

# Lepton number and flavour violation

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1 – Outline

- Lepton number violation
  - Nature of neutrinos
  - Neutrinoless double beta decay
  - Neutrino masses
  - CPV
- Lepton flavour violation
  - SM predictions
  - The see saw mechanism
  - Other processes

## Questions for the future

- **What is the nature of neutrinos?**

Whether they Majorana ( $\nu = \bar{\nu}$ ) or Dirac ( $\nu \neq \bar{\nu}$ ). Majorana neutrinos violate the lepton number.

- **Absolute value of neutrino masses?**

Needed the **type of hierarchy** and the mass scale of the lightest neutrino.

- **Leptonic CP-violation?**

$\delta \neq 0, \pi$  and/or  $\alpha_{ij} \neq 0, \pi$ .

- **Standard scenario?**

NSI, sterile neutrinos, violations of unitarity.

## Nature of neutrinos

Neutrino can be either

**Dirac**

or

**Majorana**

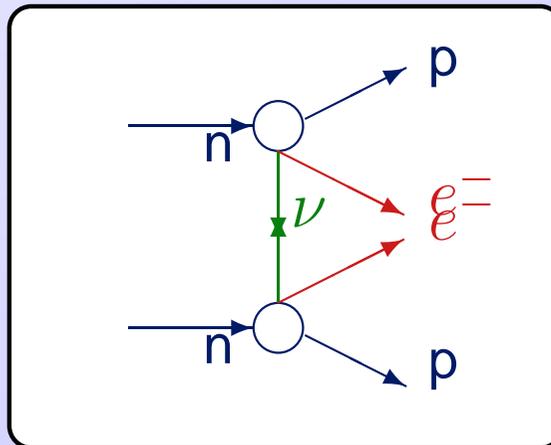
lepton number conserved

lepton number broken

- The **nature of neutrinos** is directly related to the **fundamental symmetries** of elementary particles interactions.
- It provides important information on the **origin of neutrino masses**: in the see-saw mechanism neutrinos are predicted to be Majorana particles.
- Lepton number violation is one of the key ingredients of **leptogenesis** as the mechanism for generating the baryon asymmetry of the Universe.

2 –  $(\beta\beta)_{0\nu}$ -decay

**neutrinoless double beta decay**:  $(A, Z) \rightarrow (A, Z + 2) + 2e^-$ , is the most sensitive of processes ( $\Delta L = 2$ ) which can probe the **nature of neutrinos** (Dirac vs Majorana).



$(\beta\beta)_{0\nu}$ -decay has a special role in the study of neutrino properties,

- as it probes the violation of **global lepton number**,
- it might provide information on the **neutrino mass spectrum**
- and test **CP-V**.

The **half-life time**,  $T_{0\nu}^{1/2}$  can be factorized, for light Majorana neutrinos, as:

$$\left[ T_{0\nu}^{1/2}(0^+ \rightarrow 0^+) \right]^{-1} \propto |M_F - g_A^2 M_{GT}|^2 |\langle m \rangle|^2$$

- $|\langle m \rangle|$  **is the effective Majorana mass parameter:**

$$|\langle m \rangle| \equiv \left| m_1 |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha_{31}} \right|,$$

The present best limit on  $|\langle m \rangle|$  reads:

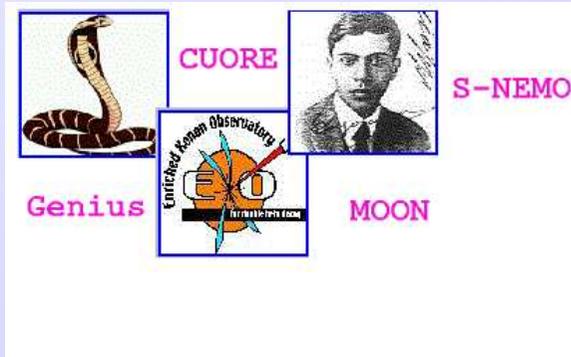
$ \langle m \rangle  < (350 - 1050) \text{ meV}$	<b>Heidelberg-Moscow</b>
$ \langle m \rangle  < (680 - 2800) \text{ meV}$	<b>NEMO3</b>
$ \langle m \rangle  < (190 - 680) \text{ meV}$	<b>CUORICINO</b>

A claim of  $(\beta\beta)_{0\nu}$  decay discovery has been published [Klapdor-Kleingrothaus et al., PLB 2004]. It implies

$$|\langle m \rangle| \simeq 200 - 600 \text{ meV}.$$

There are prospects to improve the present limit and test the discovery claim down to  $|\langle m \rangle| \sim 200 - 300$  meV in the present experiments

**NEMO3** and **Cuoricino**



and by one order of magnitude,

$$|\langle m \rangle| \sim 10 - 30 \text{ meV},$$

in the new generation of experiments which is now under R&D and construction

(**CUORE**, Majorana, Super**NEMO**, **EXO**, GERDA, COBRA, SNO+, NEXT).

The predictions for  $|\langle m \rangle|$  depend strongly on the type of spectrum.

- NH:  $|\langle m \rangle| \simeq \left| \sqrt{\Delta m_{\odot}^2} \cos^2 \theta_{13} \sin^2 \theta_{\odot} + \sqrt{\Delta m_{\text{atm}}^2} \sin^2 \theta_{13} e^{i\alpha_{32}} \right|$

$$2.5 \text{ meV} \lesssim |\langle m \rangle| \lesssim 3.9 \text{ meV}$$

- IH:

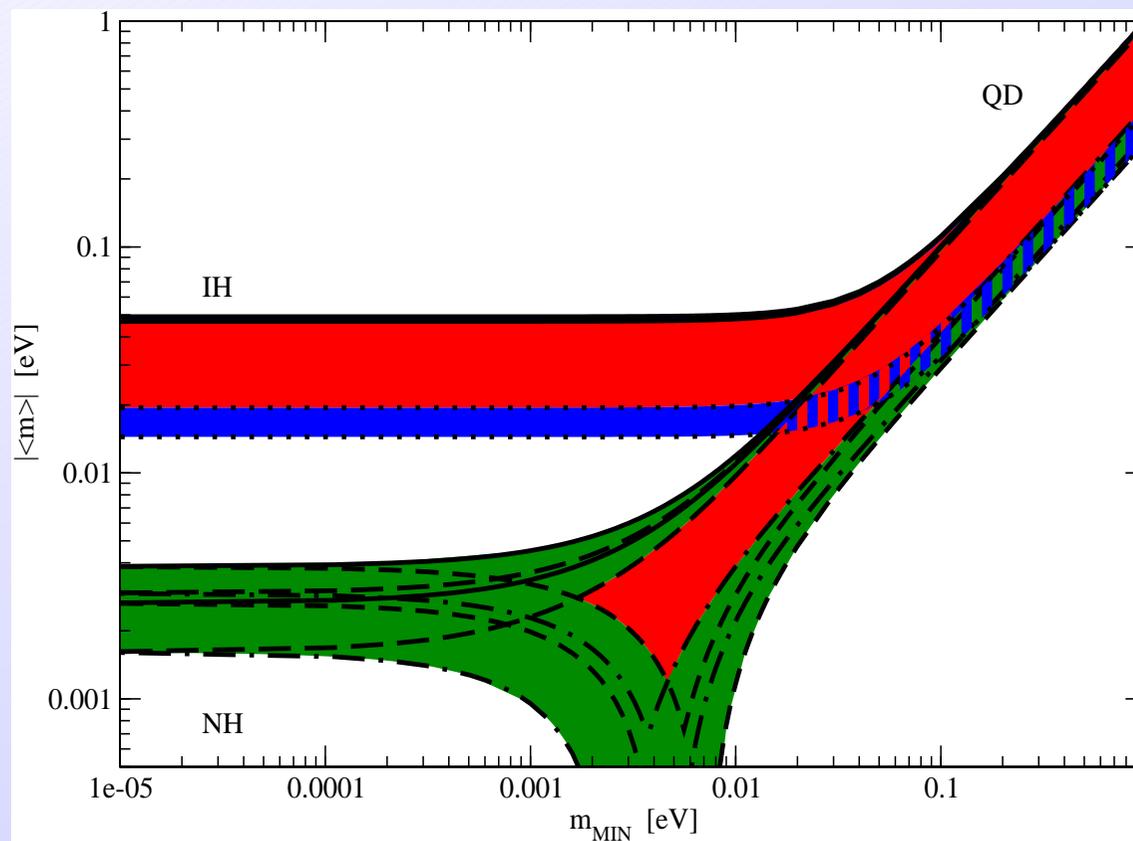
$$\sqrt{\Delta m_{\text{atm}}^2} \cos 2\theta_{\odot} \leq |\langle m \rangle| \simeq \sqrt{\left(1 - \sin^2 2\theta_{\odot} \sin^2 \frac{\alpha_{21}}{2}\right) \Delta m_{\text{atm}}^2} \leq \sqrt{\Delta m_{\text{atm}}^2}$$

$|\langle m \rangle|$  has a significant **lower and upper bound**

$$0.01 \text{ eV} \lesssim |\langle m \rangle| \lesssim 0.05 \text{ eV}$$

- QD:  $|\langle m \rangle| \simeq m_{\bar{\nu}_e} \left| \left( \cos^2 \theta_{\odot} + \sin^2 \theta_{\odot} e^{i\alpha_{21}} \right) \cos^2 \theta_{13} + \sin^2 \theta_{13} e^{i\alpha_{31}} \right|$

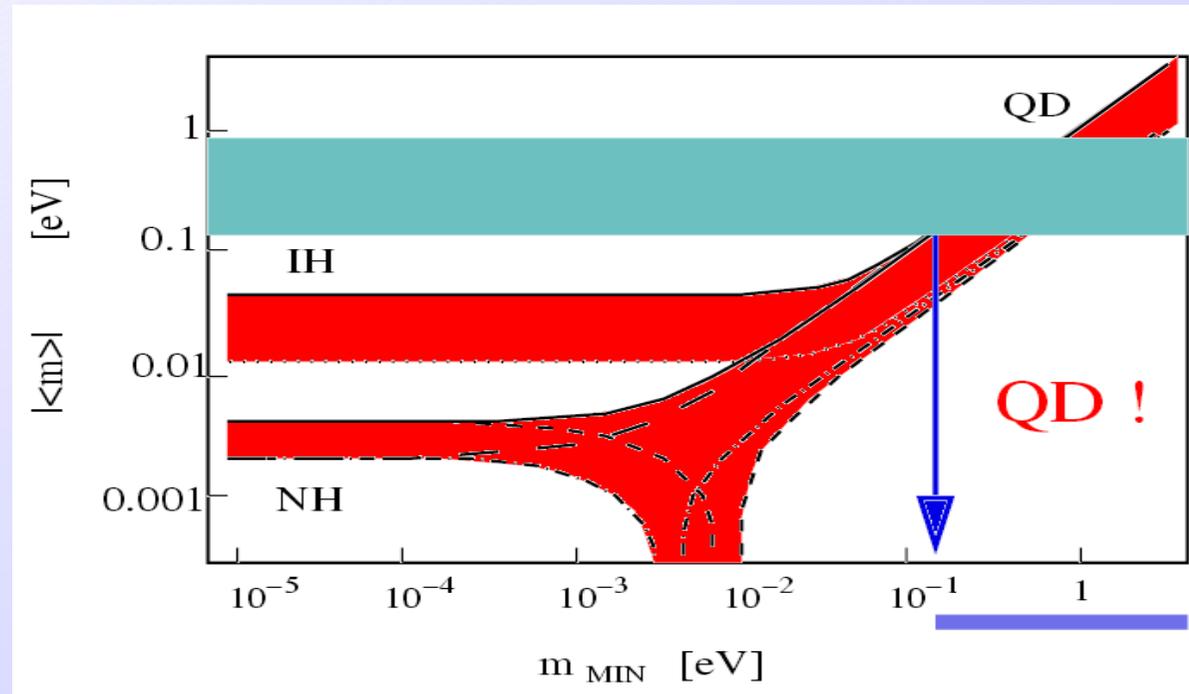
$$|\langle m \rangle| \gtrsim 0.044 \text{ eV}$$



**All the allowed range for  $|\langle m \rangle|$  for QD and IH is in the range of sensitivity of present and upcoming  $(\beta\beta)_{0\nu}$ -decay experiments.**

[S.P., Petcov, PLB2003; S.P., Petcov, Rodejohann, 2003; S.P., Petcov and Schwetz, 2005, S. P., Petcov, 2008]

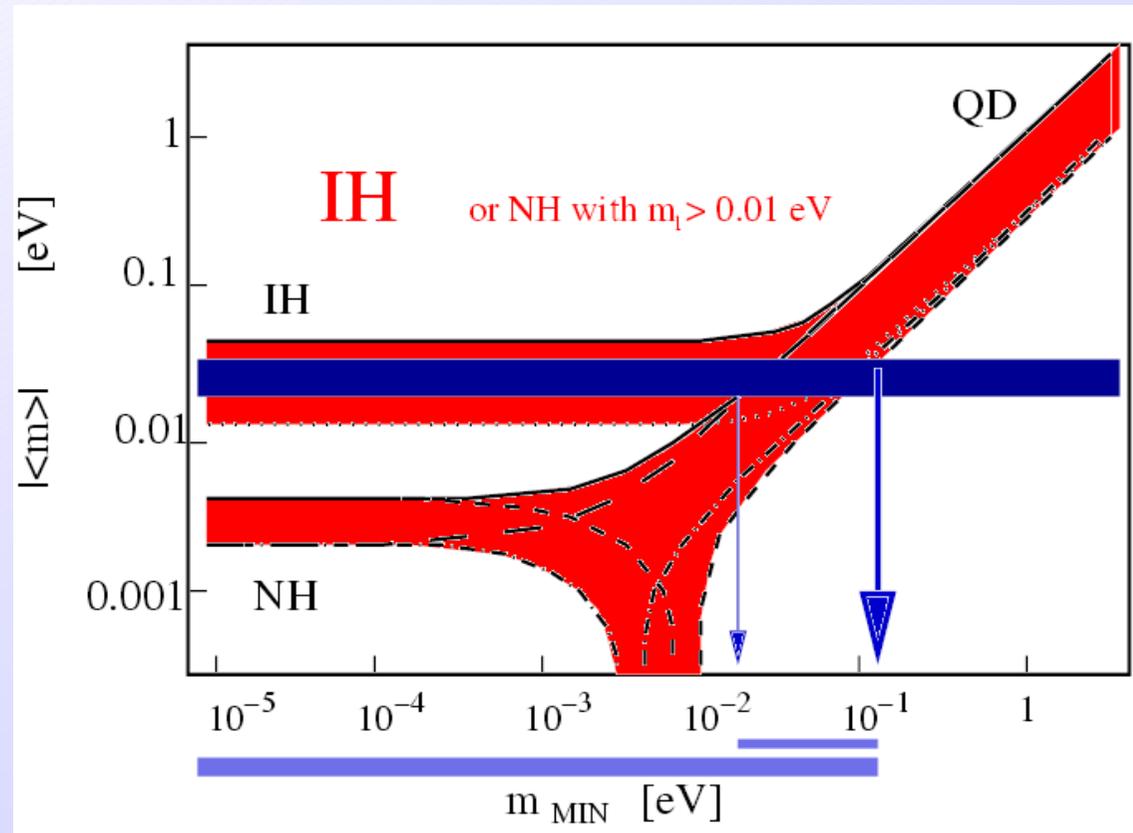
3 –  $(\beta\beta)_{0\nu}$  decay and determining  $\nu$  masses



If  $|\langle m \rangle| > 0.2$  eV, this would imply QD spectrum ( $m_0 > 0.2$  eV).

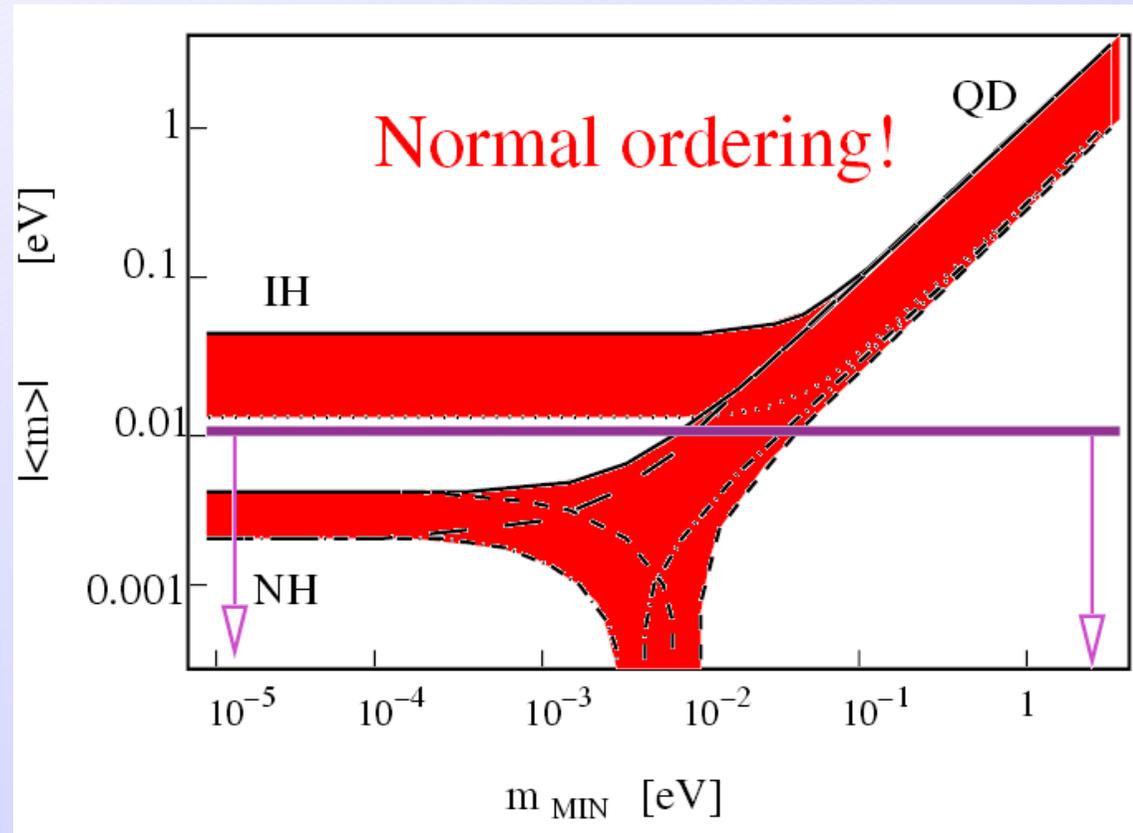
$(\beta\beta)_{0\nu}$  exp can also constrain a range of  $m_0$ :  $|\langle m \rangle| \leq m_0 \leq \frac{|\langle m \rangle|}{\cos 2\theta_{\odot}}$

The measurement of  $m_0$  is entangled with the value of the Majorana CP-violating phases.



If  $0.01 \text{ eV} \lesssim \langle m \rangle \lesssim 0.05 \text{ eV}$ , the spectrum is of the IH type: inverted hierarchy and  $m_{\text{MIN}}$  negligible.

There is a narrow range of values of  $m_{\text{MIN}}$  for which the hierarchy can be normal. To disentangle the two cases additional information is required.



If  $|\langle m \rangle| < 0.01$  eV, the only possibility is normal hierarchy, for Majorana neutrinos.

4 –  $(\beta\beta)_{0\nu}$ -decay and CP-violation

Let's consider the most favourable case: Mass quasi degeneracy (QD)

$$(m_1 \simeq m_2 \simeq m_3 \equiv m_{\bar{\nu}_e})$$

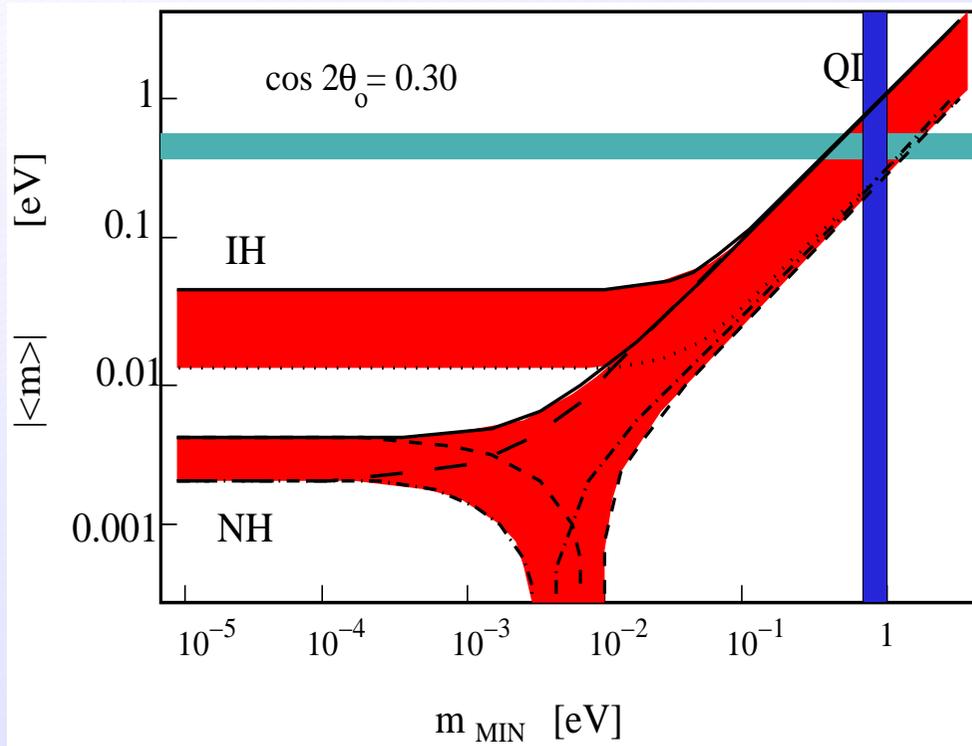
$$|\langle m \rangle| \simeq m_{\bar{\nu}_e} \left| \left( \cos^2 \theta_{\odot} + \sin^2 \theta_{\odot} e^{i\alpha_{21}} \right) \cos^2 \theta_{13} + \sin^2 \theta_{13} e^{i\alpha_{31}} \right|$$

$|\langle m \rangle|$  can provide information on  $m_1$ ,  $\alpha_{21}$  and  $\alpha_{31}$ .

It is necessary to know with very good precision:

- the oscillation parameters ( $\theta_{\odot}$ )
- the neutrino mass values  $m_{\bar{\nu}_e}$
- $|\langle m \rangle|$

Challenging task!



In principle, measuring  $|\langle m \rangle|$  combined with a measurement of  $m_1$  (in  $\beta$ -decay exp. and/or cosmology) would allow to establish if

**CP is violated**

and to constrain the **CPV phases**, with known neutrino mass spectrum.

Due to the experimental errors on the parameters and **nuclear matrix elements uncertainties**, **determining that CP is violated in the lepton sector** due to Majorana CPV phases is **challenging**. (Barger et al.; S.P., Petcov, Rodejohann)

## 5 – $(\beta\beta)_{0\nu}$ -decay and Leptogenesis

- Leptogenesis takes place in the context of see-saw models, which explain the origin of neutrino masses. It can successfully explain the observed baryon asymmetry.

- $L$  violation;

It requires:

- $C$  and  $CP$  violation;

- out of equilibrium (expansion of the Universe).

The observation of  $L$ -violation and of CPV in the lepton sector would be an indication, even if not a proof, of leptogenesis as the explanation for the observed baryon asymmetry of the Universe.

**$(\beta\beta)_{0\nu}$ -decay plays a crucial role in testing the conditions necessary for leptogenesis.**

## 6 – The use of different nuclei

It is important to have experiments which use different nuclei.

1. independent evidences
2. reduce the impact of nuclear matrix elements

$$(T_{0\nu}^{1/2})^{-1} \propto M_{\text{nuclear}}^2 |\langle m \rangle|^2$$

$M_{\text{nuclear}}$  are the limiting factor in extracting information on CP-violation from  $(\beta\beta)_{0\nu}$ -decay and affect the sensitivity to neutrino masses!

3. distinguishing the mechanism of neutrinoless double beta decay

## 7 – Sinergy between $(\beta\beta)_{0\nu}$ -decay and neutrino exp

- $(\beta\beta)_{0\nu}$ -decay and LBL exp are **complementary** in the quest for  $\nu$ -masses.

They exploit completely different physics effects.

1) If  $\sin^2 2\theta_{13} \ll 0.001 - 0.0001$ , future generation LBL experiments will not be able to resolve the type of hierarchy.  $(\beta\beta)_{0\nu}$ -decay is a viable alternative.

2) If  $\nu$ 's are Dirac particles,  $(\beta\beta)_{0\nu}$ -decay will not find any signal. Neutrino oscillations in LBL exp. do not depend on neutrino nature and can determine the type of hierarchy.

- $(\beta\beta)_{0\nu}$ -decay and LBL have a strong sinergy: important information can be obtained from **combining** their results.

Probing Dirac particles and lepton number conservation:

If LBL establishes that is IH and  $(\beta\beta)_{0\nu}$ -decay reach a sensitivity of

$|\langle m \rangle| \sim 10$  meV without finding a signal, this implies that neutrinos are

**Dirac particles.**

- $(\beta\beta)_{0\nu}$ -decay and  $\beta$ -decay have a strong sinergy:

Determine **CP-V** using the measurement of  $m_1$  in  $\beta$ -decay exp together with

$|\langle m \rangle|$  from  $(\beta\beta)_{0\nu}$ -decay.

8 –  $(\beta\beta)_{0\nu}$ -decay: Conclusions

- Establishing **the nature of neutrinos**, **their masses** and **CPV** is of fundamental importance for understanding the origin of neutrino masses and flavour.
- $(\beta\beta)_{0\nu}$ -decay **plays a special role** as it can test lepton number violation, provide information on the neutrino mass spectrum and, possibly, on CPV.
- The next generation of experiments will be able to probe all the allowed range of values for  $|\langle m \rangle|$  in the case of QD and most of it for IH.
- $(\beta\beta)_{0\nu}$ -decay is crucial in testing the conditions for **leptogenesis**.
- $(\beta\beta)_{0\nu}$ -decay has important **synergies** and complementarities with other experiments.

**9 – Lepton flavour violation**

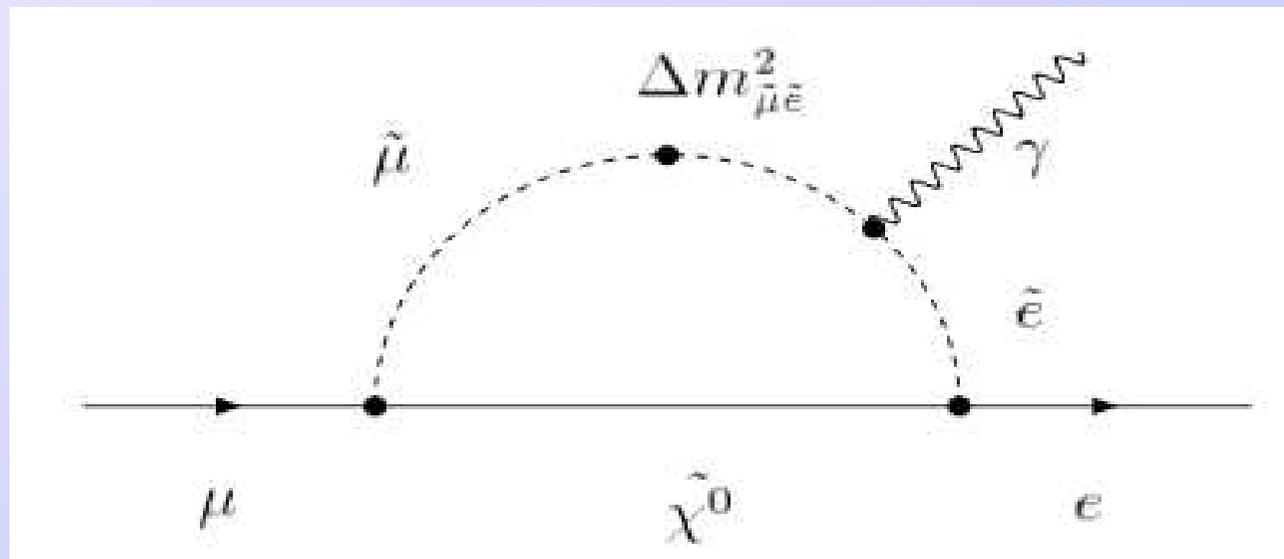
Neutrino oscillations imply **lepton flavour violation**. So we expect LFV processes:

$$\mu \rightarrow e\gamma, \mu N \rightarrow eN, \mu \rightarrow eee$$

$$\text{Br}(\mu \rightarrow e\gamma) = \frac{3\alpha}{32\pi} \left| \sum_j U_{\mu j}^* U_{ej} \frac{\Delta m_{1j}^2}{M_W^2} \right|^2 < 10^{-54}$$

We expect much larger rates if there is new physics at the electroweak scale and if mixing in the lepton sector is large.

$$\mathcal{L} = \frac{m_\mu}{(k+1)\Lambda^2} \bar{\mu}_R \sigma_{\mu\nu} e_L F^{\mu\nu} + \frac{k}{(1+k)\Lambda^2} \bar{\mu}_L \gamma_\mu e_L (\bar{u}_L \gamma^\mu u_L + \bar{d}_L \gamma^\mu d_L)$$



## 10 – The see-saw mechanism

The see-saw mechanism provides a natural explanation for the smallness of neutrino masses. [Minkovski; Yanagida; Gell-Mann, Ramond, Slansky]

At high energy ( $10^9 - 10^{15}$  GeV), **RH neutrinos** are introduced. They are singlets with respect to the gauge group of the SM and possess very heavy Majorana masses:

$$\mathcal{L} = -Y_\nu \bar{N} L \cdot H - 1/2 \bar{N}^c M_R N$$

- **Lepton number is violated.**
- The see-saw mechanism can be embedded in GUT theories.

At low energy, integrating out the heavy neutrinos, the light neutrino masses are naturally small.

$$\mathcal{L} = (\nu_L^T N^T) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ N \end{pmatrix}$$

$$M_1 \simeq M_R$$

$$m_2 \simeq \frac{m_D^2}{M_R} \sim \frac{1 \text{ GeV}^2}{10^9 \text{ GeV}} \sim 1 \text{ eV}$$

In a 3 neutrino mixing, light masses are given by:

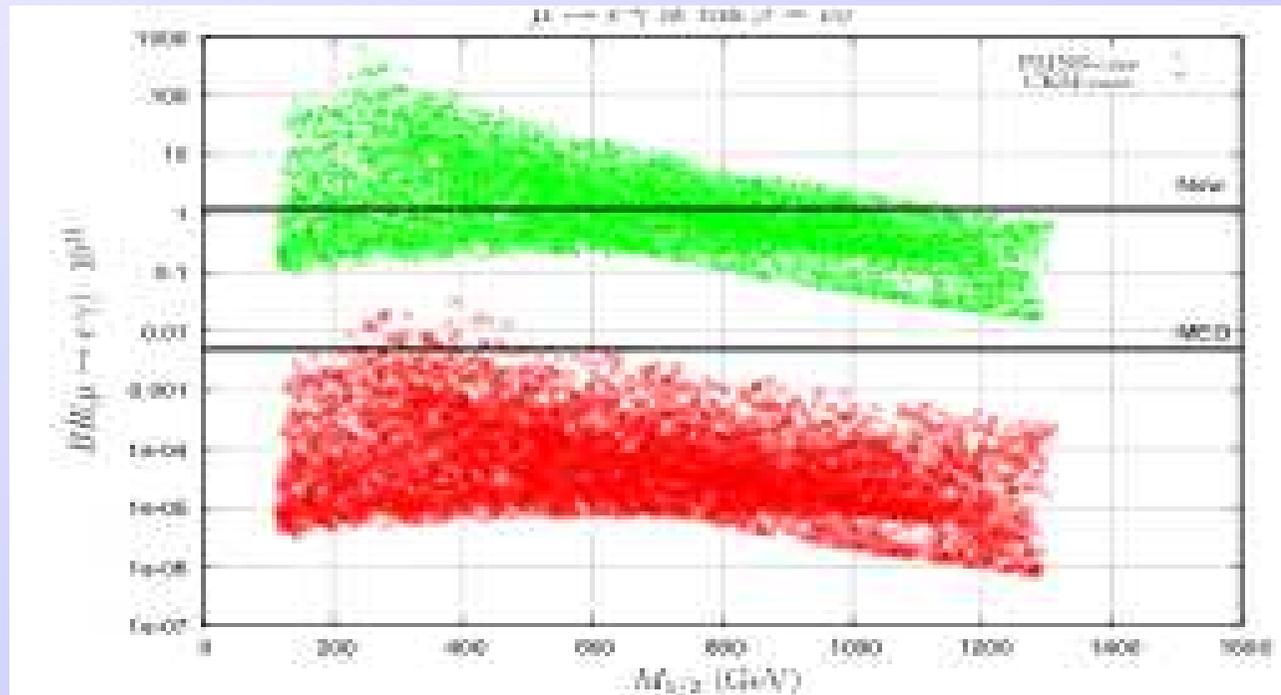
$$m_\nu = U^* d_m U^\dagger \simeq -Y_\nu^T M_R^{-1} Y_\nu v^2$$

- Light neutrinos are predicted to be Majorana particles.

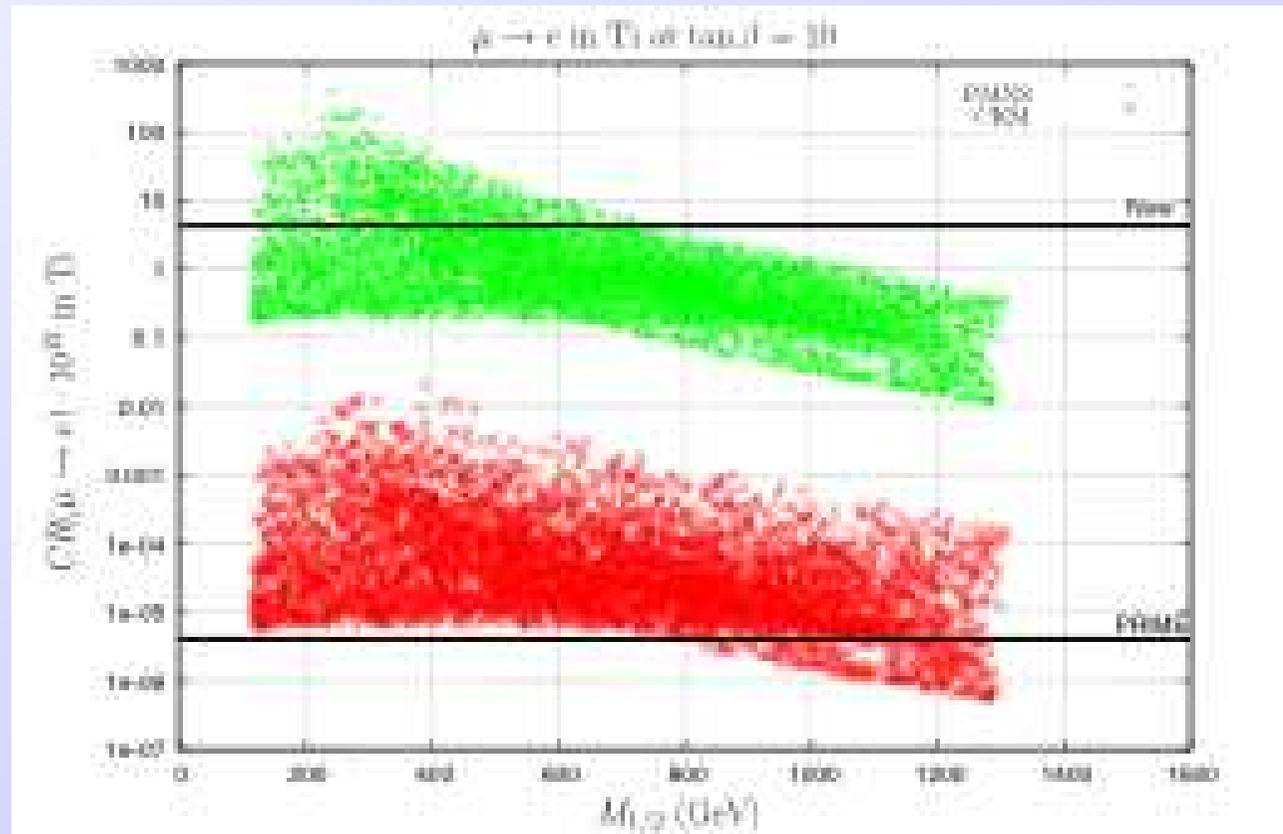
Even if the soft breaking terms are diagonal at the SUSY breaking scale  $M_X$ , the radiative corrections due to  $Y_\nu$  induce off-diagonal soft terms.

$$BR(l_i \rightarrow l_j \gamma) \propto |P_{ij}|^2$$

$$P = Y_\nu^\dagger \log \frac{M_X}{M} Y_\nu.$$



Predictions for  $\mu - e$  conversion. They can be enhanced with respect to the other LFV processes.



[Calibbi et al, 2006]

## Leptogenesis and LFV

The baryon asymmetry depends on

$$Y_B \simeq -\frac{12}{37g_*} \left( \epsilon_\tau \eta \left( \frac{390}{589} \widetilde{m}_\tau \right) - \epsilon_2 \eta \left( \frac{417}{589} \widetilde{m}_2 \right) \right)$$

where the CP-asymmetry depends on  $Y_\nu$  as

$$\epsilon_l \propto \sum_j \text{Im} \left( (Y_\nu)_{1l} (Y_\nu Y_\nu^\dagger)_{1j} (Y_\nu)_{jl}^* \right) \frac{M_1}{M_j}$$

Observing LFV processes would allow to get independent information on  $Y_\nu$  and further constrain leptogenesis.

Other mechanisms can also enhance LFV processes:

- SUSY with R-parity violation
- heavy sterile neutrinos which mix with light ones
- extra-dimension models

The dominance of one channel ( $\mu \rightarrow e\gamma$ ,  $\mu - e$  conversion,  $\mu \rightarrow eee$ ) depends on the specific model.

### 11 – LFV: Conclusions

- LFV processes are expected at an enhanced rate if new physics at the 100 GeV–1 TeV scale and large mixing in the leptonic sector.
- Indirect information can be obtained on the new physics.
- Combine this information with the one coming from low energy neutrino physics and leptogenesis as well as direct searches of new physics at colliders.