

Matching Fixed Order and Parton Showers

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- Lecture 1: Matching Parton Showers to Next-to-Leading Order (NLOPS)
- Lecture 2: Merging Parton Showers with Multijet Matrix Elements (MEPS)

Outline I

- Parton Shower Monte Carlo (PSMC)
- Matching PSMC to Next-to-Leading Order (NLOPS)
 - ✦ Toy Model
 - ✦ MC@NLO
 - ✦ POWHEG
- Summary

Parton Shower Monte Carlo

Distribution of first (resolvable) emission:

$$\begin{array}{ccc}
 \text{LO (Born)} & \text{No (resolvable) emission} & \text{One emission} \\
 \underbrace{\hspace{2cm}} & \swarrow & \swarrow \\
 d\sigma_{\text{MC}} = B(\Phi_B) d\Phi_B \left[\Delta_{\text{MC}}(0) + \frac{R_{\text{MC}}(\Phi_B, \Phi_R)}{B(\Phi_B)} \Delta_{\text{MC}}(k_T(\Phi_B, \Phi_R)) d\Phi_R \right]
 \end{array}$$

- **MC Sudakov form factor:**

$$\Delta_{\text{MC}}(p_T) = \exp \left[- \int d\Phi_R \frac{R_{\text{MC}}(\Phi_B, \Phi_R)}{B(\Phi_B)} \theta(k_T(\Phi_B, \Phi_R) - p_T) \right]$$

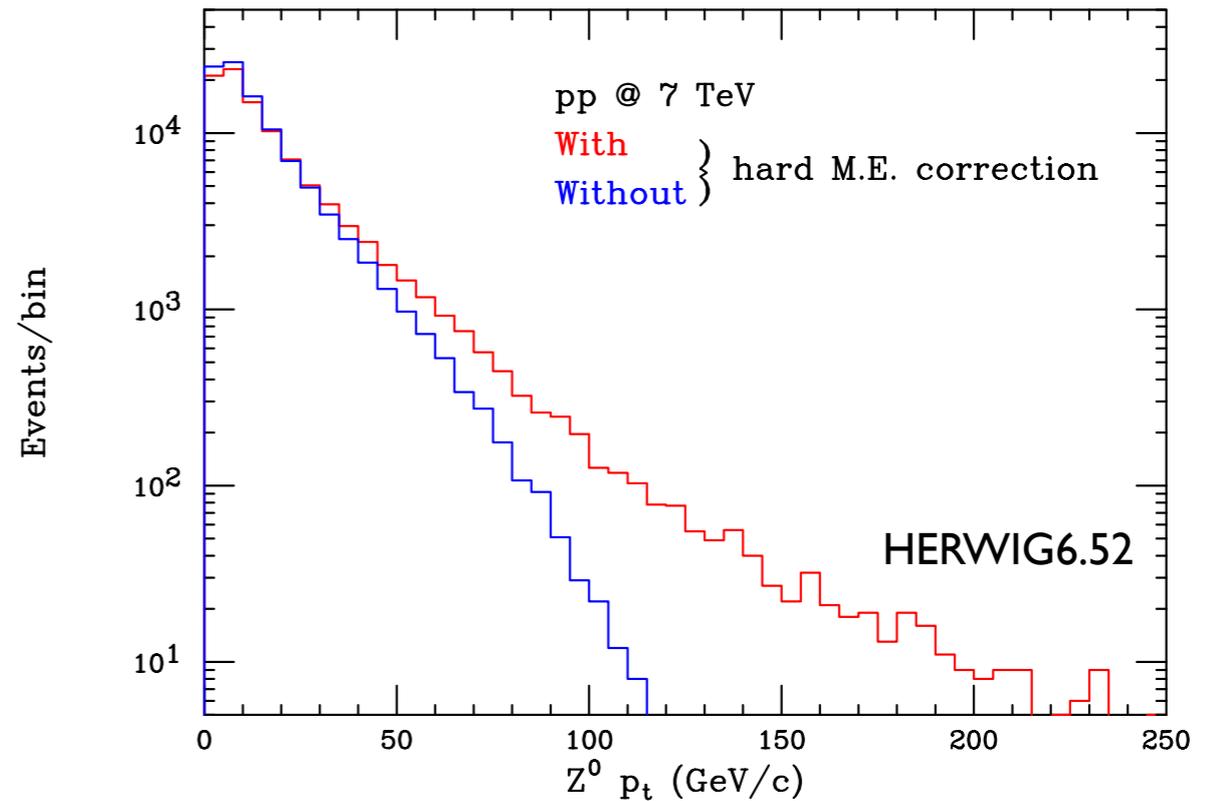
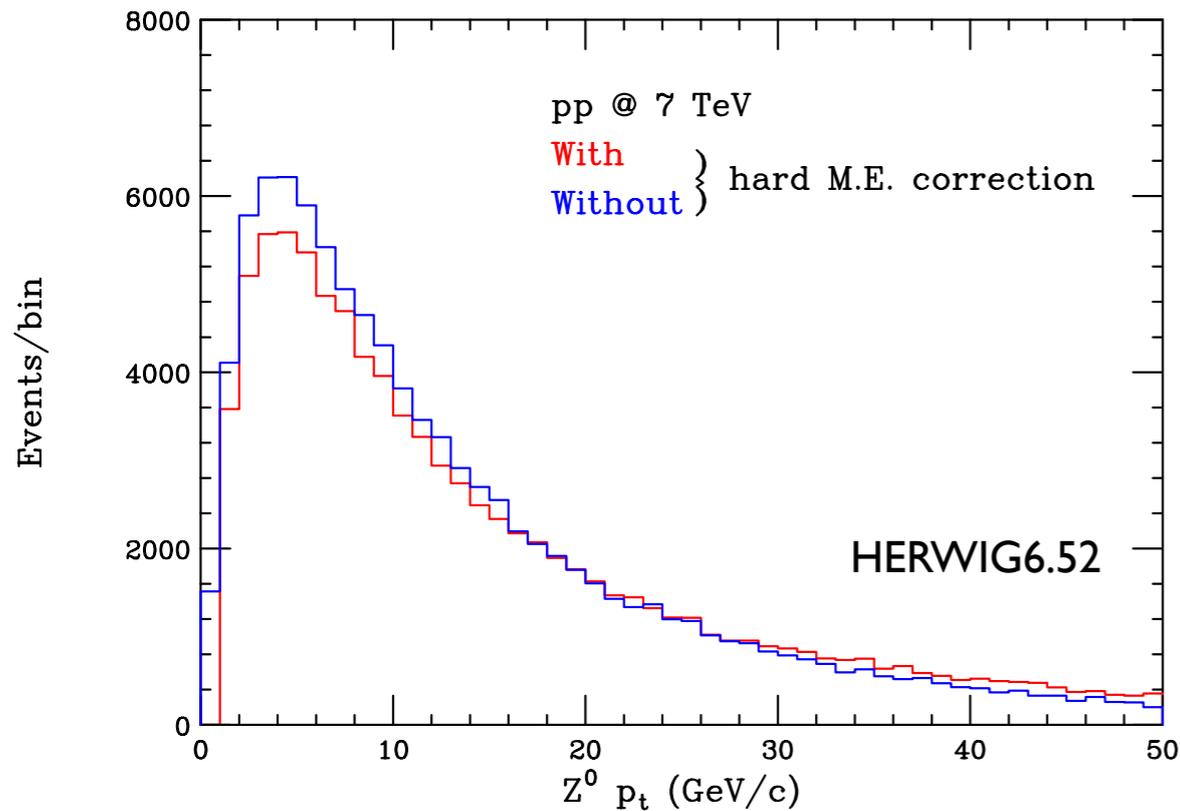
- **Unitarity:**

$$\int d\sigma_{\text{MC}} = \int B(\Phi_B) d\Phi_B$$

- **Expanded to NLO:**

$$d\sigma_{\text{MC}} = \left[B(\Phi_B) - \int R_{\text{MC}}(\Phi_B, \Phi_R) d\Phi_R \right] d\Phi_B + R_{\text{MC}}(\Phi_B, \Phi_R) d\Phi_B d\Phi_R$$

Parton Shower Monte Carlo



- Parton shower approximation
 - ✦ Bad for hard, wide-angle emission
- Hard matrix element correction: Z^0 +parton
 - ✦ Not exact NLO

Toy Model

- Consider first a toy model that allows simple discussion of key features of NLO, of MC, and of matching between the two.
 - ❖ Assume a system can radiate massless “photons”, energy x , with $0 \leq x \leq x_s \leq 1$, x_s being energy of system before radiation.
 - ❖ After radiation, energy of system is $x'_s = x_s - x$.
 - ❖ System can undergo further emissions, but photons themselves cannot radiate.
- Task of predicting an infrared-safe observable O to NLO amounts to computing the quantity

$$\langle O \rangle = \lim_{\epsilon \rightarrow 0} \int_0^1 dx x^{-2\epsilon} O(x) \left[\left(\frac{d\sigma}{dx} \right)_B + \left(\frac{d\sigma}{dx} \right)_V + \left(\frac{d\sigma}{dx} \right)_R \right]$$

where **Born**, **virtual** and **real** contributions are respectively

$$\left(\frac{d\sigma}{dx} \right)_{B,V,R} = B\delta(x), \quad a \left(\frac{B}{2\epsilon} + V \right) \delta(x), \quad a \frac{R(x)}{x},$$

a is coupling constant, and $\lim_{x \rightarrow 0} R(x) = B$.

- In **subtraction method**, real contribution is written as:

$$\langle O \rangle_{\text{R}} = aBO(0) \int_0^1 dx \frac{x^{-2\epsilon}}{x} + a \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x^{1+2\epsilon}}.$$

Second integral is non-singular, so we can set $\epsilon = 0$:

$$\langle O \rangle_{\text{R}} = -a \frac{B}{2\epsilon} O(0) + a \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x}$$

- Therefore NLO prediction is:

$$\langle O \rangle_{\text{sub}} = BO(0) + a \left[VO(0) + \int_0^1 dx \frac{O(x)R(x) - BO(0)}{x} \right]$$

- We rewrite this in a slightly different form:

$$\langle O \rangle_{\text{sub}} = \int_0^1 dx \left[O(x) \frac{aR(x)}{x} + O(0) \left(B + aV - \frac{aB}{x} \right) \right]$$

Toy Monte Carlo

- In a treatment based on Monte Carlo methods, the system can undergo an arbitrary number of emissions (branchings), with probability controlled by the **Sudakov form factor**, defined for our toy model as follows:

$$\Delta(x_1, x_2) = \exp \left[-a \int_{x_1}^{x_2} dz \frac{Q(x)}{x} \right]$$

where $Q(x)$ is a monotonic function with the following properties:

$$0 \leq Q(x) \leq 1, \quad \lim_{x \rightarrow 0} Q(x) = 1, \quad \lim_{x \rightarrow 1} Q(x) = 0$$

$\Delta(x_1, x_2)$ is the probability that no photon be emitted with energy x such that $x_1 \leq x \leq x_2$.

Modified Subtraction

- We want to interface NLO to MC. Naive first try:

$$O(0) \Rightarrow \text{start MC with 0 real emissions: } \mathcal{F}_{\text{MC}}^{(0)}$$

$$O(x) \Rightarrow \text{start MC with 1 emission at } x: \mathcal{F}_{\text{MC}}^{(1)}(x)$$

so that overall **generating functional** is

$$\int_0^1 dx \left[\mathcal{F}_{\text{MC}}^{(0)} \left(B + aV - \frac{aB}{x} \right) + \mathcal{F}_{\text{MC}}^{(1)}(x) \frac{aR(x)}{x} \right]$$

- This is **wrong**: MC starting with no emissions will generate emission, with NLO distribution

$$\left(\frac{d\sigma}{dx} \right)_{\text{MC}} = aB \frac{Q(x)}{x}$$

We must subtract this from second term, and add to first:

$$\begin{aligned} \mathcal{F}_{\text{MC@NLO}} = & \int_0^1 dx \left[\mathcal{F}_{\text{MC}}^{(0)} \left(B + aV + \frac{aB[Q(x) - 1]}{x} \right) \right. \\ & \left. + \mathcal{F}_{\text{MC}}^{(1)}(x) \frac{a[R(x) - BQ(x)]}{x} \right] \end{aligned}$$

$$\mathcal{F}_{\text{MC@NLO}} = \int_0^1 dx \left[\mathcal{F}_{\text{MC}}^{(0)} \left(B + aV + \frac{aB[Q(x) - 1]}{x} \right) + \mathcal{F}_{\text{MC}}^{(1)}(x) \frac{a[R(x) - BQ(x)]}{x} \right]$$

This prescription has several good features:

- $\mathcal{F}_{\text{MC}}^{(0)} = \mathcal{F}_{\text{MC}}^{(1)}$ to $\mathcal{O}(1)$, so added and subtracted terms are equal to $\mathcal{O}(a)$;
- Coefficients of $\mathcal{F}_{\text{MC}}^{(0)}$ and $\mathcal{F}_{\text{MC}}^{(1)}$ are now separately finite;
- Same resummation of large logs in $\mathcal{F}_{\text{MC}}^{(0)}$ and $\mathcal{F}_{\text{MC}}^{(1)} \Rightarrow \mathcal{F}_{\text{MC@NLO}}$ gives same resummation as $\mathcal{F}_{\text{MC}}^{(0)}$, renormalised to correct NLO cross section.

Note, however, that some events may have **negative weight**.

MC@NLO

S Frixione & BW, JHEP 06(2002)029

finite virtual

divergent

$$d\sigma_{\text{NLO}} = \left[B(\Phi_B) + V(\Phi_B) - \int \sum_i C_i(\Phi_B, \Phi_R) d\Phi_R \right] d\Phi_B + R(\Phi_B, \Phi_R) d\Phi_B d\Phi_R$$

$$\equiv \left[B + V - \int C d\Phi_R \right] d\Phi_B + R d\Phi_B d\Phi_R$$

$$d\sigma_{\text{MC}} = B(\Phi_B) d\Phi_B \left[\Delta_{\text{MC}}(0) + \frac{R_{\text{MC}}(\Phi_B, \Phi_R)}{B(\Phi_B)} \Delta_{\text{MC}}(k_T(\Phi_B, \Phi_R)) d\Phi_R \right]$$

$$\equiv B d\Phi_B [\Delta_{\text{MC}}(0) + (R_{\text{MC}}/B) \Delta_{\text{MC}}(k_T) d\Phi_R]$$

$$d\sigma_{\text{MC@NLO}} = \left[B + V + \int (R_{\text{MC}} - C) d\Phi_R \right] d\Phi_B [\Delta_{\text{MC}}(0) + (R_{\text{MC}}/B) \Delta_{\text{MC}}(k_T) d\Phi_R]$$

$$+ (R - R_{\text{MC}}) \Delta_{\text{MC}}(k_T) d\Phi_B d\Phi_R$$

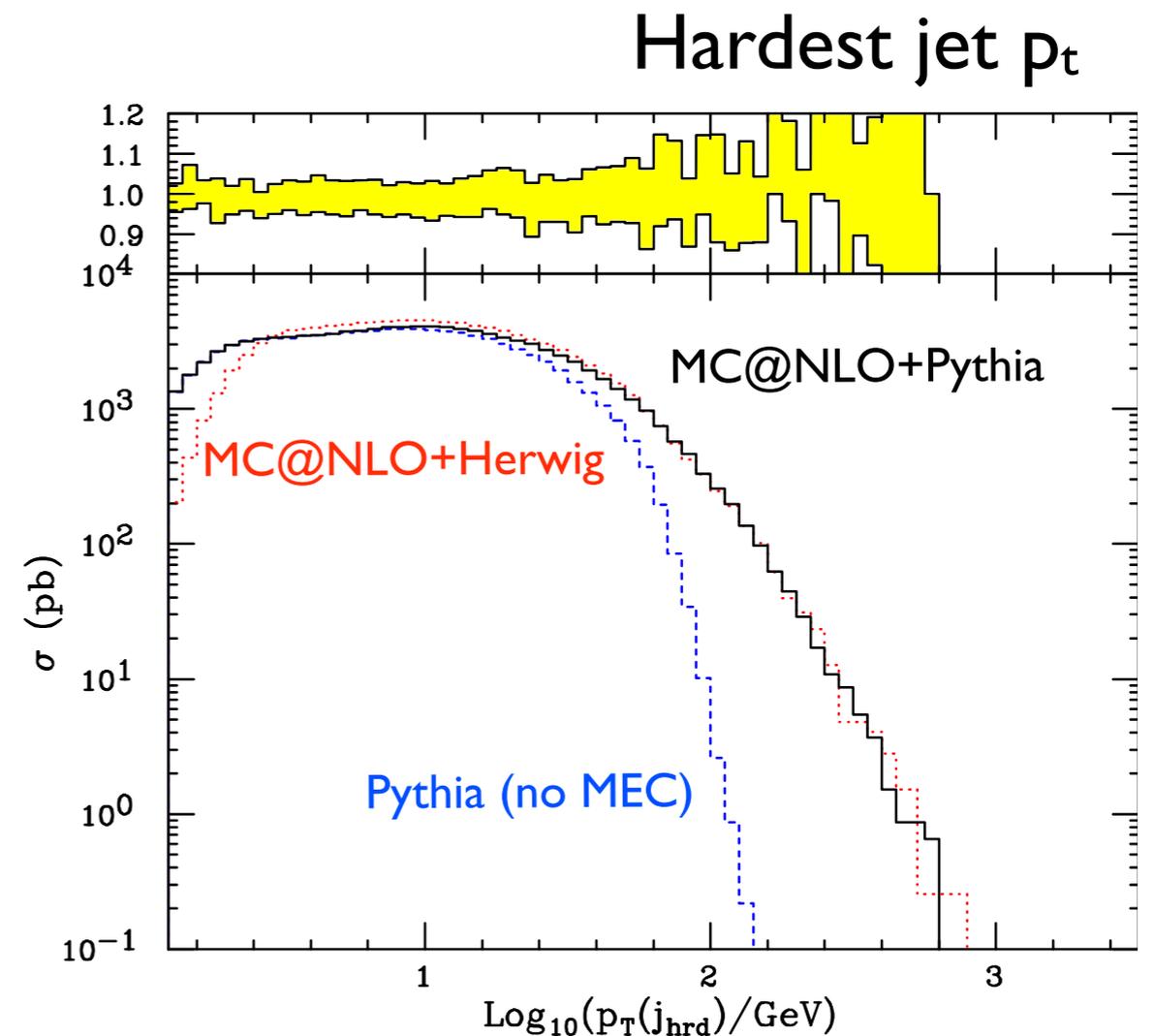
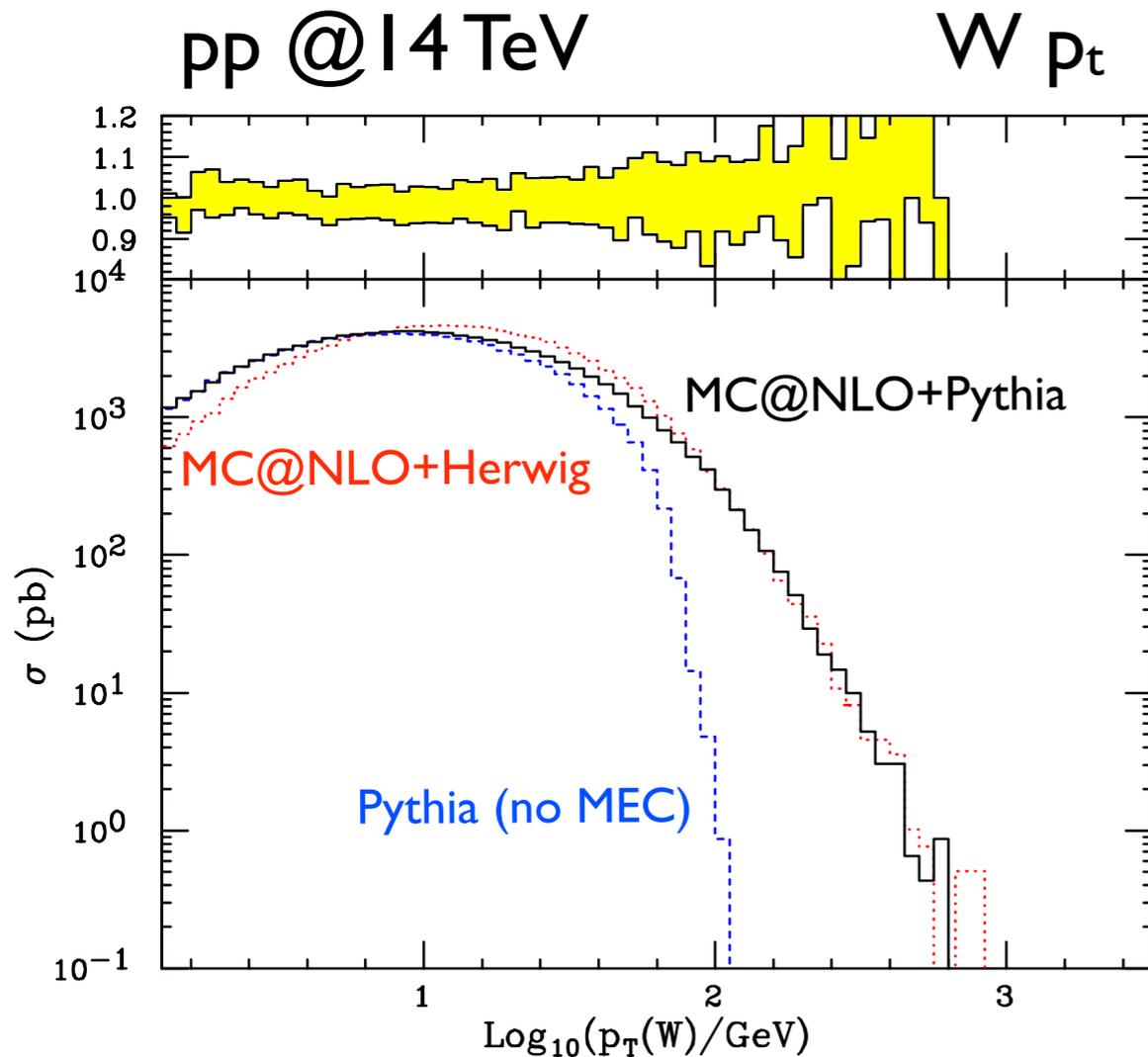
finite ≥ 0

MC starting from no emission

MC starting from one emission

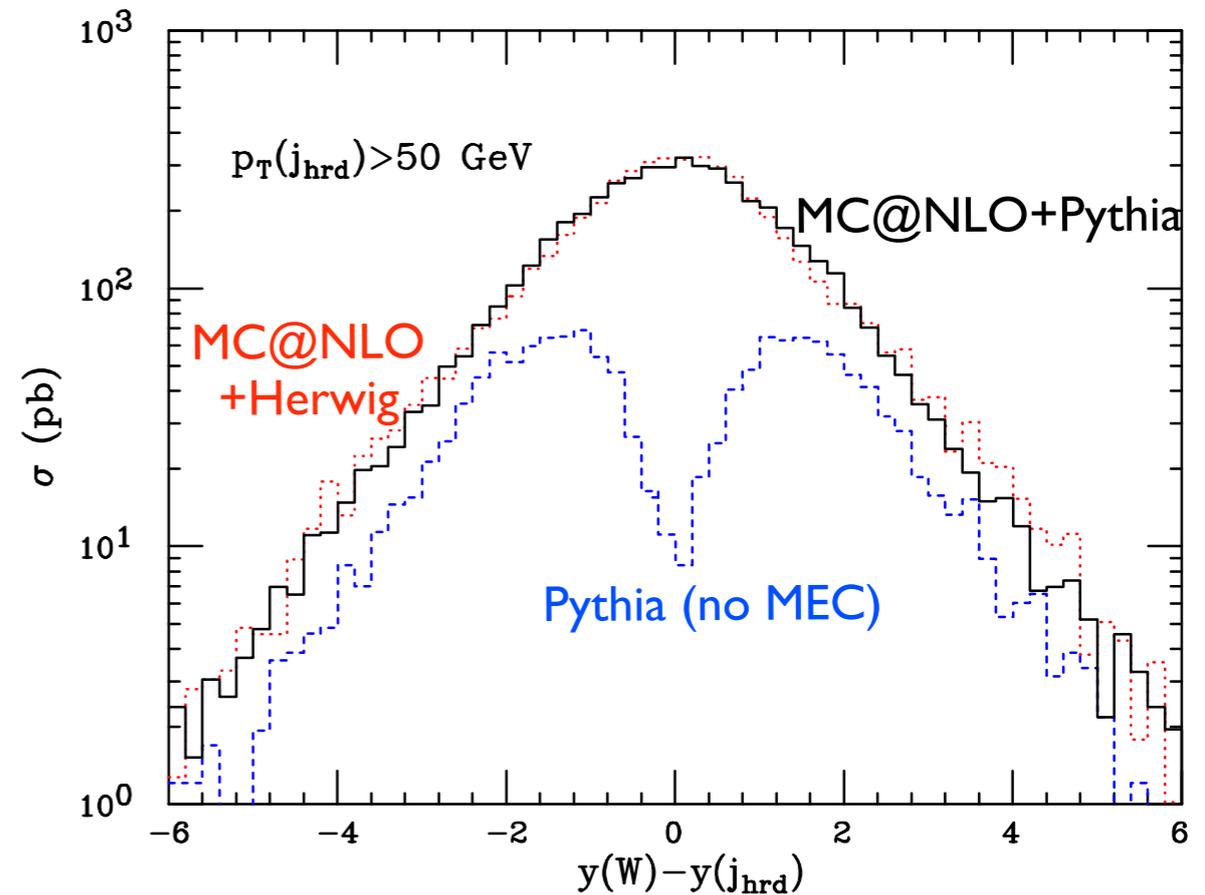
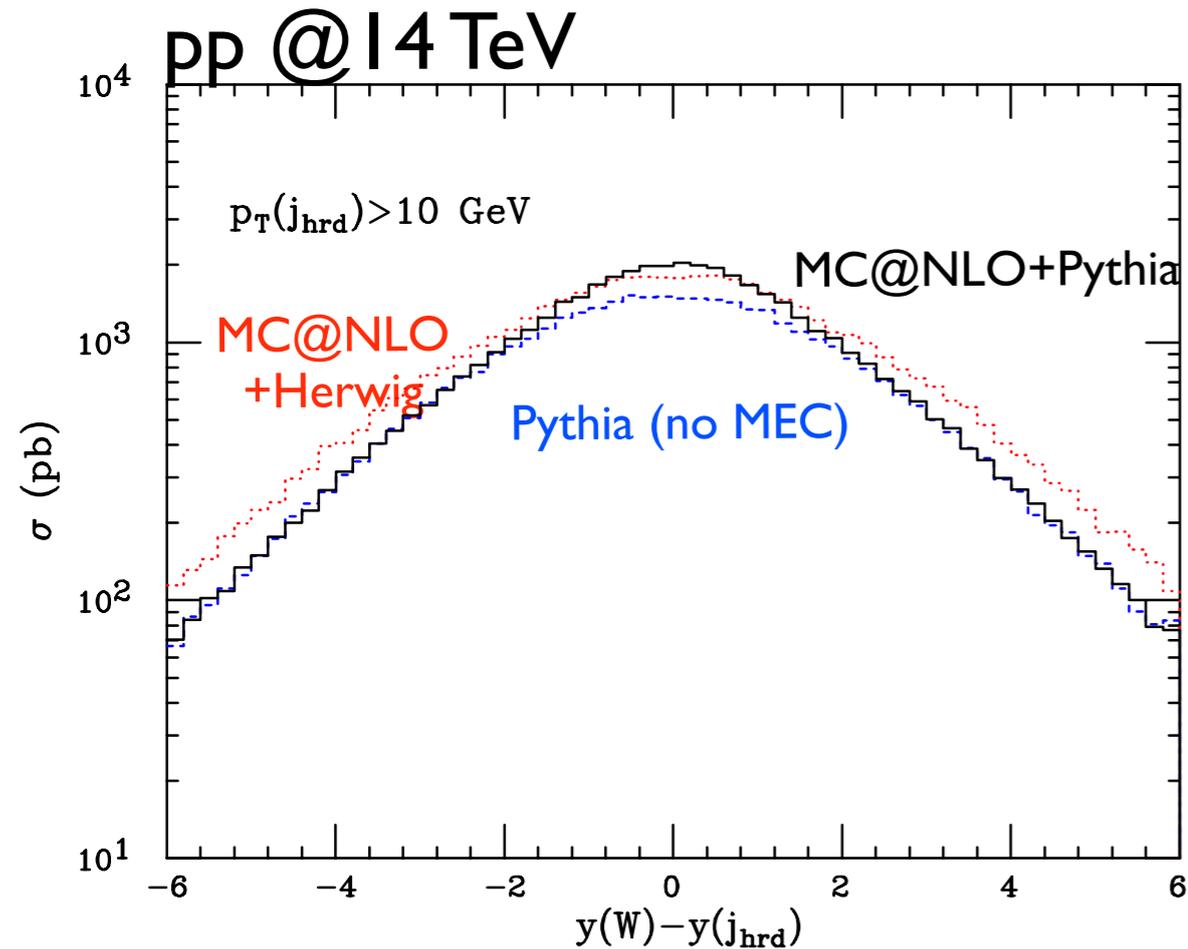
- Expanding gives NLO result

MC@NLO: W Production



- N.B. MC@NLO is MC-specific

MC@NLO: W Production



- N.B. NLO is only LO at high p_t

S Frixione & P Torrielli, JHEP 04(2010)110

POWHEG

P Nason, JHEP 11 (2004)040

$$d\sigma_{\text{PH}} = \bar{B}(\Phi_B) d\Phi_B \left[\Delta_R(0) + \frac{R(\Phi_B, \Phi_R)}{B(\Phi_B)} \Delta_R(k_T(\Phi_B, \Phi_R)) d\Phi_R \right]$$

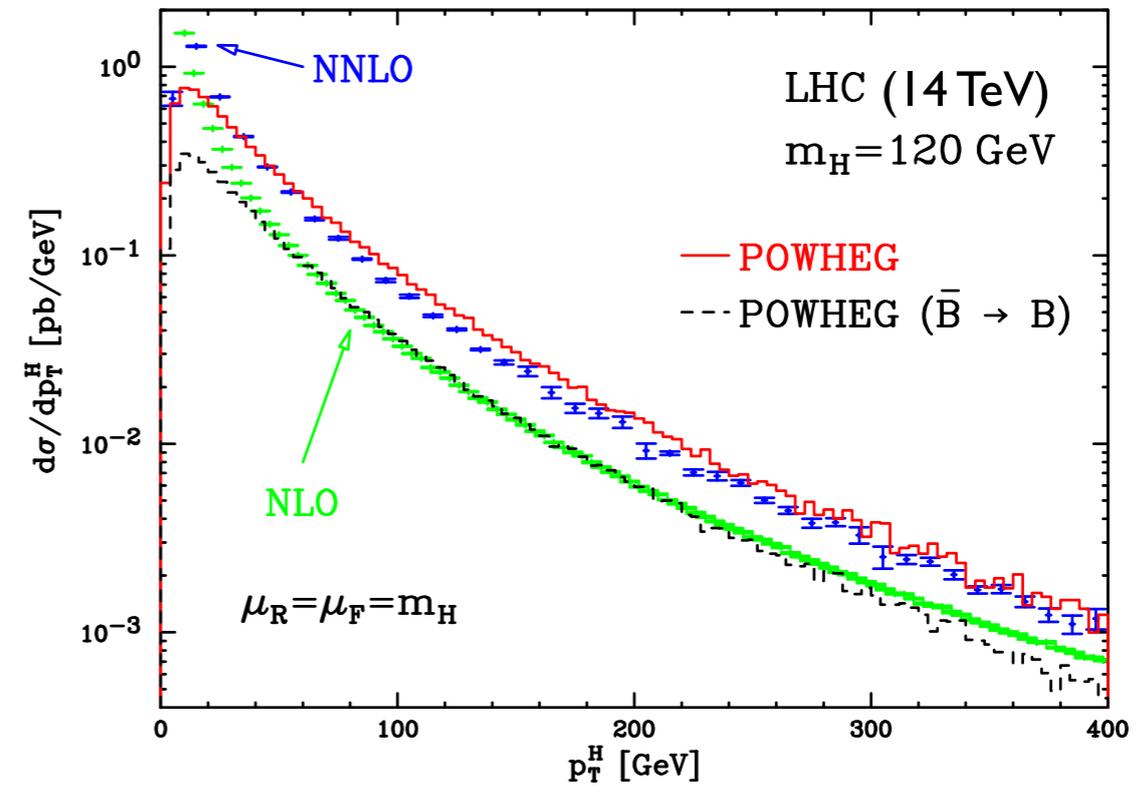
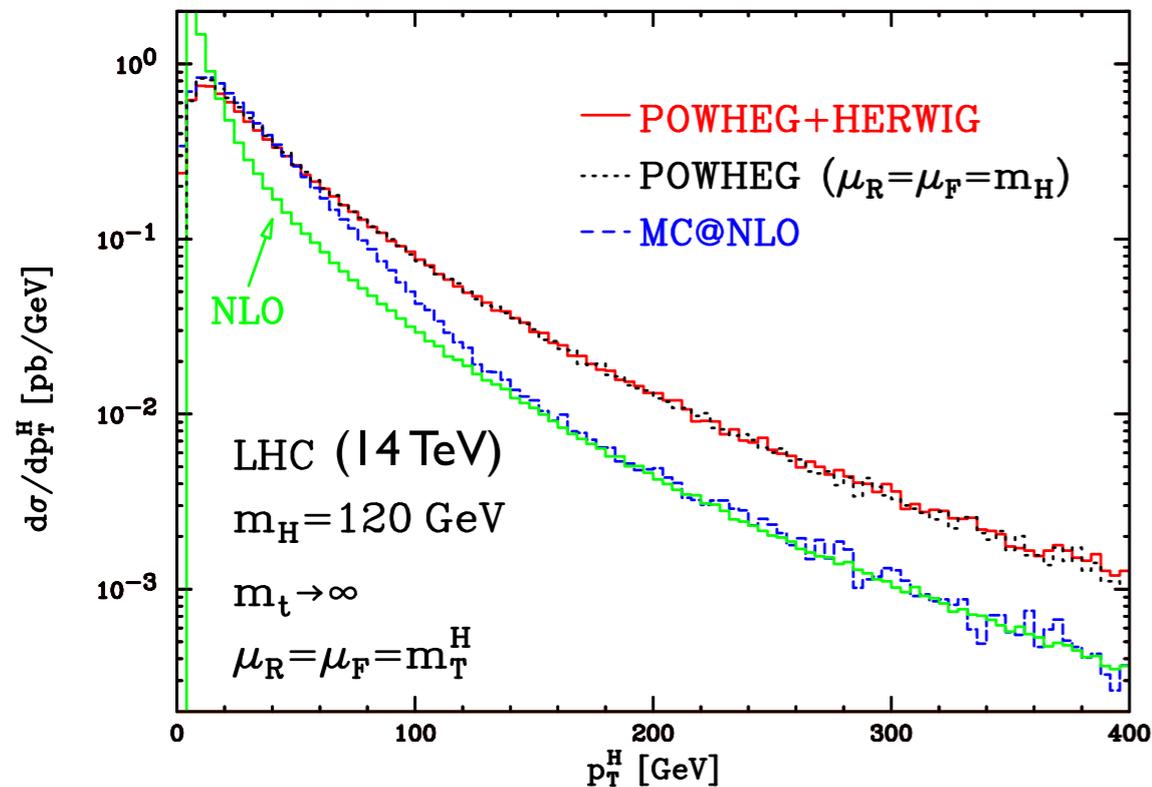
$$\bar{B}(\Phi_B) = B(\Phi_B) + V(\Phi_B) + \int \left[R(\Phi_B, \Phi_R) - \sum_i C_i(\Phi_B, \Phi_R) \right] d\Phi_R$$

$$\Delta_R(p_T) = \exp \left[- \int d\Phi_R \frac{R(\Phi_B, \Phi_R)}{B(\Phi_B)} \theta(k_T(\Phi_B, \Phi_R) - p_T) \right]$$

- NLO with (almost) no negative weights
 - High p_t enhanced by $K = \bar{B}/B = 1 + \mathcal{O}(\alpha_s)$
- arbitrary NNLO
↓

POWHEG: Higgs Production

Alioli, Nason, Oleari, Re, JHEP 024(2009)002



- Large enhancement at high p_T
 - ✿ due to \bar{B}/B
- NNLO correction is indeed large (in this case ...)

POWHEG variation

$$d\sigma'_{\text{PH}} = \bar{B}_S(\Phi_B) d\Phi_B \left[\Delta_S(0) + \frac{R_S(\Phi_B, \Phi_R)}{B(\Phi_B)} \Delta_S(k_T(\Phi_B, \Phi_R)) d\Phi_R \right] \\ + [R(\Phi_B, \Phi_R) - R_S(\Phi_B, \Phi_R)] d\Phi_B d\Phi_R$$

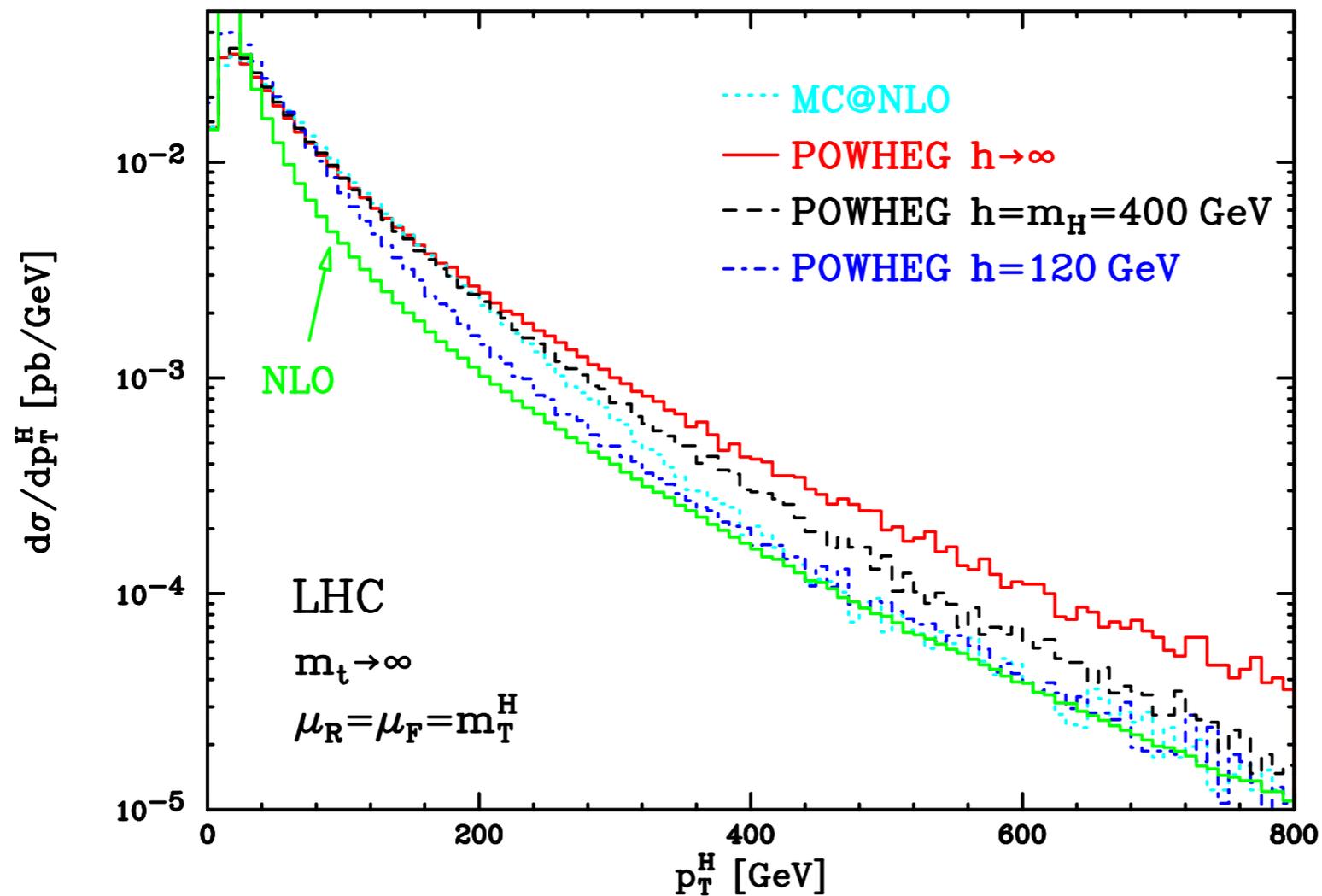
$$\bar{B}_S(\Phi_B) = B(\Phi_B) + V(\Phi_B) + \int \left[R_S(\Phi_B, \Phi_R) - \sum_i C_i(\Phi_B, \Phi_R) \right] d\Phi_R$$

$$\Delta_S(p_T) = \exp \left[- \int d\Phi_R \frac{R_S(\Phi_B, \Phi_R)}{B(\Phi_B)} \theta(k_T(\Phi_B, \Phi_R) - p_T) \right]$$

- R_S has soft & collinear singularities of R
- No change at NLO
- Close to MC@NLO when $R_S = R_{\text{MC}}$

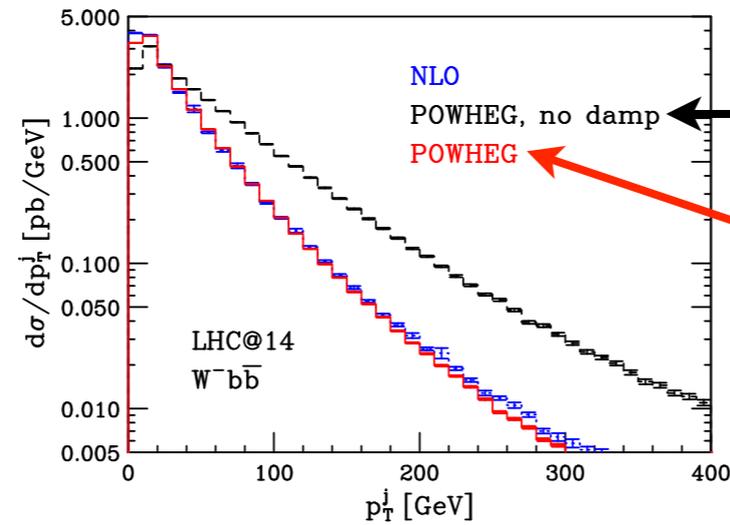
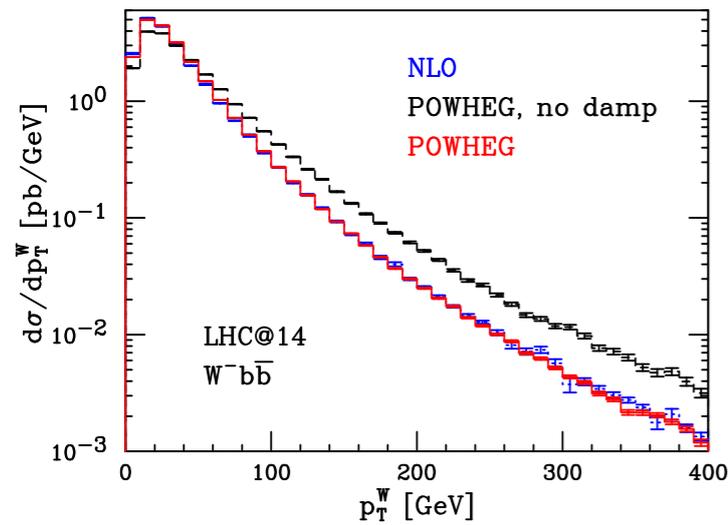
POWHEG variation

- Use $R_S = \frac{h^2}{p_T^2 + h^2} R$
- Varying h “tunes” NNLO



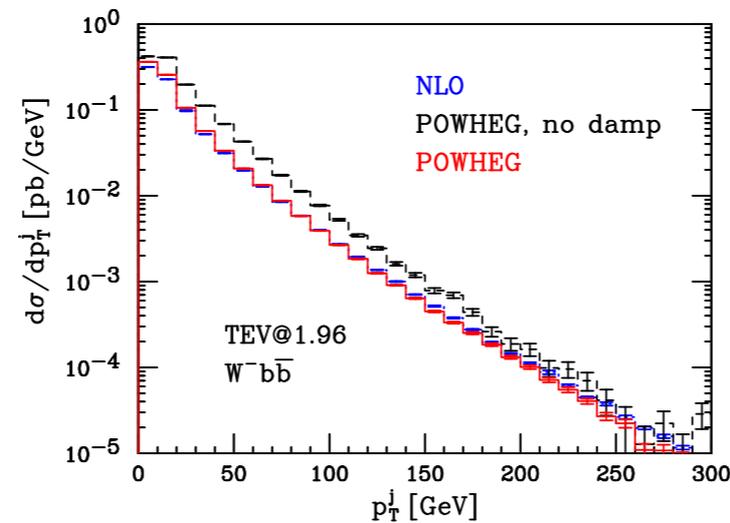
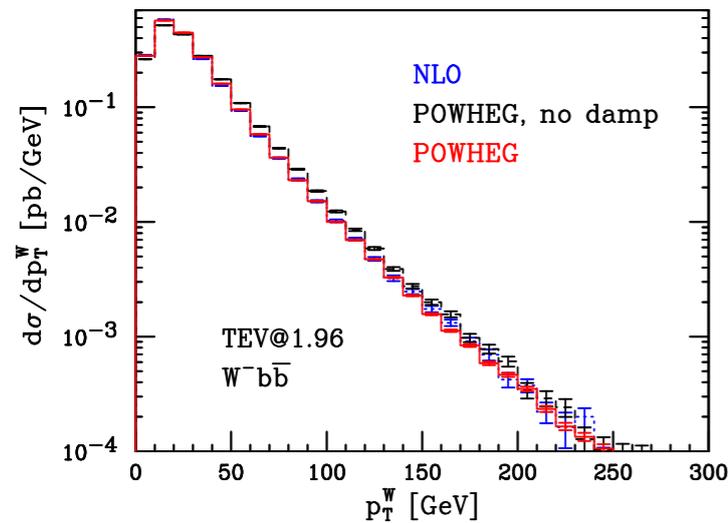
Wb \bar{b} in POWHEG

Oleari & Reina, arXiv:1105.4488



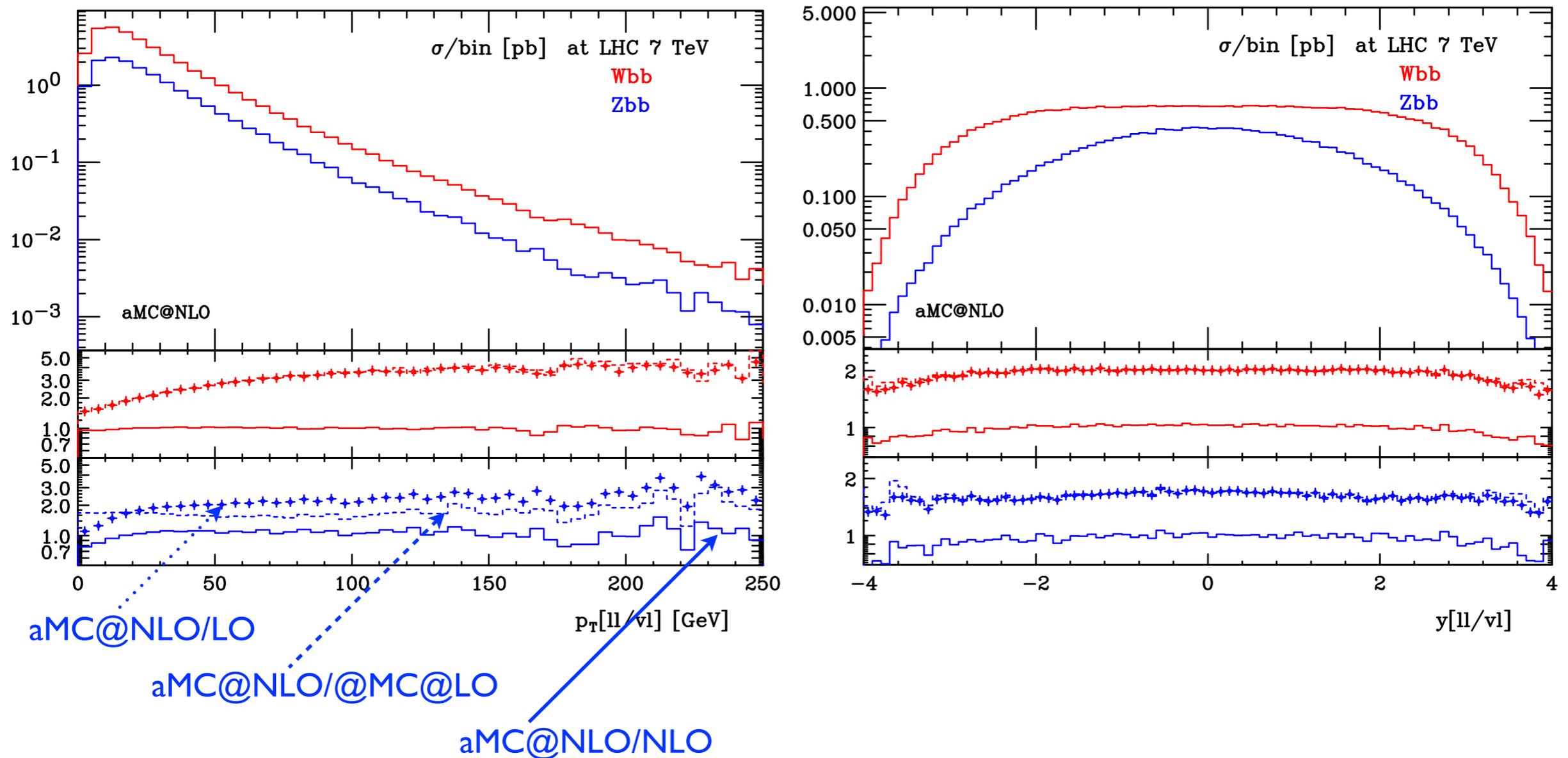
$R_s=R$

R_s suppressing emission collinear to b 's



- Damping gives results close to NLO at high p_T

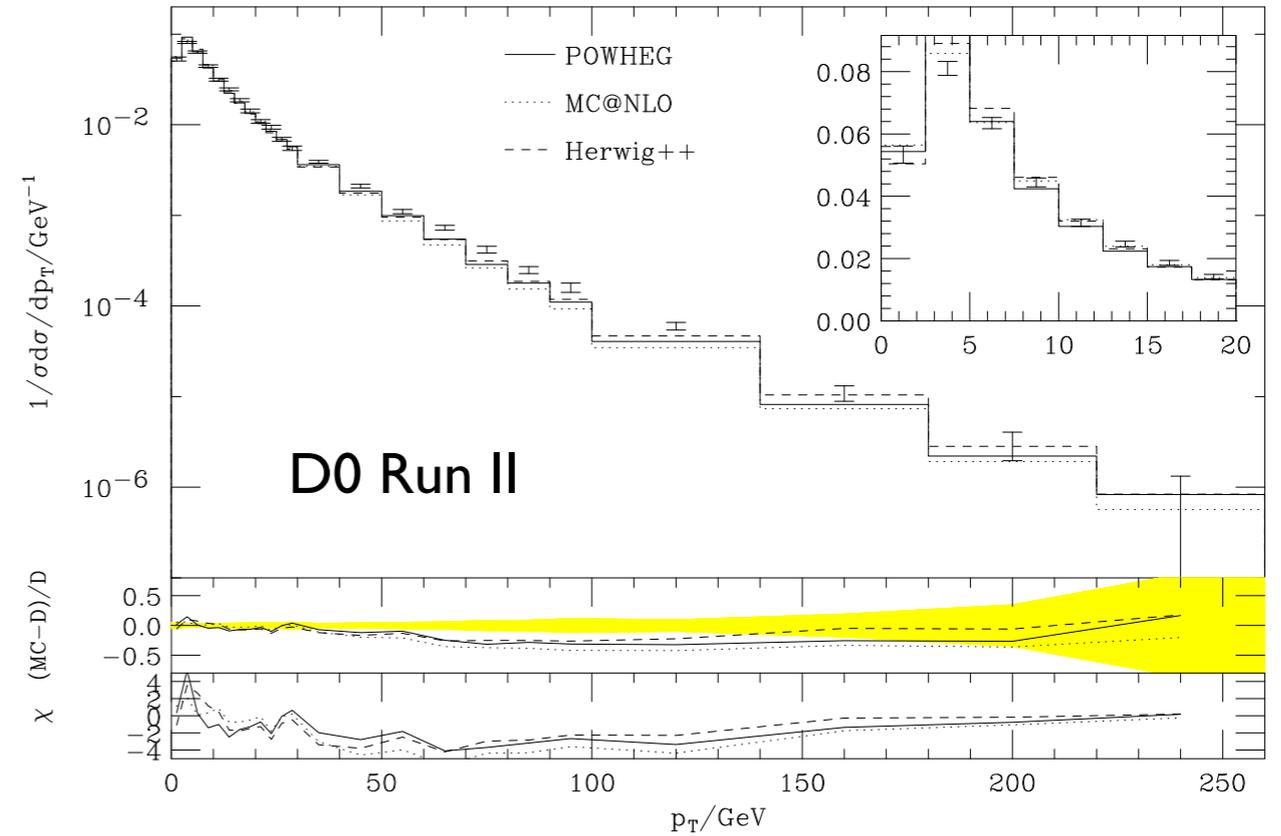
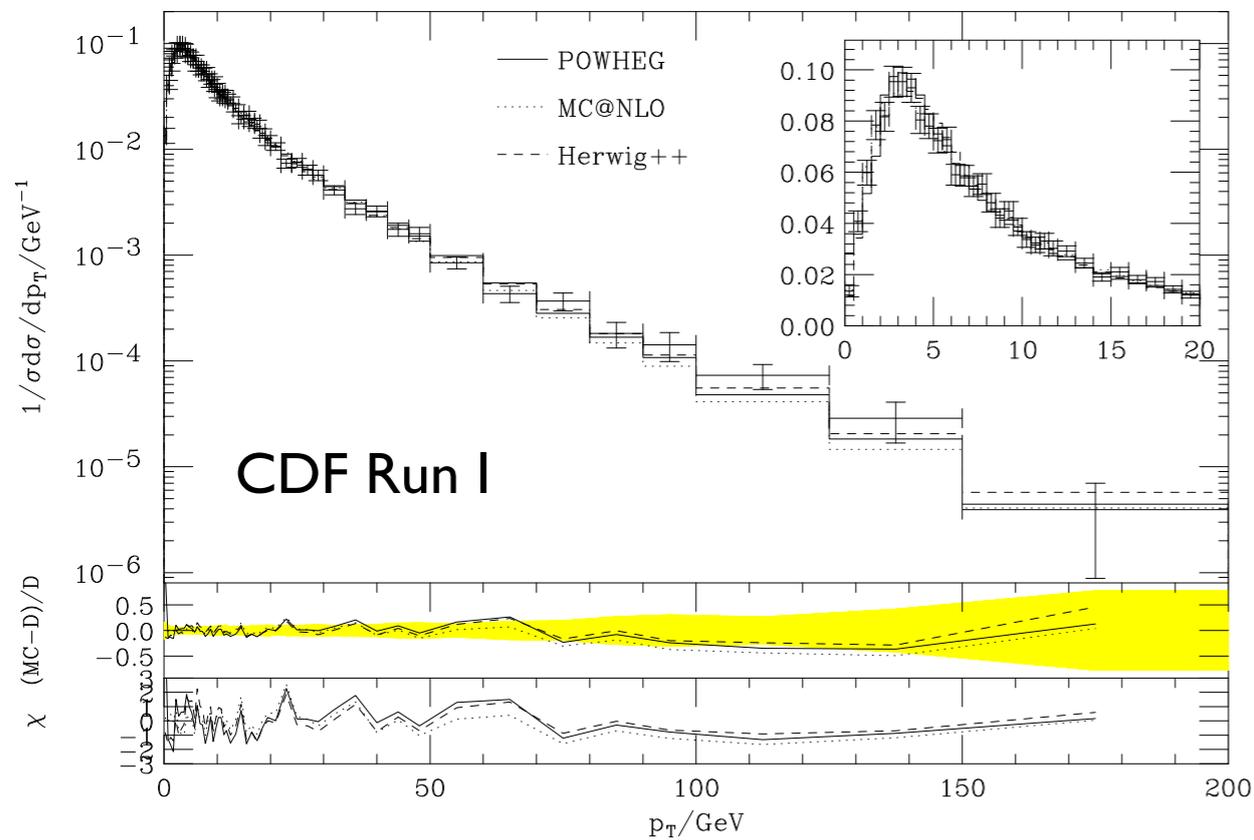
Wb \bar{b} in aMC@NLO



- aMC@NLO uses MADLOOP+MADFKS for NLO
- Always close to NLO at high p_T

Frederix et al., arXiv:1106.6019

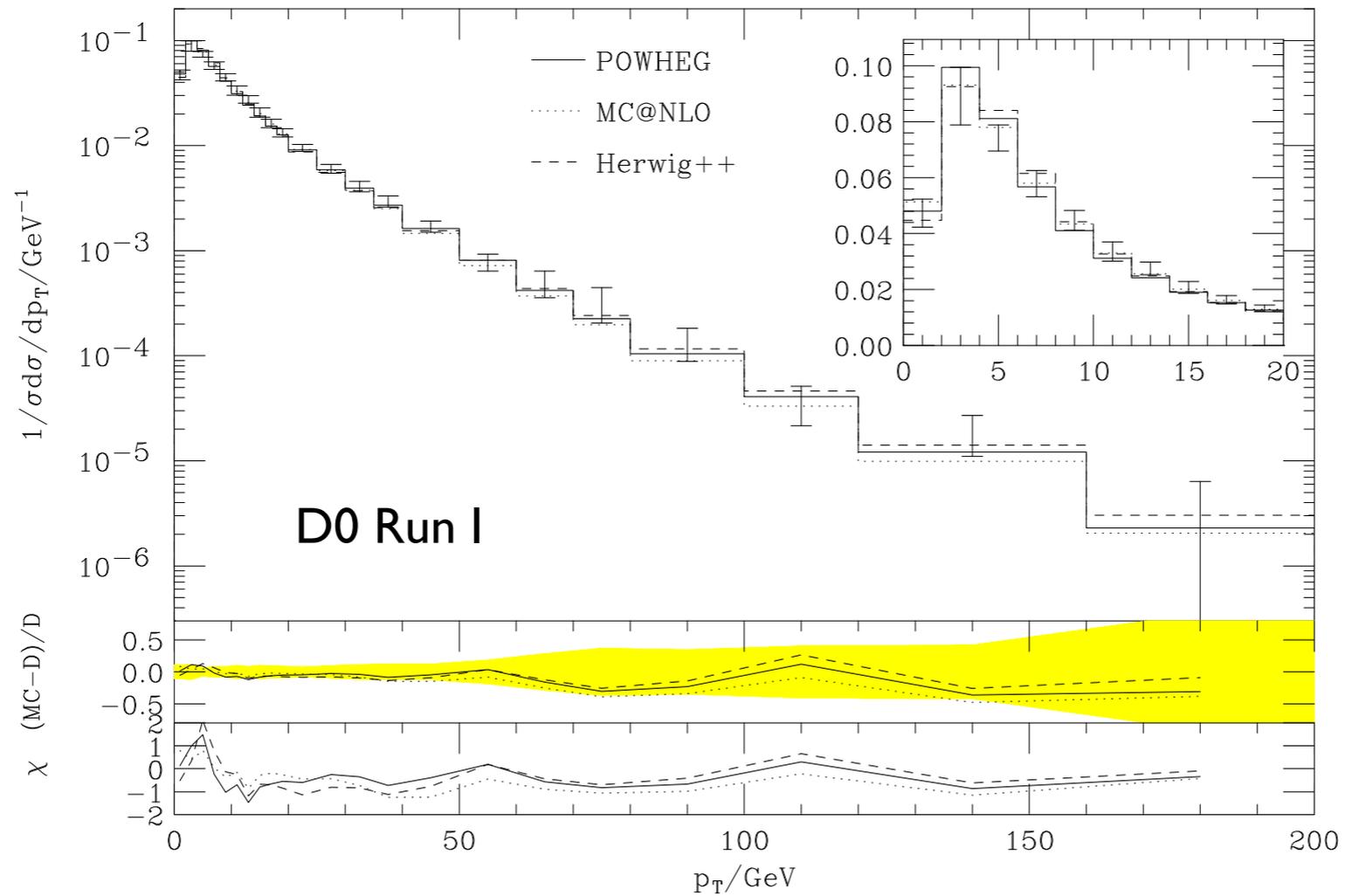
$Z^0 p_t$ at Tevatron



- NLO is only LO at high p_t

Hamilton, Richardson, Tully JHEP10(2008)015

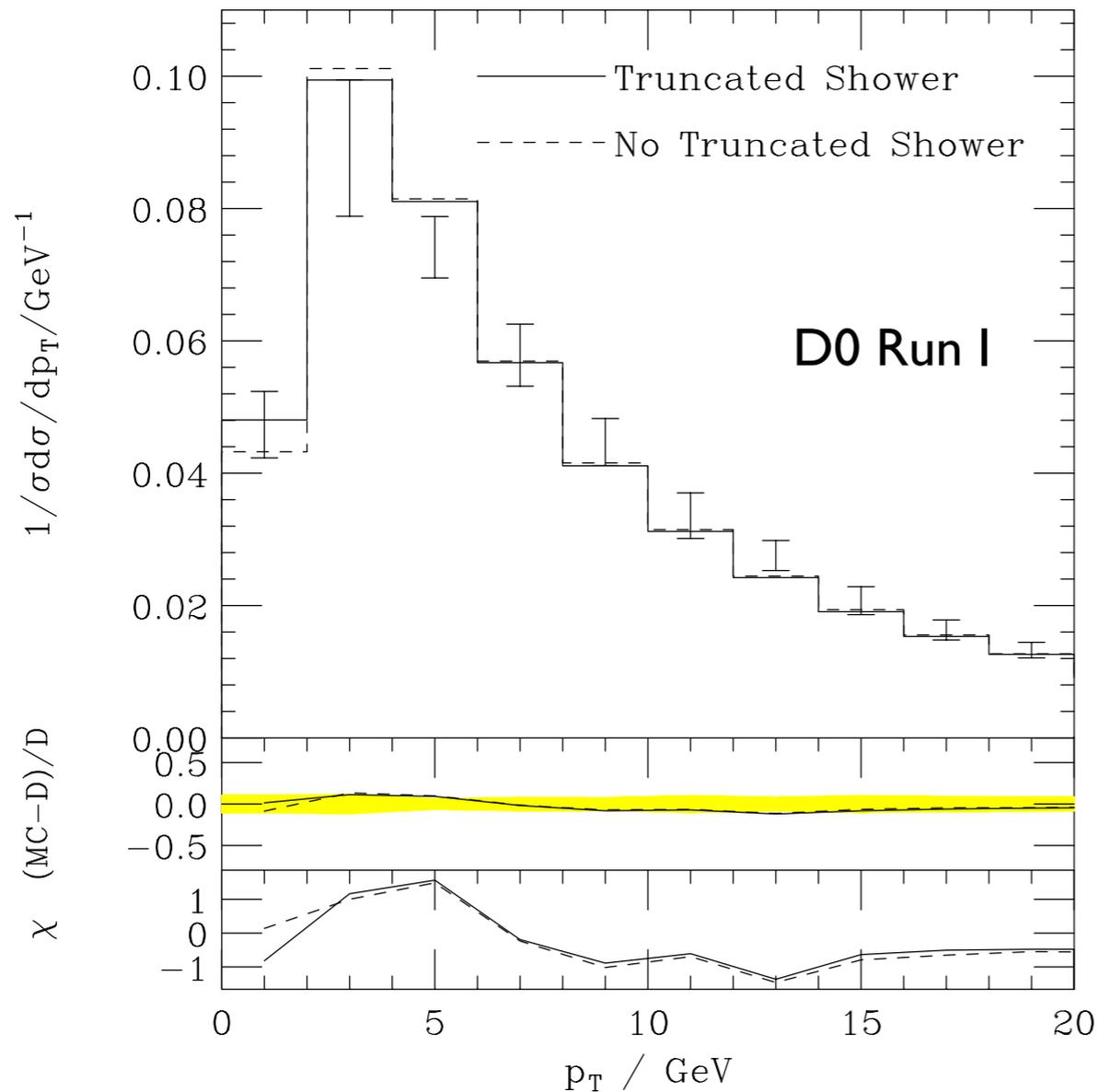
$W p_t$ at Tevatron



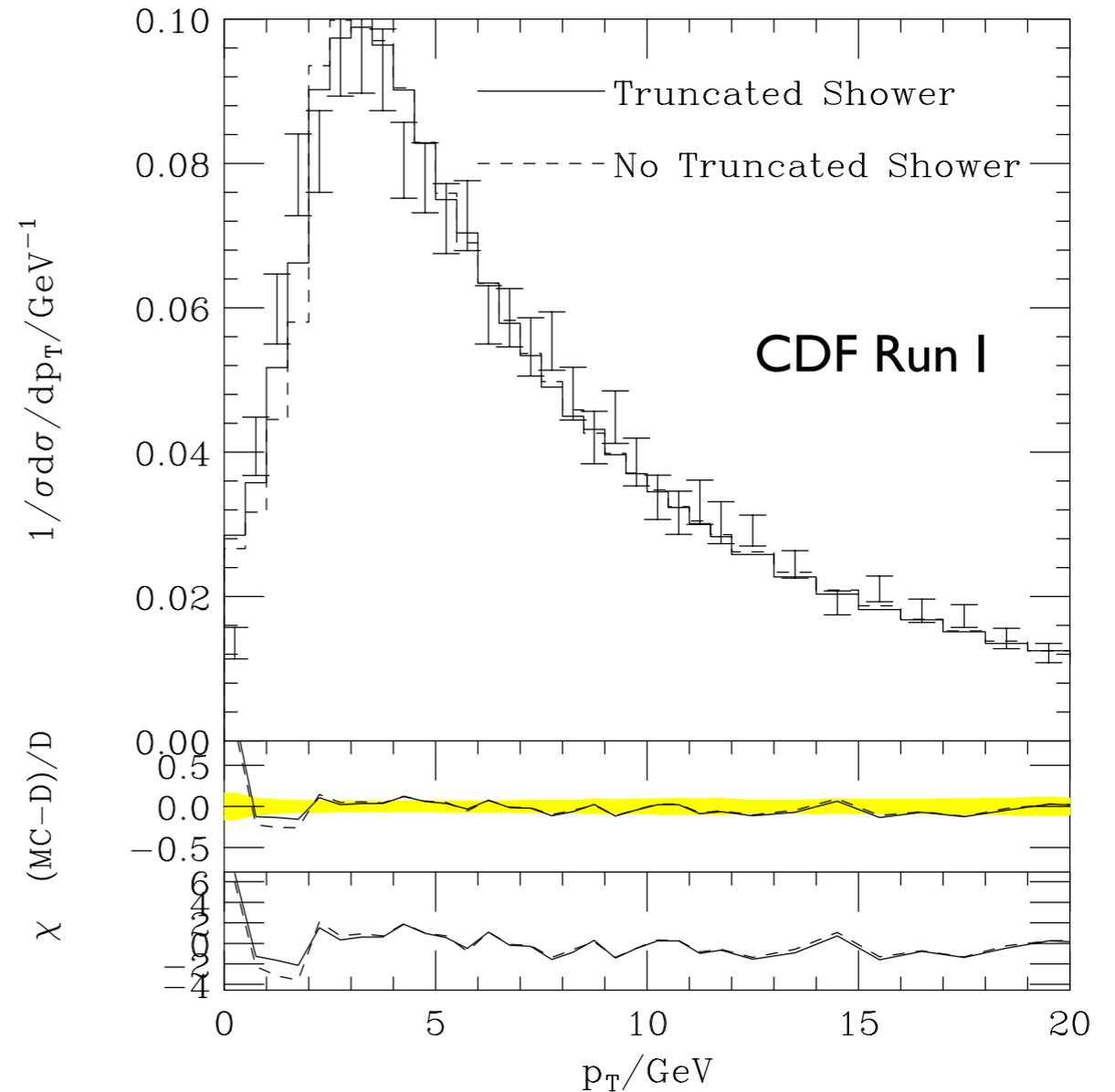
- All agree (tuned) at Tevatron

Truncated shower

a) W production

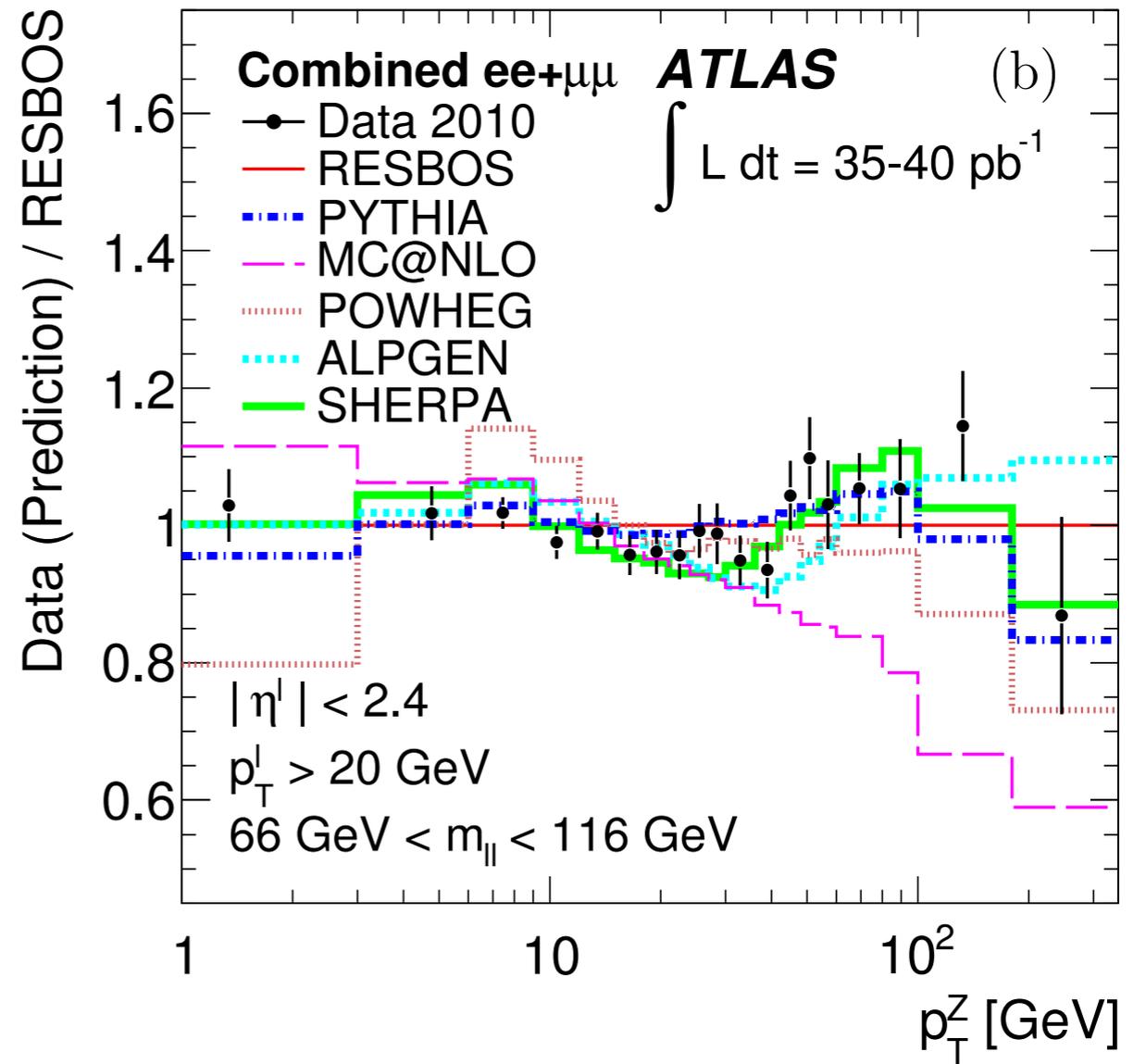
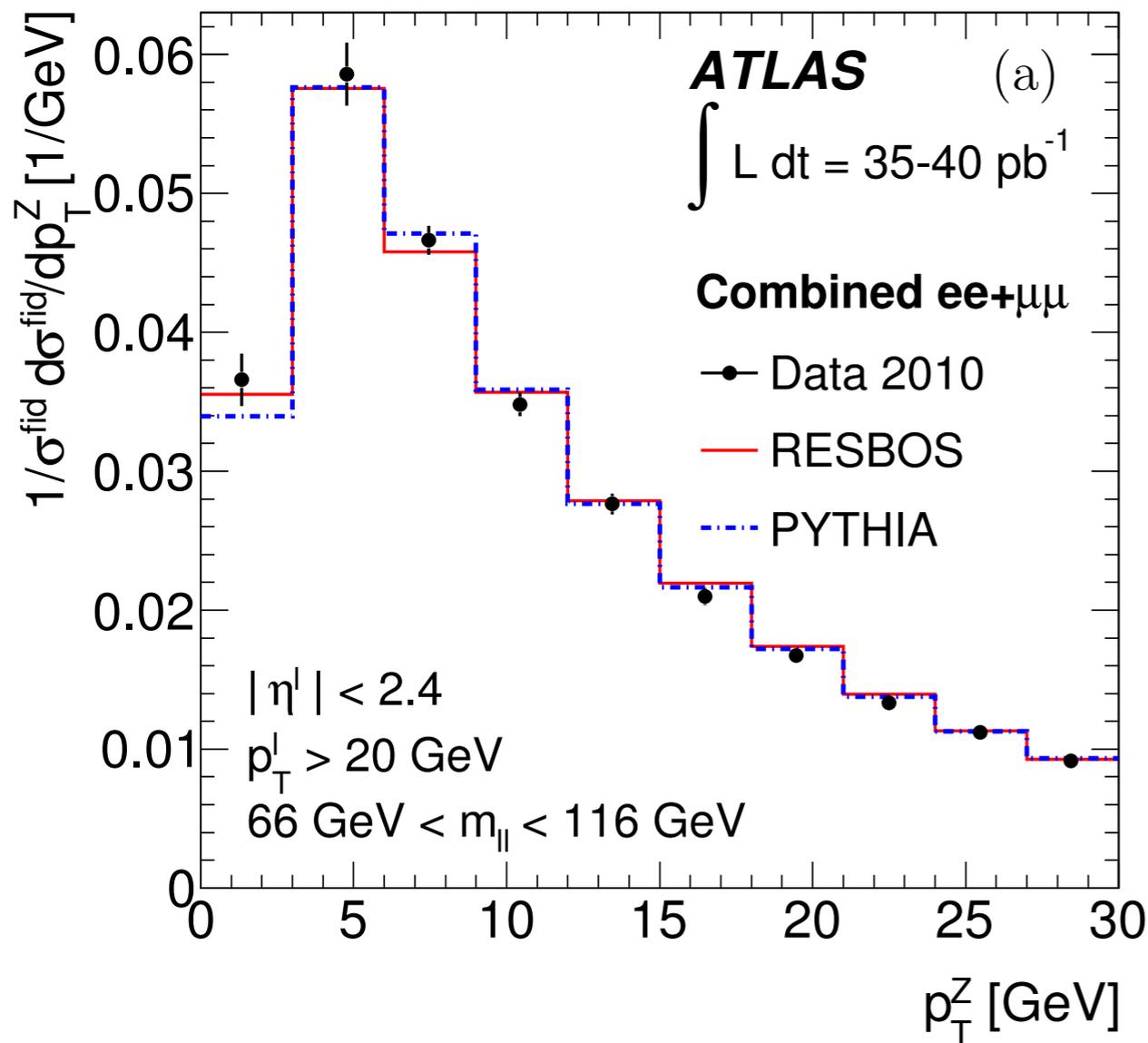


b) Z production



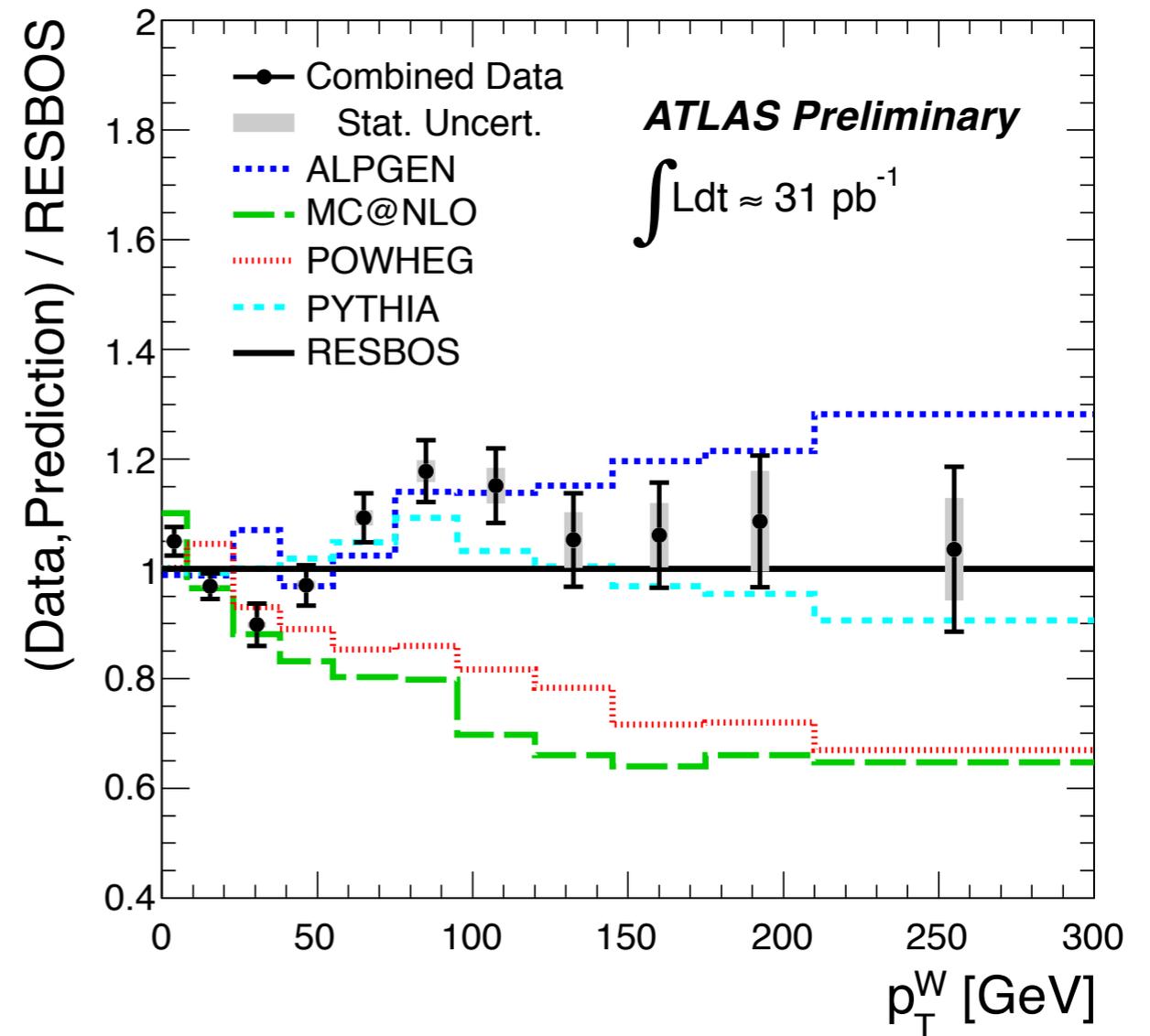
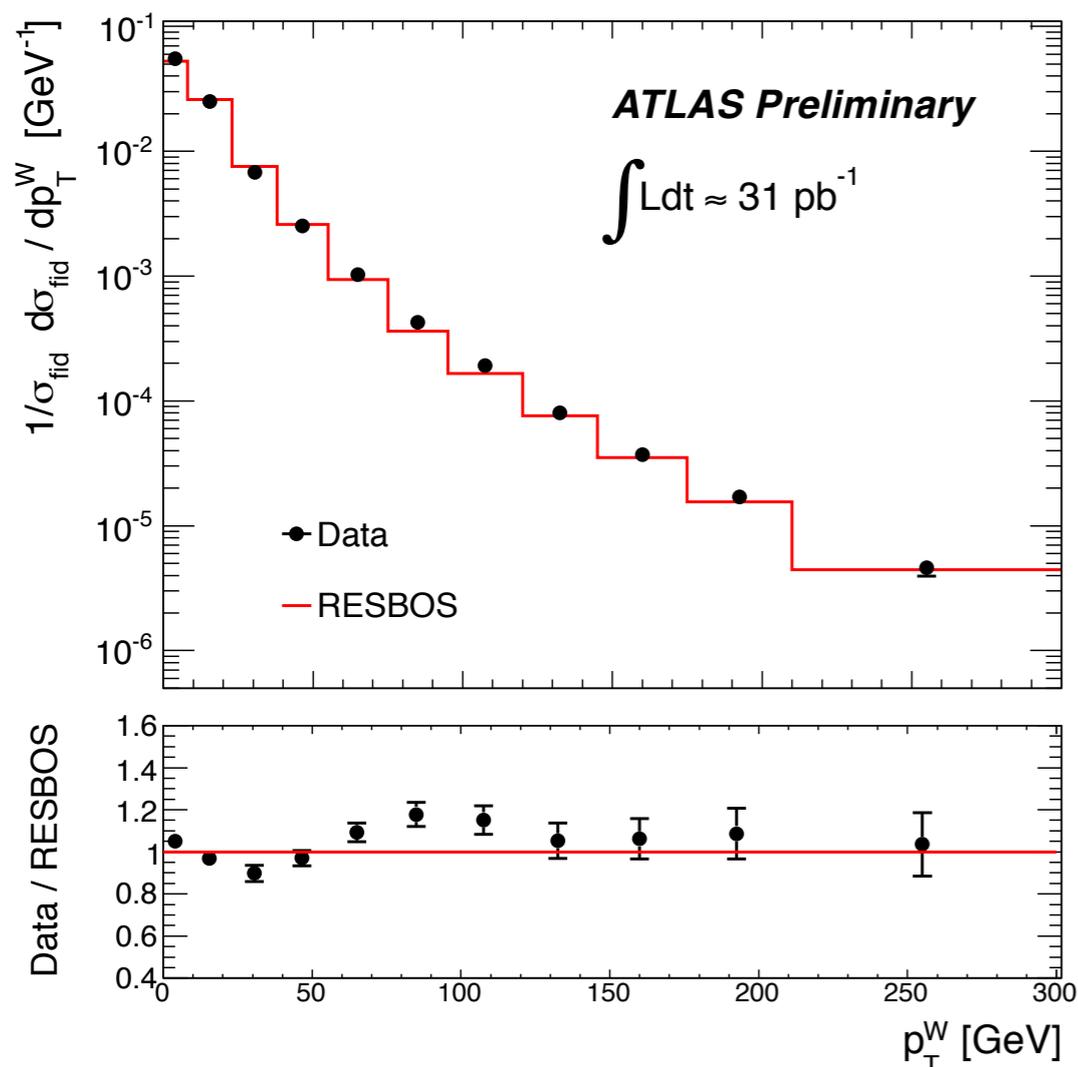
- POWHEG highest p_t emission not always first
- ✿ must add 'truncated' shower at wider angles

$Z^0 p_t$ at LHC (7 TeV)



- RESBOS = p_T resummation (not EG)
- NLOPS gives deficit at high p_T ?

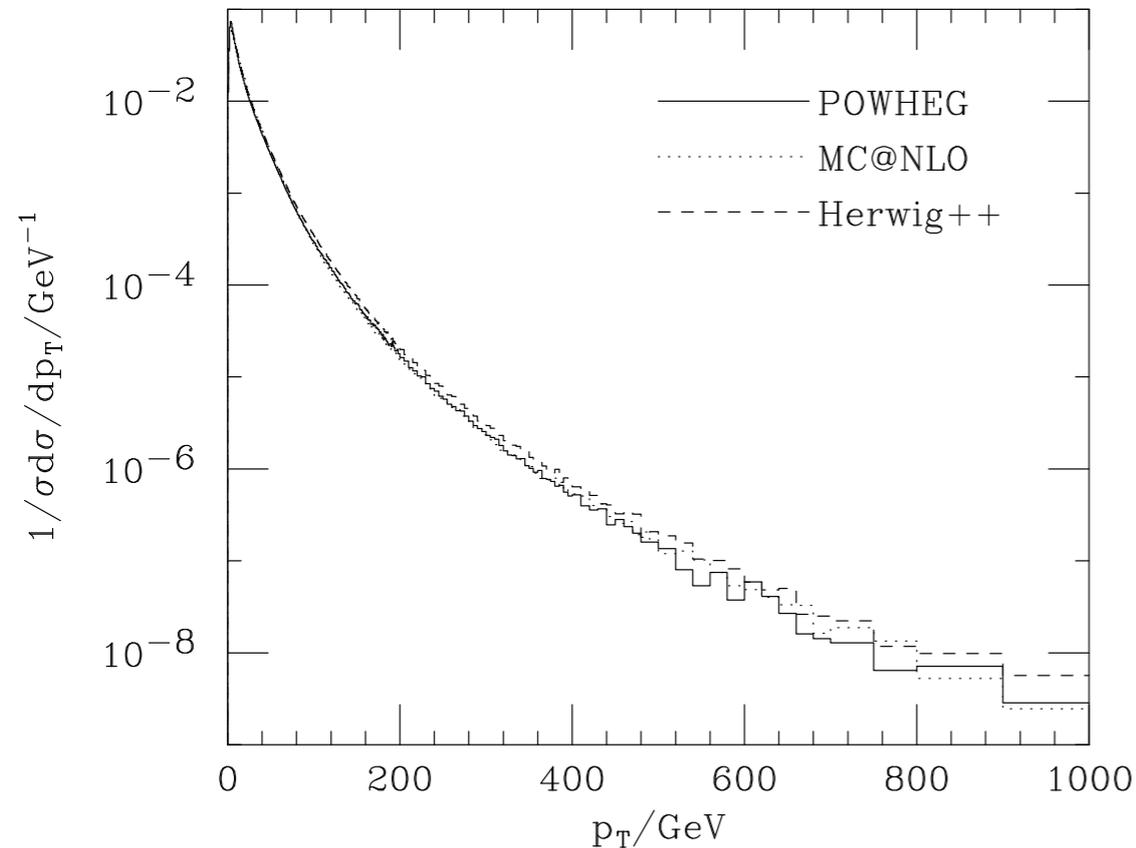
$W p_t$ at LHC (7 TeV)



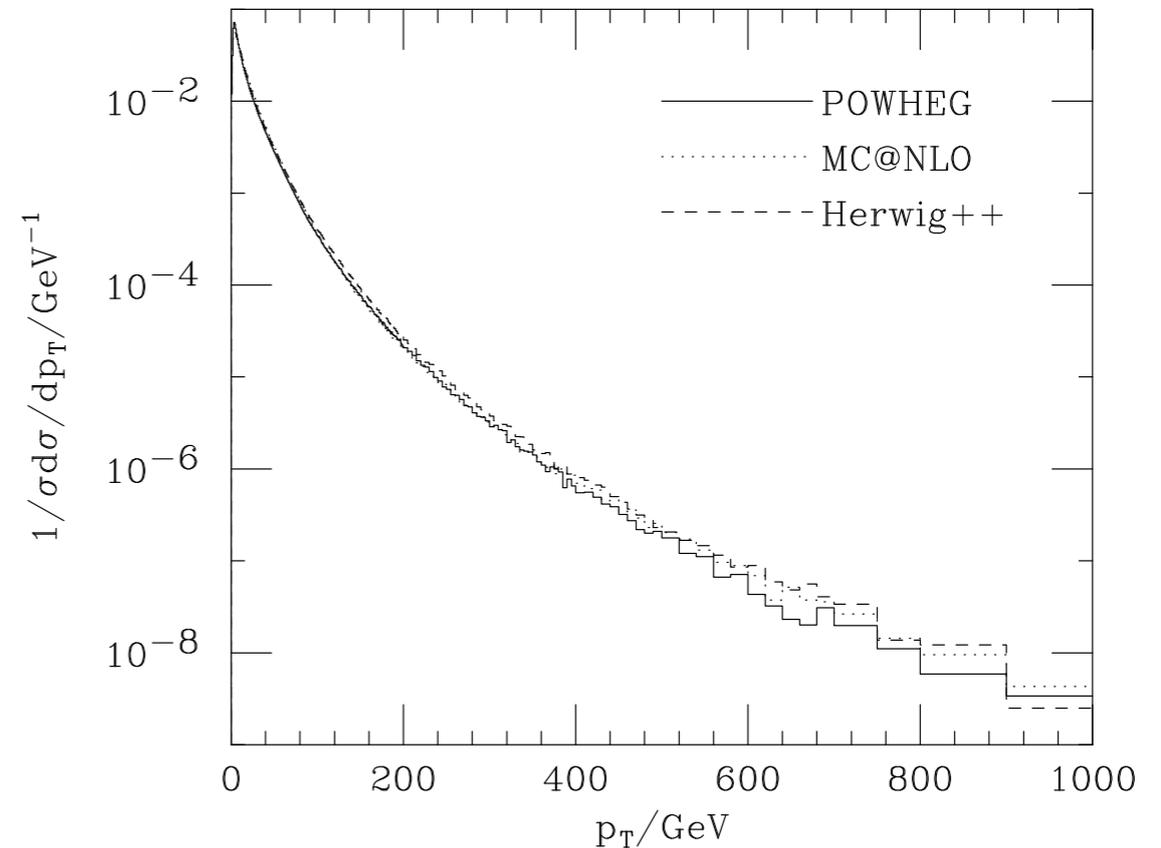
- Again, NLOPS deficit at high p_T ?

W & Z⁰ p_T at LHC (14 TeV)

a) W Production

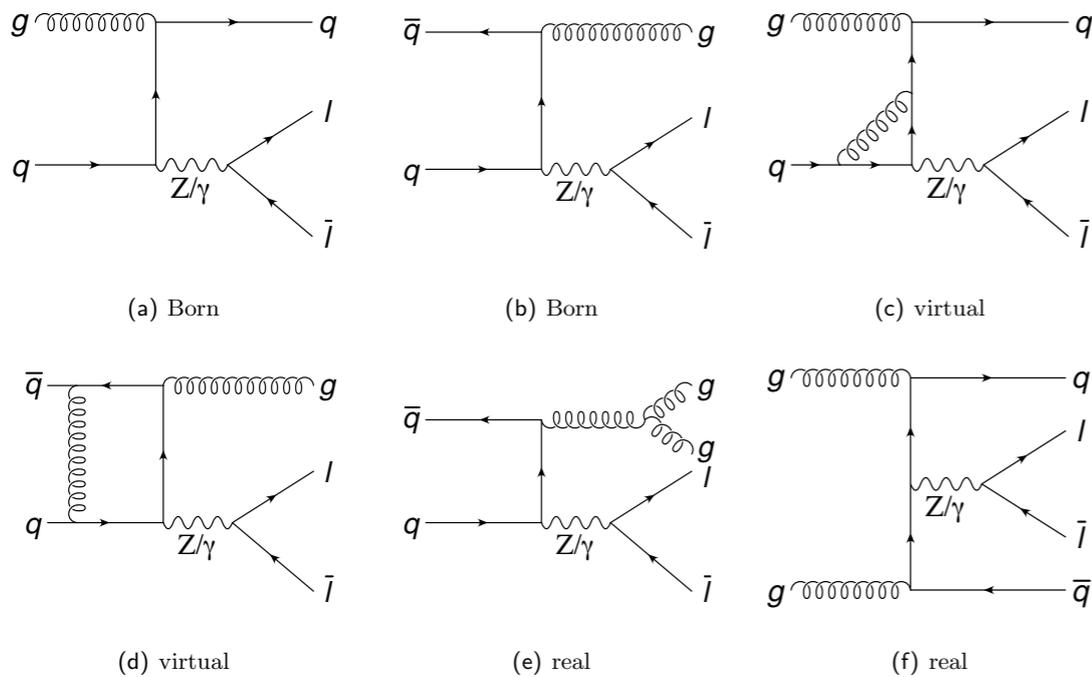


b) Z Production

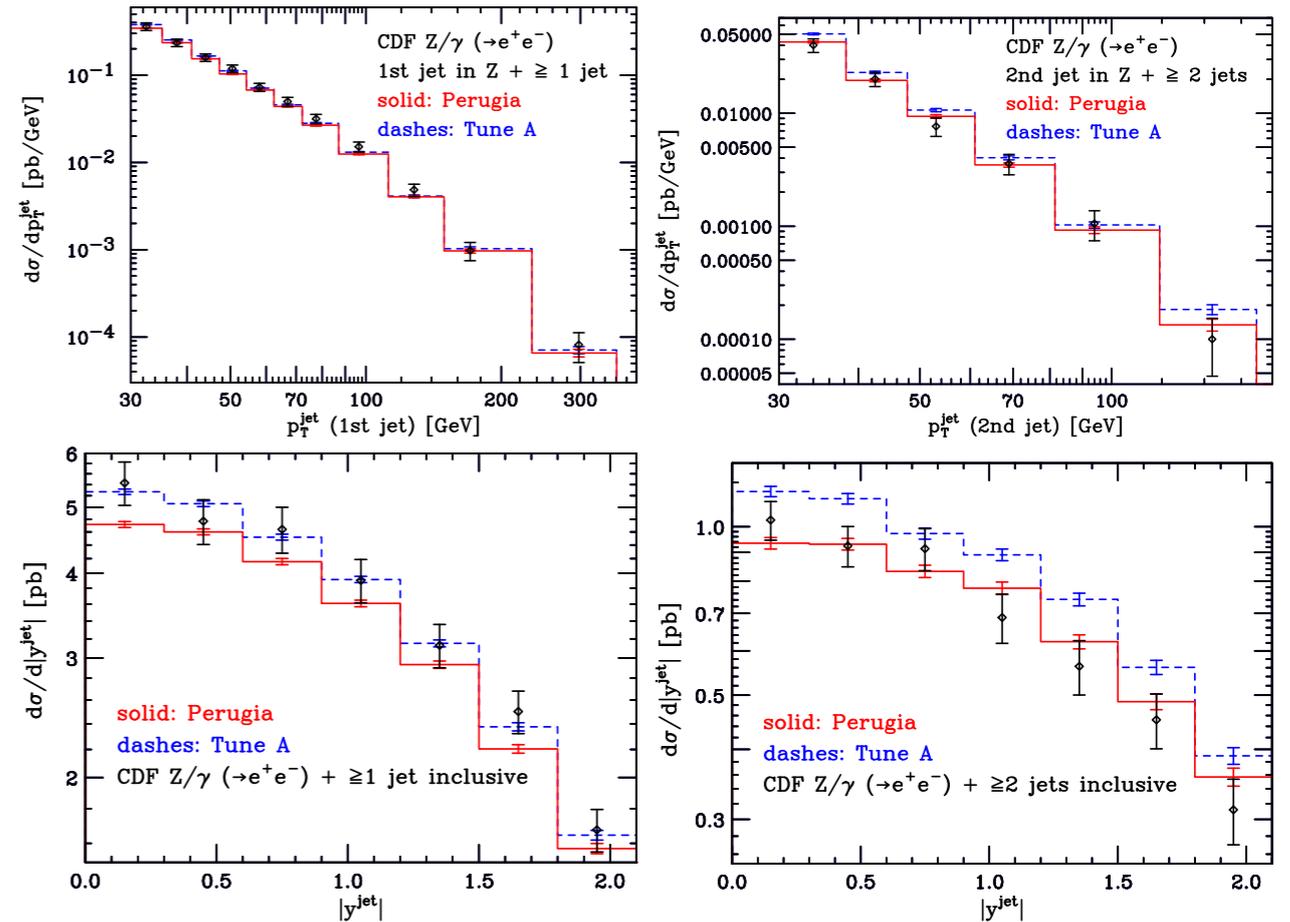


- **MC@NLO & POWHEG still in fair agreement at 14 TeV**

Z⁰+1 jet POWHEG



Sample graphs



- Cut now needed on ‘underlying Born’ p_t of Z⁰
- Good agreement with CDF (not so good with D0)
- First jet is now NLO, second is LO (times \bar{B}/B ...)

Alioli, Nason, Oleari, Re, 1009.5594

Summary of Lecture 1

- Matching PSMC & NLO means NLO must be modified to avoid double counting
- MC@NLO: modify subtraction method
 - ✦ Some negative weights (“counter-events”)
 - ✦ Close to NLO at high p_T
- POWHEG: generate hardest emission at NLO
 - ✦ (Almost) no negative weights
 - ✦ May enhance high p_T
- Both describe Tevatron data well
 - ✦ Some high p_T deficits at LHC?