

CTEQ-MCnet school on
QCD Analysis and Phenomenology
and the Physics and Techniques of Event Generators

LECTURE 1

Introduction to the Parton Model and Perturbative QCD

Fred Olness (SMU)

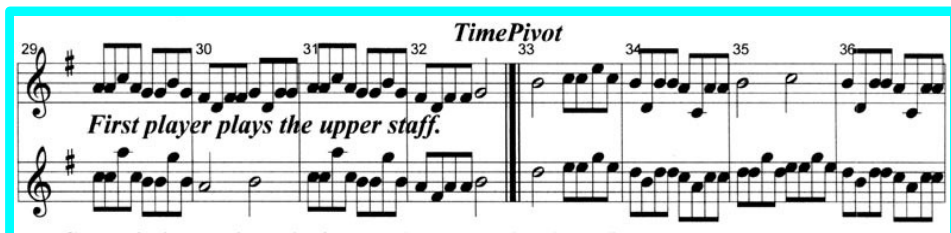
Lauterbad (Black Forest), Germany

26 July - 4 August 2010

Introduction:

Welcome to QCD:

$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \bar{\psi}_i (i\gamma^\mu (D_\mu)_{ij} - m \delta_{ij}) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} \\ &= \bar{\psi}_i (i\gamma^\mu \partial_\mu - m) \psi_i - g G_\mu^a \bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu},\end{aligned}$$



Mozart: Inverted retrograde canon in G

Patterns, Symmetry (obvious & hidden), interpretation

Notes \Rightarrow themes \Rightarrow Melody/Harmony \Rightarrow interaction/counterpoint \Rightarrow structure

Welcome to QCD:

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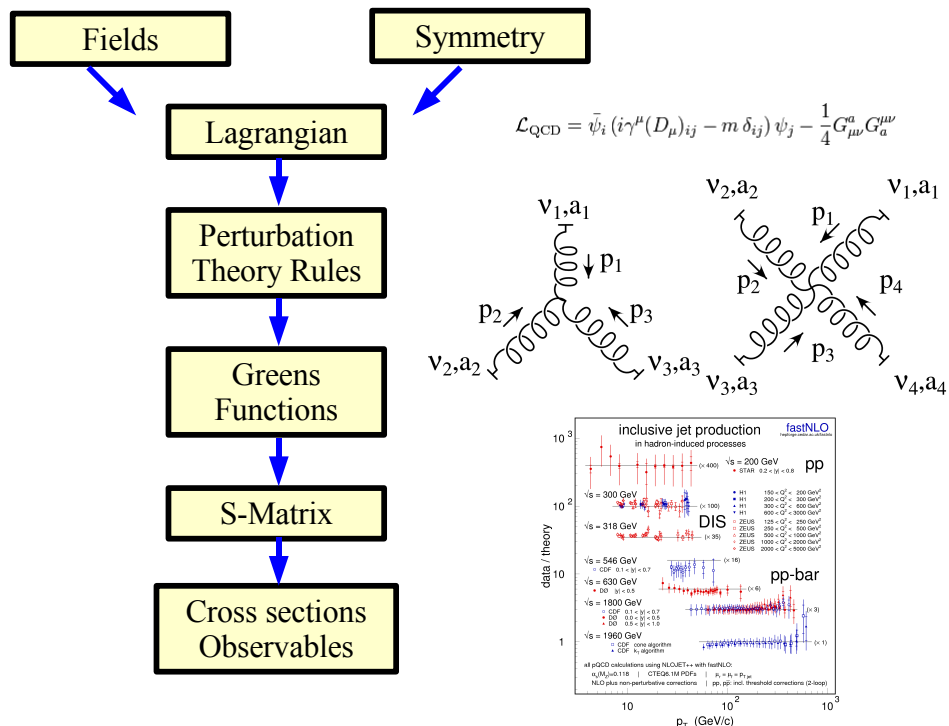


Mozart: Inverted retrograde canon in G

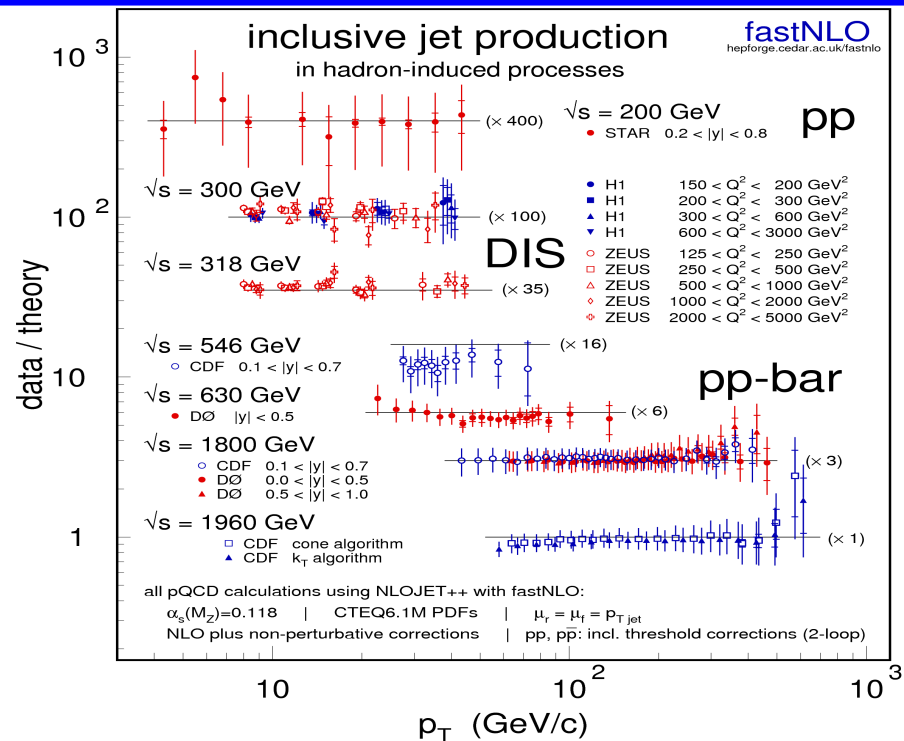
Patterns, Symmetry (obvious & hidden), interpretation

Notes \Rightarrow themes \Rightarrow Melody/Harmony \Rightarrow interaction/counterpoint \Rightarrow structure

The Game



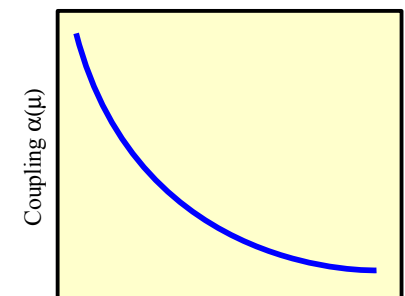
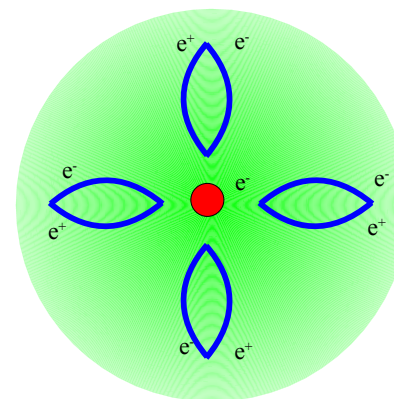
QCD does a remarkable job!!!



QCD is just like QED, only different ...

QCD is just like QED,
.... only different

QED: Abelian U(1) Symmetry



Perturbation theory at large distance is convergent

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu},$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - 0$$

Abelian

$$\alpha(\infty) \sim \frac{1}{137}$$

$$\alpha(M_Z) \sim \frac{1}{128}$$

α is good expansion parameter

QCD is Non-Abelian SU(3) Symmetry; Quarks are Confined!!!

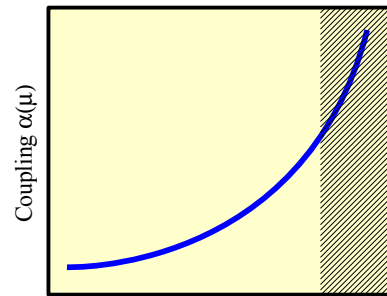
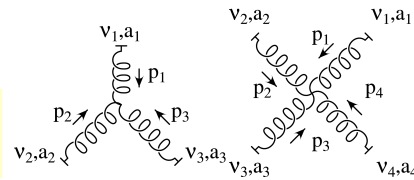
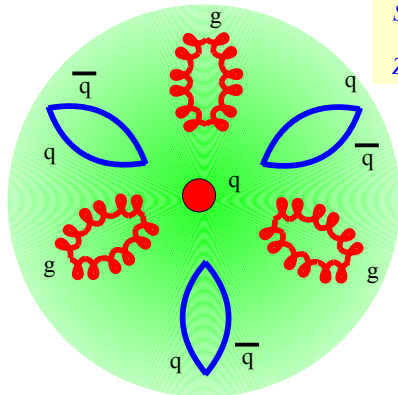
$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_i (i\gamma^\mu (D_\mu)_{ij} - m \delta_{ij}) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a$$

$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{abc} A_\mu^b A_\nu^c$$

$$[T_a, T_b] = i \sum_{c=1}^8 f_{abc} T_c$$

Non-Abelian

See lecture
by Dieter
Zeppenfeld

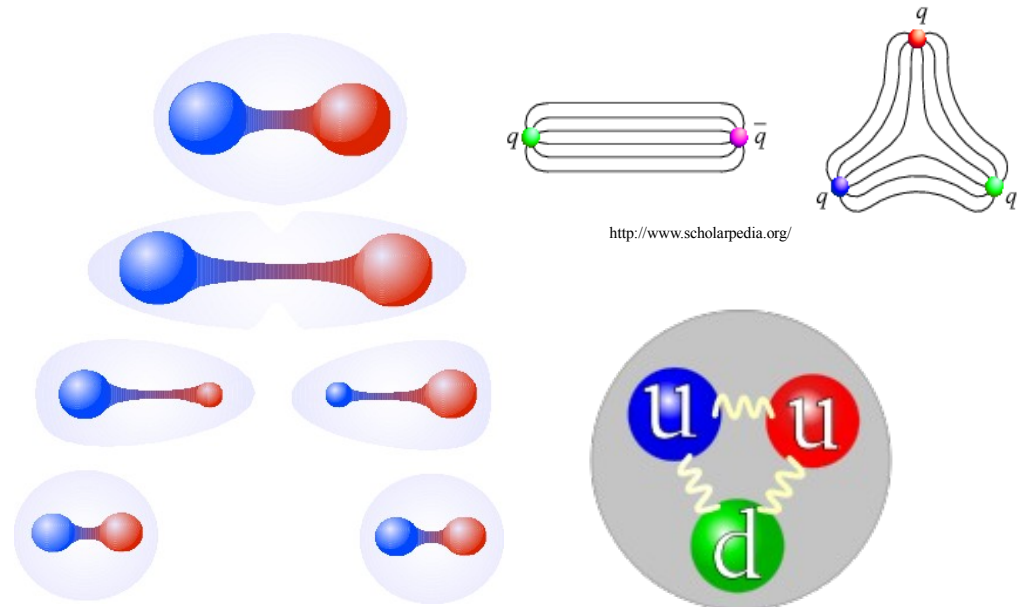


Length Scale

Cannot perform Perturbation
theory at large distance

$$\alpha_s(M_Z) \sim 0.118$$

Quark Confinement & String Interpretation



<http://www.scholarpedia.org/>

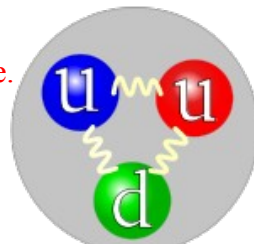
Thomas Lippert, NIC-ZAM, Jülich, for the SESAM Collaboration)

<http://en.wikipedia.org/>

Quarks are confined

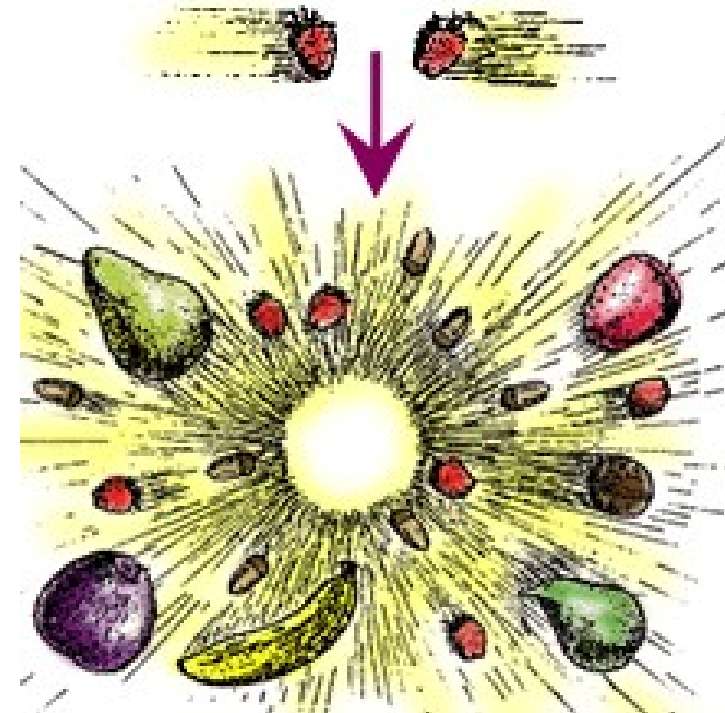
Statement of the problem

- Theorist #1: The universe is completely described by the symmetry group SO(10)
- Theorist #2: You're wrong; the correct answer is SuperSymmetric flipped SU(5)xU(1)
- Theorist #3: You've flipped! The only rational choice is E8xE8 dictated by SuperString Theology.
- Experimentalist: Enough of this speculative nonsense. I'm going to measure something to settle this question. What can you predict???
- Theorist #1: We can predict the interactions between fundamental particles such as quarks and leptons.
- Experimentalist: Great! Give me a beam of quarks and leptons, and I can settle this debate.
- Accelerator Operator: Sorry, quarks only come in a 3-pack and we can't break a set!



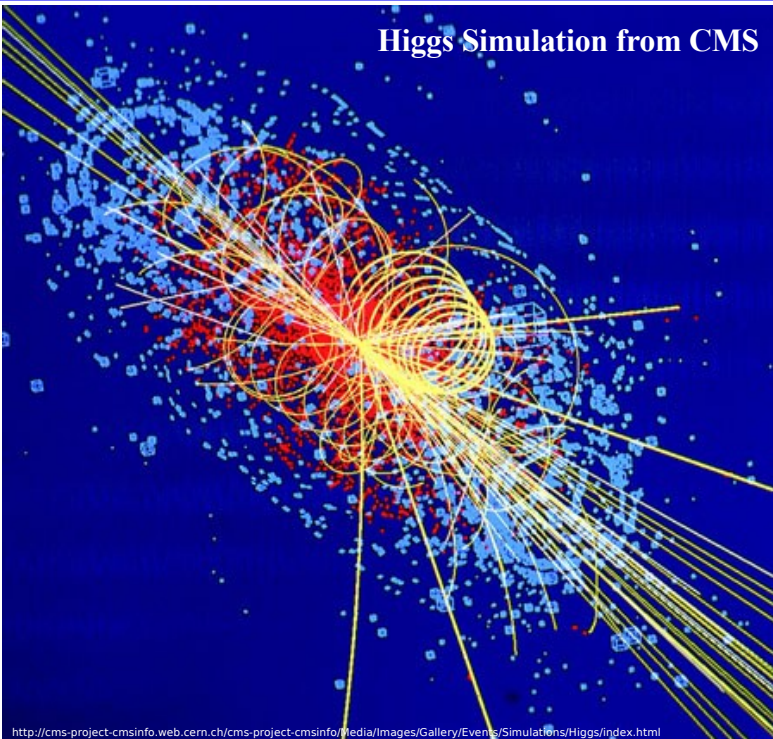
<http://en.wikipedia.org/>

One interpretation of a hadron-hadron collision



Did we find
the Higgs?

Higgs Simulation from CMS



OUTLINE

QCD is a theory with a rich structure



*Working in the limit of
a spherical horse ...*

We are going to look at the essence of what makes QCD so different from the other forces.

As a consequence, we will need to be creative in how we study the properties, now we define observables, and interpret the results.

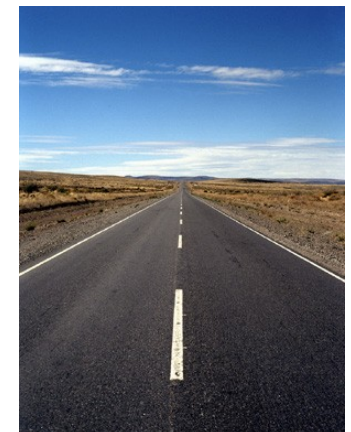
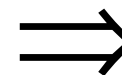
QCD has a history of more than 40 years, and we are still trying to fully understand its structure.

The goal of these lectures

Provide pictorial/graphical/heuristic explanations for everything that confused me as a student



BEFORE



AFTER

Lecture 1:

Overview & essential features
 Nature of strong coupling constant
 & how it varies with scale
 Issues beyond LO and SM
 Renormalization Group Equation &
 Resummation
 Scaling and the proton Structure

Lecture 2:

The structure of the proton
 Deeply Inelastic Scattering (DIS)
 The Parton Model
 PDF's & Evolution
 Scaling and Scale Violation

cf. Burkard
Reiset
cf. Stefano
Forte

Lecture 3:

Issues at NLO
 Collinear and Soft Singularities
 Mandelstam Variables
 An example from Freshman Physics
 Regularized Distributions
 Extension to higher orders

Lecture 4:

Drell-Yan and e^+e^- Processes
 W/Z/Higgs Production & Kinematics
 3-body Phase Space & Dalitz Plots
 Stermann-Weinberg Jets
 Infrared Safe Observables
 Rapidity & Pseudo Rapidity
 Jet Definitions

cf. Jeff
Owens

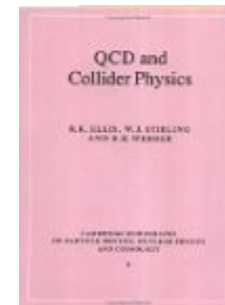
cf. Ken
Hatakeyama

Homework:

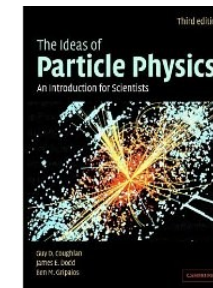
Physics is not a spectator sport

Useful References & Thanks:

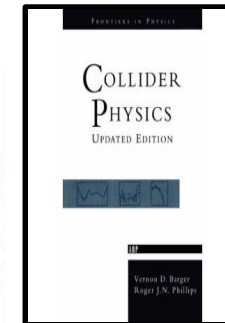
Useful References



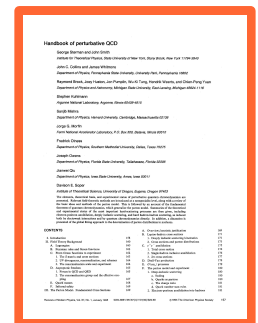
Ellis, Stirling, Webber



Coughlan, Dodd, Gripaios



Barger & Phillips



CTEQ Handbook
Reviews of Modern
Physics

Applications of Perturbative QCD,
Richard D. Field

Resource Letter: Quantum Chromodynamics
Andreas S. Kronfeld, Chris Quigg
arXiv:1002.5032

An Introduction to QFT
Peskin & Schroeder

Particle Data Group
<http://pdg.lbl.gov>

The CTEQ Pedagogical Page
Linked from **cteq.org**

Everything you wanted to know about Lambda-QCD but were afraid to ask
Randall J. Scalise and Fredrick I. Olness

Regularization, Renormalization, and Dimensional Analysis:
Dimensional Regularization meets Freshman E&M
Fredrick Olness & Randall Scalise

Calculational Techniques in Perturbative QCD: The Drell-Yan Process.
Björn Pötter has prepared a writeup of the lecture given by Jack Smith.
This is a wonderful reference for those learning to do real 1-loop calculations.

Thanks to:

Dave Soper, George Sterman, Steve Ellis
for ideas borrowed from previous CTEQ
introductory lecturers

Thanks to Randy Scalise for the help on the
Dimensional Regularization.

Thanks to my friends at Grenoble who
helped with suggestions and corrections.

Thanks to Jeff Owens for help on Drell-Yan
and Resummation.

To the CTEQ and MCnet folks for making
all this possible.



and the many web pages where I borrowed my figures ...



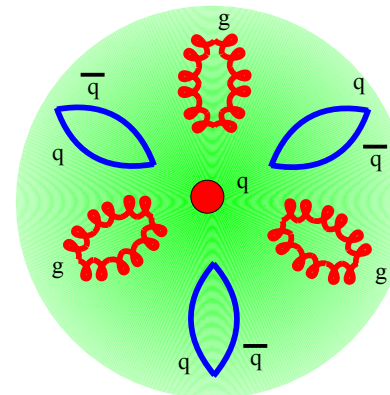
The Strong Coupling, Scaling, and Stuff

QCD is Non-Abelian SU(3) Symmetry; Quarks are Confined

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_i (i\gamma^\mu (D_\mu)_{ij} - m \delta_{ij}) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a$$

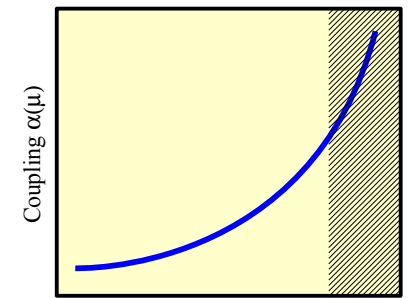
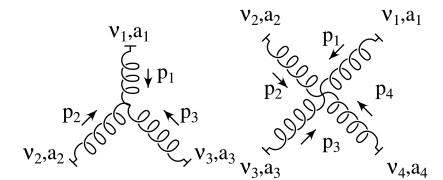
$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{abc} A_\mu^b A_\nu^c$$

Non-Abelian



$$\Lambda \sim 200 \text{ MeV} \sim 1 \text{ fm}$$

$$[T_a, T_b] = i \sum_{c=1}^8 f_{abc} T_c$$



Cannot perform Perturbation
theory at large distance

Where did that β -function come from ???

Consider a physical observable: $R(Q^2/\mu^2, \alpha_s)$

Q is the characteristic energy scale of the problem

μ is an artificial scale we introduce to regulate the calculation (more later)

The Renormalization Group Equation (RGE) is:

$$\frac{dR}{d\mu^2} = 0$$

$$\left\{ \mu^2 \frac{d}{d\mu^2} \right\} R\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) = 0$$

Using the chain rule:

$$\left\{ \mu^2 \frac{\partial}{\partial \mu^2} + \underbrace{\left[\mu^2 \frac{\partial \alpha_s(\mu^2)}{\partial \mu^2} \right]}_{\beta(\alpha_s(\mu))} \frac{\partial}{\partial \alpha_s(\mu^2)} \right\} R\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) = 0$$

β function tell us how α_s changes with energy scale!!!

The β -function: $\beta(\alpha_s(\mu))$

β function tell us how α_s changes with energy scale!!!

$$\beta(\alpha_s(\mu)) = \mu^2 \frac{\partial \alpha_s(\mu^2)}{\partial \mu^2} = \frac{\partial \alpha_s(\mu^2)}{\partial \ln \mu^2}$$

We can calculate this perturbatively

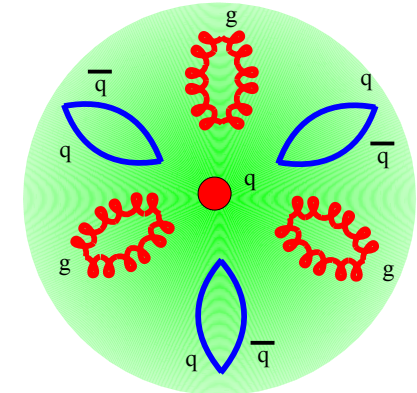
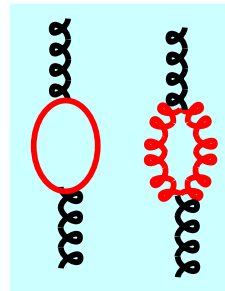
$$\beta(\alpha_s(\mu)) = - \left\{ b_0 \alpha_s^2 + b_1 \alpha_s^3 + b_2 \alpha_s^4 + \dots \right\}$$

$$b_0 = \frac{33 - 2 N_F}{12 \pi}$$

$$\beta = -\alpha_s^2 \left[\frac{33 - 2 N_F}{12 \pi} \right] + \dots$$

Note: b_0 and b_1 are scheme independent.

β is negative; let's find the implications



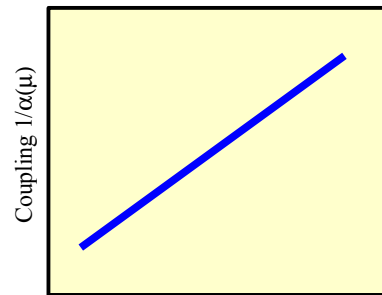
Solve for the running coupling

Let: $t = \ln \mu^2$

$$\beta = \frac{\partial \alpha_s}{\partial t} \simeq -b_0 \alpha_s^2 + \dots$$

$$\frac{\partial \alpha_s}{\alpha_s^2} = -b_0 \partial t$$

$$\frac{1}{\alpha_s} = b_0 t$$



Energy Scale t

$$b_0 = \frac{33 - 2 N_F}{12 \pi}$$

$$\beta = -\alpha_s^2 \left[\frac{33 - 2 N_F}{12 \pi} \right] + \dots$$

Observe $\beta_{\text{QCD}} < 0$ for $N_F < 17$ or for 8 generations or less.

Thus, in general $\beta_{\text{QCD}} < 0$ in the QCD theory

Contrast with QED: $\beta_{\text{QED}} > 0 = +\alpha^2/3\pi + \dots$

The Nobel Prize in Physics 2004 was awarded jointly to David J. Gross, H. David Politzer and Frank Wilczek "for the discovery of asymptotic freedom"

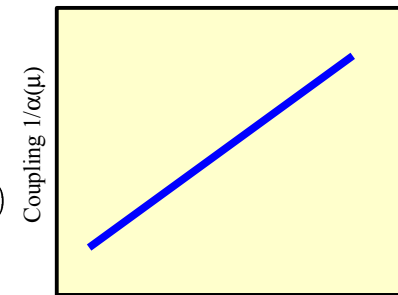
Solve for the running coupling and Λ_{QCD}

Let: $t = \ln \mu^2$

$$\left[\frac{1}{\alpha_s} \right]_{\mu_0}^{\mu_1} = b_0 t \Big|_{\mu_0}^{\mu_1}$$

$$\frac{1}{\alpha_s(\mu_1)} - \frac{1}{\alpha_s(\mu_0)} = b_0 \ln(\mu_1/\mu_0)$$

β functions gives us running, but we still need a reference



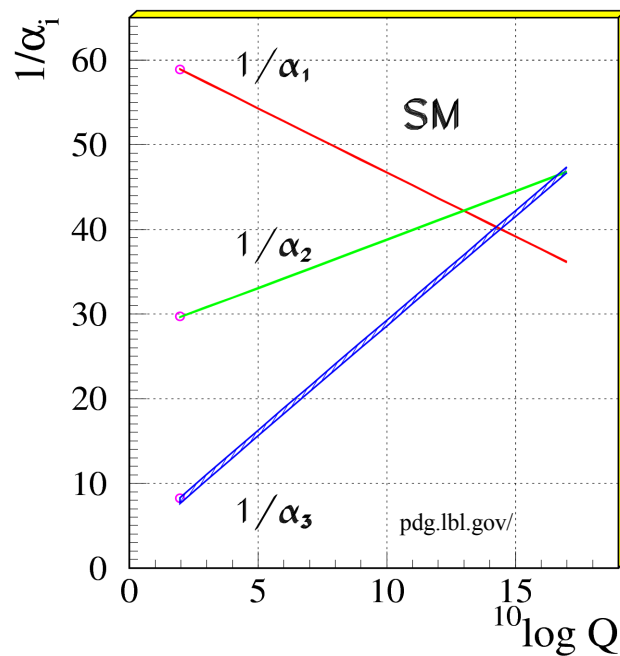
Energy Scale t

$$\alpha_s(\mu) = \frac{1}{b_0 \ln(\mu/\Lambda_{\text{QCD}})}$$

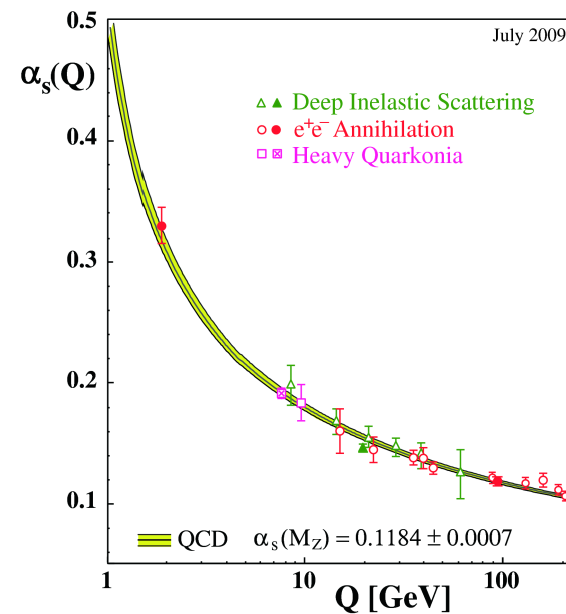
Landau Pole
 $\Lambda = \mu$

$$\Lambda = \mu e^{-1/(b_0 \alpha_s(\mu))}$$

$$\Lambda_{\text{QCD}} \sim 200 \text{ MeV} \sim 1 \text{ fm}$$



$$\begin{aligned} b_1 &= 0 + (2/3)N_F + \frac{1}{10}N_{Higgs} \\ b_2 &= -22/3 + (2/3)N_F + \frac{1}{6}N_{Higgs} \\ b_3 &= -11 + (2/3)N_F + 0 \end{aligned}$$



$$\alpha_s(M_Z) = 0.118$$

Low Q points have more discriminating power

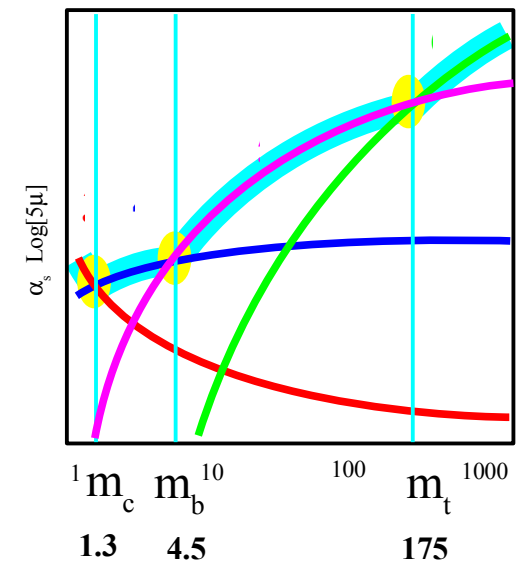
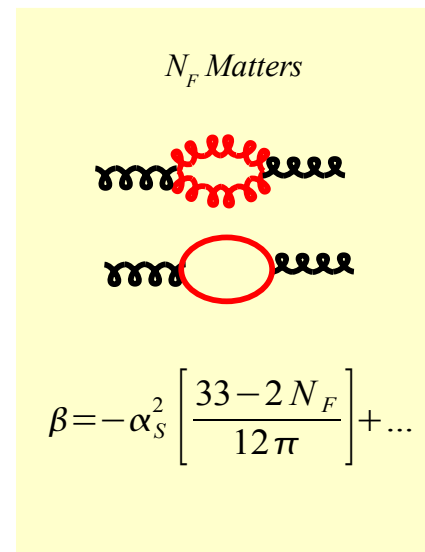
Siegfried Bethke Eur.Phys.J.C64:689-703,2009

Caution: α_s is NOT a physical observable

BEYOND NLO

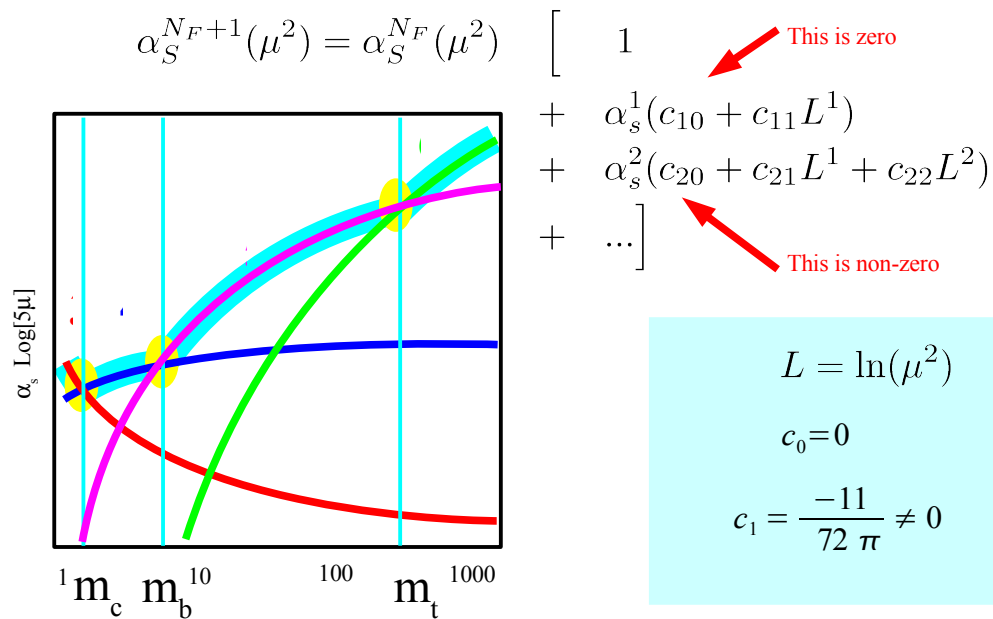
Strong Coupling across mass thresholds

At 1-loop and 2-loops, continuous at thresholds



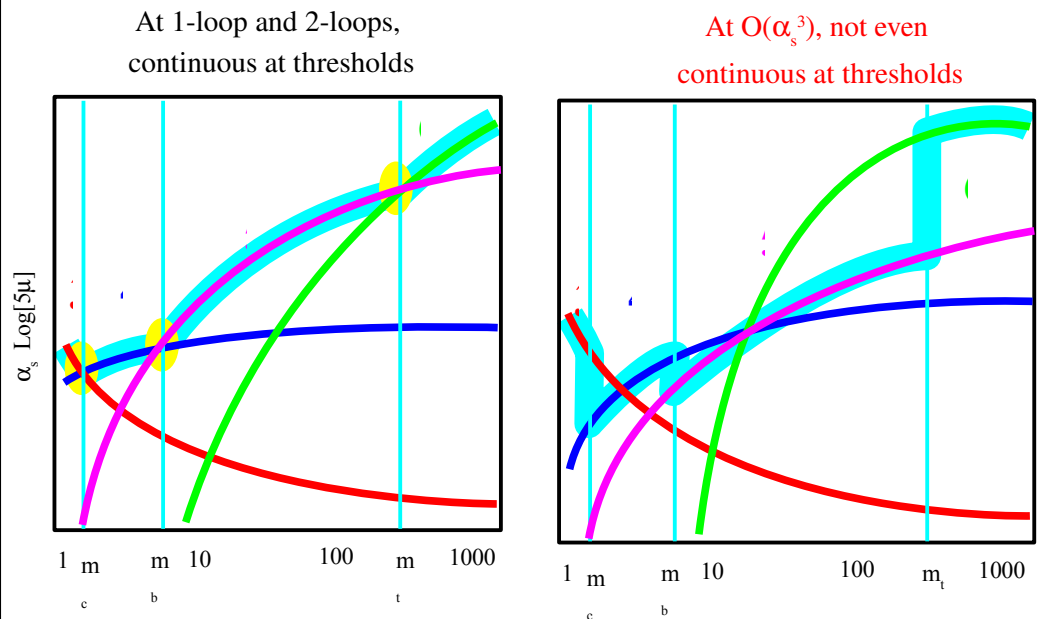
$$\Lambda \sim 200 \text{ MeV} \sim 1 \text{ fm}$$

Strong Coupling across mass thresholds



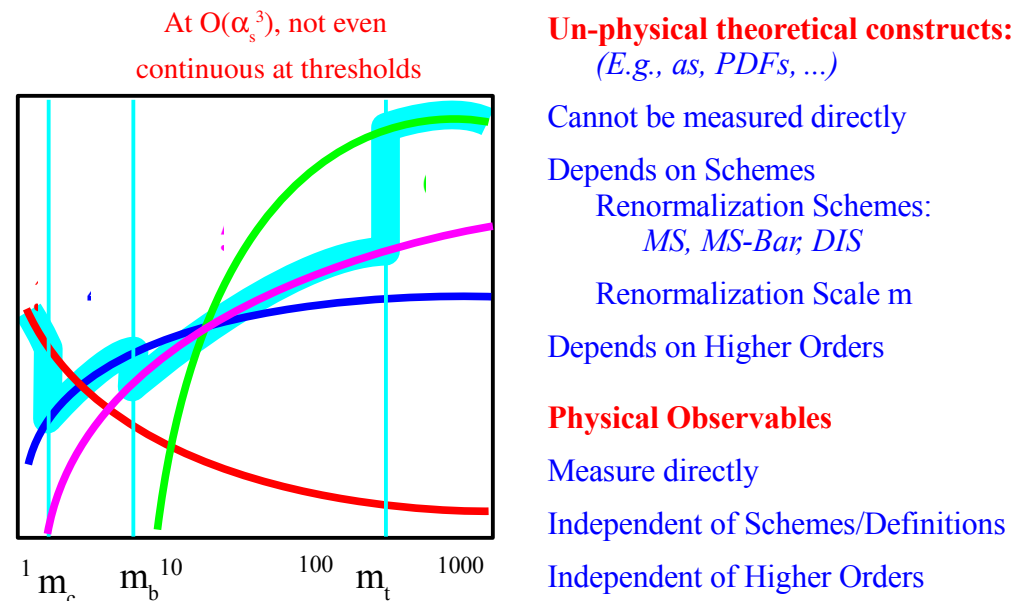
At $\mu = m$ $\alpha_S^{N_F+1}(\mu^2) = \alpha_S^{N_F}(\mu^2)[1 + 0 + c_{20} \alpha_S^2]$

Strong Coupling across mass thresholds



$\alpha_{(n_f)}(M) = \alpha_{(n_f-1)}(M) - \frac{11}{72\pi^2} \alpha_{(n_f-1)}^3(M) + \mathcal{O}(\alpha_{(n_f-1)}^4)$

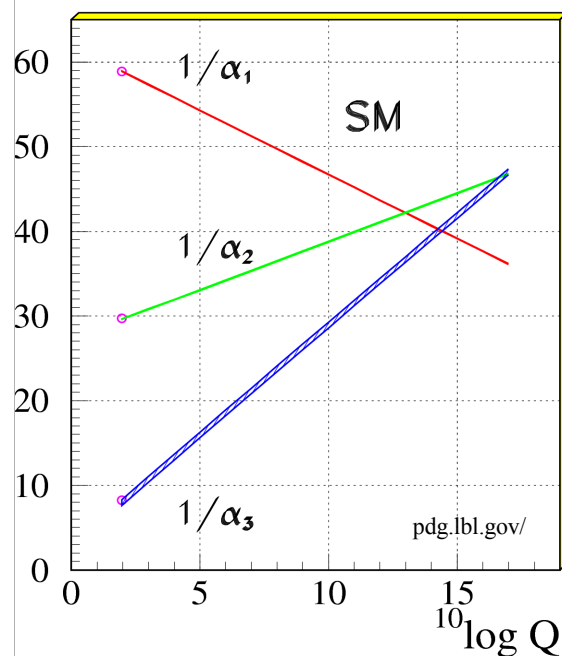
Strong Coupling across mass thresholds



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BEYOND SM

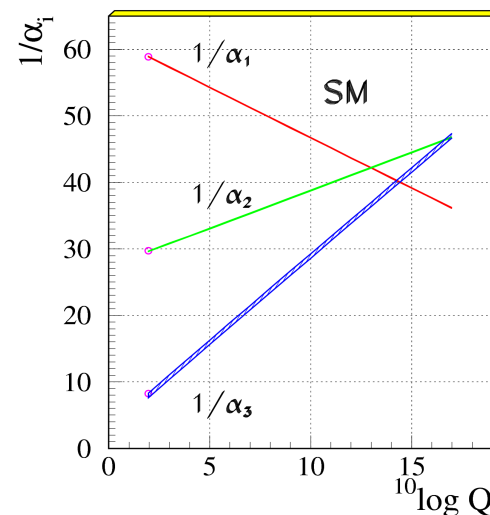
The Standard Model (SM) Running Couplings: U(1), SU(2), SU(3)



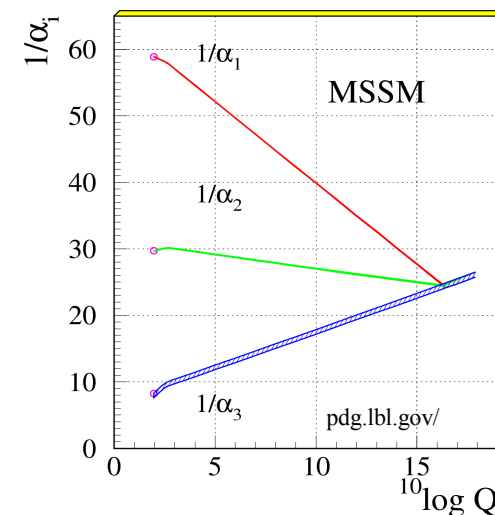
$$\begin{aligned} b_1 &= 0 + (2/3)N_F + \frac{1}{10}N_{Higgs} \\ b_2 &= -22/3 + (2/3)N_F + \frac{1}{6}N_{Higgs} \\ b_3 &= -11 + (2/3)N_F + 0 \end{aligned}$$

Can we do better???

The Standard Model (SM) & SUSY Running Couplings



$$\begin{aligned} b_1 &= 0 + (2/3)N_F + \frac{1}{10}N_{Higgs} \\ b_2 &= -22/3 + (2/3)N_F + \frac{1}{6}N_{Higgs} \\ b_3 &= -11 + (2/3)N_F + 0 \end{aligned}$$

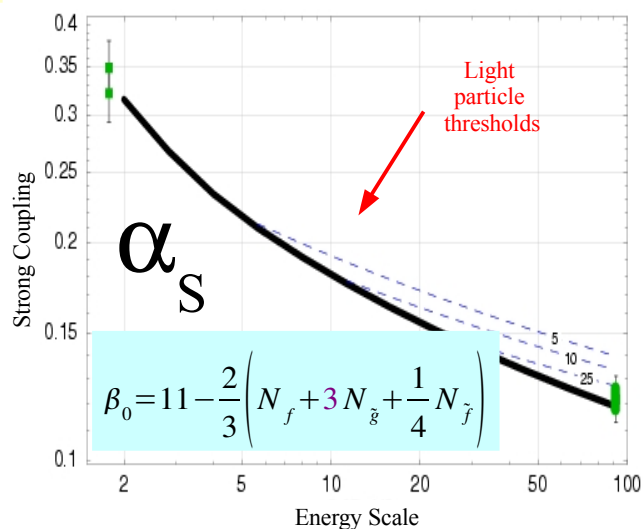
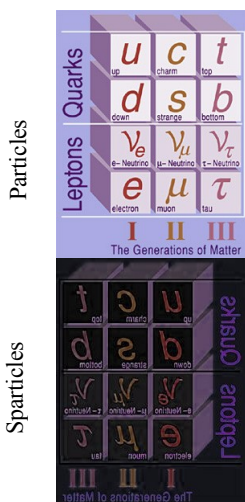


$$\beta_0 = 11 - \frac{2}{3} \left(N_f + 3N_{\tilde{g}} + \frac{1}{4}N_{\tilde{f}} \right)$$

The Standard Model (SM) & SUSY Running Couplings

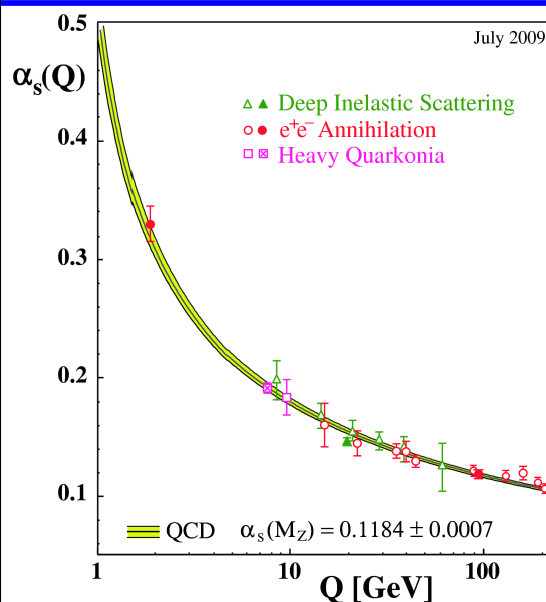
We've only discovered half the particles

New particles effects evolution of $\alpha_s(\mu)$

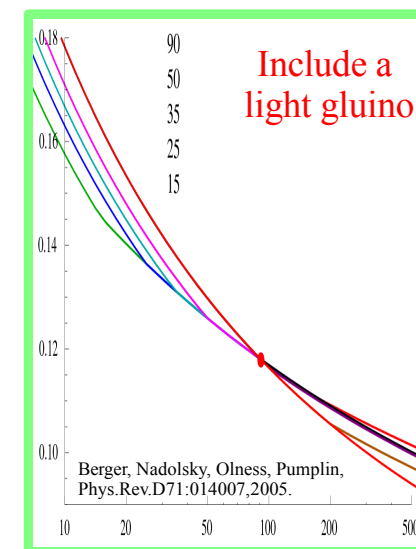


$$\beta_0 = 11 - \frac{2}{3} \left(N_f + 3N_{\tilde{g}} + \frac{1}{4}N_{\tilde{f}} \right)$$

The Standard Model (SM) & SUSY Running Couplings

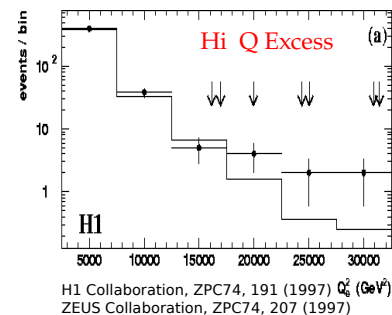
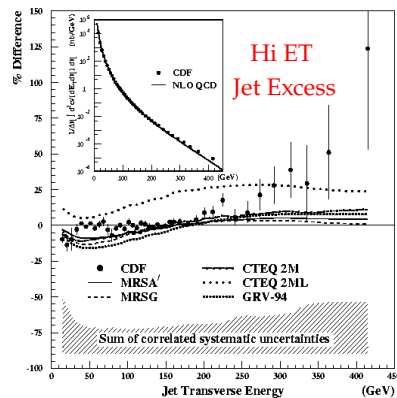


Siegfried Bethke
Eur.Phys.J.C64:689-703,2009



Berger, Nadolsky, Olness, Pumplin,
Phys.Rev.D71:014007,2005.

$$b_0 = \frac{1}{12\pi} \left\{ 33 - 2N_F - 6N_{\tilde{g}} - \frac{1}{2}N_{\tilde{F}} \dots \right\}$$



*Indispensable
for discovery of
“new physics”*

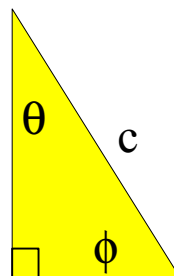
RESUMMATION

See lecture by Jeff Owens

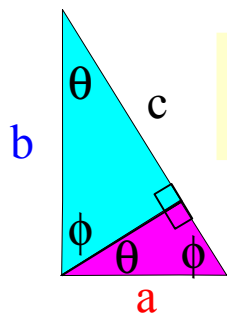
Warm up: Dimensional Analysis: Pythagorean Theorem

GOAL:
Pythagorean Theorem

METHOD:
Dimensional Analysis



$$A_c = c^2 f(\theta, \phi)$$



$$A_a + A_b = a^2 f(\theta, \phi) + b^2 f(\theta, \phi)$$

$$A_a + A_b = A_c$$

$$a^2 + b^2 = c^2$$

Two examples to come: 1) Resummation, and 2) Scaling

Resummation: Over-Simplified

$$\left\{ \mu^2 \frac{\partial}{\partial \mu^2} + \beta(\alpha_s(\mu)) \frac{\partial}{\partial \alpha_s(\mu^2)} \right\} R\left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) = 0$$

Logs can be large and
spoil perturbation theory

If we expand R in powers of α_s , and we know β ,
we then know μ dependence of R.

$$R\left(\frac{\mu^2}{Q^2}, \alpha_s(\mu^2)\right) = R_0 + \alpha_s(\mu^2) R_1 \left[\ln(Q^2/\mu^2) + c_1 \right] \\ + \alpha_s^2(\mu^2) R_2 \left[\ln^2(Q^2/\mu^2) + \ln(Q^2/\mu^2) + c_2 \right] + O(\alpha_s^3(\mu^2))$$

Since μ is arbitrary, choose $\mu=Q$.

$$R\left(\frac{Q^2}{Q^2}, \alpha_s(Q^2)\right) = R_0 + \alpha_s(Q^2) R_1 [0 + c_1] + \alpha_s^2(Q^2) R_2 [0 + 0 + c_2] + \dots$$

We just summed the logs

More Differential Quantities \Rightarrow More Mass Scales \Rightarrow **More Logs!!!**

$$\frac{d\sigma}{dQ^2} \sim \ln\left(\frac{Q^2}{\mu^2}\right)$$

$$\frac{d\sigma}{dQ^2} \sim \ln\left(\frac{Q^2}{\mu^2}\right) \text{ and } \ln\left(\frac{q_T^2}{\mu^2}\right)$$

How do we resum logs? Use the Renormalization Group Equation

For a physical observable R:

$$\mu \frac{dR}{d\mu} = 0$$

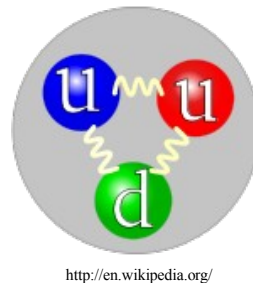
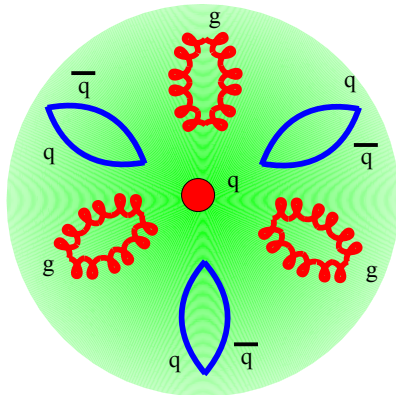
$$\mu \frac{dR}{d \text{ Gauge}} = 0$$

Applied to boson transverse momentum
CSS: Collins, Soper, Sterman
Nucl.Phys.B250:199,1985.

Interesting reference:
Peskin/Schroeder Text
(Renormalization ala Ken Wilson)

Scaling, and the proton structure

How do we determine the proton structure



<http://en.wikipedia.org/>

Quarks confined, thus we must work with hadrons & mesons

E.g. proton is a "minimal" unit

Highest energy (smallest distance) accelerators involve hadrons

E.g., HERA, TEV, LHC

We'd better learn to work with proton

What do we expect for a point like particle

$$\text{Wavy line} \quad \text{Red circle} \quad d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$$

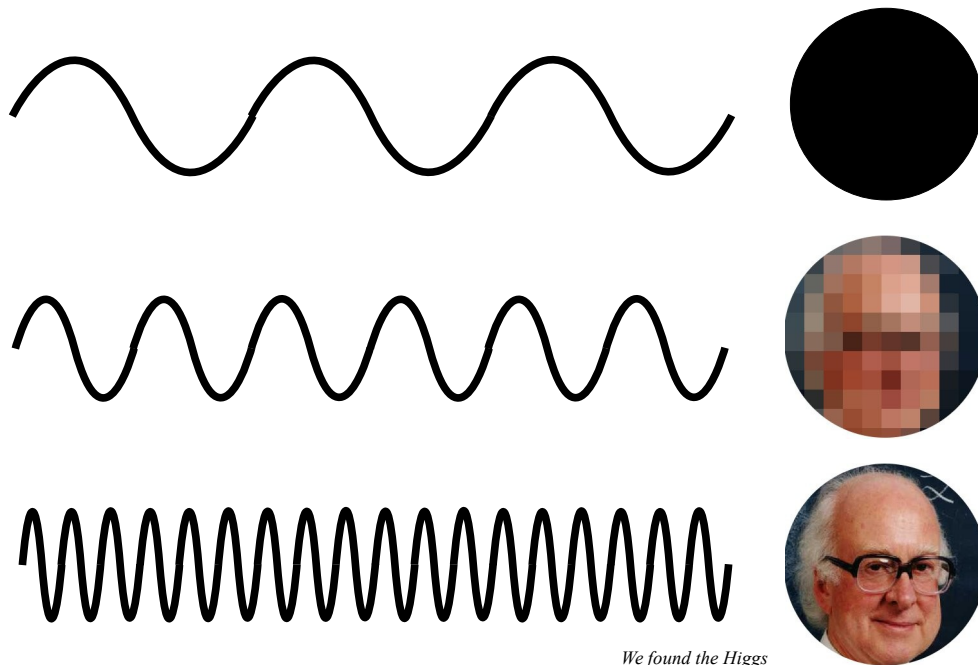
$$\text{Wavy line} \quad \text{Red circle} \quad d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$$

$$\text{Wavy line} \quad \text{Red circle} \quad d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$$

Dimensional considerations

Structure Function

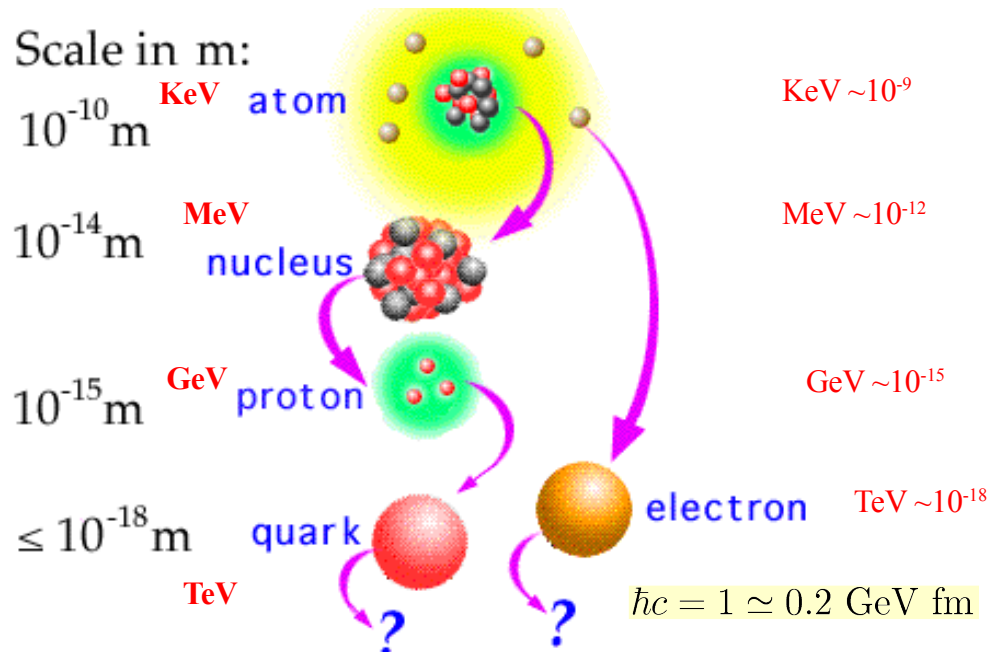
Is this a point like particle ???



We found the Higgs



Scaling, and the proton structure



Relative Sizes





Going to smaller scale, we get to simpler, more fundamental objects

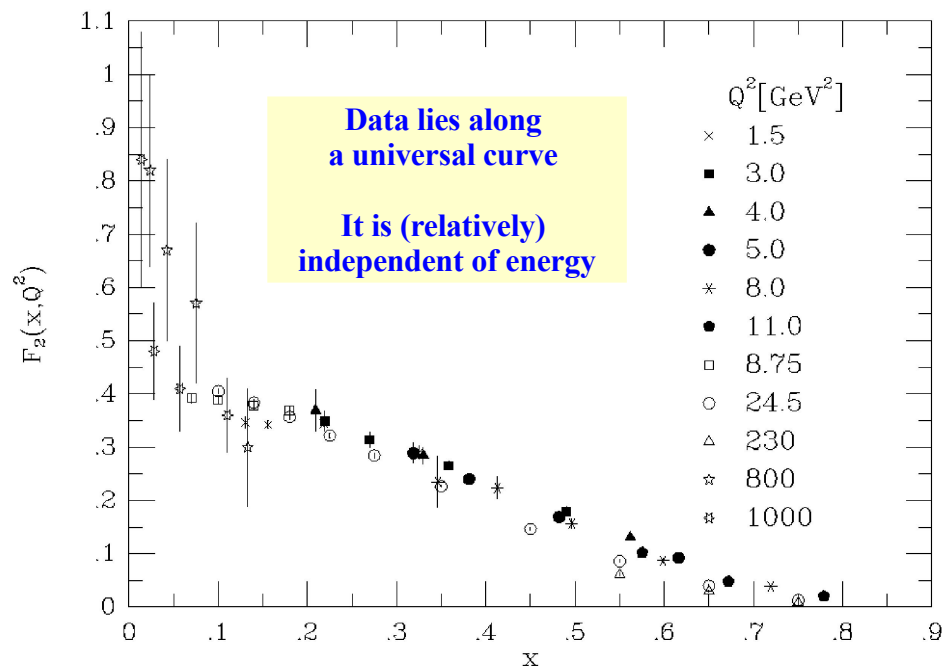
Structure of the Proton



 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$



 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times F\left(\frac{Q^2}{\Lambda^2}\right)$



 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times \sum_i e_i^2$

Λ of order of the proton mass scale



- QCD is just like QED, ... only different
 - QCD is non-Abelian, Quarks are confined,
- Running coupling $\alpha_s(\mu)$ tells how interaction changes with distance
 - β -function: logarithmic derivative of $\alpha_s(\mu)$
 - We can compute: Negative for QCD, positive for QED
- $\alpha_s(\mu)$ is **not** a physical quantity
 - Discontinuous at NNLO
- New physics can influence $\alpha_s(\mu)$
 - Unification of couplings at GUT scale
- Running of $\alpha_s(\mu)$ can help us “resum” perturbation theory
- Scaling and Dimensional Analysis are useful tools

END OF LECTURE 1