

# Effective action for pions and a dilatonic meson — results

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MG, Yigal Shamir, [arXiv:1603.04575](https://arxiv.org/abs/1603.04575)

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## Effective theory for pions and a dilatonic meson: ingredients

Consider  $SU(N_c)$  gauge theory with  $N_f \equiv n_f N_c$  fundamental fermions close to conformal window with degenerate quark mass  $m$

- for fixed  $n_f$  theory is function of  $g^2 N_c$  and  $n_f$  in  $N_c \rightarrow \infty$  (Veneziano) limit

- $n_f^*$  conformal sill:  $n_f < n_f^*$  ChSB ;  $n_f > n_f^*$  IRFP

assume:

$T_{an} \sim n_f - n_f^*$  near ChSB scale ( $T_{an}$  is trace anomaly in chiral limit)

- $m \ll \Lambda \Rightarrow$  approximate chiral symmetry, light pions

$n_f \lesssim n_f^* \Rightarrow$  approximate scale symmetry, light dilatonic meson

$\Rightarrow$  power counting in  $m, p^2, n_f - n_f^*$  (and  $1/N_c \sim 1/N_f$ )

and systematic EFT for pions and light dilatonic meson (Yigal's talk)

## Leading order lagrangian:

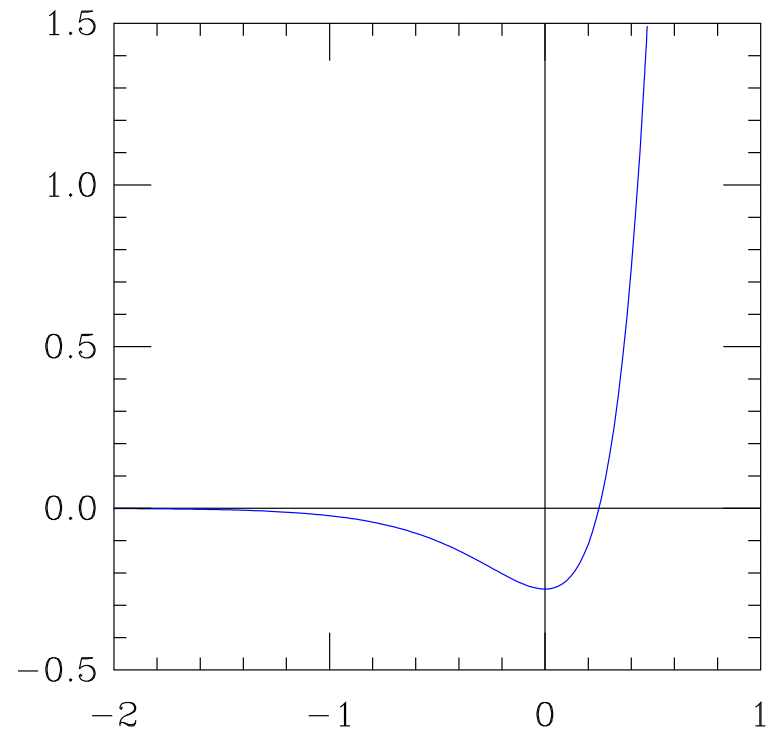
(Yigal's talk)

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_\pi + \mathcal{L}_\tau + \mathcal{L}_m + \mathcal{L}_d \\ \mathcal{L}_\pi &= (f_\pi^2/4) e^{2\tau} \text{tr} (\partial_\mu \Sigma^\dagger \partial_\mu \Sigma) \\ \mathcal{L}_\tau &= (f_\tau^2/2) e^{2\tau} (\partial_\mu \tau)^2 \\ \mathcal{L}_m &= -(m f_\pi^2 B_\pi/2) e^{y\tau} \text{tr} (\Sigma + \Sigma^\dagger) \\ \mathcal{L}_d &= [\tilde{c}_{00} + (n_f - n_f^*)(\tilde{c}_{01} + \tilde{c}_{11}\tau)] f_\tau^2 B_\tau e^{4\tau}\end{aligned}$$

- pion field  $\Sigma = \exp(2i\pi/f_\pi) \rightarrow g_L \Sigma g_R^\dagger$  and dilat. meson field  $\tau \rightarrow \tau + \log \lambda$
- use  $\tau$  shift and redefine LECs to get  $\mathcal{L}_d = \tilde{c}_{11}(n_f - n_f^*)(\tau - 1/4) \hat{f}_\tau^2 \hat{B}_\tau e^{4\tau}$
- $\chi$  = renormalized source,  $m$  = renormalized mass  
 $\Rightarrow y = 3 - \gamma_m^*$  with  $\gamma_m^*$  the IRFP value of the mass anomalous dimension at the sill of the conformal window
- corrections are accounted for by expansion in  $n_f - n_f^*$

## Classical vacuum in the chiral limit

- Dilatonic meson potential:  $V_{\text{cl}}(\tau) \propto V_d(\tau)e^{4\tau} = \tilde{c}_{11}(n_f - n_f^*)(\tau - 1/4)e^{4\tau}$
  - Self-consistency:  $\tilde{c}_{11} < 0$  (recall  $n_f < n_f^*$ )  $\Rightarrow V_{\text{cl}}(\tau)$  bounded from below
  - Effective theory at leading order seems “almost” scale invariant
  - But: linear term in  $V_d(\tau)$  is crucial; reflects hard breaking of scale invariance!
  - Going to  $n_f > n_f^*$ , classical potential becomes unbounded from below
- $\Rightarrow$  EFT “knows” it cannot be used inside conformal window where no pions exist!



## Tree-level masses

- $m = 0$ : shifted classical vacuum:  $v = \langle \tau \rangle = 0$
- dilatonic meson mass:  $m_\tau^2 = 4\tilde{c}_{11}(n_f - n_f^*)\hat{B}_\tau$  ( $\hat{B}_\tau = e^{2v[\text{pre-shift}]}B_\tau$ )
- $m > 0$ :  $V_{\text{cl}}(\tau) = V_d(\tau) e^{4\tau} - \frac{m}{\mathcal{M}} e^{y\tau} \Rightarrow v(m)$  increases monotonically with  $m$
- dilatonic meson:  $m_\tau^2 = 4\tilde{c}_{11}(n_f - n_f^*)\hat{B}_\tau e^{2v(m)}(1 + (4 - y)v(m))$
- pion:  $m_\pi^2 = 2\hat{B}_\pi m e^{(y-2)v(m)}$ , increase with  $m$  faster than ordinary ChPT

## Varying $n_f$ towards $n_f^*$ :

- condensate enhancement for  $\tilde{c}_{00} > 0^*$

$$\frac{\langle \bar{\psi}\psi \rangle}{\hat{f}_\pi^3} = -\frac{B_\pi}{f_\pi} \exp \left[ \gamma_m^* \left( \frac{1}{4} + \frac{\tilde{c}_{00}}{\tilde{c}_{11}(n_f - n_f^*)} \right) \right]$$

- ★ “gauge choice”  $\tilde{c}_{01} = 0 \Rightarrow V_{\text{cl}} = [\tilde{c}_{00} + (n_f - n_f^*)\tilde{c}_{11}\tau] f_\tau^2 B_\tau e^{4\tau}$

## Matching the trace anomaly

- dilatation current:  $S_\mu = x_\nu \Theta_{\mu\nu} = x_\nu (T_{\mu\nu} + K_{\mu\nu}/3)$

$$\langle 0 | \Theta_{\mu\nu}(x) | \tau \rangle = \frac{\hat{f}_\tau}{3} (-\delta_{\mu\nu} p^2 + p_\mu p_\nu) e^{ipx}$$

$$\langle 0 | S_\mu(x) | \tau \rangle = ip_\mu \hat{f}_\tau e^{ipx}$$

- anomalous divergence shows up at leading order in EFT:

$$\begin{aligned} \partial_\mu S_\mu &= \tilde{c}_{11} (n_f - n_f^*) f_\tau^2 B_\tau e^{4\tau} + (1 + \gamma_m^*) \frac{f_\pi^2 B_\pi m}{2} e^{y\tau} \text{tr} (\Sigma + \Sigma^\dagger) \\ &= -\frac{\beta(g^2)}{4g^2} F^2(\text{EFT}) - (1 + \gamma_m^*) m \bar{\psi}\psi(\text{EFT}) \end{aligned}$$

- GMOR relation when  $m \ll |n_f - n_f^*|$ :  $-(2m/N_f) \langle \bar{\psi}\psi \rangle = \hat{f}_\pi^2 m_\pi^2$
- GMOR-like relation for dilatonic meson:  $-(\beta(g^2)/g^2) \langle F^2 \rangle = \hat{f}_\tau^2 m_\tau^2$   
(works since  $\Gamma_\tau/m_\tau \sim |n_f - n_f^*|$ )

## Next-leading order and one loop renormalization

- Examples of various types of NLO operators

- usual ChPT  $e^{4\tau} \text{tr} (e^{-\tau} \partial_\mu \Sigma^\dagger e^{-\tau} \partial_\mu \Sigma) \text{tr} (e^{(y-4)\tau} \chi^\dagger \Sigma + \Sigma^\dagger e^{(y-4)\tau} \chi)$

- LO potentials  $[\tilde{c}_{02} + \tilde{c}_{12}\tau + \tilde{c}_{22}(\tau^2/2)](n_f - n_f^*)^2 f_\tau^2 B_\tau e^{4\tau}$

- pure dilatonic derivative terms  $[(\partial_\mu \tau)^2]^2, (\square \tau)^2, \square \tau (\partial_\mu \tau)^2$

- mixed  $e^{(y-2)\tau} (\partial_\mu \tau)^2 \text{tr} (\chi^\dagger \Sigma + \Sigma^\dagger \chi)$

- One-loop effective potential from dilatonic meson loop

$$V_{\text{cl}}(\tau) = f_\tau^2 B_\tau V_d(\tau) e^{4\tau} - \frac{f_\pi^2 B_\pi}{2} e^{y\tau} \text{tr} (\chi^\dagger \Sigma + \Sigma^\dagger \chi)$$

$$V_{\text{eff, dil.}}^{(1)} = -\frac{1}{64\pi^2} (e^{-2\tau} V_{\text{cl}}''(\tau))^2 \times \left( \frac{2}{4-d} - \gamma + \frac{3}{2} - \log \left( \frac{e^{-2\tau} V_{\text{cl}}''(\tau)}{4\pi\mu^2} \right) + O(d-4) \right)$$

⇒ divergence expandable in NLO operators

## Summary

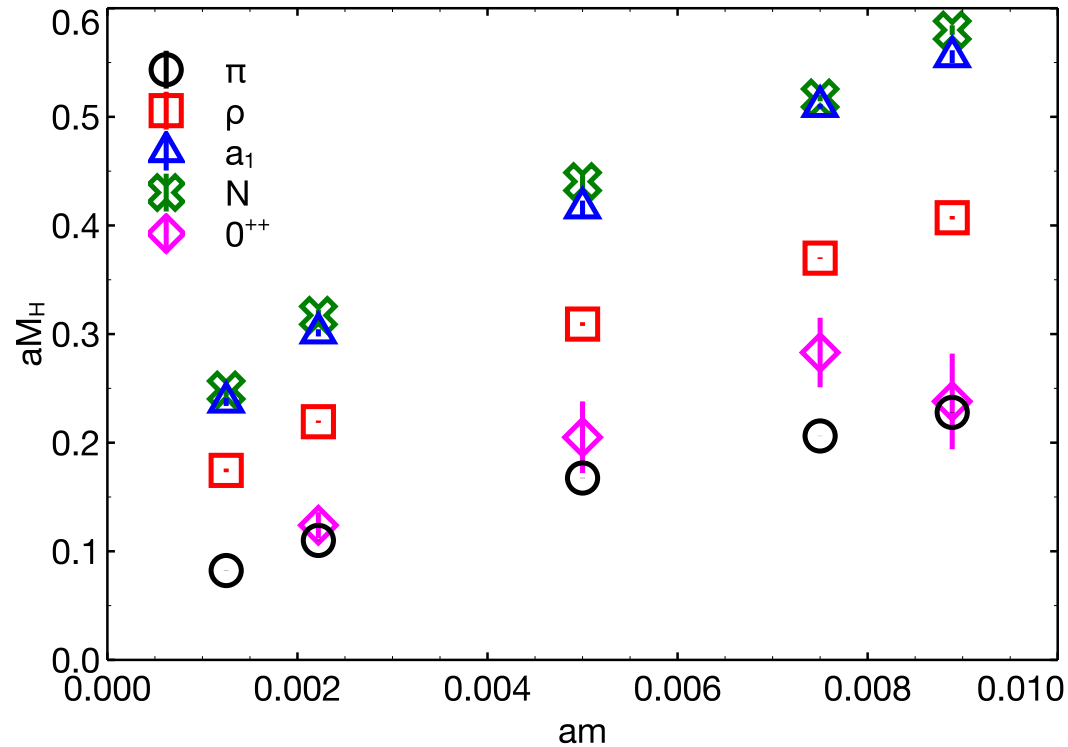
- Main assumption:  $T_{an} \sim (n_f - n_f^*)^\eta$  at the onset of ChSB
- Crude model (2-loop + gap equation):  $\beta(g_c^2) \propto n_f - n_f^* = n_f - 4$
- Can be extracted from e.g.  $\frac{\langle T_{an}(0) [F^2](x) \rangle_c}{\langle [F^2](0) [F^2](x) \rangle_c}$
- Obtain (by necessity)  $n_f^*$  and  $\eta$  like other LECs, by fitting data at varying  $N_c$  and  $N_f$  to EFT. But: predictions for masses at fixed  $N_c$  and  $N_f$
- For two-index (and higher) irreps, asymptotic freedom forbids  $N_f \rightarrow \infty$
- Can try the EFT anyway, for fixed model (fixed  $N_c$  and fermion content)  
Being lucky: given  $V_I = \sum c_n (\tau - \sigma)^n$  if, empirically,  $c_0 \gg c_1 \gg c_2 \dots$   
Can be interpreted as having *non-integer*  $N_f^*$  close to (and above) an integer



## Back-up: Yigal's talk

# A light flavor-singlet scalar — the Higgs particle?

- $SU(3)$ ,  $N_f = 8$  fund. [LatKMI, LSD,..]



Consistent low-energy theory must contain both pions and the flavor-singlet scalar

LSD collaboration, PRD 93 (2016) 114514

- $SU(3)$ ,  $N_f = 2$  sextet [Fodor et al.]

## Phases of $SU(N_c)$ with $N_f$ fundamental-rep Dirac fermions

- running slows down when  $N_f$  is increased

$$\frac{\partial g^2}{\partial \log \mu} = -\frac{b_1}{16\pi^2} g^4 - \frac{b_2}{(16\pi^2)^2} g^6$$

- two-loop IRFP  $g_*^2$  develops when  $b_1 > 0 > b_2$

- “Walking” gap equation  $\Rightarrow$

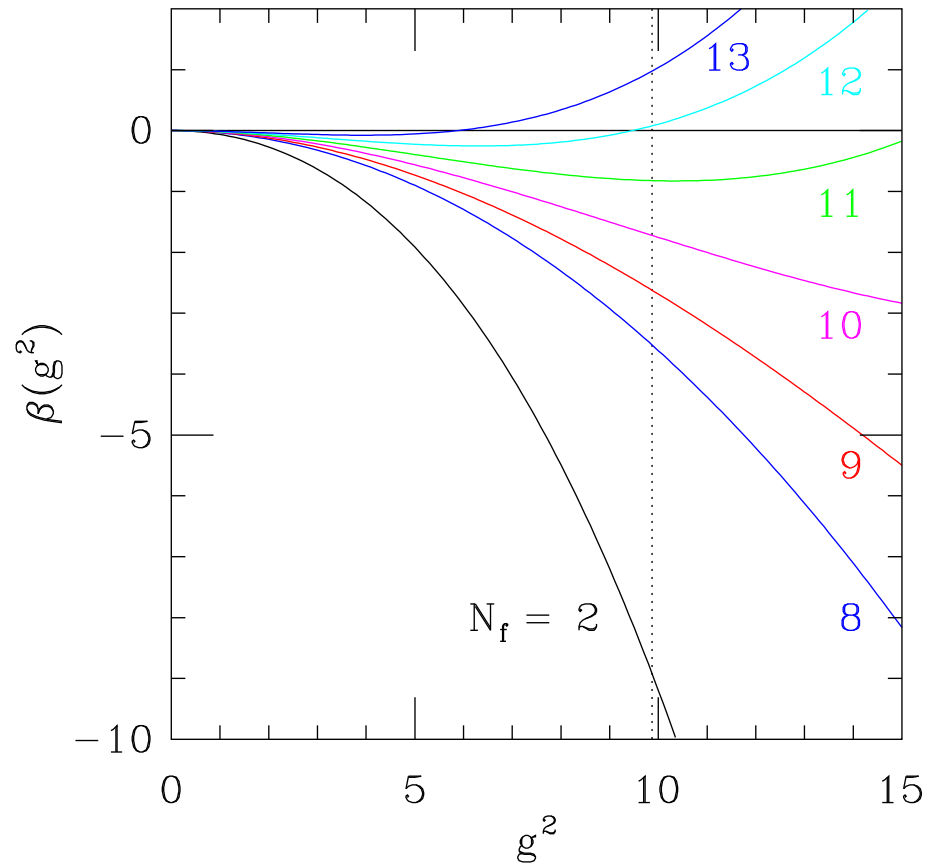
$$\text{ChSB when } g^2(\mu) = g_c^2 = \frac{4\pi^2}{3C_2}$$

- $SU(3)$ , fund. rep:  $g_c^2 = \pi^2 \simeq 9.87$

- chirally broken if  $g_c < g_*(N_f)$

- conformal (IRFP) if  $g_c > g_*(N_f)$

- sill of conformal window:  $g_*(N_f^*) = g_c$  (note:  $N_f^*$  not an integer)



## Pseudo Nambu-Goldstone boson of approx dilatation symmetry?

- dilatations:  $\Phi_i(x) \rightarrow \lambda^{\Delta_i} \Phi_i(\lambda x)$ ,  $\Delta_i$  scaling dimension of field  $\Phi_i(x)$
- dilatation current:  $S_\mu = x_\nu T_{\mu\nu}$  classically conserved for  $m = 0$
- non-conservation:
 
$$\begin{aligned} \partial_\mu S_\mu &= T_{\mu\mu} = -T_{cl} - T_{an} \\ T_{cl} &= m[\bar{\psi}\psi] \\ T_{an} &= \frac{\beta(g^2)}{4g^2} [F^2] + \gamma_m m [\bar{\psi}\psi] \end{aligned}$$
- probe beta fn at the ChSB scale:  $\langle T_{an}(0) [F^2](x) \rangle_c / \langle [F^2](0) [F^2](x) \rangle_c$
- below conformal sill:  $\beta(g_c^2) \propto N_f - N_f^*$   
 expect: increasing  $N_f$  towards  $N_f^* \Rightarrow$  smaller  $\beta(g_c)$  at ChSB scale  
 $\Rightarrow$  better scale invariance  $\Rightarrow$  “dilaton” pNG boson gets lighter
- Q: use  $N_f - N_f^*$  as small parameter? (problem:  $N_f$  takes discrete values)

## Low-energy EFT with dilatonic meson: power counting

- standard ChPT: fermion mass  $m$  is a parameter of the microscopic theory  
 $m$  can be tuned continuously towards zero  
⇒ Systematic expansion in  $m$  and  $p^2$
- problem: cannot turn off trace anomaly; theory is defined at fixed  $N_c, N_f$
- analogy: cannot turn off  $U(1)_A$  anomaly;  
but it becomes vanishingly small for  $N_c \rightarrow \infty$   
⇒ Systematic expansion in  $m, 1/N_c,$  and  $p^2$  [Kaiser and Leutwyler, '00]
- Veneziano limit:  $N_f, N_c \rightarrow \infty$  with  $n_f = N_f/N_c$  fixed  
 $n_f$  becomes a continuous parameter; theory depends **only** on  $g^2 N_c$  and  $n_f$   
 $n_f^* = \lim_{N_c \rightarrow \infty} N_f^*(N_c)/N_c =$  sill of conformal window for  $N_c \rightarrow \infty$ .
- assume:  $T_{an} \sim (n_f - n_f^*)^\eta$  at the ChSB scale [  $\eta = 1$  in this talk ]  
⇒ Systematic expansion in  $m, 1/N, n_f - n_f^*,$  and  $p^2$

# Constructing an Effective Field Theory

## Microscopic theory:

- symmetries
- spurions: external fields transforming under the symmetries
- fixing “VEVs” of spurions  $\Rightarrow$  explicit breaking of symmetries

## Effective theory:

- same symmetries, same spurion fields, but new dynamical (effective) fields
- explicit breaking of symmetries from same VEVs of spurions
- power counting (previous slide)
- use spurions as probes  $\Rightarrow$  fix Low Energy Constants order by order, by matching correlators obtained by differentiation with respect to spurion fields

## Spurions in the microscopic theory

- chiral symmetry:  $\mathcal{L}^{\text{MIC}}(\chi) = \frac{1}{4}F^2 + \bar{\psi}\not{D}\psi + \bar{\psi}_R\chi^\dagger\psi_L + \bar{\psi}_L\chi\psi_R$

$$\delta\mathcal{L}^{\text{MIC}}(\chi) = 0, \quad \text{but: } \langle\chi\rangle = m \Rightarrow \delta\mathcal{L}^{\text{MIC}}(m) = m\delta(\bar{\psi}\psi)$$

- axial  $U(1)_A$  symmetry:  $\mathcal{L}^{\text{MIC}}(\theta) = \frac{1}{4}F^2 + \bar{\psi}\not{D}\psi + \theta icg^2 F\tilde{F}$

$$\delta\theta = 1 \Rightarrow \delta\mathcal{L}^{\text{MIC}}(\theta) = 0 \quad (\text{finite } U(1)_A \text{ transf: } \theta \rightarrow \theta + \alpha)$$

but:  $\langle\theta\rangle = \theta_0 \Rightarrow \delta\mathcal{L}^{\text{MIC}}(\theta_0) = -icg^2 F\tilde{F}$

- dilatations:  $\mathcal{L}^{\text{MIC}}(\sigma, \chi) = \mathcal{L}^{\text{MIC}}(0, \chi) + \sigma T_{an}(\chi) + \dots$

$$\delta\sigma = x_\mu\partial_\mu\sigma + 1 \Rightarrow \delta\mathcal{L}^{\text{MIC}}(\sigma, \chi) = x_\mu\partial_\mu\mathcal{L}^{\text{MIC}}(\sigma, \chi)$$

but:  $\langle\sigma\rangle = 0 \Rightarrow \delta\mathcal{L}^{\text{MIC}}(0, \chi) = x_\mu\partial_\mu\mathcal{L}^{\text{MIC}}(0, \chi) - T_{an}(\chi)$

## Effective Field Theory with pions and dilatonic meson $\tau(x)$

- dilatation transformation [finite]:

source fields:  $\sigma(x) \rightarrow \sigma(\lambda x) + \log \lambda$ ,  $\chi(x) \rightarrow \lambda^{4-y}\chi(\lambda x)$

effective fields:  $\tau(x) \rightarrow \tau(\lambda x) + \log \lambda$ ,  $\Sigma(x) \rightarrow \Sigma(\lambda x)$

- invariant low-energy theory:  $\tilde{\mathcal{L}}^{\text{EFT}} = \tilde{\mathcal{L}}_\pi + \tilde{\mathcal{L}}_\tau + \tilde{\mathcal{L}}_m + \tilde{\mathcal{L}}_d$  where

$$\tilde{\mathcal{L}}_\pi = V_\pi(\tau - \sigma) (f_\pi^2/4) e^{2\tau} \text{tr} (\partial_\mu \Sigma^\dagger \partial_\mu \Sigma)$$

$$\tilde{\mathcal{L}}_\tau = V_\tau(\tau - \sigma) (f_\tau^2/2) e^{2\tau} (\partial_\mu \tau)^2$$

$$\tilde{\mathcal{L}}_m = -V_M(\tau - \sigma) (f_\pi^2 B_\pi/2) e^{y\tau} \text{tr} (\chi^\dagger \Sigma + \Sigma^\dagger \chi)$$

$$\tilde{\mathcal{L}}_d = V_d(\tau - \sigma) f_\tau^2 B_\tau e^{4\tau}$$

with invariant potentials:  $V(\tau(x) - \sigma(x)) \rightarrow V(\tau(\lambda x) - \sigma(\lambda x))$

$\Rightarrow$  No predictability without power counting!



## Power counting hierarchy from matching correlation functions

- recall microscopic theory  $\mathcal{L}^{\text{MIC}}(\sigma, \chi) = \mathcal{L}^{\text{MIC}}(0, \chi) + \sigma T_{an}(\chi) + O(\sigma^2)$

$$\left. \frac{\partial}{\partial \sigma(x)} \mathcal{L}^{\text{MIC}} \right|_{\sigma=\chi=0} = \left. T_{an}(x) \right|_{\chi=0} = \frac{\beta(g^2)}{4g^2} [F^2(x)] \sim n_f - n_f^*$$

- effective theory

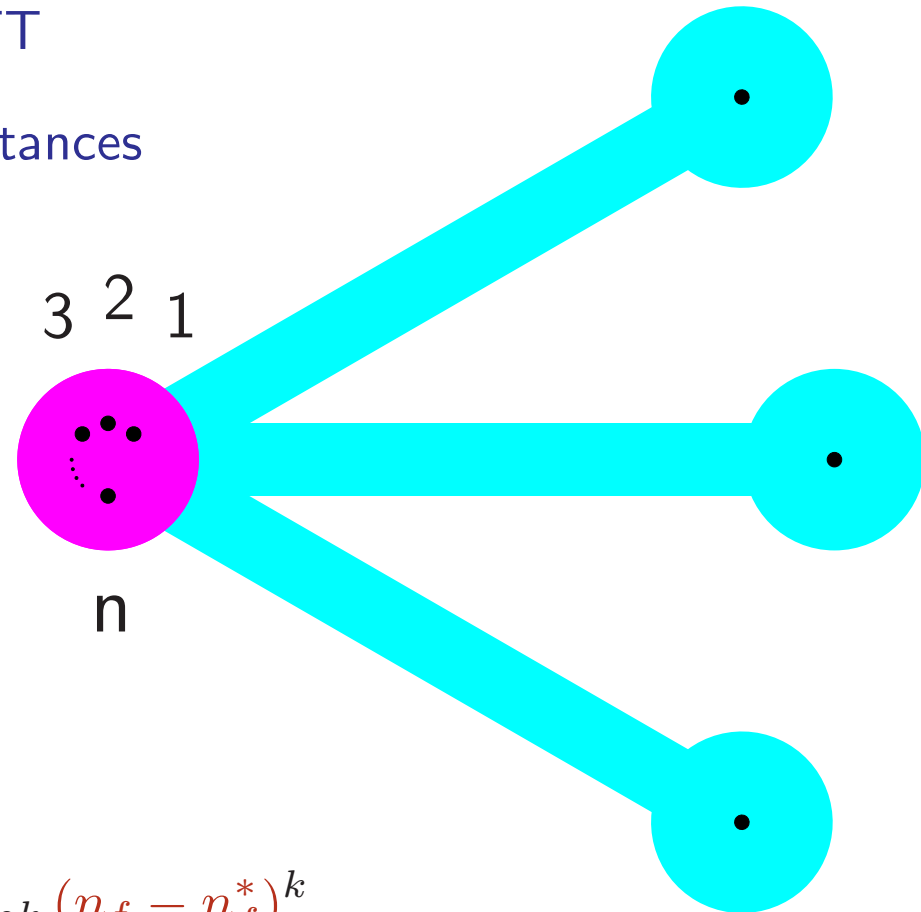
$$\left( -\frac{\partial}{\partial \sigma(x)} \right)^n \tilde{\mathcal{L}}^{\text{EFT}} \Big|_{\sigma=\chi=0} = V_d^{(n)}(\tau(x)) f_\tau^2 B_\tau e^{4\tau(x)} + \dots$$

$$\Rightarrow V(\tau - \sigma) = \sum_{n=0}^{\infty} c_n (\tau - \sigma)^n \quad \text{where} \quad c_n = O((n_f - n_f^*)^n)$$

$\Rightarrow$  Only a finite number of LECs at each order!

## Matching – role of non-coinciding points

- **Magenta:** points at distances  $\ll$  meson size collapse to a single point in the EFT
- **Cyan:** points at asympt. large distances



- Upshot:

$$V(\tau - \sigma) = \sum_{n=0}^{\infty} (\tau - \sigma)^n \sum_{k \geq n} \tilde{c}_{nk} (n_f - n_f^*)^k$$

## Leading order lagrangian, finally:

- now set  $\sigma(x) = 0$ , obtaining at order  $m \sim n_f - n_f^* \sim p^2$ :

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_\pi + \mathcal{L}_\tau + \mathcal{L}_m + \mathcal{L}_d \\ \mathcal{L}_\pi &= (f_\pi^2/4) e^{2\tau} \text{tr} (\partial_\mu \Sigma^\dagger \partial_\mu \Sigma) \\ \mathcal{L}_\tau &= (f_\tau^2/2) e^{2\tau} (\partial_\mu \tau)^2 \\ \mathcal{L}_m &= -(m f_\pi^2 B_\pi / 2) e^{y\tau} \text{tr} (\Sigma + \Sigma^\dagger) \\ \mathcal{L}_d &= [\tilde{c}_{00} + (n_f - n_f^*)(\tilde{c}_{01} + \tilde{c}_{11}\tau)] f_\tau^2 B_\tau e^{4\tau}\end{aligned}$$