Neutrino masses and mixing: a theoretical perspective

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# Outline

I. The discovery of thetal3 and present status of neutrino physics

2. Neutrino masses beyond the Standard Model: Dirac versus Majorana masses

4. See-saw models and other mechanisms for the origin of neutrino masses

4. The leptonic flavour problem

#### 5. Conclusions

# The discovery of large thetal3



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#### First Results from DChooz (9/11/11)



 $\sin^2 2\theta_{13} = 0.085 \pm 0.051$ 



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# In 2012, previous hints (DoubleCHOOZ,T2K, MINOS) for a nonzero third mixing angle were confirmed by Daya Bay and RENO: important discovery.







measured with good precision, except for the mass hierarchy and the delta phase. One needs to check the 3-neutrino paradigm (not discussed).

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# Present status of (standard) neutrino physics

 $\Delta m_{\rm s}^2 \ll \Delta m_{\rm A}^2$  implies at least 3 massive neutrinos.



Measuring the masses requires:  $m_{\min}$  and the ordering .

#### Neutrino mixing

Mixing is described by the Pontecorvo-Maki-Nakagawa-Sakata matrix, which enters in the CC interactions



CPV is a fundamental question to answer, possibly related to the origin of the baryon asymmetry.

# Phenomenology questions for the future

- What is the nature of neutrinos? Dirac vs Majorana?
- What are the values of the masses? Absolute scale (KATRIN, ...?) and the ordering.
- Is there CP-violation? Its discovery in the next generation of LBL depends on the value of delta.
- What are the precise values of mixing angles? Do they suggest a underlying pattern?

• Is the standard picture correct? Are there NSI? Sterile neutrinos? Other effects?

A wide experimental programme is under way. See D.Wark's talk.

### **Open window on Physics beyond the SM**

Neutrino physics gives a new perspective on physics BSM.



This information is **complementary** with the one which comes from flavour physics experiments and from colliders.

#### Nature of Neutrinos: Majorana vs Dirac

Neutrinos can be Majorana or Dirac particles. In the SM only neutrinos can be Majorana because they are neutral.

Majorana condition  $\nu = C \bar{\nu}^T$ 

The **nature** of neutrinos is linked to the conservation of the **Lepton number (L)**.

• This is crucial information to understand the **Physics BSM: with or without L-conservation**?

 Lepton number violation is a necessary condition for Leptogenesis.

- Tests of LNV:
- At low energy, neutrinoless double beta decay,
- LNV tau and meson decays,
- collider searches.

#### Neutrino Masses in the SM and beyond

In the SM, neutrinos do not acquire mass and mixing:

Ike the other fermions as there are no right-handed neutrinos.

$$m_e \bar{e}_L e_R$$
  $m_\nu \bar{\nu}_L \nu_R$ 

Solution: Introduce  $\nu_R$  for Dirac masses

 $\bullet$  they do not have a Majorana mass term  $M \nu_L^T C \nu_L$ 

as this term breaks the SU(2) gauge symmetry. Solution: Introduce an SU(2) scalar triplet or gauge invariant non-renormalisable terms (D>4). This term breaks Lepton Number.

#### Dirac Masses

# Neutrino masses in the sub-eV range cannot be explained naturally within the SM.



$$\mathcal{L} = y_{\nu} \bar{L} \cdot H \nu_R + \text{h.c.}$$

 $y_{\nu} = \frac{m_{\nu}}{v} = \frac{0.1 \,\mathrm{eV}}{250 \,\mathrm{GeV}} = 4 \times 10^{-13}$ 

Many theorists consider this explanation of neutrino masses unnatural, unless an explanation can be given for the extreme smallness of the coupling (e.g. large or warped extra-D models).

#### Majorana Masses

If neutrino are Majorana particles, a Majorana mass can be generated and can arise as the low energy realisation of a higher energy theory.





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#### See-saw mechanism: type I at the GUT scale



 Introduce a right handed neutrino

 Couple it to the Higgs and left handed neutrinos

 $\mathcal{L} = -Y_{\nu}\bar{N}L \cdot H - 1/2\bar{N}^{c}M_{R}N$  $m_{\nu} = \frac{y_{\nu}^2 v_H^2}{M_N}$  $\begin{pmatrix} 0 & m_D \\ m_D^T & M_N \end{pmatrix}$  $M_N \sim 10^{14} {
m GeV}$ 

The see-saw can emerge naturally in **GUTheories**: e.g. SO(10). They provide the necessary elements: N, large M and L violation.



They typically lead to relations between quark and lepton masses. Understanding the origin of neutrino masses might shed light on the physics at energy scales which could not be tested directly in any experiments.

#### In the Early Universe



As the temperature drops, only quarks are left:

$$Y_B = \frac{n_B}{n_\gamma} = (6.0 \pm 0.2) \times 10^{-10}$$

The excess of quarks can be explained by **Leptogenesis** (Fukugita, Yanagida): the heavy N responsible for neutrino masses generate a lepton asymmetry.



Observing L violation and CPV would constitute a strong hint in favour of leptogenesis as the origin of the baryon asymmetry.

#### Neutrino masses at the TeV scale

For smaller Yukawa couplings, small masses can arise from new physics at the TeV scale: in principle testable at the LHC by looking at same-sign dileptons.



• Gauge B-L: 
$$pp \rightarrow Z' \rightarrow N N$$

- See-saw type II: Scalar Triplets
- Triplet see-saw. Triplet N
   produced in gauge interactions

 $pp \rightarrow N^+ N^0 \rightarrow \ell_1^+ \ell_2^+ Z W^-$ 

- Left-Right models via WR
- Inverse or extended see-saw models

#### **Other models of Neutrino Masses**

There are also other possibilities for generating neutrino masses. For example

via loops in models in which
 Dirac masses are forbidden



Low energy see-saw: sterile neutrinos m<< GeV</li>

 R-parity violating SUSY: neutrinos can mix with neutralinos

Establishing the origin of neutrino masses requires to have as much information as possible about the masses and to combine it with other signatures of the models (proton decay, LHC searches, LFV, sterile neutrinos, ...).

# The problem of flavour

Mixing in the leptonic sector is very different from the quark one: angles are large (even  $\theta_{13}$ !) and there can be new sources of CP-violation. Neutrinos provide a different perspective on the flavour problem.



Why three generations?

Why massive and flavour states are not the same?

Why the angles have the values measured?

What is the origin of CPV?

**Trying to** understand the leptonic flavour structure and its relation to the one present in the quark sector.

Tri-(bi)maximal mixing: implies the existence of flavour symmetries, e.g. A4.

Quark-Lepton complementarity: quark + lepton mixing ~maximal

Quark-Lepton universality: the difference between mixing might be due to smallness of masses and mild hierarchy

#### Anarchy:

all entries in mass matrix of O(I)

The precise values of the mixing angles have a strong theoretical impact for understanding the flavour problem. Symmetry motivated patterns:

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0\\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}}\\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix} \Rightarrow \theta_{23} = 45^{\circ}, \theta_{12} = 45^{\circ}, \theta_{13} = 0$$

$$U_{TBM} = \begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0\\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \Rightarrow \theta_{23} = 45^{\circ}, \theta_{12} \sim 35^{\circ}, \theta_{13} = 0$$

$$U_{GR} = \begin{pmatrix} c_{12} & s_{12} & 0\\ -\frac{s_{12}}{\sqrt{2}} & -\frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}}\\ \frac{s_{12}}{\sqrt{2}} & -\frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \Rightarrow \theta_{23} = 45^{\circ}, \theta_{12} = 32^{\circ}, \theta_{13} = 0$$

Deviation from these patterns is expected theoretically, e.g. GUTs, and is required by experimental data. Theoretical models typically lead to correlations between parameters (sum rules) or specific predictions for their values.

# Conclusions

 Neutrino masses cannot be accommodated in the SM (at least in its minimal form): this is the first particle physics evidence of physics BSM.

- Masses are much smaller than those of other fermions. Mixing is large, differently from the quark sector.
- Understanding the origin of neutrino masses will shed light on the physics beyond the standard model possibly at scales which might not be tested in direct experiments or in models reachable at the LHC.

**Connecting masses and mixing** 

In some models, the masses (and the type of neutrino mass hierarchy) can be connected to the mixing. For example

$$m_{\nu} = m_0 \begin{pmatrix} \epsilon & \epsilon & \epsilon \\ \epsilon & 1 + \epsilon & 1 \\ \epsilon & 1 & 1 \end{pmatrix}$$
Normal mass hierarchy  
maximal  $\theta_{23}$ , large  $\theta_{12}$   
 $\epsilon \sim \lambda$   
$$m_{\nu} = m_0 \begin{pmatrix} \epsilon & c_{23} & s_{23} \\ c_{23} & \epsilon & \epsilon \\ s_{23} & \epsilon & \epsilon \end{pmatrix}$$
Inverted mass hierarchy  
maximal  $\theta_{23}$ , large  $\theta_{12}$   
 $L_e - L_{\mu} - L_{\tau}$ 

Determining the mass hierarchy and the values of angles is of critical importance to understand the physics BSM.

#### **Predictions for betabeta decay**

The predictions for |<m>| depend on the neutrino mass spectrum

• NH (mI<<m2<m3):  $|<m>| \sim 2.5-3.9 \text{ meV}$  $|<m>| \sim \left|\sqrt{\Delta m_{\odot}^2}\cos^2\theta_{13}\sin^2\theta_{\odot} + \sqrt{\Delta m_{atm}^2}\sin^2\theta_{13}e^{i\alpha_{32}}\right|$ 

IH (m3<<m1~m2): 10 meV < |<m>| < 50 meV</p>

$$\sqrt{\Delta m_{\mathrm{atm}}^2} \cos 2\theta_{\odot} \le |\langle m \rangle| \simeq \sqrt{\left(1 - \sin^2 2\theta_{\odot} \sin^2 \frac{\alpha_{21}}{2}\right)} \Delta m_{\mathrm{atm}}^2 \le \sqrt{\Delta m_{\mathrm{atm}}^2}$$

QD (ml~m2~m3): 44 meV < |<m>| < m1</p>

$$|\langle m \rangle| \simeq m_{\bar{\nu}_e} \left| \left( \cos^2 \theta_{\odot} + \sin^2 \theta_{\odot} e^{i \alpha_{21}} \right) \cos^2 \theta_{13} + \sin^2 \theta_{13} e^{i \alpha_{31}} \right|$$

 $|\langle m \rangle| \sim (m_1) \cos^2 \theta_{12} + (m_2) \sin^2 \theta_{12} e^{i\alpha_{21}} + (m_3) \sin^2 \theta_{13} e^{i\alpha_{31}}|$ 



# Wide experimental program for the future: a positive signal would indicate that L is violated!

#### Dependence on the oscillation parameters



#### Determining neutrino masses with neutrinoless dbeta decay



 If |<m>| > 0.2 eV, then the neutrino spectrum is QD.The measurement of m1 is entangled with the value of the Majorana phase.

- If no signal for |<m>|
   ~10 meV, then only NO is allowed.
  - If LBL experiments find
     IO, neutrino are Dirac
     particles (without finetuned cancellations).

#### **Other mechanisms**

Neutrinoless double beta decay can also be mediated by other LNV mechanisms.

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- Light sterile neutrinos
- Heavy sterile neutrinos
- R-parity violating SUSY
- Extra dimensional models
- Left-Right models







Deppisch, Hirsch, Pas, 1208.0727

#### **Experimental searches of betabeta decay**

Neutrinoless double beta decay proceeds in nuclei in which single beta decay is kinematically forbidden but double beta decay  $(A, Z) \rightarrow (A,$ Z+2) + 2 e + 2 v is allowed.





Thanks to Schoenert, EPS-HEP11					
GERDA: 2011	<b>Table 3</b> Selection of $0\nu\beta\beta$ experiments.				
LNGS KamLAND-Zen: 2011	experiment	isotope	mass [kg]	method	start / end
	past experiments				
	Heidelberg- Moscow	<sup>76</sup> Ge	11	ionization	-2003
	Cuoricino	<sup>130</sup> Te	11	bolometer	-2008
	NEMO-3	<sup>100</sup> Mo, <sup>82</sup> Se	7,1	track. +calorim.	-2011
	current experiments				
The new generation of experiments is already taking data or nearly ready (e.g.,	EXO-200	<sup>136</sup> Xe	175	liquid TPC	2011-
	Kamland- Zen	<sup>136</sup> Xe	330	liquid scintil.	2011-
	gerda-i/ Gerda-ii	<sup>76</sup> Ge	15/35	ionization	2011-/ 2013-
	CANDLES	<sup>48</sup> Ca	0.35	scint. crystal	2011-
	funded experiments				
	NEXT	<sup>136</sup> Xe	100	gas TPC	2015
	Cuore0/ Cuore	<sup>130</sup> Te	10/200	bolometer	2012- 2015-
	Majorana Demo.	<sup>76</sup> Ge	30	ionization	2013
	SuperNEMO demo./total	<sup>82</sup> Se	7/100	track.+calorin	2014- /??
LAO, RAIILAIND-ZLIN, COORL,	SNO+	<sup>150</sup> Nd	44	liquid scint.	2013
GERDA,) and more powerful ones are					
planned for the future (e.g., NExT, SNO +, SuperNEMO, COBRA,)!!	B. Schwingenheuer, Annalen der Physik, 2012				





#### The GERmanium Detector Array





Months of Running



F. Cossavella, 16/10/12

**CUORE-0**: the detector will consist of a complete CUORE tower: 52 TeO<sub>2</sub> cubic crystal absorbers, encapsulated in a dedicated copper shield at LNGS. GERDA. On Jul 6, 5 Ge diodes deployed at LNGS.

CUORE-0



From M. Pedretti, Neutrino 2012

11. Nov. 2011

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EXO-200 location, at the WIPP Site, USA, 1585 m.w.e.

**EXO** 

1205.5608



EXO-200 reported the first results last summer, T(0nu) >1.6 10^25 yrs for Xe136 and KamLAND-Zen last week: T(0nu) >1.9 10^25 yrs.

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#### **Collider searches**

#### In colliders, the dominant mechanism due to mixing is



where N goes on resonance and the cross section for the process can be approximated as

$$\sigma(pp \to \ell \ell W) \simeq \sigma(pp \to \ell N) Br(N \to \ell W) \sim |V_{\ell 4}|^2 \sigma_0$$

Searches will be controlled by production which depends on the mixing.

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#### Sensitivity reachable at LHC





## LNV and neutrino masses

Majorana masses violate lepton number and conversely lepton number violation leads to Majorana masses.



For see-saw type I:  $\mathcal{L} = -Y_{\nu}\bar{N}L \cdot H - 1/2\bar{N}^{c}M_{R}N$  $\mathcal{L} = \left(\nu_{L}^{T}N^{T}\right) \begin{pmatrix} 0 & m_{D} \\ m_{D}^{T} & M \end{pmatrix} \begin{pmatrix} \nu_{L} \\ N \end{pmatrix}$ 

In general we expect mixing to be very small:

• Without cancellations, there is a contribution to neutrino masses:

$$m_{\nu} \simeq \frac{m_D^2}{M} \simeq \sin^2 \theta M$$

 Production is extremely suppressed



#### In see-saw type I, all LNV effects are suppressed at colliders. Other production mechanisms need to be considered.

Kersten, Smirnov; Ibarra, Molinaro, Petcov; Mitra et al.

N production can be large if Ns have other interactions. With 3 N, B-L can be gauged and N can be produced via Z'. Other models: triplet see-saw, loop models, see-saw type II...

Even if neutrino masses are not generated at tree level, they will be at higher loops and the bounds typically remain significant. Example: see-saw with two heavy neutrinos.

 $\mathcal{L} = Y\bar{L} \cdot HN_1 + Y_2\bar{L} \cdot HN_2^c + \Lambda\bar{N}_1N_2 + \mu'N_1^TCN_1 + \mu N_2^TCN_2$  $\begin{pmatrix} 0 & Yv & Y_2v \\ Yv & \mu' & \Lambda \\ Y_2v & \Lambda & \mu \end{pmatrix} \begin{pmatrix} For \\ \mu = 0 & and & Y_2 = 0 \\ tree-level masses are zero. \end{pmatrix}$ 

 $\mu'$  can be very large inducing neutrinoless double beta decay without contradicting the bounds from neutrino  $A_{extra}\propto rac{v^2\mu'Y_{1e}^2}{2^{\Lambda/4}}$  Mitra, Senjanovic, Vissani; Ibarra, Molinaro, Petcov masses:





Allowed regions for heavy neutrino masses: Inverse see-saw: small region around 5GeV. Extended see-saw: one of the sterile neutrinos is very light, MI<100 MeV.

Lopez-Pavon, Pascoli, Wong