

Heavy-heavy current improvement for
calculation of $B \rightarrow D^{(*)} \ell \nu$ semi-leptonic form
factors using the Oktay-Kronfeld action

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$B \rightarrow D^{(*)} \ell \nu$ form factors and flavor physics

- $|V_{cb}|$ normalizes Unitarity Triangle, dominates uncertainty in many observables \sim searches for new physics
 - Rare decays $K \rightarrow \pi \nu \bar{\nu}$, $B_s^0 \rightarrow \mu^+ \mu^-$
 - Indirect CP violation $\sim \epsilon_K$
 - $|V_{cb}|$ from inclusive decays, exclusive decays
- Ratios $R(D), R(D^*)$ from SM, experiment

$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)}$$

$|V_{cb}|$ from $B \rightarrow D^{(*)} \ell \nu$

$$\frac{d\Gamma}{d\omega}(B \rightarrow D \ell \nu) = \frac{G_F^2 |V_{cb}|^2 m_b^5}{48\pi^3} (\omega^2 - 1)^{3/2} r^3 (1+r)^2 F_D^2(\omega)$$
$$\frac{d\Gamma}{d\omega}(B \rightarrow D^* \ell \nu) = \frac{G_F^2 |V_{cb}|^2 m_b^5}{4\pi^3} |\eta_{EW}|^2 (1 + \pi\alpha) \times$$
$$(\omega^2 - 1)^{1/2} r^{*3} (1 - r^*)^2 \chi(\omega) F_{D^*}^2(\omega)$$

- Decay rates from experiments \Rightarrow form factor shapes
- Kinematic factors, perturbative corrections, $|V_{cb}|$
 - Velocity transfer $\omega = v_{D^{(*)}} \cdot v_B$, ratios $r^{(*)} = m_{D^{(*)}}/m_B$,

$$\chi(\omega) = \frac{\omega + 1}{12} \left(5\omega + 1 - \frac{8\omega(\omega - 1)r^*}{(1 - r^*)^2} \right)$$

- Higher order electroweak corrections: $\eta_{EW} \sim$ NLO box diagrams, $\pi\alpha \sim$ Coulomb attraction for charged D^*
- Form factors \sim hadronic matrix elements, flavor-changing currents between initial, final mesons

Hadronic matrix elements

$$\frac{\langle D(p_D) | V^\mu | B(p_B) \rangle}{\sqrt{m_D m_B}} = (v_B + v_D)^\mu h_+(\omega) + (v_B - v_D)^\mu h_-(\omega)$$

$$\frac{\langle D^*(p_{D^*}, \epsilon) | V_\mu | B(p_B) \rangle}{\sqrt{m_{D^*} m_B}} = \varepsilon_{\mu\nu\rho\sigma} \epsilon^{*\nu} v_B^\rho v_{D^*}^\sigma h_V(\omega)$$

$$\frac{\langle D^*(p_{D^*}, \epsilon) | A^\mu | B(p_B) \rangle}{\sqrt{m_{D^*} m_B}} = i\epsilon^{*\mu}(\omega + 1)h_{A_1}(\omega) - i(\epsilon^* \cdot v_B) \times \\ [v_B^\mu h_{A_2}(\omega) + v_{D^*}^\mu h_{A_3}(\omega)]$$

- Form factors h_i in HQET $\rightarrow F_D, F_{D^*}$
- Lorentz symmetry, constraints from P, T
- HQ symmetry \implies constraints on h_i
- $B \rightarrow D^*$ at zero recoil $\sim F_{D^*}(1) = h_{A_1}(1) \approx 1$

Heavy quarks on the lattice

- Discretization errors $\sim am_q$ for traditional Wilson, Kogut-Susskind fermions \implies uncontrolled systematics for $am_q \sim 1$
- Different approaches for controlling heavy quark discretization errors
 - Extrapolation from light to heavy
 - Step scaling with static limit anchor point
 - Effective field theories \sim HQET, NRQCD
(nonrenormalizable, no continuum limit \sim heavy quarks only)
 - Fermilab method, RHQ \sim lift symmetry interchanging time and spatial axes, retain Wilson time derivative, include irrelevant operators \implies tune to renormalized trajectory for arbitrary quark masses am_q

Improvement for heavy quarks

[El-Khadra, Kronfeld, Mackenzie, PRD 1997; Kronfeld, PRD 2000]

- Effective (continuum) field theories \sim convenient, practical tools for quantifying discretization errors, assessing and systematizing improvement
 - Symanzik EFT \sim light quarks, $am_q \ll 1$; generalization for arbitrary quark masses

$$S_{\text{LGT}} \doteq S_{\text{QCD}} + aS_5 + a^2S_6 + a^3S_7 + \dots$$
$$Z_J J_{\text{LGT}} \doteq J_{\text{QCD}} + J' + J'' + J''' + \dots$$

- Nonrelativistic EFTs \sim (continuum) HQET, NRQCD at energy scales $\ll m_q$
- Match correlation functions \sim on-shell matrix elements, other convenient quantities; power counting \sim momentum, coupling dependence
- Quantify errors in desired quantities \sim assess improvement, estimate systematics

Action improvement

[El-Khadra, Kronfeld, Mackenzie, PRD 1997; Oktay and Kronfeld, PRD 2008]

- Clover fermions without time-space axis interchange symmetry \sim tune to renormalized trajectory
- Standard clover fermions + nonrelativistic interpretation \implies renormalized trajectory contains particles with different rest, kinetic masses
 - rest mass = energy at zero momentum
 - kinetic mass \sim characterizes inertial response
- Unphysical effect completely understood, quarantined if kinetic masses tuned to physical masses \implies correctly tuned clover action yields QCD matrix elements \longrightarrow phenomenological success for charm and bottom physics
- Charm quark discretization errors \longrightarrow extend Fermilab method, action \implies Oktay-Kronfeld action, spectrum tests of improvement

Current improvement

[El-Khadra, Kronfeld, Mackenzie, PRD 1997; Kronfeld, PRD 2000; Harada *et al.*, PRD 2002]

- Include operators J_i with quantum numbers of desired operator \sim power counting, enumeration

$$J_{\text{QCD}} = Z_J(am_q, g^2) \left[J_0 + \sum_i C_i(am_q, g^2) J_i \right]$$

- Correct deviations from continuum limit \sim suppression, enhancement by powers of lattice spacing
- Fix renormalization factor(s) Z_J , coefficients C_i of improvement operators \sim matching matrix elements
- Generalized Symanzik improvement \sim no expansion in am_q
 \iff arbitrary am_q

Improved heavy quark field

[El-Khadra, Kronfeld, Mackenzie, PRD 1997; Harada *et al.*, PRD 2002]

Currents improved through lowest nontrivial order in HQET \sim order \mathbf{p} in two-quark current matrix elements

$$\begin{aligned}\psi(x) &\rightarrow \Psi_I(x) \equiv e^{M_1/2} [1 + d_1 \boldsymbol{\gamma} \cdot \mathbf{D}] \psi(x) \\ \bar{\psi}_c \Gamma \psi_b &\rightarrow \bar{\Psi}_{Ic} \Gamma \Psi_{Ib}\end{aligned}$$

$$\begin{aligned}\langle c(\xi_c, \mathbf{p}_c) | \bar{c} \Gamma b | b(\xi_b, \mathbf{p}_b) \rangle &\rightarrow \sqrt{\frac{m_c}{E_c}} \bar{u}_c(\xi_c, \mathbf{p}_c) \Gamma \sqrt{\frac{m_b}{E_b}} u_b(\xi_b, \mathbf{p}_b) \\ \langle q_c(\xi_c, \mathbf{p}_c) | \bar{\Psi}_{Ic} \Gamma \Psi_{Ib} | q_b(\xi_b, \mathbf{p}_b) \rangle &\rightarrow \\ \mathcal{N}_c(\mathbf{p}_c) \bar{u}_c^{\text{lat}}(\xi_c, \mathbf{p}_c) R_c^{(0)}(\mathbf{p}_c) \Gamma R_b^{(0)}(\mathbf{p}_b) \mathcal{N}_b(\mathbf{p}_b) u_b^{\text{lat}}(\xi_b, \mathbf{p}_b)\end{aligned}$$

$$R^{(0)}(\mathbf{p}) = e^{M_1/2} [1 + i d_1 \boldsymbol{\gamma}_k \sin p_k]$$

Matching two-quark matrix elements

- Third order in HQET \sim order \mathbf{p}^3 in two-quark matrix elements
- OK action \implies corrections to lattice spinors, normalization factors
- Lattice matrix elements differ from continuum \sim mismatch between lattice, continuum spinors, normalization factors $\implies \Psi_I$ exists
- Ansatz: operators \sim mass dimension 6 in OK action

$$\begin{aligned}\Psi_I(x) = e^{M_1/2} & \left[1 + d_1 \boldsymbol{\gamma} \cdot \mathbf{D} + \frac{1}{2} d_2 \Delta^{(3)} + \frac{1}{2} i d_B \boldsymbol{\Sigma} \cdot \mathbf{B} + \frac{1}{2} d_E \boldsymbol{\alpha} \cdot \mathbf{E} \right. \\ & + d_{r_E} \{ \boldsymbol{\gamma} \cdot \mathbf{D}, \boldsymbol{\alpha} \cdot \mathbf{E} \} + d_{z_E} \gamma_4 (\mathbf{D} \cdot \mathbf{E} - \mathbf{E} \cdot \mathbf{D}) \\ & + \frac{1}{6} d_3 \gamma_k D_k \Delta_k + \frac{1}{2} d_4 \{ \boldsymbol{\gamma} \cdot \mathbf{D}, \Delta^{(3)} \} + d_5 \{ \boldsymbol{\gamma} \cdot \mathbf{D}, i \boldsymbol{\Sigma} \cdot \mathbf{B} \} \\ & \left. + d_{EE} \{ \gamma_4 D_4, \boldsymbol{\alpha} \cdot \mathbf{E} \} + d_{z_3} \boldsymbol{\gamma} \cdot (\mathbf{D} \times \mathbf{B} + \mathbf{B} \times \mathbf{D}) \right] \psi(x)\end{aligned}$$

Improvement parameters from tree-level two-quark matrix elements

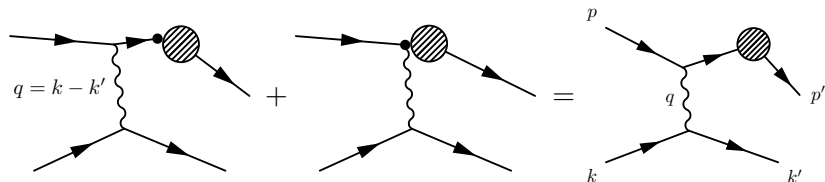
- Operators without explicit \mathbf{E} , \mathbf{B} fields $\propto d_1, d_2, d_3, d_4$

$$R^{(0)}(\mathbf{p}) \Rightarrow e^{M_1/2} \left[1 + id_1 \gamma_k \sin p_k - 2d_2 \sum_l \sin^2 \frac{1}{2} p_l - \frac{2}{3} id_3 \gamma_k \sin p_k \sin^2 \frac{1}{2} p_k - 4id_4 \gamma_k \sin p_k \sum_l \sin^2 \frac{1}{2} p_l \right]$$

- $\mathcal{O}(\mathbf{p})$ terms $\rightarrow d_1$
- $\mathcal{O}(\mathbf{p}^2)$ terms $\rightarrow d_2$
- Rotation-breaking $\mathcal{O}(\mathbf{p}^3)$ terms $\rightarrow d_3$
- Rotation-invariant $\mathcal{O}(\mathbf{p}^3)$ terms $\rightarrow d_4$

Matching four-quark matrix elements

- Tree-level dependence on improvement parameters, operators with explicit \mathbf{E} , \mathbf{B} fields



- One-gluon vertex from OK action \sim OK action tuning \implies match heavy-quark part of diagrams; no gluon propagator, gauge dependence
- Consider $b \rightarrow u$ transition \implies one heavy quark, set of improvement parameters

Momentum expansions

- \mathbf{p} , \mathbf{q} , $q_4 \ll 1/a$, m_b , M_1 , M_2 , M_X , M_Y , ...
- \mathbf{p} on shells, expand first in $\mathbf{p} \sim$ full dependence on q , no missing terms in \mathbf{p}
- OK action vertex, field improvement vertices \sim expansions in lattice spacing
- Continuum propagator \sim expansion in \mathbf{p}/m_b , q_μ/m_b
- Lattice propagator \sim joint expansion in $a\mathbf{p}$, \mathbf{p}/M_i , aq_μ , q_μ/M_i , ...

Improvement parameters from four-quark matrix elements

- At zeroth order in \mathbf{p} , spatial and temporal components of matching condition, several independent terms (in general) at each formal order in $q \implies$ sufficient constraints to extract all improvement parameters in ansatz?
- Algebra of expansions, solving constraints, reducing results \longrightarrow *Mathematica*
 - Spatial $\mathcal{O}(\mathbf{q}/q_4)$ terms $\rightarrow d_1$ (consistent)
 - Spatial $\mathcal{O}(\mathbf{q})$ terms $\rightarrow d_2$ (consistent)
 - $\dots \rightarrow d_3, d_4, d_B$ (consistent)
 - Temporal $\mathcal{O}(\mathbf{q})$ terms $\rightarrow d_E$ (two calculations)
 - Temporal $\mathcal{O}(\mathbf{q}^2)$ terms $\rightarrow d_{r_E} - d_{z_E}$ (two calculations)
 - Temporal $\mathcal{O}(\mathbf{q}q_4)$ terms $\rightarrow d_{EE}$ (two calculations)
 - Spatial $\mathcal{O}(\mathbf{q}^2)$ terms $\rightarrow d_5 - d_{z_3}$ (one calculation)
- Multiple equivalent constraints $\rightarrow d_i$
- Consistent with OK action improvement parameters $c_1, c_2, c_3, c_4, c_5, c_E, c_B$

Summary

- $B \rightarrow D^{(*)} \ell \nu$ form factors $\Rightarrow |V_{cb}|$, tests of SM
- Discretization errors significant \sim highly improved actions, currents
- Improved quark field \sim current improvement
 - Ansatz: Operators \sim mass dimension 6 in improved action
 - Matching two-quark, four-quark current matrix elements; preliminary results for 7 of 11 improvement parameters, consistent with previous results for currents, action
 - Checking equality of lattice, continuum four-quark matrix elements through third order in momentum expansions
- Demonstrate systematic improvement \longrightarrow operator enumeration, HQET matching analysis