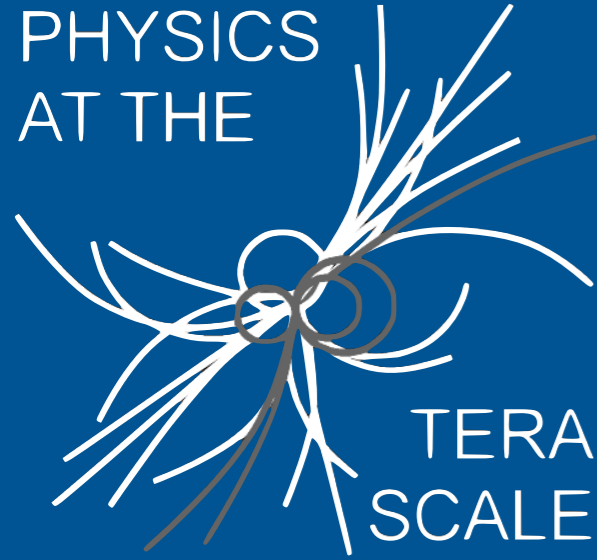


PHYSICS  
AT THE



TERA  
SCALE

**Helmholtz Alliance**

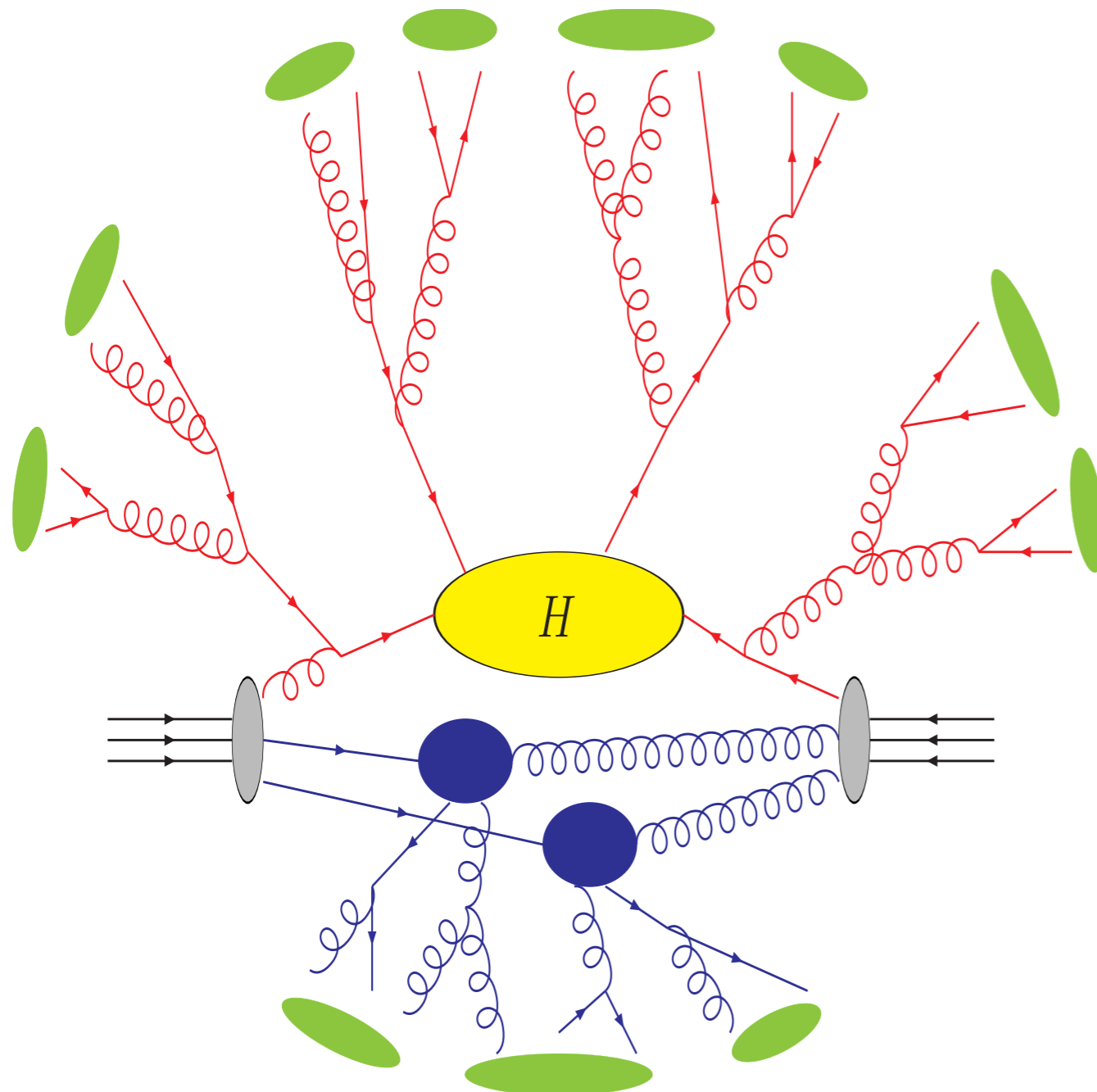
# PARTON SHOWERS + NLO

ZOLTÁN NAGY  
*DESY*

Many thanks to Dave Soper

# Introduction

From theory point of view an event at the LHC looks very complicated



1. Incoming hadron (gray bubbles)
  - ⇒ Parton distribution function
  - ⇒ Multi parton distribution functions
2. Hard part of the process (yellow bubble)
  - ⇒ Matrix element calculation, cross sections at LO, NLO, NNLO level
3. Radiation (red graphs)
  - ⇒ Parton shower calculation
  - ⇒ Partonic decay
  - ⇒ Matching to NLO, NNLO
4. Underlying event (blue graphs)
  - ⇒ Models based on multiple interaction
  - ⇒ Diffraction
5. Hadronization (green bubbles)
  - ⇒ Universal models
  - ⇒ Hadronic decay
  - ⇒ ....

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$$\sigma[F] = \sum_m \int [d\{p, f\}_m] \overbrace{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)}^{\text{parton distributions}} \frac{1}{2\eta_a \eta_b p_A \cdot p_B} \\ \times \langle \mathcal{M}(\{p, f\}_m) | \underbrace{F(\{p, f\}_m)}_{\text{observable}} | \underbrace{\mathcal{M}(\{p, f\}_m)}_{\text{matrix element}} \rangle$$

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$$\sigma[F] = \sum_m \int [d\{p, f\}_m] \text{Tr}\{\underbrace{\rho(\{p, f\}_m)}_{\text{density operator in color} \otimes \text{spin space}} F(\{p, f\}_m)\}$$

The fully exclusive final state is described by the **QCD density operator**, that is the basic object in the Monte Carlos

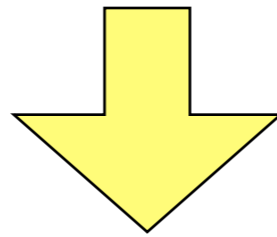
$$\rho = \sum \rho(\{p, f\}_m) \Leftrightarrow |\rho\rangle = \sum |\rho(\{p, f\}_m)\rangle$$



# Statistical Space

The density operator is

$$\begin{aligned} \rho(\{p, f\}_m) &= |\mathcal{M}(\{p, f\}_m)\rangle \frac{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)}{2\eta_a \eta_b p_A \cdot p_B} \langle \mathcal{M}(\{p, f\}_m) | \\ &= \sum_{s, c, s', c'} |\{s', c'\}_m\rangle (\{p, f, s', c', s, c\}_m | \rho) \langle \{s, c\}_m | \end{aligned}$$



*In the statistical space it is represented by a vector*

$$|\rho\rangle = \sum_m \frac{1}{m!} \int [d\{p, f, s', c', s, c\}_m] \underbrace{|\{p, f, s', c', s, c\}_m\rangle}_{\text{Basis vector in the statistical space}} (\{p, f, s', c', s, c\}_m | \rho)$$

*Basis vector in the statistical space*

*The probability to have momenta and flavor  $\{p, f\}_m$  and be in this color and spin state.*

# How to Design Parton Showers?

## Mandatory design principles

1. Shower generates events and calculates cross sections approximately using the **soft and collinear factorization of the QCD amplitudes** (tree and 1-loop level).
2. The emissions are **strongly ordered**.
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6. Everything that makes the implementation simpler
  - leading color approximation
  - spin averaging
  - **angular ordering** (loosing full exclusiveness of the event)
  - Catani-Seymour momentum mapping
  - ....

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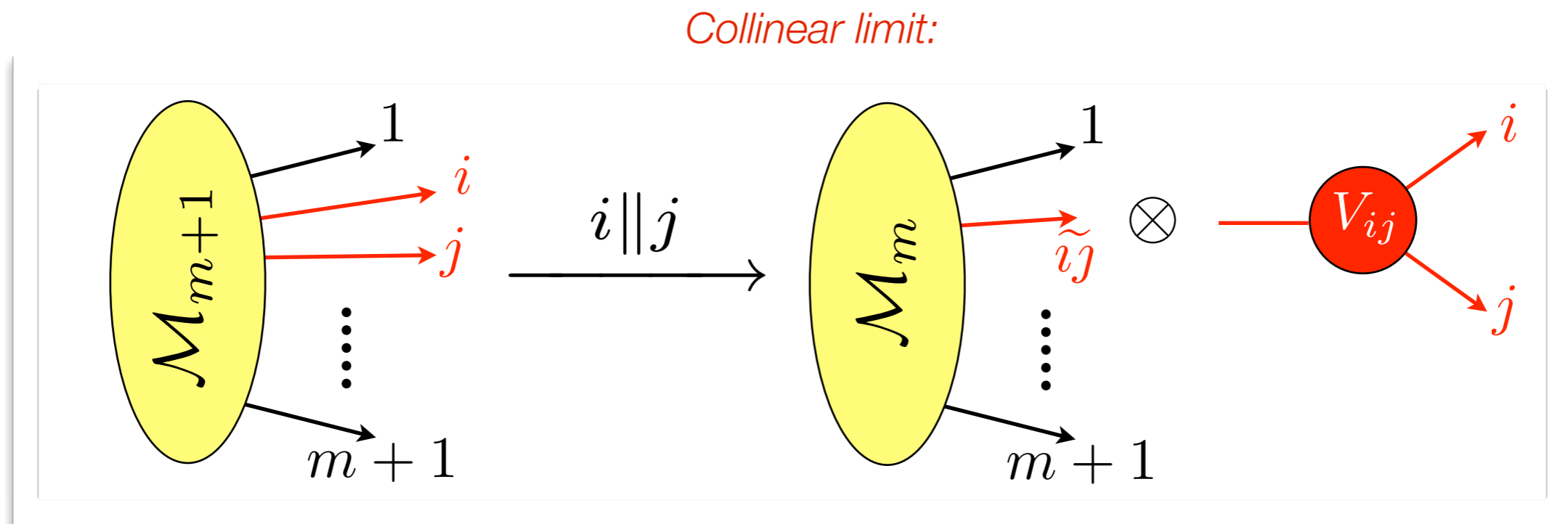
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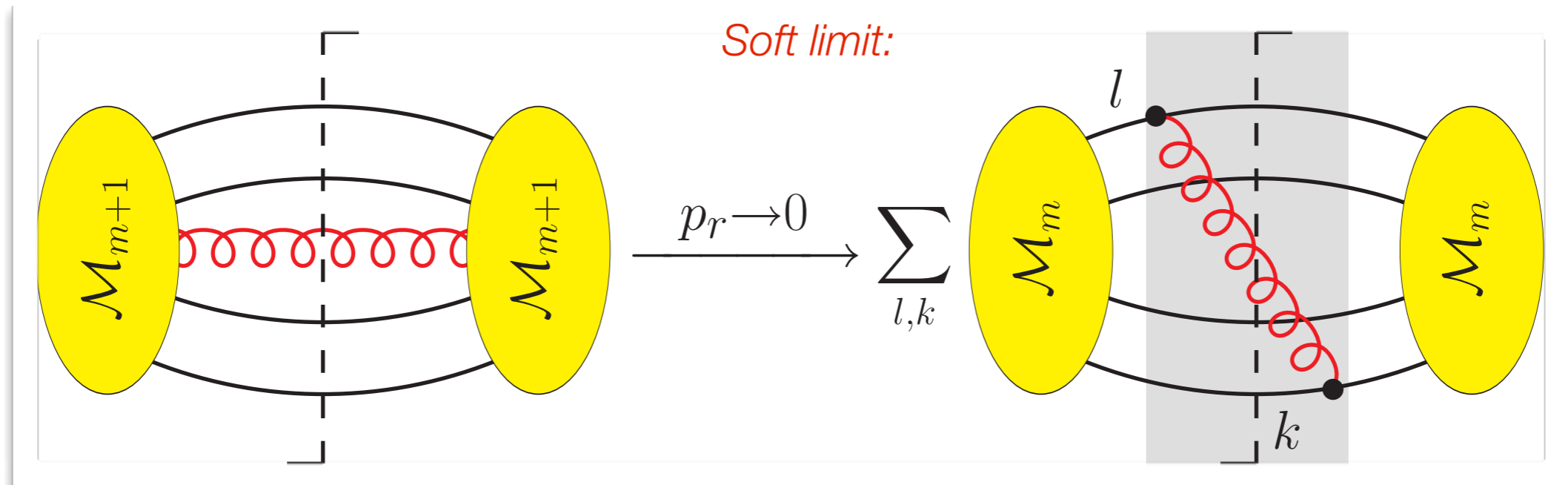
# Factorization: Collinear limit

The QCD matrix elements have universal factorization property when two external partons become collinear



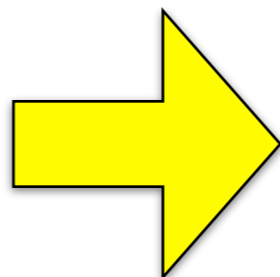
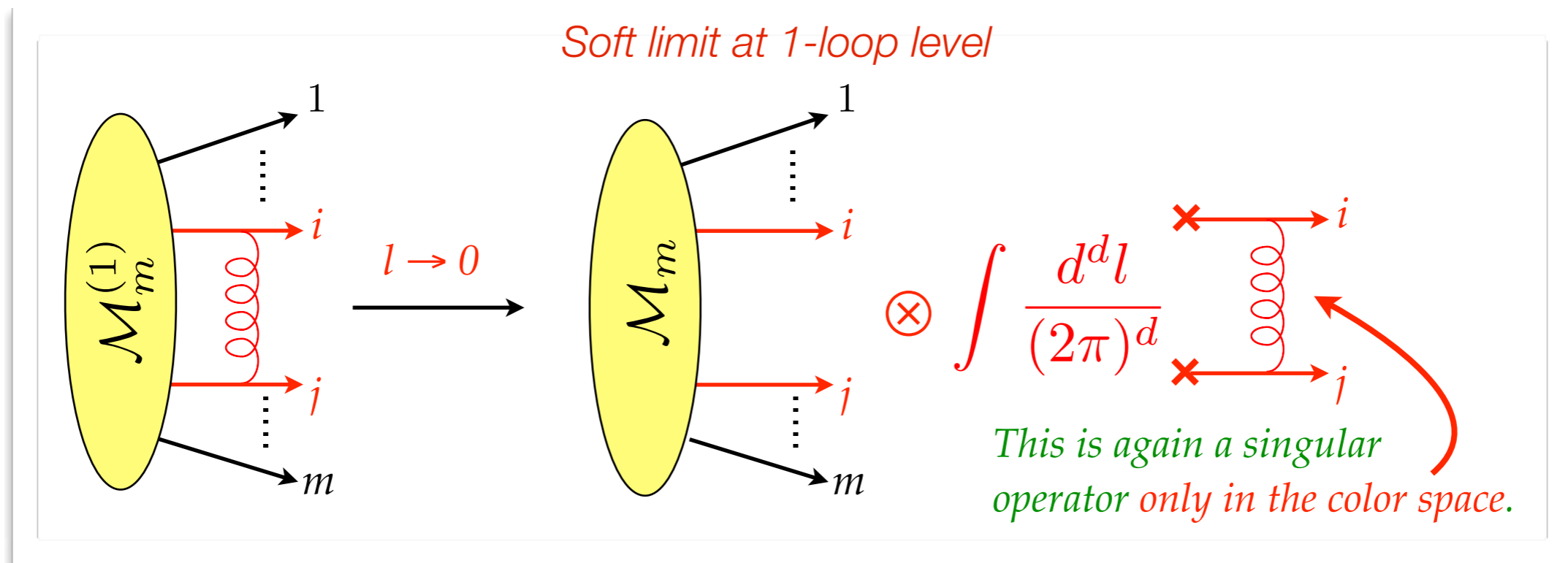
# Factorization: Soft limit

The QCD matrix elements have universal factorization property when an external gluon becomes soft



# Factorization: Soft limit (1-loop)

There is another type of the unresolvable radiation, *the virtual (loop graph) contributions*. We have *universal factorization properties* for the loop graphs. E.g.: in the soft limit, when the loop momenta become soft we have



*The splitting operators can be obtained from these factorization rules.*



# How to Design Parton Showers?

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2. The emissions are **strongly ordered**.
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4. The parton shower **must be a perturbative object**.

1. Fixes the general **structure of the splitting kernels**.

## Normalization

5. Shower doesn't change the normalization. This is the **unitarity condition**.

# Approx. of the Density Operator

*Real radiation*
*Virtual radiation*

$$|\rho_\infty^R\rangle \approx \int_t^\infty d\tau \mathcal{H}_I(\tau) |\rho(t)\rangle \quad |\rho_\infty^V\rangle \approx - \int_t^\infty d\tau \mathcal{V}_I^{(\epsilon)}(\tau) |\rho(t)\rangle$$

*Here we impose strong ordering.  
Only the softer or more collinear radiation are allowed.*

Some of the real emissions are not resolvable. Having a snapshot of the system at **shower time  $t'$**

$$|\rho_\infty^R\rangle \approx \underbrace{\int_t^{t'} d\tau \mathcal{H}_I(\tau) |\rho(t)\rangle}_{\text{Resolved emissions}} + \underbrace{\int_{t'}^\infty d\tau \mathcal{V}_I^{(\epsilon)}(\tau) |\rho(t)\rangle}_{\substack{\text{Unresolved emissions} \\ \text{This is a singular contribution}}}$$

Combining the real and virtual contribution we have got

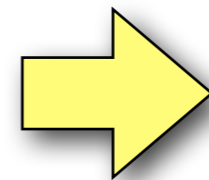
$$|\rho_\infty^R\rangle + |\rho_\infty^V\rangle = \int_t^{t'} d\tau [\mathcal{H}_I(\tau) - \mathcal{V}_I(\tau)] |\rho(t)\rangle$$

This operator dresses up the physical state with **one** real and virtual emissions those *are softer or more collinear than the hard state*. Thus the emissions are ordered.

# Shower Operator

Now we can use this to build up physical states by considering all the possible way to go from  $t$  to  $t'$ .

$$\begin{aligned} |\rho(t')\rangle &= |\rho(t)\rangle \\ &+ \int_t^{t'} d\tau [\mathcal{H}_I(\tau) - \mathcal{V}_I(\tau)] |\rho(t)\rangle \\ &+ \int_t^{t'} d\tau_2 [\mathcal{H}_I(\tau_2) - \mathcal{V}_I(\tau_2)] \int_t^{\tau_2} d\tau_1 [\mathcal{H}_I(\tau_1) - \mathcal{V}_I(\tau_1)] |\rho(t)\rangle \\ &+ \dots \\ &= \underbrace{\mathbb{T} \exp \left\{ \int_t^{t'} d\tau [\mathcal{H}_I(\tau) - \mathcal{V}_I(\tau)] \right\}}_{\mathcal{U}(t', t) \text{ shower evolution operator}} |\rho(t)\rangle \end{aligned}$$

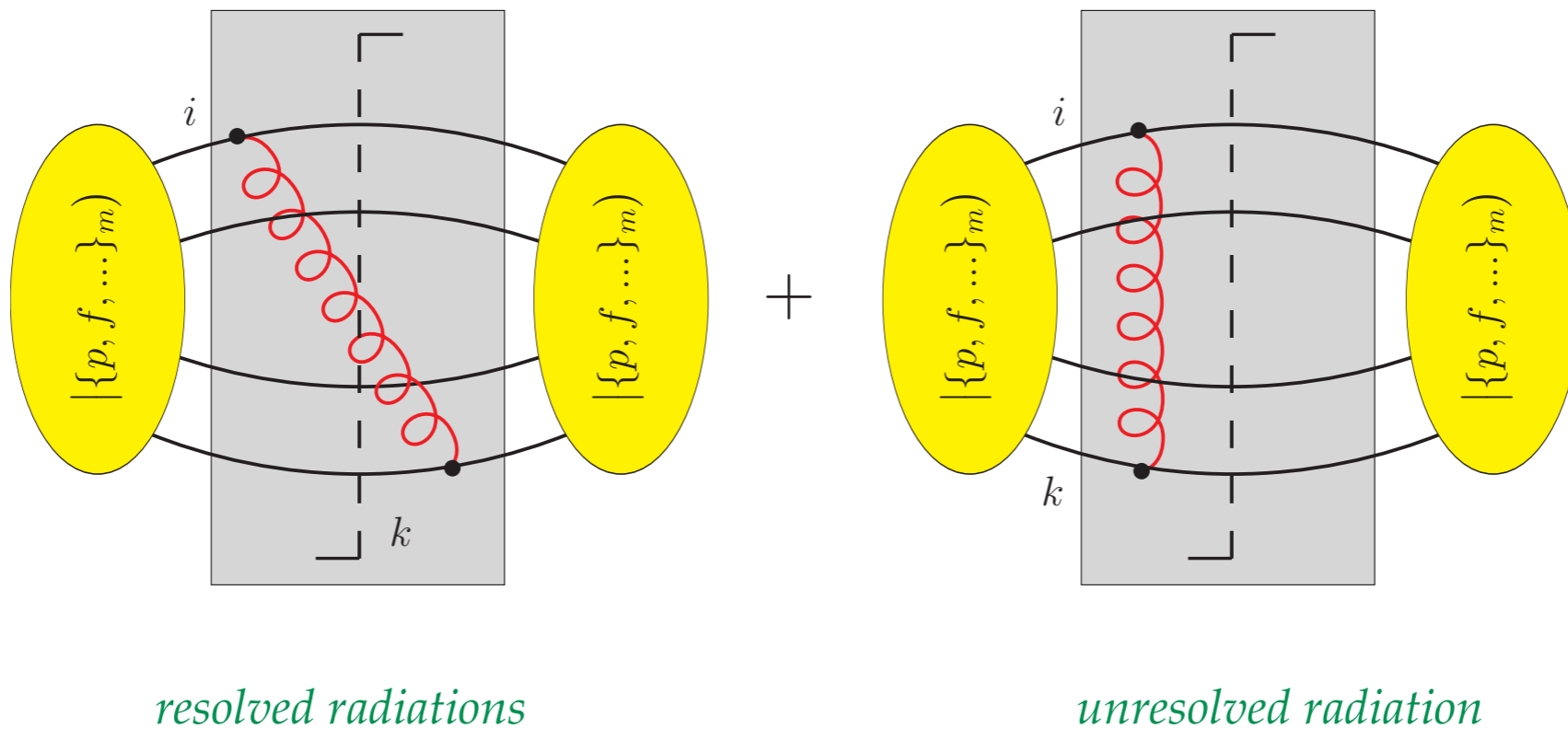


$$|\rho(t')\rangle = \mathcal{U}(t', t) |\rho(t)\rangle$$

# Evolution Equation

The evolution operator obeys the following equation

$$\frac{d}{dt}\mathcal{U}(t', t) = [\mathcal{H}_I(t') - \mathcal{V}_I(t')] \mathcal{U}(t', t)$$



# Evolution Equation

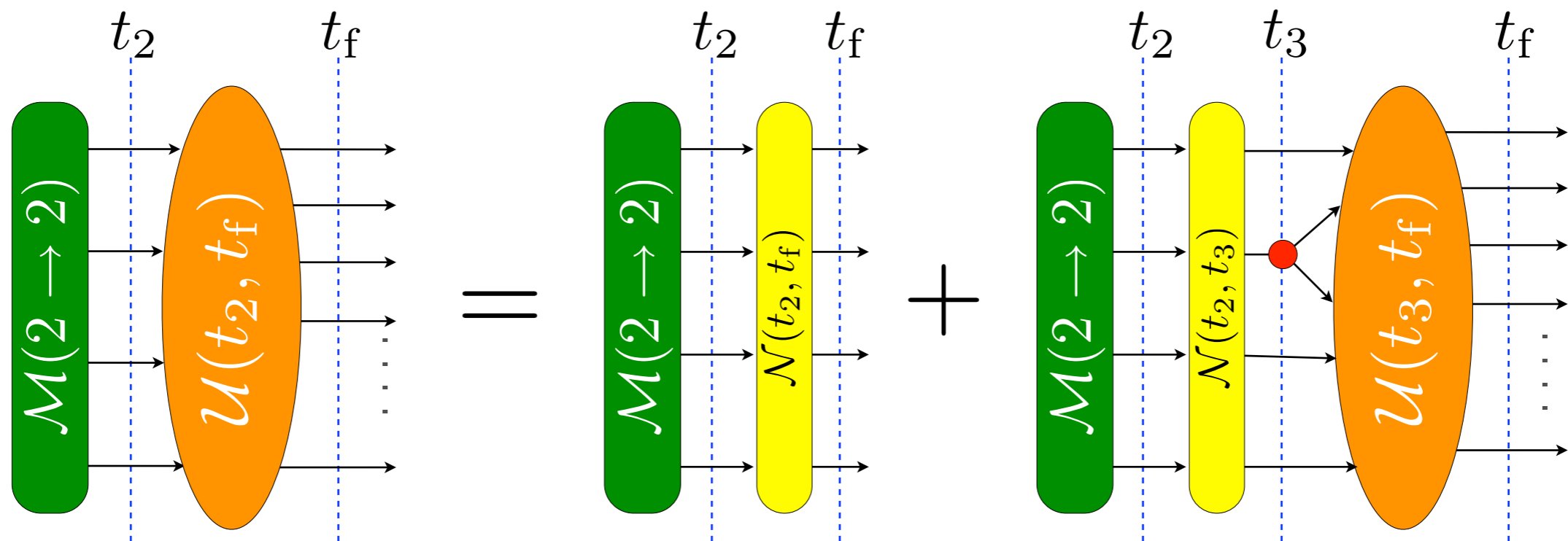
We can write the evolution equation in an integral equation form

$$\mathcal{U}(t_f, t_2) = \underbrace{\mathcal{N}(t_f, t_2)}_{\text{"Nothing happens"}} + \overbrace{\int_{t_2}^{t_f} dt_3 \mathcal{U}(t_f, t_3) \mathcal{H}_I(t_3) \mathcal{N}(t_3, t_2)}^{\text{"Something happens"}}$$

where the non-splitting operator is

$$\mathcal{N}(t', t) = \mathbb{T} \exp \left\{ - \int_t^{t'} d\tau \mathcal{V}_I(\tau) \right\}$$

*Sudakov operator* ←



# Splitting Operator

Very general splitting operator (*no spin correlation*) is

$$\begin{aligned}
 & (\{\hat{p}, \hat{f}, \hat{c}', \hat{c}\}_{m+1} | \mathcal{H}(t) | \{p, f, c', c\}_m) \\
 &= \sum_{l=a,b,1,\dots,m} \delta\left(t - T_l(\{\hat{p}, \hat{f}\}_{m+1})\right) (\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{P}_l | \{p, f\}_m)^{\frac{m+1}{2}} \\
 & \quad \times \frac{n_c(a)n_c(b)\eta_a\eta_b}{n_c(\hat{a})n_c(\hat{b})\hat{\eta}_a\hat{\eta}_b} \frac{f_{\hat{a}/A}(\hat{\eta}_a, \mu_F^2) f_{\hat{b}/B}(\hat{\eta}_b, \mu_F^2)}{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)} \sum_k \Psi_{lk}(\{\hat{f}, \hat{p}\}_{m+1}) \\
 & \quad \times \sum_{\beta=L,R} (-1)^{1+\delta_{lk}} (\{\hat{c}', \hat{c}\}_{m+1} | \mathcal{G}_\beta(l, k) | \{c', c\}_m)
 \end{aligned}$$

Momentum and flavor mapping

PDF factor

Color dependence

*Important:*  $A_{lk} + A_{kl} = 1$

*Arbitrary function, helps to distribute the soft gluon along the collinear directions.*

*It is only LO!*

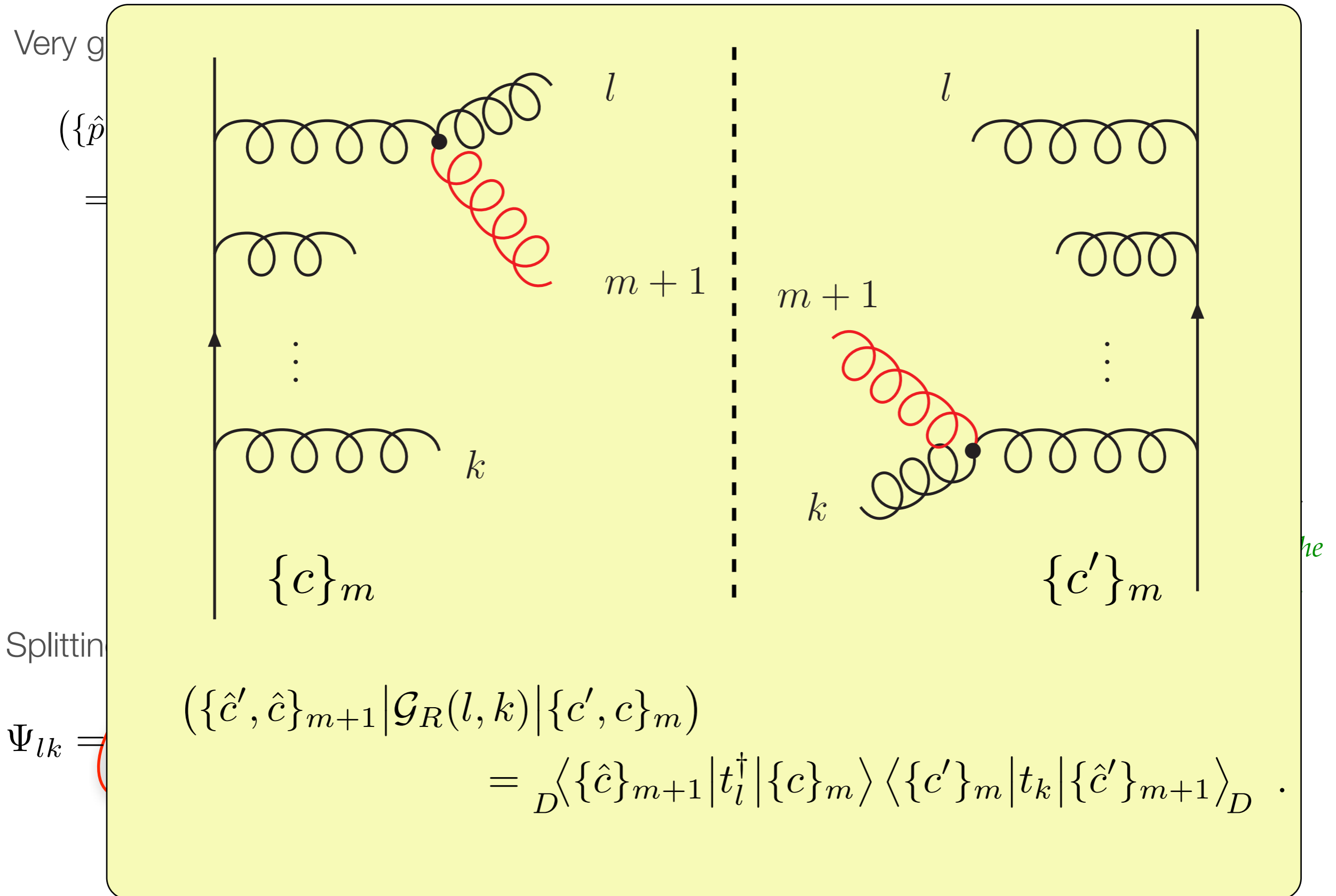
Splitting kernel is

$$\Psi_{lk} = \frac{\alpha_s}{2\pi} \frac{1}{\hat{p}_l \cdot \hat{p}_{m+1}} \left[ A_{lk} \frac{2\hat{p}_l \cdot \hat{p}_k}{\hat{p}_k \cdot \hat{p}_{m+1}} + H_{ll}^{\text{coll}}(\{\hat{f}, \hat{p}\}_{m+1}) + \dots \right]$$

*Finite pieces*

*Altarelli-Parisi splitting function in a very general form*

# Splitting Operator



# Angular Ordered Shower

What would happen if we used angular ordering?

$$t_{\angle} = T_l(\{\hat{p}, \hat{f}\}_{m+1}) = \log \frac{2 \hat{Q}^2}{(p_l \cdot \hat{Q})^2} - \log \frac{\hat{p}_l \cdot \hat{p}_{m+1} \hat{Q}^2}{\hat{p}_l \cdot \hat{Q} \hat{p}_{m+1} \cdot \hat{Q}} = \log \frac{2}{E_l^2 (1 - \cos \vartheta_{l,m+1})}$$

And let's have a special choice for soft partitioning function:

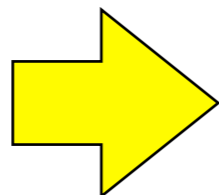
$$A_{lk} = \theta(\vartheta_{l,m+1} < \vartheta_{l,k}) \frac{1 - \cos \vartheta_{m+1,k}}{1 - \cos \vartheta_{l,k}} \quad \rightarrow \quad A_{lk} + A_{kl} \approx 1$$

$$\Psi_l^{(\text{a.o.})} = \frac{\alpha_s}{2\pi} \frac{2}{\hat{p}_l \cdot \hat{p}_{m+1}} \left[ \frac{\hat{p}_l \cdot \hat{Q}}{\hat{p}_{m+1} \cdot \hat{Q}} + H_{ll}^{\text{coll}}(\{\hat{f}, \hat{p}\}_{m+1}) \right] \quad \text{Independent of parton } k!!!$$

*(Azimuthal averaging leads to the same result.)*

One can perform the sum over the color connected parton analytically

$$- \sum_k (\{\hat{c}', \hat{c}\}_{m+1} | \mathcal{G}_\beta(l, k) | \{c', c\}_m) = (\{\hat{c}', \hat{c}\}_{m+1} | \mathcal{G}_\beta(l, l) | \{c', c\}_m)$$



*No complicated color structure.*



# Leading Color Approx.

1. Don't have special choice for the evolution variable and the soft partitioning function

Anyway everybody uses transverse momentum  
and the simplest soft partitioning function :

$$t_{\perp} = T_l(\{\hat{p}, \hat{f}\}_{m+1}) = \log \frac{\hat{Q}^2}{-k_{\perp}^2}$$

$$A_{lk} = \frac{\hat{p}_k \cdot \hat{p}_{m+1}}{\hat{p}_k \cdot \hat{p}_{m+1} + \hat{p}_l \cdot \hat{p}_{m+1}}$$

2. But do approximation in the color space by considering only the leading color contributions

$$\begin{aligned} & (\{\hat{p}, \hat{f}, \hat{c}\}_{m+1} | \mathcal{H}(t) | \{p, f, c\}_m) \\ &= \sum_{l=a,b,1,\dots,m} \delta\left(t - T_l(\{\hat{p}, \hat{f}\}_{m+1})\right) (\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{P}_l | \{p, f\}_m) \\ & \times \frac{n_c(a)n_c(b) \eta_a \eta_b}{n_c(\hat{a})n_c(\hat{b}) \hat{\eta}_a \hat{\eta}_b} \frac{f_{\hat{a}/A}(\hat{\eta}_a, \mu_F^2) f_{\hat{b}/B}(\hat{\eta}_b, \mu_F^2)}{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)} \\ & \times (m+1) \sum_k \Psi_{lk}(\{\hat{f}, \hat{p}\}_{m+1}) \langle \{\hat{c}\}_{m+1} | a_{lk}^{\dagger} | \{c\}_m \rangle . \end{aligned}$$

# Antenna Dipole Shower

The antenna dipole shower is rather a *reorganization of the leading color* partitioned dipole shower.

$$\mathcal{H}_{lk}^{\text{part}}(t) \propto [\mathcal{P}_l A_{lk} + \mathcal{P}_k A_{kl}] \frac{\hat{p}_l \cdot \hat{p}_k}{\hat{p}_{m+1} \cdot \hat{p}_l \hat{p}_{m+1} \cdot \hat{p}_k}$$

The antenna shower tries to remove the ambiguity of the soft partitioning function  $A_{lk}$  by using a new momentum mapping

$$\mathcal{H}_{lk}^{\text{ant}}(t) \propto \mathcal{P}_{lk} \frac{\hat{p}_l \cdot \hat{p}_k}{\hat{p}_{m+1} \cdot \hat{p}_l \hat{p}_{m+1} \cdot \hat{p}_k}$$

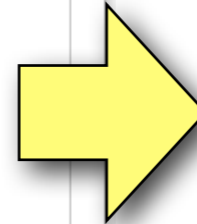
*Now the freedom to choose  $A_{lk}$  function resides in the freedom to choose  $\mathcal{P}_{lk}$ .* I think the best mapping for antenna shower would be

$$\mathcal{P}_{lk} = \theta(\vartheta_{l,m+1} < \vartheta_{k,m+1}) \mathcal{P}_l + \theta(\vartheta_{k,m+1} < \vartheta_{l,m+1}) \mathcal{P}_k$$

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## Mandatory design principles

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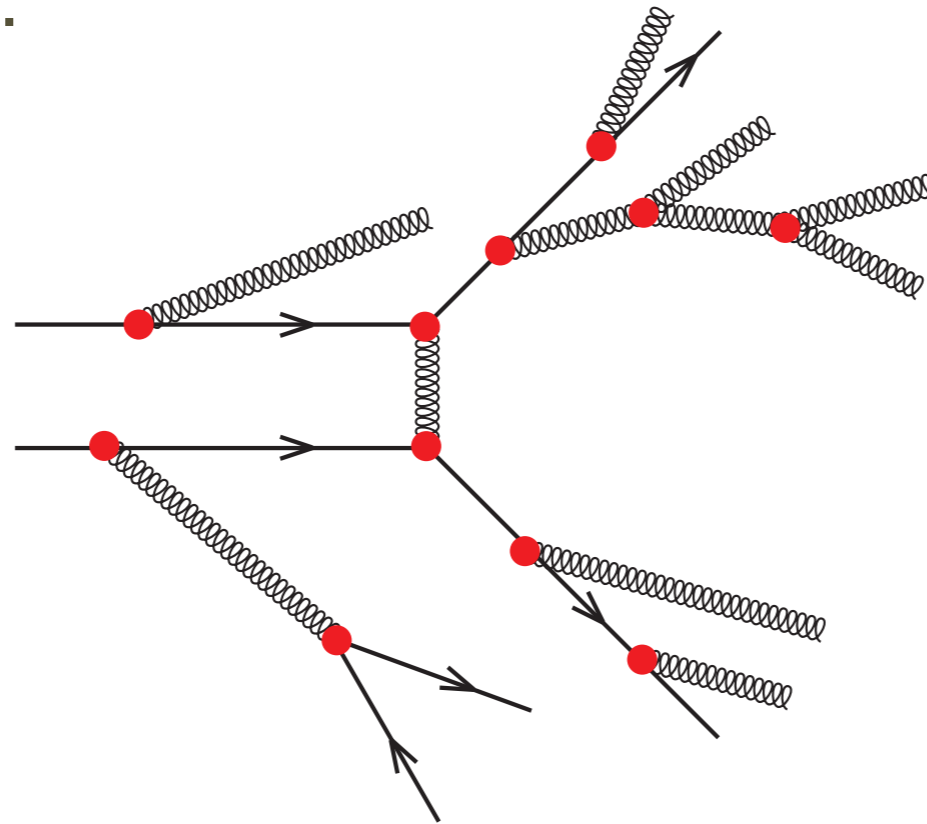
1. Fixes the general structure of the **splitting kernels**.
2. Fixes the **evolution equation**.

## Normalization

5. Shower doesn't change the normalization. This is the **unitarity condition**.

# Shower Time

- In a shower history, we need to distinguish which vertices are “harder” and which are “softer.”



- Does “harder” means bigger virtuality,  $|p^2 - m^2|$ ?
- Does “harder” means greater  $k_T^2$  of daughter parton relative to the mother parton axis?

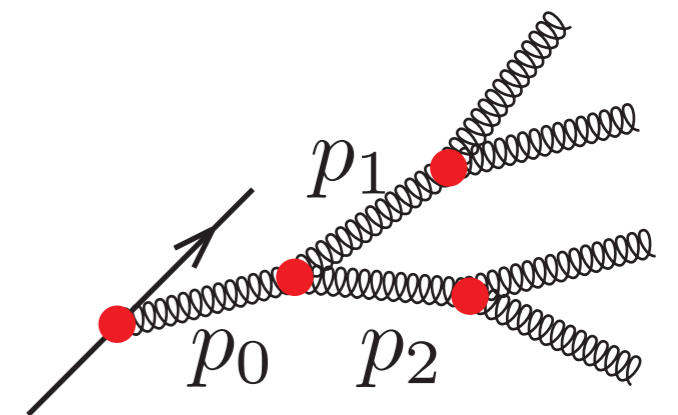
# Shower Time

- Examine successive splitting
- Use null-plane momentum components

$$p = (p^+, p_-, \mathbf{p}_\perp)$$

- Direction of the jet is  $(1,0,0)$
- For mother parton,

$$p_0 = \left( x_0 P, \frac{\mathbf{p}_0^2 + m_0^2 + v_0^2}{2x_0 P}, \mathbf{p}_0 \right)$$



- Here  $P$  is the jet momentum,  $x_0$  is the momentum fraction and  $v_0^2 = p_0^2 - m_0^2$  is the mother parton virtuality.

# Shower Time

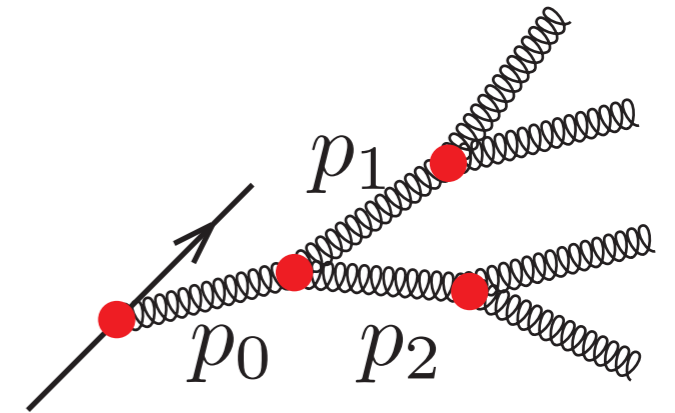
- The momentum of the mother parton is

$$p_0 = p_1 + p_2$$

- and the daughters are

$$p_1 = \left( x_1 P, \frac{\mathbf{p}_1^2 + m_1^2 + v_1^2}{2x_1 P}, \mathbf{p}_1 \right)$$

$$p_2 = \left( x_2 P, \frac{\mathbf{p}_2^2 + m_2^2 + v_2^2}{2x_2 P}, \mathbf{p}_2 \right)$$



- Now the virtuality of the mother parton is

$$\frac{v_0^2}{x_0} = \frac{(x_2 \mathbf{p}_1 - x_1 \mathbf{p}_2)^2}{x_0 x_1 x_2} + \frac{m_1^2}{x_1} + \frac{m_2^2}{x_2} - \frac{m_0^2}{x_0} + \frac{v_1^2}{x_1} + \frac{v_2^2}{x_2}$$

- For factorization graph by graph, it must be a good approximation to neglect  $v_1^2$  and  $v_2^2$  in  $v_0^2$ :

$$\frac{v_0^2}{x_0} > \frac{v_1^2}{x_1}$$

$$\frac{v_0^2}{x_0} > \frac{v_2^2}{x_2}$$

# Shower Time

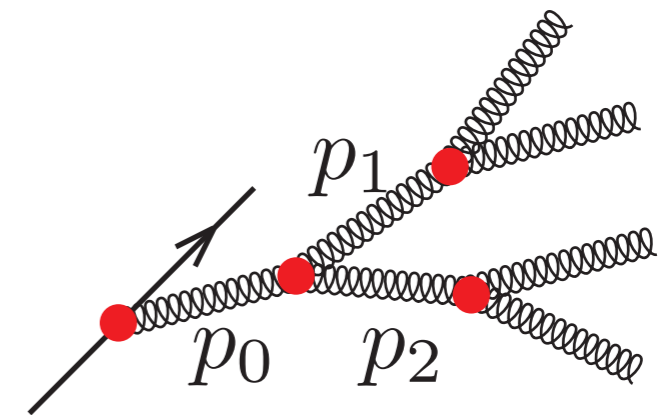
- So we demand

$$\Lambda_0^2 > \Lambda_1^2 \quad \text{and} \quad \Lambda_0^2 > \Lambda_2^2$$

where

$$\Lambda_i^2 = \frac{|p_i^2 - m_i^2|}{p_i \cdot Q_0} Q_0^2$$

is the ordering variable and  $Q_0$  is fixed timelike.

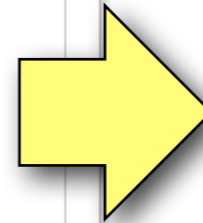


- $\Lambda^2$  is neither virtuality nor  $k_T^2$
- The transverse momentum and the emission angle are also good ordering variable if the color coherence is preserved, the observable is not sensitive for wide angle soft emission.  
(*But no graph by graph factorization.*)

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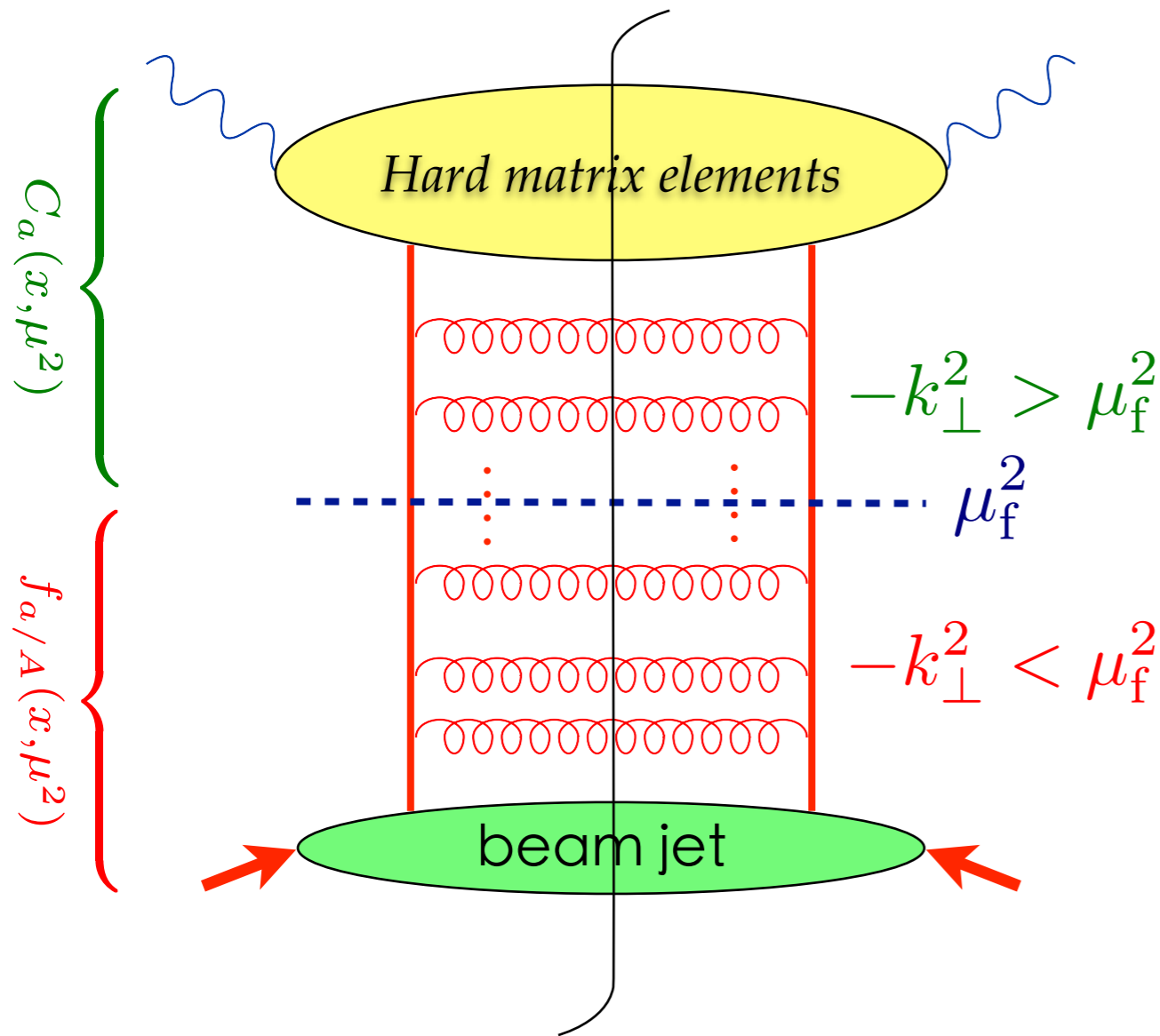
1. Fixes the general structure of the *splitting kernels*.
2. Fixes the *evolution equation*.
3. Fixes the *shower time*.

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# DGLAP Evolution of PDFs



*Perturbative part (what we calculate)  
Completely independent of the PDFs*

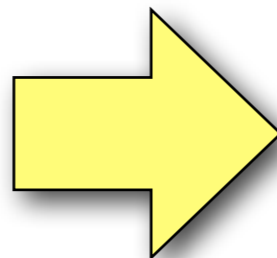
$$|\rho(t_f)\rangle = \underbrace{\mathcal{F}(t_f)}_{\text{PDFs}} \overbrace{|\rho_{\text{pert}}(t_f)\rangle}^{\text{perturbative part}}$$

*PDFs: The non-perturbative physics is only here*

*It MUST BE independent of the PDF, otherwise the perturbative and non-perturbative physics are mixed.*

*Non-trivial PDF dependence*

$$\mathbb{T} \exp \left\{ - \int_t^{t'} d\tau \mathcal{V}_I(\tau) \right\} = \mathcal{N}(t', t) = \mathcal{F}(t') \mathcal{N}_{\text{pert}}(t', t) \mathcal{F}^{-1}(t) = \mathcal{F}(t') \mathbb{T} \exp \left\{ - \int_t^{t'} d\tau \mathcal{V}_I^{\text{pert}}(\tau) \right\} \mathcal{F}^{-1}(t)$$



*Leads to the evolution equation of the parton distribution functions.*

# DGLAP Evolution

In general the incoming parton can be massive, this leads to a slightly modified DGLAP evolution. That is

$$\mu^2 \frac{d}{d\mu^2} f_{a/A}(\eta, \mu^2) = \sum_{\hat{a}} \int_0^1 \frac{dz}{z} \frac{\alpha_s(\mu^2)}{2\pi} P_{a\hat{a}} \left( z, z \frac{m^2}{\mu^2} \right) f_{\hat{a}/A}(\eta/z, \mu^2)$$

with the modified evolution kernels:

$$P_{qq}(z, \lambda) = C_F \left[ \left( \frac{2}{1-z} - (1+z) - 2\lambda \right) \theta \left( \frac{1}{1-z} > 1 + \lambda \right) \right]_+ ,$$

$$P_{gg}(z, \lambda) = 2C_A \left[ \frac{1}{(1-z)_+} - 1 + \frac{1-z}{z} + z(1-z) \right] + \gamma_g(\lambda) \delta(1-z) ,$$

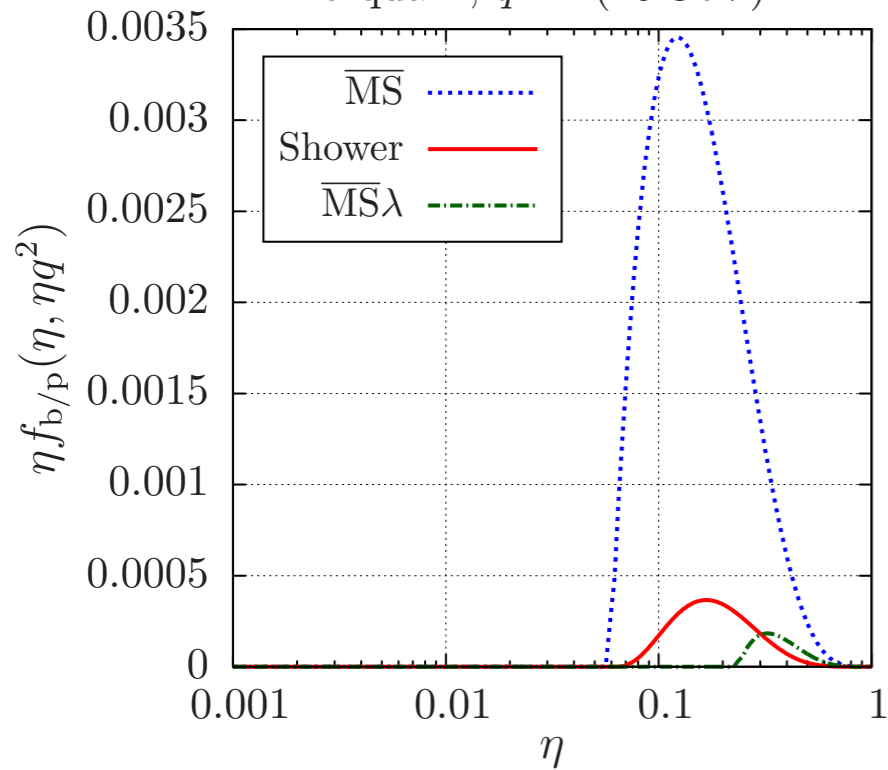
$$P_{qg}(z, \lambda) = T_R [1 - 2z(1-z) + 2\lambda] \theta(z(1-z) > \lambda) ,$$

$$P_{gq}(z, \lambda) = C_F \left[ \frac{1 + (1-z)^2}{z} - 2\lambda \right] \theta \left( \frac{1}{z} > 1 + \lambda \right) .$$

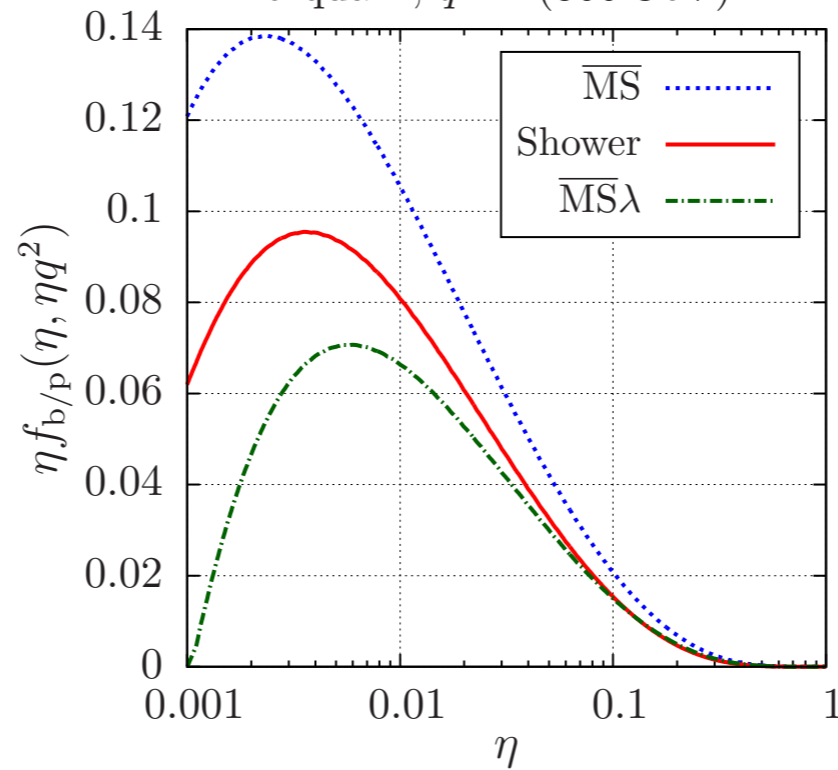
*With different shower time the mass depend parts of the DGLAP kernels are different!*

# Shower PDFs

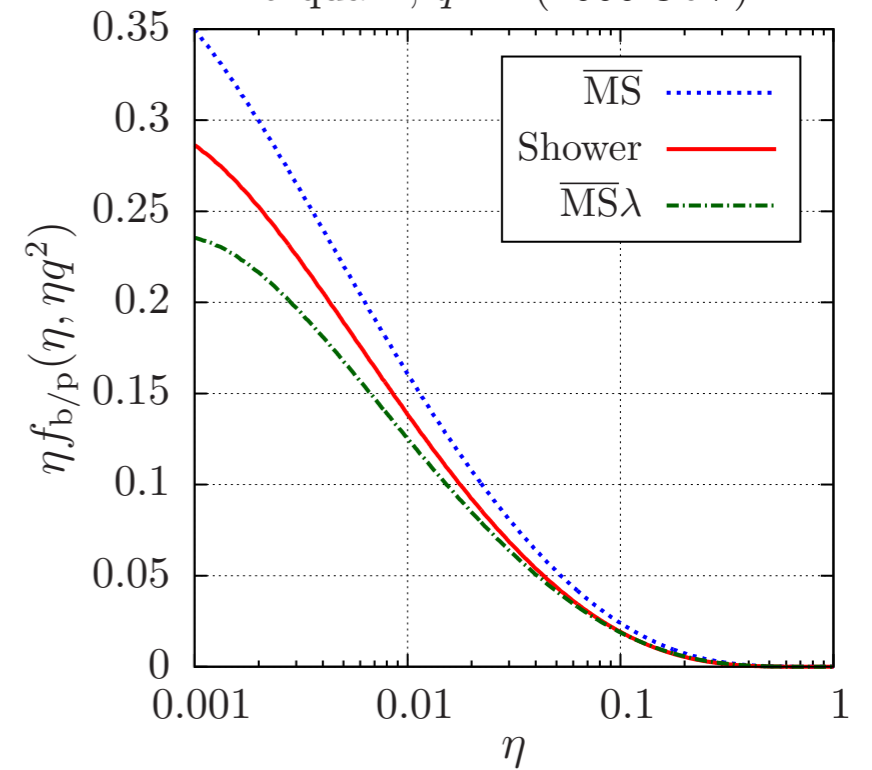
b quark,  $q^2 = (20 \text{ GeV})^2$



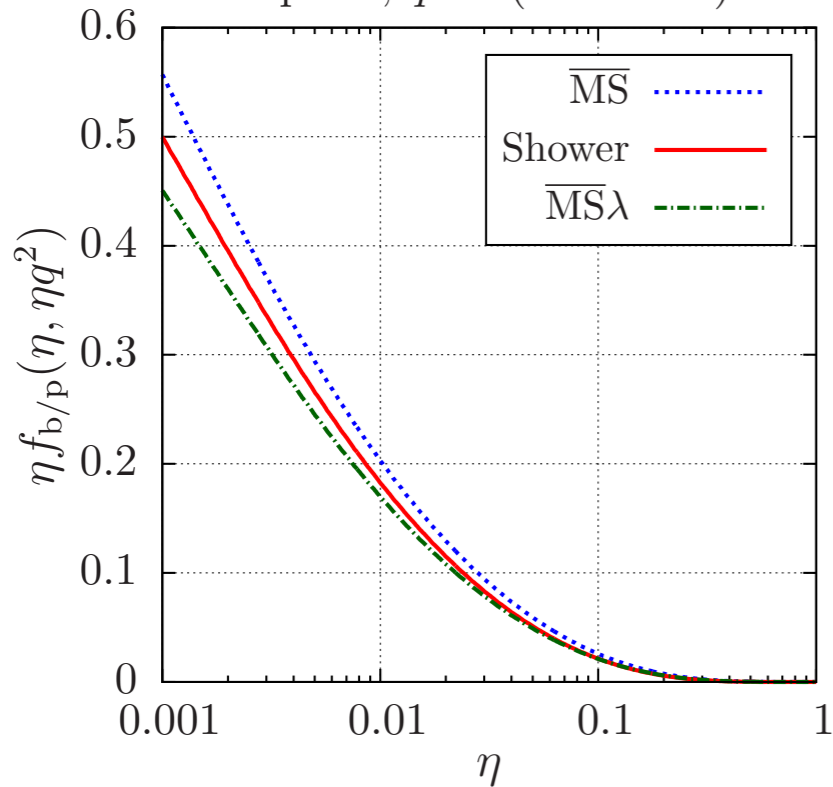
b quark,  $q^2 = (300 \text{ GeV})^2$



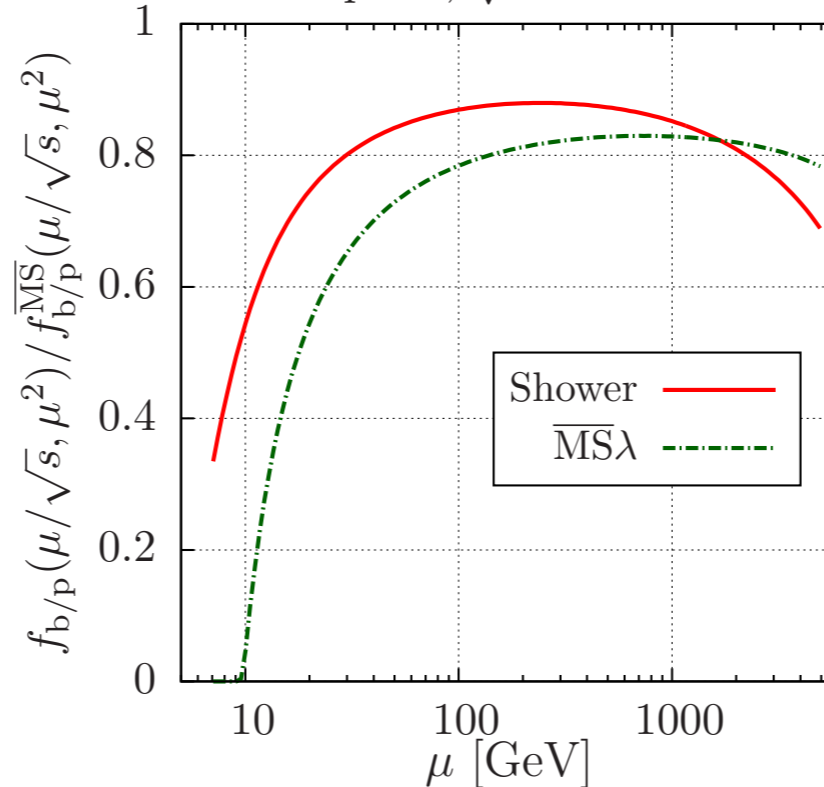
b quark,  $q^2 = (1000 \text{ GeV})^2$



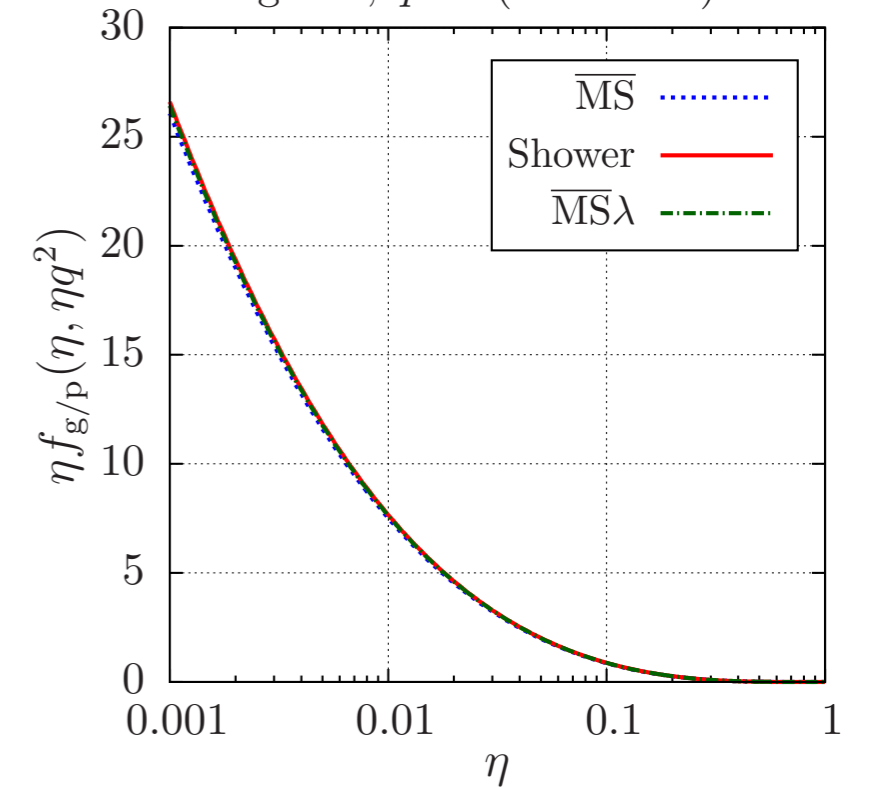
b quark,  $q^2 = (3000 \text{ GeV})^2$



b quark,  $\sqrt{s} = 14 \text{ TeV}$



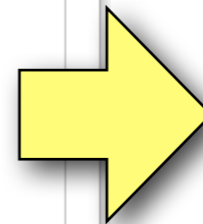
gluon,  $q^2 = (3000 \text{ GeV})^2$



# How to Design Parton Showers?

## Mandatory design principles

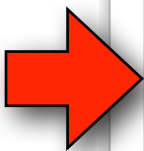
1. Shower calculates cross sections approximately using the **soft and collinear factorization of the QCD amplitudes** (tree and 1-loop level).
2. The emissions are **strongly ordered**.
3. The ordering **must control the goodness of the soft and collinear approximations**.
4. The parton shower **must be a perturbative object**.



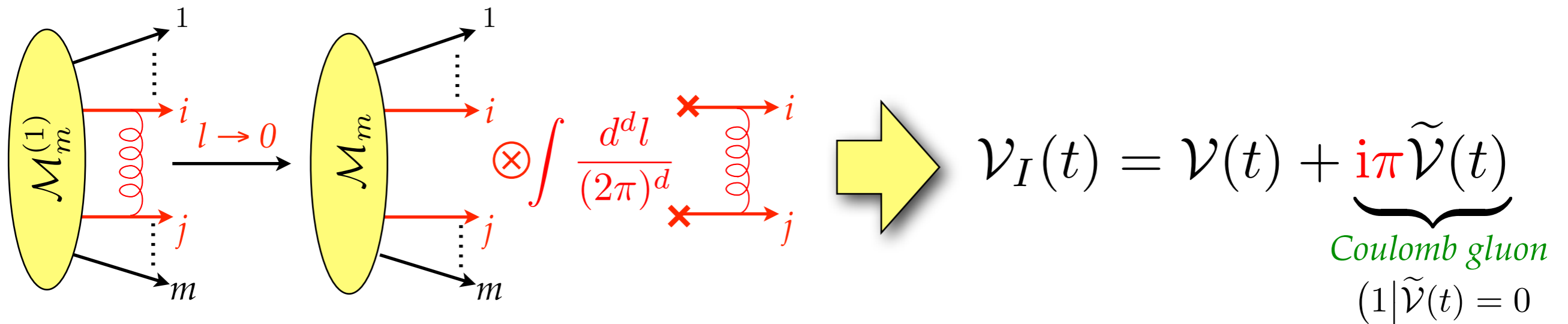
1. Fixes the general structure of the **splitting kernels**.
2. Fixes the **evolution equation**.
3. Fixes the **shower time**.
4. Fixes the **evolution of the PDFs**.

## Normalization

5. Shower doesn't change the normalization. This is the **unitarity condition**.



# Unitarity Condition

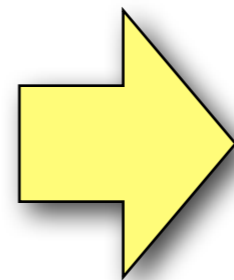


The singularities must be cancelled in the soft and collinear limits between the real and virtual emissions

$$(1 | [\mathcal{H}_I(t) - \mathcal{V}_I(t)] = \text{Finite}(t) \xrightarrow{t \rightarrow \infty} 0$$

In parton shower implementation we always choose

$$\text{Finite}(t) = 0 \quad \text{for every } t$$



**Unitarity condition**

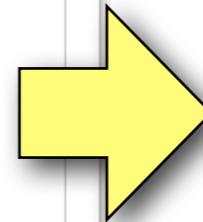
The shower evolution *doesn't change the normalization*.

*Unitarity condition is not God given, not derived from first principles. It is only a convenient choice!!! In some cases it is rather an unpleasant limitation....*

# How to Design Parton Showers?

## Mandatory design principles

1. Shower calculates cross sections approximately using the **soft and collinear factorization of the QCD amplitudes** (tree and 1-loop level).
2. The emissions are **strongly ordered**.
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4. The parton shower **must be a perturbative** object.



1. Fixes the general structure of the **splitting kernels**.
2. Fixes the **evolution equation**.
3. Fixes the **shower time**.
4. Fixes the **evolution of the PDFs**.
5. Fixes the **virtual operator**.

## Normalization

5. Shower doesn't change the normalization. This is the **unitarity condition**.

A **general purpose** parton shower program must generate partonic final states

- ▶ in a **FULLY exclusive way** (momentum, flavor, spin and color are fully resolved)
- ▶ as **precisely** as possible (e.g.: sums up large logarithms at NLL level).



# Splitting Operator

Most of the component of the parton shower have been fixed

$$\begin{aligned}
 & (\{\hat{p}, \hat{f}, \hat{c}', \hat{c}\}_{m+1} | \mathcal{H}(t) | \{p, f, c', c\}_m) \\
 &= \sum_{l=a,b,1,\dots,m} \delta\left(t - T_l(\{\hat{p}, \hat{f}\}_{m+1})\right) (\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{P}_l | \{p, f\}_m) \frac{m+1}{2} \\
 & \quad \times \frac{n_c(a)n_c(b)\eta_a\eta_b}{n_c(\hat{a})n_c(\hat{b})\hat{\eta}_a\hat{\eta}_b} \frac{f_{\hat{a}/A}(\hat{\eta}_a, \mu_F^2) f_{\hat{b}/B}(\hat{\eta}_b, \mu_F^2)}{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)} \sum_k \Psi_{lk}(\{\hat{f}, \hat{p}\}_{m+1}) \\
 & \quad \times \sum_{\beta=L,R} (-1)^{1+\delta_{lk}} (\{\hat{c}', \hat{c}\}_{m+1} | \mathcal{G}_\beta(l, k) | \{c', c\}_m)
 \end{aligned}$$

*Momentum and flavor mapping*

$$\Psi_{lk} = \frac{\alpha_s}{2\pi} \frac{1}{\hat{p}_l \cdot \hat{p}_{m+1}} \left[ A_{lk} \frac{2\hat{p}_l \cdot \hat{p}_k}{\hat{p}_k \cdot \hat{p}_{m+1}} + H_{ll}^{\text{coll}}(\{\hat{f}, \hat{p}\}_{m+1}) \right]$$

We still have to say something about the

- ▶ *momentum mapping*
- ▶ *soft partitioning function*
- ▶ *color*

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 & \times \frac{n_c(a)n_c(b)\eta_a\eta_b}{n_c(\hat{a})n_c(\hat{b})\hat{\eta}_a\hat{\eta}_b} \frac{f_{\hat{a}/A}(\hat{\eta}_a, \mu_F^2) f_{\hat{b}/B}(\hat{\eta}_b, \mu_F^2)}{f_{a/A}(\eta_a, \mu_F^2) f_{b/B}(\eta_b, \mu_F^2)} \sum_k \Psi_{lk}(\{\hat{f}, \hat{p}\}_{m+1}) \\
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Momentum and flavor mapping

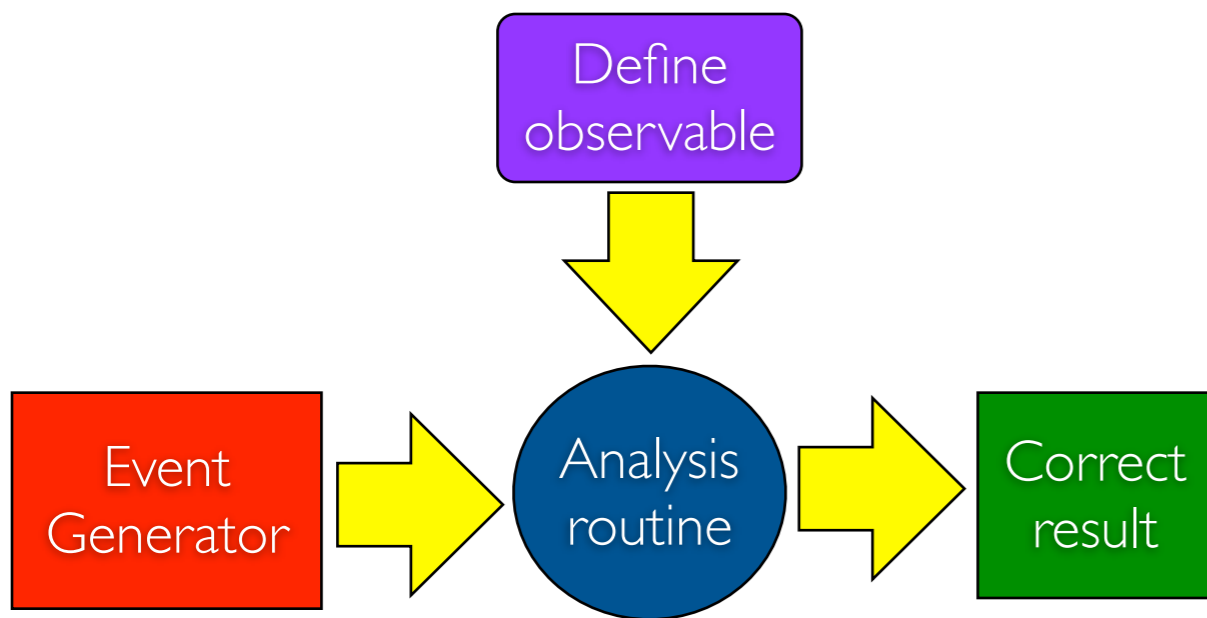
$$\Psi_{lk} = \frac{\alpha_s}{2\pi} \frac{1}{\hat{p}_l \cdot \hat{p}_{m+1}} \left[ A_{lk} \frac{2\hat{p}_l \cdot \hat{p}_k}{\hat{p}_k \cdot \hat{p}_{m+1}} + H_{ll}^{\text{coll}}(\{\hat{f}, \hat{p}\}_{m+1}) \right]$$

We still have to say something about the

- ▶ momentum mapping
- ▶ soft partitioning function
- ▶ color

# Is NLL precision inevitable?

One might imagine that because parton splitting functions are correct in the limits of soft and collinear splittings, all large log summations will come out correctly.



- ✓ The momentum mappings with *global recoil* are more preferred.
- ✓ The soft partitioning function should depend on *only relative angles*.

*(These are only hints, we don't have solid proof, only some counter examples.)*

- Eye measure doesn't help to validate parton showers against analytical results.
- One has to solve the shower evolution equation analytically and compare the result at NLL level. (e.g.: Drell-Yan  $p_T$ -distribution,  $e^+e^-$  event shapes)
- "Minor details" are important. Once they are fixed the resummation works.
- It requires more studies to understand what class of observables can be predicted at (N)LL accuracy from parton showers.
- Recent results gives us only some hints about the *soft partitioning function* ( $A_{lk}$ ) and the *momentum mapping*.

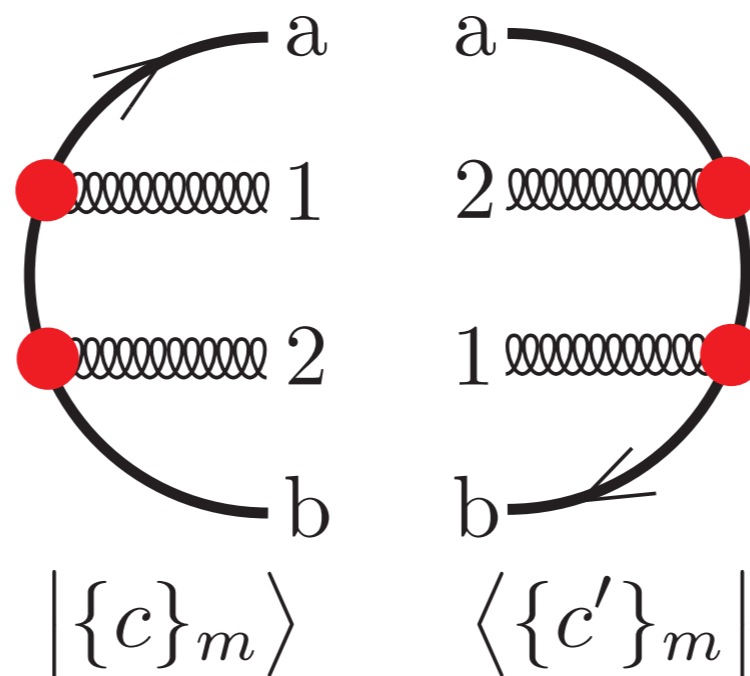
Color

# Color

- The fundamental object is the quantum density matrix in color space with basis:

$$|\{\mathbf{c}\}_m\rangle \langle\{\mathbf{c}'\}_m|$$

- A simple but not trivial example for this:

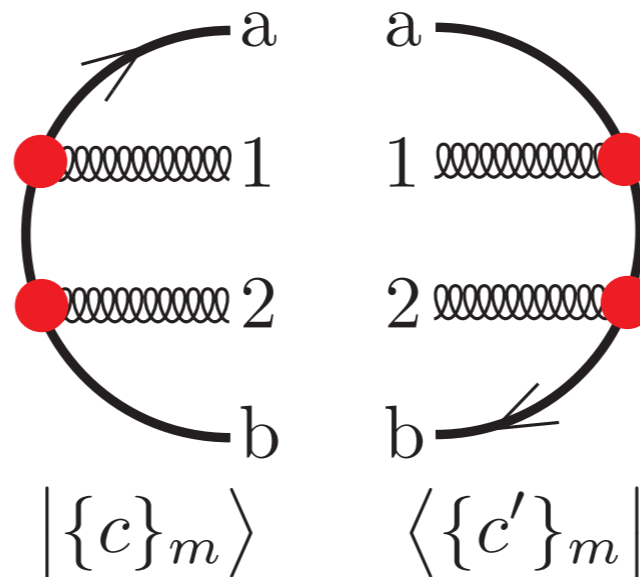


# The leading color (LC) approx.

In leading color approximation only states with

$$\{c'\}_m = \{c\}_m$$

are allowed. Thus the shower starts or continues only from diagonal states like this:

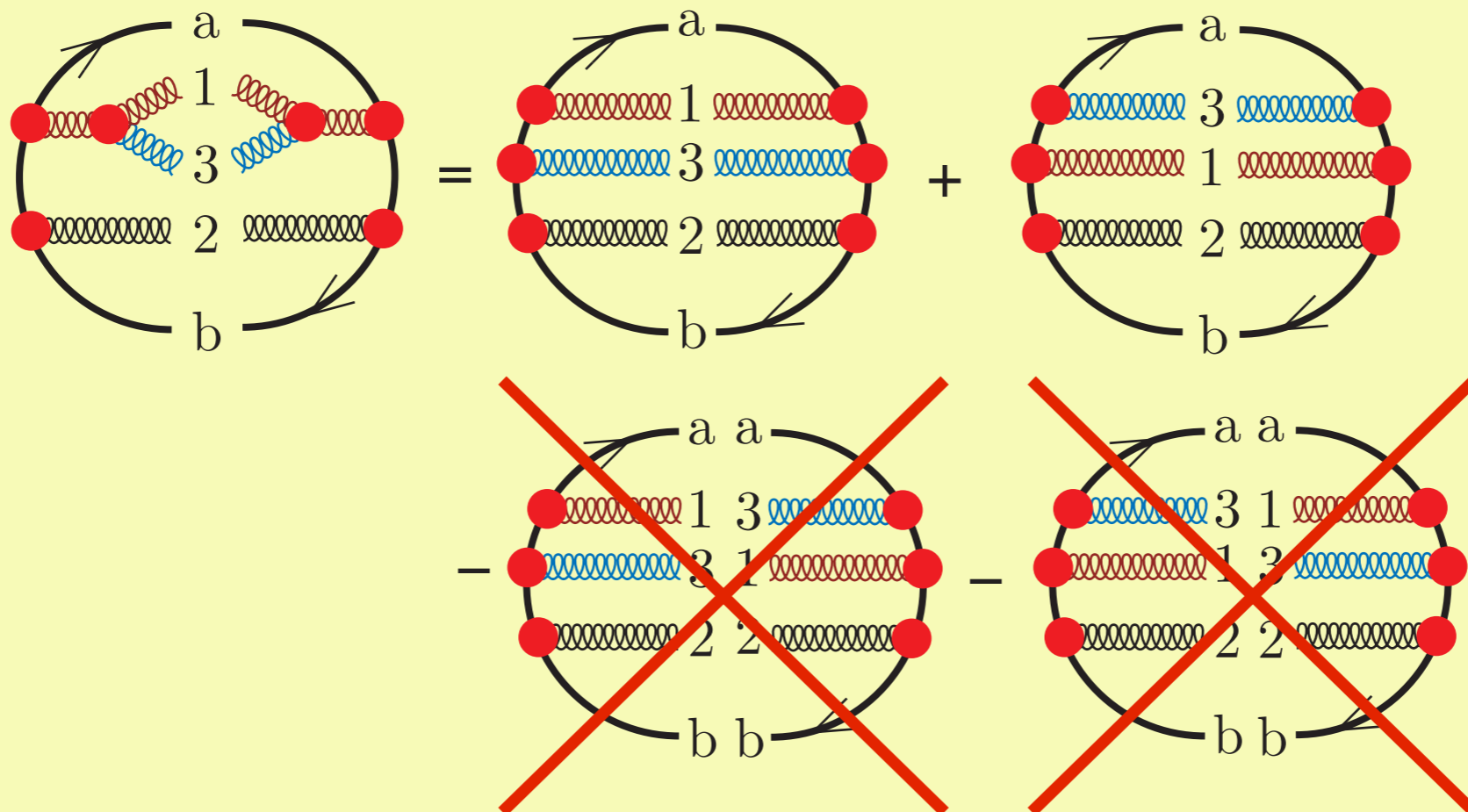


# The leading color (LC) approx.

In leading color approximation only states with

**This means we have to throw away terms in every splittings:**

are allow



# Color Suppression Index

- At each step we calculate the “color suppression index”,  $I$
- The  $I=0$  corresponds to the leading color approximation.
- At the end of the shower evolution the event is proportional to

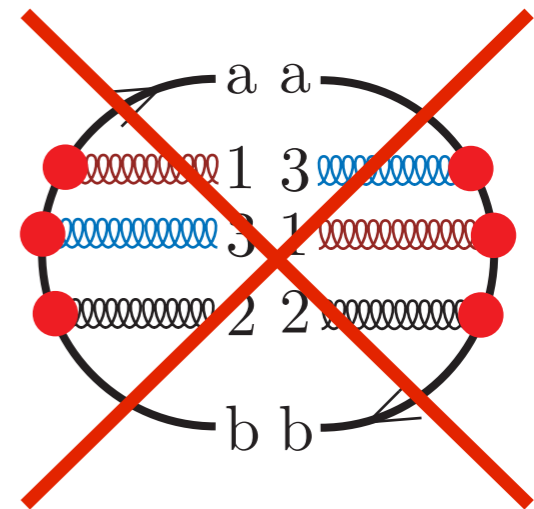
$$\frac{1}{N_c^I} \quad \text{and} \quad I \geq 0$$

- At each step of the shower  $I_{\text{new}} \geq I_{\text{old}}$
- In leading color approximation at each splitting we neglect terms with

$$I > 0$$

- Thus we neglect  $1/N_c^2$  contributions.

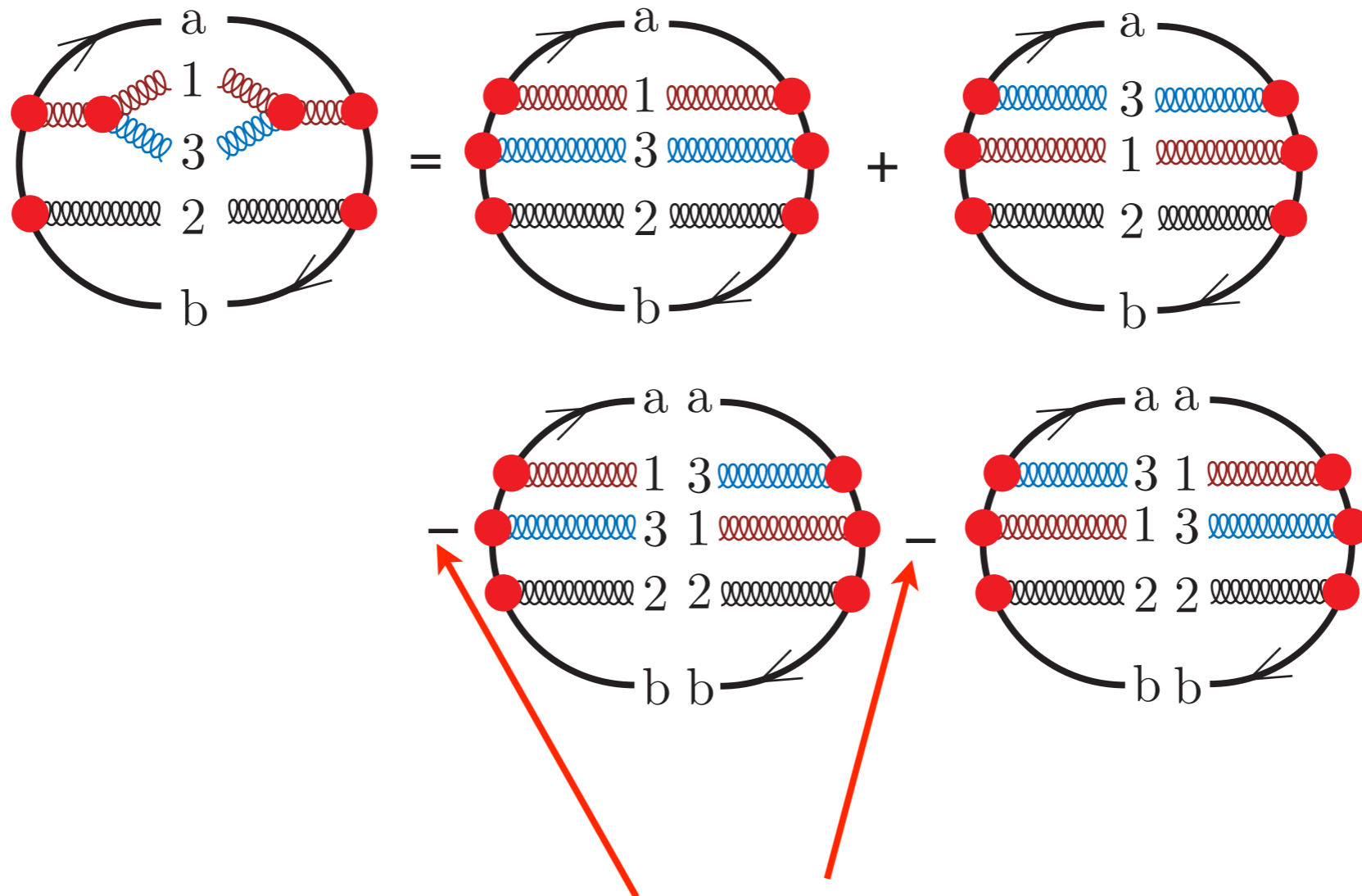
- *Are these contributions unimportant?*





# LC+ approximation

- Start shower from any color configuration and each step of the shower throw away less terms
- Example: Collinear splitting

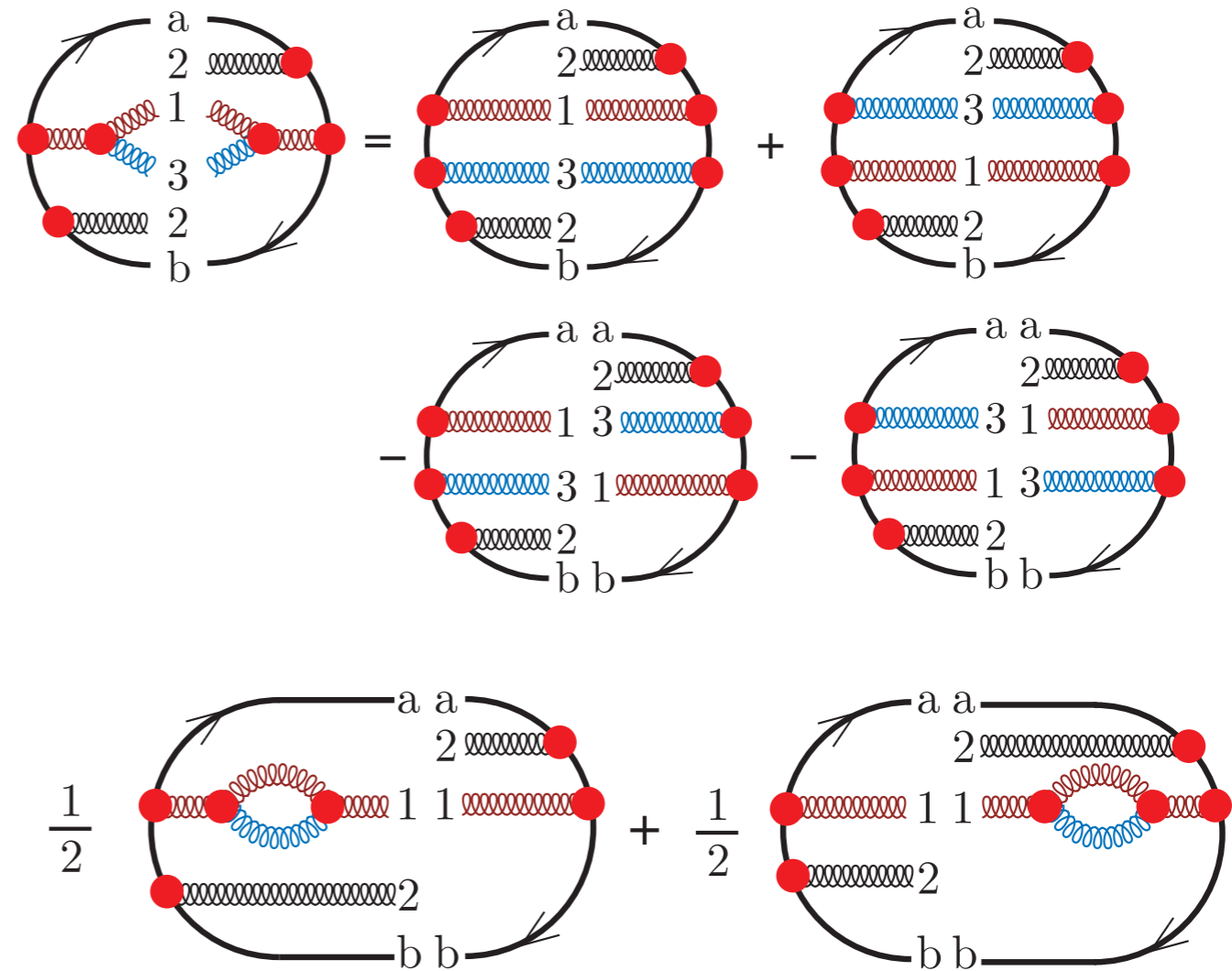


*It is not a mistake, we have negative weights*

# How is this possible?

- For terms kept, the Sudakov exponent needs to be a number not an matrix in the color space.

- For this splitting keep all terms



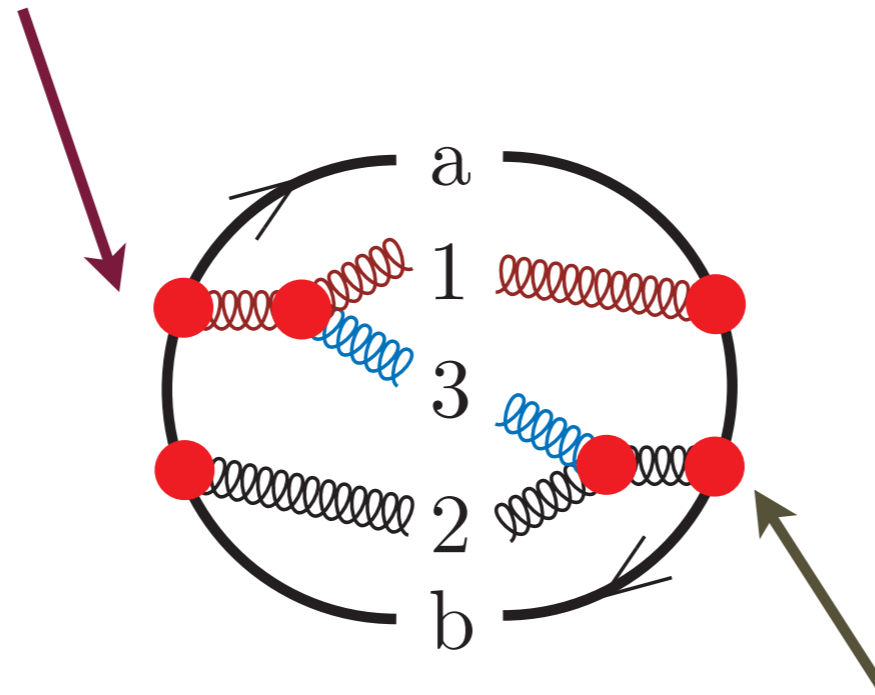
- The corresponding contribution to  $\mathcal{V}(t)$  has the color structure:

- The gluon loops simple give a factor of  $C_A$

# Interference Graphs

Interference graphs are important for the soft gluon emission

One parton is the “emitter”

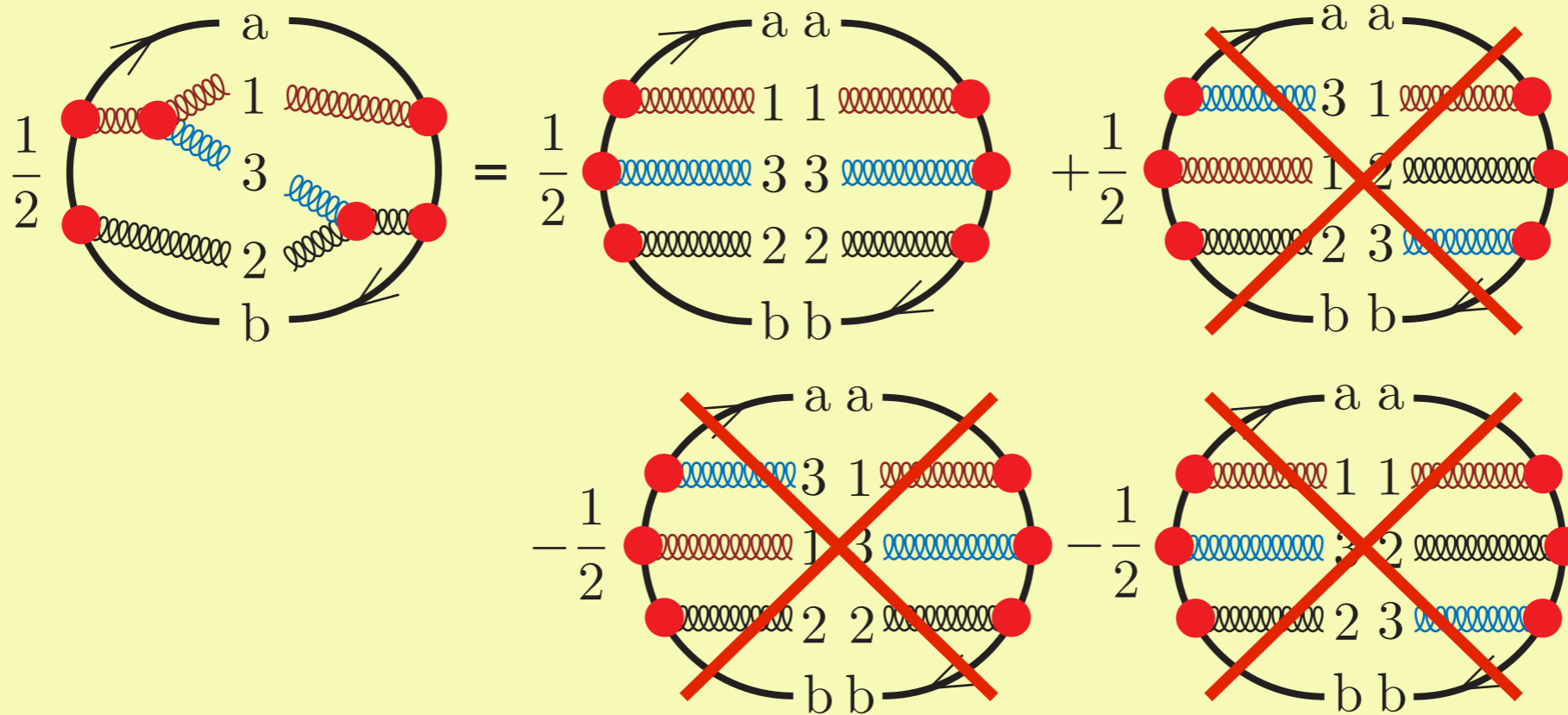


The other is the “spectator”

# Interference Graphs

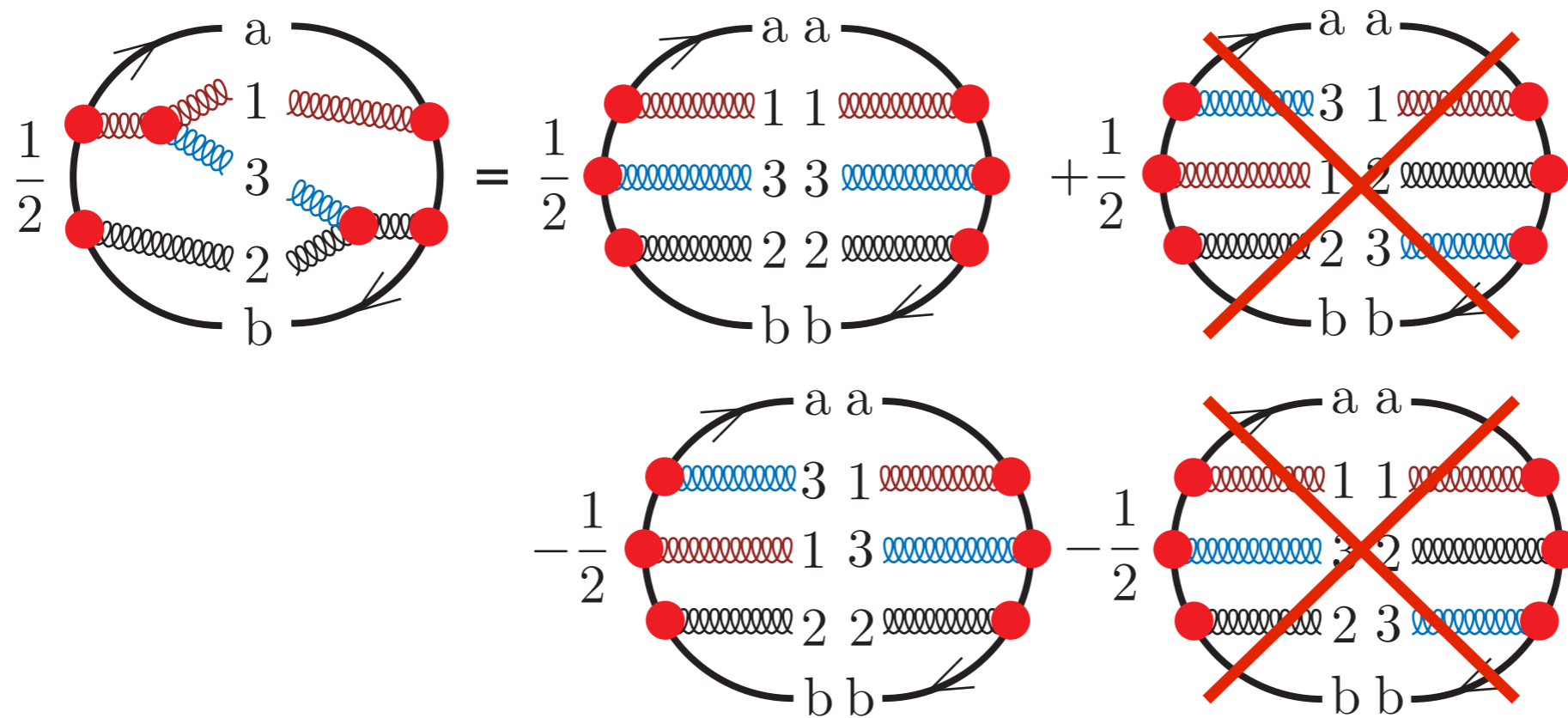
Interference graphs are important for the soft gluon emission

The LC approximation keeps just one contribution

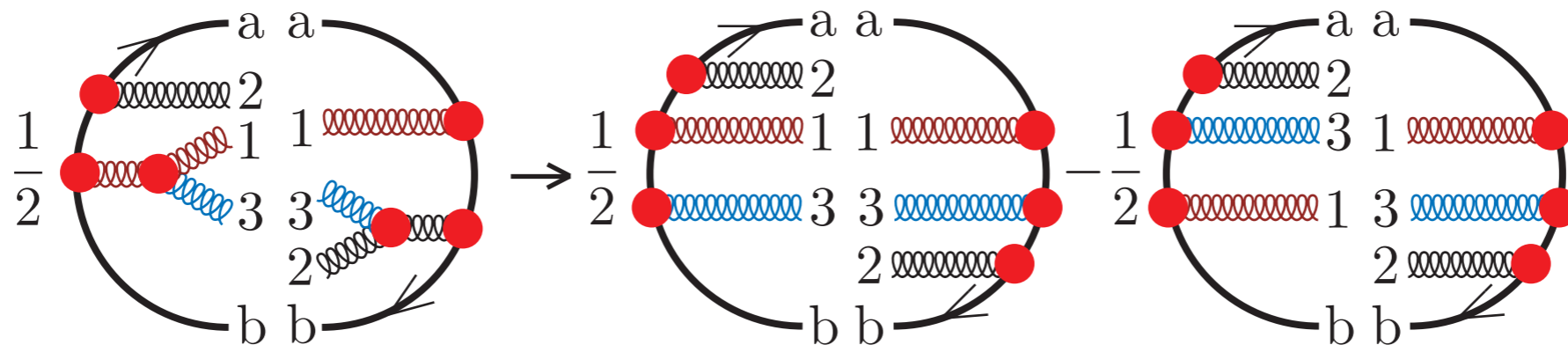


# Interference Graphs

The LC+ approximation keeps two terms:

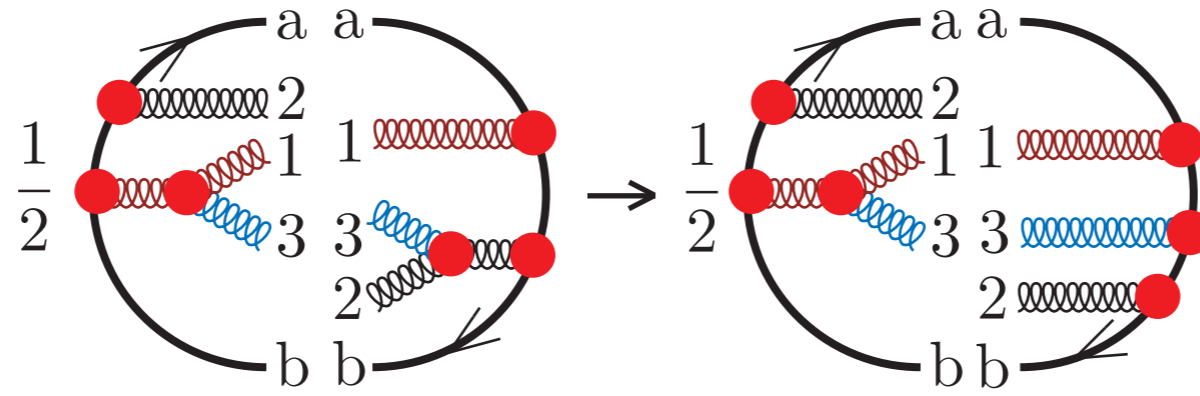


Another example, starting from non-diagonal contribution:

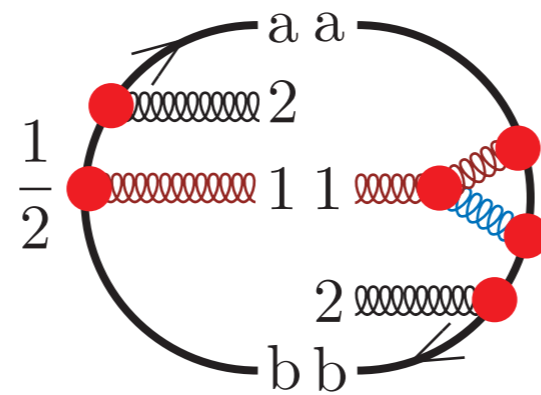


# Interference Graphs

This amounts to



The corresponding contribution to  $\mathcal{V}(t)$ :



This is just a factor of  $C_A/4$ .

# LC+ Approximation

- ✓ LC+ approximation is still an approximation in the color state
- ✓ It can evolve interference contributions.
- ✓ One can start the shower from any non-diagonal color states.
- ✓ The Sudakov exponent is still simple, no need to exponentiate complicated matrix.
- ✗ But we have negative weights.
- ✗ It drops only color suppressed wide angle soft contributions.
- ✓ It is systematically improvable.
- ✓ It can deal with Coulomb gluons.
- ✓ It can be implemented in dipole showers (PYTHIA, SHERPA). *[I think there is a chance to use LC+ approximation antenna shower.]*

# Matching at NLO

- We want to improve the parton shower with higher multiplicity tree-level and 1-loop level matrix elements.
- At the same time we want to improve the NLO fixed order calculation with parton shower corrections.
- Strictly speaking, it is impossible to do NLO matching with LO partons shower unambiguously. It can be done with NLO level parton shower.
- In the matching procedure we should preserve the “goodness” and the full exclusiveness of the parton shower.
- Expanding the matching formulae in the strong coupling one should obtain the NLO level cross section.
- We should find the general matching/merging formulae based on density operator and make it as *precise* as possible.

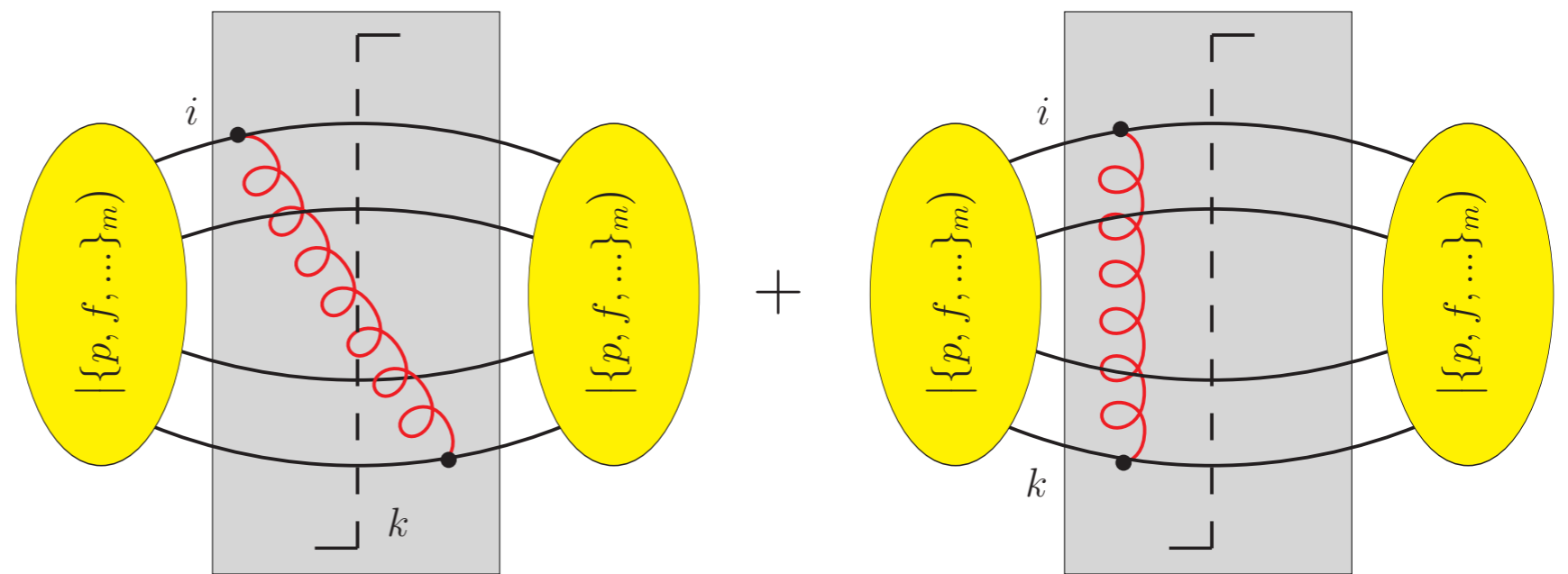


# Matching

The parton shower starts from the simplest  $2 \rightarrow 2$  like process and generates the QCD density operator approximately. It would be nice to use *exact tree and 1-loop level amplitudes without double counting and destroying the exclusiveness* of the shower events.

$$|\rho(t)\rangle = \mathcal{U}(t, 0) |\rho_0\rangle = |\rho_0\rangle + \int_0^t d\tau \mathcal{U}(t, \tau) [\mathcal{H}_I(\tau) |\rho_0\rangle - \mathcal{V}_I(\tau) |\rho_0\rangle]$$

*Born term*



*resolved radiations*

*unresolved radiation*

# Matching

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$$|\rho(t)\rangle = \mathcal{U}(t, 0)|\rho_0\rangle + \int_0^t d\tau \mathcal{U}(t, \tau) \left\{ \underbrace{[\mathcal{H}_I(\tau) - \mathcal{V}_I(\tau)]}_{\approx |\rho_R(\tau)\rangle + |\rho_V(\tau)\rangle} |\rho_0\rangle - [\mathcal{H}_I(\tau) + \mathcal{V}_I(\tau)] |\rho_0\rangle \right\}$$

$|\rho_R(\tau)\rangle$  : The real contribution is based on the *Born level  $2 \rightarrow 3$  amplitudes*

$$|\rho_V(\tau)\rangle = -\mathcal{V}_I^{(\epsilon)}(\tau)|\rho_0\rangle + \underbrace{\delta(\tau)|\tilde{\rho}_V\rangle}_{\text{Finite part of the 1-loop density operator}}$$

$$\lim_{t \rightarrow \infty} \int_0^t d\tau |\rho_V(\tau)\rangle \Leftrightarrow \underbrace{|M^{(1)}\rangle \langle M^{(0)}| + c.c.}_{\text{1-loop density operator with the } 1/\epsilon \text{ and } 1/\epsilon^2 \text{ singularities}}$$

*1-loop density operator with the  $1/\epsilon$  and  $1/\epsilon^2$  singularities*

# Matching

The parton shower starts from the simplest  $2 \rightarrow 2$  like process and generates the QCD density operator approximately. It would be nice to use *exact tree and 1-loop level amplitudes without double counting and destroying the exclusiveness* of the shower events.

$$|\rho(t)\rangle = \mathcal{U}(t, 0) [|\rho_0\rangle + |\tilde{\rho}_V\rangle] + \int_0^t d\tau \mathcal{U}(t, \tau) [|\rho_R(\tau)\rangle - \mathcal{H}_I(\tau)|\rho_0\rangle]$$

- ✓ This is NLO level matching.
- ✓ Preserves precision and exclusiveness of the shower.
- ✓ This matching is possible because the shower scheme also defines a subtraction scheme to calculate NLO fixed order cross sections.
- ✓ It works only for  $2 \rightarrow 2$  like process.
- ✓ No strange Sudakov factor like in POWHEG.
- ✗ For higher multiplicity matching we have to work harder... (and the formalism gets more complicated)

# Naive Matching Formulae

After similar considerations one can derive a matching formulae for higher multiplicities:

*Subsequent emissions are softer than any in the hard part*

*Emissions in the hard part are strongly ordered*

$$\begin{aligned}
 |\psi_{+m}^{\text{naive}}(\tau, \tau_0)| &= \int_{\tau_0}^{\tau} d\tau_{m+1} \mathcal{U}(\tau, \tau_{m+1}) \mathcal{F}(\tau_{m+1}) \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \\
 &\times \left\{ \delta(\tau_{m+1} - \tau_m) \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)| \right] \right. \\
 &\quad \left. + |\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)| - \hat{\mathcal{H}}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| \right\}
 \end{aligned}$$

*PDF factor* (points to  $\mathcal{F}(\tau_{m+1})$ )  
*Real contribution* (points to  $|\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)|$ )  
*Born contribution* (points to  $|\hat{\rho}_m^R(\tau_m, \dots, \tau_0)|$ )  
*Finite part of the 1-loop* (points to  $-\hat{\mathcal{H}}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)|$ )

Actually this is “quite a good” matching formulae if the measured **observable is  $m$ -jet sensitive** and the  **$m$  jets are well separated**. This is done with density operators.

*Is this compatible with MC@NLO and POWHEG?*

# Color Averaging

We need an operator to project out a single color from the interference graphs. Thus we define

$$\mathcal{K} = \sum_m \int d\{p, f, c, c\}_{+m} |\{p, f, c, c\}_{+m}\rangle p(\{p, f, c\}_{+m}) \quad \mathcal{K}\mathcal{K} = \mathcal{K}$$

$$\times \sum_{\tilde{c}', \tilde{c}} \langle \{c'\}_m | \{c\}_m \rangle (\{p, f, \tilde{c}', \tilde{c}\}_{+m} |$$

*Probability of choosing a single color flow*

The usual choice is based on the tree level color subamplitudes:

$$p(\{p, f, c\}_{+m}) = \frac{|A_0(\{p, f, c\}_{+m})|^2}{\sum_{\hat{c}} \langle \{\hat{c}\}_m | \{\hat{c}\}_m \rangle |A_0(\{p, f, \hat{c}\}_{+m})|^2}$$

This operator washes out all the color correlations:

$$\mathcal{K} |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)\rangle = \int d\{p, f, c, c\}_{+m} |\{p, f, c, c\}_{+m}\rangle$$

$$\times p(\{p, f, c\}_{+m}) |M^{(0)}(\{p, f\}_{+m})|^2$$

$$\times \delta(\tau_m, \dots, \tau_0; \{p, f\}_{+m}) \ .$$

# MC@NLO

As far as I understood MC@NLO is the “color blinded” naive matching formulae. When it was developed the color blinding was essential.

$$\begin{aligned}
 |\psi_{+m}^{\text{MC@NLO}}(\tau, \tau_0)| &= \\
 \mathcal{K} |\psi_{+m}^{\text{naive}}(\tau, \tau_0)| &= \int_{\tau_0}^{\tau} d\tau_{m+1} \mathcal{U}^{\text{LC}}(\tau, \tau_{m+1}) \mathcal{K} \mathcal{F}(\tau_{m+1}) \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \\
 &\quad \times \left\{ \delta(\tau_{m+1} - \tau_m) \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)| \right] \right. \\
 &\quad \left. + |\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)| - \hat{\mathcal{H}}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| \right\}
 \end{aligned}$$

The error of this matching formula is estimated by

$$\begin{aligned}
 |\Delta\psi_{+m}^{\text{MC@NLO}}(\tau, \tau_0)| &= \int_{\tau_0}^{\tau} d\tau_{m+1} \mathcal{F}(\tau_{m+1}) \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \\
 &\quad \times \left[ \hat{\mathcal{H}}(\tau_{m+1}) - \hat{\mathcal{V}}(\tau_{m+1}), \mathcal{K} \right] |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)|
 \end{aligned}$$

As far as I can see MC@NLO really tried to minimize the error of lacking color evolution.

# POWHEG

There are several “variants” of the POWHEG method in the literature, here I discuss the simplified version of the POWHEG, that appears in many SHERPA paper. Starting with the alternative form of the naive matching formulae:

$$\begin{aligned} |\psi_{+m}^{\text{naive}}(\tau, \tau_0)| &= \int_{\tau_0}^{\tau} d\tau_{m+1} \mathcal{U}(\tau, \tau_{m+1}) \mathcal{F}(\tau_{m+1}) \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \\ &\times \left\{ \delta(\tau_{m+1} - \tau_m) \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)| \right] \right. \\ &\quad \left. + |\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)| - \hat{\mathcal{H}}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| \right\} \end{aligned}$$

Let us make some changes (I wouldn't call them to approximations):

$$\mathcal{H}(\tau) \longrightarrow \mathcal{H}_P(\tau) \quad , \quad \mathcal{V}(\tau) \longrightarrow \mathcal{V}_P(\tau) \quad \text{and} \quad \mathcal{N}(t, t') \longrightarrow \mathcal{N}_P(t, t') \quad .$$

# POWHEG

There are several “variants” of the POWHEG method in the literature, here I discuss the simplified version of the POWHEG, that appears in many SHERPA paper. Starting with the alternative form of the naive matching formulae:

$$\begin{aligned}
 |\psi_{+m}^{\text{naive}}(\tau, \tau_0)| = & \int_{\tau_0}^{\tau} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \mathcal{N}(\tau, \tau_m) \mathcal{F}(\tau_m) \\
 & \times \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)| \right] \\
 & + \int_{\tau_0}^{\tau} d\tau_{m+1} \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 \mathcal{U}(\tau, \tau_{m+1}) \\
 & \times \left\{ \mathcal{F}(\tau_{m+1}) |\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)| \right. \\
 & \quad - \mathcal{H}(\tau_{m+1}) \mathcal{F}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| \\
 & \quad \left. + \mathcal{H}(\tau_{m+1}) \mathcal{N}(\tau_{m+1}, \tau_m) \mathcal{F}(\tau_m) \right. \\
 & \quad \left. \times \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)| + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)| \right] \right\} .
 \end{aligned}$$

*One step of the shower is expanded*

Let us make some changes (I wouldn't call them to approximations):

$$\mathcal{H}(\tau) \longrightarrow \mathcal{H}_P(\tau) \quad , \quad \mathcal{V}(\tau) \longrightarrow \mathcal{V}_P(\tau) \quad \text{and} \quad \mathcal{N}(t, t') \longrightarrow \mathcal{N}_P(t, t') \quad .$$



# POWHEG

Defining the following function:

$$\begin{aligned}
 & (\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{R}_{MC}(\tau) | \{p, f\}_m) \\
 &= \sum_{\hat{c}', \hat{c}} \sum_{c', c} (\{\hat{p}, \hat{f}, \hat{c}', \hat{c}\}_{m+1} | \mathcal{H}(\tau) | \{p, f, c', c\}_m) \\
 & \quad \times \int_{-\infty}^{\tau} d\tau_0 \int_{\tau_0}^{\tau} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1 (\{p, f, c', c\}_m | \hat{\rho}_m^R(\tau_m, \dots, \tau_0))
 \end{aligned}$$

*Actually this is the subtraction term in the NLO calculation*

Real splitting operator:

$$\begin{aligned}
 & (\{\hat{p}, \hat{f}, \hat{c}', \hat{c}\}_{m+1} | \mathcal{H}_P(\tau) | \{p, f, c', c\}_m) \\
 &= \delta_{\hat{c}', \hat{c}} p(\{\hat{p}, \hat{f}, \hat{c}\}_{m+1}) \frac{(\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{R}_{MC}(\tau) | \{p, f\}_m)}{B(\{p, f\}_m; \tau)}
 \end{aligned}$$

*Choosing a single  
m+1 parton color  
flow .*

*Summing up m-parton  
color states*

# POWHEG

The virtual splitting operator is diagonal:

$$\mathcal{V}_P(\tau) | \{p, f, c', c\}_m \rangle = \lambda_P(\{p, f\}_m; \tau) | \{p, f, c', c\}_m \rangle$$

Where the POWHEG Sudakov exponent is

$$\lambda_M(\{p, f\}_m; \tau) = \int d\{\hat{p}, \hat{f}\}_{m+1} \frac{(\{\hat{p}, \hat{f}\}_{m+1} | \mathcal{R}_{MC}(\tau) | \{p, f\}_m)}{B(\{p, f\}_m; \tau)}$$

- There is no such factorization that is implemented in the splitting operators. It is kind of acceptable only in the strict collinear limit.
- Thus is not an approximation of the “exact” splitting kernel.
- It completely fails for heavy colored objects (e.g: top quark), because there is no collinear limit in this case.

# Merging

- The idea is to have a “super” shower that has exact high multiplicity matrix element corrections at tree and 1-loop level:

$$|\psi^{\text{SUPER}}(\tau, \tau_0)\rangle$$

- **Example: e+e- thrust.** It is a 3-jet sensitive observable. The super merging formula gives the proper NLO distribution in the large  $1-T$  region and it gives the NLL resummation in the small  $1-T$  region

$$(1|\mathcal{O}(1-T)|\psi^{\text{SUPER}}(\tau, \tau_0)\rangle) = \frac{d\sigma}{d(1-T)}$$

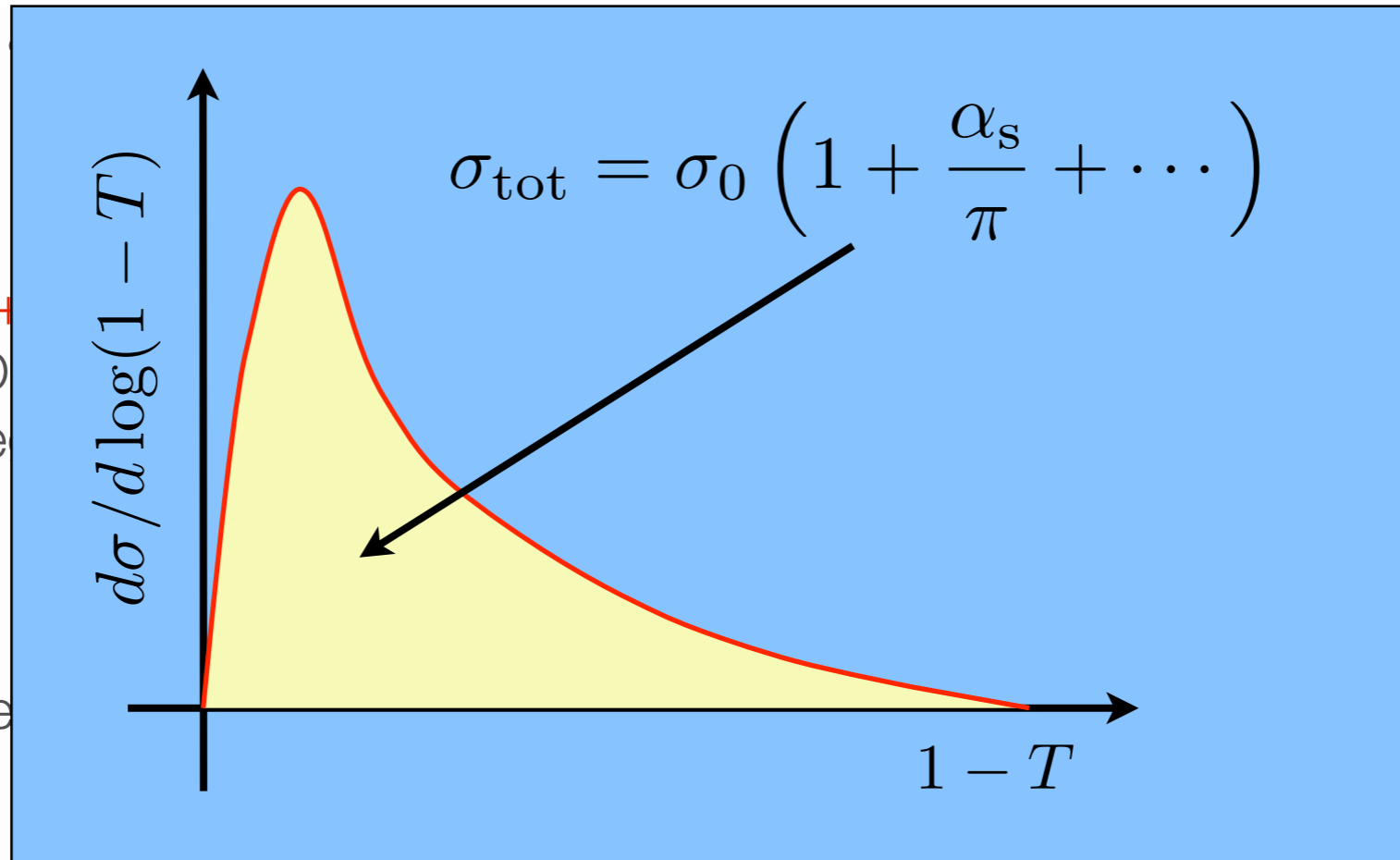
- At the same time the “SUPER” shower should be to the NLO total cross section:

$$\int_0^1 d(1-T) (1|\mathcal{O}(1-T)|\psi^{\text{SUPER}}(\tau, \tau_0)\rangle) = \sigma_0 \left(1 + \frac{\alpha_s}{\pi} + \dots\right)$$

# Merging

- The idea is to have a “super” shower that has exact high multiplicity matrix element corrections

- Example: e+e- collisions



- At the same time

g formula gives the summation in the

section:

$$\int_0^1 d(1-T) (1|\mathcal{O}(1-T)|\psi^{\text{SUPER}}(\tau, \tau_0)) = \sigma_0 \left( 1 + \frac{\alpha_s}{\pi} + \dots \right)$$

# Merging

- The next obvious step is to define a merging formulae. This tries to combine higher multiplicity matrix elements at NLO level (**CKKW@NLO**)
- Well, it is “easy” just sum up the naive matching formulae. It is OK since the emissions are strongly ordered:

$$|\psi^{\text{CKKW@NLO}}(\tau, \tau_0)\rangle = \sum_{m=0}^{\infty} |\psi_{+m}^{\text{naive}}(\tau, \tau_0)\rangle$$

$$|\psi_{+m}^{\text{naive}}(\tau, \tau_0)\rangle = \int_{\tau_0}^{\tau} d\tau_{m+1} \mathcal{U}(\tau, \tau_{m+1}) \mathcal{F}(\tau_{m+1}) \int_{\tau_0}^{\tau_{m+1}} d\tau_m \cdots \int_{\tau_0}^{\tau_2} d\tau_1$$

*No Sudakov exponent in the hard matrix elements*

$$\times \left\{ \delta(\tau_{m+1} - \tau_m) \left[ |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)\rangle + |\hat{\rho}_m^F(\tau_m, \dots, \tau_0)\rangle \right] + |\hat{\rho}_{m+1}^R(\tau_{m+1}, \dots, \tau_0)\rangle - \hat{\mathcal{H}}(\tau_{m+1}) |\hat{\rho}_m^R(\tau_m, \dots, \tau_0)\rangle \right\}$$

- But the hard states should be reweighted by Sudakov factors. This is kind of hard because our Sudakov factors are operator. To do such reweighting requires to recalculate tree and 1-loop amplitudes and nobody wants to do that...

# Conclusions

➤ It is a huge topic....

➤ ...



# Implementation

We calculate Drell-Yan total cross section at 14TeV with  $(0.7 \text{ GeV})^2 < Q^2 < (1\text{TeV})^2$

$$\sigma_{\text{tot}} = \sigma_{\text{tot}} \left[ 0.6588 + \underbrace{\frac{1}{N_c^2} 2.097108}_{23\%} + \overbrace{\frac{1}{N_c^4} 6.0241887}^{7.5\%} + \underbrace{\frac{1}{N_c^6} 19.6786989}_{2.7\%} + \dots \right]$$

*The subleading color contributions are not just 10% what we naively expect.*

The average transverse momentum of the vector boson is

$$\langle p_T^2 \rangle = 9757.9 \text{ GeV}^2 \left[ 0.3958 + \underbrace{\frac{1}{N_c^2} 2.66}_{29.5\%} + \overbrace{\frac{1}{N_c^4} 11.03}^{13.6\%} + \underbrace{\frac{1}{N_c^6} 52.1}_{7.1\%} + \overbrace{\frac{1}{N_c^8} 433.7}^{6.6\%} + \underbrace{\frac{1}{N_c^{10+}} 2042}_{3.4\%} \right]$$

and running a pure leading color shower, the result is

$$\langle p_T^2 \rangle_{\text{LC}} = 9260.641 \text{ GeV}^2$$