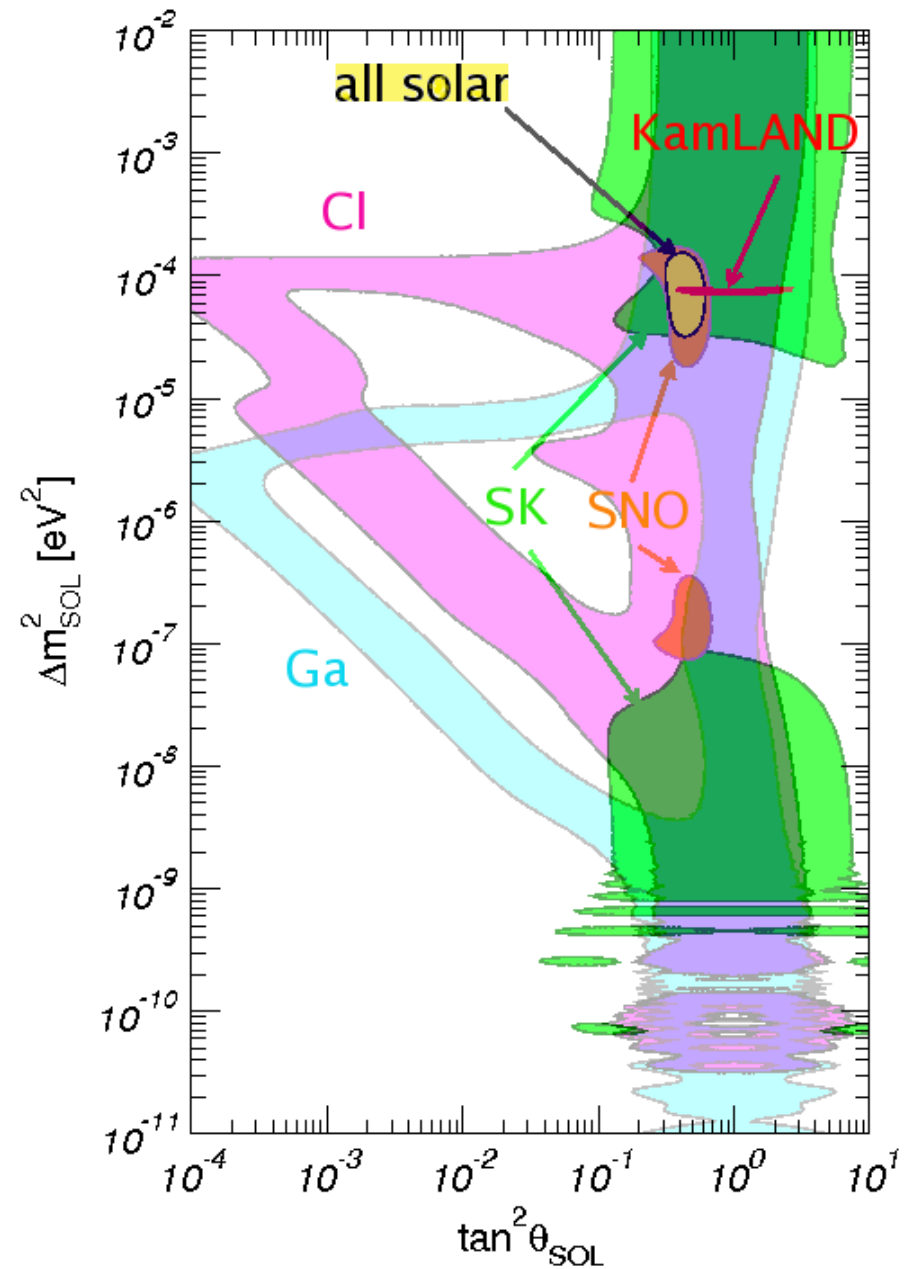
SNO:



$$\frac{\phi_{CC}}{\phi_{NC}} = 0.340 \pm 0.023 \pm 0.030$$

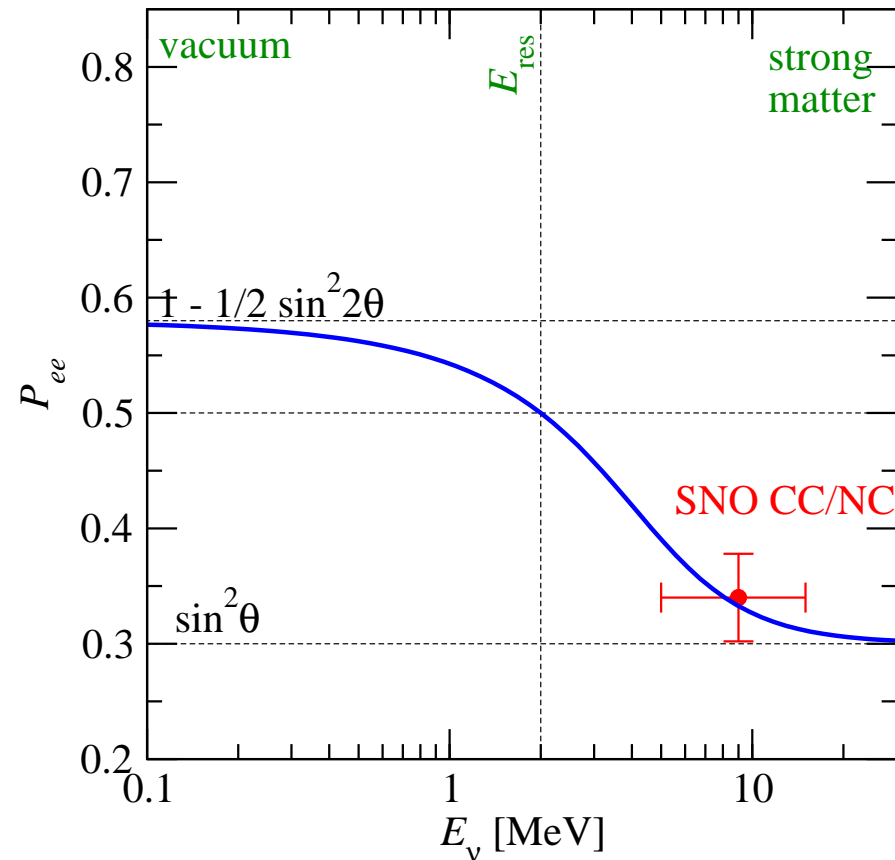
7 $\sigma$  evidence for a non-zero  $\nu_{\mu, \tau}$  flux from the sun

# 'Solar' parameters



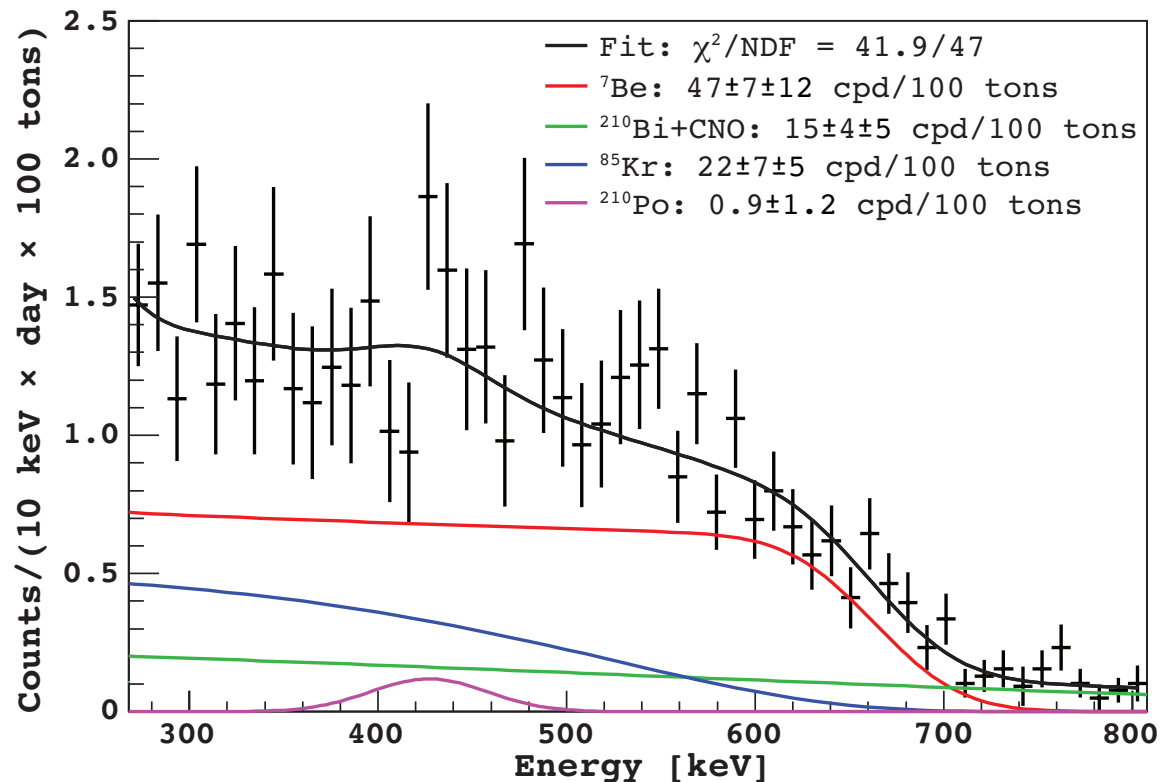
# The LMA-MSW solution

adiabatic evolution of the neutrino state from the center of the sun to the surface



# First data from Borexino

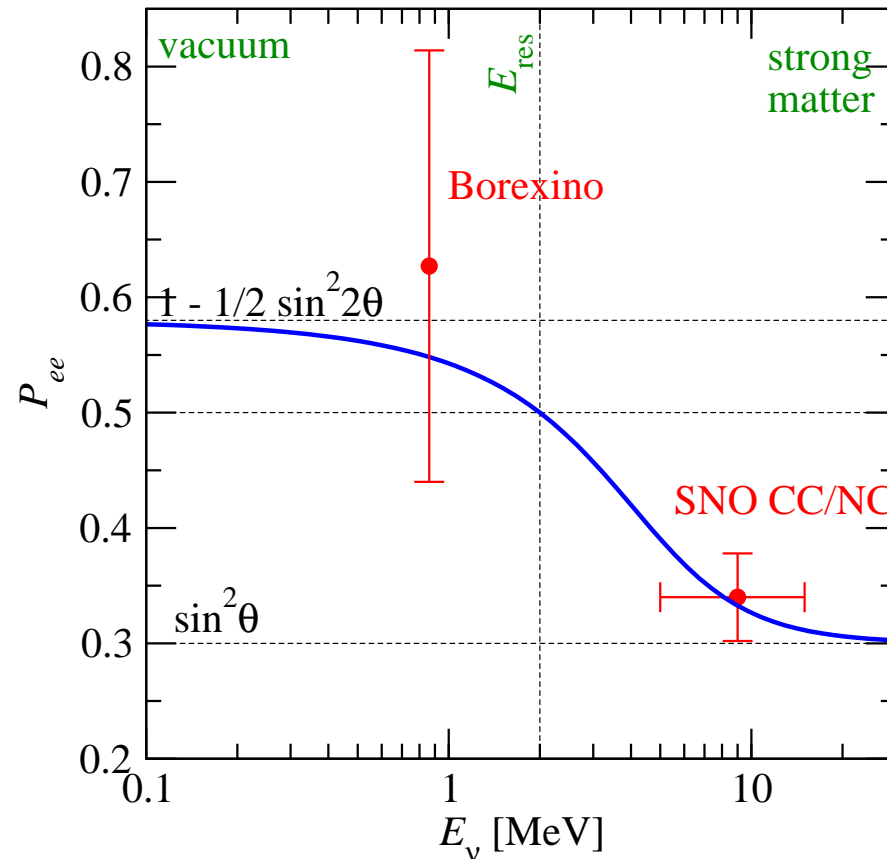
0708.2251 [astro-ph] measurement of the Be7 neutrino line at 0.862 MeV by  $e\nu \rightarrow e\nu$  scattering ( $\Rightarrow$ )  
 $47 \pm 7(\text{stat}) \pm 12(\text{sys}) \text{ ev}/(\text{day} \times 100\text{t})$ , without osc.:  $75 \pm 4$



# First data from Borexino

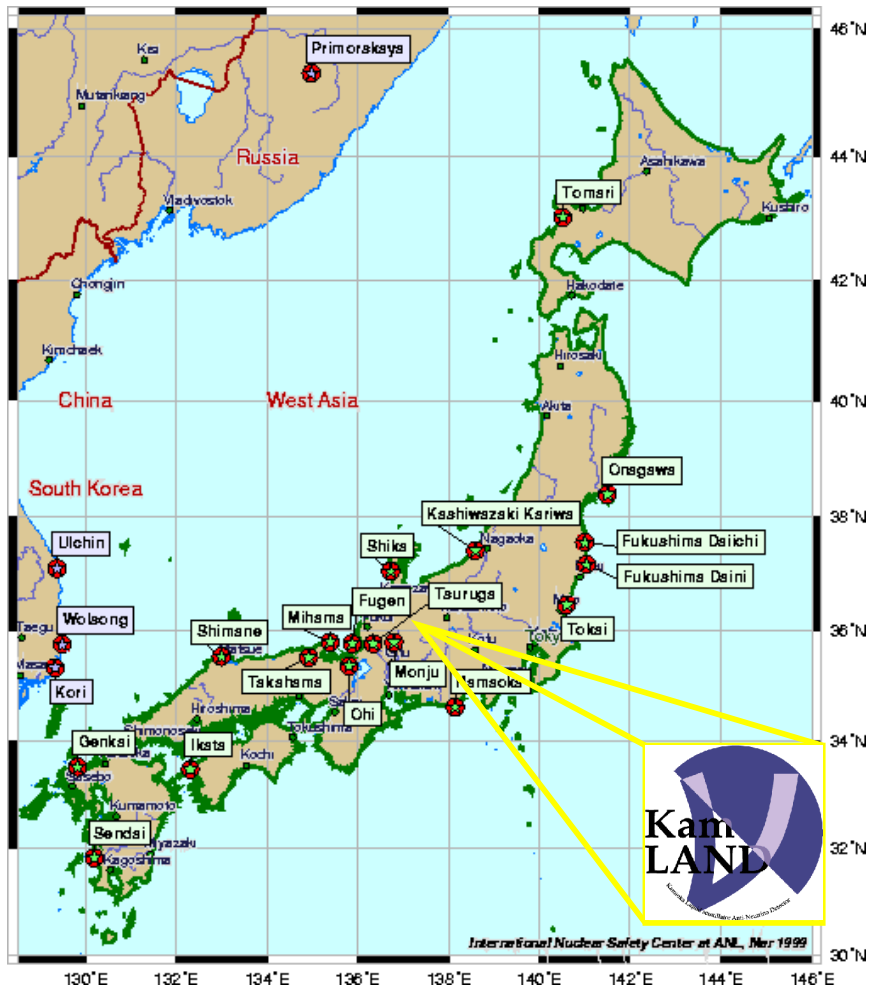
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# The KamLAND reactor neutrino experiment

## Kamioka Liquid scintillator Anti-Neutrino Detector



detection of  $\bar{\nu}_e$  produced in surrounding nuclear power plants

70 GW of nuclear power (7% of world total) is generated at a distance  $175 \pm 30$  km from Kamioka

# *KamLAND update*

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New data released this summer:

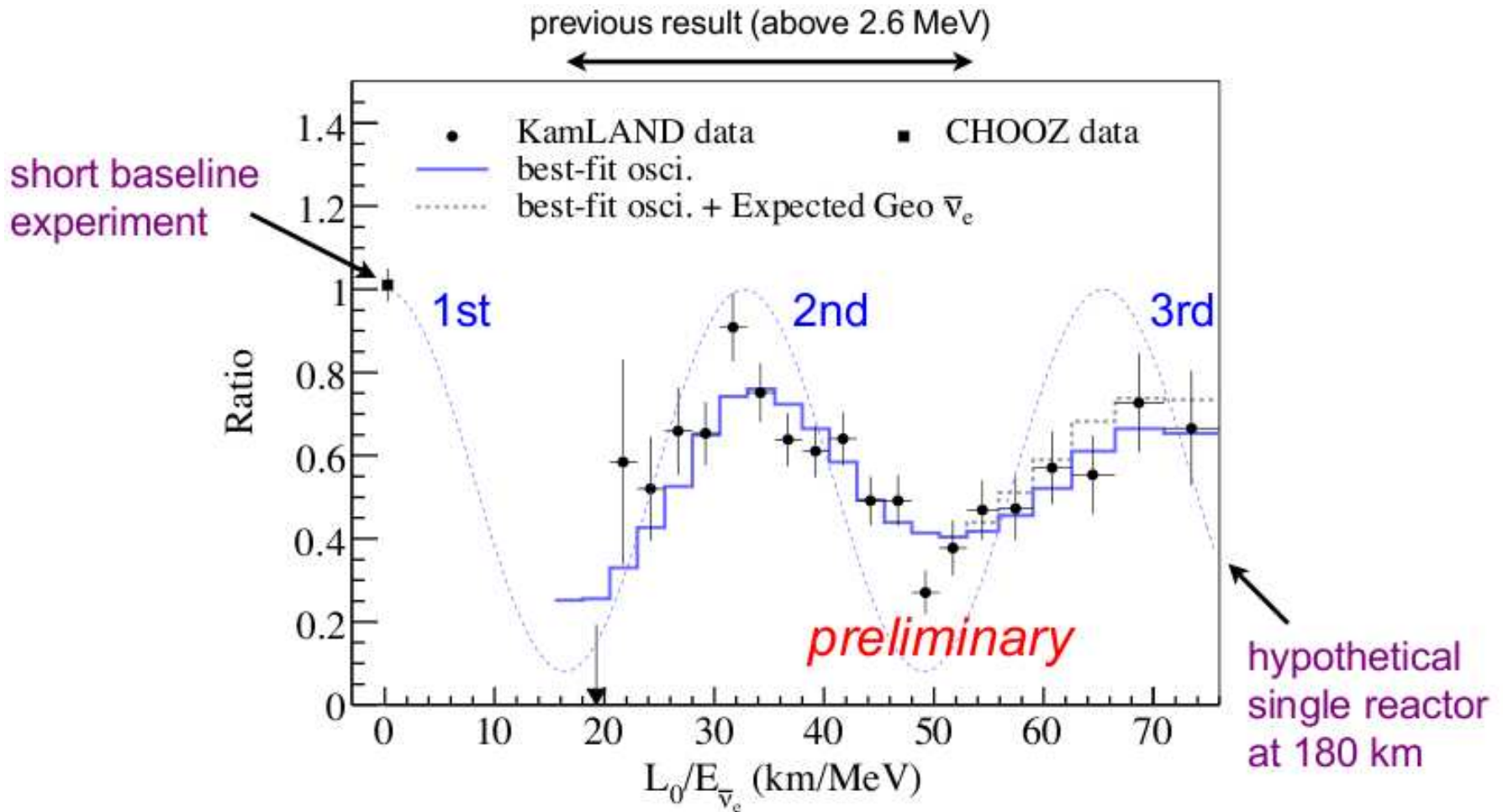
- 2881 ton yr data  
4 times more than previous 2004 data
- reduced syst. error due to full volume calibration  
from 4.7% to 1.8%  
dominating error for  $\Delta m^2$  determination is now the  
uncertainty on the energy scale of 1.5%

observed number of events: 985

expectation without oscillations:

1550 reactor neutrino events + 63 background

# The KamLAND energy spectrum

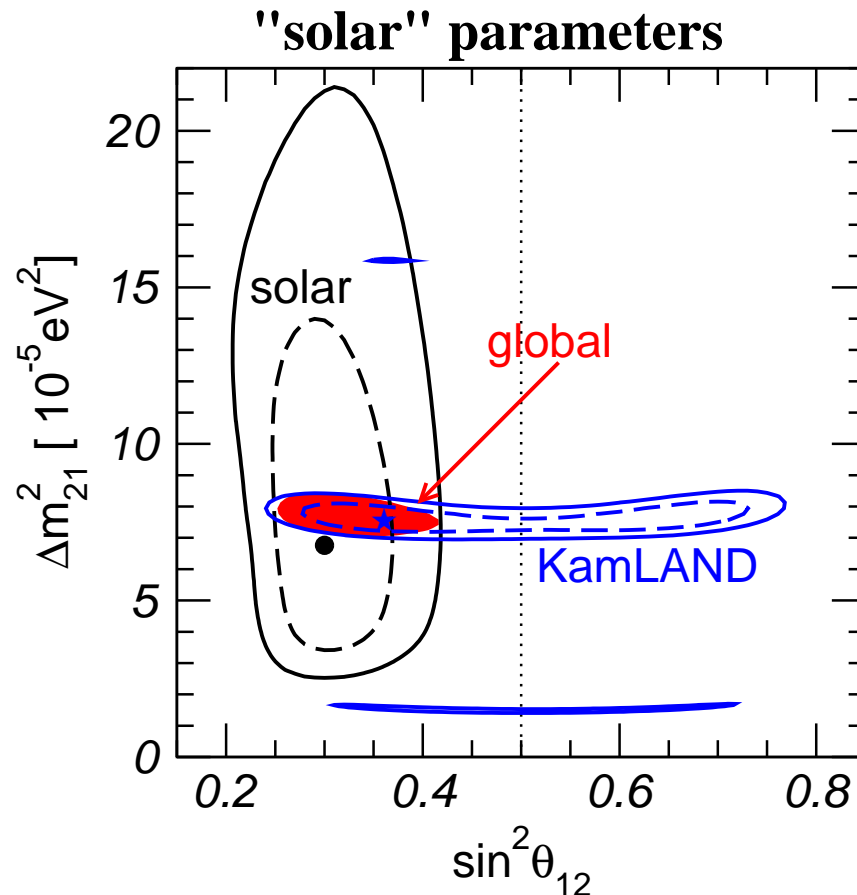


evidence for oscillations in  $1/E_\nu$



# *KamLAND vs solar data*

90% and 99.73% CL contours



$\Delta m_{21}^2$ :  
measured by  
KamLAND

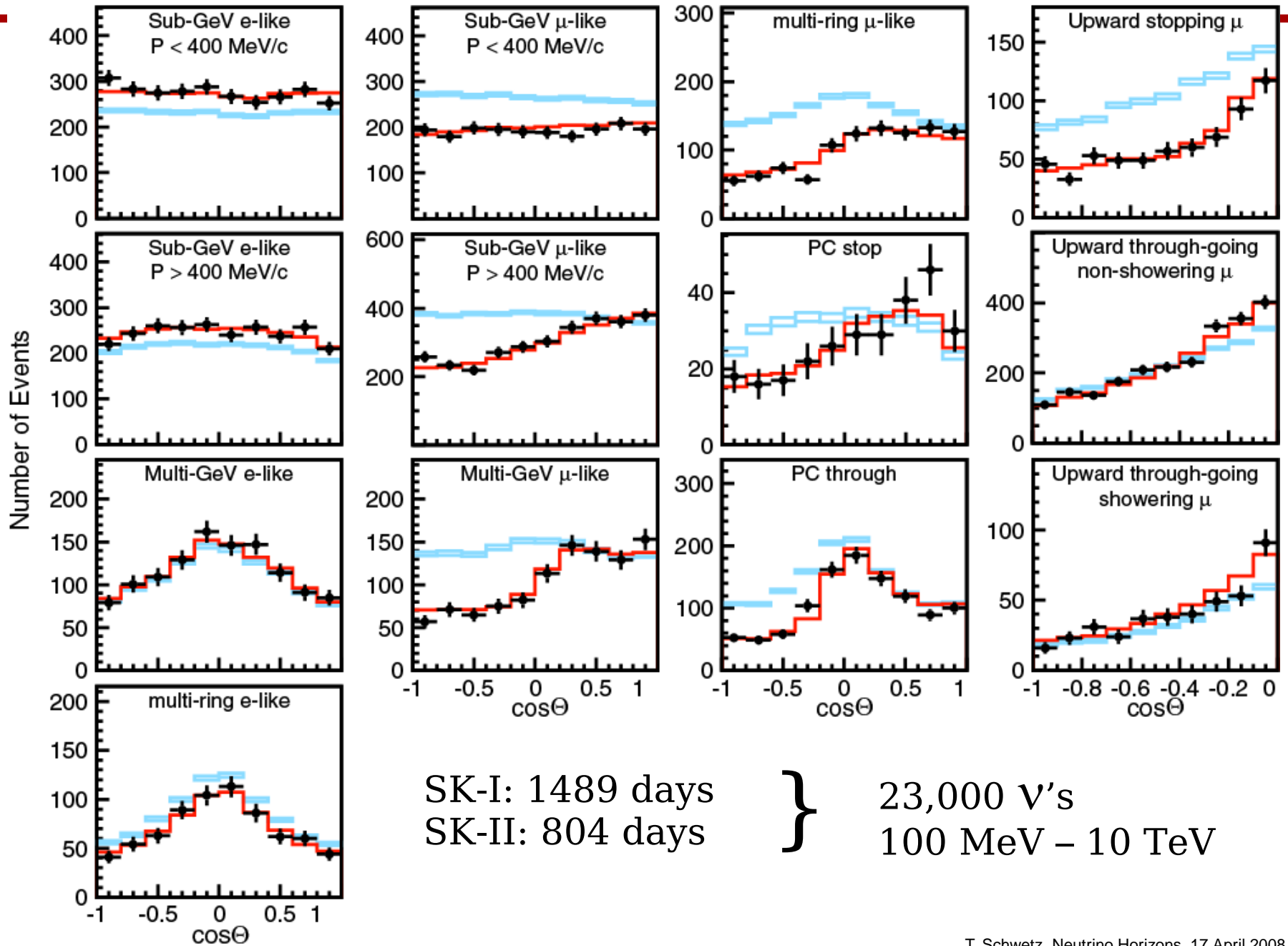
$\sin^2 \theta_{12}$ :  
measured by SNO

$$\Delta m_{21}^2 = 7.6 \pm 0.20 \times 10^{-5} \text{ eV}^2, \quad \sin^2 \theta_{12} = 0.32 \pm 0.023$$

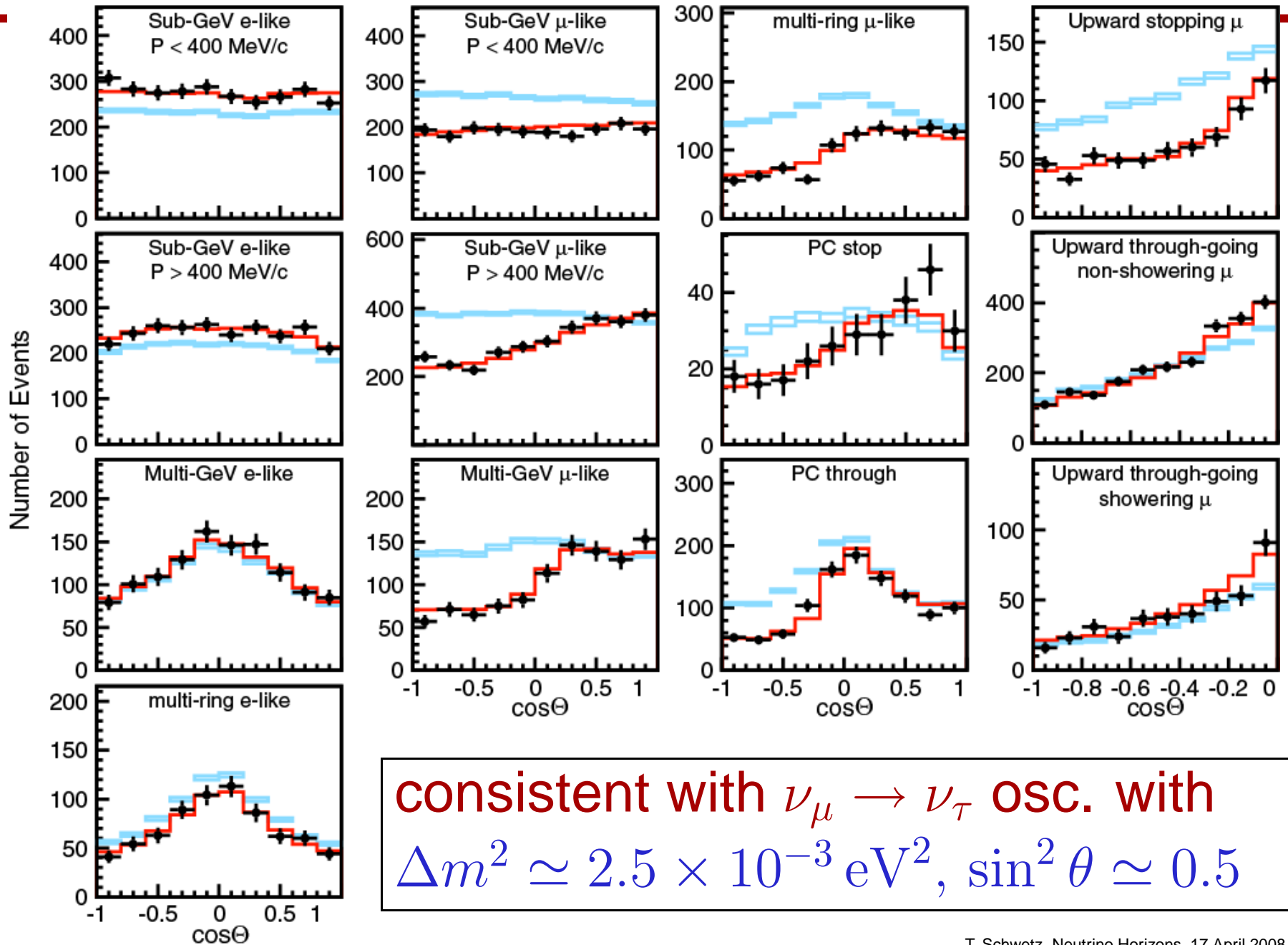
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**The “atmospheric” parameters  $\Delta m_{31}^2, \theta_{23}$**

# Super-K atmospheric neutrino data



# Super-K atmospheric neutrino data



# *Long-baseline experiments*

first generation of LBL experiments  
( $\nu_\mu$ -disappearance)

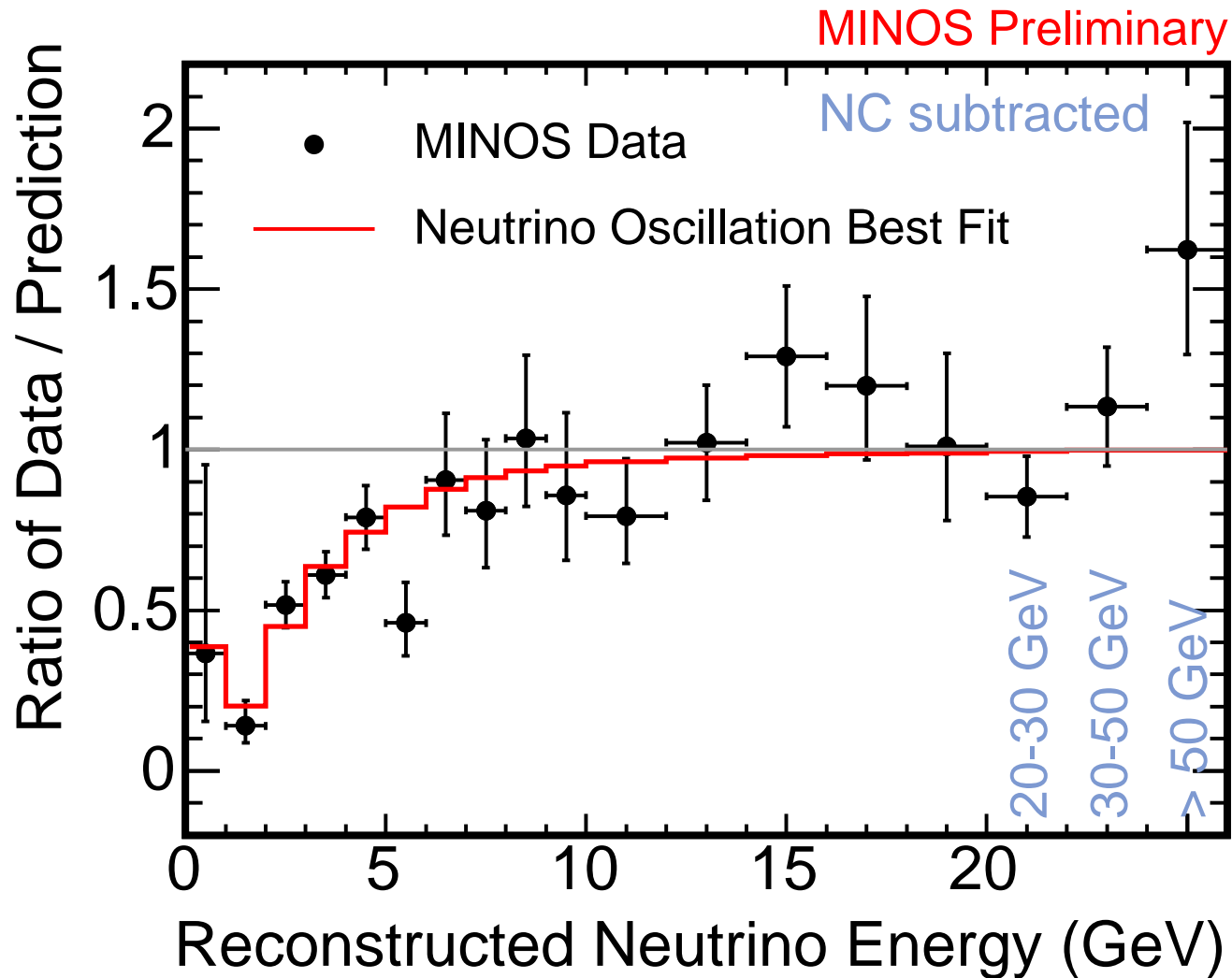


# Long-baseline experiments

first generation of LBL experiments  
( $\nu_\mu$ -disappearance)

	K2K	MINOS
source	KEK	Fermilab
detector	Super-K	Soudan
baseline	250 km	735 km
neutrino energy	1.3 GeV	3 GeV
$E_\nu/L$ [eV <sup>2</sup> ]	$5.2 \times 10^{-3}$	$4.1 \times 10^{-3}$
obs. events	112	563
expect. w/o osc.	$158.1^{+9.2}_{-8.6}$	$738 \pm 30$

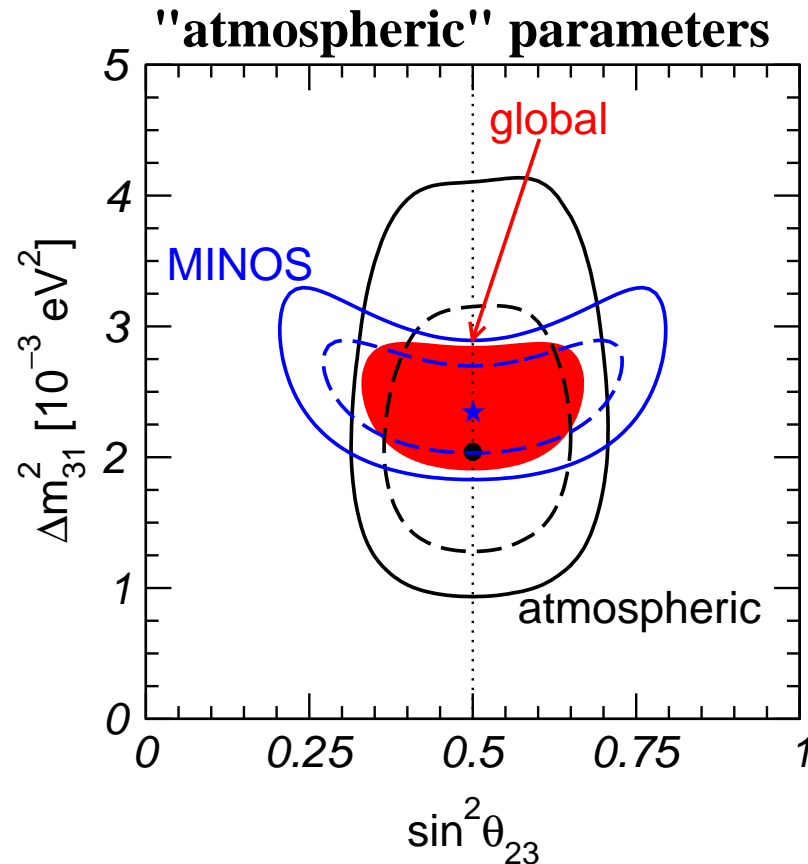
# MINOS energy spectrum



arxiv:0708.1495,  $2.5 \times 10^{20}$  pot

# Super-K + K2K + MINOS

90%, 99.73% CL regions



$$\Delta m_{31}^2 = 2.4 \pm 0.15 \times 10^{-3} \text{ eV}^2, \sin^2 \theta_{23} = 0.50 \pm 0.063$$

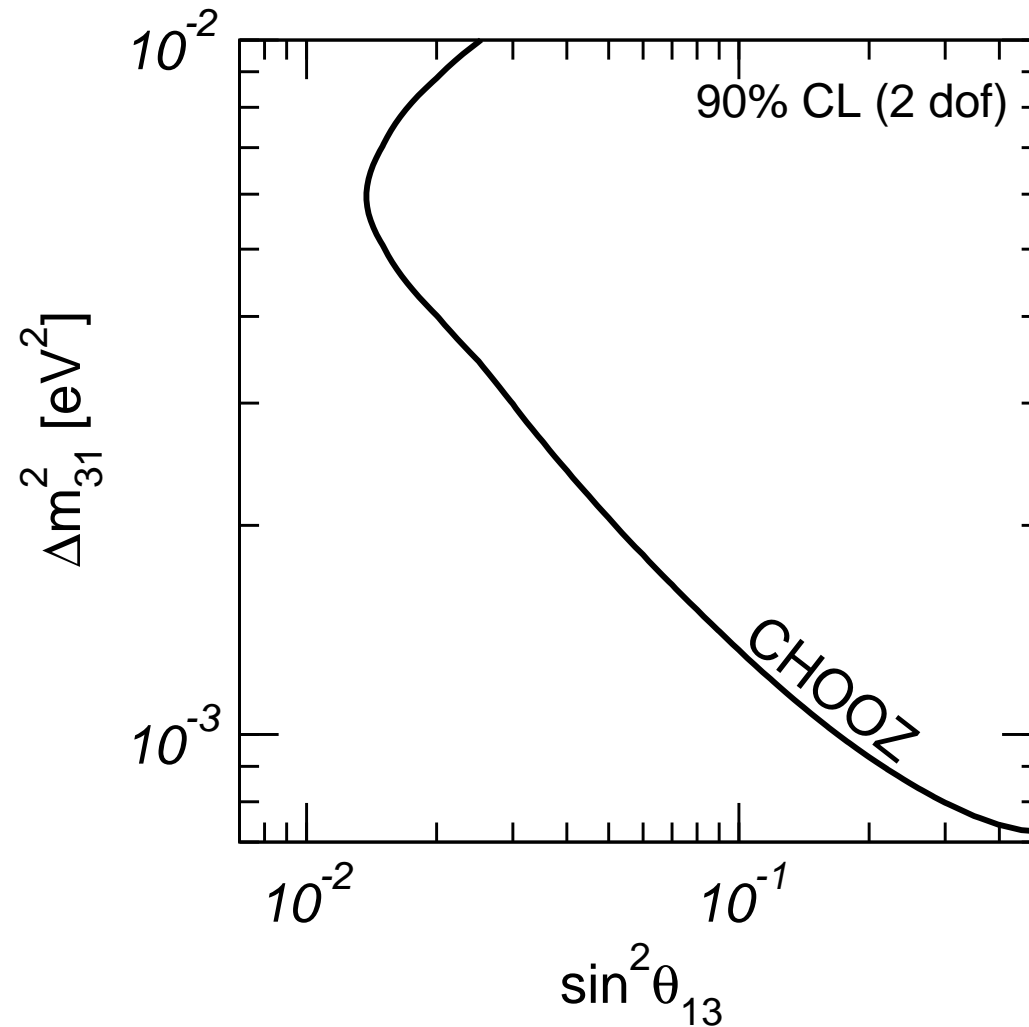


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# The bound on $\theta_{13}$

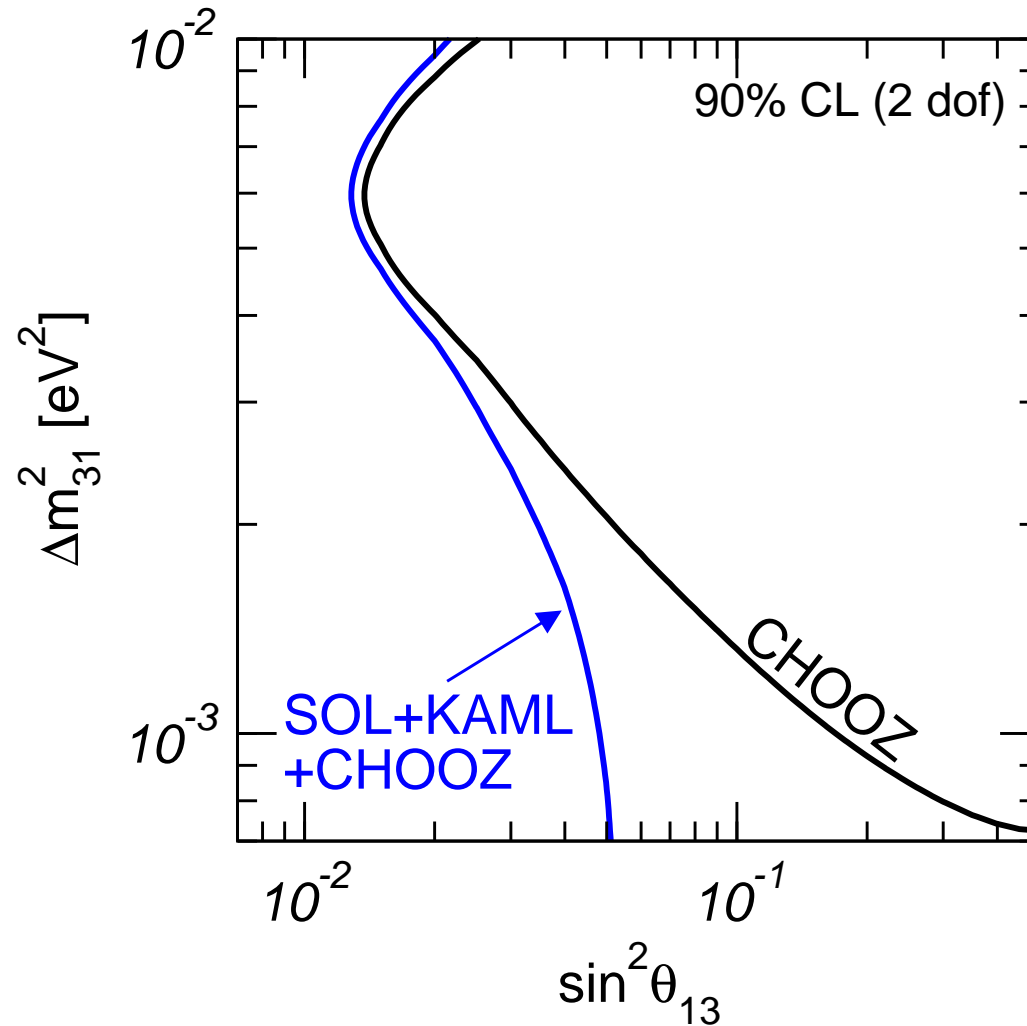
# The bound on $\theta_{13}$

The bound on  $\theta_{13}$  emerges from an interplay of the global data



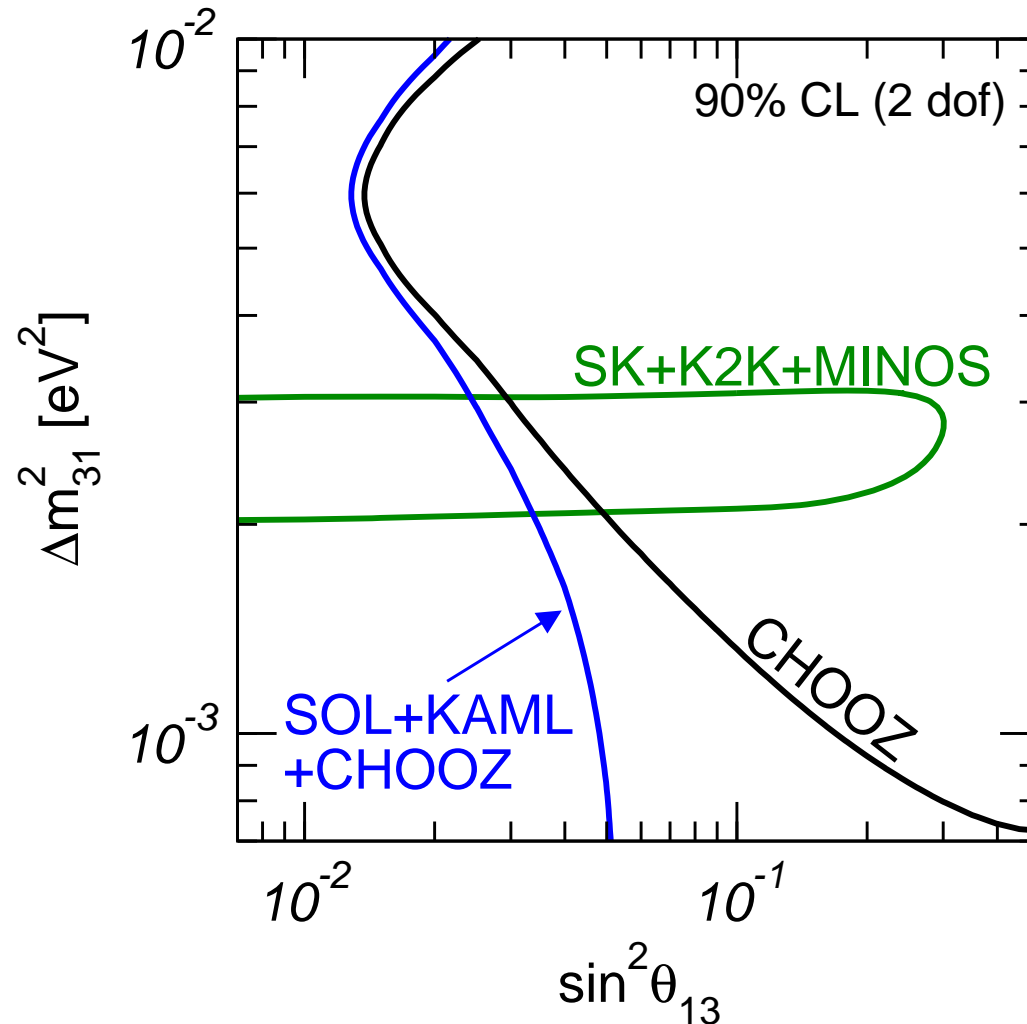
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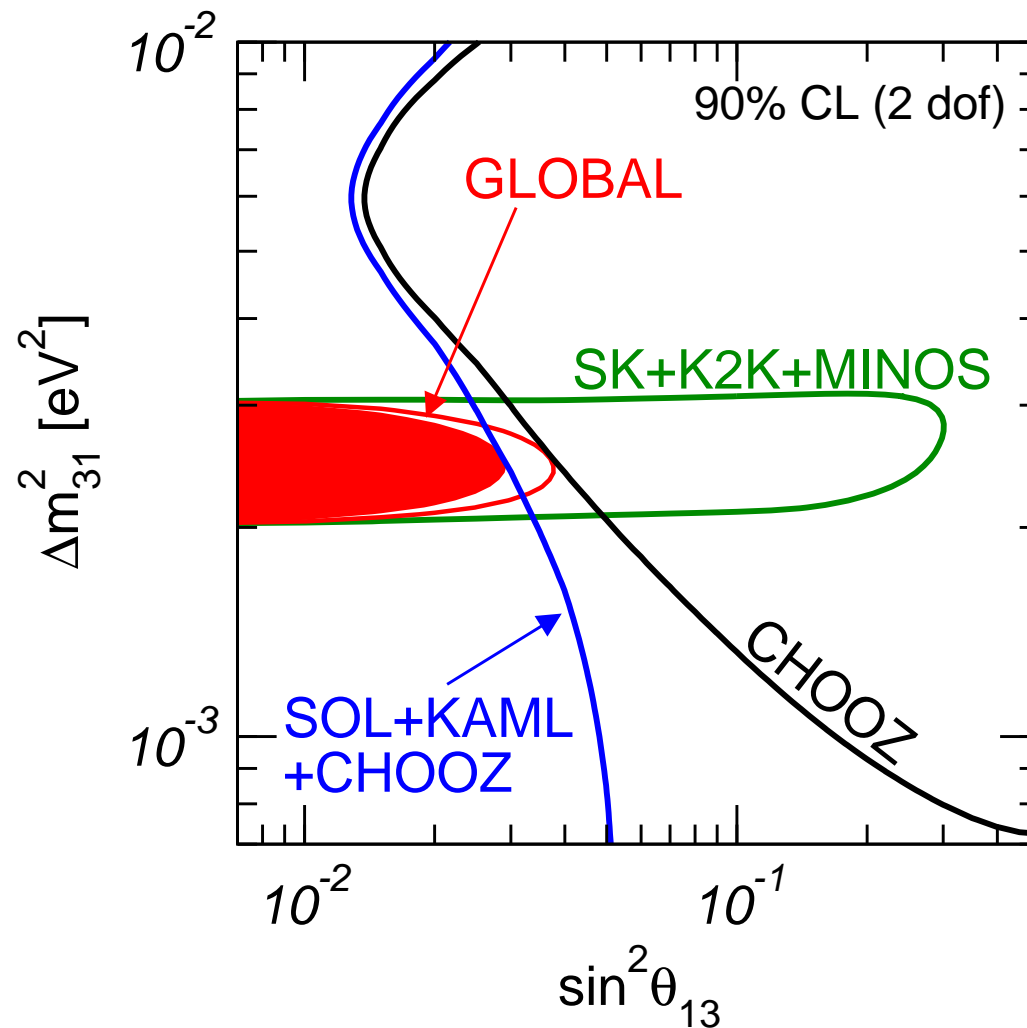
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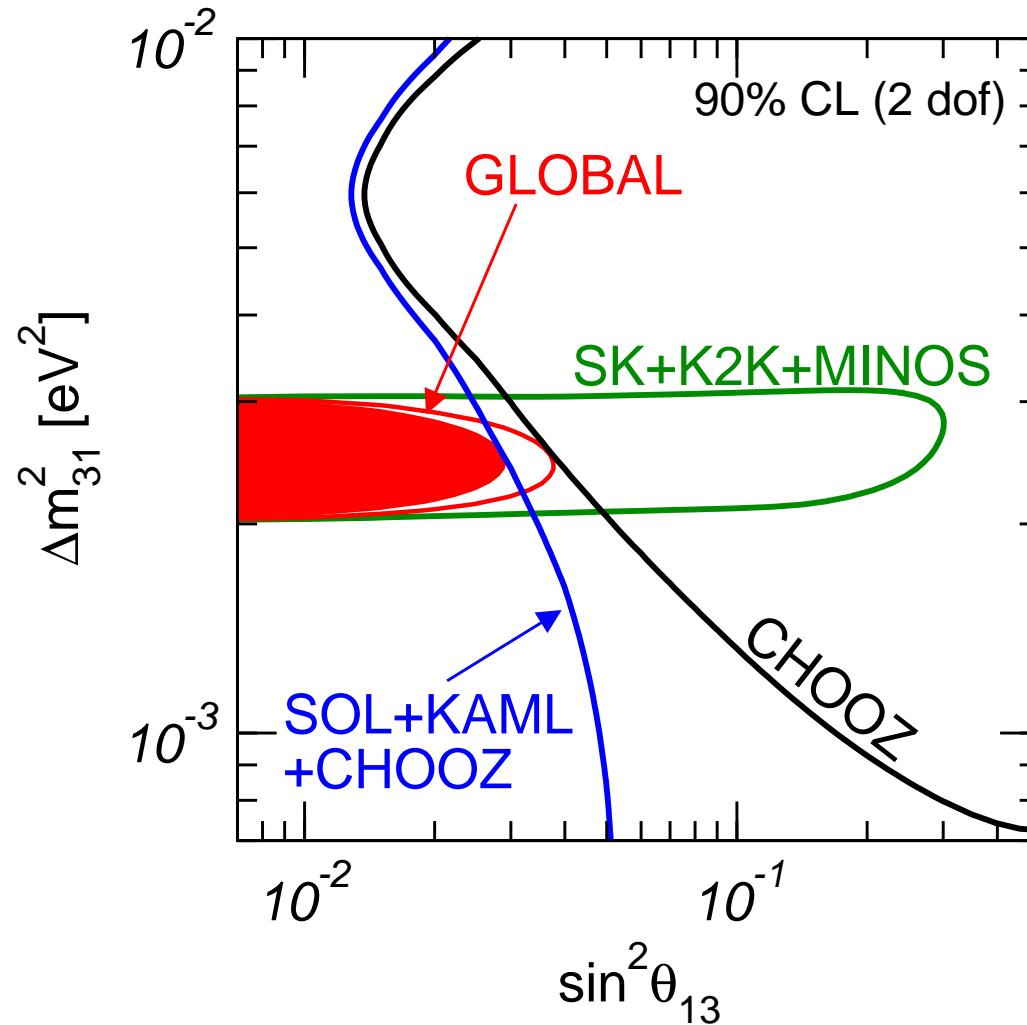
# The bound on $\theta_{13}$

global data:  $\sin^2 \theta_{13} < 0.05$  at  $3\sigma$



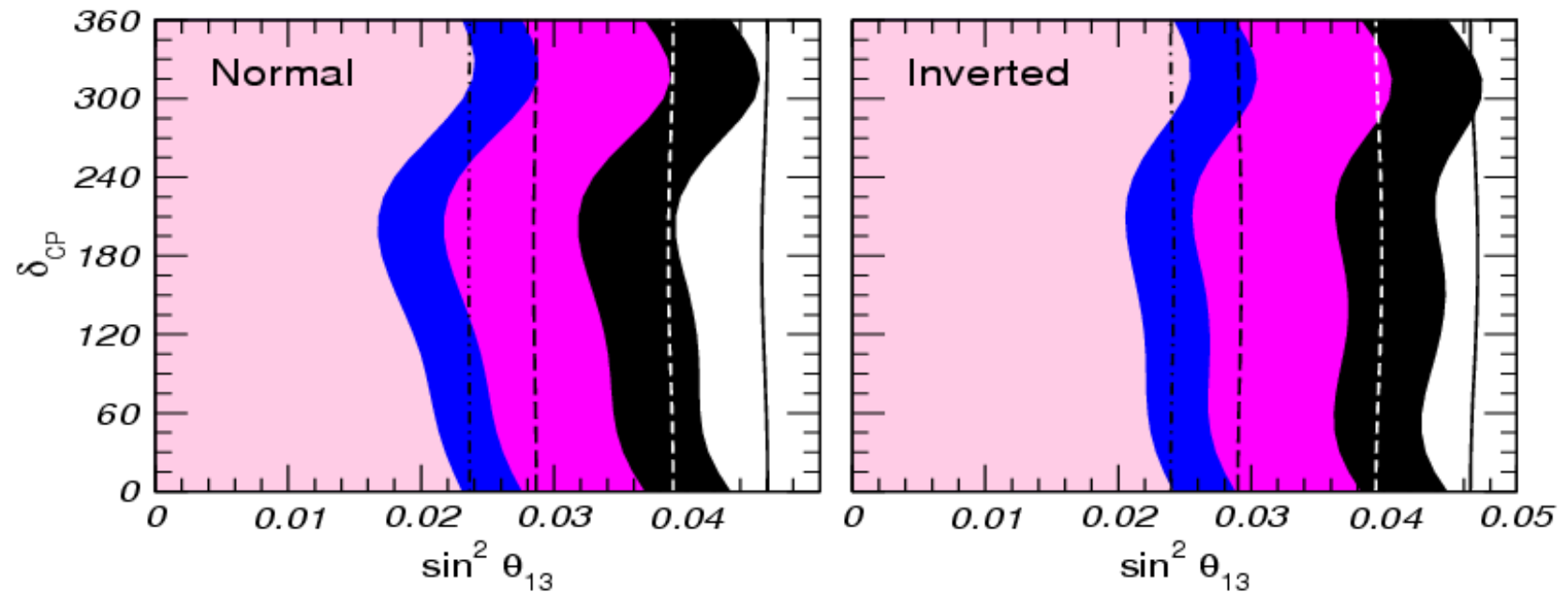
# The bound on $\theta_{13}$

$$\sin \theta_{13} = |U_{e3}| < 0.224 (3\sigma) \quad \leftrightarrow \quad |V_{us}| = 0.2257 \pm 0.0021$$



# Three flavour effects in present data

bound on  $\theta_{13}$  depends on  $\delta_{CP}$  and on hierarchy:  
(atmospheric data)



Gonzalez-Garcia, Maltoni, 0704.1800

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# Summary 3-flavour oscillation parameters



# 3-flavour oscillation parameters

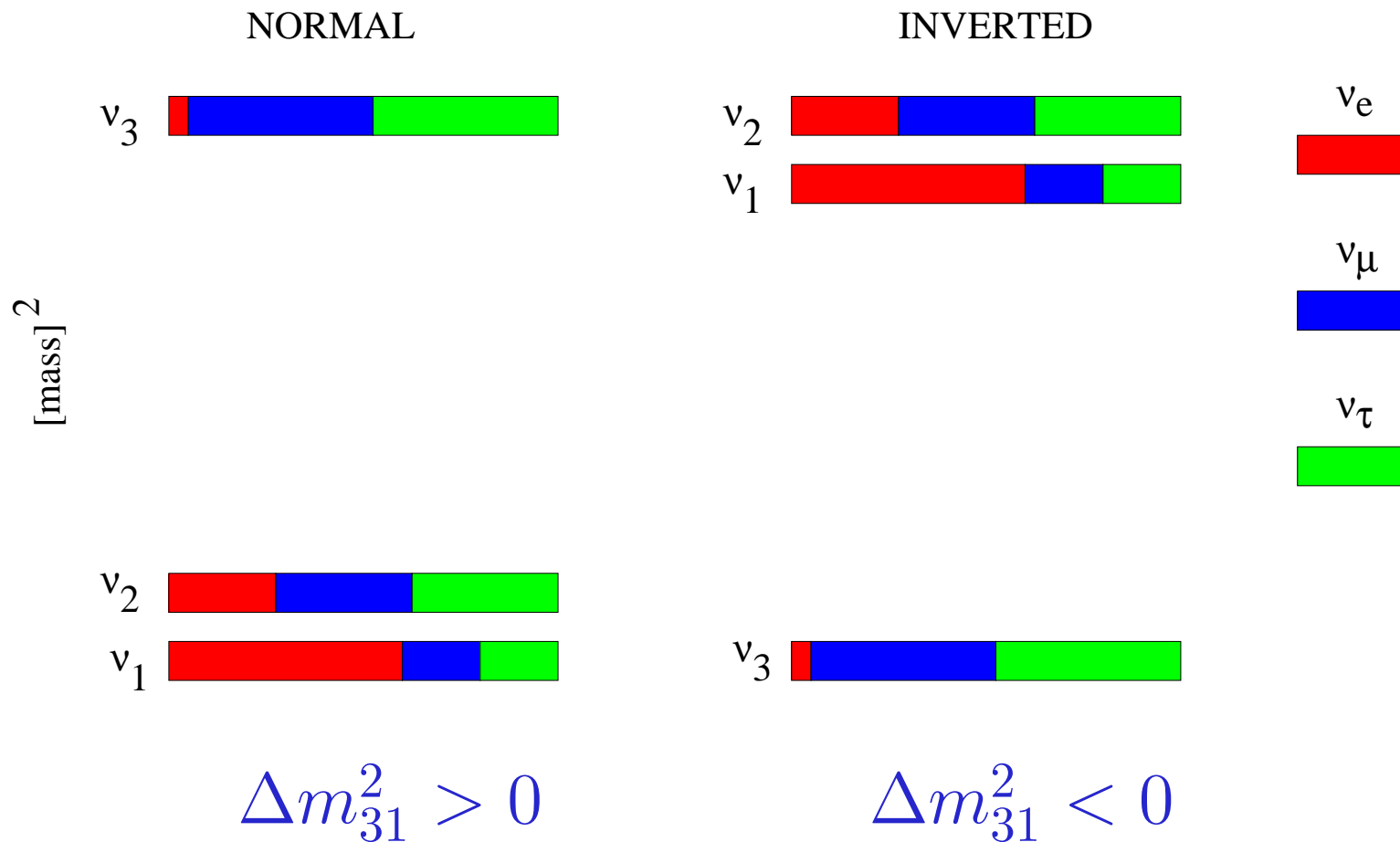
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	bf $\pm 1\sigma$	acc. @ $3\sigma$	
$\Delta m_{21}^2$	$(7.6 \pm 0.2) 10^{-5} \text{ eV}^2$	(8%)	KamLAND
$\sin^2 \theta_{12}$	$0.32 \pm 0.023$	(22%)	SNO
$ \Delta m_{31}^2 $	$(2.4 \pm 0.15) 10^{-3} \text{ eV}^2$	(17%)	MINOS
$\sin^2 \theta_{23}$	$0.50 \pm 0.063$	(33%)	SK atm
$\sin^2 \theta_{13}$	$< 0.05$ @ $3\sigma$		CHOOZ

Maltoni, TS, Tortola, Valle, hep-ph/0405172 v6; TS, 0710.5027

# Three flavour osc. parameters summary

two possibilities for the neutrino mass spectrum



# *3-flavour oscillations*

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Open questions:

# *3-flavour oscillations*

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## Open questions:

- Increase the precision on sol and atm params (e.g. *Is  $\theta_{23}$  exactly  $45^\circ$ ?*)

# *3-flavour oscillations*

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## Open questions:

- Increase the precision on sol and atm params (e.g. **Is  $\theta_{23}$  exactly  $45^\circ$ ?**)
- How small is  $\theta_{13}$ ?
- What is the value of the **CP phase  $\delta$** ?
- Type of the neutrino mass ordering (**sign of  $\Delta m_{31}^2$** )

# 3-flavour oscillations

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## Open questions:

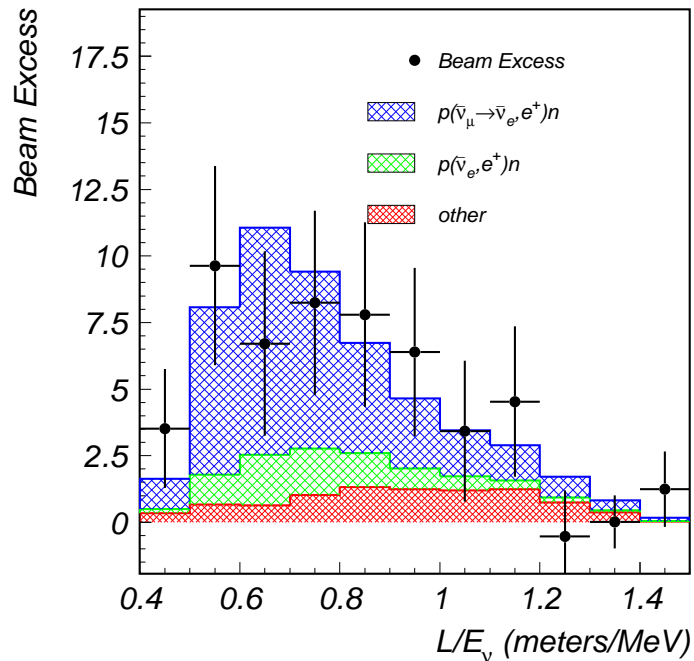
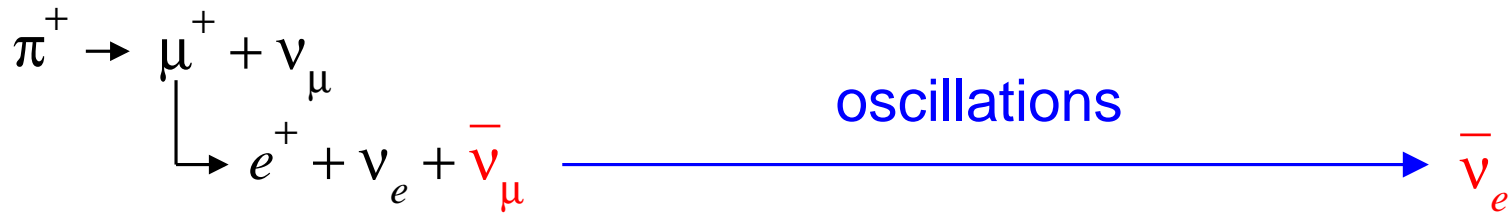
- Increase the precision on sol and atm params (e.g. Is  $\theta_{23}$  exactly  $45^\circ$ ?)
- How small is  $\theta_{13}$ ?
- What is the value of the CP phase  $\delta$ ?
- Type of the neutrino mass ordering (sign of  $\Delta m_{31}^2$ )
- Is this basic picture correct?  
LSND hint?  
non-standard effects beyond oscillations?

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# The LSND puzzle and MiniBooNE results

Maltoni, TS, 0705.0107

# The LSND signal



$$L \simeq 35 \text{ m}$$

**evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations**

A. Aguilar *et al.*, PRD 64 (2001) 112007

$87.9 \pm 22.4 \pm 6.0$  excess events

$P = (0.264 \pm 0.067 \pm 0.045)\%$

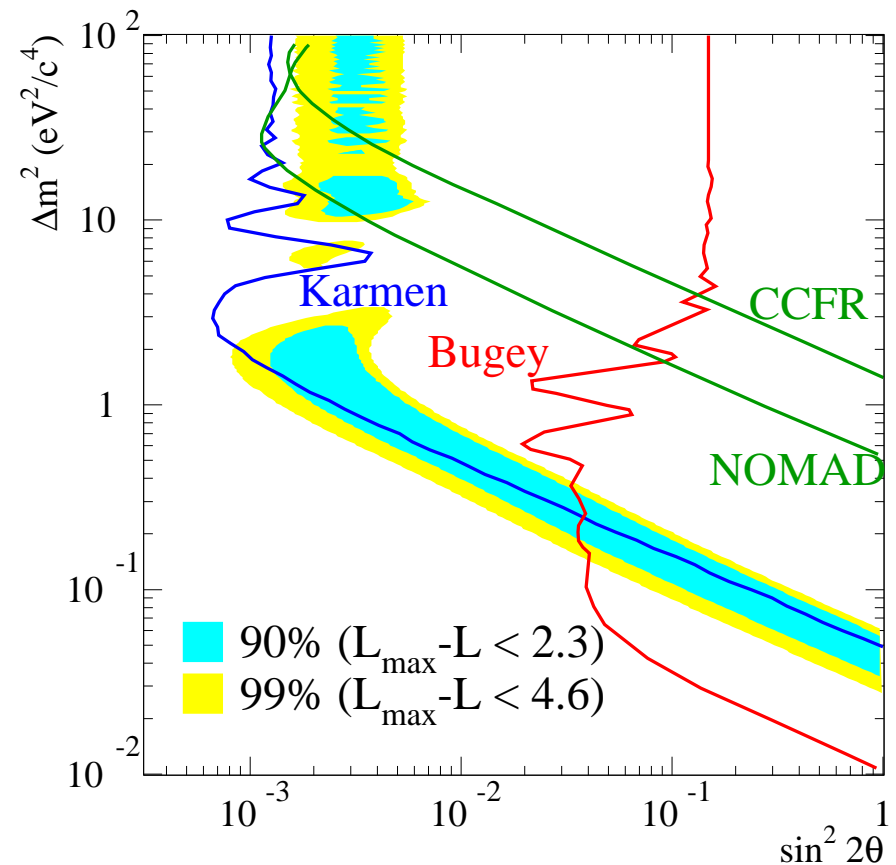
$\sim 3.3\sigma$  away from zero



# Oscillation interpretation of LSND

**the problem:**

$\Delta m^2 \sim \text{eV}^2$  not consistent  
with solar ( $8 \times 10^{-5}$ ) and  
atmospheric ( $3 \times 10^{-3}$ )  
mass splittings for three  
neutrinos!



# Check LSND with MiniBooNE

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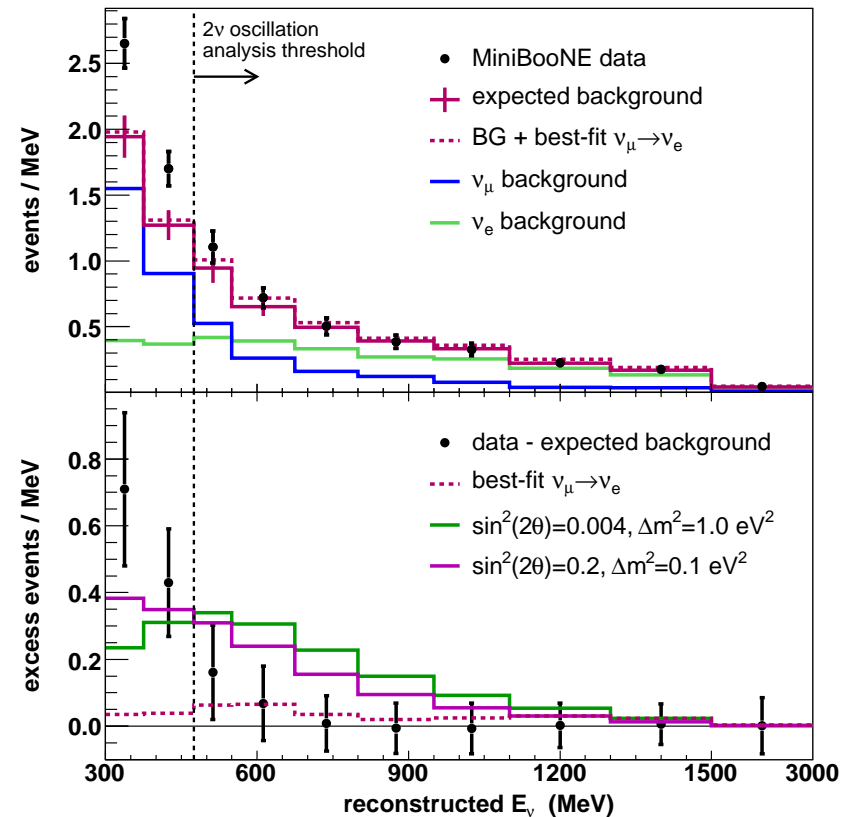
	<b>LSND</b>	<b>MiniBooNE</b>
energy	30 MeV	500 MeV
baseline	30 m	500 m
	same $L/E_\nu$ value	
channel	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	$\nu_\mu \rightarrow \nu_e$

# MiniBooNE results, April 2007

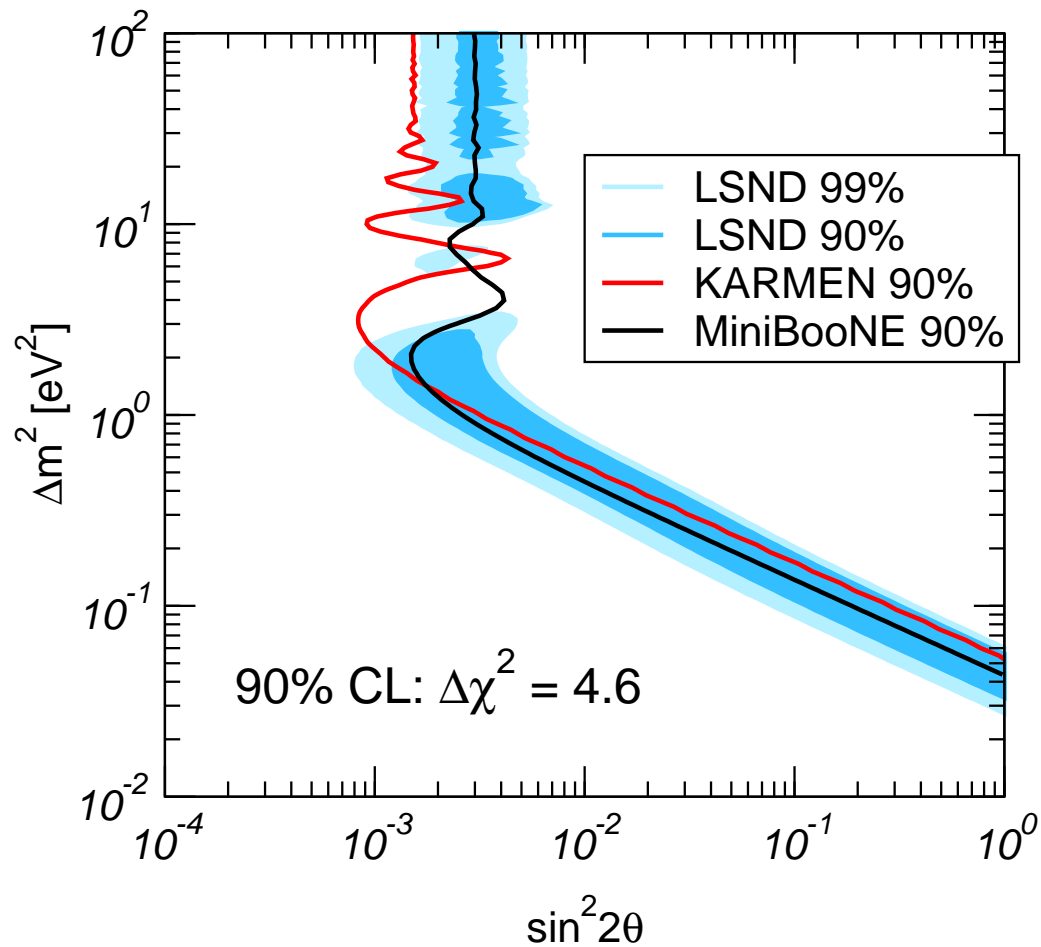
obs. events minus  
background:

$475 < E_{\nu}^{\text{QE}} < 1250 \text{ MeV}:$   
 $22 \pm 19 \pm 35$  events  
(consistent with zero)

$300 < E_{\nu}^{\text{QE}} < 475 \text{ MeV}:$   
 $96 \pm 17 \pm 20$  events  
(excess at  $3.6\sigma$ )



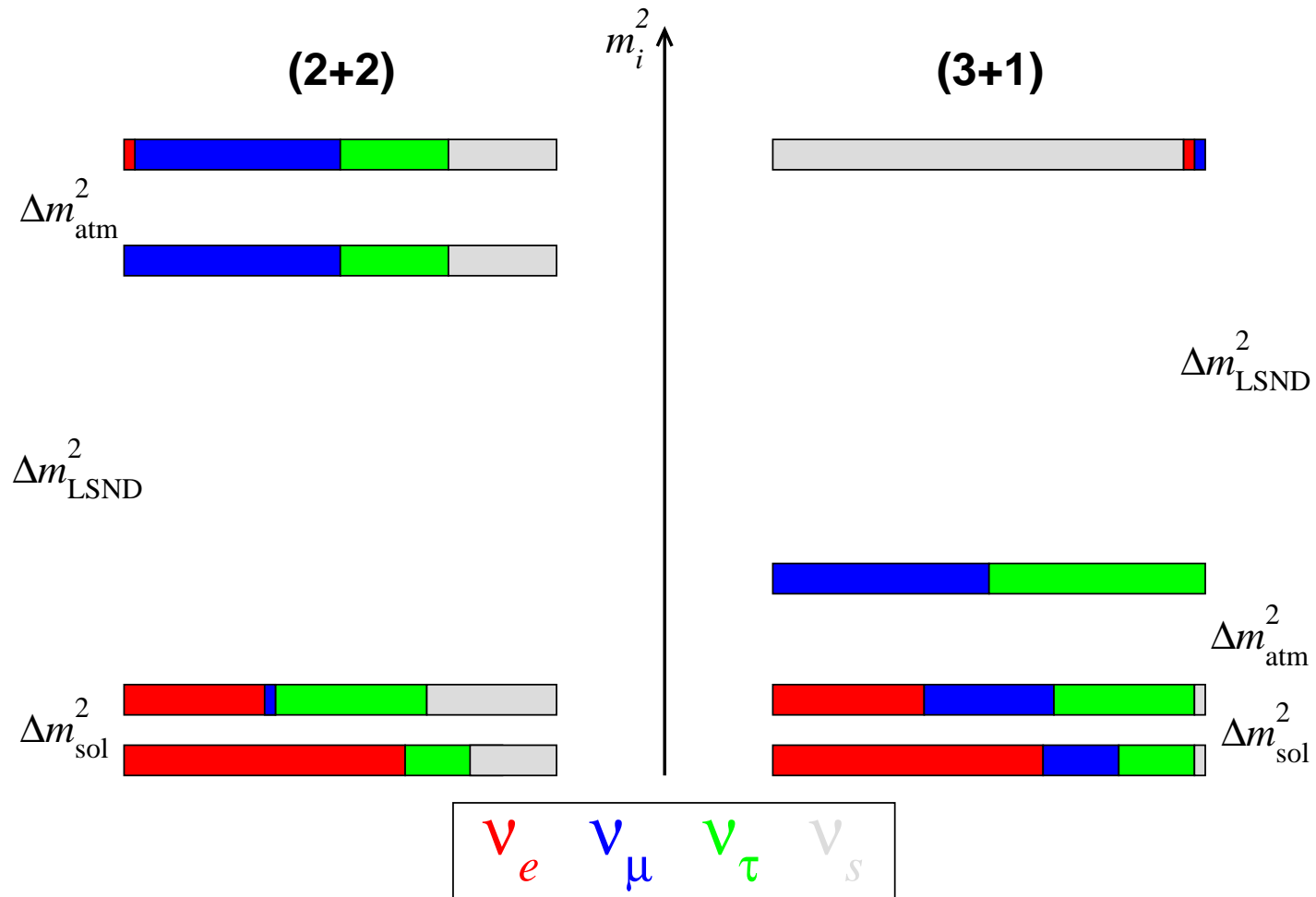
# The MiniBooNE 2-neutrino limit



In the 2-neutrino framework MiniBooNE and LSND are incompatible at the 98% CL Aguilar-Arevalo et al., PRL08

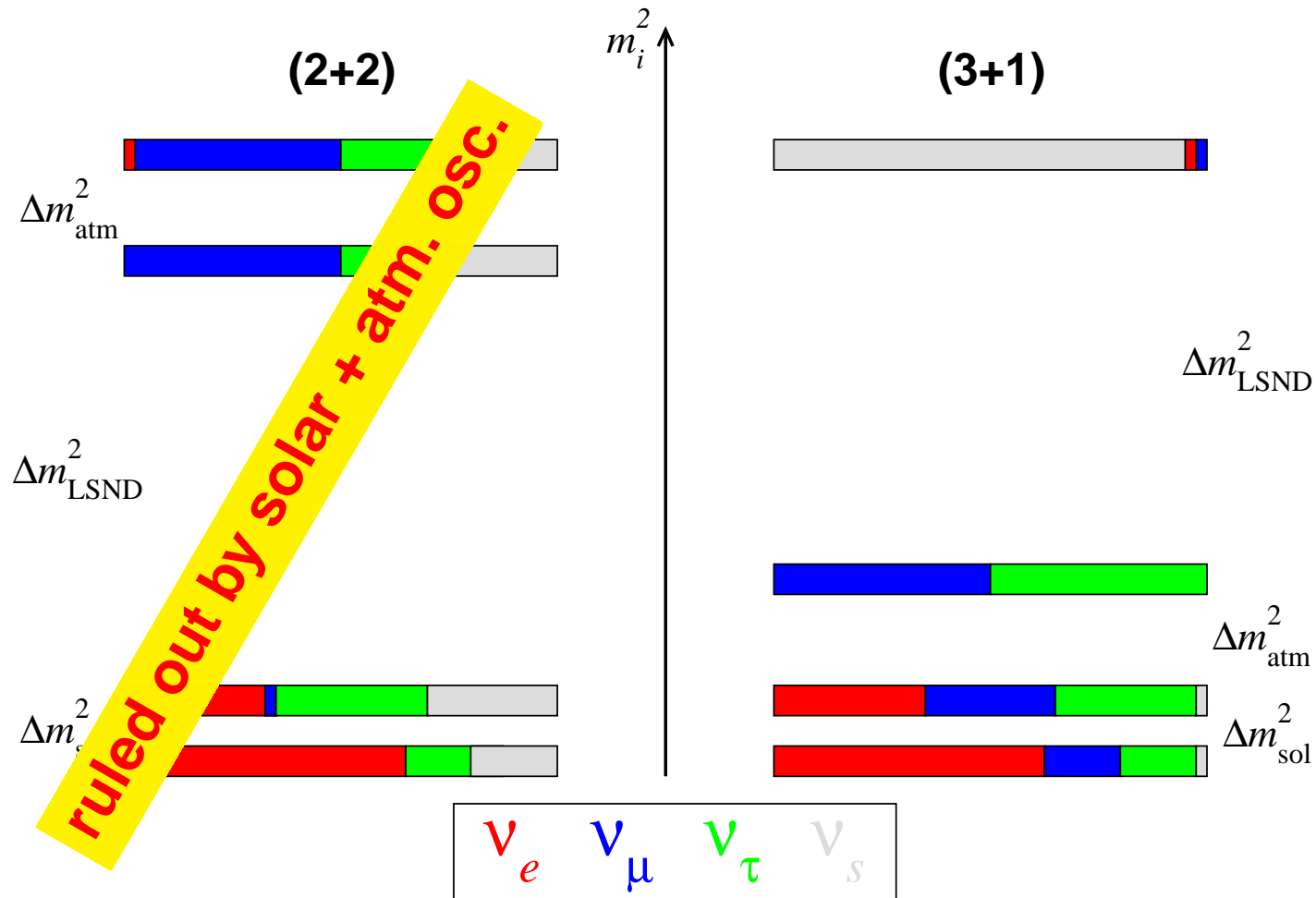
# Adding a sterile neutrino

## 4-neutrino mass schemes:



# Adding a sterile neutrino

4-neutrino mass schemes:



# *MB vs LSND in (3+1)*

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In (3+1) schemes the SBL appearance probability is effectively 2- $\nu$  oscillations:

$$P_{\mu e} = \sin^2 2\theta_{\text{SBL}} \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

with

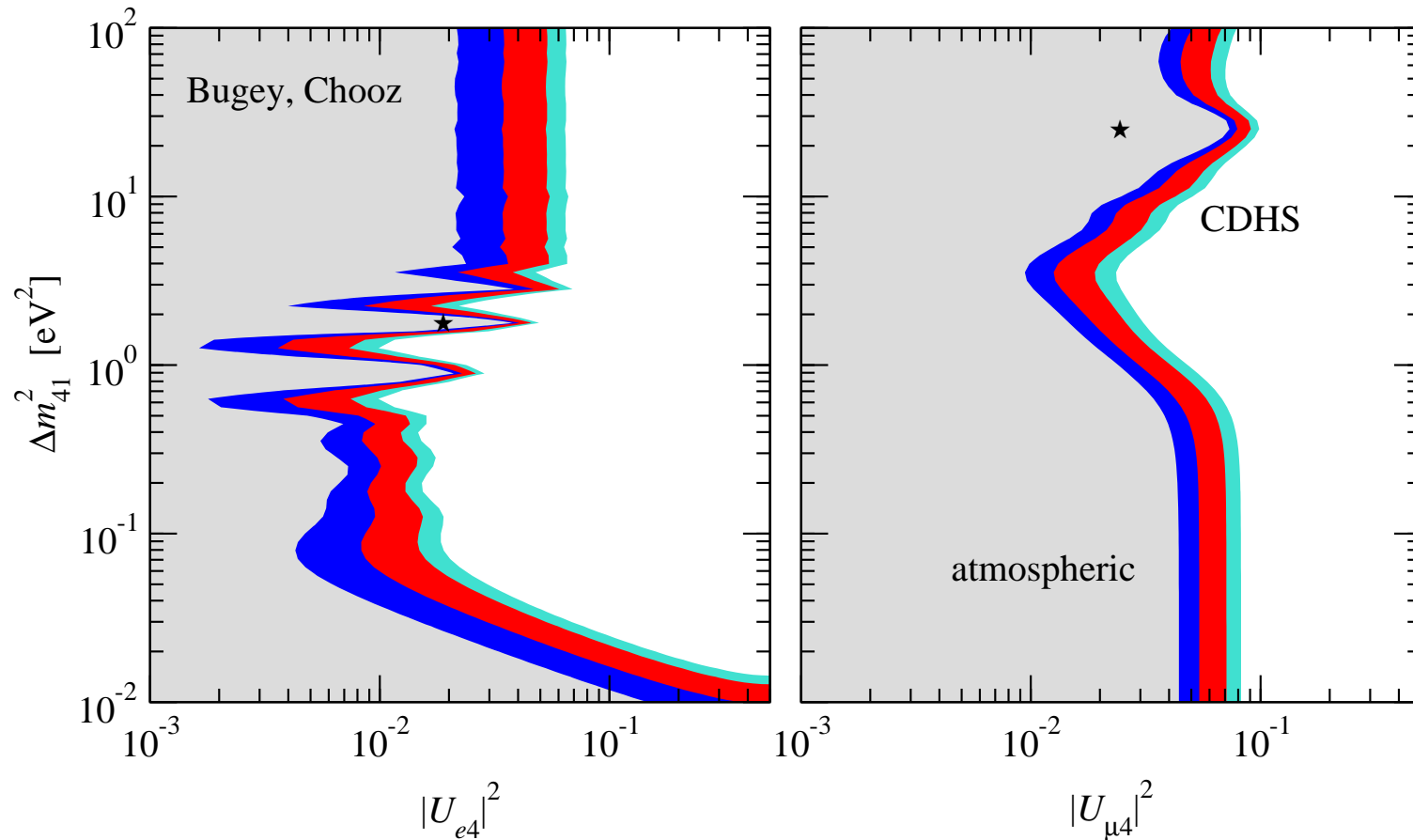
$$\sin^2 2\theta_{\text{SBL}} = 4|U_{e4}|^2|U_{\mu4}|^2$$

LSND / MiniBooNE inconsistency is the same as in the 2-flavour analysis presented by the MiniBooNE collaboration (98% CL)

# Appearance vs disappearance in (3+1)

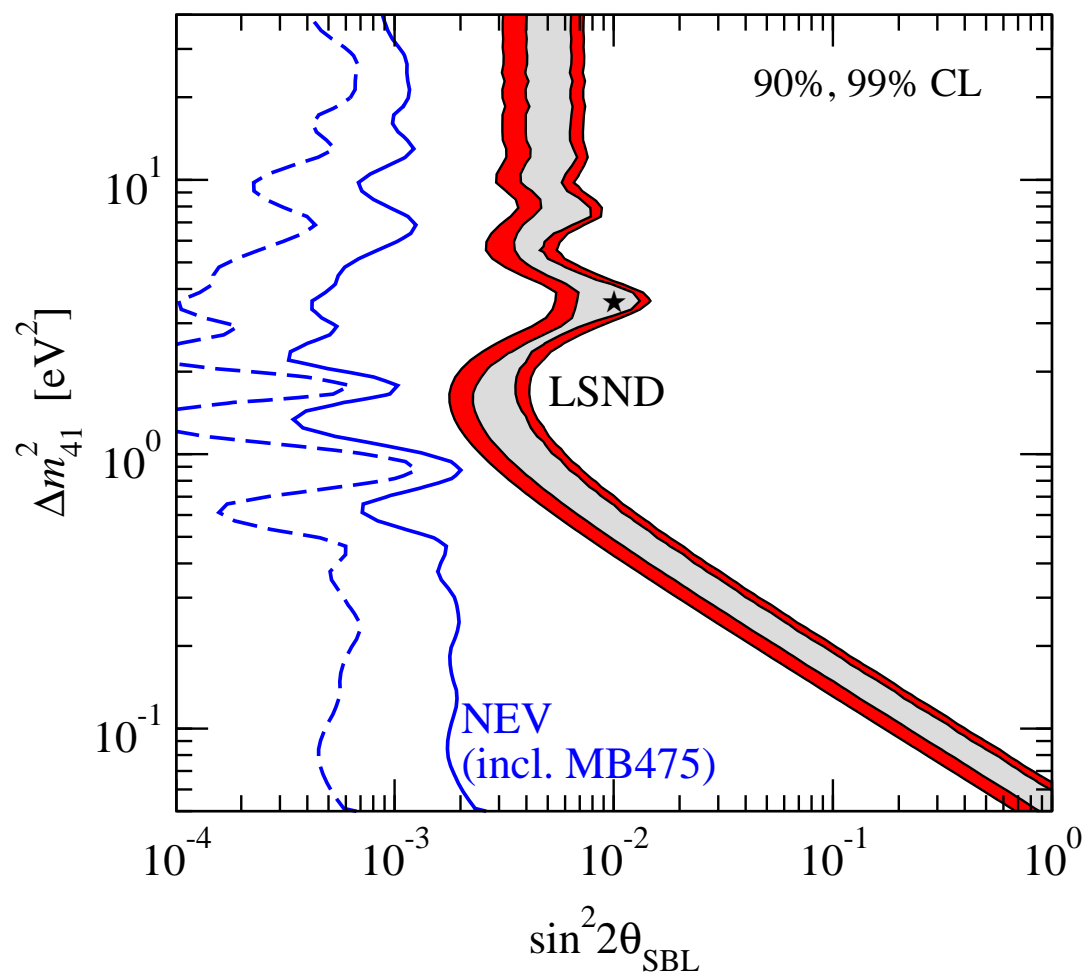
appearance amplitude  $\sin^2 2\theta_{\text{SBL}} = 4|U_{e4}|^2|U_{\mu4}|^2$

disappearance experiments bound  $|U_{e4}|^2$  and  $|U_{\mu4}|^2$





# *(3+1) global*



before MB:

$$\chi_{\text{PG}}^2 = 20.9 \text{ (2 dof)}$$

MB incl.:

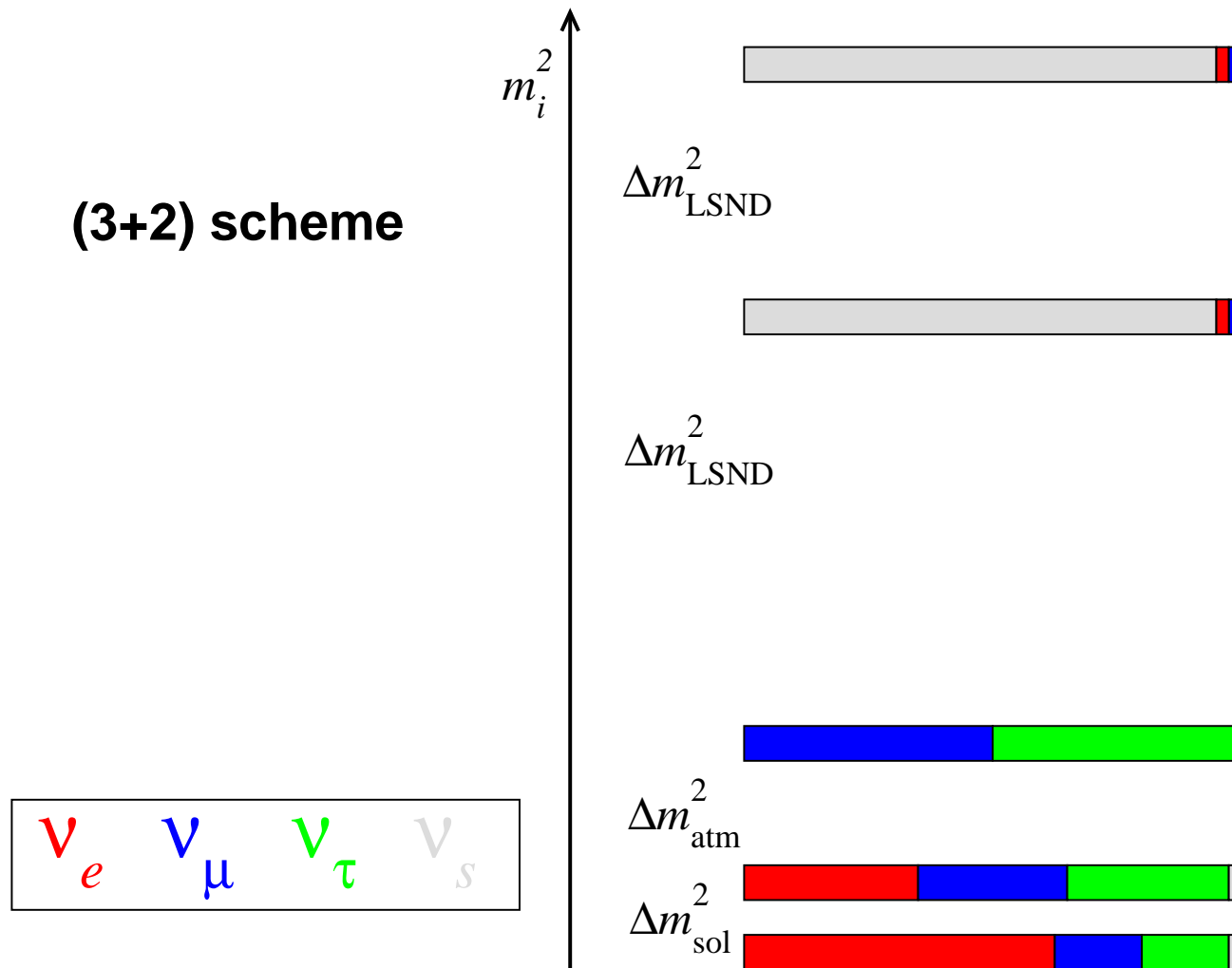
$$\chi_{\text{PG}}^2 = 24.7 \text{ (2 dof)}$$

disagreement at  
about  $4\sigma$

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# More sterile neutrinos?

# 5-neutrino oscillations



Sorel, Conrad, Shaevitz, hep-ph/0305255

## *(3+2) appearance probability*

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$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e} &= 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \phi_{41} \\ &+ 4 |U_{e5}|^2 |U_{\mu5}|^2 \sin^2 \phi_{51} \\ &+ 8 |U_{e4} U_{\mu4} U_{e5} U_{\mu5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta) \end{aligned}$$

with the definitions

$$\phi_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}, \quad \delta \equiv \arg(U_{e4}^* U_{\mu4} U_{e5} U_{\mu5}^*) .$$

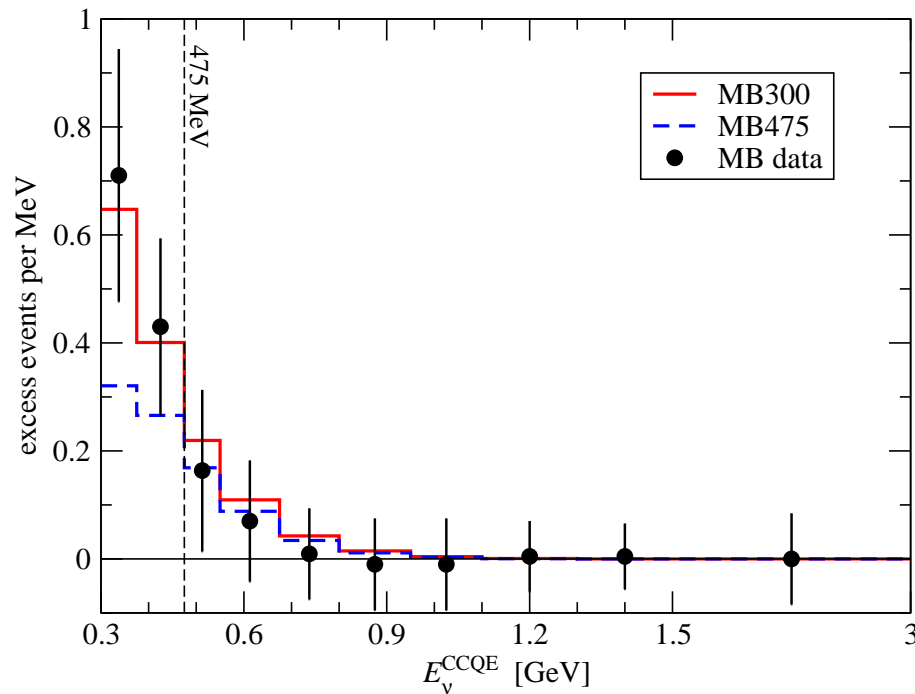
(3+2) osc. include the possibility of **CP violation!**

remember: MiniBooNE: neutrinos, LSND: anti-neutrinos

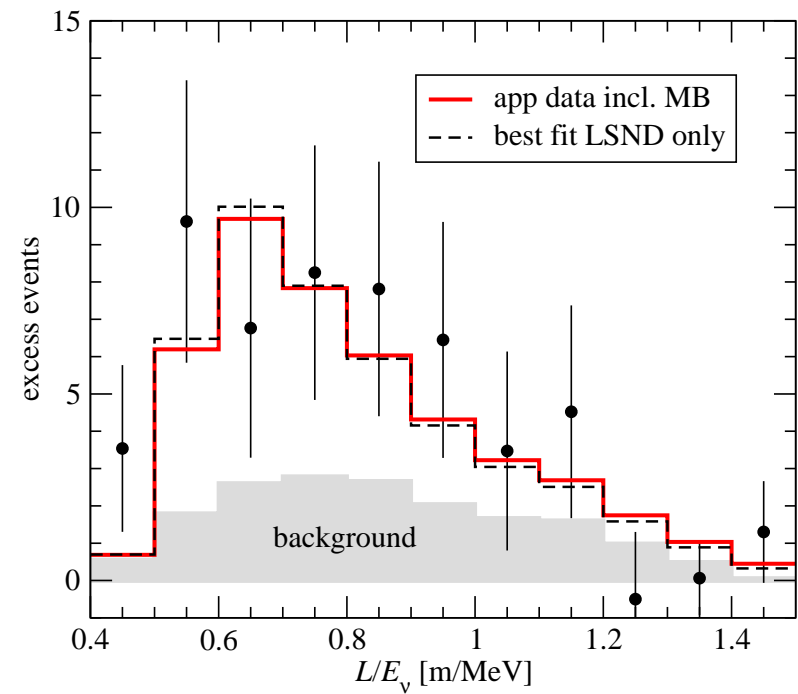
# *(3+2) appearance data*

best fit point spectra:

## MiniBooNE



## LSND



Perfect fit to appearance data:

w/o MB low energy excess:  $\chi^2_{\min} = 16.9/(29 - 5)$

with MB low energy excess:  $\chi^2_{\min} = 18.5/(31 - 5)$

## *(3+2) disappearance data*

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what about the disappearance data?

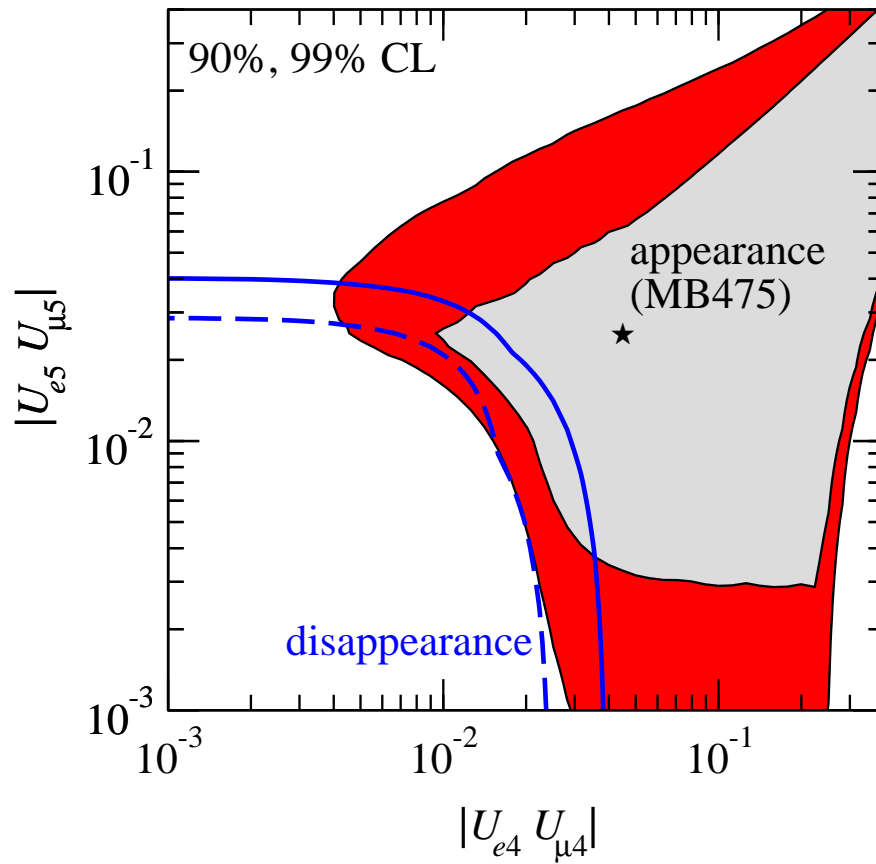
$$P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - 4 \left( 1 - \sum_{i=4,5} |U_{\alpha i}|^2 \right) \sum_{i=4,5} |U_{\alpha i}|^2 \sin^2 \phi_{i1} \\ - 4 |U_{\alpha 4}|^2 |U_{\alpha 5}|^2 \sin^2 \phi_{54}$$

$\Rightarrow$  bound  $|U_{ei}|$  and  $|U_{\mu i}|$  ( $i = 4, 5$ ), similar as in (3+1)

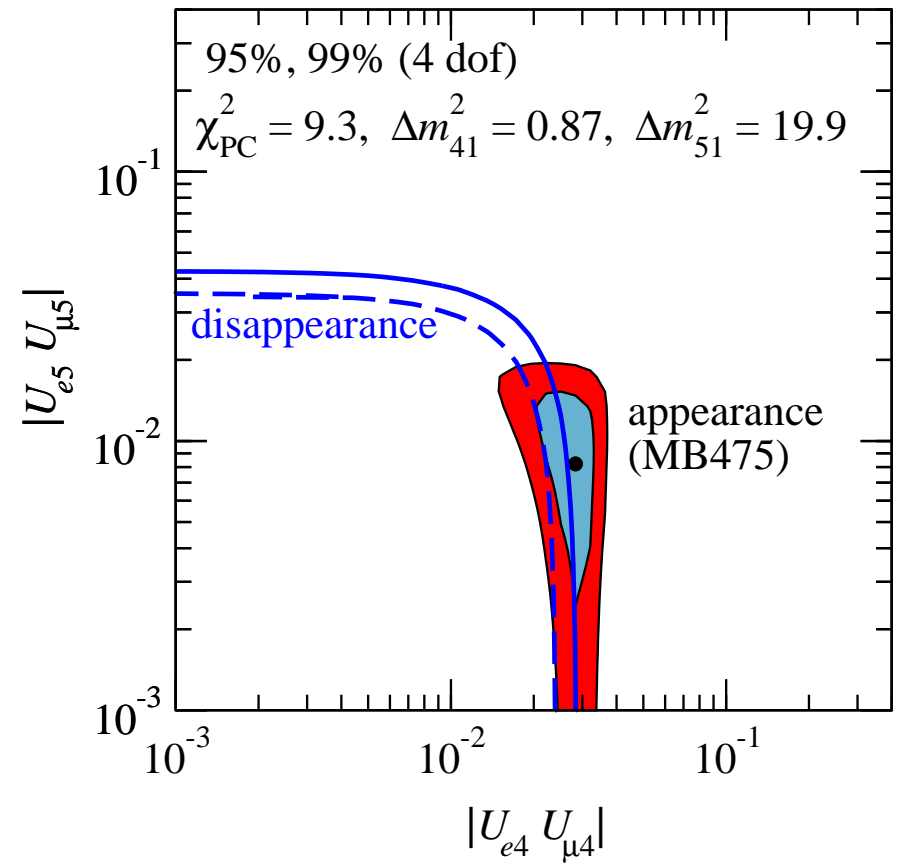
to be reconciled with appearance amplitudes  $|U_{ei}U_{\mu i}|$

# *(3+2) app vs disap*

projection



section



## *(3+2) global*

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testing consistency of disappearance and appearance data:

$$\chi_{\text{PG}}^2 = 17.2 \text{ (4 dof)} \quad \text{PG} = 0.18\%$$

(without MB:  $\chi_{\text{PG}}^2 = 17.5$ )

**inconsistency at about  $3.1\sigma$**

parameters in common  $|U_{e4}U_{\mu4}|, |U_{e5}U_{\mu5}|, \Delta m_{41}^2, \Delta m_{51}^2$

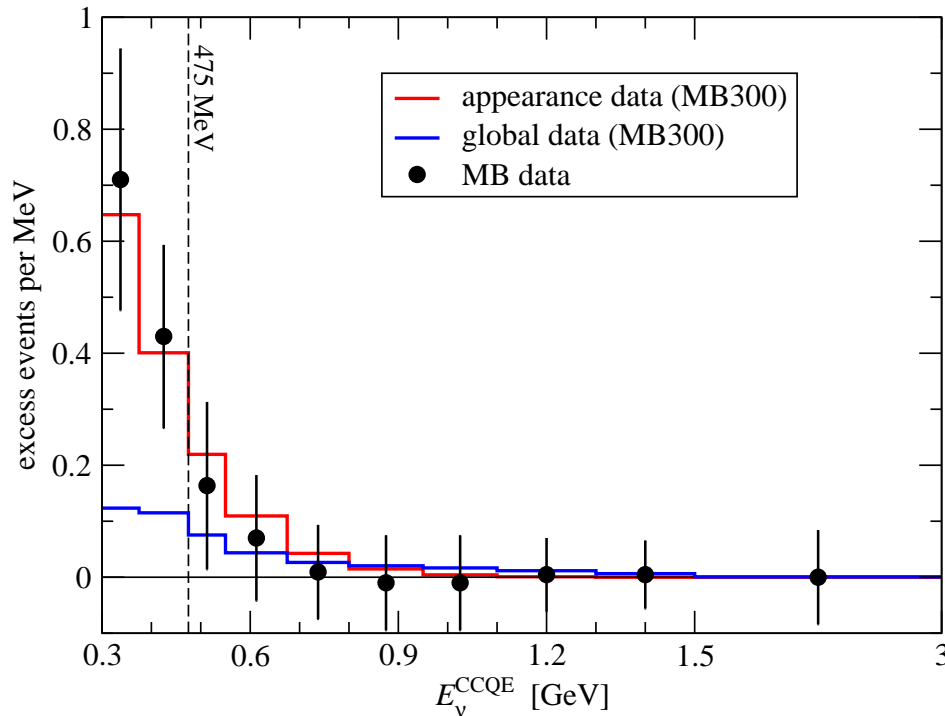
best fit:  $\Delta m_{41}^2 = 0.9 \text{ eV}^2, \Delta m_{51}^2 = 6.5 \text{ eV}^2, \chi_{\text{min}}^2 = 94.5/(107 - 7)$

$$\chi_{\text{min, global (3+1)}}^2 - \chi_{\text{min, global (3+2)}}^2 = 6.1/4 \text{ dof} \quad (81\% \text{ CL})$$



# *the low energy MB excess in the (3+2) fit*

the MB low energy excess is not reproduced at the global best fit point:



$$\chi_{\text{MB300}}^2 = 104.4 / (109 - 7)$$

$$\chi_{\text{MB475}}^2 = 94.5 / (107 - 7)$$

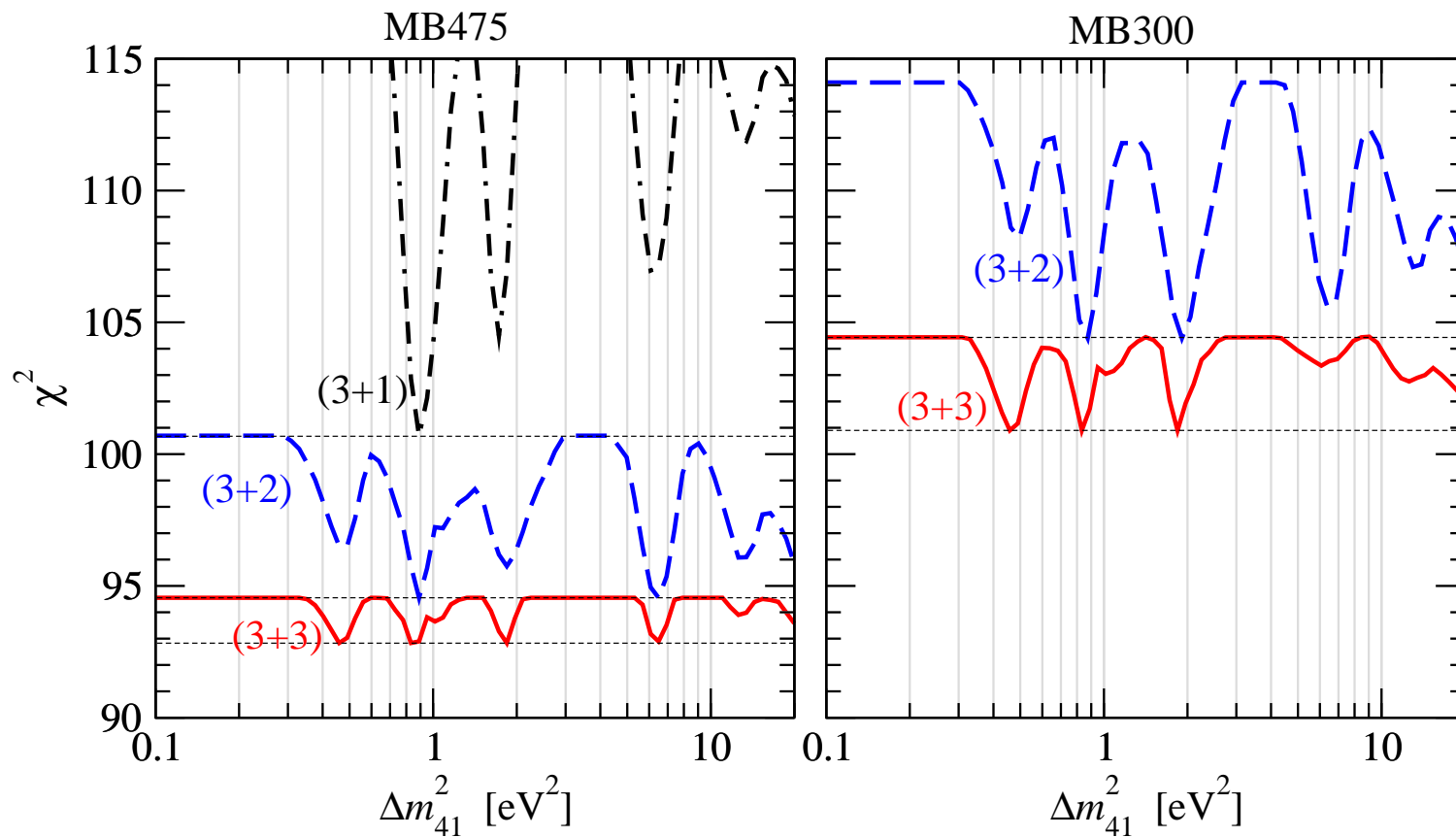
$$\chi_{\text{PG}}^2 = 25.1 / 4$$

$$\text{PG} = 4.8 \times 10^{-5} \quad (4\sigma)$$

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**adding another sterile: (3+3)**

# *(3+3) global fit*



	$\Delta m_{41}^2$	$\Delta m_{51}^2$	$\Delta m_{61}^2$	$\chi_{\min}^2$	$\chi_{(3+2)}^2 - \chi_{(3+3)}^2$	CL
MB475	0.46	0.83	1.84	92.8	1.7/4	20%
MB300	0.46	0.83	1.84	100.9	3.5/4	52%

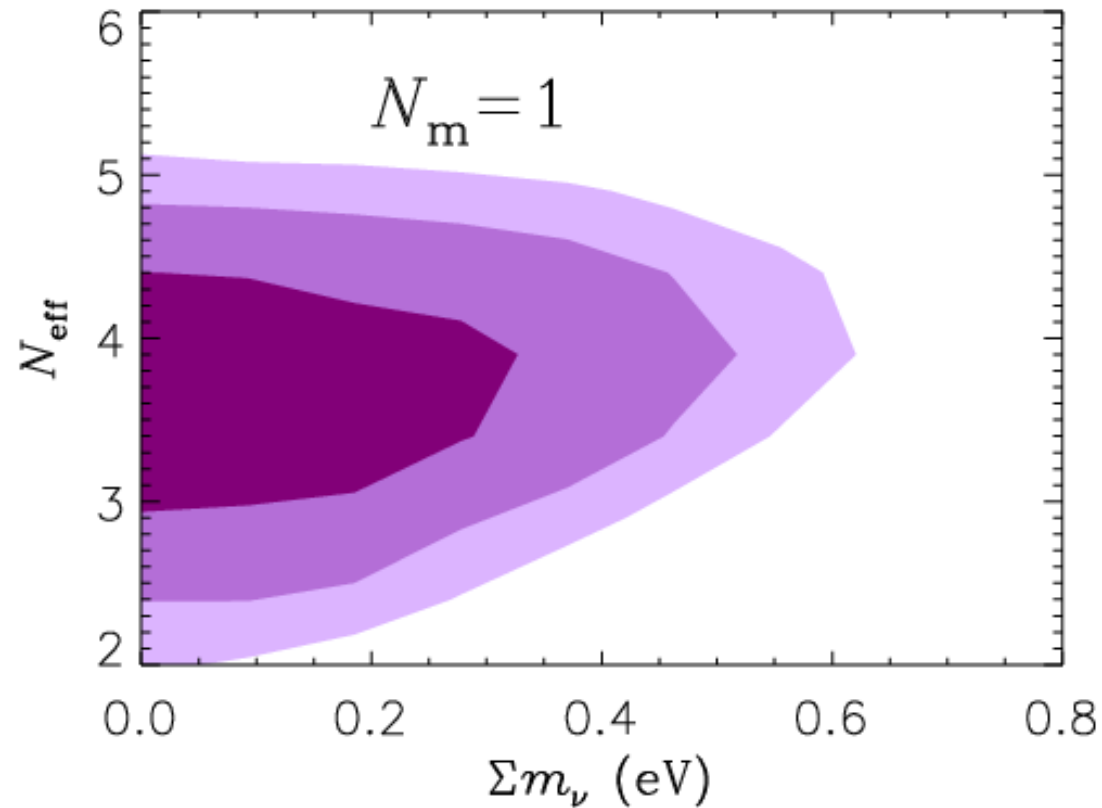
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## All these sterile neutrino schemes have problems with cosmology

- sterile states contribute to the relativistic degrees of freedom (CMB, BBN)
- conflict with bound on the sum of neutrino masses from various cosmological data sets (LSS)

# Cosmology

SN Ia, LSS (2dF, SDSS), BAO, CMB (WMAP, BOOMERANG)



68%, 95%, 99% CL

Hannestad, Raffelt, astro-ph/0607101

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## More 'exotic' proposals

# *More exotic proposals*

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- **3-neutrinos and CPT violation** Murayama, Yanagida 01;  
Barenboim, Borisso, Lykken 02; Gonzalez-Garcia, Maltoni, Schwetz 03
- **4-neutrinos and CPT violation** Barger, Marfatia, Whisnant 03
- **Exotic muon-decay** Babu, Pakvasa 02
- **CPT viol. quantum decoherence** Barenboim, Mavromatos 04
- **Lorentz violation**  
Kostelecky, Mews, 04; Gouvea, Grossman, 06; Katori, Kostelecky, Tayloe, 06
- **mass varying neutrinos**  
Kaplan, Nelson, Weiner 04; Zurek 04; Barger, Marfatia, Whisnant 05
- **shortcuts of sterile neutrinos in extra dimensions**  
Paes, Pakvasa, Weiler 05
- **1 decaying sterile neutrino** Palomares-Riuz, Pascoli, Schwetz 05
- **2 decaying sterile neutrinos with CPV**
- **sterile neutrinos and new gauge boson** Nelson, Walsh 07
- **sterile neutrino with exotic energy dependence** Schwetz 07

# More exotic proposals

- 3-neutrinos and CPT violation  
Barenboim, Berezin, Lykken 02; Gonzalez-Garcia, Maltoni, Schwetz 03
- KamLAND+atmospheric antineutrino data
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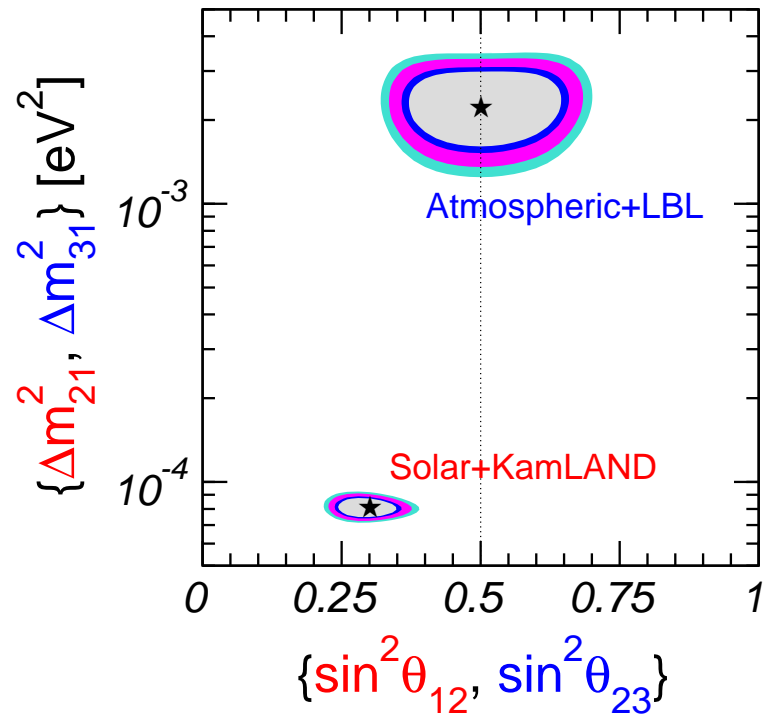
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# Summary

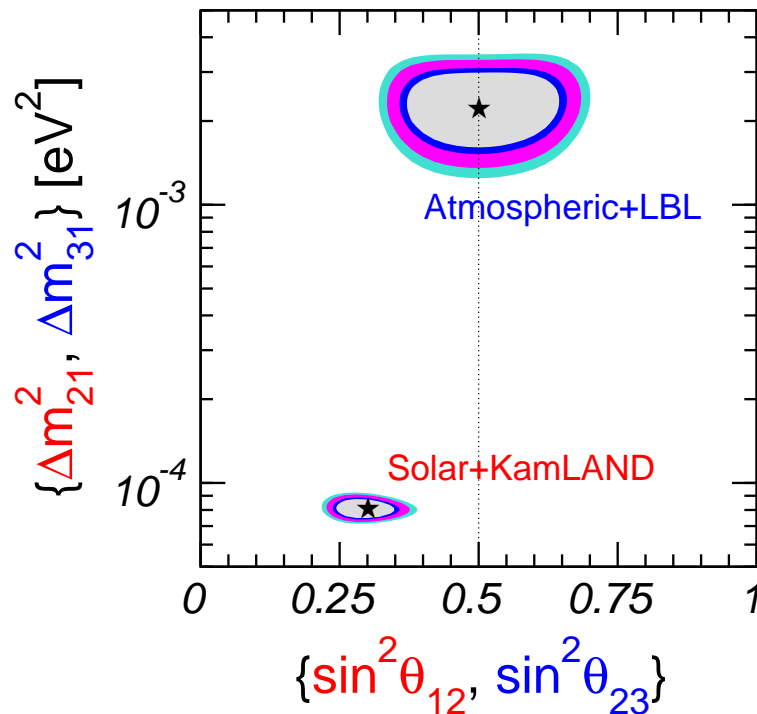
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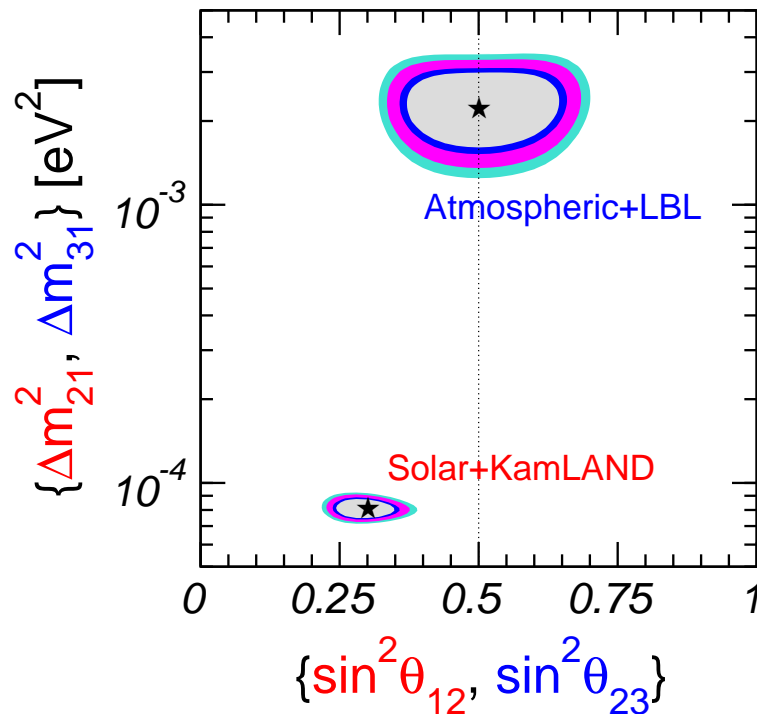


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- **(3+1)**: strongly disfavoured
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**Thanks for your attention!**