



# Searches for mixing and *CP* violation in the $D^0$ - $\bar{D}^0$ system: finding the (small) crack in the Standard Model

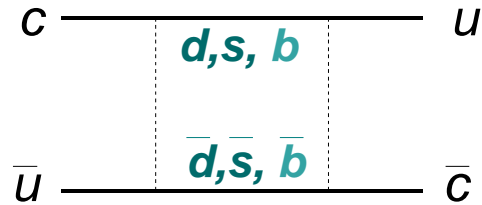
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UK Heavy Flavour  
Physics Forum  
*Cosener's House, Abingdon*  
June 22nd, 2007



- $D^0$  meson mixing
- four new measurements
- summary of all measurements:  
no *CPV*  
allowing for *CPV*

# Neutral meson mixing I:



Flavor eigenstates are  
not mass eigenstates:

$$i \frac{\partial}{\partial t} \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix} = \left( M - \frac{i}{2} \Gamma \right) \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix}$$

$$\begin{aligned} |D_1\rangle &= p|D^0\rangle + q|\bar{D}^0\rangle \\ |D_2\rangle &= p|D^0\rangle - q|\bar{D}^0\rangle \end{aligned}$$

$$\begin{aligned} |D_1(t)\rangle &= |D_1\rangle e^{-(\Gamma_1/2 + im_1)t} \\ |D_2(t)\rangle &= |D_2\rangle e^{-(\Gamma_2/2 + im_2)t} \end{aligned}$$

$$|D^0\rangle = \frac{1}{2p} (|D_1\rangle + |D_2\rangle)$$

$$|\bar{D}^0\rangle = \frac{1}{2q} (|D_1\rangle - |D_2\rangle)$$

$$\begin{aligned} |D^0(t)\rangle &= e^{-(\bar{\Gamma}/2 + i\bar{m})t} \left\{ \cosh [(\Delta\gamma/4 + i\Delta m/2)t] |D^0\rangle + \left(\frac{q}{p}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] |\bar{D}^0\rangle \right\} \\ |\bar{D}^0(t)\rangle &= e^{-(\bar{\Gamma}/2 + i\bar{m})t} \left\{ \left(\frac{p}{q}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] |D^0\rangle + \cosh [(\Delta\gamma/4 + i\Delta m/2)t] |\bar{D}^0\rangle \right\} \end{aligned}$$

$$\bar{m} \equiv \frac{1}{2} (m_1 + m_2) \quad \bar{\Gamma} \equiv \frac{1}{2} (\Gamma_1 + \Gamma_2) \quad \Delta m \equiv m_2 - m_1 \quad \Delta\gamma \equiv \Gamma_2 - \Gamma_1$$

# Neutral meson mixing II

For  $\Delta m t \ll 1$  and  $\Delta \gamma t \ll 1$ :

$$|\langle f | H | D^0(t) \rangle|^2 \propto e^{-\bar{\Gamma}t} \left\{ 1 + [y \operatorname{Re}(\lambda) - x \operatorname{Im}(\lambda)] (\bar{\Gamma}t) + |\lambda|^2 \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

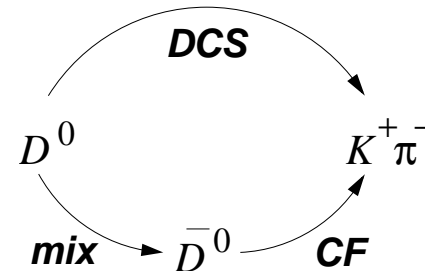
$$|\langle \bar{f} | H | \bar{D}^0(t) \rangle|^2 \propto e^{-\bar{\Gamma}t} \left\{ 1 + [y \operatorname{Re}(\bar{\lambda}) - x \operatorname{Im}(\bar{\lambda})] (\bar{\Gamma}t) + |\bar{\lambda}|^2 \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

**Direct**
**Interference**
**Mixing**

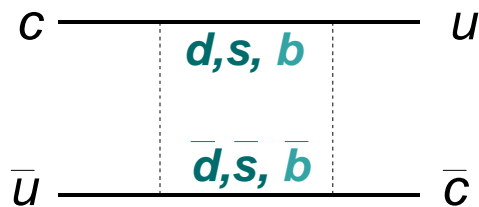
$$x \equiv \frac{\Delta m}{\bar{\Gamma}} \quad y \equiv \frac{\Delta \Gamma}{2\bar{\Gamma}} \quad \lambda \equiv \left( \frac{q}{p} \right) \frac{\mathcal{A}(\bar{D}^0 \rightarrow f)}{\mathcal{A}(D^0 \rightarrow f)} \quad \bar{\lambda} \equiv \left( \frac{p}{q} \right) \frac{\mathcal{A}(D^0 \rightarrow \bar{f})}{\mathcal{A}(\bar{D}^0 \rightarrow \bar{f})}$$

Mixing parameters

CPV enters here

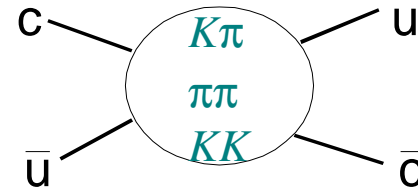


# Neutral meson mixing III:



“box” diagram:  $\Delta m$

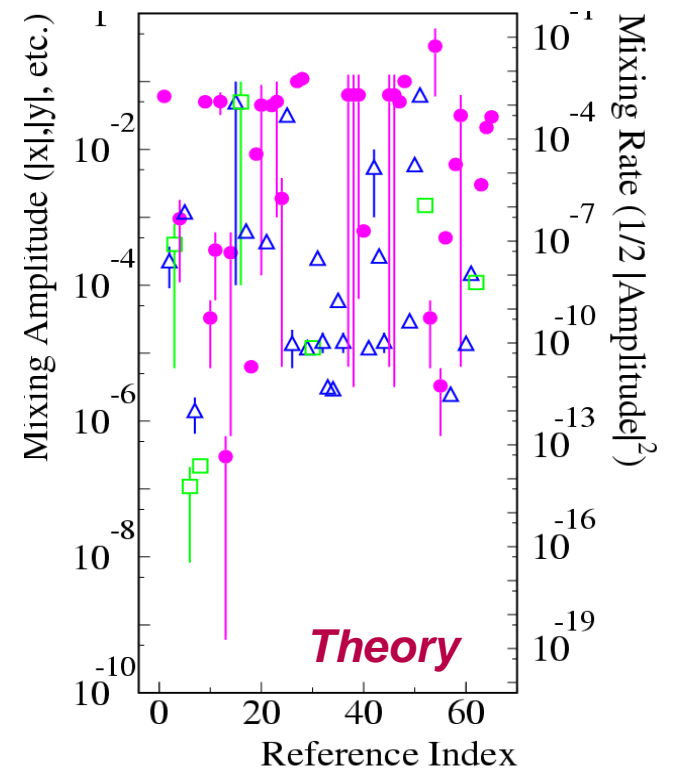
- doubly-Cabibbo-suppressed w/r/t  $\Gamma_D$
- GIM cancellation:  $V_{cd}^* V_{ud} + V_{cs}^* V_{us} + V_{cb}^* V_{ub} = 0$



but mixing dominated by long-distance contributions (both  $\Delta m$  and  $\Delta \Gamma$ )

Meson	flavors	$\Delta m/\Gamma$	$\Delta\Gamma/2\Gamma$	observed?
$K^0$	$\bar{s}d$	0.474	0.997	1958
$B^0$	$\bar{b}d$	0.77	< 1%	1987
$B_s^0$	$\bar{b}s$	27	$0.15 \pm 0.07$	2006
$D^0$	$c\bar{u}$	< 0.029	$0.011 \pm 0.005$	March 2007

$$x \lesssim y \sim \begin{cases} 10^{-6} - 10^{-3} & \text{(short distance)} \\ 10^{-3} - 10^{-2} & \text{(long distance)} \end{cases}$$



# $D^0$ mixing measurements



- **Wrong-sign semileptonic  $D^0(t) \rightarrow K^+ l^- \nu$  decays**  
measures  $x^2 + y^2$ , no DCS contamination



- **Wrong-sign hadronic  $D^0(t) \rightarrow K^+ \pi^-$  decays**  
measures  $x' = x \cos \delta + y \sin \delta$ ,  $y' = y \cos \delta - x \sin \delta$ ,  
where  $\delta$  is a strong phase difference



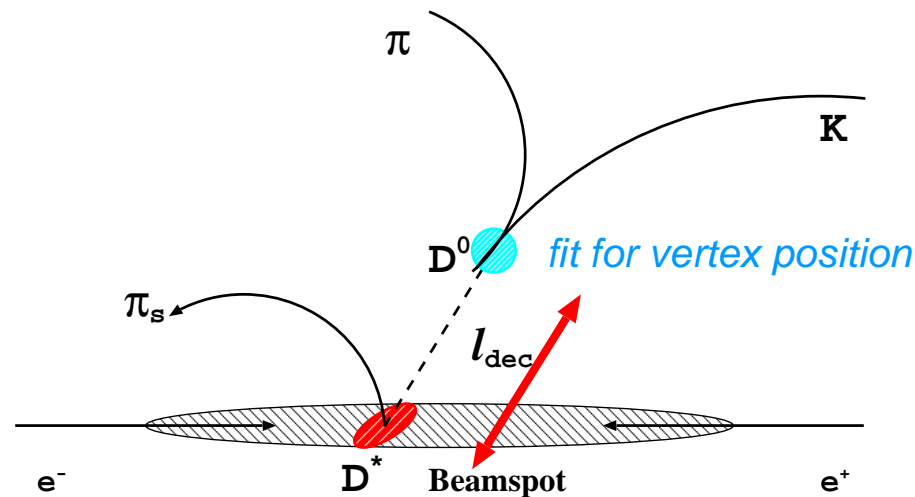
- **Decays to  $CP$  eigenstates:  $D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^-$**   
measures  $y \cos \phi$ , where  $\phi$  is a weak phase difference



- **Dalitz plot analysis of  $D^0(t) \rightarrow K^0 \pi^+ \pi^-$  decays**  
measures  $x, y$
- **Wrong-sign hadronic  $D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-, K^+ \pi^- \pi^0$  decays**  
measures  $x^2 + y^2$
- **Quantum correlations in  $e^+ e^- \rightarrow D^0 \bar{D}^0(n\pi^0), D^0 \bar{D}^0 \gamma(n\pi^0)$**   
measures  $y, \cos \delta$

# Experimental Method

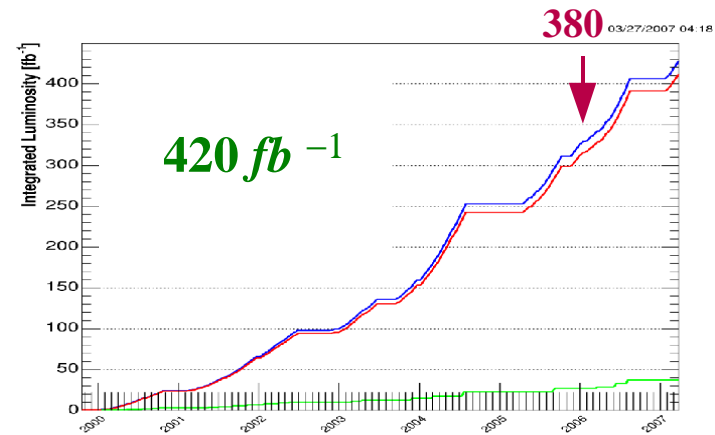
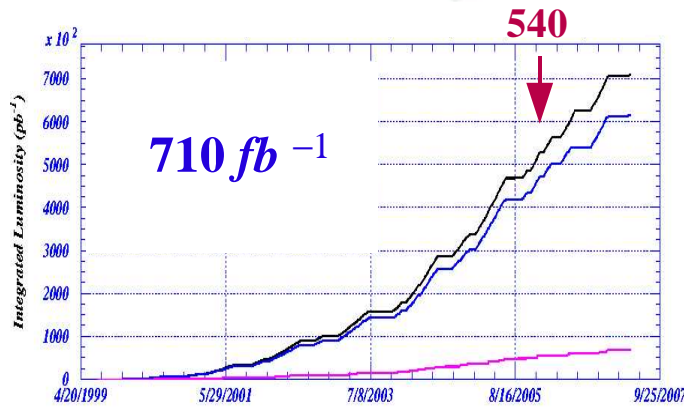
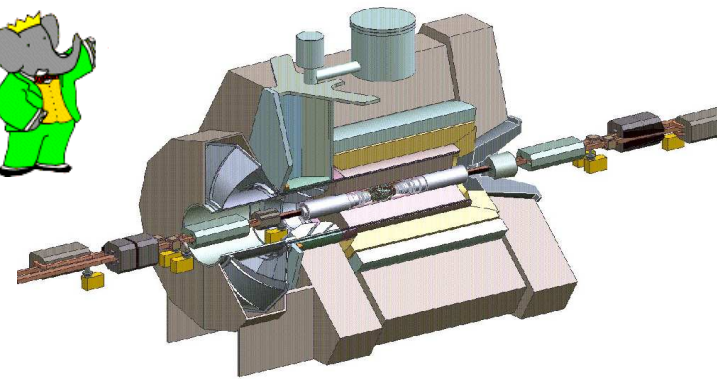
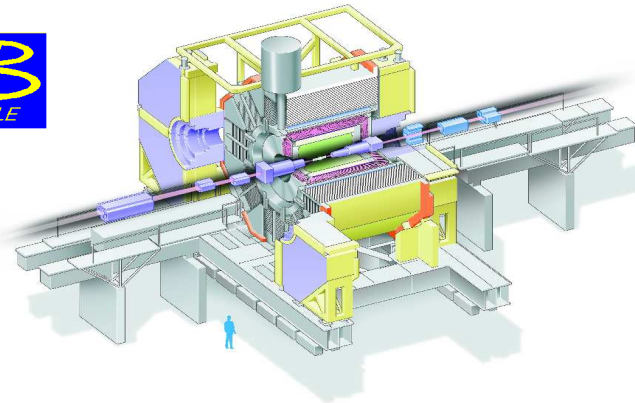
- Initial flavor of  $D^0(t)$  is determined from  $D^{*+} \rightarrow D^0\pi^+$  or  $D^{*-} \rightarrow D^0\pi^-$   
 This also greatly reduces background:  $Q = m_{K\pi\pi} - m_{K\pi} - m_\pi$  only 6 MeV/c  
 (very near threshold)
- $D^0$  proper decay time  $\Delta t = (l_{dec}/p) \times (m/c)$  measurement:



- $p(D^*) > 2.5$  GeV to eliminate  $D^0$ 's from  $B$  meson decay  
 (at  $e^+e^- \rightarrow Y(4S)$  resonance,  $\sigma(bb)/\sigma(\text{all}) = 1/3$ )

# Belle (KEKB) and BaBar (PEP-II)

$$e^+e^- \rightarrow Y(4S) \rightarrow BB$$



## Detectors:

- Silicon strip detectors for good vertex resolution
- Drift chamber for charged particle tracking and momentum measurement
- Cherenkov detector (aerogel, DIRC) for  $K/\pi$  identification
- Electromagnetic calorimeter for  $\gamma$  detection and electron ID
- Solenoid flux return instrumented with RPCs, limited streamer tubes for  $\mu$  detection

# CP eigenstates: $D^0(t) \rightarrow K^+K^-, \pi^+\pi^-$

Master formula:  $R_{D^0(t) \rightarrow f} \propto e^{-\bar{\Gamma}t} \{1 + [y \operatorname{Re}(\lambda) - x \operatorname{Im}(\lambda)] (\bar{\Gamma}t)\}$

$$\lambda = \left(\frac{q}{p}\right) \frac{\mathcal{A}(\bar{D}^0 \rightarrow f)}{\mathcal{A}(D^0 \rightarrow f)}$$

$$D^0(t) \rightarrow K^- \pi^+ \quad |\lambda| \ll 1 \Rightarrow R \propto e^{-\bar{\Gamma}t}$$

$$D^0(t) \rightarrow K^+K^- \text{ (or } \pi^+\pi^-) \quad |\lambda| \approx 1 \Rightarrow R \propto e^{-\bar{\Gamma}t} (1 - y \cos \phi \bar{\Gamma}t)$$

$$\begin{aligned} &\approx e^{-\bar{\Gamma}t} e^{-y \cos \phi \bar{\Gamma}t} \\ &= e^{-\bar{\Gamma}(1+y \cos \phi)t} \end{aligned}$$

E791, PRL 83, 32 (1999)  
 FOCUS, PLB 485, 62 (2000)  
 CLEO, PRD 65, 092001 (2002)  
 Belle, PRL 88, 162001 (2002)  
 Babar, PRL 91, 121801 (2003)

$$y_{CP} = (1.09 \pm 0.46)\% \\ \text{(world average)}$$

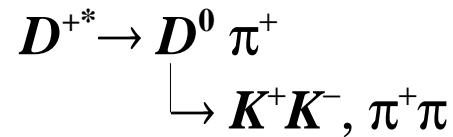
$$\Rightarrow \frac{\tau(K^- \pi^+)}{\tau(K^+ K^-)} = 1 + y \cos \phi$$

$$\text{So } y \cos \phi \equiv y_{CP} = \frac{\tau(K^- \pi^+)}{\tau(K^+ K^-)} - 1$$



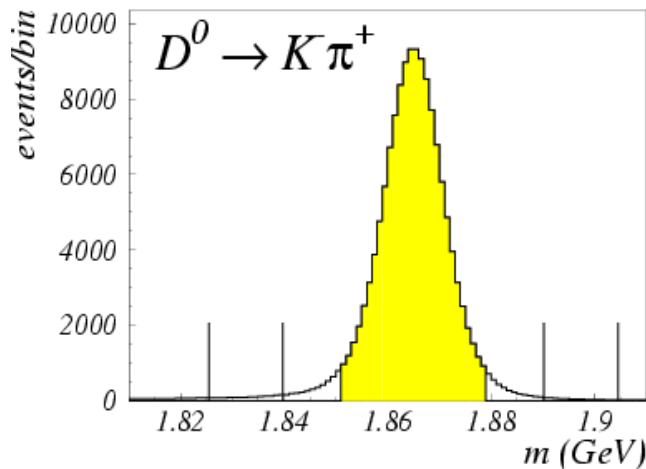
# *Belle: $D^0(t) \rightarrow K^+K^-, \pi^+\pi^-$ with $540 \text{ fb}^{-1}$*

PRL 98, 211803 (2007)

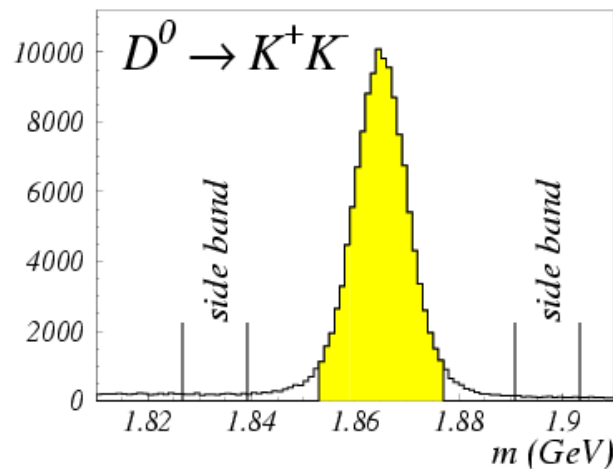


$$\left. \begin{aligned} m(D^0) &= 1865 \text{ MeV} \\ m(\pi^+) &= 139 \\ m(D^{*+}) &= 2010 \end{aligned} \right\} 2004 \text{ MeV}$$

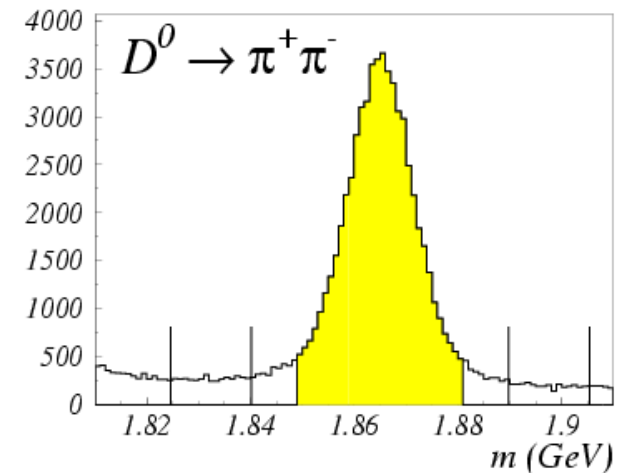
**Select candidate events:** require  $q = m(KK\pi) - m(D^0) - m(\pi)$  to be very small:



**1200k events**  
**99% pure**



**110k events**  
**98% pure**



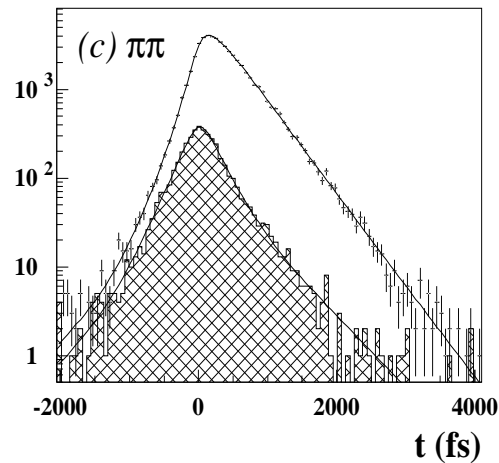
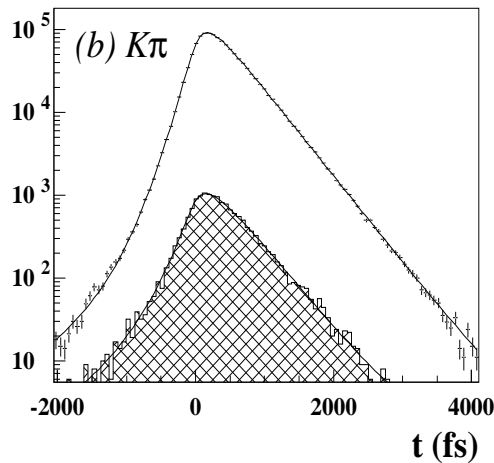
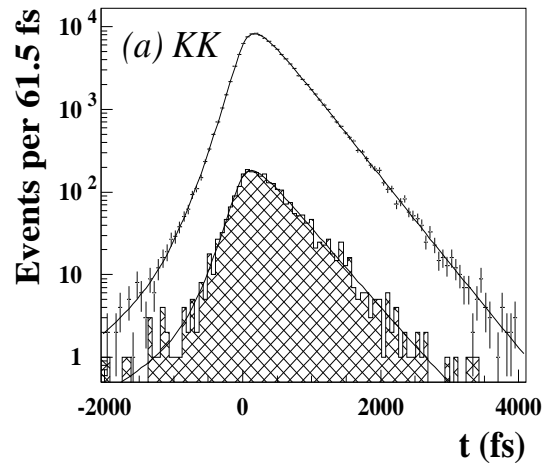
**50k events**  
**92% pure**

# Belle: $D^0(t) \rightarrow K^+K^-, \pi^+\pi^-$ with $540 \text{ fb}^{-1}$

Maximum likelihood fit to decay time spectrum:

$$\frac{dN}{dt} = \frac{N}{\tau} e^{-t/\tau} \otimes R(t) + B(t)$$

$\otimes R(t)$  → resolution function  
 $+ B(t)$  → background distribution

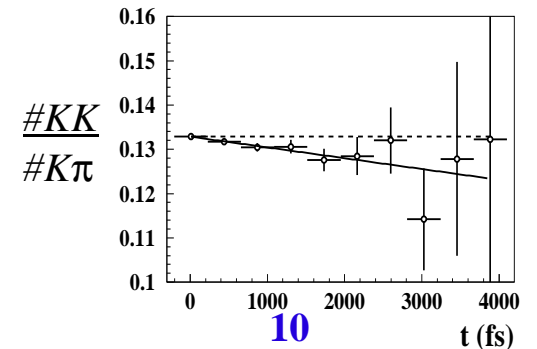


$\tau = 403.2 \pm 1.4 \text{ fs}$   
(110k events)

$\tau = 408.6 \pm 0.7 \text{ fs}$   
(1200k events)

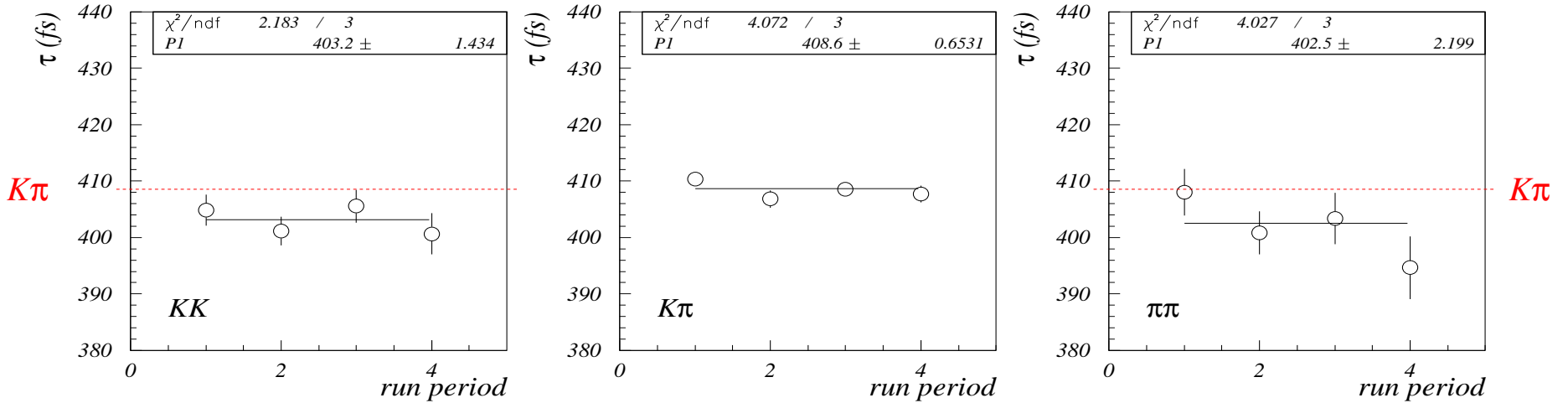
$\tau = 402.5 \pm 2.2 \text{ fs}$   
(50k events)

⇒ there is a difference between  $KK$  and  $K\pi$   
(here,  $t_0$  is free for  $K\pi$ )

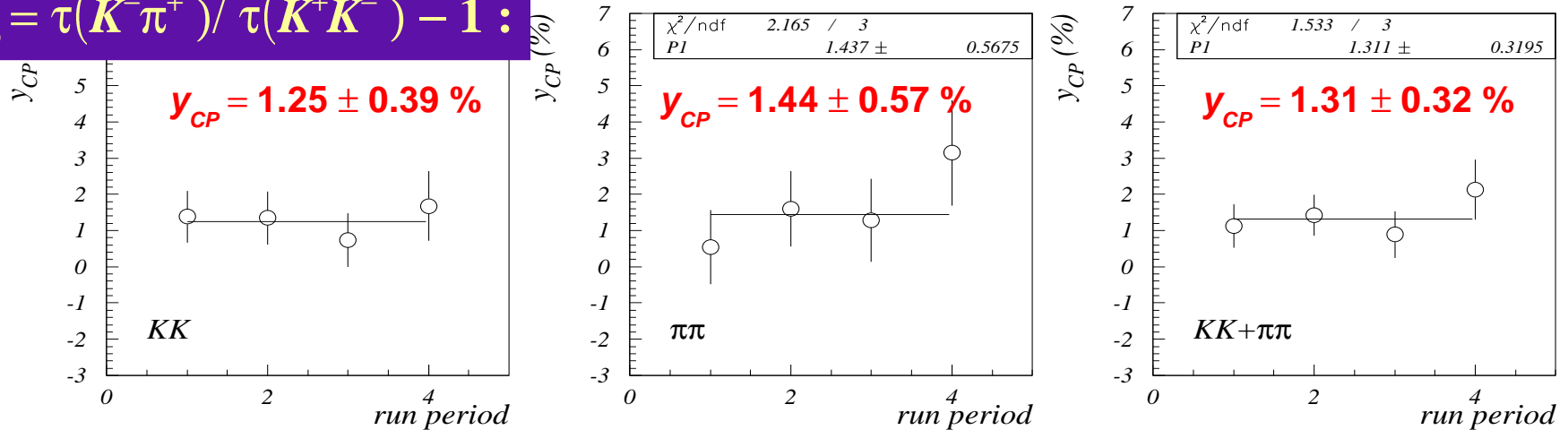


# Belle: $D^0(t) \rightarrow K^+K^-, \pi^+\pi^-$ with $540 \text{ fb}^{-1}$

A cross-check: divide the data into sub-samples



$$y_{CP} = \tau(K^-\pi^+) / \tau(K^+K^-) - 1 :$$



# *Belle: $D^0(t) \rightarrow K^+K^-, \pi^+\pi^-$ with $540 \text{ fb}^{-1}$*

## **Systematic errors:**

	$y_{CP}$	$A_\Gamma$
acceptance	0.12%	0.07%
equal $t_0$ assumption	0.14%	0.08%
mass window position	0.04%	0.003%
difference btw background and sidebands	0.09%	0.06%
difference btw final states opening angles	0.02%	
background parameterization	0.07%	0.07%
resolution function	0.01%	0.01%
analysis cuts	0.11%	0.05%
binning	0.01%	0.01%
<b>TOTAL</b>	<b>0.25%</b>	<b>0.15%</b>

## **Final result:**

$$y_{CP} = 1.31 \pm 0.32 \pm 0.25 \%$$

*> 3  $\sigma$  above zero  
(first evidence for  $D^0$ - $\bar{D}^0$  mixing)*

## **Search for CP violation:**

$$A_\Gamma = \frac{\Gamma(D^0 \rightarrow K^+K^-) - \Gamma(\bar{D}^0 \rightarrow K^+K^-)}{\Gamma(D^0 \rightarrow K^+K^-) + \Gamma(\bar{D}^0 \rightarrow K^+K^-)}$$

$$A_\Gamma = 0.01 \pm 0.30 \pm 0.15 \%$$

*no evidence for CP violation*

# Dalitz plot analysis of $D^0(t) \rightarrow K^0 \pi^+ \pi^-$

$$\begin{aligned} \langle K_S^0 \pi^+ \pi^- | H | D^0(t) \rangle &= \frac{1}{2p} \left( \langle K_S^0 \pi^+ \pi^- | H | D_1(t) \rangle + \langle K_S^0 \pi^+ \pi^- | H | D_2(t) \rangle \right) \\ &\equiv A_1 e^{-(\Gamma_1/2 + im_1)t} + A_2 e^{-(\Gamma_2/2 + im_2)t} \end{aligned}$$

$$\begin{aligned} R(D^0(t) \rightarrow K_S^0 \pi^+ \pi^-) &= |A_1|^2 e^{-\bar{\Gamma}(1+y)t} + |A_2|^2 e^{-\bar{\Gamma}(1-y)t} + \\ &2e^{-\bar{\Gamma}t} \left[ \text{Re}(A_1 A_2^*) \cos xt - \text{Im}(A_1 A_2^*) \sin xt \right] \end{aligned}$$

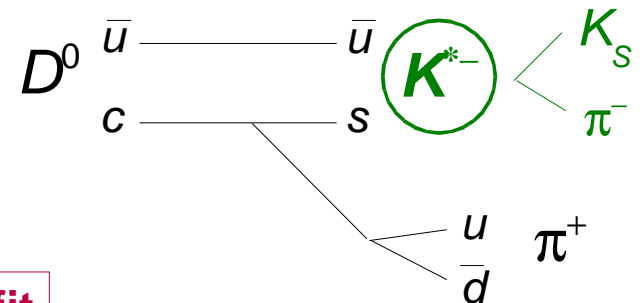
$$A_n \propto \sum_j a_j e^{i\delta_j} \mathcal{A}^j$$

**NOTE:** sign of  $x$  is determined

The amplitudes  $A^j$  are functions of  $m^2(K_S \pi^+)$  and  $m^2(K_S \pi^-)$  and account for various intermediate states:

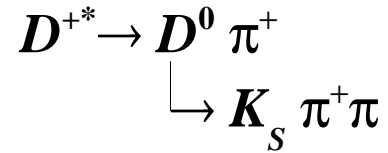
Each amplitude has a magnitude ( $a_j$ ) and phase ( $\delta_j$ )

⇒ must include these parameters (36 of them) in the fit

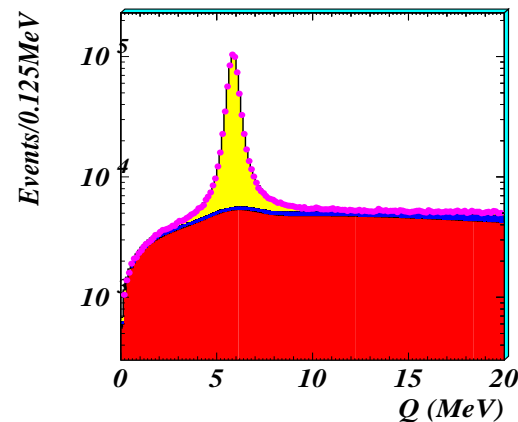
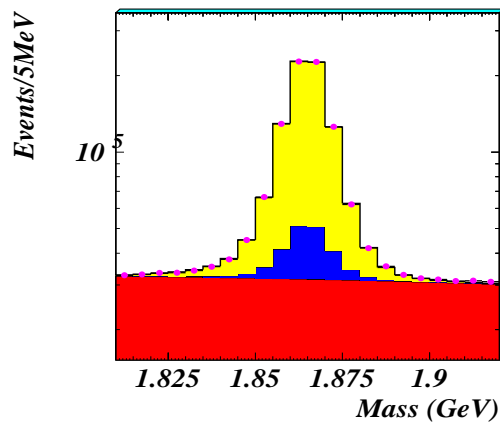


# Belle: $D^0(t) \rightarrow K_S^0 \pi^+ \pi^-$ with $540 \text{ fb}^{-1}$

arXiv:0704.1000  
(submitted to PRL)



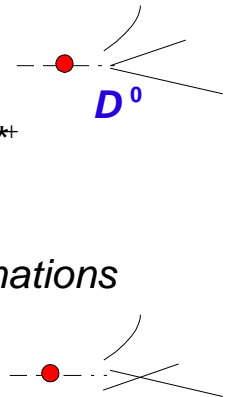
Select candidate events based on  $m(K\pi\pi)$ ,  $q = m(K\pi\pi) - m(D^0) - m(\pi)$  :



signal

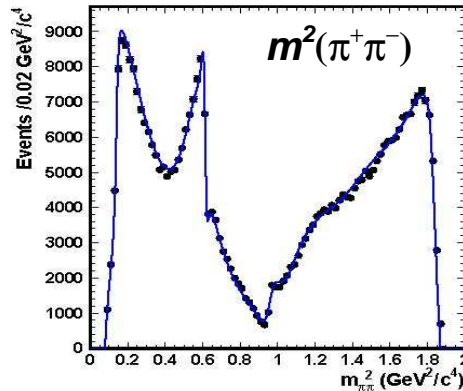
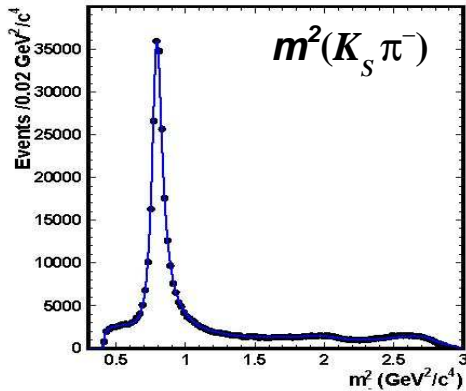
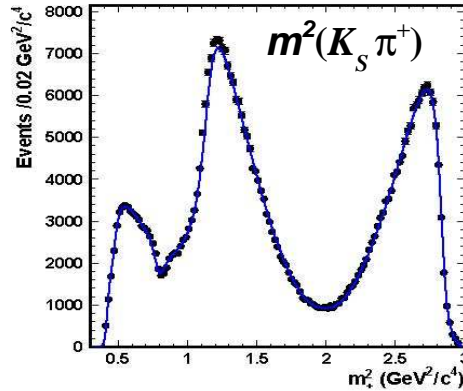
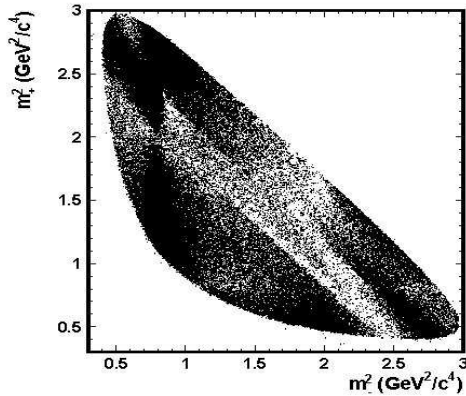
background #1:  
real  $D^0$ , fake  $D^{*+}$

background #2:  
random combinations



**534k events**  
**95% pure**

# Belle: $D^0(t) \rightarrow K_S^0 \pi^+ \pi^-$ with $540 \text{ fb}^{-1}$



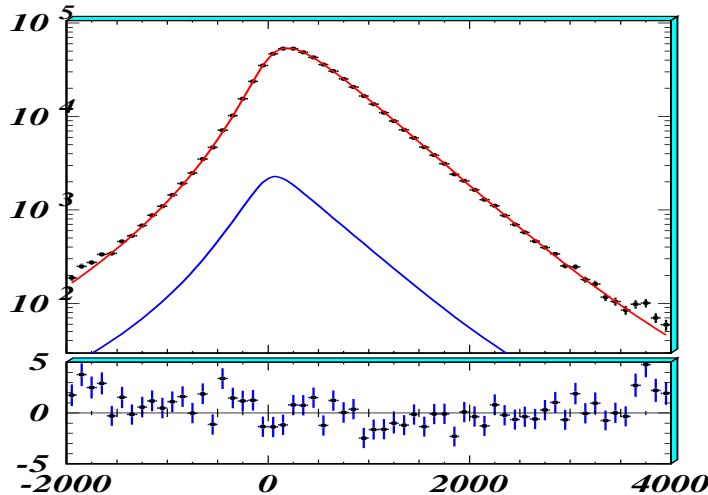
Resonance	Amplitude	Phase (deg)	Fit fraction
$K^*(892)^-$	$1.629 \pm 0.005$	$134.3 \pm 0.3$	0.6227
$K_0^*(1430)^-$	$2.12 \pm 0.02$	$-0.9 \pm 0.5$	0.0724
$K_2^*(1430)^-$	$0.87 \pm 0.01$	$-47.3 \pm 0.7$	0.0133
$K^*(1410)^-$	$0.65 \pm 0.02$	$111 \pm 2$	0.0048
$K^*(1680)^-$	$0.60 \pm 0.05$	$147 \pm 5$	0.0002
$K^*(892)^+$	$0.152 \pm 0.003$	$-37.5 \pm 1.1$	0.0054
$K_0^*(1430)^+$	$0.541 \pm 0.013$	$91.8 \pm 1.5$	0.0047
$K_2^*(1430)^+$	$0.276 \pm 0.010$	$-106 \pm 3$	0.0013
$K^*(1410)^+$	$0.333 \pm 0.016$	$-102 \pm 2$	0.0013
$K^*(1680)^+$	$0.73 \pm 0.10$	$103 \pm 6$	0.0004
$\rho(770)$	1 (fixed)	0 (fixed)	0.2111
$\omega(782)$	$0.0380 \pm 0.0006$	$115.1 \pm 0.9$	0.0063
$f_0(980)$	$0.380 \pm 0.002$	$-147.1 \pm 0.9$	0.0452
$f_0(1370)$	$1.46 \pm 0.04$	$98.6 \pm 1.4$	0.0162
$f_2(1270)$	$1.43 \pm 0.02$	$-13.6 \pm 1.1$	0.0180
$\rho(1450)$	$0.72 \pm 0.02$	$40.9 \pm 1.9$	0.0024
$\sigma_1$	$1.387 \pm 0.018$	$-147 \pm 1$	0.0914
$\sigma_2$	$0.267 \pm 0.009$	$-157 \pm 3$	0.0088
NR	$2.36 \pm 0.05$	$155 \pm 2$	0.0615

1.19

$$\text{Fit fraction} \equiv \frac{\int |a_r \mathcal{A}_r(m_-^2, m_+^2)|^2 dm_-^2 dm_+^2}{\int \left| \sum_{r=1}^n a_r e^{i\phi_r} \mathcal{A}_r(m_-^2, m_+^2) \right|^2 dm_-^2 dm_+^2}$$

# Belle: $D^0(t) \rightarrow K_S^0 \pi^+ \pi^-$ with $540 \text{ fb}^{-1}$

## Time fit (in projection):



$$x = (0.80 \pm 0.29)\% \quad \text{positive}$$

$$y = (0.33 \pm 0.24)\%$$

$$t_D = (409.9 \pm 0.9) \text{ fs}$$

*consistent with PDG  
(in fact better precision)*

### Largest systematic errors:

	$\Delta x (x 10^{-2})$	$\Delta y (x 10^{-2})$
$\rho(D^*)$ cut	+0.076	-0.078
t dependence of Dalitz background	-0.056	-0.057
background timing parameters	$\pm 0.037$	$\pm 0.063$
decay model (form factors, variation of fixed masses & widths, K-matrix, no non-resonant comp., others)	+0.13	+0.051
	-0.11	-0.066
<b>TOTAL</b>	<b>(+0.17, -0.15)</b>	<b>(+0.10, -0.15)</b>



# Belle: $D^0(t) \rightarrow K_S^0 \pi^+ \pi^-$ with $540 \text{ fb}^{-1}$

## Preliminary result:

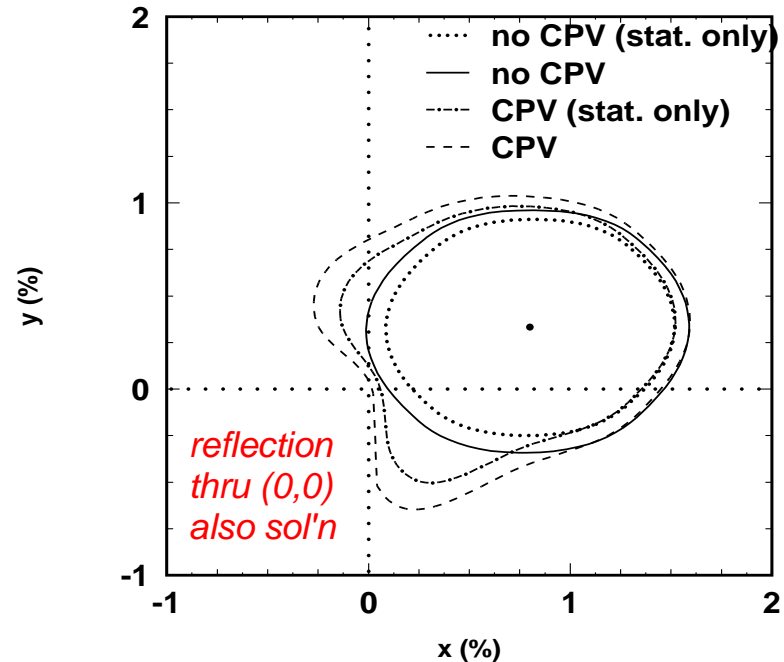
$$x = (0.80 \pm 0.29 \begin{smallmatrix} +0.13 \\ -0.16 \end{smallmatrix})\%$$

$$y = (0.33 \pm 0.24 \begin{smallmatrix} +0.10 \\ -0.14 \end{smallmatrix})\%$$

systematics: scale by  $\sqrt{1+r^2}$   
 $(1/r)^2 = (\cos\theta/\Delta x)^2 + (\sin\theta/\Delta y)^2$

rise of the likelihood function at (0,0)  
 which corresponds to no mixing:

$$-2\Delta \ln \mathcal{L} = 7.33 \Rightarrow \text{CL} = \text{only } 2.6\%$$



## Allow for CPV:

$$e_{(1,2)} \equiv e^{-i(m_{(1,2)} - i\Gamma_{(1,2)}/2)t}$$

$$\mathcal{M}(m_-^2, m_+^2, t) = \mathcal{A}(m_-^2, m_+^2) \frac{e_1(t) + e_2(t)}{2} + \left(\frac{q}{p}\right) \overline{\mathcal{A}}(m_-^2, m_+^2) \frac{e_1(t) - e_2(t)}{2}$$

$$\overline{\mathcal{M}}(m_-^2, m_+^2, t) = \overline{\mathcal{A}}(m_-^2, m_+^2) \frac{e_1(t) + e_2(t)}{2} + \left(\frac{p}{q}\right) \mathcal{A}(m_-^2, m_+^2) \frac{e_1(t) - e_2(t)}{2}$$

## CPV result: (preliminary)

$$x = (0.81 \pm 0.30 \begin{smallmatrix} +0.13 \\ -0.17 \end{smallmatrix})\%$$

$$y = (0.37 \pm 0.25 \begin{smallmatrix} +0.10 \\ -0.15 \end{smallmatrix})\%$$

$$|q/p| = 0.86 \begin{smallmatrix} +0.30 \\ -0.29 \end{smallmatrix}$$

$$\arg(q/p) = -14 \begin{smallmatrix} +16 \\ -18 \end{smallmatrix}$$

no dcpv

$$|q/p| = 0.95 \begin{smallmatrix} +0.22 +0.10 \\ -0.20 -0.09 \end{smallmatrix}$$

$$\arg(q/p) = (-2 \begin{smallmatrix} +10 \\ -11 \end{smallmatrix} \pm 5)^\circ$$

# Wrong-sign $D^0(t) \rightarrow K^+\pi^-$ decays

**Master formula:**

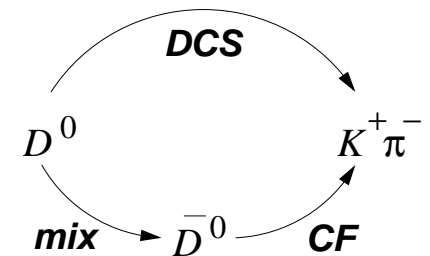
$$R(D^0(t) \rightarrow f) \propto e^{-\bar{\Gamma}t} \left\{ 1 + [y \operatorname{Re}(\lambda) - x \operatorname{Im}(\lambda)] (\bar{\Gamma}t) + |\lambda|^2 \frac{x^2 + y^2}{4} (\bar{\Gamma}t)^2 \right\}$$

for  $f = K^+\pi^-$ :  $\lambda \equiv \frac{q \bar{\mathcal{A}}_f}{p \mathcal{A}_f} = \left| \frac{q}{p} \right| \sqrt{R_D} e^{i(\phi+\delta)} \quad \begin{cases} \delta & \text{strong phase} \\ \phi & \text{weak phase} \end{cases}$

$$\begin{aligned} R(D^0 \rightarrow K^+\pi^-) &\propto e^{-\bar{\Gamma}t} \left\{ R_D + \left| \frac{q}{p} \right| \sqrt{R_D} [y \cos(\phi + \delta) - x \sin(\phi + \delta)] (\bar{\Gamma}t) + \left| \frac{q}{p} \right|^2 \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\} \\ &= e^{-\bar{\Gamma}t} \left\{ R_D + \sqrt{R_D} (y \cos \delta - x \sin \delta) (\bar{\Gamma}t) + \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\} \quad \left( \begin{array}{l} |q/p| = 1 \\ \phi = 0 \end{array} \right) \\ &= \boxed{e^{-\bar{\Gamma}t} \left\{ R_D + \sqrt{R_D} y' (\bar{\Gamma}t) + \frac{(x'^2 + y'^2)}{4} (\bar{\Gamma}t)^2 \right\}} \end{aligned}$$

no CPV

$$(x' \equiv x \cos \delta + y \sin \delta \qquad y' \equiv y \cos \delta - x \sin \delta)$$



# Belle $D^0(t) \rightarrow K^+ \pi^-$ with $400 \text{ fb}^{-1}$

R.Barate *et al.* (ALEPH), PLB 436, 211 (1998)

E.M.Aitala *et al.* (E791), PRD 57, 13 (1998)

R.Godang *et al.* (CLEO), PRL 84, 5038 (2000)

J.M.Link *et al.* (FOCUS), PRL 86, 2955 (2001); PLB 618, 23 (2005)

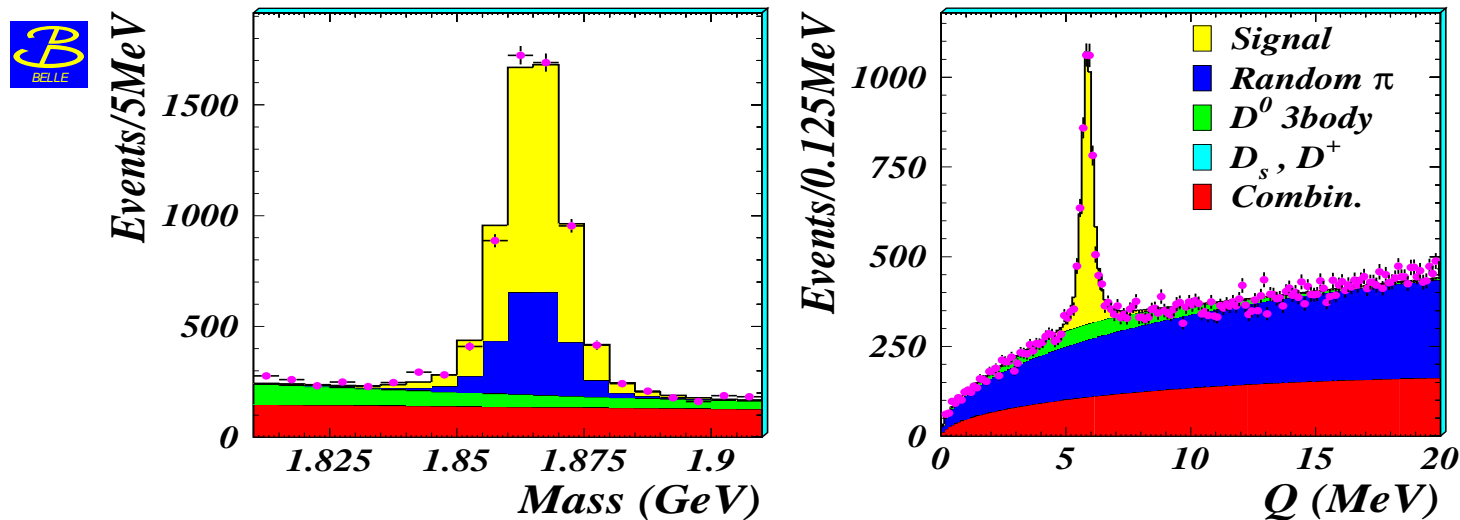
B. Aubert *et al.* (Babar), PRL 91, 171801 (2003)



→ L.Zhang *et al.* (Belle), PRL 96, 151801 (2006)

new →

B. Aubert *et al.* (Babar), PRL 98, 211802 (2007)



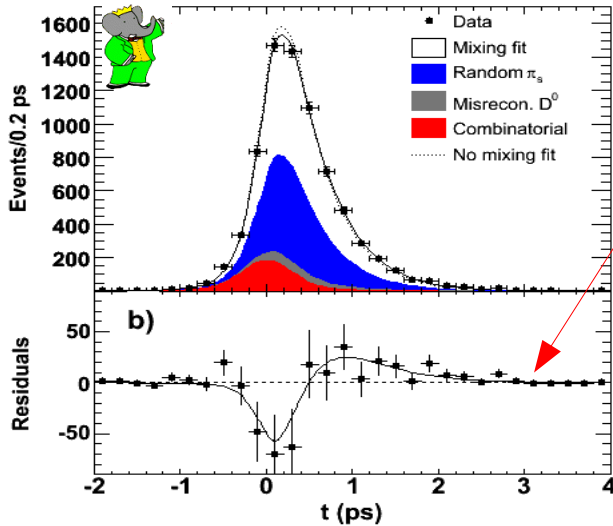
4024 events

52% purity

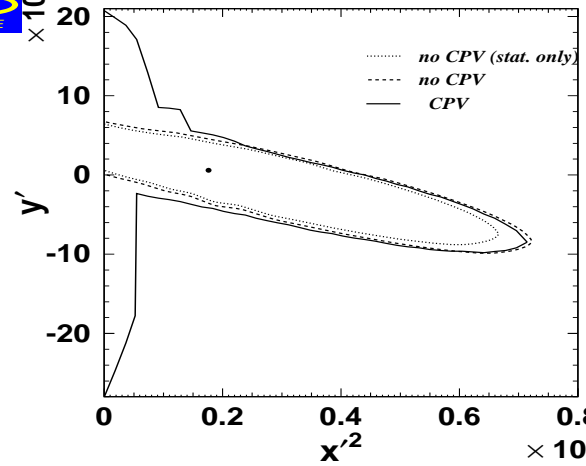
# $D^0(t) \rightarrow K^+\pi^-$ (Belle and BaBar)

PRL 96, 151801 (2006)  
PRL 98, 211802 (2007)

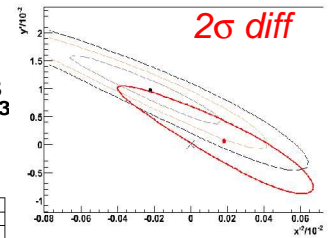
Time fit:



difference between mixing and no-mixing curves matches the no-mixing residuals



$(x', y') = (0, 0)$   
has CL = 3.9%



$(x', y') = (0, 0)$   
has CL = 0.01%

evidence for mixing



400 fb<sup>-1</sup>

$$R_D = (0.364 \pm 0.017)\%$$

$$x'^2 = (0.018^{+0.021}_{-0.023})\%$$

$$y' = (0.06^{+0.40}_{-0.39})\%$$

no CPV



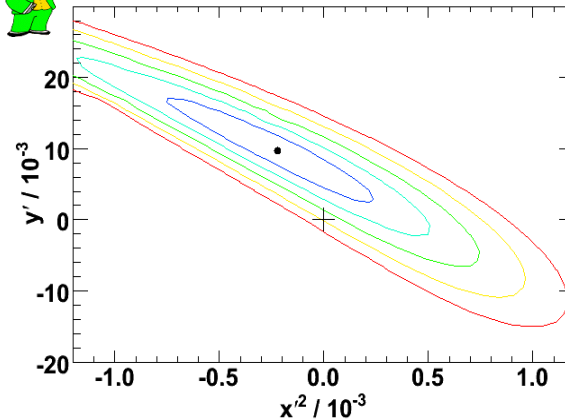
384 fb<sup>-1</sup>  
new

$$R_D = (0.303 \pm 0.019)\%$$

$$x'^2 = (-0.022 \pm 0.037)\%$$

$$y' = (0.97 \pm 0.54)\%$$

no CPV



(hep-ex/0703020)

# Belle $D^0(t) \rightarrow K^+ \pi^-$ allowing for CPV

$$\lambda = \left(\frac{q}{p}\right) \frac{\overline{\mathcal{A}}_f}{\mathcal{A}_f} = \left|\frac{q}{p}\right| \sqrt{R_D} e^{i(\phi+\delta)} \quad \bar{\lambda} = \left(\frac{p}{q}\right) \frac{\mathcal{A}_{\bar{f}}}{\overline{\mathcal{A}}_{\bar{f}}} = \left|\frac{p}{q}\right| \sqrt{\overline{R}_D} e^{i(-\phi+\delta)}$$

$$R_{D^0 \rightarrow f} \propto e^{-\bar{\Gamma}t} \left\{ R_D + \sqrt{R_D} \left|\frac{q}{p}\right| (y' \cos \phi - x' \sin \phi)(\bar{\Gamma}t) + \left|\frac{q}{p}\right|^2 \frac{(x'^2 + y'^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

$$R_{\overline{D}^0 \rightarrow \bar{f}} \propto e^{-\bar{\Gamma}t} \left\{ \overline{R}_D + \sqrt{\overline{R}_D} \left|\frac{p}{q}\right| (y' \cos \phi + x' \sin \phi)(\bar{\Gamma}t) + \left|\frac{p}{q}\right|^2 \frac{(x'^2 + y'^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

$A_D \equiv (R_D - \overline{R}_D)/(R_D + \overline{R}_D) \neq 0$	CPV in the decay amplitude (direct CPV)
$A_M \equiv ( q ^4 -  p ^4)/( q ^4 +  p ^4) \neq 0$	CPV in mixing
$\phi \neq 0$	CPV in mixed/direct interference

**6 total parameters; in practice, we fit for  $\overline{R}_D$ ,  $R_D$  and**

$$x'^{\pm} = \left(\frac{1 \pm A_M}{1 \mp A_M}\right)^{1/4} (x' \cos \phi \pm y' \sin \phi)$$

$$y'^{\pm} = \left(\frac{1 \pm A_M}{1 \mp A_M}\right)^{1/4} (y' \cos \phi \mp x' \sin \phi)$$

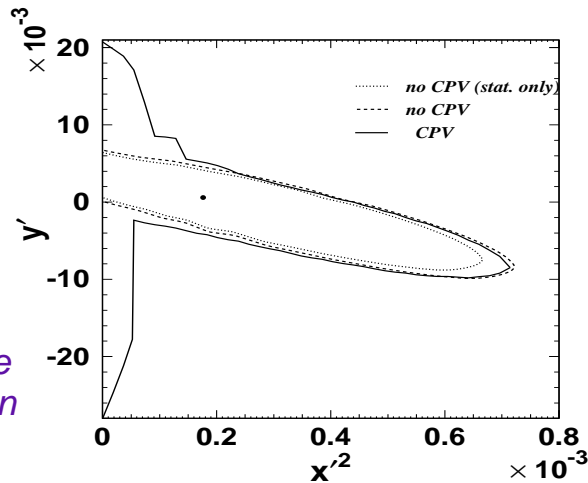
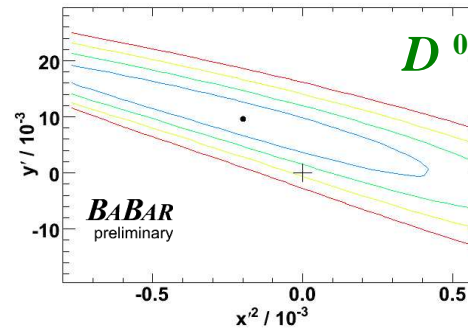
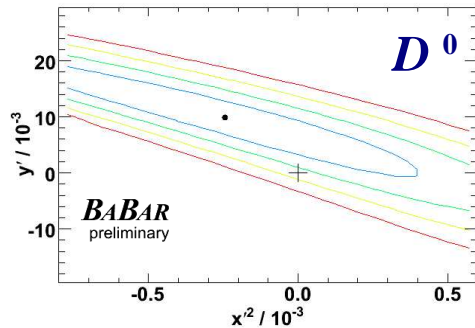
**from these we calculate  $A_D$ ,  $A_M$ ,  $\phi$ ,  $x'$  and  $y'$  (note sign ambiguity for  $x'^{\pm}$ )**

# Belle $D^0(t) \rightarrow K^+ \pi^-$ allowing for CPV, BaBar



$$\begin{aligned}
 x^{+2} &= (-0.024 \pm 0.043 \pm 0.030)\% & y^+ &= (0.98 \pm 0.64 \pm 0.45)\% \\
 x^{L2} &= (-0.020 \pm 0.041 \pm 0.029)\% & y^L &= (0.96 \pm 0.61 \pm 0.43)\% \\
 A_D &= (-2.1 \pm 5.2 \pm 1.5)\%
 \end{aligned}$$

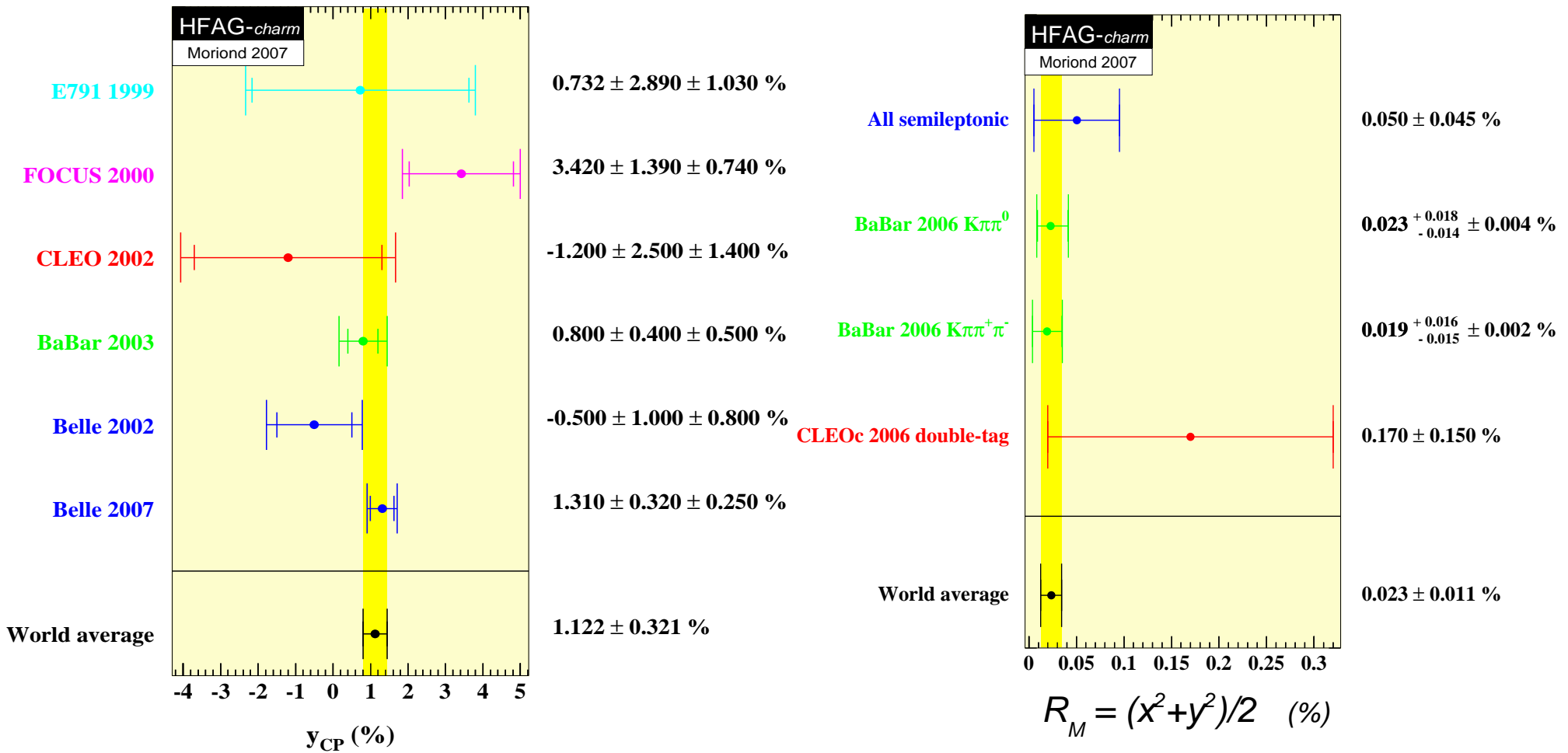
} no evidence of CPV



unusual contour due to 2-fold ambiguity in  $\text{sign}(x'^+)(x'^-)$

Fit Case	Parameter	95% CL interval ( $\times 10^{-3}$ )
No CPV	$x'^2$	$x'^2 < 0.72$
	$y'$	$-9.9 < y' < 6.8$
	$R_D$	$3.3 < R_D < 4.0$
	$R_M$	$0.63 \times 10^{-5} < R_M < 0.40$
CPV allowed	$A_D$	$-76 < A_D < 107$
	$A_M$	$-995 < A_M < 1000$
	$x'^2$	$x'^2 < 0.72$
	$y'$	$-28 < y' < 21$
	$R_M$	$R_M < 0.40$

# What have we learned?

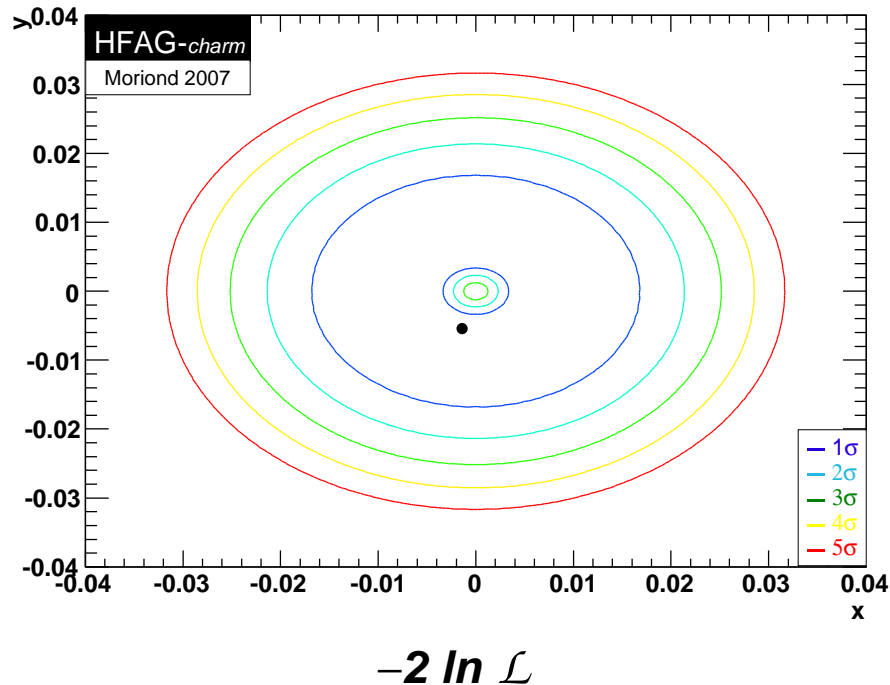


(<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>)

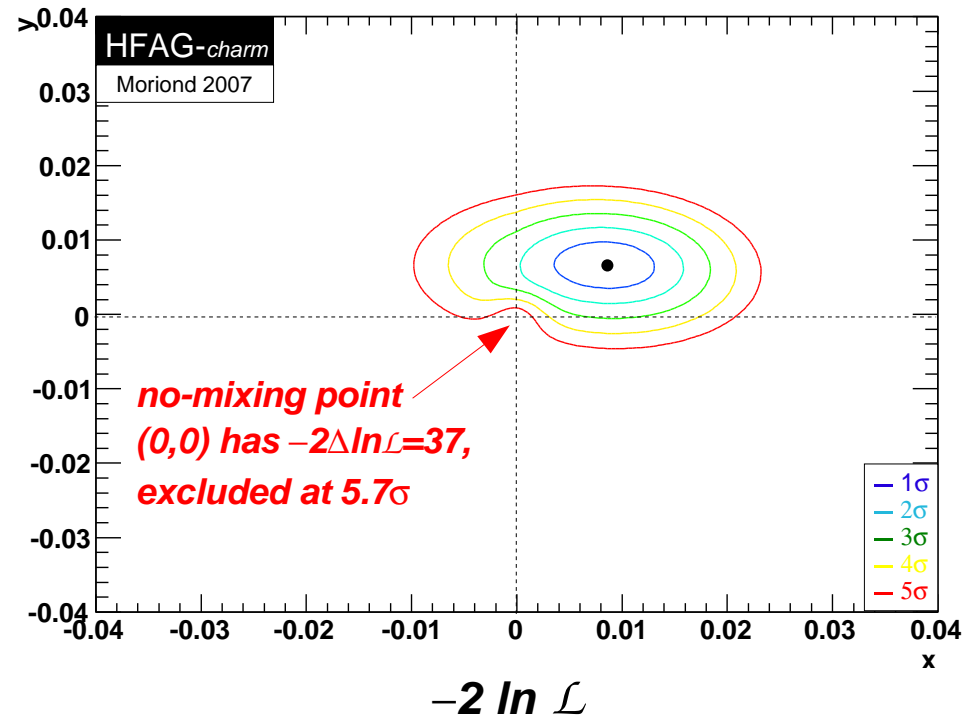
# Combining all measurements – no CPV

$\ln \mathcal{L}(R_D, x'^2, y')$  for  $D^0(t) \rightarrow K^+ \pi^-$  measurements:

- project onto  $(x'^2, y')$  plane by allowing  $R_D$  to always take its preferred value
- map likelihood values to  $(x, y, \delta)$  volume
- project onto  $(x, y)$  plane by allowing  $\delta$  to always take its preferred value:



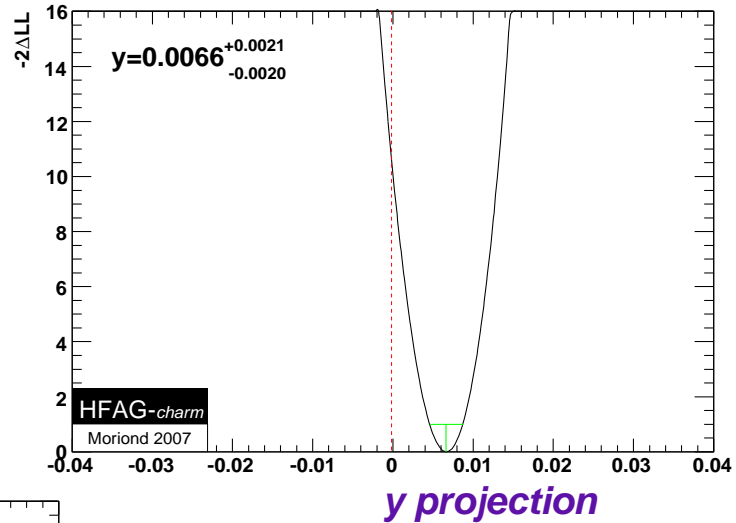
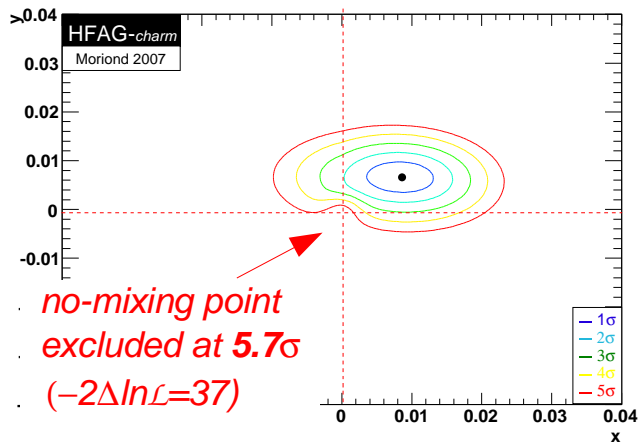
Adding  $-2 \ln \mathcal{L}$  functions from  $K^+ \pi^-$ , semileptonic decays,  $K_S \pi^+ \pi^-$ ,  $y_{CP}$ ,  $K^+ \pi^- \pi^0$ ,  $K^+ \pi^- \pi^+ \pi^-$ ,  $\psi(3770)$ :





# Summary (no CPV)

All data [semileptonic decays,  $K^+\pi^-$ ,  $K_S^0\pi\pi$ ,  $y_{CP}$ ,  $K^+\pi^-\pi^0$ ,  $K^+\pi^-\pi^+\pi^-$ ,  $\psi(3770)$ ]:

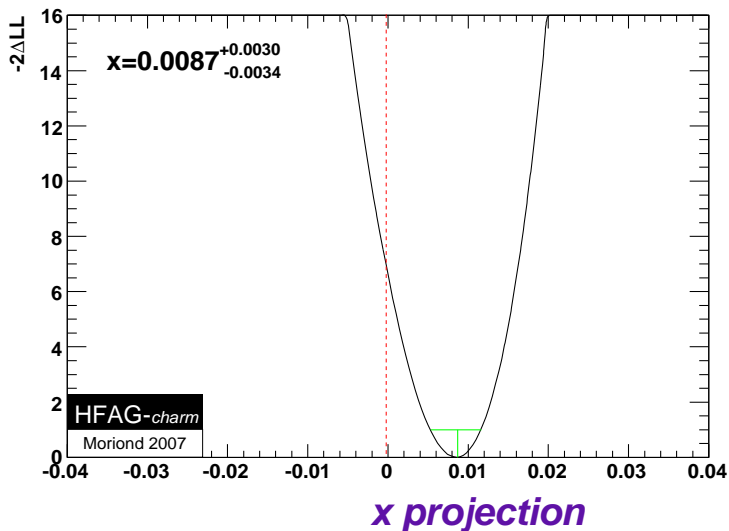


$$x = (0.87^{+0.30}_{-0.34})\%$$

( $2.6\sigma$  above zero)

$$y = (0.66 \pm 0.21)\%$$

( $3.2\sigma$  above zero)



## Conclusions:

*Evidence is consistent and convincing that  $D^0$ 's mix; effect is dominated by non-perturbative processes. Unless  $|x| \gg |y|$ , may be hard to identify new physics.*

*Since  $y_{CP}$  is positive, CP-odd state is longer-lived (like other neutral meson systems); but positive  $x/y$  implies CP-odd is lighter*

*No evidence for CPV (considered a true sign of NP)*

# Combining all measurements – allowing for CPV

$D^0(t) \rightarrow K^+ l^- \nu, K^+ \pi\pi, K^+ \pi\pi\pi :$

$$R_M = \frac{1}{2}(x^2 + y^2)$$

$D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^- :$

$$\begin{aligned} 2y_{CP} &= (|q/p| + |p/q|)y \cos \phi - (|q/p| - |p/q|)x \sin \phi \\ 2A_\Gamma &= (|q/p| - |p/q|)y \cos \phi - (|q/p| + |p/q|)x \sin \phi \end{aligned}$$

$D^0(t) \rightarrow K_s^+ \pi^+ \pi^- :$

$$x_{K^0 \pi\pi} = x$$

$$y_{K^0 \pi\pi} = y$$

$$\begin{aligned} |q/p|_{K^0 \pi\pi} &= |q/p| \\ \text{Arg}(q/p)_{K^0 \pi\pi} &= \phi \end{aligned}$$

$D^0(t) \rightarrow K^+ \pi^- :$

$$\begin{aligned} x'^{\pm} &= \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{1/4} (x' \cos \phi \pm y' \sin \phi) & A_M &= \frac{|q/p|^2 - |p/q|^2}{|q/p|^2 + |p/q|^2} \\ y'^{\pm} &= \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{1/4} (y' \cos \phi \mp x' \sin \phi) & \begin{pmatrix} x' \\ y' \end{pmatrix} &= \begin{pmatrix} \cos \delta & \sin \delta \\ -\sin \delta & \cos \delta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \end{aligned}$$

**13 observables,**  
**7 underlying parameters**  
 **$\Rightarrow$  MINUIT fit**

$$\frac{1}{2} [R(D^0 \rightarrow K^+ \pi^-) + \bar{R}(\bar{D}^0 \rightarrow K^- \pi^+)] = R_D$$

$$\frac{R(D^0 \rightarrow K^+ \pi^-) - \bar{R}(\bar{D}^0 \rightarrow K^- \pi^+)}{R(D^0 \rightarrow K^+ \pi^-) + \bar{R}(\bar{D}^0 \rightarrow K^- \pi^+)} = A_D$$

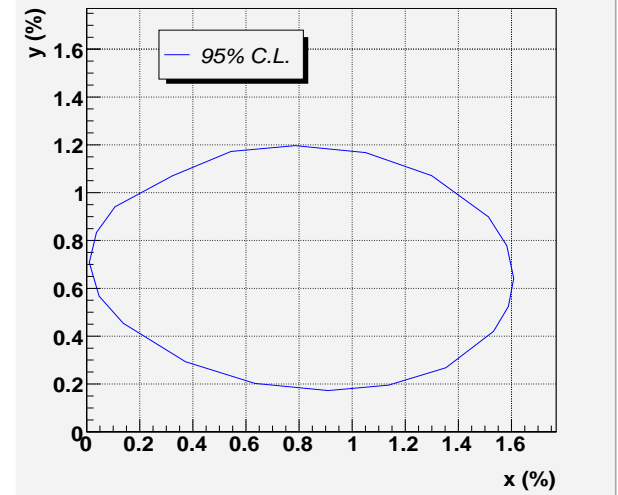
# Combining all measurements – allowing CPV (cont'd)

## MINUIT preliminary:

FCN= 16.25622 FROM MINOS STATUS=SUCCESSFUL 1302 CALLS 1649 TOTAL  
 EDM= 0.11E-04 STRATEGY= 1 ERROR MATRIX ACCURATE

EXT PARAMETER		VALUE	PARABOLIC ERROR	MINOS ERRORS		
NO.	NAME			NEGATIVE	POSITIVE	
1	x	0.84868%	0.34165	-0.33549	0.32270	<i>tiny change</i>
2	y	0.69453%	0.16558	-0.21093	0.20730	
3	delta	0.39985	0.21088	-0.28525	0.26413	
4	Rd	0.33499%	0.13034E-01	-0.13035E-01	0.13035E-01	
5	Ad	0.40591	3.5452	-3.5477	3.5428	
6	q/p	0.87321	0.19001	-0.19976	0.23345	<i>no CPV (yet)</i>
7	phi	-0.82871E-01	0.15484	-0.19215	0.16715	

$\chi^2/\text{dof} = 16.2/18 = 0.90$ ; largest contributions are from Belle/BaBar  $R_D$  difference and small “tension” between  $y_{CP}$  and  $y(K_S \pi \pi)$



# Wrong-sign $D^0(t) \rightarrow K^{(*)+} l^- \nu$ decays

E.M.Aitala *et al.* (E791), PRL 77, 2384 (1996): 2504 RS events

B. Aubert *et al.* (Babar), PRD 70, 091102 (2004): 49620 RS events

U. Bitenc *et al.* (Belle), PRD 72, 071101 (2005): 229452 RS events

**new**  $\rightarrow$  B. Aubert *et al.* (Babar), arXiv:0705.0704: 4780 RS events

**Method:** flavor at production tagged via  $D^{*+} \rightarrow D^0 \pi^+$  (pion charge)

flavor at decay tagged via  $D^0(t) \rightarrow K^{(*)+} l^- \nu$  (lepton charge)

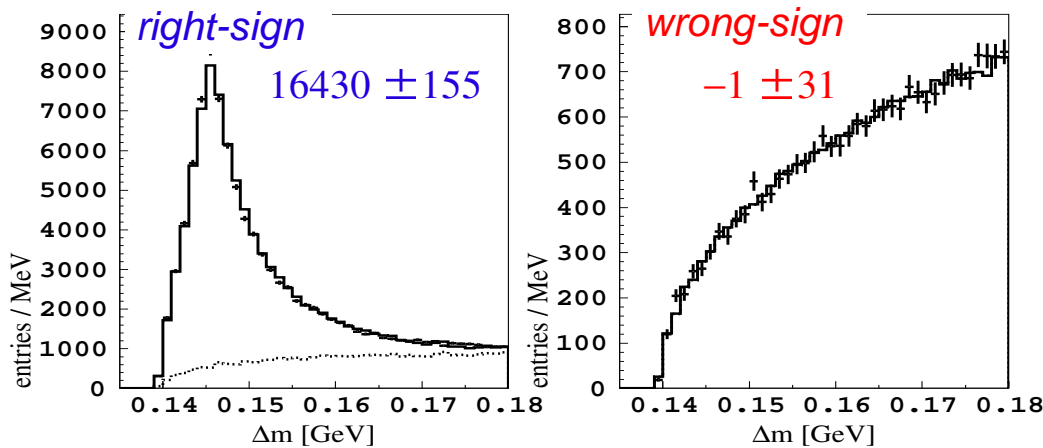
$\Rightarrow$  mixing signal is  $\pi^+ l^-$  or  $\pi^- l^+$  (“wrong-sign”), normalize sens. to  $\pi^+ l^+$  or  $\pi^- l^-$  (“right-sign”)



$\nu$  momentum:  $P_\nu = P_{\text{cms}} - P_{\pi K e} - P_{\text{rest}}$   $|P_{\text{rest}}|$  adjusted to give  $(P_{\text{cms}} - P_{\text{rest}})^2 = m_{D^{*+}}^2$

$\vec{p}_{\text{rest}}$  direction adjusted to give  $m_\nu^2 = 0$

**Fit:**  $\Delta m = m_{K\pi e \nu} - m_{K e \nu}$  :



$$r_D \equiv \frac{\int \mathcal{P}(D^0 \rightarrow K^+ l^- \bar{\nu}_\ell) dt}{\int \mathcal{P}(D^0 \rightarrow K^- l^+ \nu_\ell) dt} \approx \frac{x^2 + y^2}{2}$$

253 fb<sup>-1</sup>

$$R_M = (0.020 \pm 0.049)\%$$

< 0.10% at 90% CL

(|x|, |y| < 4.5%)

# Wrong-sign $D^0(t) \rightarrow K^{(*)+} l^- \nu$ decays (arXiv:0705.0704)

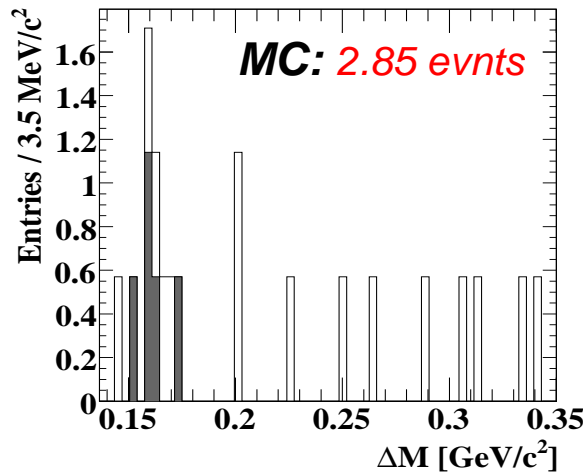
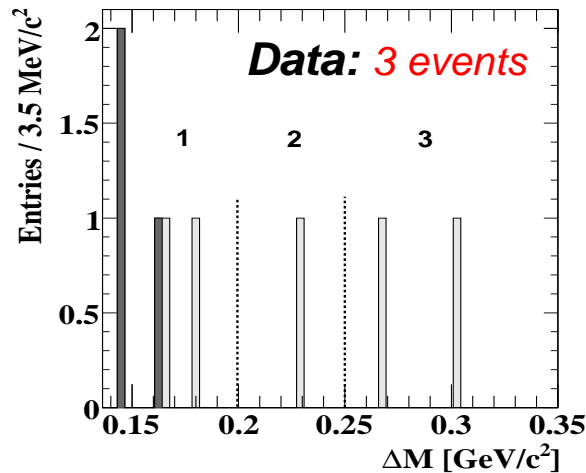
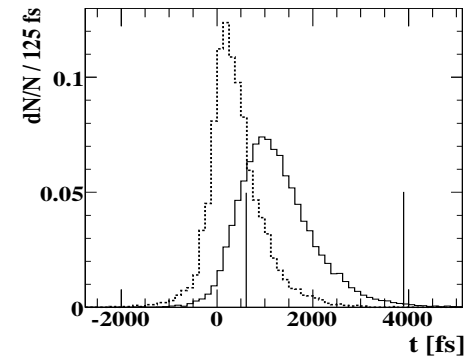


new

**344 fb<sup>-1</sup>, make many selection cuts to eliminate background:**

- Fully reconstruct  $D$  decay on opposite side (“double-tagging”) **0.10 effic**
- Neural network selection based on  $p_\pi$ ,  $p_{K^*}$ , thrust axis, opening angles
- $p_e > 600$  MeV/c,  $\pi_s p_T$  and  $P_L$  selection **0.72 effic**
- lifetime must be in the range 600 – 3900 fs ( $1.5\tau_D - 9.5\tau_D$ ) **0.80 effic**

**Determine “signal” yield by counting events;  
background estimate comes from MC sample:**



(shaded histogram: after  $\pi_s p_T$  and  $P_L$  selection)

**Determine confidence intervals  
from rise of likelihood function:**

$$R_M = (0.004^{+0.070}_{-0.060})\%$$

$$= (-0.13, 0.12)\%$$

**at 90% CL**