

**CTEQ-MCnet school on  
QCD Analysis and Phenomenology  
and the Physics and Techniques of Event Generators**

# **LECTURE 4**

**Introduction to the Parton Model and Perturbative QCD**

**Fred Olness (SMU)**

**Lauterbad (Black Forest), Germany**

**26 July - 4 August 2010**

We already studies

DIS

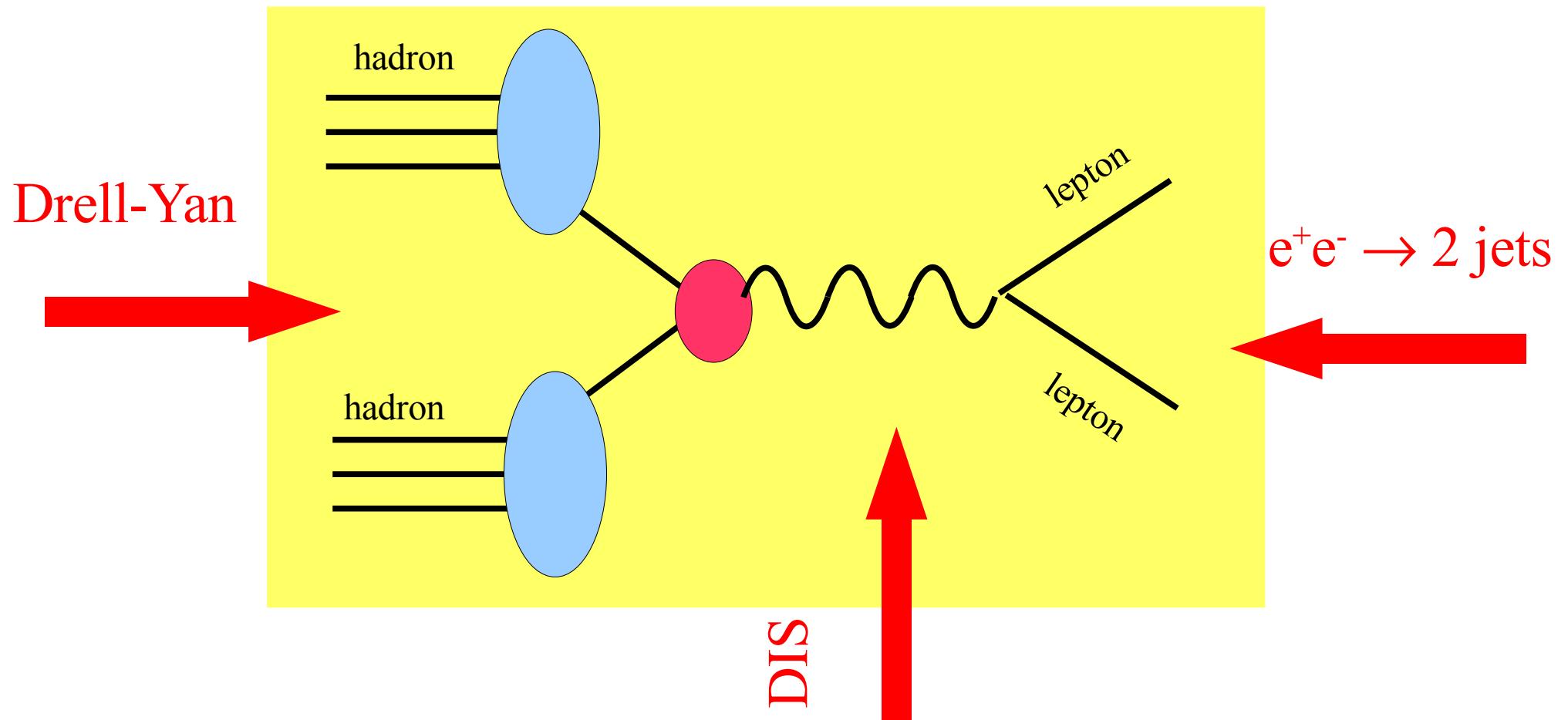
Now we consider

Drell-Yan Process

$e^+e^-$

Important for Tevatron and LHC

# What is the Explanation

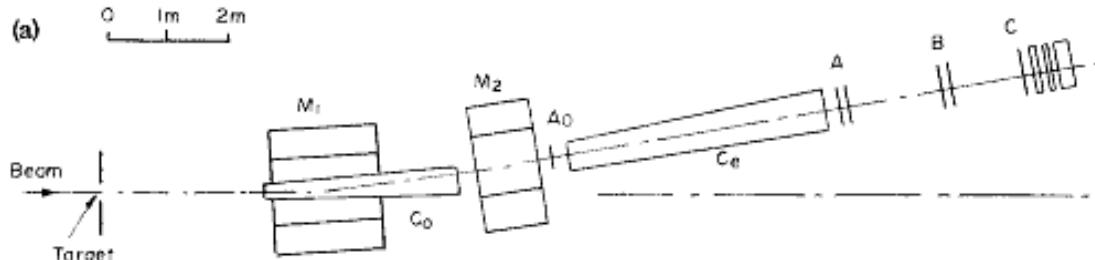


*Drell-Yan and  $e^+e^-$  have an interesting historical relation*

# A Drell-Yan Example: Discovery of J/Psi

The Process:  $p + Be \rightarrow e^+ e^- X$

very narrow width  
⇒ long lifetime



at BNL AGS

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PHYSICAL REVIEW LETTERS

2 DECEMBER 1974

## Experimental Observation of a Heavy Particle $J^\dagger$

J. J. Aubert, U. Becker, P. J. Biggs, J. Burger, M. Chen, G. Everhart, P. Goldhagen, J. Leong, T. McCorriston, T. G. Rhoades, M. Rohde, Samuel C. C. Ting, and Sau Lan <sup>1</sup>  
*Laboratory for Nuclear Science and Department of Physics, Massachusetts Institute of Technology,  
Cambridge, Massachusetts 02139*

and

Y. Y. Lee

*Brookhaven National Laboratory, Upton, New York 11973*  
(Received 12 November 1974)

We report the observation of a heavy particle  $J$ , with mass  $m = 3.1$  GeV and width approximately zero. The observation was made from the reaction  $p + Be \rightarrow e^+ + e^- + x$  by measuring the  $e^+e^-$  mass spectrum with a precise pair spectrometer at the Brookhaven National Laboratory's 30-GeV alternating-gradient synchrotron.

This experiment is part of a large program to

daily with a thin Al foil. The beam spot

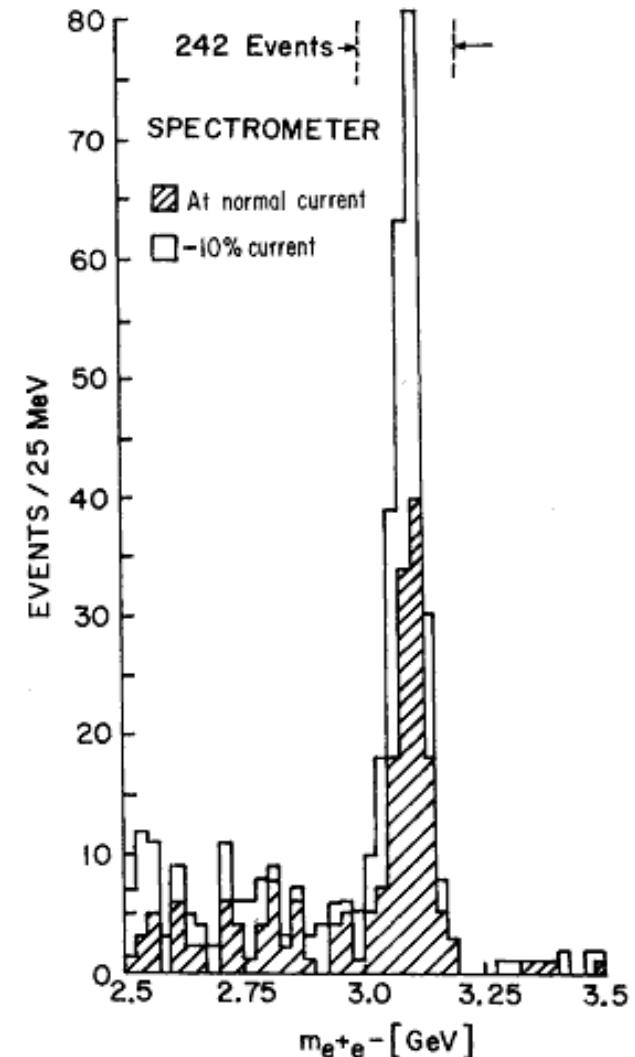


FIG. 2. Mass spectrum showing the existence of  $J$ . Results from two spectrometer settings are plotted showing that the peak is independent of spectrometer currents. The run at reduced current was taken two months later than the normal run.

# The November Revolution: 1973

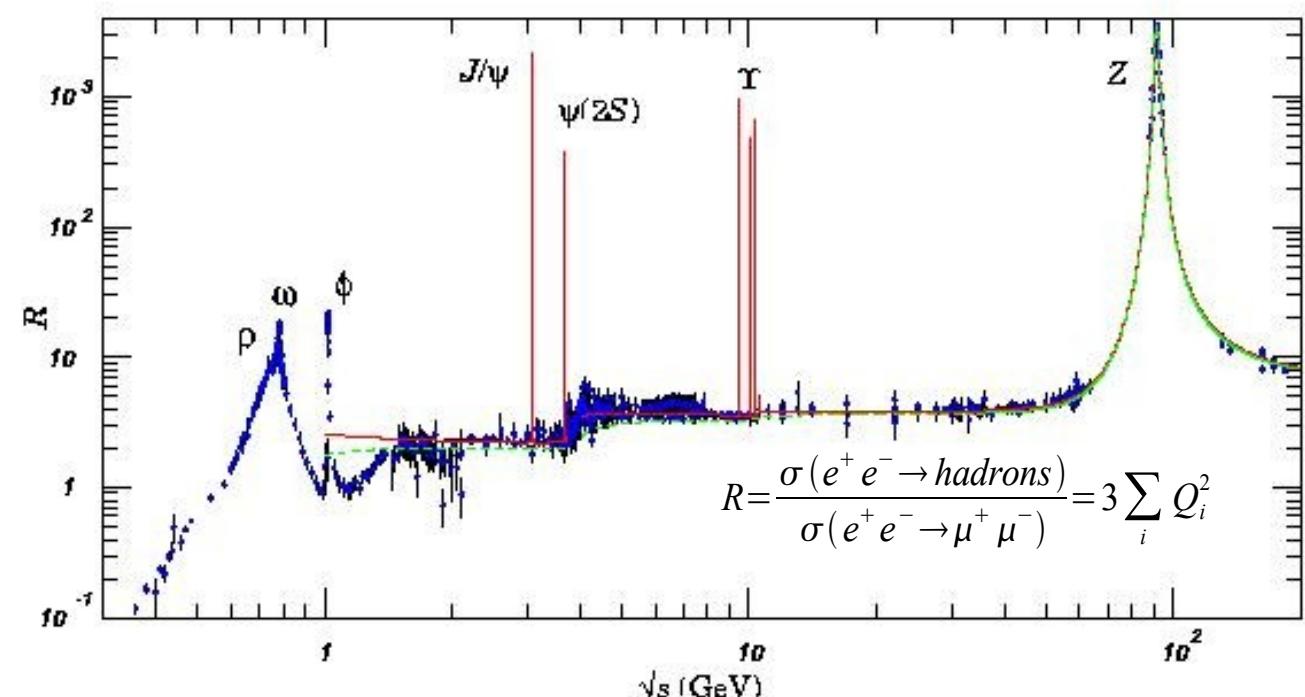
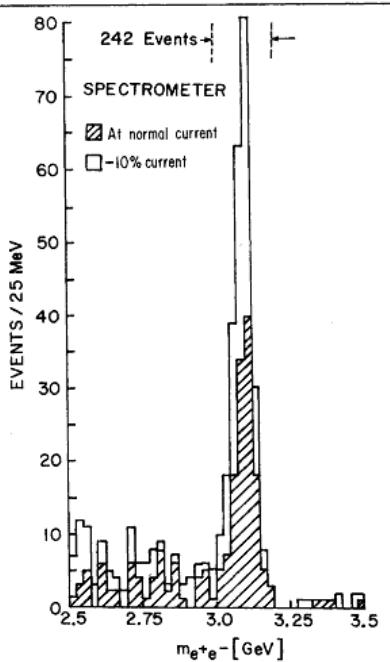
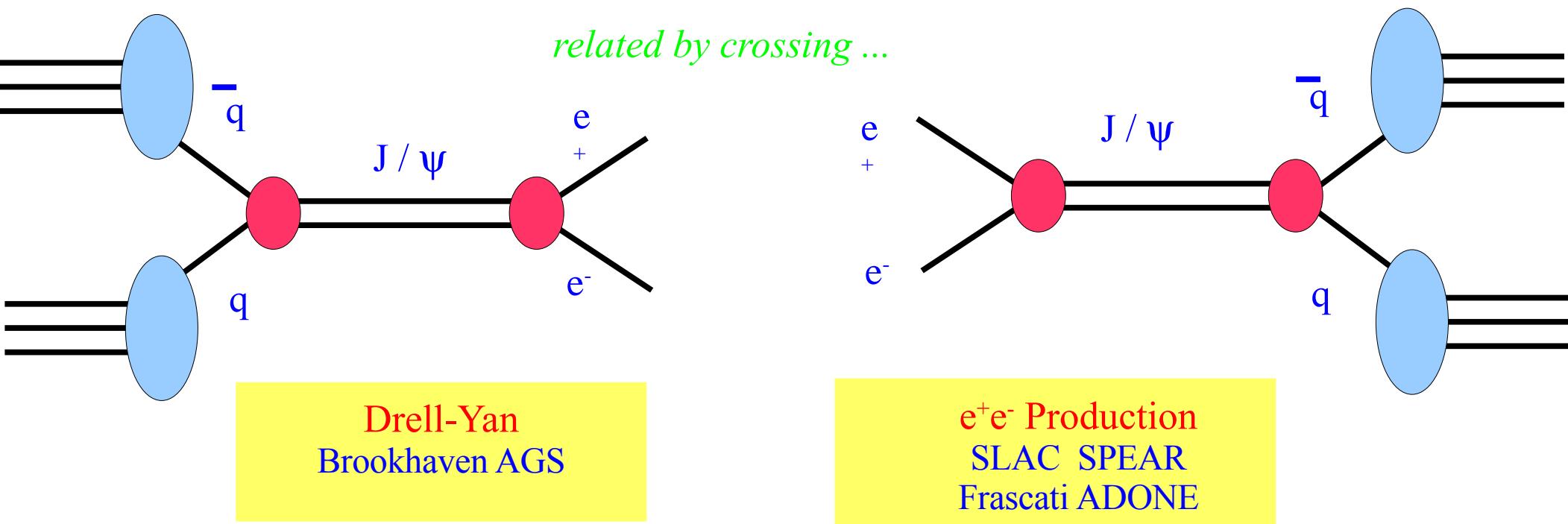


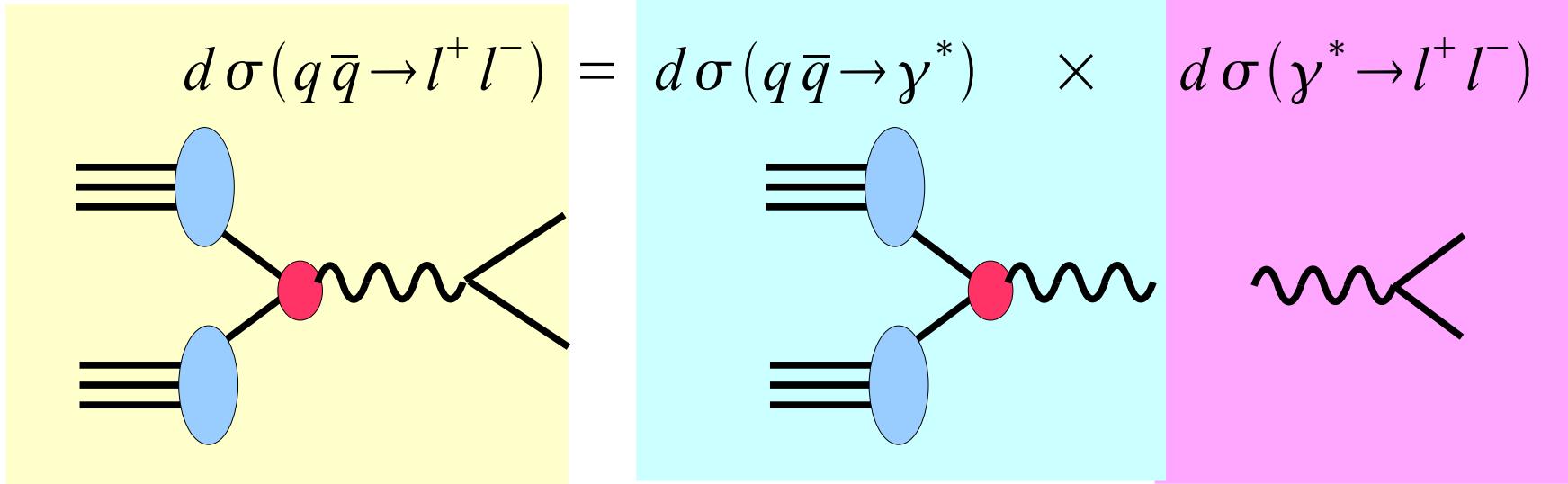
FIG. 2. Mass spectrum showing the existence of  $J/\psi$ . Results from two spectrometer settings are plotted showing that the peak is independent of spectrometer currents. The run at reduced current was taken two months later than the normal run.

We'll look at Drell-Yan

Specifically W/Z production

**Side Note: From  $pp \rightarrow \gamma/Z/W$ , we can obtain  $pp \rightarrow \gamma/Z/W \rightarrow l^+l^-$**

Schematically:

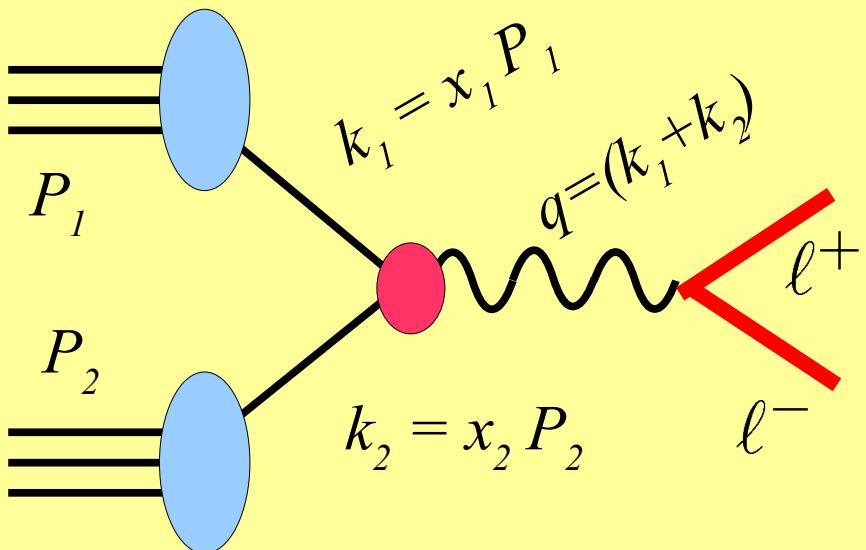


For example:

$$\frac{d\sigma}{dQ^2 d\hat{t}}(q\bar{q} \rightarrow l^+l^-) = \frac{d\sigma}{d\hat{t}}(q\bar{q} \rightarrow \gamma^*) \times \frac{\alpha}{3\pi Q^2}$$

# Kinematics in the hadronic CMS

# Kinematics for Drell-Yan



$$P_1 = \frac{\sqrt{s}}{2} (1,0,0,+1) \quad P_1^2 = 0$$

$$P_2 = \frac{\sqrt{s}}{2} (1,0,0,-1) \quad P_2^2 = 0$$

$$k_1 = x_1 P_1 \quad k_1^2 = 0$$

$$k_2 = x_2 P_2 \quad k_2^2 = 0$$

$$\frac{d\sigma}{dx_1 dx_2} = \sum_{q,\bar{q}} \left\{ q(x_1)\bar{q}(x_2) + q(x_2)\bar{q}(x_1) \right\} \hat{\sigma}$$

Hadronic cross section

Parton distribution functions

Partonic cross section

# Kinematics for Drell-Yan

Trade  $\{x_1, x_2\}$  variables for  $\{\tau, y\}$

$$x_{1,2} = \sqrt{\tau} e^{\pm y}$$

$$\begin{aligned} y &= \frac{1}{2} \ln \left( \frac{x_1}{x_2} \right) \\ \tau &= x_1 x_2 \end{aligned}$$

$$s = (P_1 + P_2)^2 = \frac{\hat{s}}{x_1 x_2} = \frac{\hat{s}}{\tau}$$

Therefore

$$\tau = x_1 x_2 = \frac{\hat{s}}{s} \equiv \frac{Q^2}{s}$$



Fractional energy<sup>2</sup> between  
partonic and hadronic system

Using:  $d x_1 d x_2 = d \tau dy$

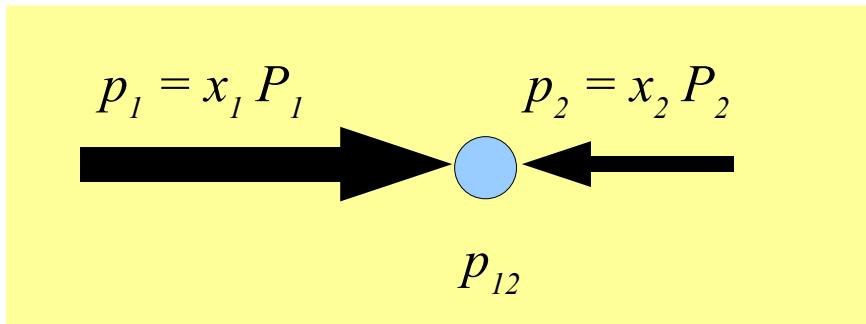
$$\frac{d \sigma}{d \tau dy} = \sum_{q, \bar{q}} \left[ q(x_1) \bar{q}(x_2) + q(x_2) \bar{q}(x_1) \right] \hat{\sigma}$$

# Rapidity & Longitudinal Momentum Distributions

The rapidity is defined as:

$$y = \frac{1}{2} \ln \left( \frac{E_{12} + p_L}{E_{12} - p_L} \right)$$

Partonic CMS has longitudinal momentum w.r.t. the hadron frame



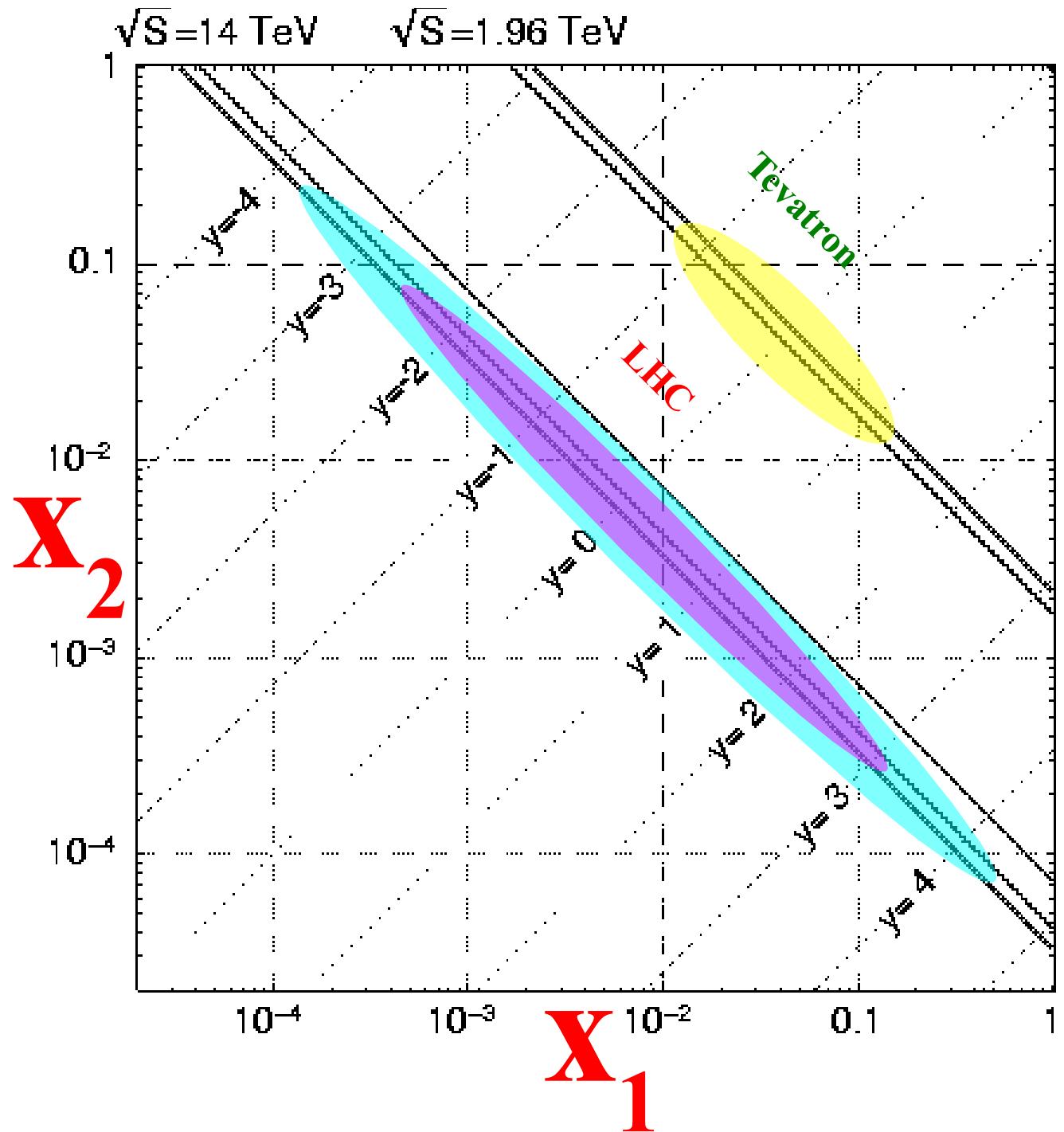
$$p_{12} = (p_1 + p_2) = (E_{12}, 0, 0, p_L)$$

$$E_{12} = \frac{\sqrt{s}}{2} (x_1 + x_2)$$

$$p_L = \frac{\sqrt{s}}{2} (x_1 - x_2) \equiv \frac{\sqrt{s}}{2} x_F$$

$x_F$  is a measure of the longitudinal momentum

$$y = \frac{1}{2} \ln \left( \frac{E_{12} + p_L}{E_{12} - p_L} \right) = \frac{1}{2} \ln \left\{ \frac{x_1}{x_2} \right\}$$



$$y = \frac{1}{2} \ln \left( \frac{x_1}{x_2} \right)$$

$$\tau = x_1 x_2$$

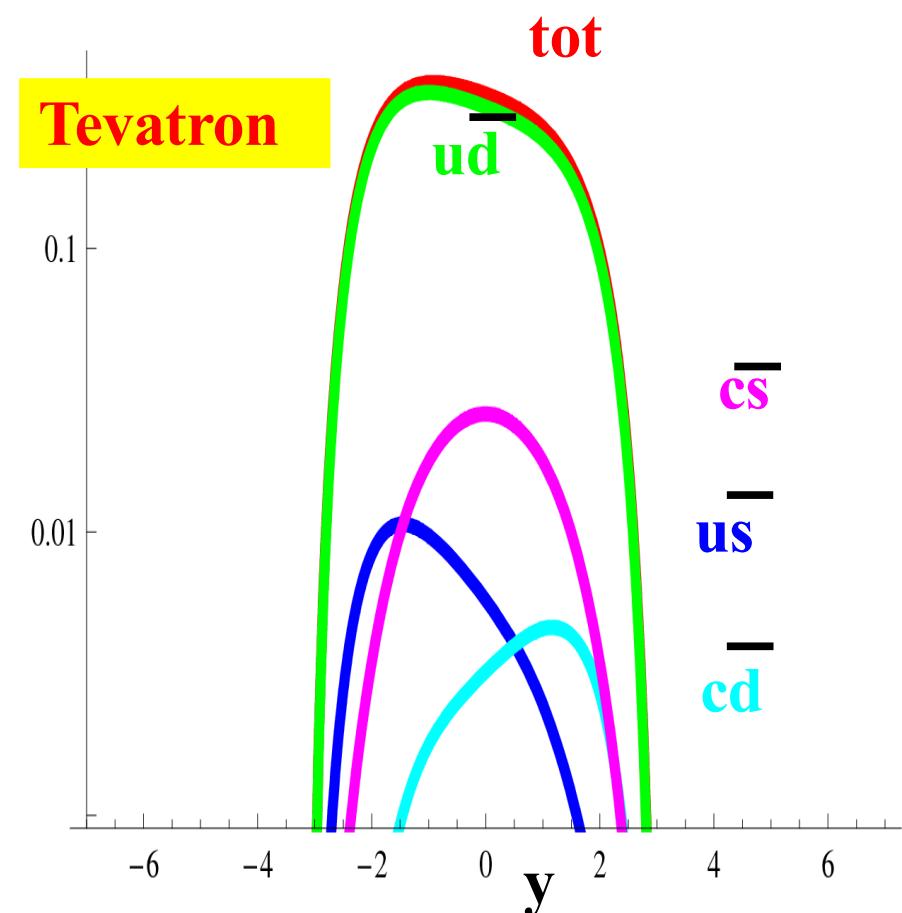
$$x_{1,2} = \sqrt{\tau} e^{\pm y}$$

Z  
W

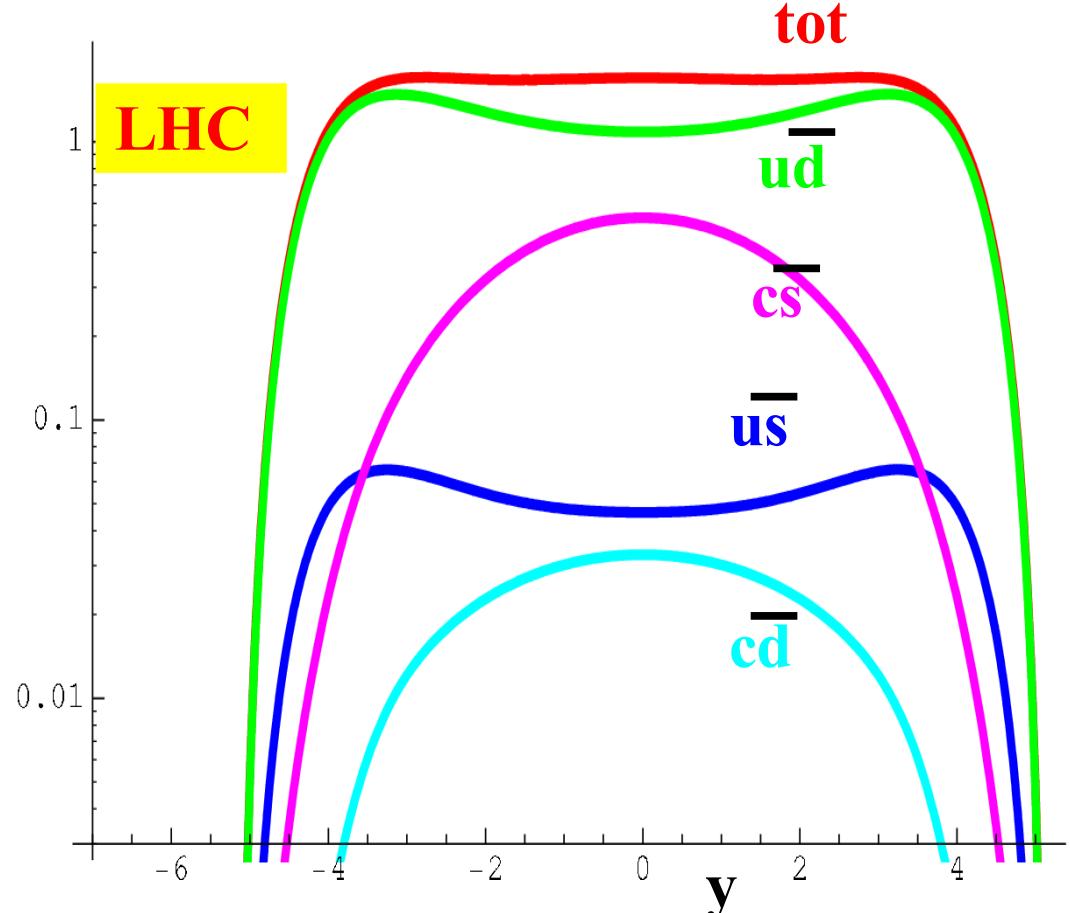
H  
Z  
W

# Kinematics for W production at Tevatron & LHC

LO W<sup>+</sup> Luminosities



LO W<sup>+</sup> Luminosities



$$d\sigma = \int dx_1 \int dx_2 \int d\tau \left[ q(x_1)\bar{q}(x_2) + q(x_2)\bar{q}(x_1) \right] \hat{\sigma} \delta(\tau - \frac{M^2}{S})$$

$$\frac{d\sigma}{d\tau} = \frac{dL}{d\tau} \hat{\sigma}(\tau)$$

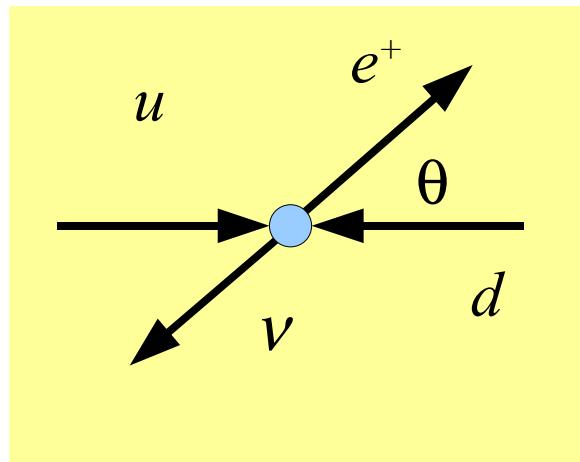
$$\frac{dL}{d\tau} = f \otimes f$$

# Kinematics in a Hadron-Hadron Interaction:

The CMS of the parton-parton system is moving longitudinally relative to the hadron-hadron system

## How do we measure the W-boson mass?

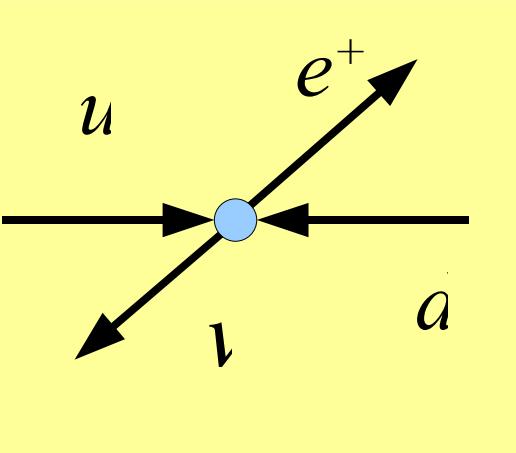
$$u + \bar{d} \rightarrow W^+ \rightarrow e^+ \nu$$



Can't measure W directly  
Can't measure ν directly  
Can't measure longitudinal momentum

We can measure the  $P_T$  of the lepton

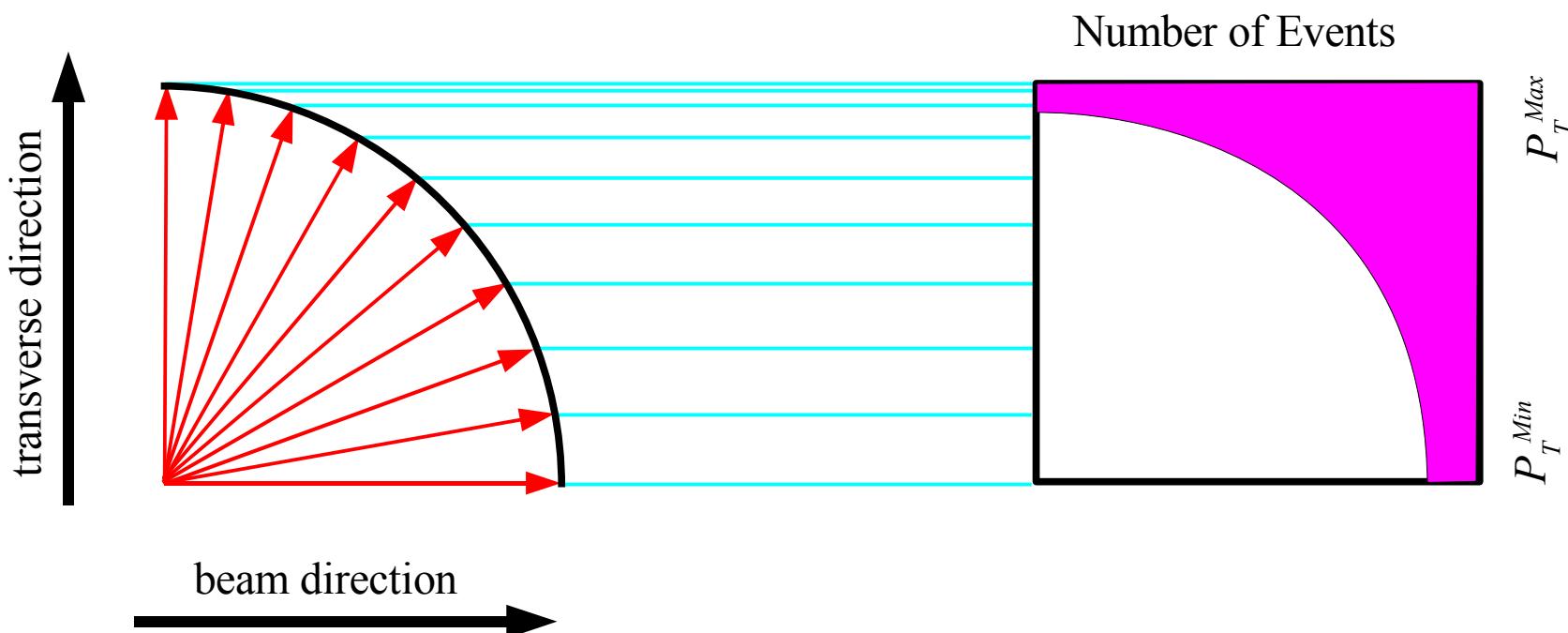
# The Jacobian Peak



Suppose lepton distribution is uniform in  $\theta$

*The dependence is actually  $(1+\cos\theta)^2$ , but we'll worry about that later*

What is the distribution in  $P_T$ ?



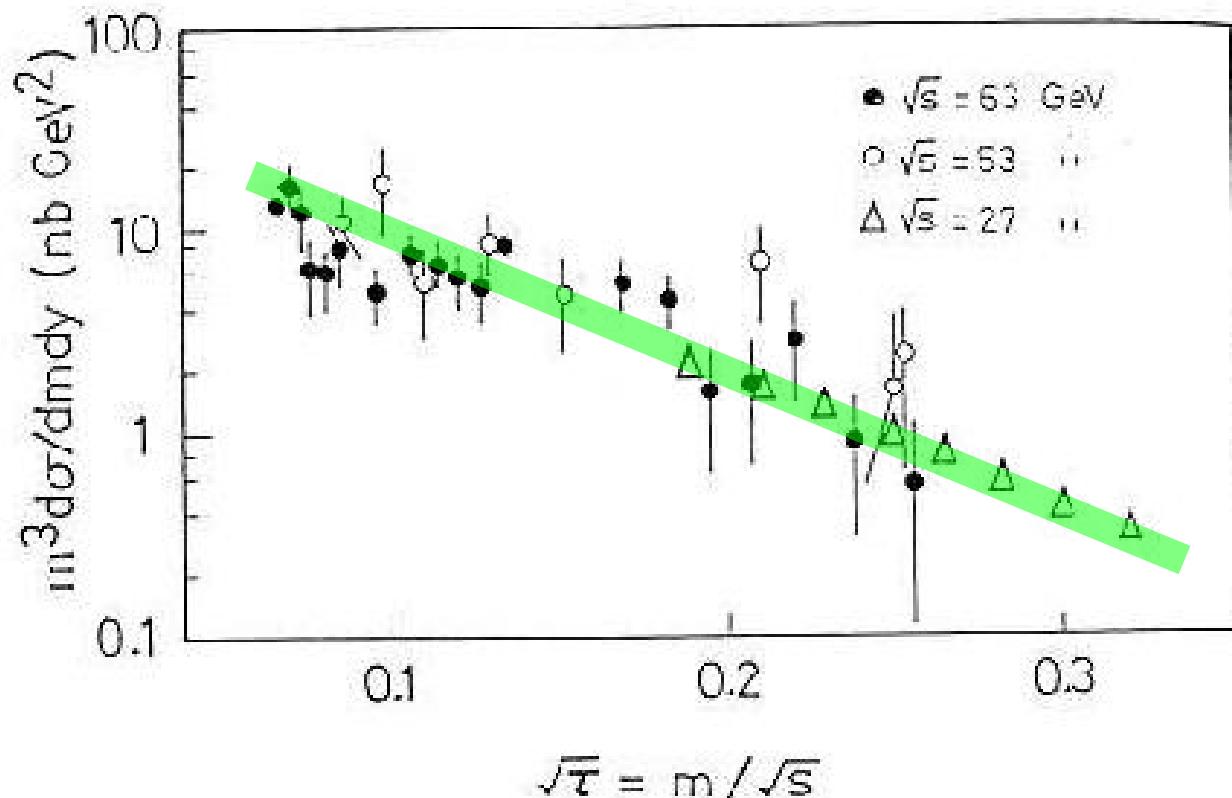
We find a peak at  $P_T^{max} \approx M_W/2$

# Drell-Yan Cross Section and the Scaling Form

Using:  $\hat{\sigma}_0 = \frac{4\pi\alpha^2}{9\hat{s}} Q_i^2$  and  $\delta(Q^2 - \hat{s}) = \frac{1}{sx_1} \delta(x_2 - \frac{\tau}{x_1})$

we can write the cross section in the scaling form:

$$Q^4 \frac{d\sigma}{dQ^2} = \frac{4\pi\alpha^2}{9} \sum_{q,\bar{q}} Q_i^2 \int_{\tau}^1 \frac{dx_1}{x_1} \tau \left\{ q(x_1) \bar{q}(\tau/x_1) + \bar{q}(x_1) q(\tau/x_1) \right\}$$



**Notice the RHS is a function of only  $\tau$ , not  $Q$ .**

This quantity should lie on a universal scaling curve.

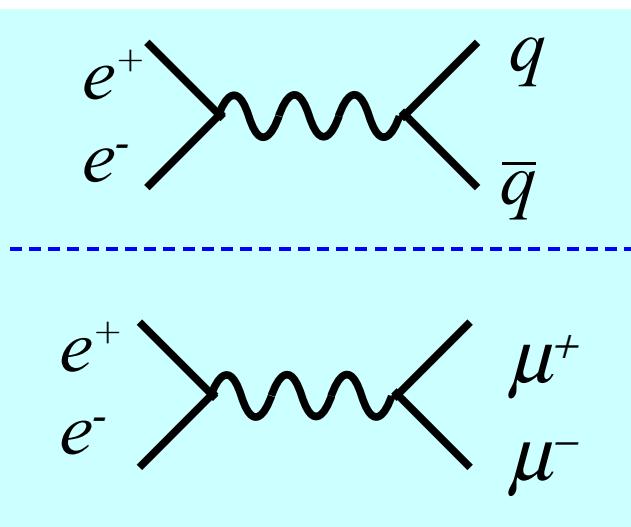
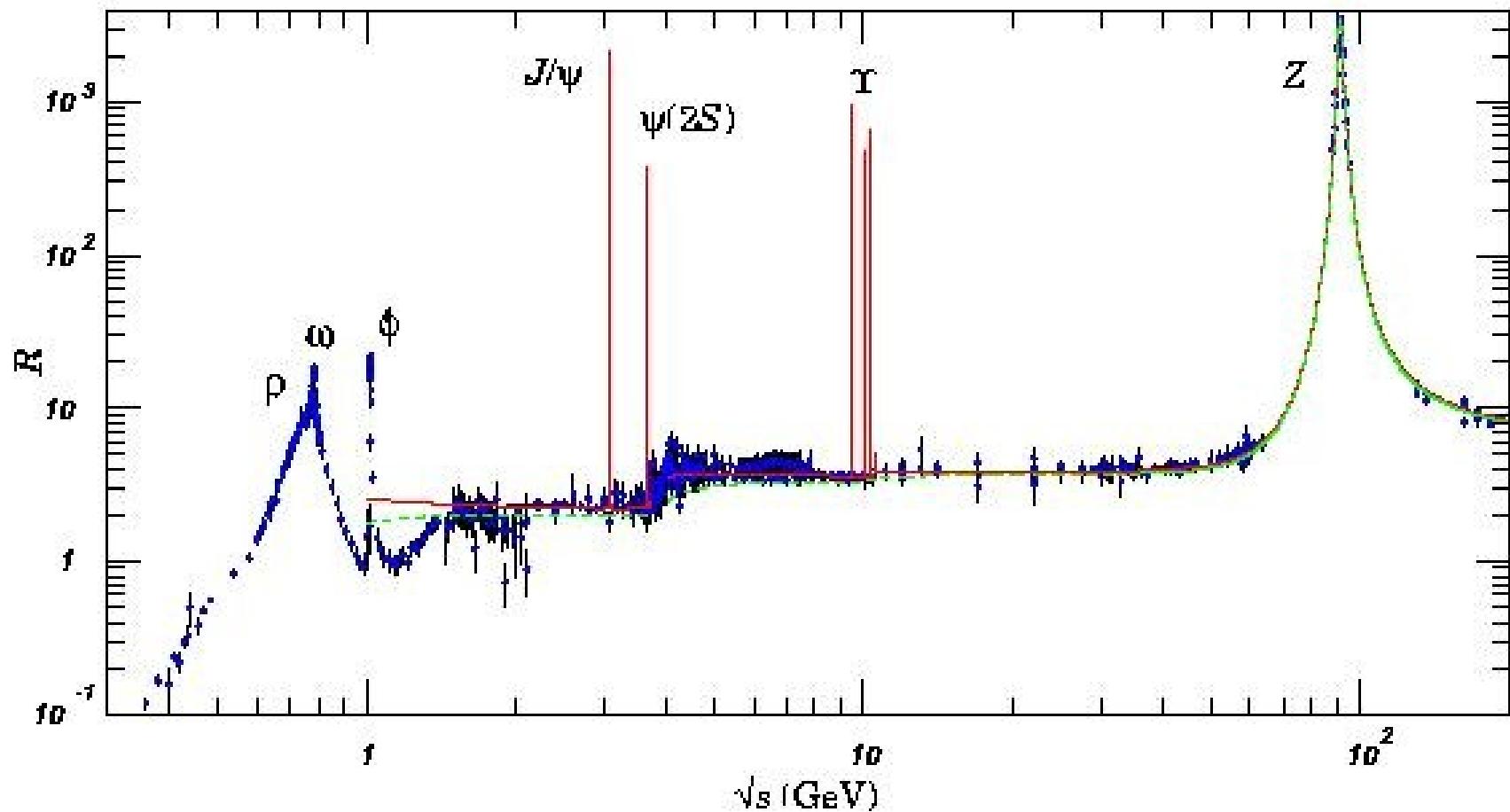
*Cf., DIS case,  
& scattering of  
point-like constituents*

$e^+e^-$

R ratio

$$R = \frac{\sigma(e^+ e^- \rightarrow \text{hadrons})}{\sigma(e^+ e^- \rightarrow \mu^+ \mu^-)}$$

# $e^+e^-$ Ratio of hadrons to muons



$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = 3 \sum_i Q_i^2 \left[ 1 + \frac{\alpha_s}{\pi} \right]$$

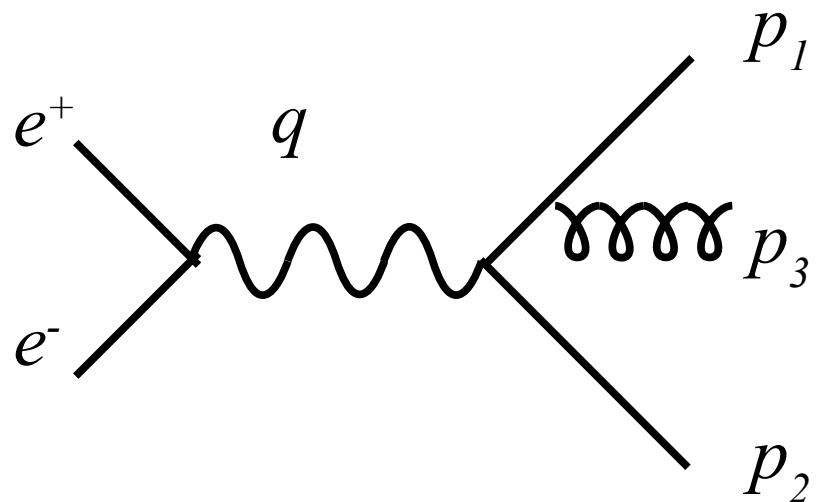
3 quark colors

NLO correction

$e^+e^-$

*NLO corrections*

## $e^+e^-$ to 3 particles final state



Define the energy fractions  $E_i$ :

$$x_i = \frac{E_i}{\sqrt{s}/2} = \frac{2p_i \cdot q}{s}$$

Energy Conservation:

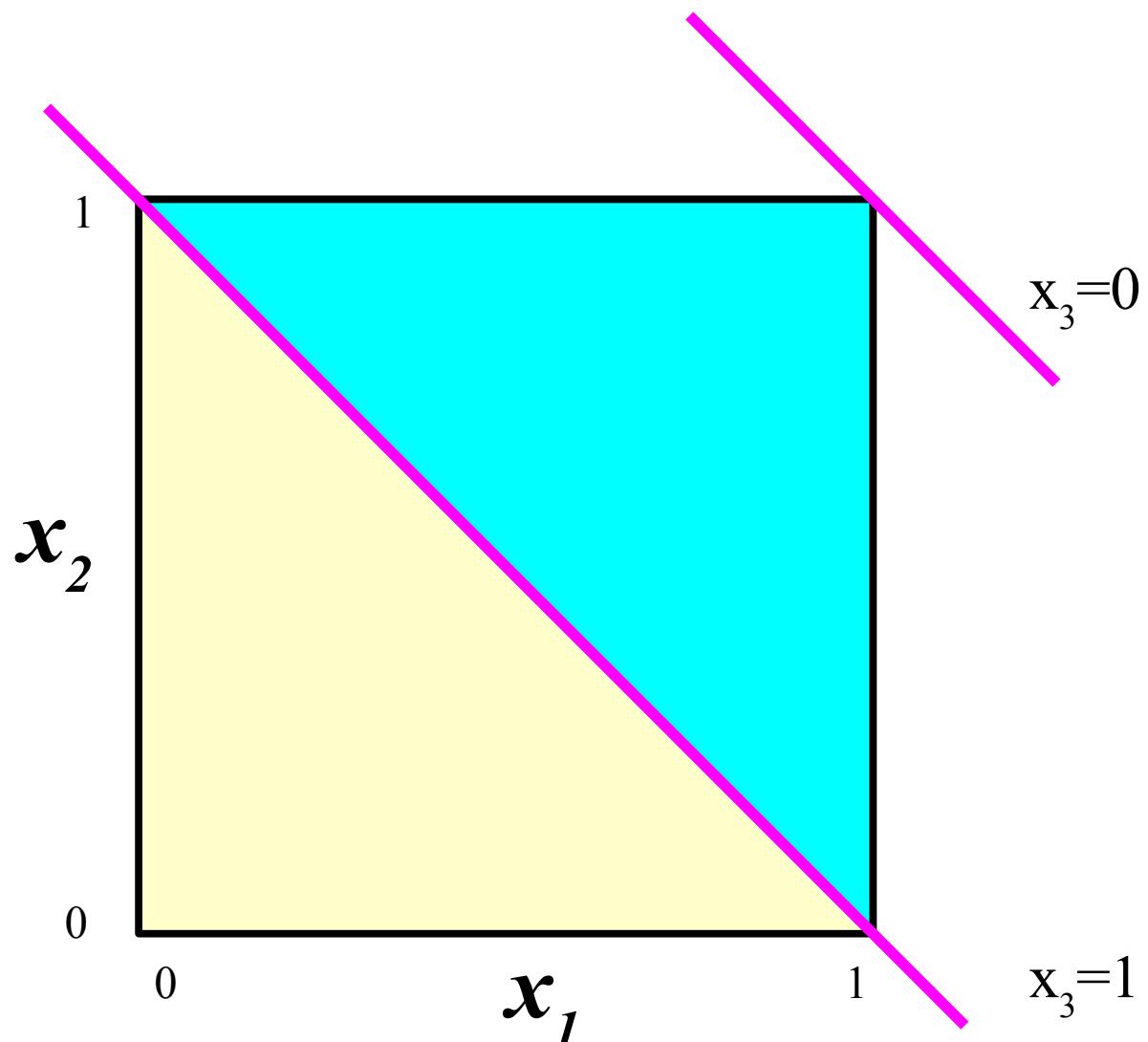
$$\sum_i x_i = 2$$

Range of  $x$ :

$$x_i \subset [0, 1]$$

Exercise: show 3-body phase space is flat in  $dx_1 dx_2$

# 3-Particle Phase Space

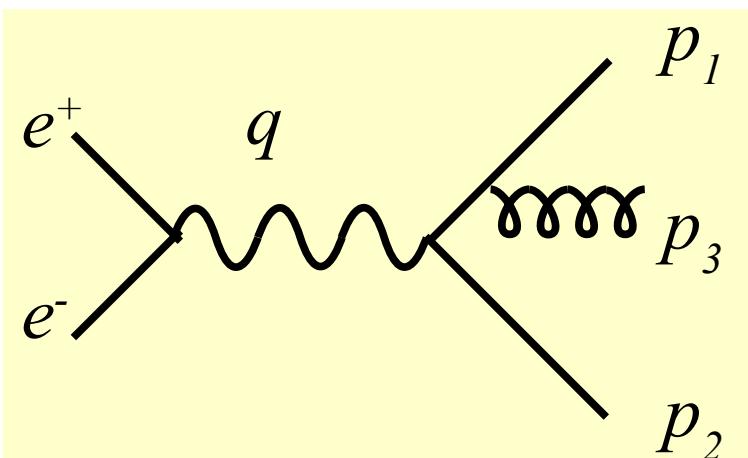


$$d\Gamma \sim dx_1 dx_2$$

$$x_i = \frac{E_i}{\sqrt{s}/2}$$

$$x_i \subset [0, 1]$$

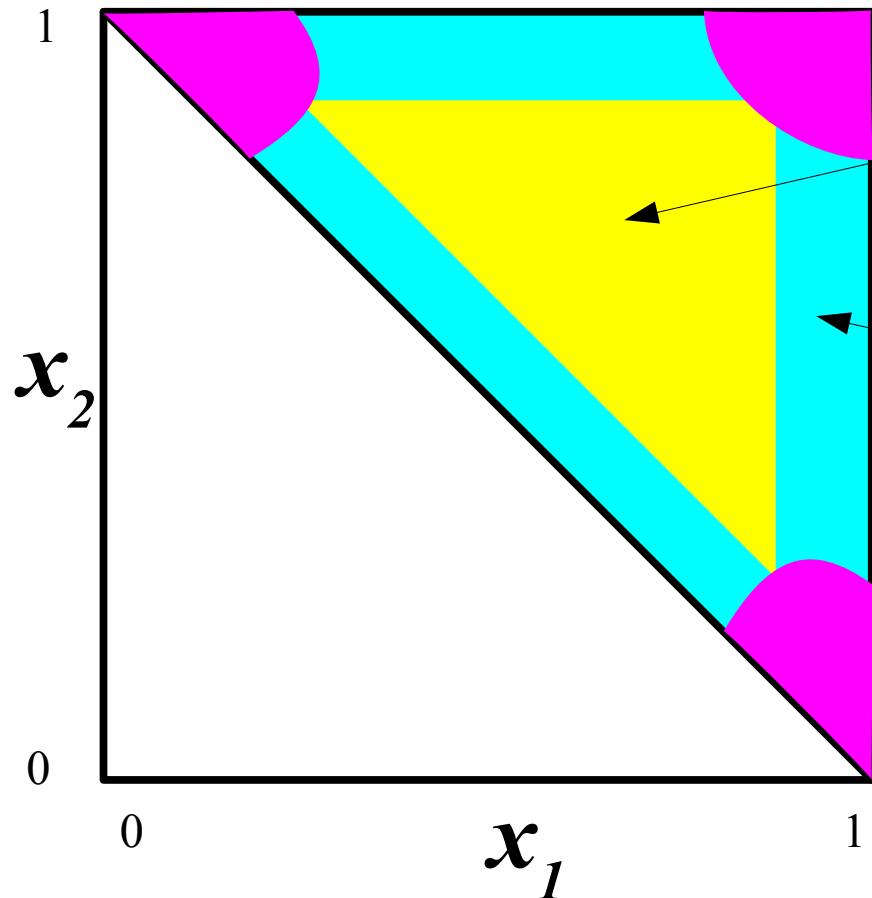
$$x_1 + x_2 + x_3 = 2$$



# 3-Particle Configurations

$$\sigma_0 = \frac{4\pi\alpha^2}{s} \sum e_q^2$$

$$C_F = 4/3$$



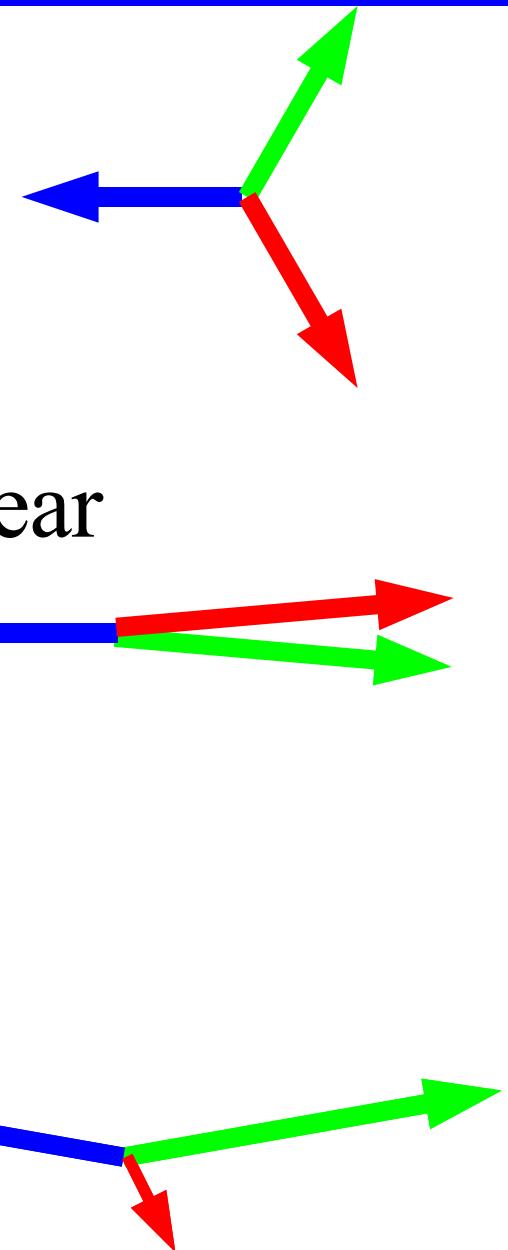
3-Jet

Collinear

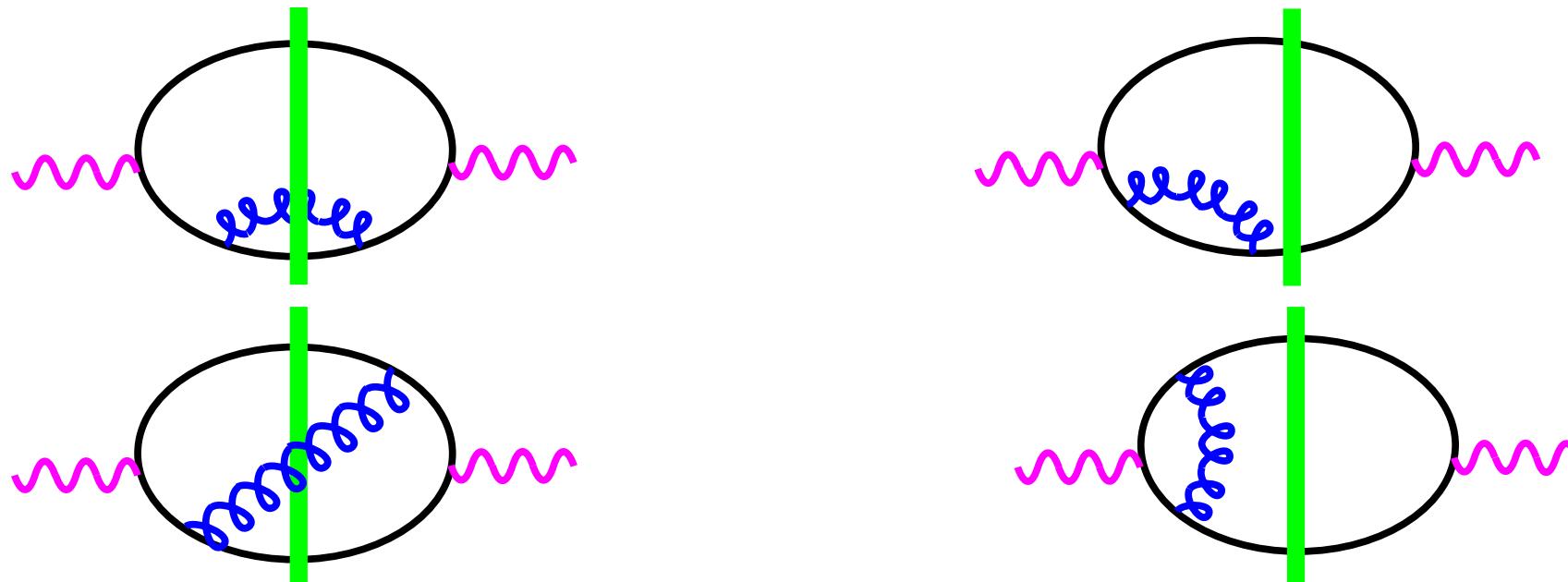
Soft

*After symmetrization*

$$\frac{1}{\sigma_0} \frac{d\sigma}{dx_1 dx_2} = \frac{\alpha_s}{2\pi} C_F \frac{x_1^2 + x_2^2 + x_3^2}{(1-x_1)(1-x_2)(1-x_3)}$$



# Singularities cancel between 2-particle and 3-particle graphs



$$\sigma_2^{(\epsilon)} = \sigma_0 C_F \frac{\alpha_s}{\pi} (...) \left[ +\frac{-1}{\epsilon^2} + \frac{-3}{2\epsilon} + \frac{\pi^2}{2} - 4 \right]$$

$$\sigma_3^{(\epsilon)} = \sigma_0 C_F \frac{\alpha_s}{\pi} (...) \left[ +\frac{+1}{\epsilon^2} + \frac{+3}{2\epsilon} + \frac{-\pi^2}{2} - \frac{19}{4} \right]$$

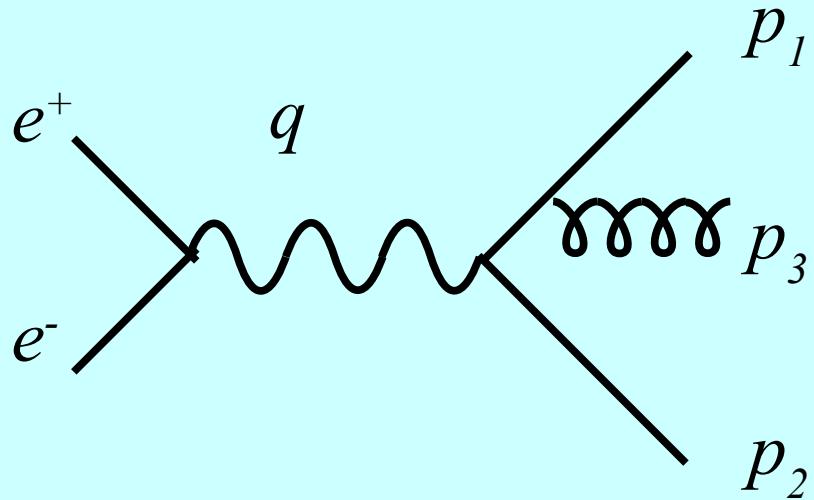
$$\sigma_2^{(\epsilon)} + \sigma_3^{(\epsilon)} = \sigma_0 C_F \frac{\alpha_s}{\pi} (...) \left[ 0 + 0 + 0 + -\frac{35}{4} \right]$$

Same result with  
gluon mass  
regularization

$e^+e^-$

# Differential Cross Sections

# Differential Cross Section



What do we do about soft and collinear singularities????

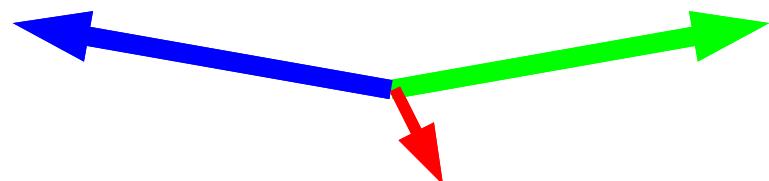
Introduce the concept of “Infrared Safe Observable”

The soft and collinear singularities will cancel  
**ONLY**  
if the physical observables are appropriately defined.

## Infrared Safe Observables

Observables must satisfy the following requirements:

Soft



if  $p_s \rightarrow 0$

$$\mathcal{O}_{n+1}(p_1, \dots, p_n, p_s) \longrightarrow \mathcal{O}_n(p_1, \dots, p_n)$$

Collinear

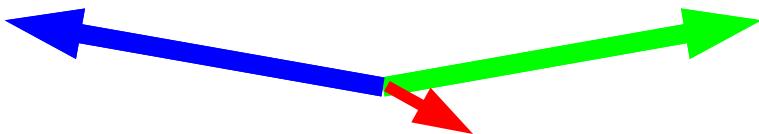


if  $p_a \parallel p_b$

$$\mathcal{O}_{n+1}(p_1, \dots, p_a, p_b, \dots, p_n) \longrightarrow \mathcal{O}_n(p_1, \dots, p_a + p_b, \dots, p_n)$$

## Infrared Safe Observables

Soft

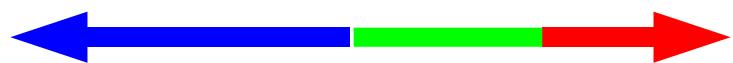


$$\text{if } p_s \rightarrow 0$$



$$\mathcal{O}_{n+1}(p_1, \dots, p_n, p_s) \longrightarrow \mathcal{O}_n(p_1, \dots, p_n)$$

Collinear



$$\text{if } p_a \parallel p_b$$

$$\mathcal{O}_{n+1}(p_1, \dots, p_a, p_b, \dots, p_n) \longrightarrow \mathcal{O}_n(p_1, \dots, p_a + p_b, \dots, p_n)$$

### Infrared Safe Observables:

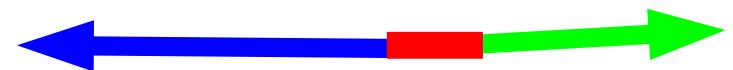
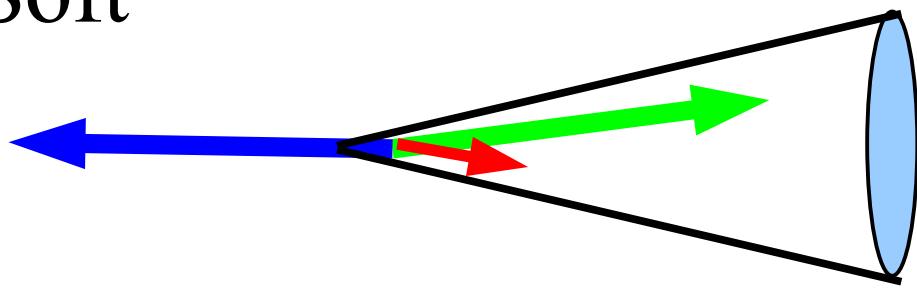
- Event shape distributions
- Jet Cross sections

### Un-Safe Infrared Observables:

- Momentum of the hardest particle
  - (affected by collinear splitting)
- 100% isolated particles
  - (affected by soft emissions)
- Particle multiplicity
  - (affected by both soft & collinear emissions)

## Infrared Safe Observables: Define Jets

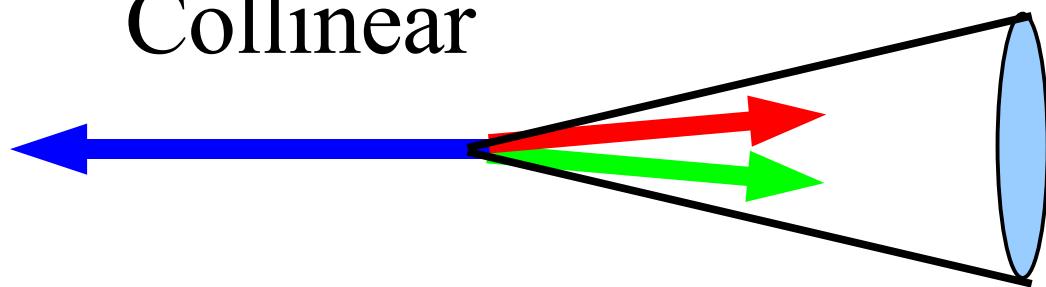
Soft



if  $p_s \rightarrow 0$

$$\mathcal{O}_{n+1}(p_1, \dots, p_n, p_s) \longrightarrow \mathcal{O}_n(p_1, \dots, p_n)$$

Collinear

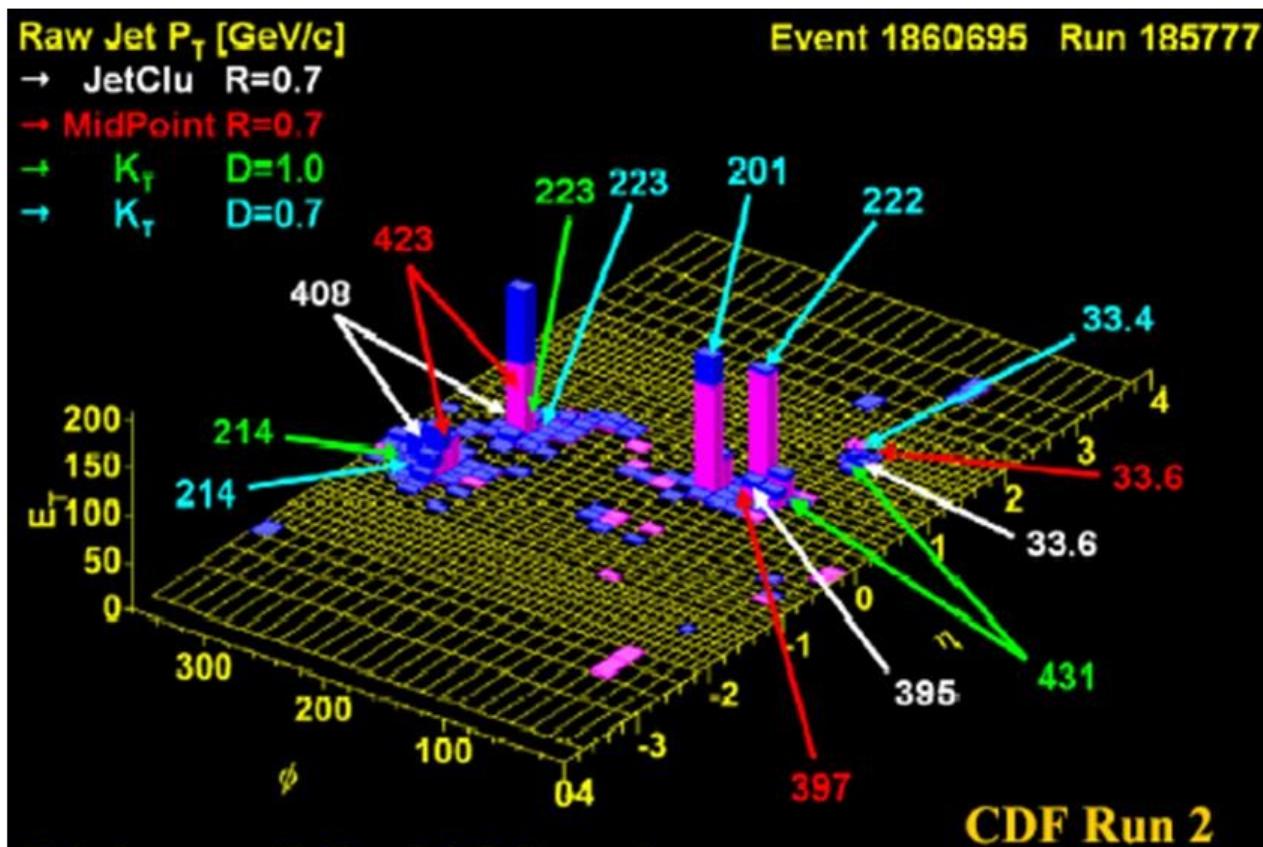
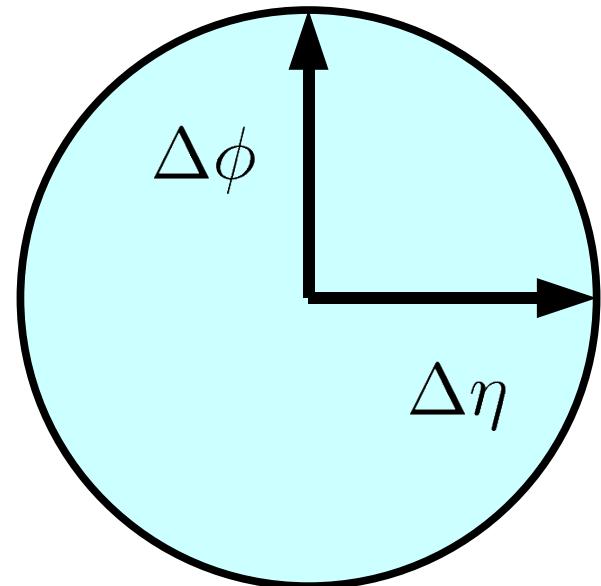
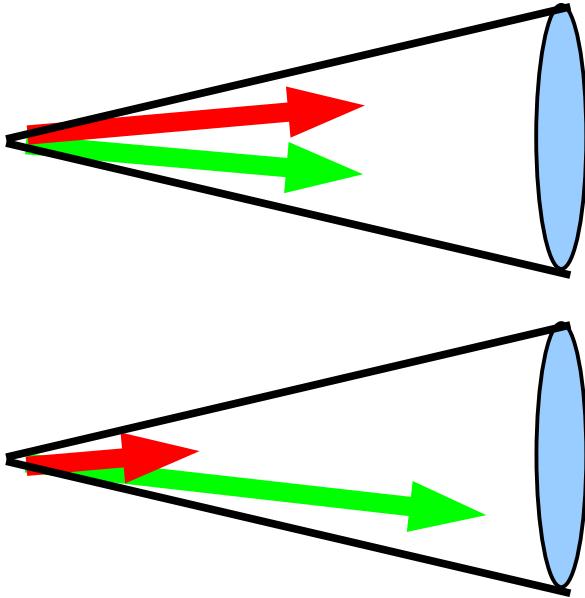


if  $p_a \parallel p_b$

$$\mathcal{O}_{n+1}(p_1, \dots, p_a, p_b, \dots, p_n) \longrightarrow \mathcal{O}_n(p_1, \dots, p_a + p_b, \dots, p_n)$$

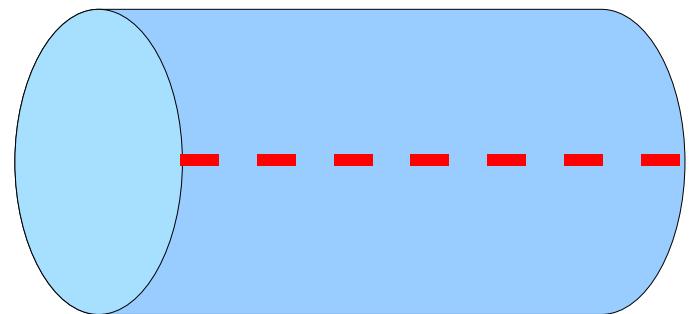
# Infrared Safe Observables: Define Jets

Jet Cone

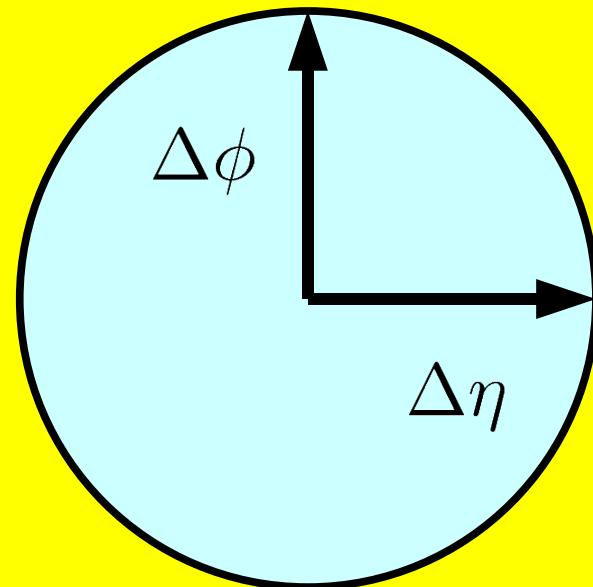


$$R^2 = (\Delta\eta)^2 + (\Delta\phi)^2$$

Let's examine this definition a bit more closely

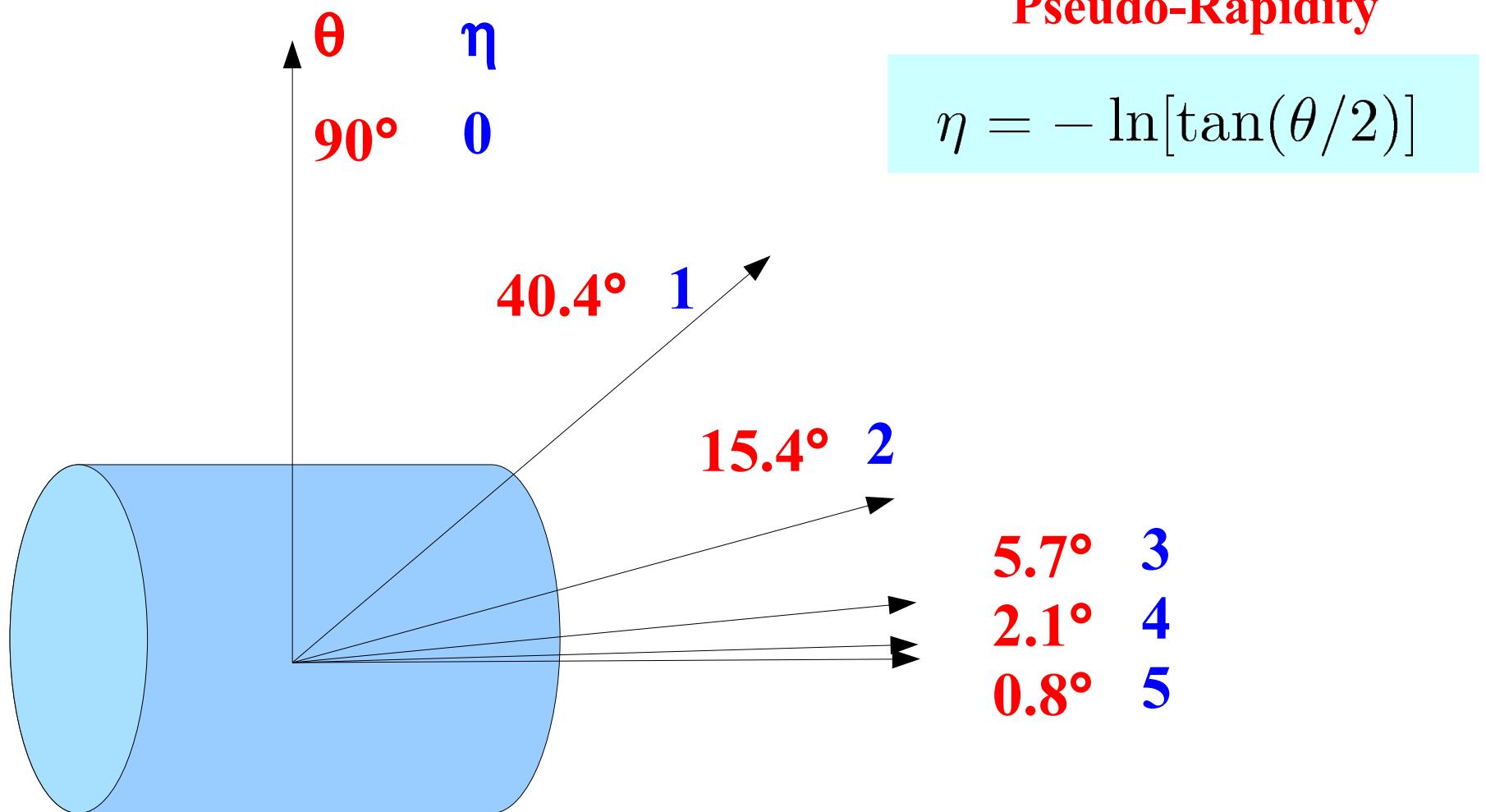


# Jet Cone

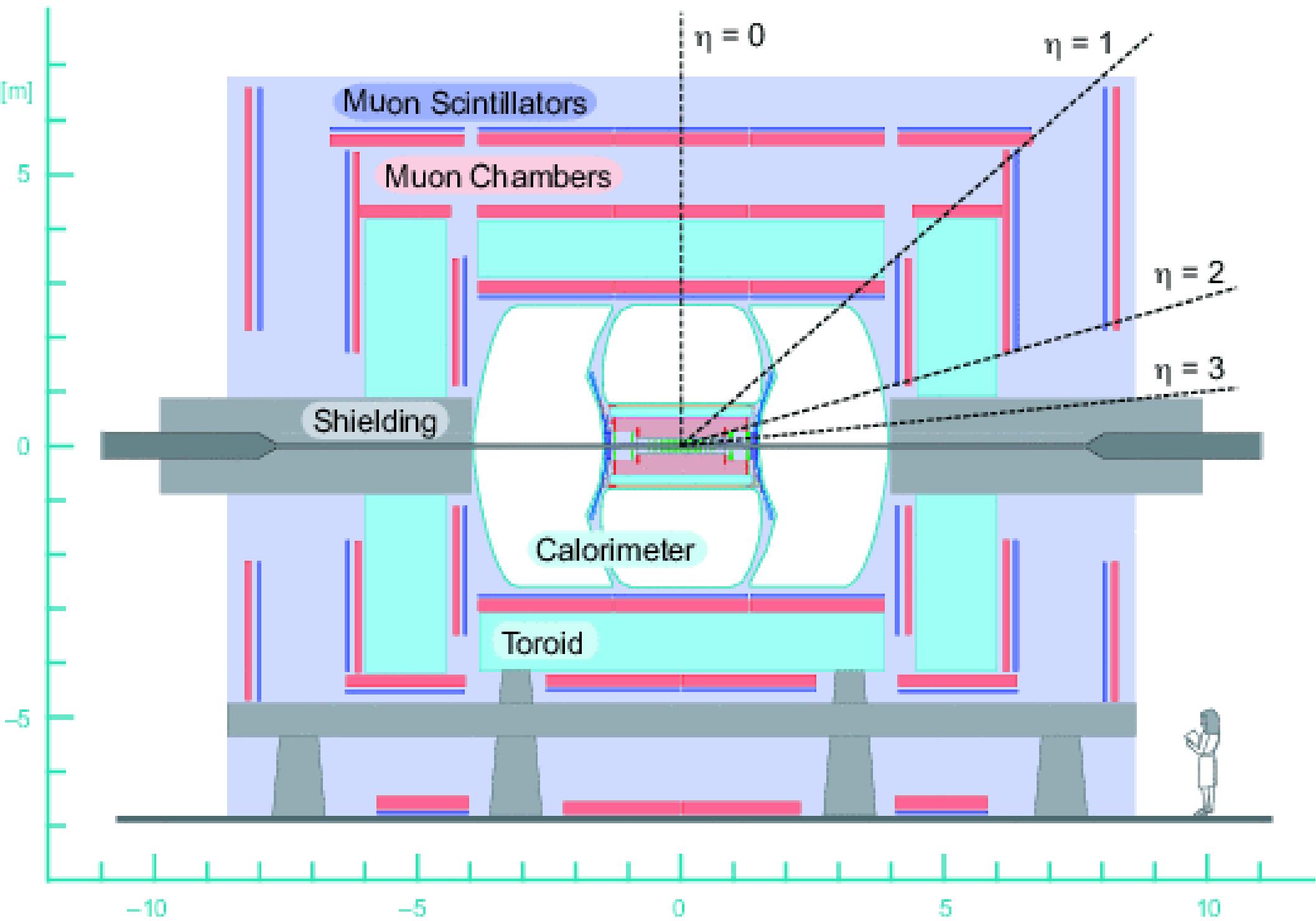


$$R^2 = (\Delta\eta)^2 + (\Delta\phi)^2$$

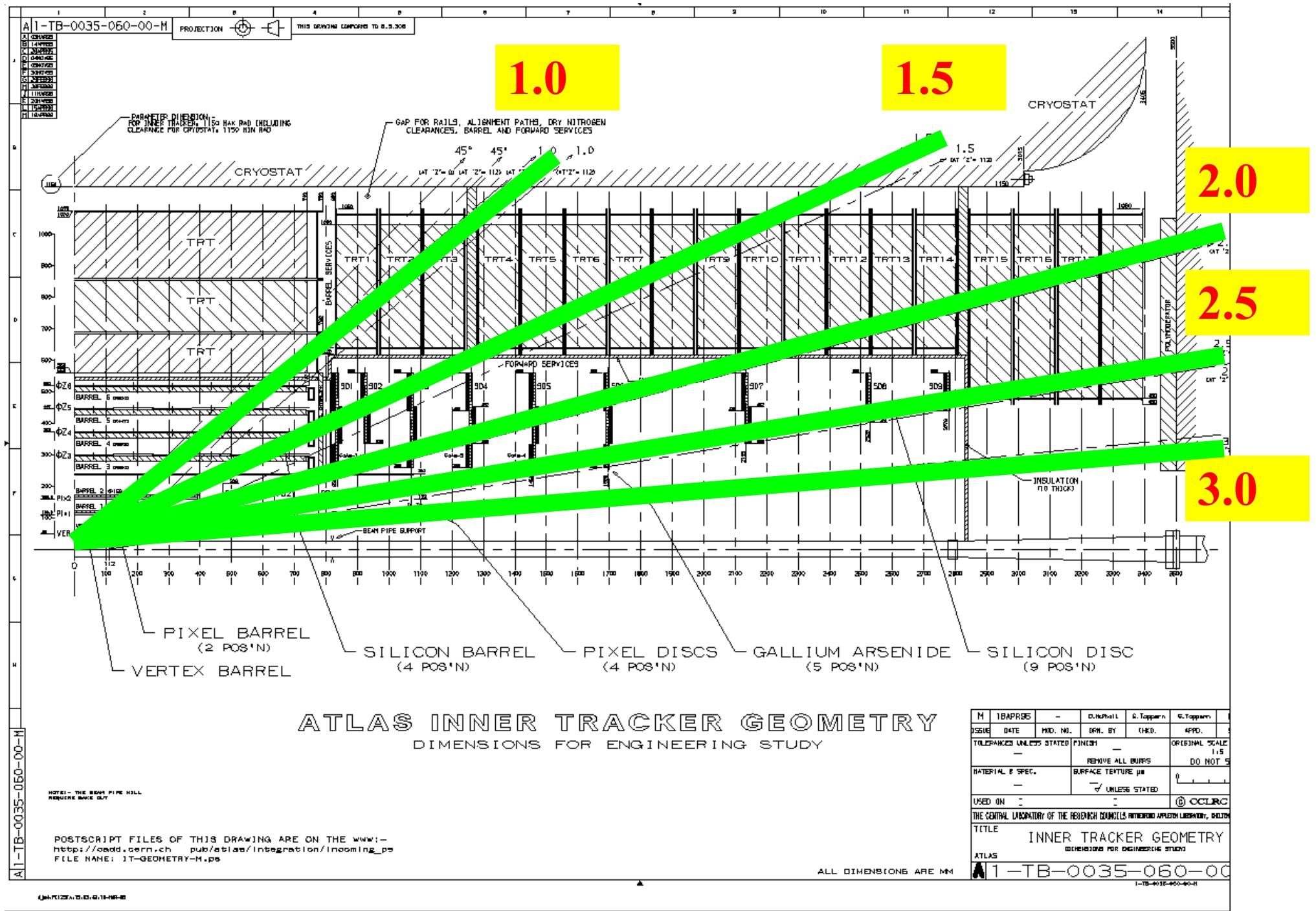
# Pseudo-Rapidity vs. Angle



# D0 Detector Schematic

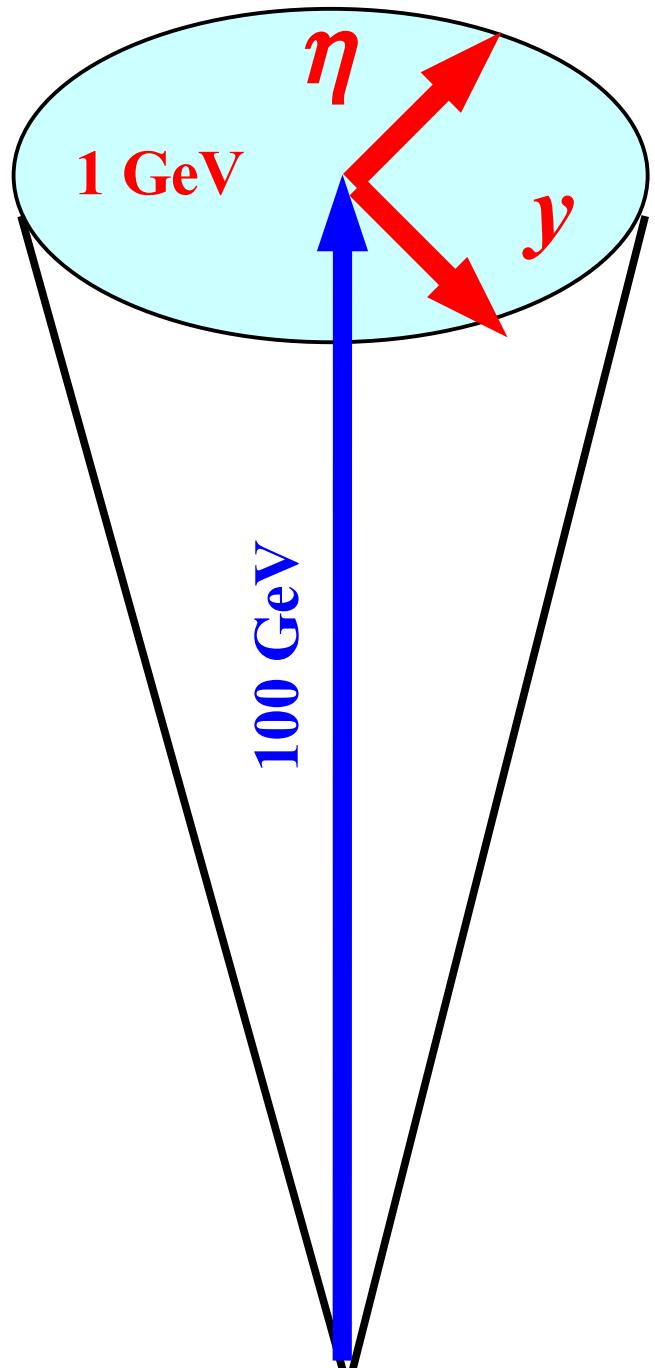


# ATLAS Detector Schematic



# homework

# HOMEWORK: Jet Cone Definition



**PROBLEM #2:** In a Tevatron detector, consider two particles traveling in the transverse direction:

$$\begin{aligned} p_1^\mu &= \{E, 100, 0, 1\} \\ p_2^\mu &= \{E, 100, 1, 0\} \end{aligned}$$

where the components are expressed in GeV units.  $E$  is defined such that the particles are massless.

- Compute  $E$ .
- For each particle, compute the pseudorapidity  $\eta$  and azimuthal angle  $\phi$ .
- Explain how the above exercise justifies the correct jet radius definition to be:

$$R = \sqrt{\eta^2 + \phi^2}$$

In particular, why is the above correct and  $R = \sqrt{\eta^2 + 2\phi^2}$ , for example, incorrect.

## HOMEWORK: Light-Cone Coordinates & Boosts

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$$P_\mu = \{P_t, P_x, P_y, P_z\}$$

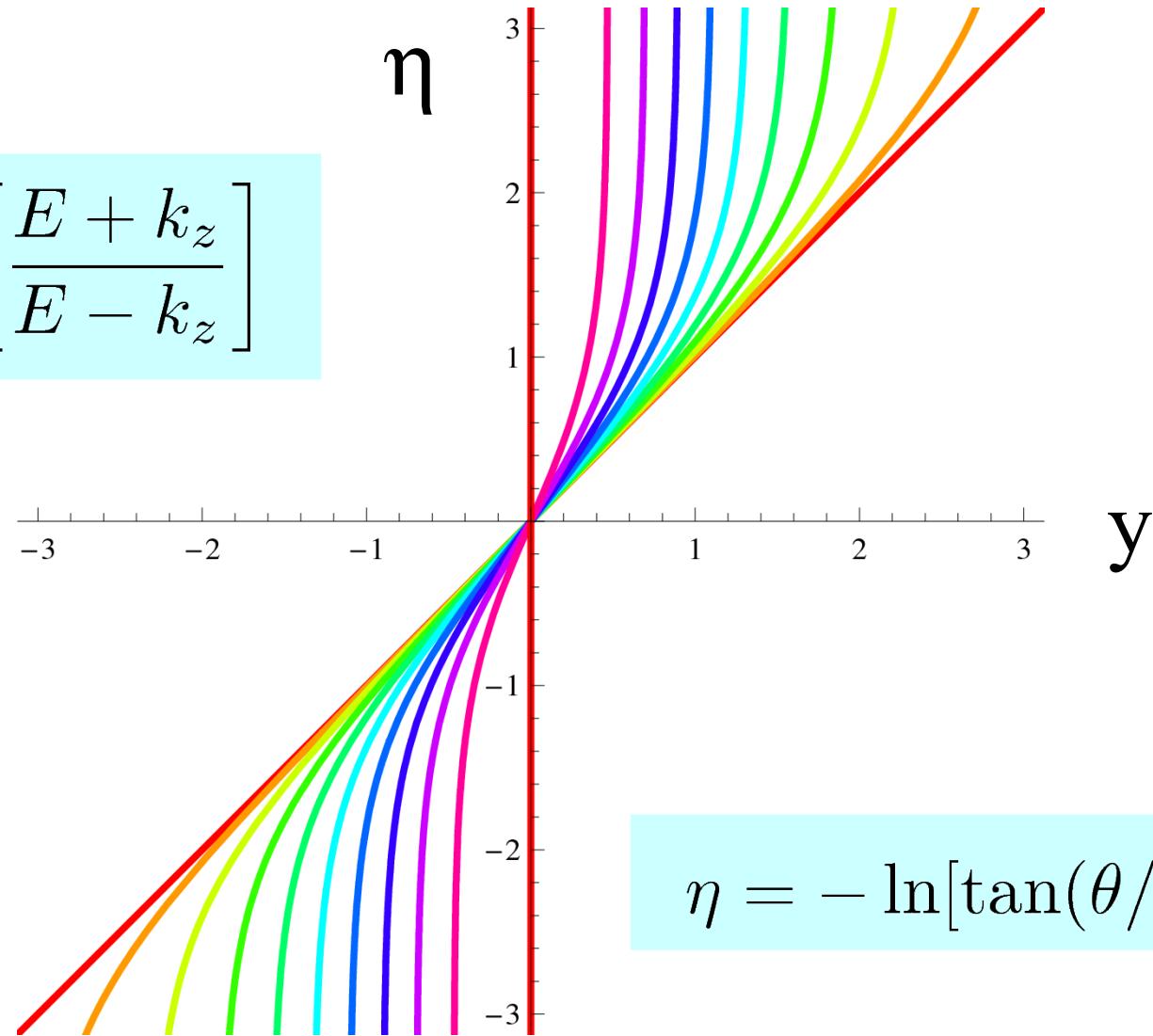
$$P_\mu = \{P_+, \overrightarrow{P}_\perp, P_-\} \quad \overrightarrow{P}_\perp = \{P_x, P_y\}$$

$$P_\pm = \frac{1}{\sqrt{2}} (P_t \pm P_z)$$

- 1) Compute the metric  $g_{\mu\nu}$  in the light-cone frame, and compute  $\overrightarrow{P}_1 \cdot \overrightarrow{P}_2$  in terms of the light-cone components.
- 2) Compute the boost matrix  $B$  for a boost along the  $z$ -axis, and show the light-cone vector transforms in a particularly simple manner.
- 3) Show that a boost along the  $z$ -axis uniformly shifts the rapidity of a vector by a constant amount.

# Rapidity vs. Pseudo-Rapidity

$$y = \frac{1}{2} \ln \left[ \frac{E + k_z}{E - k_z} \right]$$



$$\eta = -\ln[\tan(\theta/2)]$$

$$\frac{M}{E} = \{0, 0.1, 0.2, \dots\}$$

# HOMEWORK: Rapidity vs. Pseudo-Rapidity

**PROBLEM #1:** Consider the rapidity  $y$  and the pseudo-rapidity  $\eta$ :

$$y = \frac{1}{2} \ln \left( \frac{E + P_T}{E - P_T} \right)$$

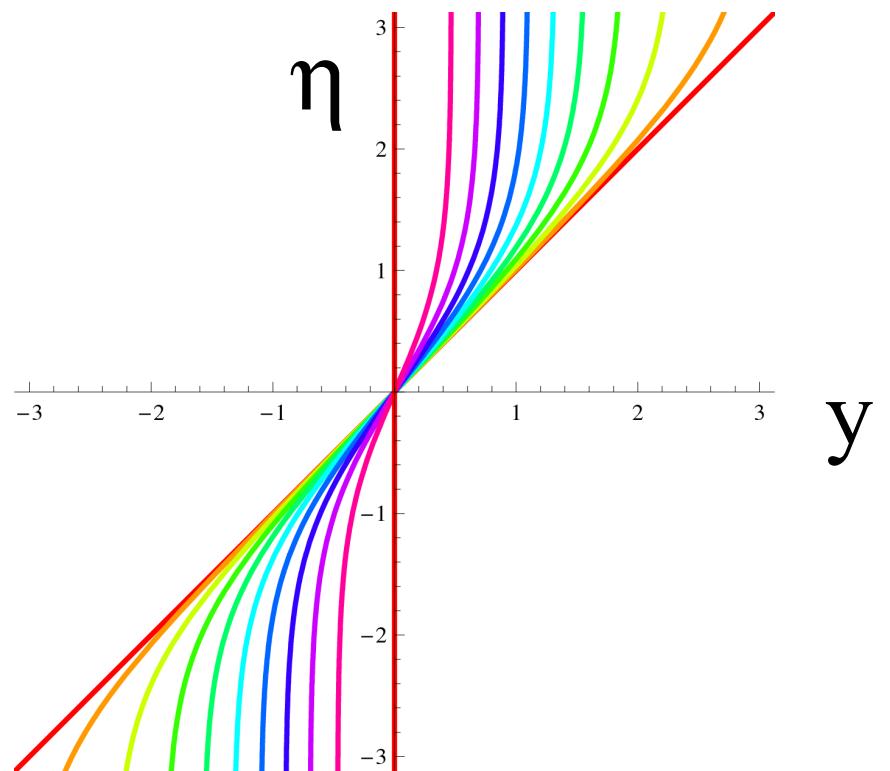
$$\eta = -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]$$

a) Make a parametric plot of  $\{y, \eta\}$  as a function of  $m/E$  where  $m$  is the mass of the particle.

b) Show that in the limit  $m \rightarrow 0$  that  $y \rightarrow \eta$ .

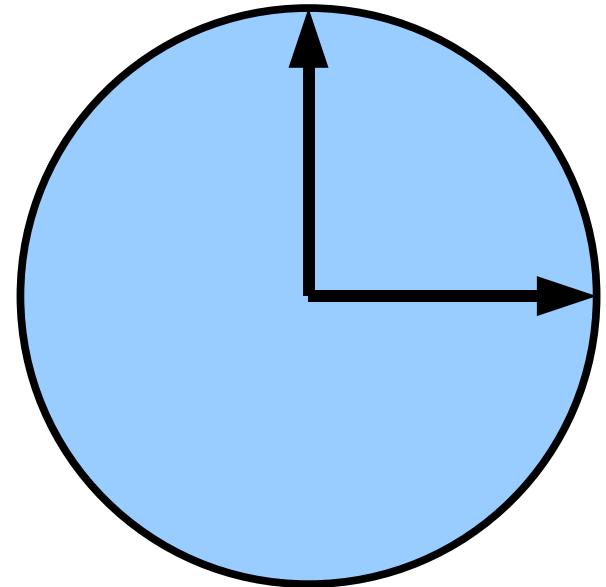
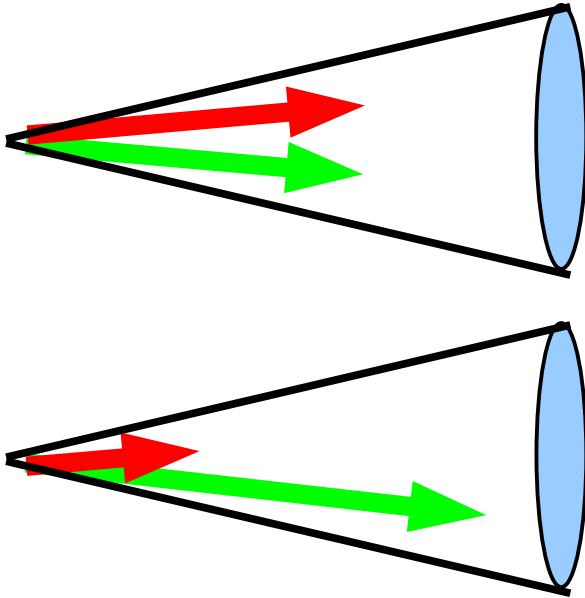
c) Make a table of  $\eta$  for  $\theta = [0^\circ, 180^\circ]$  in steps of 5 degrees.

d) Make a table of  $\theta$  for  $\eta = [0, 10]$  in



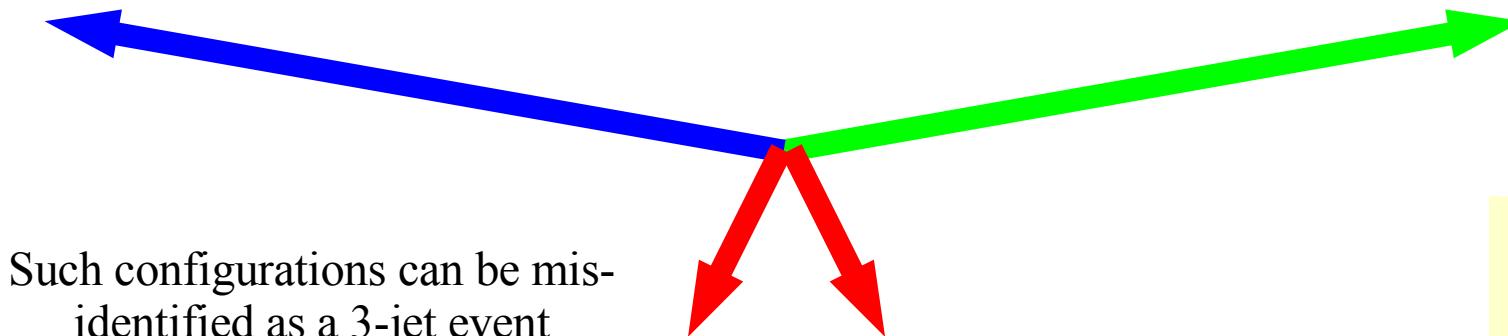
# Infrared Safe Observables: Define Jets

Jet Cone



Problem:  
The cone definition is simple,  
BUT  
it is too simple

$$R^2 = (\Delta\eta)^2 + (\Delta\phi)^2$$



See talk by  
Ken Hatakeyama  
(Jets)

## End of lecture 4: Recap

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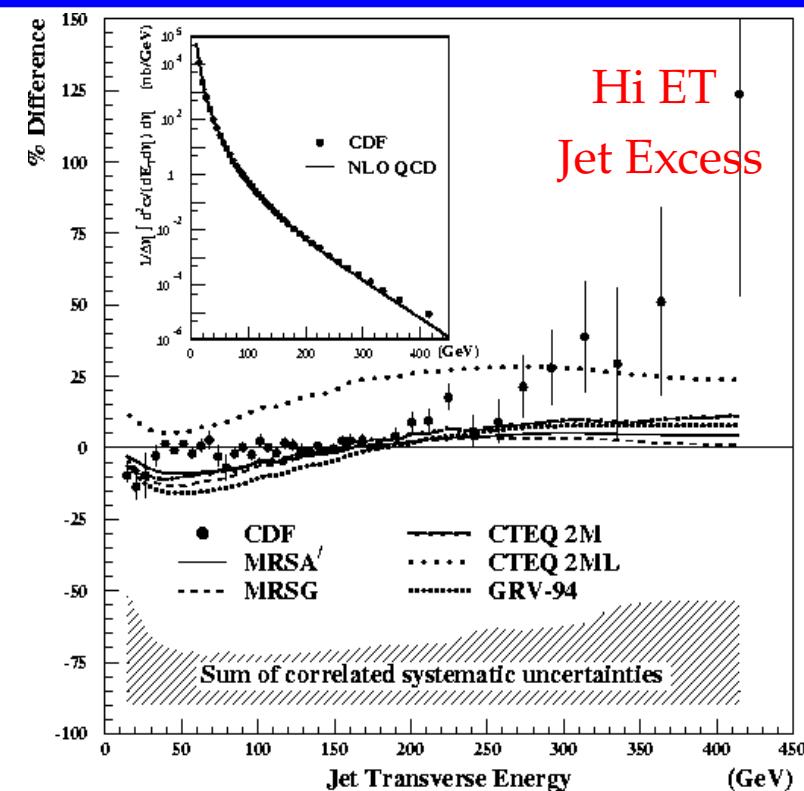
- Drell-Yan: Tremendous discovery potential
  - Need to compute 2 initial hadrons
- $e^+e^-$  processes:
  - Total Cross Section:
  - Differential Cross Section: singularities
- Infrared Safe Observables
  - Stable under soft and collinear emissions
- Jet definition
  - Cone definition is simple:
  - ... it is TOO simple

# Final Thoughts

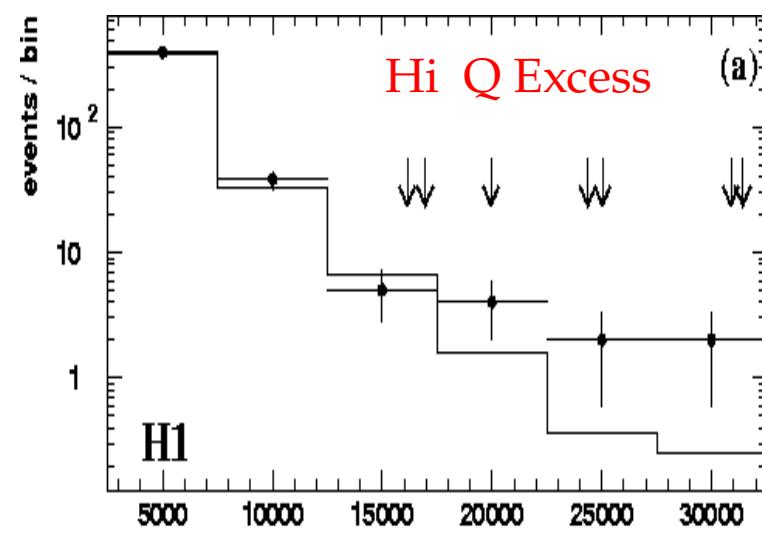
$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \bar{\psi}_i (i\gamma^\mu (D_\mu)_{ij} - m \delta_{ij}) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} \\ &= \bar{\psi}_i (i\gamma^\mu \partial_\mu - m) \psi_i - g G_\mu^a \bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu},\end{aligned}$$

A musical score for two players, featuring two staves. The top staff is labeled "TimePivot" above the notes. The bottom staff is labeled "First player plays the upper staff." below the notes. Both staves show measures 29 through 36. Measure 29: Both staves have eighth-note patterns. Measure 30: Both staves have eighth-note patterns. Measure 31: Both staves have eighth-note patterns. Measure 32: Both staves have eighth-note patterns. Measure 33: Both staves have eighth-note patterns. Measure 34: Both staves have eighth-note patterns. Measure 35: Both staves have eighth-note patterns. Measure 36: Both staves have eighth-note patterns.

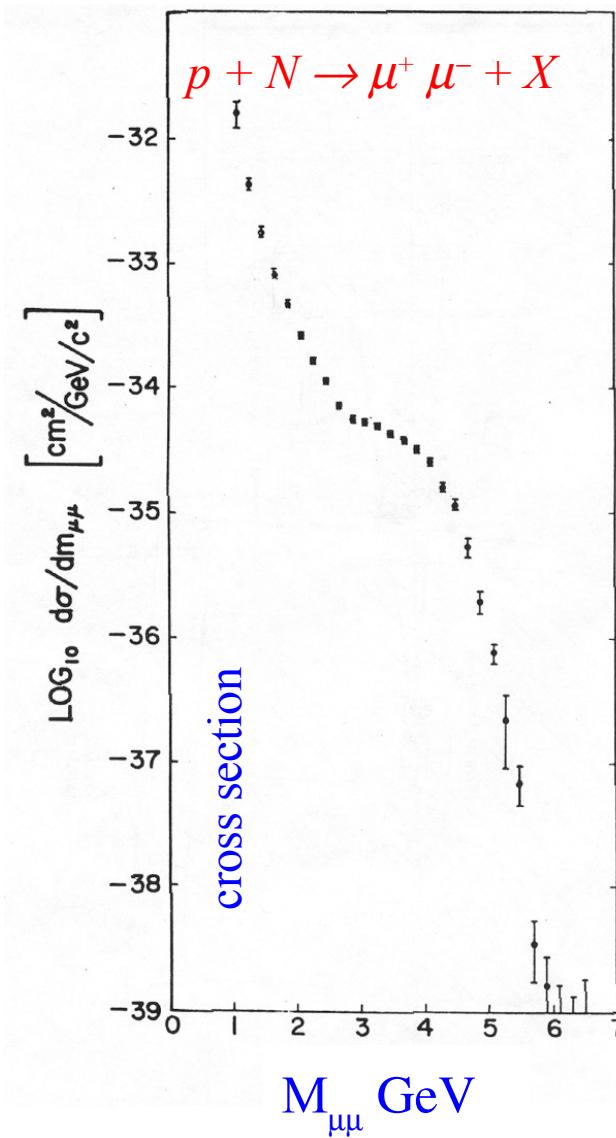
# Can you find the Nobel Prize???

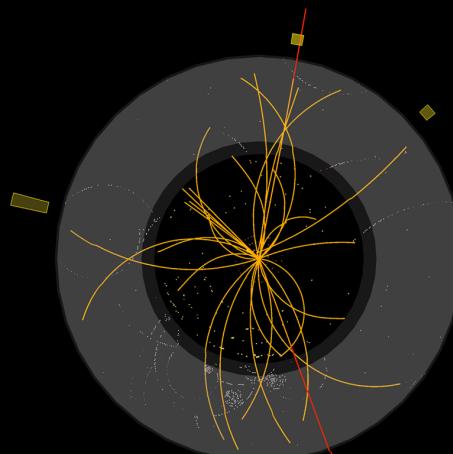


CDF Collaboration, PRL 77, 438 (1996)



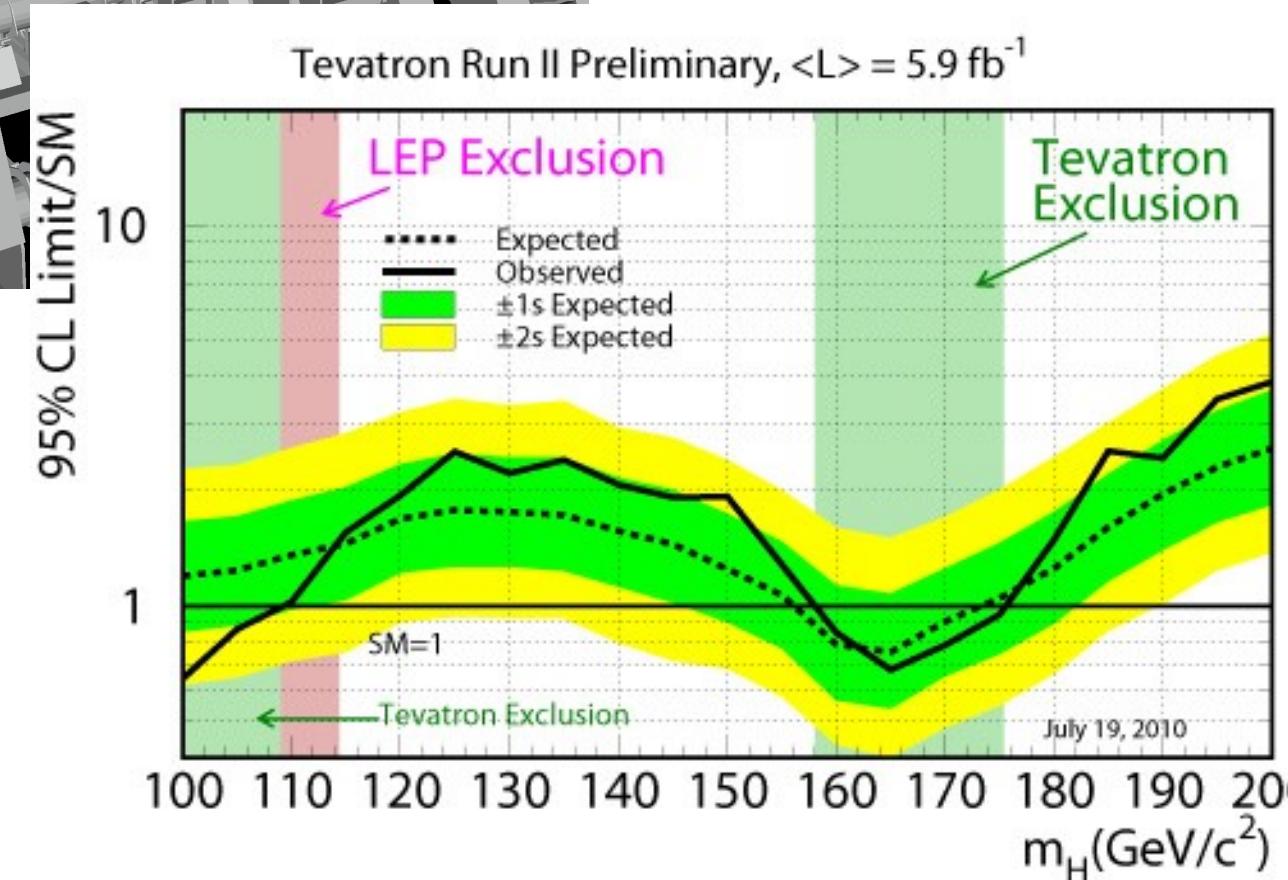
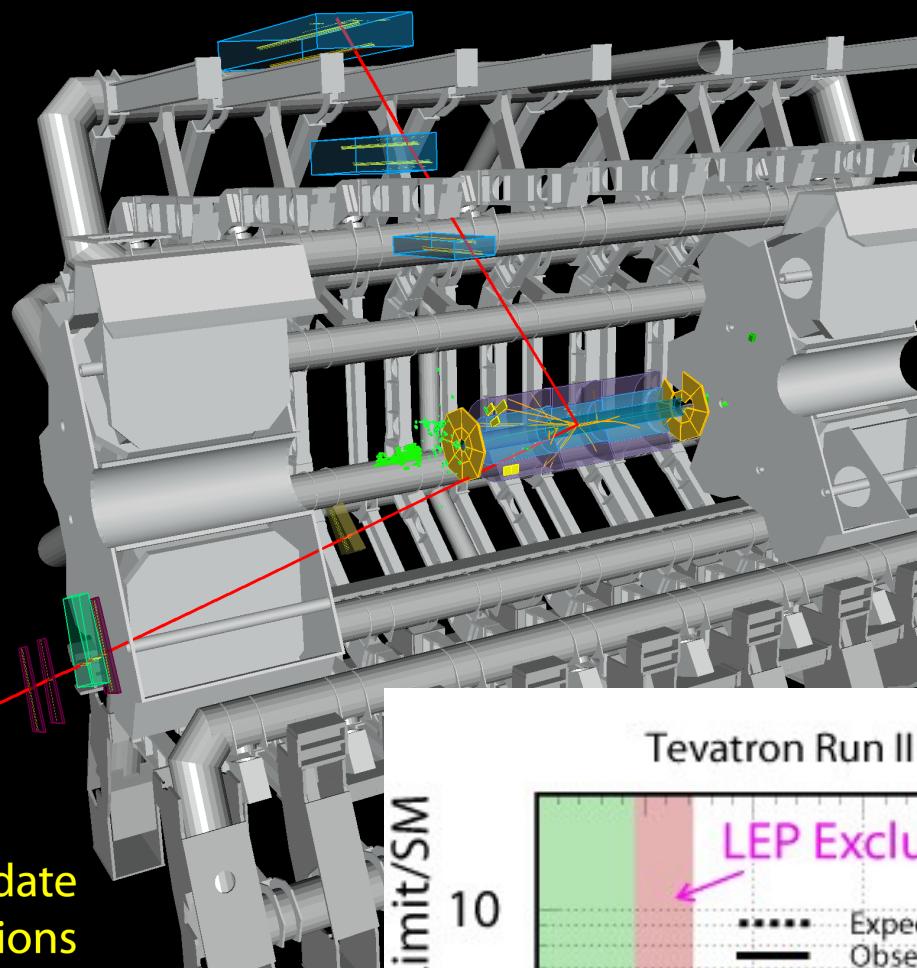
H1 Collaboration, ZPC74, 191 (1997)  $Q^2$  ( $\text{GeV}^2$ )  
ZEUS Collaboration, ZPC74, 207 (1997)





$p_T(\mu^-) = 27 \text{ GeV}$   $\eta(\mu^-) = 0.7$   
 $p_T(\mu^+) = 45 \text{ GeV}$   $\eta(\mu^+) = 2.2$   
 $M_{\mu\mu} = 87 \text{ GeV}$

$Z \rightarrow \mu\mu$  candidate  
in 7 TeV collisions



## Thanks to ...

Thanks to:

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Thanks to Jeff Owens for help on Drell-Yan  
and Resummation.

To the CTEQ and MCnet folks for making  
all this possible.

*and the many web pages where I borrowed my figures ...*

# END OF LECTURE 4