

# Kaon experiments: recent results and prospects

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Quarks and Leptons UK Forum  
The Cosener's House  
14-15 November 2013

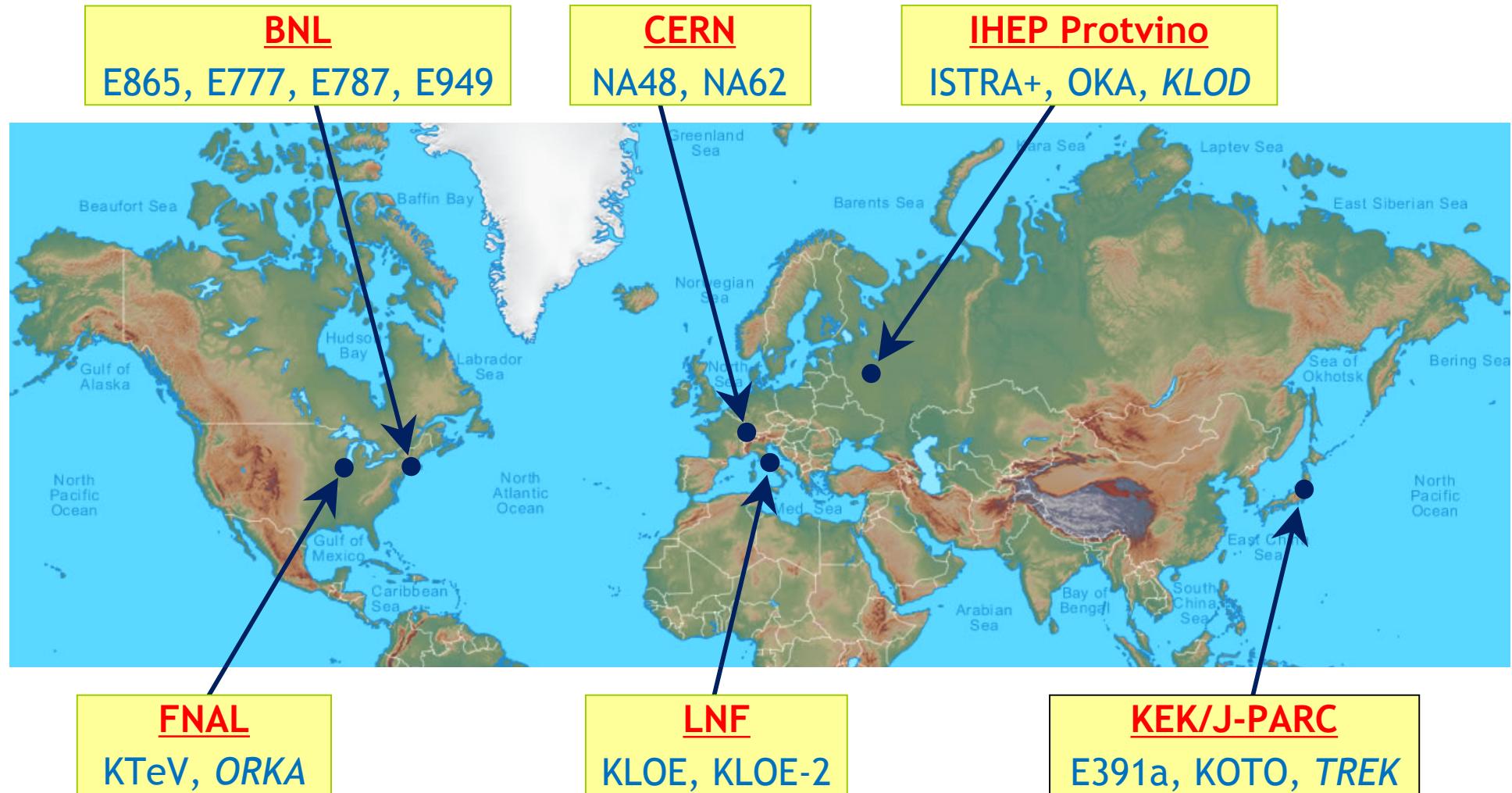


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# Kaon physics facilities



A variety of experimental techniques:  
K decay-in-flight (e.g. CERN), stopped K<sup>+</sup>,  $\phi$  factory

# KLOE: latest results

$$\sigma(e^+e^- \rightarrow \phi) \approx 3 \text{ } \mu\text{b} \quad K_S, K^+ \xleftarrow{\phi} K_L, K^-$$

$\phi$  decay at rest provides monochromatic and pure kaon beams

Search for CP violation in  $K_S \rightarrow \pi^0\pi^0\pi^0$

[arXiv:1301.7623](https://arxiv.org/abs/1301.7623)

$$BR(K_S \rightarrow 3\pi^0) \leq 2.6 \times 10^{-8} \text{ @ 90% CL}$$

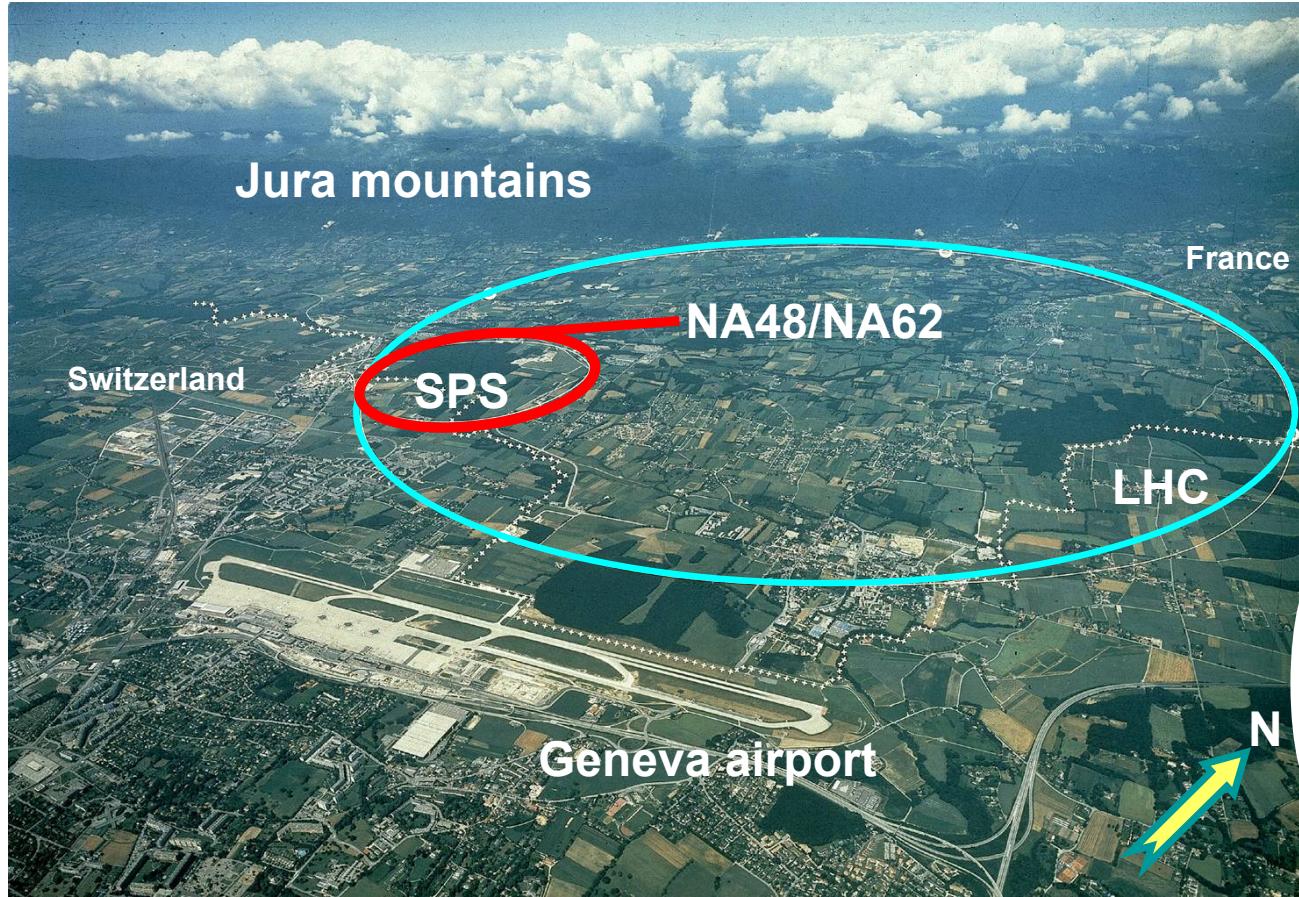
$$|\eta_{000}| < 0.0088 \text{ @ 90% CL}$$

1.7 fb<sup>-1</sup> of the KLOE data sample

*KLOE preliminary*

$$BR(K^+ \rightarrow \pi^+\pi^+\pi^+) = (0.05526 \pm 0.00035_{stat} \pm 0.00036_{syst}), \quad \Delta BR/BR = 9.2 \times 10^{-3}$$

# NA62, $R_K$ Phase: recent results



Primary SPS protons (400 GeV/c):  $1.8 \times 10^{12}$ /SPS spill

Un-separated secondary positive beam

Composition:  $K^+(\pi^+) = 5\%(63\%)$ .

$K^+$  decaying in vacuum tank: 18%.

NA48	1997: $\varepsilon'/\varepsilon: K_L + K_S$
	1998: $K_L + K_S$
	1999: $K_L + K_S$   $K_S$ HI
	2000: $K_L$ only   $K_S$ HI
	2001: $K_L + K_S$   $K_S$ HI
NA48/1	2002: $K_S$ /hyperons
	2003: $K^+ / K^-$
NA48/2	2004: $K^+ / K^-$
	2007: $K_{e2}^\pm / K_{\mu 2}^\pm$
NA62 ( $R_K$ )	2008: $K_{e2}^\pm / K_{\mu 2}^\pm$
	2007–2013: design & construction
NA62	2012: test run 4
	2014: first data taking

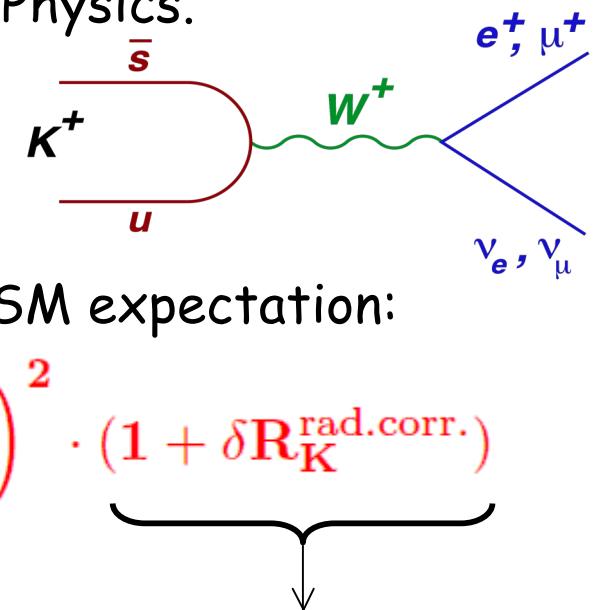
# $R_K$ in the SM

A precise measurement of the ratio of  $K \rightarrow l\nu_l$  leptonic decays provides an ideal test of SM and indirect search for New Physics.

Hadronic uncertainties cancel in the ratio  $K_{e2/K\mu 2}$   
SM prediction: excellent sub-permille accuracy

$R_K$  is sensitive to lepton flavour violation and its SM expectation:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \underbrace{\frac{m_e^2}{m_\mu^2} \cdot \left( \frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2}_{\text{Helicity suppression: } f \sim 10^{-5}} \cdot (1 + \delta R_K^{\text{rad.corr.}})$$



helicity suppression of  $R_K$  might enhance sensitivity to non-SM effects to an experimentally accessible level.

Radiative correction (few %)  
due to  $K^+ \rightarrow e^+ \nu \gamma$  (IB) process,  
by definition included into  $R_K$   
[V.Cirigliano, I.Rosell JHEP 0710:005 (2007)]

$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

Phys. Rev. Lett. 99 (2007) 231801

# $R_K$ beyond the SM

In the **MSSM** large  $\tan\beta$  scenario, the presence of **LFV terms** (charged Higgs coupling) introduces extra contributions to the SM amplitude  $\sim 1\%$  effect  
*Girrbach and Nierste, arXiv:1202.4906*

## 2HDM - tree level

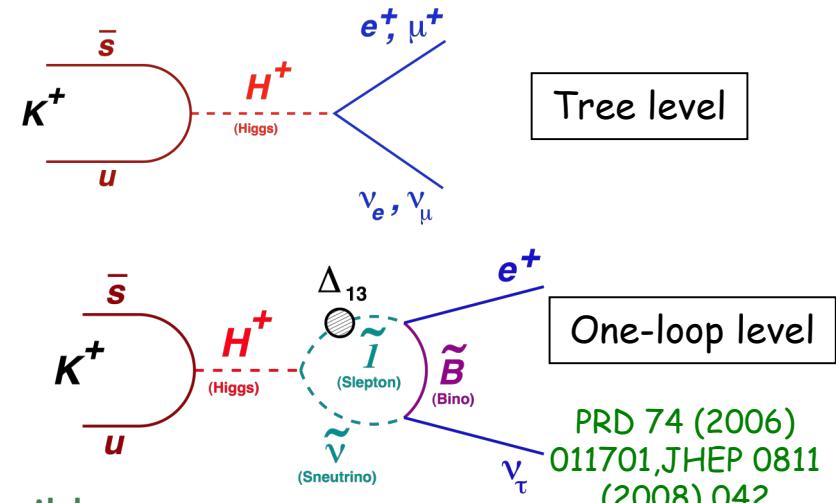
$K^\pm \rightarrow l^\pm \nu$  can proceed via charged Higgs  $H^\pm$  (in addition to  $W^\pm$ ) exchange

→ Does not affect the ratio  $R_K$

## 2HDM - one-loop level

Dominant contribution to  $R_K$ :  $H^\pm$  mediated **LFV** (rather than LFC) with emission of  $\nu_\tau$

→  $R_K$  enhancement can be experimentally accessible



$$R_K^{LFV} = \frac{\Gamma_{SM}(K \rightarrow e\nu_e) + \Gamma_{LFV}(K \rightarrow e\nu_\tau)}{\Gamma_{SM}(K \rightarrow \mu\nu_\mu)}$$

$$R_K^{LFV} \approx R_K^{SM} \left[ 1 + \left( \frac{m_K^4}{m_{H^\pm}^4} \right) \left( \frac{m_\tau^2}{m_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

Much limited by the recent  $B$  and  $\tau$  measurements  
*Fonseca, Romão and Teixeira, EPJC 72 (2012) 2228*

Sensitive to SM extensions with 4<sup>th</sup> generation, sterile neutrinos  
*Lacker and Menzel, JHEP 1007 (2010) 006; Abada et al., JHEP 1302 (2013) 048*

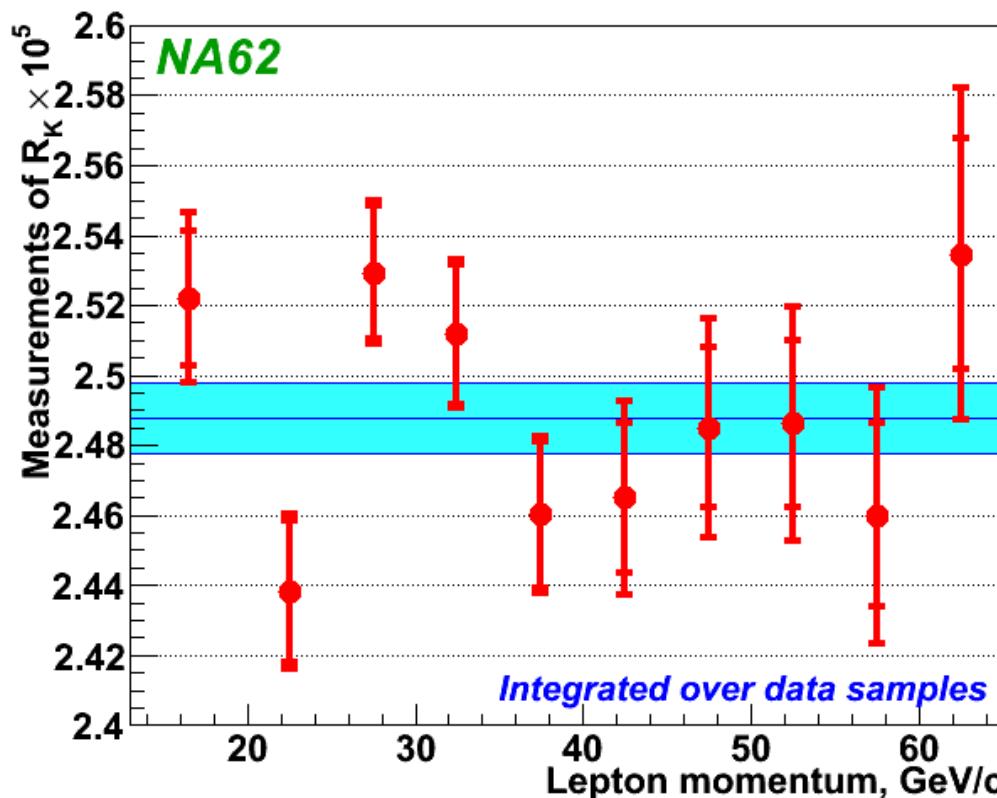
# NA62 final result

Strong UK  
leadership

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

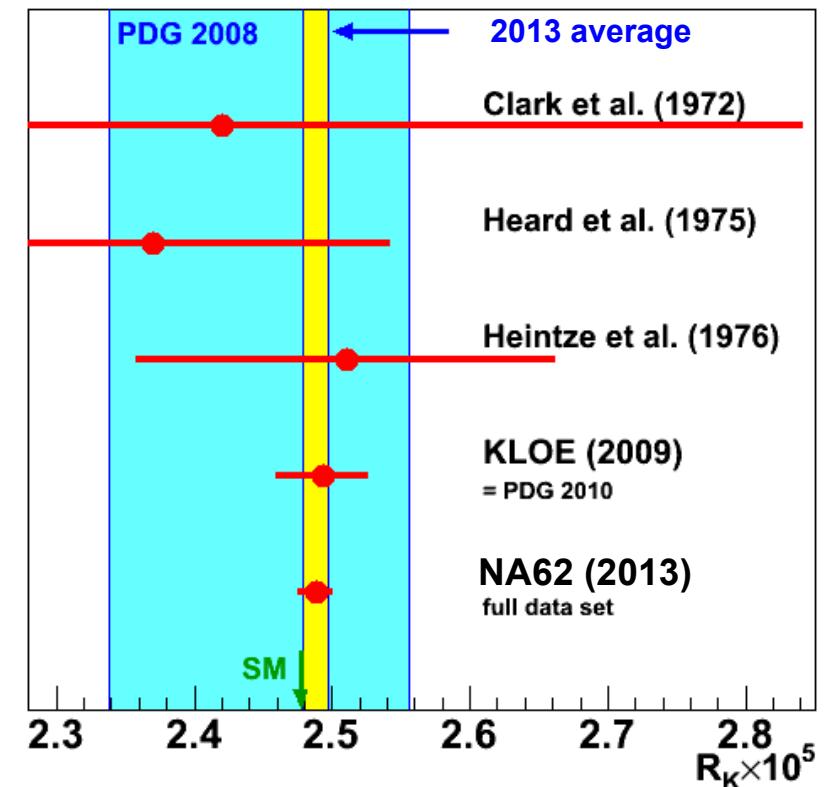
$$R_K = (2.488 \pm 0.010) \times 10^{-5}$$

[Phys. Lett. B 719 (2013) 326]



Independent measurements  
in lepton momentum bins

(systematic errors included,  
partially correlated)



World average	$R_K \times 10^5$	Precision
PDG 2008	$2.447 \pm 0.109$	4.5%
2013	$2.488 \pm 0.009$	0.4%

# $R_K$ : Future prospects

Strong UK leadership

Future NA62 (data taking in 2014-2015):

Hermetic veto (large-angle and small-angle veto counters) will strongly decrease the background.

Beam spectrometer (beam tracker plus beam Cherenkov) will allow time correlation between incoming kaons and decay products (improved PID).

Only the  $K_{\mu 2}$  ( $\mu \rightarrow e$ ) background will remain: well known  $\sim 0.1\%$  contamination.

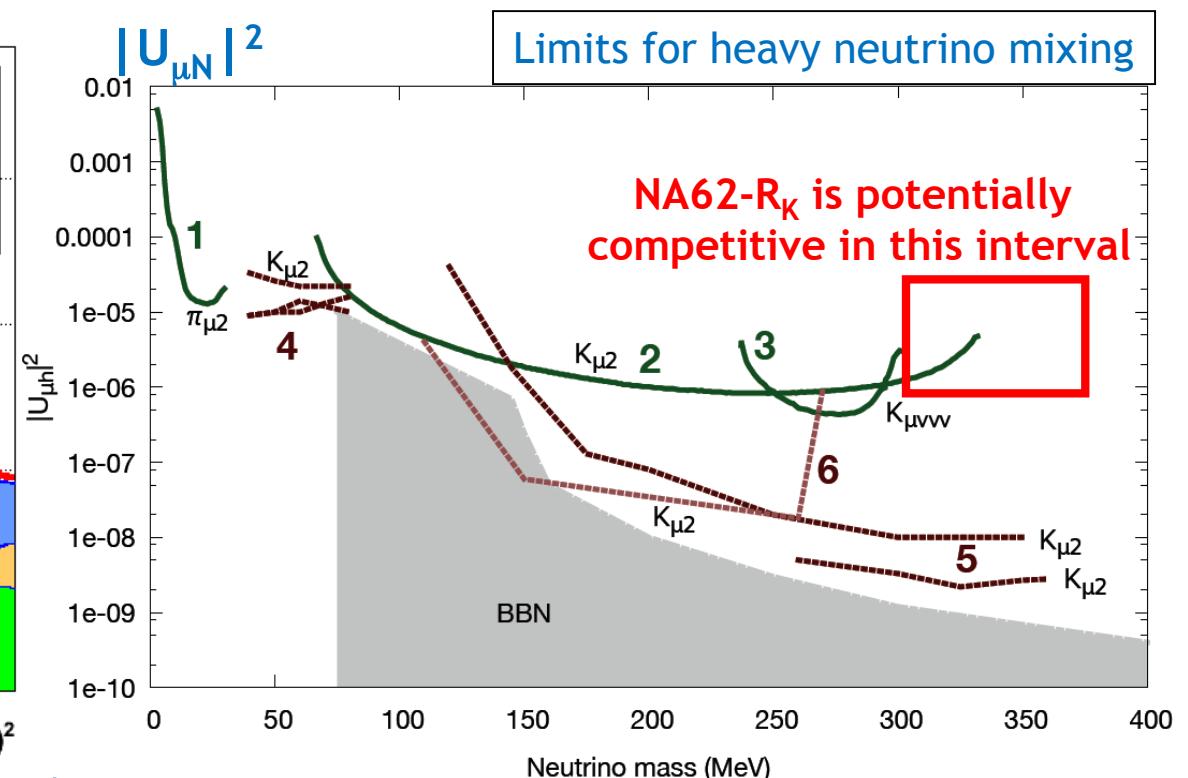
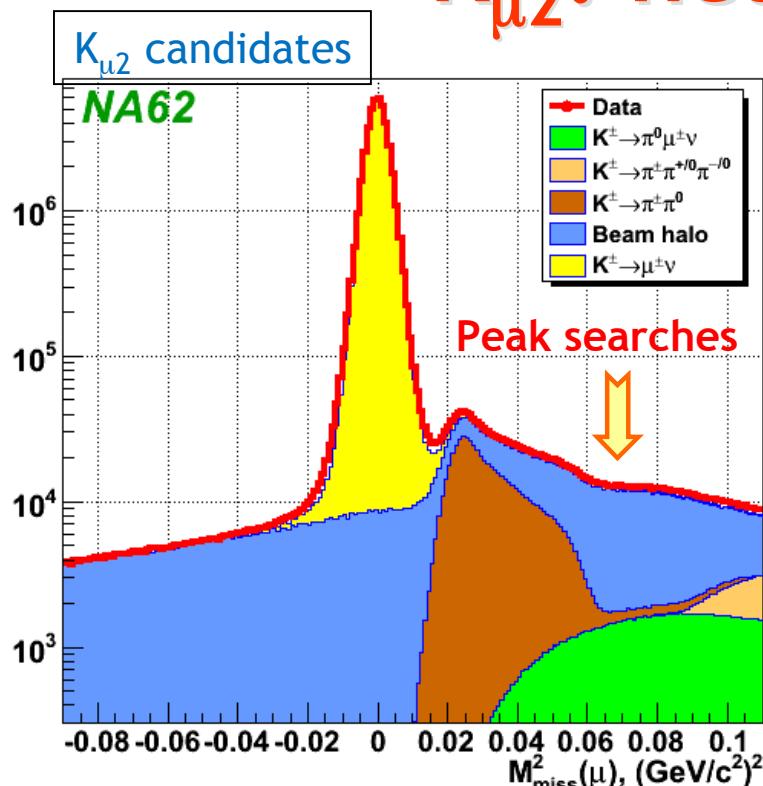
Assuming an analysis at low lepton momentum and not using electron ID, measurement of  $R_K$  with much improved relative precision is feasible.

Required statistical uncertainty is  $\sim 0.05\% \rightarrow$  few million  $K_{e2}$  candidates.

Required kaon decay flux:  $N_K \sim 10^{12}$

Expected NA62 flux:  $N_K \sim 10^{13}$

# $K_{\mu 2}$ : heavy sterile neutrinos



NA62-R<sub>K</sub> subsample: 18.0M  $K^+ \rightarrow \mu^+ \nu_\mu$   
→ Search for heavy sterile neutrino:  $K^+ \rightarrow \mu^+ N$

NA62-R<sub>K</sub> Upper Limit if no backgrounds:  
 $|U_{\mu N}|^2 < 10^{-7}$ ,  $100 \text{ MeV}/c^2 < M_N < 380 \text{ MeV}/c^2$

Sensitivity is limited by background fluctuation (mainly beam halo)

NA62-R<sub>K</sub> is competitive at high  $M_N$

Peak searches (long-lived  $\nu_h$ )

1. PSI, PLB 105 (1981) 263.
2. KEK, PRL 49 (1982) 1305.
3. LBL, PRD 8 (1973) 1989.

Decay searches (short-lived  $\nu_h$ )

4. ISTRA+, PLB 710 (2012) 307.
5. CERN-PS191, PLB 203 (1988) 332
6. BNL-E949, preliminary

More info in Francis Newson's poster

# Overview of TREK

**TREK detector:** Upgrade of E246 detector for the study of various Kaon decay channels at J-PARC.  
installing/running FY2014/2015

Search for **lepton universality** violation in a measurement of the ratio of the  $K_{e2}$  and  $K_{\mu 2}$  decay widths

$$K^+ \rightarrow e^+ \nu$$

$$K^+ \rightarrow \mu^+ \nu$$

0.25% precision

Search for a **heavy sterile neutrino**

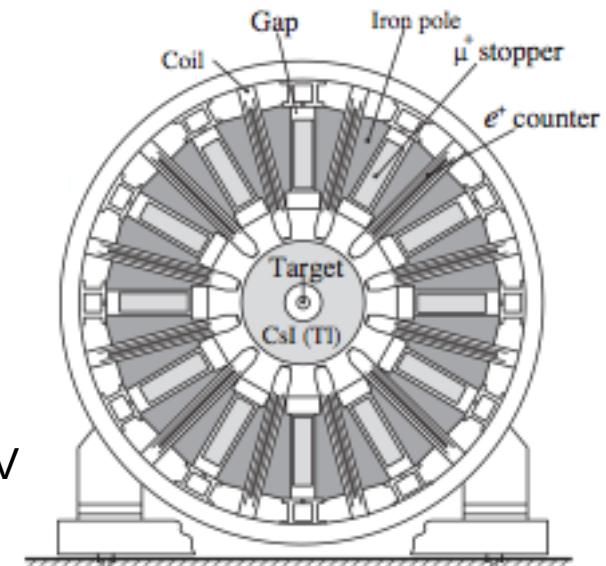
$$K^+ \rightarrow \mu^+ N$$

$$|U| < 2 \cdot 10^{-8} \text{ for } M < 200 \text{ MeV}$$

Search for **dark light**

$$K^+ \rightarrow \pi^+ A' \rightarrow \pi^+ e^+ e^-$$

$$K^+ \rightarrow \mu^+ \nu A' \rightarrow \mu^+ \nu e^+ e^-$$

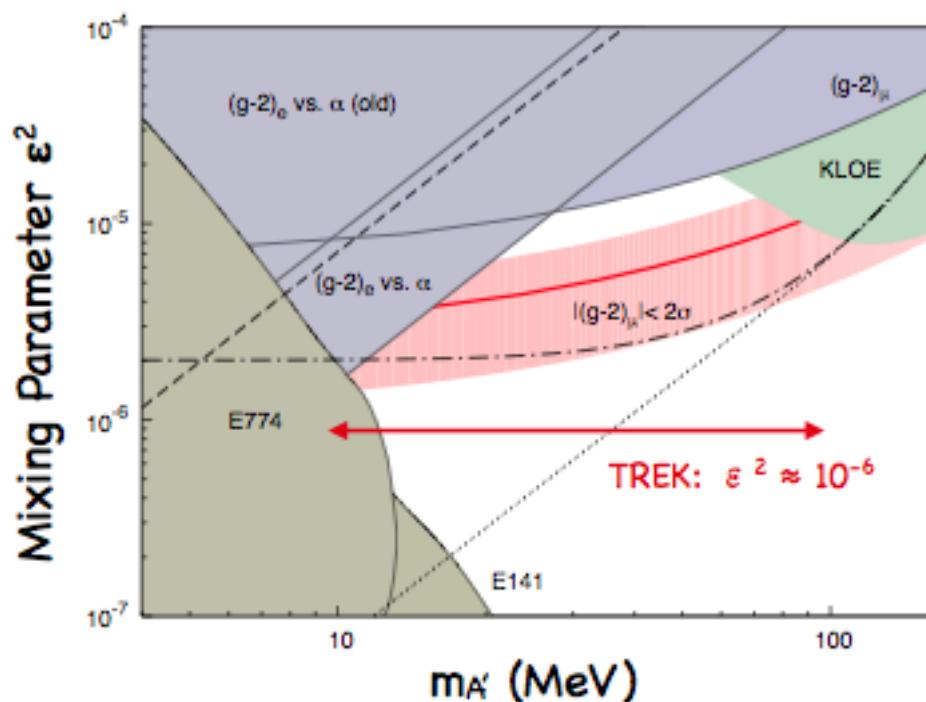


M. Abe et al., Phys. Rev. D  
73, 072005 (2006)

SM extensions with massive gauge boson  $A'$

Sensitivity: mixing parameter  $\sim 10^{-6}$  for  $10 < M(A') < 100 \text{ MeV}$

# TREK: Dark photon



T. Beranek and M. Vanderhaeghen, Phys. Rev. D **87**, 015024 (2013)

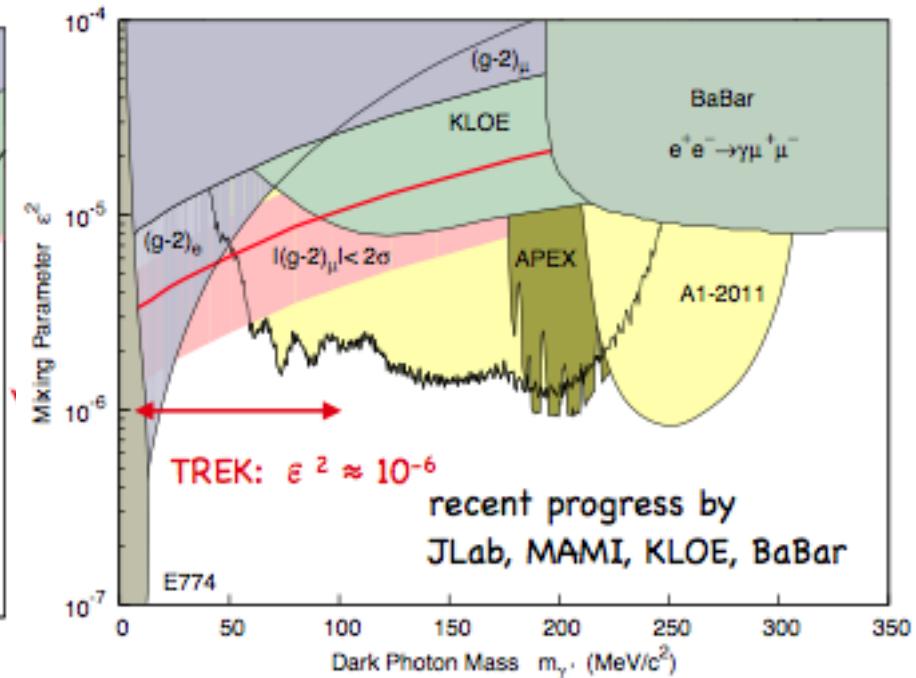


Fig. from M. Pospelov, PEB2013 workshop (2013)

K → πνν

# K $\rightarrow$ $\pi\nu\bar{\nu}$ : Theory in the Standard Model

- FCNC loop processes
- SM precision surpasses any other FCNC process involving quarks
- Short distance dynamics dominated

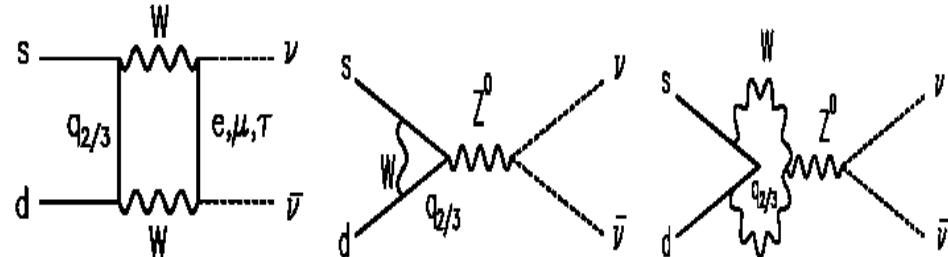
$$\begin{aligned}\lambda &= V_{us} \\ \lambda_c &= V_{cs}^* V_{cd} \\ \lambda_t &= V_{ts}^* V_{td}\end{aligned}$$

$$x(q) \equiv \frac{m_q^2}{m_W^2}$$

$$\kappa_+ = r_{K^+} \cdot \frac{3\alpha^2 Br(K^+ \rightarrow \pi^0 e^+ \nu)}{2\pi^2 \sin^4 \theta_W} \cdot \lambda^8$$

Brod et al., PRD 83 (2011) 034030

Mode	BR <sub>SM</sub> $\times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$7.81 \pm 0.75 \pm 0.29$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$2.43 \pm 0.39 \pm 0.06$



$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ \cdot \left[ \left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2 + \left( \frac{\text{Re } \lambda_t}{\lambda^5} X(x_t) + \frac{\text{Re } \lambda_c}{\lambda} P_c(X) \right)^2 \right]$$

$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \cdot \left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2$$

Charm contribution

Top contribution

The Hadronic Matrix Element is measured and isospin rotated

Theoretically clean,  
sensitive to new physics,  
almost unexplored

# BNL E787/949: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

**Technique:  $K^+$  decay at rest**

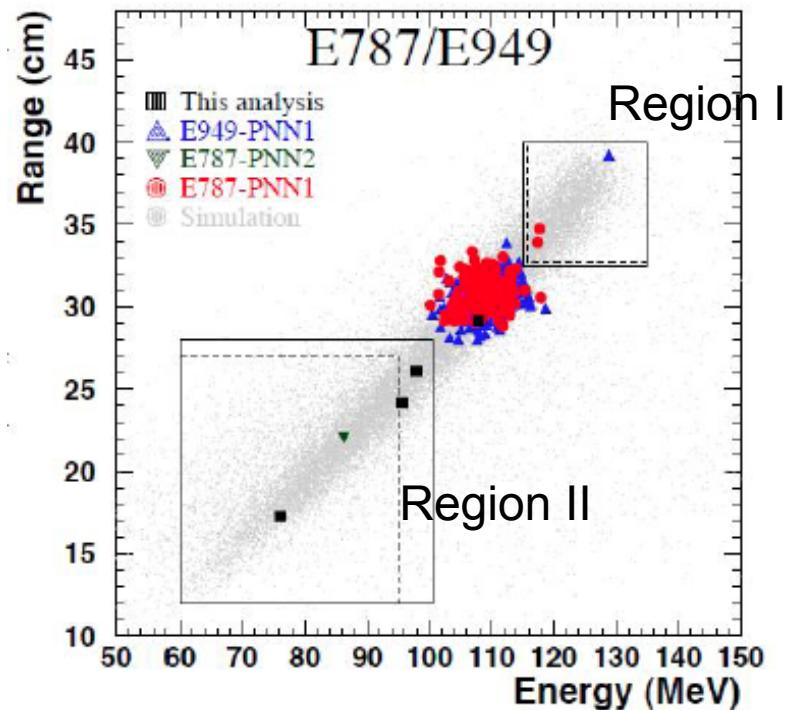
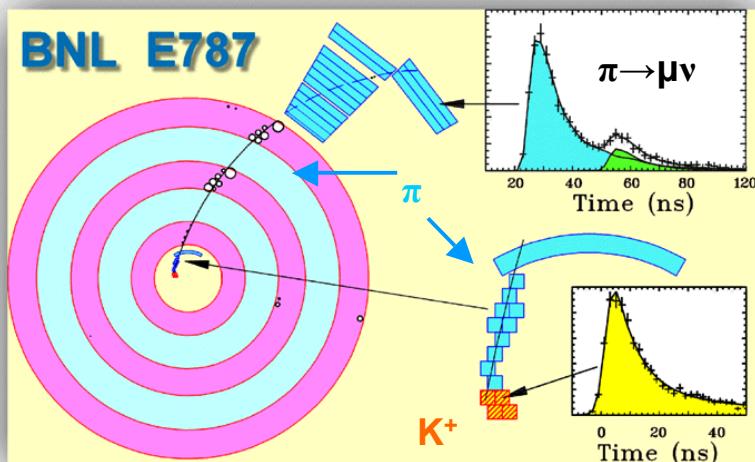
Data taking: E787 (1995–98), E949 (2002)

Separated  $K^+$  beam (**710 MeV/c, 1.6MHz**)

PID: range (entire  $\pi^+ \rightarrow \mu^+ \rightarrow e^+$  decay chain)

Hermetic photon veto system

$1.8 \times 10^{12}$  stopped  $K^+$ , ~0.1% signal acceptance



Background in Region 2 from the  $K_{2\pi}$  decay with  $\pi^+$  scattering in the target.

$$\text{E787/E949: } BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$

- 7 observed candidates, 2.6 expected background
- Probability that 7 observed events are all background is  $10^{-3}$
- Still compatible with SM within errors

PRL 101 (2008) 191802;  
PRD 79 (2009) 092004

# NA62 @CERN: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

NA62 aim: collect  $O(100)$  SM  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decays with <20% background in 2 years of data taking using a novel decay-in-flight technique

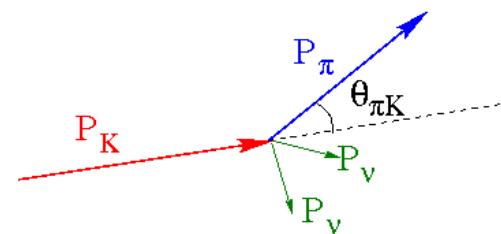
Decay signature: high momentum  $K^+$  (75 GeV/c)  $\rightarrow$  low momentum  $\pi^+$  (15–35 GeV/c)

Advantages: max detected  $K^+$  decays/proton ( $p_K/p_0 \approx 0.2$ ); efficient photon veto (>40 GeV missing energy); good  $\pi^+$  vs  $\mu^+$  identification with RICH

Un-separated beam (6% kaons)  $\rightarrow$  higher rates, additional backgrounds

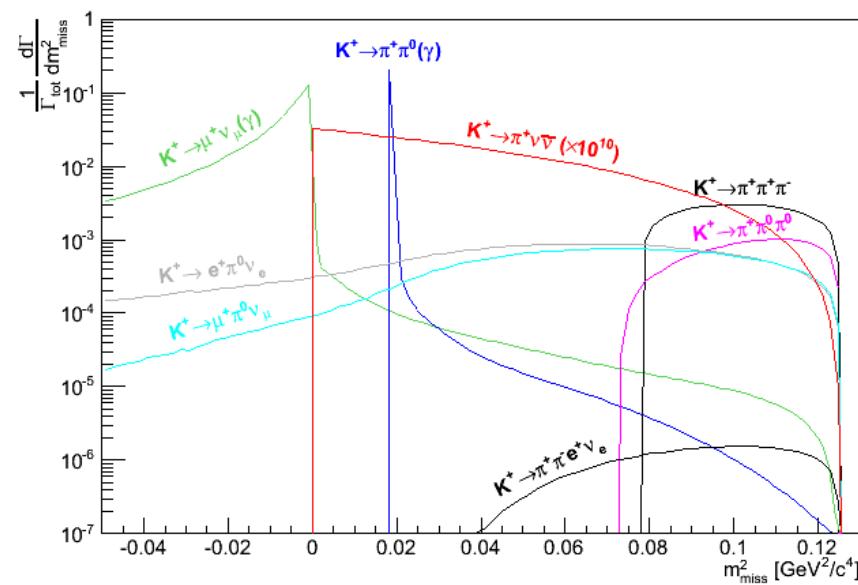
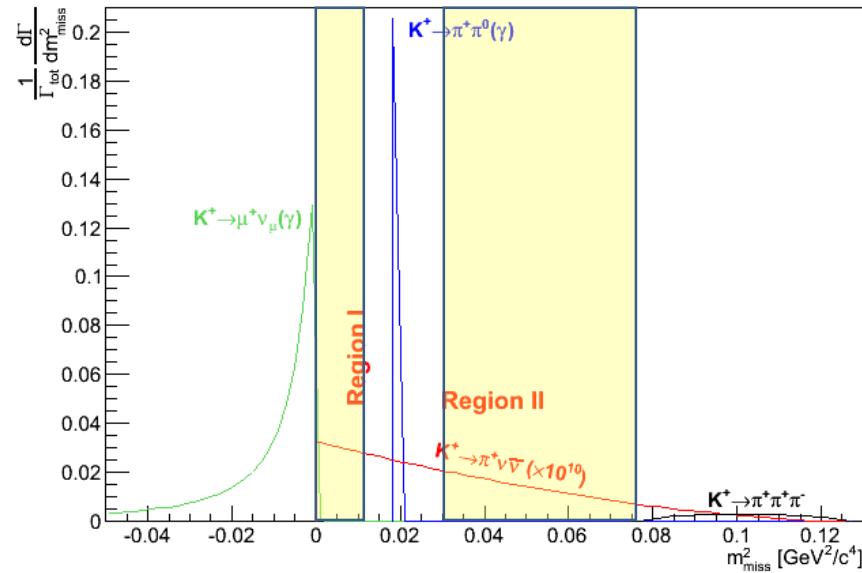
Kinematic variable:

$$m_{miss}^2 = (P_K - P_{\pi^+})^2$$



# Backgrounds

## K decays



## Background

- 1)  $K^+$  decay modes
- 2) Accidental single track matched with a  $K$ -like track

## Accidental single tracks:

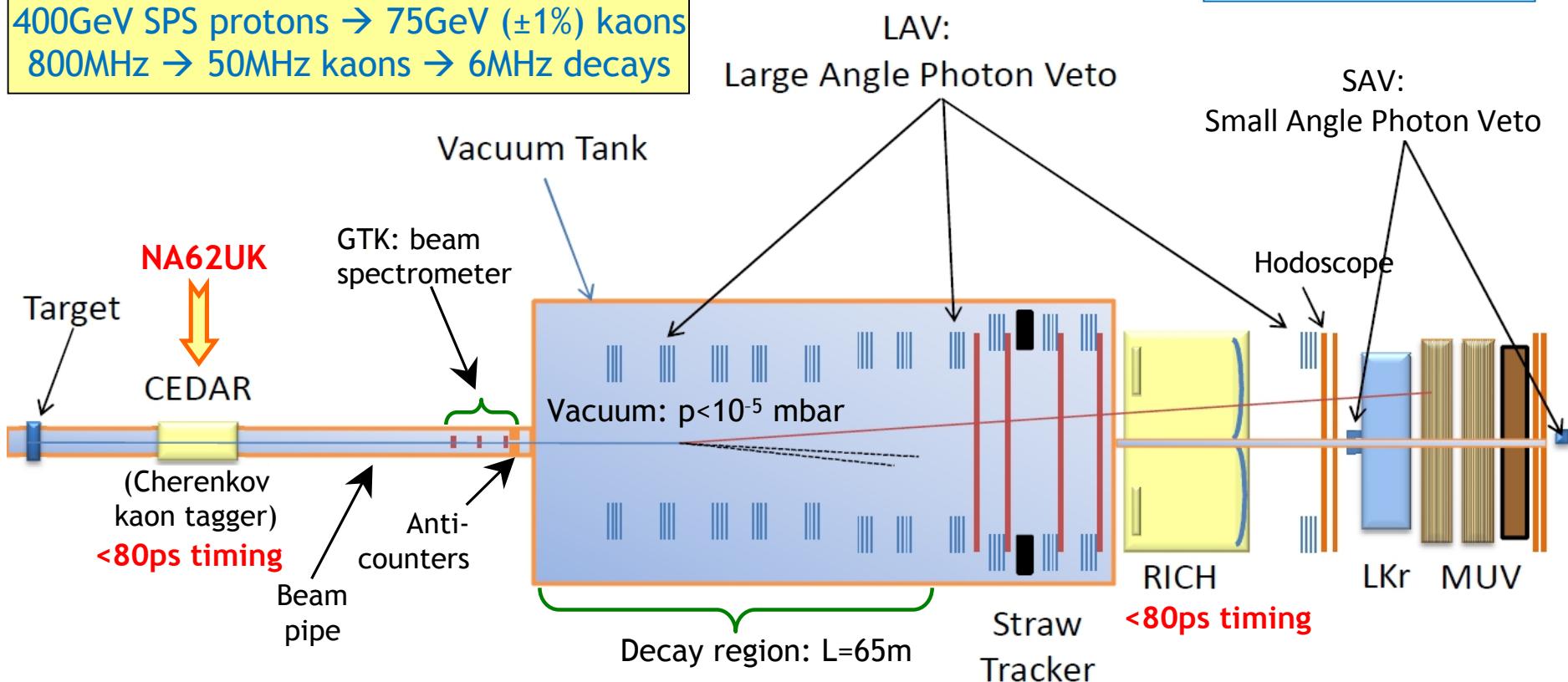
Beam interactions in the beam tracker  
Beam interactions with the residual gas  
in the vacuum region.

Signal & backgrounds (events/year)	
Signal	45
$K^+ \rightarrow \pi^+ \pi^0$	5
$K^+ \rightarrow \mu^+ \nu$	1
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	<1
Other 3-track decays	<1
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB)	1.5
$K^+ \rightarrow \mu^+ \nu \gamma$ (IB)	0.5 <sub>16</sub>
Total background	<10

# The NA62 detector

Un-separated hadron ( $p/\pi^+/K^+$ ) beam:  
 400GeV SPS protons  $\rightarrow$  75GeV ( $\pm 1\%$ ) kaons  
 800MHz  $\rightarrow$  50MHz kaons  $\rightarrow$  6MHz decays

Total length: ~270m



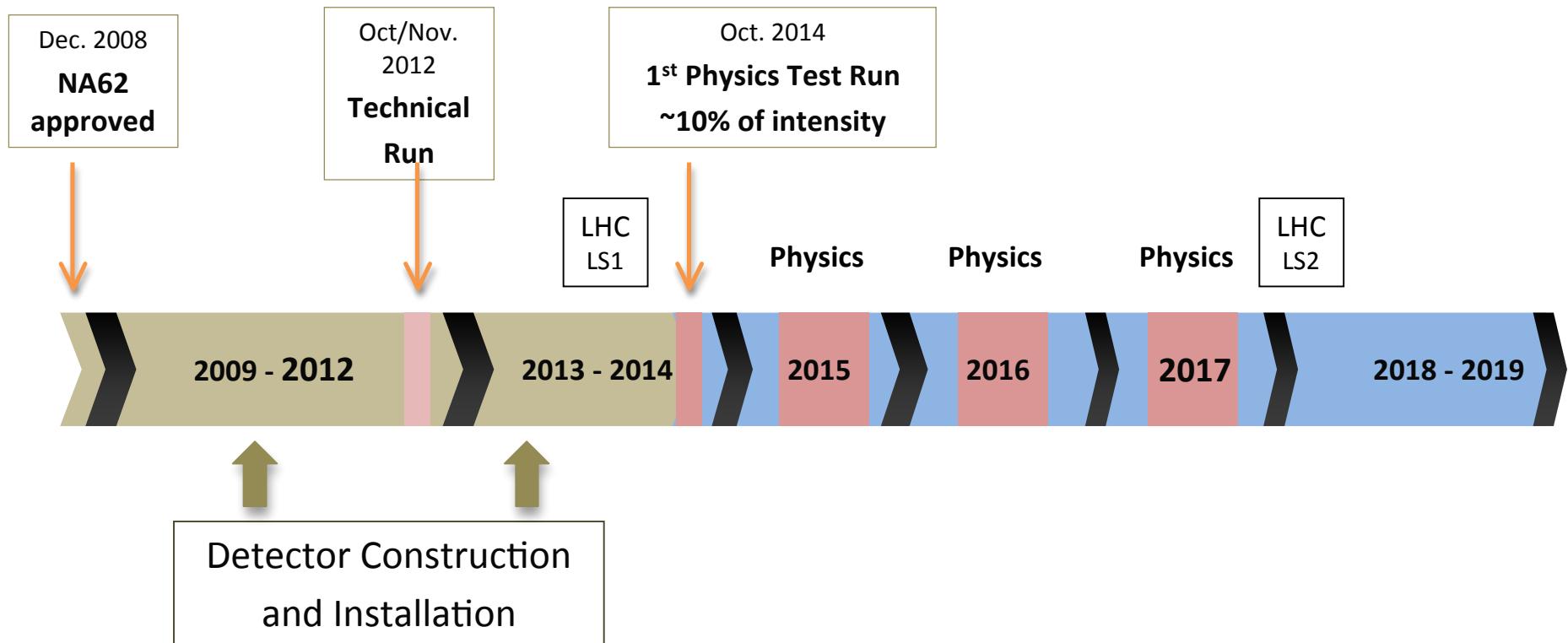
Kinematic rejection factors (limited by beam pileup and tails of MCS):

$5 \times 10^3$  for  $K^+ \rightarrow \pi^+\pi^0$ ,  $1.5 \times 10^4$  for  $K \rightarrow \mu^+\nu$ .

Hermetic photon veto:  $\sim 10^8$  suppression of  $\pi^0 \rightarrow \gamma\gamma$ .

Particle ID (RICH+LKr+MUV):  $\sim 10^7$  muon suppression.

# NA62 Timeline

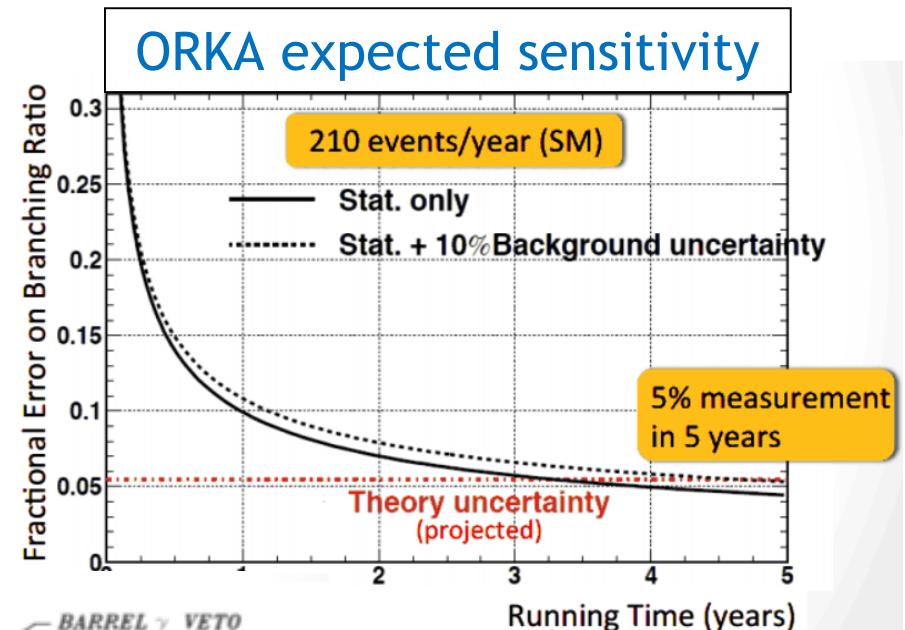
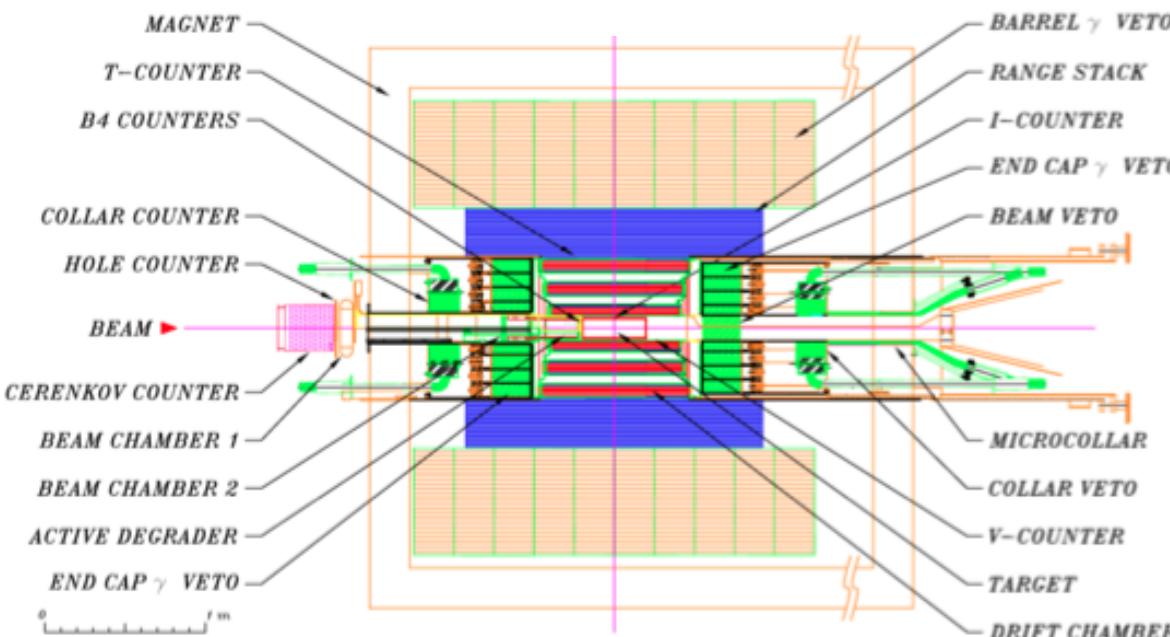


- 5 years of construction interleaved with a Technical Run in fall 2012
- In 2014 a first Run with full detector
- 3 years of Physics data taking before LHC Long Shutdown 2 (LS2)

# K $\rightarrow$ $\pi\nu\nu$ experiments: ORKA

## ORKA @ FNAL Main Injector (K $^+$ ):

Builds on BNL stopped-kaon technique  
 Expect ~100 times higher sensitivity  
 (x10 from beam and x10 from detector)  
 Goal:  $O(10^3)$  SM K $^+\rightarrow\pi^+\nu\nu$  events  
 Fits inside the CDF solenoid  
 Re-use CDF infrastructure



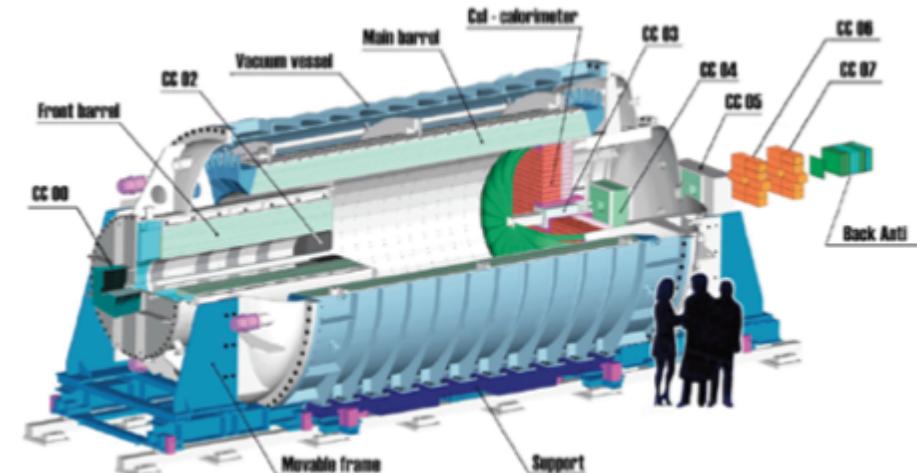
Stage I approval:  
 US Flavour community  
 and funding agencies are  
 working to find a way to  
 make it possible

# $K \rightarrow \pi \nu \bar{\nu}$ experiments: KOTO

## KOTO @ J-PARC ( $K_L$ ):

E391a:  $BR < 6.8 \times 10^{-8}$  @ 90%CL  
Expect  $\sim 10^3$  times higher sensitivity

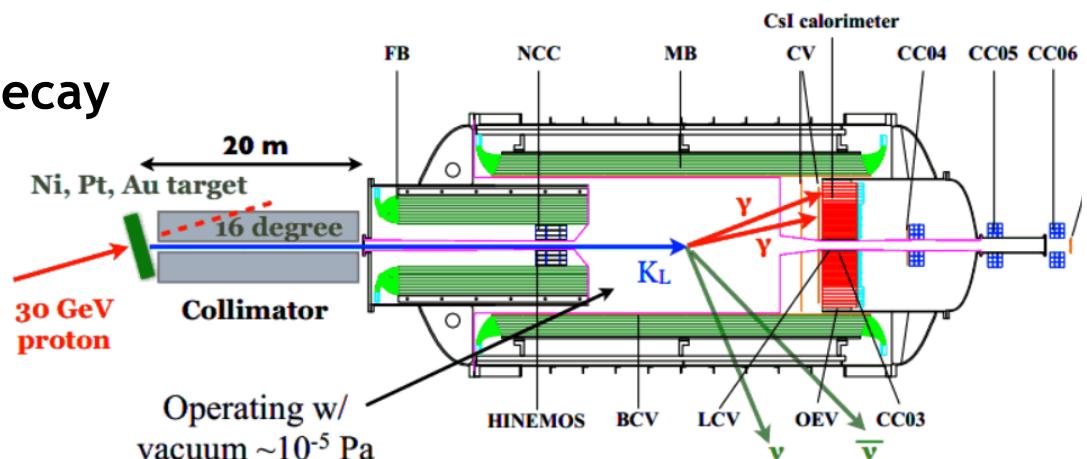
Builds on KEK E391a technique  
Detector construction finished  
Data taking: 2013–2017



Collimators to suppress halo neutrons -  
main background in E391a ( $n + \text{detector} \rightarrow \pi^0$ )

Pencil beam: can reconstruct decay  
vertex assuming  $\pi^0$  mass

Goal:  $\sim 3$  SM  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  events  
Possible step 2:  $\sim 100$  SM events



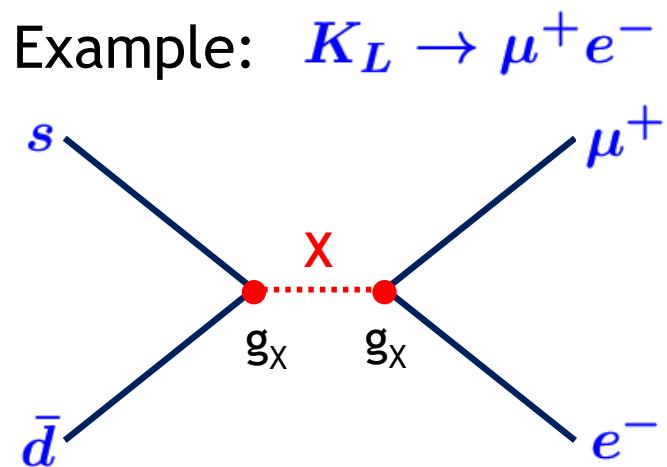
# **Lepton Flavour/Number violation**

# LFV in kaon decays

Copious production: high statistics

Simple decay topologies: clean experimental signatures

High NP mass scales accessible for tree-level contributions



Dimensional argument:

$$\frac{\Gamma_X}{\Gamma_{\text{SM}}} \sim \left( \frac{g_X}{g_W} \cdot \frac{M_W}{M_X} \right)^4$$

For  $g_X \approx g_W$  and  $\mathcal{B} \sim 10^{-12}$ :

$$M_X \sim 100 \text{ TeV}$$

# LFV in $K^\pm$ decays

Mode	UL at 90% CL	Experiment	Reference
$K^+ \rightarrow \pi^+ \mu^+ e^-$	$1.3 \times 10^{-11}$	BNL E777/E865	PRD 72 (2005) 012005
$K^+ \rightarrow \pi^+ \mu^- e^+$	$5.2 \times 10^{-10}$		
$K^+ \rightarrow \pi^- \mu^+ e^+$	$5.0 \times 10^{-10}$	BNL E865	PRL 85 (2000) 2877
$K^+ \rightarrow \pi^- e^+ e^+$	$6.4 \times 10^{-10}$		
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$	$1.1 \times 10^{-9}$	CERN NA48/2	PLB 697 (2011) 107
$K^+ \rightarrow \mu^- \nu e^+ e^+$	$2.0 \times 10^{-8}$	Geneva-Saclay	PL 62B (1976) 485
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		



CERN NA48/2 sensitivities for these 3 modes are similar to those of BNL E865

Expected NA62 single event sensitivities:  $\sim 10^{-12}$  for  $K^\pm$  decays

NA62 is capable of improving on all these decay modes

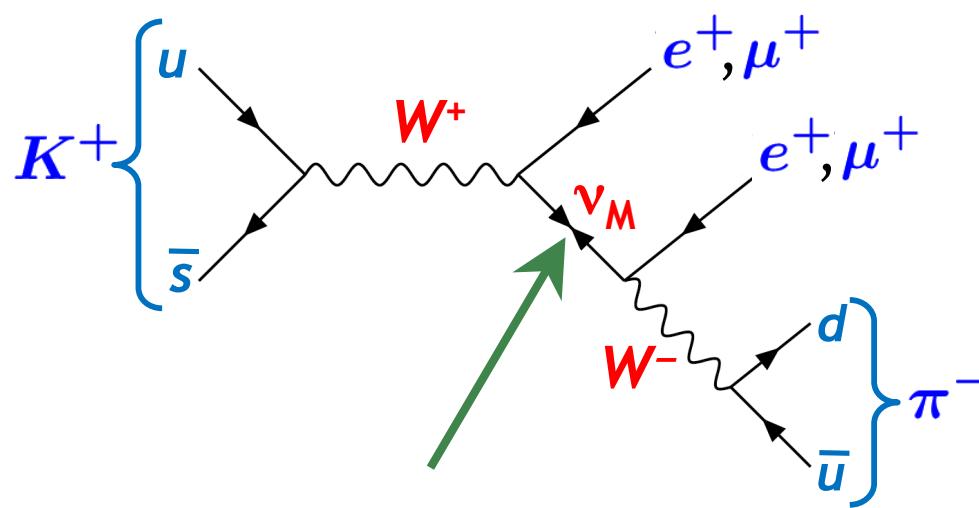
e.g. 2003-4 data  $K^+ \rightarrow \pi^- \mu^+ \mu^+$ :  $\mathcal{B}(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9}$  @90% CL

Precision limited by background from  $\pi^\pm \rightarrow \mu^\pm \nu$  decays in spectrometer,  
despite SES  $\approx 3 \times 10^{-11}$

In future, no  $K_{3\pi}$  background expected due to high spectrometer  $P_T$  (270 vs  
120 MeV/c) and improved  $\pi \mu \mu$  mass resolution (1.1 vs 2.6 MeV/c<sup>2</sup>)

# Sensitivity to Majorana neutrino

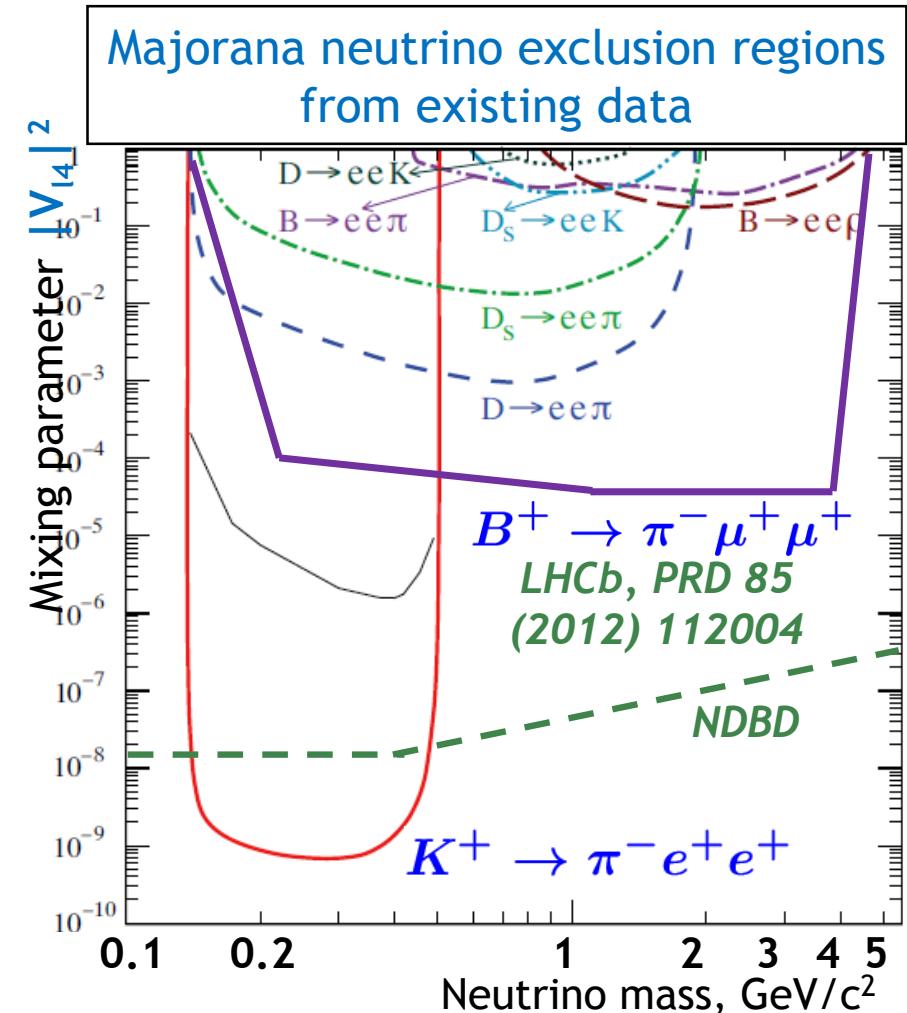
$$K^+ \rightarrow \pi^- \ell_1^+ \ell_2^+, \quad \ell = e, \mu$$



resonant enhancement for

$$m_\pi \lesssim m_\nu \lesssim m_K$$

*Littenberg and Shrock,  
PLB491 (2000) 285*



*Plot from Atre et al.,  
JHEP 0905 (2009) 030*

# Summary

Several kaon existing experiments producing results  
(only a selection shown here)

Several **planned experiments** around the world: **KLOE-2, NA62,  
TREK, KOTO, ORKA, KLOD**

## Diverse physics programme:

- CP violation, T violation
- Ultra-Rare Decays
- Lepton Flavour/Number violation
- Search for heavy/Majorana neutrinos
- Branching ratios, QCD tests, form factors etc. (not shown)

## NA62@CERN (construction/commissioning):

expected single event sensitivity for  $K^+$  decays:  $\sim 10^{-12}$   
preparing for the physics run in 2014 (low intensity)  
with a rich physics programme



# *Spares*

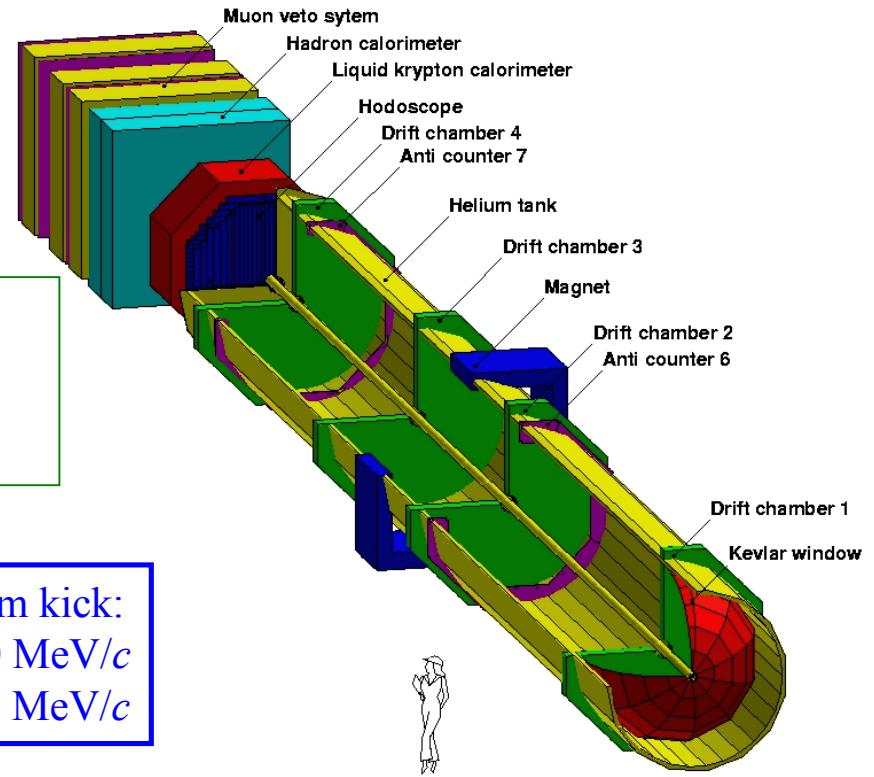
# Detector

Magnetic spectrometer:

$$\sigma_p/p = (1.0 \oplus 0.044 p)\% \text{ [GeV/c]} \quad 2004$$
$$\sigma_p/p = (0.48 \oplus 0.009 p)\% \text{ [GeV/c]} \quad 2007$$

Trigger Hodoscope:  
 $\sigma_t = 150\text{ps}$

Momentum kick:  
2004:  $120\text{ MeV}/c$   
2007:  $265\text{ MeV}/c$



LKr electromagnetic calorimeter:

$$\sigma_E/E = (3.2/\sqrt{E} \oplus 9.0/E \oplus 0.42)\%$$

(E in GeV)

$$\sigma_x = \sigma_y \sim 1.5\text{mm for } E=10\text{ GeV}$$

$$\sigma(M_{\pi\pi 0\pi^0}) = 1.4\text{ MeV}/c^2$$

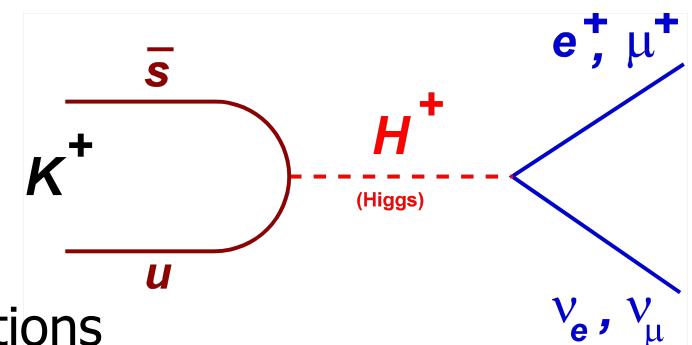
E/p ratio used for e/π discrimination

- ~100 m long decay region in vacuum
- Similar acceptance between  $K^+$  and  $K^-$  beams checked reversing magnetic fields
- Pion decay products, from the hadronic beam, remain into the beam pipe

# Leptonic meson decays: $P^+ \rightarrow l^+ \nu$

SM contribution is helicity suppressed:

$$\Gamma(P^+ \rightarrow l^+ \nu) = \frac{G_F^2 M_P M_l^2}{8\pi} \left(1 - \frac{M_l^2}{M_P^2}\right)^2 f_P^2 |V_{qq'}|^2$$



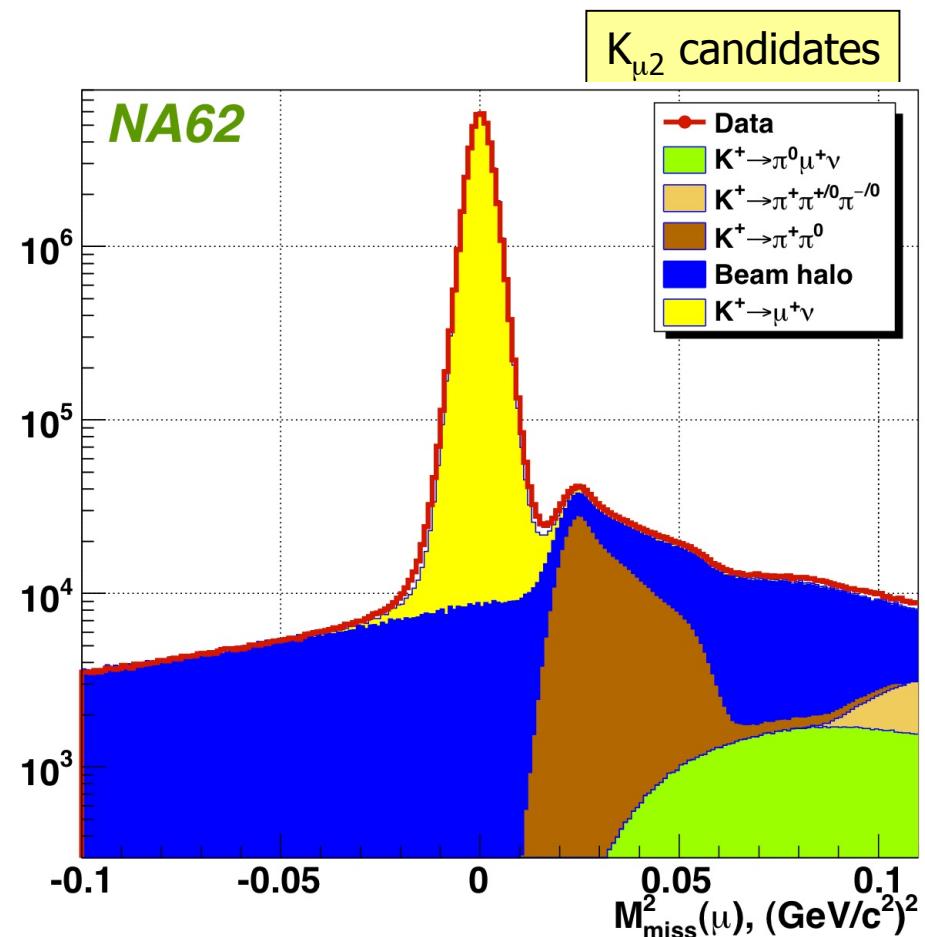
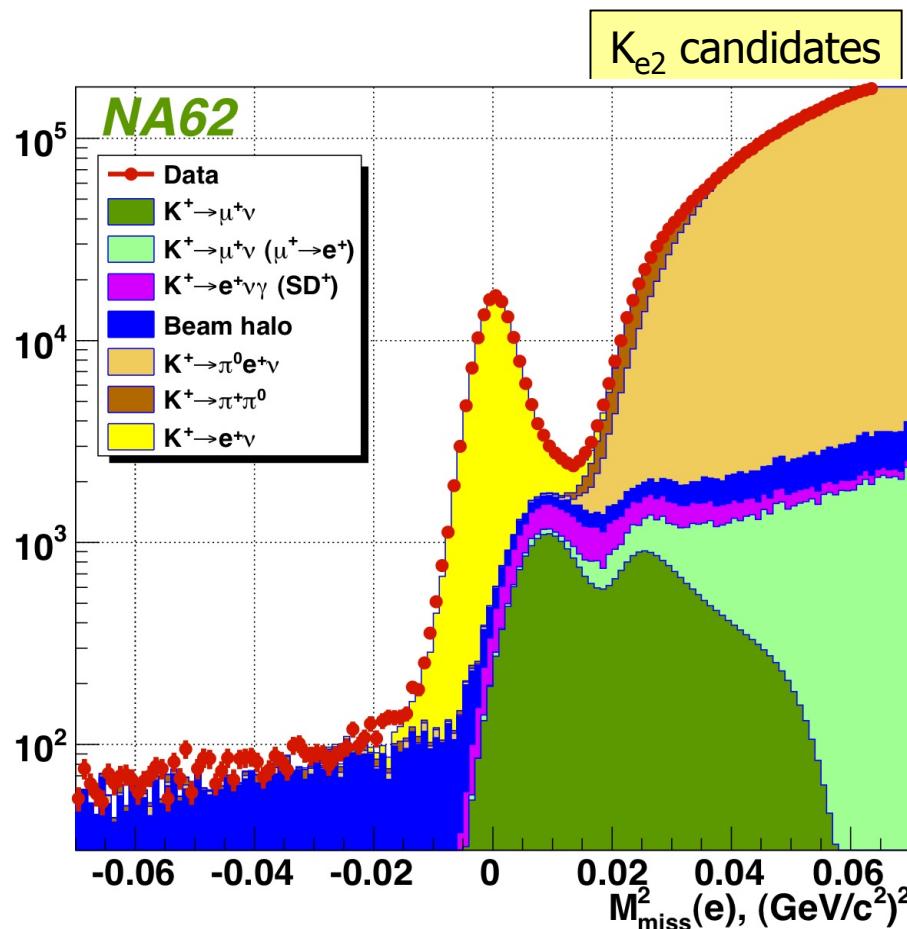
Sizeable tree level charged Higgs ( $H^\pm$ ) contributions  
in [models with two Higgs doublets \(2HDM including SUSY\)](#)

[PRD48 \(1993\) 2342](#); [Prog.Theor.Phys. 111 \(2004\) 295](#)

(numerical examples for  $M_H=500\text{GeV}/c^2$ ,  $\tan\beta = 40$ )

$\pi^+ \rightarrow l\nu$ :	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_\pi/m_H)^2 m_d/(m_u+m_d) \tan^2\beta \approx 2 \times 10^{-4}$
$K^+ \rightarrow l\nu$ :	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_K/m_H)^2 \tan^2\beta \approx 0.3\%$
$D_s^+ \rightarrow l\nu$ :	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_D/m_H)^2 (m_s/m_c) \tan^2\beta \approx 0.4\%$
$B^+ \rightarrow l\nu$ :	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_B/m_H)^2 \tan^2\beta \approx 30\%$

# NA62 data set for $R_K$



145,958  $K^+ \rightarrow e^+\nu$  candidates.  
Positron ID efficiency:  $(99.28 \pm 0.05)\%$ .  
 $B/(S+B) = (10.95 \pm 0.27)\%$ .

42.817M candidates  
with low background  
 $B/(S+B) = (0.50 \pm 0.01)\%$

# CKM Unitarity and Rare Kaon Decays

The unitarity of the CKM matrix can be expressed by triangles in a complex plane: there are six triangles but one is more “triangular”:

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

$$\begin{aligned}\lambda_t &= V_{td} V_{ts}^* \\ \text{Im } \lambda_t &= A^2 \lambda^5 \eta \\ \text{Re } \lambda_t &= A^2 \lambda^5 \rho\end{aligned}$$

It is customary to employ the Wolfenstein parameterization:

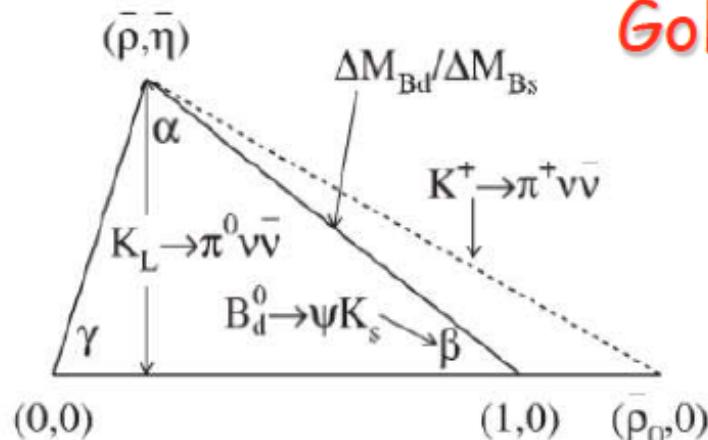
$$V_{us} \sim \lambda \quad V_{cb} \sim \lambda^2 A \quad V_{ub} \sim \lambda^3 A(\rho - i\eta) \quad V_{td} \sim \lambda^3 A(1-\rho - i\eta)$$

The “Standard” Unitarity Triangle

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

The “Kaon” Unitarity Triangle

$$V_{us}^* V_{ud} + V_{cs}^* V_{cd} + V_{ts}^* V_{td} = 0$$



Golden modes

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$ V_{ts}^* V_{td} $
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$\text{Im}(V_{ts}^* V_{td}) \propto \eta$
$B_d \rightarrow \Psi K_s$	$\sin 2\beta$
$\frac{\Delta m_d}{\Delta m_s} = \frac{B_d - \bar{B}_d}{B_s - \bar{B}_s}$	$ V_{td}/V_{ts} $

# The NA62 Beam

## Primary Beam:

- 400 GeV/c protons
- $3 \cdot 10^{12}$  protons/pulse (3 NA48/2)
- 4.8/16.8 s duty cycle

## Secondary Beam:

- 75 GeV/c momentum ( $\Delta p/p \sim 1\%$ )
- Beam acc.: 12.7 mstr (32 NA48/2)
- Total rate: 800 MHz
- $K^+ \sim 6\%$
- $4.5 \cdot 10^{12} K^+ \text{decays}/y$  (45 NA48/2)

## Only 6% $K^+$ but:

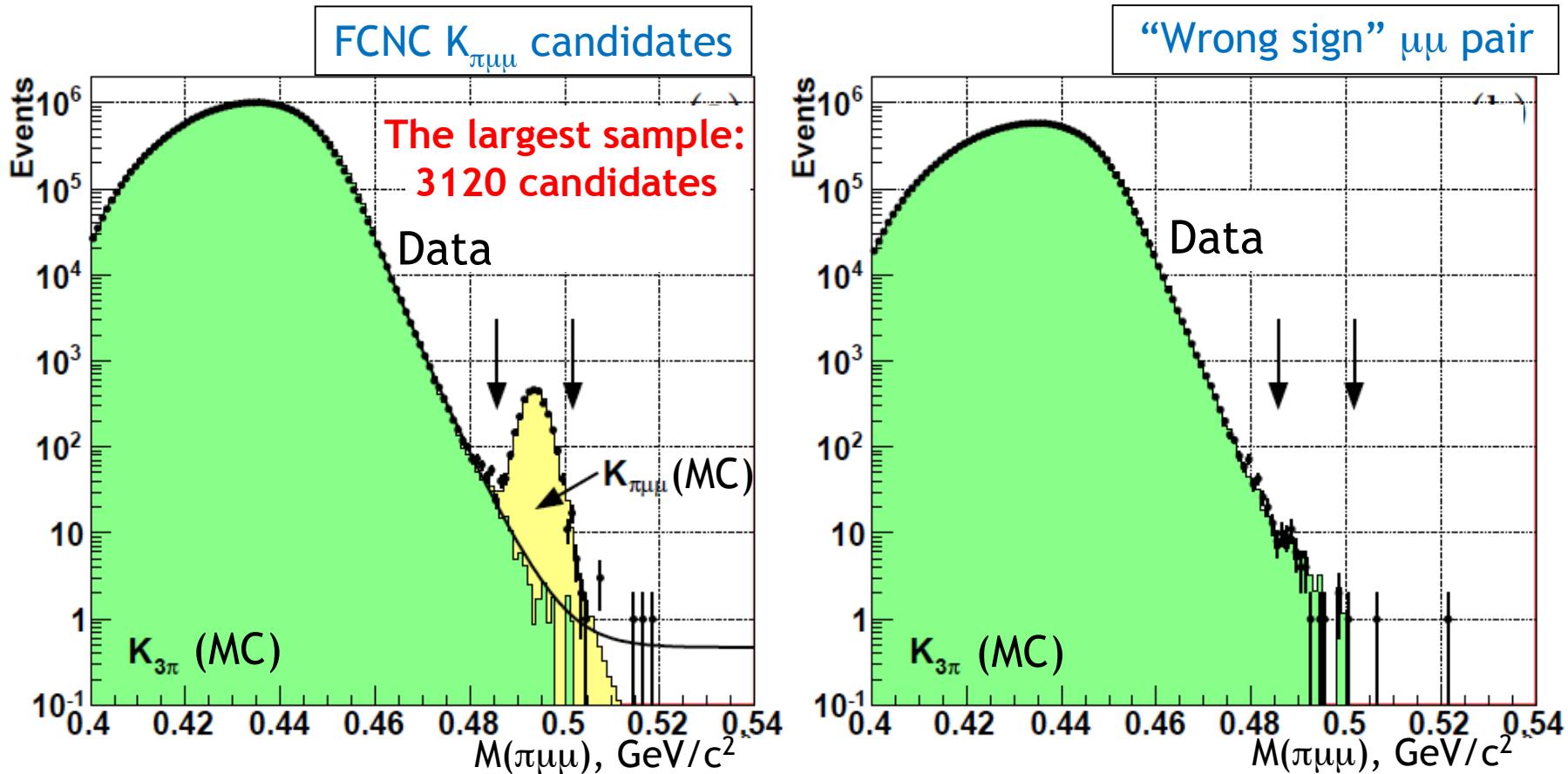
- protons and positrons don't decay...
- pions and muons decays cannot mimic  $K^+$  decays
- but beam-gas interactions do!!



Keep vacuum at  $10^{-6}$  mbar:  
use existing NA48 decay tank

- Size @ beam tracker:  $5.5 \times 2.2 \text{ cm}^2$
- Rate @ beam tracker: 800 MHz
- Rate @ KTAG: 50 MHz
- Rate downstream 10 MHz  
( $K^+$  decay mainly)
- Angular spread in X and Y  $< 100 \mu$  rad

# NA48/2 $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ upper limit



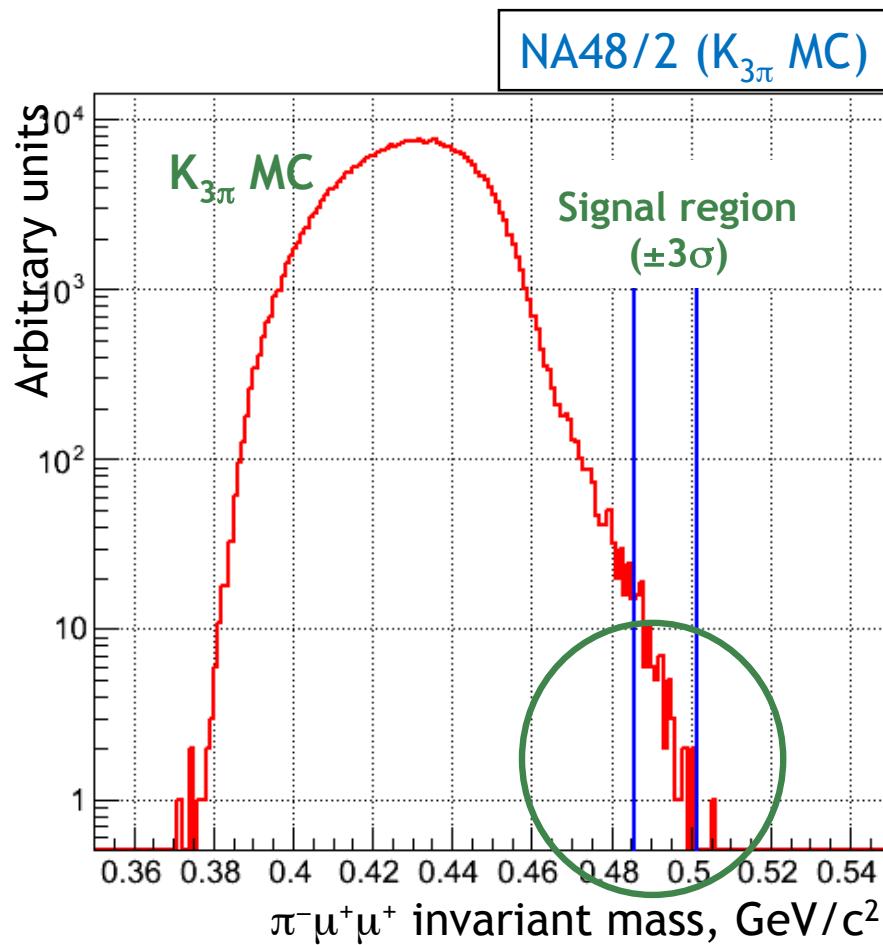
$$N_{\text{data}} = 52 \quad N_{\text{bkg}} = 52.6 \pm 19.8_{\text{syst.}} \quad \Rightarrow \mathcal{B}(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9} \text{ @90% CL}$$

Precision limited by background from  $\pi^\pm \rightarrow \mu^\pm \nu$ , despite  $\text{SES} \approx 3 \times 10^{-11}$ .

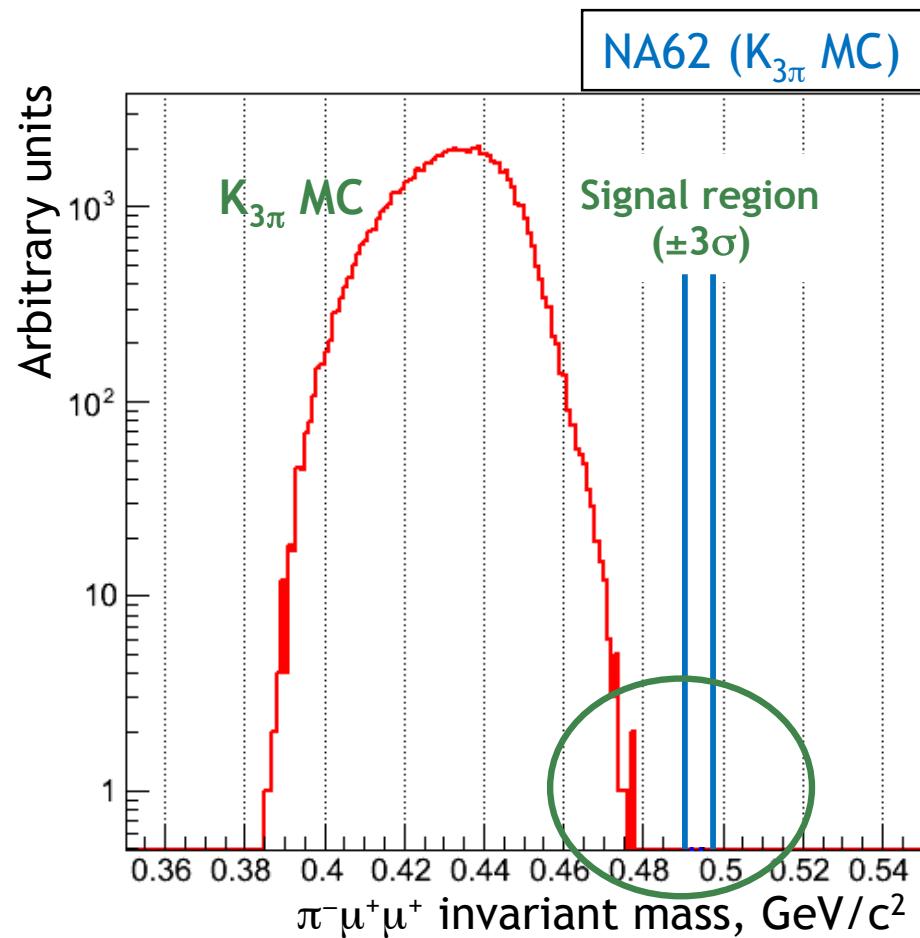
Flat phase space assumed (rather than Majorana neutrino exchange).

A dedicated re-analysis has a potential sensitivity of  $\sim 10^{-10}$ .

# $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ at NA62



NA48/2:  $K_{3\pi}$  background to  $K_{\pi\mu\mu}$  due to  $\pi^\pm \rightarrow \mu^\pm \nu$  decays in the spectrometer



NA62: no  $K_{3\pi}$  background expected due to high spectrometer  $P_T$  (270 vs 120 MeV/c) and improved  $\pi\mu\mu$  mass resolution (1.1 vs 2.6 MeV/c $^2$ )

# Prospects for LFNV Searches in $K^+$ and $\pi^0$ decays at NA62

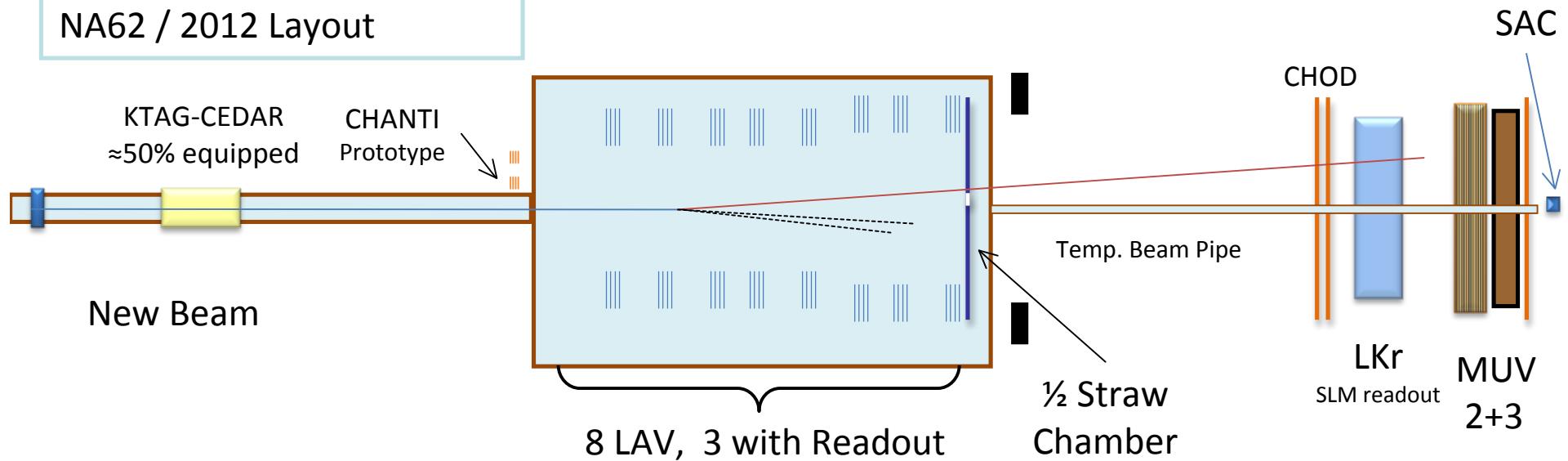
Decay mode	Physics Interest	UL at 90% CL (Experiment)
$K^+ \rightarrow \pi^+ \mu^+ e^-$	LFV	$< 1.3 \times 10^{-11}$ (BNL E777/E865)
$K^+ \rightarrow \pi^+ \mu^- e^+$	LFV	$< 5.2 \times 10^{-10}$ (BNL E865)
$K^+ \rightarrow \pi^- \mu^+ e^+$	LFNV: $\Delta L_\mu = \Delta L_e = -1$	$< 5.0 \times 10^{-10}$ (BNL E865)
$K^+ \rightarrow \pi^- e^+ e^+$	LNV: $ \Delta L_e  = 2$	$< 6.4 \times 10^{-10}$ (BNL E865)
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	LNV: $ \Delta L_\mu  = 2$	$< 1.1 \times 10^{-9}$ (NA48/2)
$K^+ \rightarrow \mu^- \nu_\mu e^+ e^+$	LNV: $ \Delta L_e  = 2$ or LFV	$< 2.8 \times 10^{-8}$ (Geneva-Saclay)
$K^+ \rightarrow e^- \nu_e \mu^+ \mu^+$	LNV: $ \Delta L_\mu  = 2$ or LFV	No Data
$\pi^0 \rightarrow \mu^\pm e^\mp$	LFV	$< 3.6 \times 10^{-10}$ (KTEV)

- Total number of decays in fiducial volume:  $1.2 \times 10^{13} K^+$  &  $2.5 \times 10^{12} \pi^0$ 
  - Expected Acceptance O(10%)

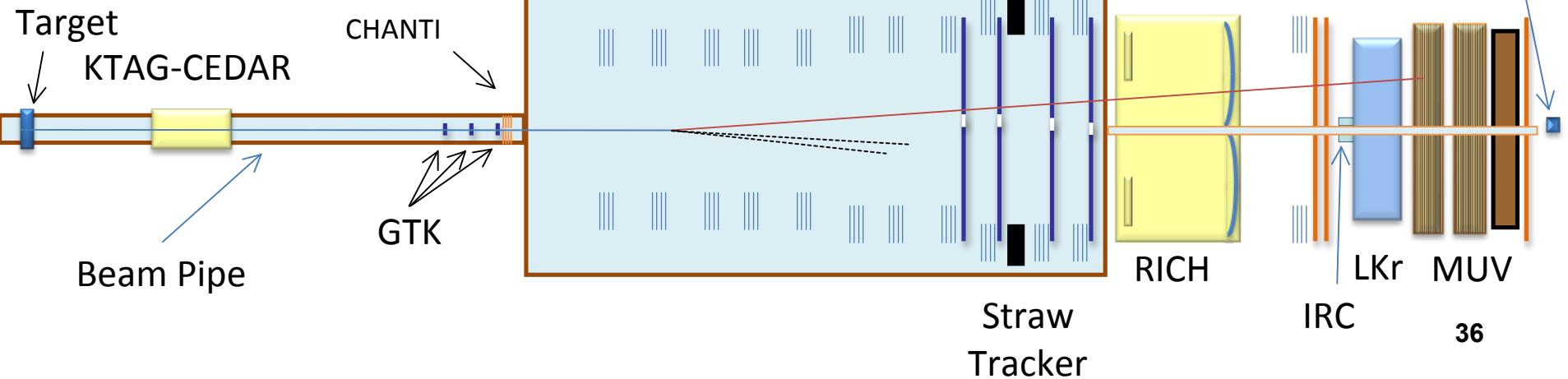
**NA62 SINGLE-EVENT SENSITIVITY(\*):**  $\sim 10^{-12}$  ON  $K^+$  DECAY  $\sim 10^{-11}$  ON  $\pi^0$  DECAY

# NA62 Detector 2012 and 2014

NA62 / 2012 Layout

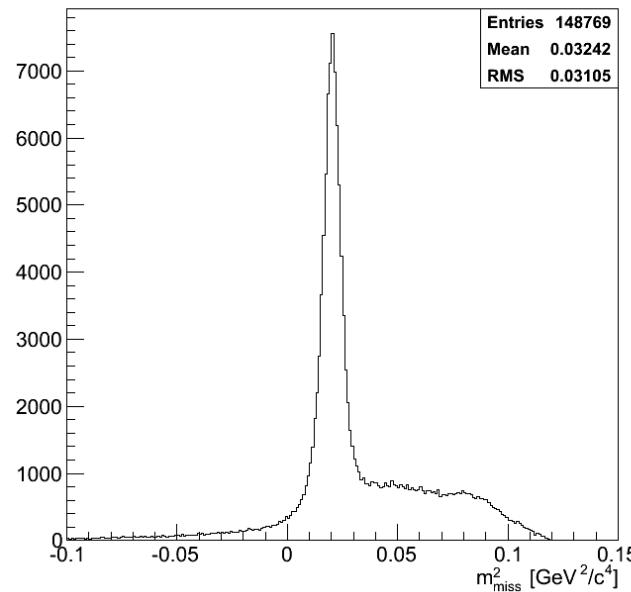


NA62 / 2014 Layout



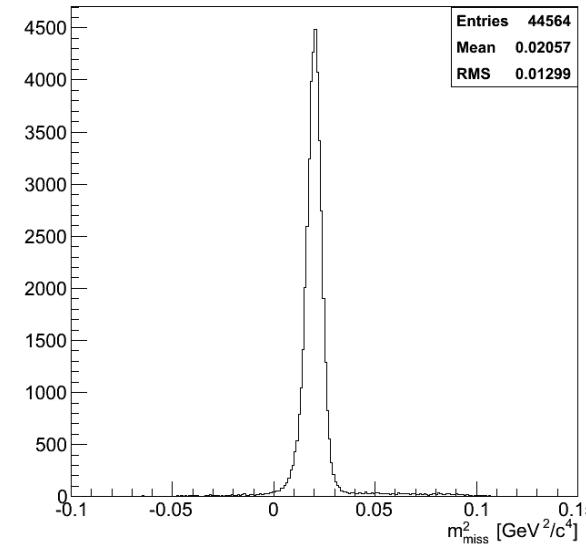
# $K^+ \rightarrow \pi^+ \pi^0$ Sample in 2012

Exploit the timing and spatial correlations between the subdetectors to define a Kaon candidate, pion candidate and a muon candidate.



Selection based on LKr ( $\pi^0$  vertex assuming  $\pi^0$  mass, and assumed kaon direction)

$$P_{\pi^+} = (P_K - P_{\pi^0}) \rightarrow P_{\pi^+}^2 = m_{\pi^+}^2 \text{ for } K^+ \rightarrow \pi^+ \pi^0$$



Using time-correlation and signal from New KTAG and MUV: