

# Check of a new non-perturbative mechanism for elementary fermion mass generation

S. Capitani<sup>a)</sup>, P. Dimopoulos<sup>b)</sup>, G.M. de Divitiis<sup>c)</sup>, R. Frezzotti<sup>c)</sup>,  
M. Garofalo<sup>d)</sup>, B. Knippschild<sup>e)</sup>, B. Kostrzewa<sup>e)</sup>, K. Ottnad<sup>e)</sup>,  
G.C. Rossi<sup>b)c)</sup>, M. Schröck<sup>f)</sup>, C. Urbach<sup>e)</sup>

<sup>a)</sup> University of Frankfurt

<sup>b)</sup> Centro Fermi, Museo Storico della Fisica e Centro Studie Ricerche  
"Enrico Fermi"

<sup>c)</sup> University of Rome Tor Vergata, Physics Department and INFN - Sezione di  
Roma Tor Vergata

<sup>d)</sup> Higgs Centre for Theoretical Physics, The University of Edinburgh

<sup>e)</sup> HISKP (Theory), Universitaet Bonn

<sup>f)</sup> INFN, Sezione di "Roma Tre"

- In [Frezzotti and Rossi Phys. Rev. D92 (2015) 054505] a new non-perturbative mechanism for the elementary particle mass generation was conjectured
- We are testing this conjecture in a toy model where a fermion doublet  $Q$  is coupled to a non-Abelian SU(3) gauge field and a scalar  $\Phi$

$$\mathcal{L}_{\text{toy}}(Q, A, \Phi) = \mathcal{L}_{\text{kin}}(Q, A, \Phi) + \mathcal{V}(\Phi) + \mathcal{L}_{\text{Wil}}(Q, A, \Phi) + \mathcal{L}_{\text{Yuk}}(Q, \Phi)$$

- $\mathcal{L}_{\text{kin}}(Q, A, \Phi) = \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{Q}_L \gamma_\mu \mathcal{D}_\mu Q_L + \bar{Q}_R \gamma_\mu \mathcal{D}_\mu Q_R + \frac{1}{2} \text{Tr}[\partial_\mu \Phi^\dagger \partial_\mu \Phi]$
- $\mathcal{V}(\Phi) = \frac{\mu_0^2}{2} \text{Tr}[\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{Tr}[\Phi^\dagger \Phi])^2, \quad \Phi \equiv [\varphi | -i\tau^2 \varphi^*]$
- $\mathcal{L}_{\text{Wil}}(Q, A, \Phi) = \frac{b^2}{2} \rho (\bar{Q}_L \overleftarrow{\mathcal{D}}_\mu \Phi \mathcal{D}_\mu Q_R + \bar{Q}_R \overleftarrow{\mathcal{D}}_\mu \Phi^\dagger \mathcal{D}_\mu Q_L)$  "Wilson-like"
- $\mathcal{L}_{\text{Yuk}}(Q, \Phi) = \eta (\bar{Q}_L \Phi Q_R + \bar{Q}_R \Phi^\dagger Q_L)$  "Yukawa"

UV cutoff  $\sim b^{-1}$  • Fermion chiral symmetry  $\tilde{\chi}$  broken if  $(\rho, \eta) \neq (0, 0)$

$$\mathcal{L}_{\text{toy}}(Q, A, \Phi) = \mathcal{L}_{\text{kin}}(Q, A, \Phi) + \mathcal{V}(\Phi) + \mathcal{L}_{\text{Wil}}(Q, A, \Phi) + \mathcal{L}_{\text{Yuk}}(Q, \Phi)$$

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- $\mathcal{V}(\Phi) = \frac{\mu_0^2}{2} \text{Tr} [\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{Tr} [\Phi^\dagger \Phi])^2, \quad \Phi \equiv [\varphi | -i\tau^2 \varphi^*]$
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Symmetries & power counting  $\left( \begin{array}{l} \text{in suitable} \\ \text{UV-regul.} \end{array} \right) \implies$  Renormalizability

Invariant under  $\chi$  (global)  $SU(2)_L \times SU(2)_R$  transformations

$$\bullet \chi_{L,R} : \quad \tilde{\chi}_{L,R} \otimes (\Phi \rightarrow \Omega_{L,R} \Phi) \otimes (\Phi^\dagger \rightarrow \Phi^\dagger \Omega_{L,R}^\dagger)$$

$$\tilde{\chi}_{L,R} : \left\{ \begin{array}{l} Q_{L,R} \rightarrow \Omega_{L,R} Q_{L,R} \\ \bar{Q}_{L,R} \rightarrow \bar{Q}_{L,R} \Omega_{L,R}^\dagger \end{array} \right. \quad \Omega_{L,R} \in SU(2)_{L,R}$$

- Lagrangian not invariant under purely fermionic transformations

$$\tilde{\chi}_L : Q_L \rightarrow \Omega_L Q_L \quad \bar{Q}_L \rightarrow \bar{Q}_L \Omega_L^\dagger \quad \Omega_L \in SU(2)$$

- They yield the bare WTIs

$$\partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle \delta(x) - \eta \langle O_{Yuk}^{L,i}(x) \hat{O}(0) \rangle - b^2 \langle O_{Wil}^{L,i}(x) \hat{O}(0) \rangle$$

$$\tilde{J}_\mu^{L,i} = \bar{Q}_L \gamma_\mu \frac{\tau^i}{2} Q_L - \frac{b^2}{2} \rho \left( \bar{Q}_L \frac{\tau^i}{2} \Phi \mathcal{D}_\mu Q_R - \bar{Q}_R \overleftarrow{\mathcal{D}}_\mu \Phi^\dagger \frac{\tau^i}{2} Q_L \right)$$

$$O_{Yuk}^{L,i} = \left[ \bar{Q}_L \frac{\tau^i}{2} \Phi Q_R - \text{hc} \right] \quad O_{Wil}^{L,i} = \frac{\rho}{2} \left[ \bar{Q}_L \overleftarrow{\mathcal{D}}_\mu \frac{\tau^i}{2} \Phi \mathcal{D}_\mu Q_R - \text{hc} \right]$$

- Mixing of  $O_{Wil}^{L,i}$  under renormalization

$$b^2 O_{Wil}^{L,i} = (Z_{\tilde{J}} - 1) \partial_\mu \tilde{J}_\mu^{L,i} - \bar{\eta}(\eta; g_s^2, \rho, \lambda_0) O_{Yuk}^{L,i} + \dots + O(b^2)$$

- Renormalized WTIs read

$$\partial_\mu \langle Z_{\tilde{J}} \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle \delta(x) - (\eta - \bar{\eta}(\eta)) \langle O_{Yuk}^{L,i}(x) \hat{O}(0) \rangle + \dots + O(b^2)$$

where the ellipses ( $\dots$ ) stand for possible NP mixing contributions

- At the critical  $\eta_{cr}(g_s^2, \rho, \lambda_0)$  s.t.  $\eta_{cr} - \bar{\eta}(\eta_{cr}; g_s^2, \rho, \lambda_0) = 0$  the WTIs become

$$\partial_\mu \langle Z_{\tilde{j}} \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + \dots + O(b^2)$$

- In Wigner phase ( $\langle \Phi \rangle = 0$ )  $\rightarrow$  Wilson-like term uneffective for  $\tilde{\chi}^{SSB}$

$$\partial_\mu \langle Z_{\tilde{j}} \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + O(b^2)$$

- In Nambu-Goldstone ( $\langle \Phi \rangle = v \mathbb{1}_{2 \times 2}$ ) expect (conjecture)

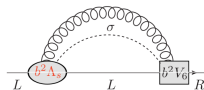
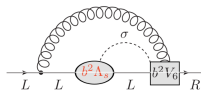
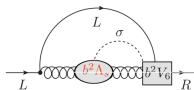
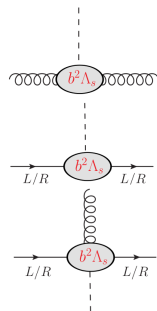
$$\partial_\mu \langle Z_{\tilde{j}} \tilde{J}_\mu^{L,i}(x) \hat{O}(0) \rangle_{\eta_{cr}} = \langle \tilde{\Delta}_L^i \hat{O}(0) \rangle_{\eta_{cr}} \delta(x) + \langle C_1 \Lambda_s [\bar{Q}_L \frac{\tau^i}{2} U Q_R + \text{hc}] \hat{O}(0) \rangle + O(b^2)$$

The term  $\propto C_1 \Lambda_s$  can exist only in the NG phase where

$$U = \Phi / \sqrt{\Phi^\dagger \Phi} = (v + \sigma + i \vec{\tau} \cdot \vec{\pi}) / \sqrt{(v + \sigma)^2 + \vec{\pi} \cdot \vec{\pi}}$$

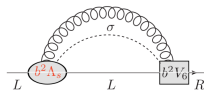
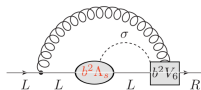
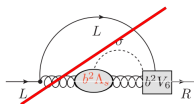
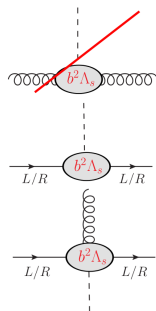
In  $\Gamma_{loc}^{NG}$  a mass term  $C_1 \Lambda_s [\bar{Q}_L U Q_R + \text{hc}]$   $\left\{ \begin{array}{l} \text{Natural} \\ \neq \text{Yukawa mass} \\ C_1 = O(\alpha_s^2) \text{ Hierarchy} \end{array} \right.$

- Intuitive idea of the NP mass generation mechanism  
 $O(b^2)$  NP corrections to ( $\tilde{\chi}$ -preserving) effective vertices combined in loop "diagrams" with  $O(b^2)$  ( $\tilde{\chi}$ -breaking) vertices from the Wilson-like term



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- $b^{-4}$  loop divergency  $\implies O(b^0)C_1\Lambda_s$  mass term
- Phenomenon still occurring in **quenched approximation**

Chose a cheap lattice regularization of  $\int d^4x \mathcal{L}_{toy}$

- First NP study of a theory with gauge,  $\Psi = \begin{pmatrix} u \\ d \end{pmatrix}$  &  $(\varphi_0, \vec{\varphi})$
- "Naive" fermions (good for quenched approximation only)

$$\mathcal{S}_{lat} = b^4 \sum_x \left\{ \mathcal{L}_{kin}^{YM}[U] + \mathcal{L}_{kin}^{sca}(\Phi) + \mathcal{V}(\Phi) + \bar{\Psi} D_{lat}[U, \Phi] \Psi \right\}$$

$\mathcal{L}_{kin}^{YM}[U]$  : SU(3) plaquette action

$$\mathcal{L}_{kin}^{sca}(\Phi) + \mathcal{V}(\Phi) = \frac{1}{2} \text{tr} [\Phi^\dagger (-\partial_\mu^* \partial_\mu) \Phi] + \frac{\mu_0^2}{2} \text{tr} [\Phi^\dagger \Phi] + \frac{\lambda_0}{4} (\text{tr} [\Phi^\dagger \Phi])^2$$

where  $\Phi = \varphi_0 \mathbb{1} + i\varphi_j \tau^j$   $F(x) \equiv [\varphi_0 \mathbb{1} + i\gamma_5 \tau^j \varphi_j](x)$

$$(D_{lat}[U, \Phi] \Psi)(x) = \gamma_\mu \tilde{\nabla}_\mu \Psi(x) + \eta F(x) \Psi(x) - b^2 \rho \frac{1}{2} F(x) \tilde{\nabla}_\mu \tilde{\nabla}_\mu \Psi(x) + \\ - b^2 \rho \frac{1}{4} \left[ (\partial_\mu F)(x) U_\mu(x) \tilde{\nabla}_\mu \Psi(x + \hat{\mu}) + (\partial_\mu^* F)(x) U_\mu^\dagger(x - \hat{\mu}) \tilde{\nabla}_\mu \Psi(x - \hat{\mu}) \right]$$

- Yukawa ( $d = 4$ ) term  $\propto \eta$  , Wilson-like ( $d = 6$ ) term  $\propto \rho$
- Unquenched studies will require DW or Overlap fermions



Quenched model with 2 flavours  $\begin{pmatrix} u \\ d \end{pmatrix} \times 16$  doublers

- $\chi_L \otimes \chi_R$  classical symmetry in  $\Psi$  basis:  $\Psi_{L,R} = \frac{1}{2}(1 \pm \gamma_5)\Psi$   
 $\chi_L : \underbrace{\Psi_L \rightarrow \Omega_L \Psi_L \quad \bar{\Psi}_L \rightarrow \bar{\Psi}_L \Omega_L^\dagger}_{\tilde{\chi}_{L,R}} \quad \Phi \rightarrow \Omega_L \Phi \quad \Omega_L \in SU(2)$
- $\chi_R : \underbrace{\Psi_R \rightarrow \Omega_R \Psi_R \quad \bar{\Psi}_R \rightarrow \bar{\Psi}_R \Omega_R^\dagger}_{\tilde{\chi}_{L,R}} \quad \Phi \rightarrow \Phi \Omega_R^\dagger \quad \Omega_R \in SU(2)$
- $\tilde{\chi}_L \otimes \tilde{\chi}_R$  classical symmetry at  $\eta = 0$ , any  $\rho$ , all doublers  
 (at classical level the Wilson-like term irrelevant)
- Doubling symmetry group,  $D_\xi$ ,  $\xi = (\xi_1, \xi_2, \xi_3, \xi_4)$ ,  $\xi_i = 0, 1$   
 $D_\xi : \Psi(x) \rightarrow (-1)^{x \cdot \xi} M_\xi \Psi(x) \quad \bar{\Psi}(x) \rightarrow \bar{\Psi}(x) M_\xi^\dagger (-1)^{x \cdot \xi}$   
 $\varphi_0 \rightarrow \varphi_0, \varphi_i(x) \rightarrow (-1)^{\sum_\mu \xi_\mu} \varphi_i(x) \quad M_\xi = (i\gamma_5 \gamma_1)^{\xi_1} \dots (i\gamma_5 \gamma_4)^{\xi_4}$
- Doublers at  $p_\mu = \frac{\pi}{b} \xi_\mu$

- Only symmetric derivatives  $\tilde{\nabla}$  on fermions in the lattice action
  - $\implies$  Doubling Symmetry
  - $\implies$  Power counting arguments work (even in the presence of doublers)
- Remark: this would not be the case if there were forward/backward  $\nabla/\nabla^*$  lattice derivatives acting on fermions
  - $\implies$  no Doubling Symmetry
- The renormalization of the toy model on the lattice is analogous to the one of the continuum model [Frezzotti and Rossi, Phys. Rev. D92 (2015) 054505], as it is based on power counting and symmetries

- Symmetries of the lattice toy model

- SU(3) Gauge
- $\chi_L \otimes \chi_R$  (previously defined)
- $P, T, C, CPF_2$

$$P : \begin{cases} \Phi(x) \rightarrow \Phi^\dagger(x_P), & x_P \equiv (-\vec{x}, x_4) \\ \Psi(x) \rightarrow \gamma_4 \Psi(x_P), & \bar{\Psi}(x) \rightarrow \bar{\Psi}(x_P) \gamma_4 \\ U_4(x) \rightarrow U_4(x_P), & U_k(x) \rightarrow U_k^\dagger(x_P - \hat{k}) \end{cases}$$

$$C : \begin{cases} \Phi(x) \rightarrow \Phi^T(x) \\ \Psi(x) \rightarrow i\gamma_4 \gamma_2 \bar{\Psi}^T(x), & \bar{\Psi}(x) \rightarrow -\Psi^T(x) i\gamma_4 \gamma_2 \\ U_\mu(x) \rightarrow U_\mu^*(x) \end{cases}$$

$$T : \begin{cases} \Phi(x) \rightarrow \Phi^\dagger(x_T), & x_T \equiv (\vec{x}, -x_4) \\ \Psi(x) \rightarrow \gamma_5 \gamma_4 \bar{\Psi}^T(x), & \bar{\Psi}(x) \rightarrow \Psi^T(x) \gamma_4 \gamma_5 \\ U_4(x) \rightarrow U_4^\dagger(x_T - \hat{0}), & U_k(x) \rightarrow U_k(x_T) \end{cases}$$

$$F_2 : \begin{cases} \Phi(x) \rightarrow \tau^2 \Phi(x) \tau^2 \\ \Psi(x) \rightarrow i\tau^2 \Psi(x), & \bar{\Psi}(x) \rightarrow -i\bar{\Psi}(x) \tau^2 \end{cases}$$

- The only  $d = 4$  allowed operator, besides kinetic terms for gauge, fermions and scalars and scalar potential, is the Yukawa term  $\bar{\eta}\bar{\Psi}\Phi\Psi$
- $\bar{\eta}(\eta_{cr}; g_s^2, \rho, \lambda_0) - \eta_{cr} = 0$  defines  $\eta_{cr}$
- unique  $\eta_{cr}$
- $\eta_{cr}$  independent of scalar renormalized mass  $\mu_r^2$  (up to cutoff effects)  $\implies$  the same for Wigner and NG phase

- In view of the uniqueness of  $\eta_{cr}$  consider the correlators

$$\partial_\mu \langle \tilde{J}_\mu^{L,i}(x) \mathcal{O}^i(z) \rangle = \langle (B_{Yuk}^{L,i} + B_{Wil}^{L,i})(x) \mathcal{O}^i(z) \rangle \quad x \neq z$$

$B_{Yuk}^{L,i}, B_{Wil}^{L,i}$  variation of Yukawa- and Wilson-terms under  $\tilde{\chi}_L$

- Look for the value of  $\eta$  ( $\equiv \eta_{cr}(g_s^2, \rho, \lambda_0)$ ) where

$$\langle \partial_\mu \tilde{J}_\mu^{L,i}(x) \mathcal{O}^i(z) \rangle \Big|_{\eta_{cr}} = 0 \quad \text{in Wigner phase } \mu_r^2 > 0$$

- At this  $\eta = \eta_{cr}(g_s^2, \rho, \lambda_0)$  we want to check whether in NG phase

$$\frac{\langle \partial_\mu \tilde{J}_\mu^{L,i}(x) \mathcal{O}^i(z) \rangle \Big|_{\eta_{cr}}}{\langle B_{Yuk}^{L,i}(x) \mathcal{O}^i(z) \rangle \Big|_{\eta_{cr}}} = O\left(C_1 \frac{\Lambda_s}{v}\right) \neq 0 \quad \mu_r^2 < 0$$

$$\Lambda_s^2 < |\mu_r^2 \lambda_r| \ll b^{-2}$$

in view of the conjecture

- In practice consider ratio

$$R_L(x_0) = \frac{\sum_{\vec{x}} \partial_\mu \langle \tilde{J}_\mu^{L,i}(\vec{x}, t_0) B_{Yuk}^{L,i}(\vec{z}, z_0) \rangle}{\sum_{\vec{x}} \langle B_{Yuk}^{L,i}(\vec{x}, x_0) B_{Yuk}^{L,i}(\vec{z}, z_0) \rangle} \quad x_0 \neq z_0$$

A few technical remarks

- Quenching  $\implies$   $\Phi$  fields can be generated separately from gauge configs
- Beware of spurious zero modes of  $D_{lat}$  (exceptional confs.): introduce an IR cutoff replacing  $\bar{\Psi} D_{lat} \Psi \rightarrow \bar{\Psi} (D_{lat} + m) \Psi$
- $\langle \partial_\mu (\tilde{J}_\mu^{L,i}(x) + \tilde{J}_\mu^{R,i}(x)) B_{Yuk}^{L,i}(z) \rangle$  and  $\eta_{cr}$  remain unchanged up to  $O(bm)$  when the IR cutoff is in place

- In the NG phase
  - IR cutoff in  $D_{lat}$ -inversion
    - $(\eta - \eta_{cr})\langle\Phi\rangle$  for  $\eta \neq \eta_{cr}$
    - $C_1\Lambda_s$  (if any)
  - Scalar expectation value always zero in finite volume
  - need axial  $\chi$ -fixing ( $\chi_L \times \chi_R \times Z_2 \times R_5$  field rotations)
    - $\chi_L \times \chi_R$  rotation of each scalar configuration s.t.

$$\frac{1}{V} \sum_x \phi(x) \propto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

to get  $\langle\Phi\rangle > 0$  if needed apply

$$\bullet Z_2 \times R_5 \left\{ \begin{array}{l} \Phi \rightarrow -\Phi \\ \Psi_{L/R} \rightarrow -/+ \Psi_{L/R} \quad \bar{\Psi}_{L/R} \rightarrow +/- \bar{\Psi}_{L/R} \end{array} \right.$$

## Further technical/practical remarks

- 1  $\Psi = \begin{pmatrix} u \\ d \end{pmatrix}$  &  $\Phi = \varphi_0 \mathbb{1} + i\tau^i \phi_i$  [isospin matrix]  $\implies$   
 $\implies$  Dirac matrix  $2 \times 2$  times larger than in LQCD  
 $D_{lat}$  not  $\gamma_5$ -Hermitian need  $D_{lat}^{-1}$  and  $(D_{lat}^\dagger)^{-1}$
- 2 Extra computing time because action is  $2^{nd}$ -neighbour
- 3 We have just started a first exploration using  
 $D_{lat}^\dagger D_{lat} \rightarrow D_{lat}^\dagger D_{lat} + M^2 \quad (a^2 M^2 = 5 \cdot 10^{-4})$   
 where we look for signal/noise ratio  
 $C_{BB^\dagger}(x, t) = \langle B_{Yuk}(x, t) B_{Yuk}^\dagger(x_0, t_0) \rangle$

$$B_{Yuk}(x, t) = \bar{\Psi}(x, t) \frac{\tau^i}{2} \Phi(x, t) \left( \frac{1 + \gamma_5}{2} \right) \Psi(x, t) +$$

$$- \bar{\Psi}(x, t) \Phi^\dagger(x, t) \frac{\tau^i}{2} \left( \frac{1 - \gamma_5}{2} \right) \Psi(x, t)$$

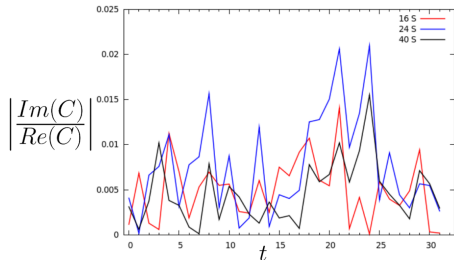
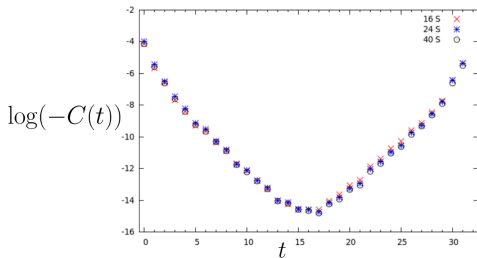


After long work for developing & adapting codes  
a first evaluation of correlators in progress. Where do we stand?

~ where LQCD was 30 years ago

- Evolution in time of  $C_{BB^\dagger}$  in a  $16^3 \times 32$  lattice
- $\eta = 0.2, \rho = 1$
- Wigner phase  $\mu_r > 0$
- 16, 24, 40 uncorrelated scalar on one gauge configurations
- Smeared field  $\Phi$  to reduce statistical errors

... but quick progress is expected



# Outlook

- More statistics and better understanding of the lattice model features
- Determine  $\eta_{cr}$  in Wigner phase
- Check whether a NP mass term is generated in NG phase
- Explore 2-3 lattice spacings to estimate the NP mass value in the  $b \rightarrow 0$  limit

Thank you for the attention



Power counting in presence of doublers:

- Wilson-like term built with  $\tilde{\nabla}_\mu$  only  $\implies$  DS
- Lattice effective action only with  $\tilde{\nabla}_\mu$  to preserve DS
- $d > 4$  operator with  $\tilde{\nabla}\Psi$  irrelevant

$$b\tilde{\nabla}_\mu\Psi \xrightarrow{F.T.} \sin(bp_\mu)\Psi(p) = 0 \text{ at } |p_\mu| = \frac{\pi}{b}$$

$$\frac{b}{2}\nabla_\mu\Psi \xrightarrow{F.T.} \sin(b\frac{p_\mu}{2})\Psi(p) = \pm 1 \text{ at } |p_\mu| = \frac{\pi}{b}$$

- not at the rigours of level of the power counting theorem  
[\[J. Giedt, Nucl. Phys. B 728 \(2007\) 134\]](#) staggered fermions  
[\[T. Reisz, Commun. Math. Phys. 116 \(1988\) 81\]](#) Wilson fermions