

*Parton Model and perturbative QCD*  
*Lecture II: Proton structure*

*65th Scottish Universities Summer School in Physics:*  
*LHC Physics*  
*August 2009*

Keith Ellis

ellis@fnal.gov

Fermilab

# Parton Branching and Proton structure

## ■ Non-perturbative Physics

## ■ Parton Branching

- ★ Infrared divergences
- ★ Infrared safety and sequential jet algorithms
- ★ Kinematics of parton branching
- ★ Dirac Eqn. Weyl representation
- ★ Branching probabilities

## ■ DGLAP equation

- ★ Quarks and gluons
- ★ Solution by moments

## ■ Bibliography,

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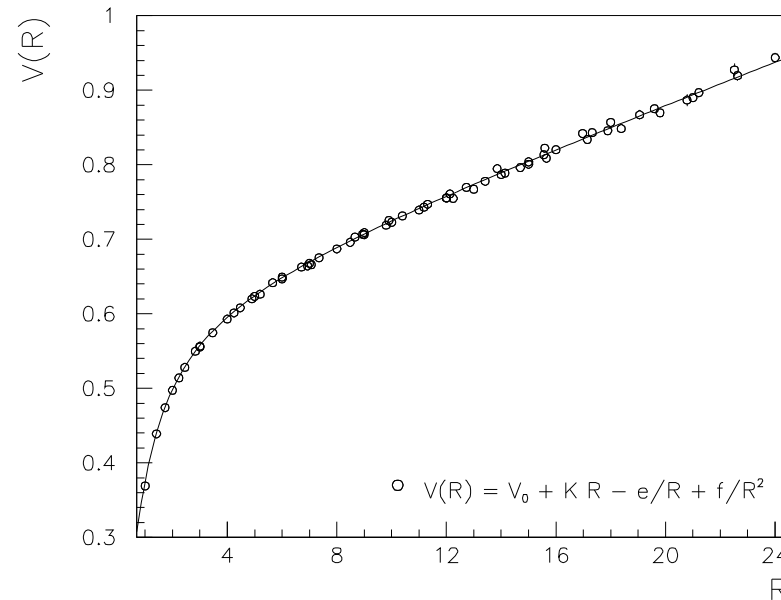
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# Non-perturbative QCD

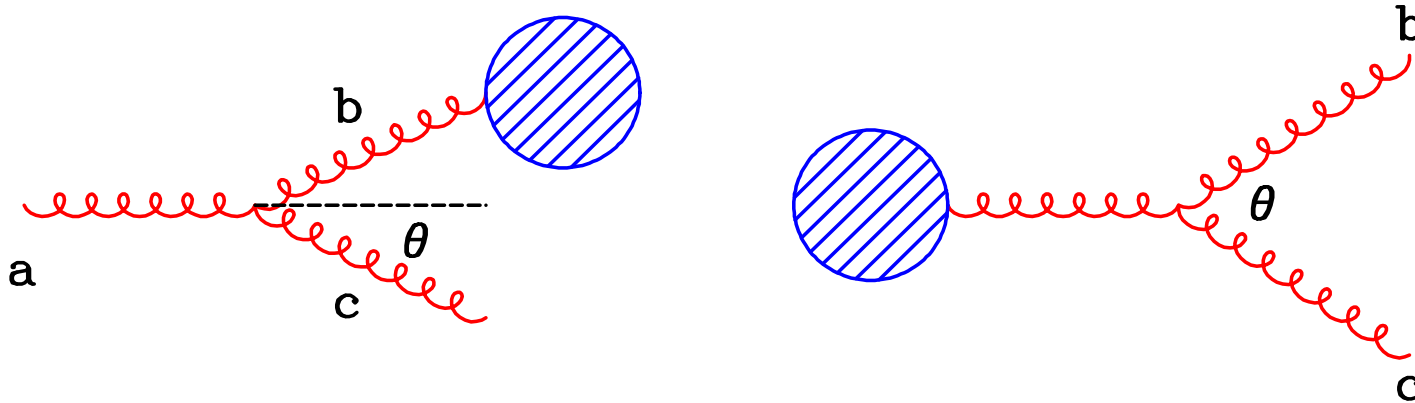
- Corresponding to asymptotic freedom at high momentum scales, we have infra-red slavery:  $\alpha_S(Q)$  becomes large a low momenta, (long distances). Perturbation theory is not reliable for large  $\alpha_S$ , so non-perturbative methods, (e.g. lattice) must be used.



- Important low momentum scale phenomena
  - ★ Confinement: partons (quarks and gluons) found only in colour singlet bound states, hadrons, size  $\sim 1$  fm. If we try to separate them it becomes energetically favourable to create extra partons from the vacuum.
  - ★ Hadronization: partons produced in short distance interactions re-organize themselves to make the observed hadrons.

# Infrared divergences

- Even in high-energy, short-distance regime, long-distance aspects of QCD cannot be ignored. Soft or collinear gluon emission gives infrared divergences in PT. Light quarks ( $m_q \ll \Lambda$ ) also lead to divergences in the limit  $m_q \rightarrow 0$  (mass singularities).



- ★ Spacelike branching: gluon splitting on incoming line (a)

$$p_b^2 = (p_a - p_c)^2 = -2E_a E_c (1 - \cos \theta) \leq 0 .$$

Propagator factor  $1/p_b^2$  diverges as  $E_c \rightarrow 0$  (soft singularity) or  $\theta \rightarrow 0$  (collinear or mass singularity).

If  $a$  and  $b$  are quarks, inverse propagator factor is

$$p_b^2 - m_q^2 = -2E_a E_c (1 - v_a \cos \theta) \leq 0 ,$$

Hence  $E_c \rightarrow 0$  soft divergence remains; collinear enhancement becomes a divergence as  $v_a \rightarrow 1$ , i.e. when quark mass is negligible. If emitted parton  $c$  is a quark, vertex factor cancels  $E_c \rightarrow 0$  divergence.

- Timelike branching: gluon splitting on outgoing line (b)

$$p_a^2 = (p_b + p_c)^2 = 2E_b E_c (1 - \cos \theta) \geq 0 .$$

Diverges when either emitted gluon is soft ( $E_b$  or  $E_c \rightarrow 0$ ) or when opening angle  $\theta \rightarrow 0$ . If  $b$  and/or  $c$  are quarks, collinear/mass singularity in  $m_q \rightarrow 0$  limit. Again, soft quark divergences cancelled by vertex factor.

- Similar infrared divergences in loop diagrams, associated with soft and/or collinear configurations of virtual partons within region of integration of loop momenta.
- Infrared divergences indicate dependence on long-distance aspects of QCD not correctly described by PT. Divergent (or enhanced) propagators imply propagation of partons over long distances. When distance becomes comparable with hadron size  $\sim 1$  fm, quasi-free partons of perturbative calculation are confined/hadronized non-perturbatively, and apparent divergences disappear.

- Can still use PT to perform calculations, provided we limit ourselves to two classes of observables:
  - ★ Infrared safe quantities, i.e. those insensitive to soft or collinear branching. Infrared divergences in PT calculation either cancel between real and virtual contributions or are removed by kinematic factors. Such quantities are determined primarily by hard, short-distance physics; long-distance effects give power corrections, suppressed by inverse powers of a large momentum scale.
  - ★ Factorizable quantities, i.e. those in which infrared sensitivity can be absorbed into an overall non-perturbative factor, to be determined experimentally.
- In either case, infrared divergences must be *regularized* during PT calculation, even though they cancel or factorize in the end.
  - ★ Gluon mass regularization: introduce finite gluon mass, set to zero at end of calculation. However, as we saw, gluon mass breaks gauge invariance.
  - ★ Dimensional regularization: analogous to that used for ultraviolet divergences, except we must increase dimension of space-time,  $\epsilon = 2 - \frac{D}{2} < 0$ . Divergences are replaced by powers of  $1/\epsilon$ .

# Infrared safety and jet algorithms

- Jets are recognised by eye when looking at an event display from a high energy collider detector.
- A jet algorithm is the way in which we formalize this concept so that both experiment and theory can produce rates for jet cross sections. A jet definition should be simple to implement both in an experimental analysis and in a theoretical calculations and should yield a finite cross sections at any order of perturbation theory. In view of the discussion given above a jet measure can only give a finite cross sections if it is insensitive to soft and collinear emission. Thus for any jet measure  $F$  we have the following two requirements.
- Insensitive to soft radiation

$$F_{\{is\}}^{(n+1)}(p_A, p_B; p_1, \dots, p_i, \dots, p_{n+1}) \xrightarrow{p_i \rightarrow 0} F_{\{is\}}^{(n)}(p_A, p_B; p_1, \dots, p_{n+1})$$

- Insensitive to collinear radiation

$$F_{\{is\}}^{(n+1)}(p_A, p_B; p_1, \dots, p_i, p_j, \dots, p_{n+1}) \xrightarrow{p_i \parallel p_j} F_{\{is\}}^{(n)}(p_A, p_B; p_1, \dots, p_i + p_j, \dots, p_{n+1})$$

# Sequential recombination jet algorithms.

As an example of an infrared safe jet algorithm, consider  $k_T$  algorithm in  $e^+e^-$  collision.

1. For each pair of particles  $i, j$  work out the separation

$$y_{ij} = \frac{2\min(E_i^2, E_j^2)(1 - \cos \theta_{ij})}{Q^2}$$

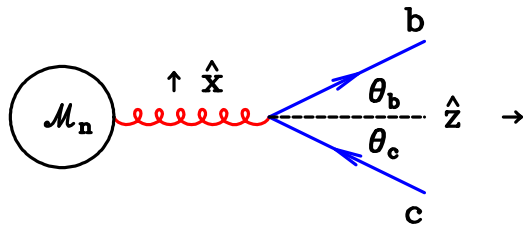
where  $E_i$  and  $E_j$  are the energies of particles  $i, j$  and  $\theta_{ij}$  is the angle between them. In the collinear limit, this expression reduces to  $k_T^2/Q^2$  where  $k_T$  is the transverse momentum of the softer parton with respect to the harder.

2. Find the minimum  $y_{min}$  of all the  $y_{ij}$ .
3. If  $y_{min}$  is smaller than a jet resolution threshold  $y_{cut}$  combine  $i$  and  $j$  into a single pseudo particle.
4. Iterate this procedure from step one until all pseudoparticles have a separation greater than  $y_{cut}$ .

This algorithm is infrared and collinear safe because any soft or collinear particle will be the first to be clustered into a pseudoparticle. The end result will thus be the same whether the resultant pseudoparticle branches or not.



# Parton branching - kinematics



$$\begin{aligned}
 p_a &= \left( E_a + \frac{p_a^2}{4E_a}, 0, 0, E_a - \frac{p_a^2}{4E_a} \right) \\
 p_b &= (E_b, +E_b \sin \theta_b, 0, +E_b \cos \theta_b) \\
 p_c &= (E_c, -E_c \sin \theta_c, 0, +E_c \cos \theta_c)
 \end{aligned}$$

- the kinematics and notation for the branching of parton  $a$  into  $b + c$ . We assume that

$$p_b^2, p_c^2 \ll p_a^2 \equiv t$$

- $a$  is an outgoing parton, which is called timelike branching since  $t > 0$ .
- The opening angle is  $\theta = \theta_b + \theta_c$ . Defining the energy fraction as

$$z = E_b/E_a = 1 - E_c/E_a ,$$

we have for small angles,  $t = 2E_b E_c (1 - \cos \theta) = z(1 - z)E_a^2 \theta^2$

- using transverse momentum conservation,

$$\theta = \frac{1}{E_a} \sqrt{\frac{t}{z(1-z)}} = \frac{\theta_b}{1-z} = \frac{\theta_c}{z} .$$

# Dirac eqn. Massless fermions

- The fermions involved in high energy processes can often be taken to be massless.
- We choose an explicit representation for the gamma matrices. The Bjorken and Drell representation is,

$$\gamma^0 = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{pmatrix}, \gamma^i = \begin{pmatrix} \mathbf{0} & \sigma^i \\ -\sigma^i & \mathbf{0} \end{pmatrix}, \gamma^5 = \begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{pmatrix},$$

The Weyl representation is more suitable at high energy

$$\gamma^0 = \begin{pmatrix} \mathbf{0} & \mathbf{1} \\ \mathbf{1} & \mathbf{0} \end{pmatrix}, \gamma^i = \begin{pmatrix} \mathbf{0} & -\sigma^i \\ \sigma^i & \mathbf{0} \end{pmatrix}, \gamma^5 = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{pmatrix},$$

In the Weyl representation upper and lower components have different helicities.

- Both representations satisfy the same commutation relations.

$$\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$$

- in the Weyl representation  $\gamma^0 \gamma^i = \begin{pmatrix} \sigma^i & \mathbf{0} \\ \mathbf{0} & -\sigma^i \end{pmatrix}$ .  $\sigma$  are the Pauli matrices.

■ The massless spinors solns of Dirac eqn are

$$u_+(p) = \begin{bmatrix} \sqrt{p^+} \\ \sqrt{p^-} e^{i\varphi_p} \\ 0 \\ 0 \end{bmatrix}, \quad u_-(p) = \begin{bmatrix} 0 \\ 0 \\ \sqrt{p^-} e^{-i\varphi_p} \\ -\sqrt{p^+} \end{bmatrix},$$

where

$$e^{\pm i\varphi_p} \equiv \frac{p^1 \pm ip^2}{\sqrt{(p^1)^2 + (p^2)^2}} = \frac{p^1 \pm ip^2}{\sqrt{p^+ p^-}}, \quad p^\pm = p^0 \pm p^3.$$

In this representation the Dirac conjugate spinors are

$$\bar{u}_+(p) \equiv u_+^\dagger(p) \gamma^0 = [0, 0, \sqrt{p^+}, \sqrt{p^-} e^{-i\varphi_p}]$$

$$\bar{u}_-(p) = [\sqrt{p^-} e^{i\varphi_p}, -\sqrt{p^+}, 0, 0]$$

■ Normalization

$$u_\pm^\dagger u_\pm = 2p^0$$

# Branching probabilities

■ Consider the case where

$$p_a = \left( E_a + \frac{p_a^2}{4E_a}, 0, 0, E_a - \frac{p_a^2}{4E_a} \right)$$

$$p_b \sim (E_b, +E_b\theta_b, 0, +E_b)$$

$$p_c \sim (E_c, -E_c\theta_c, 0, +E_c)$$

Thus for example

$$u_+^\dagger(p) = \sqrt{2E_b} \left[ 1, \frac{\theta_b}{2}, 0, 0 \right]$$

and

$$u_+(p_c) \equiv v_-(p_c) = \sqrt{2E_c} \begin{bmatrix} 1 \\ -\frac{\theta_c}{2} \\ 0 \\ 0 \end{bmatrix}$$

Hence for polarization vectors  $\varepsilon_{in} = (0, 1, 0, 0)$ ,  $\varepsilon_{out} = (0, 0, 1, 0)$

$$g\bar{u}_+^b \gamma^0 \gamma^1 v_-^c = g\sqrt{4E_b E_c} \begin{pmatrix} 1, \frac{\theta_b}{2} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ -\frac{\theta_c}{2} \end{pmatrix} = -g\sqrt{E_b E_c}(\theta_b - \theta_c)$$

$$-g\bar{u}_+\gamma_\mu\varepsilon_a^{p\text{in}\mu}v_-^c = g\sqrt{E_bE_c}(\theta_b - \theta_c) = g\sqrt{z(1-z)}(1-2z)E_a\theta,$$

$$-g\bar{u}_+\gamma_\mu\varepsilon_a^{p\text{out}\mu}v_-^c = ig\sqrt{E_bE_c}(\theta_b + \theta_c) = ig\sqrt{z(1-z)}E_a\theta,$$

and the matrix element relation for the branching is

$$|\mathcal{M}_{n+1}|^2 \sim \frac{g^2}{t} T_R F(z; \varepsilon_a, \lambda_b, \lambda_c) |\mathcal{M}_n|^2$$

where the colour factor is now  $\text{Tr}(t^A t^A)/8 = T_R = 1/2$ . The non-vanishing functions  $F(z; \varepsilon_a, \lambda_b, \lambda_c)$  for quark and antiquark helicities  $\lambda_b$  and  $\lambda_c$  are

| $\varepsilon_a$ | $\lambda_b$ | $\lambda_c$ | $F(z; \varepsilon_a, \lambda_b, \lambda_c)$ |
|-----------------|-------------|-------------|---|
| in              | $\pm$       | $\mp$       | $(1-2z)^2$                                  |
| out             | $\pm$       | $\mp$       | 1   |

Summing over the polarizations we get

$$2\left[(1-2z)^2 + 1\right] = 4(z^2 + (1-z)^2).$$

- Note that amplitude vanishes as  $\theta$  (or  $t$ ) goes to zero and is independent of any particular process.

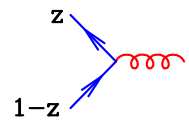
# Branching probabilities

$$\int \frac{d\phi}{2\pi} C F = \hat{P}_{ba}(z)$$

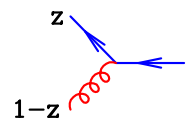
where  $\hat{P}_{ba}(z)$  is the appropriate splitting function,  $C$  is the colour factor and  $F$  is the amplitude squared.

$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} dz \frac{\alpha_S}{2\pi} \hat{P}_{ba}(z) .$$

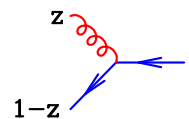
- Including all the color factors we find the results for the unregulated branching probabilities.



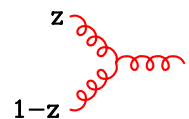
$$\hat{P}_{qg}(z) = T_R [z^2 + (1-z)^2], \quad T_R = \frac{1}{2},$$



$$\hat{P}_{qq}(z) = C_F \left[ \frac{1+z^2}{(1-z)} \right],$$



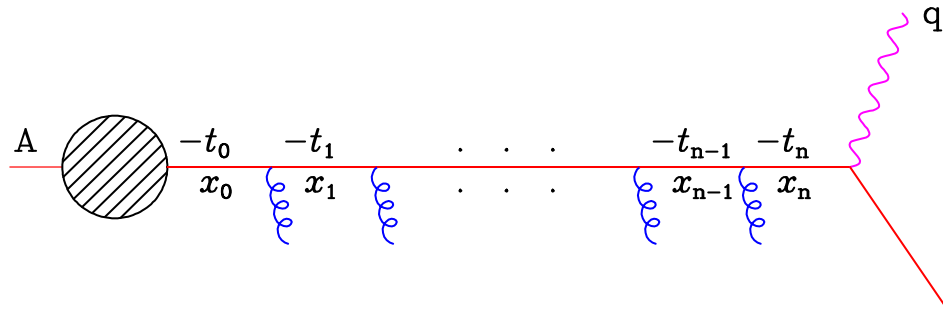
$$\hat{P}_{gq}(z) = C_F \left[ \frac{1+(1-z)^2}{z} \right],$$



$$\hat{P}_{gg}(z) = C_A \left[ \frac{z}{(1-z)} + \frac{1-z}{z} + z(1-z) \right]$$

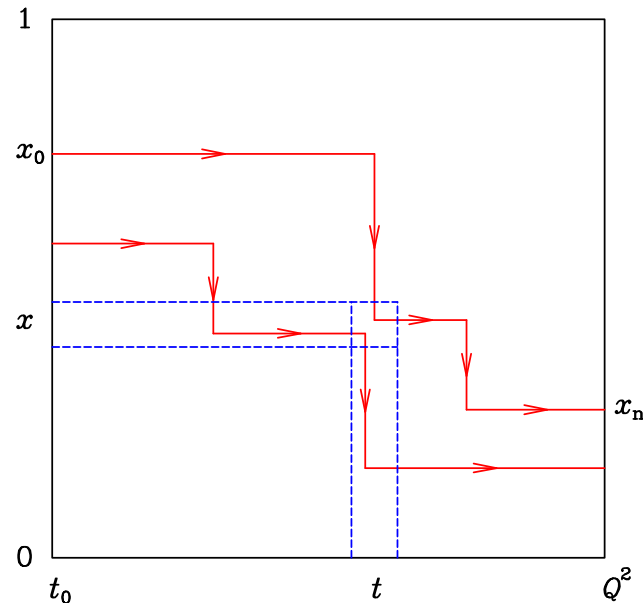
# DGLAP equation

- Consider enhancement of higher-order contributions due to multiple small-angle parton emission, for example in deep inelastic scattering (DIS)



- Incoming quark from target hadron, initially with low virtual mass-squared  $-t_0$  and carrying a fraction  $x_0$  of hadron's momentum, moves to more virtual masses and lower momentum fractions by successive small-angle emissions, and is finally struck by photon of virtual mass-squared  $q^2 = -Q^2$ .
- Cross section will depend on  $Q^2$  and on momentum fraction distribution of partons seen by virtual photon at this scale,  $D(x, Q^2)$ .

- To derive evolution equation for  $Q^2$ -dependence of  $D(x, Q^2)$ , first introduce pictorial representation of evolution, also useful later for Monte Carlo simulation.



- Represent sequence of branchings by path in  $(t, x)$ -space. Each branching is a step downwards in  $x$ , at a value of  $t$  equal to (minus) the virtual mass-squared after the branching.
- At  $t = t_0$ , paths have distribution of starting points  $D(x_0, t_0)$  characteristic of target hadron at that scale. Then distribution  $D(x, t)$  of partons at scale  $t$  is just the  $x$ -distribution of paths at that scale.



# Change in parton distribution

- Consider change in the parton distribution  $D(x, t)$  when  $t$  is increased to  $t + \delta t$ . This is number of paths arriving in element  $(\delta t, \delta x)$  minus number leaving that element, divided by  $\delta x$ .
- Number arriving is branching probability times parton density integrated over all higher momenta  $x' = x/z$ ,

$$\begin{aligned}\delta D_{\text{in}}(x, t) &= \frac{\delta t}{t} \int_x^1 dx' dz \frac{\alpha_S}{2\pi} \hat{P}(z) D(x', t) \delta(x - zx') \\ &= \frac{\delta t}{t} \int_0^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} \hat{P}(z) D(x/z, t)\end{aligned}$$

- For the number leaving element, must integrate over lower momenta  $x' = zx$ :

$$\begin{aligned}\delta D_{\text{out}}(x, t) &= \frac{\delta t}{t} D(x, t) \int_0^x dx' dz \frac{\alpha_S}{2\pi} \hat{P}(z) \delta(x' - zx) \\ &= \frac{\delta t}{t} D(x, t) \int_0^1 dz \frac{\alpha_S}{2\pi} \hat{P}(z)\end{aligned}$$

# Change in parton distribution

- Change in population of element is

$$\begin{aligned}\delta D(x, t) &= \delta D_{\text{in}} - \delta D_{\text{out}} \\ &= \frac{\delta t}{t} \int_0^1 dz \frac{\alpha_S}{2\pi} \hat{P}(z) \left[ \frac{1}{z} D(x/z, t) - D(x, t) \right] .\end{aligned}$$

- Introduce plus-prescription with definition

$$\int_0^1 dx f(x) g(x)_+ = \int_0^1 dx [f(x) - f(1)] g(x) .$$

Using this we can define regularized splitting function

$$P(z) = \hat{P}(z)_+ ,$$

- Plus-prescription, like the Dirac-delta function, is only defined under integral sign.
- Plus-prescription includes some of the effects of virtual diagrams.

# DGLAP

We obtain the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi ( DGLAP) evolution equation:

$$t \frac{\partial}{\partial t} D(x, t) = \int_x^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} P(z) D(x/z, t) .$$

- Here  $D(x, t)$  represents parton momentum fraction distribution inside incoming hadron probed at scale  $t$ .
- In timelike branching, it represents instead hadron momentum fraction distribution produced by an outgoing parton. Boundary conditions and direction of evolution are different, but evolution equation remains the same.

# Quarks and gluons

- For several different types of partons, must take into account different processes by which parton of type  $i$  can enter or leave the element  $(\delta t, \delta x)$ . This leads to coupled DGLAP evolution equations of form

$$t \frac{\partial}{\partial t} D_i(x, t) = \sum_j \int_x^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} P_{ij}(z) D_j(x/z, t) .$$

- Quark ( $i = q$ ) can enter element via either  $q \rightarrow qq$  or  $g \rightarrow q\bar{q}$ , but can only leave via  $q \rightarrow qq$ . Thus plus-prescription applies only to  $q \rightarrow qq$  part, giving

$$P_{qq}(z) = \hat{P}_{qq}(z)_+ = C_F \left( \frac{1+z^2}{1-z} \right)_+$$

$$P_{qg}(z) = \hat{P}_{qg}(z) = T_R [z^2 + (1-z)^2]$$

- Gluon can arrive either from  $g \rightarrow gg$  (2 contributions) or from  $q \rightarrow qg$  (or  $\bar{q} \rightarrow \bar{q}g$ ). Thus number arriving is

$$\begin{aligned} \delta D_{g,\text{in}} &= \frac{\delta t}{t} \int_0^1 dz \frac{\alpha_S}{2\pi} \left\{ \hat{P}_{gg}(z) \left[ \frac{D_g(x/z, t)}{z} + \frac{D_g(x/(1-z), t)}{1-z} \right] \right. \\ &\quad \left. + \frac{\hat{P}_{qq}(z)}{1-z} \left[ D_q\left(\frac{x}{1-z}, t\right) + D_{\bar{q}}\left(\frac{x}{1-z}, t\right) \right] \right\} \\ &= \frac{\delta t}{t} \int_0^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} \left\{ 2\hat{P}_{gg}(z) D_g\left(\frac{x}{z}, t\right) + \hat{P}_{qq}(1-z) \left[ D_q\left(\frac{x}{z}, t\right) + D_{\bar{q}}\left(\frac{x}{z}, t\right) \right] \right\}, \end{aligned}$$

- Gluon can leave by splitting into either  $gg$  or  $q\bar{q}$ , so that

$$\delta D_{g,\text{out}} = \frac{\delta t}{t} D_g(x, t) \int_0^1 dz \frac{\alpha_S}{2\pi} \left[ \hat{P}_{gg}(z) + N_f \hat{P}_{qg}(z) dz \right].$$

- After some manipulation we find

$$P_{gg}(z) = 2C_A \left[ \left( \frac{z}{1-z} + \frac{1}{2}z(1-z) \right)_+ + \frac{1-z}{z} + \frac{1}{2}z(1-z) \right] - \frac{2}{3}N_f T_R \delta(1-z),$$

$$P_{gq}(z) = P_{g\bar{q}}(z) = \hat{P}_{qq}(1-z) = C_F \frac{1+(1-z)^2}{z}.$$

■ Using definition of the plus-prescription, can check that

$$\left( \frac{z}{1-z} + \frac{1}{2}z(1-z) \right)_+ = \frac{z}{(1-z)_+} + \frac{1}{2}z(1-z) + \frac{11}{12}\delta(1-z)$$

$$\left( \frac{1+z^2}{1-z} \right)_+ = \frac{1+z^2}{(1-z)_+} + \frac{3}{2}\delta(1-z),$$

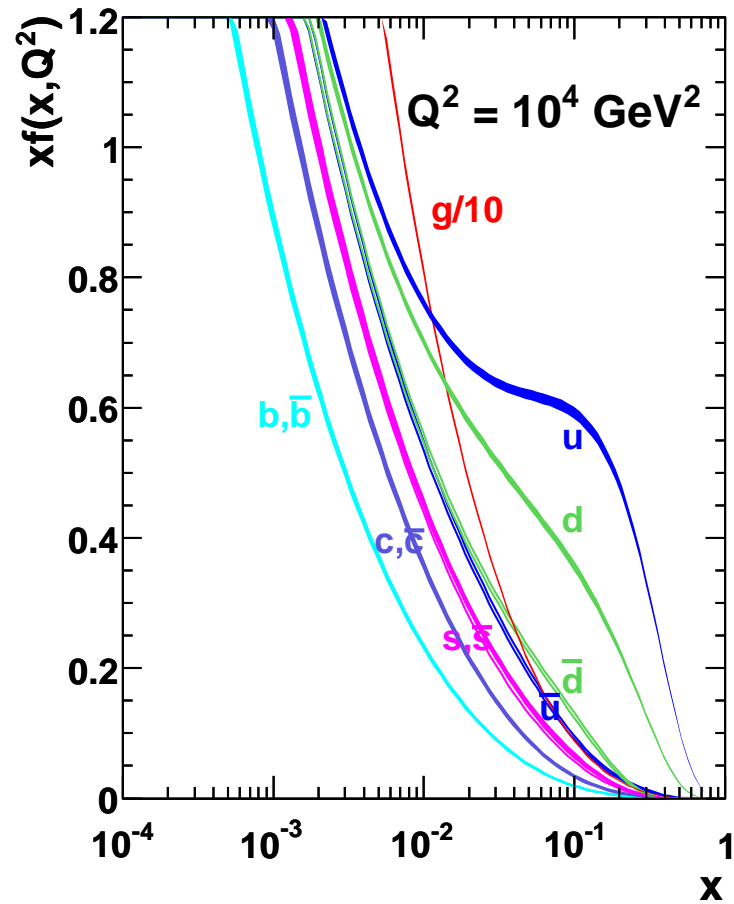
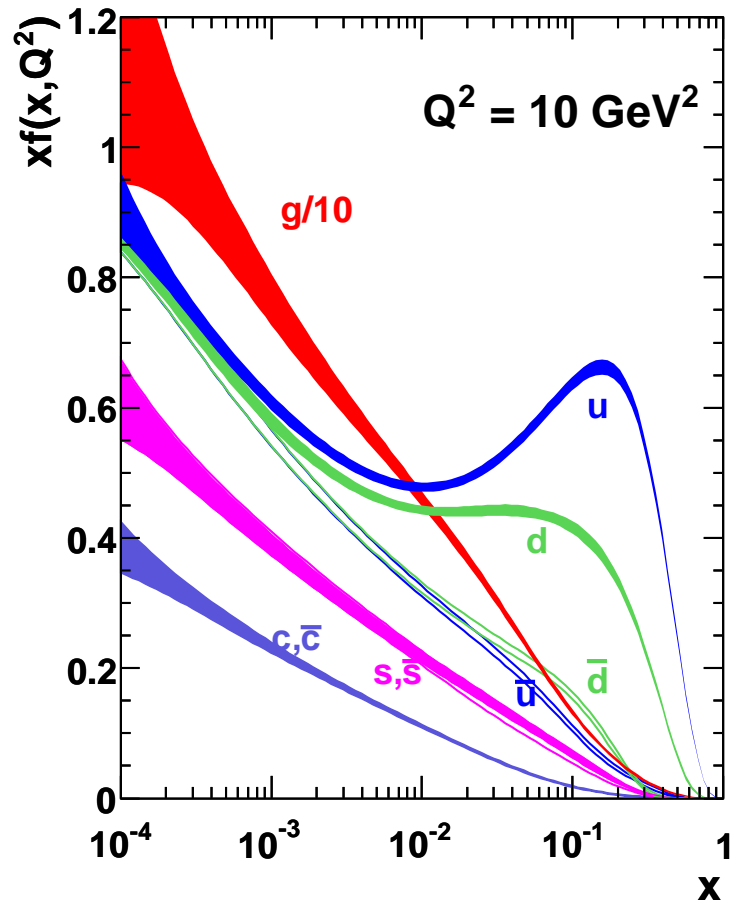
so  $P_{qq}$  and  $P_{gg}$  can be written in more common forms

$$P_{qq}(z) = C_F \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2}\delta(1-z) \right]$$

$$P_{gg}(z) = 2C_A \left[ \frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z) \right] + \frac{1}{6}(11C_A - 4N_f T_R) \delta(1-z).$$

# Parton distributions

## MSTW 2008 NLO PDFs (68% C.L.)



# Solution by moments

- The structure of the DGLAP equation is,

$$t \frac{\partial}{\partial t} D(x, t) = \int_x^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} P(z) D(x/z, t) .$$

- Given  $D_i(x, t)$  at some scale  $t = t_0$ , factorized structure of DGLAP equation means we can compute its form at any other scale.
- One strategy for doing this is to take moments (Mellin transforms) with respect to  $x$ :

$$\tilde{D}_i(N, t) = \int_0^1 dx x^{N-1} D_i(x, t) .$$

Inverse Mellin transform is

$$D_i(x, t) = \frac{1}{2\pi i} \int_C dN x^{-N} \tilde{D}_i(N, t) ,$$

where contour  $C$  is parallel to imaginary axis to right of all singularities of integrand.

- After Mellin transformation, convolution in DGLAP equation becomes simply a product:

$$t \frac{\partial}{\partial t} \tilde{D}_i(x, t) = \sum_j \gamma_{ij}(N, \alpha_S) \tilde{D}_j(N, t)$$



# Anomalous dimensions

- The moments of splitting functions give PT expansion of anomalous dimensions

$\gamma_{ij}$ :

$$\gamma_{ij}(N, \alpha_S) = \sum_{n=0}^{\infty} \gamma_{ij}^{(n)}(N) \left( \frac{\alpha_S}{2\pi} \right)^{n+1}$$

$$\gamma_{ij}^{(0)}(N) = \tilde{P}_{ij}(N) = \int_0^1 dz z^{N-1} P_{ij}(z)$$

- From above expressions for  $P_{ij}(z)$  we find

$$\gamma_{qq}^{(0)}(N) = C_F \left[ -\frac{1}{2} + \frac{1}{N(N+1)} - 2 \sum_{k=2}^N \frac{1}{k} \right]$$

$$\gamma_{qg}^{(0)}(N) = T_R \left[ \frac{(2+N+N^2)}{N(N+1)(N+2)} \right]$$

$$\gamma_{gq}^{(0)}(N) = C_F \left[ \frac{(2+N+N^2)}{N(N^2-1)} \right]$$

$$\gamma_{gg}^{(0)}(N) = 2C_A \left[ -\frac{1}{12} + \frac{1}{N(N-1)} + \frac{1}{(N+1)(N+2)} - \sum_{k=2}^N \frac{1}{k} \right] - \frac{2}{3} N_f T_R .$$

# Scaling violation

- Consider combination of parton distributions which is flavour non-singlet, e.g.  $D_V = D_{q_i} - D_{\bar{q}_i}$  or  $D_{q_i} - D_{q_j}$ . Then mixing with the flavour-singlet gluons drops out and solution for fixed  $\alpha_S$  is

$$\tilde{D}_V(N, t) = \tilde{D}_V(N, t_0) \left( \frac{t}{t_0} \right)^{\gamma_{qq}(N, \alpha_S)},$$

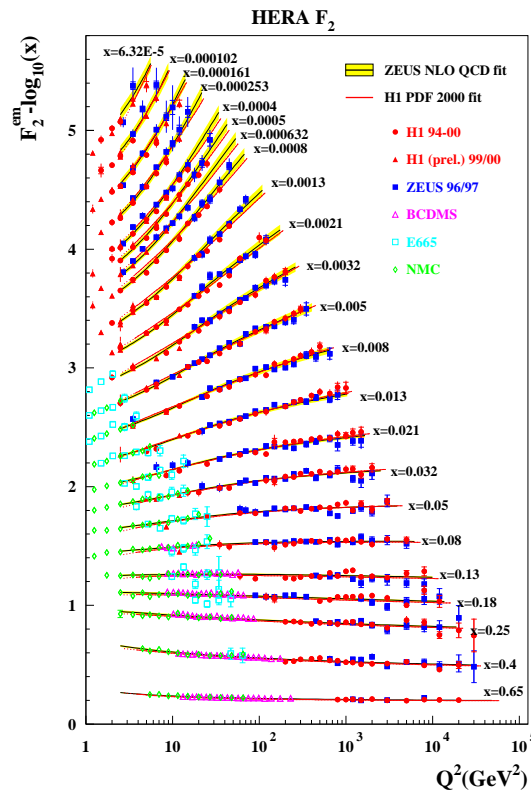
- We see that dimensionless function  $D_V$ , instead of being scale-independent function of  $x$  as expected from dimensional analysis, has scaling violation: its moments vary like powers of scale  $t$  (hence the name anomalous dimensions).
- For running coupling  $\alpha_S(t)$ , scaling violation is power-behaved in  $\ln t$  rather than  $t$ . Using leading-order formula  $\alpha_S(t) = 1/b \ln(t/\Lambda^2)$ , we find

$$\tilde{D}_V(N, t) = \tilde{D}_V(N, t_0) \left( \frac{\alpha_S(t_0)}{\alpha_S(t)} \right)^{d_{qq}(N)}$$

where  $d_{qq}(N) = \gamma_{qq}^{(0)}(N)/2\pi b$ .

- Flavour-singlet distribution and quantitative predictions will be discussed later.

# Combined data on $F_2$ proton



- Now  $d_{qq}(1) = 0$  and  $d_{qq}(N) < 0$  for  $N \geq 2$ . Thus as  $t$  increases  $V$  decreases at large  $x$  and increases at small  $x$ . Physically, this is due to increase in the phase space for gluon emission by quarks as  $t$  increases, leading to loss of momentum. This is clearly visible in data:

# Flavour singlet combination

- For flavour-singlet combination, define

$$\Sigma = \sum_i (q_i + \bar{q}_i) .$$

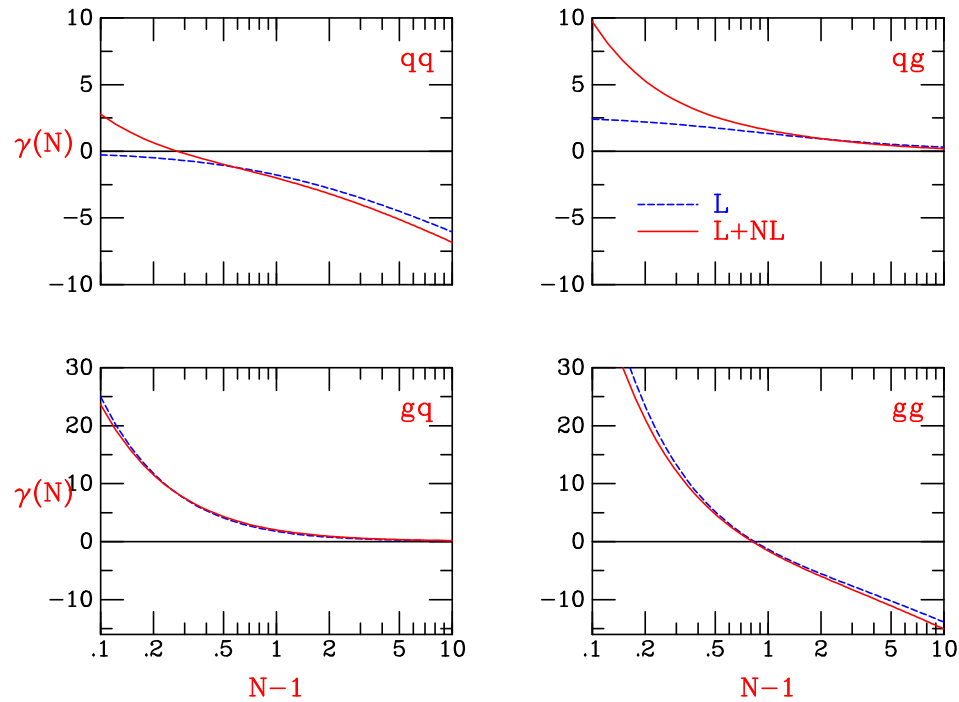
Then we obtain

$$\begin{aligned} t \frac{\partial \Sigma}{\partial t} &= \frac{\alpha_S(t)}{2\pi} [P_{qq} \otimes \Sigma + 2N_f P_{qg} \otimes g] \\ t \frac{\partial g}{\partial t} &= \frac{\alpha_S(t)}{2\pi} [P_{gq} \otimes \Sigma + P_{gg} \otimes g] . \end{aligned}$$

- Thus flavour-singlet quark distribution  $\Sigma$  mixes with gluon distribution  $g$ : evolution equation for moments has matrix form

$$t \frac{\partial}{\partial t} \begin{pmatrix} \tilde{\Sigma} \\ \tilde{g} \end{pmatrix} = \begin{pmatrix} \gamma_{qq} & 2N_f \gamma_{qg} \\ \gamma_{gq} & \gamma_{gg} \end{pmatrix} \begin{pmatrix} \tilde{\Sigma} \\ \tilde{g} \end{pmatrix}$$

# Anomalous dimension matrix as a function of $N$ .

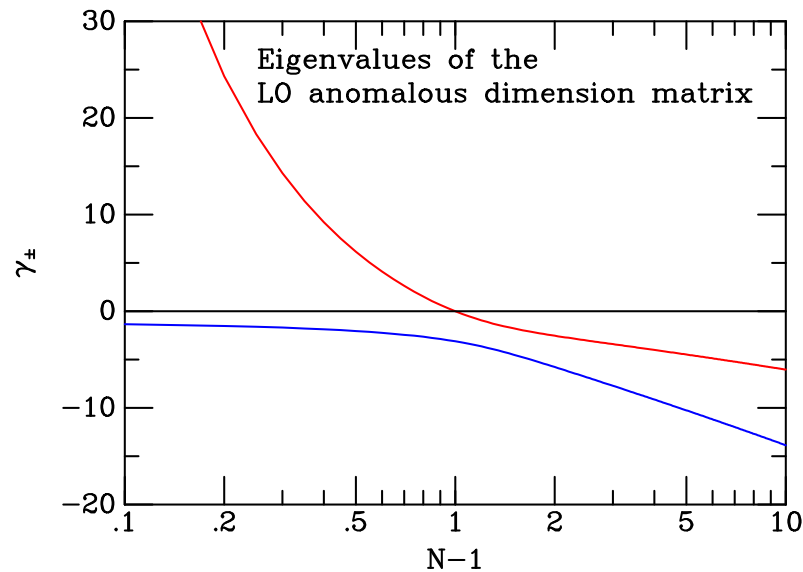


- Rapid growth at small  $N$  in  $gq$  and  $gg$  elements at lowest order
- $\ln N$  behaviour at large  $N$  in  $qq$  and  $gq$  elements
- NNLO now known

# Anomalous dimensions

- Singlet anomalous dimension matrix has two real eigenvalues  $\gamma_{\pm}$  given by

$$\gamma_{\pm} = \frac{1}{2}[\gamma_{gg} + \gamma_{qq} \pm \sqrt{(\gamma_{gg} - \gamma_{qq})^2 + 8N_f \gamma_{gq} \gamma_{qg}}].$$



- Expressing  $\tilde{\Sigma}$  and  $\tilde{g}$  as linear combinations of eigenvectors  $\tilde{\Sigma}_+$  and  $\tilde{\Sigma}_-$ , we find they evolve as superpositions of terms of above form with  $\gamma_{\pm}$  in place of  $\gamma_{qq}$ .

# Solution of lowest order DGLAP matrix equation

The reduced DGLAP equation can be written as

$$\frac{d}{du} \begin{pmatrix} \tilde{\Sigma}(u) \\ \tilde{g}(u) \end{pmatrix} = \mathbf{P} \begin{pmatrix} \tilde{\Sigma}(u) \\ \tilde{g}(u) \end{pmatrix}$$

where  $u = \frac{1}{2\pi b} \ln \frac{\alpha_S(\mu_0^2)}{\alpha_S(\mu^2)}$

■ Define projection operators,  $\mathbf{M}_{\pm}$

$$\mathbf{M}_+ = \frac{1}{\gamma_+ - \gamma_-} \left[ + \mathbf{P} - \gamma_- \mathbf{1} \right], \quad \mathbf{M}_- = \frac{1}{\gamma_+ - \gamma_-} \left[ - \mathbf{P} + \gamma_+ \mathbf{1} \right],$$

where  $\mathbf{M}_{\pm} \mathbf{M}_{\pm} = \mathbf{M}_{\pm}$ ,  $\mathbf{M}_+ \mathbf{M}_- = \mathbf{M}_- \mathbf{M}_+ = \mathbf{0}$ ,  $\mathbf{M}_+ + \mathbf{M}_- = \mathbf{1}$  and

$$\mathbf{P} = \gamma_+ \mathbf{M}_+ + \gamma_- \mathbf{M}_-$$

■ The solution is

$$\begin{pmatrix} \tilde{\Sigma}(u) \\ \tilde{g}(u) \end{pmatrix} = \left[ \exp(\gamma_+ u) \mathbf{M}_+ + \exp(\gamma_- u) \mathbf{M}_- \right] \begin{pmatrix} \tilde{\Sigma}(0) \\ \tilde{g}(0) \end{pmatrix}$$

# Momentum partition vs $Q^2$

■ For second moment

$$O^+(2, t) = \Sigma(2, t) + g(2, t) \quad \text{with eigenvalue } 0 ,$$

$$O^-(2, t) = \Sigma(2, t) - \frac{n_f}{4C_F} g(2, t) \quad \text{with eigenvalue } - \left( \frac{4}{3} C_F + \frac{n_f}{3} \right) .$$

$O^+$ , corresponds to the total momentum carried by the quarks and gluons, is independent of  $t$ . The eigenvector  $O^-$  vanishes in the limit  $t \rightarrow \infty$ :

$$O^-(2, t) = \left( \frac{\alpha_S(t_0)}{\alpha_S(t)} \right)^{d^-(2)} \rightarrow 0, \quad \text{with } d^-(2) = \frac{\gamma_-(2)}{2\pi b} = - \frac{\left( \frac{4}{3} C_F + \frac{1}{3} n_f \right)}{2\pi b} ,$$

so that asymptotically we have

$$\frac{\Sigma(2, t)}{g(2, t)} \rightarrow \frac{n_f}{4C_F} = \frac{3}{16} n_f .$$

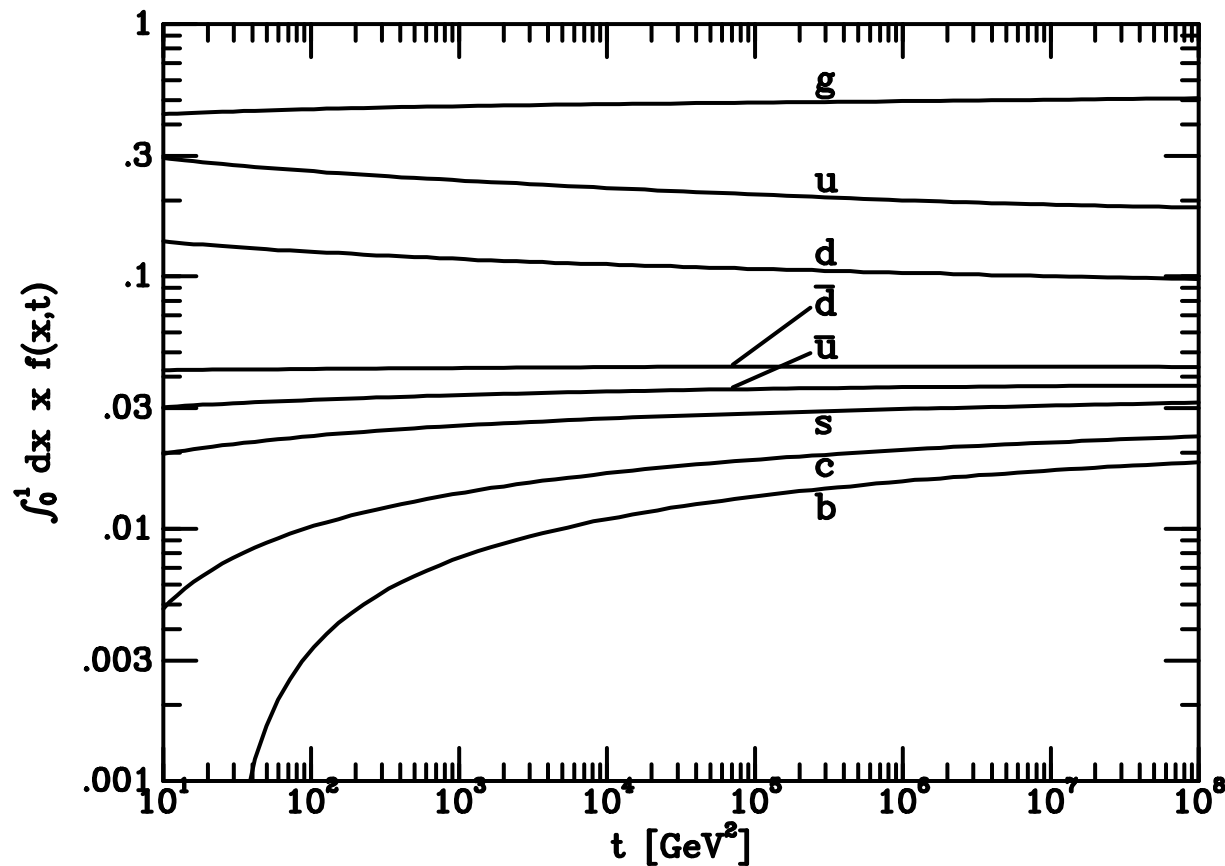


# Asymptotia is approached slowly

The momentum fractions  $f_q$  and  $f_g$  in the  $\mu^2 = t \rightarrow \infty$  limit are therefore

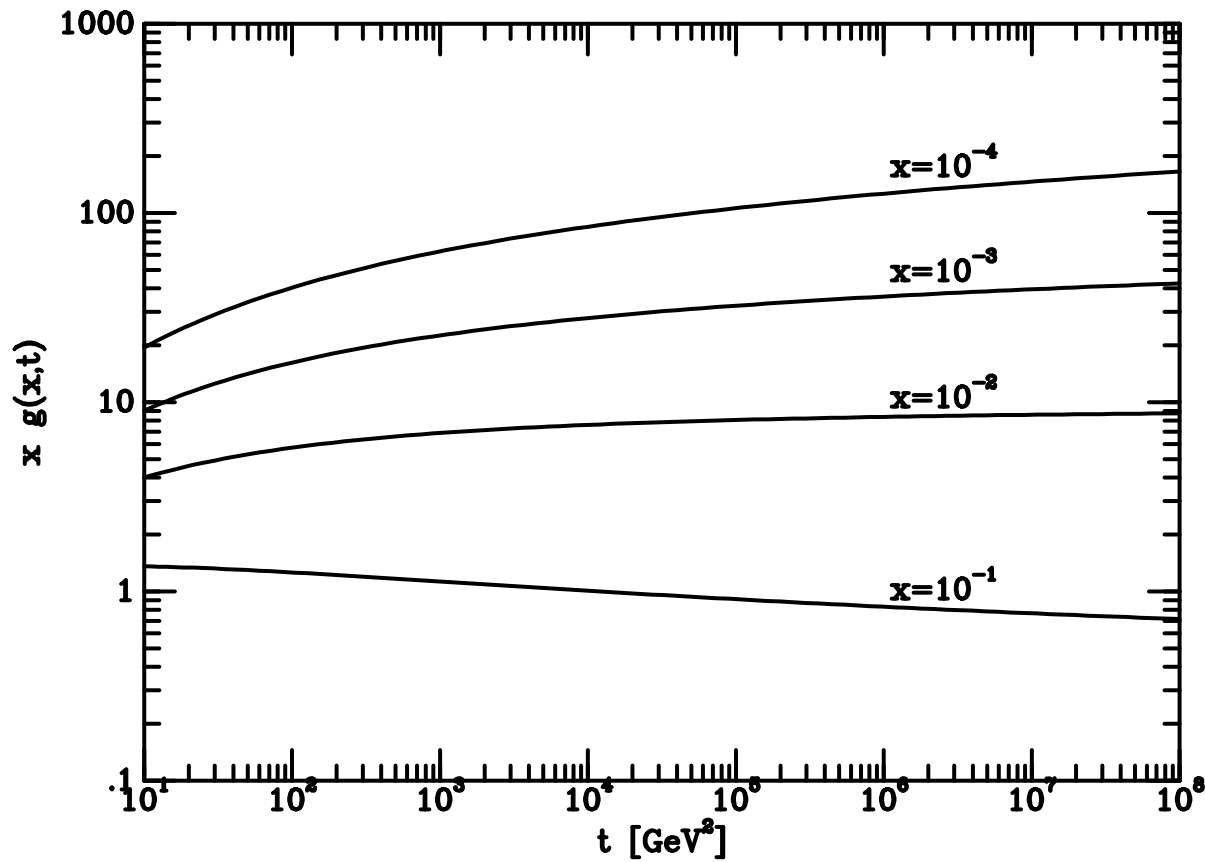
$$f_q = \frac{3n_f}{16 + 3n_f}, \quad f_g = \frac{16}{16 + 3n_f}.$$

- Scaling violation depends logarithmically on  $Q^2$ .
- Large variation at low  $Q^2$



# Gluon distribution

- Large number of gluons per unit rapidity
- The LHC is a copious source of gluons



# Recap

- Perturbative QCD has infrared singularities due to collinear or soft parton emission. We can calculate infra-red safe or factorizable quantities in perturbation theory.
- For comparison with theoretical predictions jets
- must be specified in experiment by infrared safe jet algorithms.
- QCD predicts universal branching probabilities. The probability of branching is a property of QCD, and is independent of the process at hand.
- Parton evolution can be represented as a branching process from higher values of  $x$ , governed by the DGLAP equation.
- DGLAP equation predicts growth at small  $x$  and shrinkage at large  $x$  with increasing  $Q^2$ .
- Gluon fluxes become very large at the LHC.