Monte Carlo methods in continuous time for lattice Hamiltonians

Emilie Huffman with Shailesh Chandrasekharan Duke University

The 34th annual International Symposium on Lattice Field Theory

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- ► We have discovered new sign problems solvable in CT-INT formalism, defined below for $H = H_0 + H_{int}$.

$$Z = \sum_{k} \int [dt] (-1)^{k} \operatorname{Tr} \left(e^{-(\beta - t)H_{0}} H_{\text{int}} e^{-(t_{1} - t_{2})H_{0}} H_{\text{int}} \cdots \right),$$
(1)

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Beard, Wiese(1996), Sandvik (1998), Prokof'ev, Svistunov (1998), Rubtsov, Savkin Lichtenstein (2005)



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 We can discretize in time as well for these solutions.

 CT-INT is key to most of these solutions. Most of these models involve interactions between fermions and quantum spins. (Non-interacting: Continuous-time QMC Solvers for Electronic Systems in Fermionic and Bosonic Baths (Assaad 2014))

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PHYSICAL REVIEW D 86, 021701(R) (2012)

Solutions to sign problems in lattice Yukawa models

Shailesh Chandrasekharan Department of Physics, Duke University, Durham, North Carolina 27708, USA (Received 22 May 2012; published 3 July 2012)

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- Effective for many interesting models, including antiferromagnets and Kondo models. Also plays well with Meron Cluster technique, extending parameter space.

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Meron-Cluster Solution of Fermion Sign Problems

Shailesh Chandrasekharan¹ and Uwe-Jens Wiese² ¹Department of Physics, Duke University, Box 90305, Durham, North Carolina 27708 ²Center for Theoretical Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139 (Received 10 February 1999)

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- Key idea previously used for lattice field theories. (Chandrasekharan, PRD 2012)
- Effective for many interesting models, including antiferromagnets and Kondo models. Also plays well with Meron Cluster technique, extending parameter space.
- Also simple \mathbb{Z}_2 gauge theories.
- Algorithms available in (L. Wang, et. al. PRB 2015) that scale as:



The newly solvable interacting spinless fermion and quantum spin models have this general form:

$$\begin{aligned} H &= -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right) \\ &+ \sum_{xy} \left(J_{\text{perp}} \left(S_x^1 S_y^1 + S_x^2 S_y^2 \right) \pm J_3 S_x^3 S_y^3 \right) - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^1 \end{aligned}$$

$$(2)$$

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+
$$\sum_{xy} \left(J_{\text{perp}} \left(S_x^{\dagger} S_y^{\dagger} + S_x^2 S_y^2 \right) \pm J_3 S_x^3 S_y^3 \right) - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^{\dagger}$$
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• Here, H_0^f is the tight-binding Hamiltonian.

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 \blacktriangleright H_{int}^{b} is the fermion interaction term from the t-V model.

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+
$$H_0^{b}$$
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• Then H_0^b is a somewhat general spin model.



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+
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(2)

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• And H_{int}^{fb} is interaction between the fermions and spins.



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• Even with the simplest quantum spin interaction, where $H_0^b = J \sum_{\langle x,y \rangle} S_x^3 S_y^3$, we see potentially interesting physics.

$$H = -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right) + J \sum_{xy} S_x^3 S_y^3 - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^1$$
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► t-V part solvable in both CT-INT (Huffman, Chandrasekharan, PRB 2014) and CT-AUX (Li et. al. PRB 2015).

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PHYSICAL REVIEW B 89, 111101(R) (2014)

Solution to sign problems in half-filled spin-polarized electronic systems

Emilie Fulton Huffman and Shailesh Chandrasekharan

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RAPID COMMUNICATIONS

PHYSICAL REVIEW B 91, 241117(R) (2015)

Solving the fermion sign problem in quantum Monte Carlo simulations by Majorana representation

Zi-Xiang Li, ¹Yi-Fan Jiang, ^{1,2} and Hong Yao, ^{1,3,4} ¹Junitia for Advanced Subst. Yinghua University, Beijing (10084, China ²Department of Physics, Sauford University, Stanford, California 94205, USA ¹Collaborative Disoration Center of Quantum Matter, Reijing (10084, China (Received 27 August 2014; revised manascript received 31 Cooker 2014; published 30 June 2015)

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+ $J \sum_{xy} S_x^3 S_y^3 - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^1$ (3)

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Figure: L. Wang, P. Corboz, M. Troyer, New J. Phys. 16, 103008.

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Figure: L. Wang, P. Corboz, M. Troyer, New J. Phys. 46, 103008 💿 🚊 🔊 🔍

Physics Motivation: Suspected Phase Diagram

But remember, spins are correlated with fermions:

$$H = -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right)$$

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Thus we propose:



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What happens at the critical point V_c?

We know that the t-V model has no sign problem in CT-INT and CT-AUX.

$$H_{0}^{f} + H_{\text{int}}^{b} = -t \sum_{\langle xy \rangle} \left(c_{x}^{\dagger} c_{y} + c_{y}^{\dagger} c_{x} \right) + V \sum_{\langle xy \rangle} \left(n_{x} - \frac{1}{2} \right) \left(n_{y} - \frac{1}{2} \right),$$
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All continuous-time Hamiltonian solutions so far allow for the following addition:

$$H_{\text{stagg}} = \sum_{x} \eta_{x} h_{x} \left(n_{x} - \frac{1}{2} \right)$$
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For the bipartite lattice, η_x is +1 for one (even) sublattice, and -1 for the other (odd) sublattice.

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- For the bipartite lattice, η_x is +1 for one (even) sublattice, and -1 for the other (odd) sublattice.
- ► We show in the following slides how instead adding the spin sector portion $H_{\text{int}}^{\text{fb}} = \sum_{x} h_x \left(n_x \frac{1}{2} \right) S_x^1$ results in no sign problem for *CT-INT* specifically.

Model 1: Factorization

$$H = -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right)$$

+ $J \sum_{xy} S_x^3 S_y^3 - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^1$ (7)

The expansion will consist of terms of this form,

$$(-1)^{k} \operatorname{Tr} \left(e^{-(\beta - t_{1})H_{0}} H_{\operatorname{int}} e^{-(t_{1} - t_{2})H_{0}} H_{\operatorname{int}} \dots H_{\operatorname{int}} e^{-t_{k}H_{0}} \right), \qquad (8)$$

where $H_0 = H_0^f + H_0^b$ and $H_{int} = H_{int}^f + H_{int}^{fb}$.



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where $H_0 = H_0^f + H_0^b$ and $H_{int} = H_{int}^f + H_{int}^{fb}$. • Example term:

$$h_{x}(-1)^{2+1}\operatorname{Tr}\left(e^{-(\beta-t_{1})H_{0}}H_{int}^{f}e^{-(t_{1}-t_{2})H_{0}}\left(n_{x}-\frac{1}{2}\right)S_{x}^{1}e^{-t_{2}H_{0}}\right).$$
 (9)

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 (9)

We can rewrite

$$h_{i}(-1)^{2+1} \operatorname{Tr}_{b} \left(e^{-(\beta-t_{2})H_{0}^{b}} S_{x}^{1} e^{-t_{2}H_{0}^{b}} \right) \times \operatorname{Tr}_{f} \left(e^{-(\beta-t_{1})H_{0}^{f}} H_{int}^{f} e^{-(t_{1}-t_{2})H_{0}^{f}} \left(n_{x} - \frac{1}{2} \right) e^{-t_{2}H_{0}^{f}} \right).$$

$$(10)$$

Key idea: Use the z-basis for spin states. Particles are spin z-up and holes are spin z-down.

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$$\begin{array}{l} \left\langle \downarrow\uparrow\uparrow\uparrow\downarrow\downarrow\uparrow\right|e^{-(\beta-t_{6})H_{0}^{b}}S_{5}^{1}e^{-(t_{6}-t_{5})H_{0}^{b}}S_{2}^{1}e^{-(t_{5}-t_{4})H_{0}^{b}}S_{3}^{1}e^{-(t_{4}-t_{3})H_{0}^{b}} \\ \times S_{2}^{1}e^{-(t_{3}-t_{2})H_{0}^{b}}S_{3}^{1}e^{-(t_{2}-t_{1})H_{0}^{b}}S_{5}^{1}e^{-(t_{1})H_{0}^{b}}\left|\downarrow\uparrow\uparrow\uparrow\downarrow\downarrow\uparrow\right\rangle \end{array}$$

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 If we flip a spin, we must flip it back again.

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- If we flip a spin, we must flip it back again.
- We need an even number of every S¹_x operator.

No Sign Problem

► However,

$$S_{x}^{1}S_{x}^{1} = \eta_{x}\eta_{x}S_{x}^{1}S_{x}^{1}.$$
 (11)

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Thus it is as if our H^b_{int} insertion is really

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 And thus most generally the Ising model coupled with fermions

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$$\pm J \sum_{xy} S_x^3 S_y^3 - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^1$$
(13)

has no sign problem for any h_x .

 We add a bit more complexity to the spin section for this second model, considering

$$H = -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right)$$

+
$$J \sum_{\langle xy \rangle} \left(\vec{S}_x \cdot \vec{S}_y - \frac{1}{4} \right) - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^{\dagger}$$
(14)

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+
$$J \sum_{\langle xy \rangle} \left(\vec{S}_x \cdot \vec{S}_y - \frac{1}{4} \right) - \sum_x h_x \left(n_x - \frac{1}{2} \right) S_x^{\dagger}$$
(14)

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This time we treat the spin piece H^b₀ as an interaction piece. We call it H^b_{int}.

 We add a bit more complexity to the spin section for this second model, considering

$$H = -t \sum_{\langle xy \rangle} \left(c_x^{\dagger} c_y + c_y^{\dagger} c_x \right) + V \sum_{\langle xy \rangle} \left(n_x - \frac{1}{2} \right) \left(n_y - \frac{1}{2} \right)$$

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- This time we treat the spin piece H^b₀ as an interaction piece. We call it H^b_{int}.
- The new H_{int} is

$$H_{\rm int} = H_{\rm int}^f + H_{\rm int}^b + H_{\rm int}^{fb}.$$
 (15)

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How does H^b_{int} affect the spin space?

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- How does H_{int}^b affect the spin space?
- ▶ For two nearest neighbors, *x* and *y*, using the basis states $(\uparrow\uparrow, \uparrow\downarrow, \downarrow\uparrow, \downarrow\downarrow)$, we have

$$H_{\text{int},xy}^{b} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -J/2 & J/2 & 0 \\ 0 & J/2 & -J/2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$
(16)

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• At the infinitesimal level, we get for $e^{-\epsilon H}$: $(\uparrow\downarrow,\downarrow\uparrow)$

$$\left(1+\frac{\epsilon J}{2}\right)\mathbb{1}-\frac{\epsilon J}{2}\left(\begin{array}{cc}0&1\\1&0\end{array}\right)$$
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Therefore, every time the Hamiltonian flips a nearest neighbor spin pair, the overall matrix element takes on an extra minus sign.

Worldline Approach: With H^{fb}_{int} insertions

Contribution to $\langle \downarrow \uparrow \uparrow \downarrow \downarrow \uparrow | e^{-(\beta - t_6)H_0^b} S_6^1 e^{-(t_6 - t_5)H_0^b} S_3^1 e^{-(t_5 - t_4)H_0^b} S_5^1 \times e^{-(t_4 - t_3)H_0^b} S_1^1 e^{-(t_3 - t_2)H_0^b} S_4^1 e^{-(t_2 - t_1)H_0^b} S_1^1 e^{-t_1H_0^b} |\downarrow \uparrow \uparrow \downarrow \downarrow \uparrow \rangle$

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 Now our insertions can hop before being annihilated.

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- Thus again it is as if we are inserting: (unitary transformations can show this explicitly)

$$\sum_{x} h_{x} \eta_{x} \left(n_{x} - \frac{1}{2} \right) S_{x}^{1}.$$
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 (18)

The antiferromagnet coupled with fermions has no sign problem in the CT-INT expansion.

We can extend the these ideas to gauge theories. A simple example:

$$H = -t \left(\sum_{\langle xy \rangle} c_x^{\dagger} \sigma_{xy}^3 c_y + c_y^{\dagger} \sigma_{xy}^3 c_x \right) - h \sum_{\langle xy \rangle} \sigma_{xy}^1$$

+
$$\sum_{\text{plaquettes}} \sigma_a^3 \sigma_b^3 \sigma_c^3 \sigma_d^3$$
(19)

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 Here, H^{fb}₀ is the free part, coming from the covariant derivative.

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• H_{int}^{b} is a field in the *x*-direction.



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► Invariant under $G_x^{\dagger} c_x G_x = -c_x$, $G_x^{\dagger} \sigma_{x_n}^1 G_x \rightarrow = \sigma_{x_n}^1$, and $G_x^{\dagger} \sigma_{x_n}^3 G_x = -\sigma_{x_n}^3$, where $G_x = \sigma_{x_1}^1 \sigma_{x_2}^1 \sigma_{x_3}^1 \sigma_{x_4}^1 \eta_x (2n_x - 1)$

We can extend the these ideas to gauge theories. A simple example:

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$$\sum_{\text{plaquettes}} \sigma_a^3 \sigma_b^3 \sigma_c^3 \sigma_d^3$$
(19)

 Spinful fermionic version considered by (Gazit, Randeria, Vishwanath (2016)), so there is interest in such models.

Snir Gazit,¹ Mohit Randeria,² and Ashvin Vishwanath^{1,3}

¹Department of Physics, University of California, Berkeley, CA 94720, USA
 ²Department of Physics, The Ohio State University, Columbus, OH 43210
 ³Department of Physics, Harvard University, Cambridge MA 02138, USA
 (Dated: July 15, 2016)

▶ We again use CT-INT. P enforces the Gauss's Law constraint.

$$Z = \sum_{\{k\}} (-1)^{k} \operatorname{Tr} \left(\hat{P} e^{-(\beta - t_{1})H_{0}} H_{int} e^{-(t_{1} - t_{2})H_{0}} H_{int} \dots H_{int} e^{-t_{k}H_{0}} \right)$$

=
$$\sum_{\{k\}} (-1)^{k} \operatorname{Tr} \left(\hat{P} e^{-(\beta - t_{1})(H_{0}^{ib} + H^{p})} H_{int}^{b} \dots H_{int}^{b} e^{-t_{k}(H_{0}^{ib} + H^{p})} \right)$$

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$$= \sum_{\{k\}} (-1)^{k} \operatorname{Tr} \left(\hat{P} e^{-(\beta - t_{1})(H_{0}^{\prime b} + H^{\rho})} H_{\operatorname{int}}^{b} \dots H_{\operatorname{int}}^{b} e^{-t_{k}(H_{0}^{\prime b} + H^{\rho})} \right)$$
(20)

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$$= \sum_{\{k\},\{s\}} (-1)^{k} \operatorname{Tr}_{f} \left(\hat{P}_{f} e^{-(\beta - t_{1})H_{0}^{f}(s_{1})} \dots e^{-t_{k}H_{0}^{f}(s_{k})} \right)$$
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- Now we cannot factor into separate fermionic and spin factors, but we can use z-basis to replace spin operators with numbers.
- Using a Majorana transformation we can confirm that the fermionic part has no sign problem either.
 (Li, Jiang, Yao PRB (2015)), (Wang, Iazzi, Corboz, Troyer PRL (2015)), (Wei, Wu, Li, Zhang, Xiang, PRL (2016)), (Li, Jiang, Yao, 1601.05780).

We can now solve a variety of models involving interacting fermions and spins using the CT-INT formalism (in continuous or discrete time).

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The CT-INT formalism also plays well with other techniques, such as the Meron Cluster method.

- We can now solve a variety of models involving interacting fermions and spins using the CT-INT formalism (in continuous or discrete time).
- ► The *CT-INT* formalism also plays well with other techniques, such as the Meron Cluster method.
- We can also apply these techniques to simple gauge theories, such as the Z₂ gauge theory we have shown here.

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