Progress on the lattice QCD calculation of the rare kaon decays: $K^+ \rightarrow \pi^+ \nu \, \overline{\nu}$

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RBC and **UKQCD** Collaborations

The RBC & UKQCD collaborations

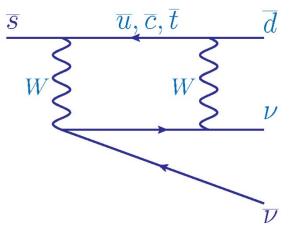
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Outline

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ phenomenology
 - Short distance: E-W perturbation theory
 - Long distance: Lattice QCD needed
- Overview of lattice calculation
 - Subtraction of exponentially growing terms
 - NPR for bilocal operators
- Preliminary lattice results
- Relation to present predictions

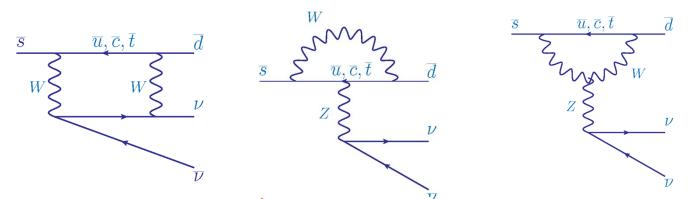
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

- Flavor changing neutral current
 - Allowed in the Standard Model only in second order
 - Short distance dominated
- Target of NA62 at CERN
 - 100 events in 2-3 years
 - Test Standard Model prediction at 10% level
 - Use lattice for long distance part: 5% effect ?



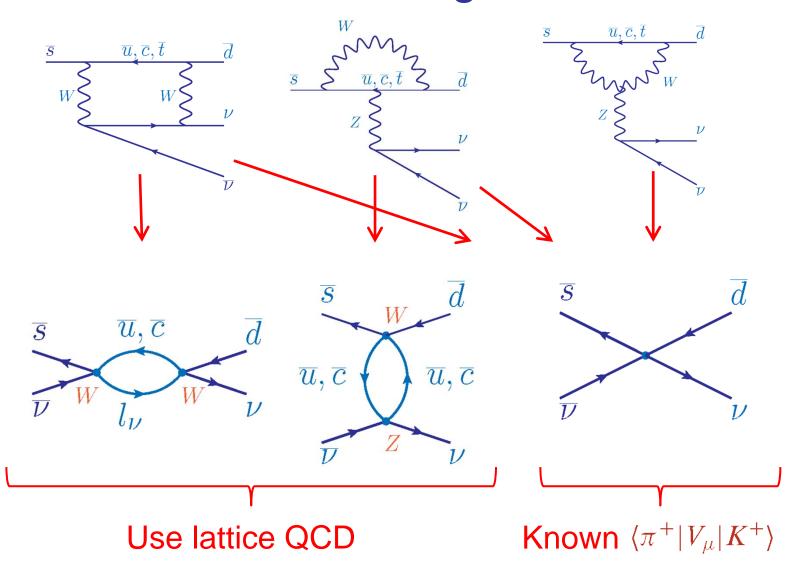


$K^+ \rightarrow \pi^+ \nu \, \bar{\nu}$ in the Standard Model

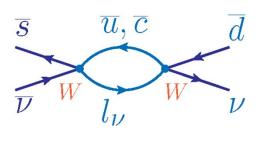


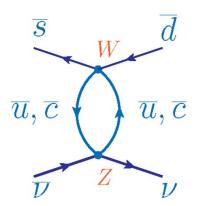
- Factors of $\frac{1}{M_W^4}$ or $\frac{1}{M_W^2 M_Z^2}$ force the largest contribution to come from short distance

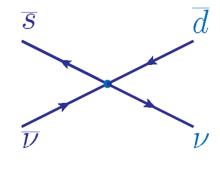
$K^+ \rightarrow \pi^+ \nu \; \bar{\nu}$ at long distance



H_{eff} for $K^{+} \rightarrow \pi^{+} \nu \ \overline{\nu}$







$H_{\rm eff}$ for $K^+ \rightarrow \pi^+ \nu \ \overline{\nu}$

$$\overline{S}$$
 $\overline{u}, \overline{c}$ \overline{d} \overline{S} $\overline{u}, \overline{c}$ \overline{d} \overline{S} \overline{d} \overline{S} \overline{d} \overline{V} $\overline{U}, \overline{c}$ $\overline{U},$

$H_{\rm eff}$ for $K^+ \rightarrow \pi^+ \nu \ \overline{\nu}$

$$\mathcal{H}_{\text{eff}} = + \frac{G_F}{\sqrt{2}} \left\{ \sum_{\substack{q=u,c\\\ell=e,\mu,\tau}} \left(V_{qs}^* O_{q\ell}^{\Delta S=1} + V_{qd} O_{q\ell}^{\Delta S=0} \right) + \sum_{\ell=e,\mu,\tau} O_\ell^Z + \sum_{q=u,c} \lambda_q O_q^W \right\} + O_0$$

$$O^{\Delta S=1} = C_{\Delta S=1}(\overline{s}q)_{V-A}(\overline{\ell}v_\ell)_{V-A}$$

$$O^{W}_q = C_1(\overline{s}_a q_b)_{V-A}(\overline{q}_b d_a)_{V-A} + C_2(\overline{s}_a q_a)_{V-A}(\overline{q}_b d_b)_{V-A}$$

$$O^{\Delta S=0} = C_{\Delta S=0}(\overline{q}d)_{V-A}(\overline{\ell}v_\ell)_{V-A}$$

$$O^{Q}_q = C_1(\overline{s}_a q_b)_{V-A}(\overline{q}_b d_a)_{V-A} + C_2(\overline{s}_a q_a)_{V-A}(\overline{q}_b d_b)_{V-A}$$

$$O^{Q}_q = C_2(\overline{q}d)_{V-A}(\overline{\ell}v_\ell)_{V-A}$$

$$O^{Q}_q = C_2(\overline{q}d)_{V-A}(\overline{\ell}v_\ell)_{V-A}$$

$$O_{\ell}^{Z} = C_{Z} \sum_{q=u,c,d,s} \left(T_{3}^{q} \overline{q} \gamma_{\mu} (1 - \gamma_{5}) q - Q_{\mathrm{em},q} \sin^{2} \theta_{W} \overline{q} \gamma_{\mu} q \right) \overline{\nu_{\ell}} \gamma_{\mu} (1 - \gamma_{5}) \ell$$

Unphysical terms growing exponentially with time

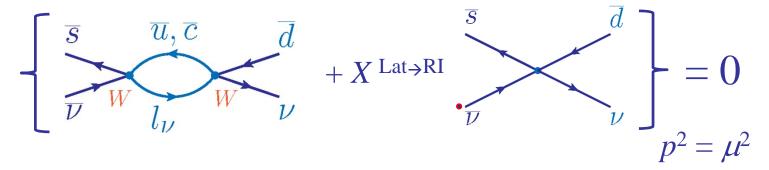
• Encountered previously for $M_{K_L} - M_{K_S}$

$$\int_{-T}^{T} dt \langle \pi \nu \overline{\nu} | T \left(O_{A}(t) O_{B}(0) \right) | K \rangle
= \sum_{n} \left\{ \frac{\langle \pi \nu \overline{\nu} | O_{A}|n \rangle \langle n | O_{B}|K \rangle}{M_{K} - E_{n}} + \frac{\langle \pi \nu \overline{\nu} | O_{B}|n \rangle \langle n | O_{A}|K \rangle}{M_{K} - E_{n}} \right\} \left(1 - e^{(M_{K} - E_{n})T} \right)$$

- Terms with $M_K > E_n$ must be removed.
- Possibly large finite volume corrections: replace principal part by a finite volume sum. (N.H. Christ, X. Feng, G. Martinelli, C.T. Sachrajda, arXiv:1504.01170)

New short-distance divergence

Second order effective theory requires new counter terms



Use NPR for bilocal operator

$$\left\{ \int d^4x T \left(Q_A^{\overline{\text{MS}}}(x) Q_B^{\overline{\text{MS}}}(0) \right) \right\}^{\overline{\text{MS}}} \\
= Z_A Z_B \left\{ \int d^4x T \left(Q_A^{\text{Lat}}(x) Q_B^{\text{Lat}}(0) \right) \right\}^{\text{Lat}} + \left(Z_A Z_B X^{\text{Lat} \to \text{RI}} + Y^{\text{RI} \to \overline{\text{MS}}} \right) Q_0(0)$$

Exploratory Lattice Calculation

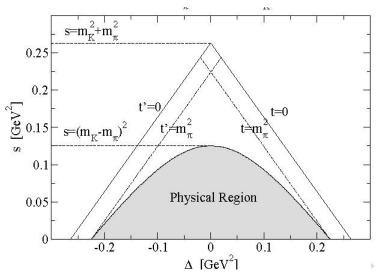
- 16³ x 32, RBC-UKQCD ensemble
 - 2+1 flavor DWF, 1/a = 1.73 GeV
 - $-M_{\pi} = 420 \text{ MeV}, M_{\kappa} = 540 \text{ MeV},$
 - $m_c (2 \text{ GeV})^{MS} = 863 \text{ GeV}$
- Calculate all diagrams
- 800 configurations
- Low-mode deflation with 100 modes
- Place sources on 32 time slices
- Treat internal lepton as an overlap fermion moving in ∞ time.

Exploratory Lattice Calculation

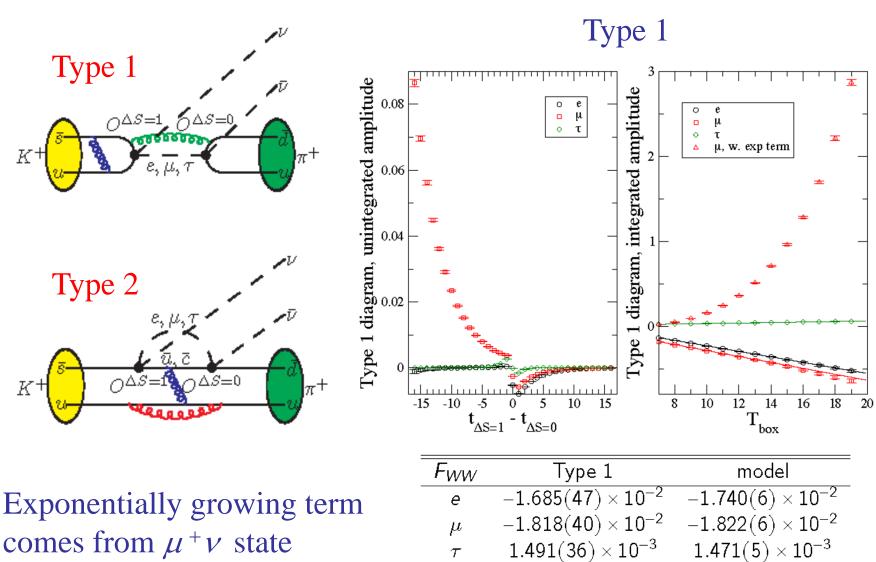
- All results given as scalar amplitudes
 - W-exchange diagram determines $F_{WW}(s, \Delta)$ for Dalitz plot variables:

$$s = (p_K - p_{\pi})^2$$
, $\Delta = (p_K - p_{\nu})^2 - (p_K - p_{\nu})^2$

- Vector and axial from Z-exchange determine familiar $KI3 f_{+}(s)$
- Assume these are constants
- Evaluate at \vec{p}_{K} =0 and \vec{p}_{π} = (0.0414, 0.0414, 0.0414)
- For vector Z-exchange also use $\vec{p}_{\pi} = 0$

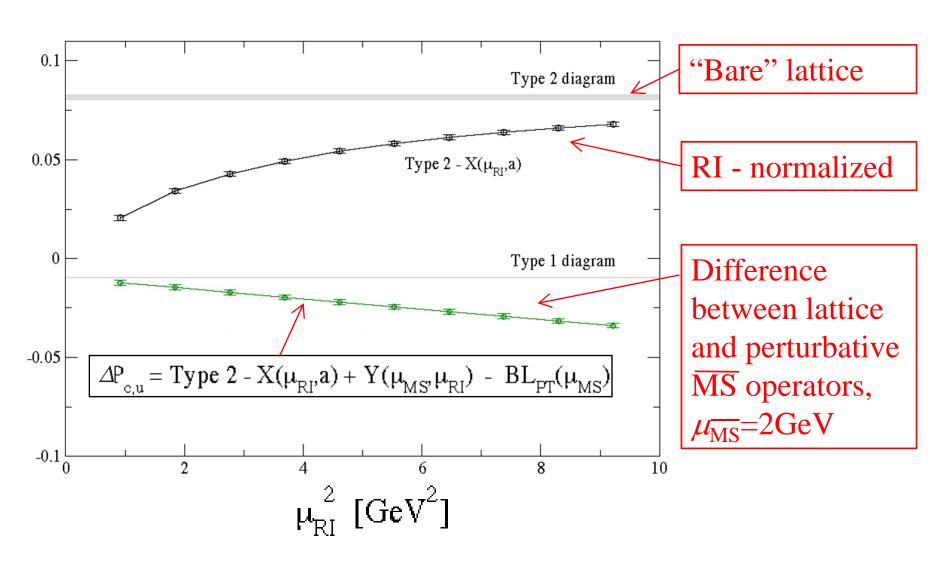


W W diagrams

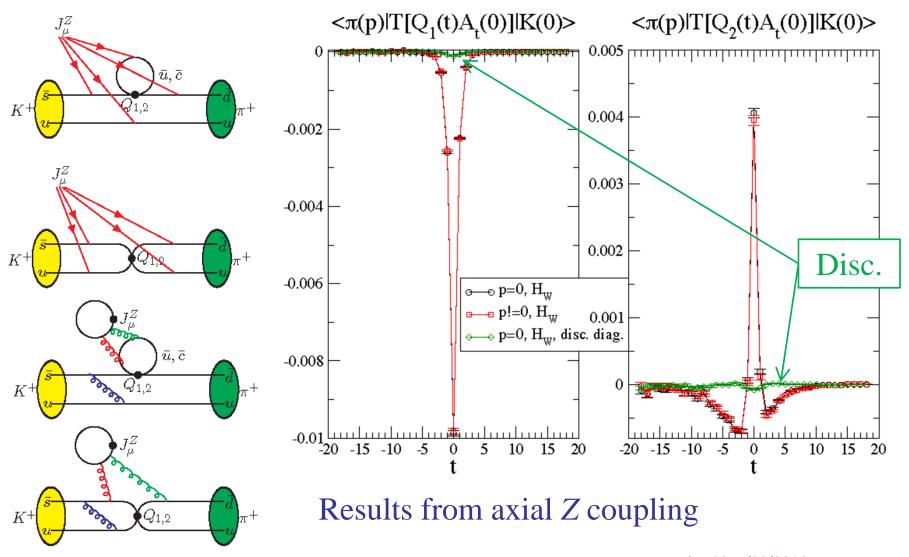


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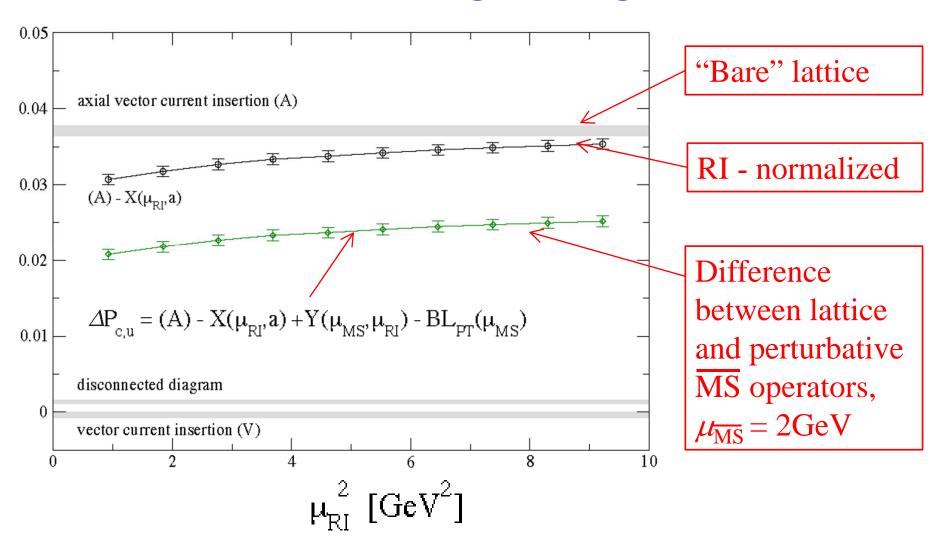
W W diagrams



Z – Exchange Diagrams



Z – Exchange diagrams



How does lattice QCD contribute?

Decay rate is short distance dominated:

$$\operatorname{Br} = \kappa_{+}(1 + \Delta_{\operatorname{EM}}) \left[\left(\frac{\operatorname{Im} \lambda_{t}}{\lambda^{4}} X(x_{t}) \right)^{2} + \left(\frac{\operatorname{Re} \lambda_{c}}{\lambda} P_{c} + \frac{\operatorname{Re} \lambda_{t}}{\lambda^{5}} X(x_{t}) \right)^{2} \right]$$

$$0.270 \times 1.481 \quad -0.974 \times 0.405 \quad -0.533 \times 1.481$$

$$P_{c}^{\operatorname{SD}} = \frac{1}{\lambda^{4}} \frac{X_{c}^{e} + X_{c}^{\mu} + X_{c}^{\tau}}{3} \qquad \lambda = |V_{us}|$$

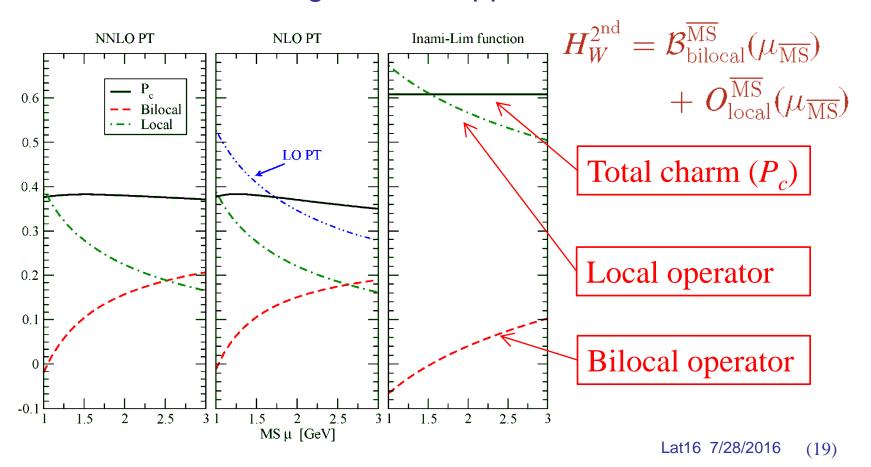
 Charm contribution is less than top but is significant (removing charm lowers BR by 50%)

$$\lambda_t X_t(x_t) : \lambda_c X_c^{\ell} :: \lambda_t \frac{m_t^2}{M_W^2} : \lambda_c \frac{m_c^2}{M_W^2} \ln \frac{M_W}{m_c}$$

How important is the charm energy scale?

Importance of charm energy scale

- Presence of $ln(M_W^2/m_c^2) = 8.4$ suggests charm scale may be 12% of charm contribution?
- However, the log term is suppressed at NLO:



Conventional treatment of $p \le m_c$

Electroweak and QCD perturbation theory provides:

$$H_W^{2^{
m nd}} = \mathcal{B}_{
m bilocal}^{\overline{
m MS}}(\mu_{\overline{
m MS}}) + O_{
m local}^{\overline{
m MS}}(\mu_{\overline{
m MS}})$$

Integrate out charm:

$$\mathcal{B}_{\text{bilocal}}^{\overline{\text{MS}}}(\mu_{\overline{\text{MS}}}) \approx C_W(\mu_{\overline{\text{MS}}}) \cdot Q_0^{\overline{\text{MS}}}(\mu_{\overline{\text{MS}}}) \qquad Q_0 = (\overline{s}d)_{V-A}(\overline{v}v)_{V-A}$$

$$\overline{s} \qquad \overline{u}, \overline{c} \qquad \overline{d}$$

$$V = C_W$$

- Long distance effect of up quark is missing, represented by δP_{cu} : $P_c = P_c^{SD} + \delta P_{cu}$
 - $-P_c^{SD} = 0.365(12)$
 - $-\delta P_{cu} = 0.040(20)$ [Isidori *et. al*, hep-ph/0503107]

Lattice result (unphysical kinematics)

• Replace:
$$P_c = P_c^{SD} + \delta P_{cu} = 0.040 (20)$$

• By:
$$P_c = P_c^{SD} + \Delta P_{cu}$$

where Evaluate bilocal matrix element

$$\Delta P_{cu}(\mu_{\overline{\mathrm{MS}}}) \propto \langle \pi \nu \overline{\nu} | \left\{ \mathcal{B}_{\mathrm{bilocal}}^{\overline{\mathrm{MS}}}(\mu^{\overline{\mathrm{MS}}}) \right\}$$

$$-C_W(\mu_{\overline{\mathrm{MS}}}) \cdot Q_0^{\overline{\mathrm{MS}}}(\mu_{\overline{\mathrm{MS}}}) \} |K^+\rangle$$

Remove conventional approximation to matrix element

$$\Delta P_{cu} \left(\mu_{\overline{\text{MS}}} = 2.0 \text{ GeV} \right) = -0.007(2) + \begin{pmatrix} +7 \\ -11 \end{pmatrix}_{RI} + \begin{pmatrix} +5 \\ -21 \end{pmatrix}_{\overline{\text{MS}}}$$

Conclusion

- Use lattice methods to compute the QCD contribution to $K^+ \rightarrow \pi^+ \nu \, \overline{\nu}$ from $E \leq m_c$
- Exponentially growing terms and bilinear operator normalization can be controlled.
- Demonstrated by a 16^3 x 32 exploratory lattice calculation with $m_{\pi} = 420$ MeV
- Next steps:
 - Use a larger volume 32^3 x 64 with m_{π} = 170 MeV but m_c = 750 MeV now being analyzed
 - Move to 1/a = 2.38 GeV, 64^3 x 128 and physical m_c currently a USQCD Incite proposal