

# Gauge/Gravity Duality in Supersymmetric and Non-Supersymmetric Vacua

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## Plan of the talk:

- ▶ (Biased) Introduction to the Gauge/Gravity duality
- ▶ The orbifold of the conifold as a specific example
- ▶ Supersymmetric vacua: confining or Coulomb branch
- ▶ Supergravity solutions
- ▶ Non-supersymmetric (metastable) vacua: anti-D3 branes

## Based on:

- ▶ RA, Bertolini, Franco, Kachru  
arXiv:hep-th/0610212, 0703236
- ▶ RA, Benini, Bertolini, Closset, Cremonesi  
arXiv:0804.4470 [hep-th]

**Gauge/Gravity Duality** is a generalization of **AdS/CFT** correspondence.

## AdS/CFT

(IIB) String theory on  $AdS_5 \times X^5$  is equivalent to a gauge theory with (super)conformal symmetry at the quantum level.

## Gauge/Gravity

String theory on some deformation (more or less radical) of  $AdS_5 \times X^5$  is equivalent to a gauge theory with **non-conformal** (and thus possibly richer) quantum dynamics.

Several examples!

**Gauge/Gravity Duality** is a very important tool to address issues relating to the **strong coupling behavior** of gauge theories and of string theory.

We will concentrate here on using the duality to understand strongly coupled **supersymmetric gauge theories** from the weakly coupled, supergravity limit of string theory.

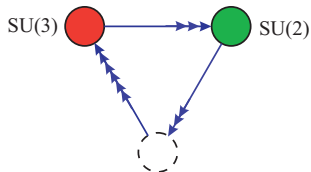
The potential is enormous: in principle, one should be able to

- ▶ encode the **holomorphic data** of the gauge theory in some asymptotic properties of the supergravity solution.
- ▶ find the full **SUGRA** solution corresponding to a specific vacuum.
- ▶ derive all the **non-holomorphic** properties of the theory, down to low-energies, in that vacuum.

This is a **top-down, unified** approach to studying low-energy strongly coupled dynamics of gauge theories.

In this spirit, we aim at having **no external parameters** but only moduli in the supergravity solution. In particular, we will avoid introducing “flavor” branes with non-dynamical parameters.

It is however difficult to engineer in this way simple theories such as **SQCD**. Because of the (super)conformal origin of the duality, it turns out that it is more natural to consider **quiver gauge theories**, with several nodes (gauge groups) but very few independent superpotential couplings.



MSSM is a quiver after all

## Our favourite set up

$\mathcal{N} = 1$  quiver gauge theories dual to **regular** and **fractional  $D3$ -branes** at Calabi-Yau singularities.

The presence of fractional branes induces a **non-trivial RG flow** which breaks conformality.

At low-energies, there is a wealth of expected behaviors:

- ▶ **confinement** (and chiral symmetry breaking)
- ▶ **abelian Coulomb phase** ( $\mathcal{N} = 2$  or  $\mathcal{N} = 4$ )
- ▶ Supersymmetry breaking by **runaway** or in a **metastable vacuum** (DSB)

These different behaviors can appear **in the same quiver** gauge theory in different regions of field space (branches of moduli space) or for different ranks of the gauge groups.

### Field theory point of view

fix the theory in the UV and then see that for different values of the ranks, there are **different moduli spaces** which can have several branches (and possibly runaway potentials)

### String theory point of view

fix the asymptotic geometry and the number of fractional branes. Different kinds of fractional branes lead to **different effects** (transitions, smoothings) on the singular geometry

There is a **dictionary** between **geometric** and **gauge theoretic effects**.

The **UV completion** of the low-energy theories usually involves an infinite number of degrees of freedom.

This is of course related to the **AdS/CFT** origin of the non-conformal theories that we are dealing with, the ultimate UV completion being **string theory**.

## Rough dictionary

- ▶ Confinement: **deformation** of the singularity in the IR, **cascade of Seiberg dualities** as UV completion. [Klebanov Strassler 00, Maldacena Nunez 00, Vafa 00]
- ▶  $\mathcal{N} = 2$  Coulomb branch: **enhancement radius** in the IR, UV completion in terms of a **cascade of higgsings**.  
[Polchinski 00, Bertolini et al. 00, Aharony 01]
- ▶ Runaway: **obstructed deformation** in IR, cascade of Seiberg dualities in UV in some cases. [Berenstein et al. 05, Bertolini et al. 05, Franco et al. 05]
- ▶ Metastable vacua:  **$\overline{D3}$ -branes** at the tip of a (smooth) geometry with fluxes instead of (regular)  $D3$ -branes. [Kachru Pearson Verlinde 01]



## Conifold/ $\mathbb{Z}_2$

In the rest of this talk, we will consider this **very simple geometry** where most of the behaviors above can be reproduced.

It is obtained starting from the **Conifold**

$$xy = zt$$

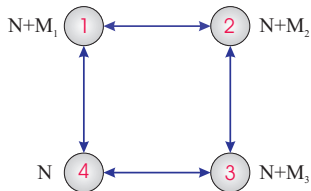
and modding by the  $\mathbb{Z}_2$  identification

$$(x, y, z, t) \rightarrow (x, y, -z, -t)$$

so that it is defined by the simple equation in  $\mathbb{C}^4$

$$x^2 y^2 = uv$$

The quiver corresponding to the **Conifold**/ $\mathbb{Z}_2$  is



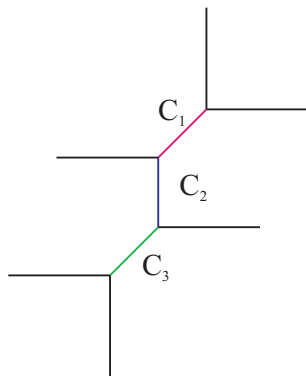
with superpotential

$$W = h \sum_{i=1}^4 (-1)^{i+1} X_{i,i+1} X_{i+1,i+2} X_{i+2,i+1} X_{i+1,i}$$

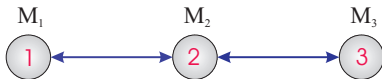
Also seen as a  $\mathbb{Z}_2$  “orbifold” of the 2-node quiver for the **Conifold**.

It is **non-chiral**, and the **ranks can be chosen freely**.

Geometrically, there are **3 independent fractional branes**, corresponding to the 3 independent **resolutions** of the singularity.



When there are **only fractional branes** ( $N = 0$ ), as should be for low enough energies (**bottom** of some cascading RG flow):

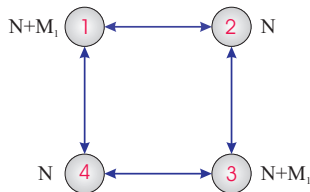


$$W = hX_{12}X_{23}X_{32}X_{21}$$

For different values of the ranks  $M_1, M_2, M_3$  we find a variety of behaviors in the IR: **confinement**, **Coulomb branch** ( $\simeq \mathbb{C}$ ), **runaway** and even **metastable DSB**.

**Confinement:**

$$M_1 = M_3, M_2 = 0$$



This is exactly the  $\mathbb{Z}_2$  orbifold of the quiver for the Conifold with a fractional brane:



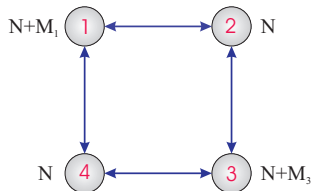
The dual geometry undergoes **two** geometric transitions,

with an **equal** deformation parameter:  $(xy - \epsilon)^2 = uv$

The **full SUGRA solution** of the dual description is given by the solution of Klebanov and Strassler modded by  $\mathbb{Z}_2$ .

More confinement:

$$M_1 \neq M_3, M_2 = 0$$



The geometry has now two **different** deformation parameters and is **completely smooth**:

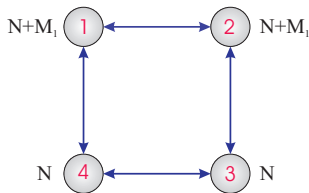
$$(xy - \epsilon_1)(xy - \epsilon_3) = uv$$

The case  $M_3 = 0, \epsilon_3 = 0$  is just a special case.

## Abelian Coulomb phase

 $(\mathcal{N} = 2)$ :

$$M_1 = M_2, M_3 = 0$$

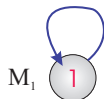


The RG flow is a **cascade of higgsings** (more similar to  $\mathcal{N} = 2$  baryonic roots rather than  $\mathcal{N} = 1$  Seiberg dualities). [See Benini Bertolini Closset Cremonesi 08]

At the bottom it reduces to a two node quiver with  $W = 0$



which has a single node  
 $\mathcal{N} = 2$  **Coulomb branch**



The **abelian Coulomb vacua** are really deriving from an  $\mathcal{N} = 2$  system of fractional branes at a  $\mathbb{C}^2/\mathbb{Z}_2$  singularity.

The identification

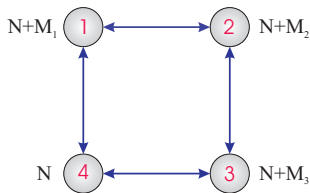
$$(x, y, z, t) \rightarrow (x, y, -z, -t)$$

has its fixed points at  $z = 0 = t$ . This is a **one-complex dimensional** locus composed of two branches  $\simeq \mathbb{C}^*$ :

$$x = 0 \text{ and } y = 0.$$



The more general case shows an **interplay** of the previous RG flows



This interplay can lead to

- ▶ **several branches** displaying **different behaviors**
- ▶ or to a more destructive runaway behavior

We will even see an instance of a **metastable vacuum** “between” a SUSY confining vacuum and a Coulomb branch.

## Description in term of a supergravity solution

[ABBCC 08]

The ansatz is the usual **D3-brane** one (we fix  $\tau = i$ )

$$\begin{aligned}
 ds_{10}^2 &= h^{-1/2} dx_{1,3}^2 + h^{1/2} ds_6^2 \\
 F_5 &= (1 + *_{10}) dh^{-1} \wedge d\text{Vol}_{3,1}
 \end{aligned}$$

- ▶ In the **UV**, the 6-dimensional space is simply the **singular** Calabi-Yau cone  $x^2 y^2 = uv$ .
- ▶ In the presence of **fractional branes**, a 3-form flux  $G_3 = F_3 + iH_3$  is turned on.
- ▶  $\mathcal{N} = 1$  SUSY is preserved when the flux is **(2, 1) primitive**, in particular  $*_6 G_3 = i G_3$

[Grana Polchinski 00]

**$F_3$  is fixed** by matching the brane charges at large radii,  **$H_3$  follows** by the above condition.

$F_3$  is fixed in terms of the ranks of the quiver.

By the correspondence between **ranks** and branes wrapping specific **cycles**, one can determine

$$\begin{aligned}
 F_3 \sim & (M_1 - M_2 + M_3) \omega_3^{CF} \\
 & + (M_1 - M_2 - M_3) d\psi' \wedge \omega_2^{x=0} \\
 & + (-M_1 - M_2 + M_3) d\psi'' \wedge \omega_2^{y=0}
 \end{aligned}$$

- ▶  $\omega_3^{CF}$  is the same “**untwisted**” flux term appearing in the KT/KS solutions. [Klebanov Tseytlin 00, Klebanov Strassler 00]
- ▶ In the “**twisted**” flux terms,  $\omega_2^{x,y=0}$  is the 2-form on the **exceptional 2-cycle** of the  $A_1$ -singularity on the  $x = 0$  or  $y = 0$  lines. The angular variables on the two lines are related by  $\psi' \sim 2\pi - \psi''$ .

Warp factor:

$$\Delta h = *_6(F_3 \wedge H_3)$$

**Twisted** and **Untwisted** pieces in the flux source term **do not mix**.

Solution is thus

$$h = h^{KT} + h^{\text{twisted}}$$

with the **twisted** part similar in spirit to the warp factor for branes on  $\mathbb{C}^2/\mathbb{Z}_2$ .

[Bertolini et al. '00]

There will be generically an **enhançon** radius.

What about the **IR dynamics** of the theory?

We still expect a **warped** geometry like

$$ds_{10}^2 = h^{-1/2} dx_{1,3}^2 + h^{1/2} ds_6^2$$

but now the 6-dimensional space  $ds_6^2$  is no longer necessarily a cone.

It should be related now to the **deformations** of the orbifolded conifold.

- ▶ There is an additional dimensionful quantity  $R_\epsilon$  related to the complex deformation by  $\epsilon \equiv R_\epsilon^3$ .

If there are  $\mathcal{N} = 2$  **branes** around, they introduce a twisted sector

- ▶ It implies the presence of an **enhancement** radius  $\rho_c$ .

It all depends on whether  $\rho_c \gg R_\epsilon$  or  $\rho_c \ll R_\epsilon$ .

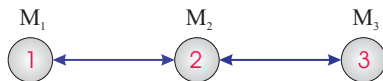
If  $\rho_c \gg R_\epsilon$

- ▶ The deformation is **cloaked** by the enhançon.
- ▶ The **UV** solution is a **very good approximation** in the **IR**.
- ▶ The second node is the one with the dominant dynamics  $\Lambda_2 \gg \Lambda_1, \Lambda_3$ .

If  $\rho_c \ll R_\epsilon$

- ▶ We expect a solution that **differs** in the IR with respect to the UV one.
- ▶ It should correspond to an **orbifold of KS** with **twisted fluxes**.
- ▶ It is related to the situation where  $\Lambda_1, \Lambda_3 \gg \Lambda_2$ .

In the latter case, some checks can be made on the geometry. In particular, the **Gukov-Vafa-Witten**  $W$  reproduces the **Taylor-Veneziano-Yankielowicz**  $W$  computed in the gauge theory.



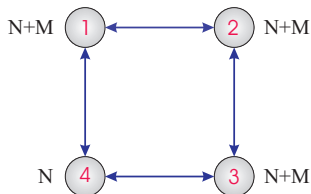
### In summary:

- ▶ If  $M_2 = 0$ , geometric transition to a **smooth** background:  
**confinement**
- ▶ If  $M_2 \neq 0$ , there are still some wrapped **branes** around:  
**Coulomb branch**

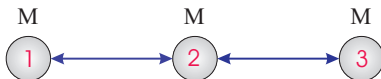
## A special case:

$$M_1 = M_2 = M_3 \equiv M$$

[ABFK 06]



After a cascade of Seiberg dualities one ends up in a **3-node** quiver:



$$W = hX_{12}X_{23}X_{32}X_{21}$$



Both node **1** and **3** have  $N_f = N_c$ . At scales of the order of  $\Lambda_1, \Lambda_3$ , they confine and the dynamics is given in terms of **mesons** and **baryons**.

[Seiberg 94]

$$W = h\mathcal{M}_1\mathcal{M}_3 + \lambda_1(\det \mathcal{M}_1 - \mathcal{B}\tilde{\mathcal{B}} - \Lambda_1^{2M}) + \lambda_3(\det \mathcal{M}_3 - \mathcal{C}\tilde{\mathcal{C}} - \Lambda_3^{2M})$$

The deformed moduli space has actually **two distinct branches** for each node because of the quartic coupling **h**: **Baryonic** and **Mesonic**.

## On Baryonic<sub>1</sub>-Baryonic<sub>3</sub> branch

- ▶ Left with a **pure  $SU(M)$  SYM** at node 2, with confining IR dynamics.
- ▶ Gravity dual based on the deformed geometry  $(xy - \epsilon)xy = uv$ , flux but **no physical branes** in the background.

## On Mesonic<sub>1</sub>-Mesonic<sub>3</sub> branch

- ▶ Left with the  $SU(M)$  gauge group at node 2 with a **massless adjoint** given by  $\mathcal{M}_1 \propto \mathcal{M}_3^{-1}$ . It has  $\mathcal{N} = 2$  SUSY at low energies, and an abelian Coulomb branch.
- ▶ Gravity dual is based on  $(xy - \epsilon)^2 = uv$  with flux and  **$M$  fractional D3-branes** wrapped on the exceptional cycle of the  $A_1$ -singularity.

## What about Mesonic<sub>1</sub>-Baryonic<sub>3</sub> ?

There is a **tension** among the different F-terms, which cannot be solved simultaneously.

- ▶  $\langle \mathcal{M}_1 \rangle = \Lambda_1^2 \neq 0$  acts as an **effective mass term** for the flavors of node 3.
  - ▶ This is actually **SUSY breaking by the rank condition** of ISS (in the limiting case of  $N_f = N_c$ ), with **dynamically generated masses**.
- [Intriligator Seiberg Shih 06]
- ▶ We have thus a (candidate) **metastable vacuum** on the field theory side.

[Disclaimer: incalculable instability towards turning on the baryons]

## Gravity dual of this metastable state?

Note first that

$$Q_3 \equiv \int H_3 \wedge F_3 + N_3 - \bar{N}_3$$

A shift in  $\int_B H_3$  can be compensated by the presence of  $D3$ s or  $\bar{D}3$ s.

For instance, for  $\int_A F_3 = M$  and  $\bar{N}_3 = 0$ , a situation with

$$\int_B H_3 = k \text{ and } N_3 = 0$$

has the same charge at infinity (and thus describes the same theory) as a situation with

$$\int_B H_3 = k - 1 \text{ and } N_3 = M.$$

This is interpreted as going over the ( $\mathcal{N} = 4$ ) mesonic branch **one step up** in the cascade.

But we can also shift in the other direction:

[Kachru, Pearson, Verlinde '01]

$$\int_B H_3 = k + 1 \text{ and } \bar{N}_3 = M$$

This configuration has the same value of  $Q_3$ , and the same asymptotics, but it **breaks SUSY!**

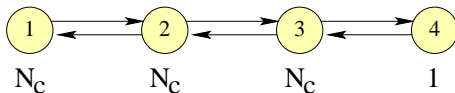
We interpret it as the  $(xy - \epsilon)^2 = uv$  geometry with flux,  $M$  **fractional  $D3$ -branes** and  $M$   **$\overline{D3}$ -branes**.

The  **$\overline{D3}$ -branes** cannot annihilate with the fractional  $D3$ -branes, but are rather **dissolved** in them as (SUSY breaking) gauge flux.

## A more refined 4-node model

Embeddable in  $\text{Conifold}/\mathbb{Z}_N$ 

[ABFK 07]



$$W = h(X_{12}X_{23}X_{32}X_{21} - X_{23}X_{34}X_{43}X_{32}) + mX_{43}X_{34}$$

Main **improvements**:

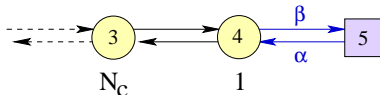
- ▶ **IR free** window:  $N_f = N_c + 1$  SQCD (with quartic coupling)
- ▶ R-symmetry is **broken** [Kitano Ooguri Ookouchi 06]

Retains **nice features** of previous model:

- ▶ All masses generated **dynamically**, even  $m$
- ▶ Geometrical picture **unchanged** (up to  $1/N_c$  corrections)

## A very useful stringy instanton

The mass coupling  $m$  is generated by a **stringy instanton** related to the (unoccupied) 5th node



$$W_{\text{inst}} \propto \int d\alpha d\beta e^{-\alpha X_{43} X_{34} \beta} \propto c X_{43} X_{34} e^{-\text{Area}} \equiv m X_{43} X_{34}$$

An **orientifold projection** is actually needed to avoid unwanted extra fermionic zero modes

[see e.g. RA, Bertolini, Ferretti, Lerda, Petersson 07]

## Conclusions and Outlook

- ▶ SUSY breaking on the gauge theory side is achieved through  $\langle F \rangle \neq 0$  (ISS), while on the gravity side  $\overline{D3}$ -branes do the job (KPV).
- ▶ The scale of SUSY breaking is **hierarchically small** on both sides, and is directly related to one of the **dynamical scales** of the set up (no external parameters as in ISS).
- ▶ There is still some work to be done to flesh out this proposal. Beyond the backreaction of the fractional  $D3$ -branes in the SUSY backgrounds, one needs to compute the **backreaction of the  $\overline{D3}$ -branes** in the metastable background. [De Wolfe, Kachru, Mulligan '08]