

# BUSSTEPP 2012

Tutorials to: Neutrino physics I+II

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## Exercise 1: The oscillation phase

Departing from the amplitude for vacuum oscillations

$$\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} = \sum_i U_{\beta i} U_{\alpha i}^* e^{-i(E_i t - p_i x)} \quad (1)$$

derive the oscillation probability

$$P_{\nu_\alpha \rightarrow \nu_\beta} = |\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}|^2 = \sum_{jk} U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \exp \left[ -i \frac{\Delta m_{kj}^2 x}{2 E_\nu} \right]. \quad (2)$$

Derive the oscillation phase  $\phi_{kj} = \Delta m_{kj}^2 x / (2 E_\nu)$  in the case of two neutrinos only. Avoid the assumption of equal energy or equal momentum for the neutrino mass states, but use that neutrinos are ultra-relativistic.

Hint: use the definitions

$$\Delta X = X_2 - X_1, \quad \Delta X^2 = X_2^2 - X_1^2, \quad \bar{X} = (X_1 + X_2)/2, \quad (3)$$

which imply  $\Delta X^2 = 2\bar{X}\Delta X$ , for  $X = E, p, m$ . Furthermore, use the average velocity  $v = \bar{p}/\bar{E}$  and  $x \approx vt$ .

Think about conceptual problems of this derivation. An overview over a consistent calculation and references can be found in Ref. [1]

## Exercise 2: Mass and mixing angle in constant matter

Consider two neutrino flavours and start from the effective Hamiltonian in matter

$$H_{\text{mat}} = \frac{1}{2E} U(\theta) \text{diag}(m_1^2, m_2^2) U^\dagger(\theta) + \text{diag}(V, 0), \quad U(\theta) = \begin{pmatrix} c & s \\ -s & c \end{pmatrix} \quad (4)$$

with  $c = \cos \theta$ ,  $s = \sin \theta$  and  $V = \sqrt{2} G_F N_e$  is the effective matter potential, where  $N_e$  is the electron density along the neutrino path, which is assumed to be constant.

Show that

$$H_{\text{mat}} = \frac{1}{2E} U(\theta_{\text{mat}}) \text{diag}(m_{1\text{mat}}^2, m_{2\text{mat}}^2) U^\dagger(\theta_{\text{mat}}) \quad (5)$$

with

$$\sin^2 2\theta_{\text{mat}} = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - A)^2} \quad (6)$$

$$\Delta m_{\text{mat}}^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - A)^2} \quad (7)$$

where  $A \equiv 2EV/\Delta m^2$ ,  $\Delta m^2 \equiv m_2^2 - m_1^2$  and similar for  $\Delta m_{\text{mat}}^2$ .

Discuss the behaviour of  $m_{1\text{mat}}^2$ ,  $m_{2\text{mat}}^2$ , and  $\sin^2 2\theta_{\text{mat}}$  as a function of  $A$  (including its sign).

## LBL appearance experiments

The appearance probability in vacuum to second order in  $\theta_{13}$  and  $\Delta m_{21}^2/|\Delta m_{31}^2|$  is given by

$$P_{\mu \rightarrow e} \approx s_{23}^2 S^2 \sin^2 \Delta + \sin 2\theta_{23} \tilde{\alpha} S \sin \Delta \cos(\Delta \pm \delta_{\text{CP}}) + c_{23}^2 \tilde{\alpha}^2 \quad (8)$$

with

$$S \equiv \sin 2\theta_{13}, \quad \Delta \equiv \frac{\Delta m_{31}^2 L}{4E}, \quad \tilde{\alpha} \equiv \sin 2\theta_{12} \frac{\Delta m_{21}^2 L}{4E}, \quad (9)$$

and  $s_{23} \equiv \sin \theta_{23}$ ,  $c_{23} \equiv \cos \theta_{23}$ . For neutrinos (anti-neutrinos) holds the upper (lower) sign, for  $e \rightarrow \mu$  transitions exchange  $\delta_{\text{CP}} \rightarrow -\delta_{\text{CP}}$ .<sup>1</sup> The neutrino mass hierarchy is determined by the sign of  $\Delta$ . A discussion of LBL oscillation probabilities can be found for example in Ref. [2], see also Ref. [3].

### Exercise 3: The $\tilde{\alpha}^2$ -term

Consider an experiment at the first oscillation maximum and estimate the size of the  $\tilde{\alpha}^2$  term in the oscillation probability (third term in Eq. 8). Give the range for  $\sin^2 2\theta_{13}$ , where this term can be neglected. What does this imply, given the value of  $\sin^2 2\theta_{13}$  found recently by reactor experiments?

### Exercise 4: $\sin^2 2\theta_{13}$ -determination in appearance experiments

Consider an experiment at the first oscillation maximum which measures some value for  $P_{\mu \rightarrow e}$ . Suppose this is just a counting experiment and ignore the energy dependence of the signal. To a good approximation this applies to current data from the T2K experiment.

- a) Using Eq. 8, estimate the shape of the allowed region for  $\sin^2 2\theta_{13}$  as a function of  $\delta_{\text{CP}}$ .
- b) How does this shape depend on whether neutrinos or anti-neutrinos are used?
- c) Discuss the dependence of the region on  $\theta_{23}$ .

Hint: use the measured value of  $\sin^2 2\theta_{13}$  from reactor experiments and the results of exercise 3 to motivate whether the  $\tilde{\alpha}^2$ -term in Eq. 8 has to be considered or not.

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<sup>1</sup>Remember: in vacuum the CP-conjugation (exchanging  $\nu$  with  $\bar{\nu}$ ) is equivalent to the T-conjugation (exchanging initial and final neutrino flavours), as a consequence of CPT invariance.

## Exercise 5: The $\text{sign}(\Delta m_{31}^2)$ -degeneracy

a) Show that in vacuum the relation

$$P_{\mu \rightarrow e}(\Delta m_{31}^2, S, \delta_{\text{CP}}) = P_{\mu \rightarrow e}(-\Delta m_{31}^2, S, \delta'_{\text{CP}}) \quad (10)$$

can be fulfilled simultaneously for neutrinos and anti-neutrinos, and independent of the neutrino energy. Determine  $\delta'_{\text{CP}}$ .

b) Consider the case of small matter effect. Without performing any calculations, give an argument why the leading order matter effect correction to Eq. 8 cannot break the  $\text{sign}(\Delta m_{31}^2)$ -degeneracy and similar to Eq. 11, a relation

$$P_{\mu \rightarrow e}(\Delta m_{31}^2, S, \delta_{\text{CP}}) = P_{\mu \rightarrow e}(-\Delta m_{31}^2, S', \delta'_{\text{CP}}) \quad (11)$$

still can be satisfied for neutrinos and anti-neutrinos simultaneously.

The hierarchy degeneracy was first noted in Ref. [4], a recent discussion with some analytical considerations can be found in Ref. [5]. The classical paper on the eight-fold degeneracy (including the intrinsic,  $\text{sign}(\Delta m_{31}^2)$ , and octant degeneracies) is Ref. [6].

## Exercise 6: Majorana mass term

The charge conjugated field is defined as

$$\psi^c \equiv C\bar{\psi}^T = C\gamma_0\psi^* \quad (12)$$

where the charge conjugation matrix  $C$  has the following properties:

$$C^\dagger = C^{-1}, \quad C^T = -C, \quad C\gamma_\mu^T C^{-1} = -\gamma_\mu. \quad (13)$$

a) Show the equivalence of the following notations for the Majorana mass term

$$\frac{m}{2}\psi_L^T C^{-1}\psi_L + \text{h.c.} = -\frac{m}{2}\overline{(\psi_L)^c}\psi_L + \text{h.c.} = -\frac{m}{2}\bar{\psi}\psi \quad \text{with} \quad \psi = \psi_L + (\psi_L)^c. \quad (14)$$

b) Show that a Majorana mass matrix has to be symmetric. (Hint: use the anti-commutation rule for fermion fields.)

c) Consider a Lagrangian with one left-handed and one right-handed fermion with mass terms of the following form:

$$\mathcal{L}_M = -m_D\overline{\psi_L}\psi_R + \frac{m_L}{2}\psi_L^T C^{-1}\psi_L + \frac{m_R}{2}\psi_R^T C^{-1}\psi_R. \quad (15)$$

Show that this can be cast into the form of a Majorana mass term in the following way:

$$\mathcal{L}_M = \frac{1}{2}\psi^T C^{-1} \begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix} \psi + \text{h.c.} \quad \text{with} \quad \psi = \begin{pmatrix} \psi_L \\ (\psi_R)^c \end{pmatrix}. \quad (16)$$

- d) Assume that  $m_D, m_L, m_R$  are real (this corresponds to CP conservation). Diagonalize the mass matrix in eq. 16. What are the mass eigenvalues and the mass eigenfields?
- e) Consider the two limiting cases (i)  $m_L, m_R \ll m_D$  and (ii)  $m_L \ll m_D \ll m_R$ . In both cases discuss the mass eigenvalues and the mass eigenfields. Give an interpretation of your results.

A discussion along these lines can be found in [7].

## References

- [1] E. K. Akhmedov and J. Kopp, “Neutrino oscillations: Quantum mechanics vs. quantum field theory,” *JHEP* **1004** (2010) 008 [arXiv:1001.4815 [hep-ph]].
- [2] E. K. Akhmedov, R. Johansson, M. Lindner, T. Ohlsson and T. Schwetz, “Series expansions for three-flavor neutrino oscillation probabilities in matter,” *JHEP* **0404** (2004) 078 [arXiv:hep-ph/0402175].
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