

Helicity amplitudes for high-energy scattering processes

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High-energy factorization

Gribov, Levin, Ryskin 1983

Catani, Ciafaloni, Hautmann 1991

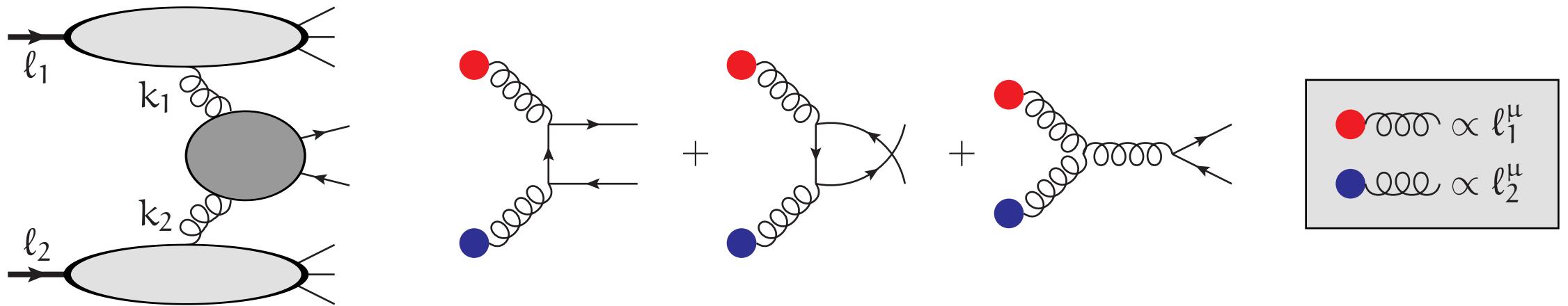
$$\sigma_{h_1, h_2 \rightarrow QQ} = \int d^2 k_{1\perp} \frac{dx_1}{x_1} \mathcal{F}(x_1, k_{1\perp}) d^2 k_{2\perp} \frac{dx_2}{x_2} \mathcal{F}(x_2, k_{2\perp}) \hat{\sigma}_{gg} \left(\frac{m^2}{x_1 x_2 s}, \frac{k_{1\perp}}{m}, \frac{k_{2\perp}}{m} \right)$$

- to be applied in the 3-scale regime $s \gg m^2 \gg \Lambda_{\text{QCD}}^2$
- reduces to collinear factorization for $s \gg m^2 \gg k_\perp^2$,
but holds also for $s \gg m^2 \sim k_\perp^2$
- *unintegrated pdf* \mathcal{F} may satisfy BFKL-eqn, CCFM-eqn, BK-eqn, KGBJS-eqn, ...
- typically associated with small- x physics
- relevant for forward physics, saturation physics, heavy-ion physics...
- k_\perp gives a handle on the size of the proton
- allows for higher-order kinematical effects at leading order

High-energy factorization

Catani, Ciafaloni, Hautmann 1991

$$\sigma_{h_1, h_2 \rightarrow QQ} = \int d^2 k_{1\perp} \frac{dx_1}{x_1} \mathcal{F}(x_1, k_{1\perp}) d^2 k_{2\perp} \frac{dx_2}{x_2} \mathcal{F}(x_2, k_{2\perp}) \hat{\sigma}_{gg} \left(\frac{m^2}{x_1 x_2 s}, \frac{k_{1\perp}}{m}, \frac{k_{2\perp}}{m} \right)$$



Imposing high-energy kinematics,

$$k_1^\mu = x_1 \ell_1^\mu + k_{1\perp}^\mu , \quad k_2^\mu = x_2 \ell_2^\mu + k_{2\perp}^\mu \quad \text{with} \quad \ell_{1,2} \cdot k_{1\perp,2\perp} = 0 ,$$

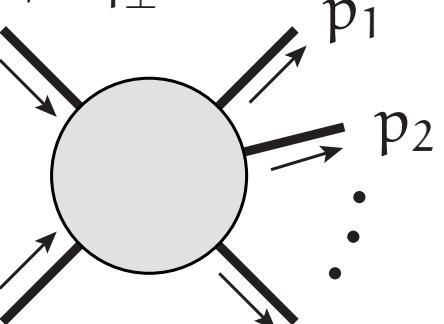
the amplitude for $g^* g^* \rightarrow Q \bar{Q}$ is gauge invariant.

Can this be generalized to arbitrary processes?

Matrix elements

The issue:

High-energy factorization requires matrix elements for parton-level scattering process with off-shell initial states

$$k_1 = x_1 \ell_1 + k_{1\perp}$$

$$k_2 = x_2 \ell_2 + k_{2\perp}$$
$$p_1 \\ p_2 \\ \vdots \\ p_n$$

where ℓ_1, ℓ_2 are light-like momenta associated with the scattering hadrons, and $k_{1\perp}, k_{2\perp}$ are perpendicular to both ℓ_1 and ℓ_2 .

Matrix elements, squared and summed over final-state spins, may be calculated using spin amplitudes.

Amplitudes must be gauge invariant

- must be calculable in any gauge
- must satisfy Ward identities.
- must preferably be practical.

We cannot just take a prescription to calculate on-shell matrix elements and keep initial-state momenta off-shell, because we won't have gauge invariance.
Using projectors

$$\bullet \text{---} \circlearrowleft \propto \ell_1^\mu \quad \bullet \text{---} \circlearrowright \propto \ell_2^\mu$$

won't be enough.

Lipatov's effective action

Lipatov 1995, Antonov, Lipatov, Kuraev, Cherednikov 2005

Effective action in terms of quarks $\psi, \bar{\psi}$, gluons v_μ and reggeized gluons A_\pm .

$$\mathcal{L} = \mathcal{L}_{\text{QCD}} + \mathcal{L}_{\text{ind}}$$

$$\mathcal{L}_{\text{QCD}} = i\bar{\psi}\not{D}\psi + \frac{1}{2}\text{Tr } G_{\mu\nu}^2 \quad D_\mu = \partial_\mu + g v_\mu \quad G_{\mu\nu} = \frac{1}{g}[D_\mu, D_\nu]$$

$$\begin{aligned} \mathcal{L}_{\text{ind}} = & -\text{Tr} \left\{ \frac{1}{g} \partial_+ \left[\mathcal{P} \exp \left(-\frac{g}{2} \int_{-\infty}^{x^+} v_+(y) dy^+ \right) \right] \cdot \partial_\sigma^2 A_-(x) \right. \\ & \left. + \frac{1}{g} \partial_- \left[\mathcal{P} \exp \left(-\frac{g}{2} \int_{-\infty}^{x^-} v_-(y) dy^- \right) \right] \cdot \partial_\sigma^2 A_+(x) \right\} \end{aligned}$$

$$k_\pm = \frac{1}{E} (\ell_\mu^\pm) k^\mu \quad (\ell^-)^2 = (\ell^+)^2 = 0 \quad \ell^+ \cdot \ell^- = 2E^2$$

Reggeized gluon \rightarrow gluon with momentum $x_\pm \ell^\pm + k_\perp$.

Effective action \Rightarrow vertices of arbitrary order. **Can this be avoided?**

Embedding

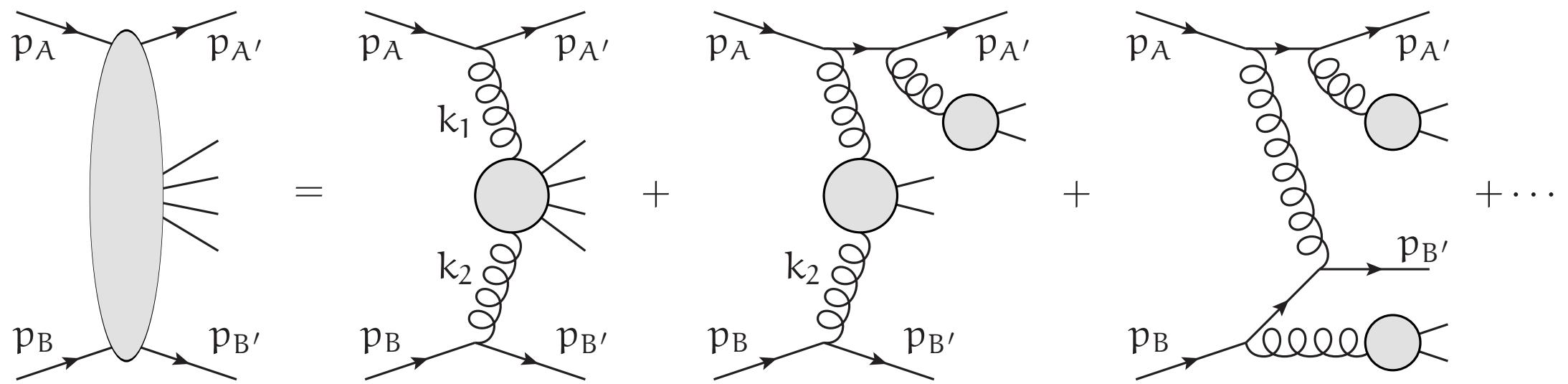
Strategy: embed the off-shell process in an on-shell process. For off-shell gluons:

$$g^*(k_1)g^*(k_2) \rightarrow X(k_1 + k_2 = p_1 + p_2 + \dots + p_n)$$



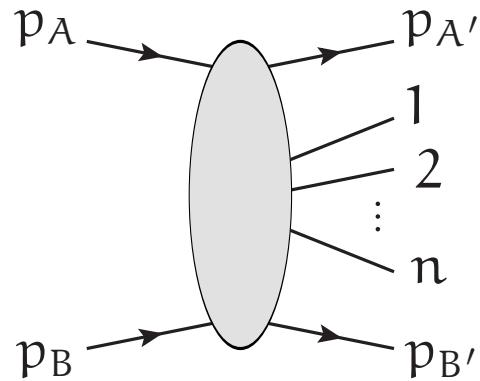
$$q_A(p_A)q_B(p_B) \rightarrow q_A(p_{A'})q_B(p_{B'})X(k_1 + k_2 = p_1 + p_2 + \dots + p_n)$$

$$p_A - p_{A'} = k_1 \quad , \quad p_B - p_{B'} = k_2$$



On-shellness of the auxiliary external quarks guarantees gauge invariance.

Kinematics



High-energy factorization dictates that

$$p_A - p_{A'} = x_1 \ell_1 + k_{1\perp} \quad , \quad p_B - p_{B'} = x_2 \ell_2 + k_{2\perp}$$

Now there is a freedom in the choice of the momenta $p_A, p_{A'}, p_B, p_{B'}$.
High-energy factorization suggests that

$$p_A \cdot \ell_1 = 0 \quad , \quad p_B \cdot \ell_2 = 0$$

but this, together with on-shellness of $p_A, p_{A'}, p_B, p_{B'}$, is kinematically not possible.

Maybe it is possible in a certain limit...

Complex momenta

$$\ell_3^\mu = \frac{1}{2} \langle \ell_2 | \gamma^\mu | \ell_1]$$

$$\ell_4^\mu = \frac{1}{2} \langle \ell_1 | \gamma^\mu | \ell_2]$$

$$\ell_1^2 = \ell_2^2 = 0$$

$$\ell_3^2 = \ell_4^2 = 0$$

$$\ell_{1,2} \cdot \ell_{3,4} = 0$$

$$\ell_1 \cdot \ell_2 = -\ell_3 \cdot \ell_4$$

External spinors:

$$p_A^\mu = (\Lambda + x_1) \ell_1^\mu - \frac{\ell_4 \cdot k_{1\perp}}{\ell_1 \cdot \ell_2} \ell_3^\mu \quad p_{A'}^\mu = \Lambda \ell_1^\mu + \frac{\ell_3 \cdot k_{1\perp}}{\ell_1 \cdot \ell_2} \ell_4^\mu$$

$$p_B^\mu = (\Lambda + x_2) \ell_2^\mu - \frac{\ell_3 \cdot k_{2\perp}}{\ell_1 \cdot \ell_2} \ell_4^\mu \quad p_{B'}^\mu = \Lambda \ell_2^\mu + \frac{\ell_4 \cdot k_{2\perp}}{\ell_1 \cdot \ell_2} \ell_3^\mu$$

Now we have both the high-energy limit and on-shellness:

$$p_A^\mu - p_{A'}^\mu = x_1 \ell_1^\mu + k_{1\perp}^\mu \quad p_B^\mu - p_{B'}^\mu = x_2 \ell_2^\mu + k_{2\perp}^\mu$$

$$p_A^2 = p_{A'}^2 = p_B^2 = p_{B'}^2 = 0$$

for any value of the dimensionless parameter Λ .

$$|p_A] = \frac{\Lambda + x_1 + \kappa_{13}}{\sqrt{|\Lambda + x_1 + \kappa_{13}|}} |\ell_1] \quad , \quad \langle p_{A'}| = \sqrt{|\Lambda - \kappa_{14}|} \langle \ell_1|$$

$$|p_B] = \frac{\Lambda + x_2 + \kappa_{24}}{\sqrt{|\Lambda + x_2 + \kappa_{24}|}} |\ell_2] \quad , \quad \langle p_{B'}| = \sqrt{|\Lambda - \kappa_{23}|} \langle \ell_2|$$

Prescription for off-shell gluons

Take the limit $\Lambda \rightarrow \infty$ to remove imaginary momentum components.

- Auxiliary quark spinors give an overall factor Λ^2

- Further only auxiliary quark line propagators are affected: $\frac{i\cancel{p}}{p^2} \xrightarrow{\Lambda \rightarrow \infty} \frac{i\ell_1}{2\ell_1 \cdot p}$

Prescription to calculate scattering amplitudes with off-shell gluons:

1. Consider the embedding $q_A q_B \rightarrow q_A q_B X$ with momentum flow as if the momenta p_A, p_B of the initial-state quarks and $p_{A'}, p_{B'}$ of the final-state quarks are given by

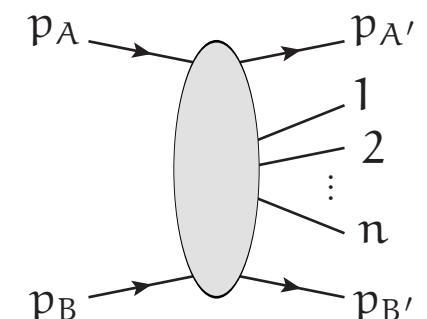
$$p_A^\mu = k_1^\mu , \quad p_B^\mu = k_2^\mu , \quad p_{A'}^\mu = p_{B'}^\mu = 0 .$$

2. Assign the spinors $|\ell_1], \langle \ell_1|$ the external A-quarks, and assign $i\ell_1/(2\ell_1 \cdot p)$ instead of $i\cancel{p}/p^2$ to the propagators on the A-quark line.

3. Do the same with the B quark line, using ℓ_2 instead of ℓ_1 .

4. Multiply the amplitude with $g_s^{-1} x_1 \sqrt{-k_{1\perp}^2/2} \times g_s^{-1} x_2 \sqrt{-k_{2\perp}^2/2}$.

5. For the rest, normal Feynman rules apply.



In agreement with Lipatov's effective action.

Off-shell initial-state quarks

Existing work:

Lipatov, Vyazovsky, 2001

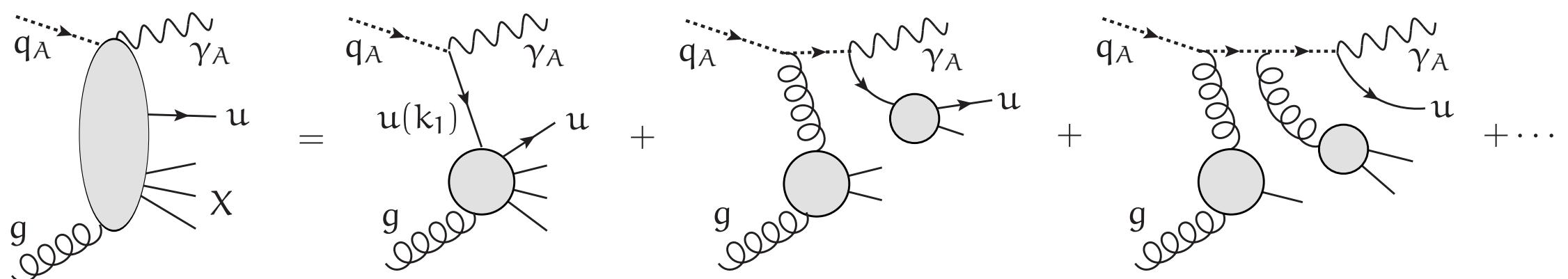
Ermolaev, Greco, Troyan 2011 Nefedov, Saleev, Shipilova 2013

Our approach: embed the process

$$u^* g \rightarrow u X \implies q_A g \rightarrow \gamma_A u X$$

auxiliary photon:

$$q_A \xrightarrow{\text{dotted}} \gamma_A = \gamma^\mu$$



Use same momentum decomposition for $p_A, p_{A'}$ as for off-shell gluon.
What polarization vector for auxiliary photon?

$$\text{negative helicity } u\text{-quark} \rightarrow \langle p_u | , \quad q_A \leftarrow |\ell_1| , \quad \varepsilon_{A'}^\mu = \frac{\langle \ell_1 | \gamma^\mu | \ell_2]}{\sqrt{2} [\ell_1 | \ell_2]}$$

Prescription for off-shell quarks

1. Consider the embedding of the process, in which the off-shell u -quark is replaced by an auxiliary quark q_A , and an auxiliary photon γ_A is added in final state.
2. The momentum flow is as if q_A carries momentum k_1 and the momentum of γ_A is identical to 0.
3. γ_A only interacts via $q_A \gamma_A u$ -vertex, and q_A further only interacts with gluons via normal quark-gluon vertices.
4. q_A -line propagators are interpreted as $i\ell_1/(2\ell_1 \cdot p)$, and are diagonal in color space.
5. Sum the squared amplitude over helicities of the auxiliary photon. For one helicity, simultaneously assign to the external q_A -quark and to γ_A the spinor and polarization vector

$$|\ell_1] , \frac{\langle \ell_1 | \gamma^\mu | \ell_2]}{\sqrt{2} [\ell_1 | \ell_2]} \quad \text{and for the other helicity assign } |\ell_1\rangle , \frac{\langle \ell_2 | \gamma^\mu | \ell_1]}{\sqrt{2} \langle \ell_2 | \ell_1\rangle}$$

6. Multiply the amplitude with $\sqrt{-x_1 k_1^2 / 2}$.

In agreement with Lipatov-Vyazovsky.

Analytic result for $g^* g \rightarrow g g$

$$0 \rightarrow g(p_1 + k_T) g(p_2) g(p_3) g(p_4)$$

$$\mathcal{M}^{a_1 a_2 a_3 a_4}(1, 2, 3, 4) = \frac{4g_S^2}{\sqrt{2}} \sum_{\text{non-cyclic}} \text{Tr}(T^{a_1} T^{a_2} T^{a_3} T^{a_4}) \mathcal{A}(1, 2, 3, 4)$$

$$\mathcal{A}(2^-, 3^-, 4^-) = 0$$

$$\mathcal{A}(2^-, 3^-, 4^+) = \frac{[3|k_T|1]}{|k_T|[31]} \frac{[41]^4}{[12][23][34][41]}$$

$$\mathcal{A}(2^+, 3^-, 4^-) = \frac{[3|k_T|1]}{|k_T|[31]} \frac{[12]^4}{[12][23][34][41]}$$

$$\mathcal{A}(2^-, 3^+, 4^-) = \frac{[3|k_T|1]}{|k_T|[31]} \frac{[31]^4}{[12][23][34][41]}$$

$$\mathcal{A}(2^+, 3^+, 4^+) = 0$$

$$\mathcal{A}(2^+, 3^+, 4^-) = \frac{\langle 1|k_T|3]}{|k_T|\langle 13\rangle} \frac{\langle 41\rangle^4}{\langle 12\rangle\langle 23\rangle\langle 34\rangle\langle 41\rangle}$$

$$\mathcal{A}(2^-, 3^+, 4^+) = \frac{\langle 1|k_T|3]}{|k_T|\langle 13\rangle} \frac{\langle 12\rangle^4}{\langle 12\rangle\langle 23\rangle\langle 34\rangle\langle 41\rangle}$$

$$\mathcal{A}(2^+, 3^-, 4^+) = \frac{\langle 1|k_T|3]}{|k_T|\langle 13\rangle} \frac{\langle 13\rangle^4}{\langle 12\rangle\langle 23\rangle\langle 34\rangle\langle 41\rangle}$$

$$\left| \frac{[i|k_T|1]}{|k_T|[i1]} \right| = \left| \frac{\langle 1|k_T|i]}{|k_T|\langle 1i\rangle} \right| = 1$$

Analytic result for $u^* g \rightarrow u g$

$$0 \rightarrow g(p_1) g(p_2) u(p_u) \bar{u}^*(p_{\bar{u}} + k_T)$$

$$\mathcal{M}_{j_u, j_{\bar{u}}}^{a_1, a_2}(1, 2, u, \bar{u}) = 2ig_S^2 \left[(T^{a_1} T^{a_2})_{j_u, j_{\bar{u}}} \mathcal{A}(1, 2, u, \bar{u}) + (T^{a_2} T^{a_1})_{j_u, j_{\bar{u}}} \mathcal{A}(2, 1, u, \bar{u}) \right]$$

$$\mathcal{A}(1^+, 2^-, u^+, \bar{u}^+) = -\frac{[\bar{u}|k_T|1]}{|k_T|\langle \bar{u}1\rangle} \frac{\langle \bar{u}1\rangle^3 \langle u1\rangle}{\langle u1\rangle\langle 12\rangle\langle 2\bar{u}\rangle\langle \bar{u}u\rangle}$$

$$\mathcal{A}(1^-, 2^+, u^+, \bar{u}^+) = -\frac{[\bar{u}|k_T|2]}{|k_T|\langle \bar{u}2\rangle} \frac{\langle \bar{u}2\rangle^3 \langle u2\rangle}{\langle u1\rangle\langle 12\rangle\langle 2\bar{u}\rangle\langle \bar{u}u\rangle}$$

$$\mathcal{A}(1^+, 2^-, u^-, \bar{u}^-) = \frac{\langle \bar{u}|k_T|1]}{|k_T|\langle \bar{u}1\rangle} \frac{[\bar{u}1]^3 [u1]}{[u1][12][2\bar{u}][\bar{u}u]}$$

$$\mathcal{A}(1^-, 2^+, u^-, \bar{u}^-) = \frac{\langle \bar{u}|k_T|2]}{|k_T|\langle \bar{u}2\rangle} \frac{[\bar{u}2]^3 [u2]}{[u1][12][2\bar{u}][\bar{u}u]}$$

$$\mathcal{A}(1^+, 2^+, u^-, \bar{u}^-) = -|k_T| \frac{\langle \bar{u}u\rangle^3}{\langle u1\rangle\langle 12\rangle\langle 2\bar{u}\rangle\langle \bar{u}u\rangle}$$

$$\mathcal{A}(1^-, 2^-, u^+, \bar{u}^+) = |k_T| \frac{[\bar{u}u]^3}{[u1][12][2\bar{u}][\bar{u}u]}$$

Forward 3-jet production

AvH, Kutak, Kotko 2013

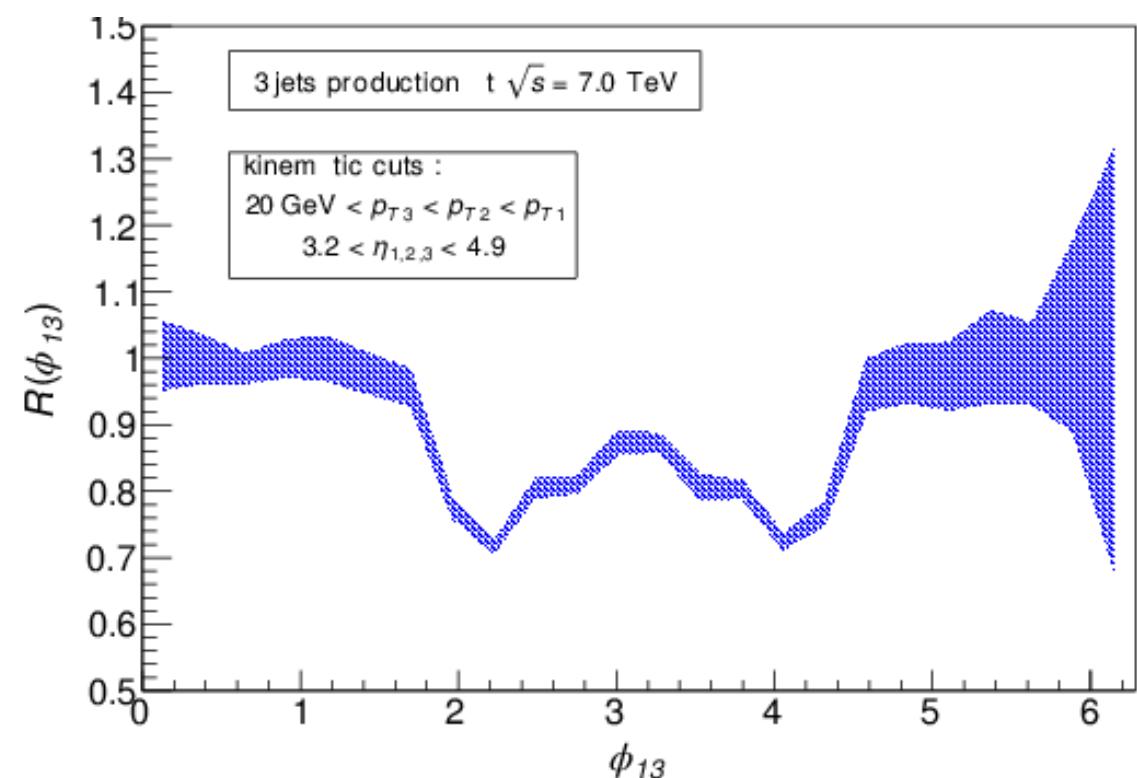
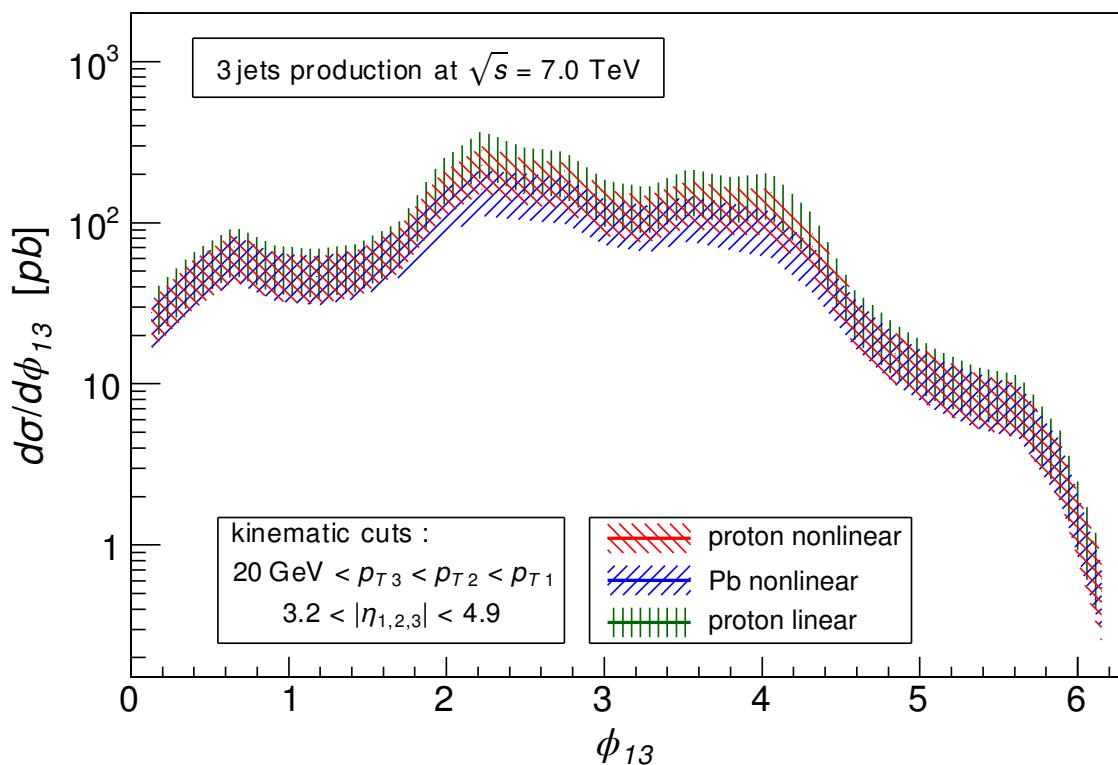
$$d\sigma_{AB \rightarrow 3j} = \sum_b \int d^2 k_{A\perp} \int \frac{dx_A}{x_A} \mathcal{F}(x_A, k_{A\perp}) \int dx_B f_{b/B}(x_B) d\sigma_{g^* b \rightarrow 3j}(x_A, k_{A\perp}, x_B)$$

Unintegrated pdfs \mathcal{F} from [Kutak, Sapeta 2012](#):

- (linear) BFKL
- non-linear unified BK/DGLAP for proton
- non-linear unified BK/DGLAP for Pb

angular decorrelation:
 $\phi_{13} = |\Phi_{\text{hardest}} - \Phi_{\text{softest}}|$

nuclear modification factor:
 $\left(\frac{d\sigma}{d\phi_{13}} \right)_{\text{Pb}} \left(\frac{d\sigma}{d\phi_{13}} \right)^{-1}_{\text{proton}}$



Summary

- We presented a prescription to evaluate tree-level scattering amplitudes with off-shell initial-state partons, that can be readily applied in automatic calculations for arbitrary final states.
- Has been implemented into
 - a C++ program LxJet (**Kotko**) for $g^* x_b \rightarrow x_1 x_2 x_3$ with x_i arbitrary partons.
Uses the fact that almost all gauge contributions vanish in a suitable axial gauge.
 - a Fortran program (**AvH**) for arbitrary processes.
- to be public soon.
- Has been applied to a study of forward jets. More studies to follow.